

# Recent results from neutrino oscillation experiments

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Invisibles Workshop 14  
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cea

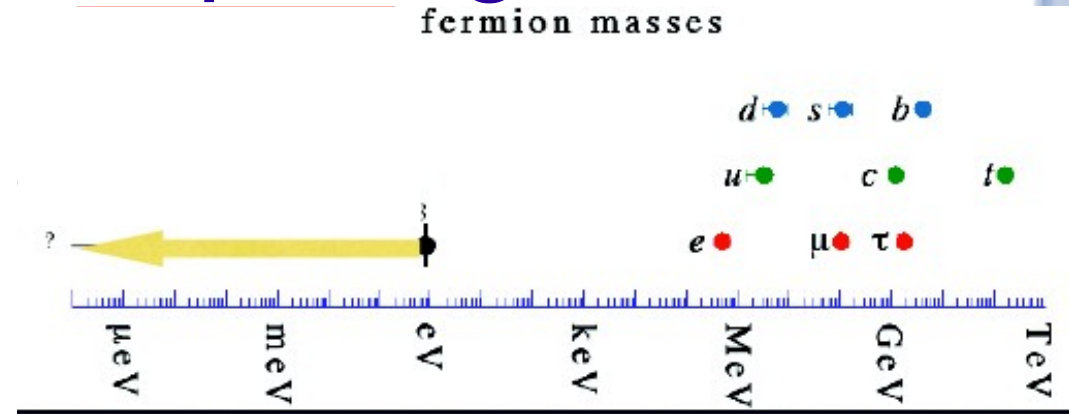


# Outline

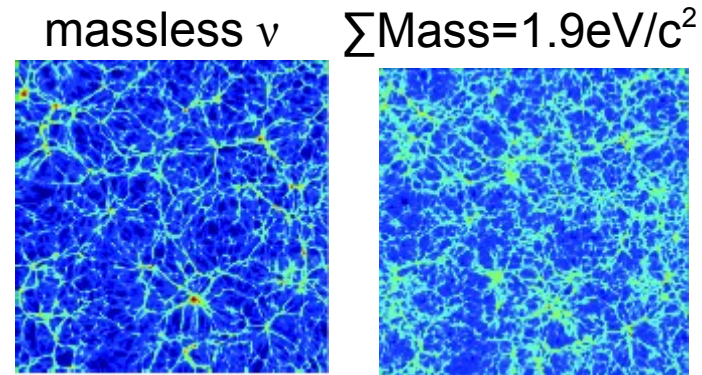
- Introduction
- New oscillation results (tau nu appearance, day-night effect)
- Results from reactor experiments
- Results from accelerator experiments
- Unless explicitly noted, all talks are from the ICHEP parallel sessions

# Neutrino physics: surprising results

- The unbearable lightness of neutrino masses begs a compelling explanation
- The neutrino mixing angles are large, at variance with the quark mixing angles: large CP violation effects are allowed
- Neutrinos play a fundamental role in the evolution of the Universe. Can they explain matter-antimatter asymmetry ?



$$V_{PMNS} = \begin{pmatrix} 0.8 & 0.5 & 0.2 \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix} \quad V_{CKM} = \begin{pmatrix} 1 & 0.2 & 0.001 \\ 0.2 & 1 & 0.01 \\ 0.001 & 0.01 & 1 \end{pmatrix}$$



Baryon density

Agarwal, Feldman 2010

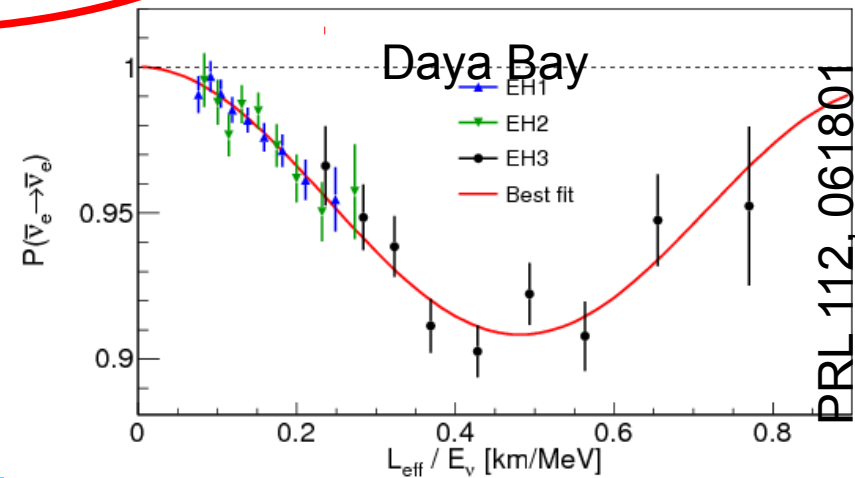
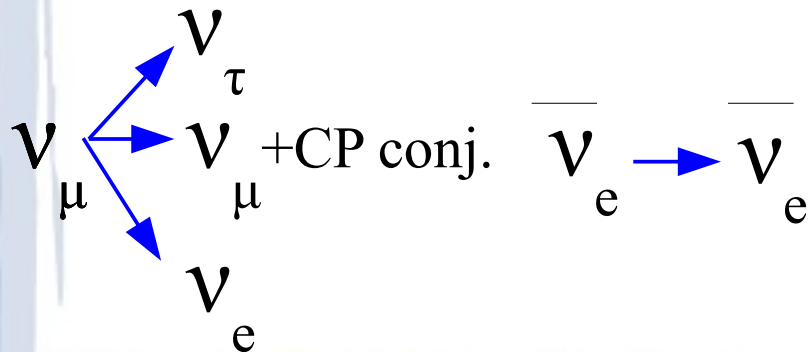
# The Pontecorvo-Maki-Nakagawa-Sakata (PMNS) mixing matrix

$$s_{ij} = \sin \theta_{ij}$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

This talk

- The oscillation phenomena have been convincingly observed using solar, atmospheric, reactor and accelerator neutrinos, establishing the three neutrino SM paradigm
- Currently unveiling three-neutrino subleading effects



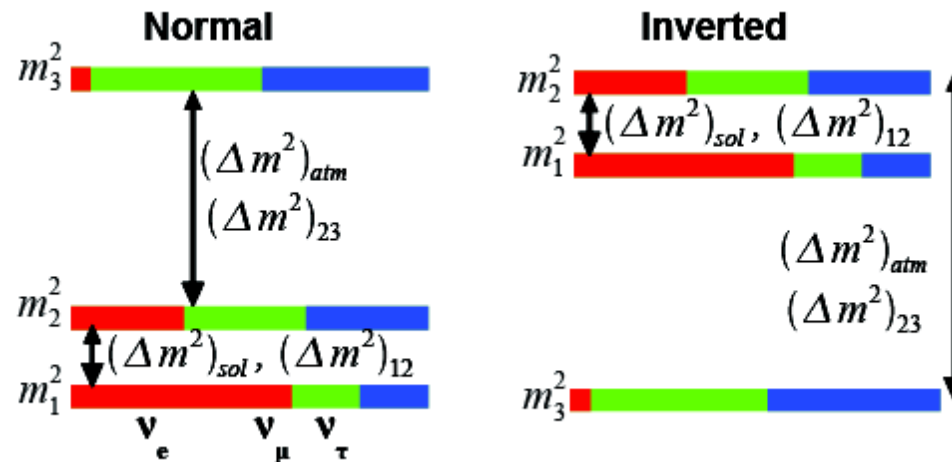
Parameter	Value	Precision (%)
$\Delta m_{21}^2$	$7.5 \cdot 10^{-5} \text{ eV}^2$	2.6
$\theta_{12}$	$34^\circ$	5.4
$\Delta m_{32}^2$	$2.4 \cdot 10^{-3} \text{ eV}^2$	2.6
$\theta_{23}$	$42^\circ$	$\sim 10$
$\theta_{13}$	$9^\circ$	8.5

Capozzi et al.  
ArXiv:1312.2878

# Next steps in neutrino oscillation studies

- 1) Is  $\theta_{23} = 45^\circ$ ? which octant ?
- 2) Determine the mass hierarchy
- 3) Measure the CP violation parameter  $\delta$
- 4) Precision tests of the PMNS paradigm (ideally at the % level, as for the CKM matrix)
- 5) Are there any new neutrino states ?

- 1) Is there a symmetry between  $\nu_\mu$  and  $\nu_\tau$  ?
- 2) Help model builders. Impact on cosmology.
- 3) Link with leptogenesis. Are we born out of (heavy) neutrinos ?
- 4) How different are neutrinos ?
- 5) Potential to alter the whole picture

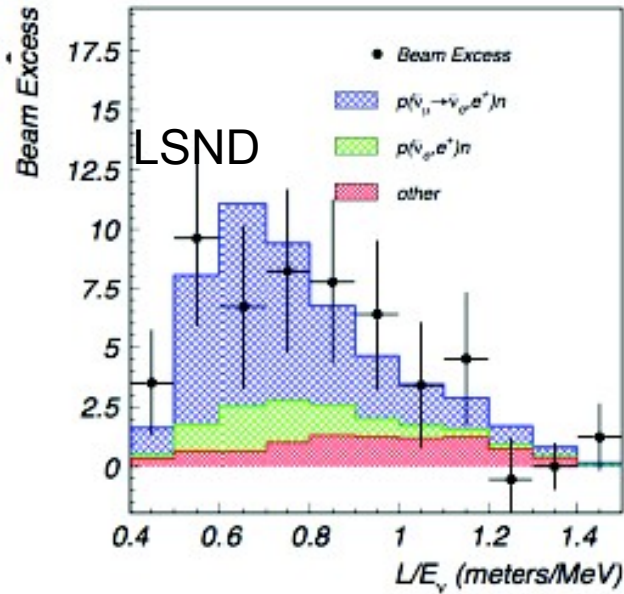


$$\nu_{\mu} \rightarrow \nu_e$$

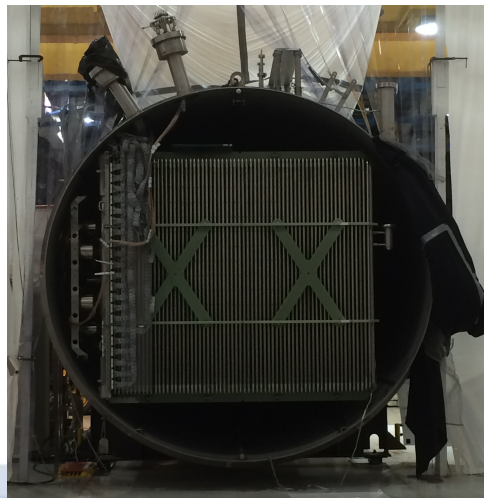
$$\nu_e \rightarrow \nu_e ?$$

# Short baseline neutrino anomalies

PRD64 (2001) 112007

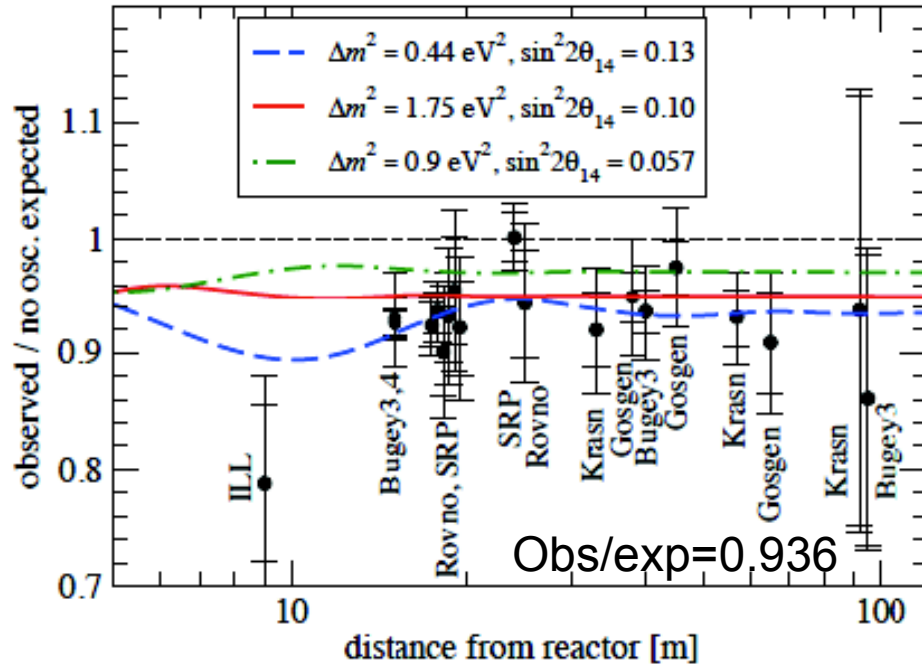


MicroBooNE



Talk by W. Ketchum

J. Kopp et al,  
arXiv:1303.3011v3



Original ref: G. Mention et al.  
PRD83:073006 (2011)

