

# Inflation, BICEP2, and an interesting discrepancy with Planck

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Lorenzo Sorbo

UMass



Amherst

Universite



Paris 7



IPhT

# Two major implications of large $r$

(i) the energy scale

Amplitude of scalar perturbations well measured by COBE



$r \iff V$  during inflation

$$V^{1/4} \simeq 2.25 \cdot 10^{16} \text{ GeV} \left( \frac{r}{0.2} \right)^{1/4}$$



**High scale (GUT!) inflation!**

...more properties?

# Two major implications of large $r$

(ii) the Lyth bound

$r$  related to excursion of inflaton during inflation

$$\frac{d\phi}{dt} \propto V' \propto \sqrt{\epsilon} \propto \sqrt{r}$$



$$\Delta\phi \sim M_P \sqrt{\frac{r}{0.01}}$$



Planckian excursions of inflaton!

**Implications for model building?**

$$\Delta\phi \gtrsim M_P$$

A possible concern?

“Graviton loops” effects generate terms

$$\propto M_P^4 \left( \frac{\phi}{M_P} \right)^n$$

in  $V(\phi)$ , that are uncontrollable corrections for  $\phi > M_P$

**Not really...**

$$\Delta\phi \gtrsim M_P$$

(Quantum) gravity interacts with energy, not with  $\phi$ !

Indeed: for potential  $V(\phi)$ , perturbative quantum gravity effects are

$$O(1) V(\phi)^2/M_P^4 \quad \text{and} \quad O(1) V''(\phi) V(\phi)/M_P^2$$

Smolin 80

Linde 88

**negligible during inflation**

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$V(\phi)$  breaks softly the shift symmetry  $\phi \rightarrow \phi + \text{const.}$   
that protects  $V(\phi)$  against gradients

$$\Delta\phi \gtrsim M_P$$

Perturbatively dangerous operators are those that break shift symmetry in a hard way (e.g., sufficiently large Yukawas)

Solution:

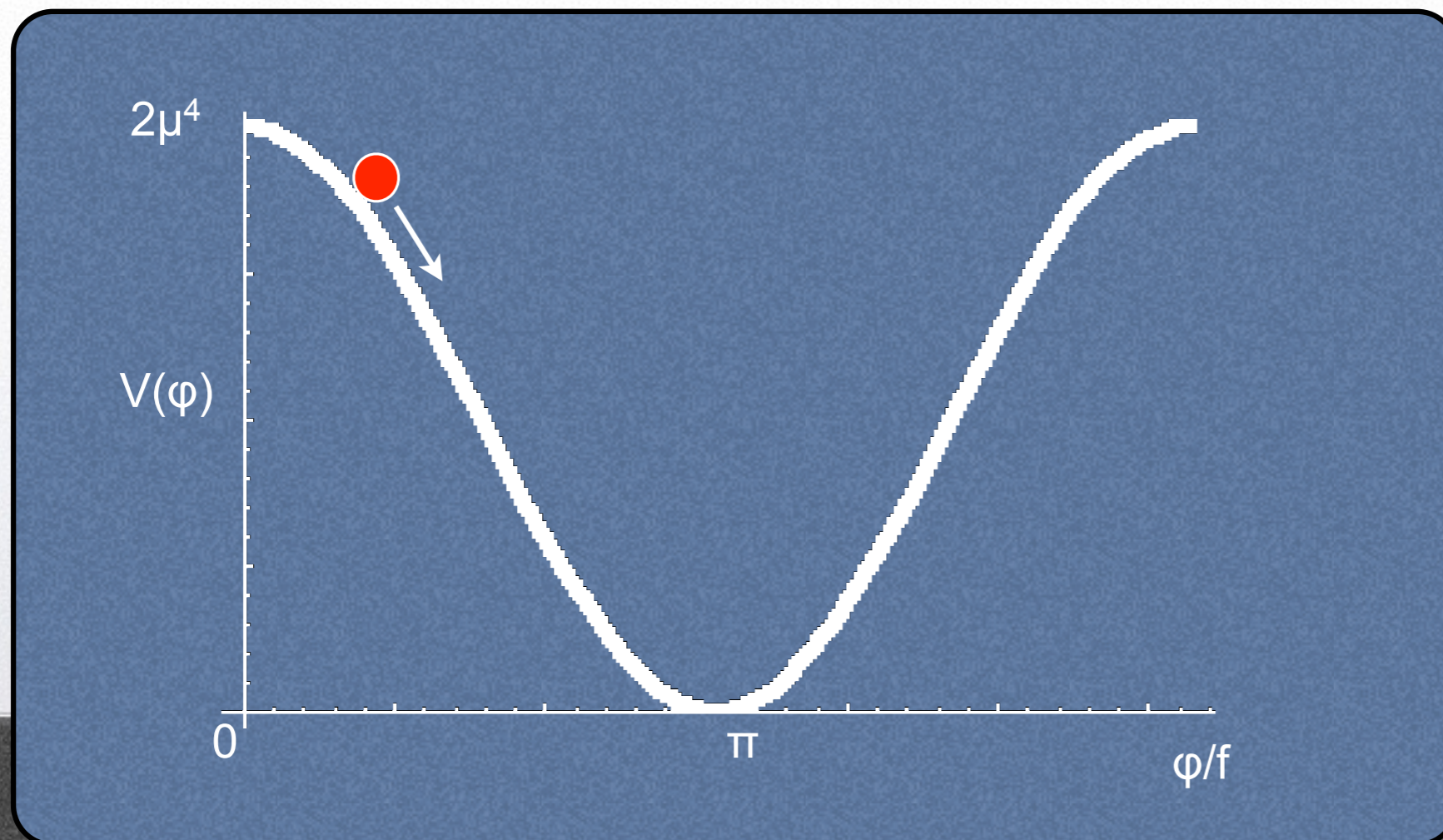
*Assume an exact shift symmetry (so Yukawas are forbidden)...*  
*...then break the symmetry a bit and generate a potential*  
**[Pseudo-Nambu-Goldstone-Boson]**

