

# Neutrino-nucleus interactions

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# Neutrino - nucleus cross sections and neutrino oscillations

- Neutrino oscillation experiments require the determination of the neutrino energy which enters the expression of the oscillation probability

e.g.

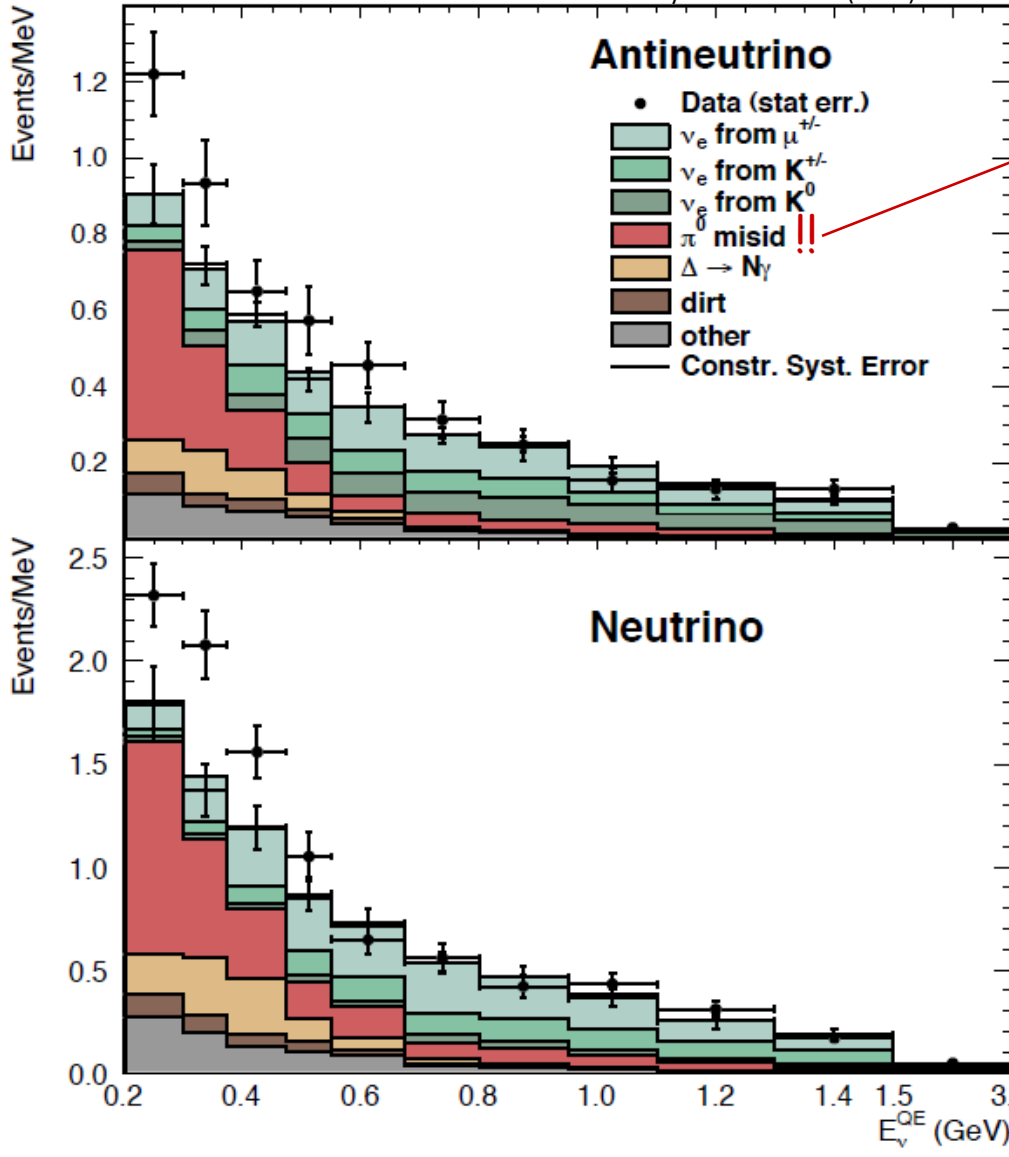
$$P(\nu_{\mu} \rightarrow \nu_e) = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E_{\nu}} \right)$$

- Modern neutrino oscillation experiments use nuclear targets
- Nuclear effects play a crucial role

# $\nu_\mu \rightarrow \nu_e$

## MiniBooNE

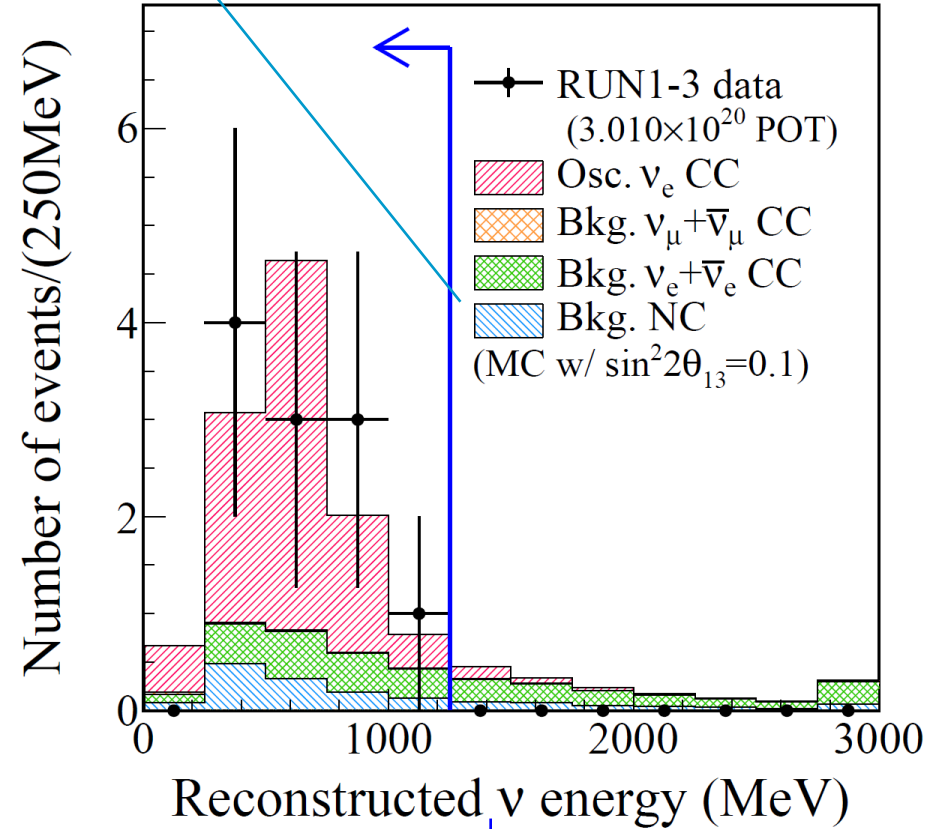
Phys.Rev.Lett. 110 (2013) 161801



NC  $\pi^0$  important background

Phys.Rev. D88 (2013) 032002

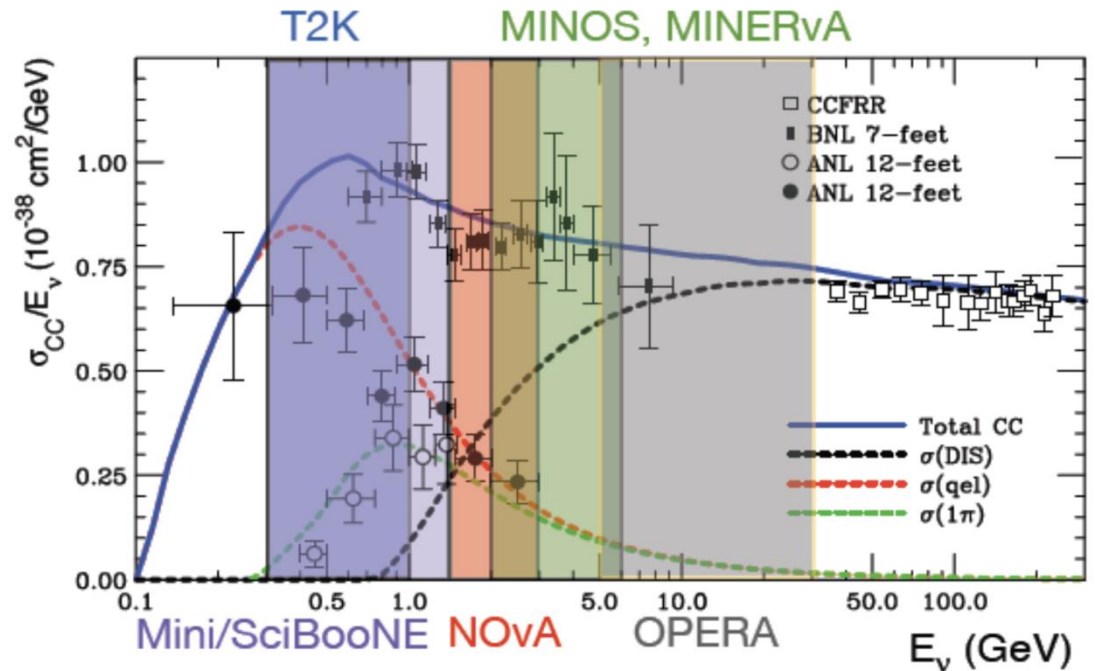
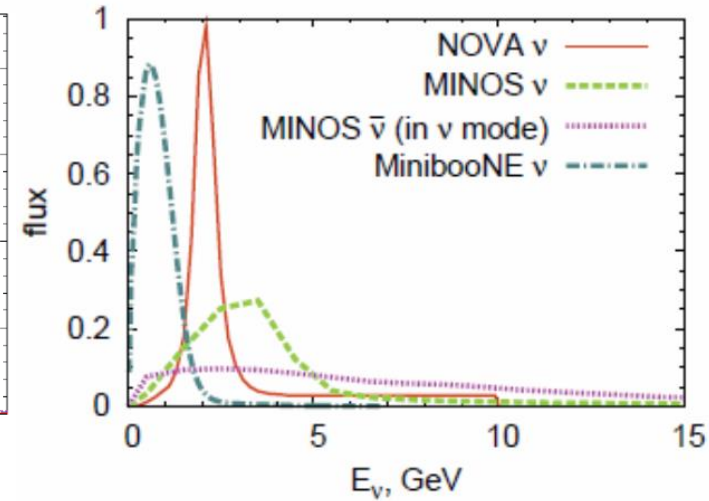
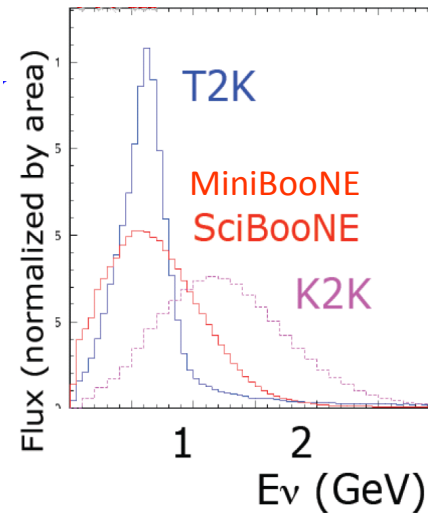
## T2K



$E_\nu$  reconstructed through quasielastic events

# Some crucial points

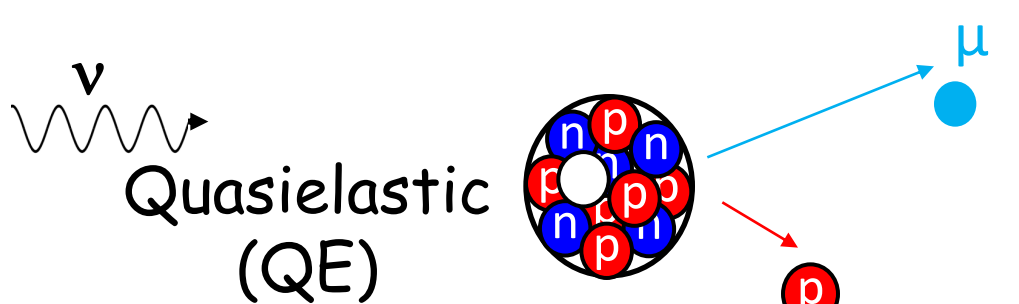
- Neutrino beams are not monochromatic (at difference with respect to electron beams). They span a wide range of energies
- The neutrino energy is reconstructed from the final states of the reaction (typically from CC Quasielastic events)
- Different reaction mechanisms contribute to the cross section in the modern experiments



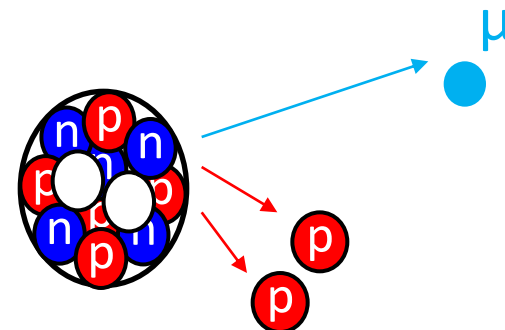


# Neutrino - nucleus interaction @ $E_\nu \sim 0$ (1 GeV)

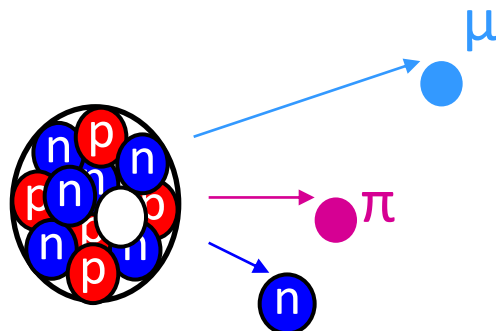
[MiniBooNE, T2K energies]



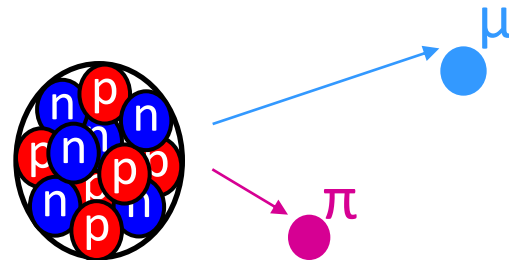
Two Nucleons  
knock-out  
(2p-2h)



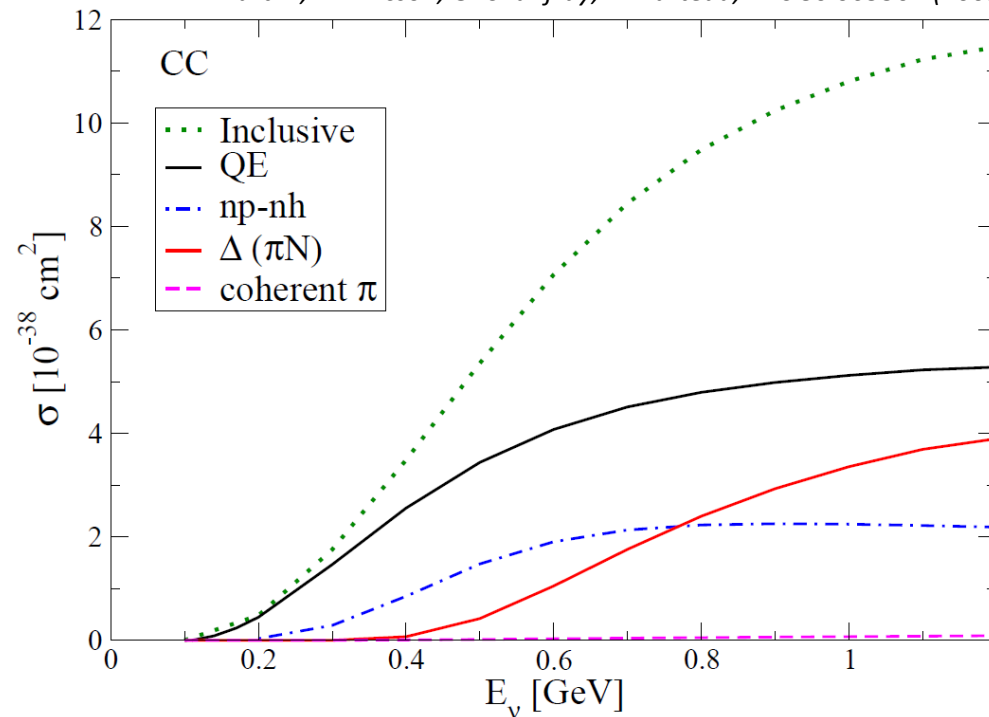
Incoherent  $\pi$  production



Coherent  $\pi$  production



*M. Martini, M. Ericson, G. Chanfray, J. Marteau, PRC 80 065501 (2009)*



Different processes are entangled

# Neutrino-nucleus interaction

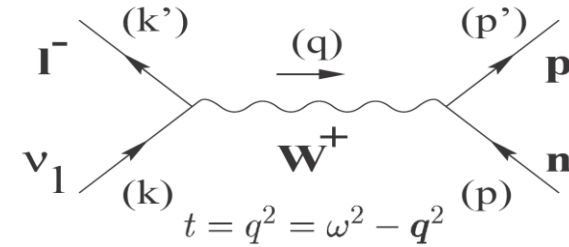
$$\mathcal{L}_W = \frac{G_F}{\sqrt{2}} \cos(\theta_C) l_\mu h^\mu$$

lepton

$$\langle k', s' | l_\mu | k, s \rangle = e^{-iqx} \bar{u}(k', s') [\gamma_\mu (1 - \gamma_5)] u(k, s)$$

hadron

$$\langle p', s' | h^\mu | p, s \rangle = e^{iqx} \bar{u}(p', s') \left[ \underbrace{F_1(t) \gamma^\mu + F_2(t) \sigma^{\mu\nu} \frac{iq_\nu}{2M_N}}_{\text{Vector}} + \underbrace{G_A(t) \gamma^\mu \gamma_5 + G_P(t) \gamma_5 \frac{q^\mu}{2M_N}}_{\text{Axial}} \right] \tau_+ u(p, s)$$



Cross section:

$$\frac{\partial^2 \sigma}{\partial \Omega_{k'} \partial k'} = \frac{G_F^2 \cos^2 \theta_C \mathbf{k}'^2}{2\pi^2} \cos^2 \frac{\theta}{2} \left[ \underbrace{G_E^2 (1 - \frac{\omega^2}{q^2})^2}_{\text{Vector}} \underbrace{R_C}_{\text{Charge}} + \underbrace{G_A^2 \frac{(M_\Delta - M_N)^2}{q^2}}_{\text{Axial}} \underbrace{R_L}_{\text{Isospin Spin-Longitudinal}} \right. \\ \left. + \underbrace{(G_M^2 \frac{\omega^2}{q^2} + G_A^2)}_{\text{Vector}} (1 - \frac{\omega^2}{q^2} + 2 \tan^2 \frac{\theta}{2}) \underbrace{R_T}_{\text{Isospin Spin Transverse}} \pm \underbrace{G_A G_M}_{\text{Vector}} 2 \frac{k + k'}{M_N} \tan^2 \frac{\theta}{2} \underbrace{R_T}_{\text{Isospin Spin Transverse}} \right]$$

Nucleon properties → Form factors: Electric  $G_E$ , Magnetic  $G_M$ , Axial  $G_A$

Nuclear dynamics → Nuclear Response Functions:

Charge  $R_C(\tau)$ , Isospin Spin-Longitudinal  $R_L(\tau, \sigma \cdot q)$ , Isospin Spin Transverse  $R_T(\tau, \sigma \times q)$

# Form Factors

Standard dipole parameterization

Vector

$$G_E(Q^2) = G_M(Q^2) / (\mu_p - \mu_n) = (1 + Q^2 / M_V^2)^{-2}$$

$$Q^2 = q^2 - \omega^2$$

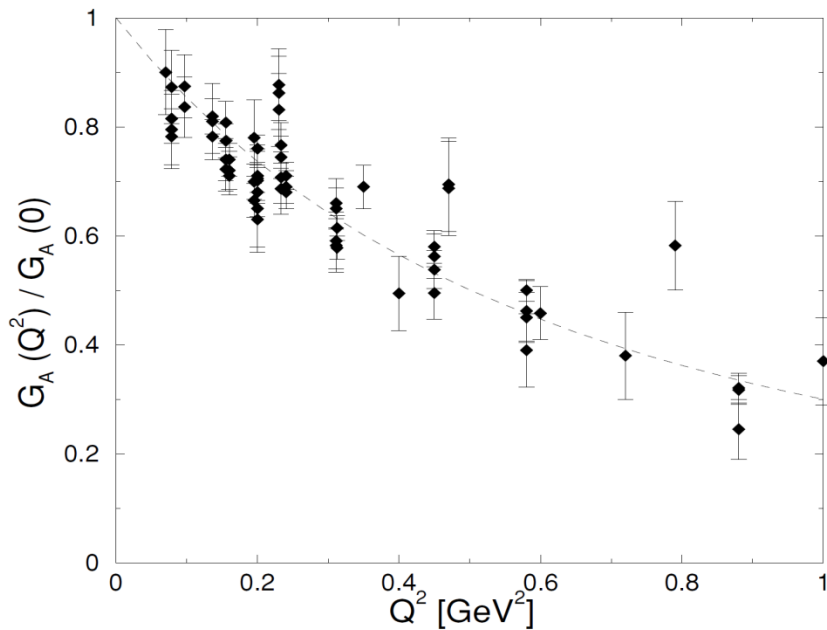
$$M_V = 0.84 \text{ GeV}/c^2$$

Axial

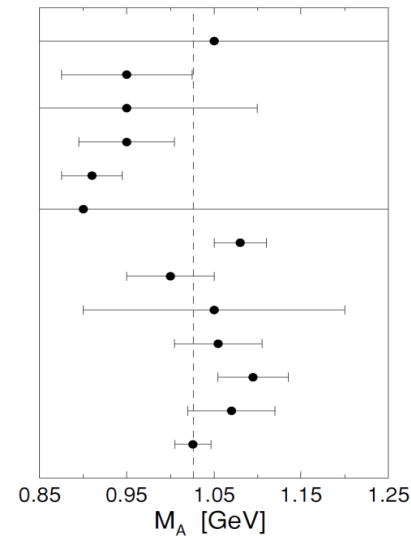
$$G_A(Q^2) = g_A (1 + Q^2 / M_A^2)^{-2}$$

$g_A = 1.26$  from neutron  $\beta$  decay

$$M_A = (1.026 \pm 0.021) \text{ GeV}/c^2$$



Argonne (1969)  
 Argonne (1973)  
 CERN (1977)  
 Argonne (1977)  
 CERN (1979)  
 BNL (1980)  
 BNL (1981)  
 Argonne (1982)  
 Fermilab (1983)  
 BNL (1986)  
 BNL (1987)  
 BNL (1990)  
 Average

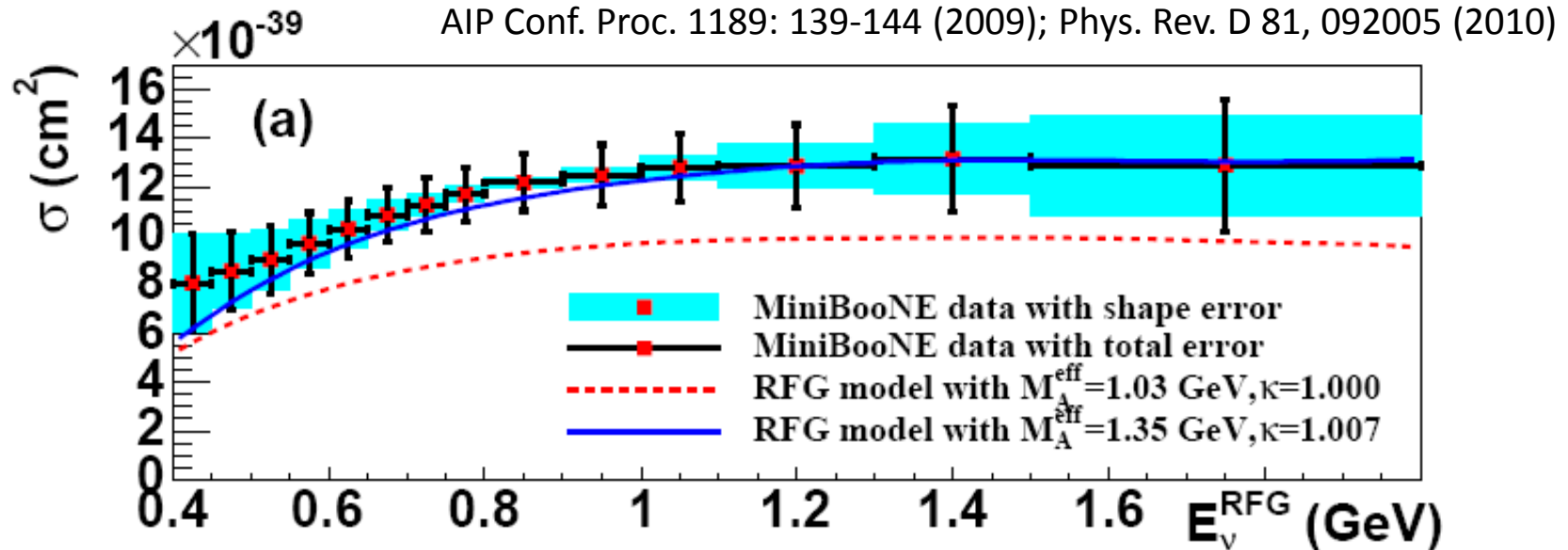


from  $\nu$ -deuterium CCQE  
 and  
 from  $\pi$  electroproduction

V. Bernard, J.Phys. G28 (2002) R1-R35

# Quasielastic

# MiniBooNE CC Quasielastic neutrino cross section on Carbon



Comparison with a prediction based on RFG using  $M_A=1.03$  GeV (standard value) reveals a discrepancy

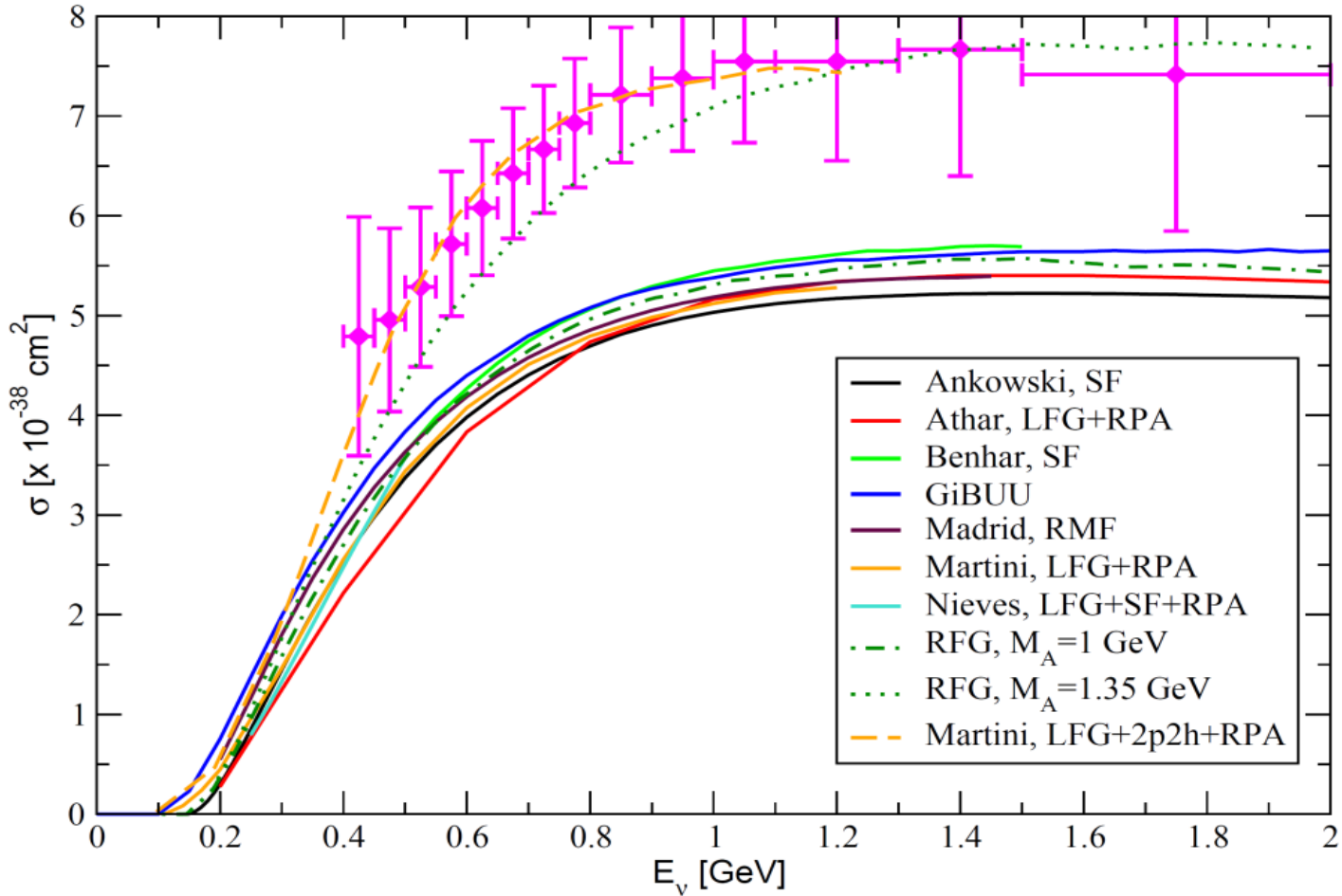
In the Relativistic Fermi Gas (RFG) model an axial mass of 1.35 GeV is needed to account for data

p.s. Relativistic Fermi Gas: Nucleus as ensemble of non interacting fermions (nucleons)