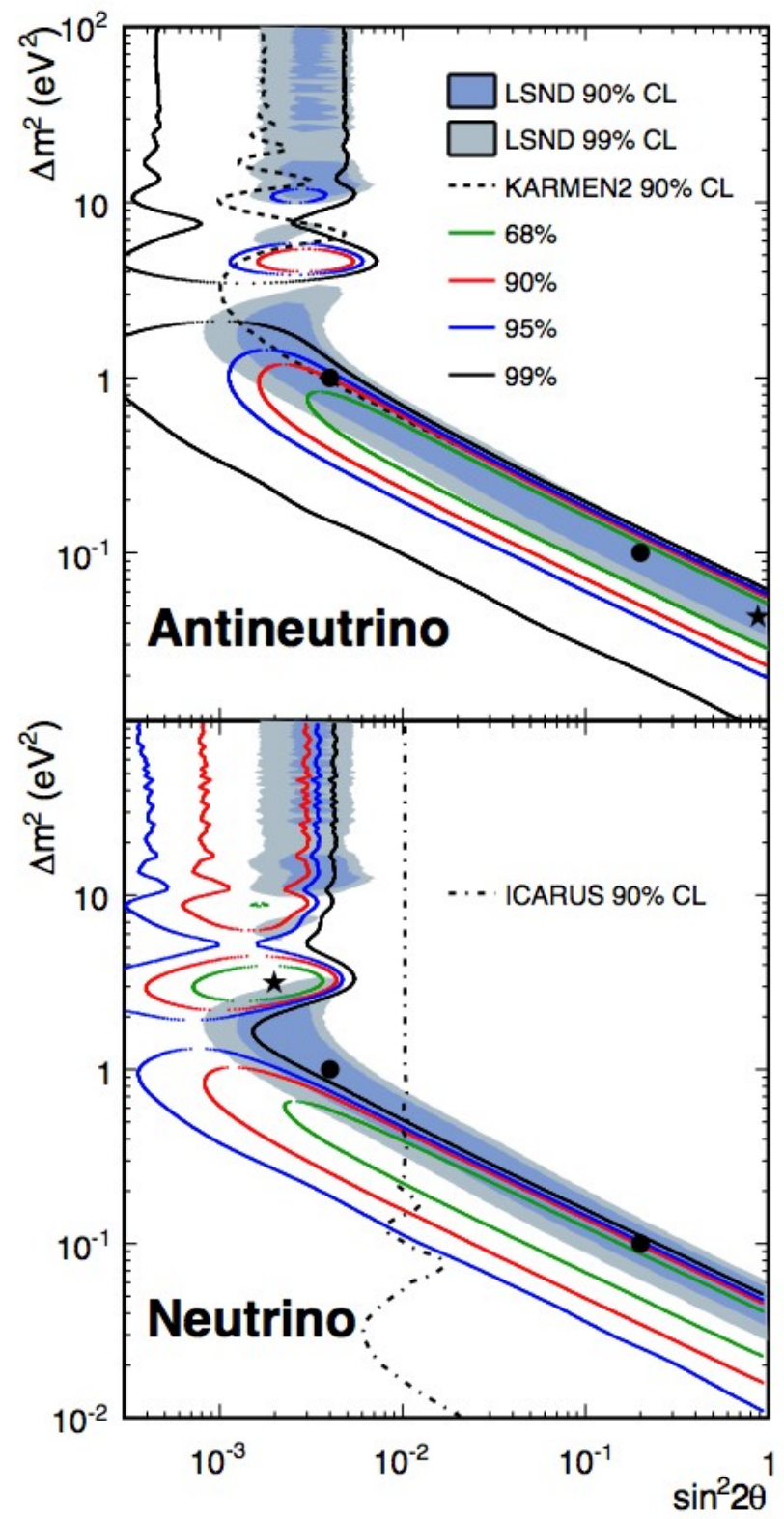
- Short baseline experiments (LSND, MiniBooNE, reactors, Ga source) have revealed anomalies that could be interpreted as oscillations with  $\Delta m \sim eV$
- No global satisfactory interpretation due to tensions within the data
- A full parallel session was dedicated to the new experimental effort, at accelerators (MicroBooNE), reactors and using intense sources (SOX)

Notice also the SHIP proposal at CERN: search for heavier sterile neutrinos in a beam dump

MiniBooNE Plots from arXiv:1303.2588  
**Phys.Rev.Lett. 110 (2013) 161801**

MiniBooNE allowed regions in antineutrino mode (top) and neutrino mode (bottom) for events with  $E_{QE} > 200$  MeV within a two-neutrino oscillation model. Also shown are the ICARUS (before update shown at ICHEP14) and KARMEN appearance limits for neutrinos and antineutrinos, respectively. The shaded areas show the 90% and 99% C.L. LSND  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  allowed regions. The black stars show the MiniBooNE best fit points, while the circles show the example values for several oscillation parameter sets

Notice severe tensions with short baseline disappearance experiments (like CDHS)

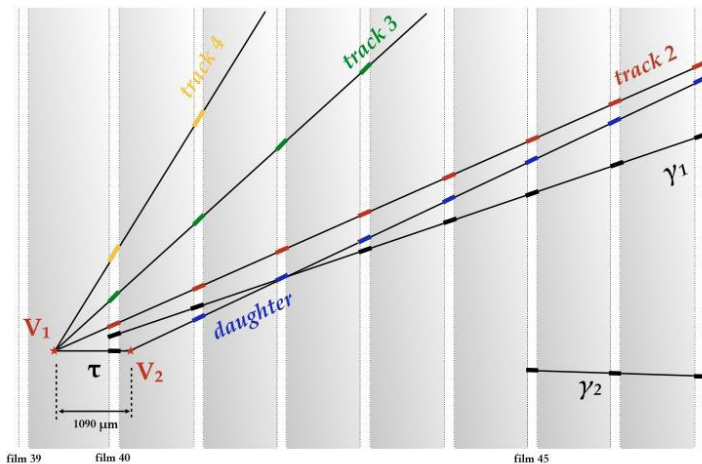


$$\nu_{\mu} \rightarrow \nu_{\tau}$$

# Tau neutrino appearance

OPERA performed a search for  $\nu_{\mu}$  to  $\nu_{\tau}$  appearance with a baseline of 732 km (CERN to Gran Sasso) using the Emulsion Cloud Chamber technique. It has recently observed a fourth  $\nu_{\tau}$  candidate (tot bkg = 0.23). The null hypothesis is excluded at the  $4.2 \sigma$  CL.

Talk by M. Komatsu

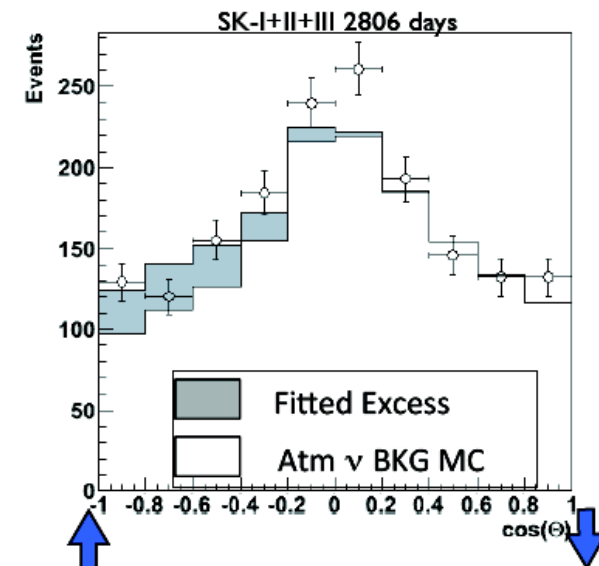


Decay channel	Expected signal $\Delta m_{23}^2 = 2.32 \text{ meV}^2$	Total background	Observed
$\tau \rightarrow h$	$0.41 \pm 0.08$	$0.033 \pm 0.006$	2
$\tau \rightarrow 3h$	$0.57 \pm 0.11$	$0.155 \pm 0.030$	1
$\tau \rightarrow \mu$	$0.52 \pm 0.10$	$0.018 \pm 0.007$	1
$\tau \rightarrow e$	$0.62 \pm 0.12$	$0.027 \pm 0.005$	0
<b>Total</b>	<b><math>2.11 \pm 0.42</math></b>	<b><math>0.233 \pm 0.041</math></b>	<b>4</b>

Super-Kamiokande has searched for  $\nu_{\tau}$ -like events in atmospheric neutrinos and found an excess with  $3.8 \sigma$  significance.

PRL, 2013 vol. 110 (18) p. 181802

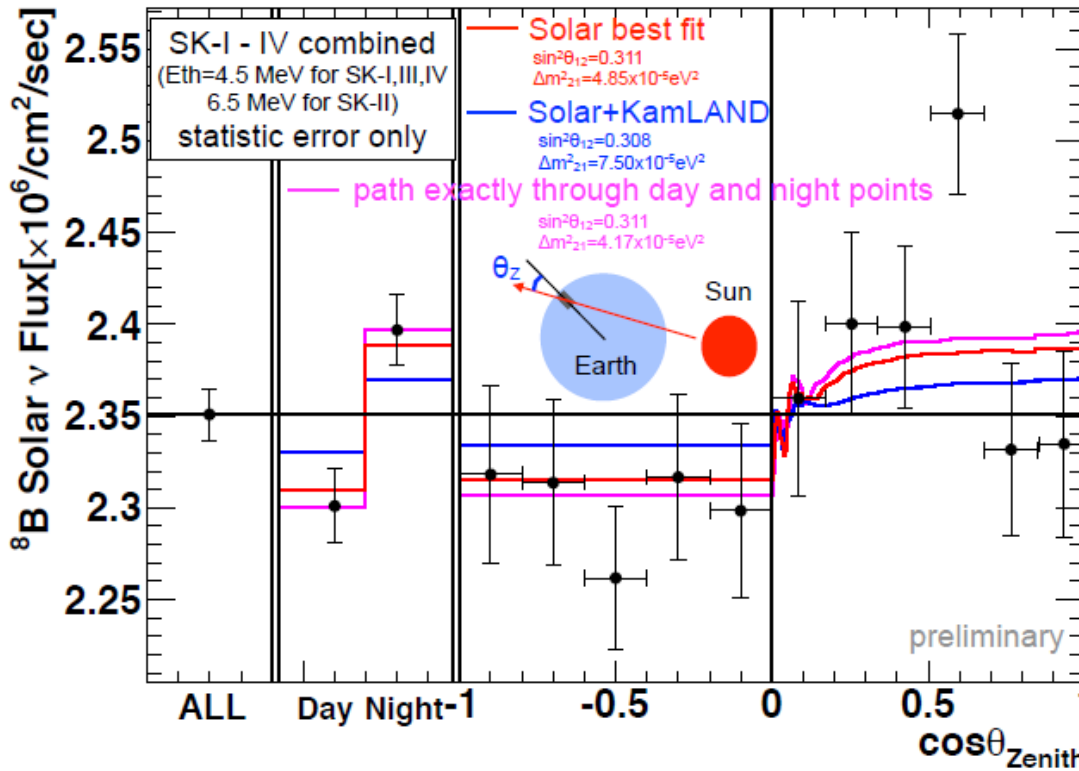
Zenith Distribution of  $\tau$ -like events



Talk by M. Shiozawa



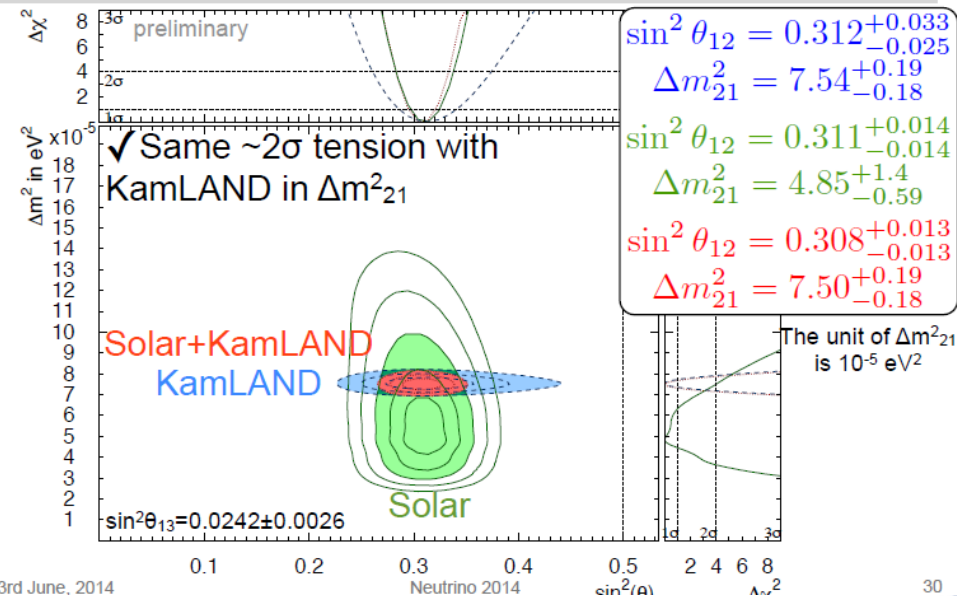
# Solar neutrino: day-night effect



(For Solar neutrino analysis)

Phase	Period	Livetime (days)	Fiducial vol. (kton)	# of PMTs	Energy thr.(MeV)
SK-I	1996.4 ~ 2001.7	1496	22.5	11146 (40%)	4.5
SK-II	2002.10 ~ 2005.10	791		5182 (20%)	6.5
SK-III	2006.7 ~ 2008.8	548	22.5 (>5.5MeV) 13.3 (<5.5MeV)	11129 (40%)	4.5
SK-IV	2008.9 ~	1669	22.5 (>5.5MeV) 13.3 (4.5<E<5.5) 8.8 (<4.5MeV)		3.5

total 4504 days (coverage) (Kinetic energy)



SK has lowered the threshold on the electron recoil energy to 3.5 MeV  
 $^8\text{B}$  day-night effect observed at 2.8-3 sigmas

# Neutrino oscillations : observables

Reactor experiments

$$\bar{\nu}_e \longrightarrow \bar{\nu}_e$$

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \approx 1 - \sin^2 2\theta_{13} \left( \overbrace{\cos^2 \theta_{12} \sin^2 \frac{\Delta m_{31}^2 L}{4E} + \sin^2 \theta_{12} \sin^2 \frac{\Delta m_{32}^2 L}{4E}}^{\sin^2 \frac{\Delta m_{ee}^2 L}{4E}} \right) - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E}$$

Accelerator experiments

$$\nu_\mu \longrightarrow \nu_\mu \quad P(\nu_\mu \rightarrow \nu_\mu) = 1 - \left( \cos^4 \theta_{13} \sin^2 2\theta_{23} - \sin^2 2\theta_{13} \sin^2 \theta_{23} \right) \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$$

$$\nu_\mu \longrightarrow \nu_e \quad P(\nu_\mu \rightarrow \nu_e) \approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) - \frac{\sin 2\theta_{12} \sin 2\theta_{23}}{2 \sin \theta_{13}} \sin^2 \left( \frac{\Delta m_{21}^2 L}{4E} \right) \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) \sin \delta_{CP}$$