...using a pNGB as an inflaton...

# Natural inflation

Freese et al 1990

$$V(\varphi) = \mu^4 [\cos(\varphi/f) + 1]$$



BICEP requires  
 $f > 10 M_P$



...what about UV-complete theories?

(e.g., string theory)

*A problem...*

Banks, Dine, Fox and Gorbatov 03

Arkani-Hamed, Motl, Nicolis and Vafa 06

String Theory appears to require  $f < M_P$

[ $\phi$ =angle, with periodicity determined by size of internal space  $> 1/M_P$ ]

[instanton corrections unsuppressed for  $f > M_P$ ]

An example of a way out...

# Enter the 4-form

(Higher rank relative of the electromagnetic field)

Kaloper, LS 08  
Kaloper, Lawrence, LS 11

$$S_{4\text{form}} = - \frac{1}{48} \int F_{\mu\nu\rho\lambda} F^{\mu\nu\rho\lambda} d^4x$$

$$F_{\mu\nu\rho\lambda} = \partial_{[\mu} A_{\nu\rho\lambda]}$$

tensor structure in 4d  $\Rightarrow F_{\mu\nu\rho\lambda} = q(x^\alpha) \varepsilon_{\mu\nu\rho\lambda}$

equations of motion  $D^\mu F_{\mu\nu\rho\lambda} = 0 \Rightarrow q(x^\alpha) = \text{constant}$

( trivial dynamics )

# Sources for the 4-form: membranes

$$S_{brane} \ni \frac{e}{6} \int d^3\xi \sqrt{\gamma} e^{abc} \partial_a x^\mu \partial_b x^\nu \partial_c x^\lambda A_{\mu\nu\lambda}$$

[  $x^\alpha(\xi^a)$  = membrane worldvolume ]

[  $e$  ] = mass<sup>2</sup>

$q(x^\alpha)$  jumps by  $e$  across a membrane

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$q(x^\alpha)$  is locally constant  
and  
jumps in units of  $e$

Let us couple the 4-form to a pseudoscalar

$$\mathcal{S}_{bulk} = \int d^4x \sqrt{g} \left( \frac{M_{Pl}^2}{2} R - \frac{1}{2} (\nabla \phi)^2 - \frac{1}{48} F_{\mu\nu\lambda\sigma}^2 + \frac{\mu\phi}{24} \frac{\epsilon^{\mu\nu\lambda\sigma}}{\sqrt{g}} F_{\mu\nu\lambda\sigma} + \dots \right)$$

DiVecchia and Veneziano 1980  
Quevedo and Trugenberger 1996  
Dvali and Vilenkin 2001

Action invariant under shift symmetry:

under  $\phi \rightarrow \phi + c$ ,  $\mathcal{L} \rightarrow \mathcal{L} + c \mu \epsilon^{\mu\nu\rho\lambda} F_{\mu\nu\rho\lambda} / 24$

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total derivative!   $(F=dA)$

# Equations of motion

(away from branes)

Variation of  
the action

$$\left\{ \begin{array}{l} \nabla^\mu (F_{\mu\nu\rho\lambda} - \mu \varepsilon_{\mu\nu\rho\lambda} \phi) = 0 \\ \nabla^2 \phi + \mu \varepsilon^{\mu\nu\rho\lambda} F_{\mu\nu\rho\lambda} / 24 = 0 \end{array} \right.$$

After simple  
manipulations

$$\left\{ \begin{array}{l} F_{\mu\nu\rho\lambda} = \varepsilon_{\mu\nu\rho\lambda} (q + \mu \phi) \\ \nabla^2 \phi - \mu^2 (\phi + q/\mu) = 0 \end{array} \right.$$