**puzzle??**

# Comparison of different theoretical models for Quasielastic

L. Alvarez-Ruso , arXiv:1012.3871 (Neutrino 2010)



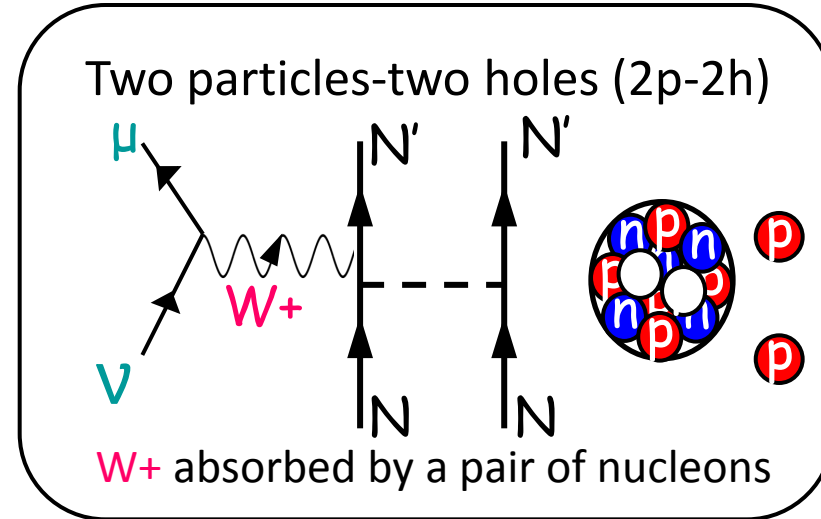
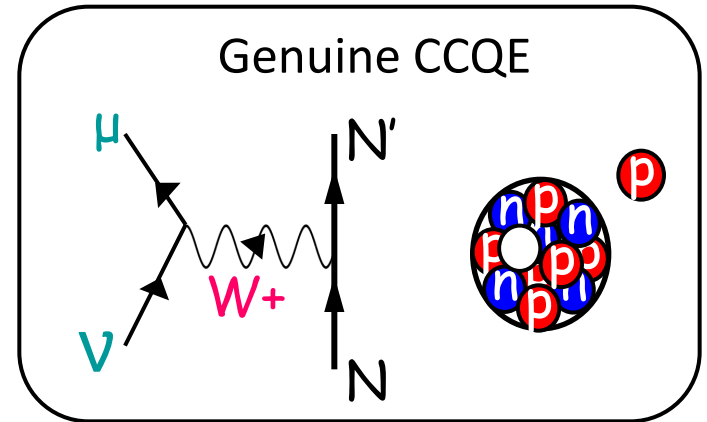
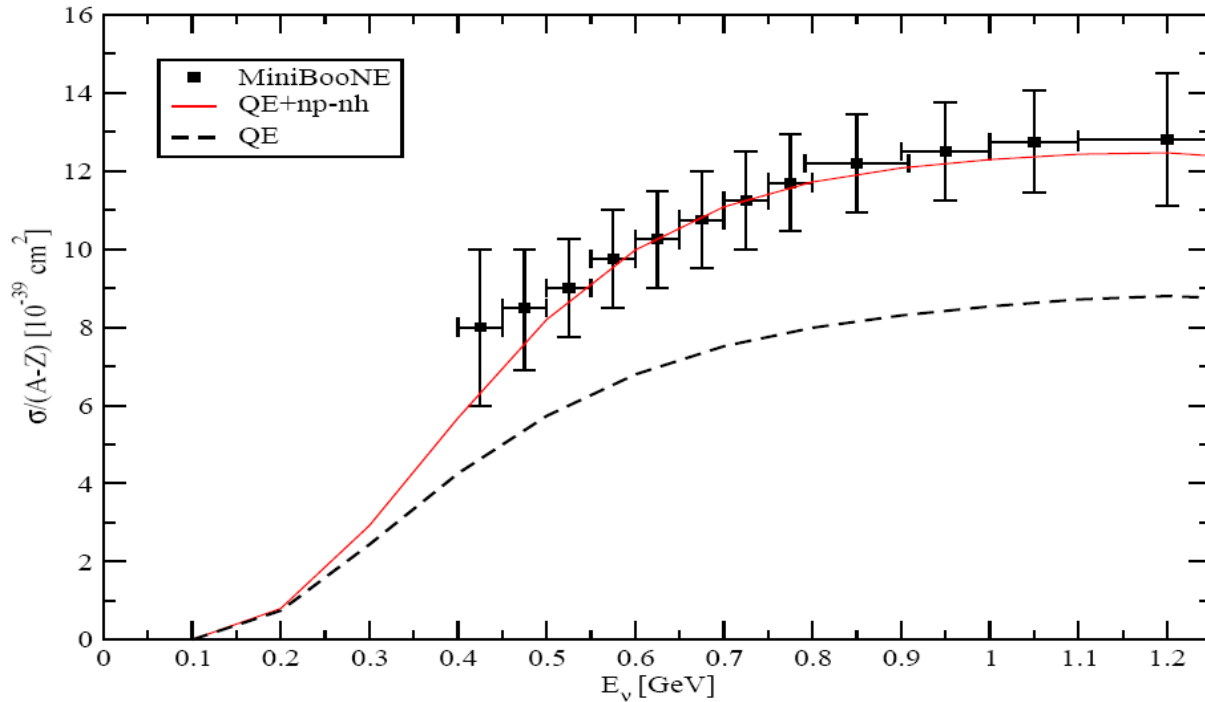
- SF: Spectral Function
- LFG: Local Fermi Gas
- RPA: Random Phase Approximation
- RMF: Relativistic Mean Field
- GiBUU: Transport Equation

Comparison of models and Monte Carlo:  
 Boyd, Dytman, Hernandez, Sobczyk, Tacik ,  
 AIP Conf.Proc. 1189 (2009) 60-73

**puzzle??**

# An explanation of this puzzle

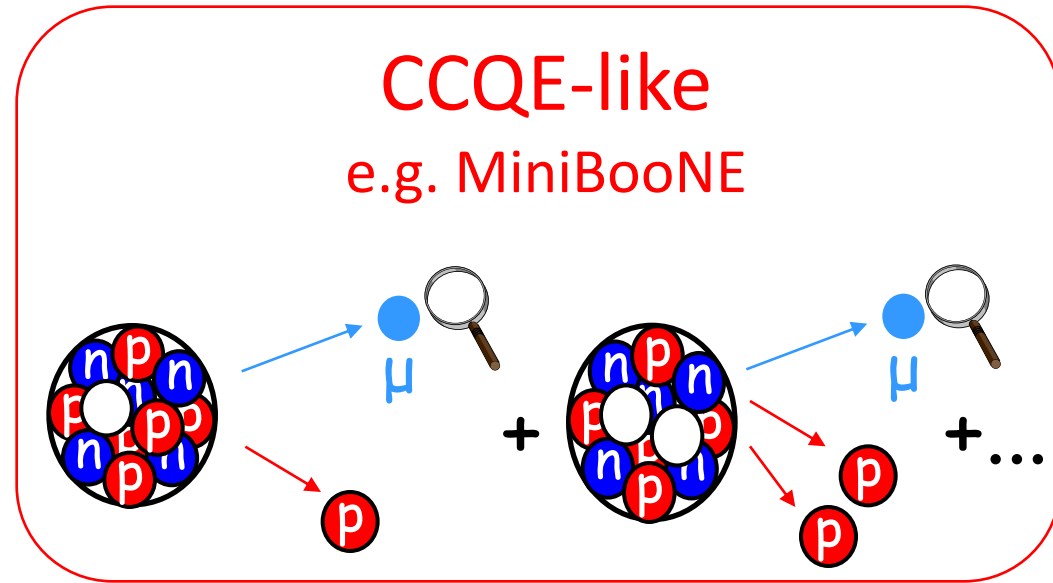
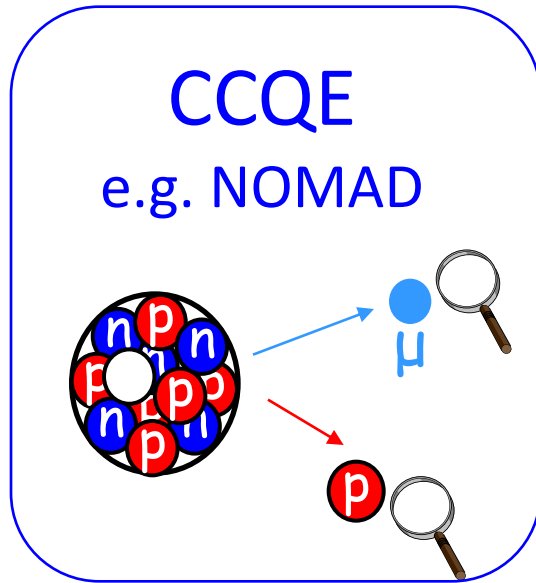
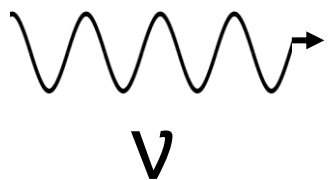
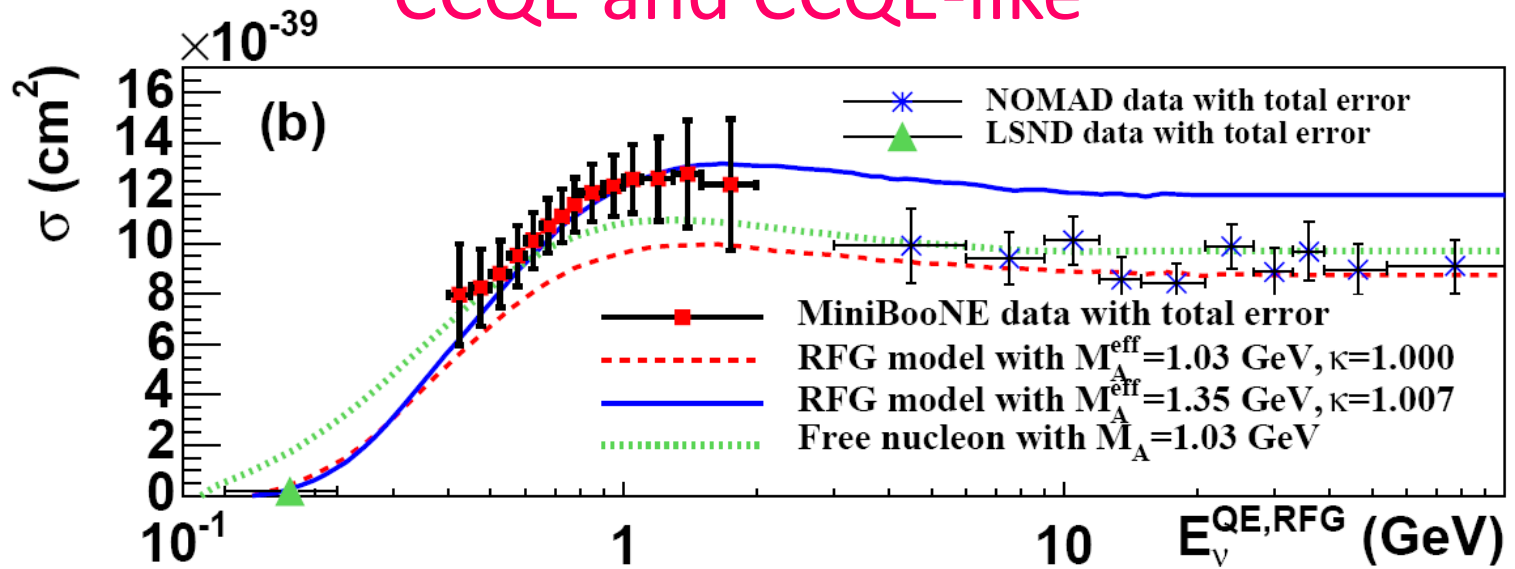
## Inclusion of the multinucleon emission channel (np-nh)



M. Martini, M. Ericson, G. Chanfray, J. Marteau *Phys. Rev. C* 80 065501 (2009)

**Agreement with MiniBooNE without increasing  $M_A$**

# CCQE and CCQE-like

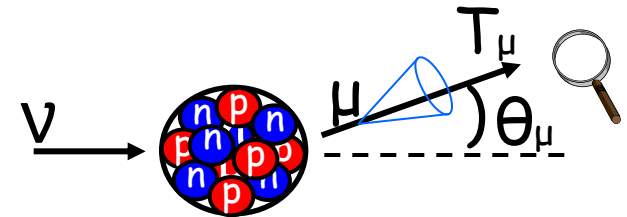
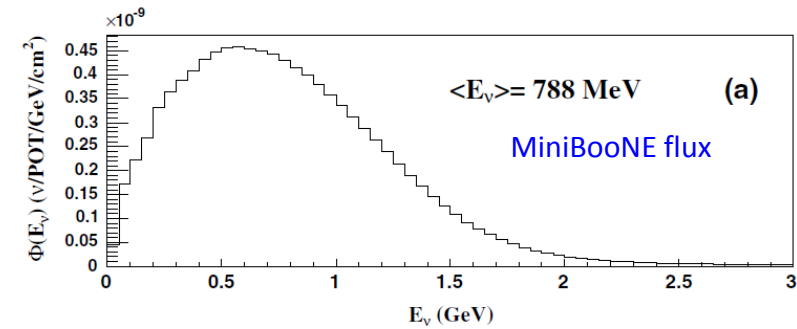
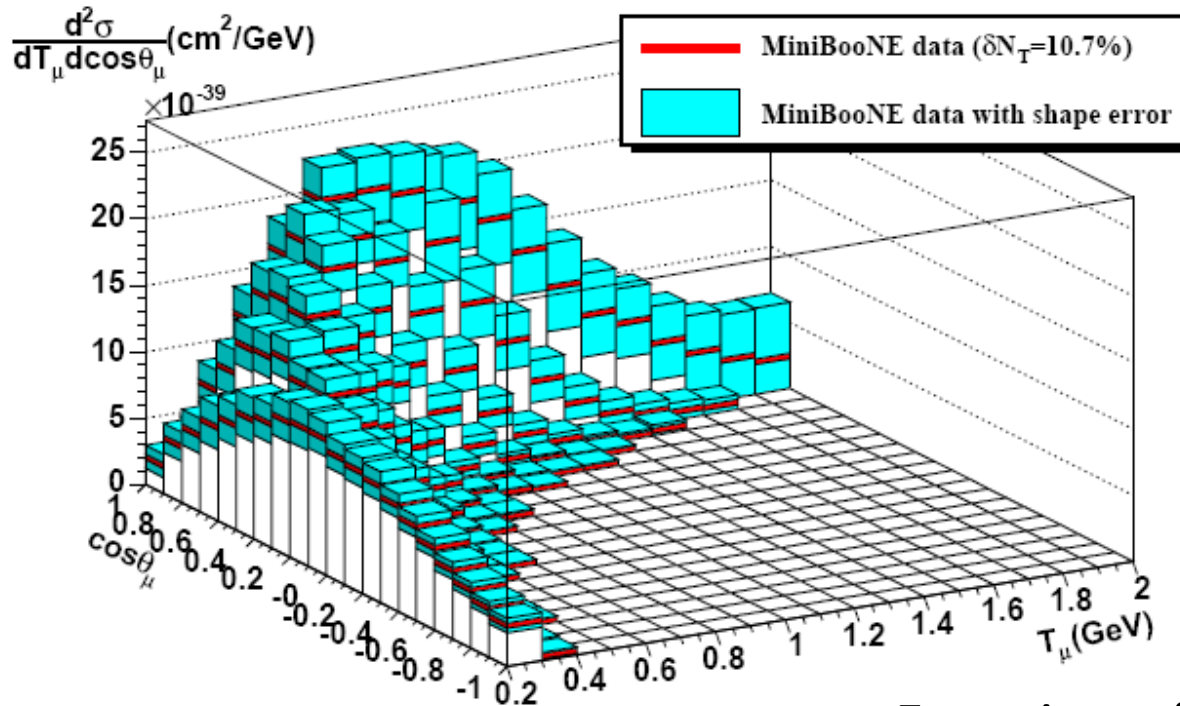


Cherenkov detectors measure CCQE-like which includes np-nh contributions



# MiniBooNE CCQE-like flux-integrated double diff. X section

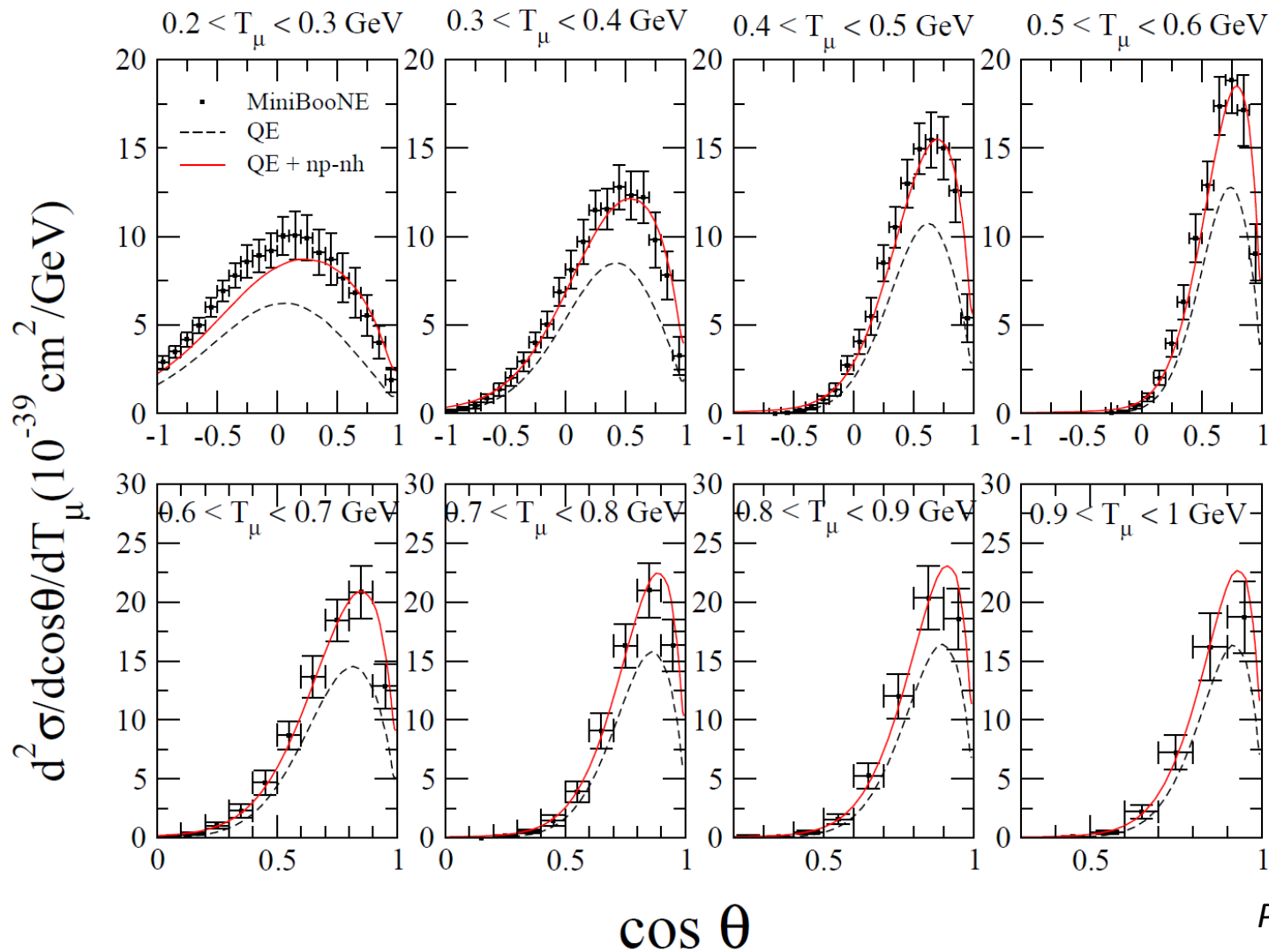
$$\frac{d^2\sigma}{dE_\mu d\cos\theta} = \int dE_\nu \left[ \frac{d^2\sigma}{d\omega d\cos\theta} \right]_{\omega=E_\nu-E_\mu} \Phi(E_\nu)$$



Function of two measured variables

*MiniBooNE, Phys. Rev. D 81, 092005 (2010)*

# Flux-integrated double differential cross section



red: including np-nh

black: genuine QE

Martini, Ericson, Chanfray,  
*Phys. Rev. C* 84 055502 (2011)

Agreement with MiniBooNE without increasing  $M_A$  once np-nh is included

Similar conclusions in Nieves *et al. PLB* 707, 72 (2012)

# Theoretical studies on np-nh excitations in CCQE-like

*M. Martini, M. Ericson, G. Chanfray, J. Marteau (Lyon, IPNL)*

Phys. Rev. C 80 065501 (2009)  $\nu$   $\sigma_{\text{total}}$

Phys. Rev. C 81 045502 (2010)  $\nu$  vs antiv ( $\sigma_{\text{total}}$ )

Phys. Rev. C 84 055502 (2011)  $\nu$   $d^2\sigma$ ,  $d\sigma/dQ^2$

Phys. Rev. D 85 093012 (2012) impact of np-nh on  $\nu$  energy reconstruction

Phys. Rev. D 87 013009 (2013) impact of np-nh on  $\nu$  energy reconstruction and  $\nu$  oscillation

Phys. Rev. C 87 065501 (2013) antiv  $d^2\sigma$ ,  $d\sigma/dQ^2$

*J. Nieves, I. Ruiz Simo, M.J. Vicente Vacas, F. Sanchez, R. Gran (Valencia, IFIC)*

Phys. Rev. C 83 045501 (2011)  $\nu$ , antiv  $\sigma_{\text{total}}$

Phys. Lett. B 707 72-75 (2012)  $\nu$   $d^2\sigma$

Phys. Rev. D 85 113008 (2012) impact of np-nh on  $\nu$  energy reconstruction

Phys. Lett. B 721 90-93 (2013) antiv  $d^2\sigma$

Phys. Rev. D 88 113007 (2013) extension of np-nh up to 10 GeV

*J.E. Amaro, M.B. Barbaro, J.A. Caballero, T.W. Donnelly, J.M. Udias, C. F. Williamson  
(Superscaling)*

Phys. Lett. B 696 151-155 (2011)  $\nu$   $d^2\sigma$

Phys. Rev. D 84 033004 (2011)  $\nu$   $d^2\sigma$ ,  $\sigma_{\text{total}}$

Phys. Rev. Lett. 108 152501 (2012) antiv  $d^2\sigma$ ,  $\sigma_{\text{total}}$

## Effective models taking into account np-nh excitations

*O. Lalakulich, K. Gallmeister and U. Mosel (GiBUU)*

Phys. Rev. C 86 014614 (2012)  $\nu$   $\sigma_{\text{total}}$ ,  $d^2\sigma$ ,  $d\sigma/dQ^2$

Phys. Rev. C 86 054606 (2012) impact of np-nh on  $\nu$  energy reconstruction and  $\nu$  oscillation

*A. Bodek, H.S. Budd, M.E. Christy (Transverse Enhancement Model)*

EPJ C 71 1726 (2011)  $\nu$  and anti- $\nu$   $\sigma_{\text{total}}$ ,  $d\sigma/dQ^2$

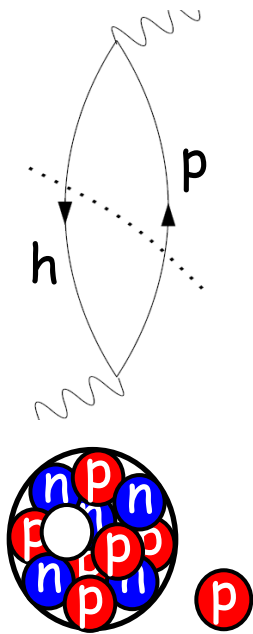
In the neutrino interaction generators corresponding to experimental studies on  $\nu$  cross sections and oscillations published up to now the np-nh channel was not included

Today there is an effort to include this np-nh channel in several Monte Carlo

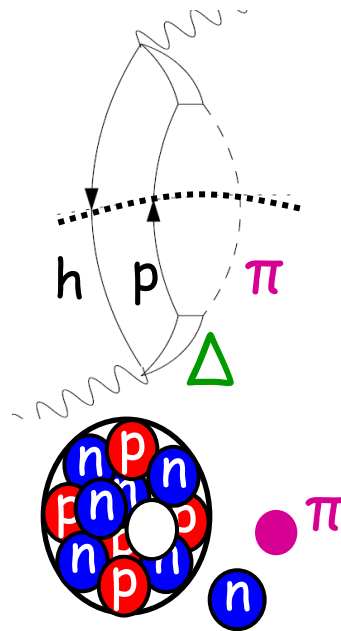
# Some theoretical details

# Nuclear Response Functions

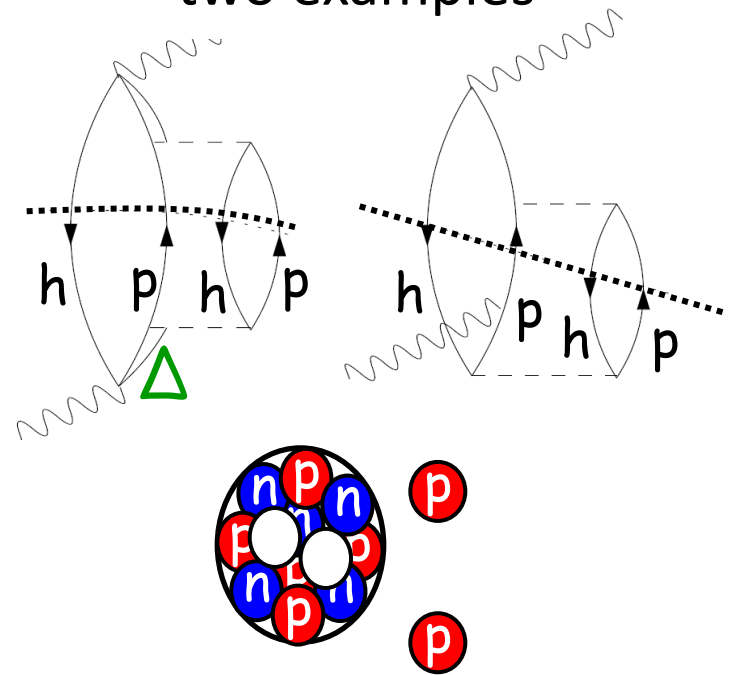
1p-1h  
QE



1p-1h  
1 $\pi$  production

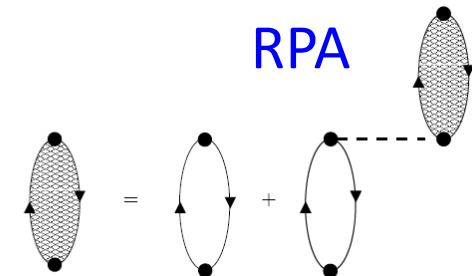


2p-2h:  
two examples

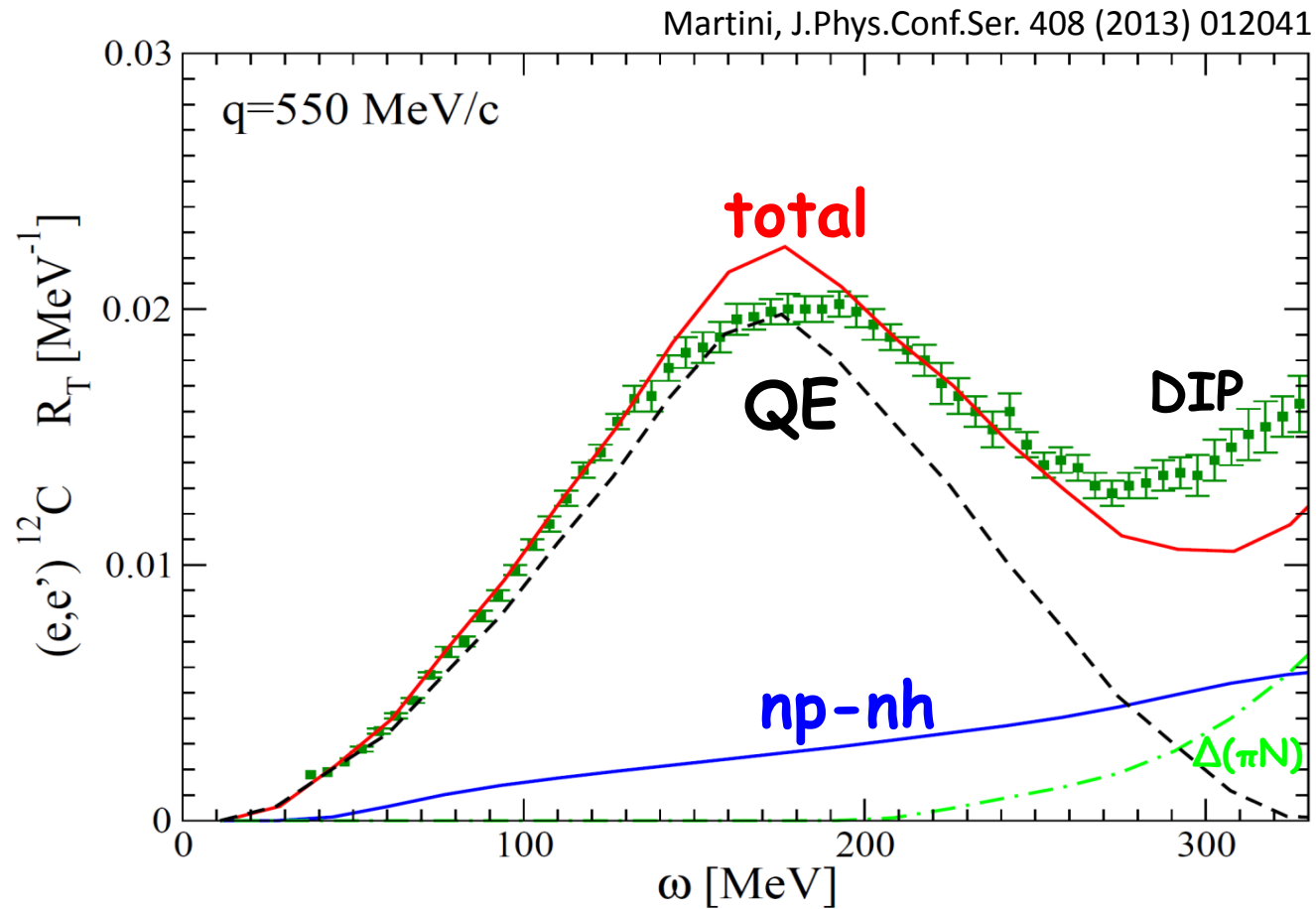
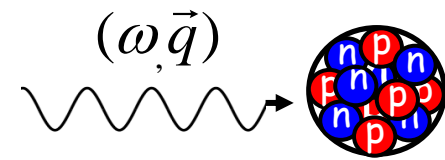


## Nuclear response in Random Phase Approximation

(the approach used by Martini et al. and Nieves et al.)



