

Problems in Hadron Physics

RHIC Spin Physics

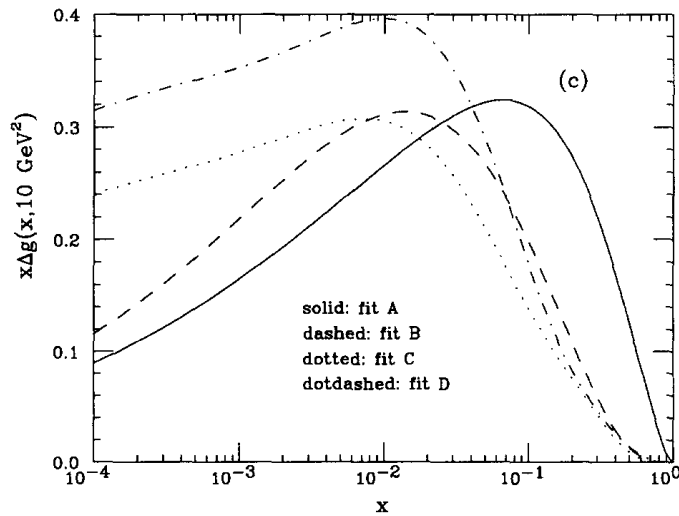
July 4, 2014

Paris, France

Lecture 2 A

High expectations from ΔG

Altarelli et al. NP B 496 (1997) \rightarrow NLO pQCD analysis of inclusive DIS in AB scheme

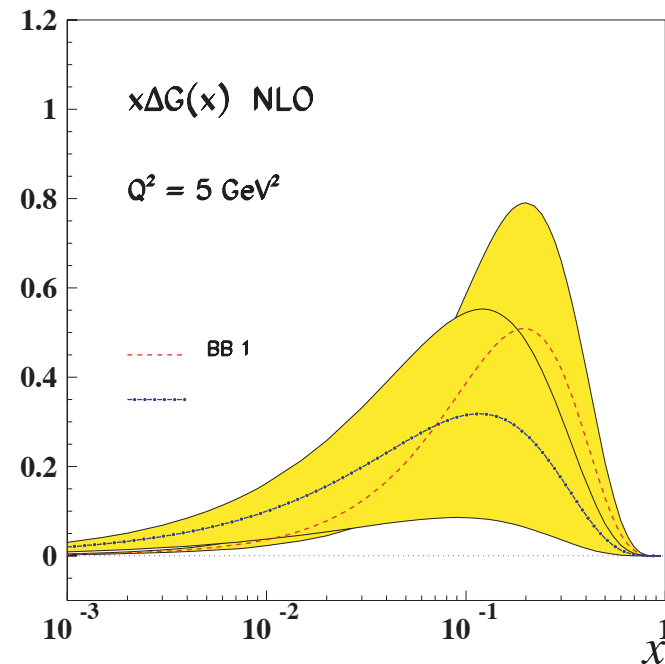


$$\Delta\Sigma(1) = 0.45 \pm 0.04 \text{ (exp)} \pm 0.08 \text{ (th)} = 0.45 \pm 0.09,$$

$$\underline{\Delta g(1, 1 \text{ GeV}^2) = 1.6 \pm 0.4 \text{ (exp)} \pm 0.8 \text{ (th)} = 1.6 \pm 0.9,}$$

$$a_0(\infty) = 0.10 \pm 0.05 \text{ (exp)} \begin{matrix} +0.17 \\ -0.10 \end{matrix} \text{ (th)} = 0.10 \begin{matrix} +0.17 \\ -0.11 \end{matrix},$$

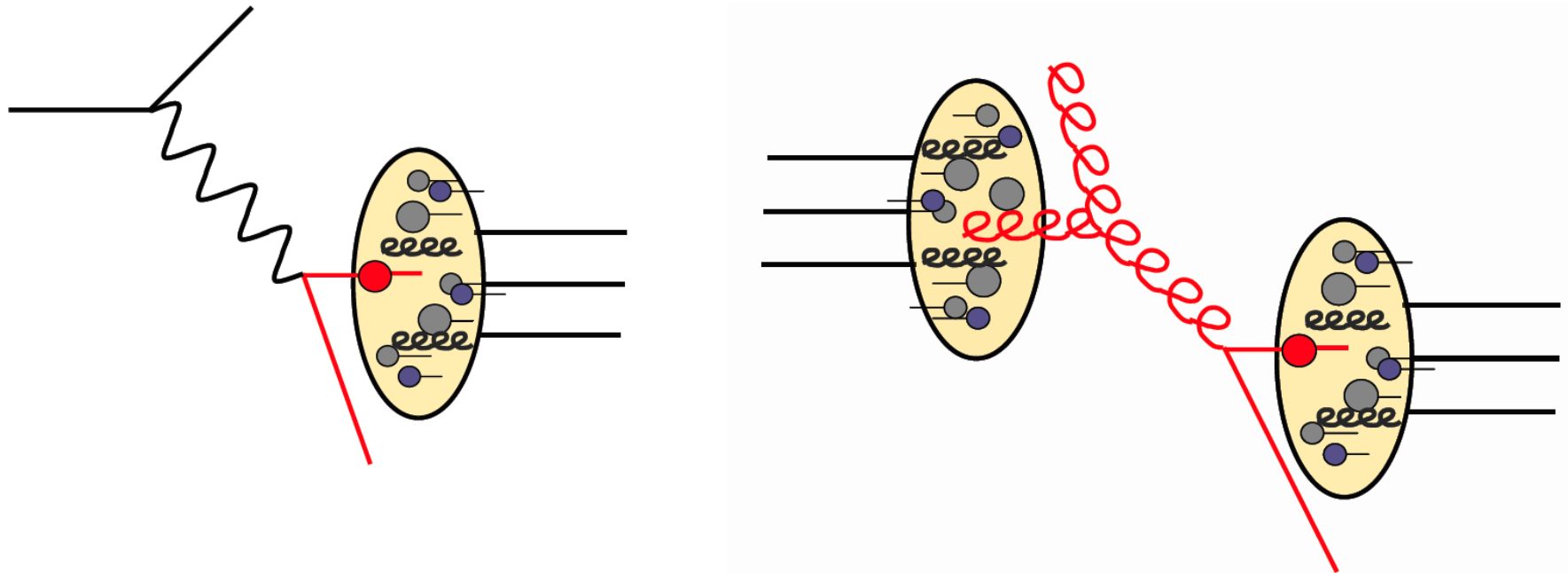
Blumlein et al. NP A721 (2003)
 \rightarrow NLO pQCD in $\overline{\text{MS}}$ Scheme:
 ΔG at $Q^2=4 \text{ GeV}^2 \sim 1.0 \pm 0.7$



Motivation for RHIC Spin:

- If gluons really carry the bulk of nucleon's spin, why not use polarized proton (known by then to be predominantly made of gluons!)?
 - Technical know-how (Siberian Snakes, Spin Rotators, polarimetry ideas) to do this at high energy evolved around the time (mid/late-1990s)
- Why $\Delta\Sigma$ (quark + anti-quark's spin) small? **Are quark and anti-quark spins anti-aligned?** Polarized $p+p$ at high energy, through $W^{+/-}$ production could address this
- A severe need for investigations of the surprising transverse spin effects was naturally possible and needed with the proposed polarized $p+p$ collider...

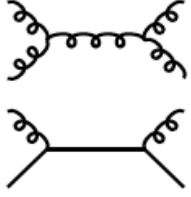
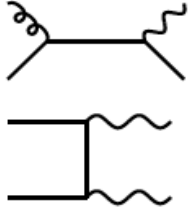
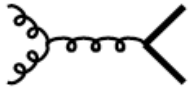
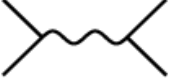
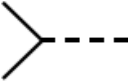
Complementary techniques



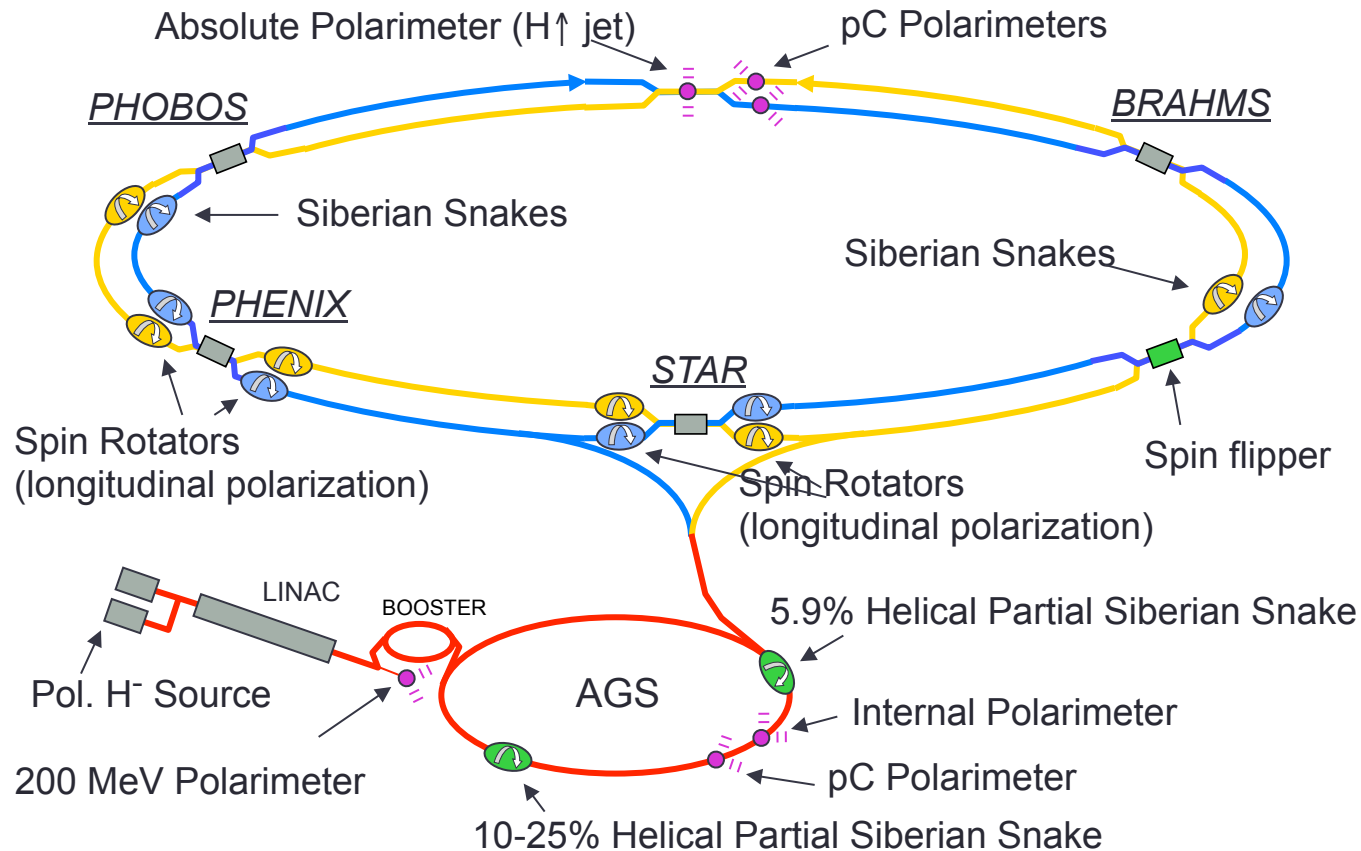
Photons colorless: forced to interact at NLO with gluons
 Can't distinguish between quarks and anti-quarks either

Why not use polarized quarks and gluons abundantly available in protons as probes ?

The probes and techniques at RHIC

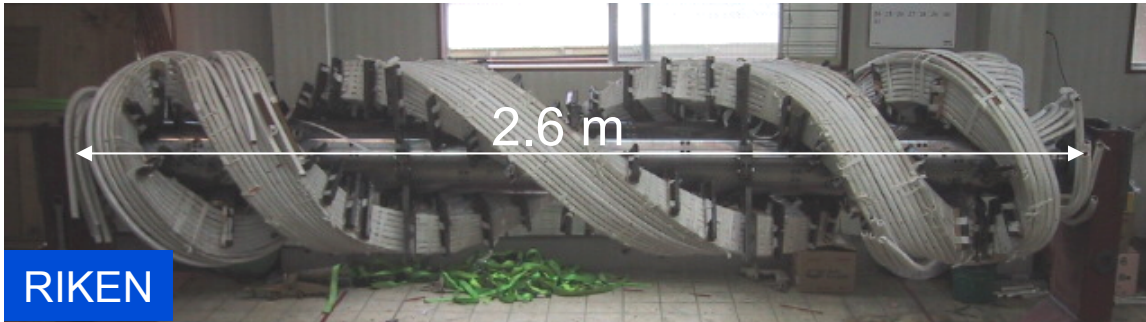
Reaction	Dom. partonic process	probes	LO Feynman diagram
$\vec{p}\vec{p} \rightarrow \pi + X$	$\vec{g}\vec{g} \rightarrow gg$ $\vec{q}\vec{g} \rightarrow qg$	Δg	
$\vec{p}\vec{p} \rightarrow \text{jet}(s) + X$	$\vec{g}\vec{g} \rightarrow gg$ $\vec{q}\vec{g} \rightarrow qg$	Δg	(as above)
$\vec{p}\vec{p} \rightarrow \gamma + X$ $\vec{p}\vec{p} \rightarrow \gamma + \text{jet} + X$ $\vec{p}\vec{p} \rightarrow \gamma\gamma + X$	$\vec{q}\vec{g} \rightarrow \gamma q$ $\vec{q}\vec{g} \rightarrow \gamma q$ $\vec{q}\vec{q} \rightarrow \gamma\gamma$	Δg Δg $\Delta q, \Delta \bar{q}$	
$\vec{p}\vec{p} \rightarrow DX, BX$	$\vec{g}\vec{g} \rightarrow c\bar{c}, b\bar{b}$	Δg	
$\vec{p}\vec{p} \rightarrow \mu^+\mu^- X$ (Drell-Yan)	$\vec{q}\vec{q} \rightarrow \gamma^* \rightarrow \mu^+\mu^-$	$\Delta q, \Delta \bar{q}$	
$\vec{p}\vec{p} \rightarrow (Z^0, W^\pm)X$ $p\vec{p} \rightarrow (Z^0, W^\pm)X$	$\vec{q}\vec{q} \rightarrow Z^0, \vec{q}'\vec{q} \rightarrow W^\pm$ $\vec{q}'\vec{q} \rightarrow W^\pm, q'\vec{q} \rightarrow W^\pm$	$\Delta q, \Delta \bar{q}$	