$q$  = integration constant

- $(\mu/24) \phi \varepsilon^{\mu\nu\rho\lambda} F_{\mu\nu\rho\lambda}$  is actually a mass term!
- *The theory is massive while retaining the shift symmetry!*
- The symmetry is *broken spontaneously* when a solution is picked
- $q$  changes by  $e$  across branes  $\Rightarrow q$  is quantized

# Where does the 4-form come from?

Kaloper and LS 2008

**String theory contains a lot of  $p$ -forms!**

To fix ideas, let us focus on *11d SUGRA*, that contains a 4-form  $\tilde{F}=d\tilde{A}$

$$S_{11D \text{ forms}} = M_{11}^9 \int * \tilde{F} \wedge \tilde{F} + M_{11}^9 \int \tilde{A} \wedge \tilde{F} \wedge \tilde{F}$$

and consider a simple compactification on  $M_4 \times T^3 \times T^4$

truncating as  $A_{\mu\nu\rho}(x^\alpha) = (M_P/\sqrt{2}) \tilde{A}_{\mu\nu\rho}(x^\alpha)$ ,  
 $\phi = M_P \tilde{A}_{456}(x^\mu)/(V_3 M_{11}^3)$ ,  $\tilde{A}_{789}(y^i)$

effective 4d theory

$$S_{bulk} = \int d^4x \sqrt{g} \left( \frac{M_{Pl}^2}{2} R - \frac{1}{2} (\nabla\phi)^2 - \frac{1}{48} F_{\mu\nu\lambda\sigma}^2 + \frac{\mu\phi}{24} \frac{\epsilon^{\mu\nu\lambda\sigma}}{\sqrt{g}} F_{\mu\nu\lambda\sigma} + \dots \right)$$

More explicit constructions in  
Marchesano, Shiu and Uranga 14

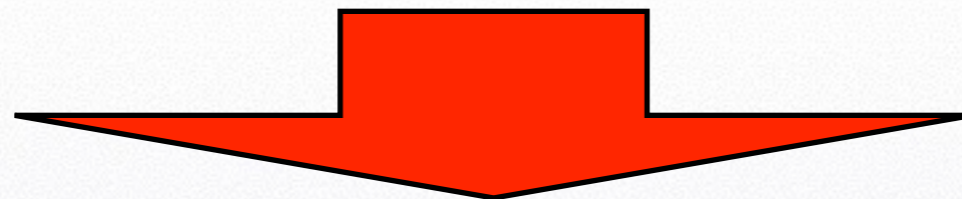


...and by the way, wasn't  $\phi$  an angle?

Effective potential  $V(\phi) \sim (q + \mu\phi)^2$

with  $q$  quantized: discrete invariance

$$q \rightarrow q + n e$$



Beasley and Witten 2002

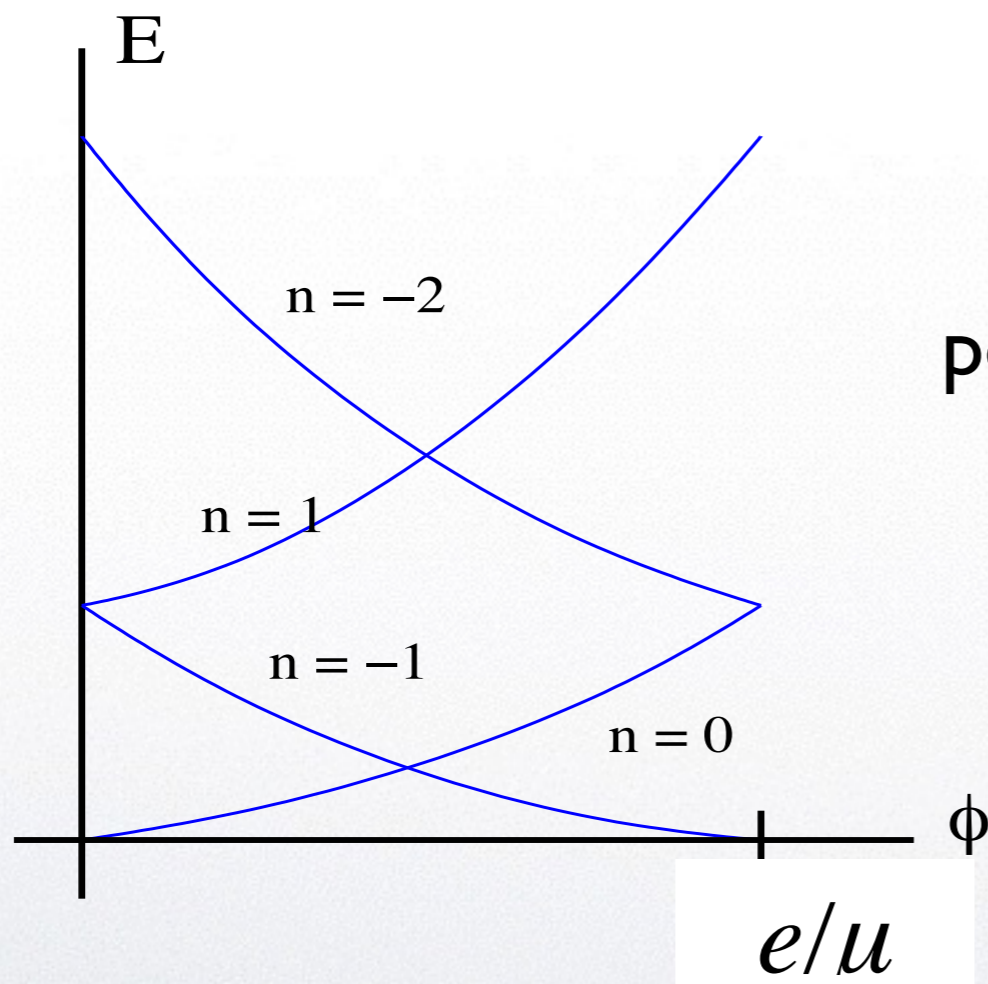
at the level of action  $\phi$  is still an angle!