# An example of nuclear response : transverse response in electron scattering



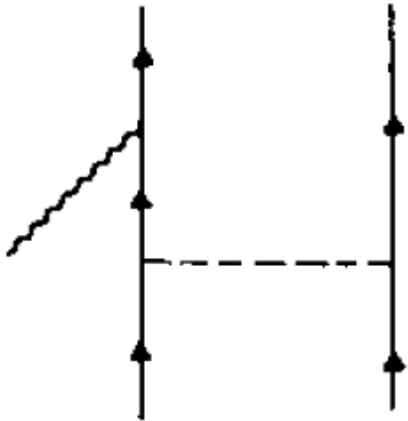
$np-nh$  creates a high energy tail in the nuclear response above the QE peak

*Alberico, Ericson, Molinari, Ann. Phys. 154, 356 (1984)*

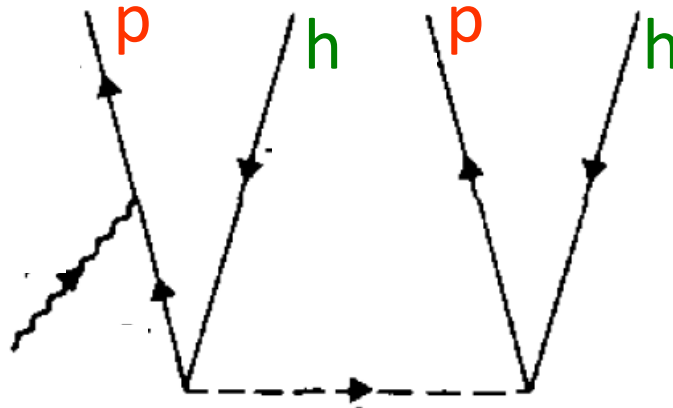
# Two particle-two hole sector (2p-2h)

## Three equivalent representations of the same process

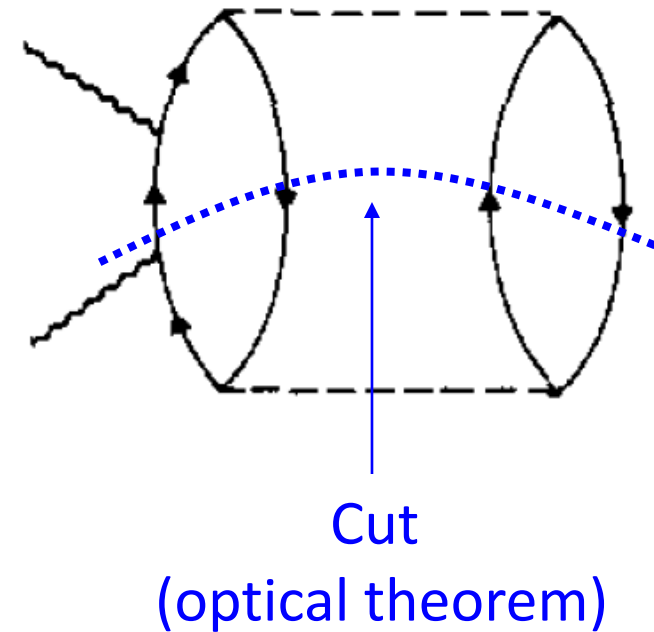
2 body current



2p-2h matrix element



2p-2h response



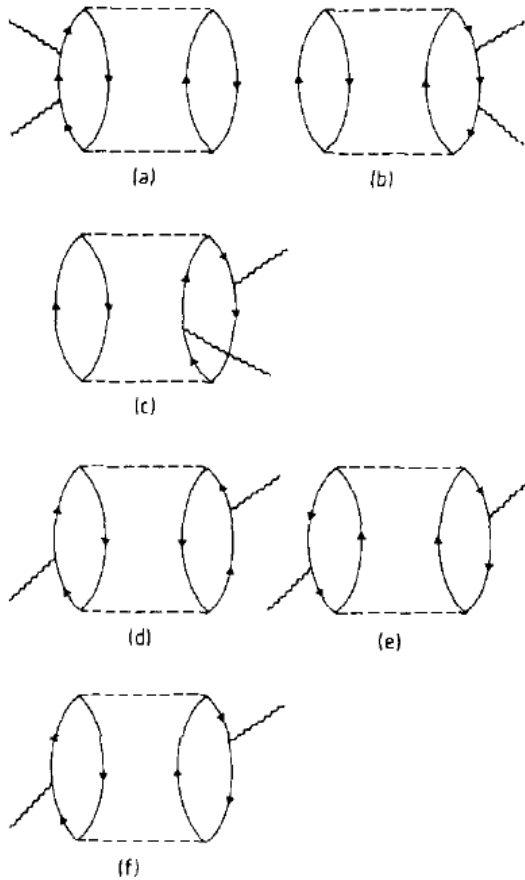
**Final state: two particles-two holes**





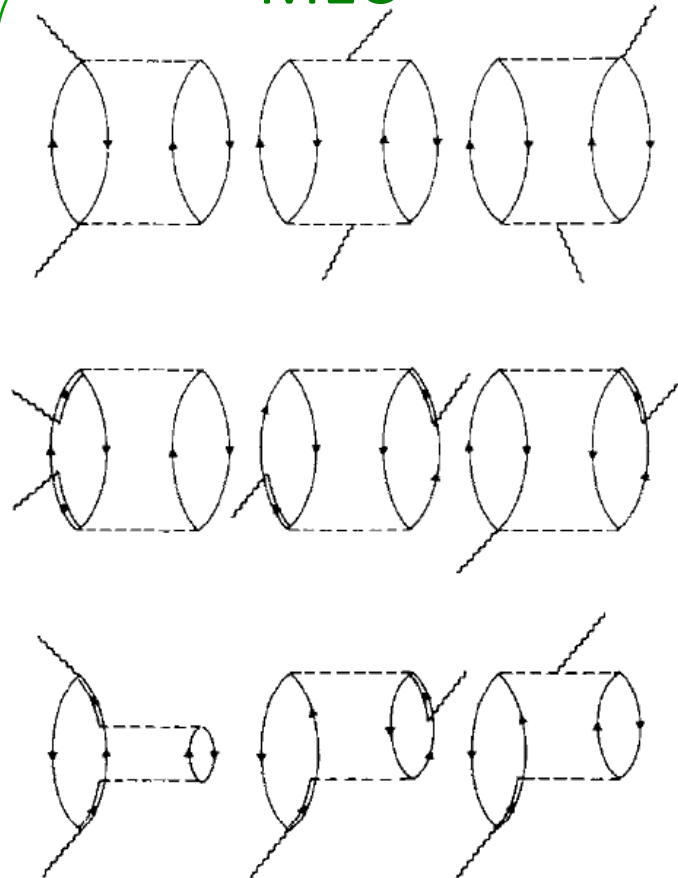
# Some diagrams for 2p-2h responses

## NN correlations



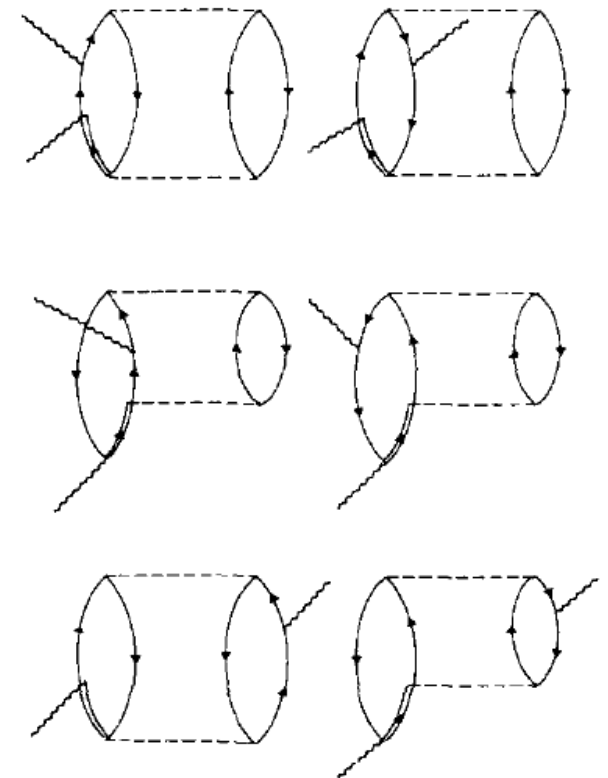
16 diagrams

## MEC



49 diagrams

## NN correlation-MEC interference



56 diagrams

# Neutrino *vs* Antineutrino

# Neutrino vs Antineutrino-nucleus cross-section

The asymmetry between neutrinos and antineutrinos interactions is important for the investigation of CP violation effects.

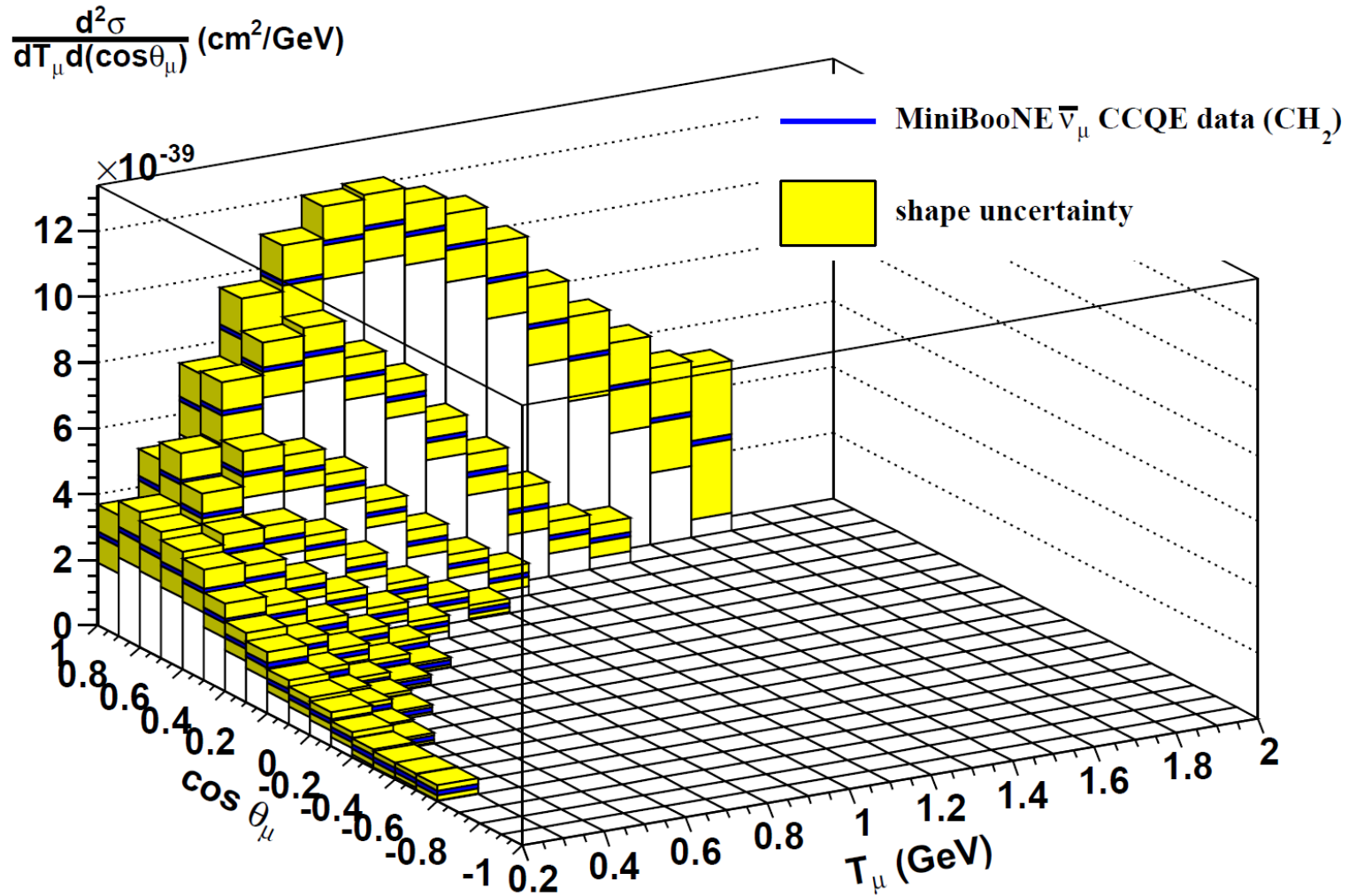
$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial k'} &= \frac{G_F^2 \cos^2 \theta_c (\mathbf{k}')^2}{2 \pi^2} \cos^2 \frac{\theta}{2} \left[ G_E^2 \left( \frac{q_\mu^2}{\mathbf{q}^2} \right)^2 \boxed{R_\tau} \right. && \text{charge nuclear response} \\
 &+ G_A^2 \frac{(M_\Delta - M_N)^2}{2 \mathbf{q}^2} \boxed{R_{\sigma\tau(L)}} && \text{isospin spin-longitudinal} \\
 &+ \left( G_M^2 \frac{\omega^2}{\mathbf{q}^2} + G_A^2 \right) \left( -\frac{q_\mu^2}{\mathbf{q}^2} + 2 \tan^2 \frac{\theta}{2} \right) \boxed{R_{\sigma\tau(T)}} \\
 &\left. \left\{ \begin{array}{l} + \quad (\nu) \quad \boxed{\pm} \\ - \quad (\bar{\nu}) \end{array} \right. 2 G_A G_M \frac{k + k'}{M_N} \tan^2 \frac{\theta}{2} \boxed{R_{\sigma\tau(T)}} \right] && \text{isospin spin-transverse} \\
 &&& \text{interference V-A}
 \end{aligned}$$

Nuclear effects generate an additional asymmetry due to the **interference term**

The relative weight of the 3 different nuclear responses ( $R_\tau$ ,  $R_{\sigma\tau(L)}$ ,  $R_{\sigma\tau(T)}$ ) is different for neutrinos and antineutrinos

# Antineutrino MiniBooNE CCQE-like $d^2\sigma$

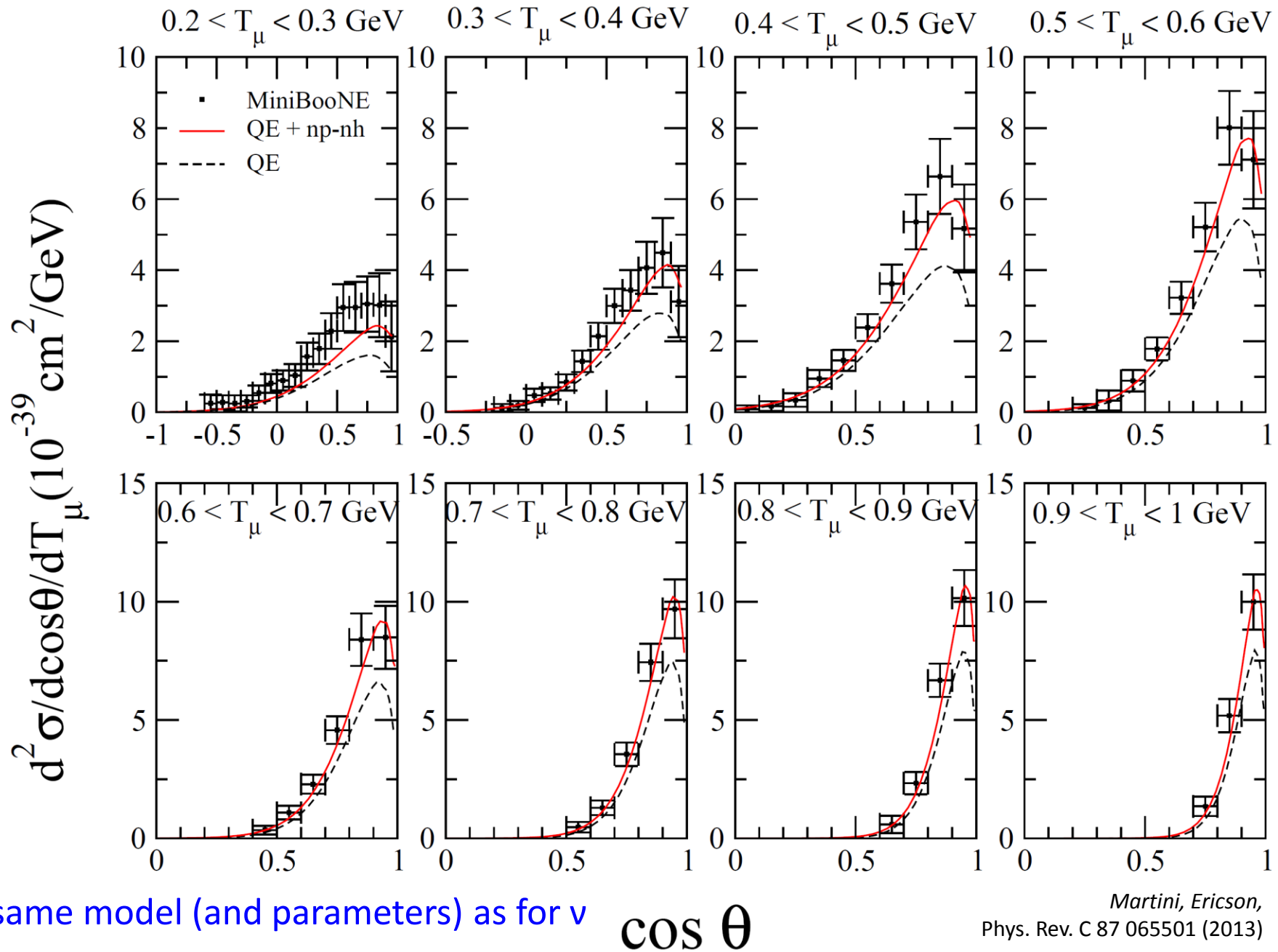
Recent Measurement



MiniBooNE, *Phys. Rev. D* 88 (2013) 032001

$\text{CH}_2$  and Carbon

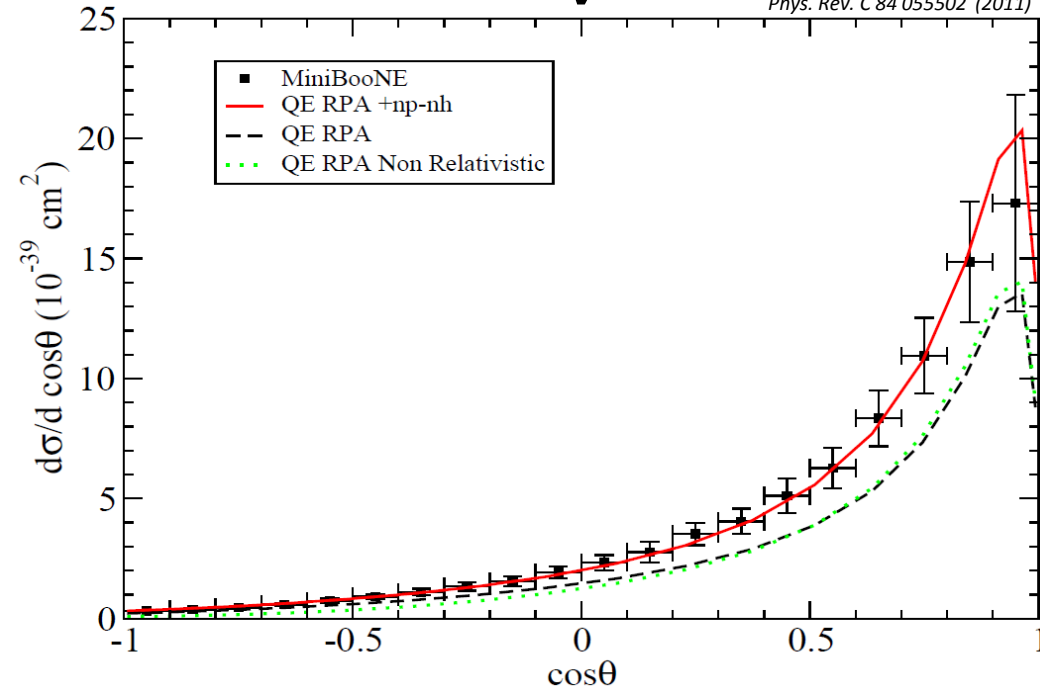
# Our results for $\bar{\nu}$



# $d\sigma/d\cos\theta$

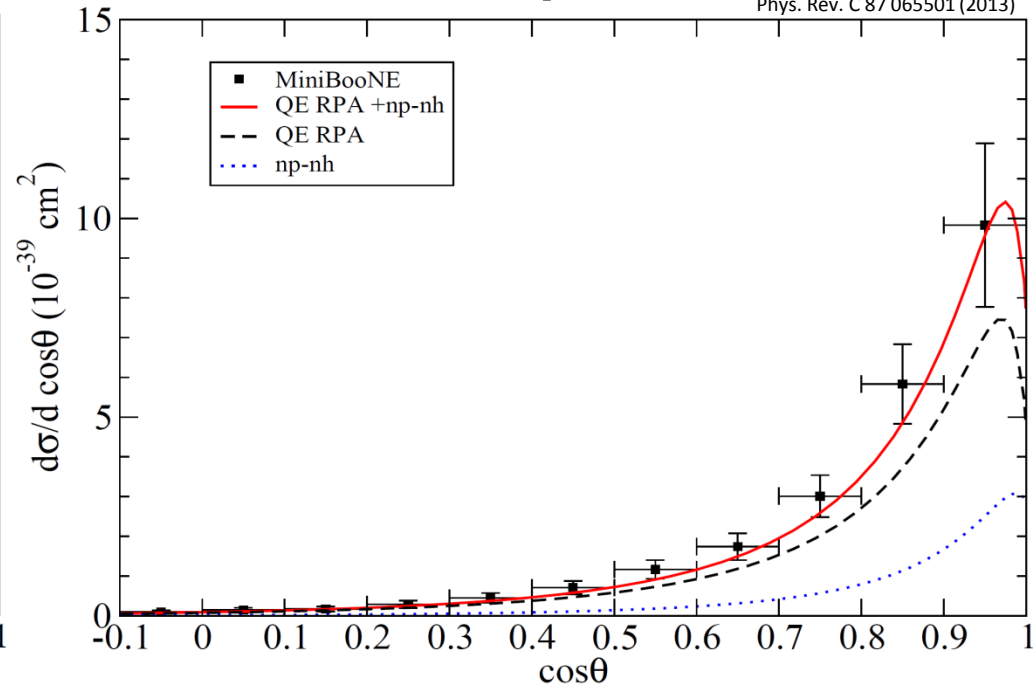
## $\nu$

Martini, Ericson, Chanfray,  
*Phys. Rev. C 84 055502 (2011)*



## $\bar{\nu}$

Martini, Ericson,  
*Phys. Rev. C 87 065501 (2013)*



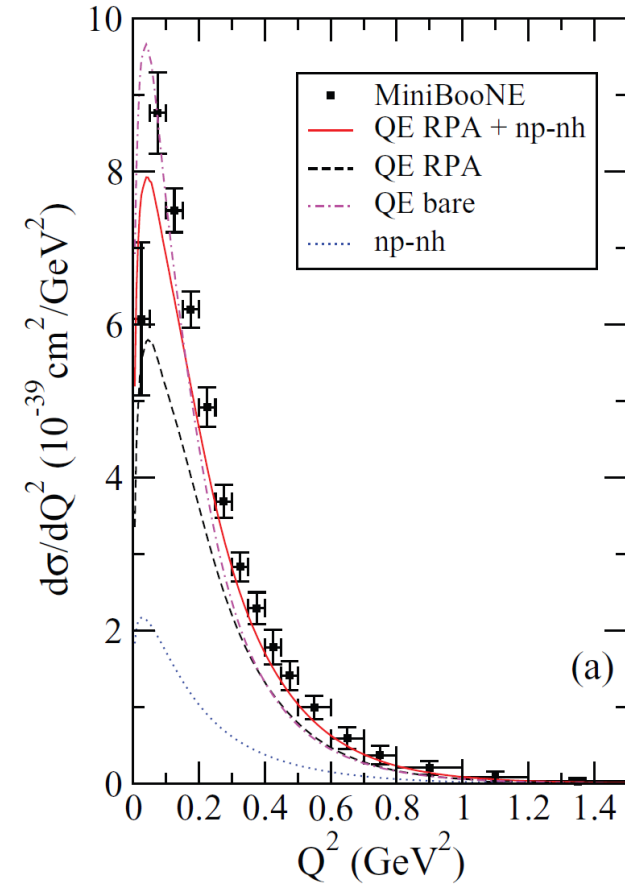
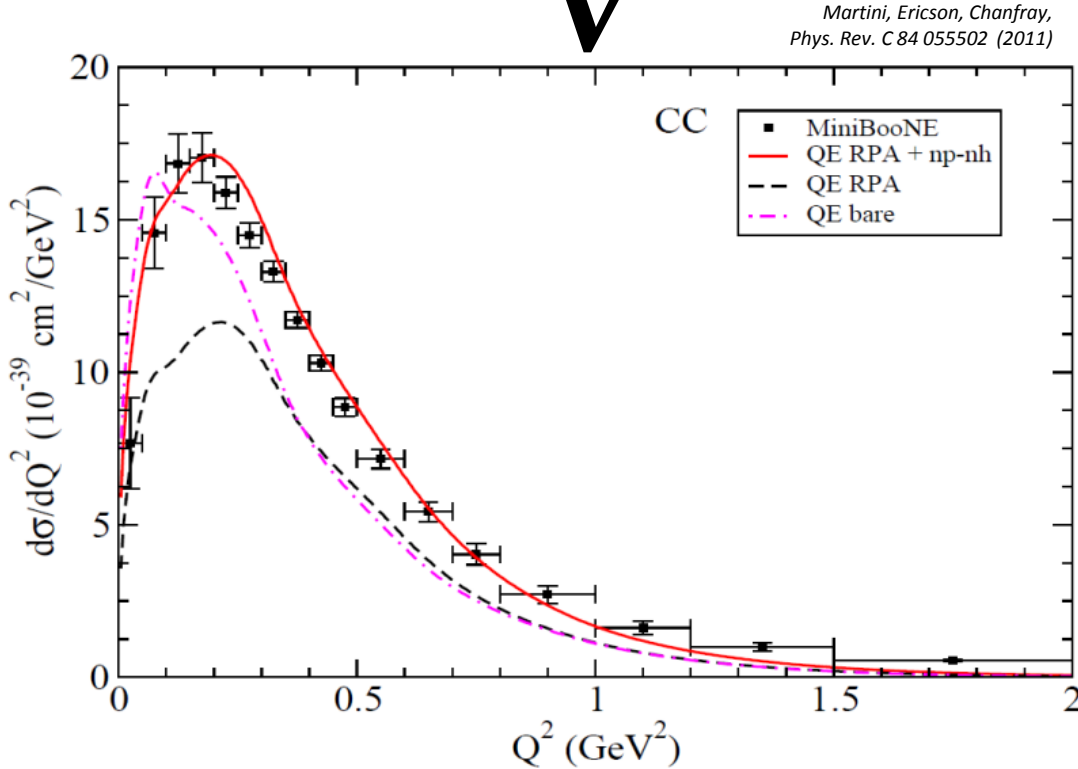
Antineutrino cross section falls more rapidly with angle than the neutrino one

# CC $Q^2$ distribution

$\bar{\nu}$

$\nu$

Martini, Ericson,  
Phys. Rev. C 87 065501 (2013)



- Antineutrino  $Q^2$  distribution peaks at smaller  $Q^2$  values than the neutrino one
- RPA effects disappears beyond  $Q^2 \geq 0.3 \text{ GeV}^2$  where the np-nh singled out

p.s. the additional normalization uncertainty in the MiniBooNE data of 10% for neutrino and of 17.2% for antineutrinos is not shown here and in the double differential cross sections



## 2p-2h contributions in the different approaches

$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial k'} &= \frac{G_F^2 \cos^2 \theta_c (\mathbf{k}')^2}{2 \pi^2} \cos^2 \frac{\theta}{2} \left[ G_E^2 \left( \frac{q_\mu^2}{q^2} \right)^2 R_{\sigma\tau} \right] \\
 &+ G_A^2 \frac{(M_\Delta - M_N)^2}{2 q^2} R_{\sigma\tau(L)} \\
 &+ \left( \underline{G_M^2} \frac{\omega^2}{q^2} + G_A^2 \right) \left( -\frac{q_\mu^2}{q^2} + 2 \tan^2 \frac{\theta}{2} \right) R_{\sigma\tau(T)} \\
 &\pm 2 G_A G_M \frac{k + k'}{M_N} \tan^2 \frac{\theta}{2} R_{\sigma\tau(T)}
 \end{aligned}$$

*M. Martini, M. Ericson, G. Chanfray, J. Marteau*

Contribution to all terms in  $G_M$  and  $G_A$   
(spin-isospin,  $\sigma \tau$  excitation operator)

*J. Nieves, I. Ruiz Simo, M.J. Vicente Vacas et al.*

all the terms

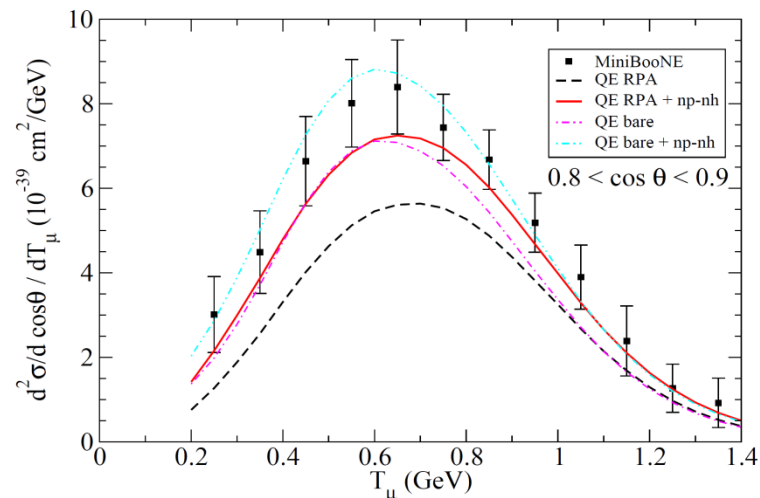
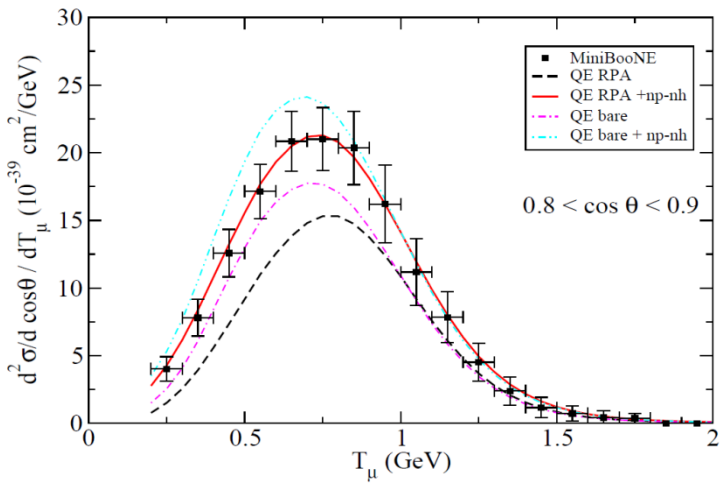
*J.E. Amaro, M.B. Barbaro, J.A. Caballero, T.W. Donnelly et al.*

only in the  $G_M^2$  term

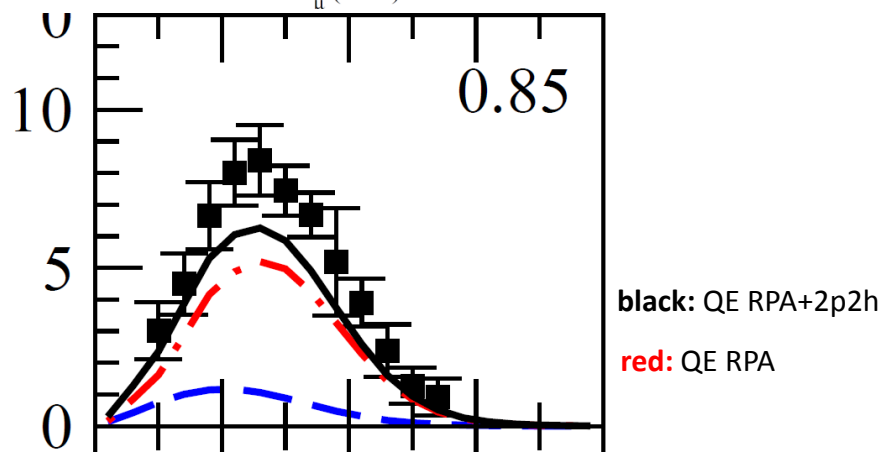
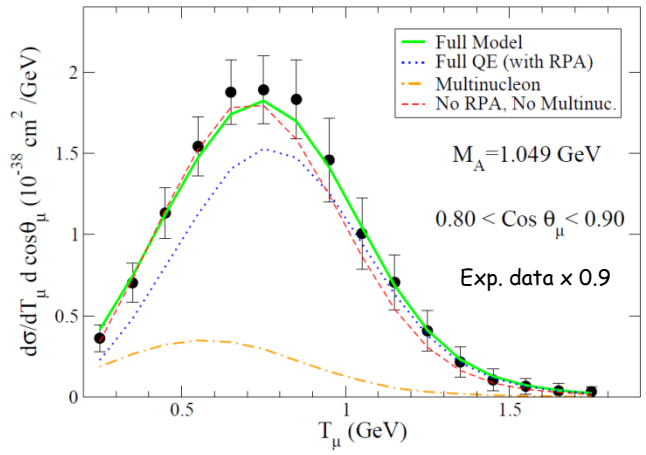
**Relative** role of 2p-2h for neutrinos and antineutrinos is different  
due to the **interference term**

# $\nu$

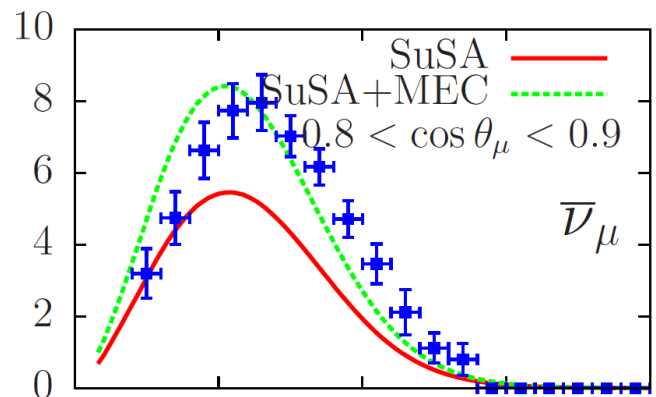
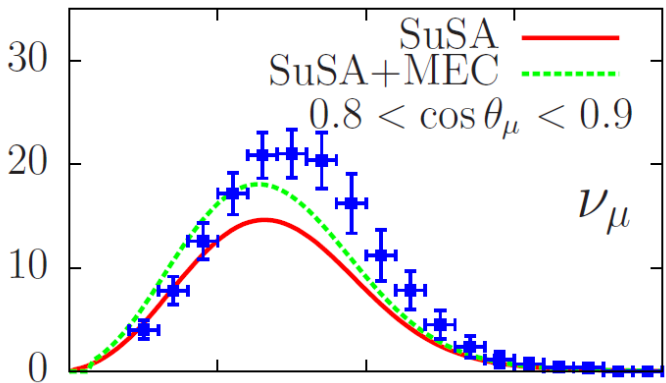
Martini et al.



