

# Impact factor for high-energy two and three jets diffractive production

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[RB, A.Grabovsky, L.Szymanowski, S.Wallon (arXiv:1405.7676)]

## 1 Diffractive DIS

- Rapidity gap events at HERA
- Collinear factorization approach
- $k_T$ -factorization approach : two exchanged gluons
- Confrontation of the two approaches with HERA data

## 2 Diffractive production of jets : our approach

- $q\bar{q}$  production
- $q\bar{q}g$  production
- Linear approximation : 2 and 3 exchanged gluons

## 3 Conclusion

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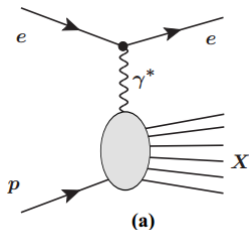
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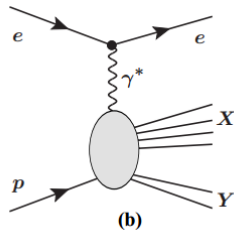
## 3 Conclusion

## Rapidity gap events at HERA

Experiments at HERA : about 10% of scattering events reveal a rapidity gap



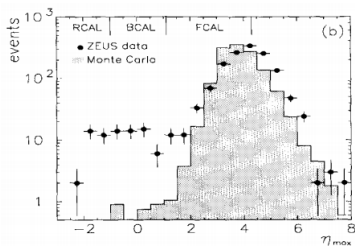
DIS events



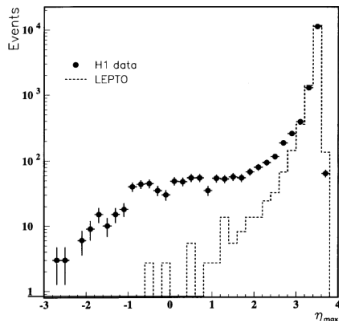
DDIS events

## Rapidity gap events at HERA

Experiments at HERA : about 10% of events reveal a rapidity gap



ZEUS, 1993



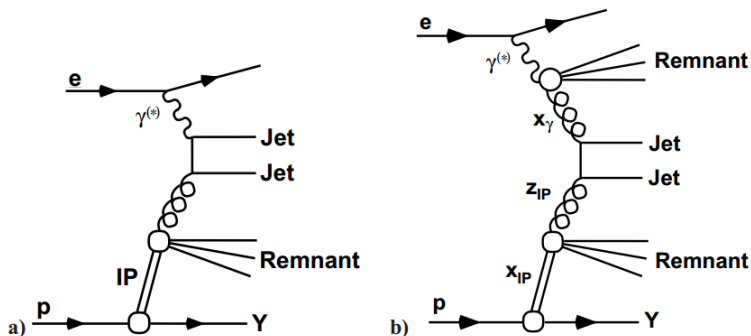
H1, 1994

## Theoretical approaches for DDIS using pQCD

- Collinear factorization approach
  - Relies on QCD factorization theorem, using a hard scale such as the virtuality  $Q^2$  of the incoming photon
  - One needs to introduce a diffractive distribution function for partons *within a pomeron*
- $k_T$  factorization approach for two exchanged gluons
  - low- $x$  QCD approach :  $s \gg Q^2 \gg \Lambda_{QCD}$
  - The pomeron is described as a two-gluon color-singlet state

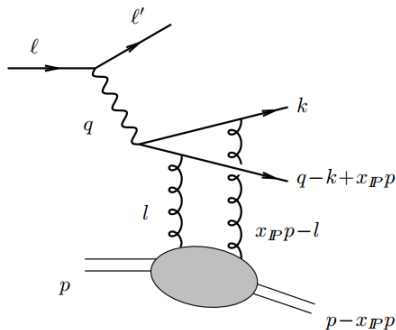
# Theoretical approaches for DDIS using pQCD

## Collinear factorization approach



# Theoretical approaches for DDIS using pQCD

$k_T$ -factorization approach : two gluon exchange

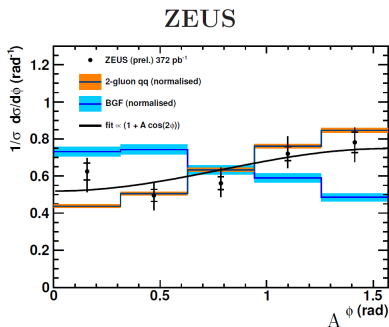




# Theoretical approaches for DDIS using pQCD

## Confrontation of the two approaches with HERA data

According to experimentalists, it seems that the  $k_T$ -factorization approach gives a better description of diffractive events at HERA :