Once a vev for  $q$  is chosen, the angle unwraps:

**MONODROMY**

Silverstein and Westphal 2008

...and by the way, wasn't  $\phi$  an angle?



possible tunneling between branches



interesting phenomenology

# How about high scale inflation?

☞ In string th, moduli better be stabilized during inflation (decompactification!)

BICEP2  $\Rightarrow H \sim 10^{14} \text{ GeV}$



Need to stabilize moduli at high scale (above usual SUSY breaking scale  $10^{11} \text{ GeV}$ )

# Bottom line...

*From an Effective Field Theory approach  
Planckian excursions are not a problem*

*Even in more constrained setups,  
like string theory, there are ways out*

*Technical problem:  
stabilize moduli at high scale*

**An intriguing  
discrepancy...**

**BICEP:**  $.15 < r < .27$  @ 68%

**Planck:**  $r < .11$  @ 95%

Probably this will go away with more data.  
But what if...?

How does Planck measure  $r$ ?

scalar metric perturbations

tensor metric perturbations

Planck measures  $\delta T \sim \zeta + h$

(cf. BICEP2 measures  $B \sim h$ )



$$\langle \delta T \delta T \rangle \sim \langle \zeta \zeta \rangle + \langle h h \rangle$$

(assuming no tensor-scalar correlation)

How to disentangle the scalar and the tensor contribution?

From their different scale dependence!

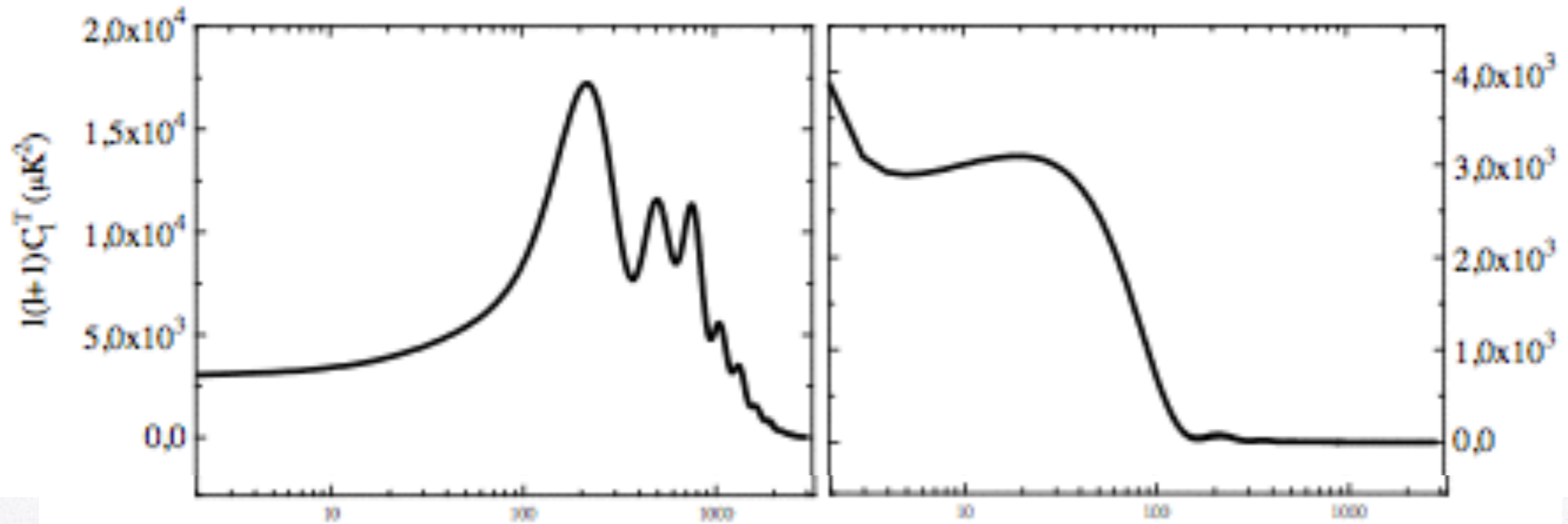
# How does Planck measure $r$ ?