Nieves et al.



Amaro et al.



# $\bar{\nu}$

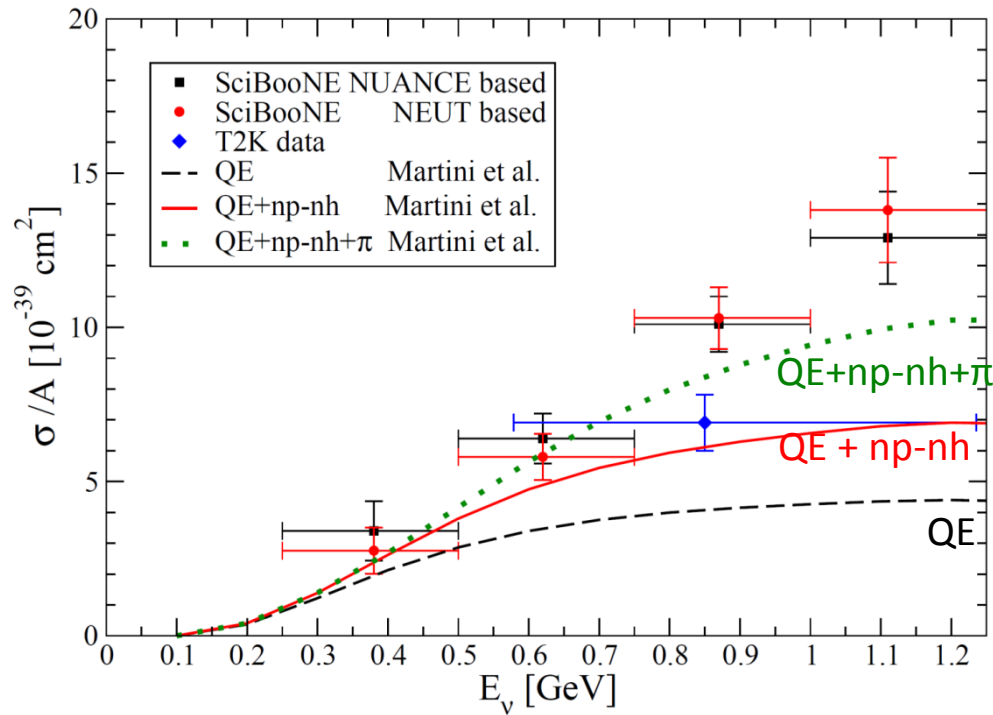
# Beyond Quasielastic

# Inclusive CC cross section on Carbon

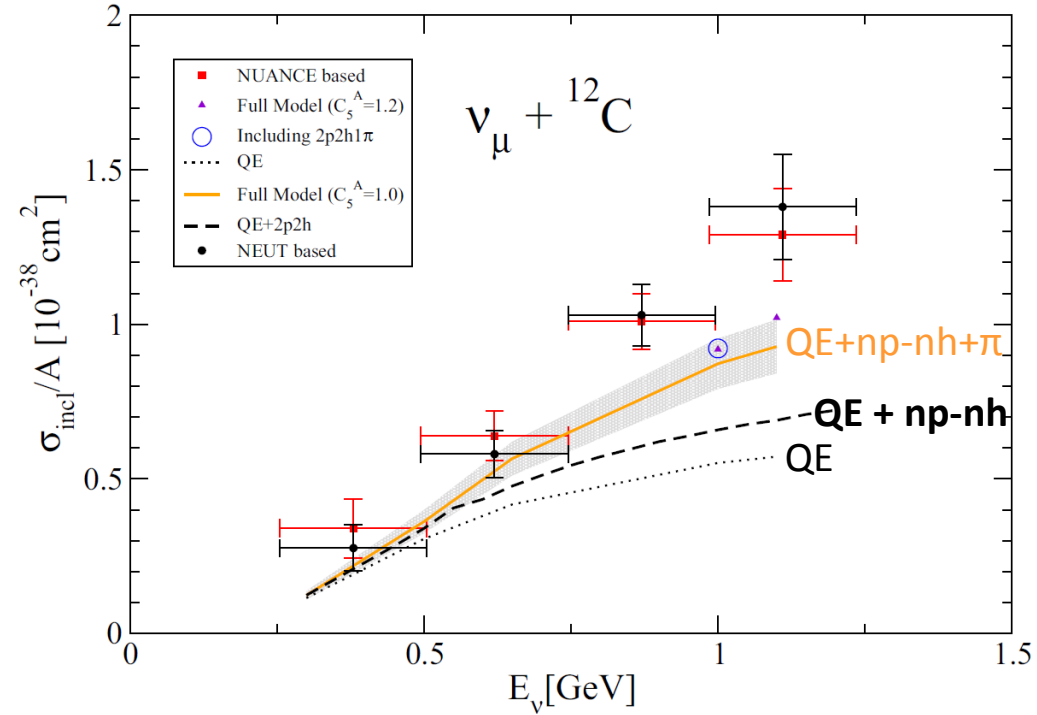
Less affected by background subtraction with respect to exclusive channels

SciBooNE, *Phys. Rev. D. 83, 012005 (2011)*

T2K, *Phys. Rev. D 87, 092003 (2013)*

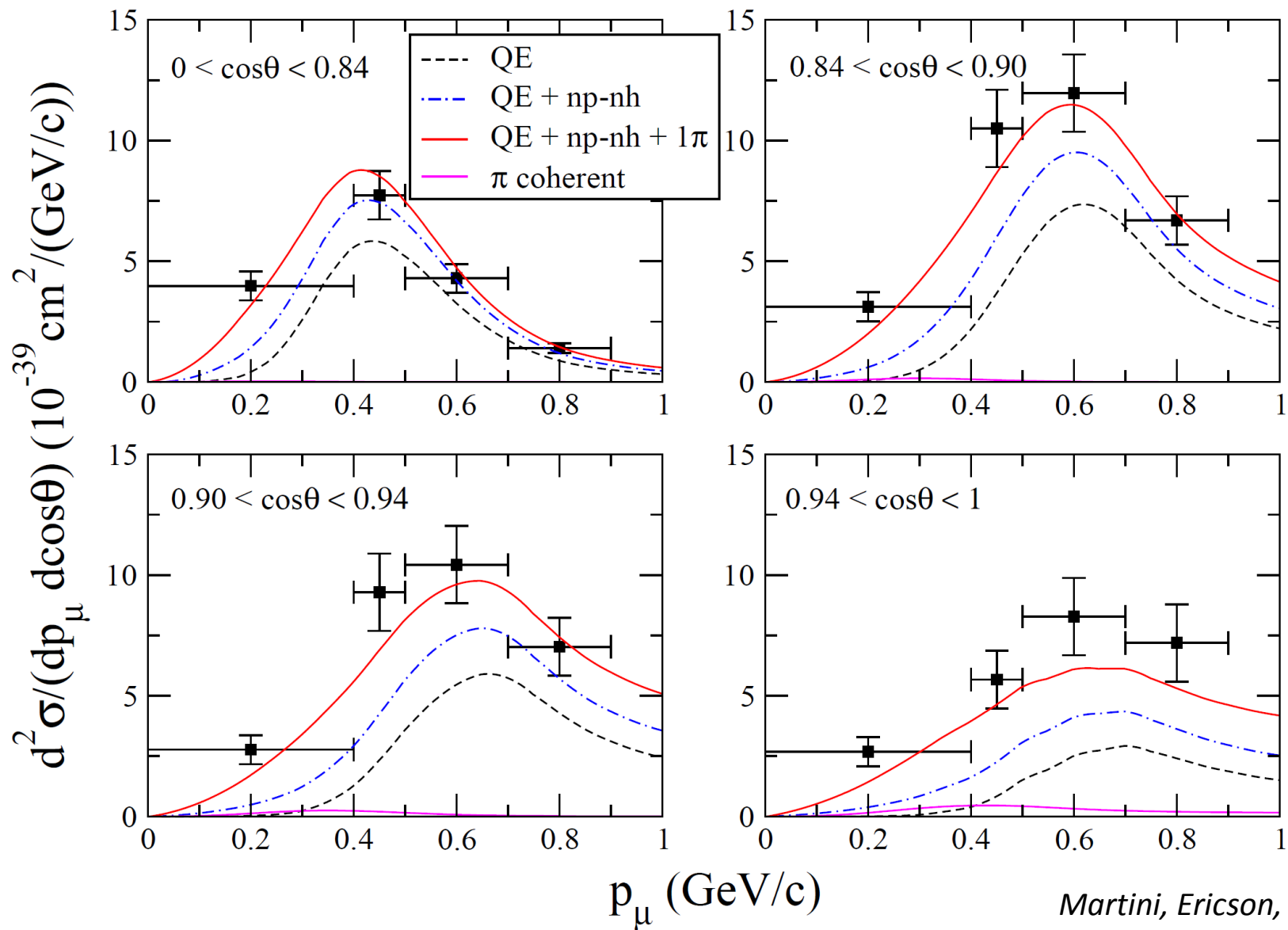


*M. Martini, M. Ericson, G. Chanfray, J. Marteau  
Phys. Rev. C 80 065501 (2009)*



*J. Nieves, I. Ruiz Simo, M.J. Vicente Vacas  
Phys. Rev. C 83 045501 (2011)*

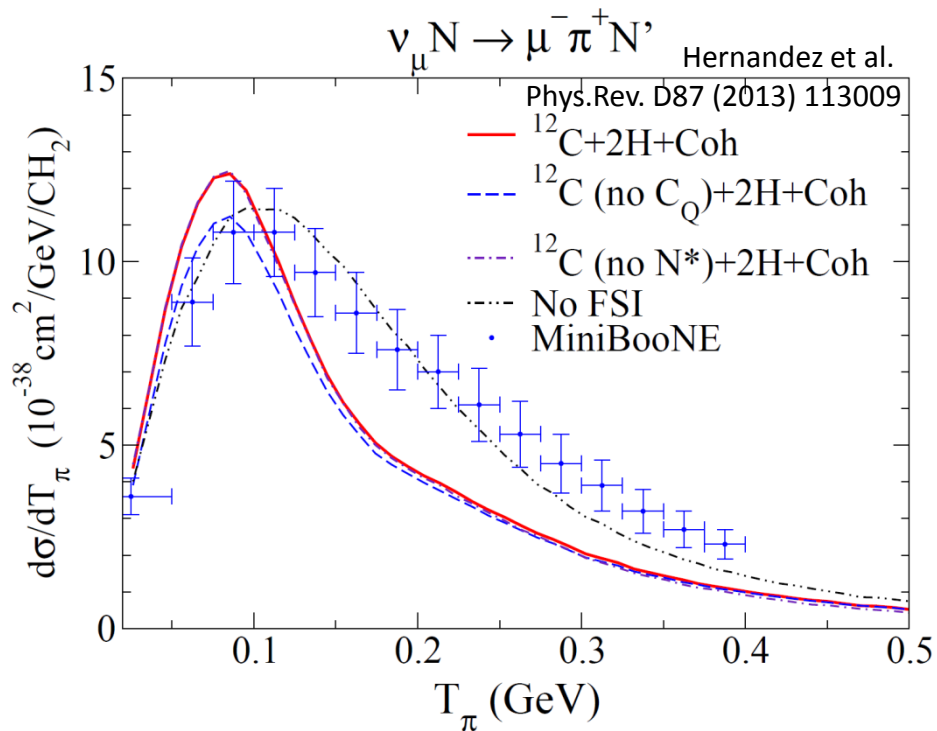
# T2K Flux-integrated inclusive double differential cross section



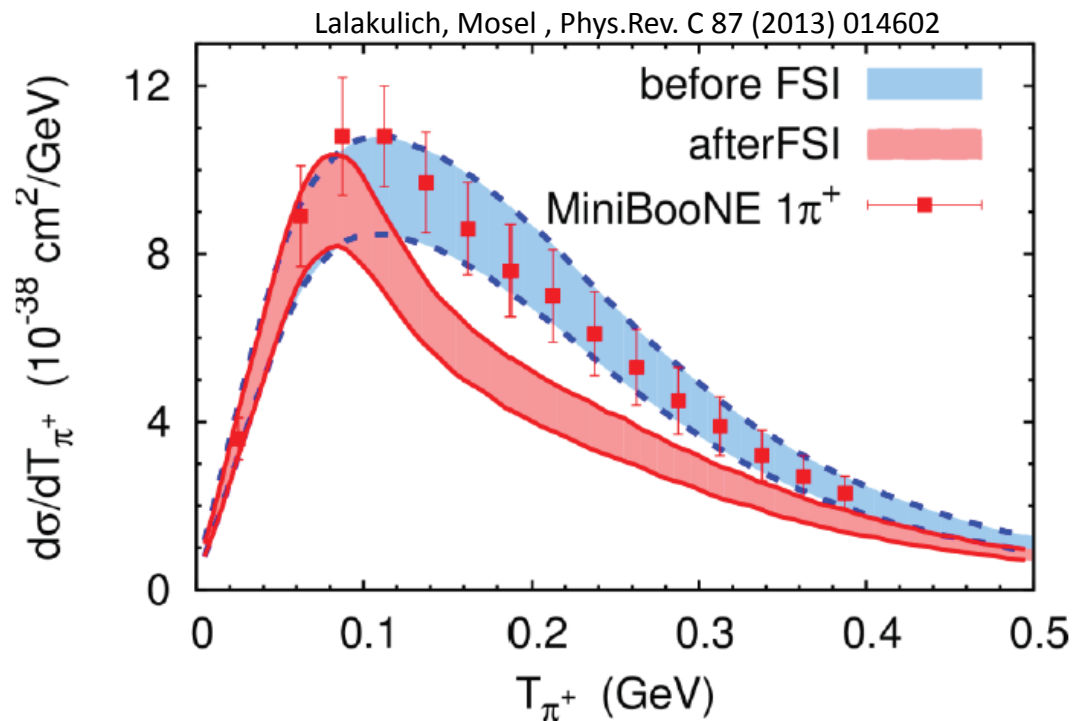
Martini, Ericson,  
to be submitted

# 1 Pion production controversy

Best theories (with  $\Delta$  medium effects and pion rescattering) do not agree with pion KE spectrum



Valencia

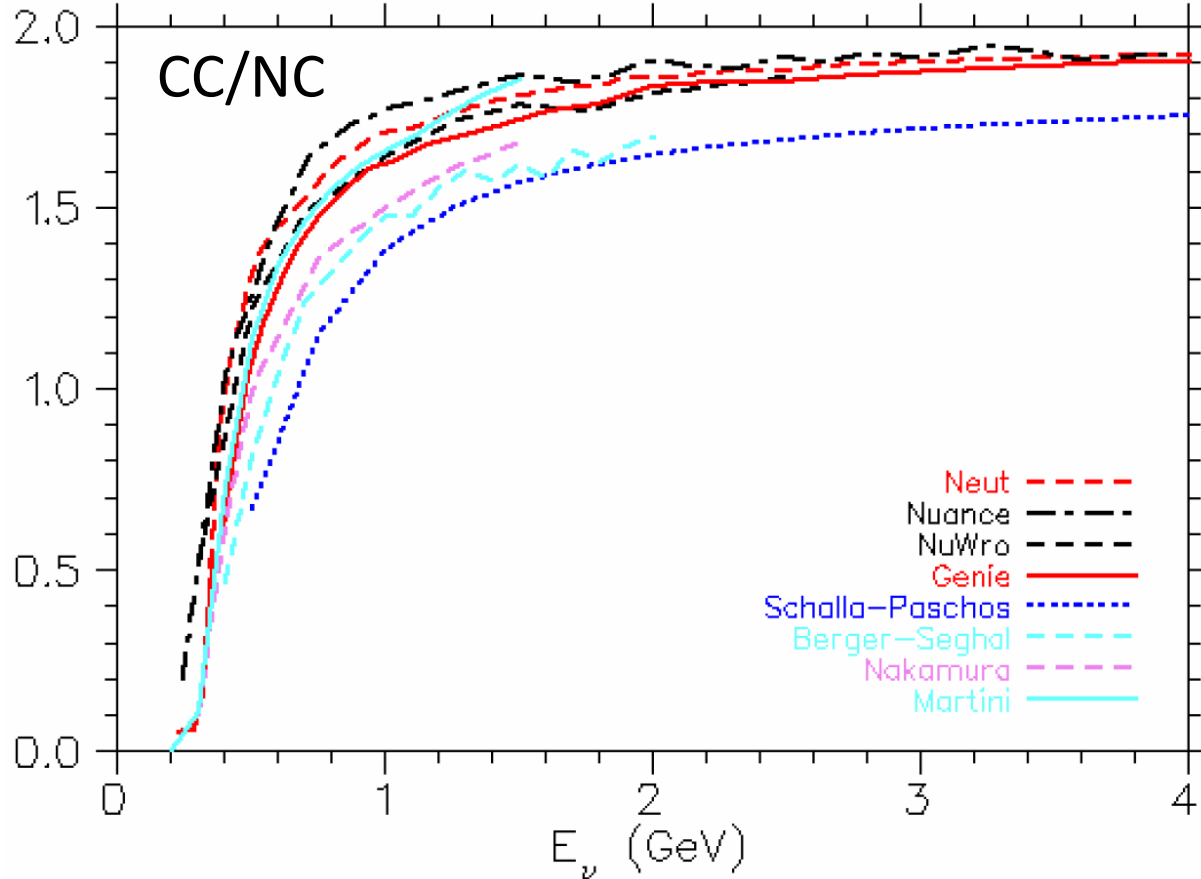


GiBUU

Data prefer calculations with no Final State Interaction for the pion

# Coherent puzzle

Boyd S. et al. AIP Conf. Proc. 1189 60 (2009)



Discrepancy between Monte Carlo and microscopic models

SciBooNE:

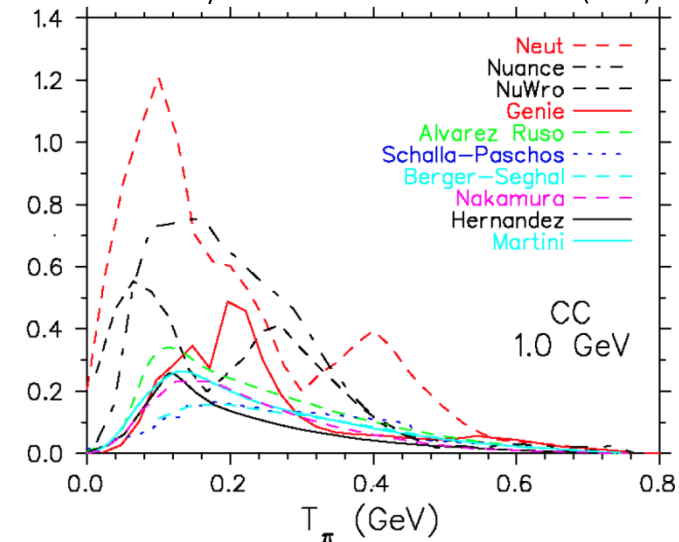
$$\frac{\pi^+ \text{ coh. CC}}{\pi^0 \text{ coh. NC}} = 0.14^{+0.30}_{-0.28}$$

Kurimoto et al, PRD 81 (2010)

Theoretical models:

$$\frac{\pi^+ \text{ coh. CC}}{\pi^0 \text{ coh. NC}} = 1.5 \sim 2 !!$$

Boyd S. et al. AIP Conf. Proc. 1189 60 (2009)



# Neutrino energy reconstruction problems and neutrino oscillations

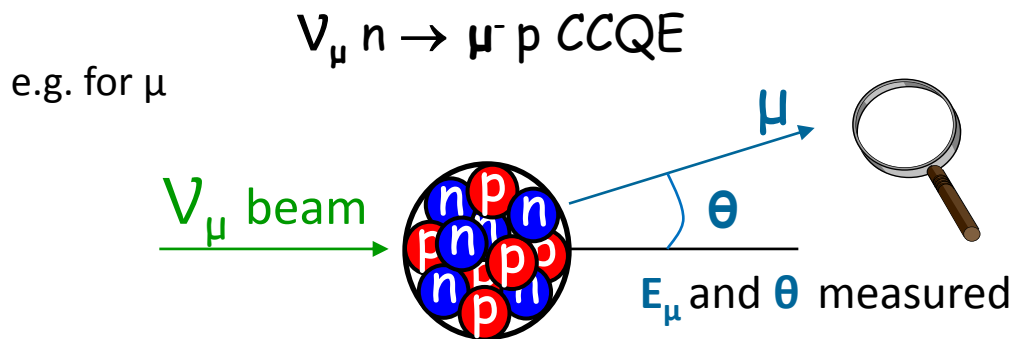


# Towards the neutrino oscillation physics

Neutrino oscillation experiments require the determination of the neutrino energy which enters the expression of the oscillation probability.

The neutrino energy is unknown. We know only broad fluxes.

The determination of the neutrino energy is done through Charged Current QuasiElastic events.



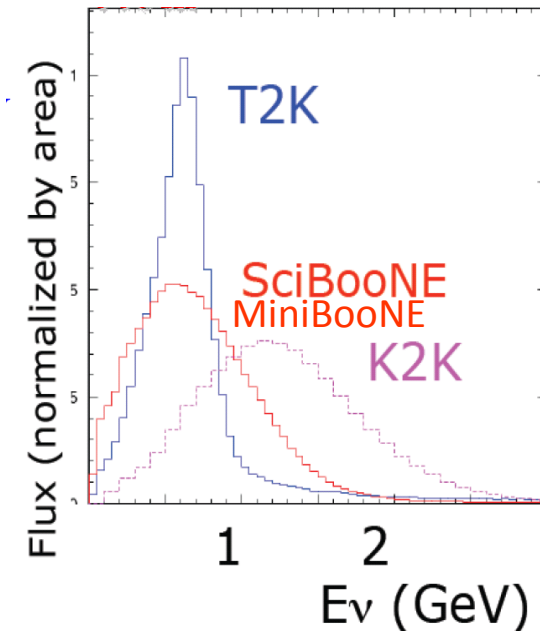
Reconstructed neutrino energy

$$\overline{E_\nu} = \frac{E_\mu - m_\mu^2 / (2M)}{1 - (E_\mu - P_\mu \cos \theta) / M}$$

via two-body kinematics

$\overline{E_\nu} = E_\nu$  is exact only for CCQE with free nucleon

reconstructed neutrino energy  $\overline{E_\nu}$   $\longleftrightarrow$  ?  $\longleftrightarrow$   $E_\nu$  true neutrino energy

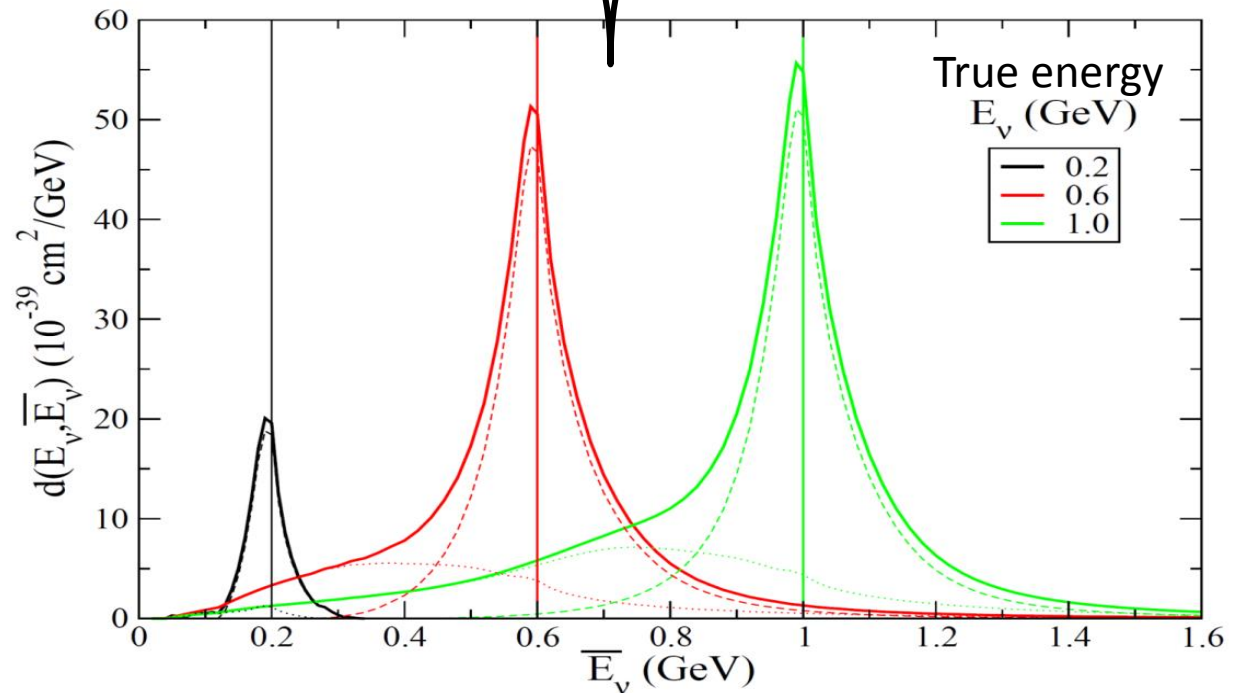


# From true neutrino energy to reconstructed neutrino energy

Probability energy distribution ( $E_\nu, \bar{E}_\nu$ )

$$D_{rec}(\bar{E}_\nu) = \int dE_\nu \Phi(E_\nu) \int_{E_l^{min}}^{E_l^{max}} dE_l \frac{M E_l - m_l^2/2}{\bar{E}_\nu^2 P_l} \left[ \frac{d^2\sigma}{d\omega d\cos\theta} \right]_{\omega=E_\nu-E_l, \cos\theta=\cos\theta(E_l, \bar{E}_\nu)}$$

The quantity  $D_{rec}(\bar{E}_\nu)$  corresponds to the product  $\sigma(E_\nu)\Phi(E_\nu)$  but in terms of reconstructed neutrino energy

