

# Recent Results and Prospects of High-Energy Neutrino Astronomy

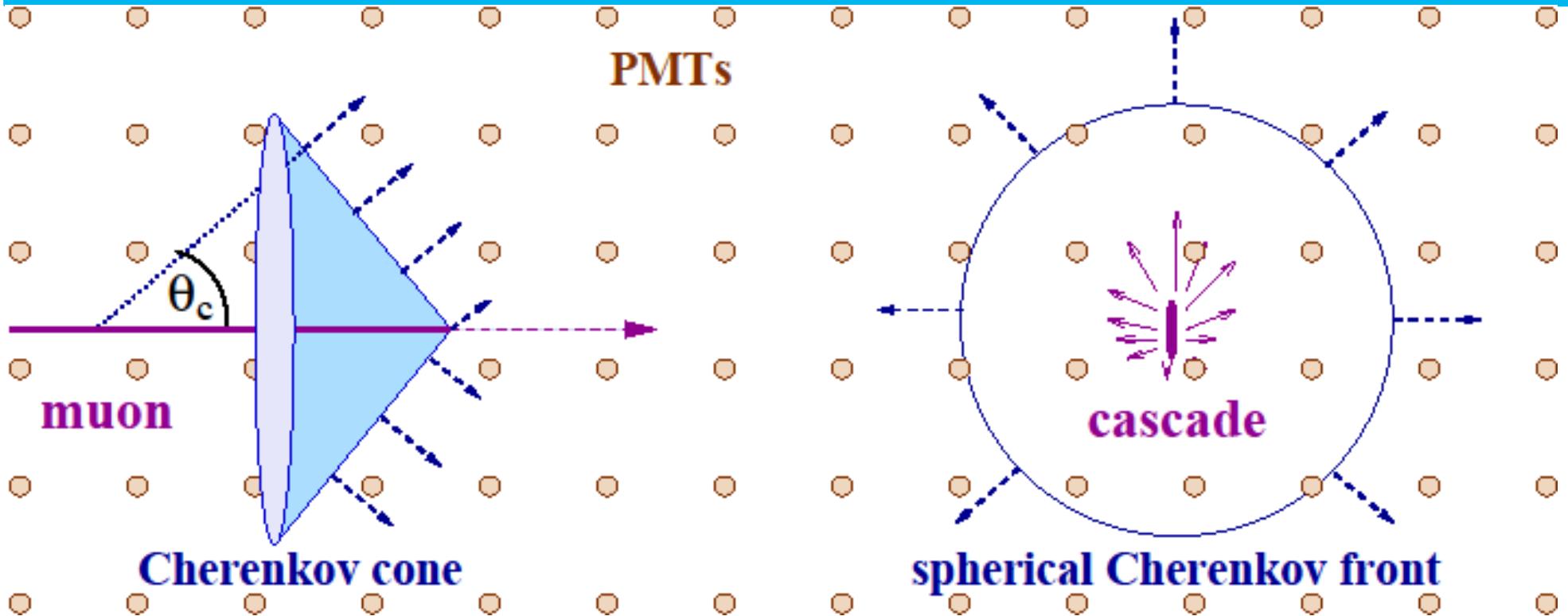
APPIC Meeting May 8, 2014  
Paris

Christian Spiering, DESY Zeuthen



# **DETECTION PRINCIPLE AND PHYSICS GOALS**

# Detection Modes



- Muon track from CC muon neutrino interactions
  - Angular resolution  $0.5^\circ/0.1^\circ$  for ice/water  $1\text{km}^3$
  - $dE/dx$  resolution factor 2-3
- Cascade from CC electron/tau and NC all flavor interactions
  - Angular resolution  $10^\circ/3^\circ$  at 100 TeV for ice/water
  - Energy resolution  $\sim 15\%$

# Physics with neutrino telescopes

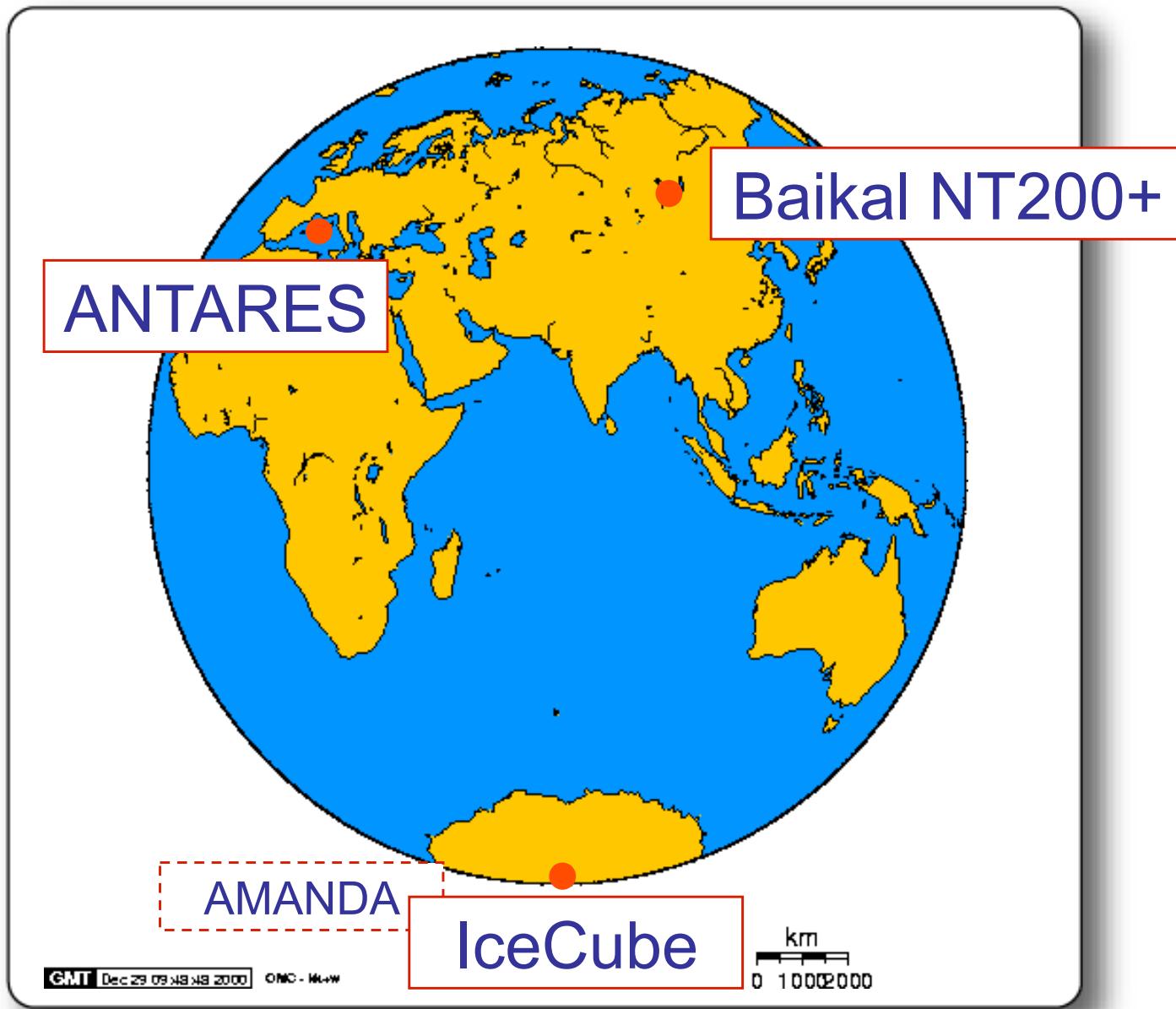
- Search for the sources of high-energy cosmic rays
- Dark Matter and Exotic Physics
  - WIMPs
  - Magnetic Monopoles and other superheavies
  - Violation of Lorentz invariance
- Neutrino and Particle Physics
  - Neutrino oscillations (incl. mass hierarchy)
  - Charm physics
  - Cross sections at highest energies
- Supernova Collapse Physics
  - MeV neutrinos in bursts → early SN phase, neutrino hierarchy, ...
- Cosmic Ray Physics
  - Spectrum, composition and anisotropies
- Earth and marine science, glaciology

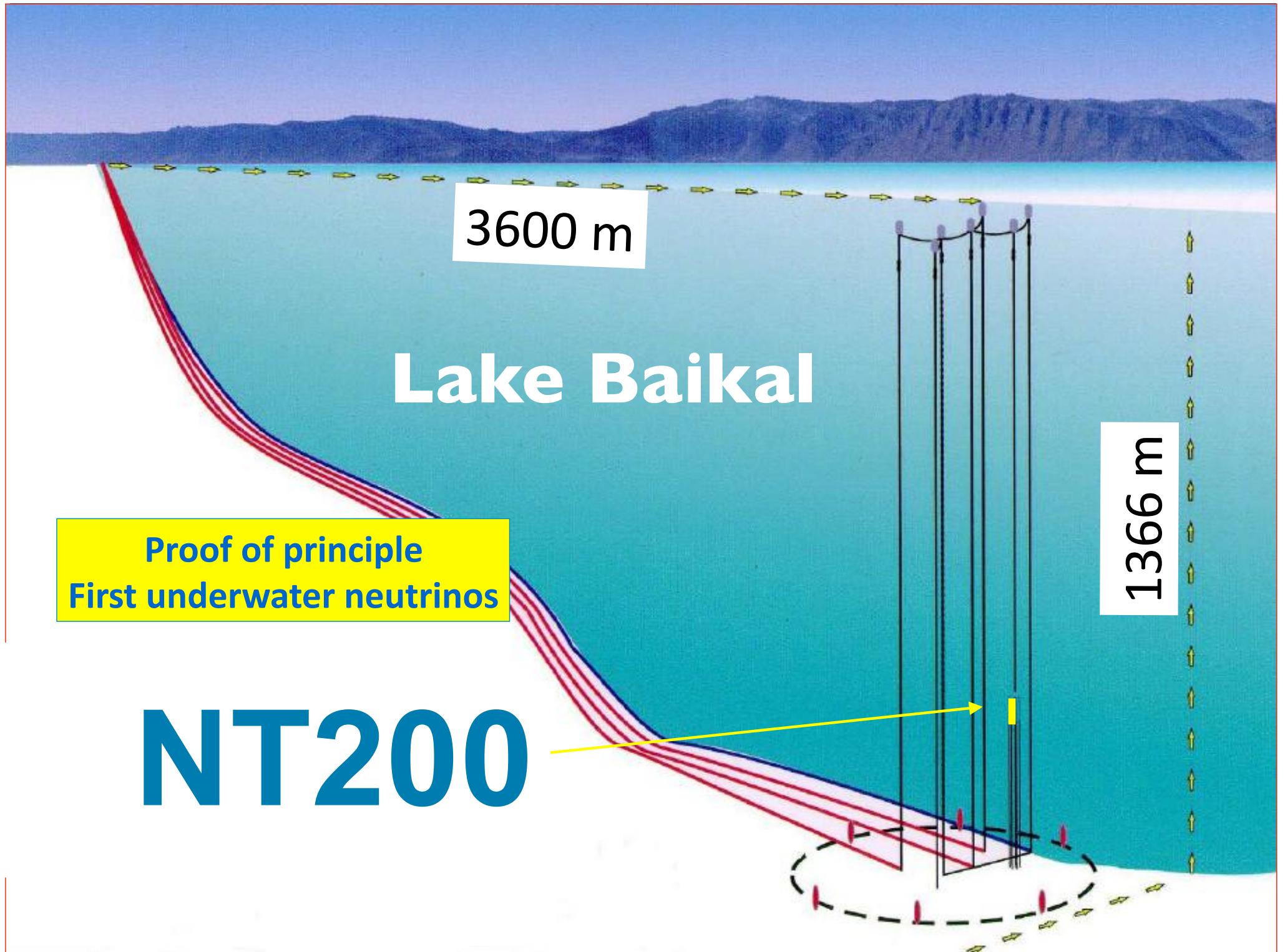
# Main physics drivers for new projects

- **Search for the sources of high-energy cosmic rays    *Gton scale***
- **Dark Matter and Exotic Physics**
  - WIMPs
  - Magnetic Monopoles and other superheavies
  - Violation of Lorentz invariance
- **Neutrino and Particle Physics**
  - **Neutrino oscillations (incl. mass hierarchy)    *Mton scale***
  - Charm physics
  - Cross sections at highest energies
- **Supernova Collapse Physics**
  - MeV neutrinos in bursts → early SN phase, neutrino hierarchy, ...
- **Cosmic Ray Physics**
  - Spectrum, composition and anisotropies

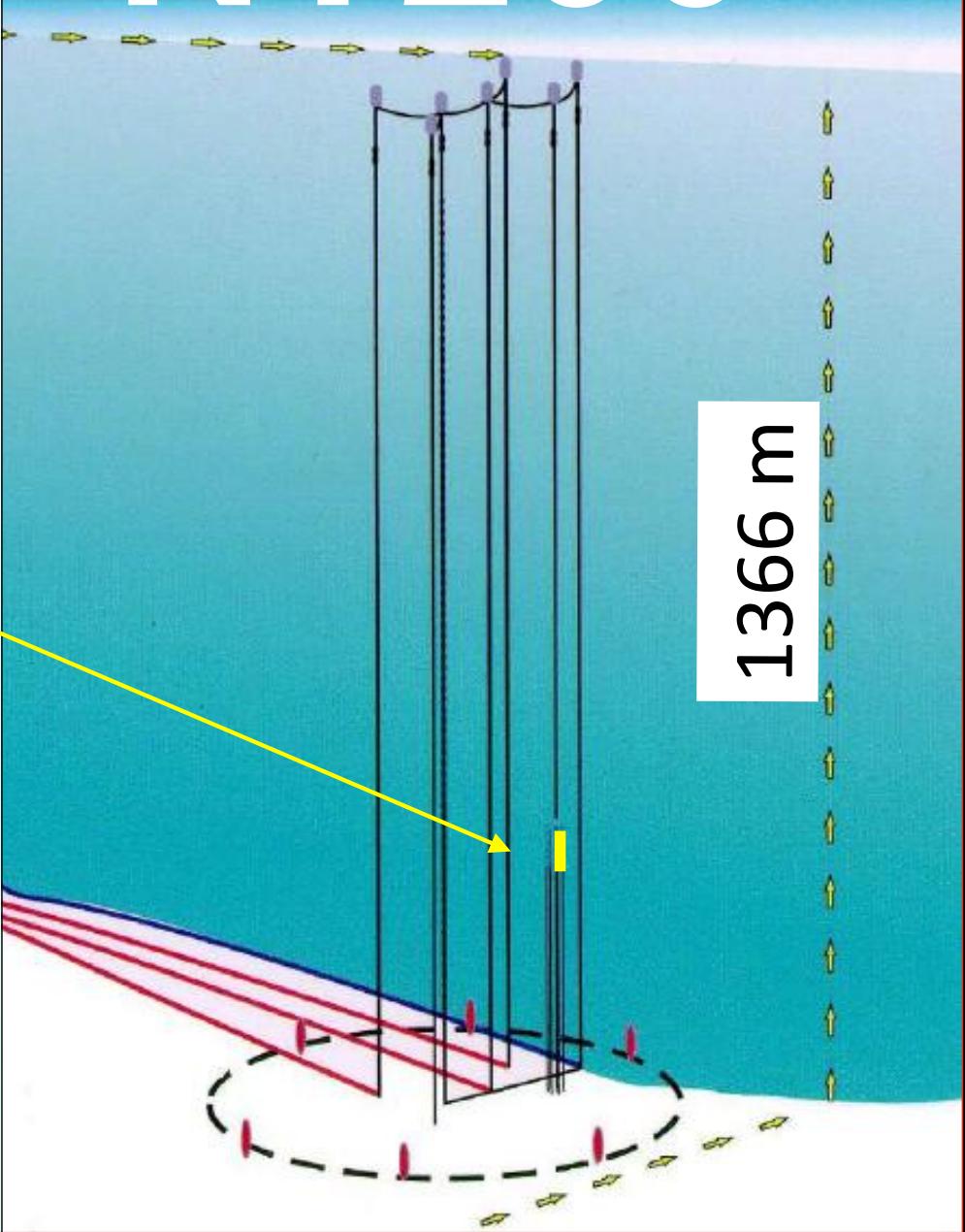
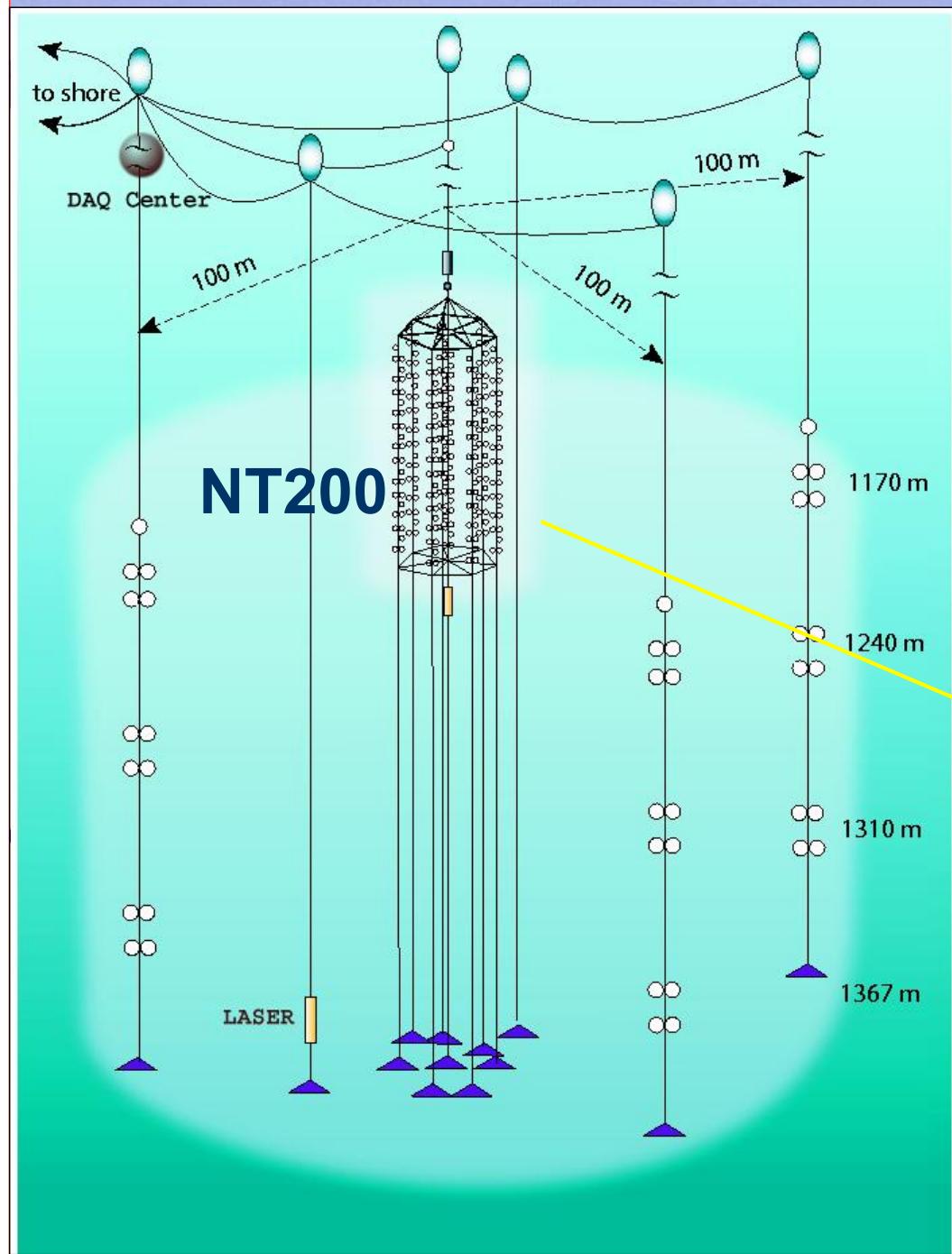
# PRESENT DEVICES

# Existing detectors

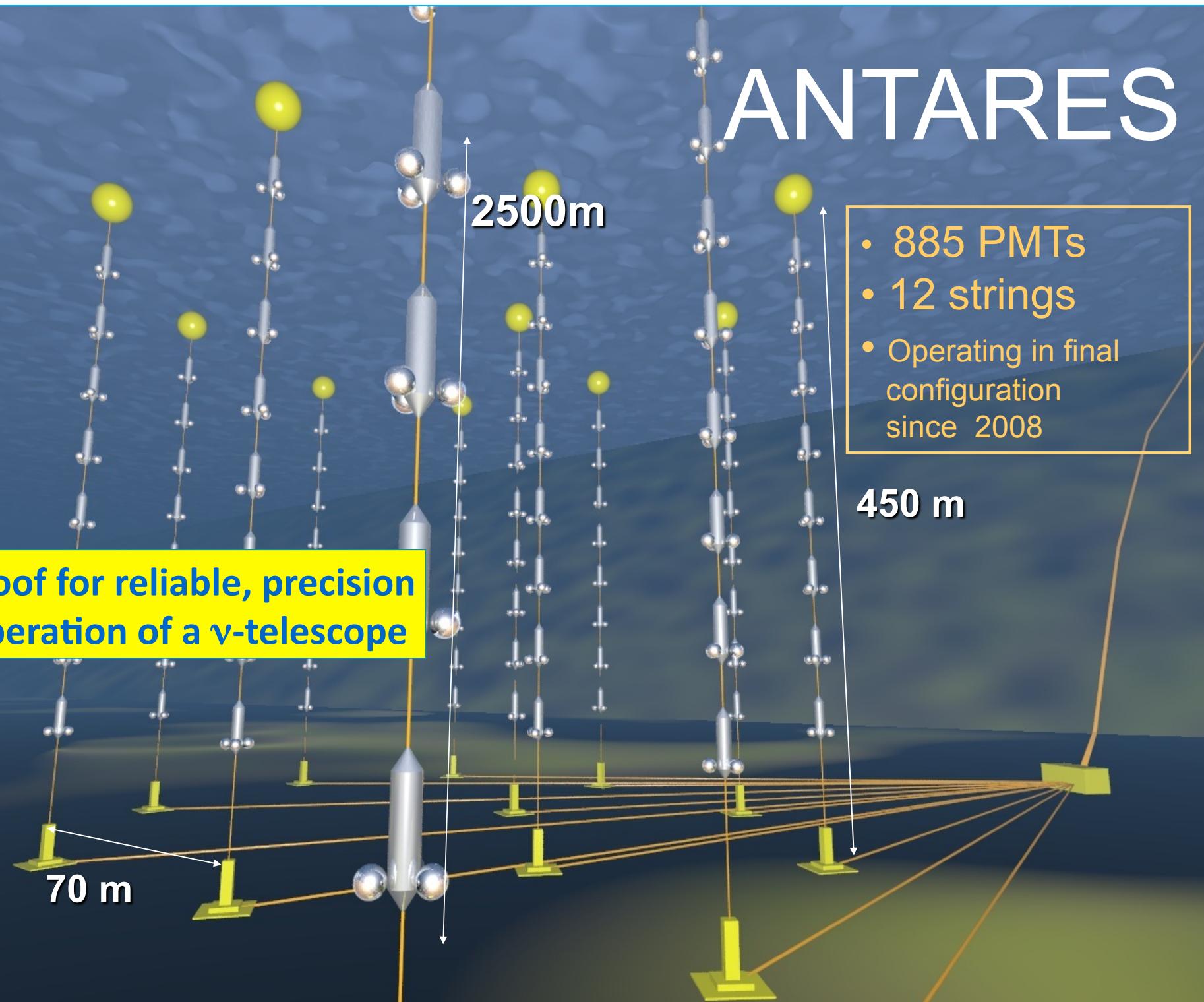




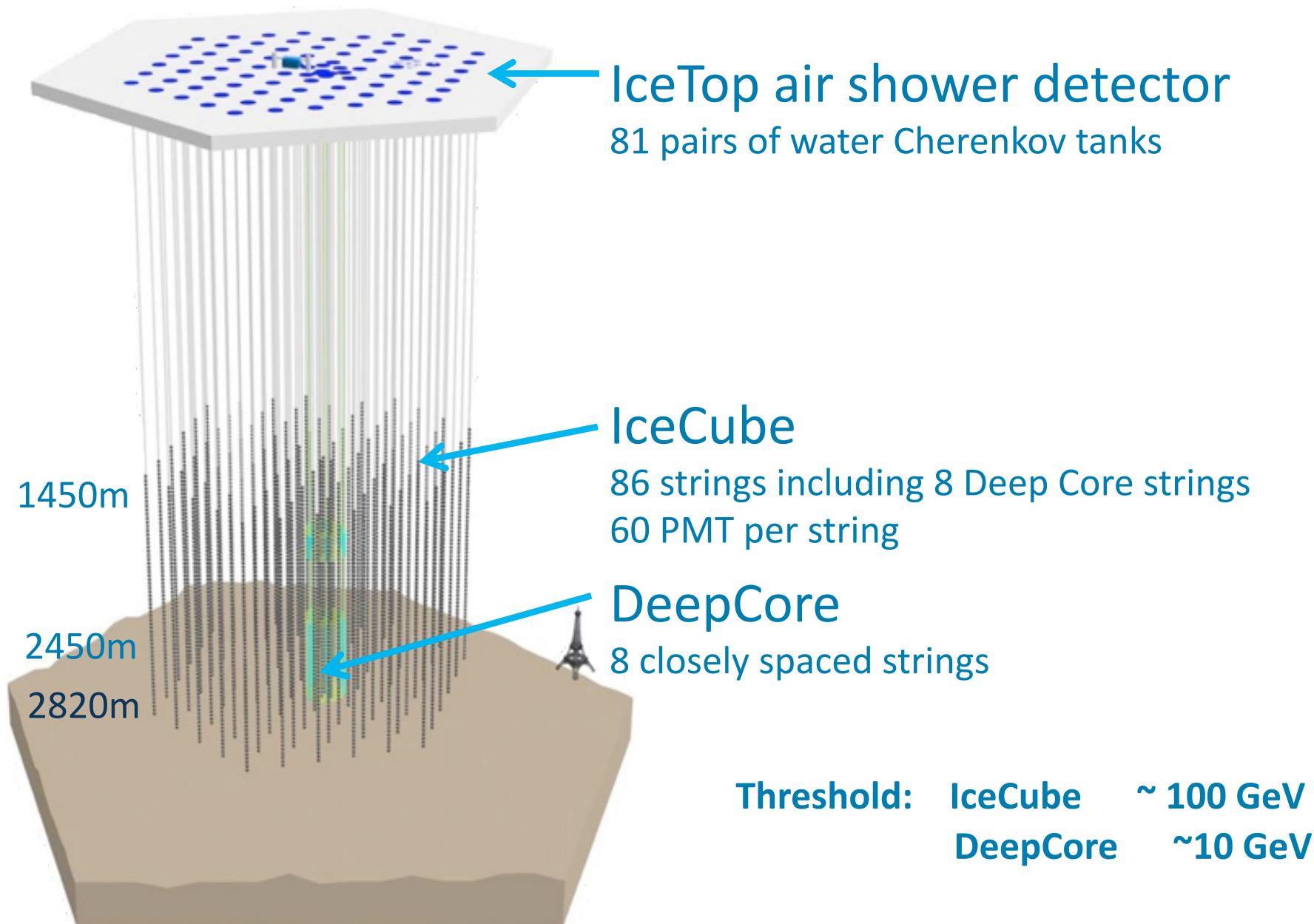
# NT200+

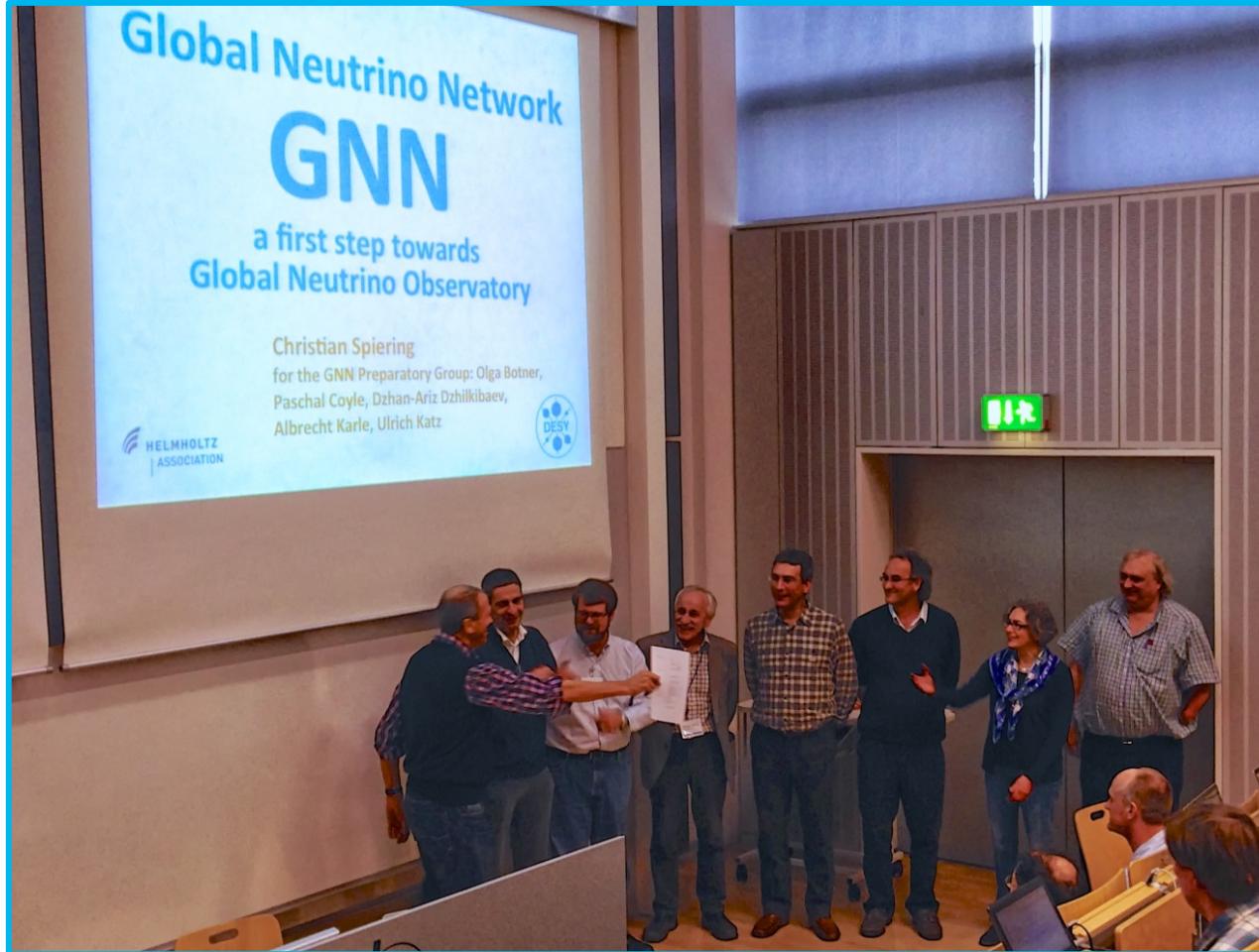


# ANTARES



# IceCube Neutrino Observatory





- Oct. 2013, Munich
- Antares
- Baikal
- IceCube
- KM3NeT

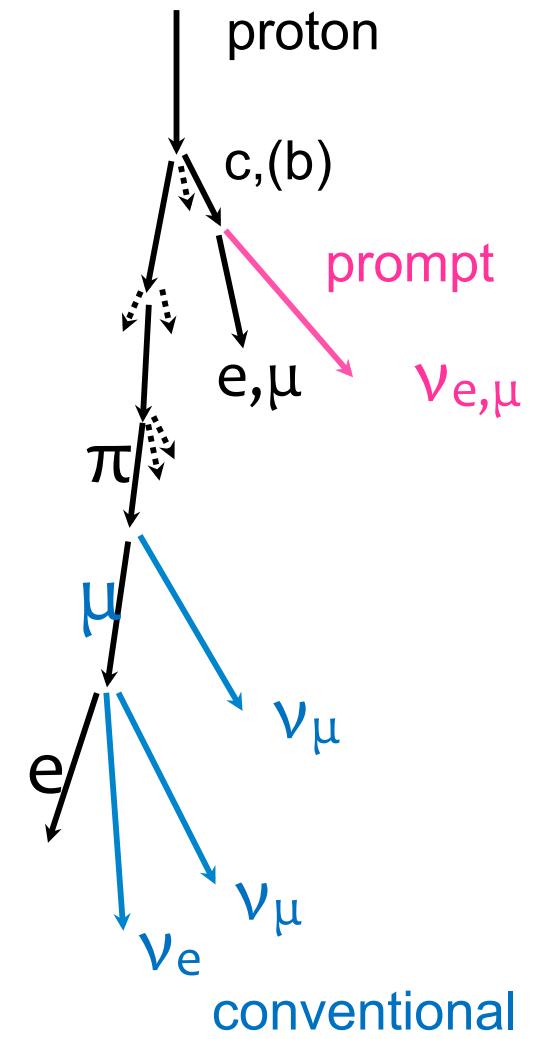
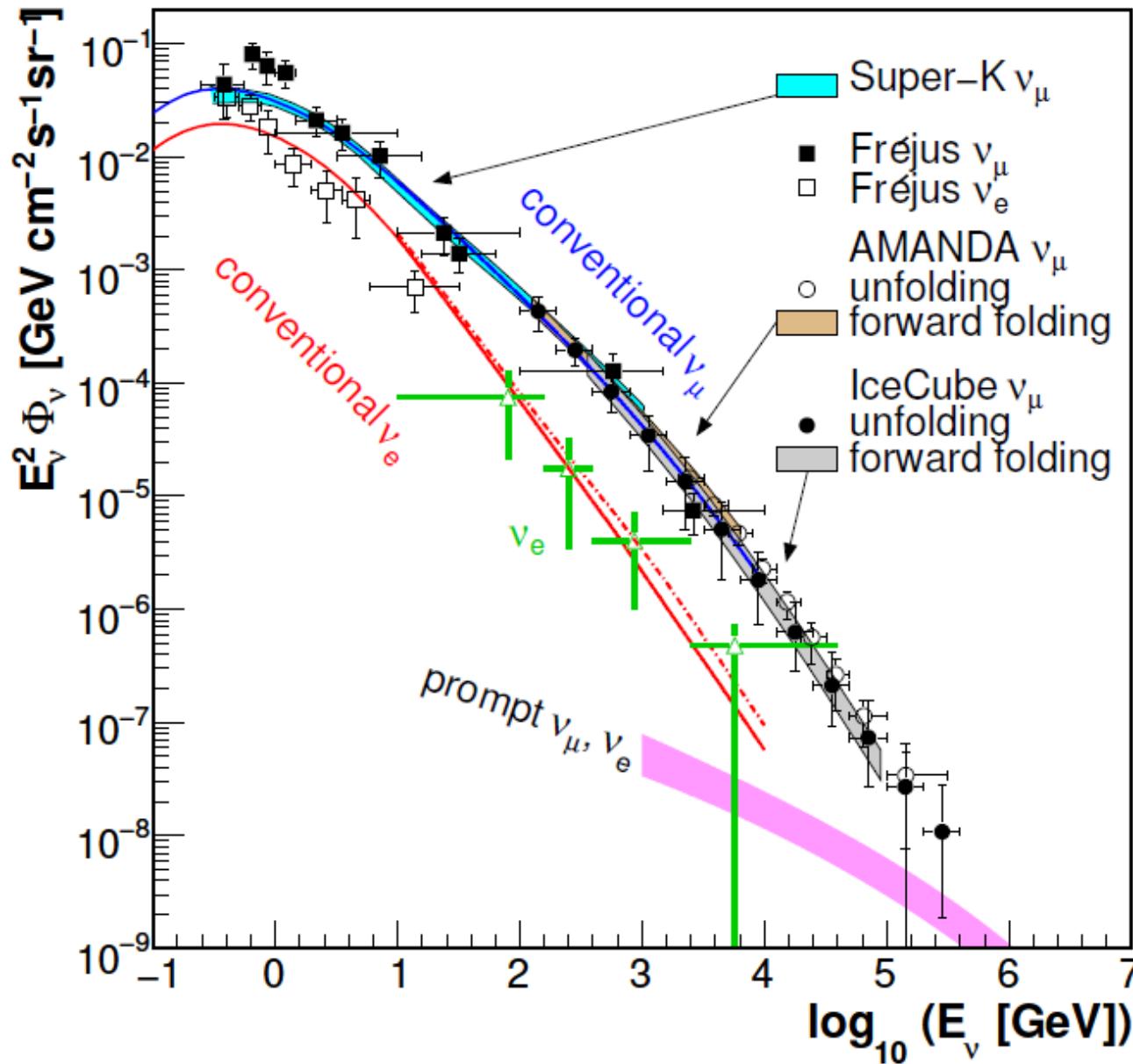
■ <http://www.globalneutrinonetwork.org/>

**SELECTED RECENT RESULTS**

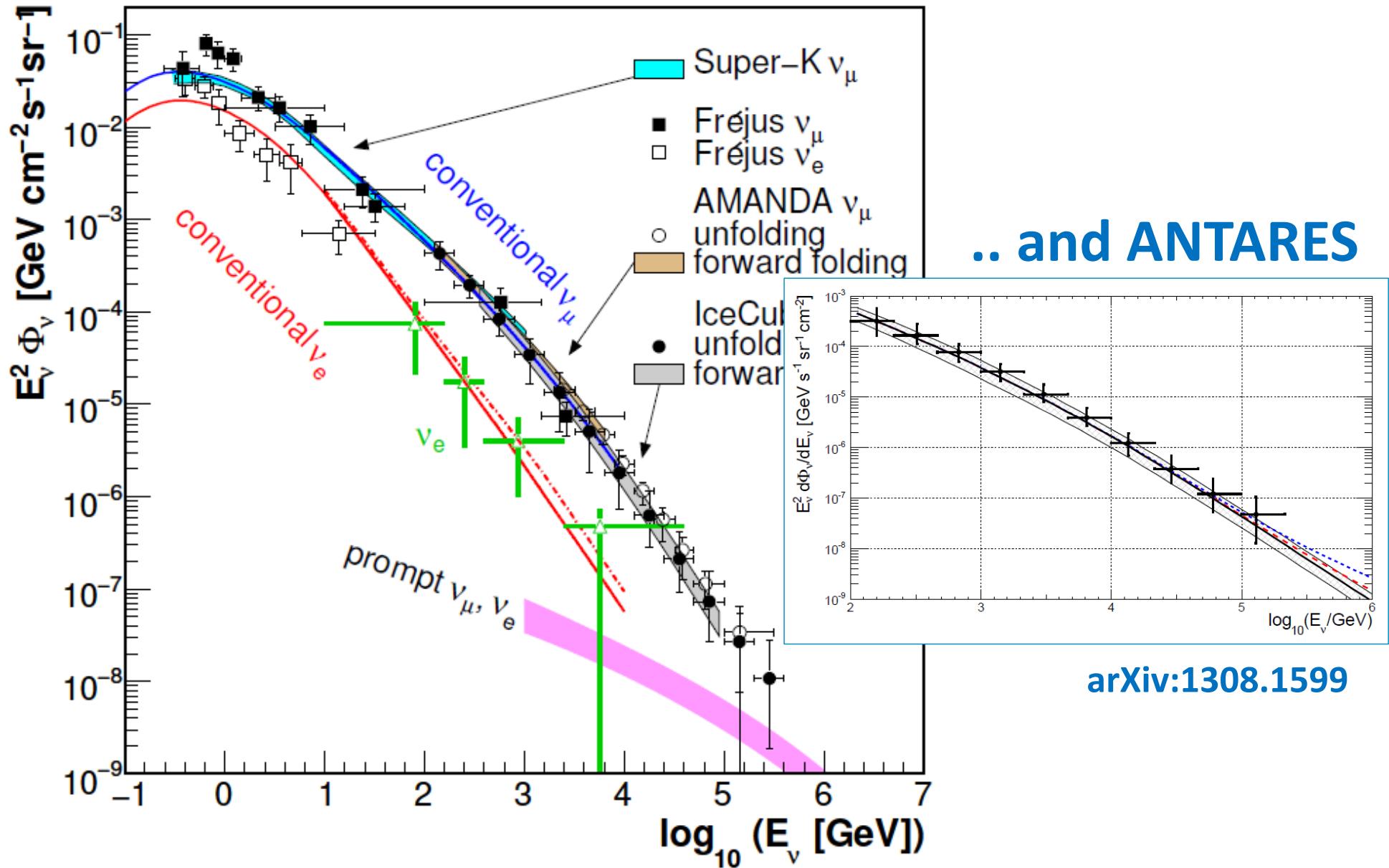
**WITH FRESH MOTIVATION FOR**

**NEW GENERATION DEVICES**

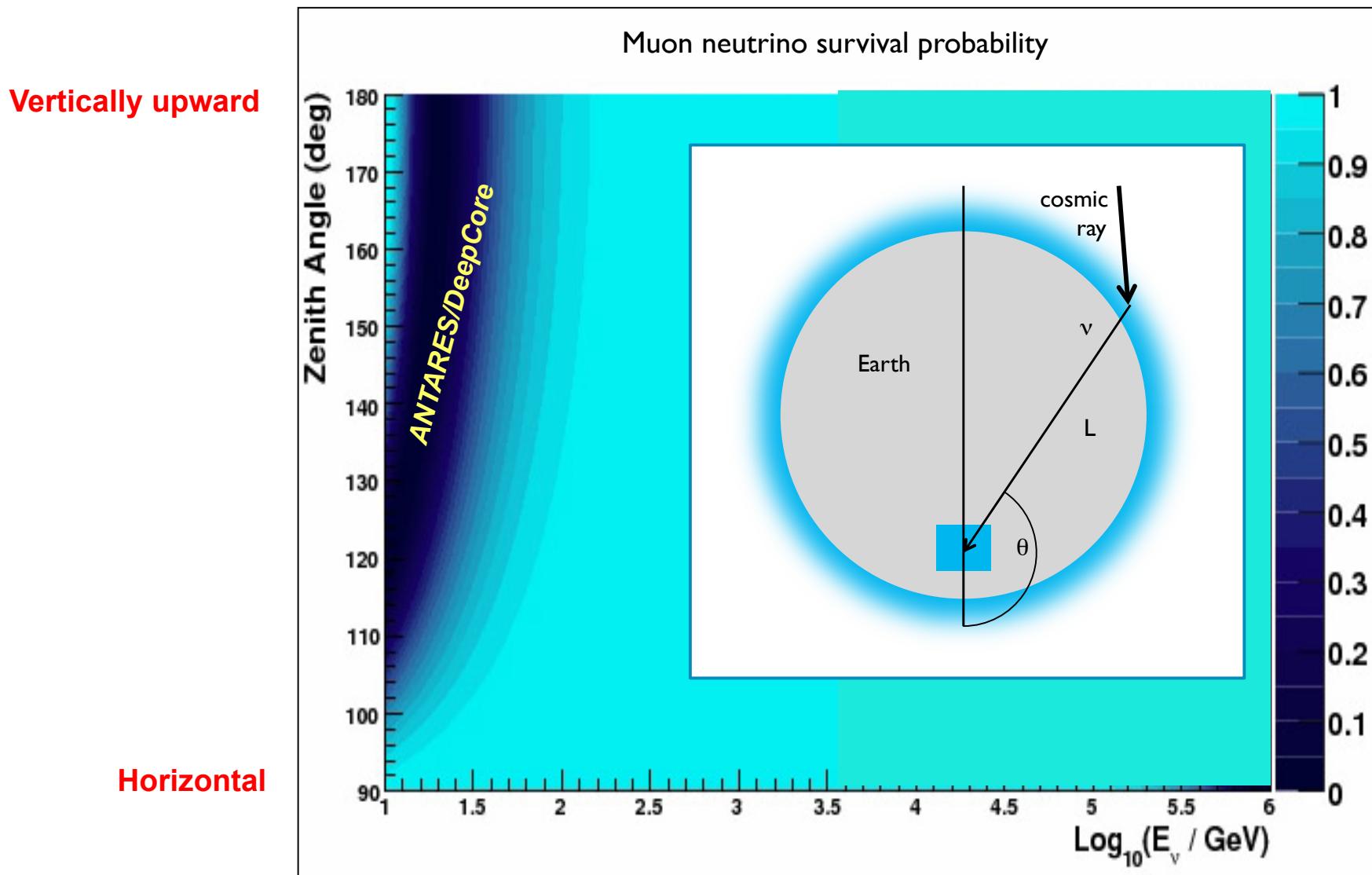
# Atmospheric neutrino spectrum



# Atmospheric neutrinos in IceCube

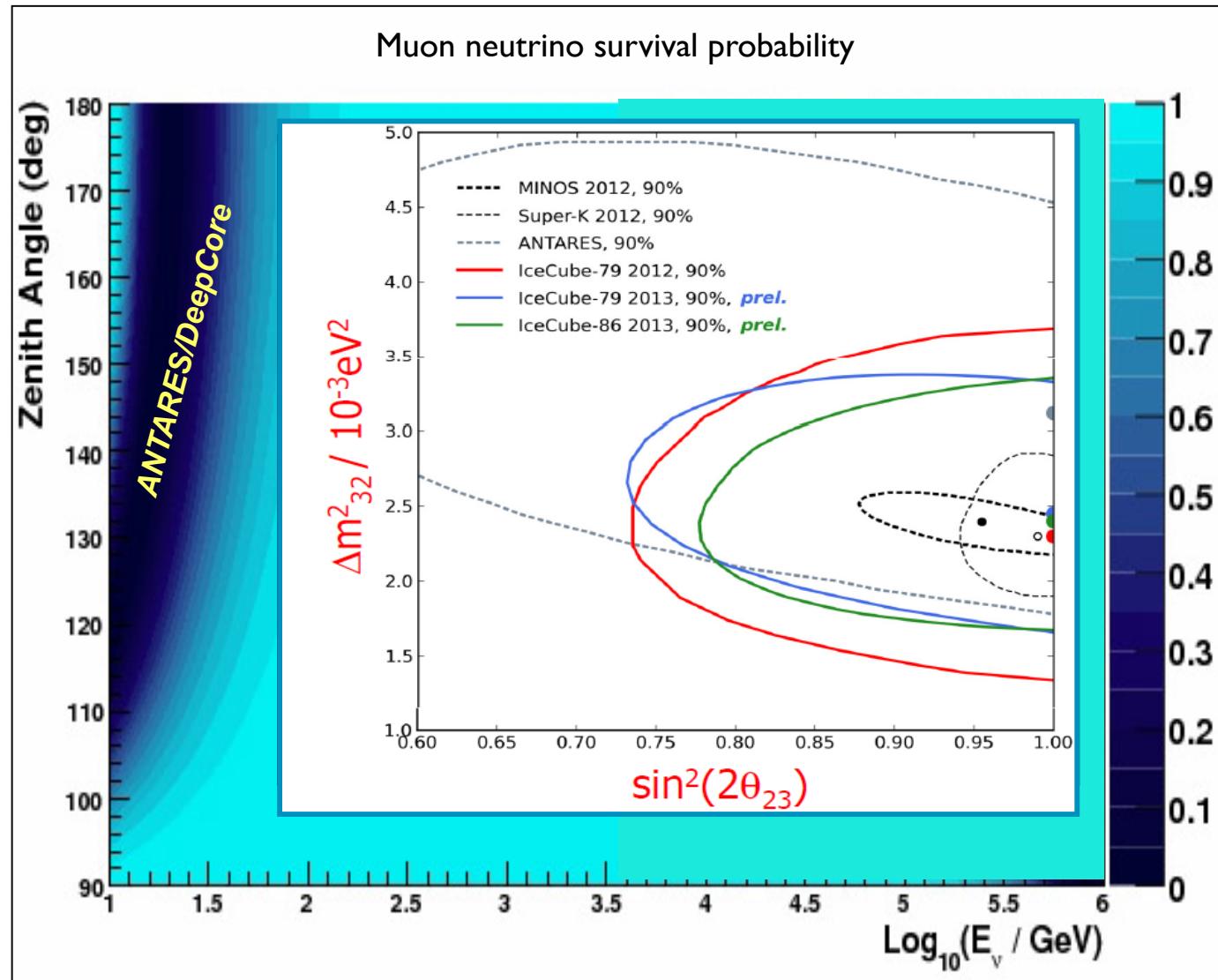


# Oscillations of atmospheric neutrinos



# Oscillations of atmospheric neutrinos

Vertically upward

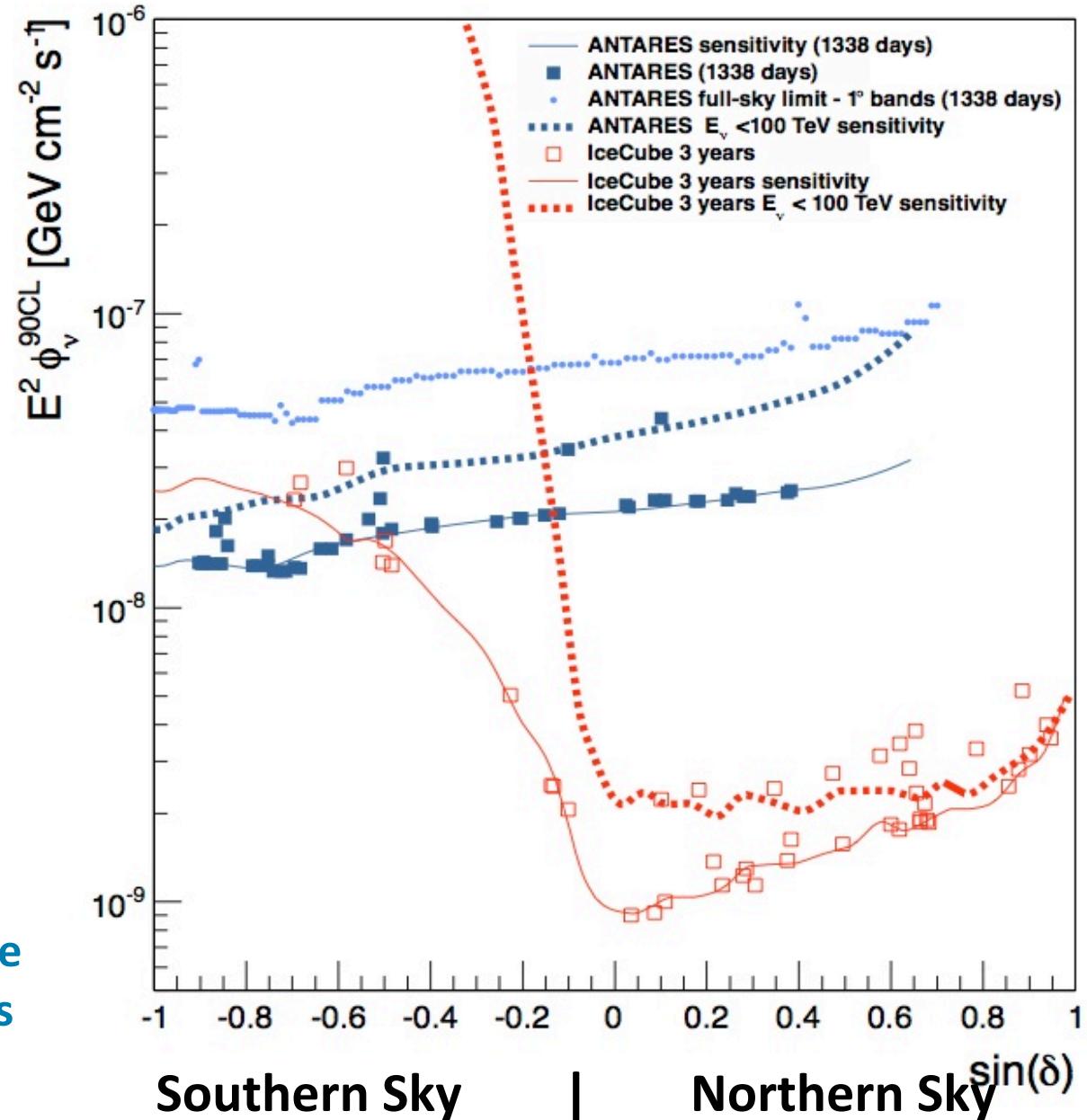


Horizontal

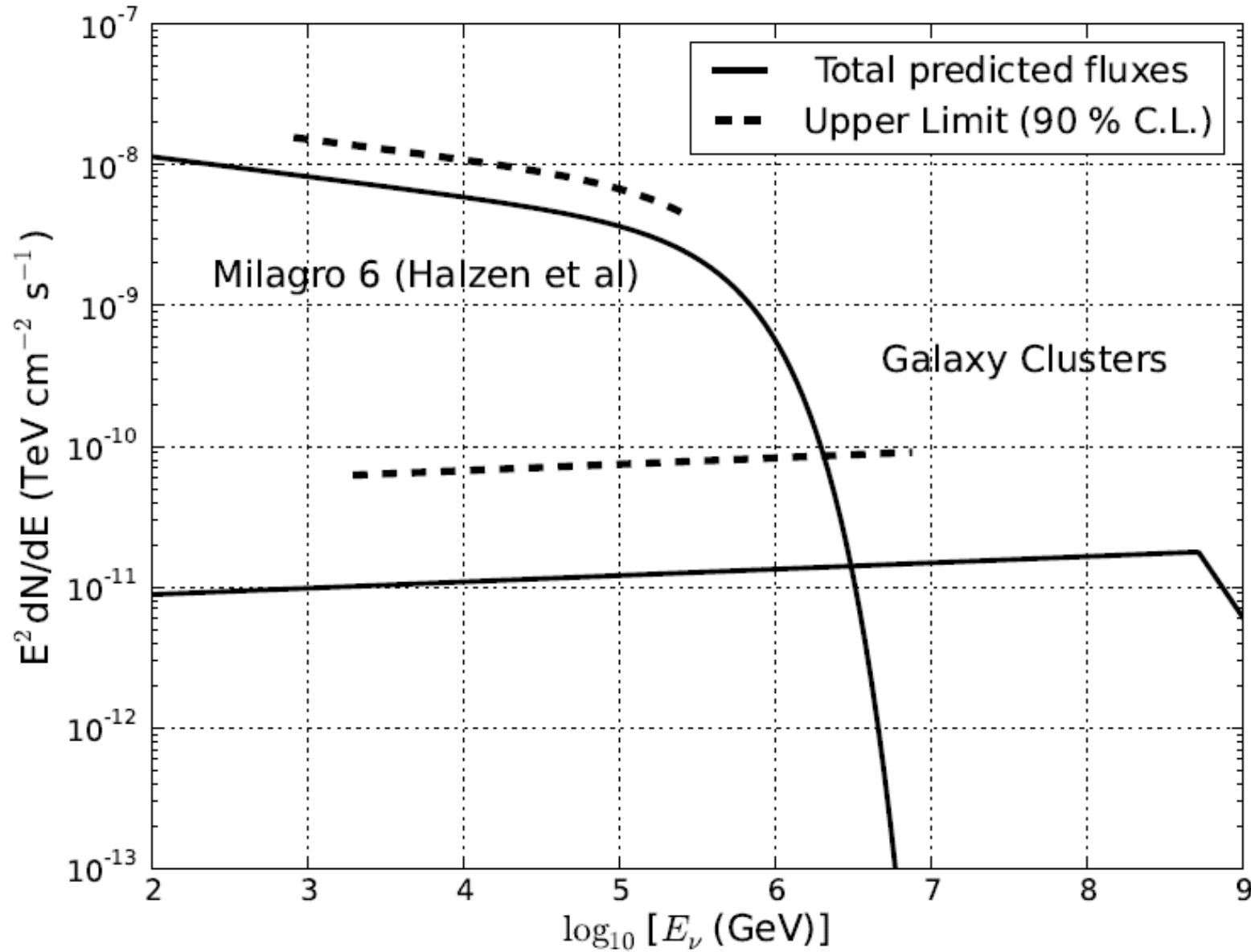
# **SEARCH FOR POINT SOURCES OF EXTRA-TERRESTRIAL NEUTRINOS**

# Limits for steady point sources (assuming $E^{-2}$ spectra)

- Factor 1000 in 12 years
- No detections yet
- ANTARES is best at Southern Sky  
IceCube sensitivity at Southern sky only for cut-off at  $\gg 100$  TeV
- Expect another factor 5 for IceCube in 2016
- KM3Net+GVD would give ~ factor 50 w.r.t. Antares



# IceCube 3 years: upper limits and predictions

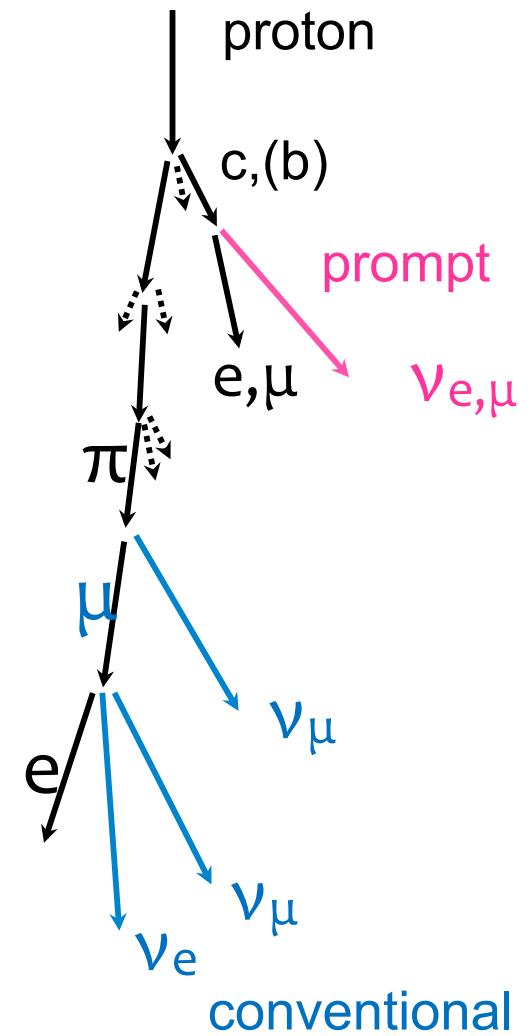
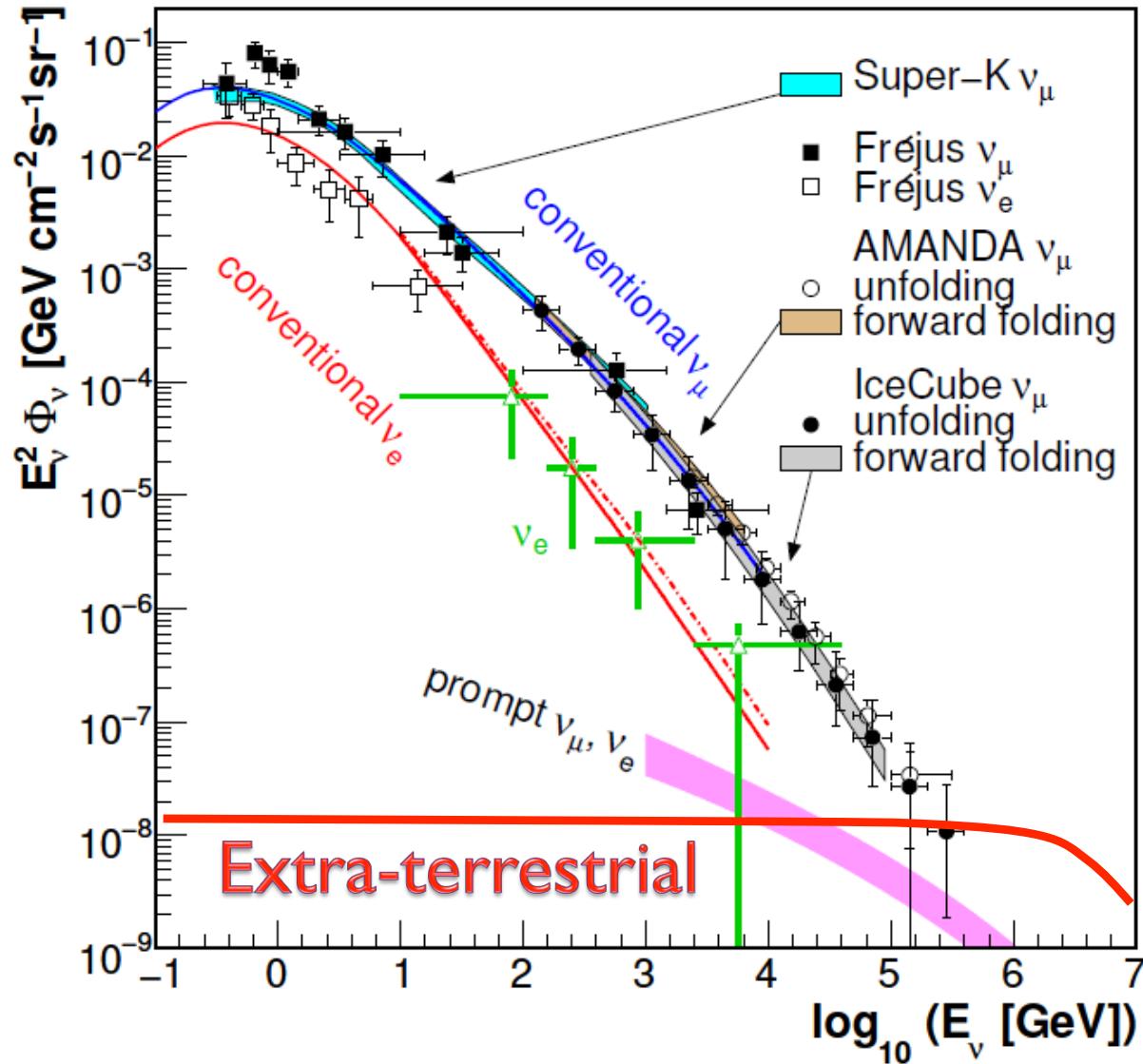


**DIFFUSE FLUX**

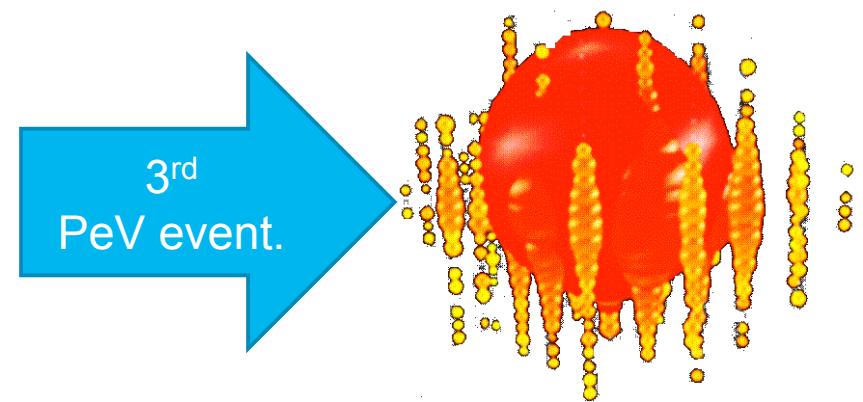
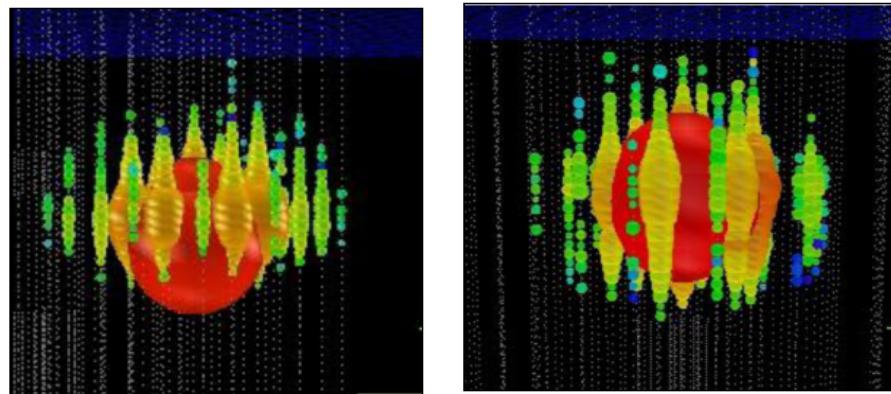
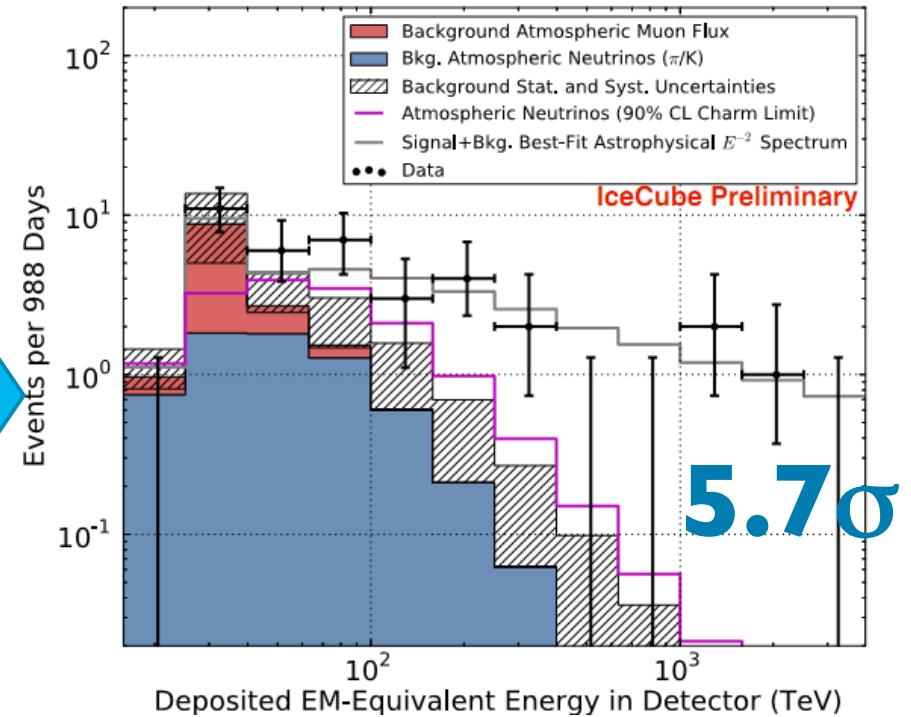
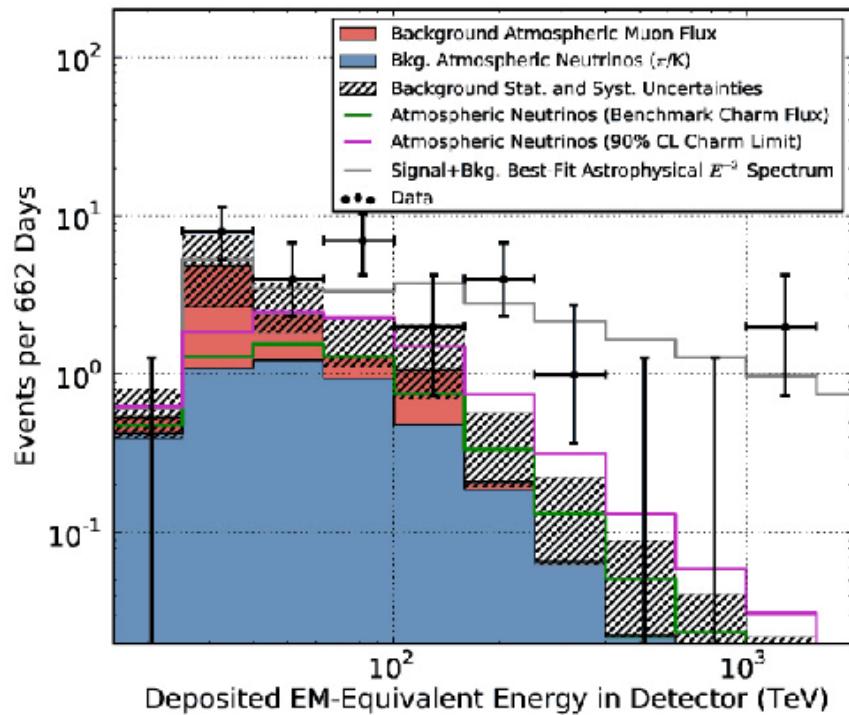
**SEARCH FOR AN EXCESS**

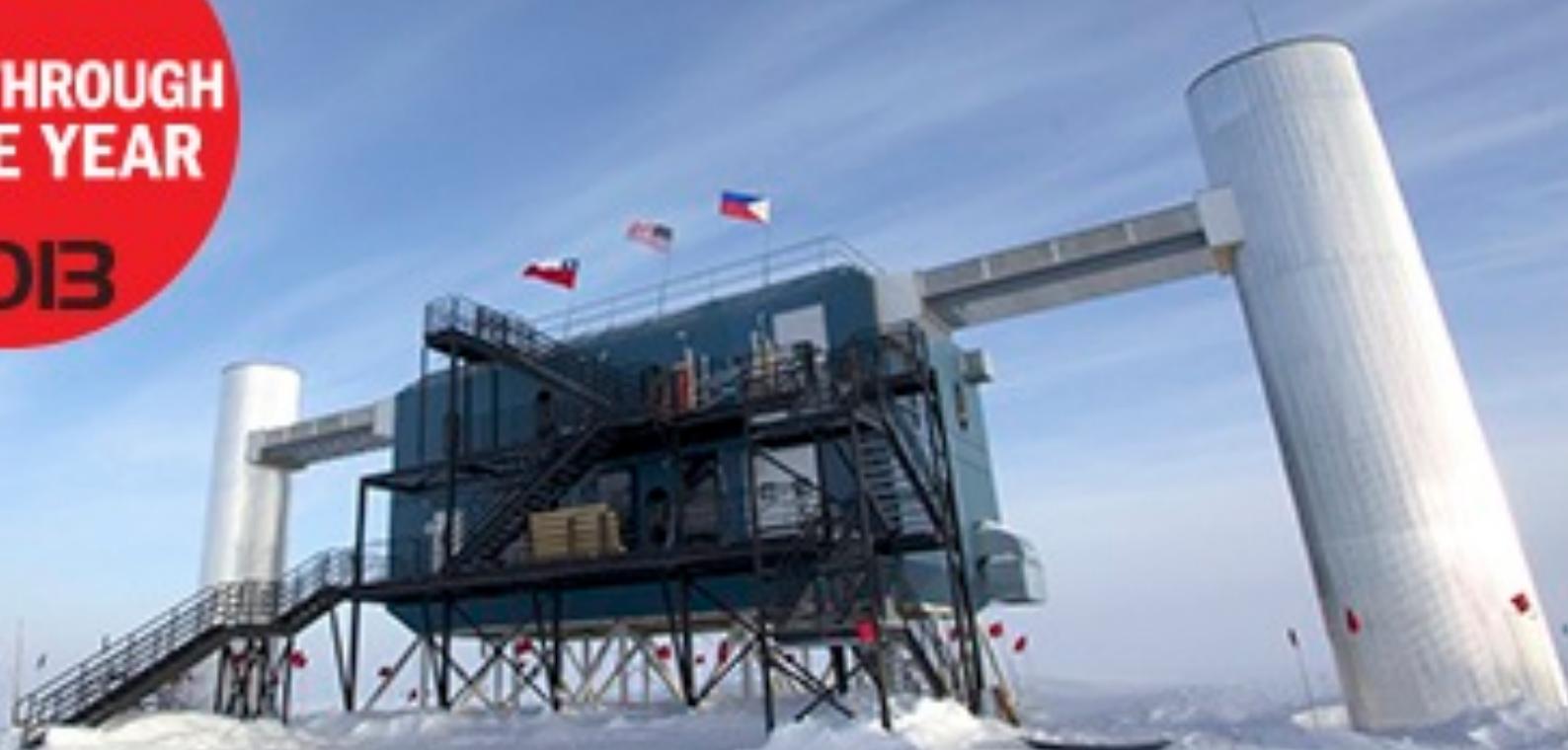
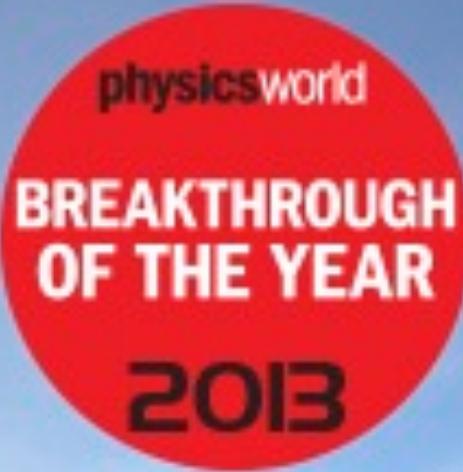
**AT HIGHEST ENERGIES**

# Search for an extraterrestrial diffuse $\nu$ flux

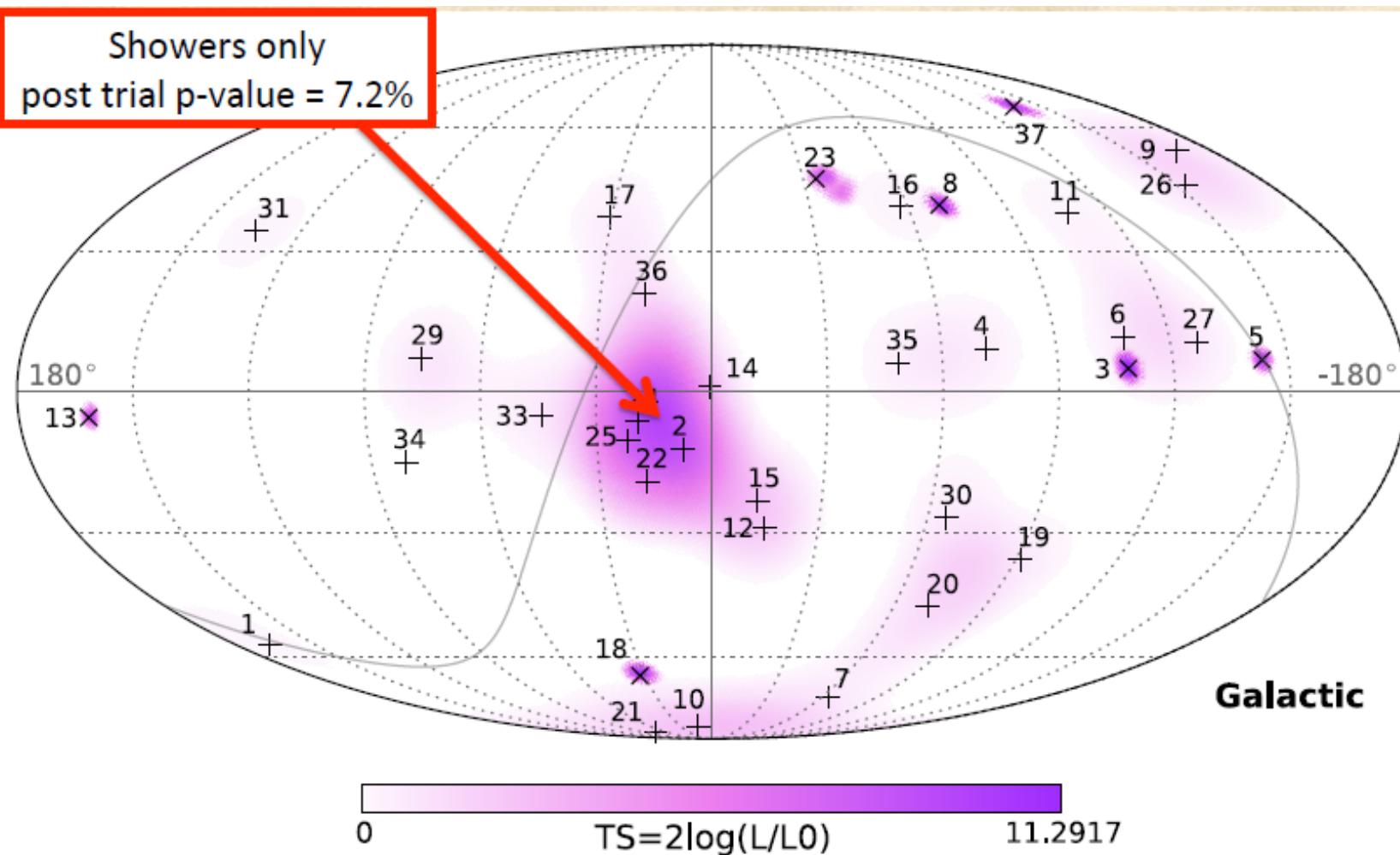


# HESE results IceCube



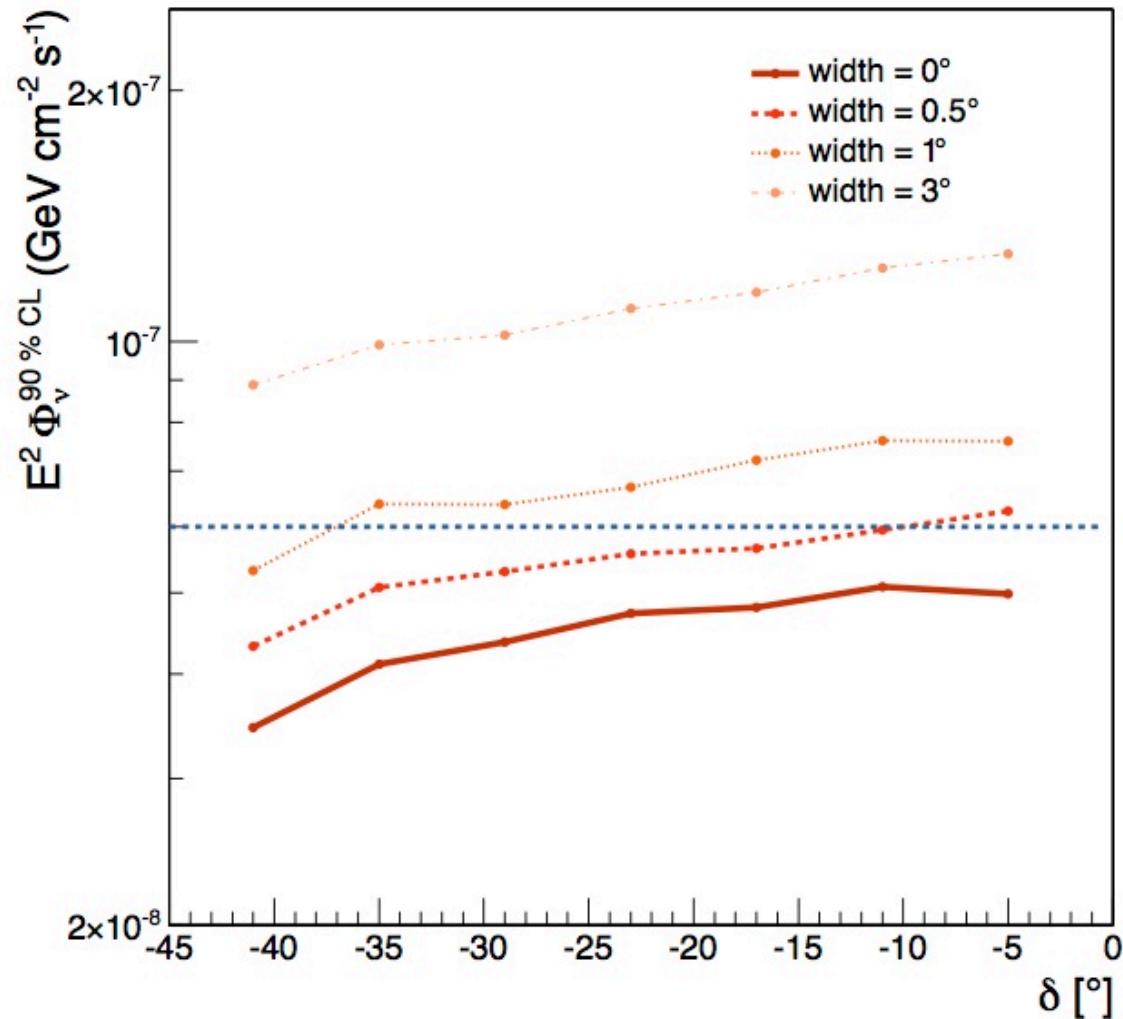


# HESE skyplot, 3 years



Could that be a point source?

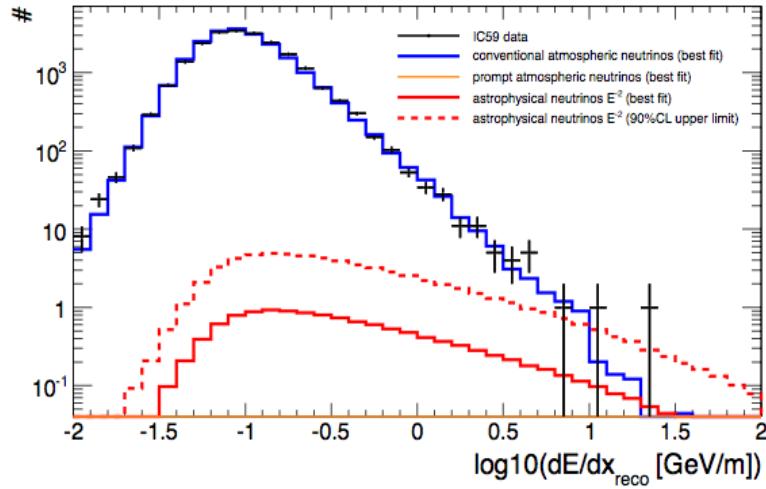
# Importance of Northern detector



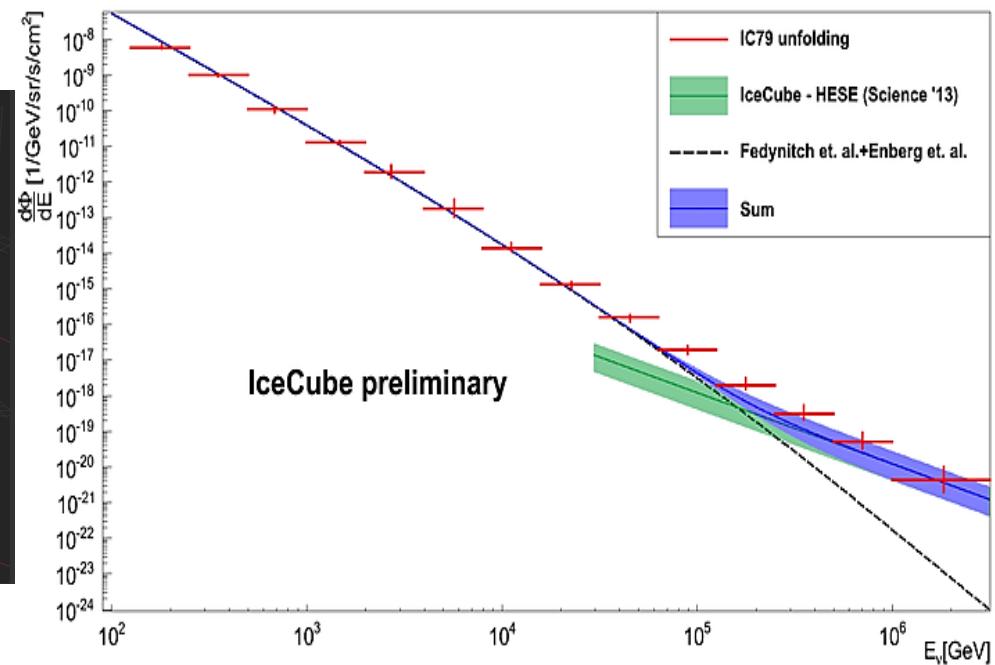
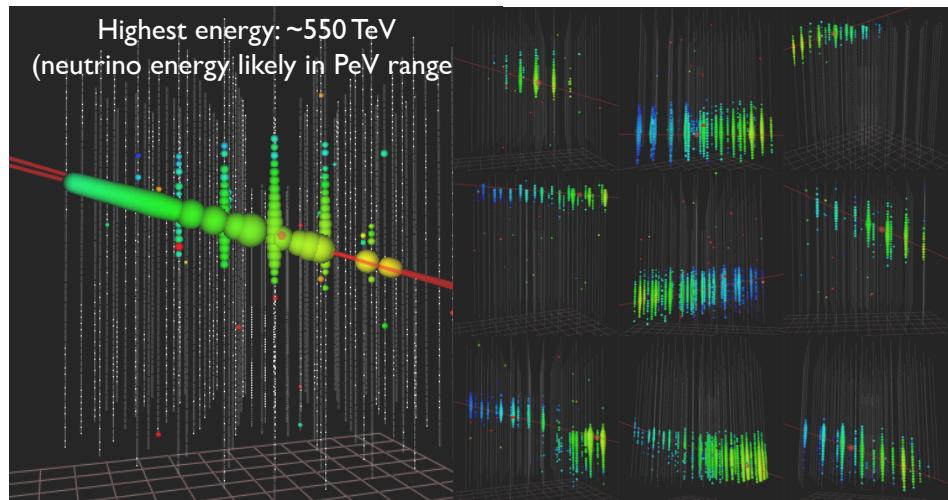
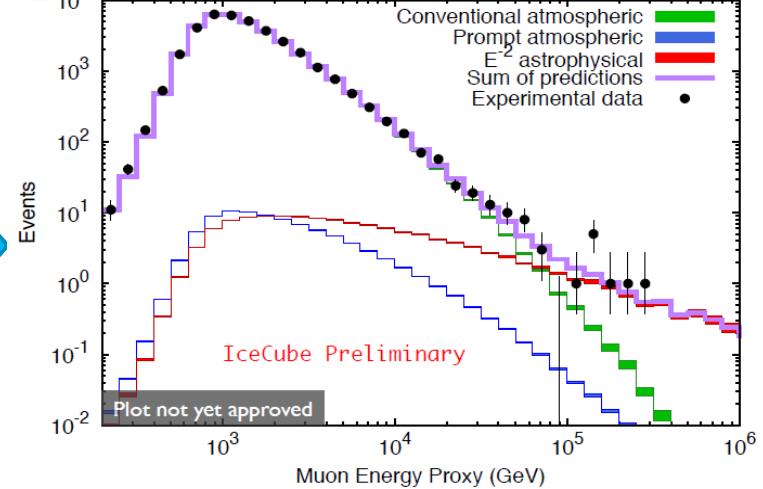
ANTARES, arXiv 1402.6182:  
ceCube accumulation in tension with point-source interpretation