Contributions to  $\langle TT \rangle$  power spectrum:

from Melchiorri, Vittorio 96

Scalar

Tensor



How to disentangle the scalar and the tensor contribution?

From their different scale dependence!



How does Planck measure  $r$ ?

How to disentangle the scalar and the tensor contribution?

From their different scale dependence!

I- Compute spectrum of  $\langle \zeta \zeta \rangle$  at small scales  
where effect of  $\langle hh \rangle$  is negligible

II- *Extrapolate* spectrum of  $\langle \zeta \zeta \rangle$  to large scales

[assuming  $k^3 \langle \zeta(k) \zeta(-k) \rangle \propto k^{n_s-1}$ ,  $n_s = \text{constant}$ ]

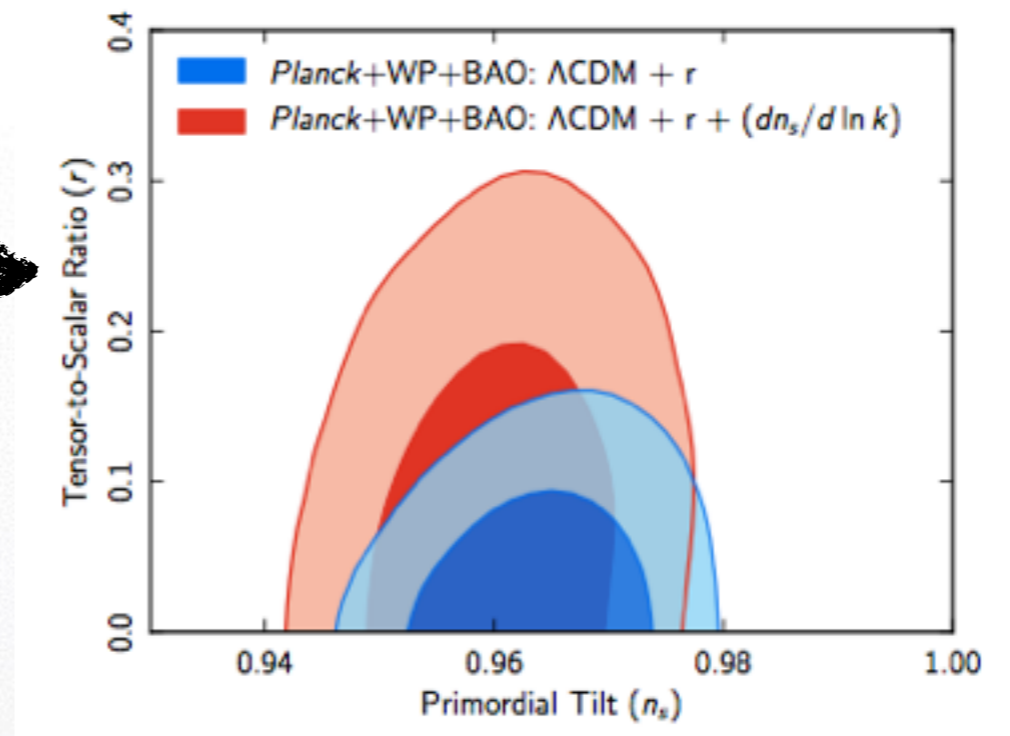
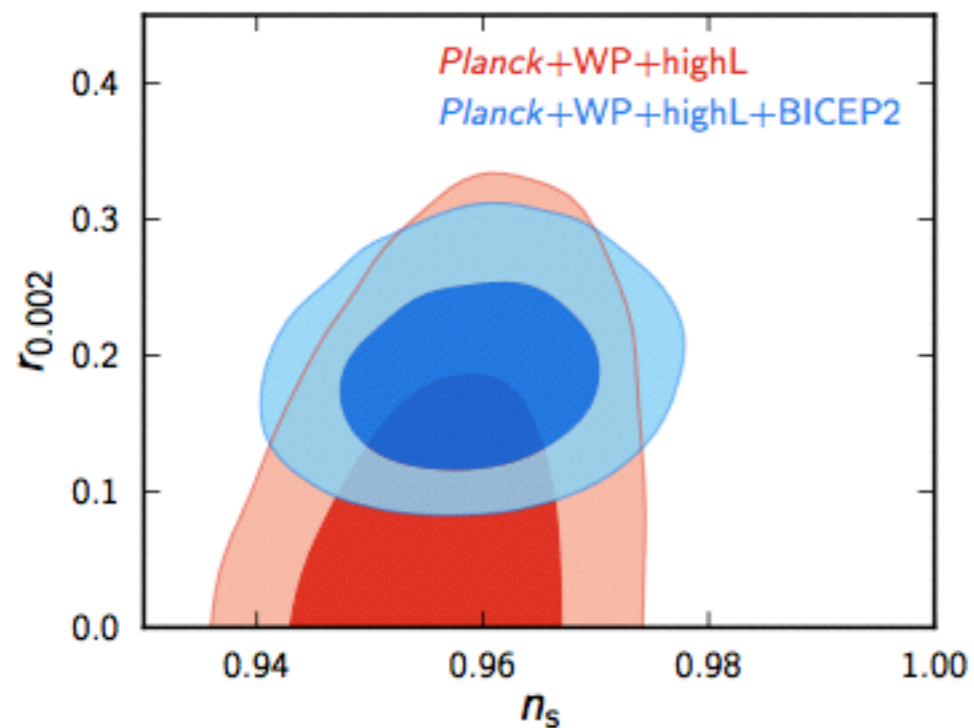
III- Infer limits on  $\langle hh \rangle$