RHIC as a Polarized Proton Collider

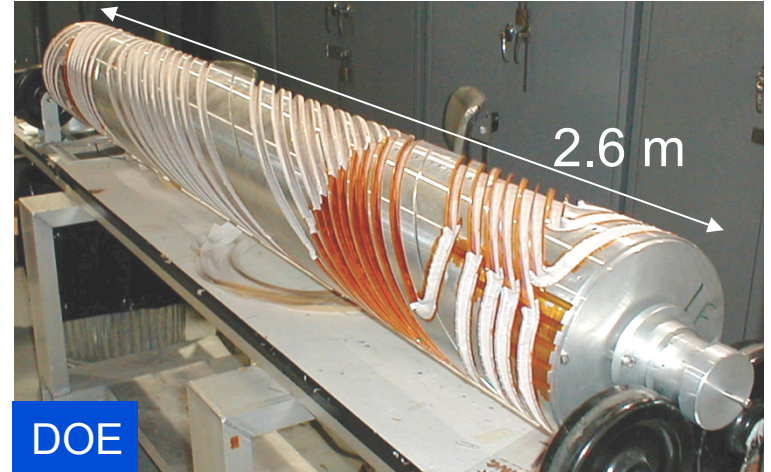


Without Siberian snakes: $\nu_{sp} = G\gamma = 1.79 E/m \rightarrow \sim 1000$ depolarizing resonances
 With Siberian snakes (local 180° spin rotators): $\nu_{sp} = \frac{1}{2} \rightarrow$ no first order resonances
 Two partial Siberian snakes (11° and 27° spin rotators) in AGS

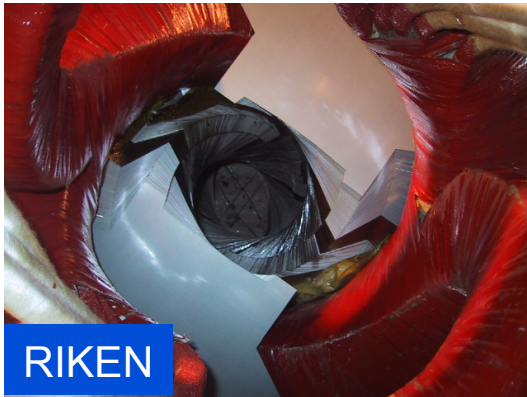
Siberian Snakes



RIKEN



DOE

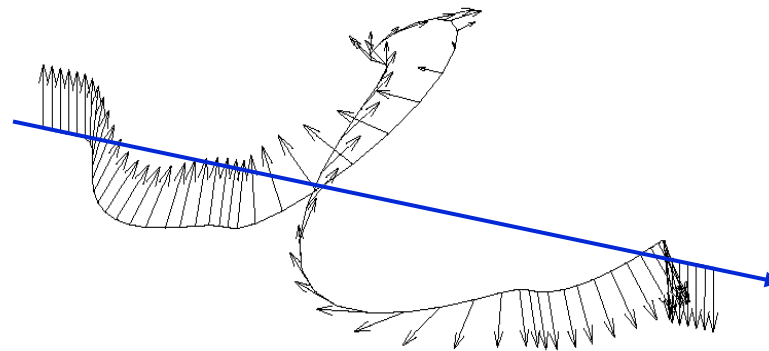


RIKEN

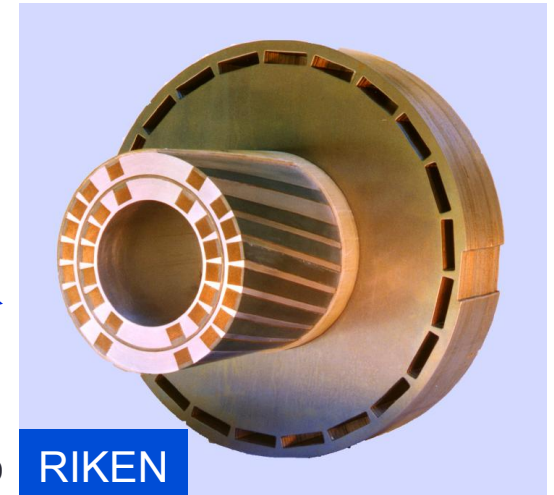
- AGS Siberian Snakes: variable twist helical dipoles, 1.5 T (RT) and 3 T (SC), 2.6 m long
- RHIC Siberian Snakes: 4 SC helical dipoles, 4 T, each 2.4 m long and full 360° twist



RIKEN

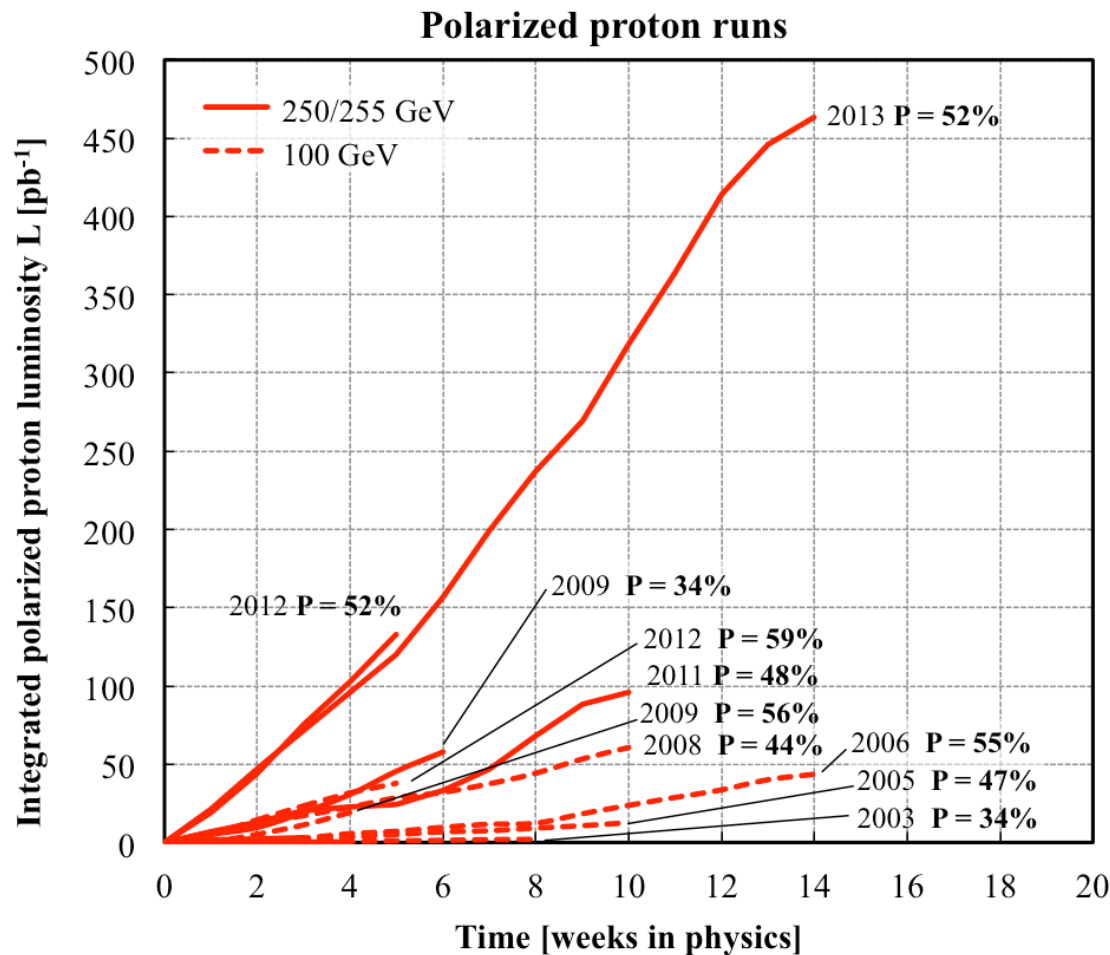


Courtesy of A. Luccio



RIKEN

RHIC polarized collider: a success!



Runs 4,5,6 & 9 with 100 GeV beams

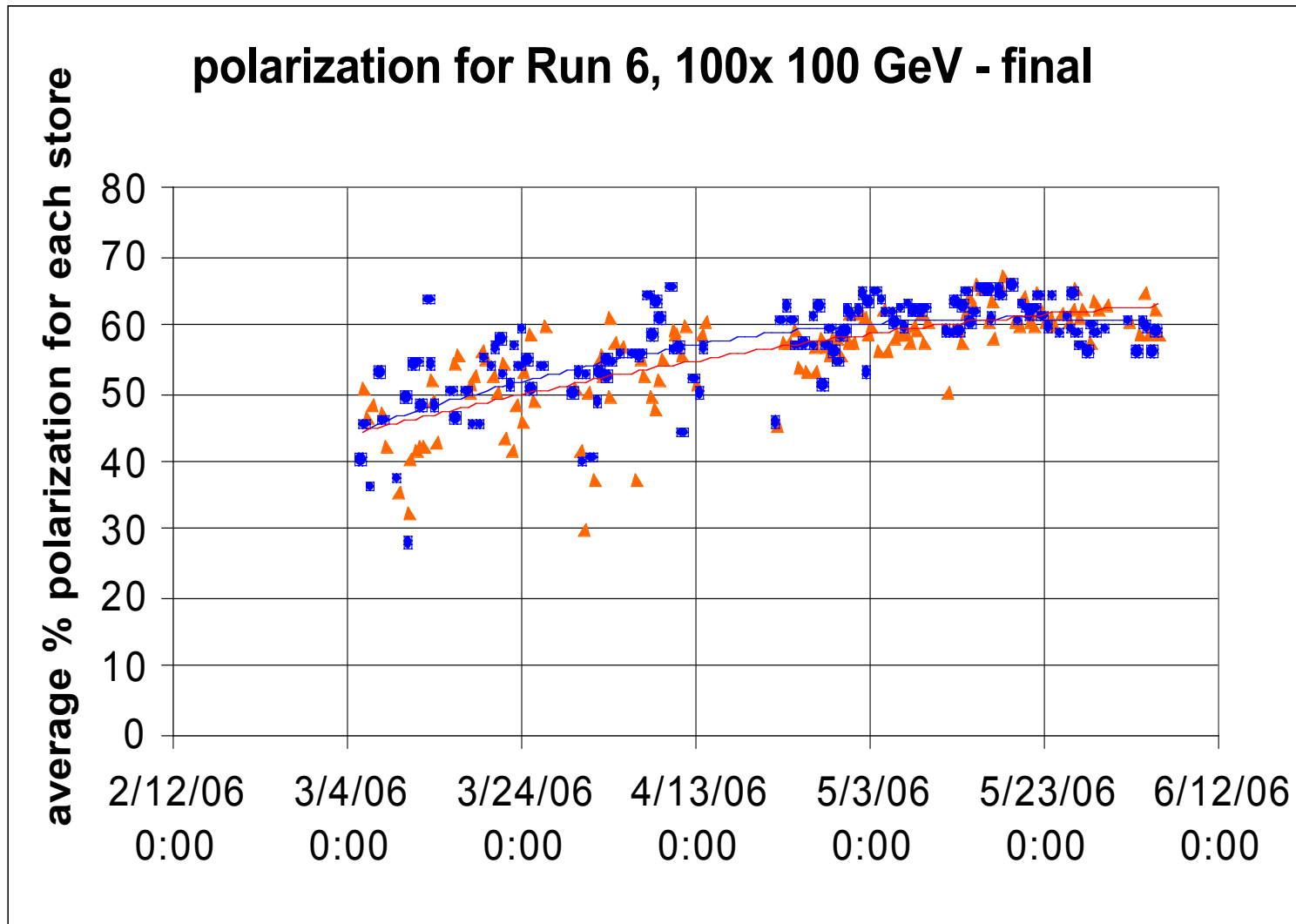
- ΔG , transverse spin

Runs 9,11,12, 13 with 250 GeV beams

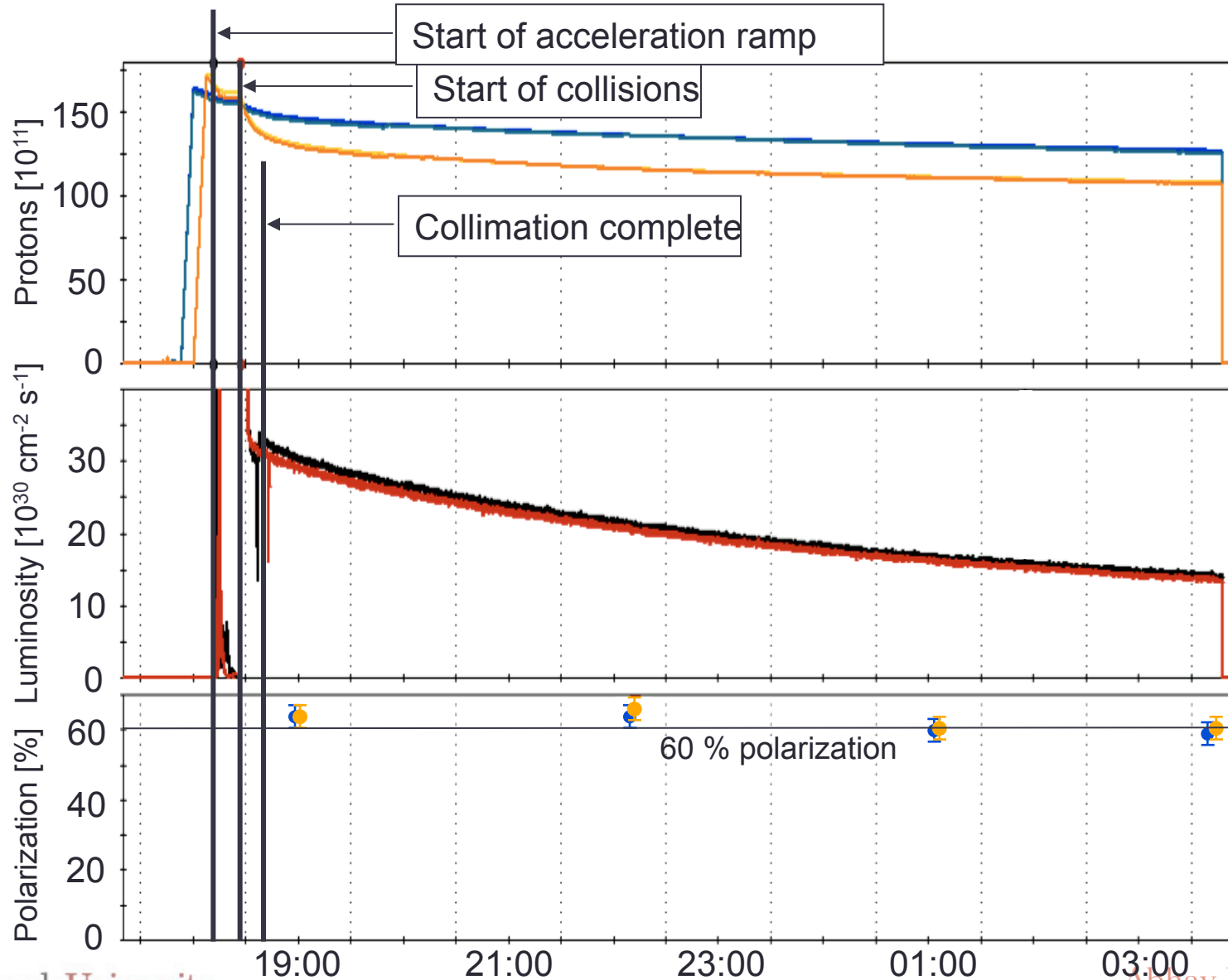
- ΔG , W-Physics

See experimental and theoretical talks in this session for details of various results & their interpretations

Polarization at 100 GeV



A typical store at 100 GeV



RHIC beam polarimetry

How do we know the proton beams are polarized?

Polarimetry had to be developed

How do we know that they are longitudinally polarized?

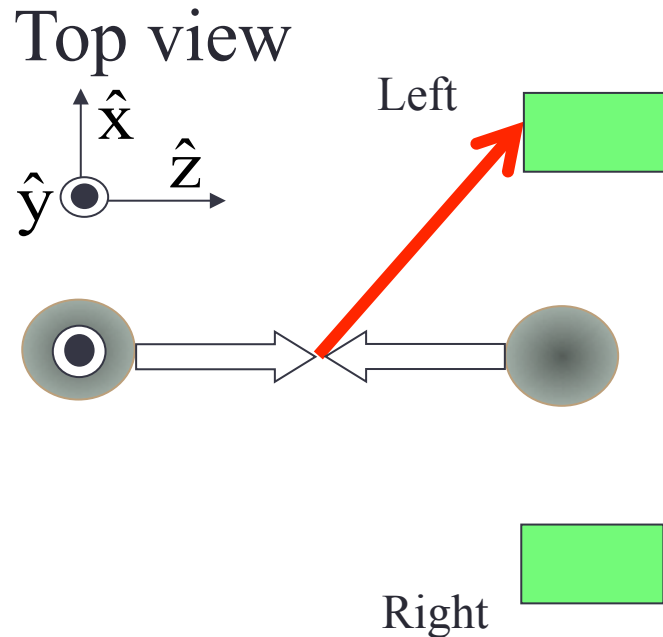
Stable direction of spins in the storage ring is vertical, spin rotator magnets rotate them. How do we know they are doing their job?

RHIC polarimetry (I)

Measurement of degree of polarization

- Elastic p-p Coulomb Nuclear Interference (CNI) measures **absolute polarization** employing a polarized Hydrogen-Jet (as target)
- Elastic p-Carbon CNI polarimeter **monitors the degree of polarization with multiple measurements during fills**
- Both together succeeded in getting an uncertainty in the polarization measurement of $\pm 4.7\%$

Beam polarization measurement (1)



- Use single spin asymmetry in elastic scattering of p-p and p-Carbon
 - Coulomb Nuclear Interference (CNI) kinematics

$$\text{SSA} = \text{pol.} \frac{1}{\sqrt{N_{\uparrow}^L N_{\downarrow}^R} + \sqrt{N_{\uparrow}^R N_{\downarrow}^L}} \left(\sqrt{N_{\uparrow}^L N_{\downarrow}^R} - \sqrt{N_{\uparrow}^R N_{\downarrow}^L} \right)$$

For a known/understood scattering process, measure the single spin asymmetry and calculate the **beam polarization**.

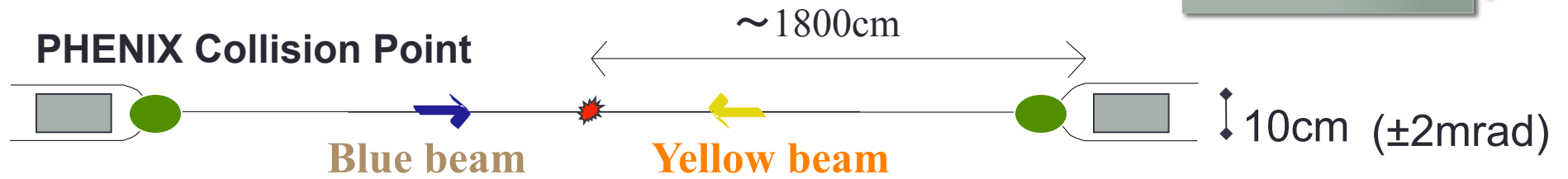
RHIC polarimetry (I)

Determination and monitoring of spin vector direction in the interaction region

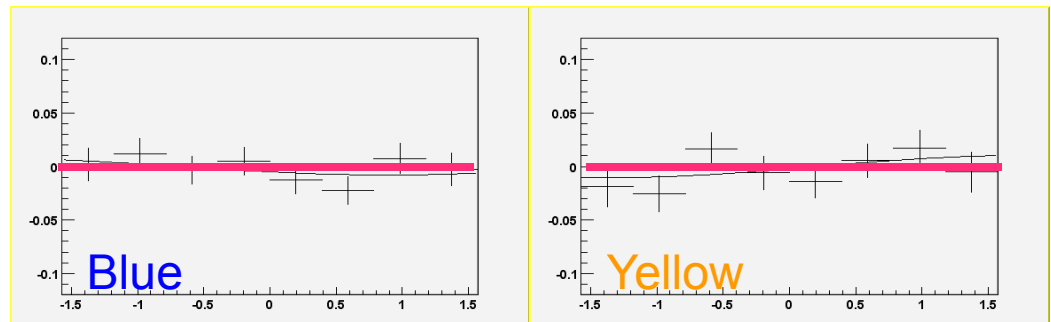
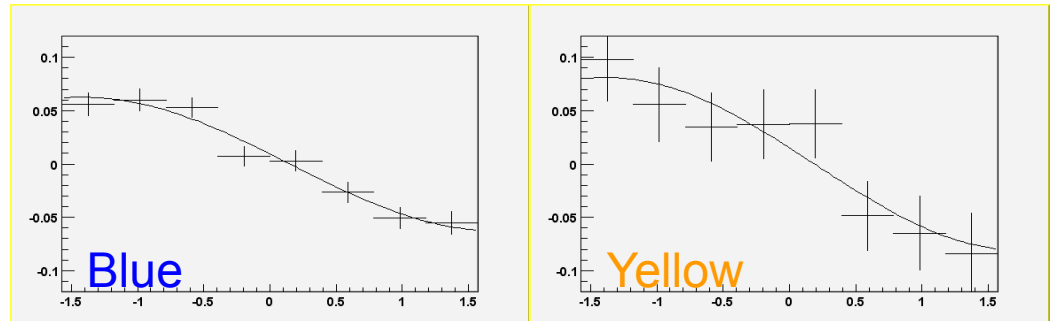
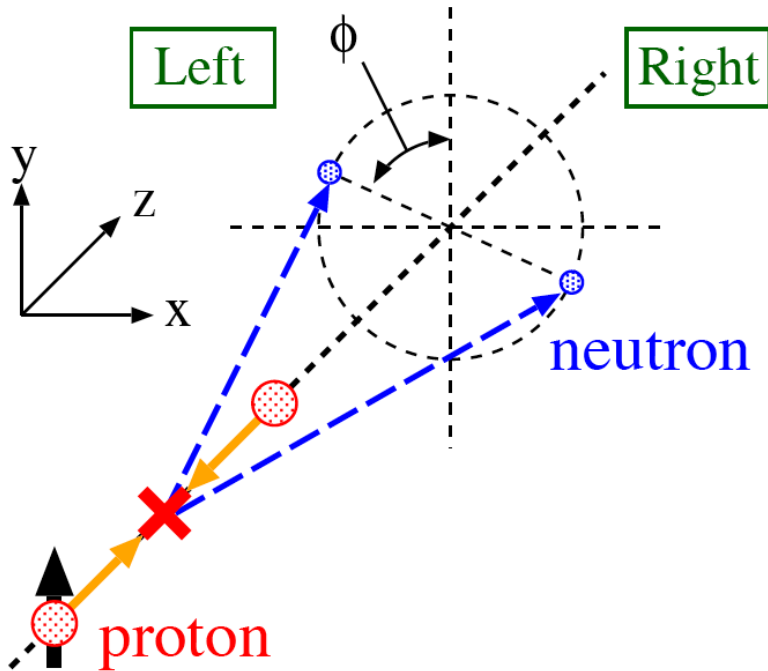
- Employs (accidentally discovered in 2002) single transverse spin asymmetry in “forward” neutron production
- “Local Polarimeters”: at PHENIX, now at STAR as well

PHENIX* Local Polarimeter

*Now also STAR

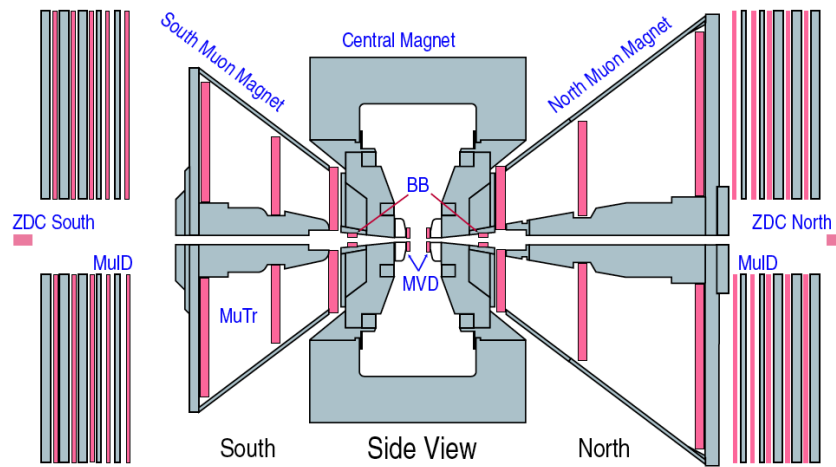
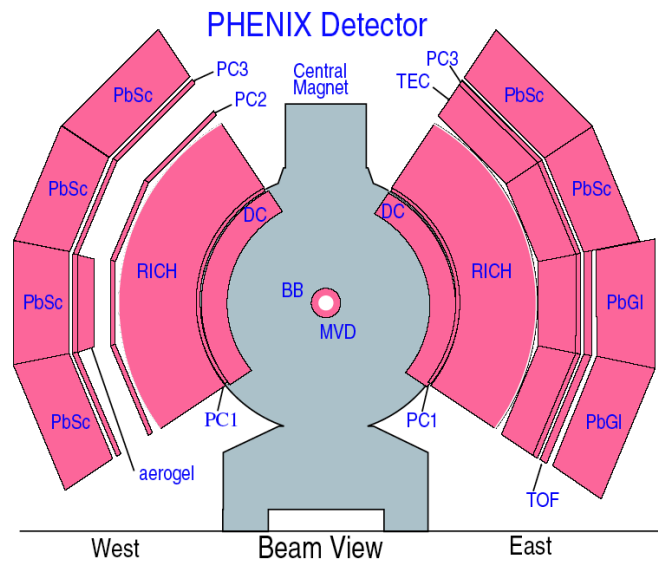


$P_L/P > 0.99$ blue & yellow



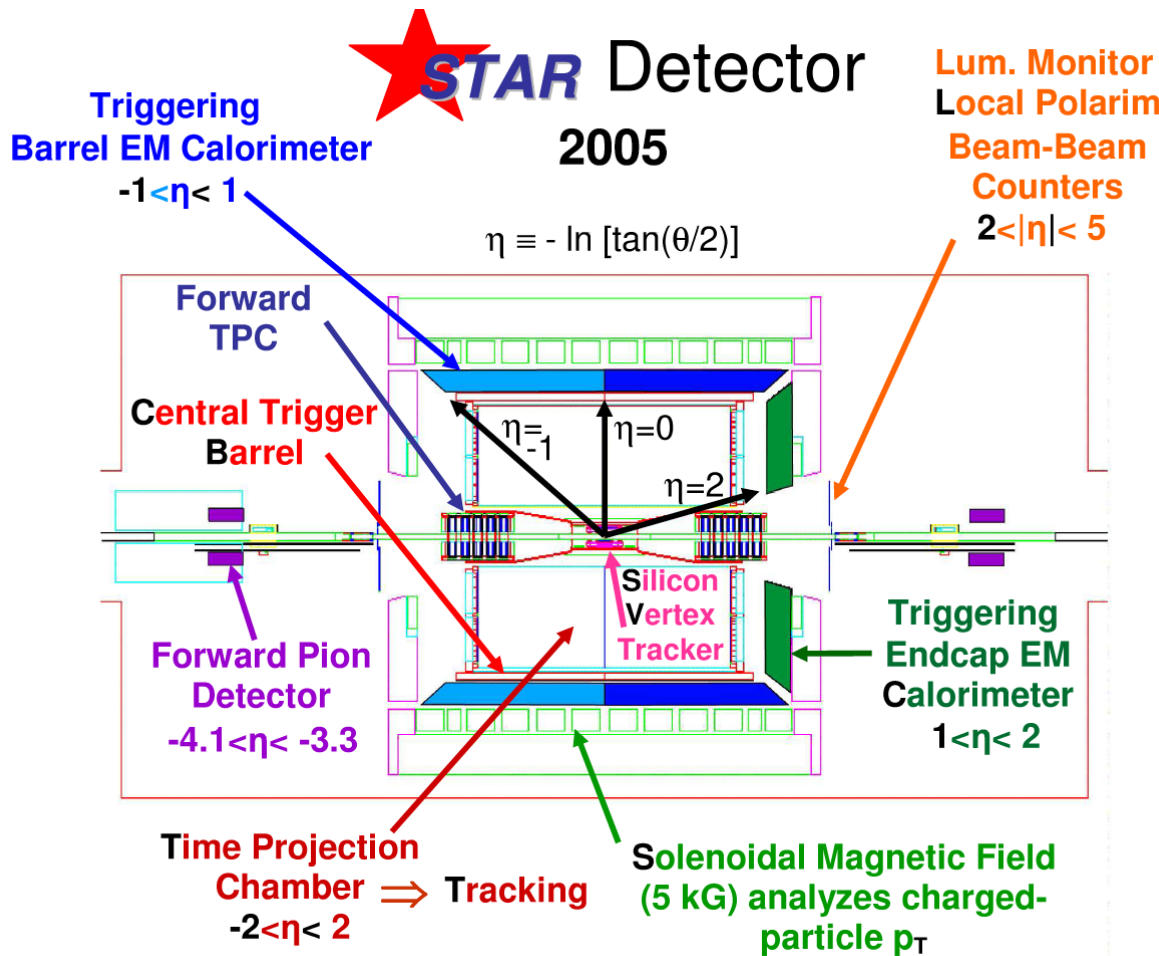
ΔG MEASUREMENTS

PHENIX Detector at RHIC



- **Design philosophy:**
 - **High resolution limited acceptance**
 - **High rate capability DAQ**
 - **Excellent triggers for rare events**
- Central arm
 - Tracking: Drift chambers, pad chambers, time expansion chamber
 - Superb EM Calorimetry PbGI, PbSc
 $\Delta\phi \times \Delta\eta \sim 0.01 \times 0.01$
 π^0 to 2γ resolved up to 25 GeV pT
 - Particle Identification: RICH, TOF
- Forward Muon Arms:
 - Muon tracker, muon identifiers
- Global detectors:
 - Beam beam collision (BBC) counter, Zero Degree Calorimeters (ZDCs)
- Online monitoring, calibration and production

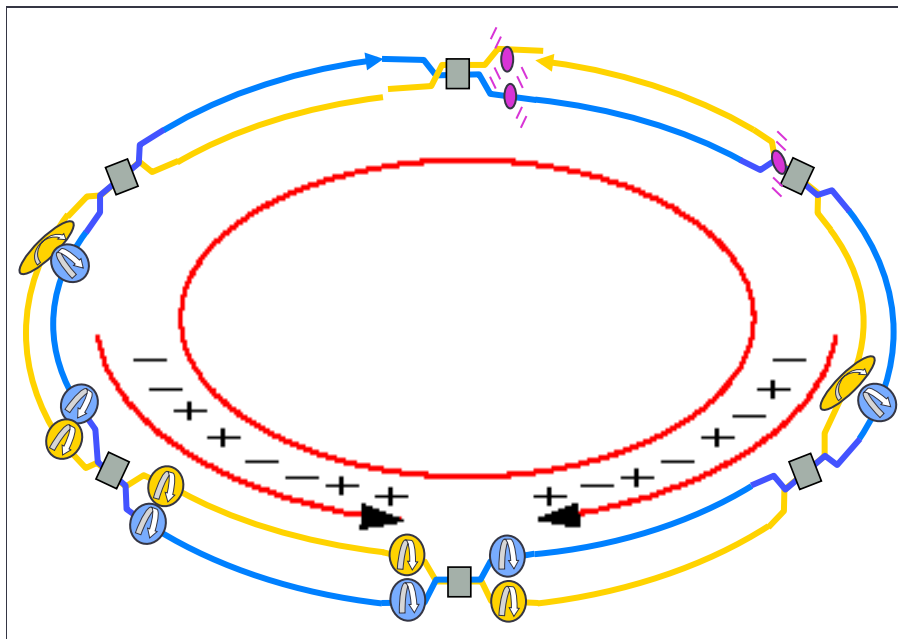
STAR Detector at RHIC



- *Design Philosophy:*
 - *Maximize acceptance*
 - *lower resolution*
- Subsystems:
 - f = 2p acceptance in EM calorimetry Barrel and EndCap
Total: -1 <math> < h < 2</math>
 - Time Projection Chamber
 - Separate Forward pion detector
 - Silicon vertex tracker
 - Beam-Beam Counters
 - Zero Degree Calorimeter

Measuring A_{LL}

$$A_{LL} = \frac{d\sigma_{++} - d\sigma_{+-}}{d\sigma_{++} + d\sigma_{+-}} = \frac{1}{|P_1 P_2|} \frac{N_{++} - RN_{+-}}{N_{++} + RN_{+-}}; \quad R = \frac{L_{++}}{L_{+-}}$$



- (N) Yield
- (R) Relative Luminosity
- (P) Polarization

Exquisite control over false asymmetries due to ultra fast rotations of the target and probe spin.

- ✓ Bunch spin configuration alternates every 106 ns
- ✓ Data for all bunch spin configurations are collected at the same time
- ⇒ Possibility for false asymmetries are greatly reduced

Accessing ΔG in p+p Collisions at RHIC

$$A_{LL} = \frac{\sigma_{++} - \sigma_{+-}}{\sigma_{++} + \sigma_{+-}} = \frac{\sum_{a,b,c=q,\bar{q},g} \Delta f_a \otimes \Delta f_b \otimes \Delta \hat{\sigma} \otimes D_{\pi/c}}{\sum_{a,b,c=q,\bar{q},g} f_a \otimes f_b \otimes \hat{\sigma} \otimes D_{\pi/c}}$$

From ep (&pp)
(HERA mostly)

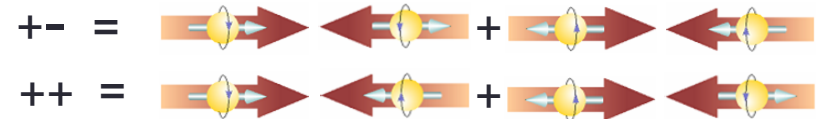
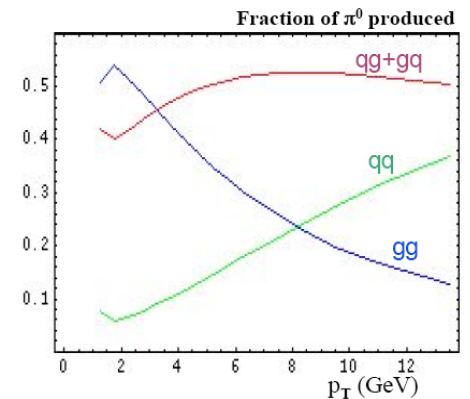
NLO pQCD

From e+e-
(& SIDIS,pp)

- If $\Delta f \neq \Delta q$, then we have this from pDIS
- So roughly, we have

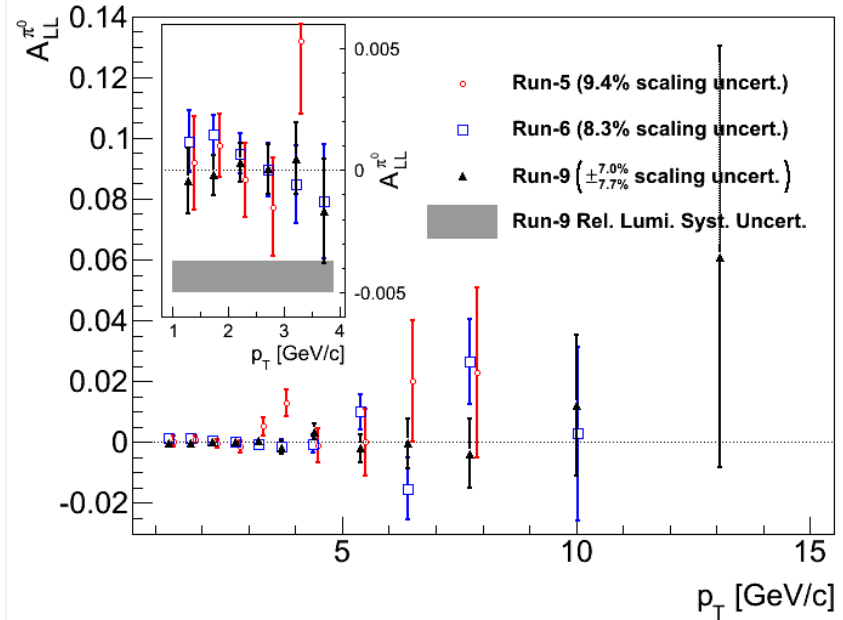
$$A_{LL} \cong a_{gg} \Delta g^2 + b_{gq} \Delta g \Delta q + c_{qq} \Delta q^2$$

where the coefficients a, b and c depend on final state observable and event kinematics (η, p_T).

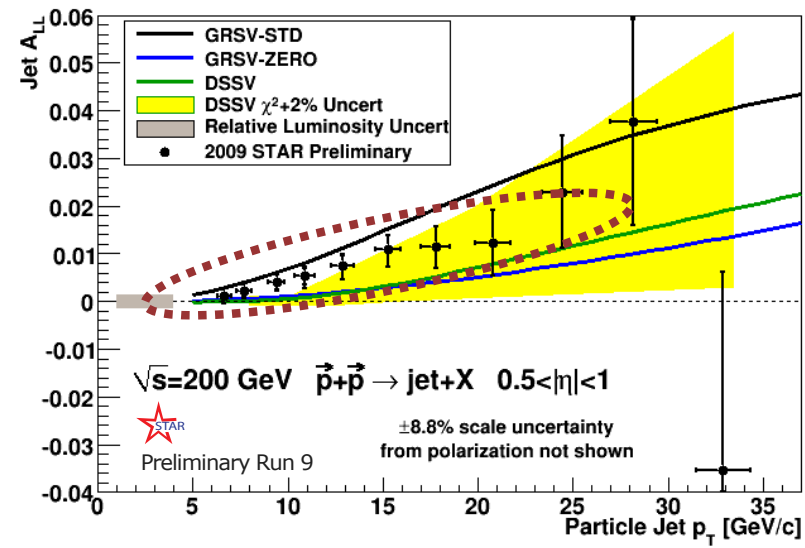
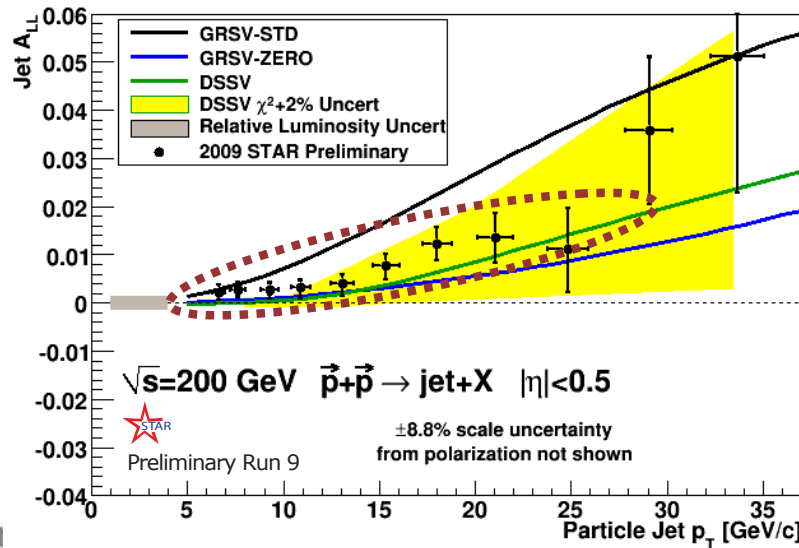


Most impactful results: on ΔG

- Inclusive probes
- Many others but highest impact with π^0 and jets
- Have been used in recent NLO pQCD analyses
- Experimental & theory systematic uncertainties have largely been downplayed.. This is an opportunity for near term improvement (Manion's talk)



A. Manion for PHENIX

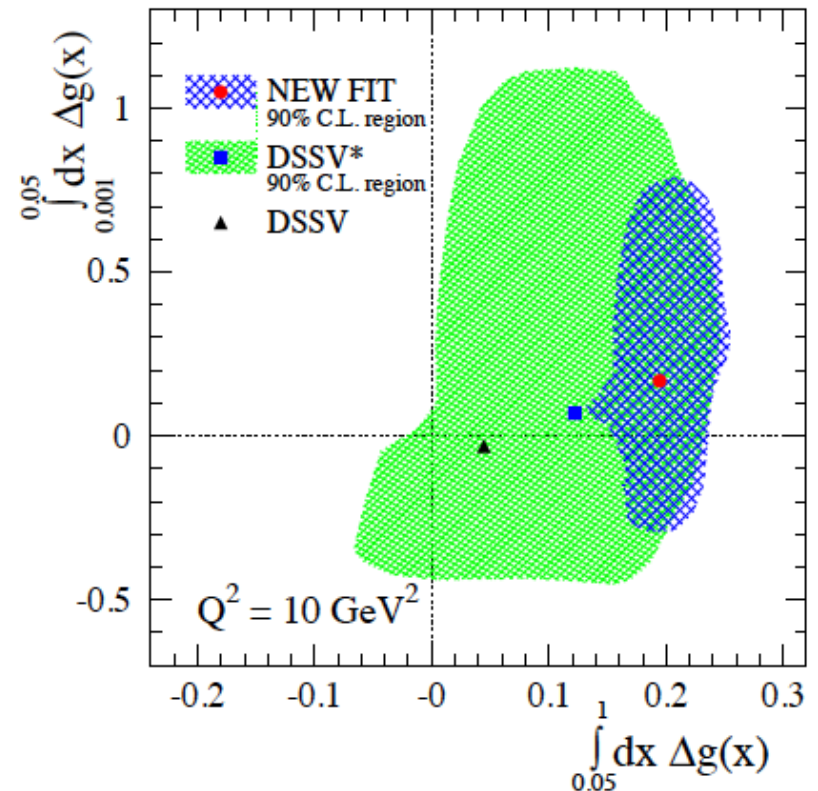
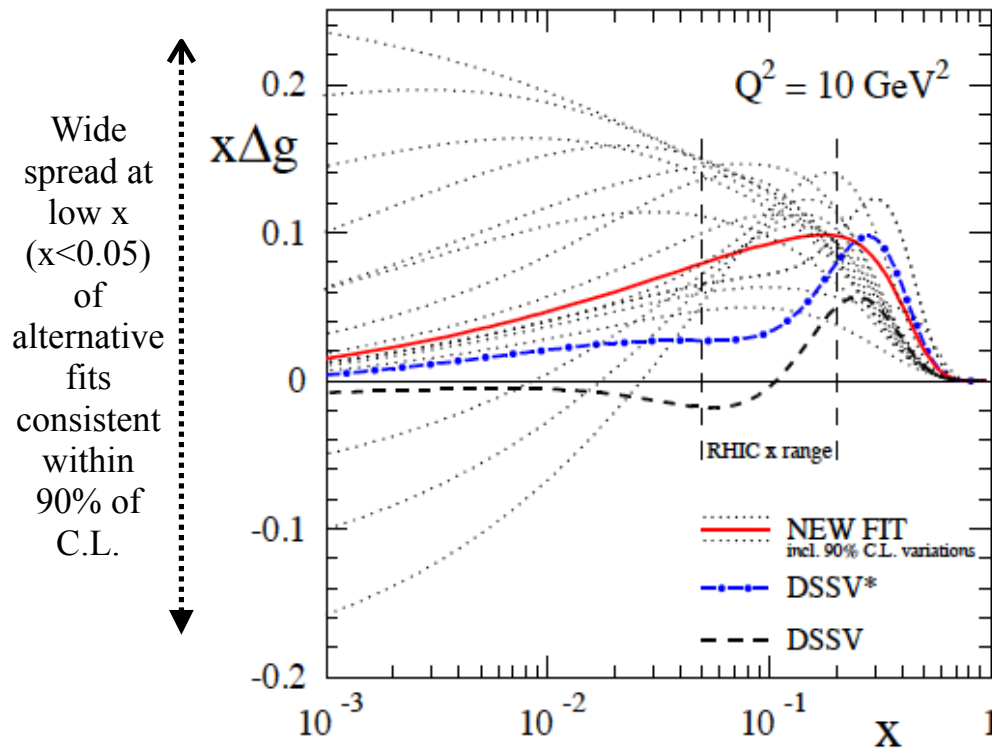


B. Surrow for STAR
at DIS2014



Recent global analysis: DSSV

D. deFlorian et al., arXiv:1404.4293

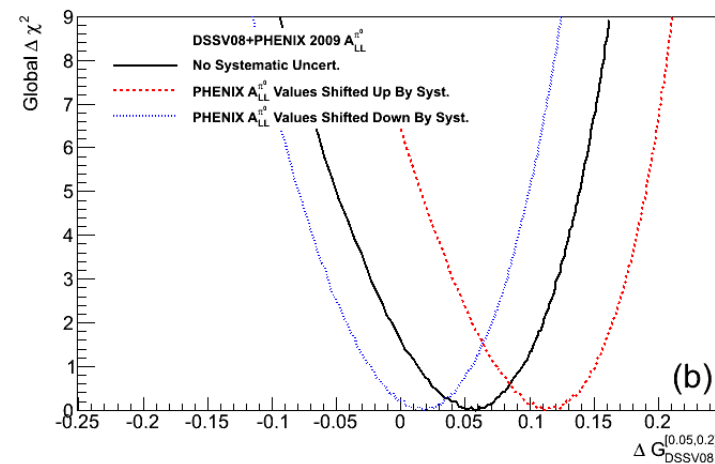
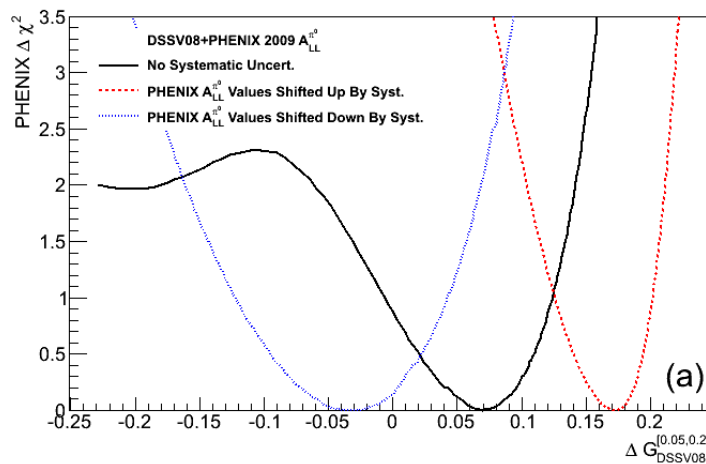


Dramatically makes the statement that, while we have made a huge impact, We are improving ΔG contributions only in a limited x -region, **allowing large uncertainties to remain in the low- x unmeasured region!**

Experimentalists ask for systematic uncertainty:

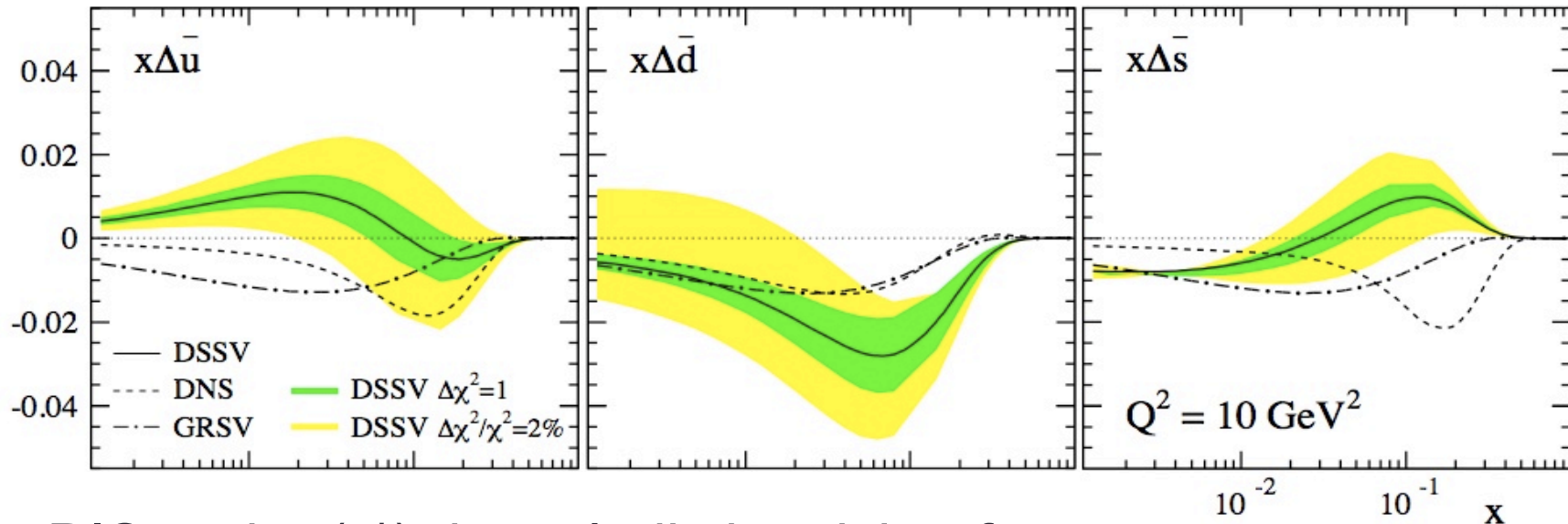
Adding 2009 PHENIX Data, Effect of RL Systematic Uncert.

- Added 2009 PHENIX $\pi^0 A_{LL}$ to the DSSV08 analysis
 - along with updates of some prelim data to final
- DSSV08 global analysis did not include systematic uncertainties from the experiments
- Effect of shifting only PHENIX $\pi^0 A_{LL}$ up or down by its total systematic uncertainty
 - dominated by systematic uncertainty on relative luminosity



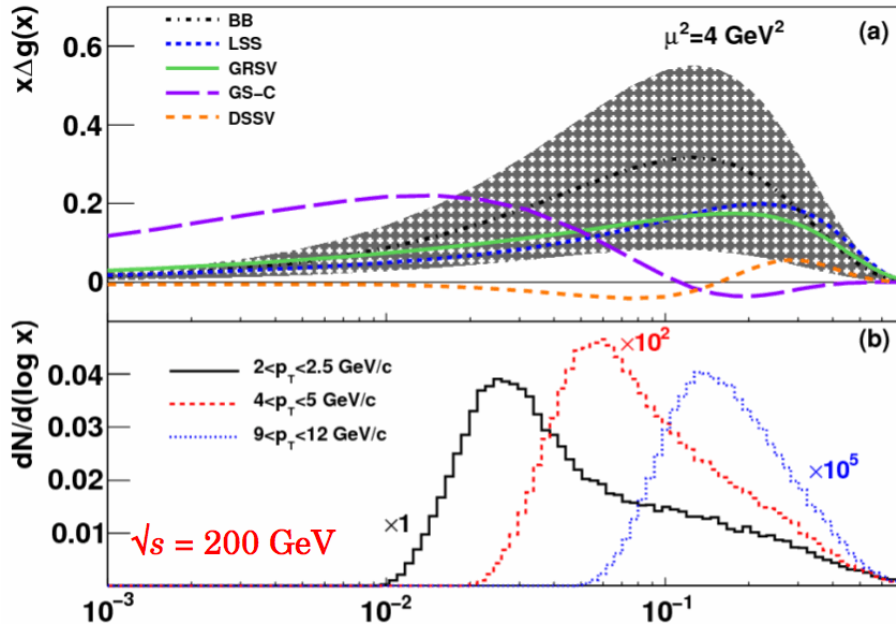
Andrew Manion Ph.D. Thesis, Stony Brook

What about the anti-quark polarization?



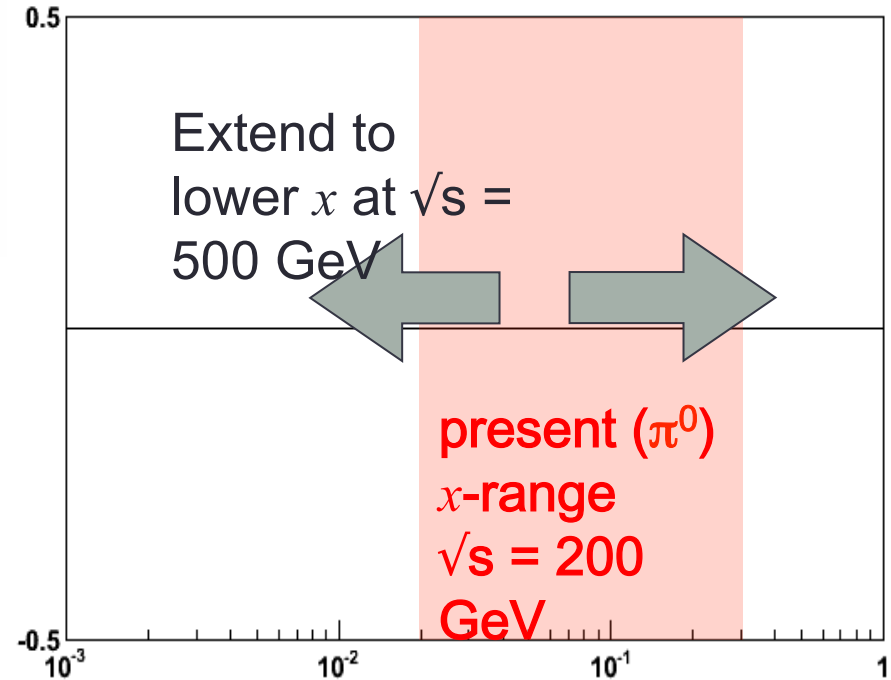
- DIS probe (γ^*) doesn't distinguish q from $q\bar{q}$
 - Has to take measure semi-inclusive (π , K production)
 - Uncertainties in fragmentation functions
- High energy p-p collisions enable probing $q, q\bar{q}$ through $W^{+/-}$ production \rightarrow Plan at RHIC

Principle Limitations

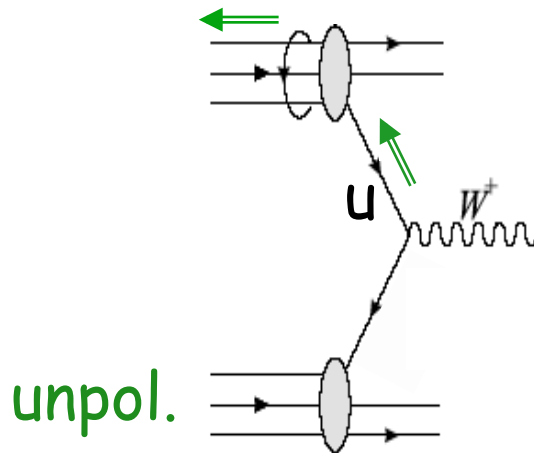


- Overlapping x for p_T bins
- Need more exclusive measurements (Luminosity)
 - γ -Jet (PHENIX VTX upgrade)
 - Jet-Jet (STAR ready)

- Limited x -range
- Need to widen it...
 - Higher and lower Center of Mass Operation
 - PHENIX measured at 62 GeV CM



Anti-Quark Polarization measurement via W production and decay



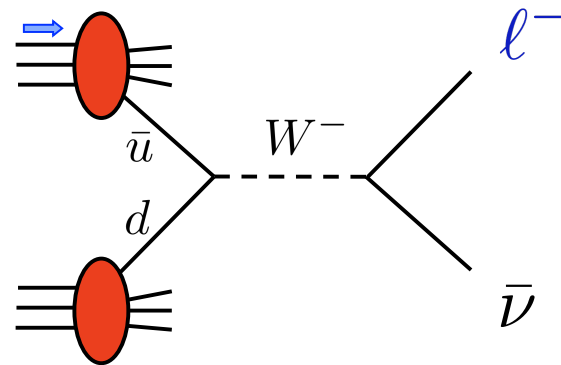
$$\sqrt{s} = 500 \text{ GeV}$$

- Large parity violating effect anticipated

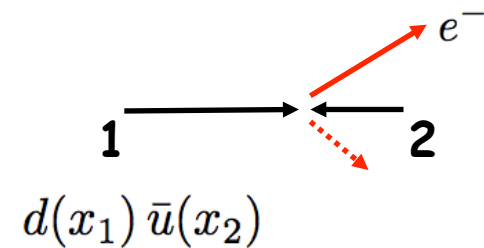
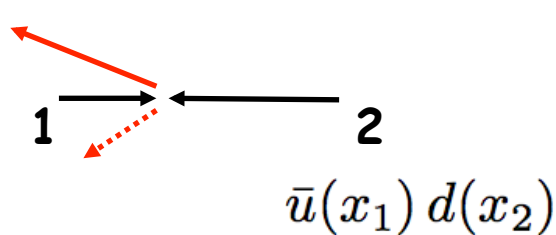
$$A_L = \frac{\sigma^+ - \sigma^-}{\sigma^+ + \sigma^-} \neq 0$$

- Measurement complimentary to SIDIS, but devoid of fragmentation function makes it cleaner!
- NLO analyses about now available

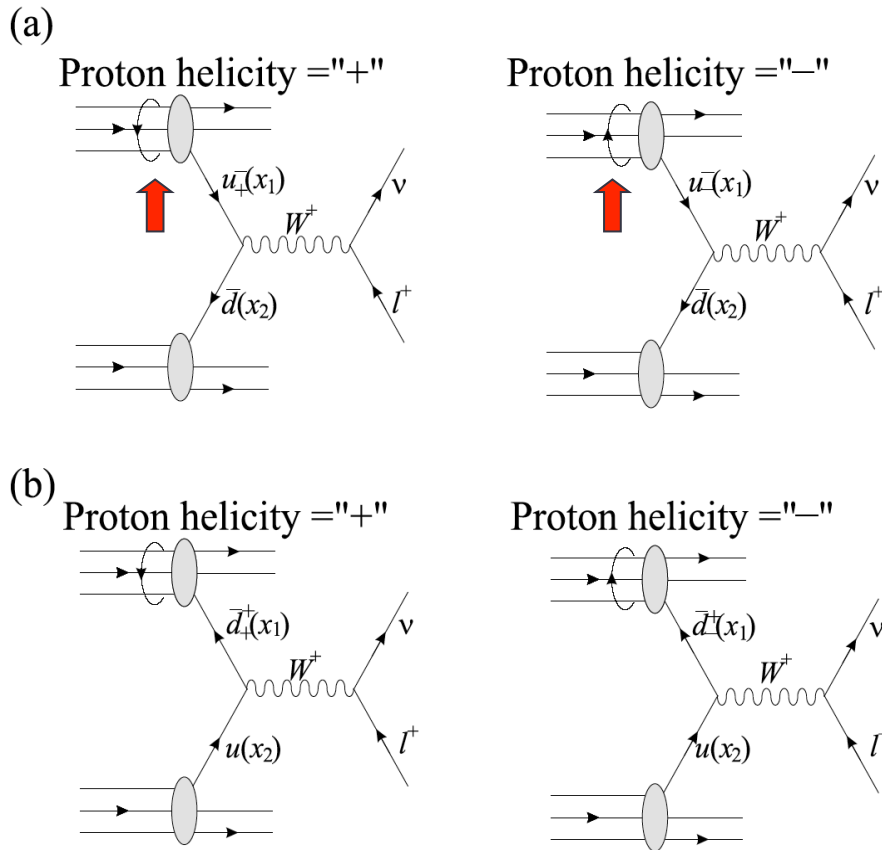
Some insight in to what goes on....



$$\sigma^{W^-} \propto \bar{u}(x_1) d(x_2) + d(x_1) \bar{u}(x_2)$$



W production @ RHIC



$$A_L^{W^+} = \frac{u^-(x_1)d(x_2) - u_+^-(x_1)d(x_2)}{u^-(x_1)\bar{d}(x_2) + u_+^-(x_1)\bar{d}(x_2)} = \frac{\Delta u(x_1)}{u(x_1)}$$

$$A_L^{W^-} = \frac{\bar{d}_-^+(x_1)u(x_2) - \bar{d}_+^+(x_1)u(x_2)}{\bar{d}_-^+(x_1)u(x_2) + \bar{d}_+^+(x_1)u(x_2)} = -\frac{\Delta \bar{d}(x_1)}{\bar{d}(x_1)} e$$

Identify a W event:

W decays in to a charged lepton and a neutrino

Spin and momentum correlations important

→ 40 GeV charged lepton + 40 GeV neutrino

→ Identification of charged lepton?

Isolated charged lepton identification (e or μ)

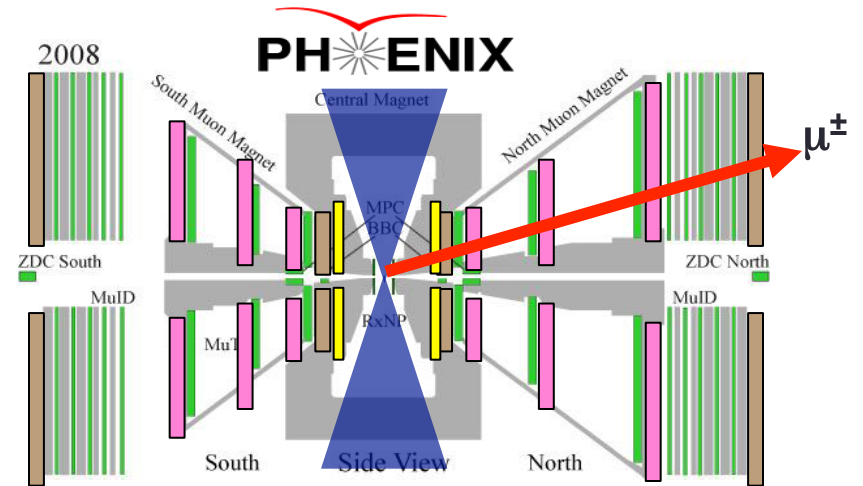
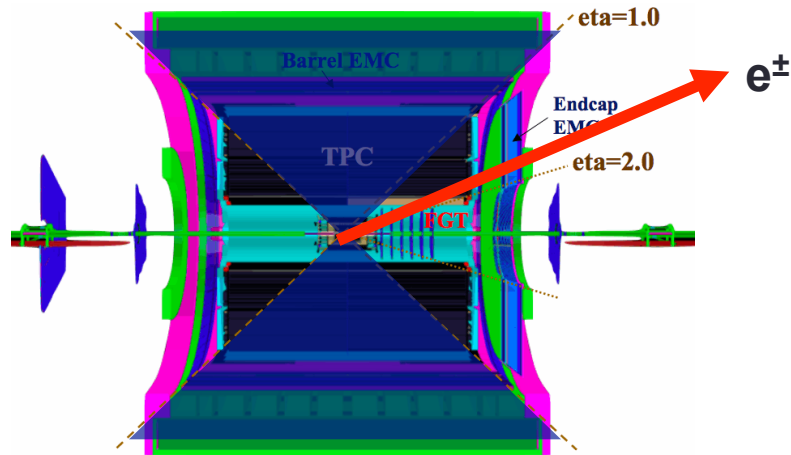
If electron final state is measured: (central arms of PHENIX, every where in STAR), with electromagnetic calorimetry

If muon final state, tracking and MuID system is needed:

→ MuID : large amount of material followed by tracking

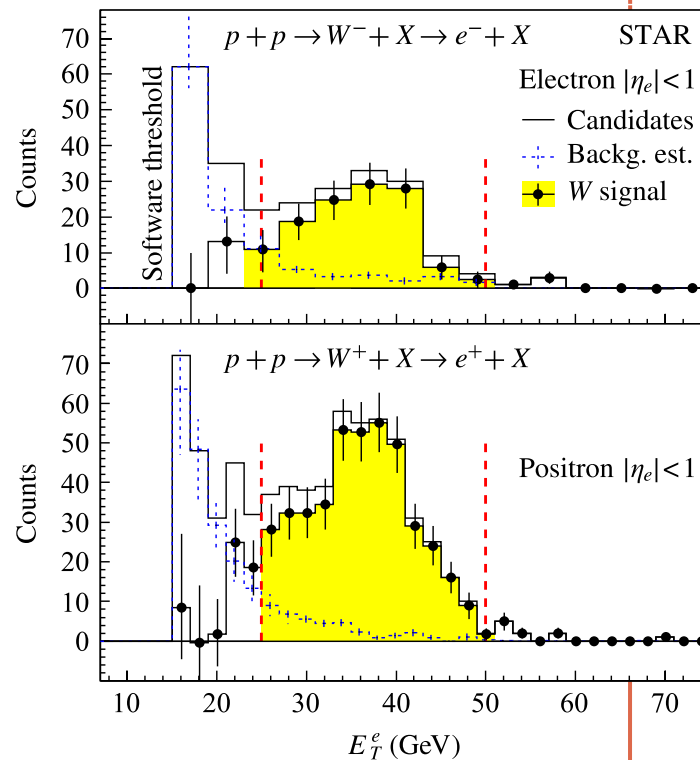
→ Every thing else will stop in the material, except muons

→ Background subtraction challenging in both cases since all mesons and unstable hadrons typically have decays resulting finally in electrons or/and muons



First Observation of W's at RHIC

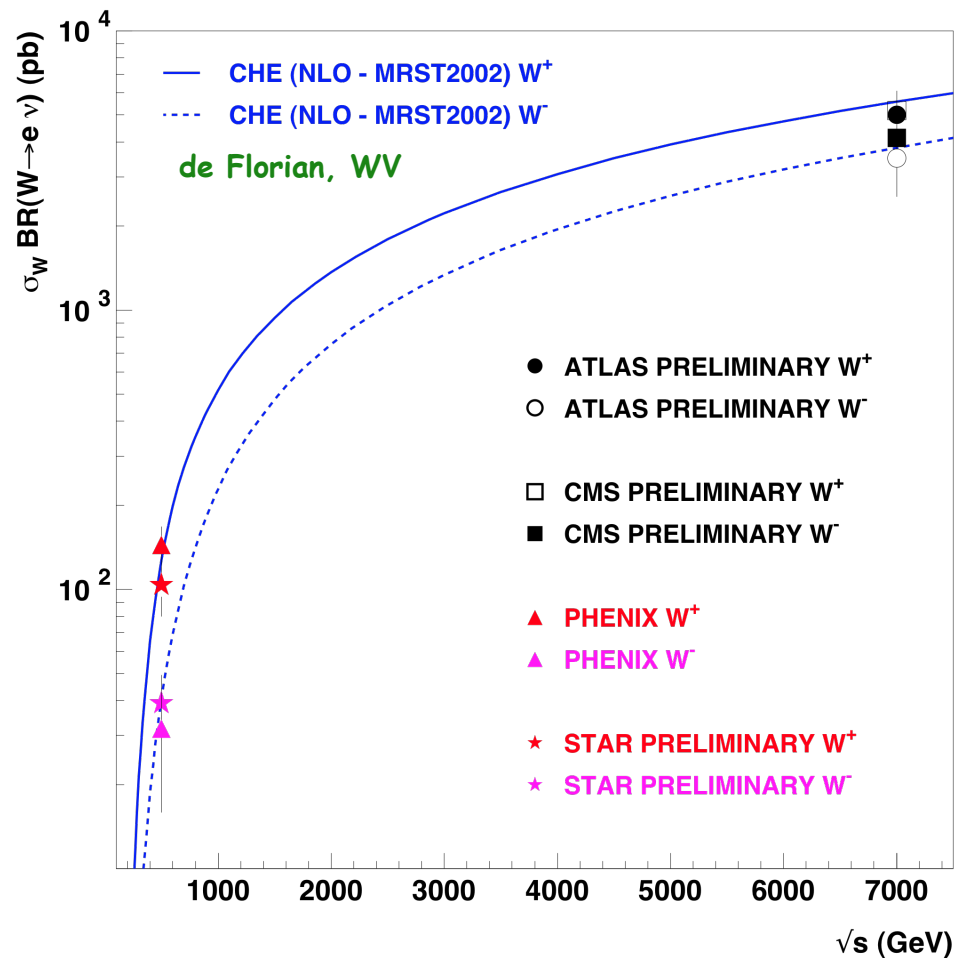
Exciting few years ahead... STAR



PRL **106**, 062002 (2011)

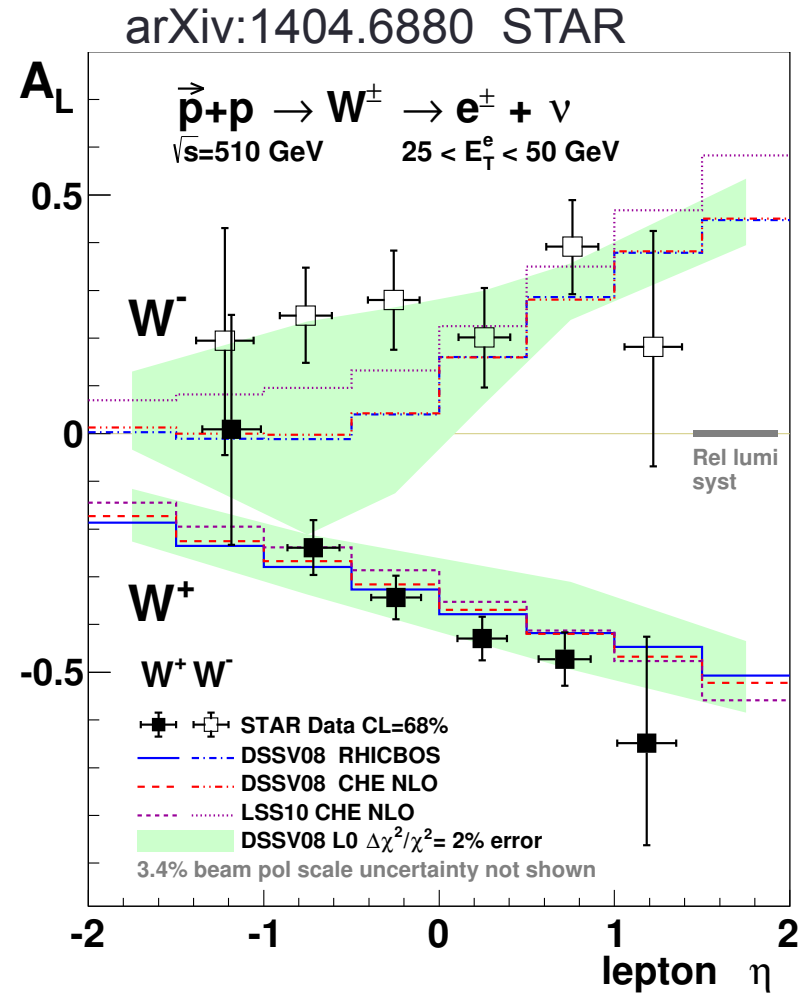
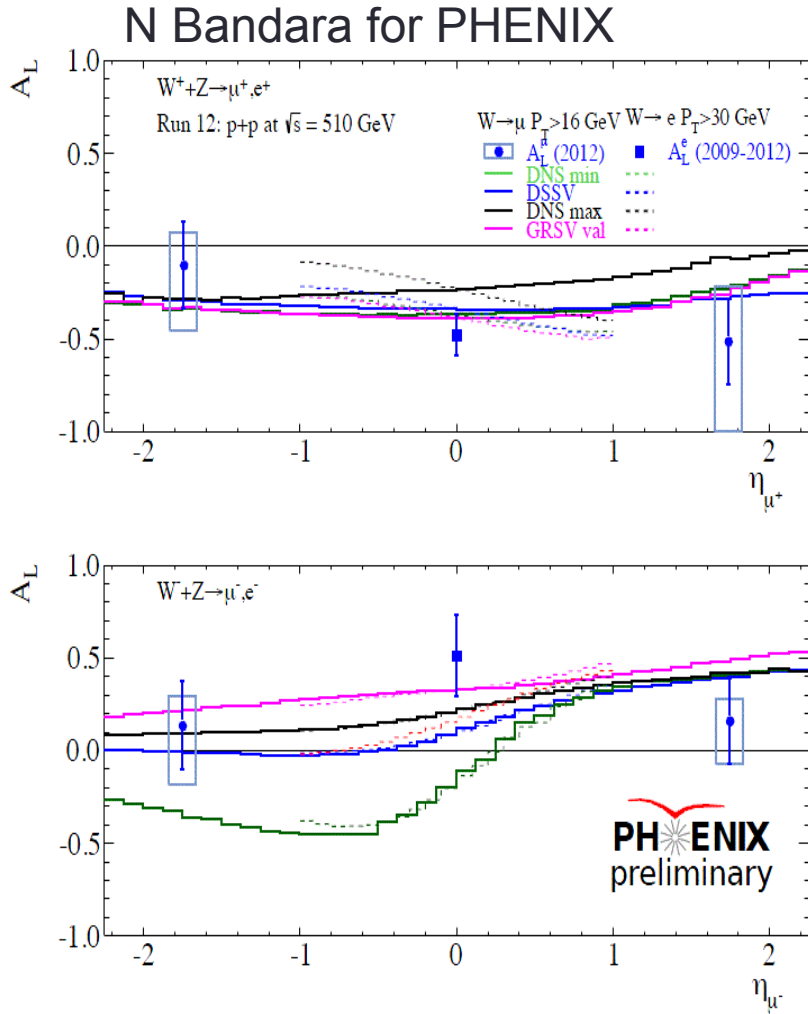
FIG. 3 (color online). E_T^e for W^+ (bottom panel) and W^- (top panel) events showing the candidate events as solid line histograms, the full background estimates as dashed line histograms, and the signal distributions as shaded histograms.

Calibration of probes.... W cross section



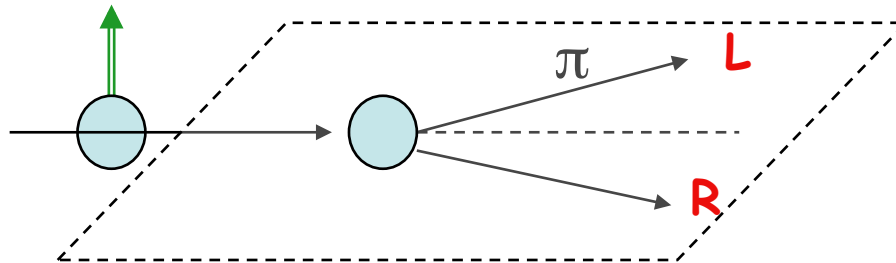
PHENIX and STAR
Data consistent with
Standard model predictions
Of p-p cross section

Recent results from RHIC: $W \rightarrow e^{+/-}, \mu^{+/-}$



Transverse Spin Physics at RHIC

Transverse spin introduction



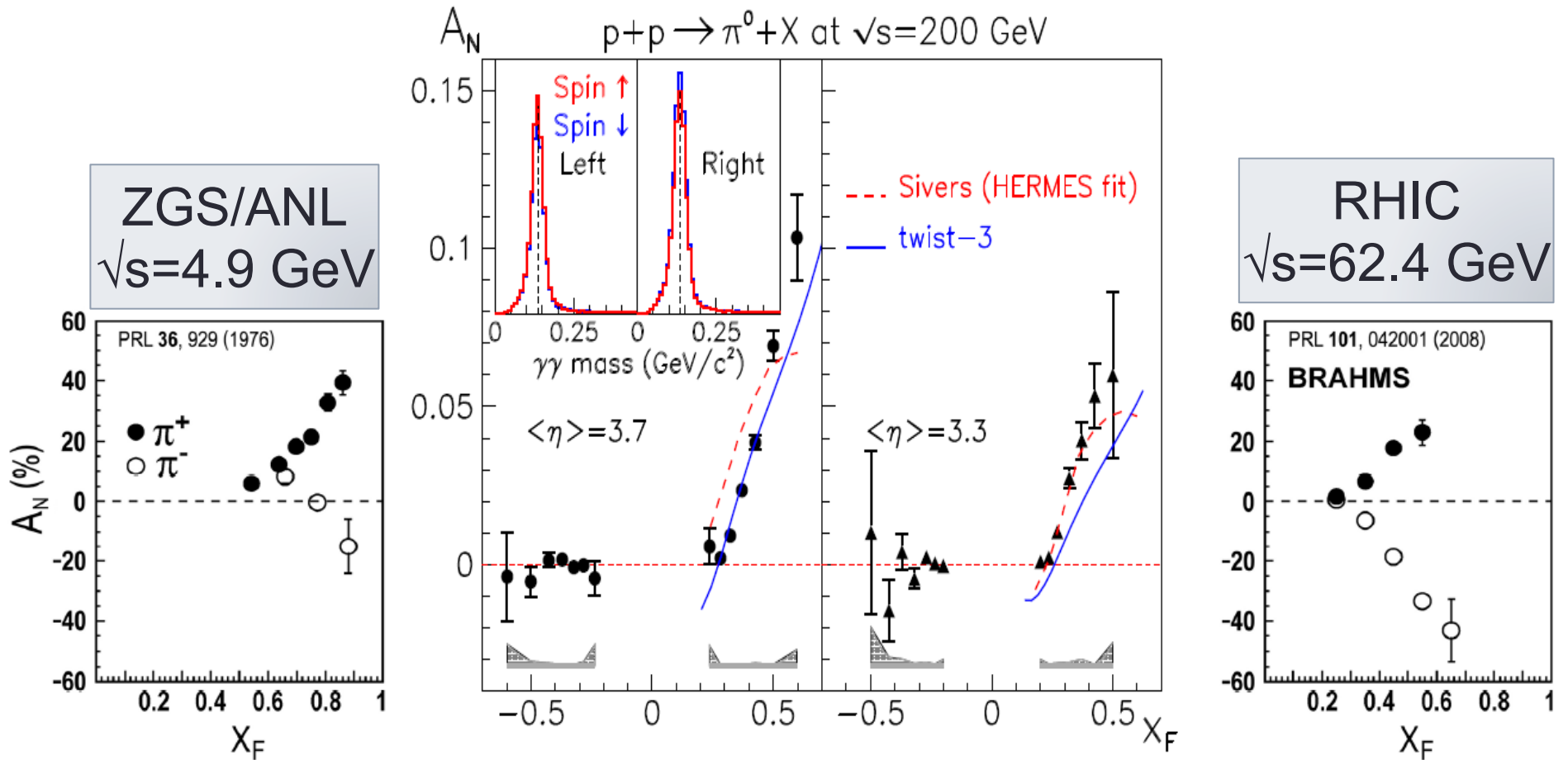
$$A_N = \frac{N_L - N_R}{N_L + N_R}$$

$$A_N \sim \frac{m_q}{p_T} \alpha_S$$

Kane, Pumplin, Repko 1978

- Since people started to measure effects at high p_T to interpret them in pQCD frameworks, this was “neglected” as it was expected to be small..... However....
- Pion production in single transverse spin collisions showed us something different....

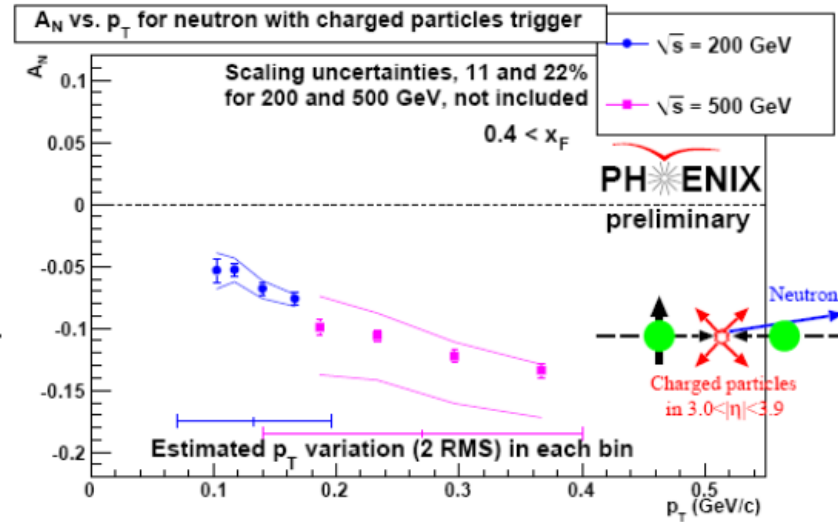
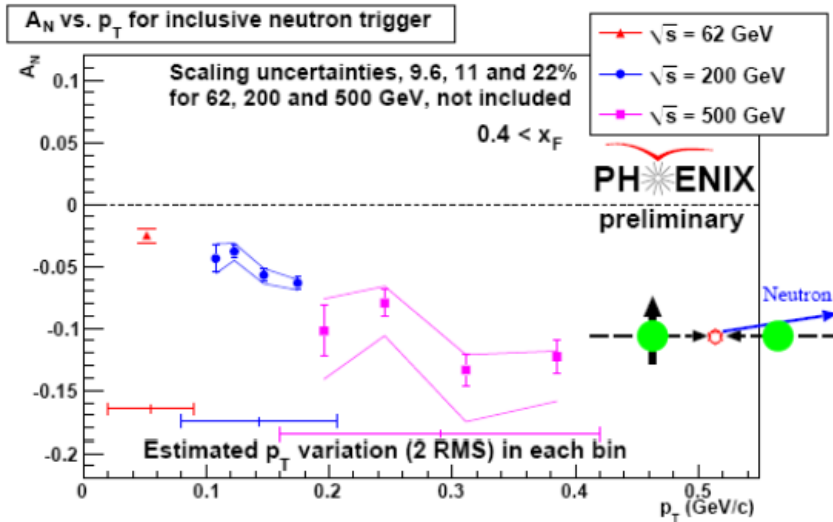
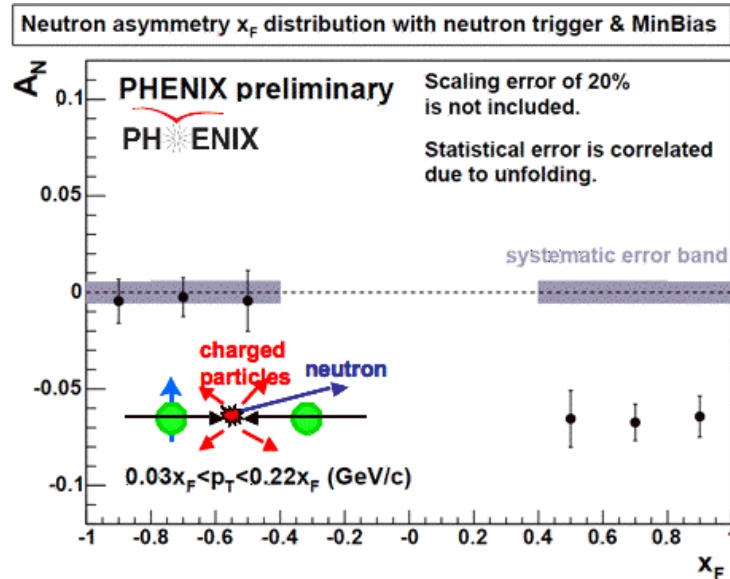
Pion asymmetries: at most CM energies!



Suspect soft QCD effects at low scales, but they seem to remain relevant to perturbative regimes as well

Other unexpected discoveries...

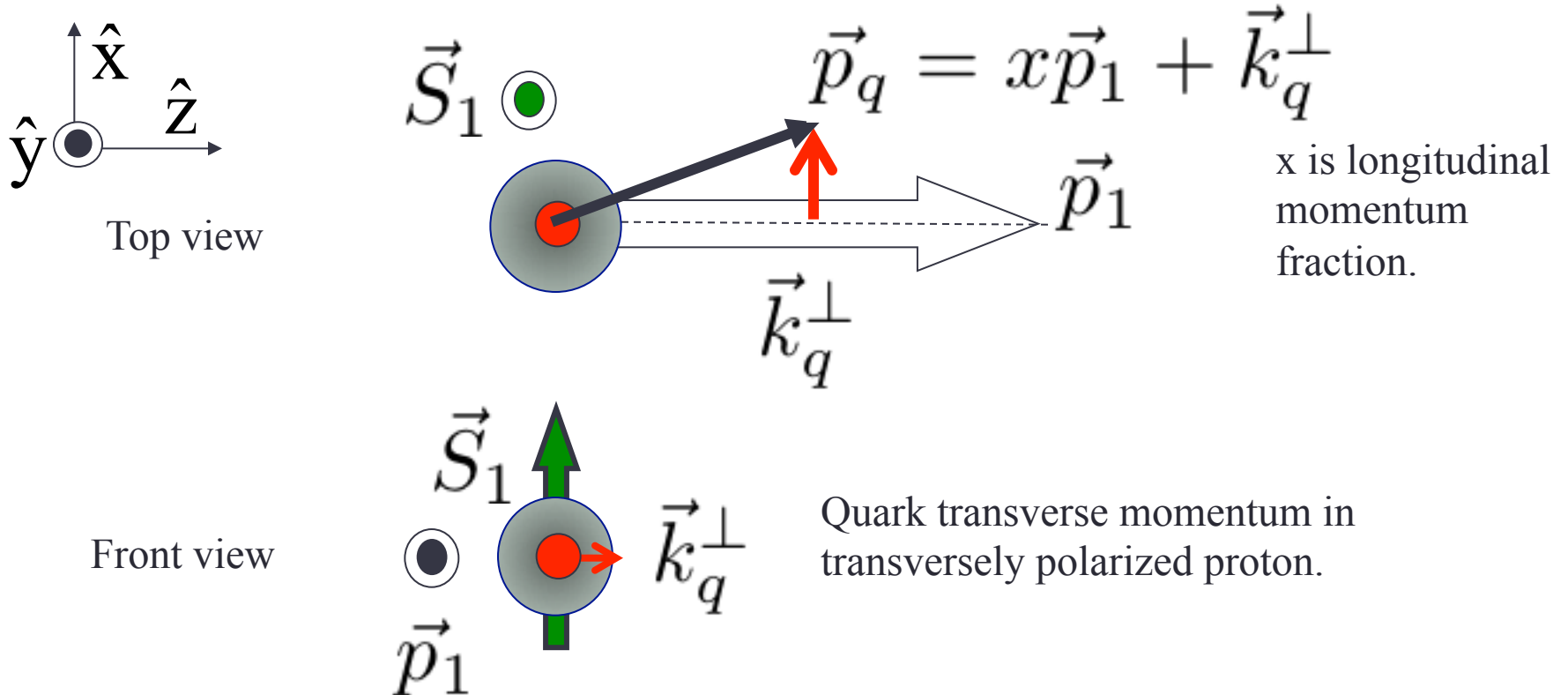
- Large very forward neutron asymmetry found at RHIC.
- Center of Mass & p_T dependence studied
- **Not understood how it arises: a challenge to theorist**



Sivers effect: due to transverse motion of quarks in the nucleon: initial state effect

Phys Rev D41 (1990) 83; Phys Rev D43 (1991) 261

$$SSA_{Sivers} \propto \vec{S}_1 \cdot (\vec{p}_1 \times \vec{k}_q)$$



What does “Sivers effect” probe?

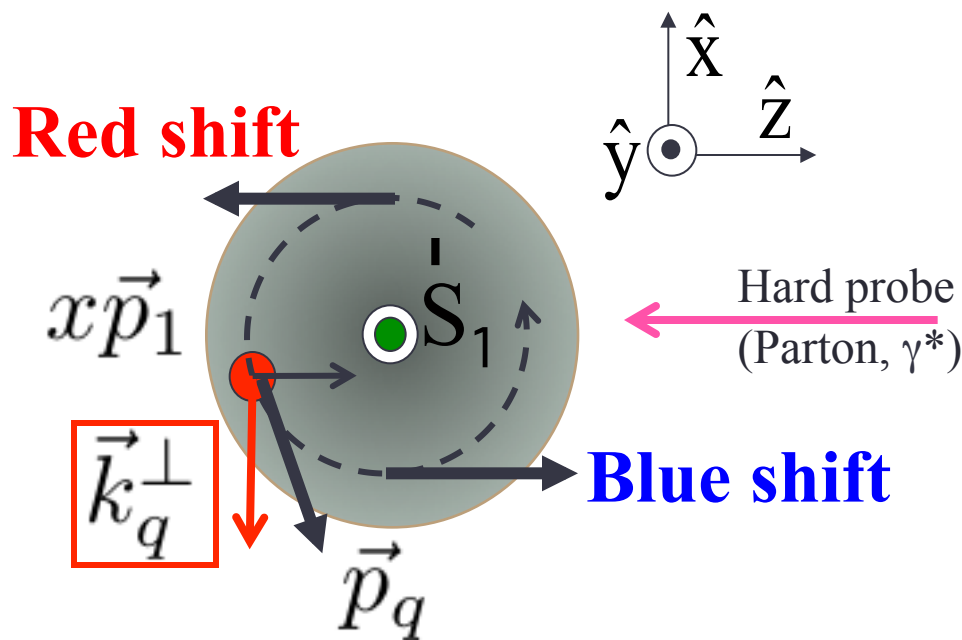
Top view, Breit frame

Quarks orbital motion adds/ subtracts longitudinal momentum for negative/positive \hat{x} .

PRD66 (2002) 114005

Parton Distribution Functions rapidly fall in longitudinal momentum fraction x .

Final State Interaction between outgoing quark and target spectator.