# Through-going muons in IceCube

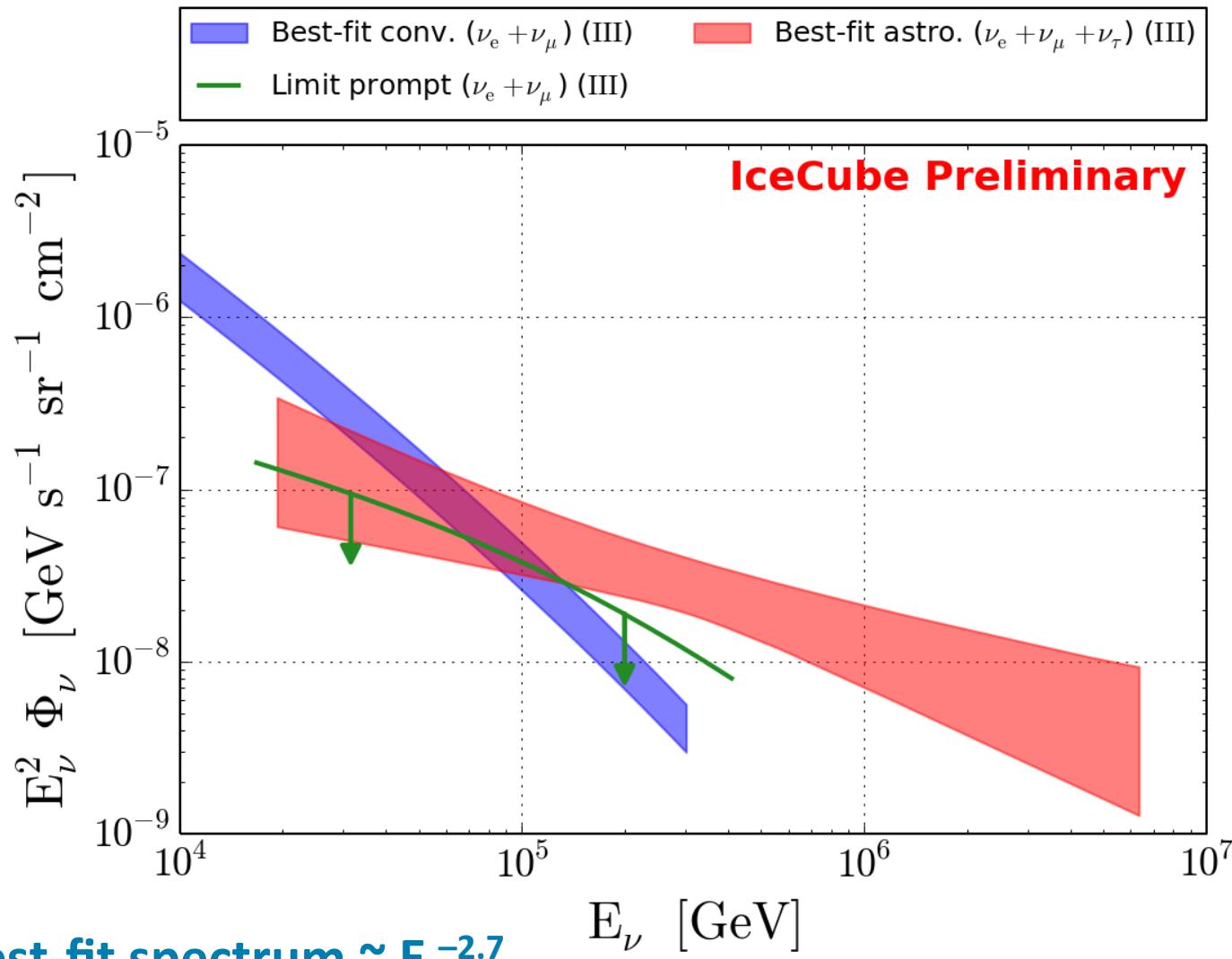
**IC-59:  $1.8\sigma$**



**IC-79:  $3.7\sigma$**



# A global fit to the IceCube results



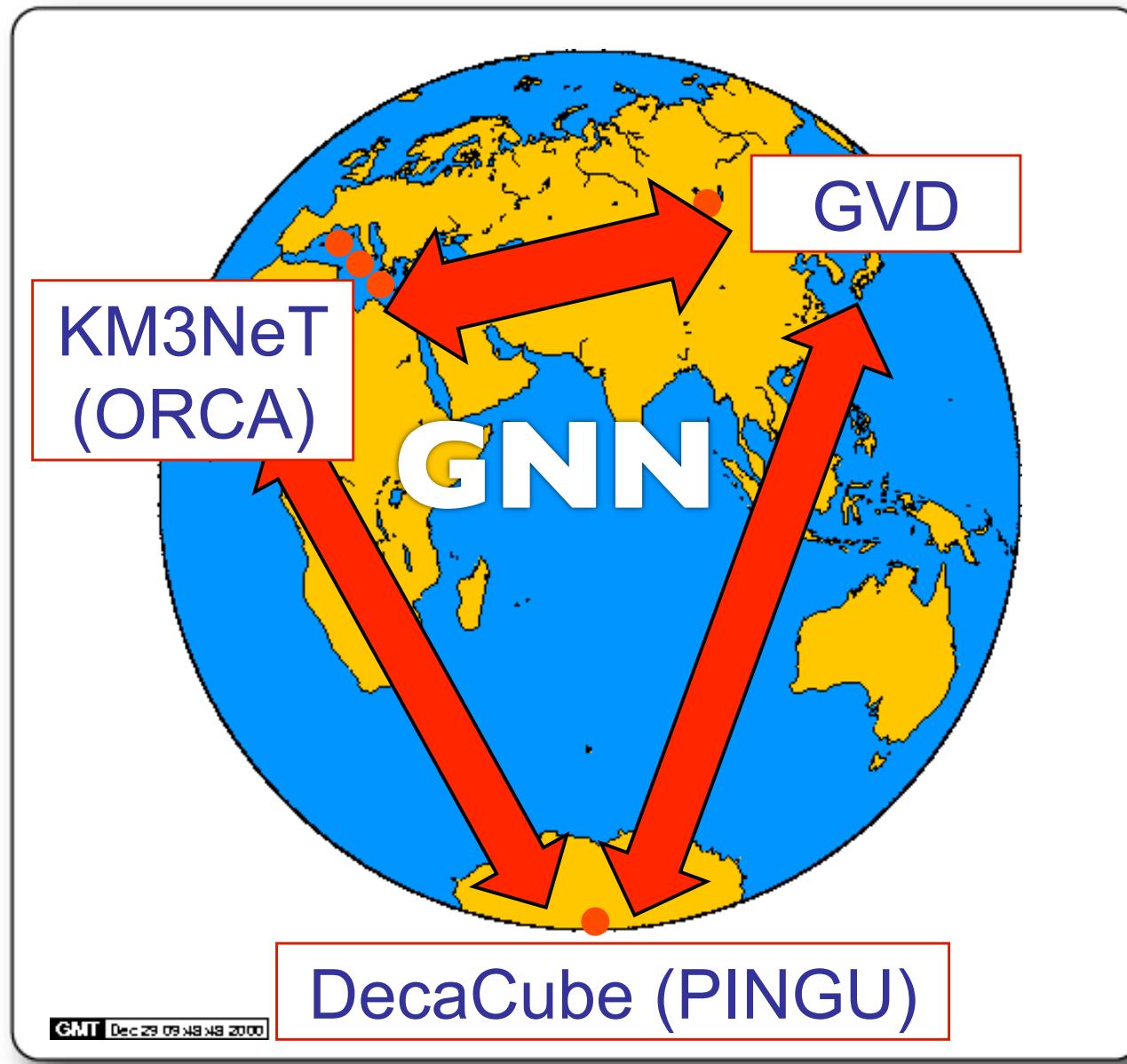
- **Best-fit spectrum  $\sim E^{-2.7}$**
- **$E^{-2}$  requires cut-off at some PeV**

# Interpretations

- Theoretical interpretations
  - Galactic Sources
  - Extragalactic Sources
  - Exotics
  - ...
- Present conclusions still based on poor data. Soon:
  - 4 years HESE
  - More upgarding muon data
  - More cascades
  - Stacking of point sources
- We are entering a period where theoretical speculations can rely on better data, and some useful conclusions could be drawn for the configuration of future detectors

# THE FUTURE PROJECTS

# Baikal, Mediterranean Sea, South Pole



# Rationale for the multi-km<sup>3</sup>scale, South & North

- Identify point sources !
- Measure their spectrum ! } This is „astronomy“
- IceCube is scratching the point source discovery region at best
- Need larger detectors! (How much larger?)
  
- Detectors on the North and South look to different parts of the sky (but with some overlap!)
- Can cover different parts of the spectra of the same sources.
- Ice and water have different systematics. Important for flavor ratios and spectral form, in particular for diffuse fluxes.

# Overview on high-energy projects

- KM3NeT: 6 x 0.6 km<sup>3</sup>
  - Phase 1 (scale ~3 x ANTARES ): complete 2016, funded
  - Phase 1.5 (2 x 0.6 km<sup>3</sup>): complete 2020 positive signals for funding
  - Phase 2 (6 x 0.6 km<sup>3</sup>): complete mid 2020s
- DecaCube („IceCube High-Energy extension“, HEX) 5-10 km<sup>3</sup>
  - Start 2018/19 ?
  - Complete 2027 ? Including PINGU with the 3 first of the 8 seasons
  - Positive first responses from NSF ...
- GVD (Baikal): 0.4 km<sup>3</sup>
  - First of 10 clusters in early 2015
  - Full array in 2020

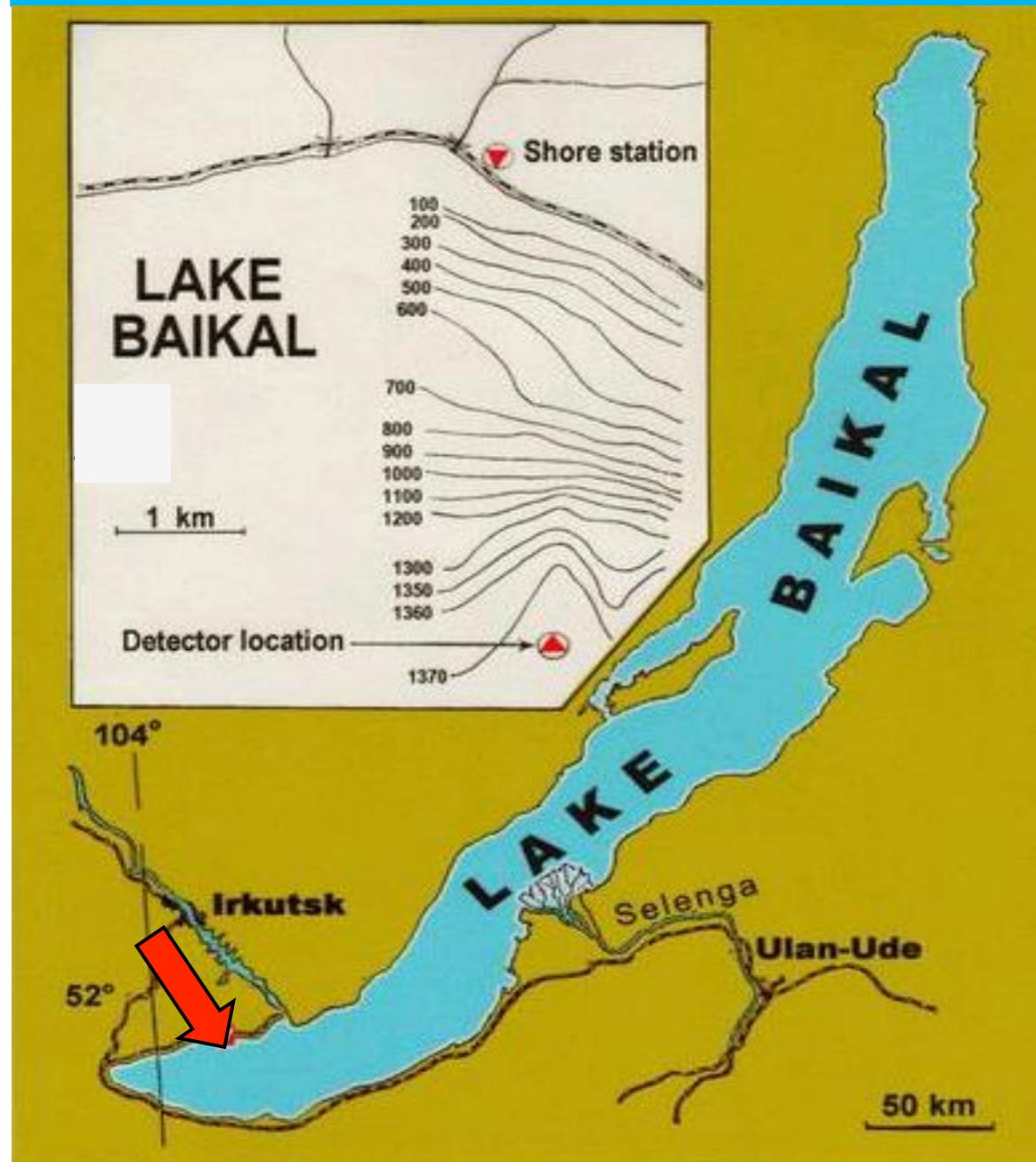
# **GIGATON VOLUME DETECTOR**

# **BAIKAL GVD**



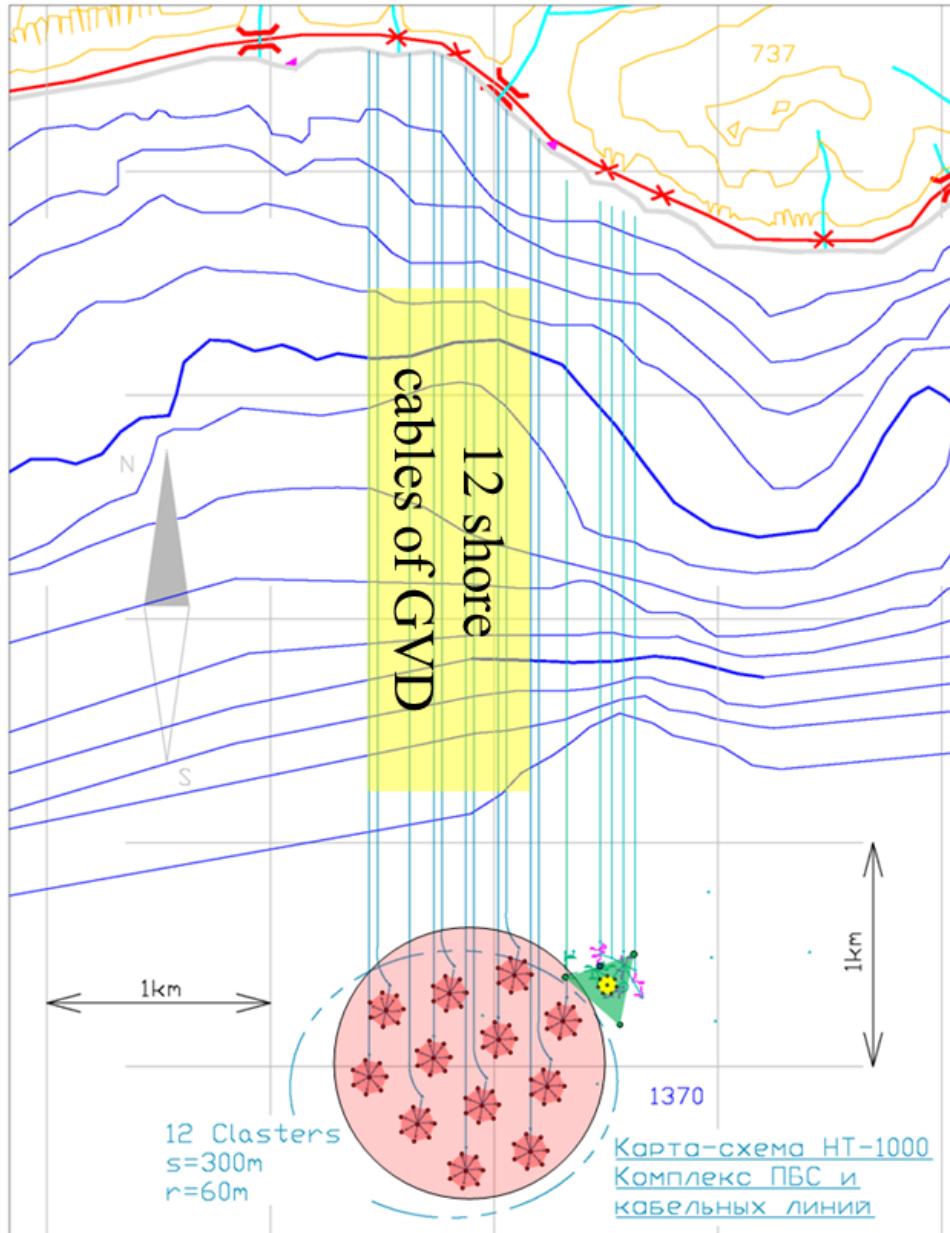
~ 50 authors, role of Dubna increasing  
SAC installed (Berezinsky, de Wolf, Gerhstein, **Rubakov**, Spiering, Spiro)

# Gigaton Volume Detector GVD



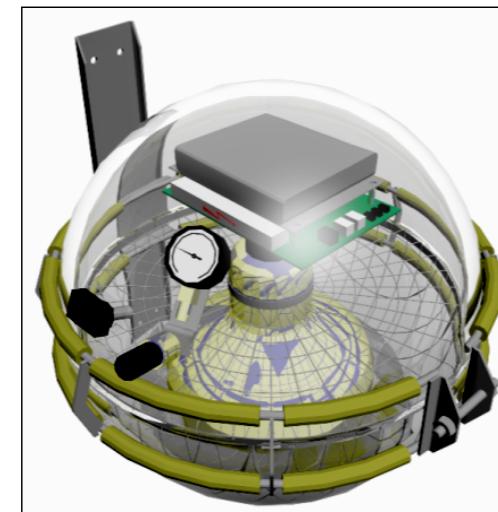
**0.4 to 1.5  $\text{km}^3$**   
**Detector in**  
**Lake Baikal**

# Gigaton Volume Detector GVD



## Stage 1

- 10-12 clusters with 8 strings each
- Cluster diameter 120 m
- Height 375 m
- Volume  $\sim 0.4 \text{ km}^3$
- 24 OMs per string



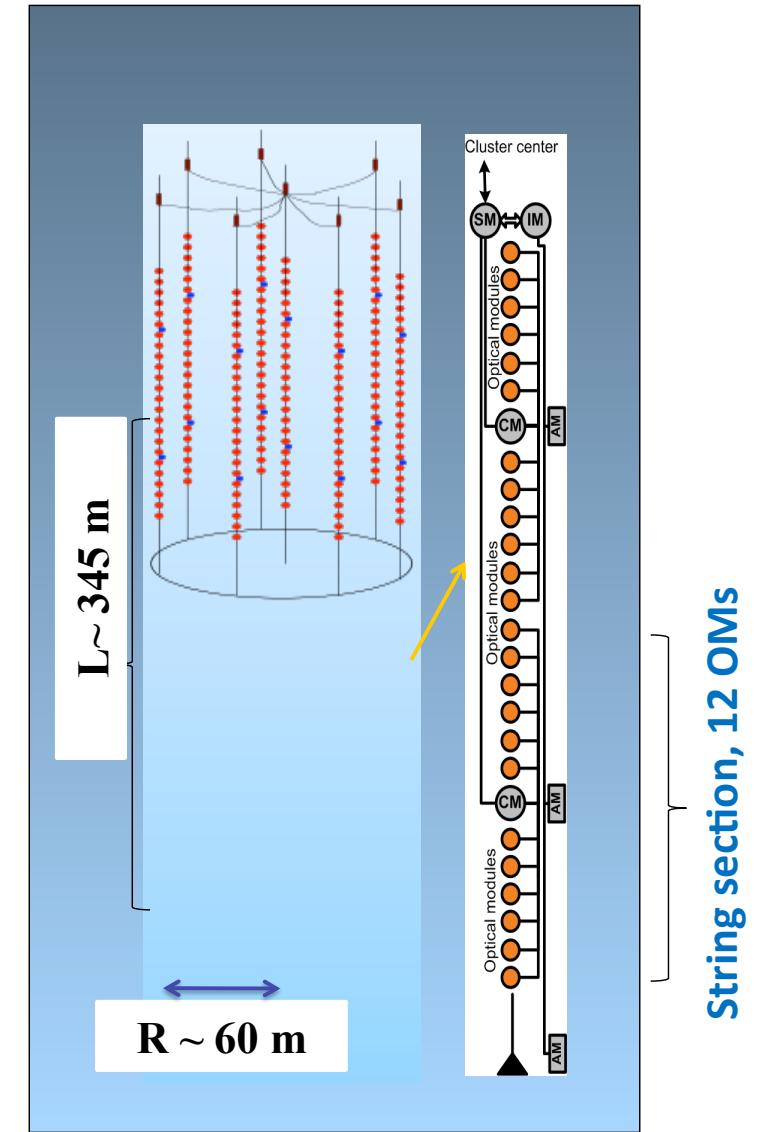
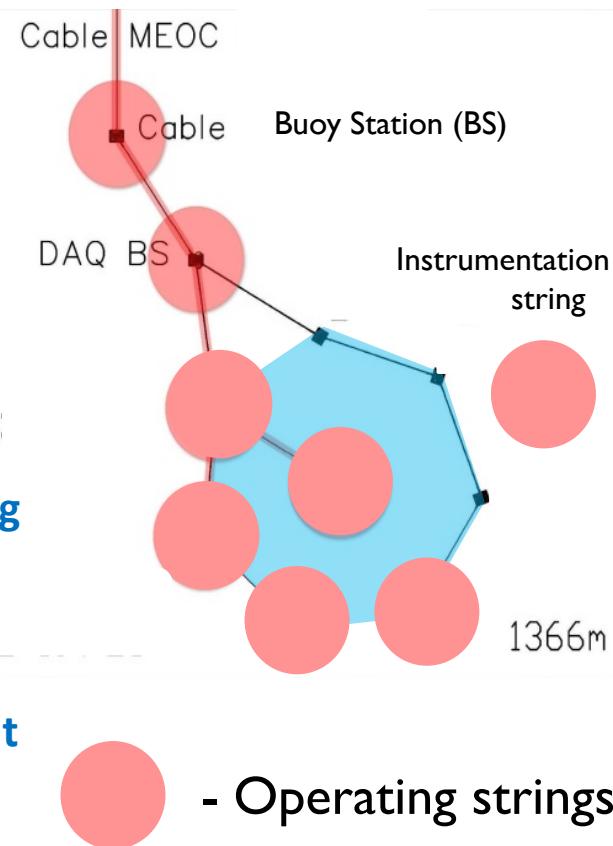
**PMT**  
Hamamatsu  
R7081-HQE  
 $\varnothing = 10''$   
 $\text{QE} \sim 0.35\%$

# Prototype phase 2011-2015:

## demonstration cluster „DUBNA“

- 192 OMs at 8 Strings
  - 2 Sections per String
  - 12 OMIs per Section
- DAQ-Center
- Cable to Shore
- Acoustic Positioning System
- Instrumentation String with detector calibration and environment monitoring equipment
- Active depth 950 – 1300 m

### Layout - 2014



# GVD timeline

## cumulative number of clusters vs. year

Year	2015	2016	2017	2018	2019	2020
baseline	1	1	3	5	7	10
Compressed baseline	1	2	4	7	10	

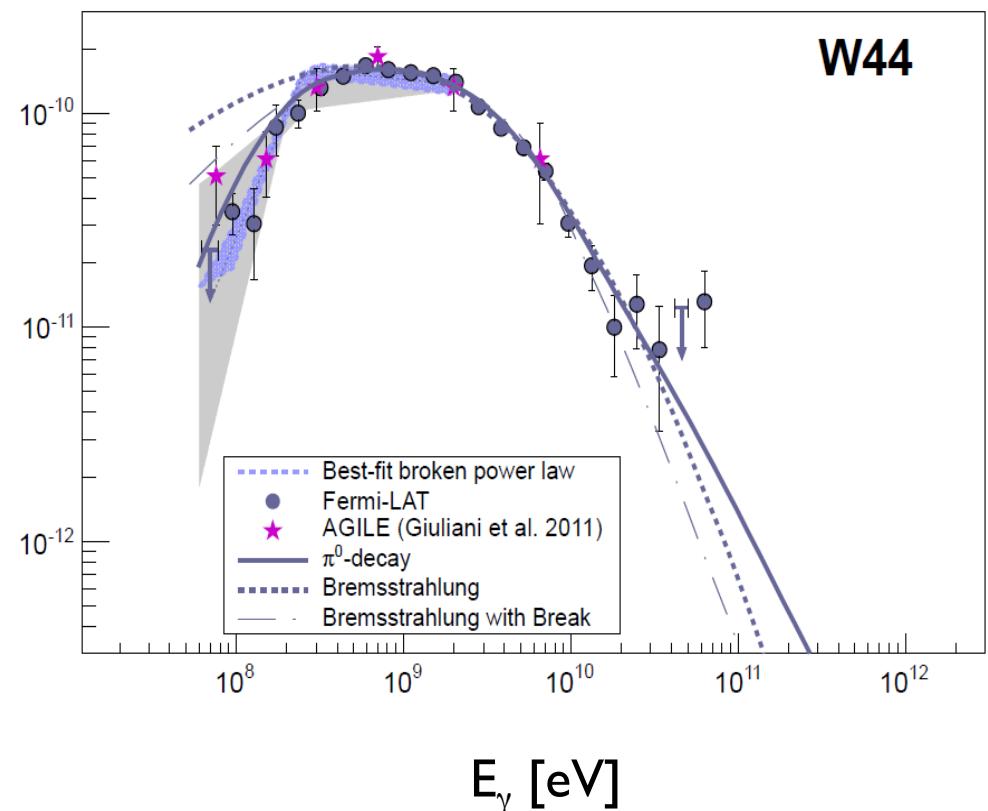
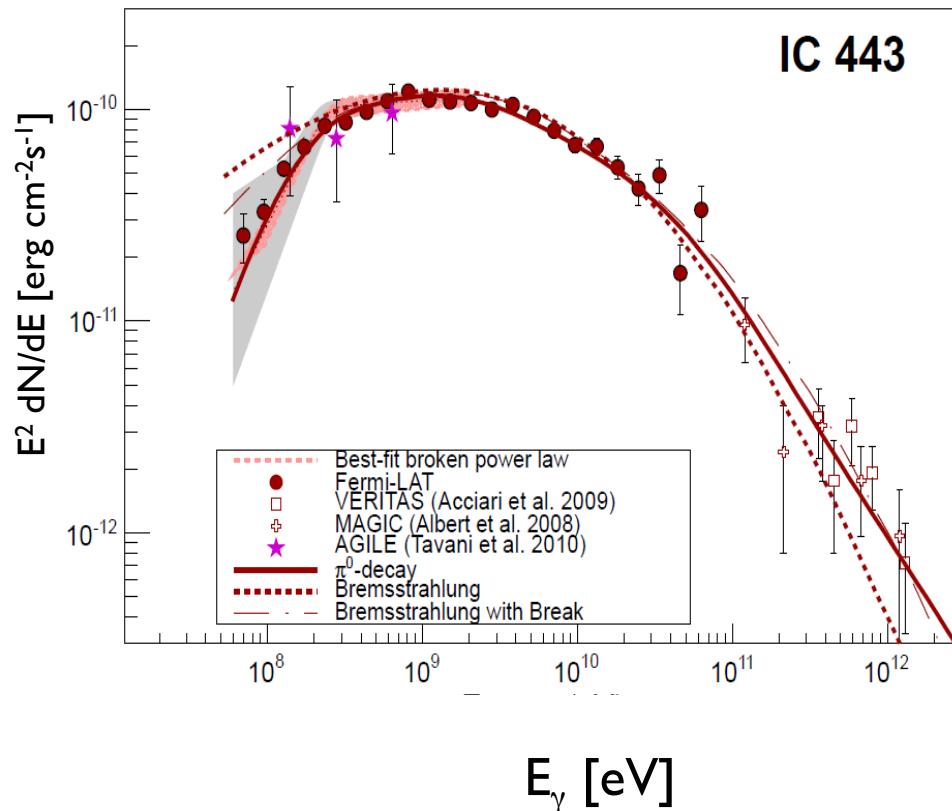
1 cluster = 8 strings = 192 PMT = 1 event (> 100TeV, cascade)/year

Cost of the first cluster (“Dubna”): ~ 2.2 M€

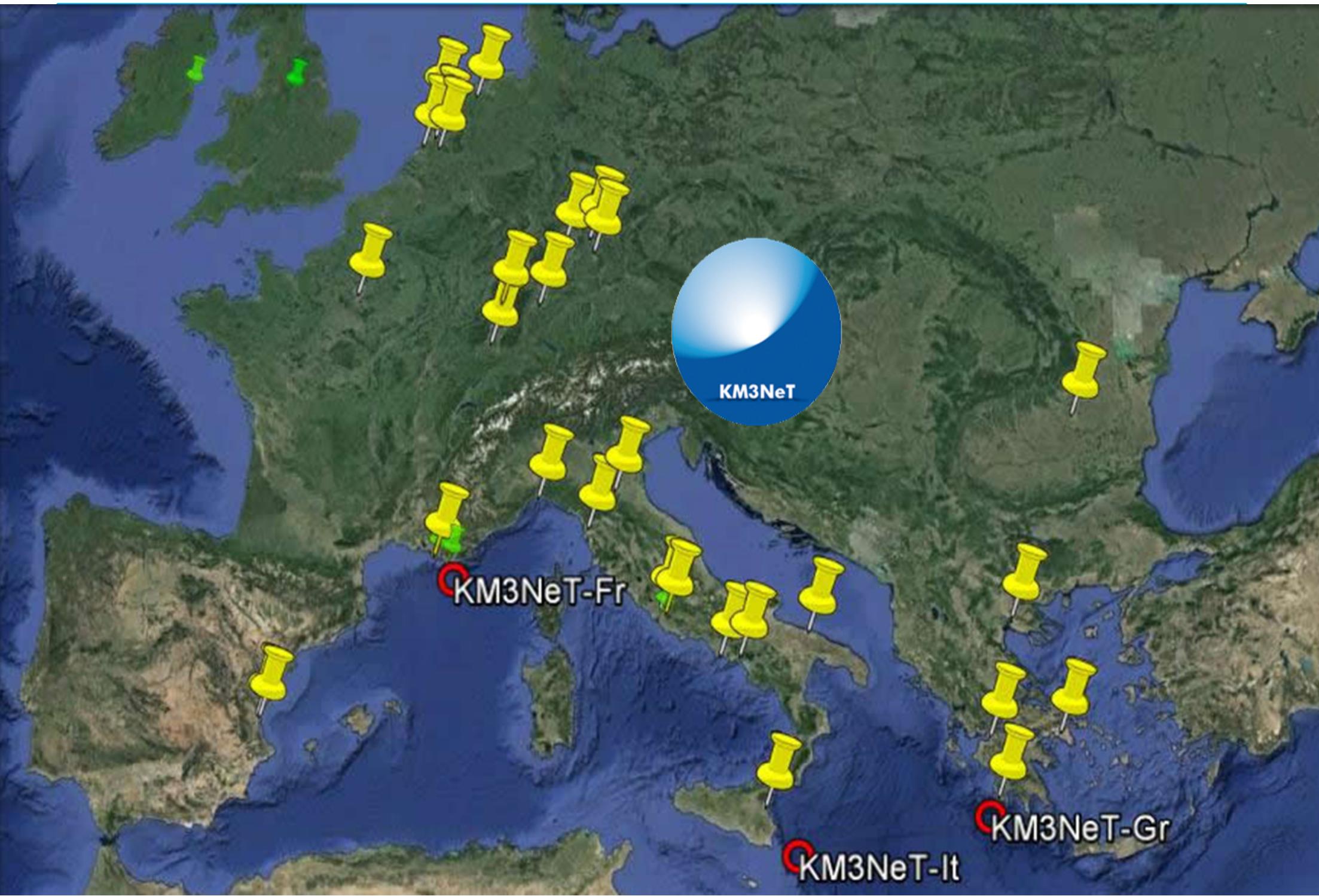
**KM3NET**

# Fermi: encourages Northern detectors w.r.t galactic sources

Science 339 (15-02-2013) 807–811



- Fermi LAT: “*This detection provides direct evidence that cosmic-ray protons are accelerated in SNRs.*”



# KM3NeT



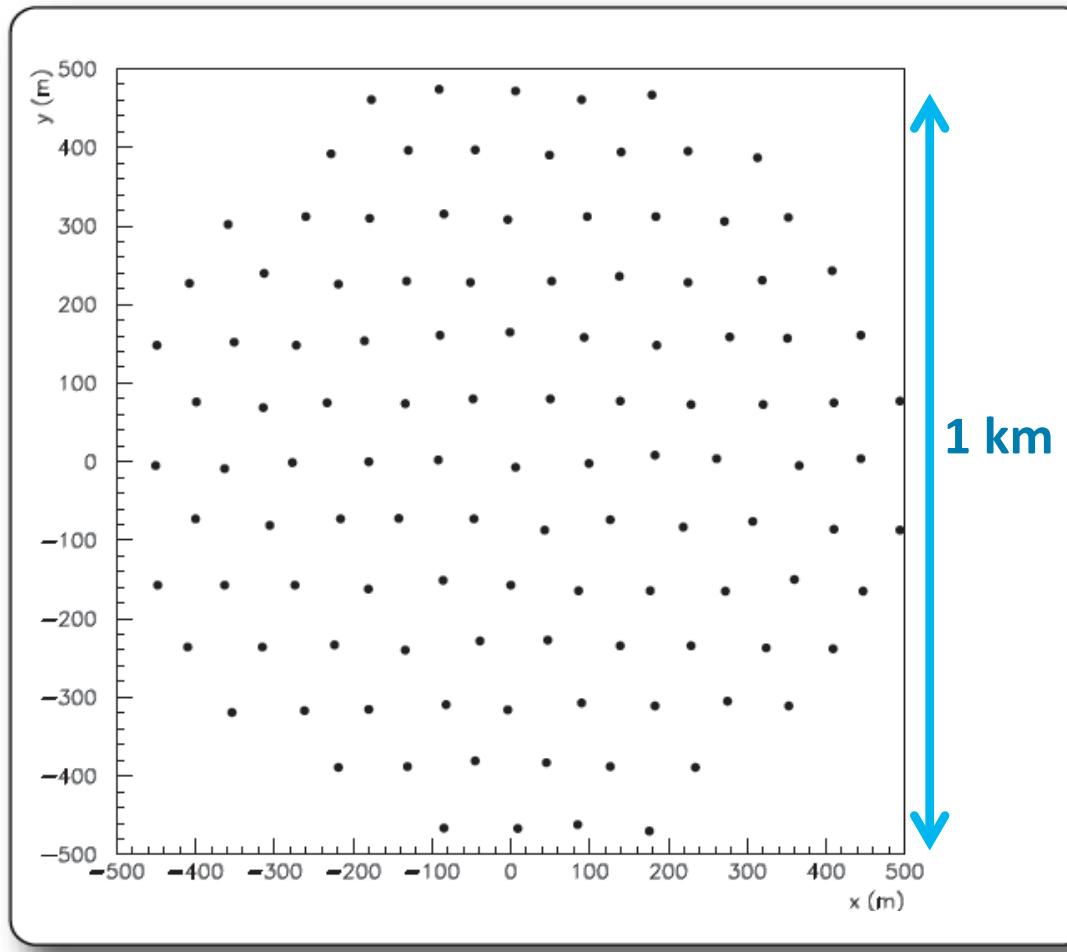
## Distributed infrastructure

- KM3NeT-France (Toulon) ~2500m
- KM3NeT-Italy (Capo Passero) ~3400m
- KM3NeT-Greece (Pylos) ~4500m

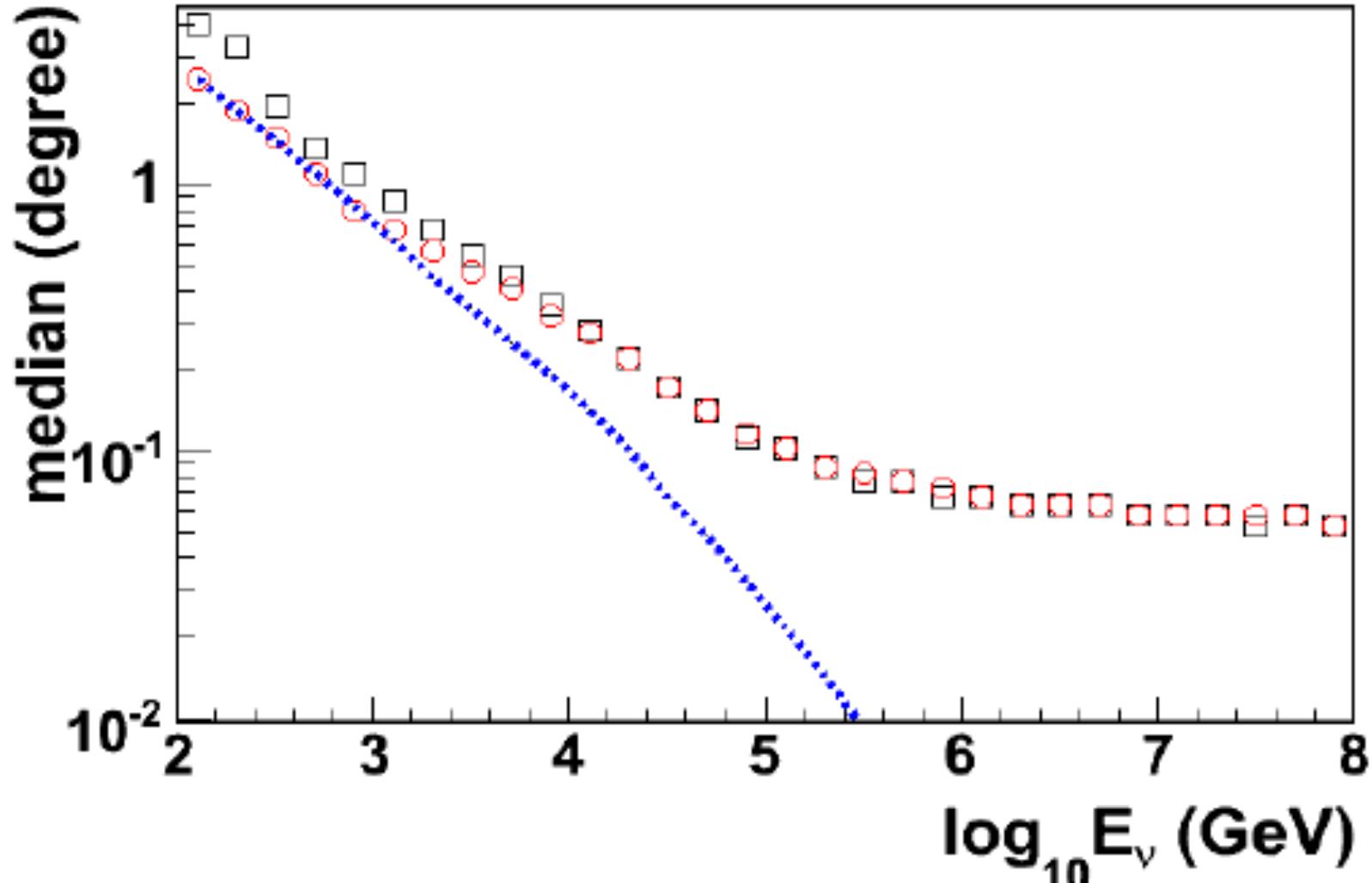
Until 2016: construction of  
KM3NeT phase I detectors in  
France and Italy (~30 M€)

# KM3NeT

- 6 building blocks, 2 per site. Overall volume  $\sim 4 \text{ km}^3$
- 115 strings at 90 m distance
- 18 OM's per string, 36 m vertical distance

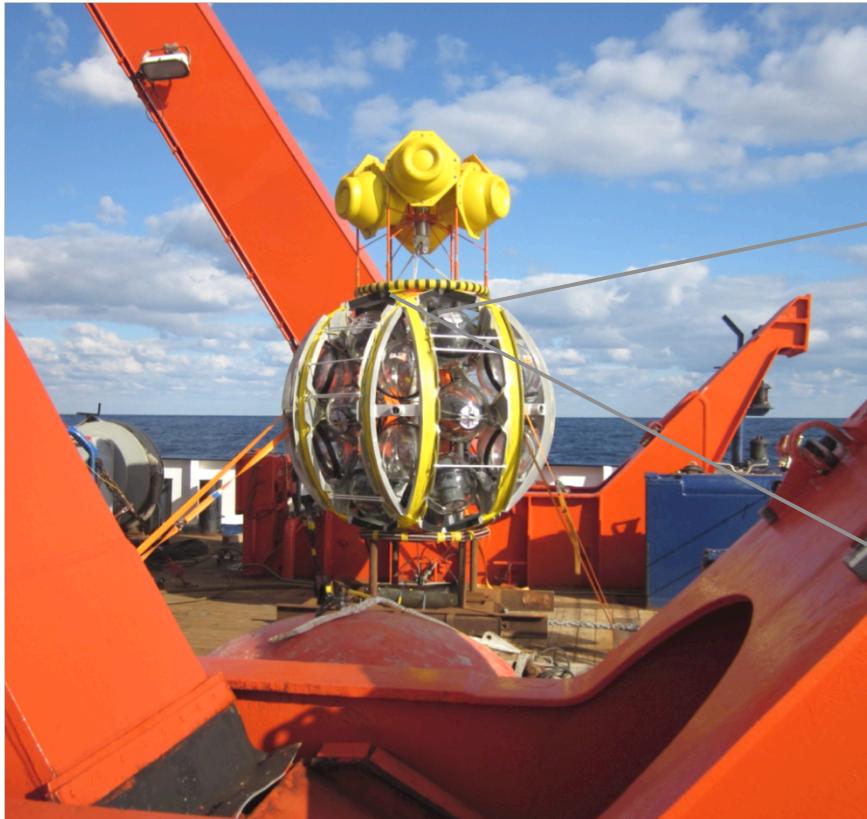


# Muon angular resolution KM3NeT



# Key elements

## Launcher vehicle



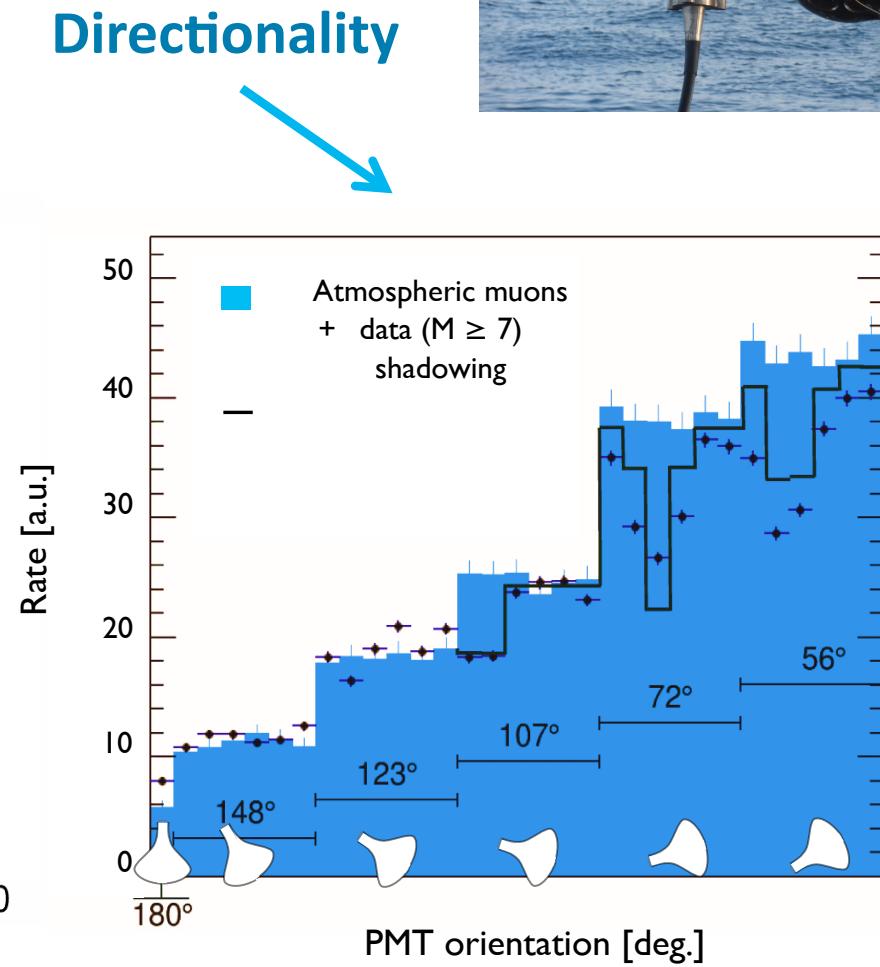
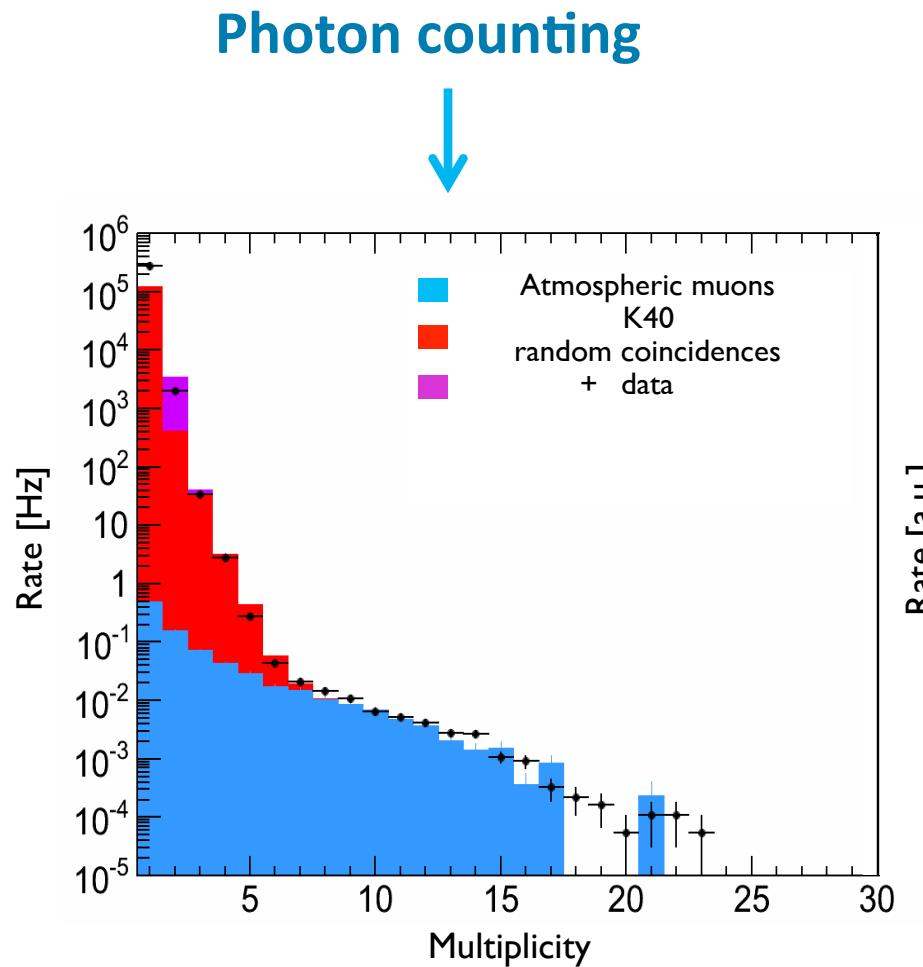
## Optical module



- *rapid deployment*
- *autonomous unfurling*
- *recoverable*

- *31 x 3" PMTs*
- *low-power HV, LED*
- *White Rabbit time synch.*

# In-situ test with prototype DOM



# Management

■ EU funded Design Study                   **2006–2009**

■ EU funded Preparatory Phase           **2008–2012**

■ KM3NeT phase-1                           **2013–20XX**

✓ **established collaboration**

– 40 institutes, more than 240 persons

✓ **elected central management**

✓ **set up project organisation**

– prototyping, cost review, planning, QA/QC

✓ **drafted Memorandum of Understanding**

– investments, facilities, human resources, access policy

✓ **set up Resources Review Board**

✓ **set up Scientific & Technical Advisory Committee**

✓ **Technical design**

**agreed technology is part of existing CDR and TDR**

- updates ongoing following PRRs

# Cost

- **Total investment costs 220–250 M€**
  - conform with ESFRI roadmap (2006) and TDR (2010)
  - includes contingency of 10%, provided no VAT

- **Operational costs 3% of investment per year**

- **Operation > 15 years.**

- **Cost of 1 string  
incl. PMT ~ 230 k€**  
+ Interlink cables,  
deployment and  
connections ~ 90k€

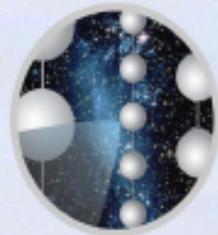


- Shore station (incl. computing)
- Deep-sea cable network
- Deployments
- Strings (without PMTs)
- PMTs (incl. base and lens)

# Summary on the phases of KM3NeT

Phase	Total cost [M€]	Primary deliverable Size	Status
1	31	Proof of feasibility of network of distributed neutrino telescope  ~ 3 x ANTARES	Funded
1.5	80–90	Measurement of neutrino signal reported by IceCube  ~ 1 x IceCube	Letter of Intent <sup>¶</sup>
2	220–250	Neutrino astronomy  4-5 x IceCube	ESFRI road map

**SOUTH POLE**



# The IceCube Collaboration

43 Institutions  
~220 collaborators



## Funding Agencies

Fonds de la Recherche Scientifique (FRS-FNRS)  
Fonds Wetenschappelijk Onderzoek-Vlaanderen (FWO-Vlaanderen)  
Federal Ministry of Education & Research (BMBF)  
German Research Foundation (DFG)

Deutsches Elektronen-Synchrotron (DESY)  
Japan Society for the Promotion of Science (JSPS)  
Knut and Alice Wallenberg Foundation  
Swedish Polar Research Secretariat  
The Swedish Research Council (VR)

University of Wisconsin Alumni Research Foundation (WARF)  
US National Science Foundation (NSF)

# South Pole infrastructure for neutrino (astro-)physics

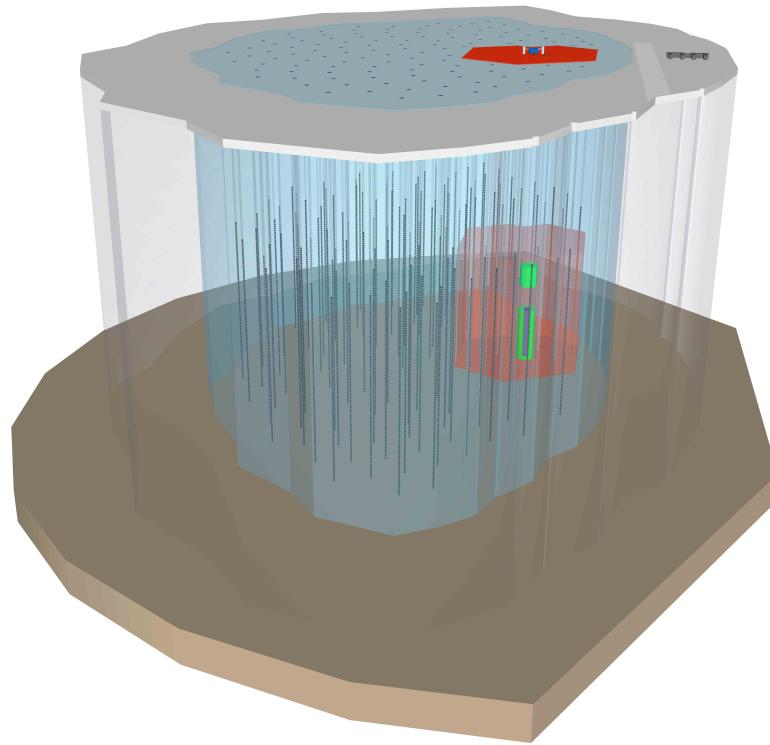
Device	Volume	Threshold	Primary Goal
■ PINGU	few Mton	2-3 GeV	$\nu$ mass hierarchy
■ DecaCube*	5-10 km <sup>3</sup>	~10 TeV	$\nu$ astronomy cosmogenic neutrinos
■ Surface veto	~120 km <sup>2</sup>		veto for IceCube CR physics
■ ARA	~120 km <sup>2</sup>	~50 PeV	cosmogenic neutrinos

\* including IceCube (1 km<sup>3</sup> with 100 GeV threshold)

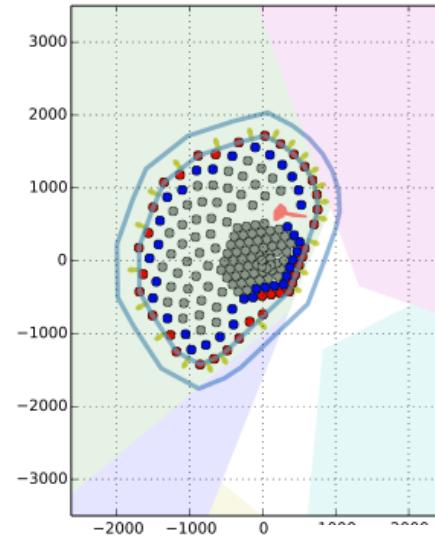
# **DECACUBE**

## **(ICECUBE – HEX)**

# DecaCube

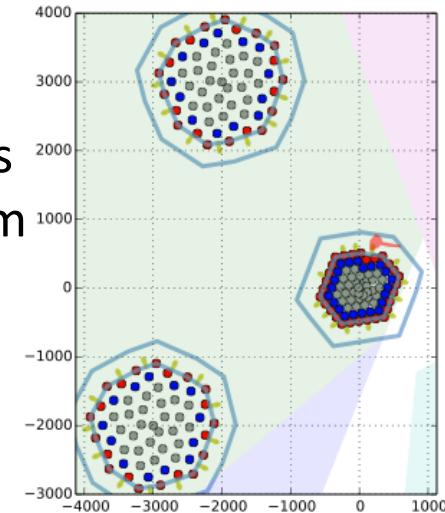


- ~ 100 strings
- + surface veto detector
- + PINGU for oscillations (40 strings)
- Start 2018/19?

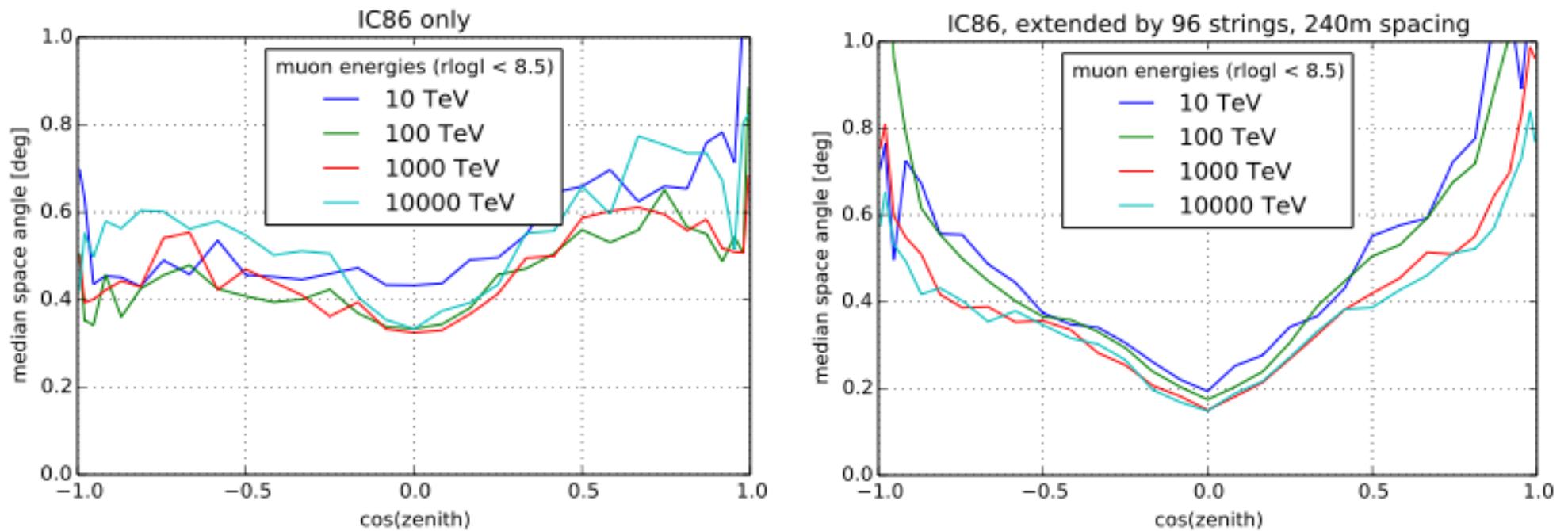


IceCube + 96 strings  
Spacing 240 m

IceCube +  
2 x 60 strings  
spacing 240 m

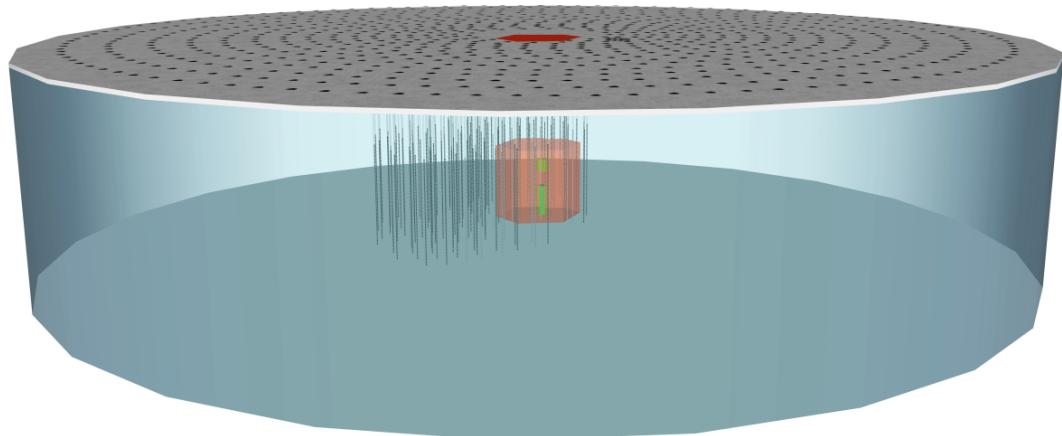


# Angular resolution

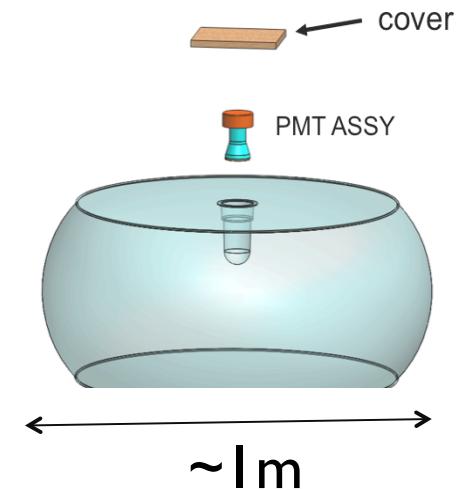


# A surface veto

up to  $\sim 120 \text{ km}^2$  and 1000 modules



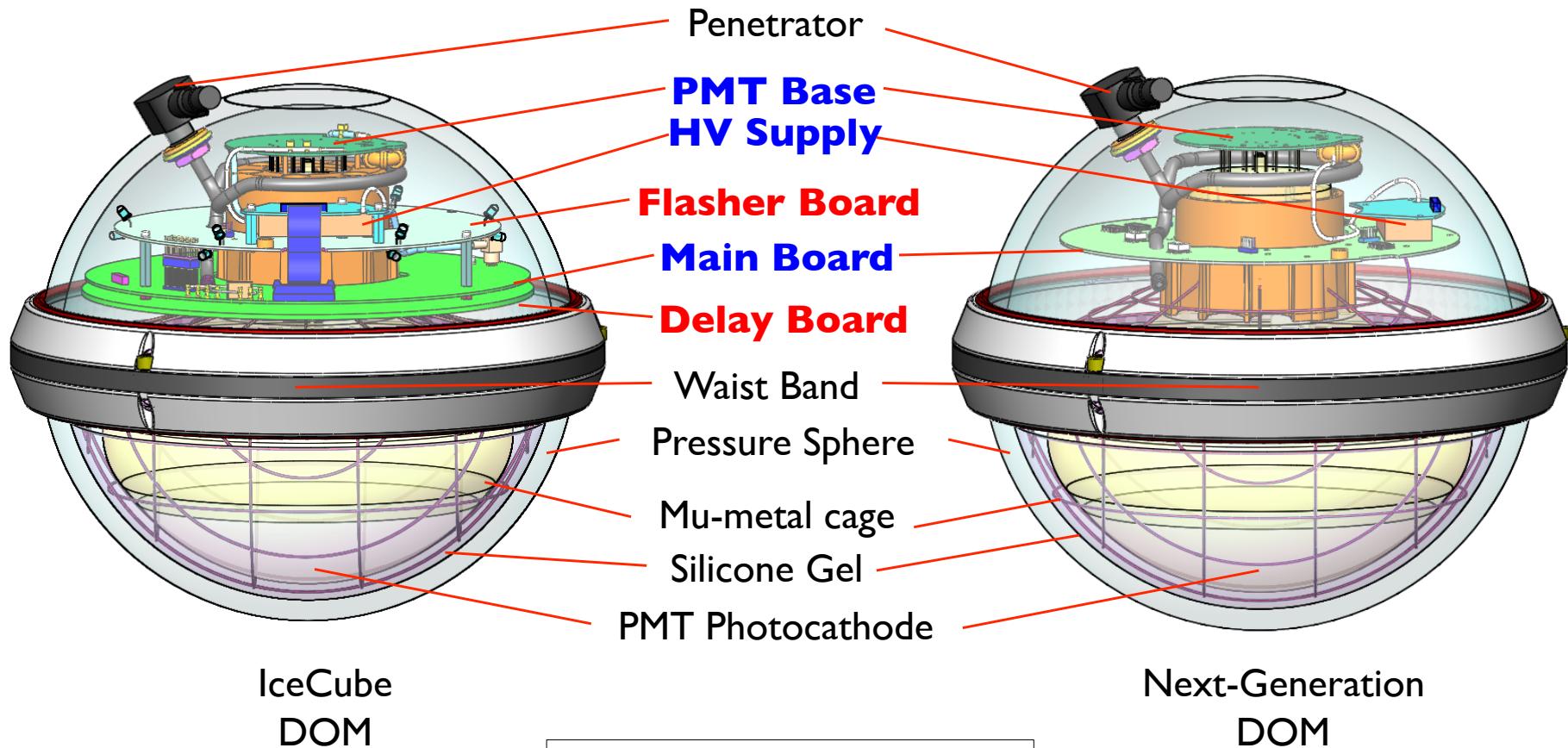
R&D under way



- >100 TeV: Earth opaque
- Expect most of the signal from above
- Veto could give 50-75% more events above 100 TeV (averaged over  $4\pi$ )
- Galactic center !
- CR physics somewhere between  $1 \text{ km}^2$  and  $3000 \text{ km}^3$

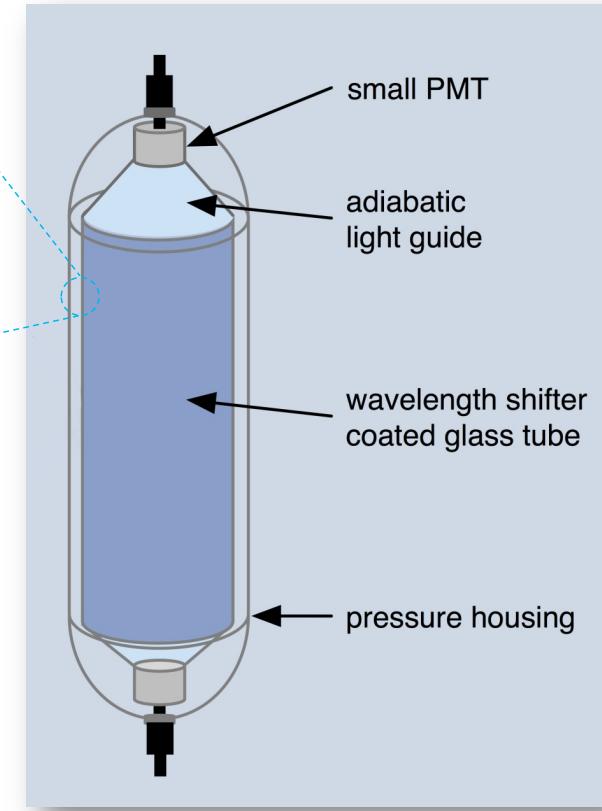
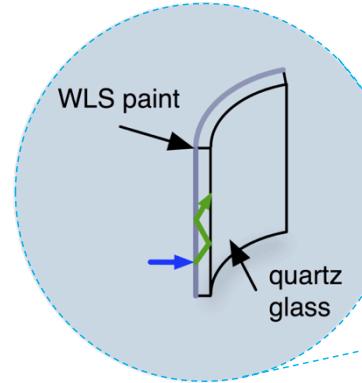
# Next Generation DOM

## Assumption for baseline



**KEY:**  
Component identical  
**Component eliminated**  
**Component redesigned**

# R&D on alternative DOMs



- ▶ 41× 3" PMTs
- ▶ fits into standard IceCube bore holes
- ▶ based on proven KM3NeT design
- ▶ prototype to be tested in PINGU

- ▶ Light collection via WLS guides
- ▶ fits into standard IceCube bore holes
- ▶ Low noise rate
- ▶ Better UV sensitivity

*Albrecht Karle, Arlington Meeting April 24, 2014*

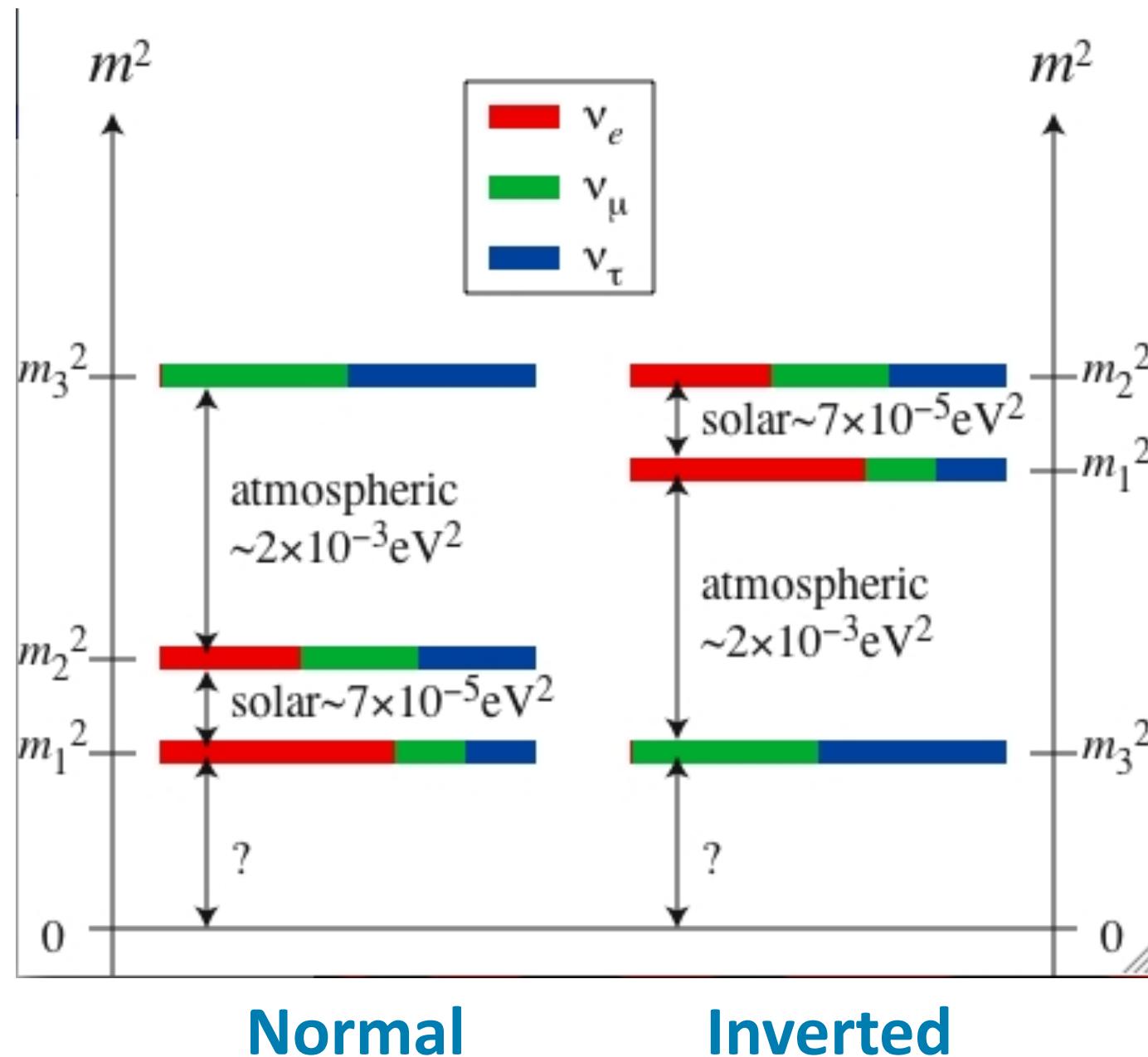
# Schedule (optimistically) assuming start in season 2018/19

Description	Strings Installed	Cumulative strings installed	Austral Summer
PINGU(+HEX)	8	8	18/19
PINGU	16	24	19/20
PINGU + HEX	18	42	20/21
HEX	20	62	21/22
HEX	20	82	22/23
HEX	20	102	24/25
HEX	20	122	25/26
HEX	14	136	26/27

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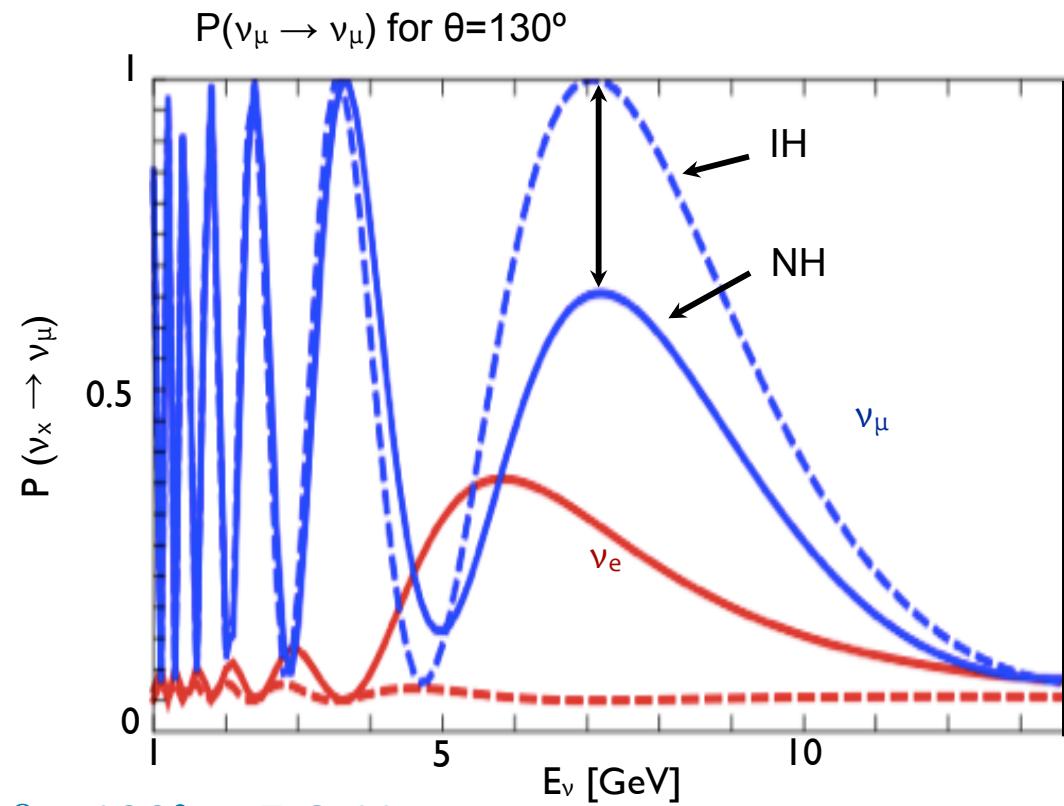
# PINGU AND ORCA

# The neutrino mass hierarchy



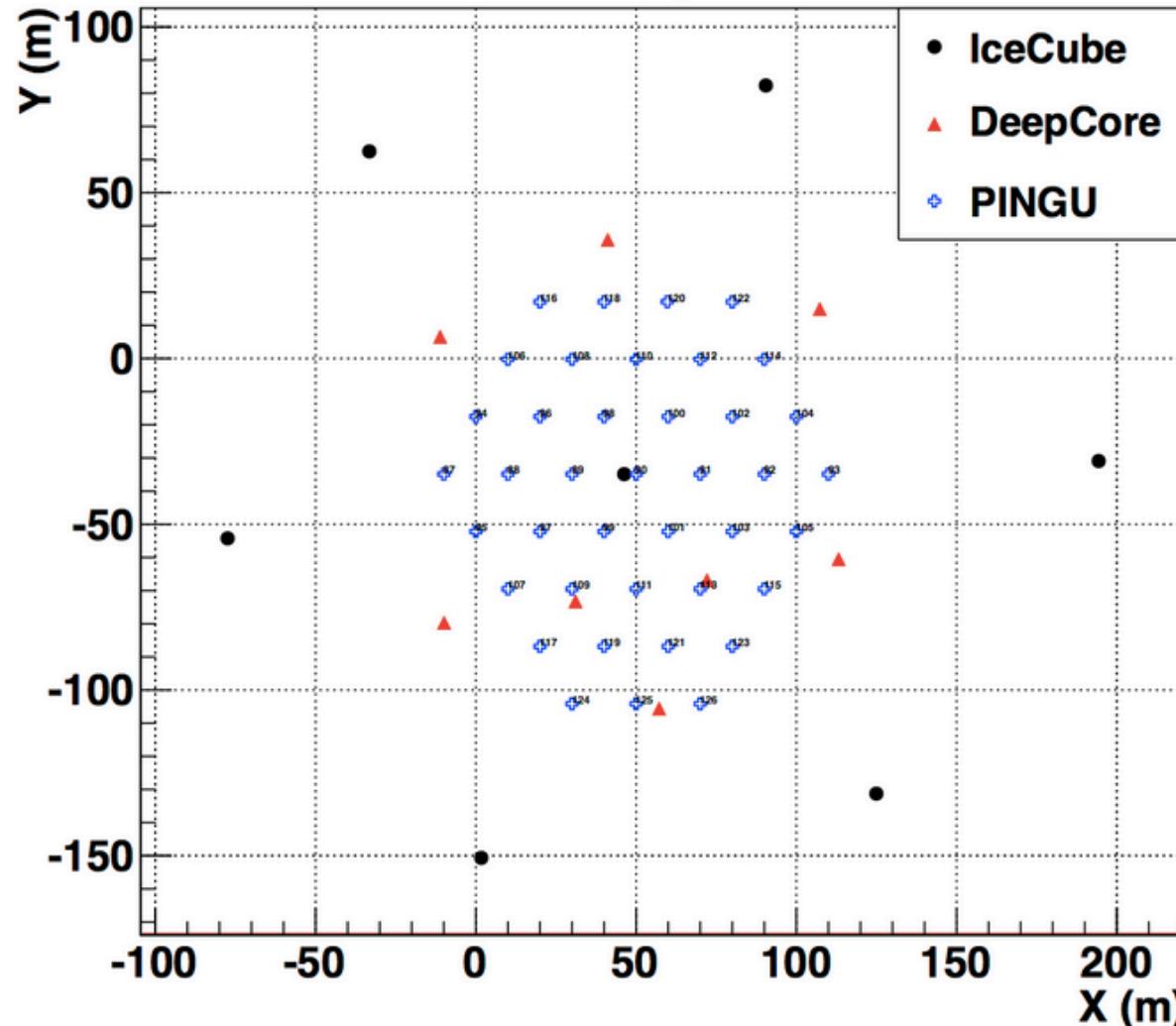
# PINGU & ORCA

## How it works ...



- Maximum difference NH  $\leftrightarrow$  IH for  $\theta = 130^\circ$  at 7 GeV
- For anti- $\nu$ , NH and IH are approximately swapped  $\rightarrow$  effect cancels if detector cannot distinguish  $\mu^+$  and  $\mu^-$
- However: flux of atm. $\nu$   $\sim 1.3 \times$  flux of atm. anti- $\nu$  and  $\sigma(\nu) \sim 2 \times \sigma(\text{anti-}\nu)$  at low energies
- → Count  $N_\mu(\theta, E)$  from  $\nu_\mu + N \rightarrow \mu + X$  and compare with NH/IH predictions

# PINGU: determine the neutrino mass hierarchy

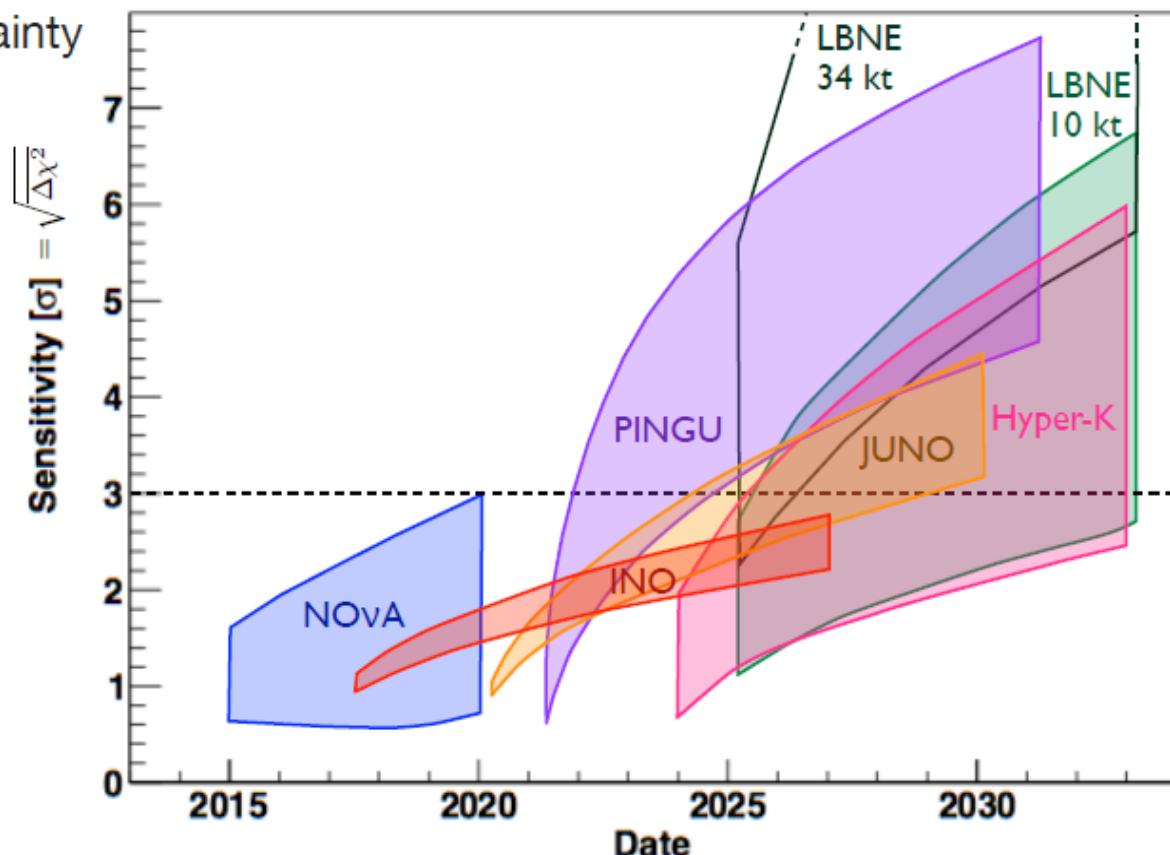


- ~40 additional strings
- Proposal submitted to US roadmap process in December 2013
- Cost 40-50 M\$ (strings, DOMs, 3 South Pole seasons)

# Sensitivity to mass hierarchy

- Several current or planned experiments will have sensitivity to the neutrino mass hierarchy in the next 10-15 years
  - NB: *median* outcomes shown – large fluctuations possible
- Widths indicate main uncertainty
  - LBNE/NOvA:  $\delta_{CP}$
  - JUNO:  $\sigma_E$  (3.0-3.5%)
  - PINGU/INO:  $\theta_{23}$  ( $38.7^\circ$ - $51.3^\circ$ ,  $40^\circ$ - $50^\circ$ )
  - Other projections presented here assume worst-case parameters (1st octant)
- PINGU timeline based on aggressive but feasible schedule; LBNE from LBNE-doc-8087-v10, all others from Blennow

after Blennow et al., arXiv:1311.1822



Slide taken from Ty DeYoung, Arlington Meeting April 24, 2014

# Summary

- **Extraterrestrial HE neutrinos discovered !**
- **Need detectors on both hemispheres**
  - complementarity w.r.t. field of view
  - many common sources, but in different energy regimes
- **Detection of point sources: importance of directional resolution**
- **Ongoing: more and better data from IceCube (and ANTARES)**
- **Next steps:**
  - First GVD cluster (2015) → GVD (2020)
  - KM3NeT phase 1 (2016) → KM3NeT phase 1.5 (2020) ← secure funding!
  - Prepare South Pole extensions
- **Towards multi-km<sup>3</sup> detectors**
  - KM3NeT phase 2
  - IceCube extensions

**END**