# One possible solution

Change the way you extrapolate.

*I.e.*, relax assumption of constant spectral index!

Already discussed  
in Planck...



...and now in BICEP

## One possible solution

Both Planck and BICEP assume *constant* running of  $n_s$ :

$$\alpha_s \equiv \frac{d n_s}{d \log k} = \text{constant}$$

Best fit:

$$\alpha_s \approx -.02$$

very large wrt prediction from inflation  $\alpha_s \approx O(.0001)$

# More options?

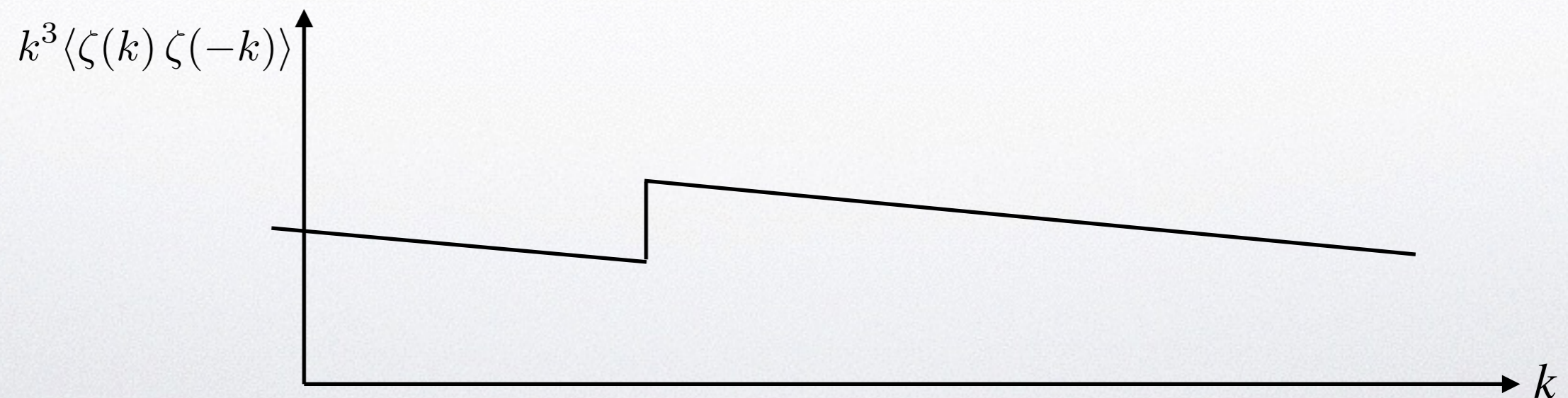
Contaldi, Peloso, LS 14

Assume step in primordial spectrum

$$k^3 \langle \zeta(k) \zeta(-k) \rangle = \beta_s A k^{n_s - 1}$$

$$\beta_s = 1, \quad k > k_*$$

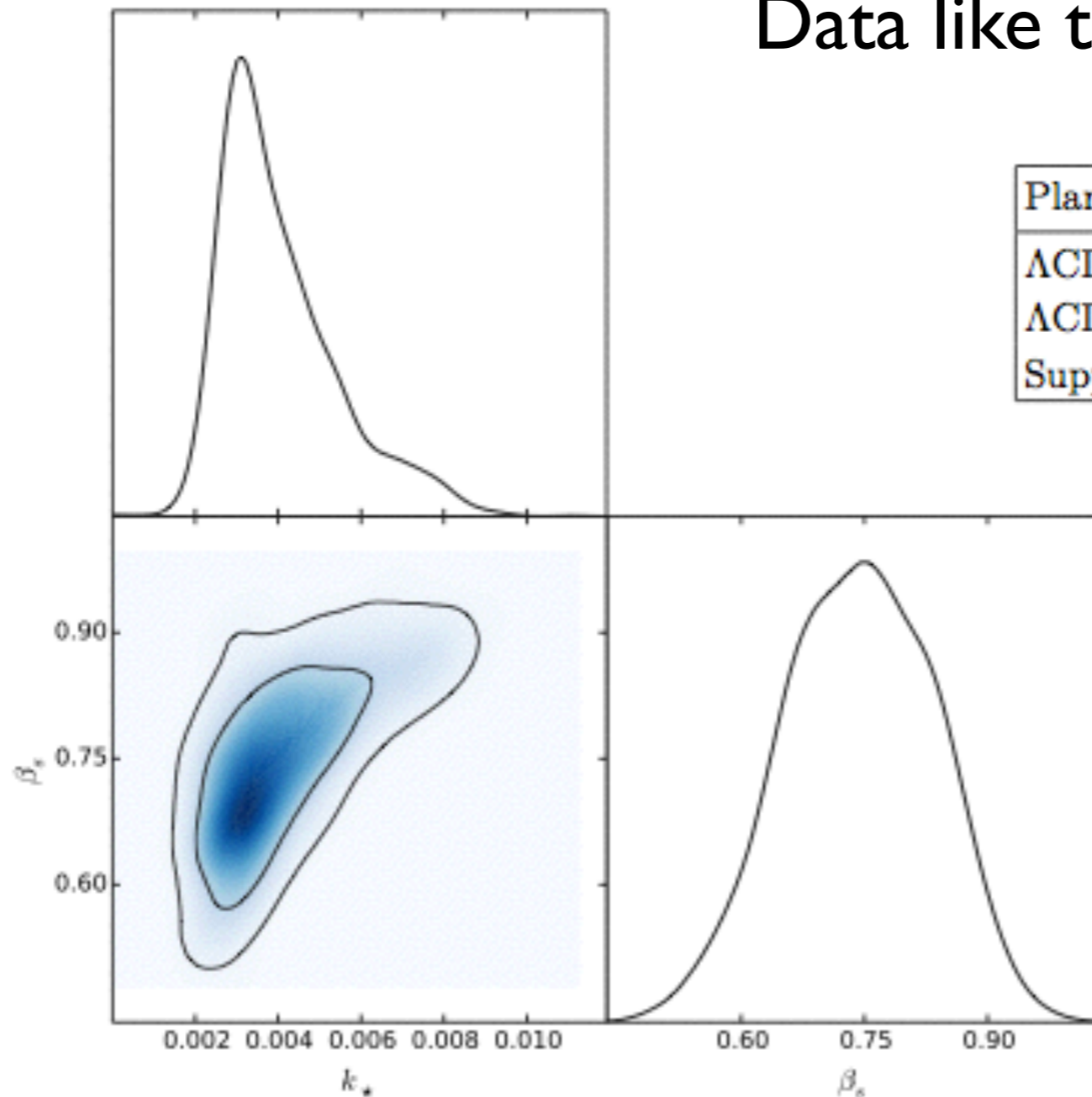
$$\beta_s < 1, \quad k < k_*$$



# More options?

Assume step in primordial spectrum

Data like this!