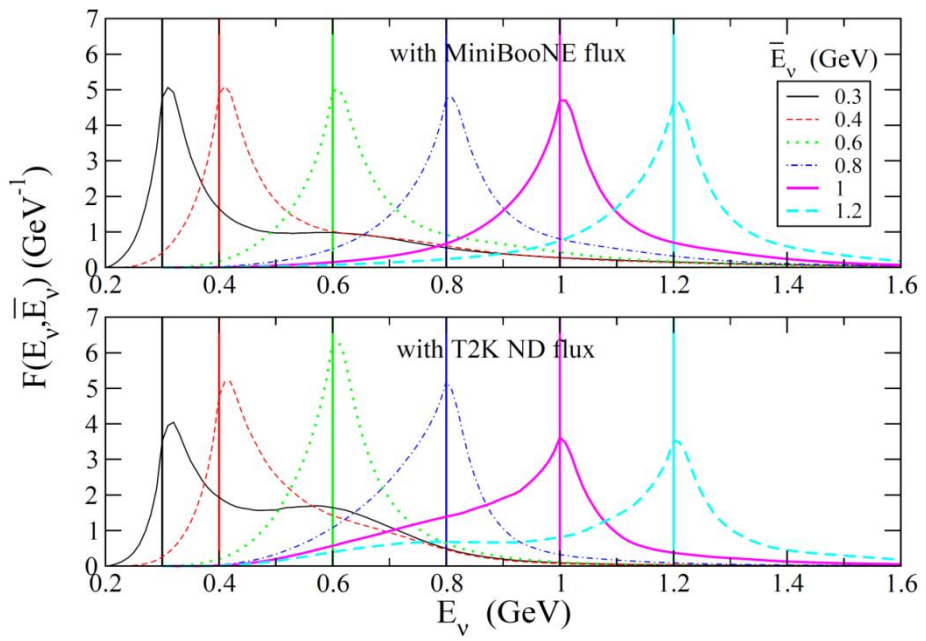


Crucial role of np-nh: low energy tail

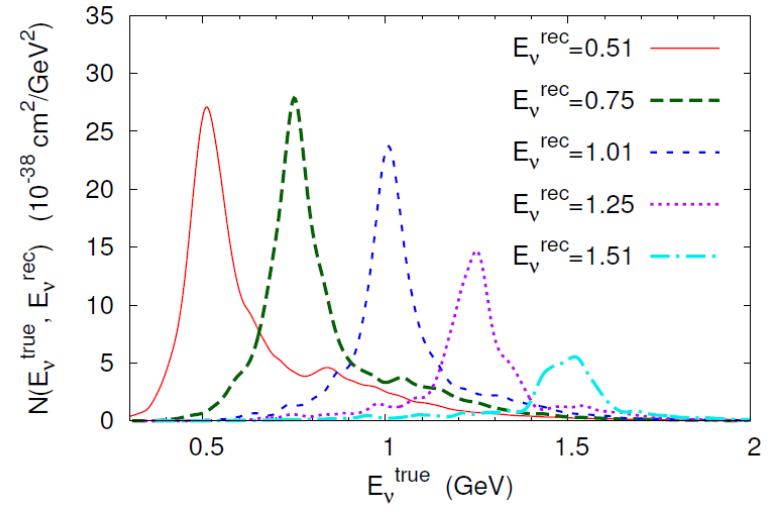
M. Martini, M. Ericson, G. Chanfray  
 - *Phys. Rev. D* 85 093012 (2012)  
 - *Phys. Rev. D* 87 013009 (2013)

# Viceversa: distributions in terms of true $E_\nu$ for fixed values of reconstructed $\bar{E}_\nu$

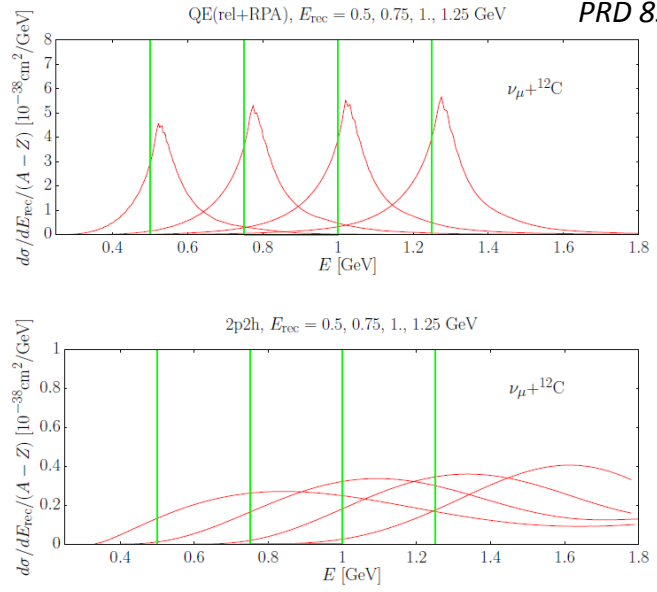
Martini, Ericson, Chanfray, PRD 85 093012 (2012)



O. Lalakulich, U. Mosel, K. Gallmeister PRC 86 054606 (2012)



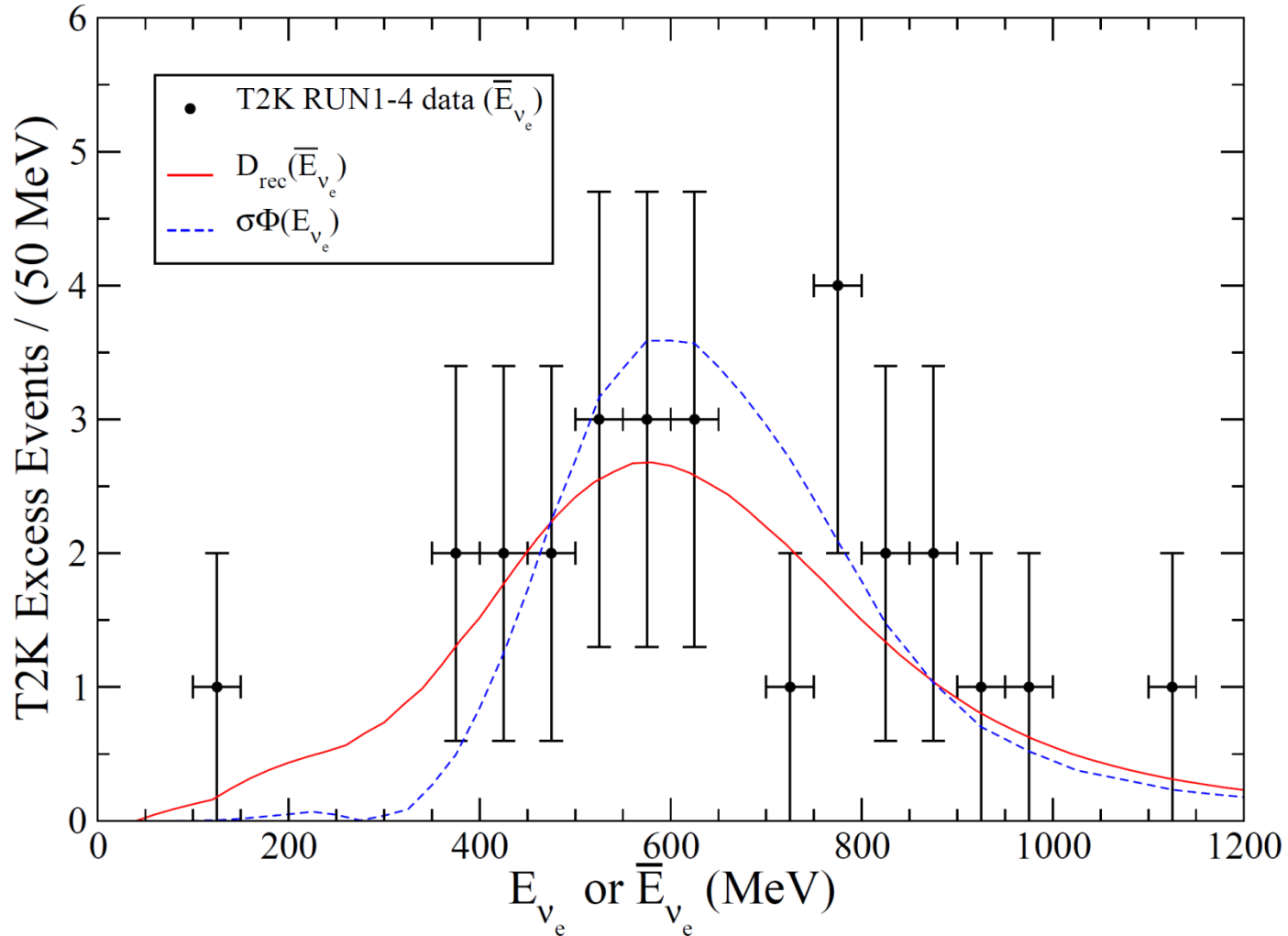
J. Nieves, F. Sanchez, I. Ruiz Simo, M.J. Vicente Vacas PRD 85 113008 (2012)



- The distributions are not symmetrical around  $\bar{E}_\nu$ .
- The asymmetry favors higher energies at low  $\bar{E}_\nu$  and smaller energies for large  $\bar{E}_\nu$ .
- Crucial role of neutrino flux.

# Application to $\nu$ oscillation analysis

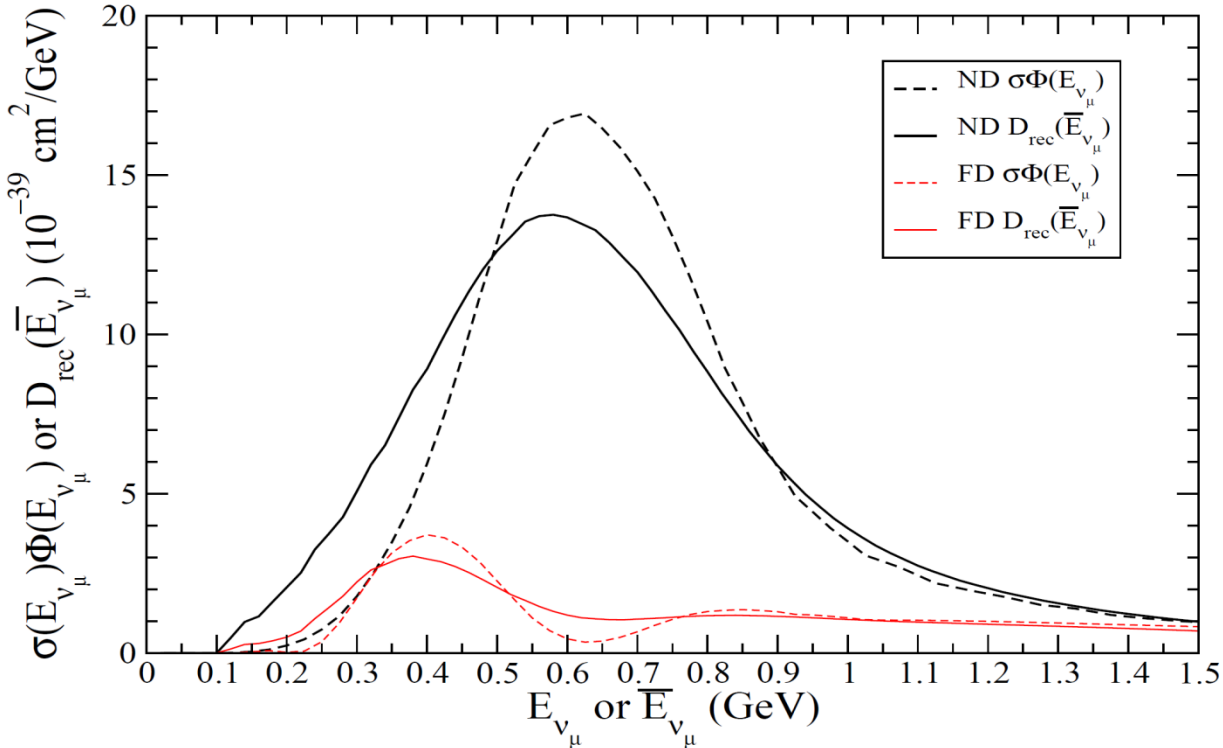
2013: 28 events



The reconstruction correction tends to make events leak outside the high flux region, especially towards the low energy side

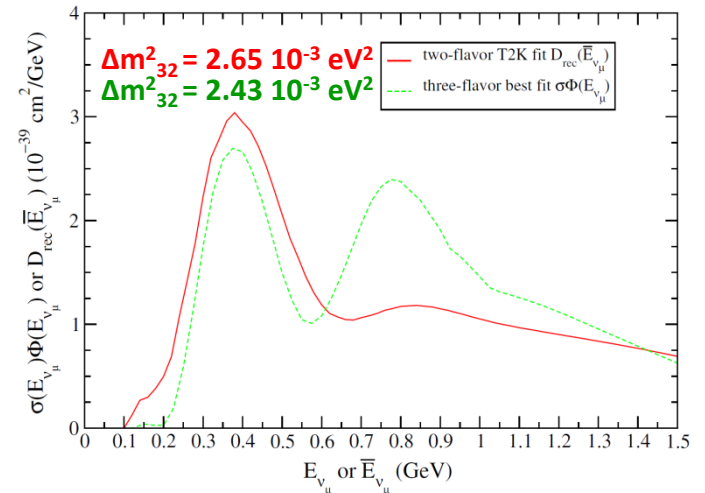
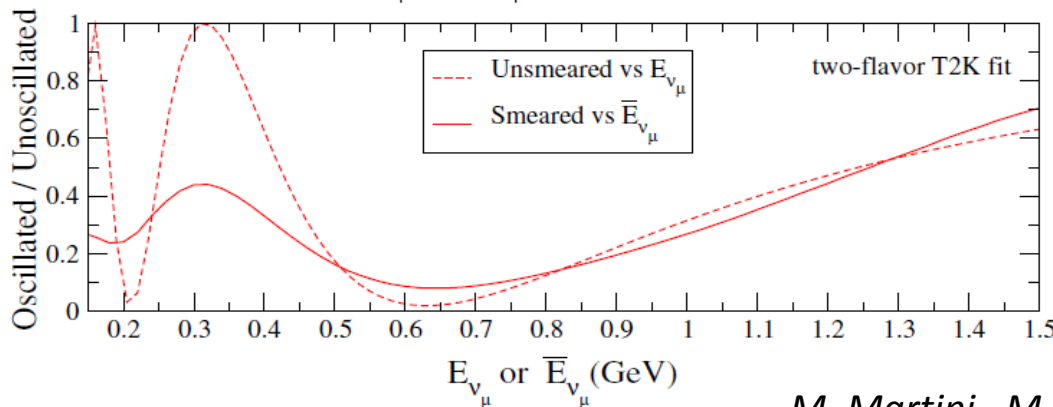
# $\nu_\mu$ disappearance T2K

PRD85 (2012); 1308.0465 (2013)



After reconstruction:

- Near Detector:  
clear low energy enhancement
  - Far Detector:  
low energy tail and  
the middle hole is largely filled
- Effects largely due to np-nh



$E_\nu$  reconstruction leads to an increase of oscillation mass parameters

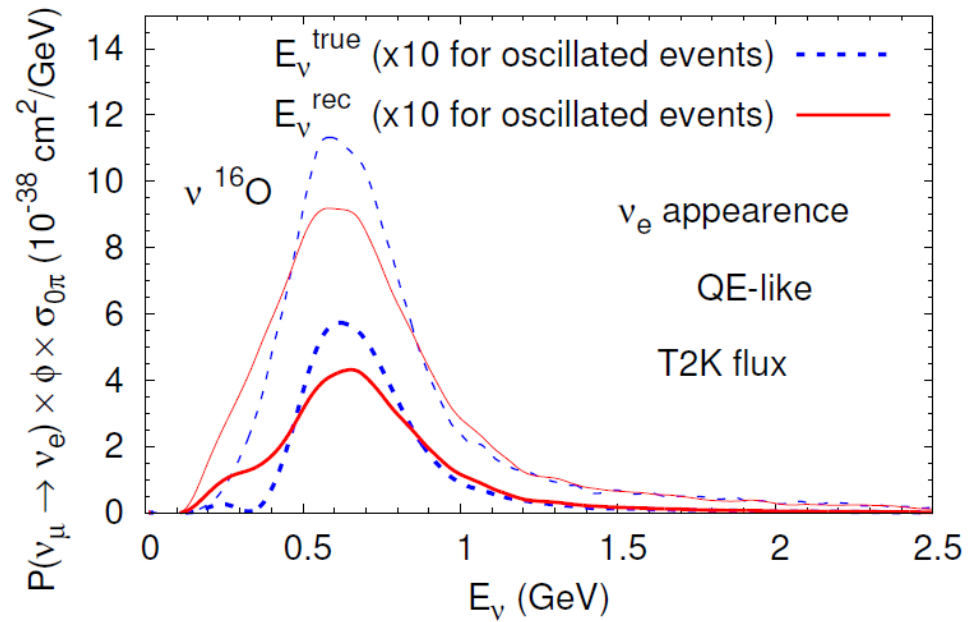
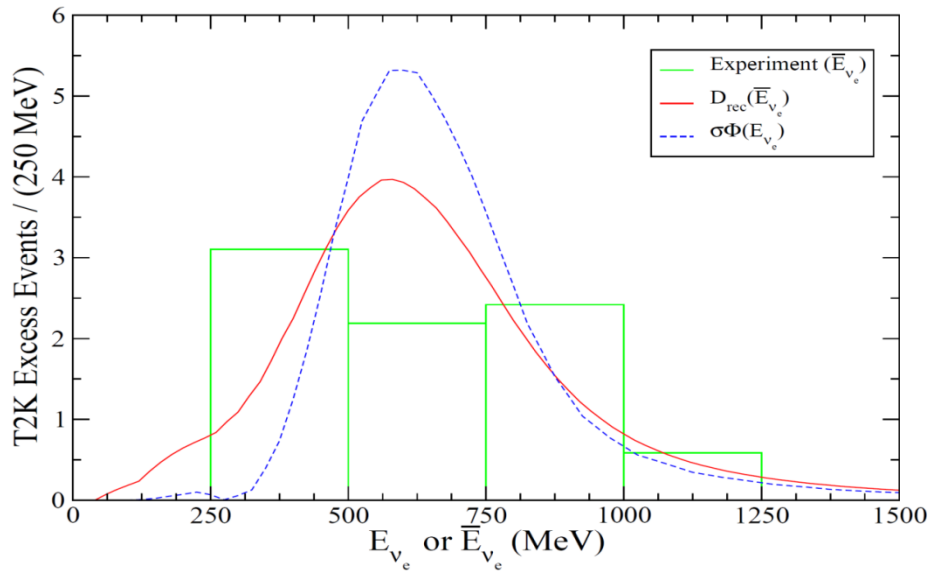
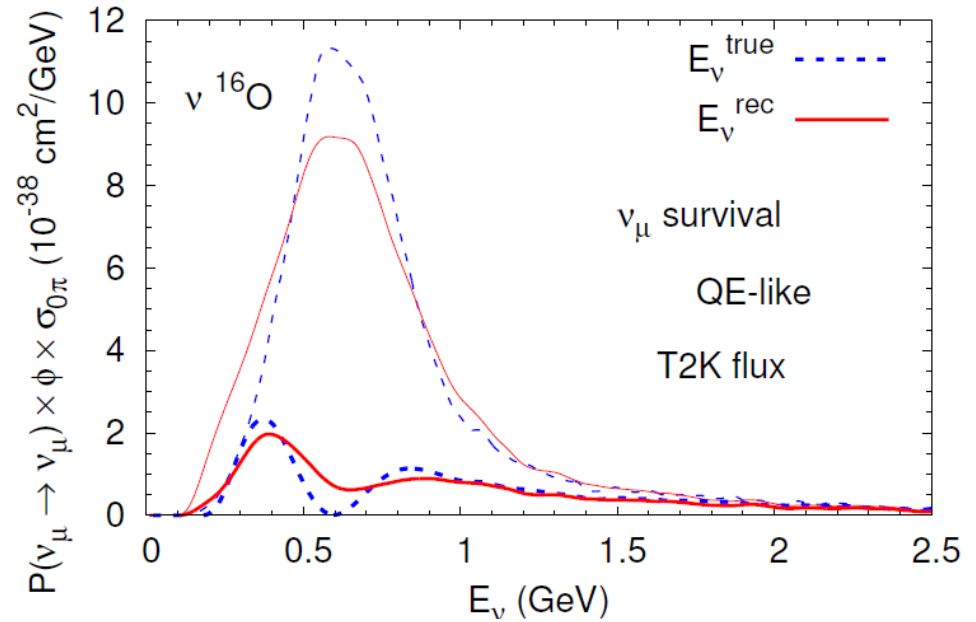
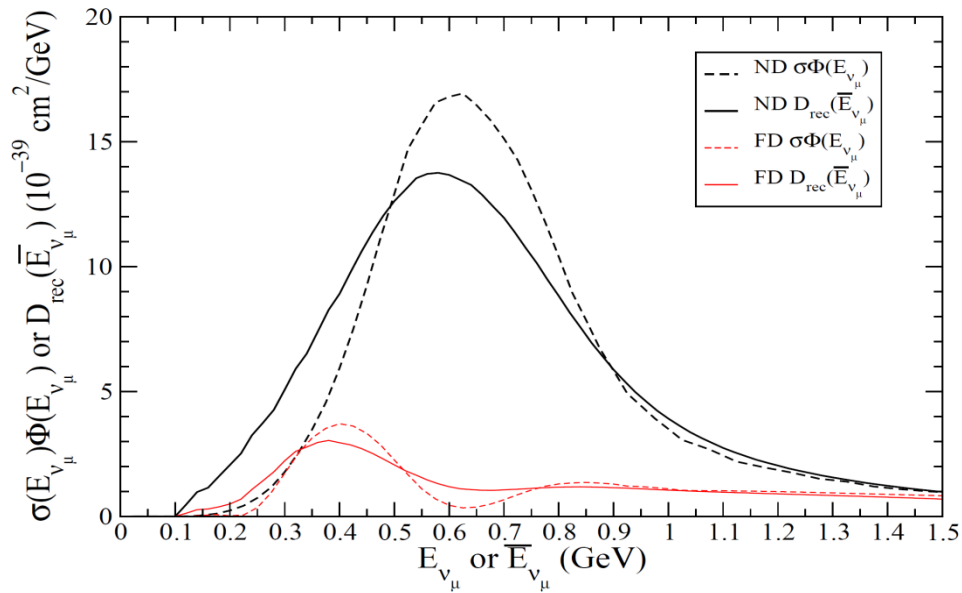
M. Martini, M. Ericson, G. Chanfray, PRD 87 013009 (2013)

Similar results in: O. Lalakulich, U. Mosel, K. Gallmeister, PRC 86 054606 (2012)

8/1/2014

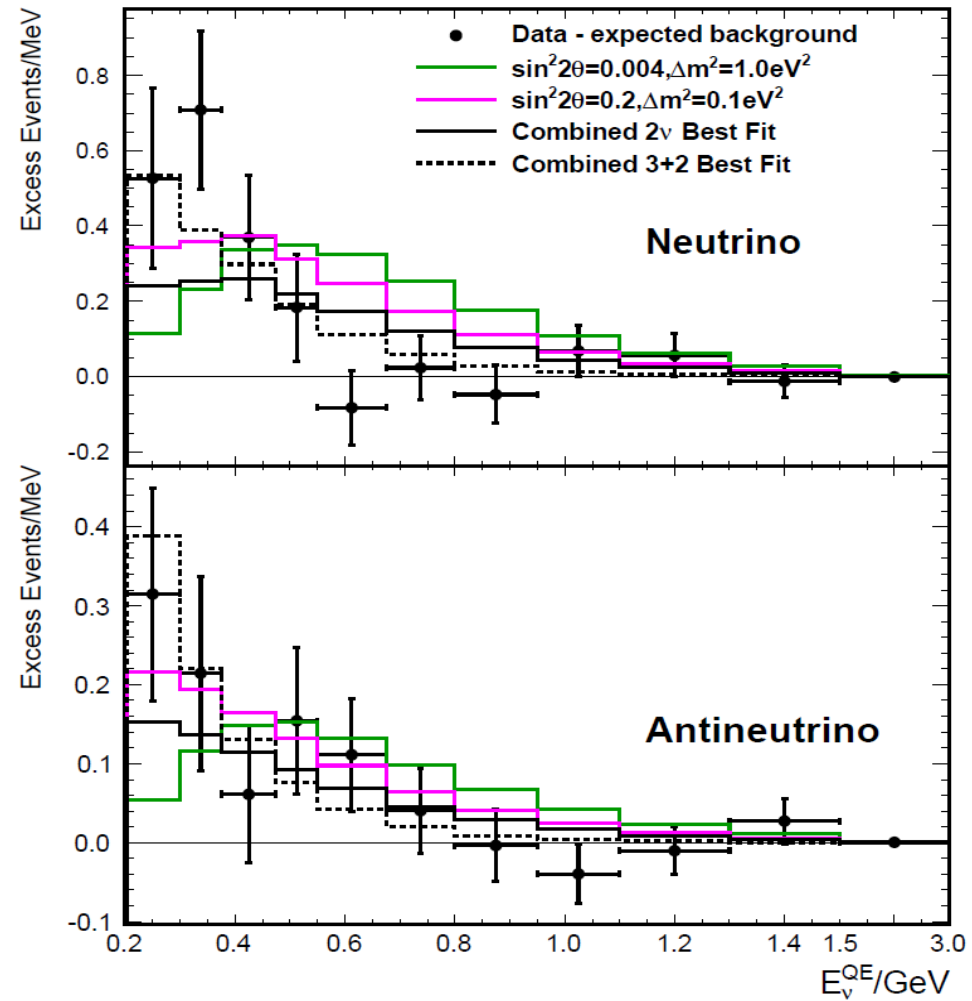
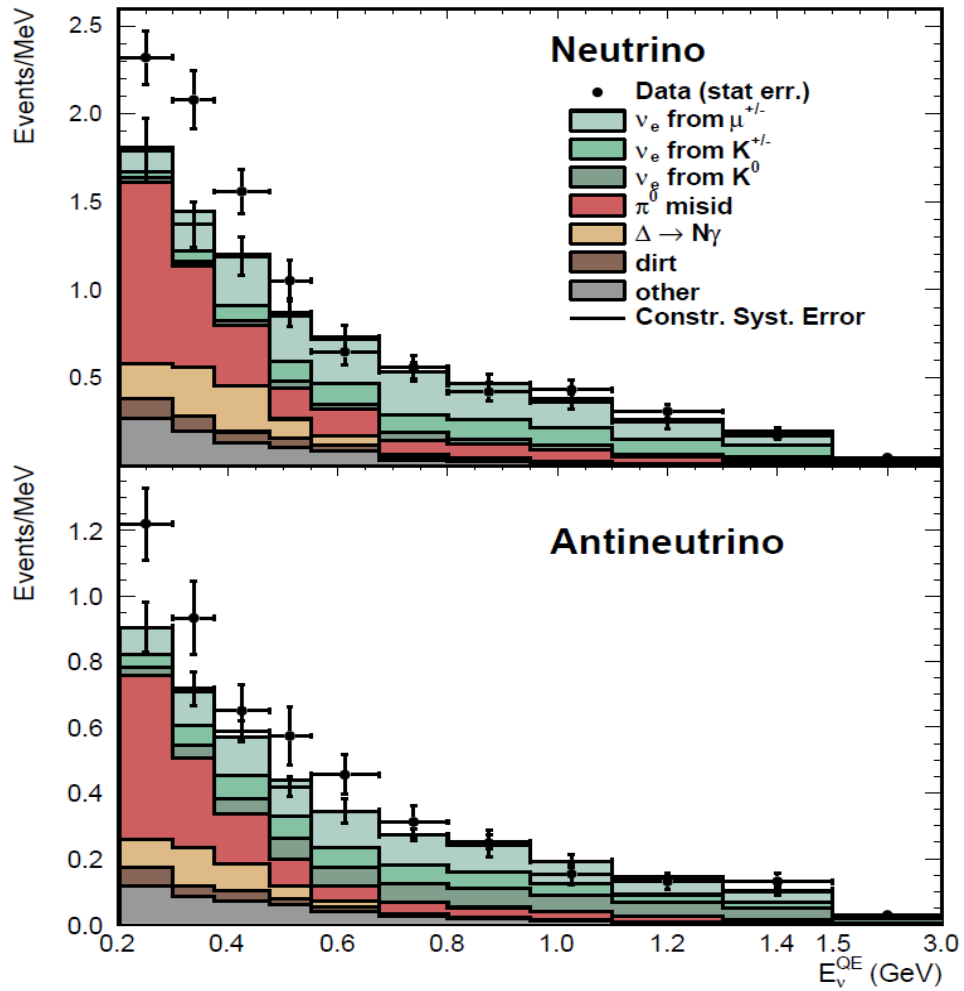
M. Martini, ICFA Neutrino APC

42



# $\nu_\mu \rightarrow \nu_e$ MiniBooNE

PRL 98 (2007), PRL 102 (2009), PRL 105 (2010), PRL 110 (2013)



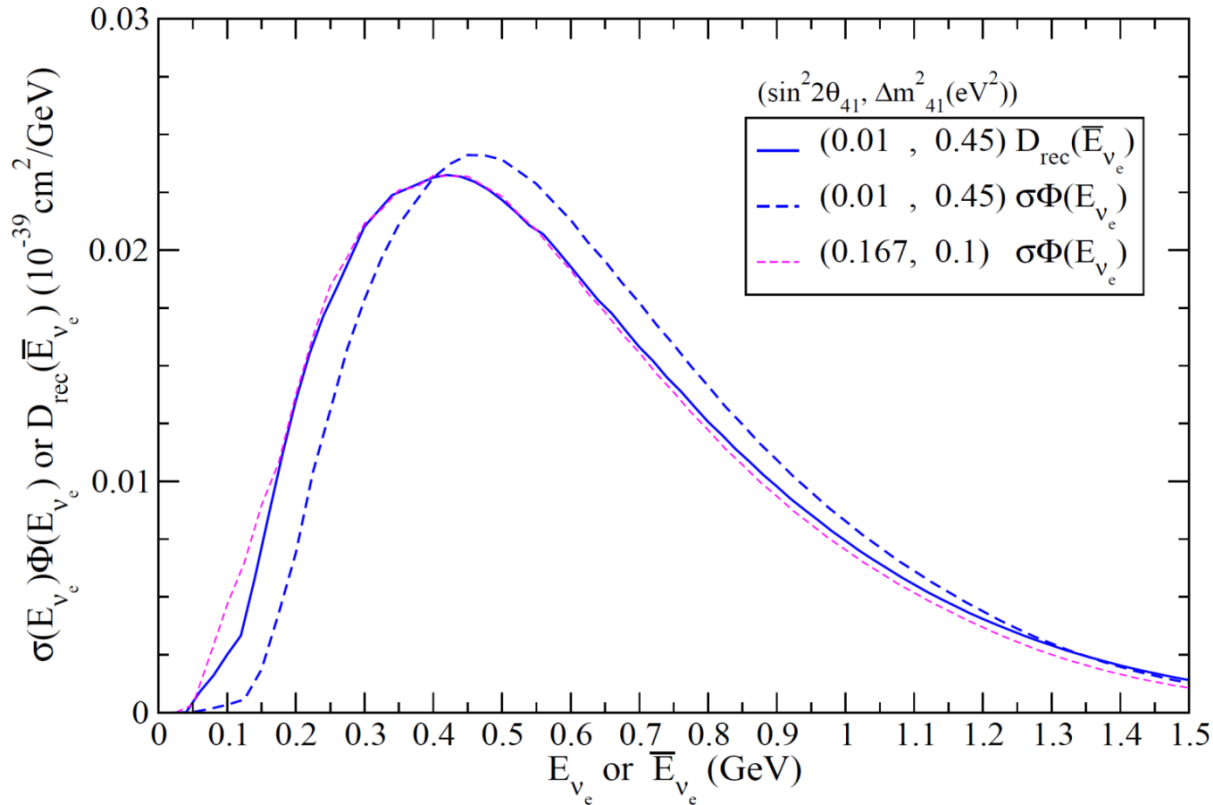
MiniBooNE Anomaly: Excess of events at low energies

Sterile neutrino??



# Taking into account the smearing procedure

M. Martini, M. Ericson, G. Chanfray, PRD 87 013009 (2013)



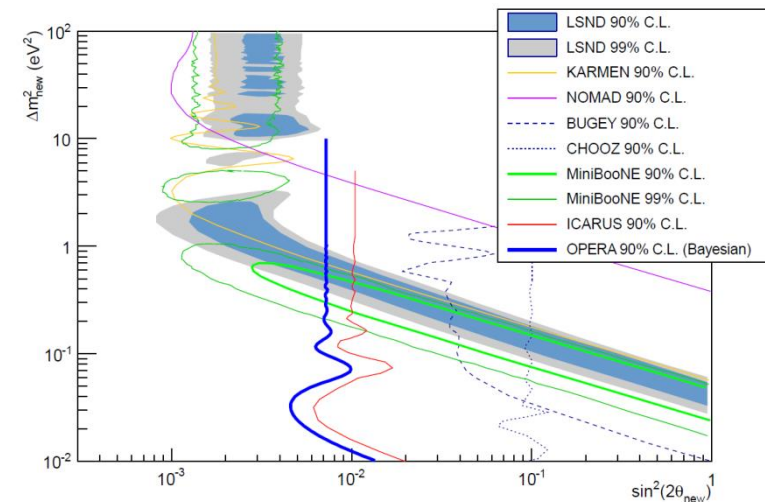
A large mass value allows the same quality of fit of data than is obtained in the unsmeared case with a much smaller mass.

The energy reconstruction leads to an increase of the oscillation mass parameters



**Gain for the compatibility with the existing constraints**

OPERA, JHEP 1307 (2013) 004,  
Addendum-ibid. 1307 (2013) 085



# Nuclear effects in neutrino interactions

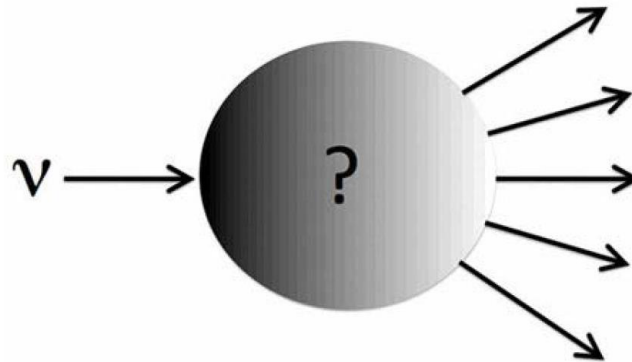
## Summary and conclusions

- Quasielastic  $\sigma$ ,  $d^2\sigma/(dT_\mu d\cos\theta)$ ,  $d\sigma/dQ^2$  measured by MiniBooNE and inclusive  $\sigma$  (SciBooNE),  $d^2\sigma/(dp_\mu d\cos\theta)$  (T2K) can be explained without any modification of  $M_A$  including the np-nh channel
- Several theoretical calculations agree on the crucial role of the multinucleon channel in order to explain data but there are some differences on the way to treat this channel
- Nuclear effects generate an asymmetry between  $\bar{\nu}$  and  $\nu$  interaction: important for the investigation of CP violation effects
- Neutrino energy reconstruction and neutrino oscillation analysis are affected by np-nh
- Neutrino energy reconstruction requires reliable event generators, of same quality as experimental equipment
- Precision era of neutrino physics requires sophisticated generators and a dedicated effort in theory

# Latest workshop

INT December 3-13, 2013

## Neutrino-Nucleus Interactions for Current and Next Generation Neutrino Oscillation Experiments



<http://www.int.washington.edu/PROGRAMS/13-54w/>

## Next workshop

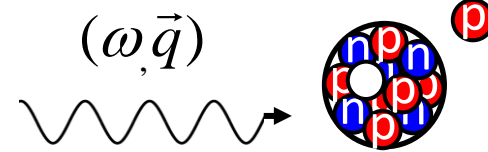
NuInt 14

9th International Workshop on Neutrino-Nucleus Interactions in the Few GeV Region

19-24 May 2014 - London

# Spares

# Genuine Quasielastic Scattering



## Nucleon-Nucleon interaction switched off

Nucleons respond individually

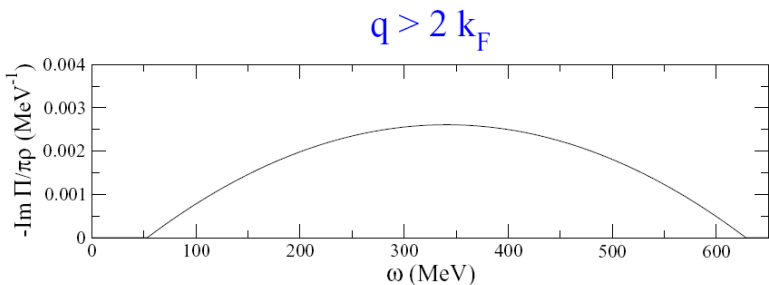
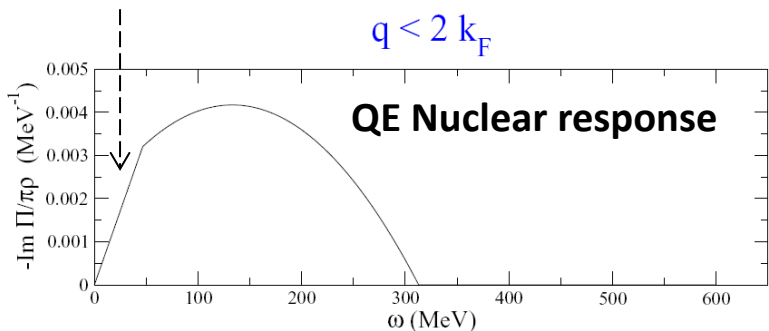
Nucleon at rest:

$$R \propto \delta\left(\omega - \left(\sqrt{q^2 + M^2} - M\right)\right)$$

Nucleon inside the nucleus:

**Fermi motion** spreads  $\delta$  distribution (**Fermi Gas**)

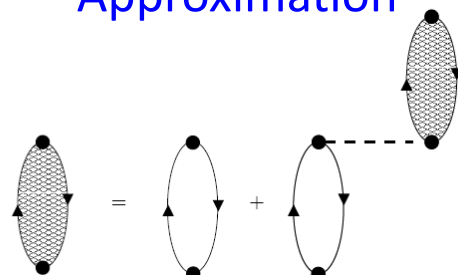
**Pauli blocking** cuts part of the low momentum Resp.



## Nucleon-Nucleon interaction switched on

The nuclear response becomes collective

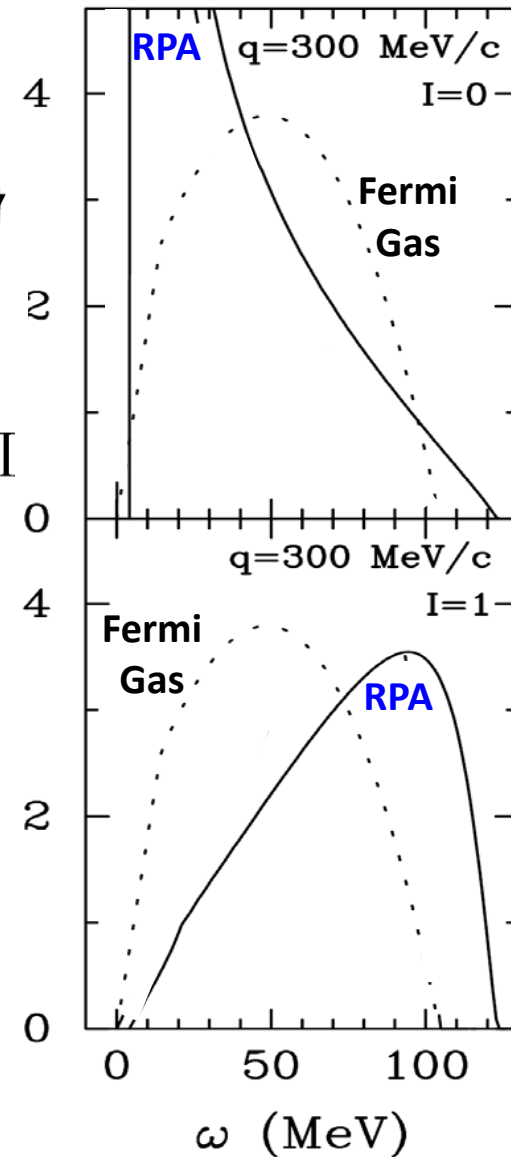
**Random Phase Approximation**



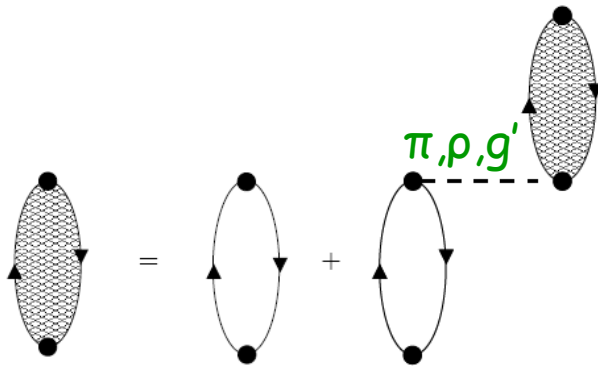
$$\Pi = \Pi^0 + \Pi^0 V \Pi$$

\*Force acting on one nucleon is transmitted by the interaction  
 \*Shift of the peak with respect to Fermi Gas, decrease, increase,...

*Alberico, Ericson, Molinari, Nucl. Phys. A 379, 429 (1982)*



# Switching on the interaction: random phase approximation

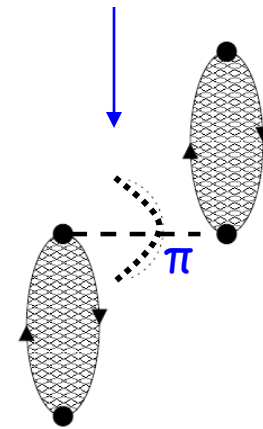
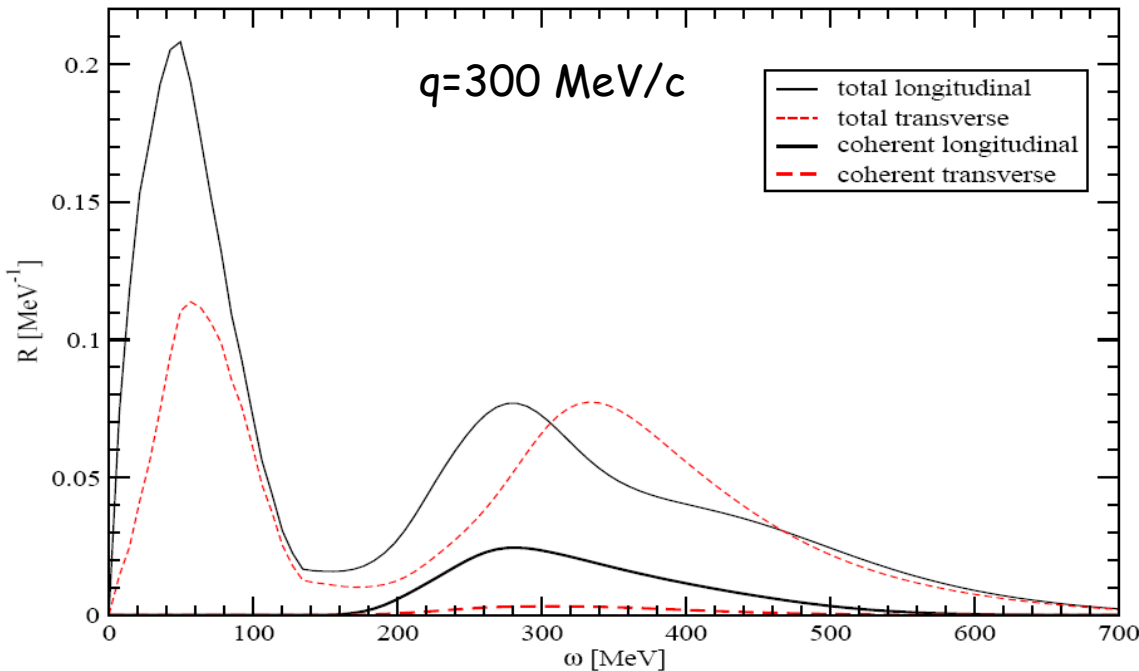


RPA

$$\Pi = \Pi^0 + \Pi^0 V \Pi$$

$$\text{Im}\Pi = |\Pi|^2 \text{Im}V + |1 + \Pi V|^2 \text{Im}\Pi^0$$

M. Martini, M. Ericson, G. Chanfray, J. Marteau, PRC 80 065501 (2009)



coherent  $\pi$  production

$$\Pi^0 = \sum_{k=1}^{N_k} \Pi_{(k)}^0$$

exclusive channels:  
QE, 2p-2h,  $\Delta \rightarrow \pi N$  ...

Several partial components treated in self-consistent, coupled and coherent way

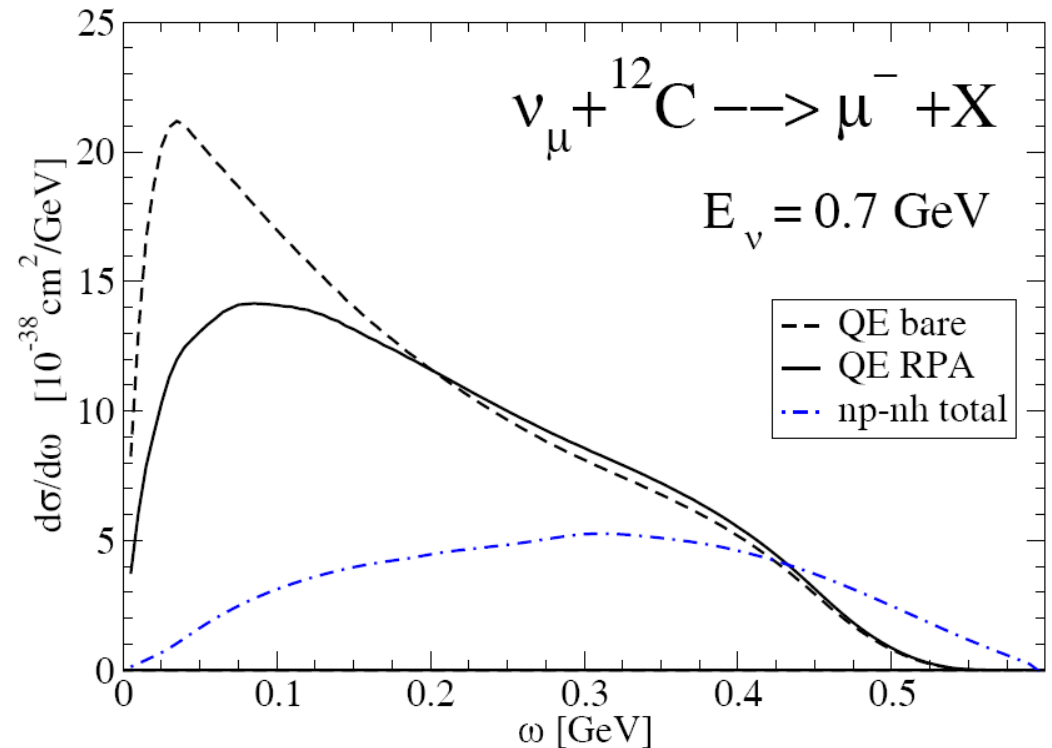
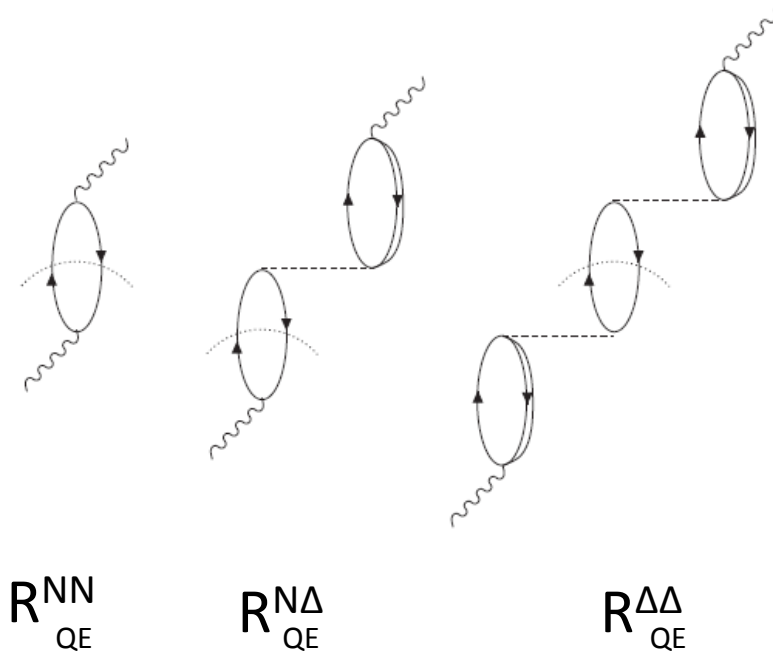
# Effects of the RPA in the $\nu$ genuine quasielastic scattering

QE totally dominated by isospin spin-transverse response  $R_{\sigma\tau(T)}$

## RPA reduction

- expected from the repulsive character of p-h interaction in T channel
- mostly due to interference term  $R^{N\Delta} < 0$   
(Lorentz-Lorenz or Ericson-Ericson effect)

Lowest order contribution to QE



# Where 2p-2h enter in $\nu$ -nucleus cross-section?

$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial k'} &= \frac{G_F^2 \cos^2 \theta_c (\mathbf{k}')^2}{2 \pi^2} \cos^2 \frac{\theta}{2} \left[ G_E^2 \left( \frac{q_\mu^2}{\mathbf{q}^2} \right)^2 R_\tau^{NN} \text{ isovector nuclear response} \right. \\
 &+ G_A^2 \frac{(M_\Delta - M_N)^2}{2 \mathbf{q}^2} R_{\sigma\tau(L)} \text{ isospin spin-longitudinal} \\
 &+ \left( G_M^2 \frac{\omega^2}{\mathbf{q}^2} + G_A^2 \right) \left( -\frac{q_\mu^2}{\mathbf{q}^2} + 2 \tan^2 \frac{\theta}{2} \right) R_{\sigma\tau(T)} \text{ isospin spin-transverse} \\
 &\left. \pm 2 G_A G_M \frac{k + k'}{M_N} \tan^2 \frac{\theta}{2} R_{\sigma\tau(T)} \right] \text{ interference V-A}
 \end{aligned}$$

The 2p-2h term affects the magnetic and axial responses  
 (terms in  $G_M, G_A$ )  
 (spin-isospin,  $\sigma$  excitation operator)



Other processes, with the same excitation operator ( $\sigma\tau$ ),  
where  $2p-2h$  are relevant

- Transverse response in electron scattering

$$\frac{d^2\sigma}{d\theta d\omega} = \sigma_M \left\{ \frac{(\omega^2 - q^2)^2}{q^4} R_L(\omega, q) + \left[ \tan^2\left(\frac{\theta}{2}\right) - \frac{\omega^2 - q^2}{2q^2} \right] R_T(\omega, q) \right\}$$

- Photon absorption

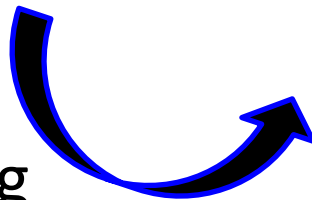
$$\sigma_\gamma^{\text{tot}} = 2\pi^2 \frac{\alpha}{\omega} R_T(q, q)$$

- Pion absorption

Two-nucleon mechanism:  $\pi NN \rightarrow NN$  ( $\pi N \rightarrow N$  strongly suppressed)

Dominated by **p-n** initial pairs

$\nu$  scattering



Ejected pairs will be predominantly:

**p-p** for  $\nu$  CC

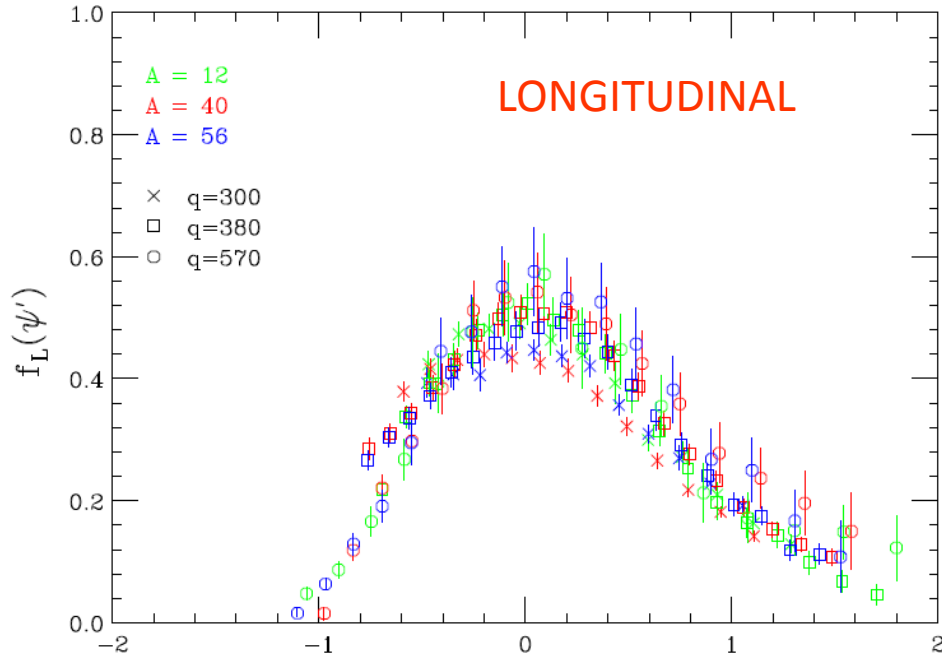
**n-n** for anti- $\nu$  CC

**p-n** for NC

*First results of MINER $\nu$ A seem to confirm this prediction (PRL 111 022501; 022502 2013)*

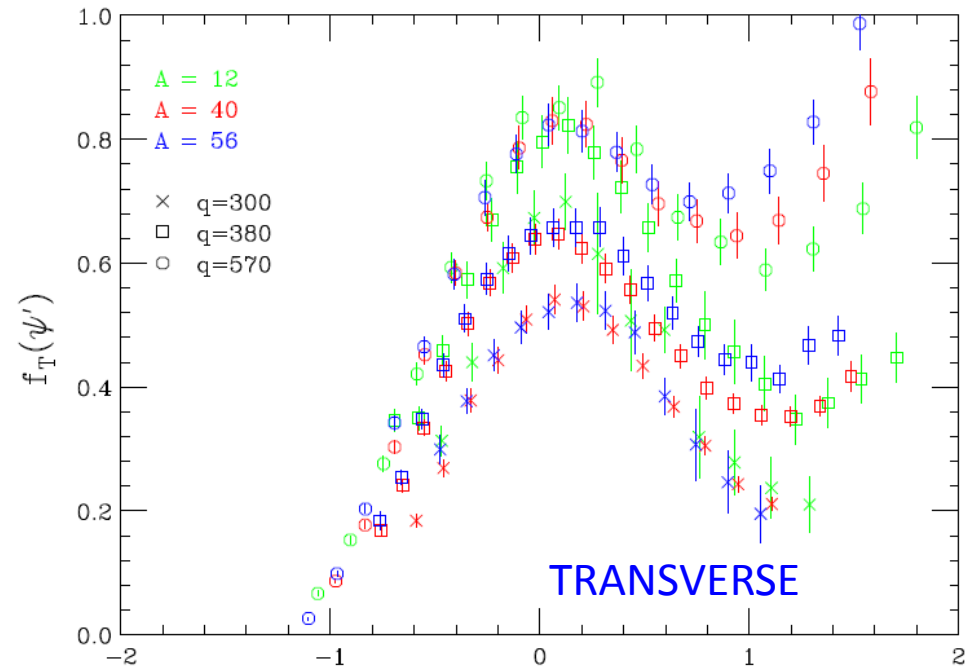
# Electron scattering

$$\frac{d^2\sigma}{d\theta d\omega} = \sigma_M \left\{ \frac{(\omega^2 - q^2)^2}{q^4} R_L(\omega, q) + \left[ \tan^2\left(\frac{\theta}{2}\right) - \frac{\omega^2 - q^2}{2q^2} \right] R_T(\omega, q) \right\}$$



$$f_L(\Psi) = k_F \frac{q^2 - \omega^2}{q m} \frac{R_L(\omega, q)}{Z(G_E^p)^2 + N(G_E^n)^2}$$

Donnelly et al. PRC 60 '99, ...

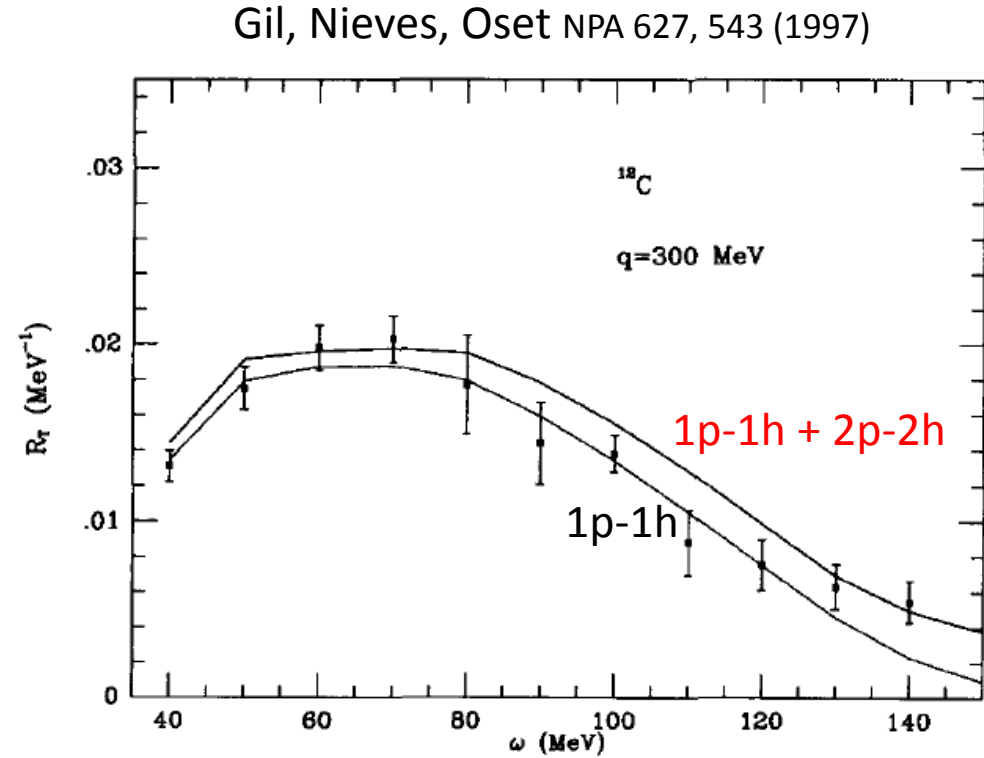
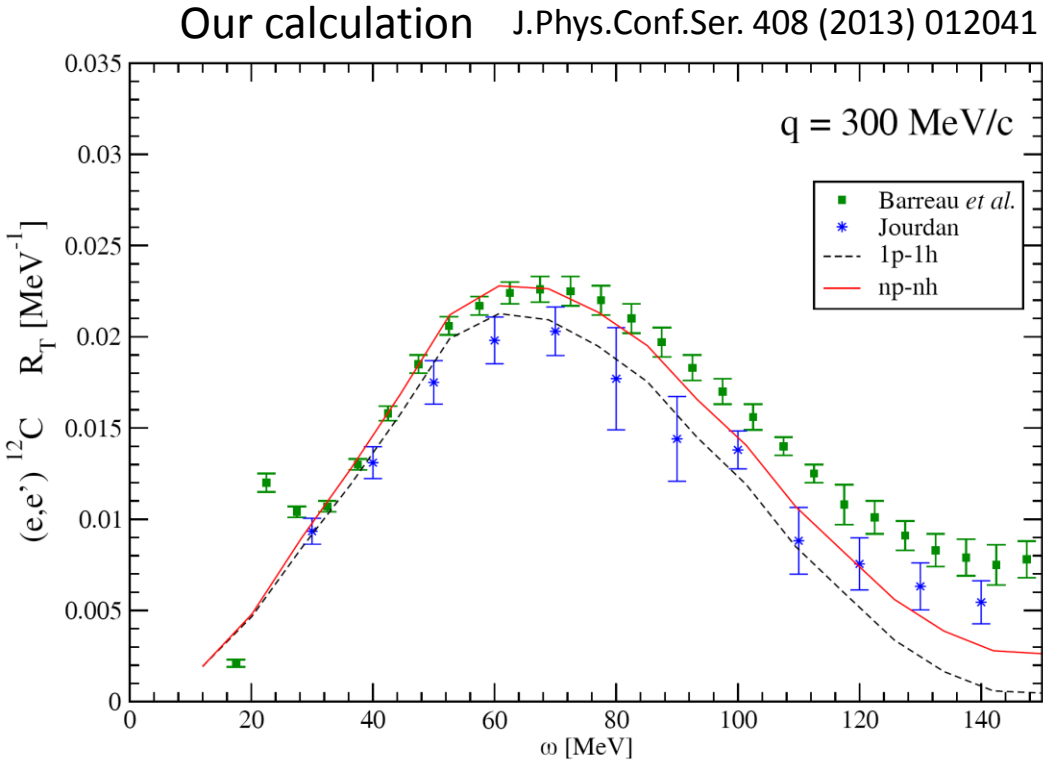


$$f_T(\Psi) = 2 k_F \frac{q m}{q^2 - \omega^2} \frac{R_T(\omega, q)}{Z(G_M^p)^2 + N(G_M^n)^2}$$

Excess in the transverse channel likely due to 2-body currents

The 2p-2h term affects the transverse (magnetic) response (spin-isospin,  $\sigma$  excitation operator)

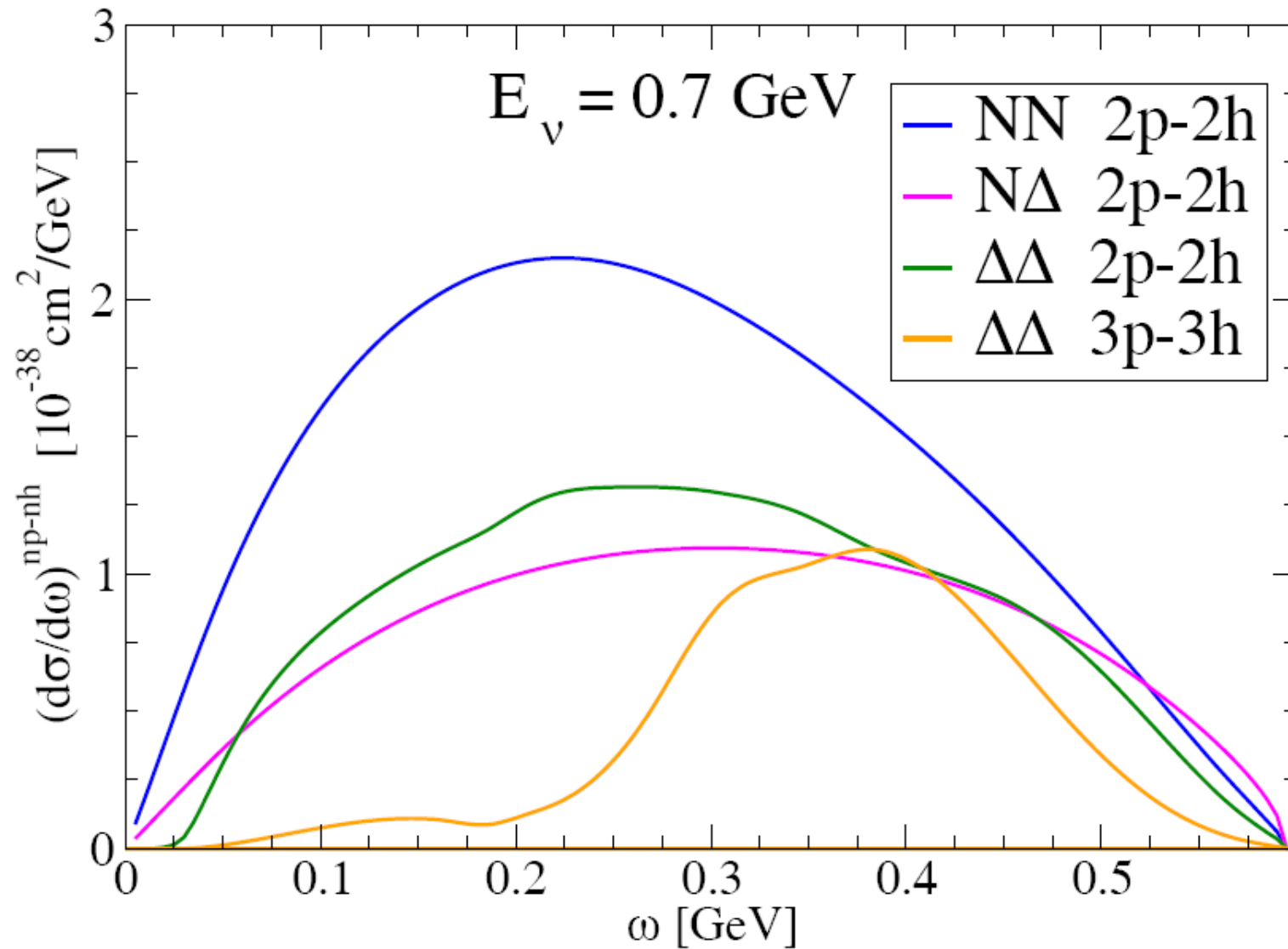
# $R_T$ of $^{12}\text{C}$ : comparison with data and with calculations of Gil et al.



The evaluations of 2p-2h contributions to  $R_T$  are compatible among them and with data.

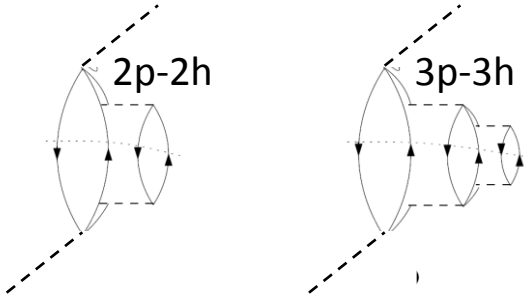
This test is important for  $\nu$  cross section which is dominated by  $R_T$

## Further details of our model in the np-nh sector



# $\Delta\Delta$ contributions to np-nh in our model

- Reducible to a modification of the Delta width in the medium



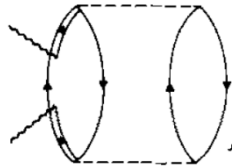
E. Oset and L. L. Salcedo, Nucl. Phys. A 468, 631 (1987):

$$\widetilde{\Gamma}_\Delta = \Gamma_\Delta F_P - 2\text{Im}(\Sigma_\Delta)$$

$$\text{Im}(\Sigma_\Delta(\omega)) = - \left[ C_Q \left(\frac{\rho}{\rho_0}\right)^\alpha + C_{2p2h} \left(\frac{\rho}{\rho_0}\right)^\beta + C_{3p3h} \left(\frac{\rho}{\rho_0}\right)^\gamma \right]$$

Nieves et al. in PRC 83 (2011) and in PLB 707 (2012) use the same model for these contributions

- Not reducible to a modification of the Delta width



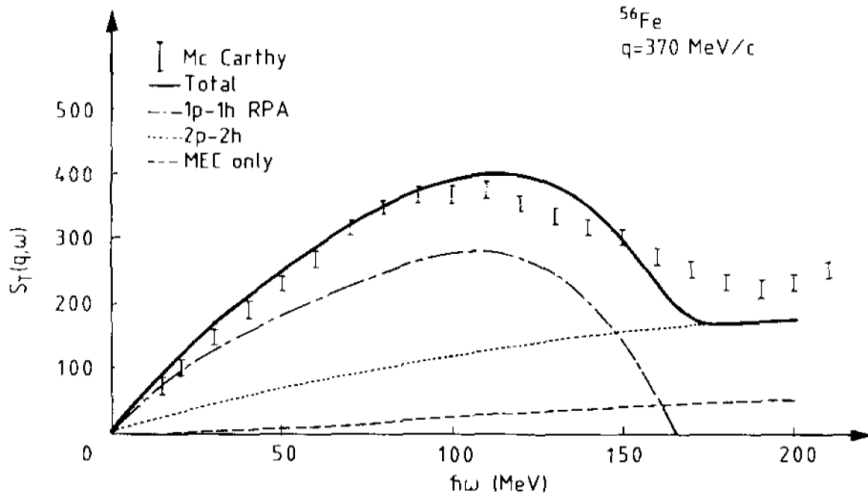
Microscopic calculation of  $\pi$  absorption at threshold:  $\omega = m_\pi$

Shimizu, Faessler, Nucl. Phys. A 333,495 (1980)

Extrapolation to other energies

$$\text{Im}(\Pi_{\Delta\Delta}^0) = -4\pi\rho^2 \frac{(2M_N + m_\pi)^2}{(2M_N + \omega)^2} C_3 \Phi_3(\omega) \left[ \frac{1}{(\omega + M_\Delta - M_N)^2} \right]$$

# NN correlations and $N\Delta$ interference contributions to 2p-2h



Starting point: a microscopic evaluation of  $R_T$   
*Alberico, Ericson, Molinari, Ann. Phys. 154, 356 (1984)*

**Transverse magnetic response of  $(e, e')$**   
 for some values of  $q$  and  $\omega$ , but:

$^{56}\text{Fe}$ , instead of  $^{12}\text{C}$  and responses available  
 only for few  $q$  and  $\omega$  values

## Our work

- Parameterization of these contributions in terms of  $\mathcal{X} = \frac{q^2 - \omega^2}{2M_N\omega} \longrightarrow$  Extrapolation to cover neutrino region
- Global reduction  $\approx 0.5$  applied to reproduce the absorptive p-wave  $\pi$ -A optical potential

# Analogies and differences of 2p-2h

**M. Martini, M. Ericson, G. Chanfray, J. Marteau**

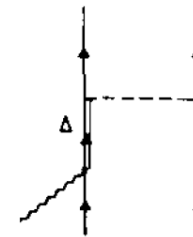
Axial and Vector

NN corr.

$\Delta$ -MEC

$N\Delta$  interf.

[ Genuine CCQE (1p-1h): LRFG+RPA ]



**J. Nieves, I. Ruiz Simo, M.J. Vicente Vacas et al.**

Axial and Vector

NN corr.

MEC

N-MEC interf.

[ Genuine CCQE (1p-1h): LRFG+SF+RPA ]

**J.E. Amaro, M.B. Barbaro, J.A. Caballero, T.W. Donnelly et al.**

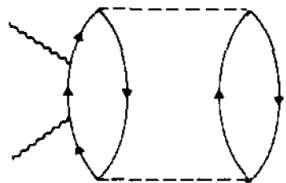
Only Vector

MEC

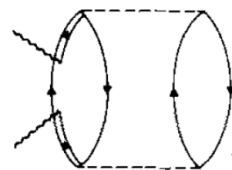
[ Genuine CCQE (1p-1h): Superscaling ]

# Main difficulties in the 2p-2h sector

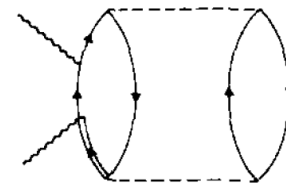
- Huge number of diagrams and terms



16 from NN correlations



49 from MEC



56 from interference

*Alberico, Ericson, Molinari, Ann. Phys. 154, 356 (1984)*

fully relativistic calculation (just of MEC !):

3000 direct terms

More than 100 000 exchange terms

*De Pace, Nardi, Alberico, Donnelly, Molinari, Nucl. Phys. A741, 249 (2004)*

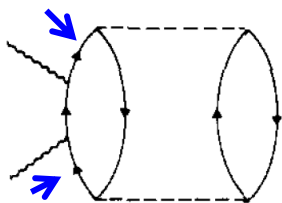
- Divergences in NN correlations

*prescriptions:*

- nucleon propagator only off the mass shell (*Alberico et al. Ann. Phys. 1984*)

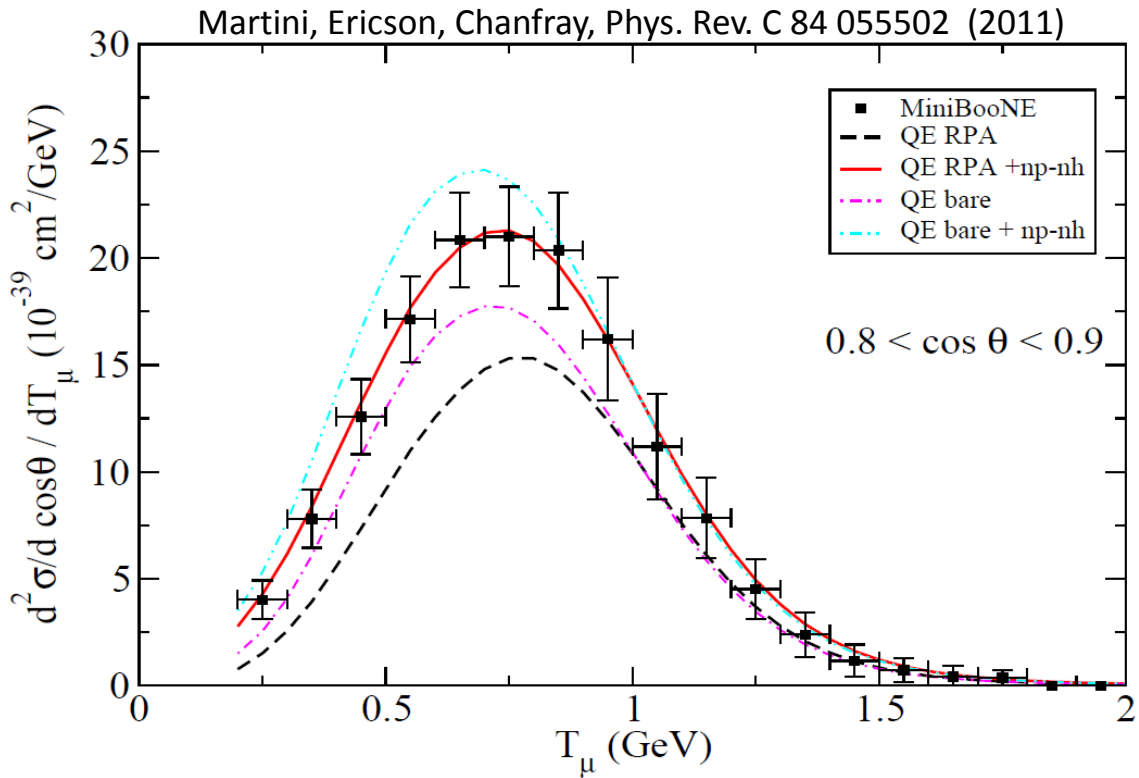
- kinematical constraints + nucleon self energy in the medium (*Nieves et al PRC 83*)

- regularization parameter taking into account the finite size of the nucleus to be fitted to data (*Amaro et al. PRC 82 044601 2010*)





# Flux-integrated $\nu$ CCQE double differential X section versus $T_\mu$

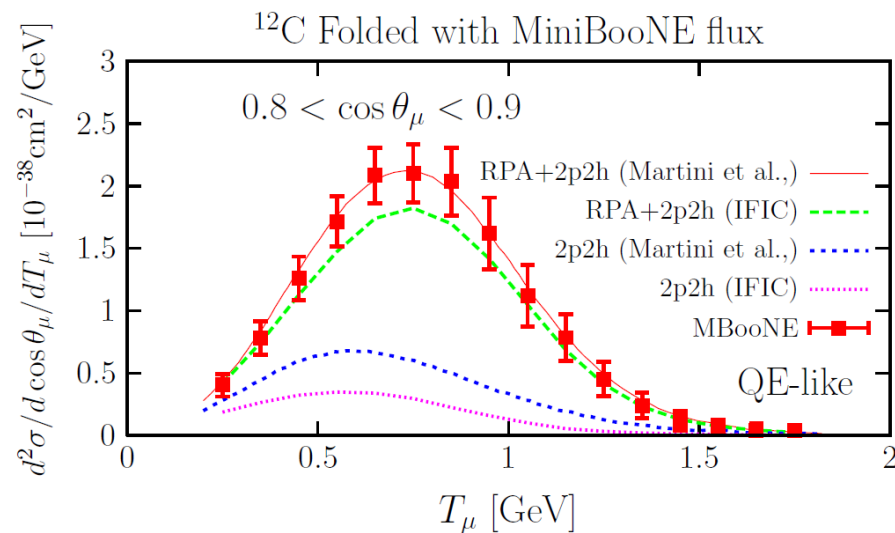
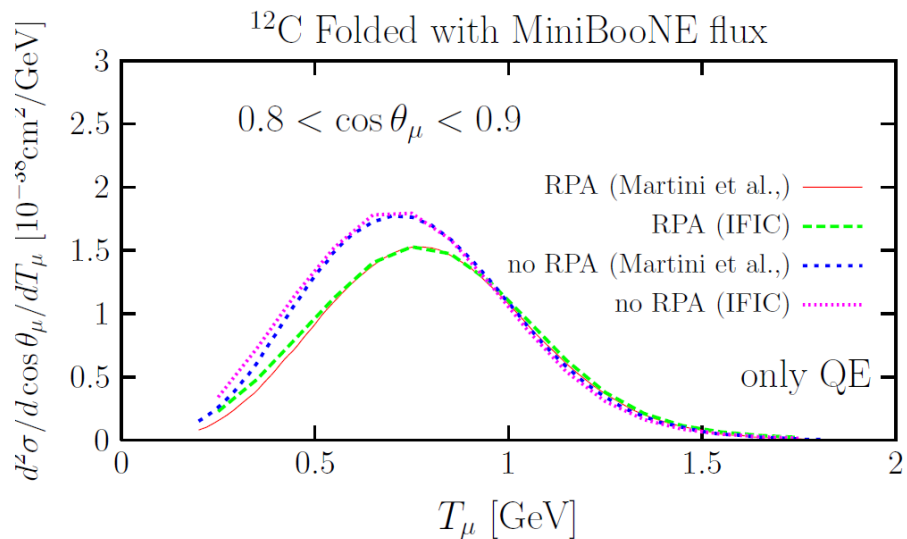


Delicate balance between

RPA quenching and np-nh enhancement

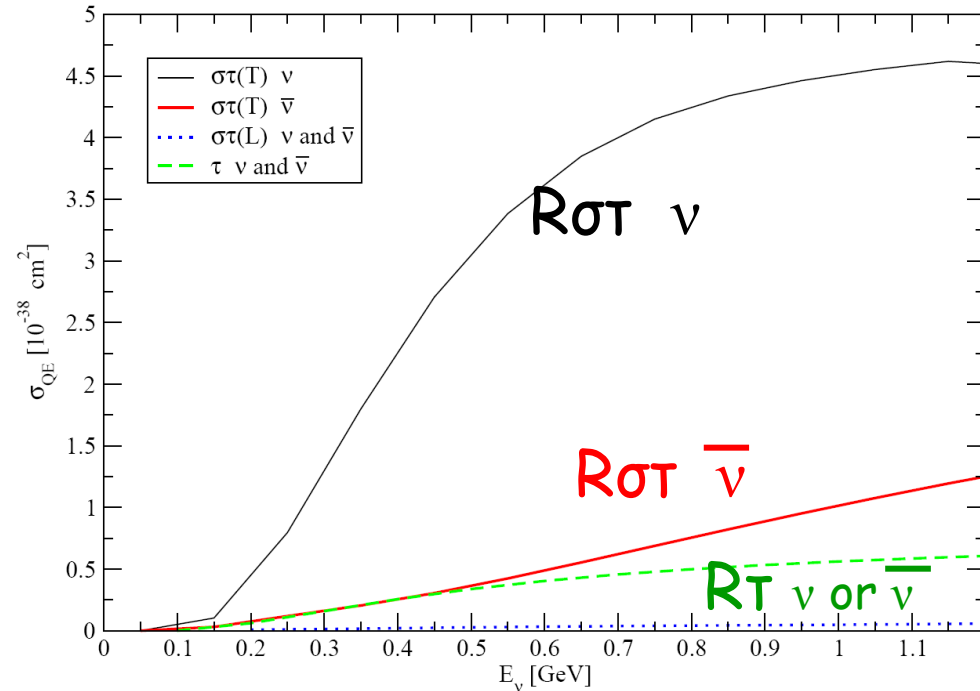
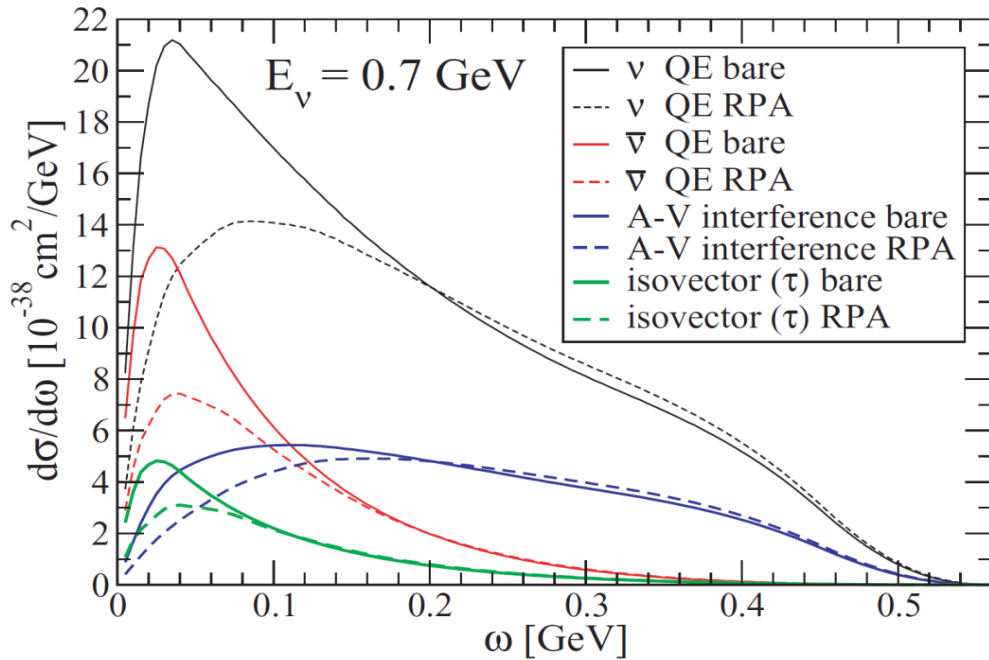
- Genuine QE bare and RPA very similar in Martini et al. and Nieves et al.
- Factor  $\sim 2$  for the np-nh contribution
- Both models compatible with MiniBooNE

(additional normalization uncertainty of 10% in the MB data not shown here)



Morfin, Nieves, Sobczyk  
 Adv.High Energy Phys. 2012 (2012) 934597

# Various response contributions to the $\nu$ and $\bar{\nu}$ CCQE



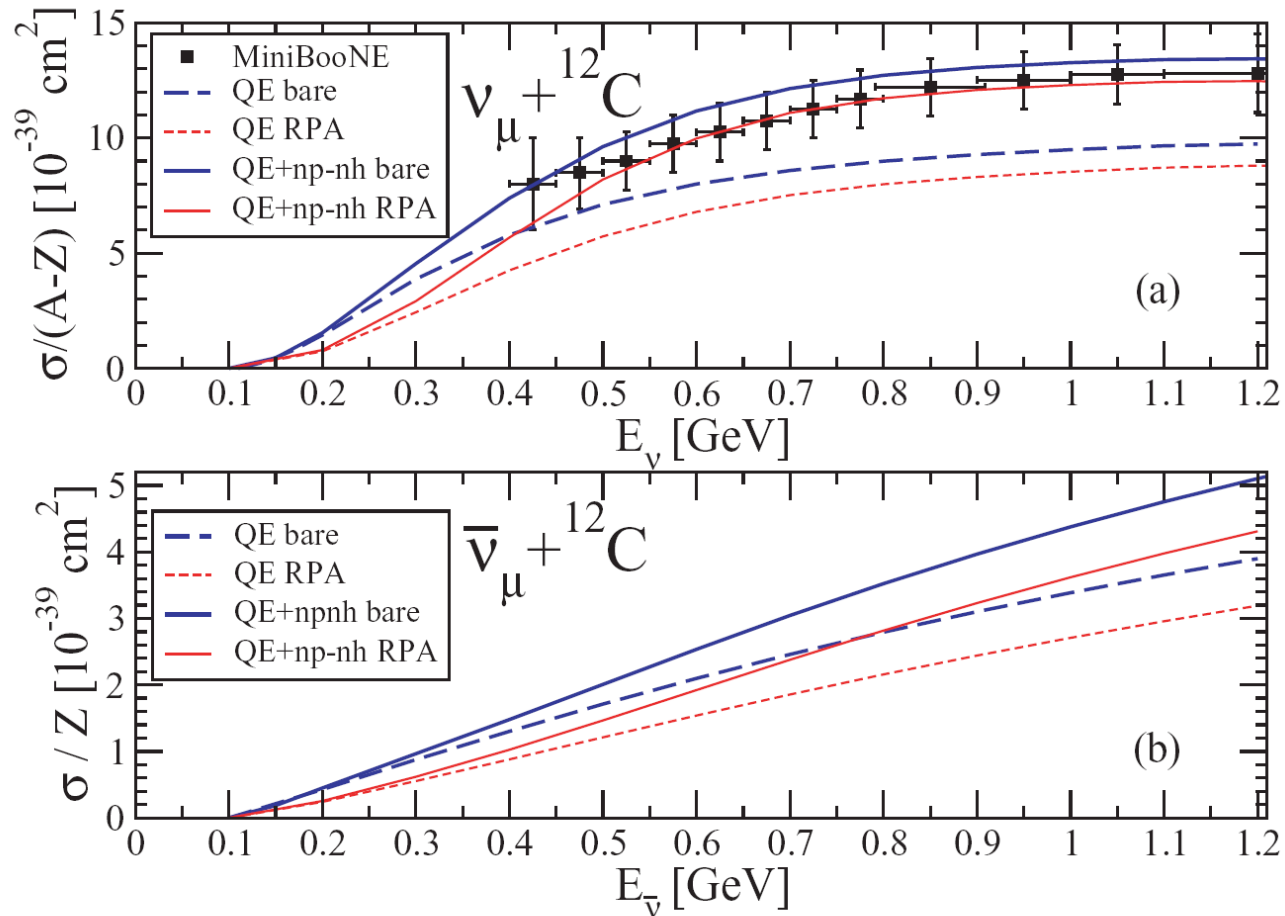
**The role of interference term (in  $G_A G_M$ ) is crucial:**

it enhances the contribution of  $R\sigma\tau(T)$  for neutrinos.

For antineutrinos instead the destructive interference partially suppresses this contribution leaving a larger role for isovector  $R\tau$  which is insensitive to 2p-2h.

Hence the **relative** role of 2p-2h should be smaller for antineutrinos

*M. Martini, M. Ericson, G. Chanfray, J. Marteau, Phys. Rev. C 81 045502 (2010)*

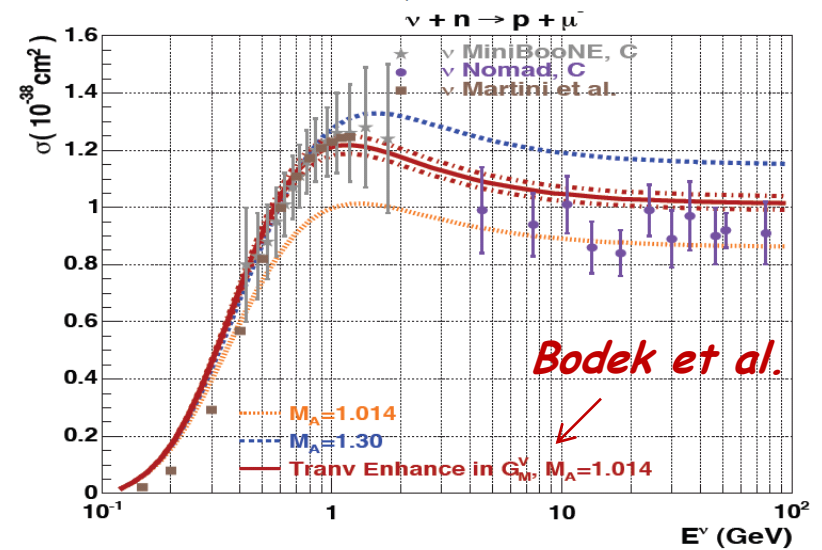
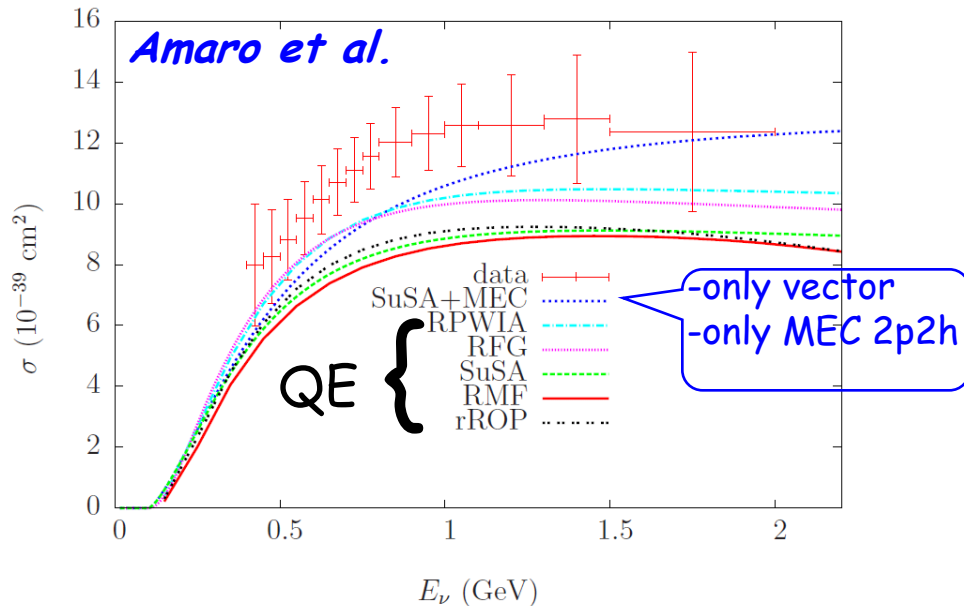
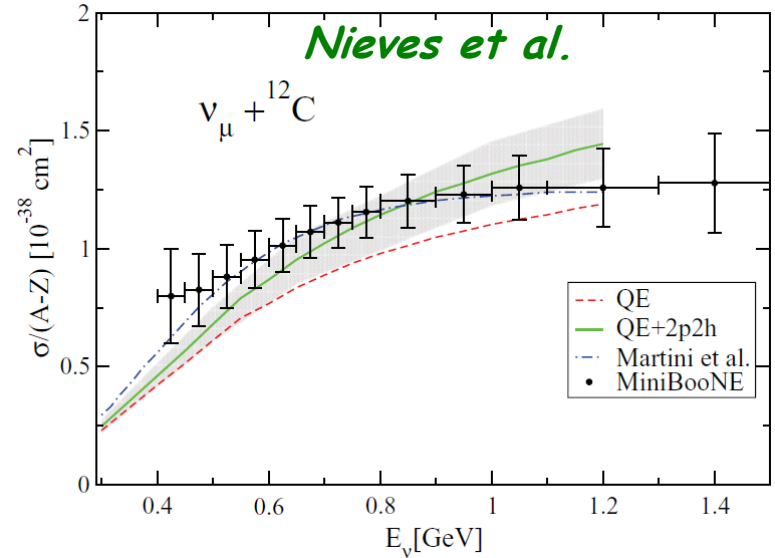
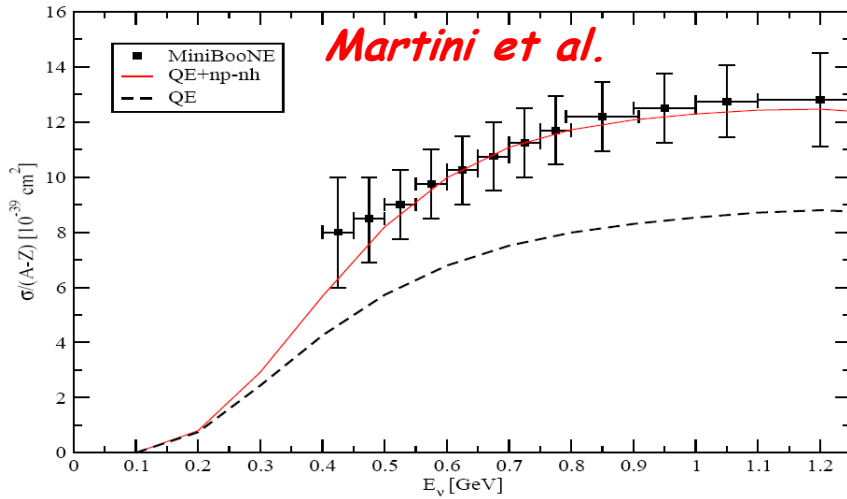