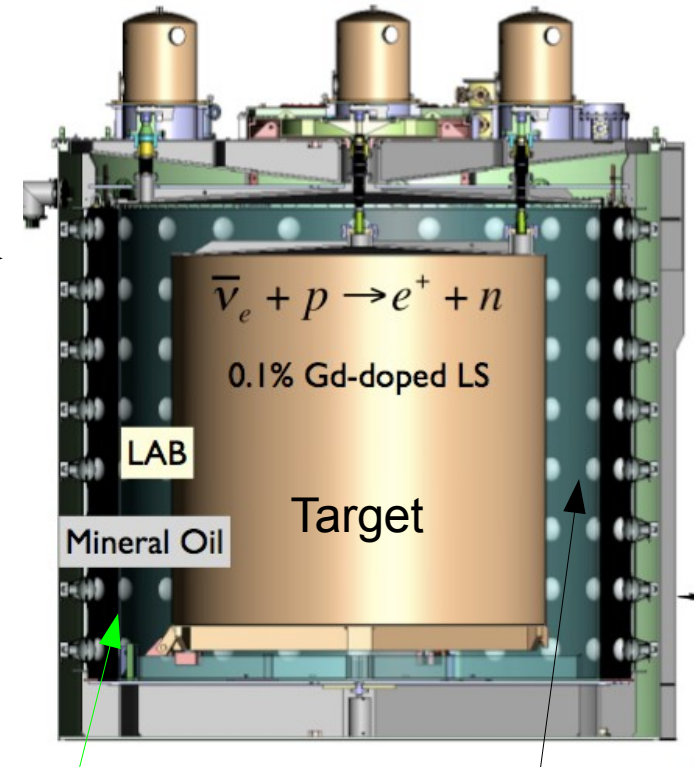
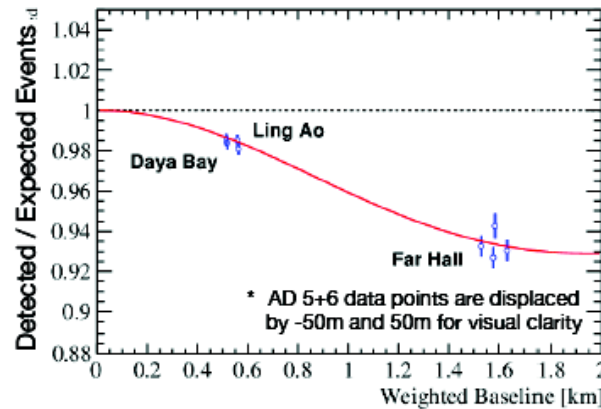
Disappearance channel : sensitivity to  $\theta_{23}$  and (subleading) to the octant

Appearance channel : sensitivity to  $\theta_{13}$  and (subleading) to the CP phase

# Reactor neutrino experiment: schematic

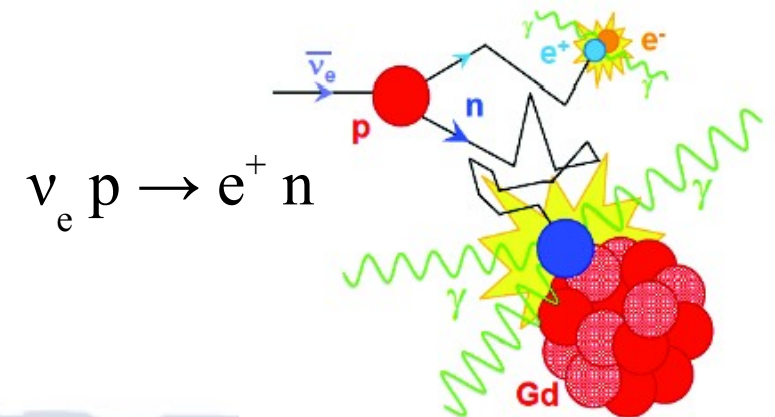


$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E}$$



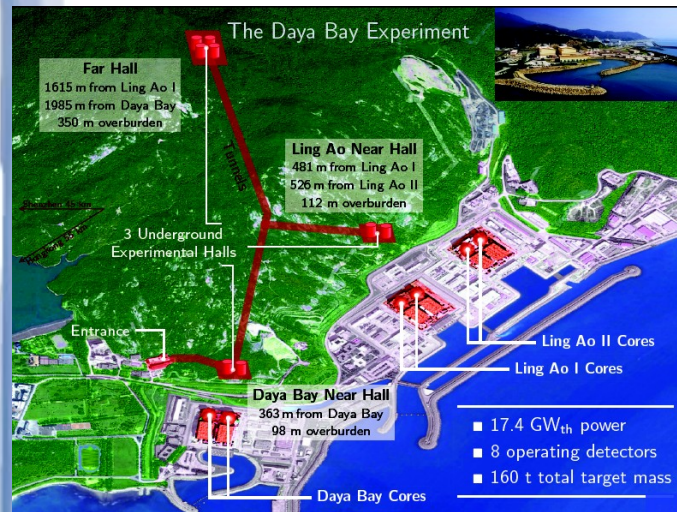
Nuclear reactor:  $>10^{20}$   $\nu$ /s

- The detection technique is based on the Inverse Beta Decay reaction followed by a neutron capture on Gadolinium (delayed coincidence).
- The reactor flux is measured by near detector(s).
- Control of the backgrounds and of the systematic uncertainties is crucial.



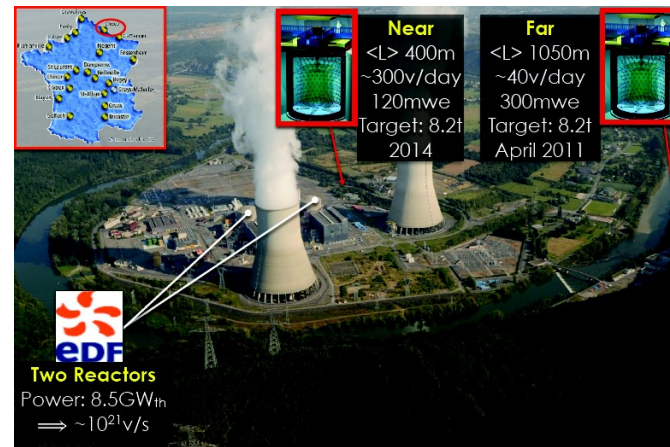
# Reactor neutrino experiments

## Daya Bay



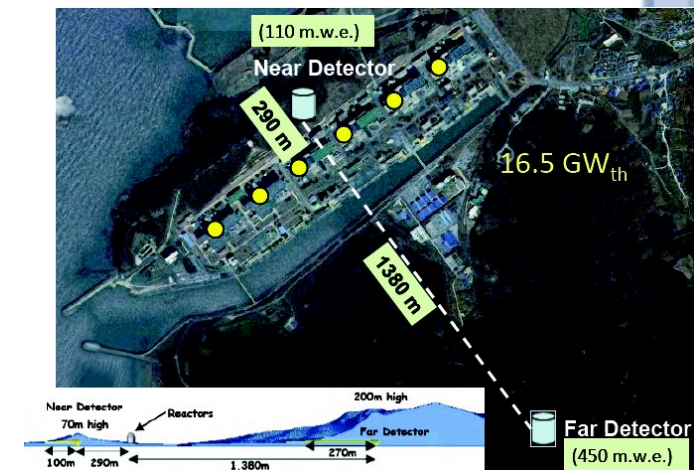
China  
 6 reactors, 17 GW<sub>th</sub>  
 8 detectors,  
 363-1985m baselines  
 621 live days  
 1M neutrino detected

## Double Chooz



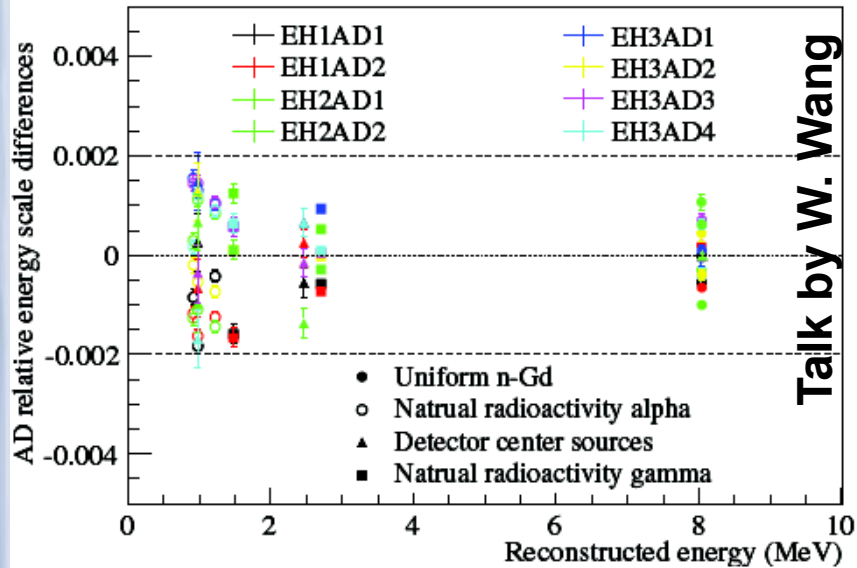
France  
 2 reactors, 8.5 GW<sub>th</sub>  
 2 detectors,  
 400-1050m baselines  
 460 live days  
 17351 neutrinos detected  
Near detector ready in the autumn

## RENO



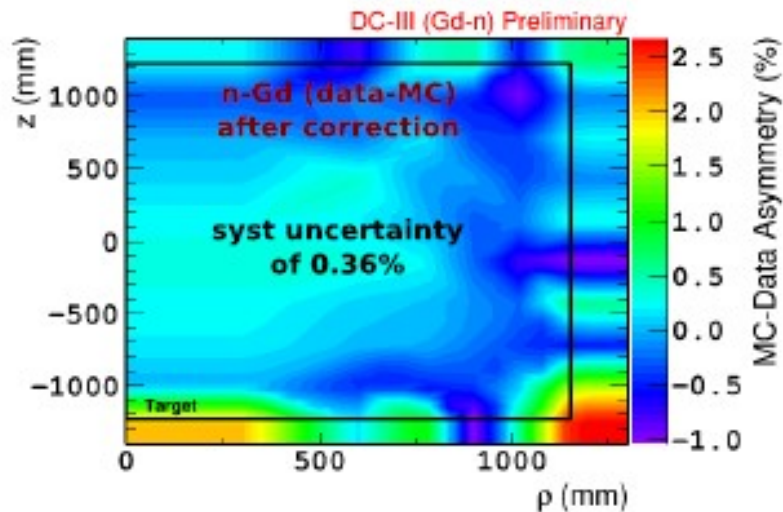
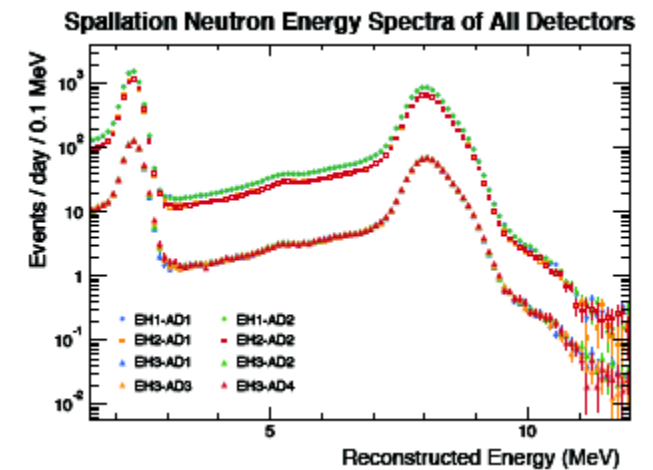
South Korea  
 6 reactors, 16.5 GW<sub>th</sub>  
 2 detectors,  
 290-1380m baselines  
 794 live days  
 1M neutrino detected

# Reactor experiments: control of uncertainties



Daya Bay: relative energy scale uncertainty within 0.2% (was 0.35%) for the 8 detectors

## Neutron energy spectra



Double Chooz: uniformity correction using muon-produced neutrons

$$\bar{\nu}_e \rightarrow \bar{\nu}_e$$

# Daya Bay $\bar{\nu}_e$ disappearance

- Four times more statistics (621 days) than the previously published result
- Over 1 million antineutrinos detected (150k in the far detectors)
- Most precise measurement of  $\sin^2(2\theta_{13})$  (6%)
- Shape distortion agrees with oscillation prediction

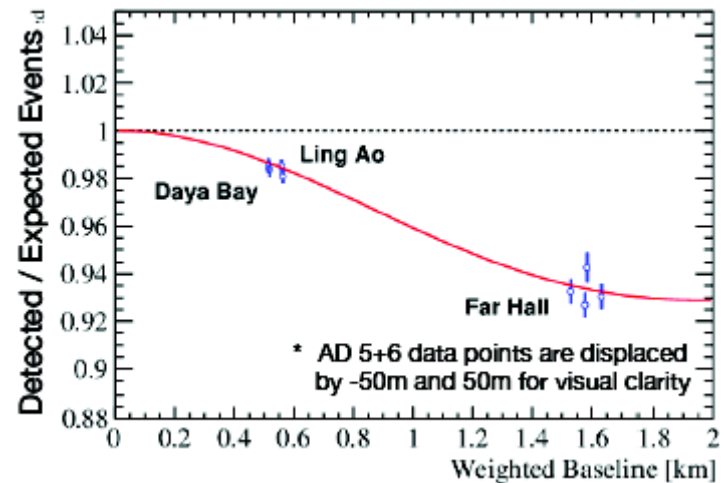
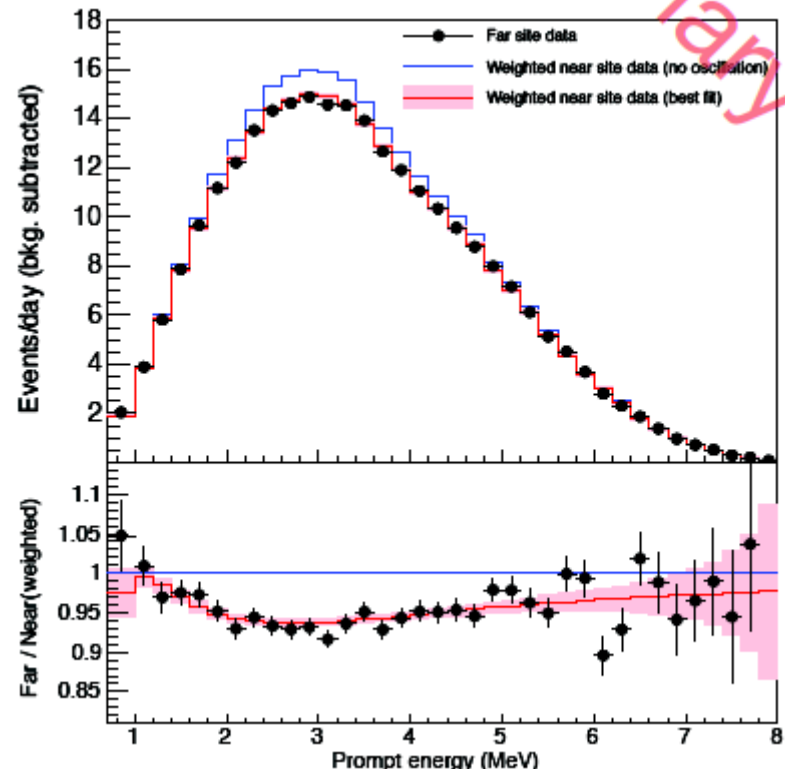
$$\sin^2 2\theta_{13} = 0.084^{+0.005}_{-0.005}$$

$$|\Delta m_{ee}^2| = 2.44^{+0.10}_{-0.11} \times 10^{-3} \text{eV}^2$$

$$\chi^2/NDF = 134.7/146$$

$$\text{RENO } \sin^2 2\theta_{13} = 0.101 \pm 0.013$$

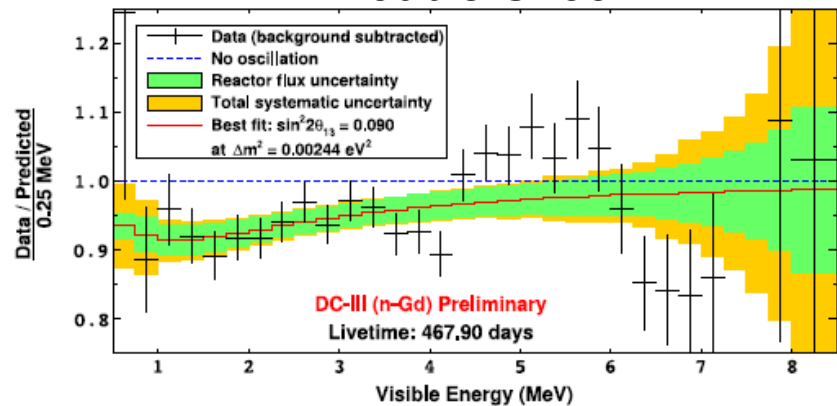
$$\text{Double Chooz } \sin^2 2\theta_{13} = 0.090^{+0.032}_{-0.029}$$



# Understanding the reactor neutrino flux

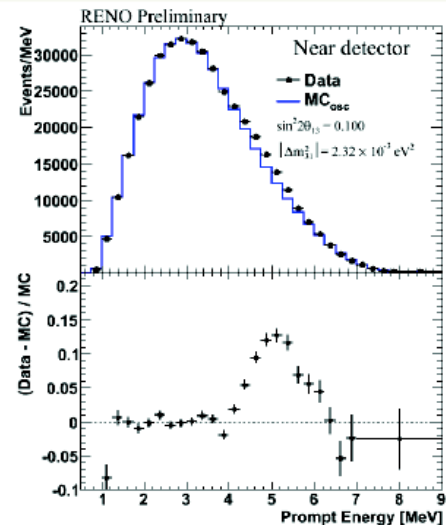
- A distortion in the spectrum was observed by Double Chooz, RENO and Daya Bay
- Preliminary studies disfavor background and energy-scale as an explanation
- According to preliminary studies the  $\theta_{13}$  measurement is not affected thanks to the near detectors

Double Chooz



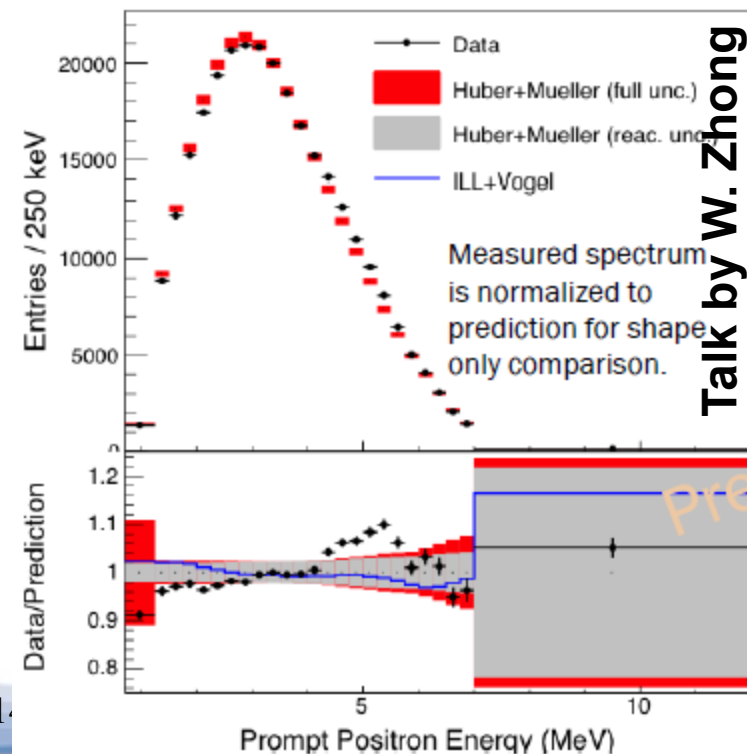
arXiv:1406.7763

RENO



S. Seo at  
NEUTRINO2014

Daya Bay



Talk by W. Zhong

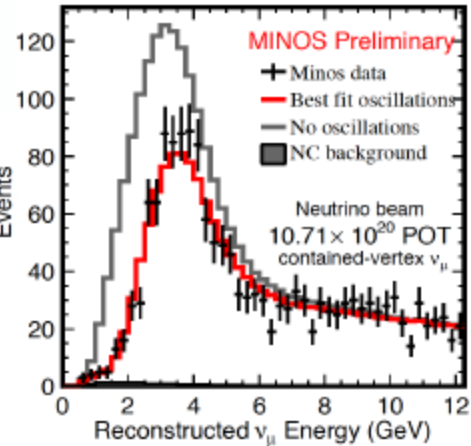
See also D. Dwyer, T. Langford arXiv:1407.1281



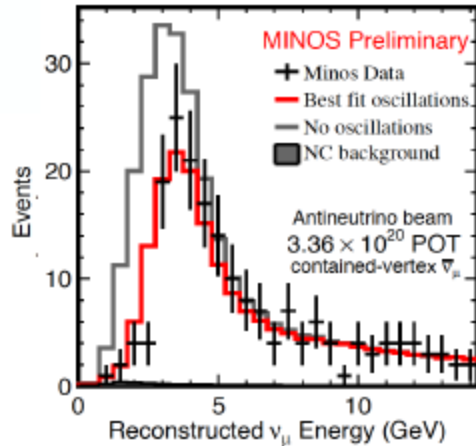
# MINOS/MINOS+

- Long baseline experiment (735 km) from Fermilab to Soudan mine, 5.4 kt magnetized iron/scintillator, on NUMI beamline
- Combined three flavor fit to neutrino beam data ( $10.71 \times 10^{20}$  POT) antineutrino beam data ( $3.36 \times 10^{20}$  POT), MINOS+ and atmospheric neutrino
- Most precise determination of the atmospheric mass splitting  $|\Delta m_{32}^2|$

Neutrinos



Antineutrinos



Three-Flavor Oscillations Best Fit

Inverted Hierarchy

$$|\Delta m_{32}^2| = 2.37^{+0.11}_{-0.07} \times 10^{-3} \text{eV}^2$$

$$\sin^2 \theta_{23} = 0.43^{+0.19}_{-0.05}$$

$$0.36 < \sin^2 \theta_{23} < 0.65 \text{ (90\% C.L.)}$$

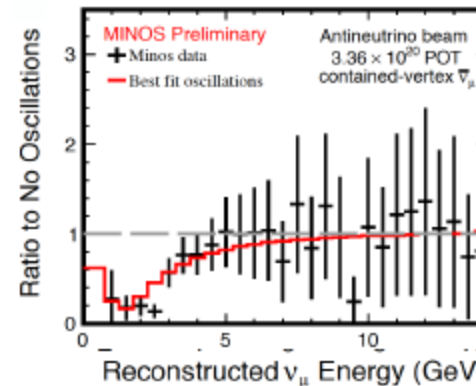
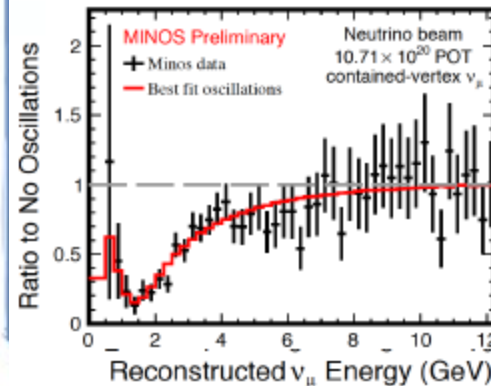
Normal Hierarchy

$$|\Delta m_{32}^2| = 2.34^{+0.09}_{-0.09} \times 10^{-3} \text{eV}^2$$

$$\sin^2 \theta_{23} = 0.43^{+0.16}_{-0.04}$$

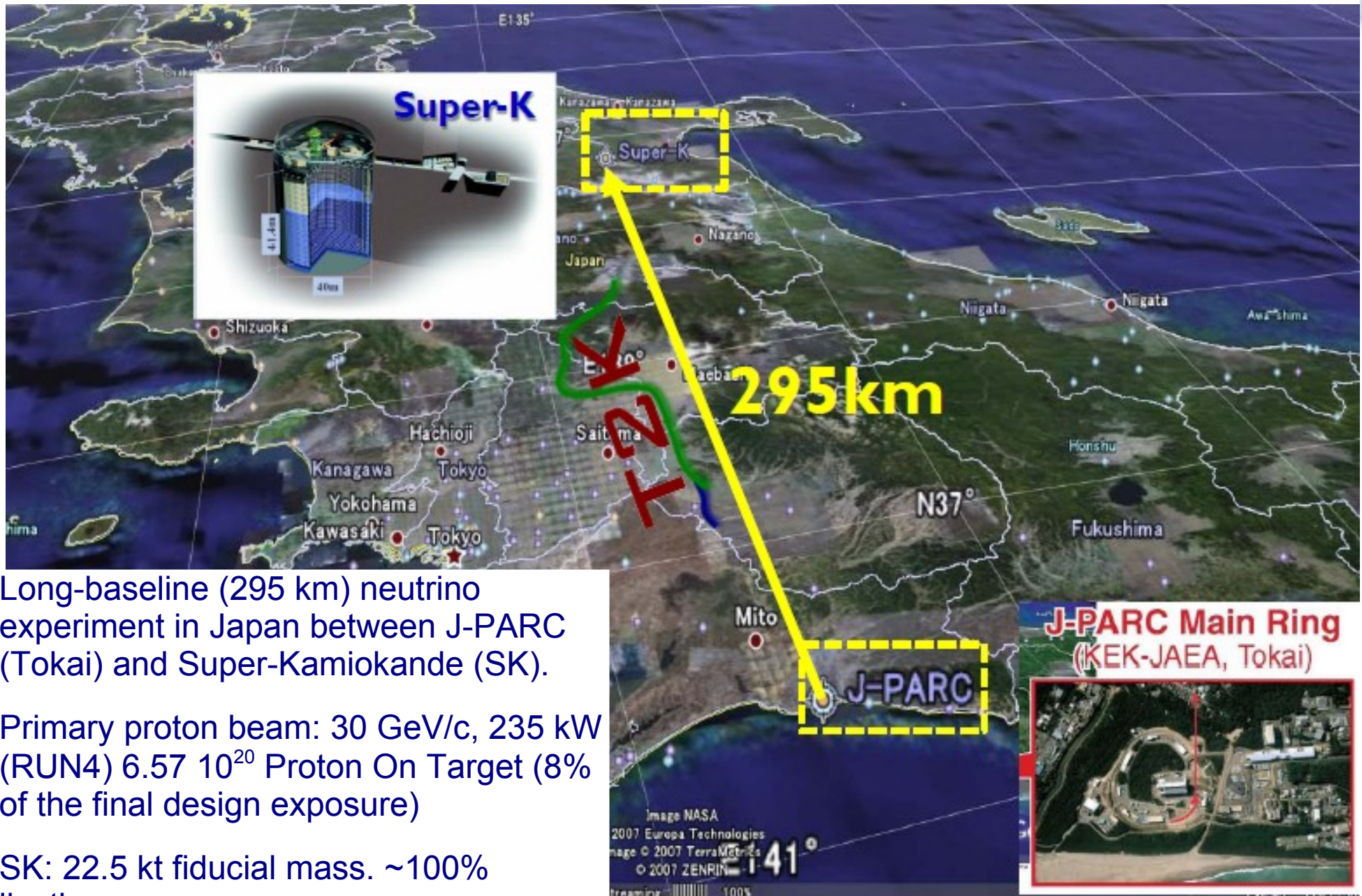
$$0.37 < \sin^2 \theta_{23} < 0.64 \text{ (90\% C.L.)}$$

PRL 112 191801 (2014)



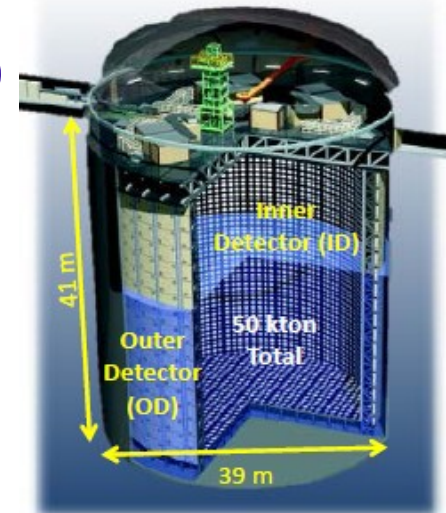
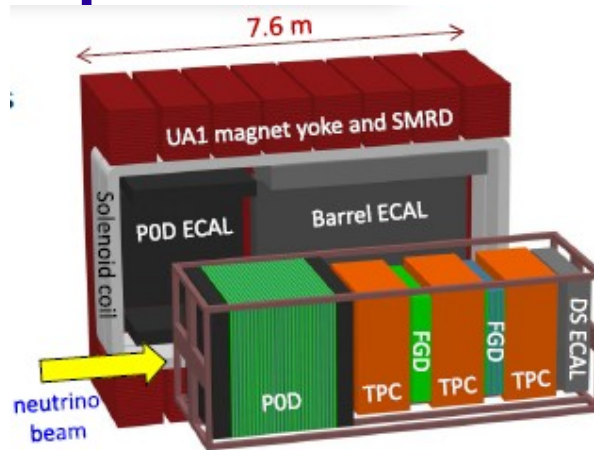


# The Tokai to Kamioka (T2K) experiment

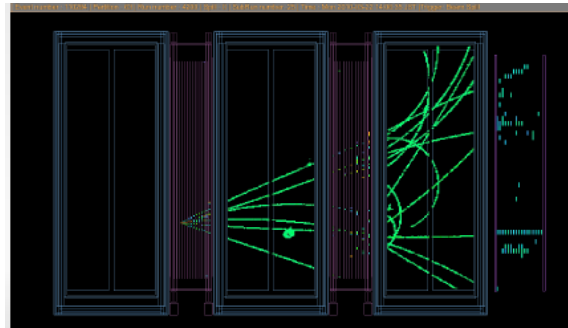
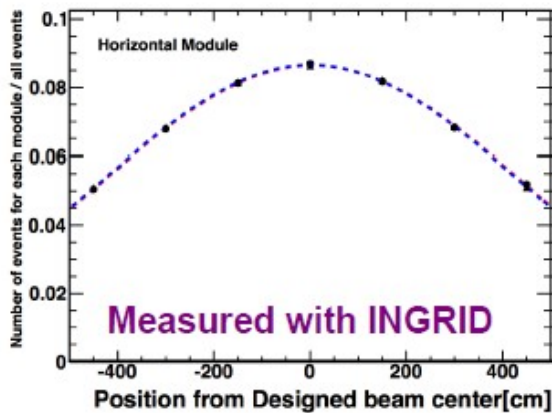
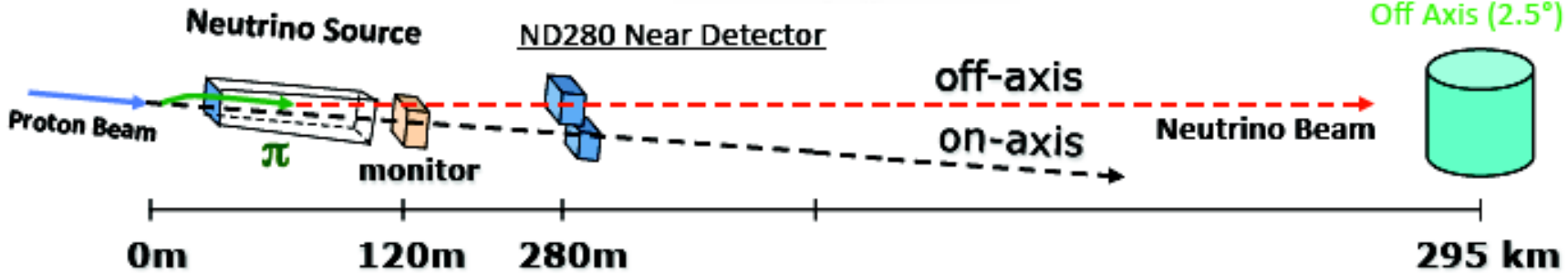


- Long-baseline (295 km) neutrino experiment in Japan between J-PARC (Tokai) and Super-Kamiokande (SK).
- Primary proton beam: 30 GeV/c, 235 kW (RUN4)  $6.57 \cdot 10^{20}$  Proton On Target (8% of the final design exposure)
- SK: 22.5 kt fiducial mass.  $\sim 100\%$  livetime

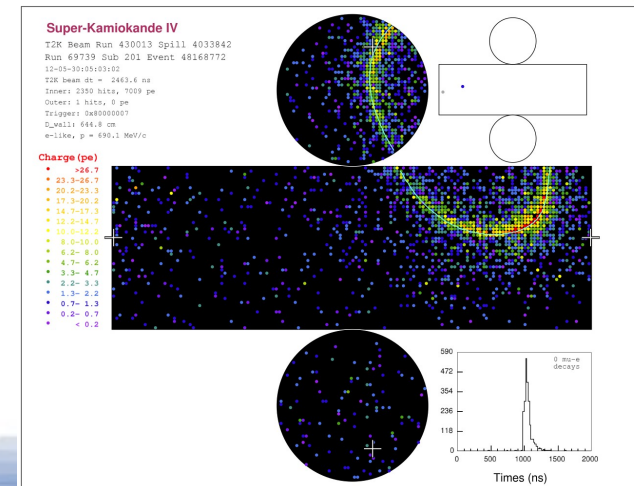
# T2K Experimental Setup



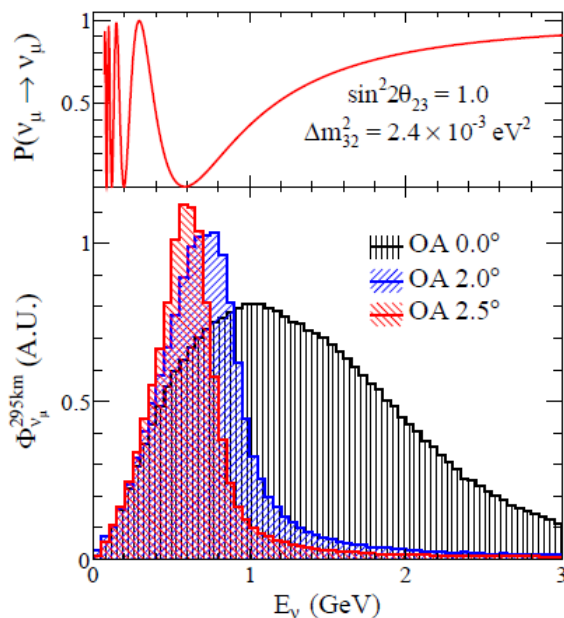
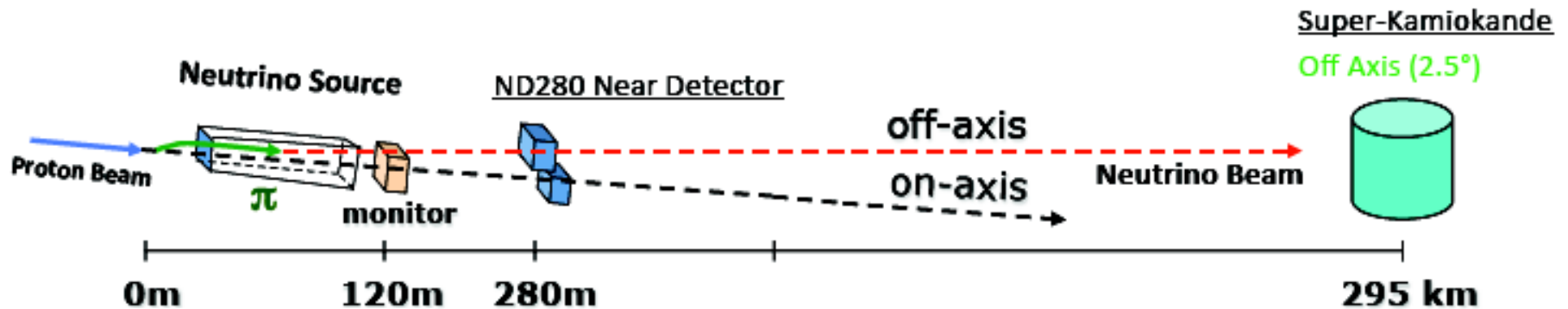
Super-Kamiokande  
Off Axis (2.5°)



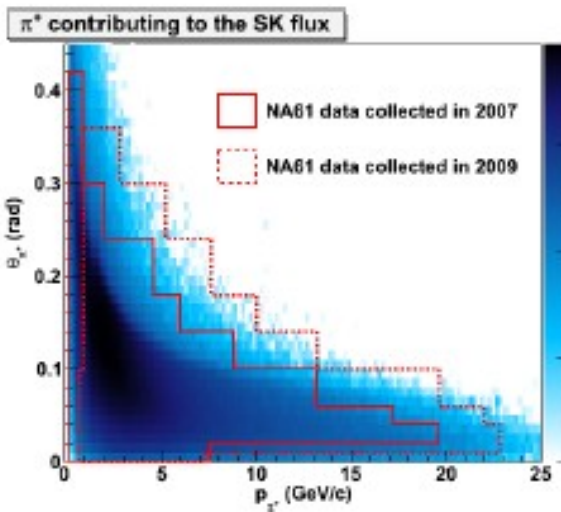
Marco Zito-Invisibles, Paris July 2014



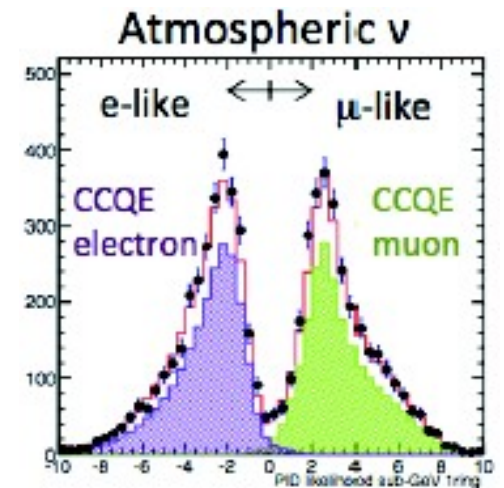
# T2K: Main Experimental Features



Off-axis beam.  
Flux has a narrow peak  
tuned for the first  
oscillation maximum



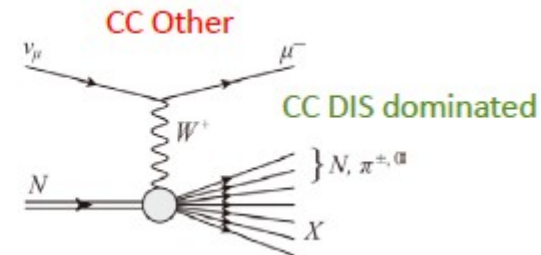
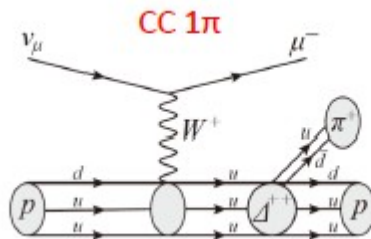
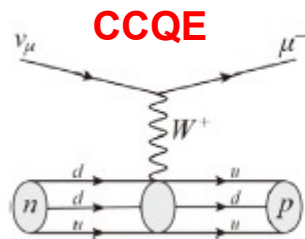
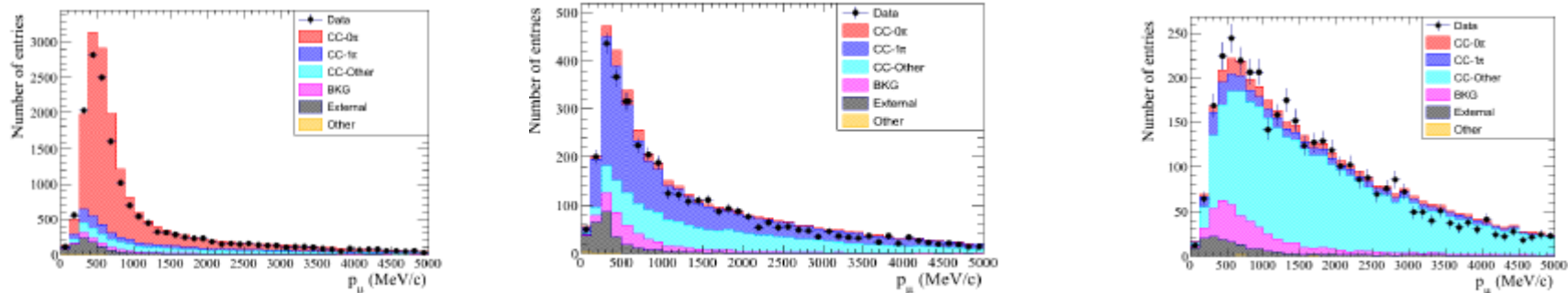
Pion and kaon production  
measured by the NA61 exp. at  
CERN



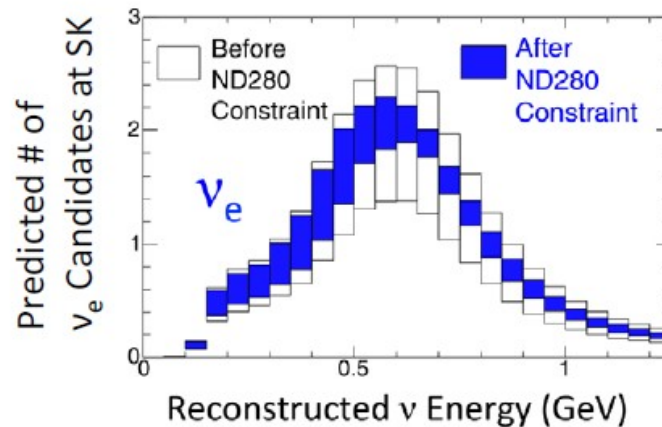
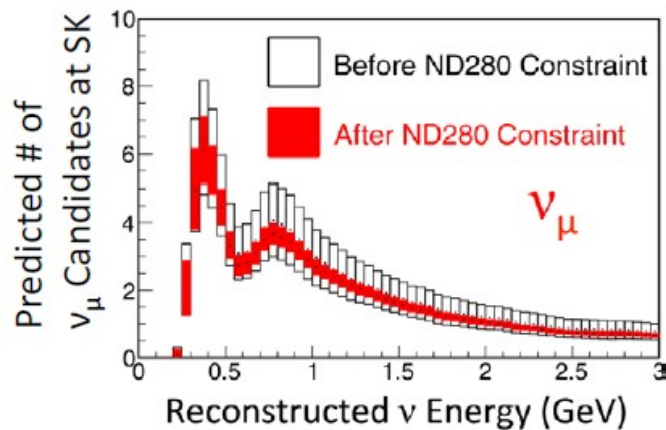
Excellent particle  
identification capabilities  
in SK (misid  $< 1\%$ )

# T2K Near detector constraint

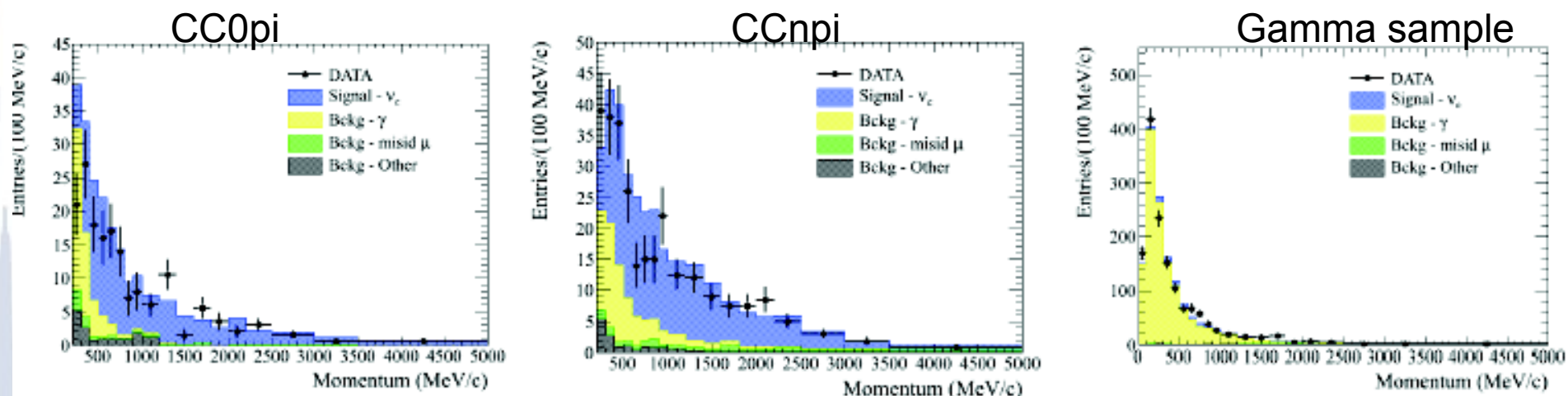
Talk by A. Hillairet



Flux and cross-section systematic uncertainty on  $N_{SK}$  significantly reduced to  $\sim 7\%$



# Intrinsic electron neutrino background

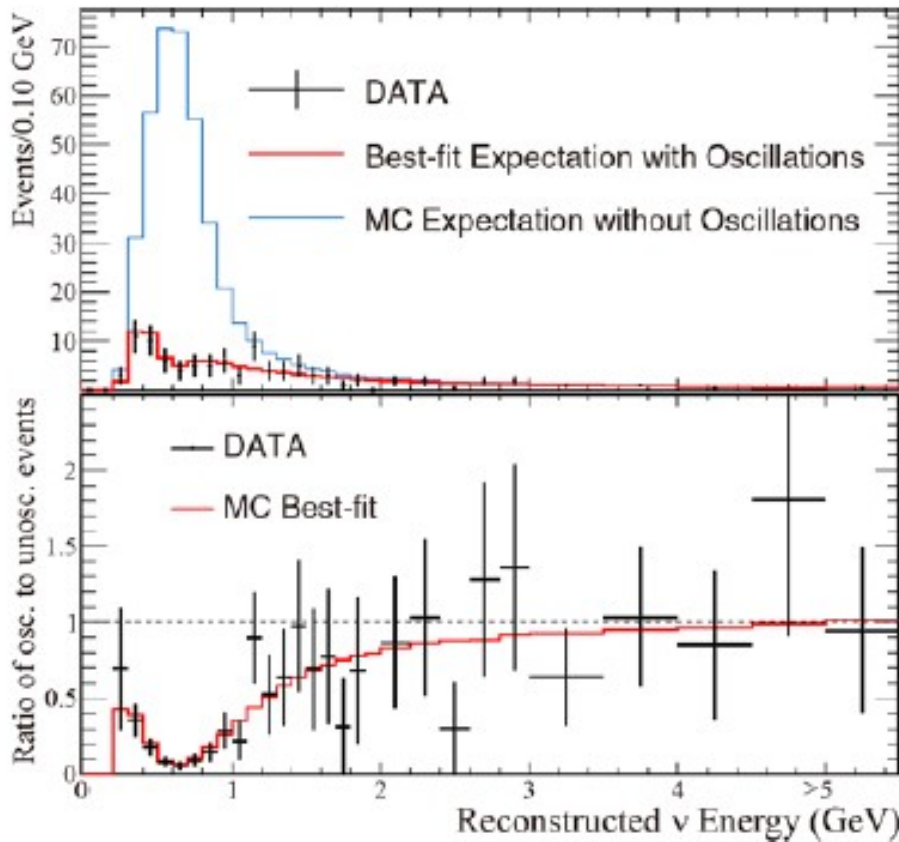


- Measured at near detector thanks to the excellent particle identification in the TPC of the T2K near detector
- Background (mainly  $\gamma$  from  $\pi^0$  produced by neutrino outside near detector) constrained by control sample

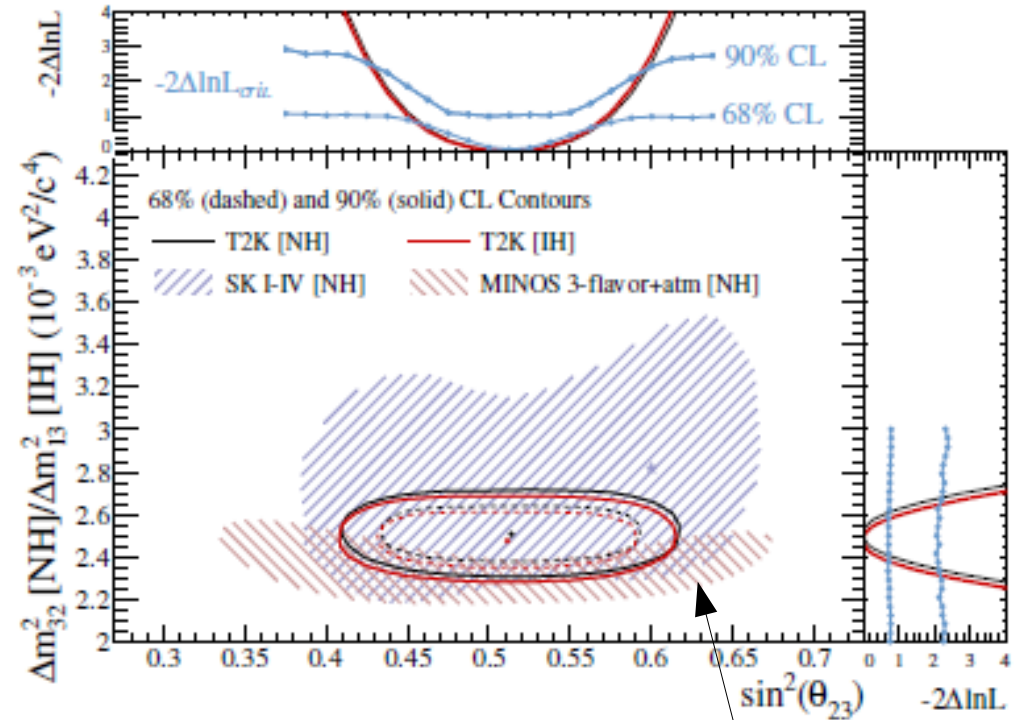
$$\frac{\text{Meas. } \nu_e \text{ flux}}{\text{Predicted } \nu_e \text{ flux}} = 1.01 \pm 0.06 (\text{stat}) \pm 0.08 (\text{sys } t)$$

$$\nu_{\mu} \rightarrow \nu_{\mu}$$

# T2K $\nu_{\mu}$ disappearance measurement



Talk by A. Himmel



Notice recent MINOS 3-flavor result

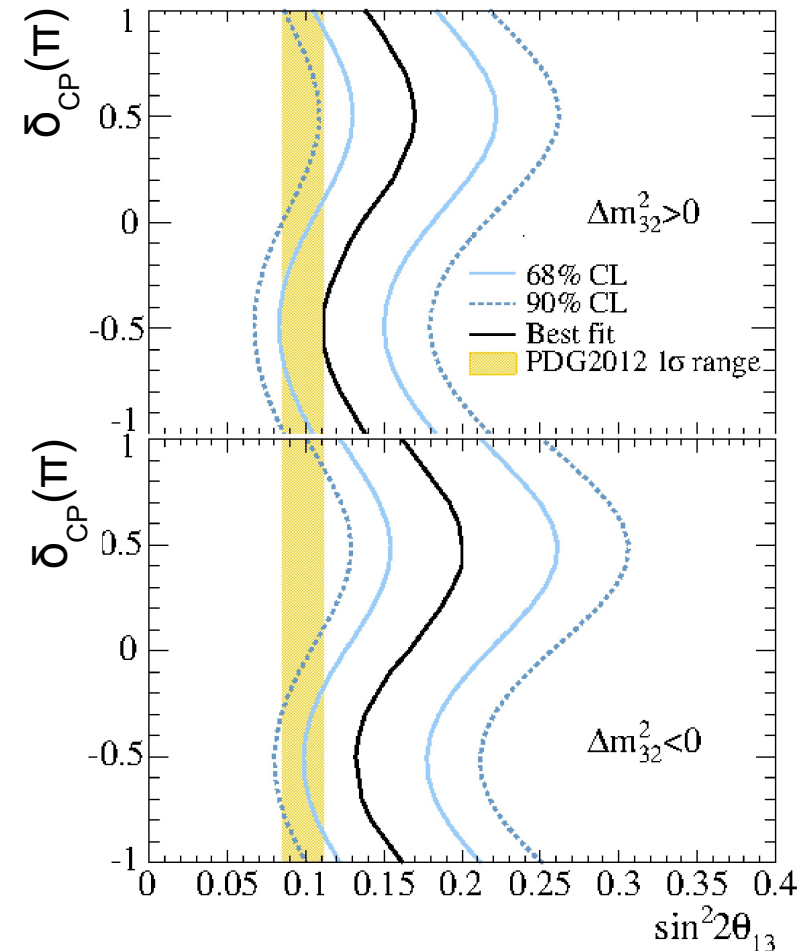
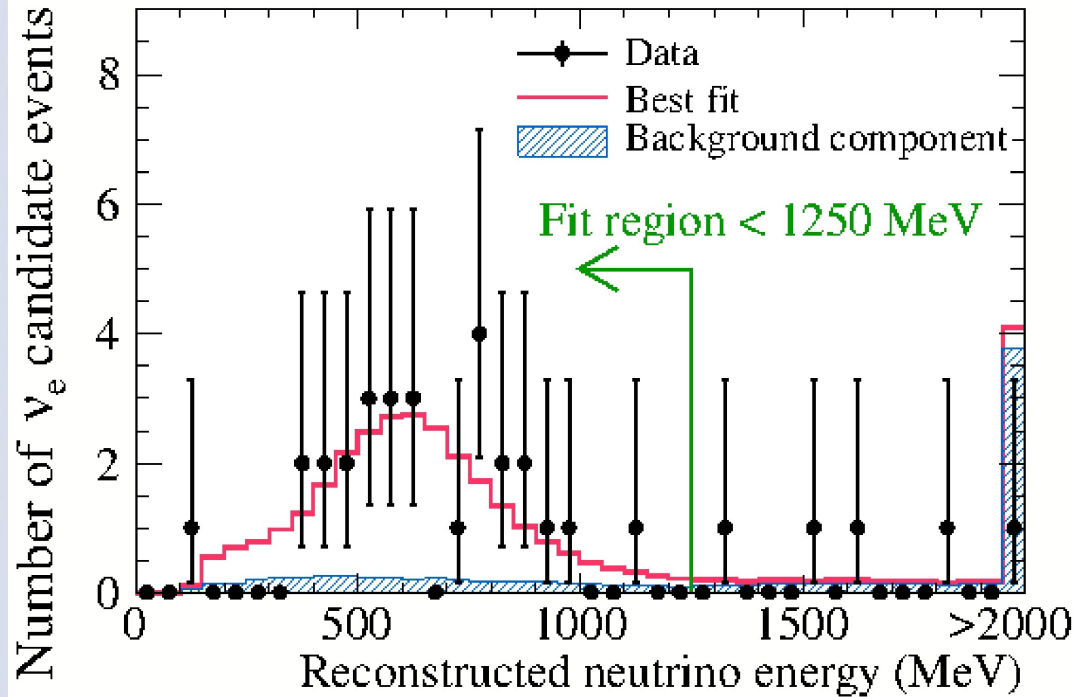
Oscillation parameters		Best-fit value
NH	$\sin^2(\theta_{23})$	$0.514^{+0.055}_{-0.056}$
	$\Delta m^2_{32}$ ( $10^{-3}$ eV <sup>2</sup> )	$2.51 \pm 0.10$
IH	$\sin^2(\theta_{23})$	$0.511 \pm 0.055$
	$\Delta m^2_{13}$ ( $10^{-3}$ eV <sup>2</sup> )	$2.48 \pm 0.10$

$$N_{\text{obs}} = 120$$

$$N_{\text{exp}} (\text{no osc}) = 446 \pm 23 (\text{syst.})$$

Data favor maximum disappearance.

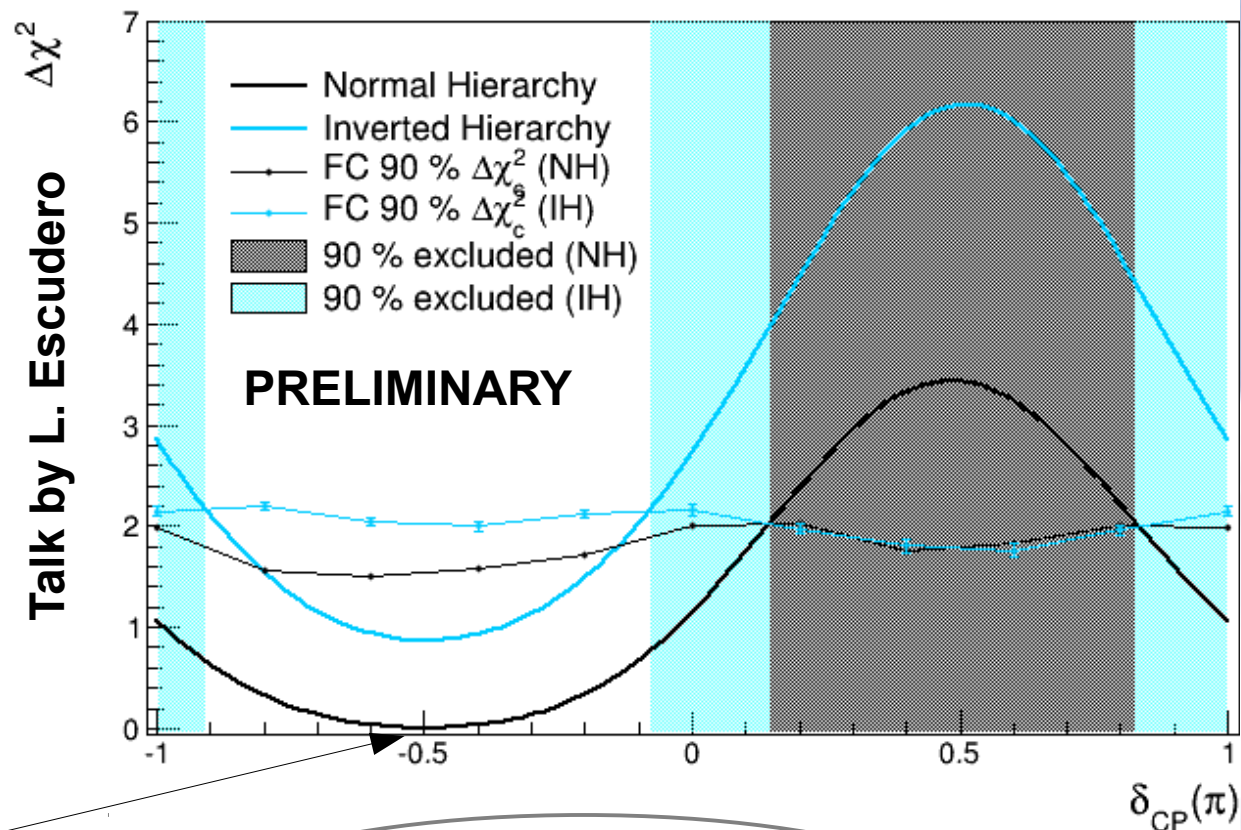
# T2K observation of $\nu_{\mu} \rightarrow \nu_e$ appearance



- $N_{\text{obs}} = 28$
- $N_{\text{exp}}(\text{bck. only}) = 4.9 \pm 0.6(\text{syst.})$
- $N_{\text{exp}}(\sin^2 2\theta_{13} = 0.1) = 21.6$
- $7.3 \sigma$  evidence of non-zero  $\theta_{13}$
- First direct observation of a new flavor appearance
- Opens the way to the determination of the CP violation parameter  $\delta$

# T2K combined fit to appearance and disappearance data

- Combined fit to the  $\nu_\mu$  and  $\nu_e$  samples
- Using PDG 2013  $\theta_{13}$  T2K obtains an indication favoring  $\delta = -\pi/2$
- Similar results from an independent analysis using Markov chain MC producing Bayesian credible intervals
- If nature has chosen this happy spot: a) a generous help to experiments b) a solution that satisfies the leptogenesis bound with no additional CP violation



Leptogenesis bound

$$|\sin \theta_{13} \sin \delta| \geq 0.11$$

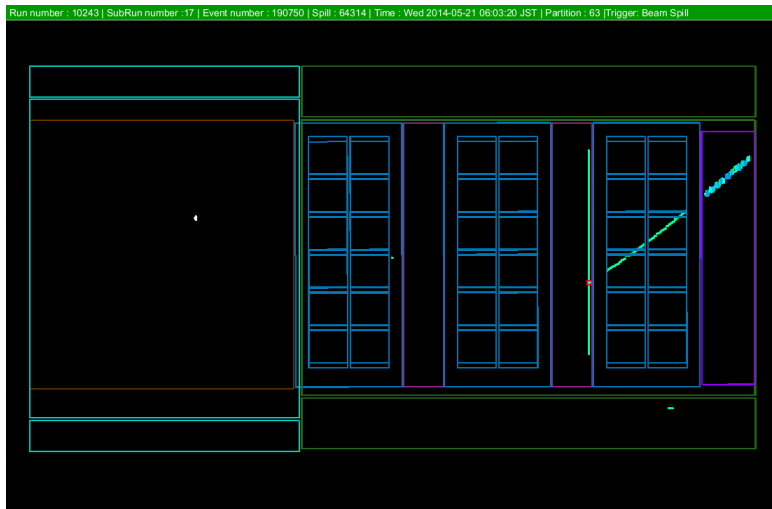
Pascoli Petcov Riotto 2007



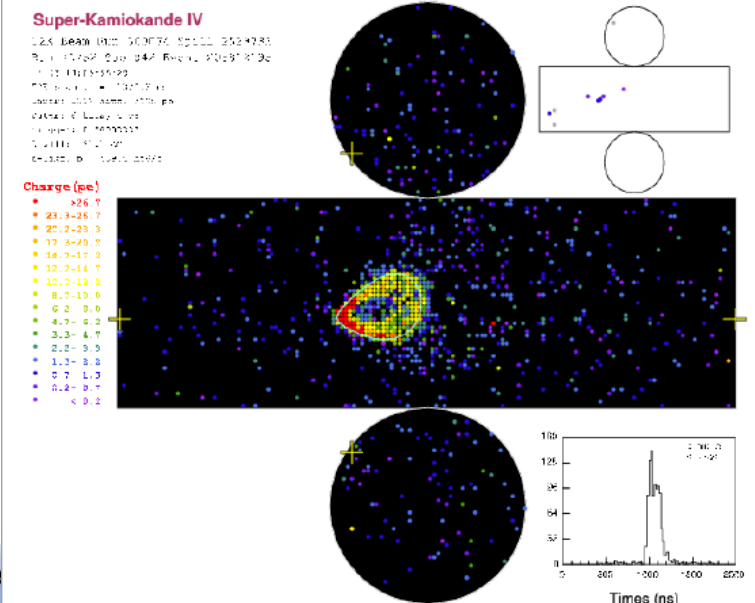
# T2K status

- T2K has recently resumed data-taking ( $0.7 \cdot 10^{20}$  POT in 2014) mostly in anti-neutrino mode
- Beam power :  $\sim 220$  kW
- Looking forward to more data for increased precision in neutrino oscillation results

ND280 event

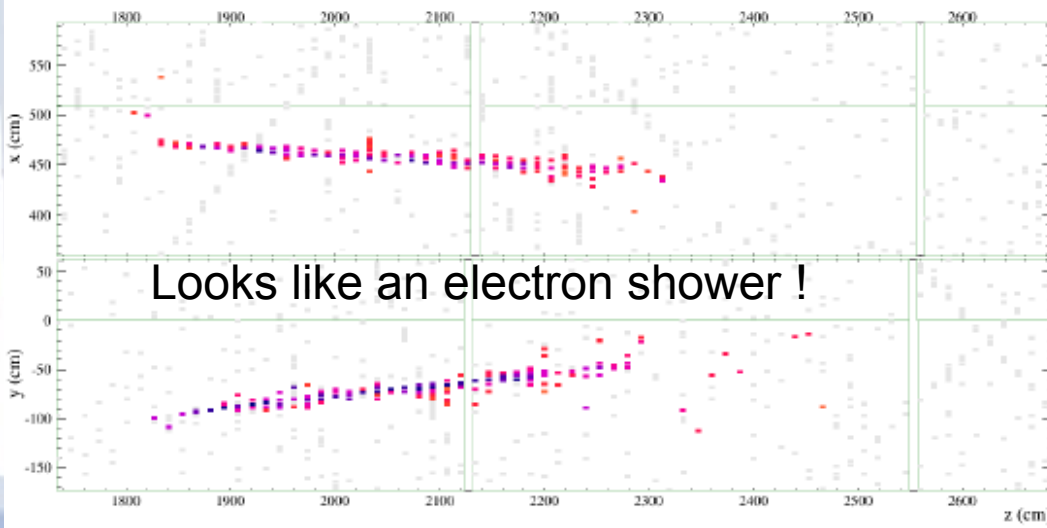
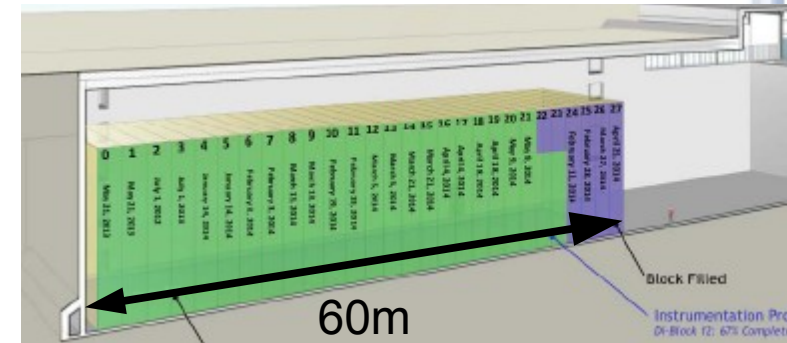
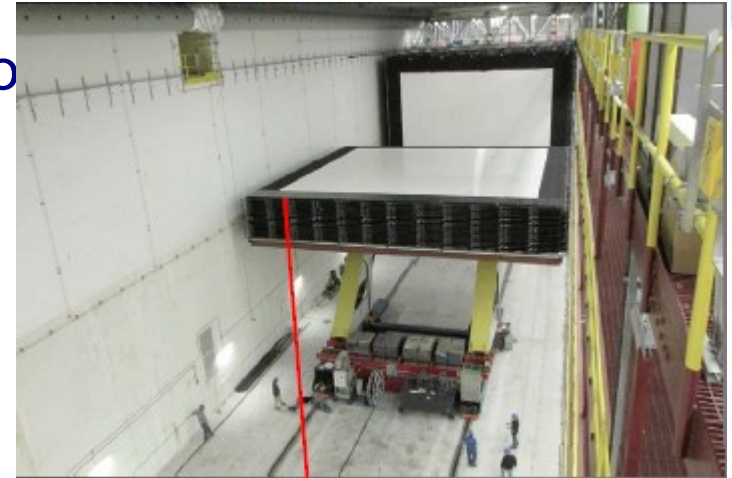


SK event



# First neutrinos in NOvA

- NOvA is an off-axis experiment from Fermilab to Ash River (810 km) on the NUMI beam
- The far detector is a 14 kt totally active liquid scintillator filled structure
- First neutrino events observed
- Sensitivity to CP violation and mass hierarchy
- MINOS+ also started data-taking

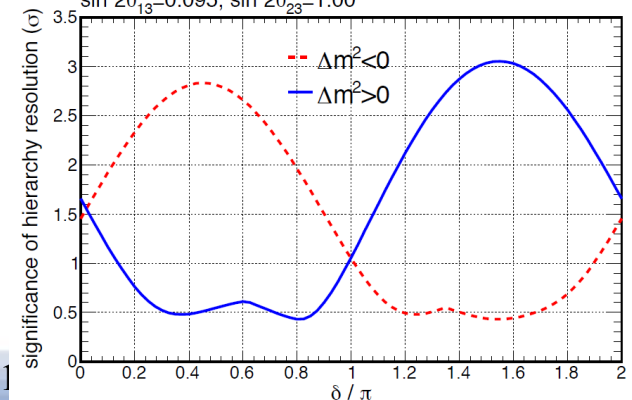


Talk by J. Musser

aris July 201

NOvA hierarchy resolution, 3+3 yr

$$\sin^2 2\theta_{13} = 0.095, \sin^2 2\theta_{23} = 1.00$$

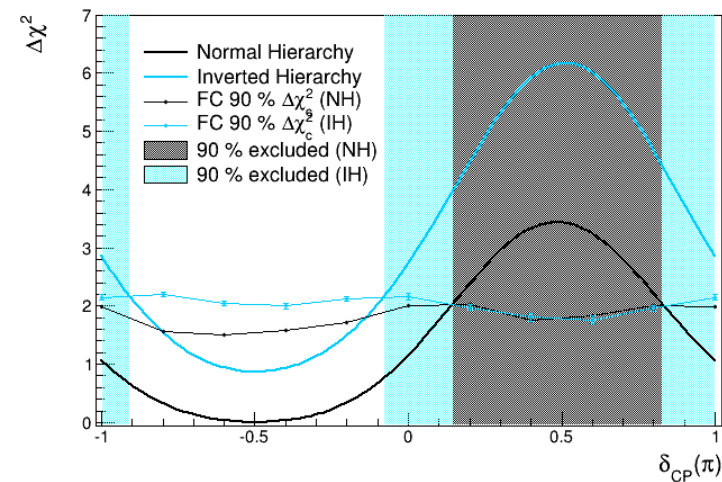
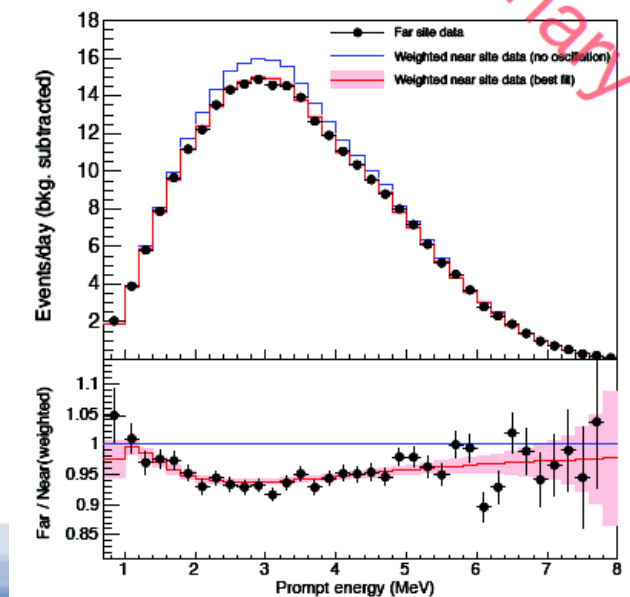


# Future experiments

- Reactor experiments : expected future precision to 3%
- Now->2020: T2K and NOvA
- New experiments attempting to determine mass hierarchy: INO, JUNO, PINGU, ORCA (See talk by T. Schwetz)
- ~2025(?) next generation of long baseline neutrino experiments (proposals: HyperKamiokande, LBNO, LBNE) dedicated to mass hierarchy and CP violation