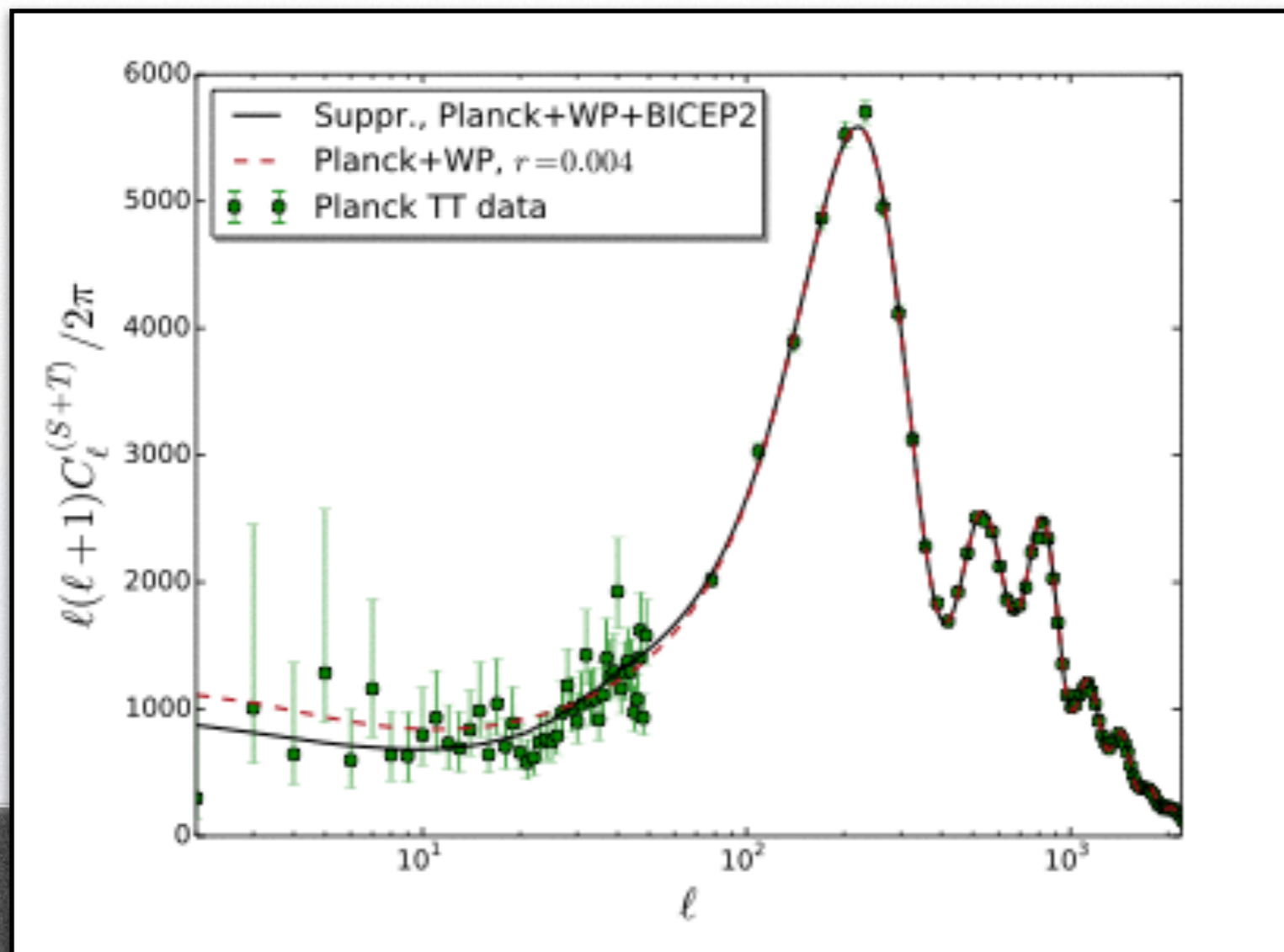


Planck+WP+BICEP2	$\Delta N_p$	$\chi^2$	$\Delta\chi^2$	r
$\Lambda$ CDM + tensor	-	9854.83	-	0.16
$\Lambda$ CDM + tensor + $\alpha_s$	+1	9850.14	-4.69	0.17
Suppression	+2	9840.51	-14.32	0.20

# More options?

Assume step in primordial spectrum

$$k^3 \langle \zeta(k) \zeta(-k) \rangle = \beta_s A k^{n_s - 1}$$



# More options?

Assume step in primordial spectrum  
And there are models that can do it...

Starobinsky 92

sudden change in the slope of the potential

Park, LS 12

sudden change in the speed of sound

D'Amico et al 13

particle production

...

...

# Conclusions

- Large value of  $r$  has far reaching implications for inflation and Early Universe
- No real problem with large inflaton excursions...
- ...provided one does not forget about (approximate) shift symmetries
- Some intriguing discrepancies - do they point to something special that happened during inflation?



# Addendum:

*does it really have to be high scale inflation?*

In principle inflation could happen at lower scales

Tensors produced by other mechanisms

(during inflation)

LS 10

**An example:** rolling pseudoscalar  $\phi$  coupled to  $U(1)$  gauge field

$$\mathcal{L}_{\phi FF} = \frac{\phi}{f} \epsilon_{\alpha\beta\gamma\delta} F^{\alpha\beta} F^{\gamma\delta}$$

rolling  $\phi$  excites  $U(1)$  gauge field that excites gravitational waves

# Left- and right-handed tensor spectra...

$$\mathcal{P}_R(\mathbf{k}) = \frac{H^2}{\pi^2 M_P^2} \left( 1 + 9 \times 10^{-7} \frac{H^2}{M_P^2} \frac{e^{4\pi\xi}}{\xi^6} \right) \quad \xi \equiv \frac{\dot{\phi}}{2fH} \gtrsim 1$$
$$\mathcal{P}_L(\mathbf{k}) = \frac{H^2}{\pi^2 M_P^2} \left( 1 + 2 \times 10^{-9} \frac{H^2}{M_P^2} \frac{e^{4\pi\xi}}{\xi^6} \right)$$

- Large tensors in low scale inflation
- Parity-violating! Signature  $\langle TB \rangle, \langle EB \rangle \neq 0$
- Cosmological magnetic fields? (Caprini & LS, in prep)

# Space of inflationary models:

