



Limits in SRF Cavity Performance

Material/surface aspects

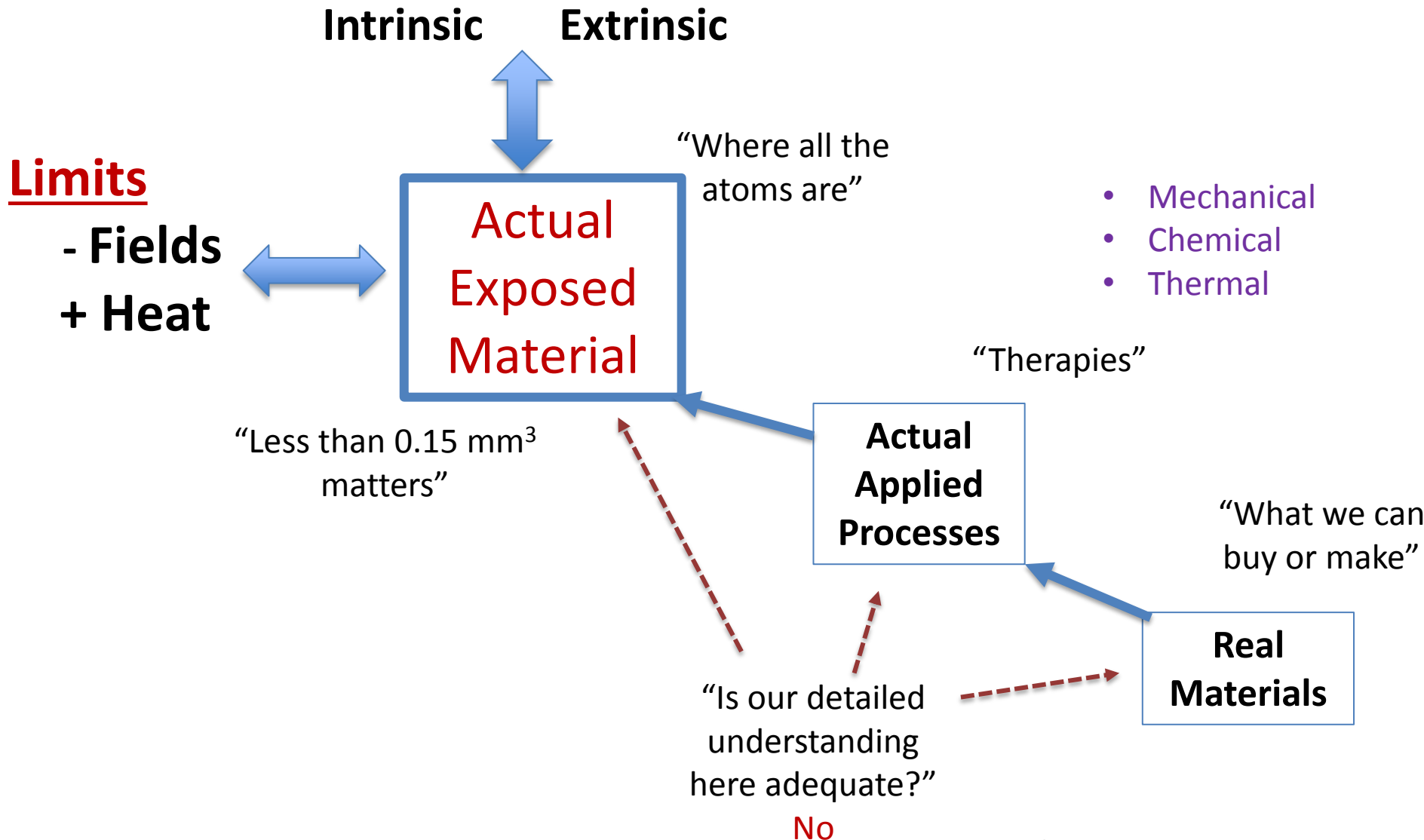
Charles Reece
Jefferson Lab

Acknowledgements

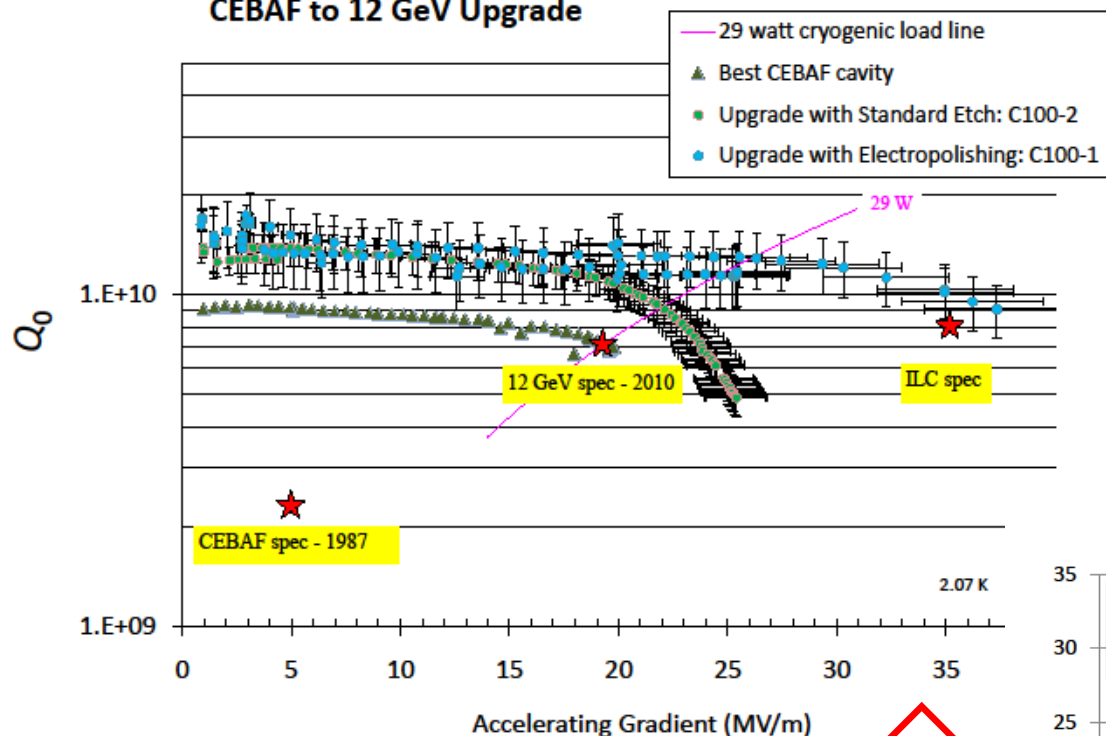
In providing this tutorial, I benefit from excellent tutorials given previously – some are reflected in this tutorial. I want to recognize the work of the following colleagues: Detlef Reschke, Hasan Padamsee, Alberto Facco, Jacek Sekutowicz, John Mammosser, Rong-Li Geng, and Claire Antoine.

Limits in SRF Cavity Performance

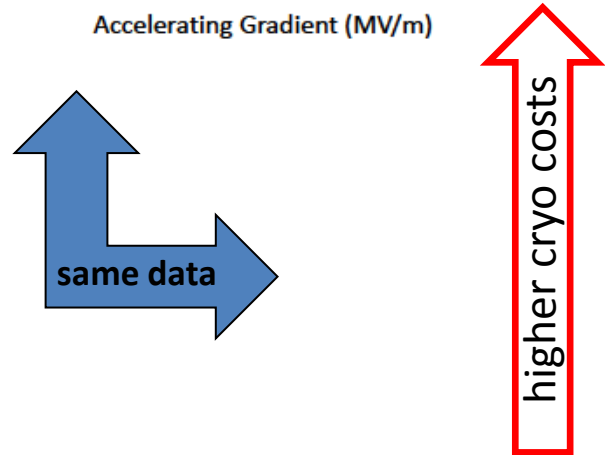
Phenomenon/Integrated Properties



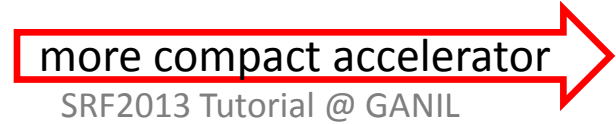
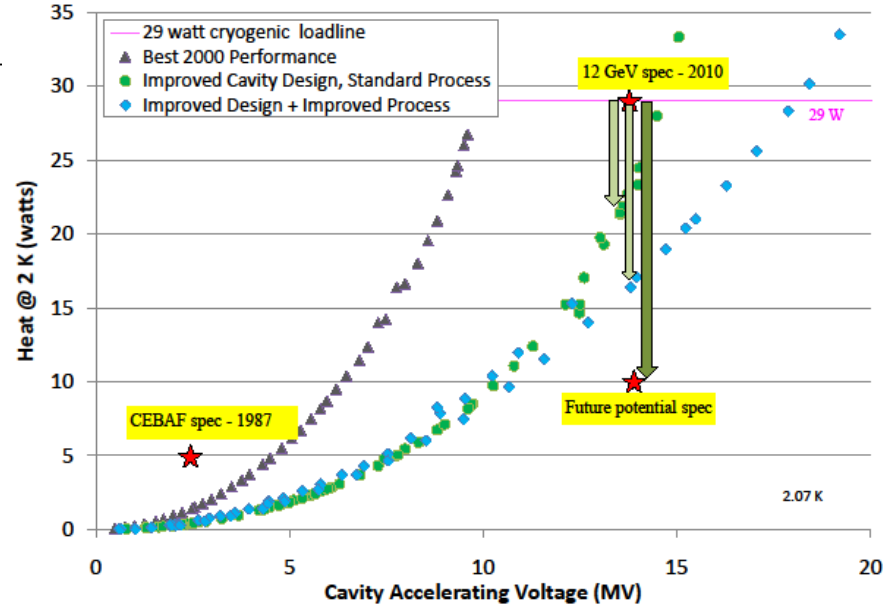
JLab SRF Cavity Performance Evolution CEBAF to 12 GeV Upgrade



The key figures of merit are **Q** and **Accelerating Gradient**. Together with structure geometry, these determine the **heat produced**.



JLab Cryogenic Heat Load Reduction - Progress and Potential



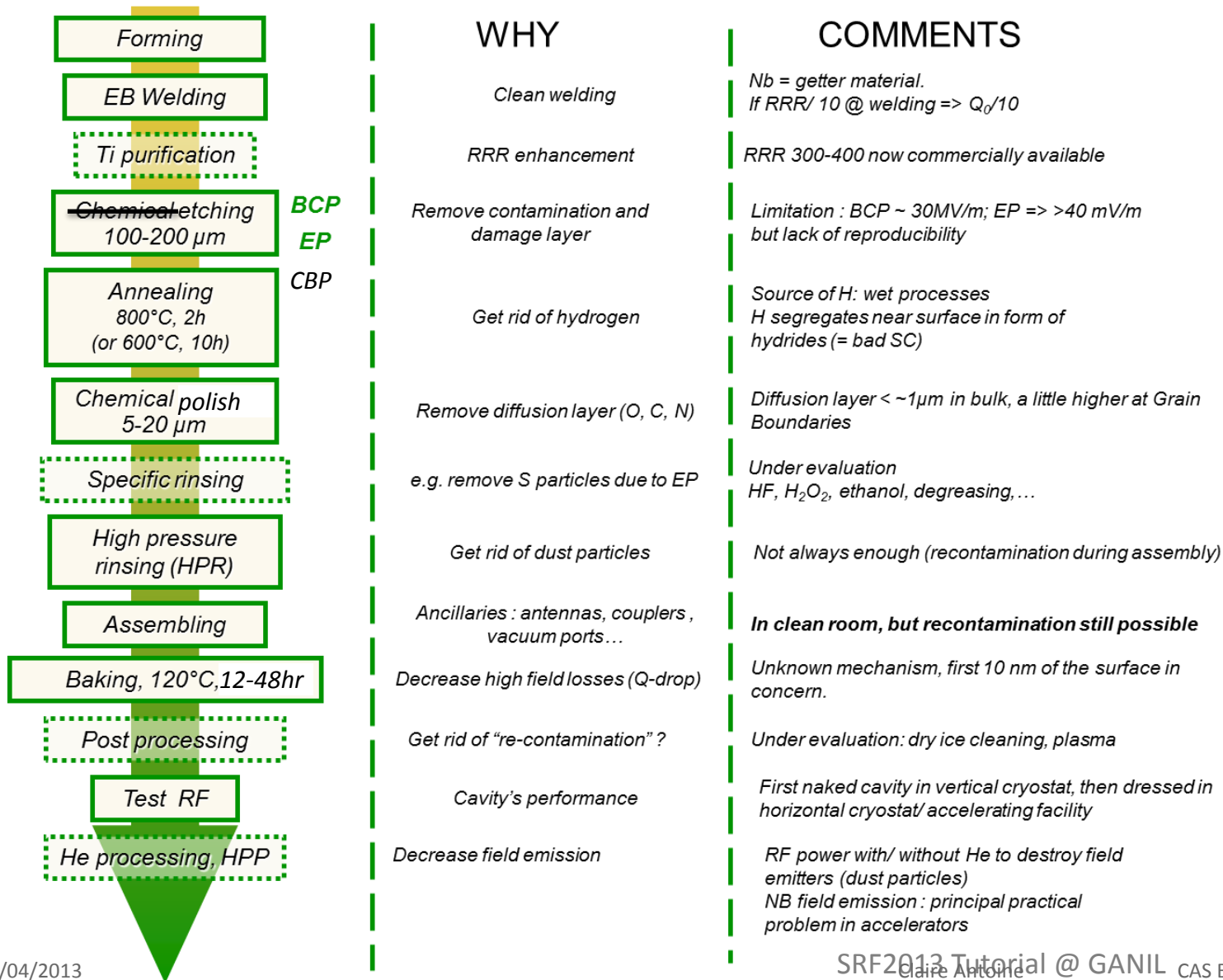
Extrinsic

- Multipacting
- Field emission
- *Geometrically enhanced fields*

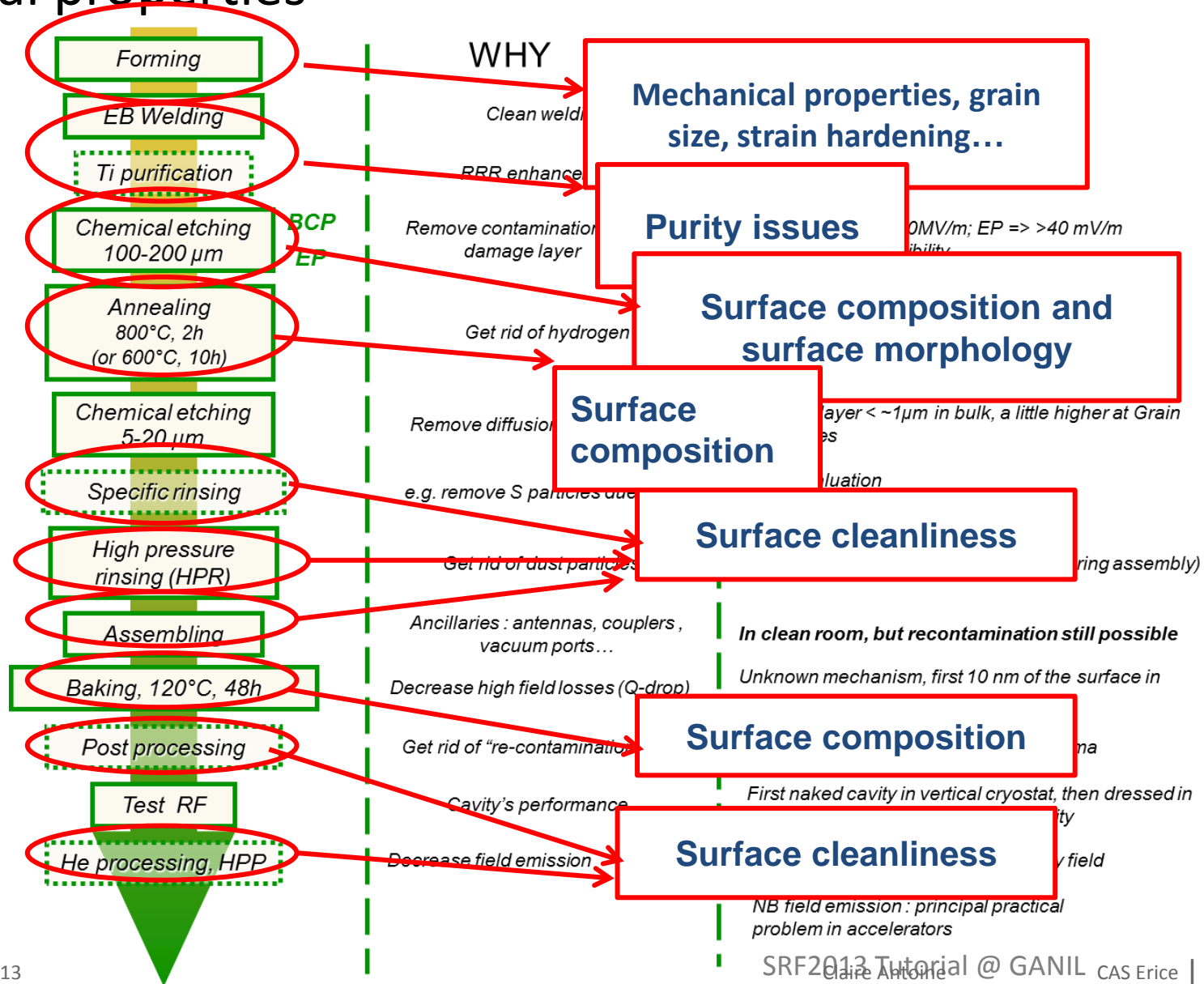
Intrinsic

- BCS R_s
- thermal conductivity
- ρ_n
- flux penetration
- H_c
- T_c
- “residual R_s ” = “other not controlled/understood losses”
 - Dominated by the material structure within λ of the surface.

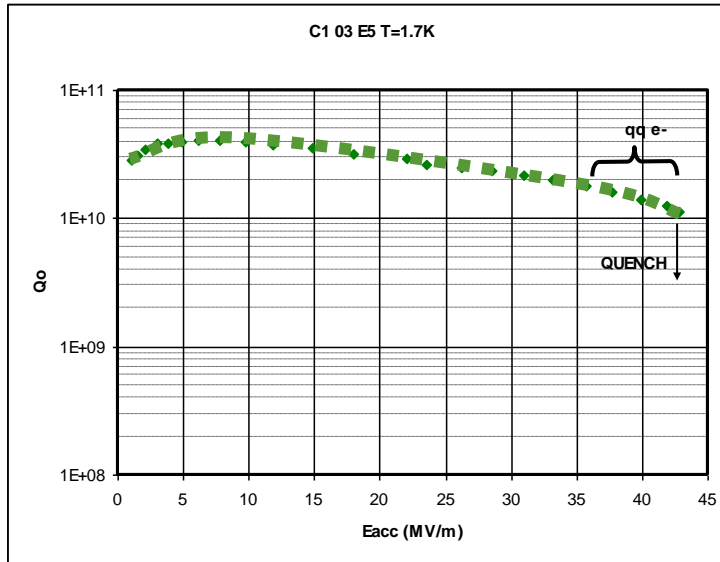
Cavities' fabrication scheme



Cavities' fabrication scheme vs. surface and material properties



Niobium cavities



Typical performance
(CEA/Saclay- CARE-SRF project Cavity):

40-45 MV/m !

Niobium: **bulk, electropolished** and **baked**



...and **cleanroom** assembled

Practical issues

- we do not know the exact origin of the limitations:
classical theory (BCS) is not enough to fully predict RF observations,
- reproducibility not good

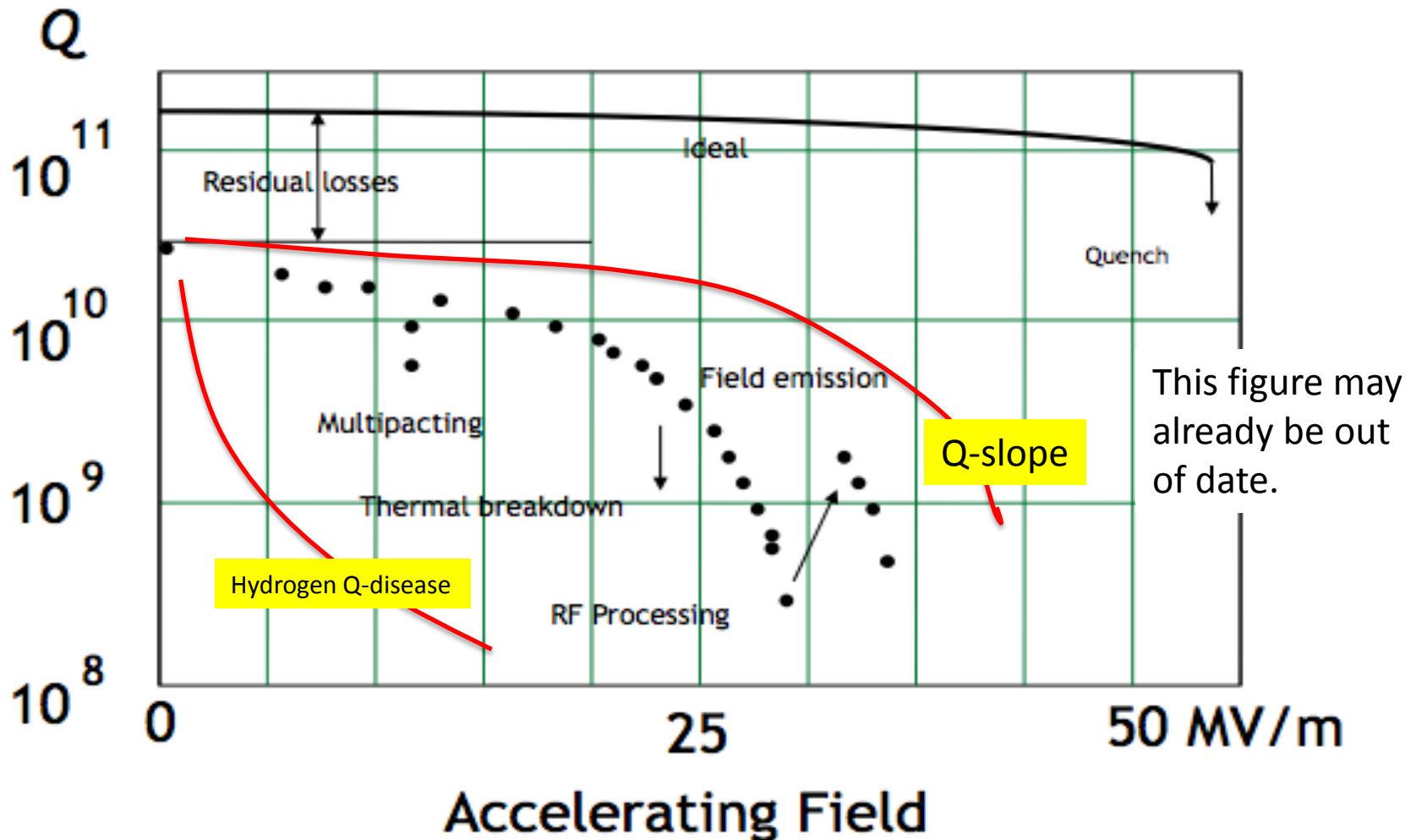
RF superconductivity: a surface phenomenon

λ_L = field penetration depth
= where **thermal dissipation** occurs } Nb : ~ 50 nm

R&D activities :

- surface , solid state physics; connection with cavities' behavior

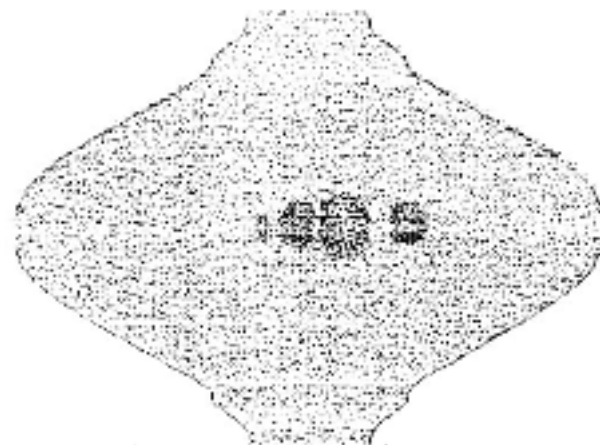
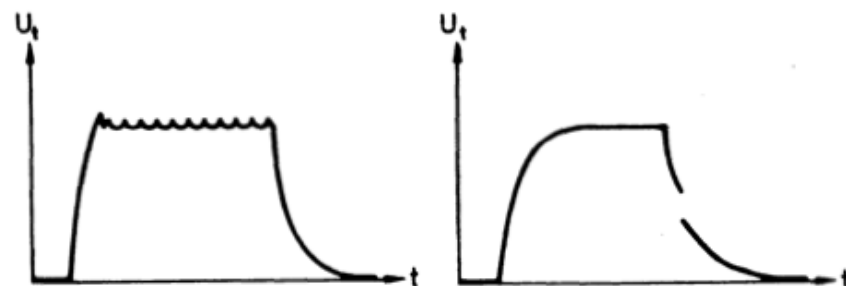
Ideal vs Real Performance



Multipacting (MP)

Symptom of Multipacting

- Gradient stops rising despite more RF power is provided to cavity
 - “Barrier”
- Detection of X-rays
- Detection of electrons by biased probes at right place
- “wavy” transmitted and reflected power signal
- Detection of temperature rise
 - “hot spot” may move

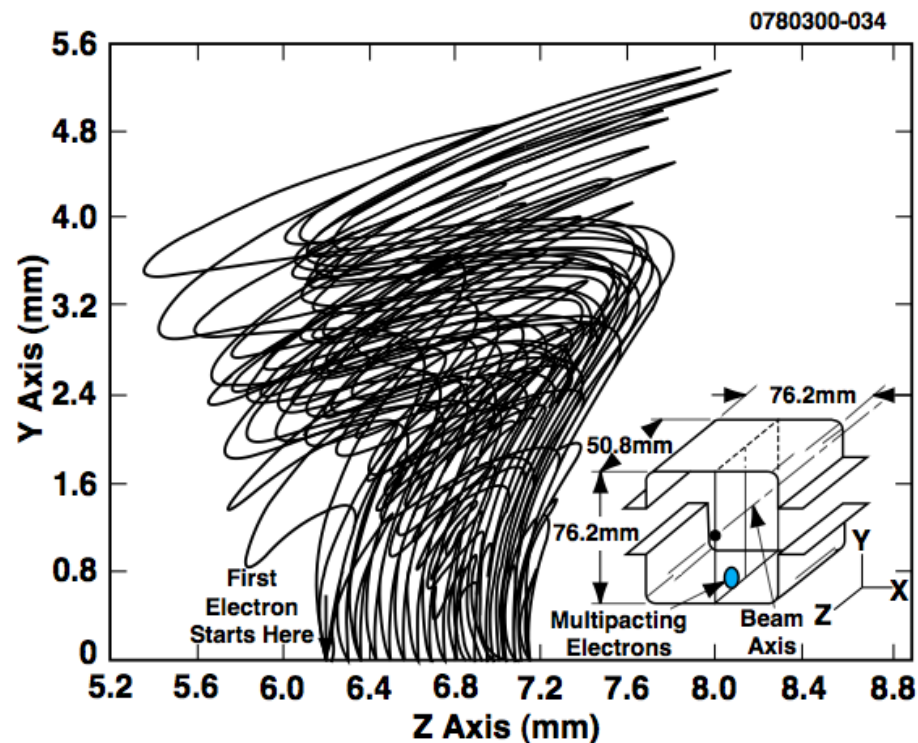


Symptom of Multipacting

- Barrier can be overcome if RF field is sustained (RF conditioning)
 - “Soft barrier” can be processed through in a few minutes
 - “Hard barrier” may take much longer time
- “Memory effect”
 - Some processed barrier may re-appear – “lost memory”
 - Some will not re-appear once processed – “memorized”
- Barrier usually has specific field range
 - Multipacting band width
- One cavity may have multiple barriers

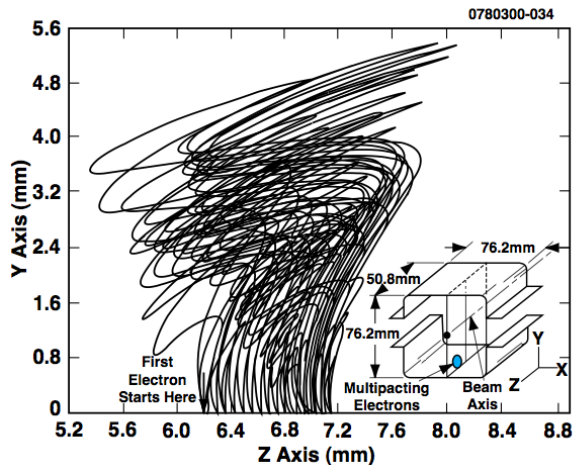
Physics of Multipacting

- Rapid growth of number of electrons from noise due to existence of conditions for **resonant electron movement in cavity space**
- Electron trajectories may occupy only a small volume near cavity surface due to “confinement effect” by RF magnetic field
- Confined electrons return to cavity surface
- Electrons gain energy due to acceleration by RF electric field
- Energetic electrons bombard surface, causing **secondary electron emission**
- Process becomes **self sustaining** when secondary electron emission coefficient of surface is larger than 1

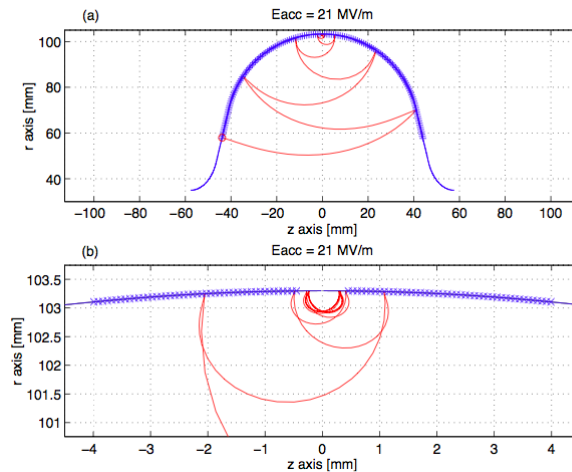


Understanding of Multipacting

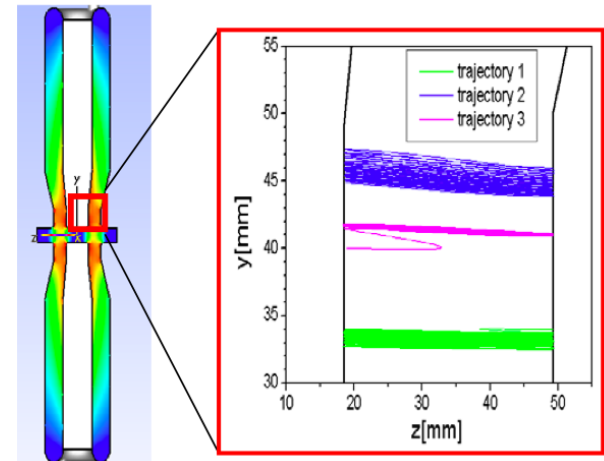
- One or more local areas might be involved in MP
 - 1-point MP (1-side MP)
 - 2-point MP (2-side MP)
 - ...



1-point MP
Muffin-tin cavity



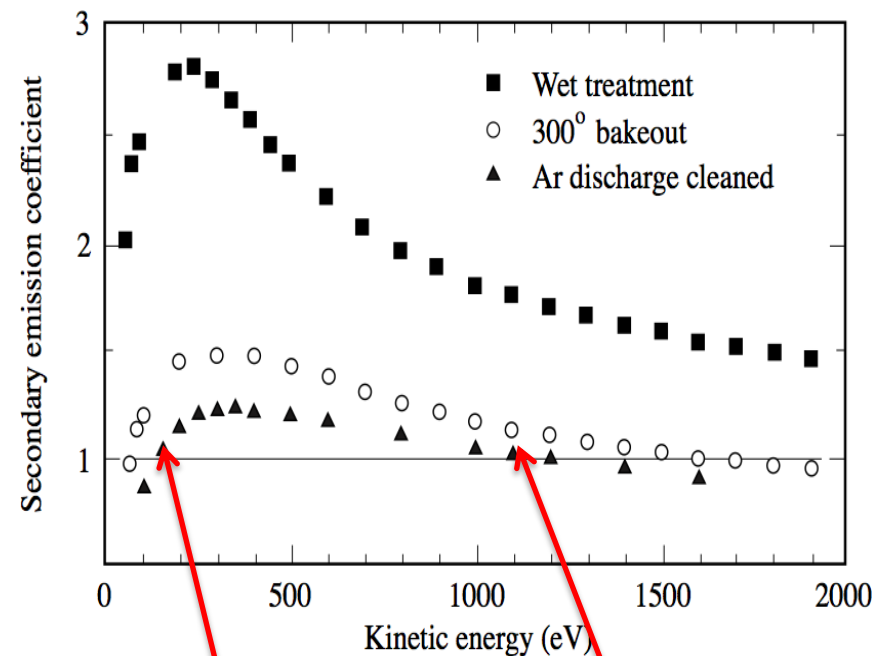
2-point MP
Elliptical $\beta=1$ cavity



2-point MP
Half-wave $\beta < 1$ cavity

Understanding of Multipacting

- Secondary electron emission
 - Secondary electrons are low energy 2-5 eV
 - Secondary electron yield (SEY) depends on impact energy of primary electrons
 - First cross-over energy E_1
 - Second cross-over energy E_2
 - SEY is a material property and sensitive to surface condition
 - Electron bombardment reduces SEY
 - Conditioning effect



First cross-over energy E_1

second cross-over energy E_2

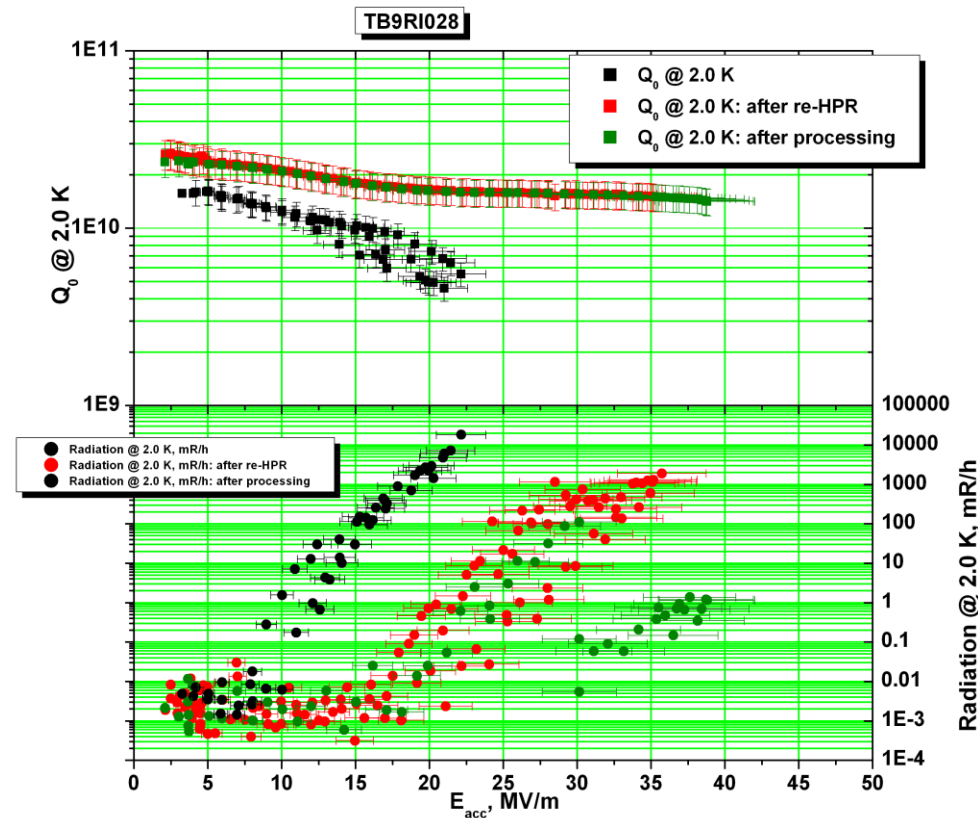
Last Word on Multipacting

- Elliptical $\beta=1$ cavities are reaching very high gradients at 1300 MHz with no known limit due to hard MP
 - Soft MP barriers appear to ubiquitous
- MP issue needs close attention in these cavities:
 - Elliptical $\beta<1$ cavities at 500-900 MHz
 - All TEM class cavities
- Experimental measurements are still essential in assessing MP characteristics of new cavity designs

Field Emission

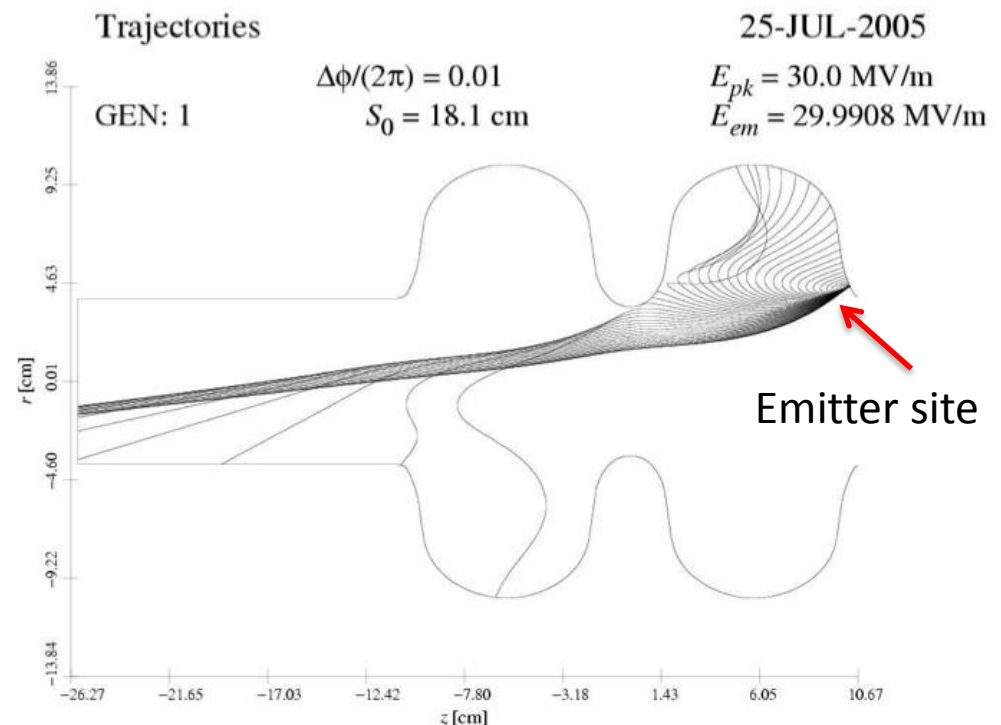
Symptom of Field Emission

- Detection of ionization radiation at cavity or remote to cavity, such as above top plate of test stand
 - Mostly X-rays
 - Sometimes neutron also for high gradient cavities
- Detection of free electrons intercepted by biased probes or Faraday cup placed inside cavity
- May be
 - Be stable
 - “Process” away
 - Become unpredictably worse



Physics of Field Emission

- Electron emission from site of “field emitter”
- Emitted electrons captured and accelerated by RF field
- Energetic electrons strike cavity wall



Physics of Field Emission

- Electron emission from site of “field emitter”
 - Quantum mechanical process – tunneling effect
 - Fowler-Nordheim Law: note **exponential field dependence of tunneling current**

$$I_{\text{FN}} = j_{\text{FN}} A_{\text{FN}} = A_{\text{FN}} \frac{e^3 E^2}{8\pi h \Phi t^2(y)} \exp\left(-\frac{8\pi\sqrt{2m_e}\Phi^3 v(y)}{3heE}\right)$$

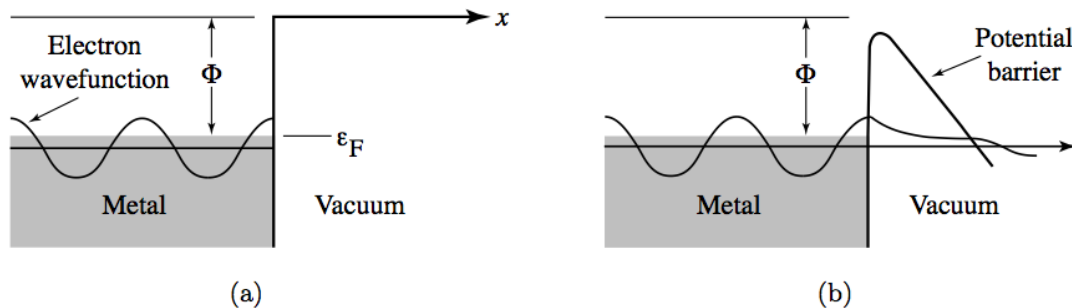


Figure 3.2: Electrostatic potential of the metal–vacuum interface. (a) No electric field applied, (b) with an electric field applied.

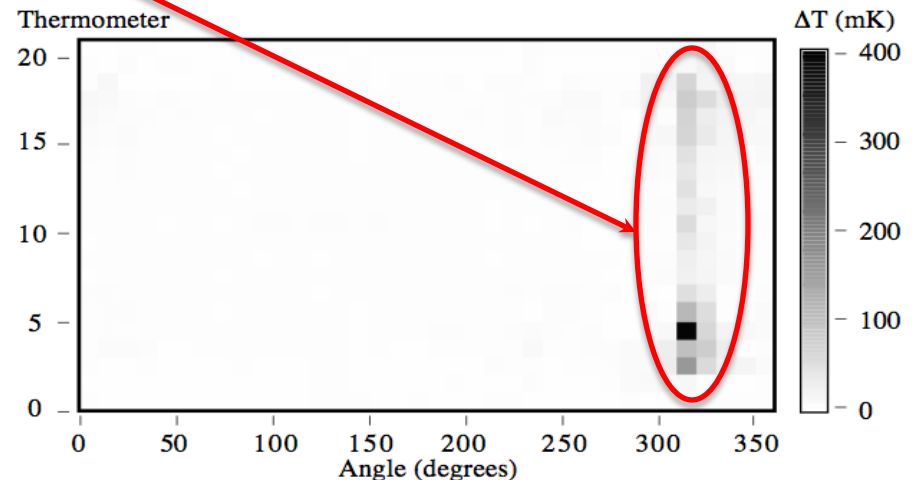
Physics of Field Emission

- Modified Fowler-Nordheim
 - Electric field enhancement factor β_{FN}
 - Typical value 50-500 for SRF cavity
 - Effective emitter area A_{FN}
 - Typical value $10^{-18} - 10^{-9} \text{ m}^2$

$$I_{FN} = j_{FN} A_{FN} = A_{FN} \frac{e^3 (\beta_{FN} E)^2}{8\pi h \Phi t^2(y)} \exp\left(-\frac{8\pi \sqrt{2m_e} \Phi^3 v(y)}{3he\beta_{FN} E}\right)$$

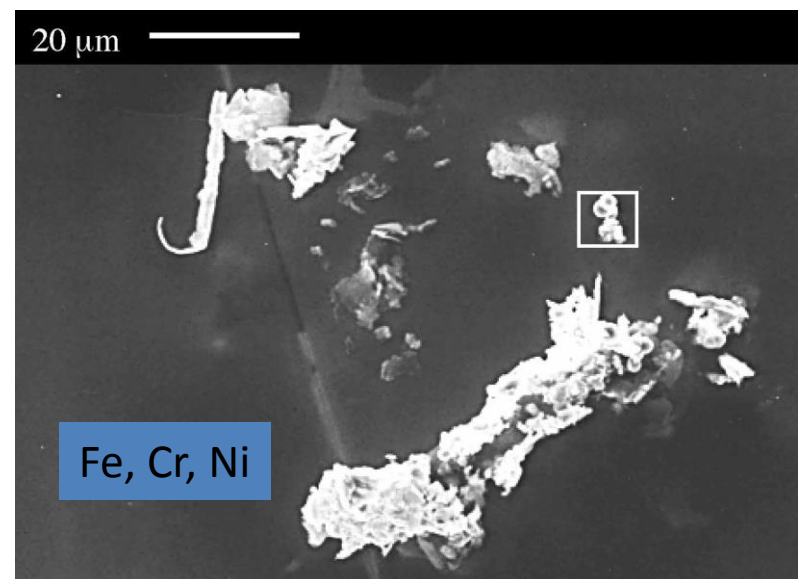
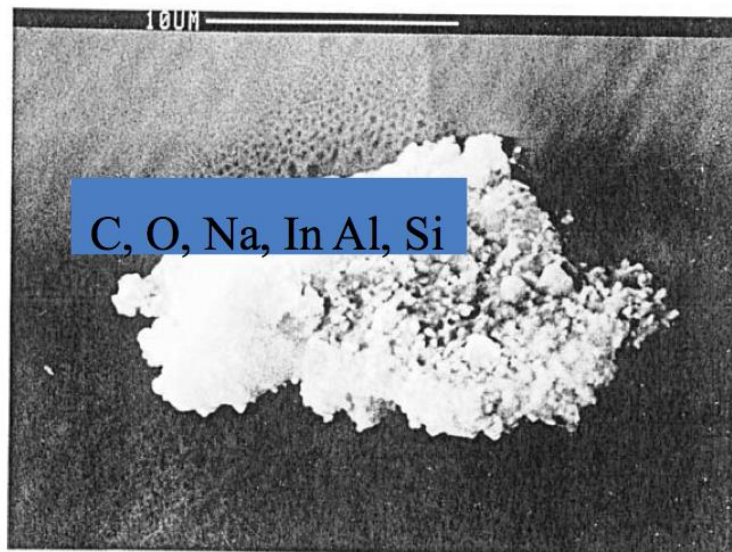
Physics of Field Emission

- Emitted electrons captured and accelerated by RF field
 - This consumes RF energy stored in cavity and hence cause rapid Q_0 decline (recall exponential increase in current as field is raised)
- Energetic electrons strike cavity wall
 - Deposit heat and cause local rise of wall temperature
 - Cause **line heating at cavity wall** because electrons emitted at different RF phase angle follow different trajectory in the plane defined by cavity axis and emitter location
 - Also contribute to Q decline
 - Produce X-rays due to Bremsstrahlung Effect
 - May produce neutron through (γ, n) reaction
 - Will cause activation



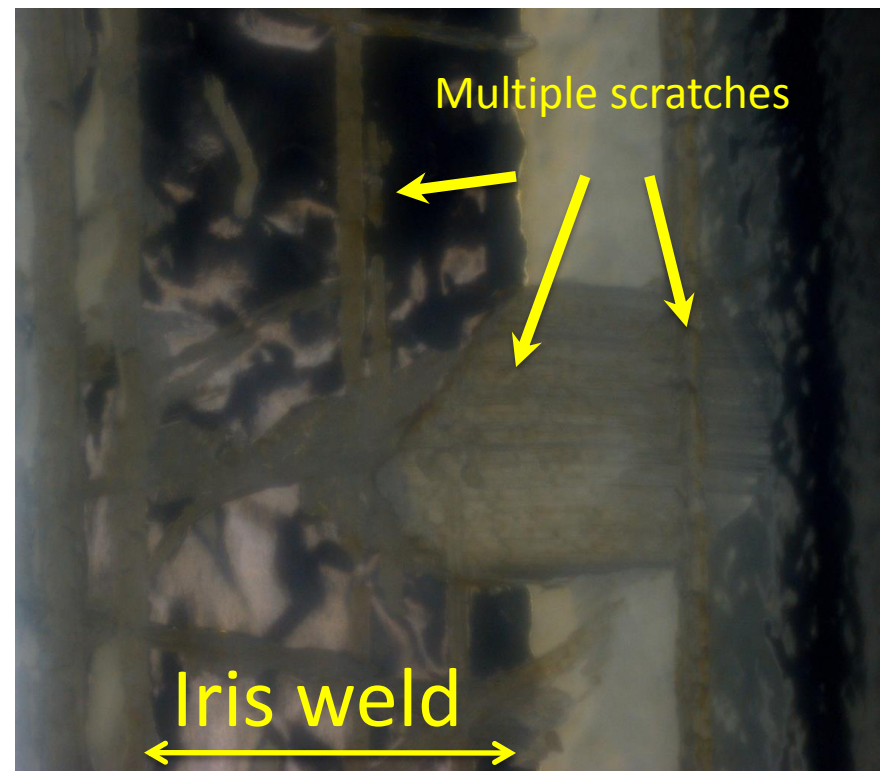
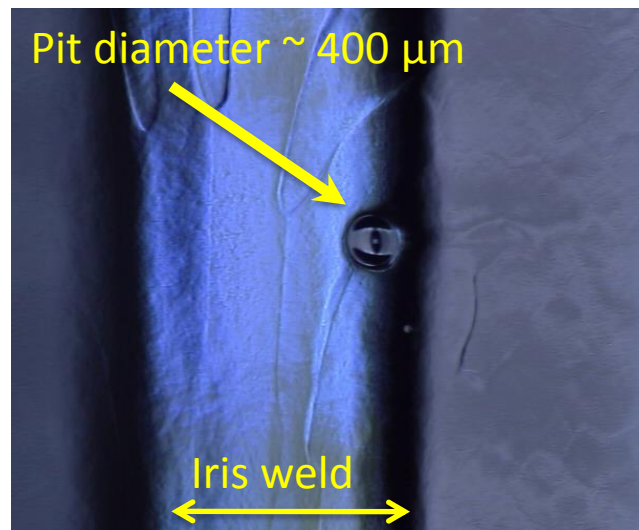
Field Emitters

- Microscopic particles
 - from external source, consist foreign material
 - Airborne
 - From cavity assembly hardware and tool



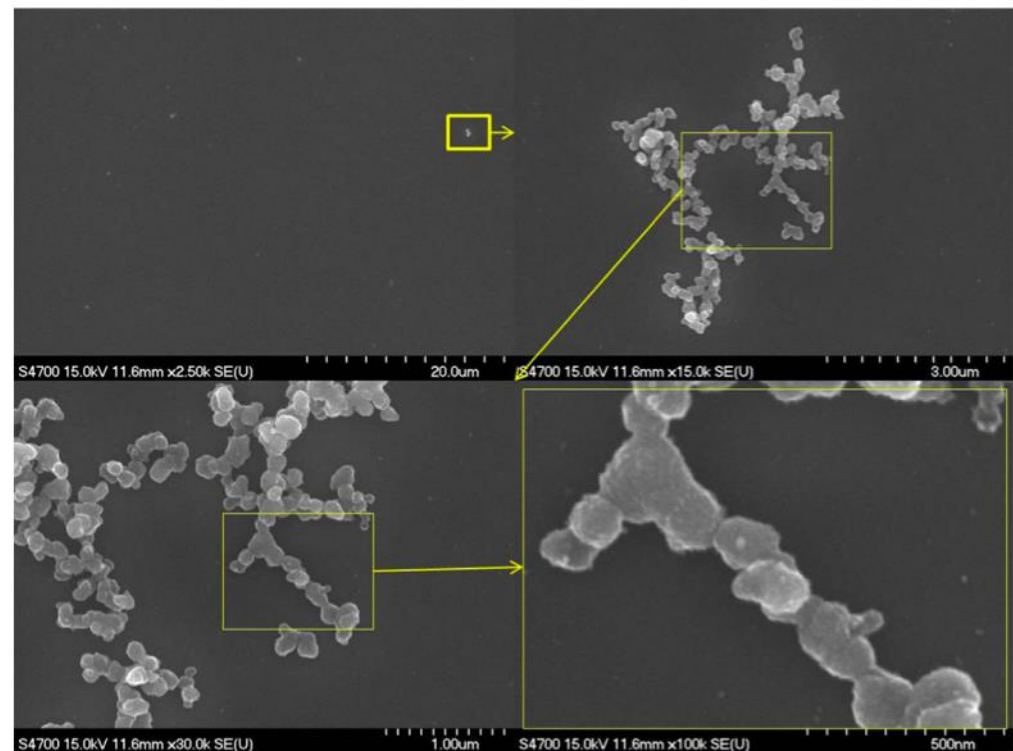
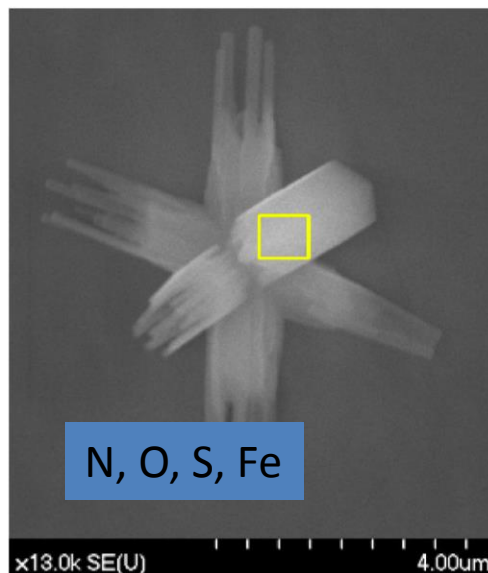
Field Emitters

- Geometrical defects
 - Is permanent feature, is part of cavity
 - Pits (from fabrication)
 - Scratches
 - HPR wand damage



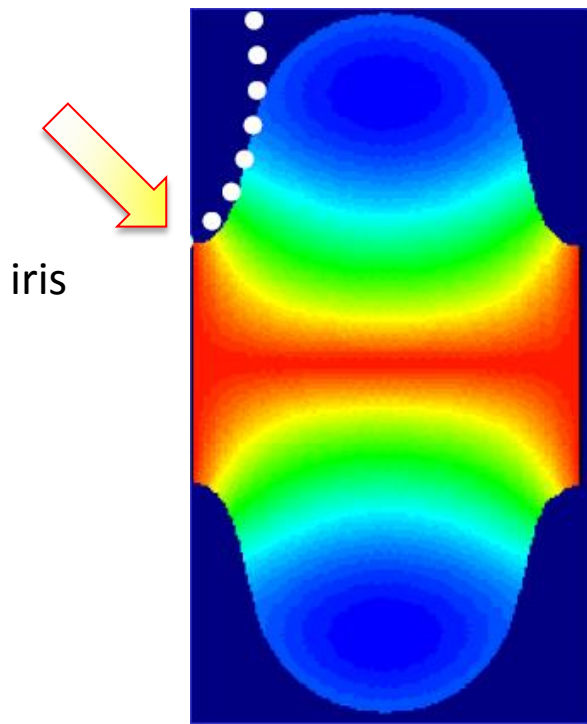
Field Emitters

- Contaminants from surface processing
 - Niobium oxide granules (electropolished surface)
 - Sulfur
 - And other elements

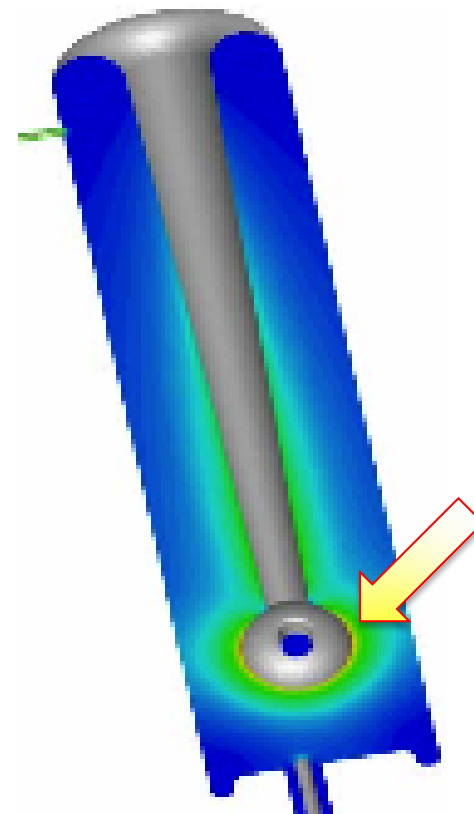


Understanding of Field Emission

- Field emission is primarily an electric field effect
 - High electric field region (arrow) in cavity is critical



Elliptical cavity (TM-class)



Half-wave cavity (TEM-class)

Understanding of Field Emission

- Processing events – extinction of field emitter
 - Micro-tip melting, gas release
 - Discharge/plasma
 - Breakdown/emitter destruction

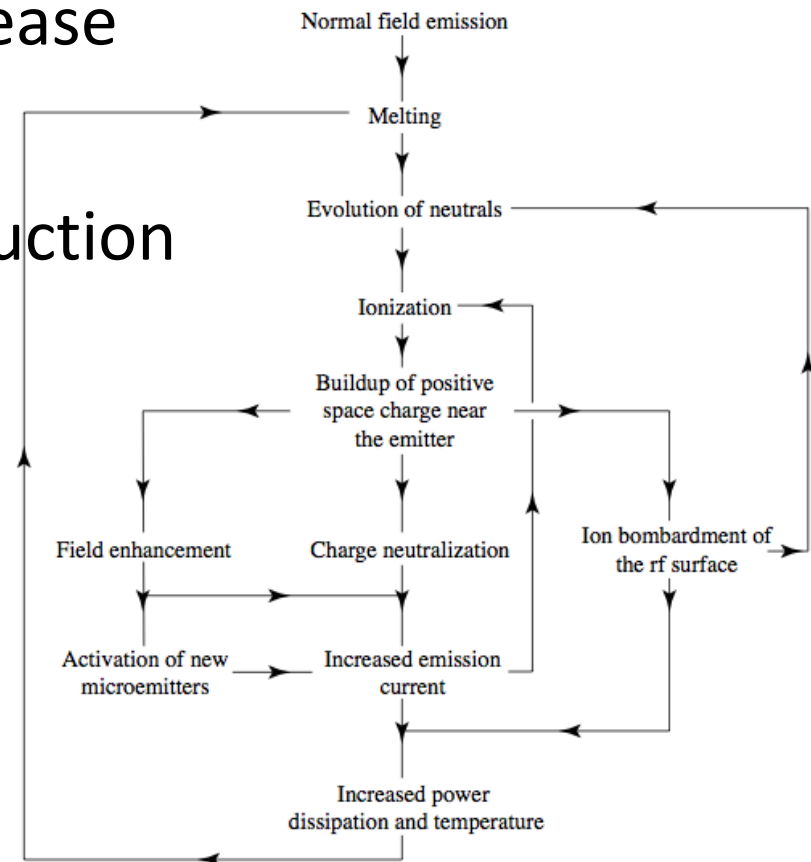
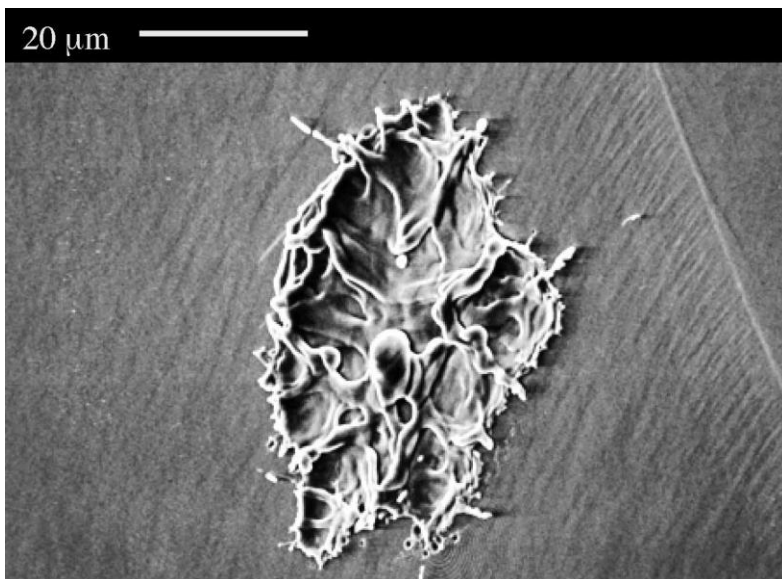
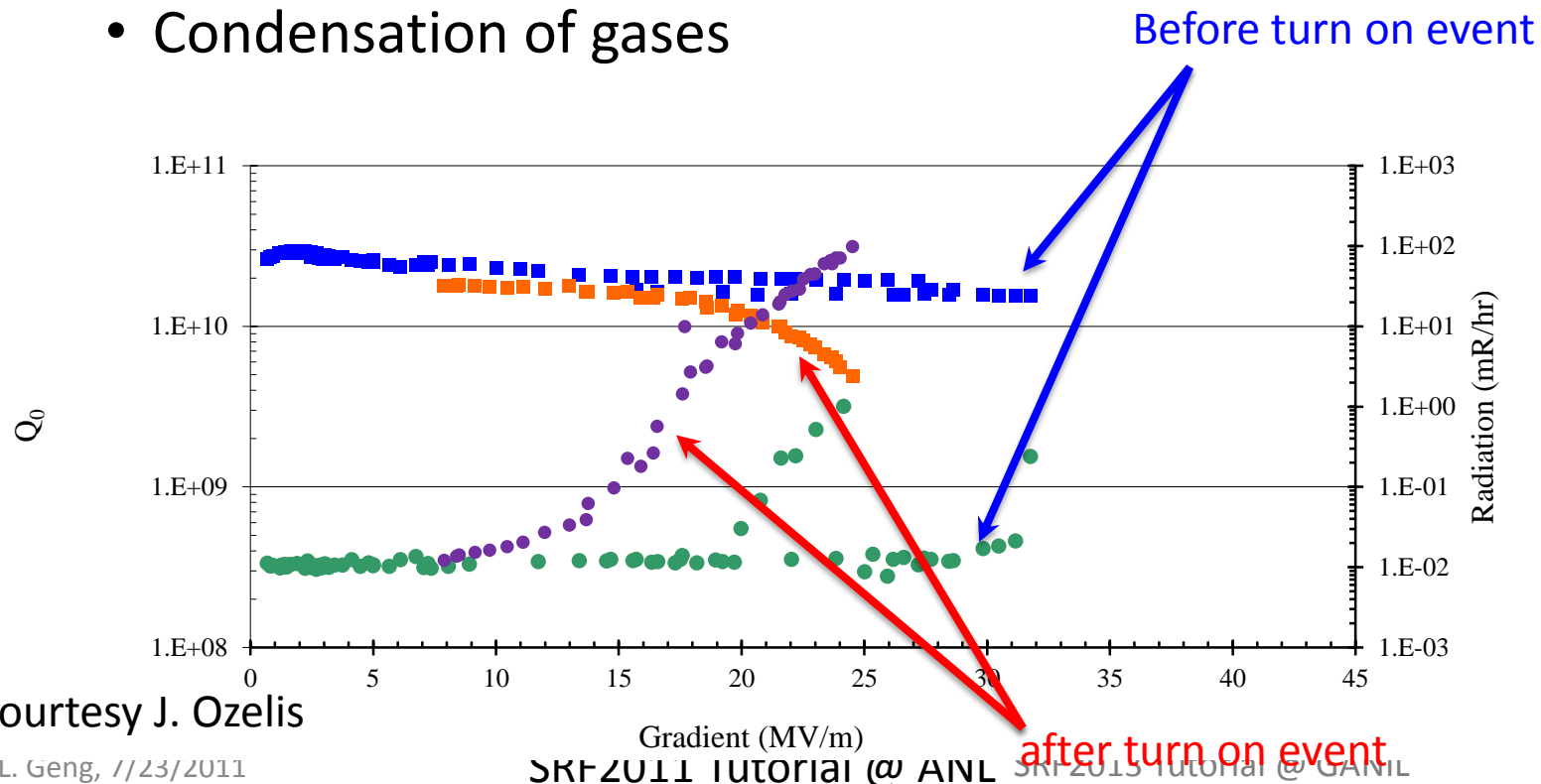


Figure 5.36: Flow chart of the feedback loop leading up to rf processing.

Understanding of Field Emission

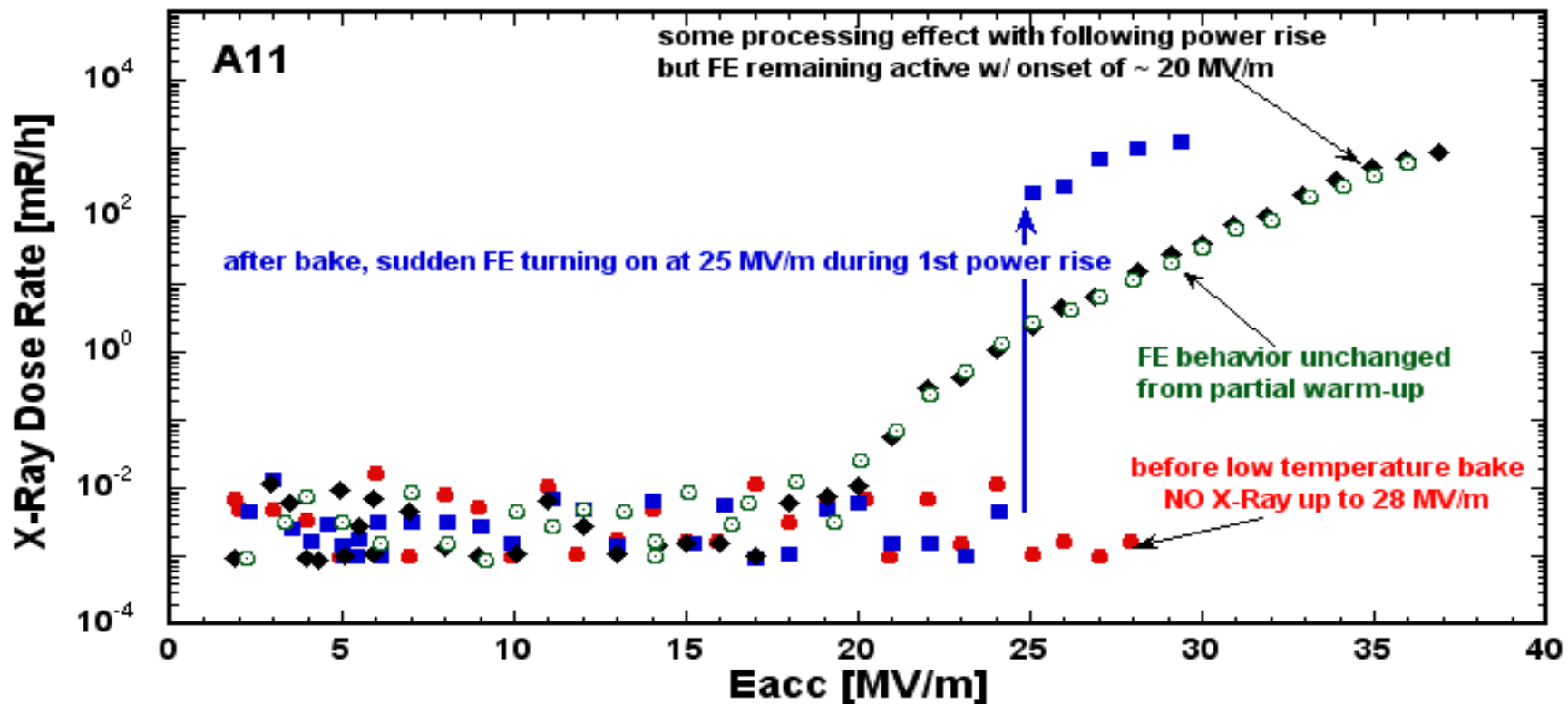
- Field emission turn on events
 - Activation of field emitter
 - Arrival of particles
 - Condensation of gases



Courtesy J. Ozelis

Understanding of Field Emission

- Field emission turn on events
 - Activation of field emitter
 - Baking (120 °C) induced (for electropolished cavity)



Avoiding Field Emission

- Post-Chemistry Cleaning
 - Ethanol rinsing
 - Ultrasonic cleaning (De-ionized water + detergent)

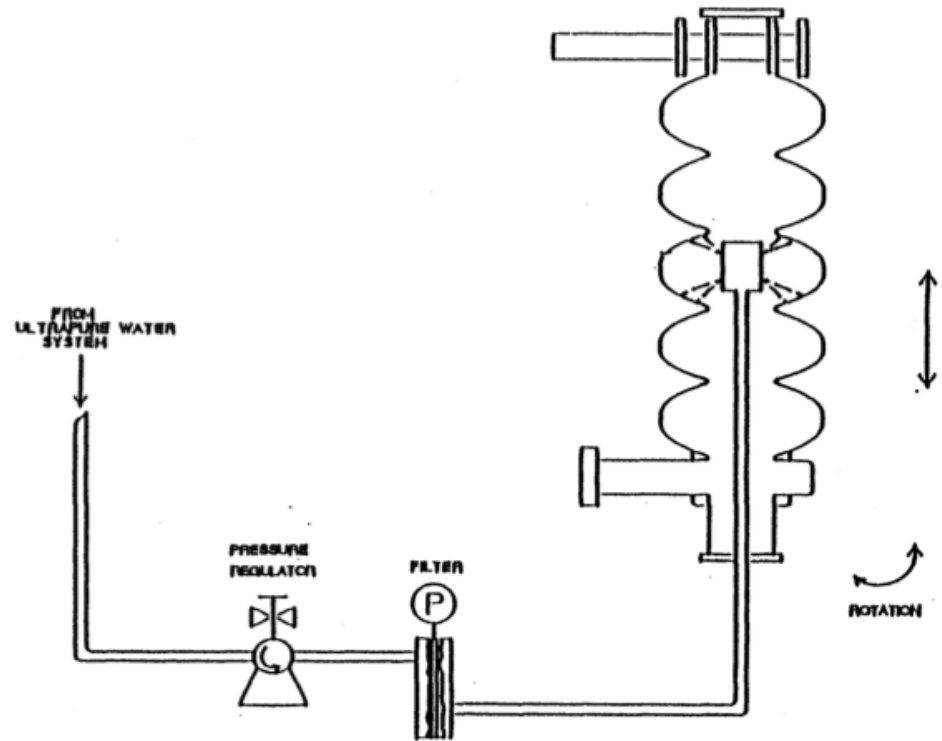


Avoiding Field Emission

- High Pressure Water Rinsing (HPR)
 - De-ionized water, 18 M Ω -cm resistivity
 - 1300 PSI pressure



(click photo for video)



Courtesy P. Kneisel

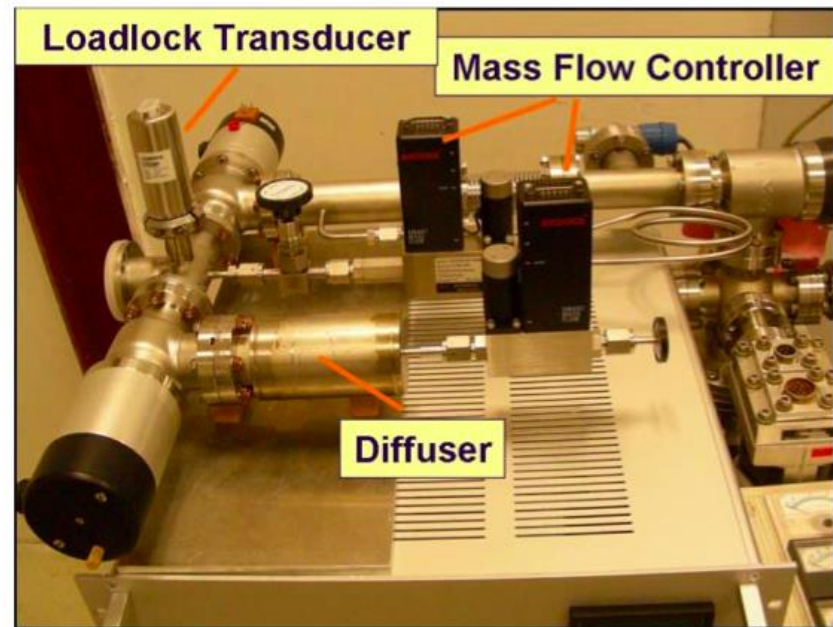
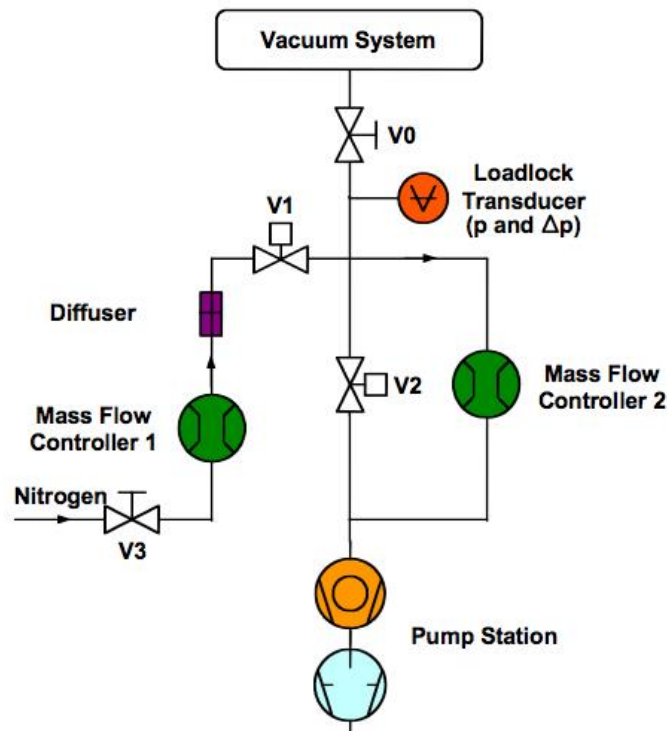
Avoiding Field Emission

- Clean room assembly
 - Class-10



Avoiding Field Emission

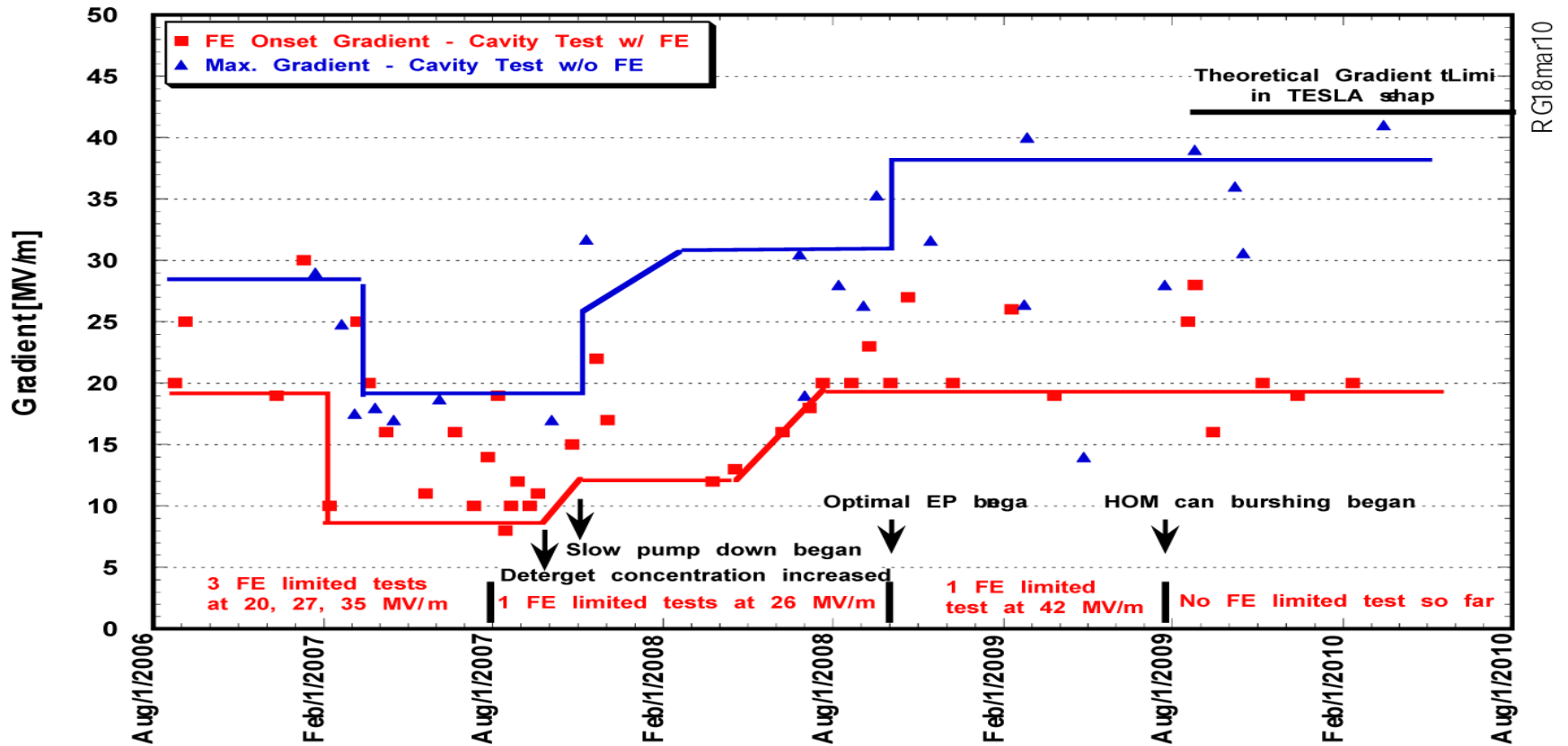
- Slow pump down
 - Prevent re-contamination
- Oil-free pumping system



K. Zapfe and J. Wojtkiewicz, SRF2007

Last Word on Field Emission

Progress of Field Emission Suppression
in Electropolished Multi-Cell Cavities at JLab



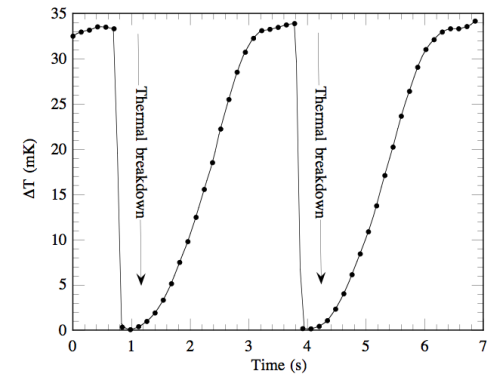
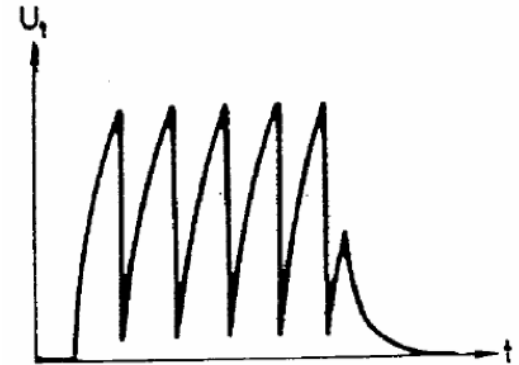
R G 8 mar 10

Progress has been made in recent years in reducing field emission. “Field emission free” cavity vertical testing of 1 meter long 9-cell 1300 MHz cavities has been reported at DESY, FNAL, JLab, KEK in gradient range of 35-45 MV/m. Much less cavities are limited by field emission. But challenges remain toward reliable control of field emission. Such as “sudden field emitter turn on” at high gradient, degradation from vertical test to cryomodule test. Plenty room for innovation and creativity.

Quench

Symptom of Quench

- Sudden collapse (<ms time scale) of field in SRF cavity
 - Field may self recover
 - Or may not
- Detection of temperature rise at cavity wall near quench source
 - Can be as high as a few K



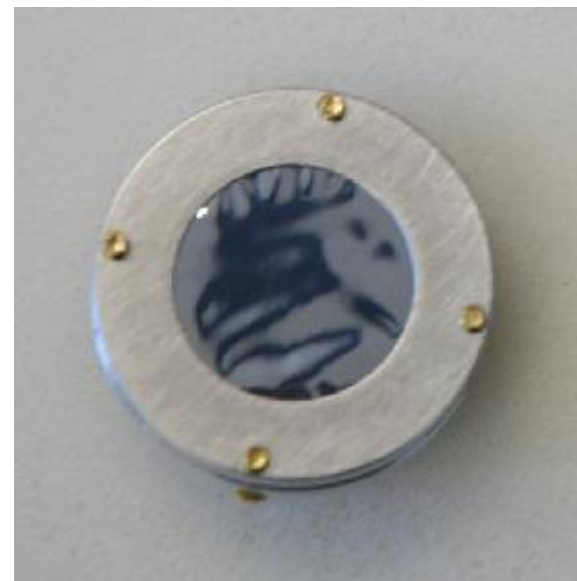
Quench Diagnostic Commonly Used Heat Pulse Detectors



Allen-Bradley
carbon resistor
100 Ω , 1/8 W



Cernox



Cornell OST

Used at 1.8 K for defect localization

Physics of Quench

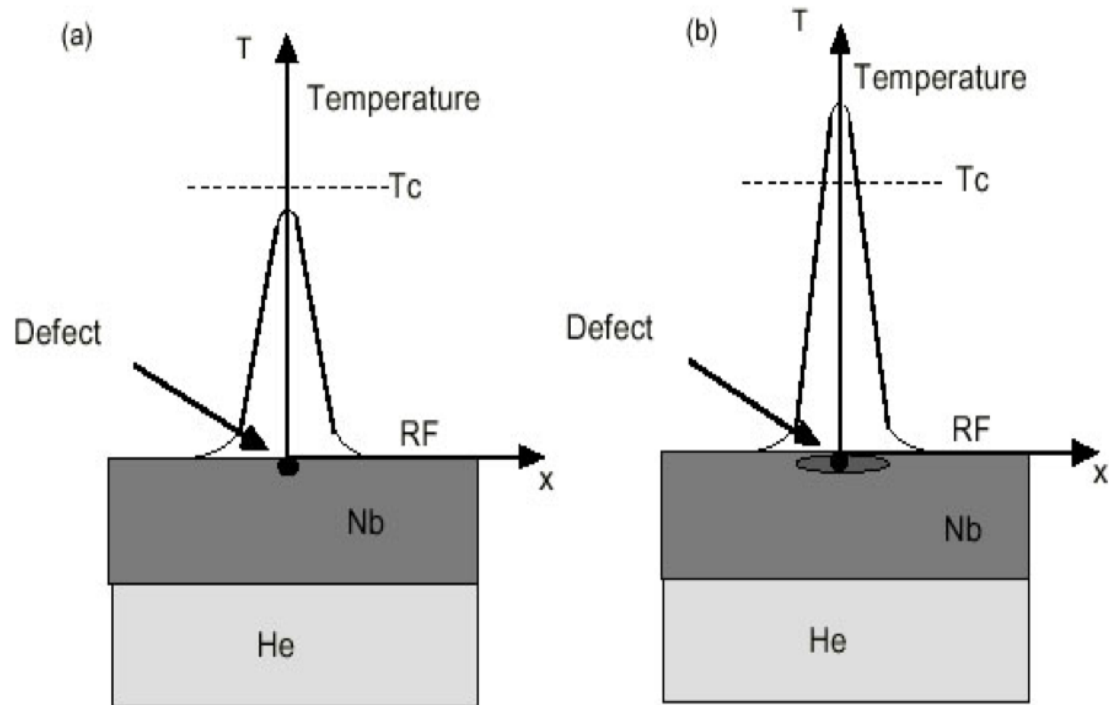
- Quench caused by **field emission**
 - Heat deposition at electron bombardment site (earlier slides)
- Quench caused by **multipacting**
 - Heat deposition at electron bombardment site(s)
- Quench caused by resistive heating of local **normal conducting defect**
 - Thermal breakdown
- Quench caused by growing normal conducting region driven by magnetic field
 - “magneto-thermal” breakdown
- Quench caused by uniform heating (Global Thermal Instability)
- Ultimate limit: quench due to RF critical field

Physics of Thermal Quench

$$\frac{dP_c}{ds} = \frac{1}{2} R_s |\mathbf{H}|^2$$

$R_s \begin{cases} n\Omega, \text{ s.c.} \\ m\Omega, \text{ n.c.} \end{cases}$

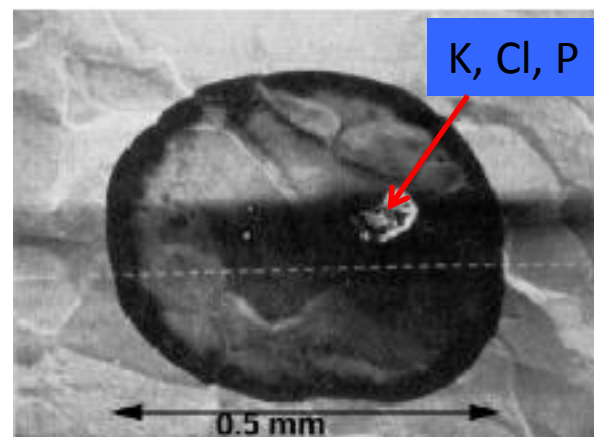
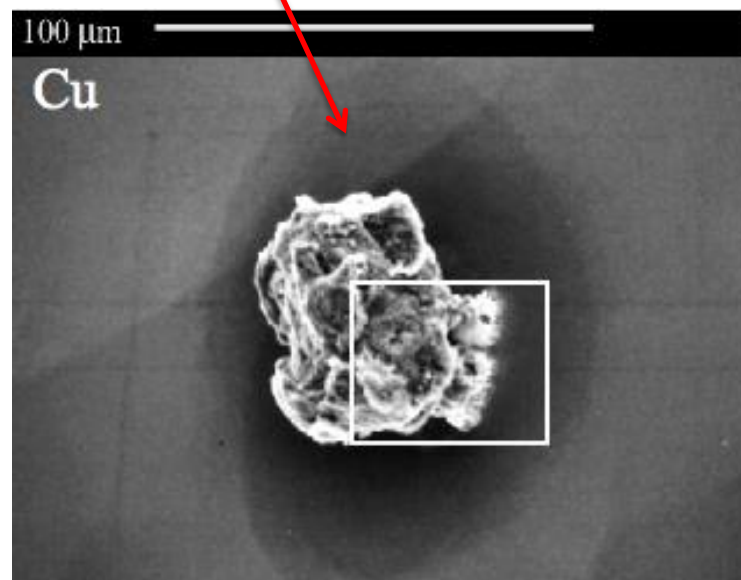
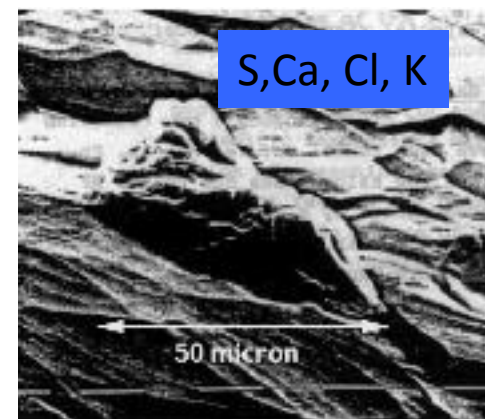
- Power dissipation in **normal conducting defect** generates heat
- Poor thermal conductivity of superconducting wall limits heat conduction
- This causes **temperature rise to exceed T_c (9.25 K) in surrounding superconducting region**
- This causes additional resistive heating
- The **normal conducting region grows** rapidly, leading to quench



Courtesy H. Padamsee

Defects

- N.C. defect with foreign material
 - inclusion →
 - Stain →
 - Copper particle →

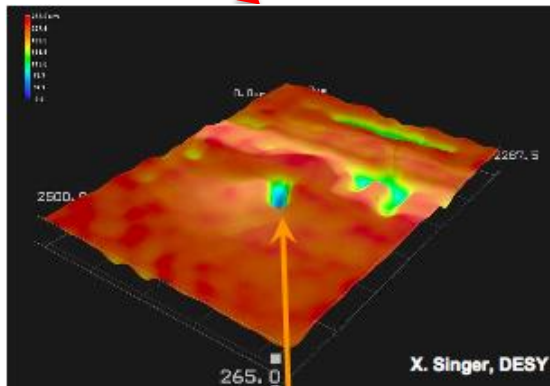
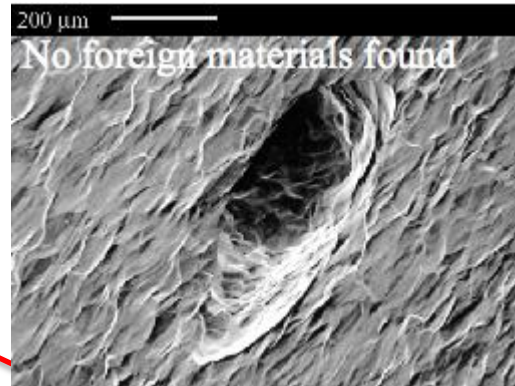


50-500 μm

Defects

- Geometrical defect (no foreign material)

- pit
- Bump
- hole



200-800 μm

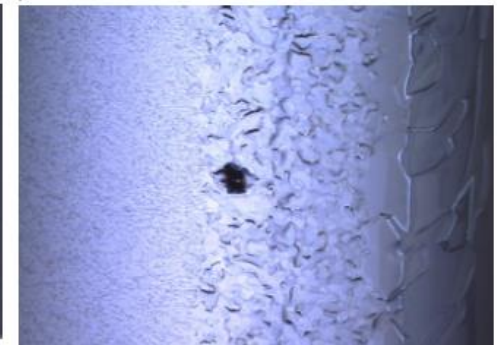
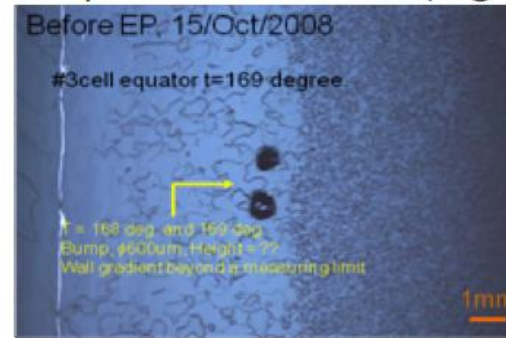


Figure 2: Defects observed near quench site of AES1(L) & AES3(R), limited at 16 & 21 MV/m, respectively.

These circular defects have a diameter of ~ 600 μm and are outside the equator EBW (5-10 mm from weld seam).

Courtesy W. Singer

Understanding of Thermal Quench

- Thermal breakdown field is determined by

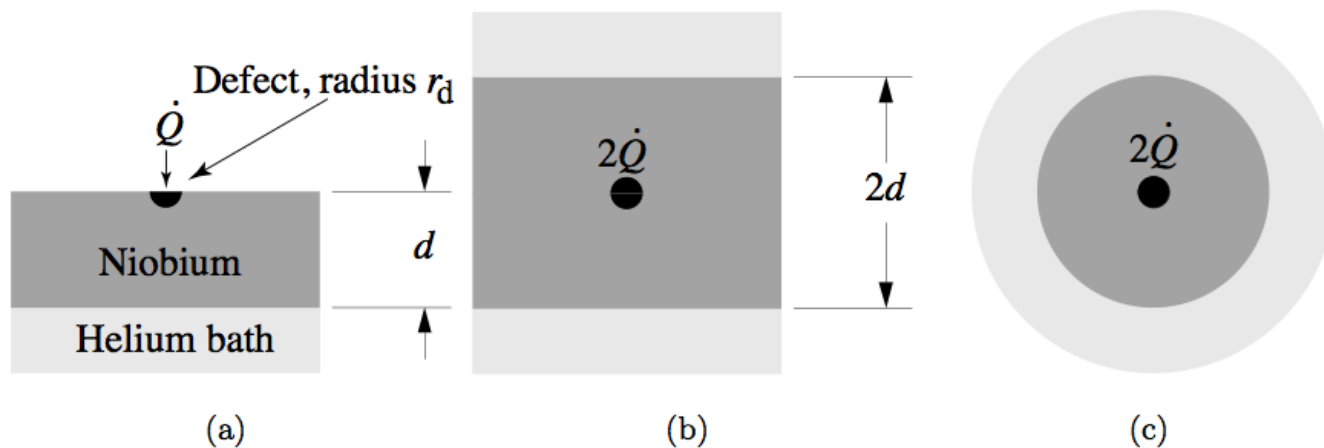
- Defect size (r_d)

- Defect surface resistance (R_d)

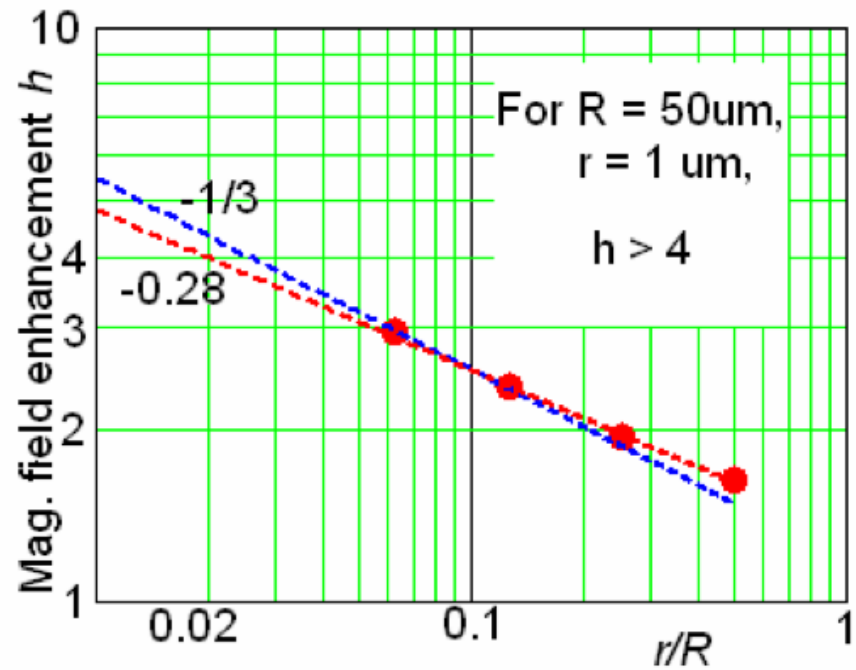
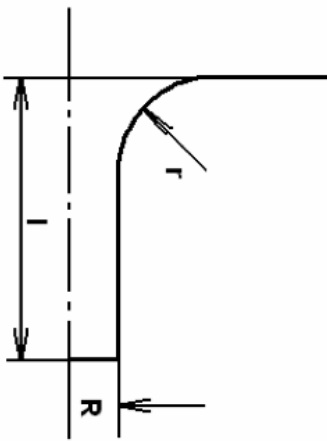
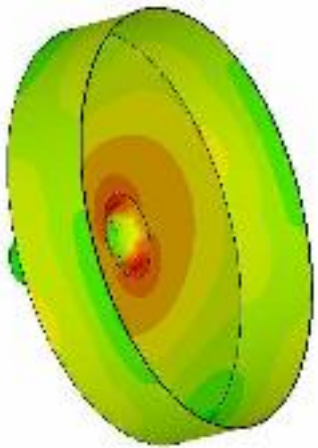
$$H_{tb} = \sqrt{\frac{4\kappa_T(T_c - T_b)}{r_d R_d}}$$

- Thermal conductivity of wall material ($\sim T_c$)

- Heat transfer across Nb/LHe interface (Kapitza)

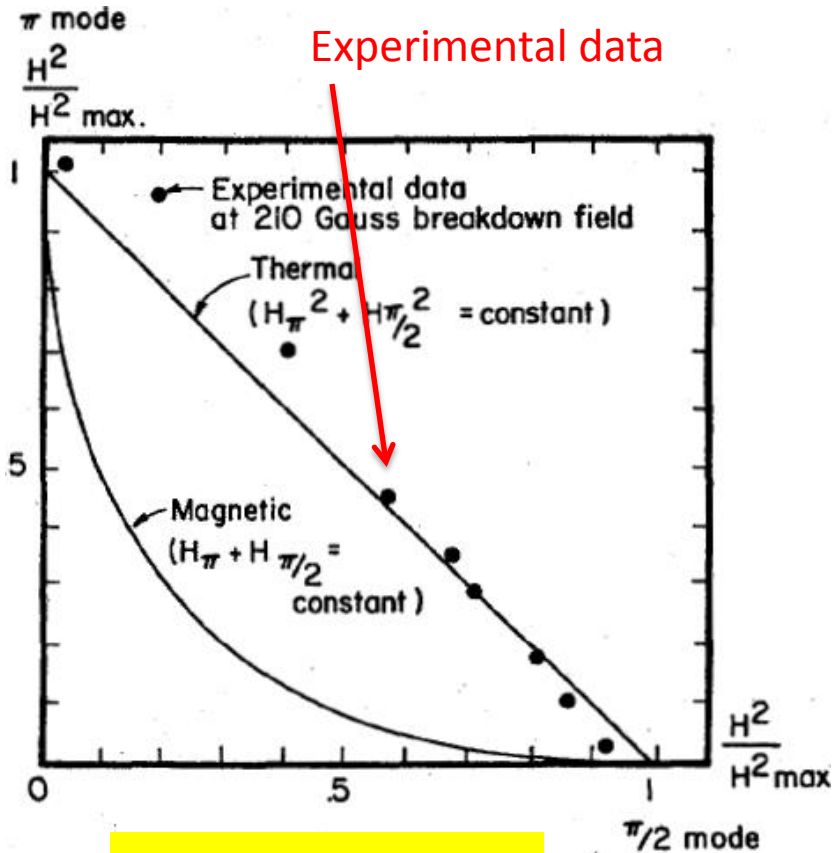


- Magneto-thermal breakdown is determined by
 - Local magnetic field enhancement factor $h \propto (r/R)^n$
 - Thermal conductivity of wall material ($< T_c$)



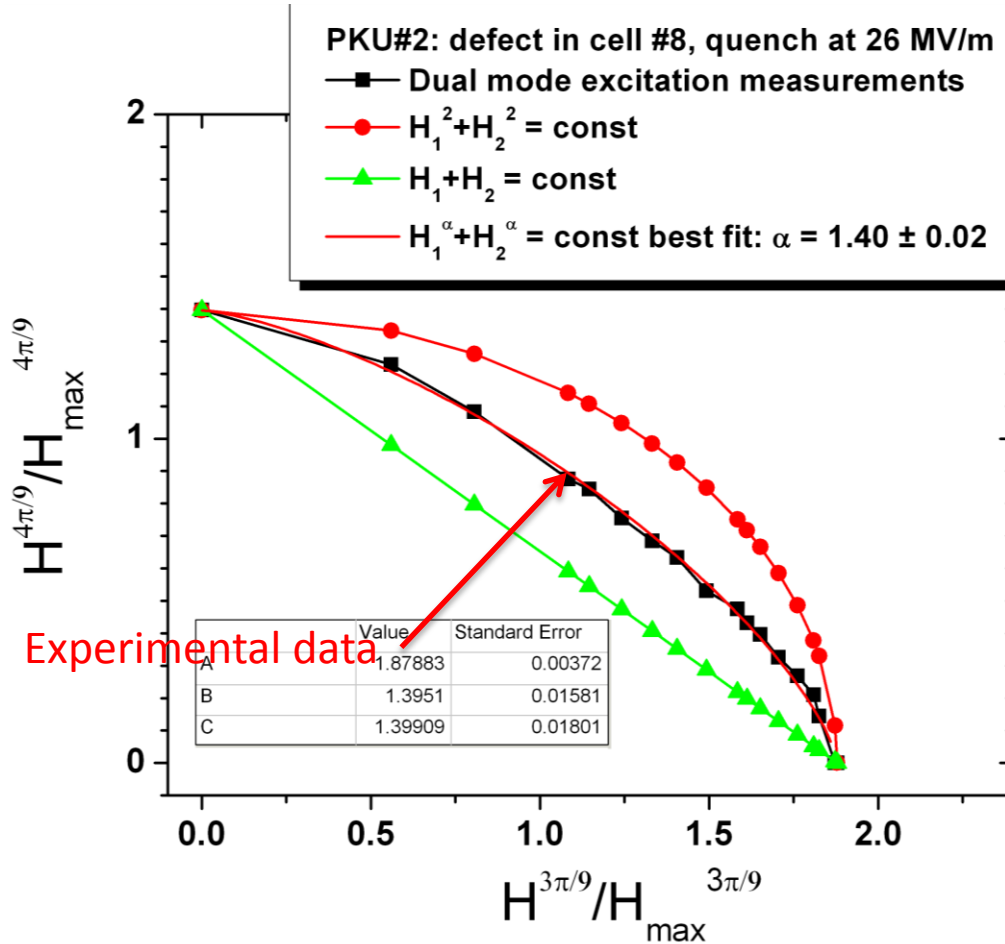
Courtesy V. Shemelin

Understanding of Thermal and Magneto-Thermal Quench



Thermal breakdown

Courtesy H. Padamsee



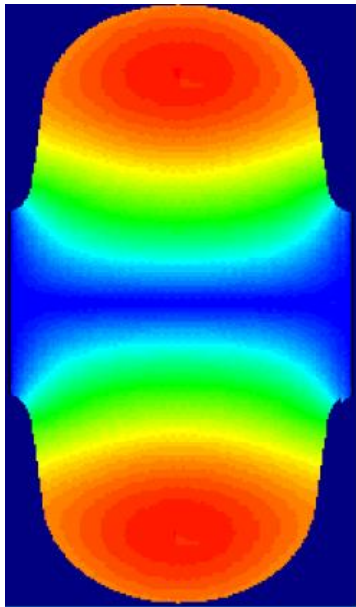

Magneto-Thermal breakdown

Courtesy G. Ereemeev

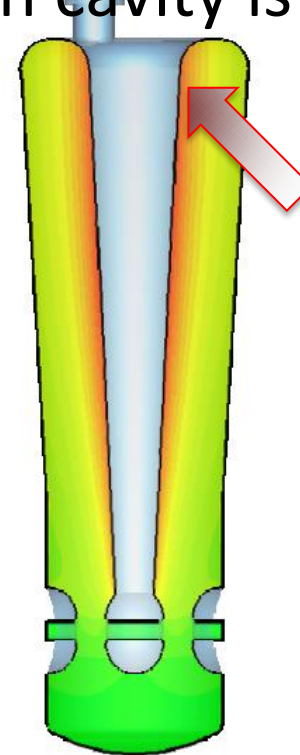
Understanding of Quench

- Quench is primarily a magnetic field effect at **surface defects**
 - High magnetic field region (arrow) in cavity is critical

equator



Elliptical cavity (TM-class)



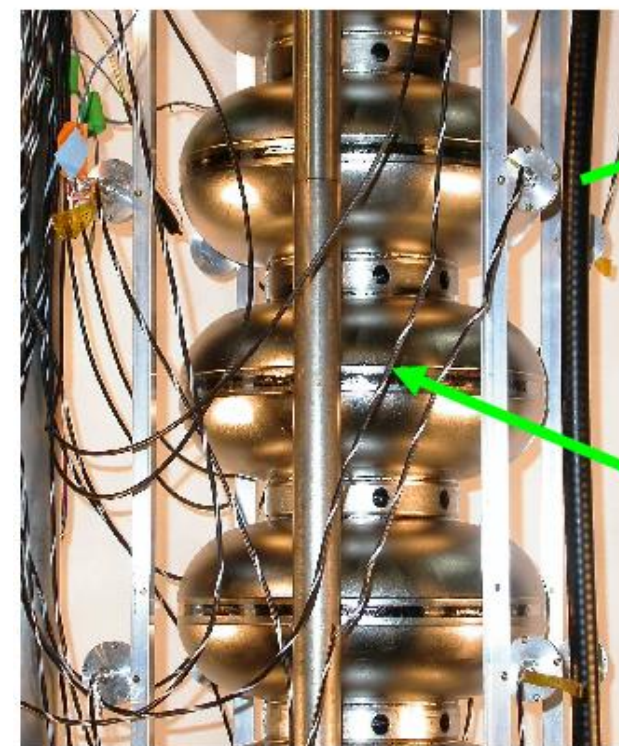
Half-wave cavity (TEM-class)

- Produce smooth surface = avoid having defects
 - Global mechanical polish



Courtesy C. Cooper

- **Removal quench-causing geometric defects**
 - First localize defect
 - OST for rapid quench location
 - Then assess quench region
 - high-resolution optical inspection



Overcoming Quench

“Knobs” for improved reproducibility in overcoming local quench at very high gradient of 40-50 MV/m

$$E_{acc}^{max} = d \cdot \frac{r \cdot H_{crit,RF}}{\beta_{MAG} \cdot (H_{pk}/E_{acc})}$$

Achievable gradient

Cavity surface chemistry

Material
 Nb: > 2000 Oe (exp.)
 2400 Oe (the.)
 Nb₃Sn: > 4000 Oe (the.)

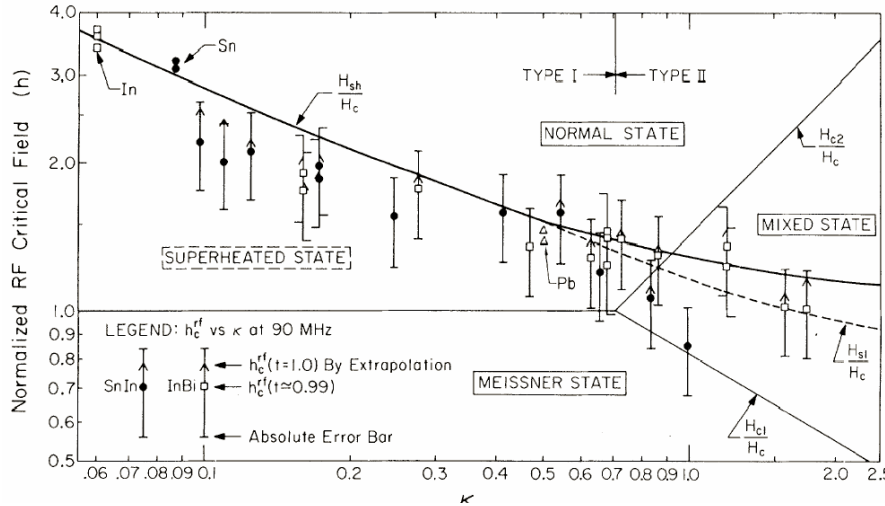
Cavity wall thermal conductance

Cavity surface smoothness

Cavity shape

- (1) Alternate cavity shape for reduced H_{pk}/E_{acc} ratio. *In hand* (LL, RE, LSF).
- (2) Uniform cavity processing for reduced local “bad” spots. *In hand* (EP).
- (3) Smooth surface for reduced local magnetic field enhancement. *In hand* (CBP & derivative + EP).
- (4) Improved wall thermal conductance for increased local heating tolerance.
 - Cavity heat treatment optimization for “phonon peak engineering”
 - Use Nb/Cu composite material (such as explosion bonded material)

What is the critical field in RF ?:



Superheating field ?

■ normal zone nucleation $\sim 10^{-6}$ s

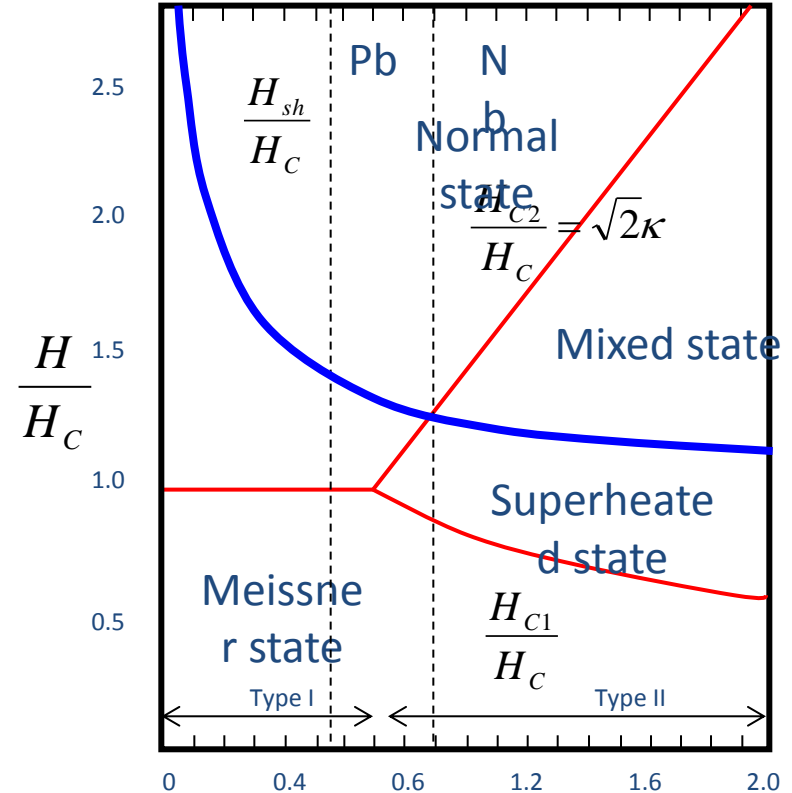
■ RF $\sim 10^{-9}$ s *

BUT

■ 1! vortex penetration $\sim 10^{-13}$ s **

* H. Padamsee, J. Knobloch, and T. Hays, "RF superconductivity for accelerators". 1998: J. Wiley & son.

** Gurevich, Brandt, Smethna...



GL parameter $\kappa (= \lambda/\xi)$

$H_{SH} \sim 1,2.H_c$ pour $\kappa \sim 1$

$H_{SH} \sim 0,75.H_c$ pour $\kappa \gg 1$

- RF critical field sets ultimate limit in achievable gradient
 - Still not settled theoretically
 - See Enzo Palmieri's "Basic principles of RF superconductivity"
 - **Experimentally observed quench seems to be always caused by local defect**
 - Experimental record peak surface magnetic field
 - Cornell 1-cell 1300 MHz re-entrant shape cavity: 2065 Oe
 - DESY 9-cell 1300 MHz large-grain TESLA-shape cavity: 1950 Oe
- Niobium is still the dominant material for known SRF based projects
 - Plenty of intricate issues remain further understanding
 - Still room for improvement
- Niobium replacement materials are being actively explored
 - Beating Nb's quench performance has not yet been demonstrated
 - See Anne-Marie Valente-Feliciano's "Beyond Bulk Niobium"

Understanding and Minimizing RF Losses – Pushing the limit

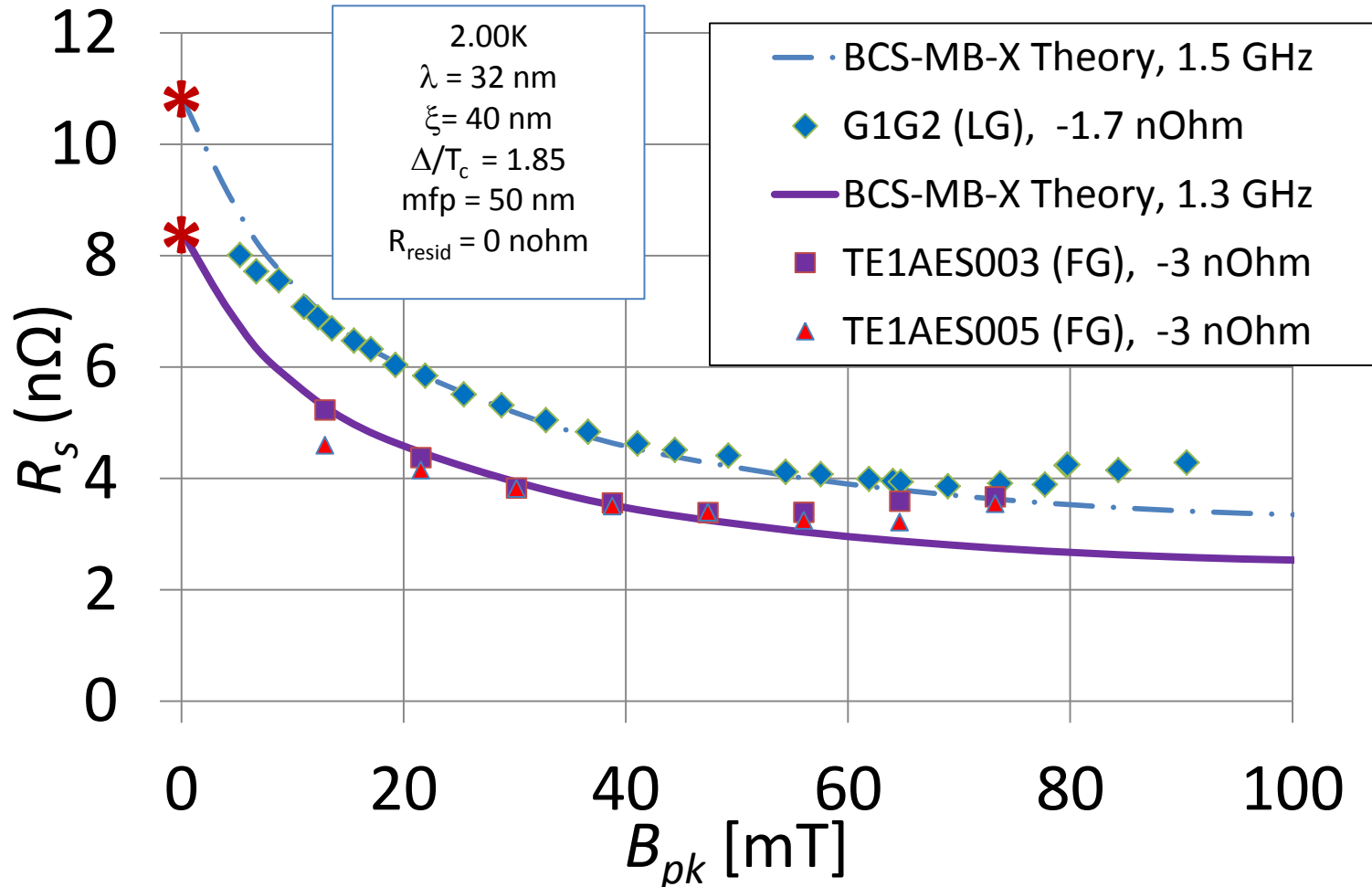
Heat = \$, €, ¥

What is “ideal” SC Surface Resistance?

- So far, Mattis-Bardeen SC surface resistance theory, built on BCS has addressed **only the $B=0$ limit**.
- How would “perfect” Nb behave ? $R_s(B,T)$
- Recent theory and unusual experimental results now suggest that we have not been expecting enough.
(more later)
- Even “perfect” Nb can yield non-linear RF losses with fine-scale surface roughness.
 - E.g. cf. etched vs EP’d fine grain Nb. (See Chen Xu’s poster next week)

What is “ideal” SC Surface Resistance?

- Previously, Mattis-Bardeen SC surface resistance theory, built on BCS has addressed **only the $B=0$ limit**.



Symptoms of Parasitic Losses

- Q_0 is lower than what is expected from theory
 - BCS theory predicts exponential temperature dependence of surface resistance $R_s \propto_n \omega^2 \lambda_L^3 \ell \exp\left(-1.86T_c/T\right)$
 - Recall $Q_0 = \frac{G}{R_s}$
 - Temperature independent term is called **residual resistance**
 - Residual resistance limits achievable Q_0

Symptoms of Parasitic Losses

- **Q-disease**

- Q_0 at low field degrades when cavity parked at a temperature 70-150 K for extended period of time
- Similar effect when cavity cool down rate is slower than 1K/min in passing 70-150 K

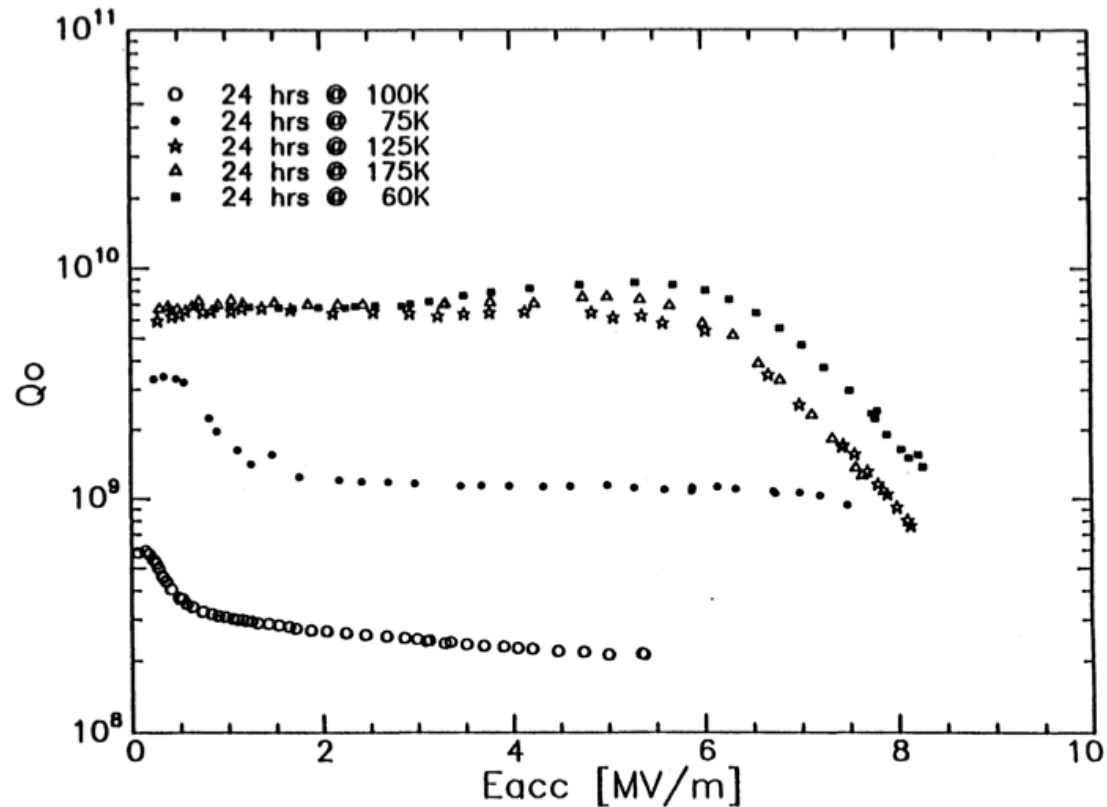


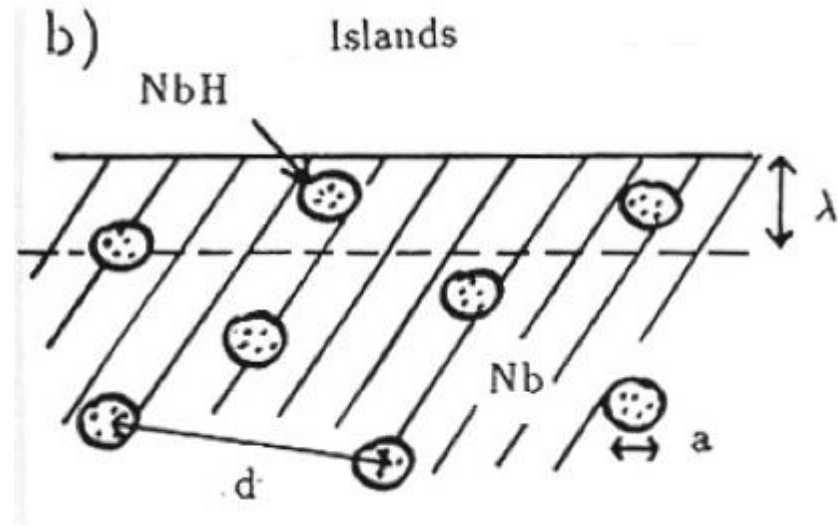
Figure 1 : E_{acc} – Dependence of Q – Degradation on "Holding" Temperature

J. Halbritter, P. Kneisel, K. Saito, SRF1993

Understanding Parasitic Losses

Q-disease

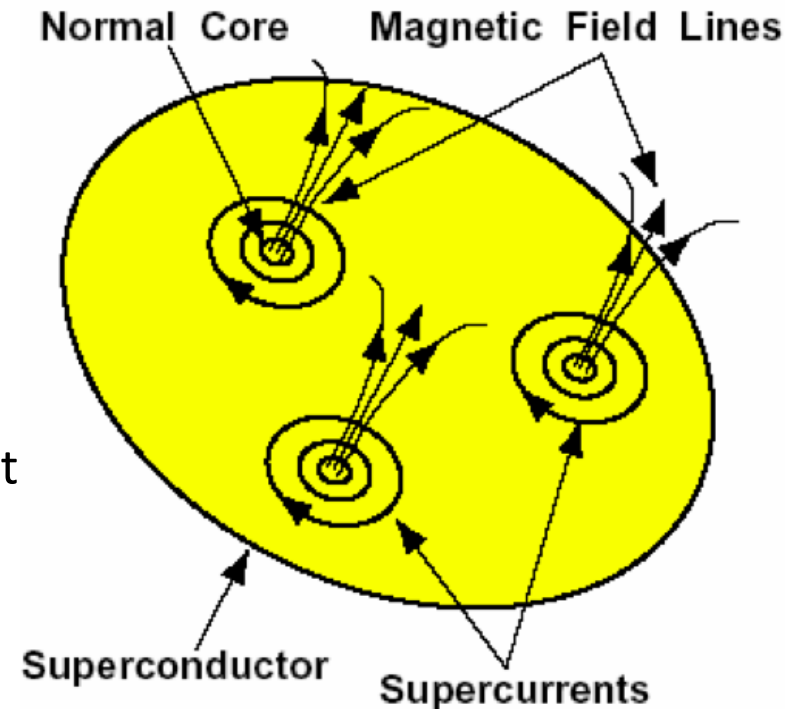
- Hydride phase
 - Nb-H system undergoes phase transition at low temperatures
 - H mobility still high at 100 K
 - H in bulk Nb precipitates
 - Forms islands of weak superconductor
 - Danger arises when bulk H concentration in Nb > 2 wt ppm
 - Higher danger for high purity Nb
 - H is bound by impurities



Understanding Parasitic Losses

- **Frozen flux effect**

- DC magnetic field is “trapped”
 - Fluxon
 - Normal conducting core
- Sources of DC magnetic field
 - Earth magnetic field
 - Thermal-electric current due to temperature gradient during cavity cool down or local quench during test
- Mechanisms of losses
 - RF dissipation at n.c. core
 - Fluxon dynamical flow



Understanding Parasitic Losses

- Scaling of residual resistance due to frozen flux effect
 - Linear dependence on external field H_{ext}
 - Inverse linear dependence on second critical field H_{c2}
 - Linear dependence on superconductor's normal state surface resistance R_n
- Frequency scaling: \sqrt{f}
 - Recall $R_n \sim \sqrt{f}$

$$R_{\Phi} \approx \frac{H_{ext}}{2H_{c2}} R_n$$

For Nb, residual resistance contribution due to frozen flux:

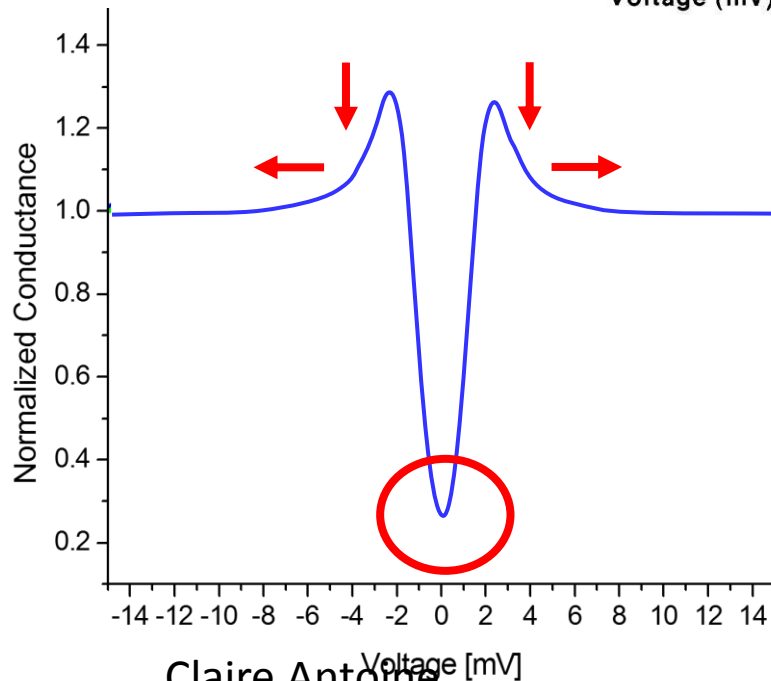
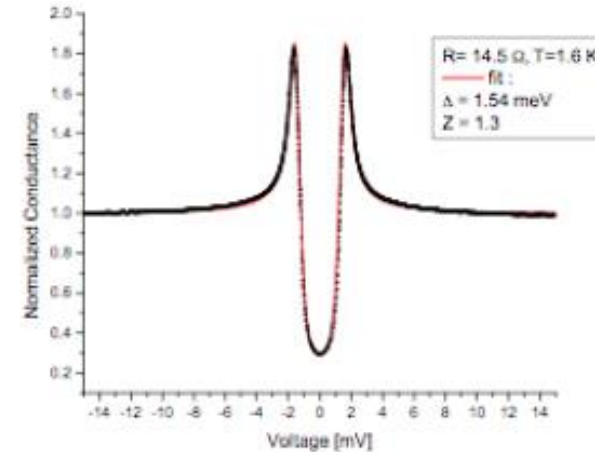
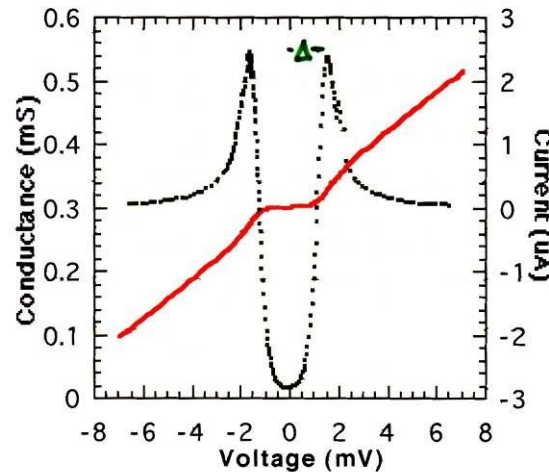
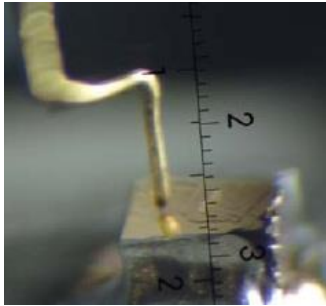
$$R_{res} = \alpha H_{dc} \sqrt{f / \text{GHz}}$$

$$\alpha = 0.2\text{-}0.3 \text{ n}\Omega/\text{mG}$$

$$10 \text{ mG} \rightarrow \sim 3 \text{ n}\Omega$$

120 C BAKING AND SC GAP

bulk Nb (UH Vann. >2200°C)
= reference →



↑. Nb low Z conductance
(measurement deep, past the
oxide layer)

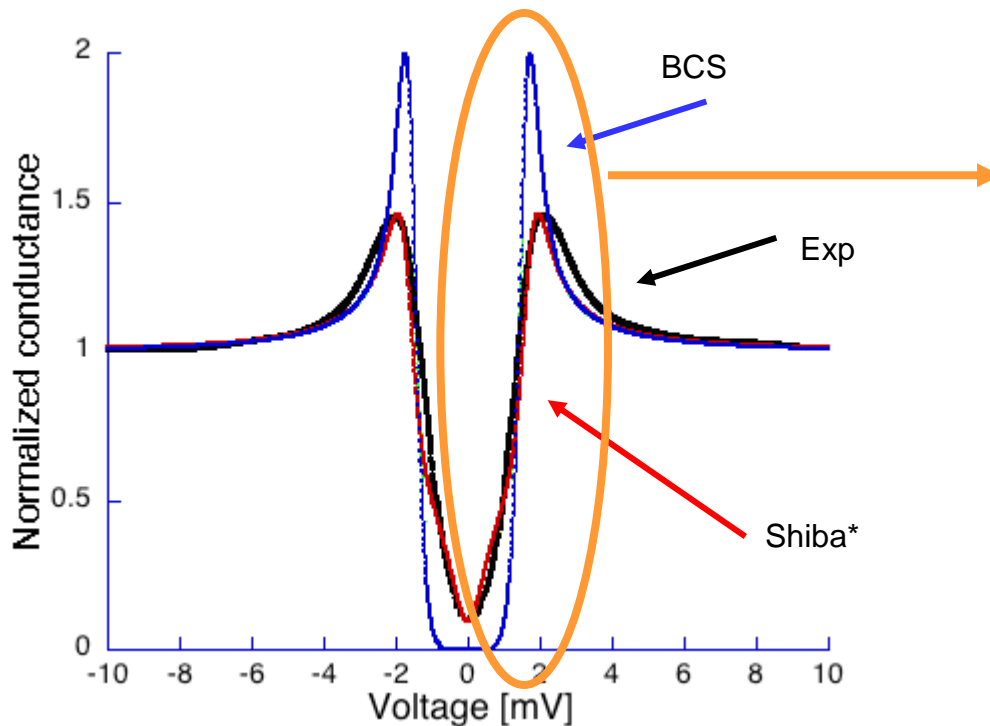
- Bulk : BCS behavior
- $\Delta \sim$ Nb bulk : 1.55 meV
- Behavior \neq BCS @ interface

High field dissipations:

PCT Results 2

@ interface :

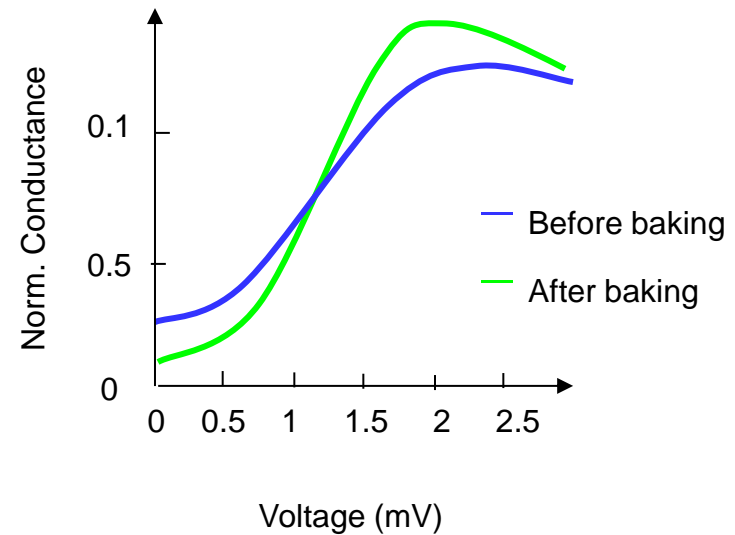
- Broadened conductance => Mechanism = Cooper pair breaking
- Fit = Shiba => quasiparticles inelastic scattering / impurities
- Baking : ↓ inelastic scattering part of the signal
- Same results air/vacuum => interface !



high Z (w. oxide)

* H.Shiba Prog.Theo.Phys. 50, 50 (1973);

[T. Proslir et al, IIT, ANL, 2007]



NB baking in air !

Reducing Parasitic Losses

- Minimize H uptake from processing
 - BCP etching at $< 15\text{ }^{\circ}\text{C}$
 - “H free” EP
- Hydrogen out-gassing in vacuum furnace
 - $800\text{ }^{\circ}\text{C} \times 2\text{ hr}$
 - Or at lower temperature for longer time
- Minimum or no chemistry after out-gassing
- Engineer a diffusion block underneath or within the surface oxide?



Overcoming Residual Losses

- Use ingot (LG) Niobium material

BCP-etched large grain cavity
9-cell 1300 MHz
Consistent lower surface resistance with more than 10 cavities

Heraeus large-grain Nb

$\langle Q_0 \rangle = 2E10 @ 20 \text{ MV/m, } 2K$
 $Q_0 \text{ } 3\text{-}4E10 @ 20 \text{ MV/m, } 1.8K$

W. Singer et al., STTIN2010

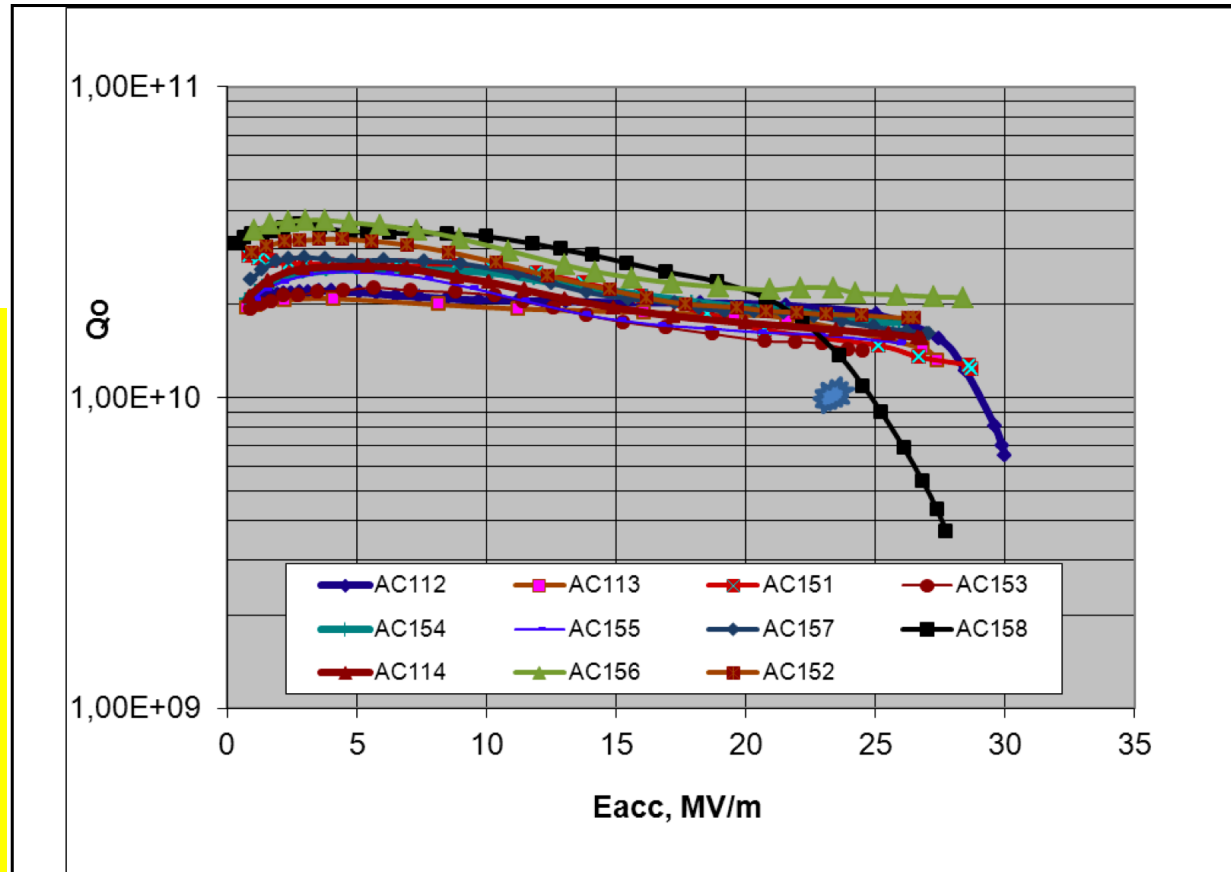


Figure 7: $Q(E_{acc})$ of the cavities AC112- AC114 and AC151-AC158 at 2K. Test after 100 μm rough BCP, annealing at 800°C for 2h followed by a fine BCP 20 μm and baking at 120°C for 48h (AC112 was not baked). Star shows the XFE requirements

Non-linear Parasitic Losses

- Q_0 declines as field is raised
 - Without any X-ray present
 - Decline starts at gradient 3-4 MV/m
 - “Medium field Q-slope”
 - Rapid decline above 20 MV/m
 - “High field Q-slope”
 - Observable in bulk Nb cavities, Nb thin film coated Cu cavities and Nb₃Sn coated cavities



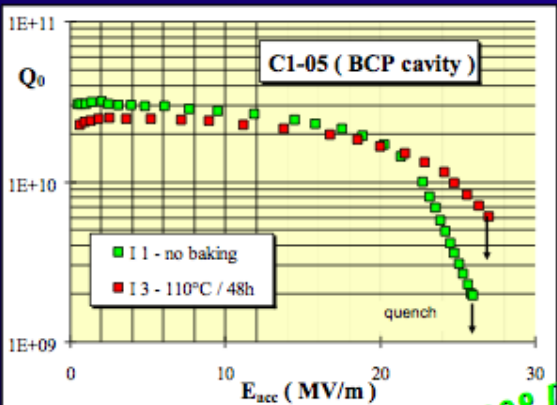
BAKING AND Q-SLOPES

Pushing the Limits of RF Superconductivity

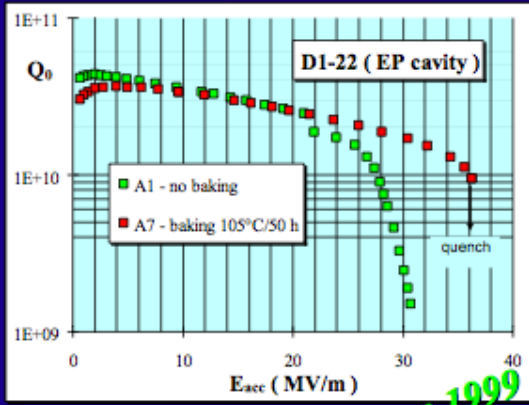
Workshop ~ Argonne Nat. Lab. ~ 22-24 Sept. 2004

Baking has an effect on the three Q-slopes (BCP and EP cavities):

- * enhancement at low field
- * slight flattening at medium field
- * strong improvement at high field



EPAC 1998 [1]



SRF Workshop 1999 [2]

Without Baking : Electropolished Cavity Can Not Reach 40 MV/m

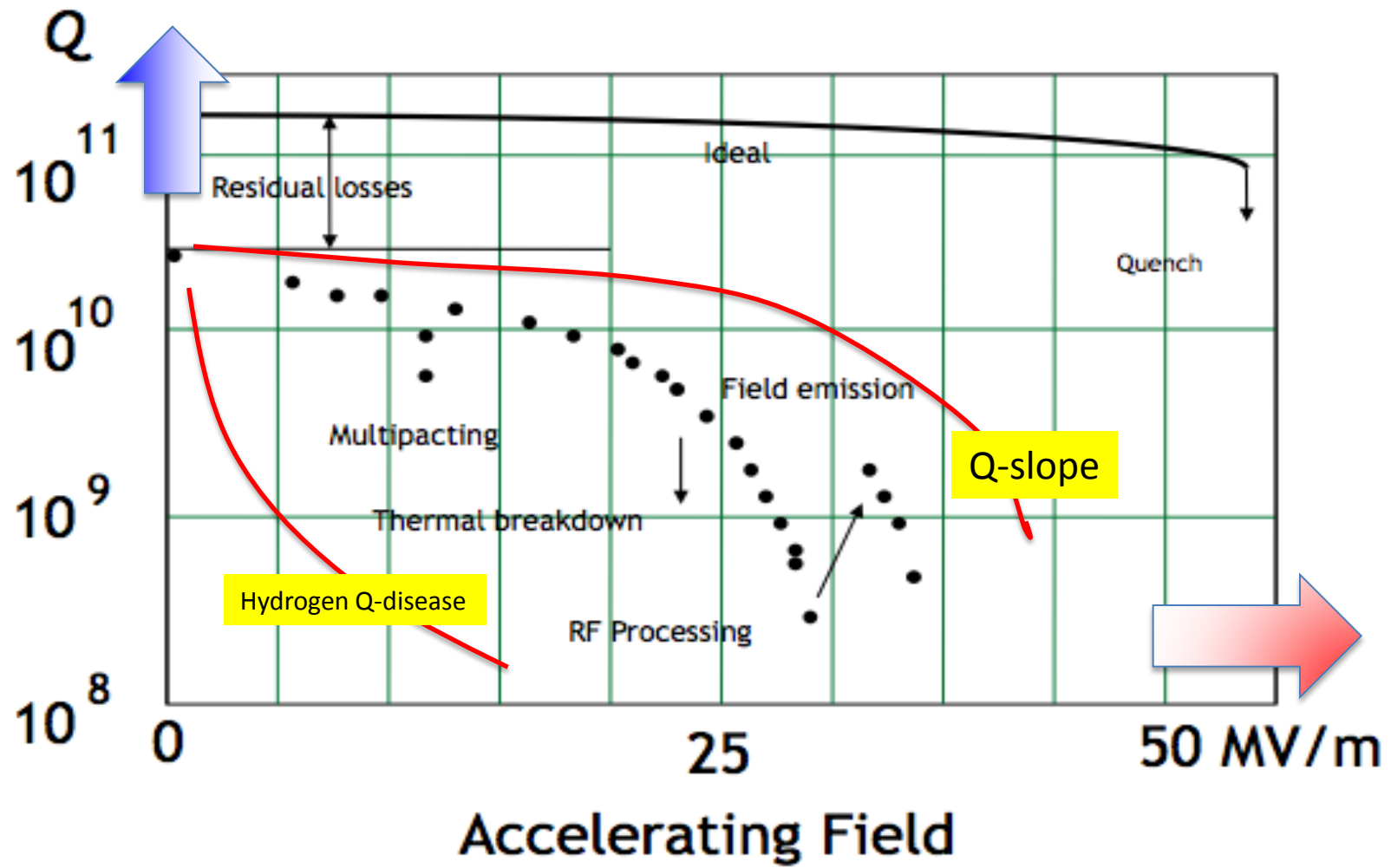
Bernard VISENTIN

Non-linear Parasitic Losses

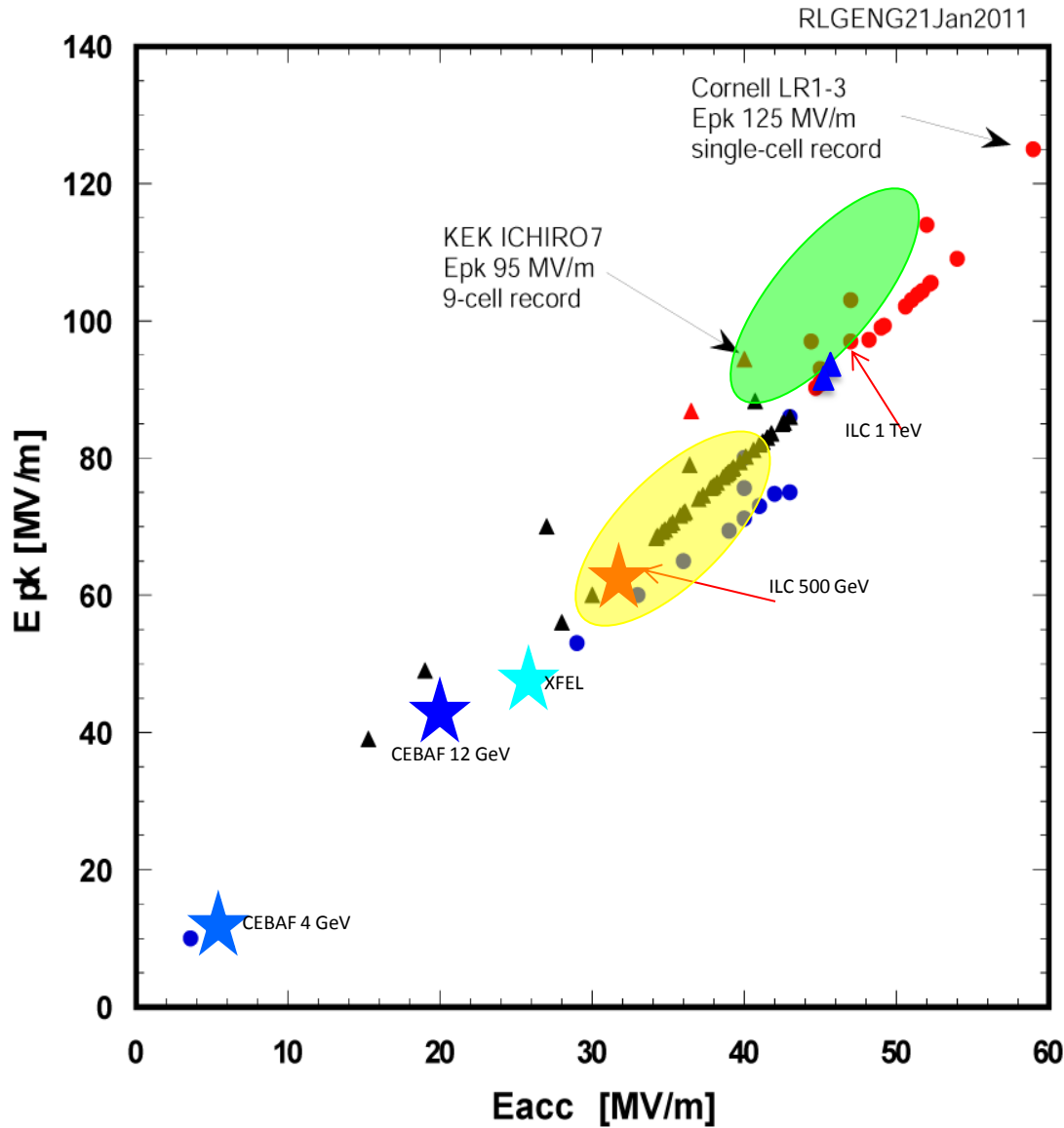
- This is an active area of research presently in SRF community
 - Theoretical
 - Non-linear BCS
 - Vertex
 - Weak SC inclusions
 - ...
 - Experimental
 - Oxygen
 - Dislocation
 - Hydrogen
 - ...

Cavity Performance Limits and SRF Based Accelerators

Performance Pushing Directions



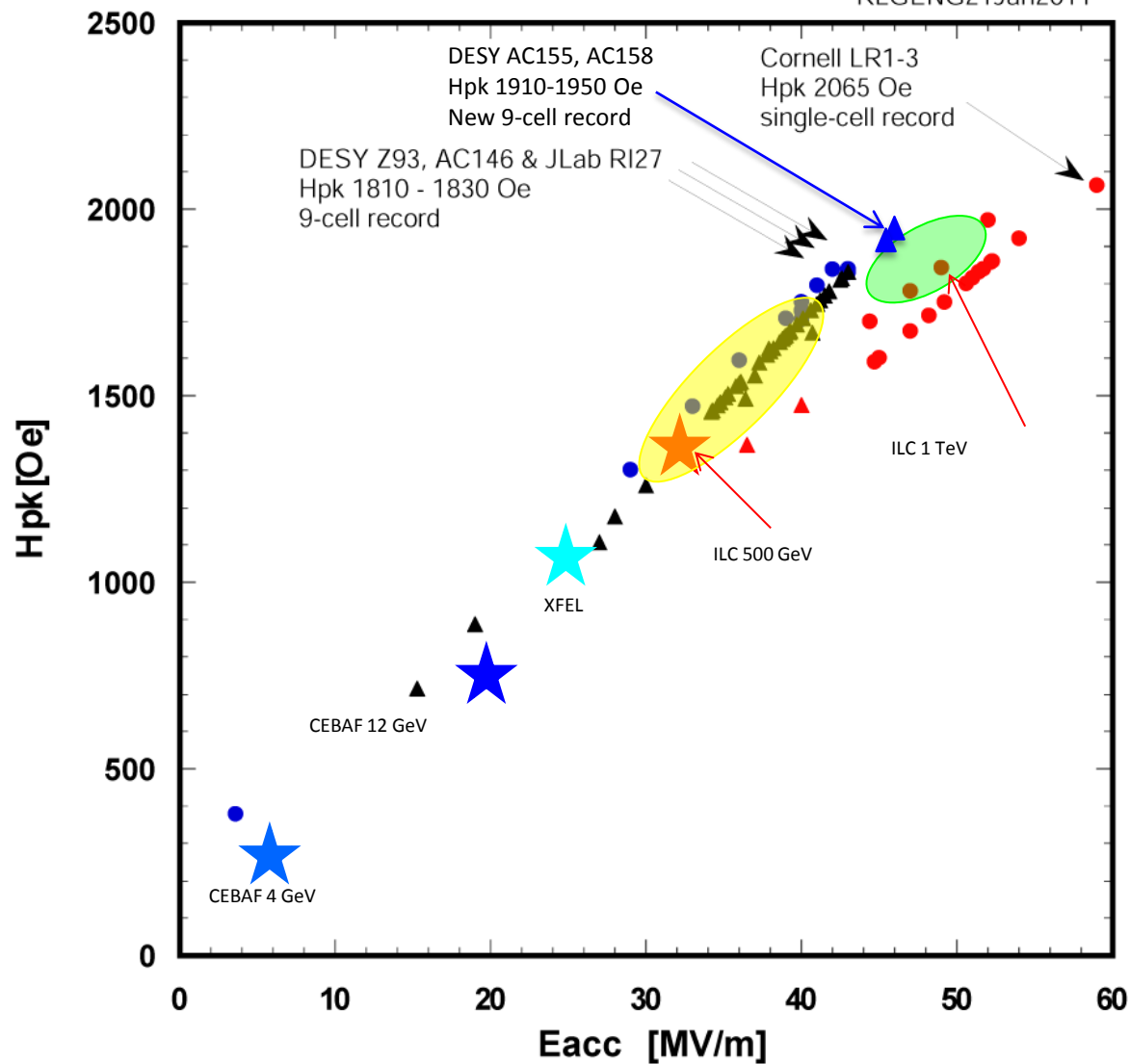
Achieved Peak Surface Electric Field in L-band SRF Niobium Cavities (Circle: Single-Cell Cavity; Triangle: Multi-Cell Cavity)



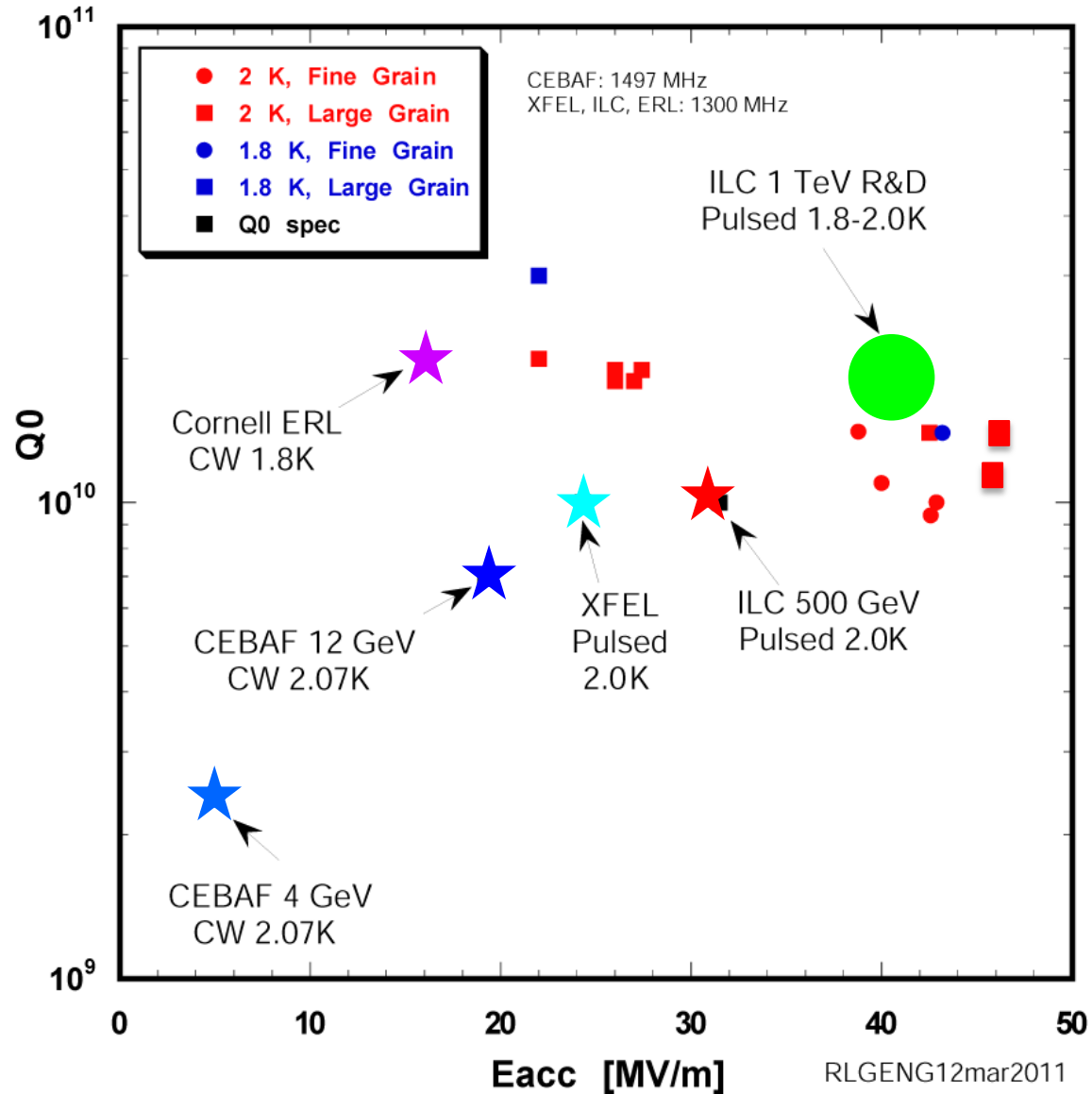
Achieved Peak Surface Magnetic Field in L-band SRF Niobium Cavities

(Circle: Single-Cell Cavity; Triangle: Multi-Cell Cavity)

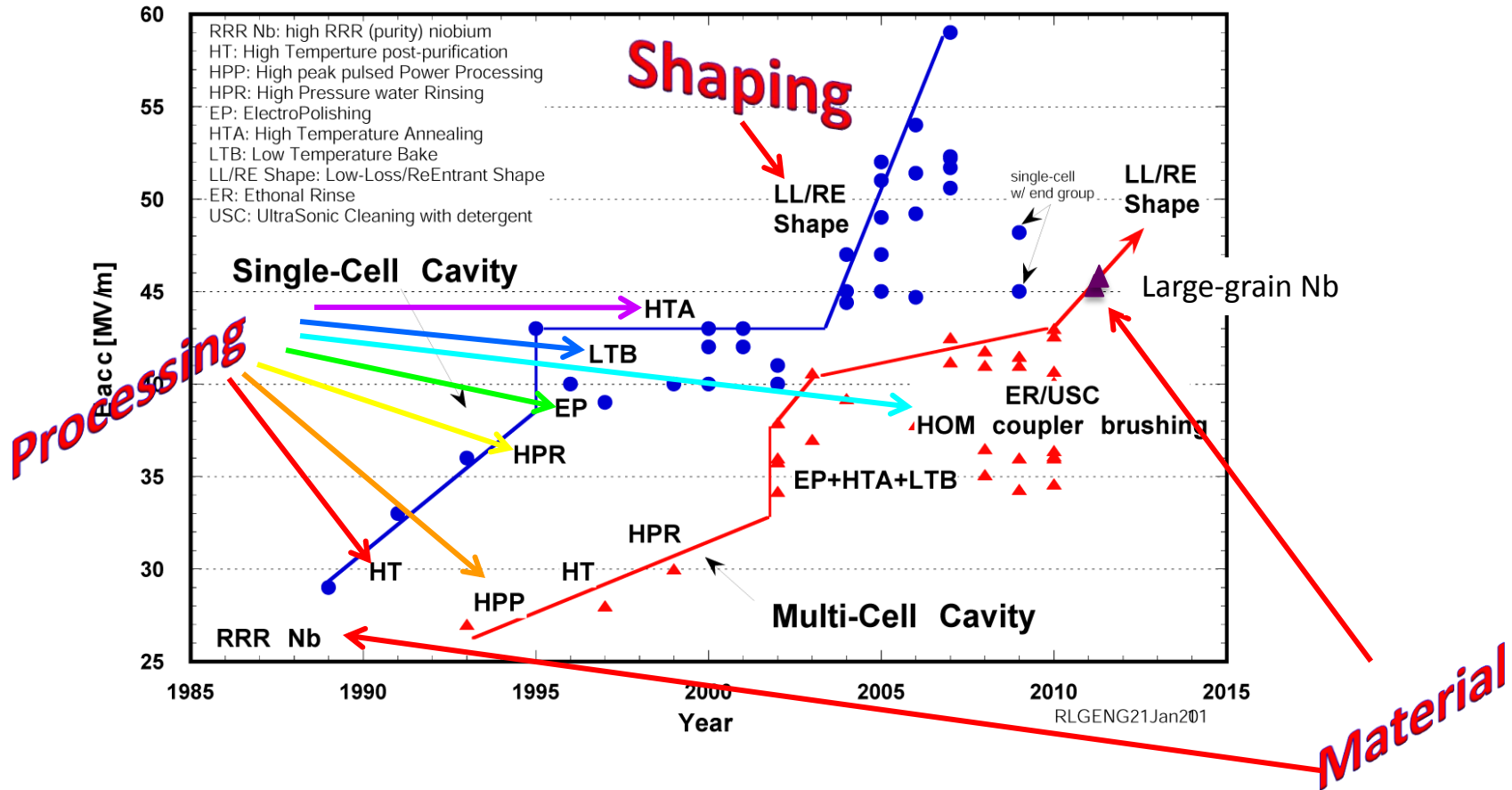
RLGENG21Jan2011



Achieved Q0 at Maximum Eacc by 9-Cell 1300 MHz TTF-style Nb Cavities



L-Band SRF Niobium Cavity Gradient Envelope Evolution



Understanding in gradient limits and inventing breakthrough solutions are responsible for gradient progresses. This has been a tradition in SRF community and rapid gradient progress continues. Up to 60 MV/m gradient has been demonstrated in 1-cell 1300 MHz Nb cavity. 45-50 MV/m gradient demonstration in 9-cell cavity is foreseen in next 5 years.

Limits in SRF Cavity Performance

Phenomenon/Integrated Properties