Sivers function

$$f_{1T}^\perp(x, \vec{k}_q^\perp)$$

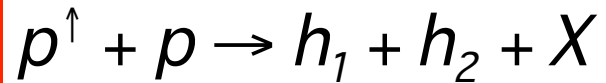
hep-ph/
0703176

Quark Orbital angular momentum

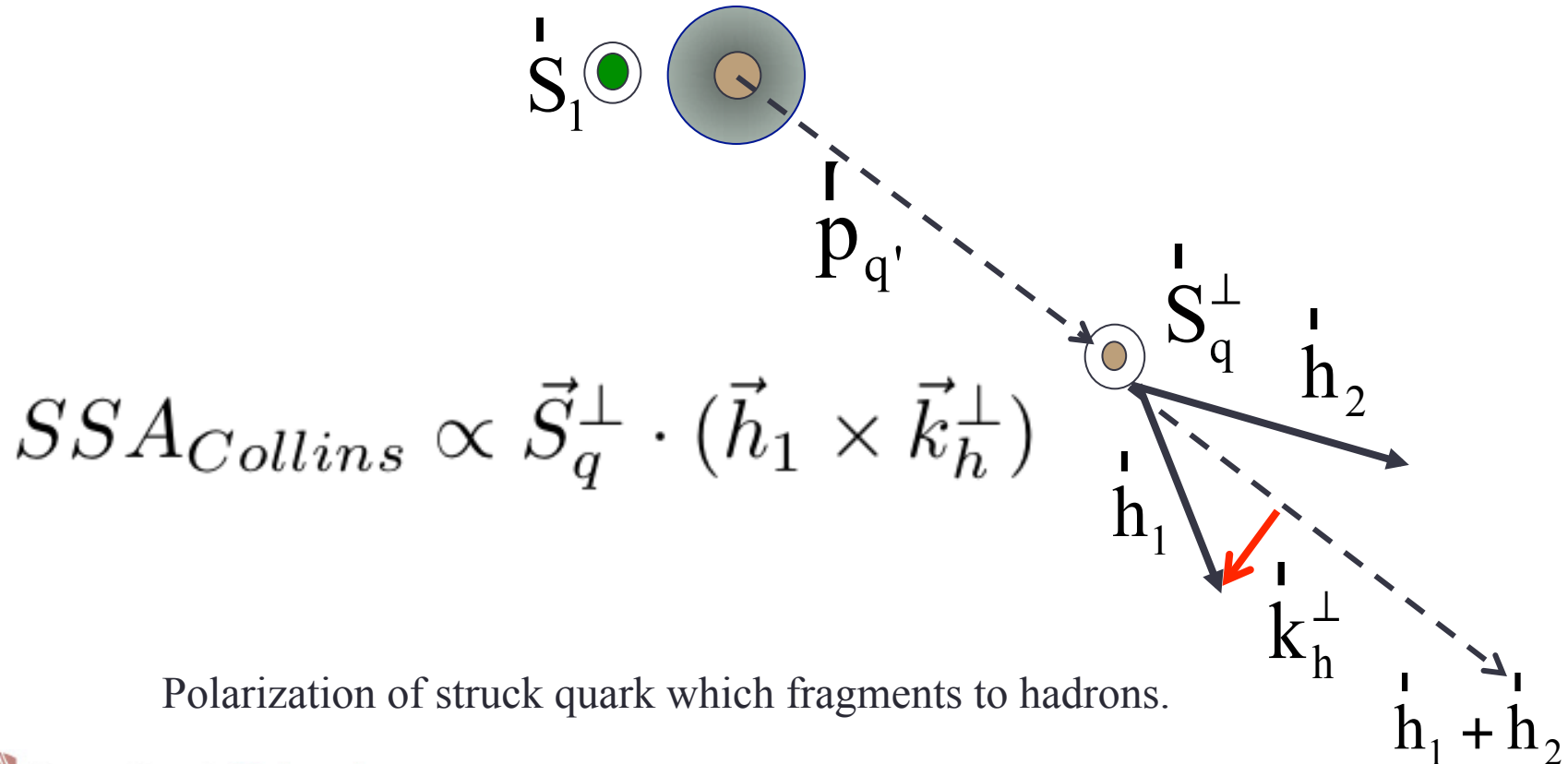
Generalized Parton Distribution Functions
PRD59 (1999) 014013

Collins (Heppelmann) effect: Asymmetry in the fragmentation hadrons

Example:

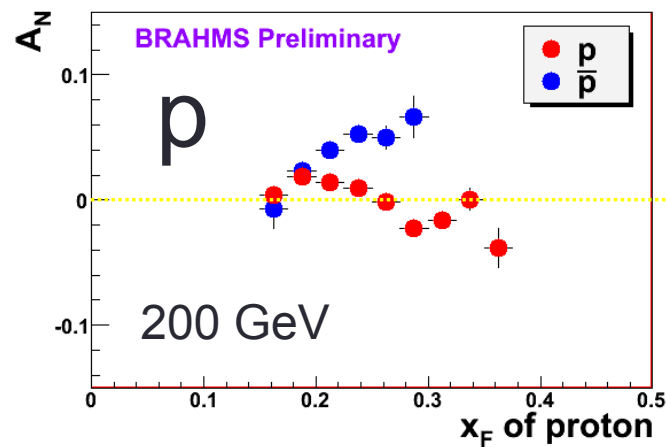
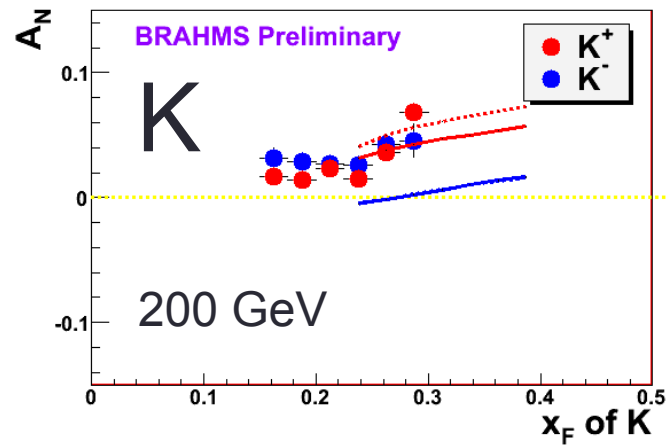
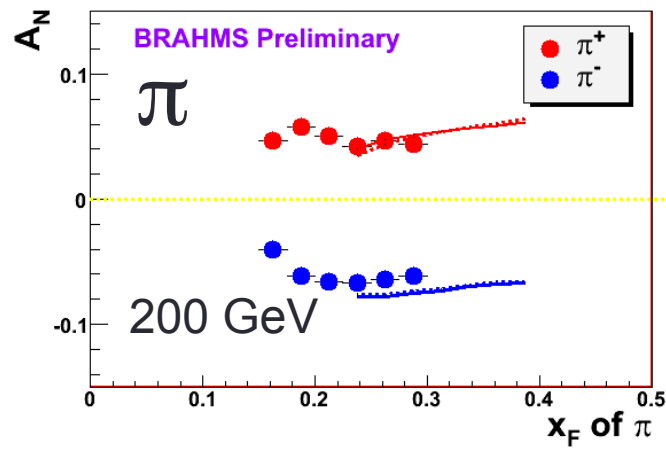


Nucl Phys B396 (1993) 161,
Nucl Phys B420 (1994) 565



$$SSA_{Collins} \propto \vec{S}_q^\perp \cdot (\vec{h}_1 \times \vec{k}_h^\perp)$$

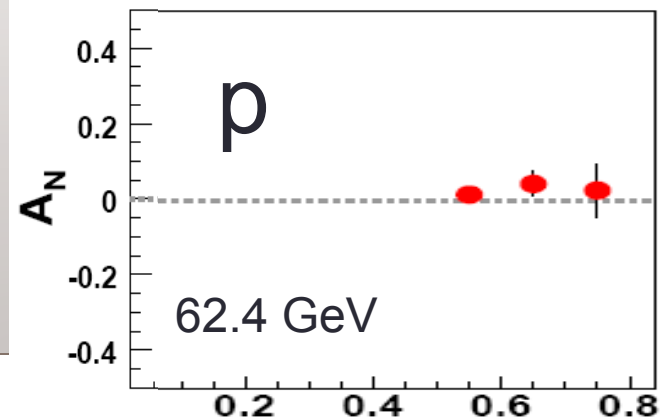
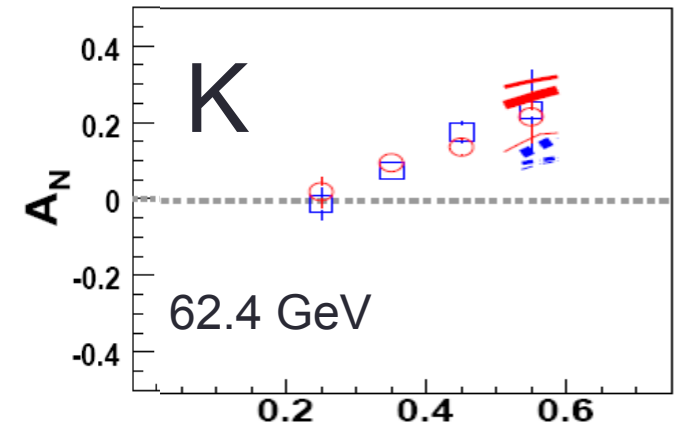
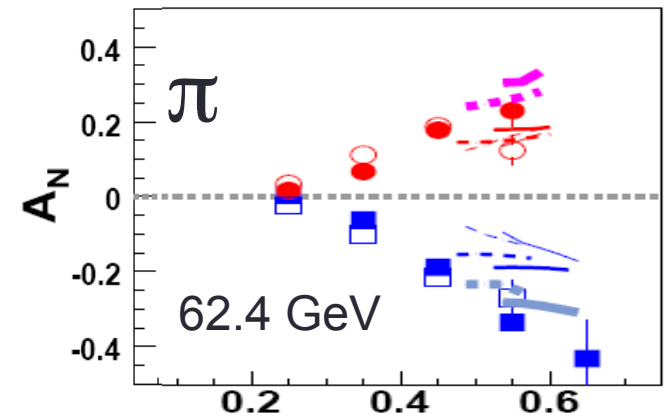
Polarization of struck quark which fragments to hadrons.



π, K, p
 200 & 62.4
 GeV

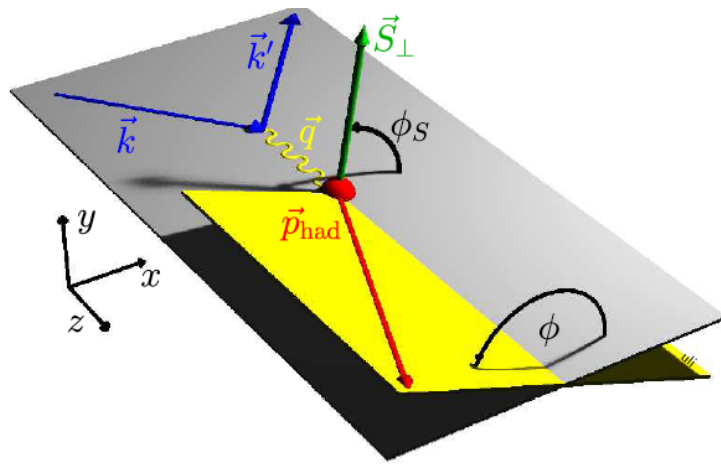
BRAHMS

- Scales on plots different
- Kaon asymmetries not predicted
- Unfortunately no anti-proton measurement

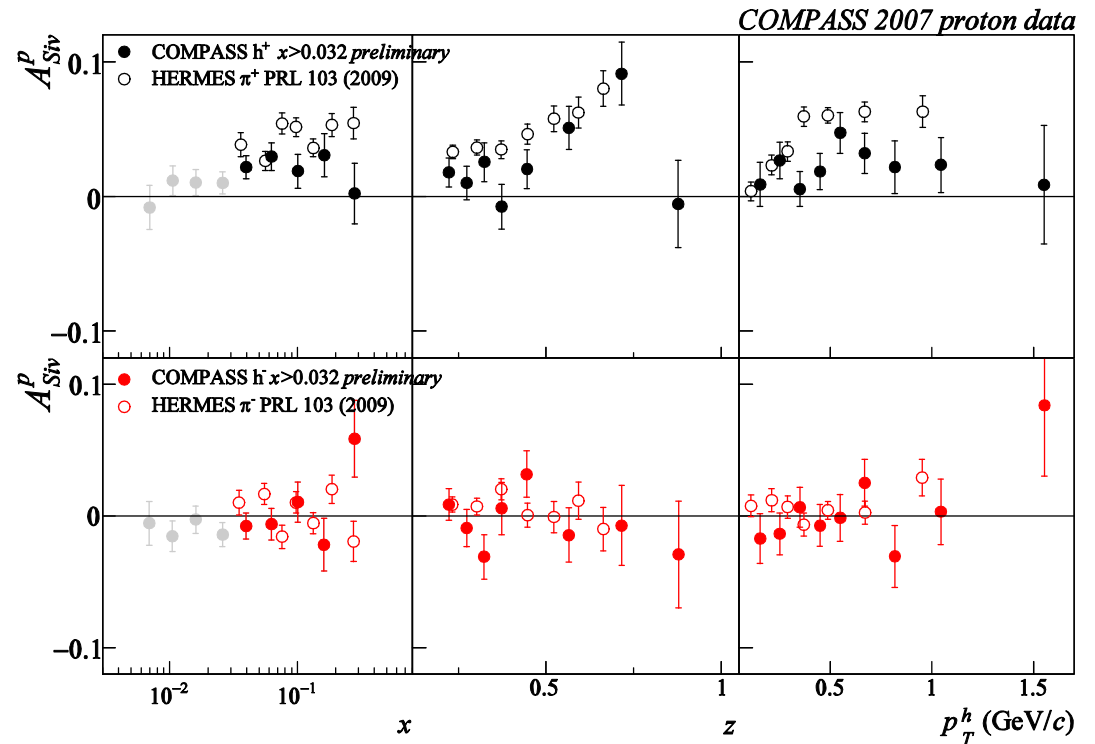


Measurements in Semi-Inclusive DIS

$$ep^\uparrow \rightarrow e\pi X$$



$$A_N \propto \sin(\phi - \phi_S)$$



Sivers effect

$$\sum_f H(Q^2) \int d^2k_{\perp,1} \int d^2k_{\perp,2} \left[\vec{S}_{\perp} \cdot \left(\hat{P} \times \hat{k}_{\perp,1} \right) \right] f_{\text{DIS}}^{\text{Sivers}}(x_1, k_{\perp,1}) D_f^{\pi}(z, k_{\perp,2}) \delta^2 \left(\vec{q}_{\perp} - \vec{k}_{\perp,1} - \vec{k}_{\perp,2} \right)$$

Phenomenology: Goeke et al, Anselmino et al, Bacchetta et al, Vogelsang et al.

Although not expected, at any observable level, 400+ times the expected values of asymmetries have been routinely seen experimentally: both in ep and pp systems.

Much work is now under progress to systematically study and understand them.

- **Transverse motion/momentum of partons or**
- **Asymmetry in fragmentation process (final state) or**
- **Both May be responsible.**

If it is the transvers momentum of quarks... then it may have direct connection to orbital motion of partons, and hence connected to the total angular momentum contributing to the nucleon spin!

- Much more on this in lectures by others...

Emergent picture of the nucleon:

RHIC has definitively shown that in $x > 0.05$, the GLUON's spin contribution to nucleon is small. Future facility should aim to make precise measurements at lower x .

RHIC seems to show that quark anti-quark polarized PDFs are broadly consistent with expectations from SIDIS (not in violent disagreement!), early concerns about not knowing the fragmentation functions, possible higher twist and other complications of SIDIS: not a big concern.

Transverse spin in RHIC is quite possibly the best laboratory to test our understanding of QCD: Needing data and their understanding from e-p, e-e and theory to test if they can predict or explain the p-p: Jury is out on this, as it is an on-going effort with current and future forward physics/detector upgrade plans.