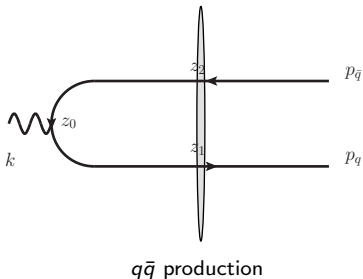
Valkárová, low-x 2014, Kyoto

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# Assumptions

- Regge limit :  $s \gg Q^2 \gg \Lambda_{QCD}$
- Pomeron = Wilson lines
- No approximation for the outgoing gluon

## Matrix element for EM current



$$M_0^\alpha = \delta_l^n \frac{\langle 0 | b'_{p_{\bar{q}}} (a_{p_q})_n \bar{\psi}(z_0) \gamma^\alpha \psi(z_0) e^{i \int \mathcal{L}_i(z) dz} | 0 \rangle}{\langle 0 | e^{i \int \mathcal{L}(z) dz} | 0 \rangle}$$

We introduce the Wilson lines :

$$U_i = U_{\vec{z}_i} = U(\vec{z}_i, \eta) = P \exp \left[ ig \int_{-\infty}^{+\infty} b_{\eta}^{-}(z_i^+, \vec{z}_i) dz_i^+ \right]$$

Then we project the result on the color singlet state, eliminate the non-interacting term and get :

$$M_0^{\alpha} = \int d\vec{z}_1 d\vec{z}_2 F(p_q, p_{\bar{q}}, z_0, \vec{z}_1, \vec{z}_2)^{\alpha} \left[ \text{tr} \left( U_1 U_2^{\dagger} - N_c \right) \right]$$

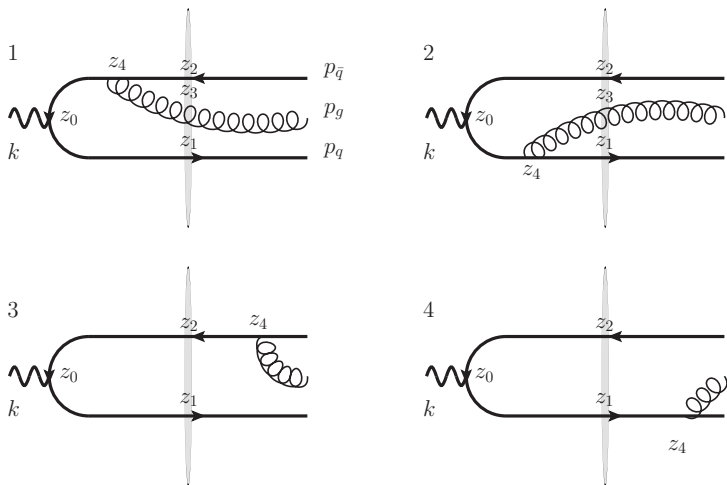
After some algebra, we recover the well-known results :

$$F(p_q, p_{\bar{q}}, k, \vec{z}_1, \vec{z}_2)^\alpha \varepsilon_{L\alpha} = \theta(p_q^+) \theta(p_{\bar{q}}^+) \frac{\delta(k^+ - p_q^+ - p_{\bar{q}}^+)}{(2\pi)^2} e^{-i\vec{p}_q \cdot \vec{z}_1 - i\vec{p}_{\bar{q}} \cdot \vec{z}_2} \\ \times (-2i) \delta_{\lambda_q, -\lambda_{\bar{q}}} x_q x_{\bar{q}} Q K_0 \left( Q \sqrt{x_q x_{\bar{q}} \vec{z}_{12}^2} \right)$$

and

$$F(p_q, p_{\bar{q}}, k, \vec{z}_1, \vec{z}_2)^j \varepsilon_{Tj} = \theta(p_q^+) \theta(p_{\bar{q}}^+) \frac{\delta(k^+ - p_q^+ - p_{\bar{q}}^+)}{(2\pi)^2} e^{-i\vec{p}_q \cdot \vec{z}_1 - i\vec{p}_{\bar{q}} \cdot \vec{z}_2} \\ \times \delta_{\lambda_q, -\lambda_{\bar{q}}} (x_q - x_{\bar{q}} + s\lambda_q) \frac{\vec{z}_{12} \cdot \vec{\varepsilon}_T}{\vec{z}_{12}^2} Q \sqrt{x_q x_{\bar{q}} \vec{z}_{12}^2} K_1 \left( Q \sqrt{x_q x_{\bar{q}} \vec{z}_{12}^2} \right).$$