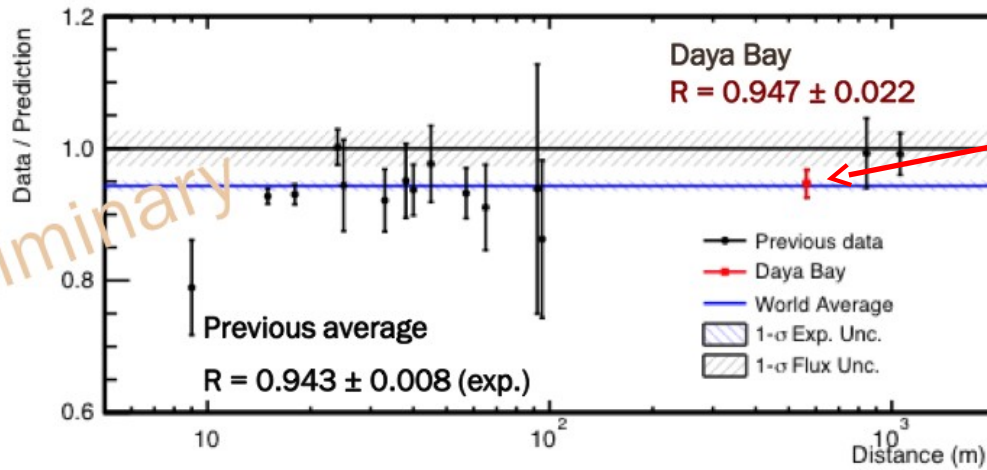
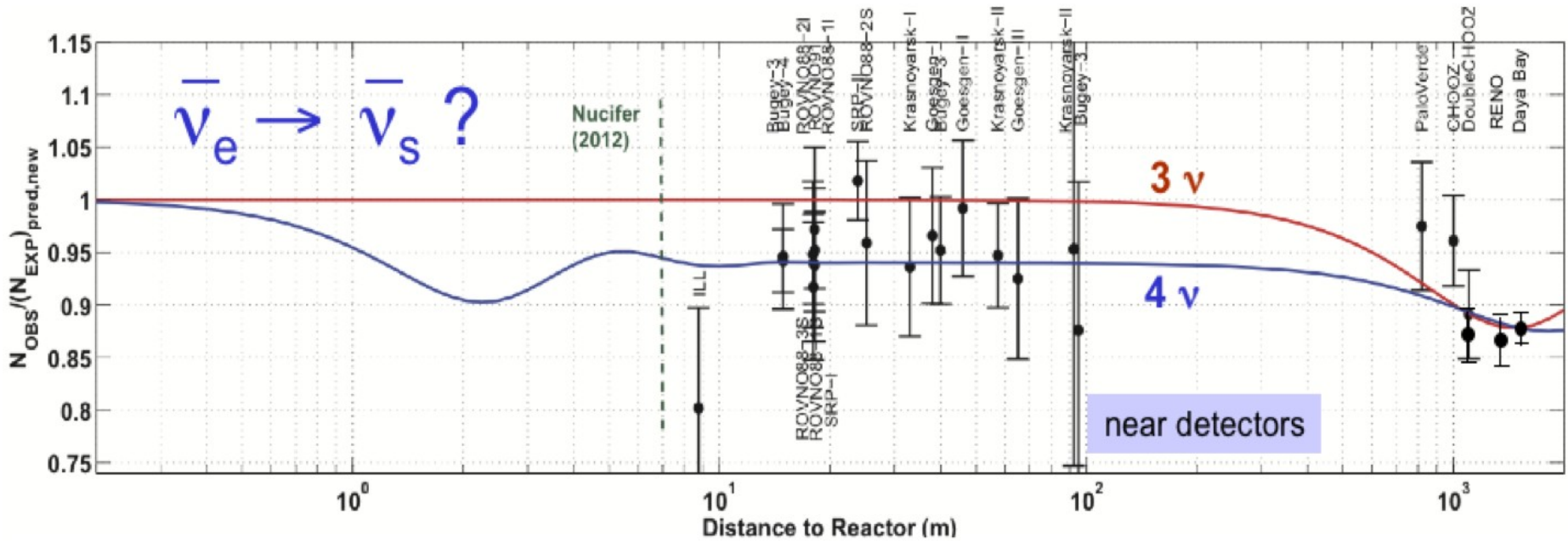
# Conclusions

- The study of neutrino oscillations has provided many surprising discoveries in the last 15 years, establishing the three neutrino mixing paradigm, implying Physics beyond the SM
- The field is approaching the few % precision era due to dedicated experimental efforts
- The experiments begin to be sensitive to CP via the interplay of accelerator and reactor observables
- Major efforts are ongoing towards answering the remaining open questions and providing precision tests



# Back-up slides

# Reactor Anomaly Plot:

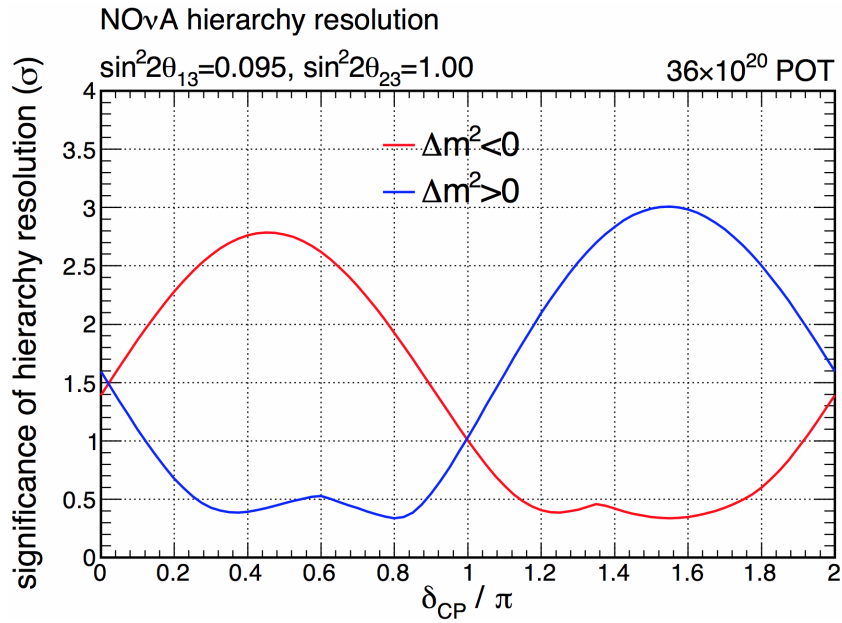


Daya Bay (with use of 3  
 Near Detectors only):  
 plot shown by Weili Zhong  
 at ICHEP14

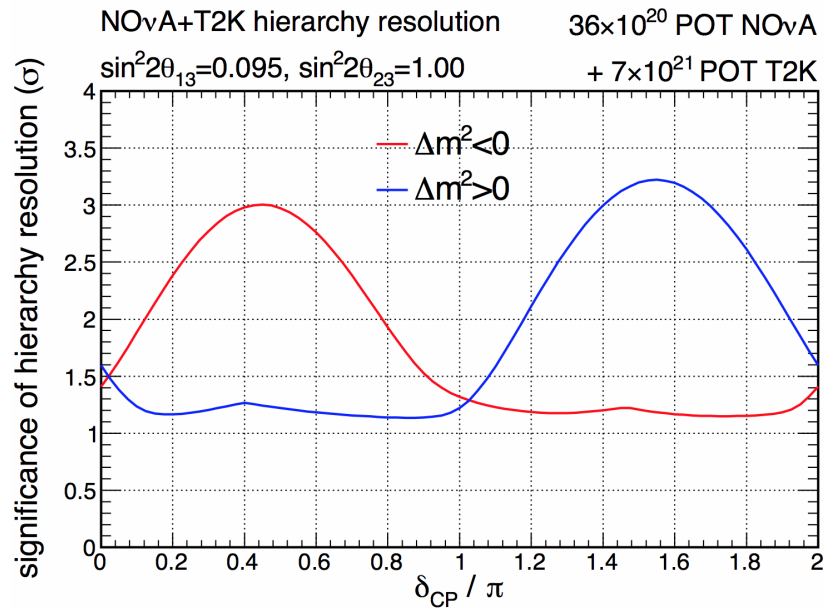
Preliminary

# NOvA (material shown at Neutrino2014)

## NOvA Mass Hierarchy Sensitivity



NOvA alone



Combined NOvA+T2K

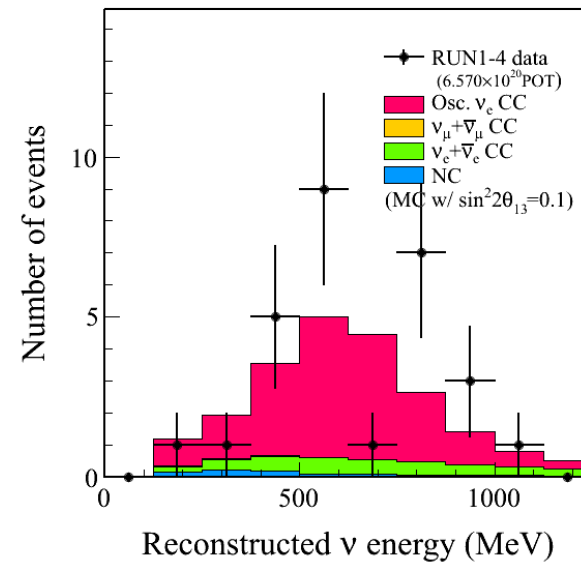
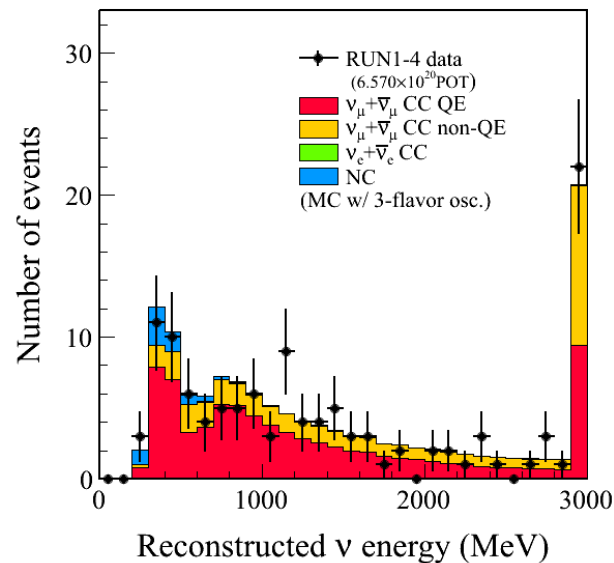
# T2K Event selection

## • $\nu_\mu$ event selection

- Fully contained fiducial volume
- Single ring  $\mu$  like event
- $P > 200$  MeV/c
- N decay electron  $\leq 1$

## • $\nu_e$ event selection

- Fully contained fiducial volume
- Single ring e like event
- $E_{\text{vis}} > 100$  MeV/c
- N decay electron = 0
- $n_0$  rejection cut
- $E_{\text{rec}} < 1250$  MeV

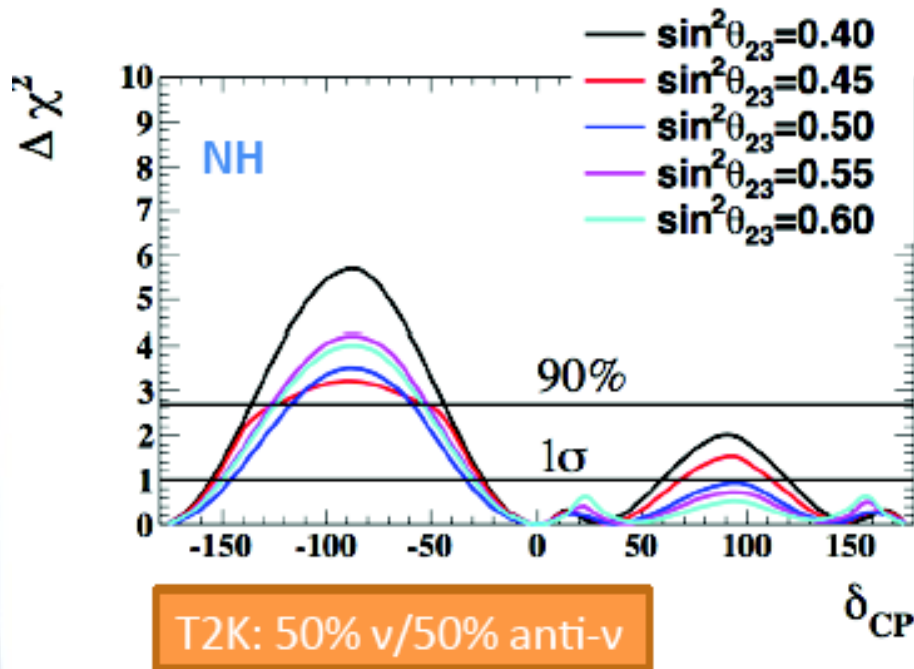




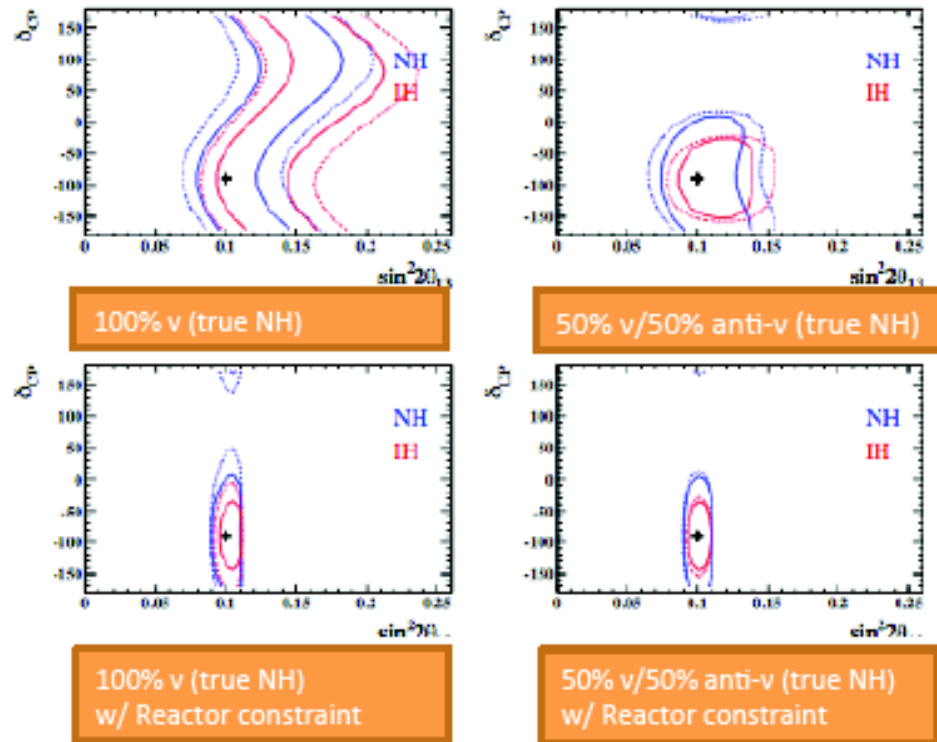
# T2K systematic uncertainties

Systematic Source	Relative Uncertainty in # of $\nu_e$ Candidates (%)	Relative Uncertainty in # of $\nu_\mu$ Candidates (%)
Flux + cross section (ND280 constrained)	3.1	2.7
Cross section (ND280-independent)	4.7	5.0
$\pi$ Hadronic Interactions	2.3	3.5
SK Detector	2.9	3.6
<b>Total</b>	<b>6.8</b>	<b>7.6</b>

# Future Sensitivity to CPV using T2K



"Lucky! (+:  $\sin^2 2\theta=0.1, \delta_{CP}=-90$ )"



No systematics

5% error on signal, 10% on background

T2K studies indicate our best sensitivity will be for 50%  $\nu$ /50% anti- $\nu$  running. Anti- $\nu$  running also opens a large new physics program.