# $q\bar{q}g$ production



$q\bar{q}g$  production

As before :

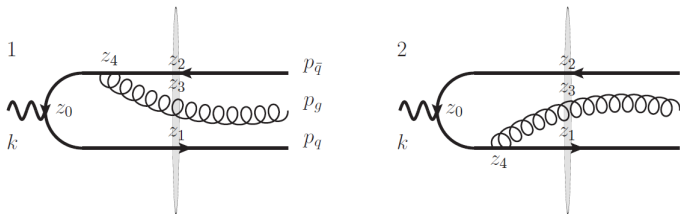
$$\tilde{M}^\alpha = (t^b)_l^n \frac{\langle 0 | c_{p_g}^b b_{p_{\bar{q}}}^l (a_{p_q})_n \bar{\psi}(z_0) \gamma^\alpha \psi(z_0) e^{i \int \mathcal{L}_i(z) dz} | 0 \rangle}{\langle 0 | e^{i \int \mathcal{L}(z) dz} | 0 \rangle}$$

Projection on color singlet and subtraction of non-interacting terms give :

$$2M^\alpha = \int d\vec{z}_1 d\vec{z}_2 d\vec{z}_3 F_1(p_q, p_{\bar{q}}, p_g, z_0, \vec{z}_1, \vec{z}_2, \vec{z}_3)^\alpha \left( \text{tr}(U_1 U_3^\dagger) \text{tr}(U_3 U_2^\dagger) - N_c \text{tr}(U_1 U_2^\dagger) \right) \\ + \int d\vec{z}_1 d\vec{z}_2 F_2(p_q, p_{\bar{q}}, p_g, z_0, \vec{z}_1, \vec{z}_2)^\alpha \frac{N_c^2 - 1}{N_c} \left( \text{tr}(U_1 U_2^\dagger) - N_c \right)$$



# $q\bar{q}g$ production : first kind



$q\bar{q}g$  production : first kind

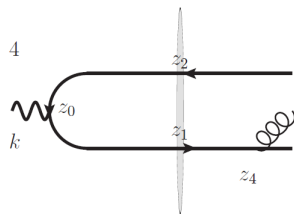
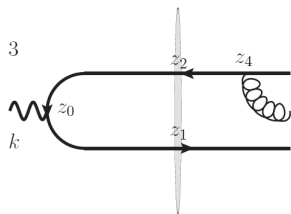
## Result for a longitudinal photon

$$\begin{aligned}
 & F_{1L}(p_q, p_{\bar{q}}, p_g, k, \vec{z}_1, \vec{z}_2, \vec{z}_3) \\
 &= \delta(k^+ - p_g^+ - p_q^+ - p_{\bar{q}}^+) \theta(p_g^+ - \sigma) 2Qg \frac{e^{-i\vec{p}_q \cdot \vec{z}_1 - i\vec{p}_{\bar{q}} \cdot \vec{z}_2 - i\vec{p}_g \cdot \vec{z}_3}}{\pi \sqrt{2p_g^+}} K_0(QZ_{123}) \\
 &\times \delta_{\lambda_q, -\lambda_{\bar{q}}} \left\{ (x_{\bar{q}} + x_g \delta_{-s_g \lambda_q}) x_q \frac{\vec{z}_{32} \cdot \vec{\epsilon}_g^*}{\vec{z}_{32}^2} - (x_q + x_g \delta_{-s_g \lambda_{\bar{q}}}) x_{\bar{q}} \frac{\vec{z}_{31} \cdot \vec{\epsilon}_g^*}{\vec{z}_{31}^2} \right\}
 \end{aligned}$$

## Result for a transverse photon

$$\begin{aligned}
 F_{1T}(p_q, p_{\bar{q}}, p_g, k, \vec{z}_1, \vec{z}_2, \vec{z}_3) &= 2igQ\delta(k^+ - p_g^+ - p_q^+ - p_{\bar{q}}^+)\theta(p_g^+ - \sigma) \frac{e^{-i\vec{p}_q \cdot \vec{z}_1 - i\vec{p}_{\bar{q}} \cdot \vec{z}_2 - i\vec{p}_g \cdot \vec{z}_3}}{\pi Z_{123} \sqrt{2p_g^+}} \\
 &\times \delta_{\lambda_q, -\lambda_{\bar{q}}} K_1(QZ_{123}) \left\{ -\frac{(\vec{z}_{23} \cdot \vec{\epsilon}_g^*)(\vec{z}_{13} \cdot \vec{\epsilon}_T)}{\vec{z}_{23}^2} x_q (x_q - \delta_{s\lambda_{\bar{q}}}) (x_{\bar{q}} + x_g \delta_{-s_g \lambda_q}) \right. \\
 &\quad \left. - \frac{(\vec{z}_{23} \cdot \vec{\epsilon}_g^*)(\vec{z}_{23} \cdot \vec{\epsilon}_T)}{\vec{z}_{23}^2} x_q x_{\bar{q}} (x_{\bar{q}} + x_g \delta_{-s_g \lambda_q} - \delta_{s\lambda_q}) \right\} - (q \leftrightarrow \bar{q})
 \end{aligned}$$

# $q\bar{q}g$ production : second kind



$q\bar{q}$  production : second kind

## Result for a longitudinal photon

$$\begin{aligned} \tilde{F}_{2L}(p_q, p_{\bar{q}}, p_g, k, \vec{z}_1, \vec{z}_2) &= 4ig Q \theta(p_g^+ - \sigma) \delta(k^+ - p_g^+ - p_q^+ - p_{\bar{q}}^+) \frac{e^{-i\vec{p}_q \cdot \vec{z}_1 - i\vec{p}_{\bar{q}} \cdot \vec{z}_2}}{\sqrt{2p_g^+}} \\ &\times \delta_{\lambda_q, -\lambda_{\bar{q}}} \frac{x_q (x_g + x_{\bar{q}}) (\delta_{-s_g \lambda_q} x_g + x_{\bar{q}})}{x_{\bar{q}} x_g} \frac{\vec{P}_{\bar{q}} \cdot \vec{\epsilon}_g^*}{\vec{P}_{\bar{q}}^2} e^{-i\vec{p}_g \cdot \vec{z}_2} K_0(QZ_{122}) - (q \leftrightarrow \bar{q}) \end{aligned}$$

## Result for a transverse photon

$$\begin{aligned} \tilde{F}_{2T}(p_q, p_{\bar{q}}, p_g, k, \vec{z}_1, \vec{z}_2) &= -4g \theta(p_g^+ - \sigma) \delta(k^+ - p_g^+ - p_q^+ - p_{\bar{q}}^+) \frac{e^{-i\vec{p}_q \cdot \vec{z}_1 - i\vec{p}_{\bar{q}} \cdot \vec{z}_2}}{\sqrt{2p_g^+}} \delta_{\lambda_q, -\lambda_{\bar{q}}} \\ &\times \frac{(\delta_{\lambda_{\bar{q}}s} - x_q)(\delta_{-s_g \lambda_q} x_g + x_{\bar{q}})}{x_{\bar{q}} x_g} \frac{\vec{P}_{\bar{q}} \cdot \vec{\epsilon}_g^*}{\vec{P}_{\bar{q}}^2} \frac{\vec{z}_{12} \cdot \vec{\epsilon}_T}{\vec{z}_{12}^2} Q Z_{122} K_1(QZ_{122}) e^{-i\vec{p}_g \cdot \vec{z}_2} - (q \leftrightarrow \bar{q}) \end{aligned}$$

Back to the general expression for the matrix element  $M^\alpha$  :

$$2M^\alpha = \int d\vec{z}_1 d\vec{z}_2 d\vec{z}_3 F_1(p_q, p_{\bar{q}}, p_g, z_0, \vec{z}_1, \vec{z}_2, \vec{z}_3)^\alpha \left( \text{tr}(U_1 U_3^\dagger) \text{tr}(U_3 U_2^\dagger) - N_c \text{tr}(U_1 U_2^\dagger) \right) \\ + \int d\vec{z}_1 d\vec{z}_2 F_2(p_q, p_{\bar{q}}, p_g, z_0, \vec{z}_1, \vec{z}_2)^\alpha \frac{N_c^2 - 1}{N_c} \left( \text{tr}(U_1 U_2^\dagger) - N_c \right)$$

For 2 or 3 gluon exchange, one can linearize this expression :

$$\text{tr}(U_1 U_3^\dagger) \text{tr}(U_3 U_2^\dagger) - N_c \text{tr}(U_1 U_2^\dagger) = N_c^2 (\mathbf{U}_{32} + \mathbf{U}_{13} - \mathbf{U}_{12} + \mathbf{U}_{32} \mathbf{U}_{13}) \\ \simeq N_c^2 (\mathbf{U}_{32} + \mathbf{U}_{13} - \mathbf{U}_{12})$$

After linearization one gets :

$$M^\alpha \stackrel{\text{to } \alpha^3}{=} \frac{1}{2} \int d\vec{z}_1 d\vec{z}_2 \mathbf{U}_{12} \left\{ \tilde{F}_1(p_q, p_{\bar{q}}, p_g, z_0, \vec{z}_1, \vec{z}_2)^\alpha \right. \\ \left. + (N_c^2 - 1) \tilde{F}_2(p_q, p_{\bar{q}}, p_g, z_0, \vec{z}_1, \vec{z}_2)^\alpha \right\}$$

$$\tilde{F}_1(p_q, p_{\bar{q}}, p_g, z_0, \vec{z}_1, \vec{z}_2)^\alpha = \int d\vec{z}_3 \left[ N_c^2 F_1(p_q, p_{\bar{q}}, p_g, z_0, \vec{z}_1, \vec{z}_3, \vec{z}_2)^\alpha \right. \\ \left. + N_c^2 F_1(p_q, p_{\bar{q}}, p_g, z_0, \vec{z}_3, \vec{z}_2, \vec{z}_1)^\alpha - F_1(p_q, p_{\bar{q}}, p_g, z_0, \vec{z}_1, \vec{z}_2, \vec{z}_3)^\alpha \right]$$

⇒ One has to integrate the previously derived expressions



# Linear approximation

No analytical expression for most of the integrals. BUT :

- For null transverse momenta  $\vec{p}_q$ ,  $\vec{p}_{\bar{q}}$  and  $\vec{p}_g$  they can be performed
- In any case, they can be reduced to convergent integrals over a real parameter in  $[0,1]$  so a numerical calculation can be done.

For example :

$$\begin{aligned} & \int d\vec{z}_3 e^{-i\vec{p}_g \cdot \vec{z}_3} \frac{\vec{z}_{32}}{z_{32}^2} K_0(QZ_{123}) \\ &= -\frac{\pi e^{-i\vec{p}_g \cdot \vec{z}_2}}{(1-x_g)x_g} \int_0^1 d\alpha e^{\alpha \frac{i x_q (\vec{z}_{21} \cdot \vec{p}_g)}{x_{\bar{q}} + x_q}} \left( \frac{i\vec{p}_g Z_{q\bar{q}g}}{Q_g(\alpha)} K_1(Q_g(\alpha) Z_{q\bar{q}g}) + x_g x_q \vec{z}_{21} K_0(Q_g(\alpha) Z_{q\bar{q}g}) \right) \\ & \int d\vec{z}_3 \frac{\vec{z}_{32}}{z_{32}^2} K_0(QZ_{123}) = -\frac{2\pi}{x_g x_q Q} \frac{\vec{z}_{21}}{z_{21}^2} (Z_{q\bar{q}} K_1(QZ_{q\bar{q}}) - Z_{122} K_1(QZ_{122})) \end{aligned}$$

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## Further analysis from our results

- A lot of phenomenology can be done from HERA data :
  - From  $q\bar{q}$  production to jet production
  - Is our approach better than the 2-gluon approximation for H1 and ZEUS data?
- The same calculation can be done again for massive quarks
- The calculation of virtual correction for the cross section is still to be performed

## Backup slides : Results in momentum space

First kind, longitudinal photon

$$F_{1L}(p_q, p_{\bar{q}}, p_g, z_0, \vec{p}_1, \vec{p}_2, \vec{p}_3) = \delta(k^+ - p_g^+ - p_q^+ - p_{\bar{q}}^+) \delta(\vec{p}_{1q} + \vec{p}_{2\bar{q}} + \vec{p}_{3g}) \theta(p_g^+ - \sigma) \\ \times \frac{\delta_{\lambda_q, -\lambda_{\bar{q}}}}{\sqrt{2p_g^+}} \frac{4iQ g(x_q + x_g \delta_{-s_g \lambda_{\bar{q}}}) ((\vec{p}_{2\bar{q}} \cdot \vec{\epsilon}_g^*) x_q + (\vec{p}_{1q} \cdot \vec{\epsilon}_g^*) (1 - x_{\bar{q}}))}{(1 - x_{\bar{q}}) x_g x_q \left(Q^2 + \frac{\vec{p}_{2\bar{q}}^2}{x_{\bar{q}}(1-x_{\bar{q}})}\right) \left(Q^2 + \frac{\vec{p}_{1q}^2}{x_q} + \frac{\vec{p}_{2\bar{q}}^2}{x_{\bar{q}}} + \frac{\vec{p}_{3g}^2}{x_g}\right)} - (q \leftrightarrow \bar{q}),$$

Second kind, longitudinal photon

$$\begin{aligned} \tilde{F}_{2L}(p_q, p_{\bar{q}}, p_g, k, \vec{p}_1, \vec{p}_2) &= \theta(p_g^+ - \sigma) \delta(k^+ - p_g^+ - p_q^+ - p_{\bar{q}}^+) \delta(\vec{p}_{1q} + \vec{p}_{2\bar{q}} - \vec{p}_g) \\ &\times \frac{4igQ}{\sqrt{2p_g^+}} \delta_{\lambda_q, -\lambda_{\bar{q}}} \frac{x_{\bar{q}} + \delta_{-s_g} \lambda_q x_g}{x_{\bar{q}} x_g} \frac{2\pi}{Q^2 + \frac{\vec{p}_{1q}^2}{x_q(1-x_q)}} \frac{\vec{P}_{\bar{q}} \cdot \vec{\epsilon}_g^*}{\vec{P}_{\bar{q}}^2} - (q \leftrightarrow \bar{q}). \end{aligned}$$

## First kind, transverse photon

$$\begin{aligned}
 F_{1T}(\rho_q, \rho_{\bar{q}}, \rho_g, z_0, \vec{p}_1, \vec{p}_2, \vec{p}_3) = & \frac{2ig}{\sqrt{2\rho_g^+}} \frac{\delta(k^+ - \rho_g^+ - \rho_q^+ - \rho_{\bar{q}}^+) \delta(\vec{p}_{1q} + \vec{p}_{2\bar{q}} + \vec{p}_{3g}) \theta(\rho_g^+ - \sigma) \delta_{-\lambda_{\bar{q}}\lambda_q}}{Q^2 (1-x_q) \left( \frac{\vec{p}_{1q}^2}{x_q} + \frac{\vec{p}_{2\bar{q}}^2}{x_{\bar{q}}} + \frac{\vec{p}_{3g}^2}{x_g} + Q^2 \right)} \left\{ \delta_{ss_g} \delta_{s\lambda_q} \right. \\
 & \left. + 2(\vec{p}_{1q} \cdot \vec{\epsilon}_T)(\vec{p}_{2\bar{q}} \cdot \vec{\epsilon}_g^*)(x_{\bar{q}} + x_g) + (\vec{p}_{1q} \cdot \vec{\epsilon}_g^*)x_{\bar{q}} \frac{(x_q - \delta_{s\lambda_{\bar{q}}})(x_g \delta_{-s_g\lambda_q} + x_{\bar{q}})}{(1-x_q)x_q x_{\bar{q}} x_g \left( Q^2 + \frac{\vec{p}_{1q}^2}{(1-x_q)x_q} \right)} \right\} - (q \leftrightarrow \bar{q}).
 \end{aligned}$$

Second kind, transverse photon

$$\begin{aligned} \tilde{F}_{2T}(p_q, p_{\bar{q}}, p_g, k, \vec{p}_1, \vec{p}_2) = & -\theta(p_g^+ - \sigma) \delta(k^+ - p_g^+ - p_q^+ - p_{\bar{q}}^+) \delta(\vec{p}_{1q} + \vec{p}_{2\bar{q}} - \vec{p}_g) \frac{\delta_{\lambda_q, -\lambda_{\bar{q}}}}{\sqrt{2p_g^+}} \\ & \times 4g \frac{(\delta_{\lambda_{\bar{q}}s} - x_q)(\delta_{-s_g \lambda_q} x_g + x_{\bar{q}})}{x_{\bar{q}} x_g} \frac{2\pi i (\vec{p}_{1q} \cdot \vec{\epsilon}_T)}{x_q (1 - x_q) Q^2 + \vec{p}_{1q}^2} \frac{\vec{P}_{\bar{q}} \cdot \vec{\epsilon}_g^*}{\vec{P}_{\bar{q}}^2} - (q \leftrightarrow \bar{q}) \end{aligned}$$