## Relative role of 2p-2h smaller for antineutrinos

Antineutrino X section very sensitive to RPA

*M. Martini, M. Ericson, G. Chanfray, J. Marteau, Phys. Rev. C 81 045502 (2010)*

# Total CCQE and comparison with flux unfolded MB

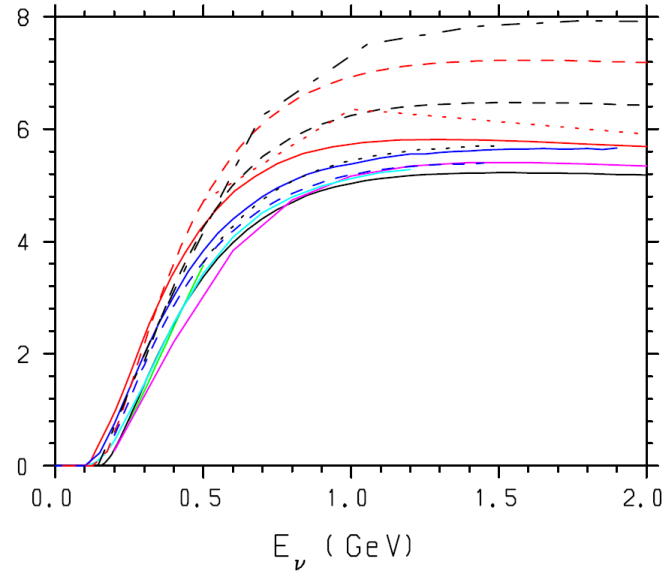
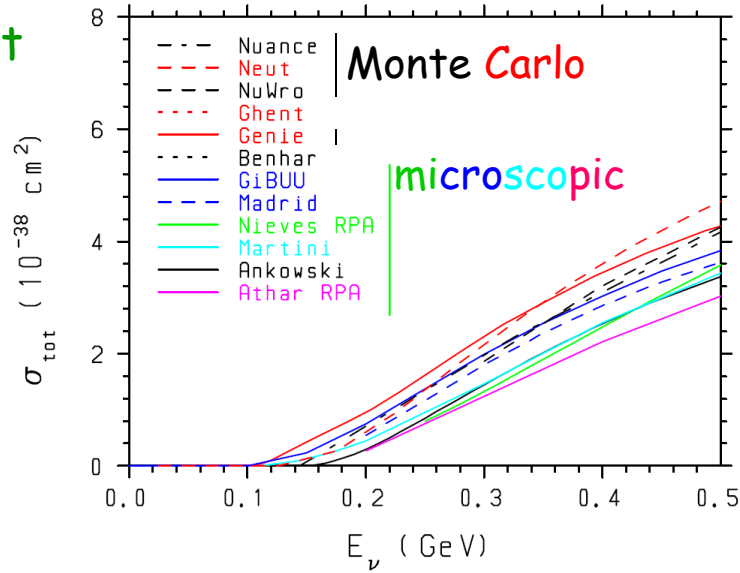


N.B. The experimental unfolding is model dependent

# Comparison of Models of Neutrino-Nucleus Interactions

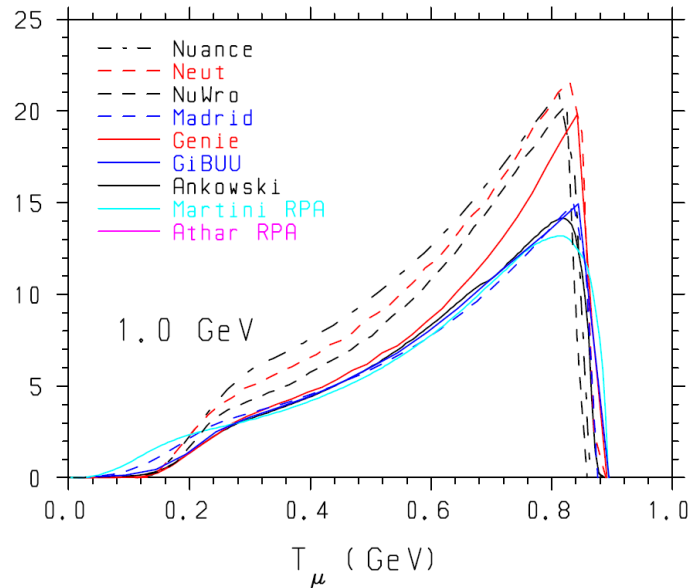
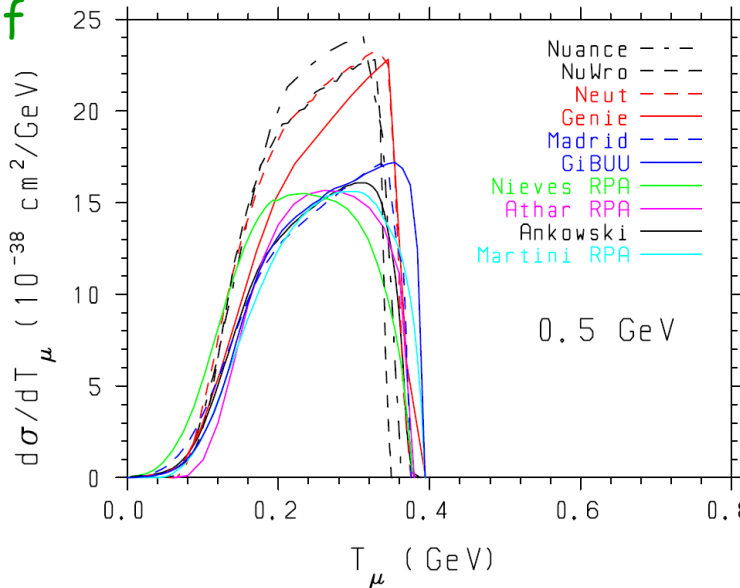
S. Boyd\*, S. Dytman†, E. Hernández\*\*, J. Sobczyk‡ and R. Tacik§

QE tot

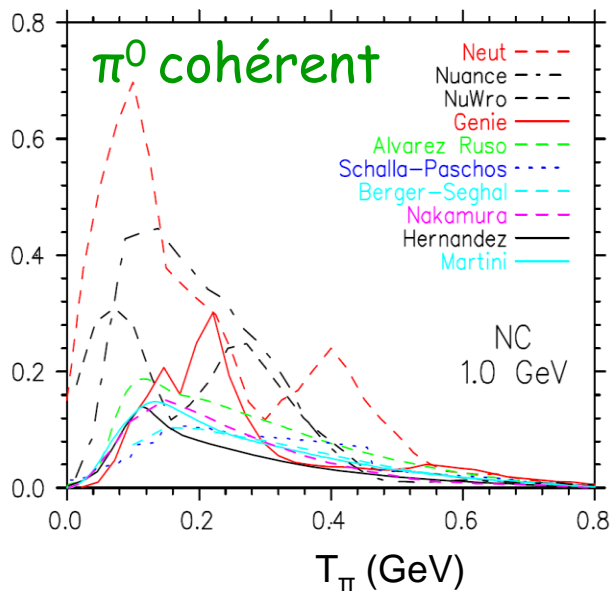
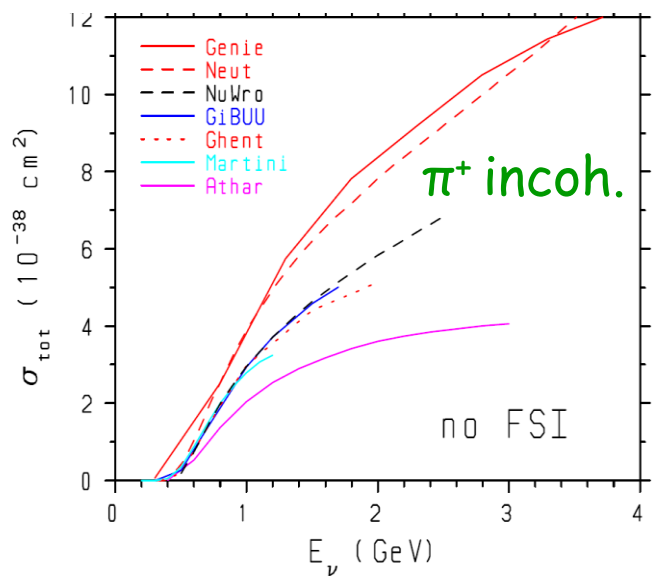
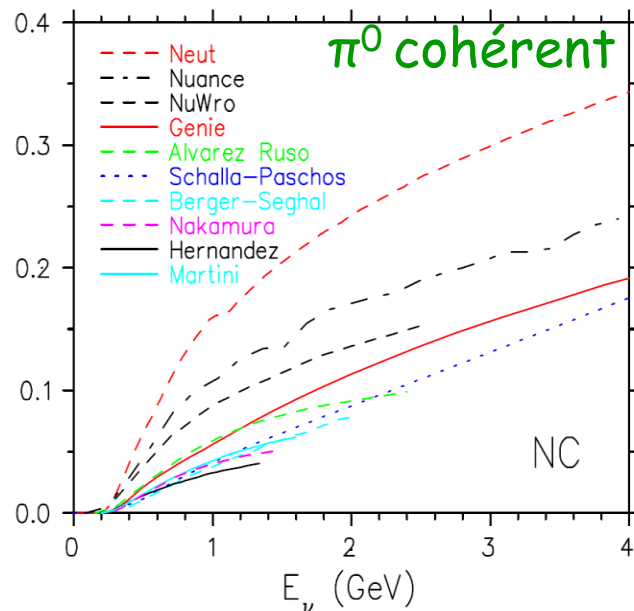
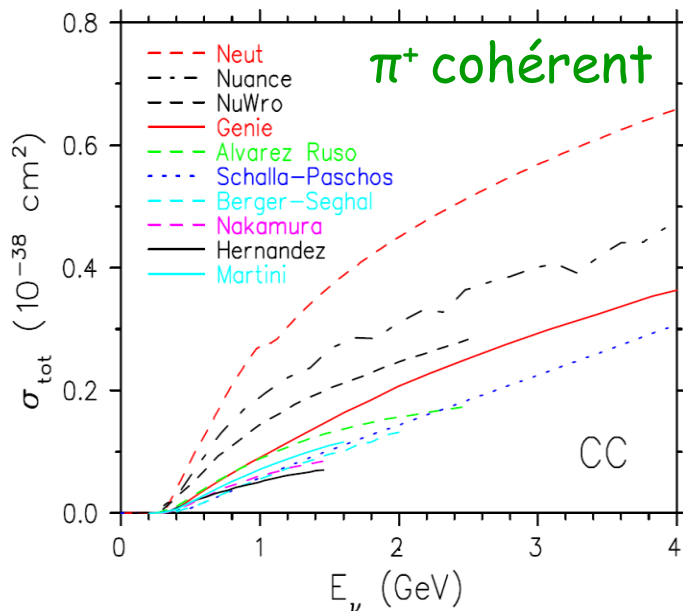


NUH109

QE diff



# NUINT09



Monte Carlo

QE: Fermi Gas

$\pi$  prod: Rein-Sehgal

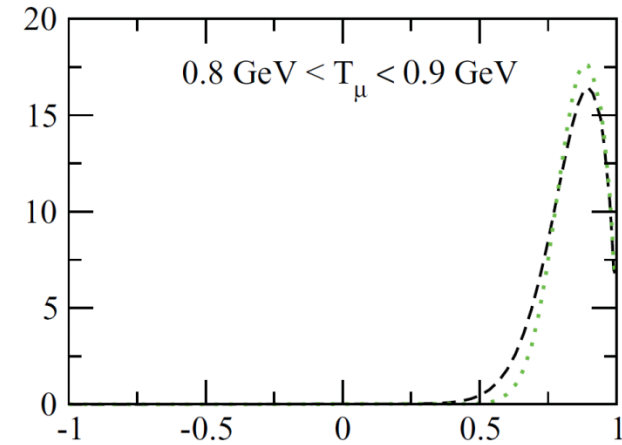
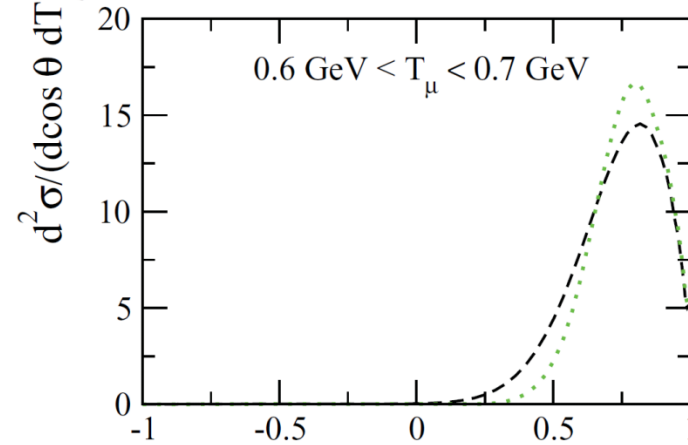
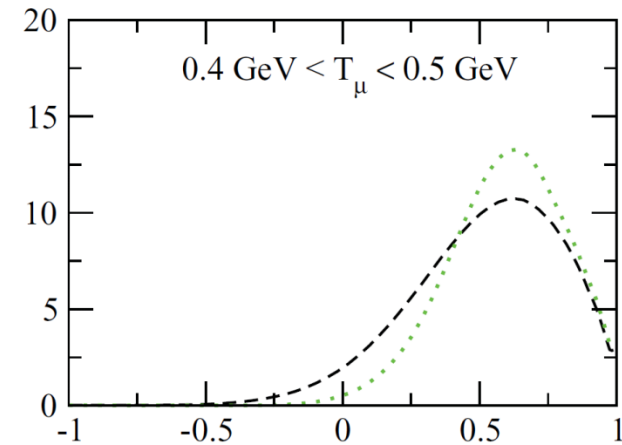
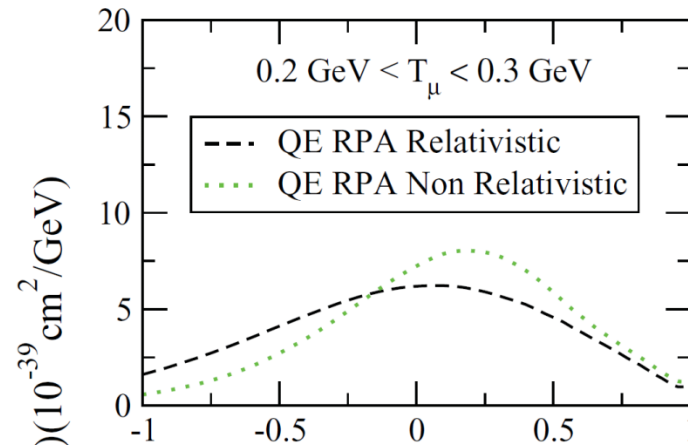
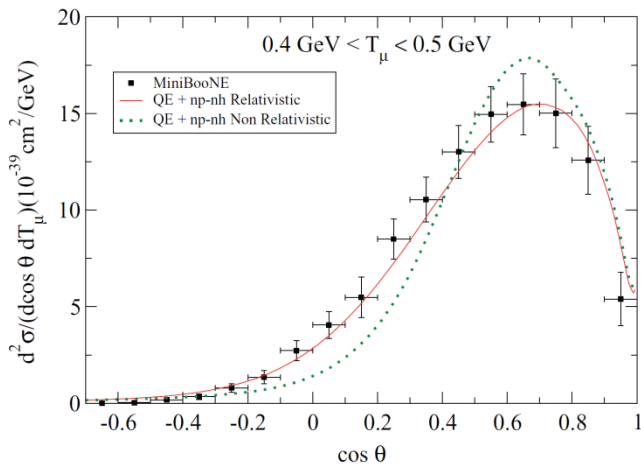
- **Neut**: SuperKamiokande, K2K, T2K, SciBooNE
- **Nuance**: SuperKamiokande, MINOS, MiniBooNE
- **Genie**: T2K, MINOS, Minerva, NOvA, ArgoNEUT
- **NuWro**: Wroclaw theo. group

MC larger than microscopic models

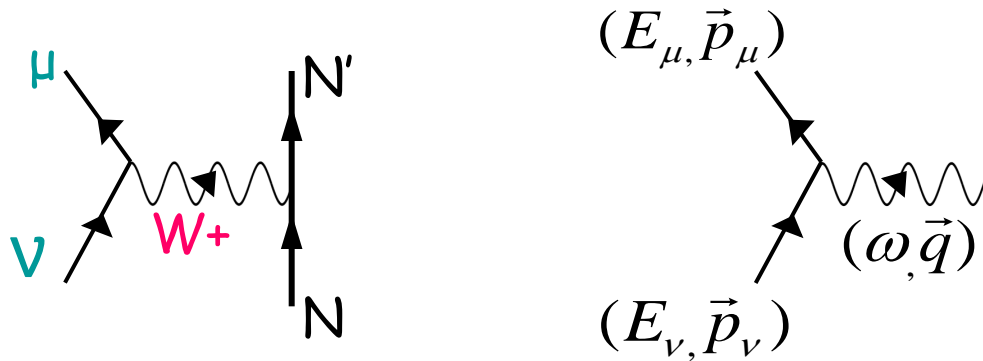
# Relativistic corrections

$$\omega \rightarrow \omega \left(1 + \frac{\omega}{2M_N}\right)$$

$$\mathbb{T} \rightarrow \left(1 + \frac{\omega}{M_N}\right) \mathbb{T}$$



# QE Scattering with free nucleon at rest: two-body kinematics



$$\omega = E_\nu - E_\mu$$

$$q^2 = E_\nu^2 + p_\mu^2 - 2E_\nu p_\mu \cos\theta$$

$$q^2 - \omega^2 = 4(E_\mu + \omega)E_\mu \sin^2\frac{\theta}{2} - m_\mu^2 + 2(E_\mu + \omega)(E_\mu - p_\mu) \cos\theta$$

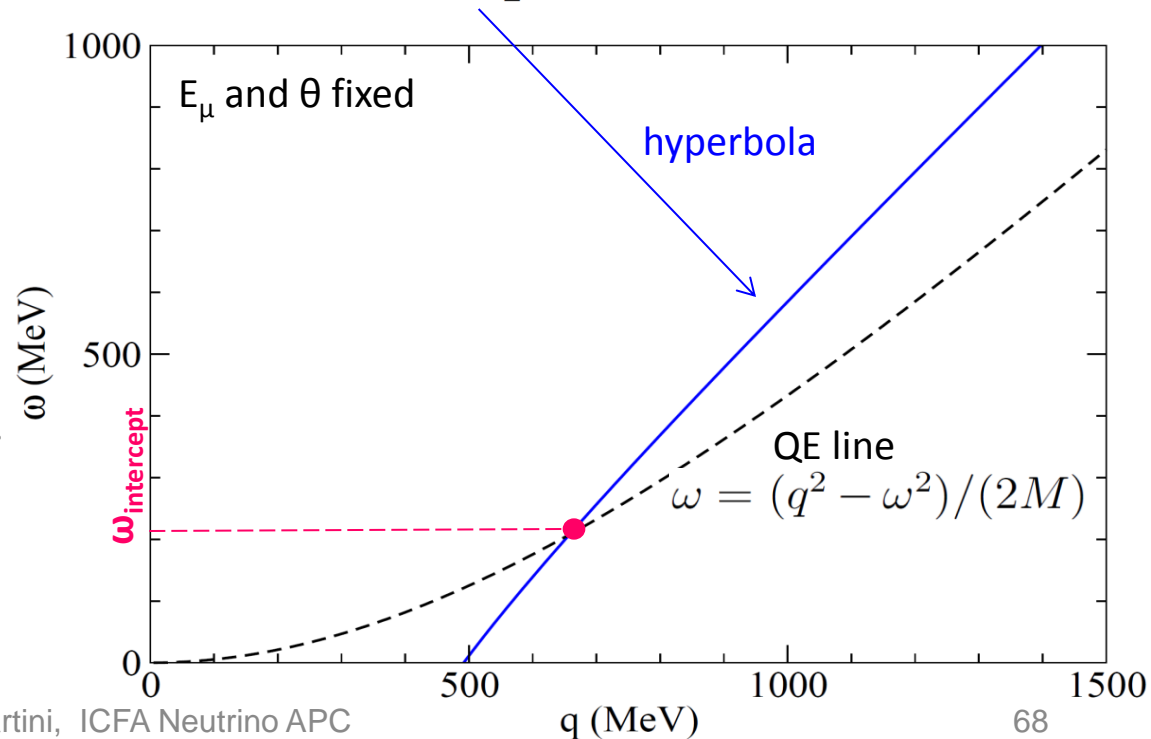
The nuclear response function is proportional to the delta distribution

$$\delta\left[\omega - \left(\sqrt{q^2 + M^2} - M\right)\right]$$

The intercept of the **hyperbola** with the **QE line** fixes the possible  $\omega$  and  $q$  values for given  $E_\mu$  and  $\theta$ .

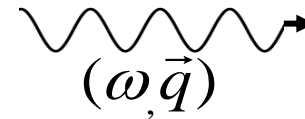
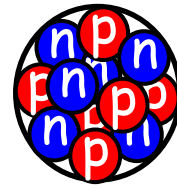
Hence the neutrino energy is determined

$$E_\nu = E_\mu + \omega_{\text{intercept}}$$



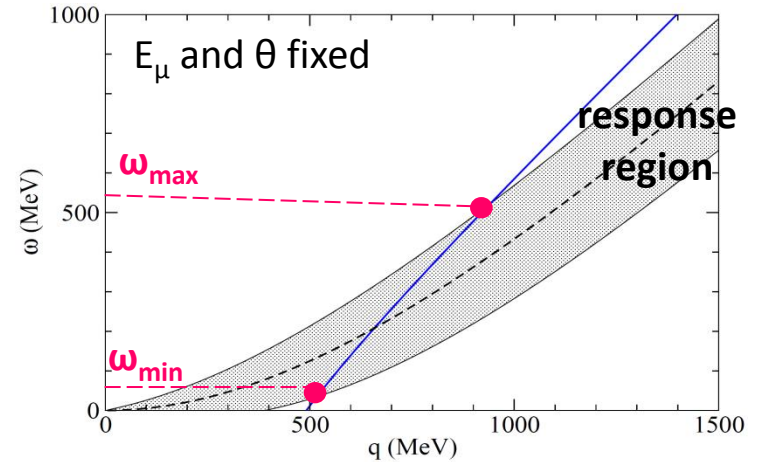
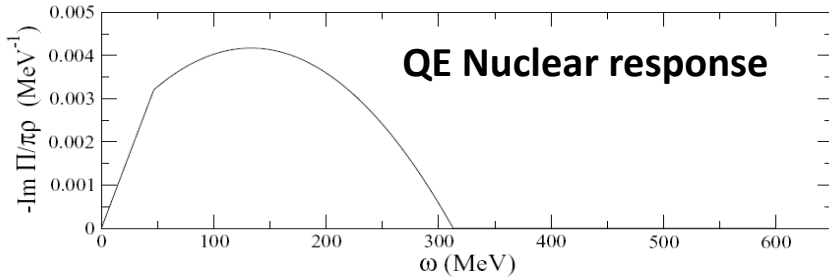


# QE Scattering with nucleons inside the nucleus



**1 particle- 1 hole (p-h) excitation**

$$q < 2 k_F$$



**Fermi motion** spreads  $\delta$  distribution (Fermi Gas)

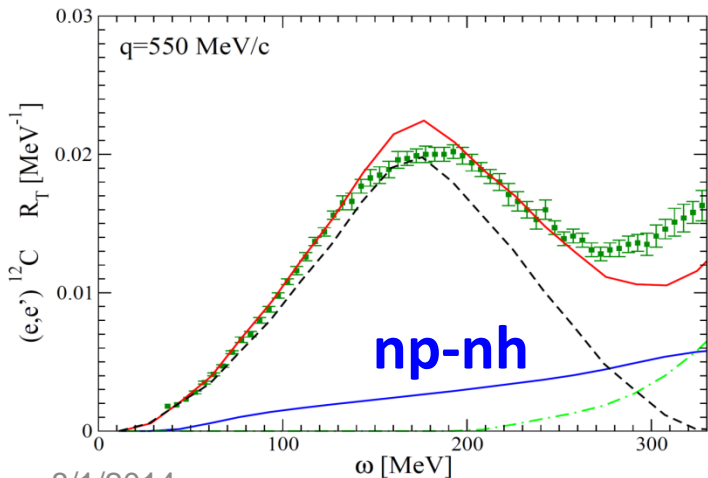
**Pauli blocking** cuts part of the low momentum nuclear response

**RPA** collective effects

**Broadening of the neutrino energy**

$$E_\nu = E_\mu + (\omega_{\min} \leq \omega \leq \omega_{\max})$$

**np-nh excitations**



- np-nh creates a high energy tail above the QE peak
- np-nh enlarges the region of response to the whole  $(\omega, q)$  plane

**no reason to fulfill the QE relation for  $E_\nu$  reconstruction**

# Neutrino energy distributions with no specification of lepton observables

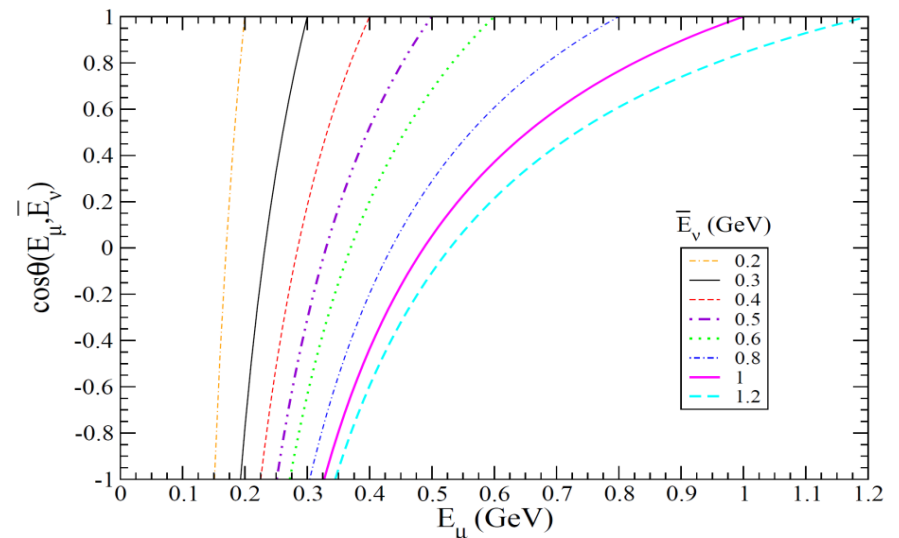
Neutrino reconstructed energy  $\bar{E}_\nu$  is fixed

Many couples of  $E_\mu$  and  $\theta$  can lead to the same  $\bar{E}_\nu$

One has to sum over these couples

$$\bar{E}_\nu P_\mu \cos \theta + M(\bar{E}_\nu - E_\mu) - \bar{E}_\nu E_\mu + m_\mu^2/2 = 0 \iff \bar{E}_\nu = \frac{E_\mu - m_\mu^2/(2M)}{1 - (E_\mu - P_\mu \cos \theta)/M}$$

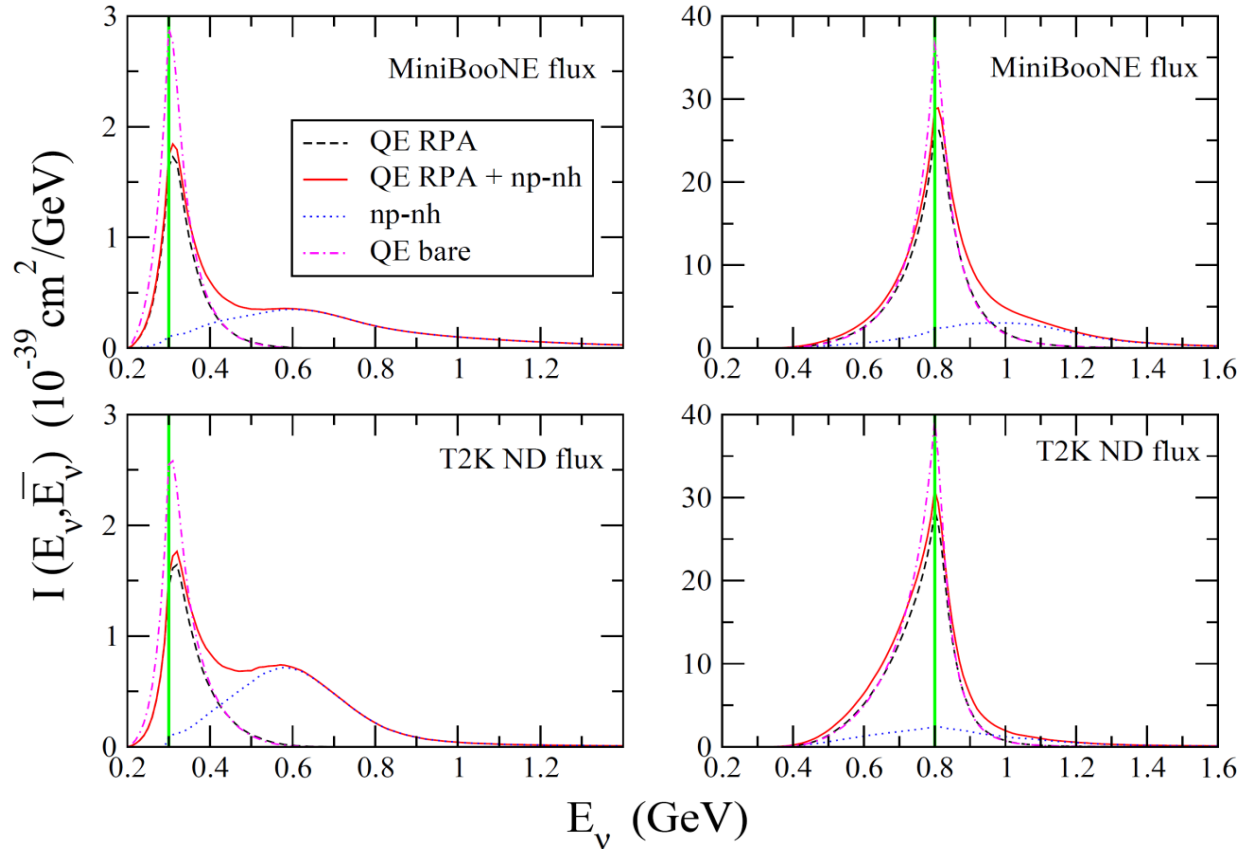
$\cos \theta(E_\mu, \bar{E}_\nu)$  is the cosine solution  
of this equation for given  $\bar{E}_\nu$  and  $E_\mu$



$$F(E_\nu, \bar{E}_\nu) = c \frac{\Phi(E_\nu)}{\int dE_\nu \Phi(E_\nu)} \int_{E_\mu^{\min}}^{E_\mu^{\max}} dE_\mu \left[ \frac{d^2 \sigma}{d\omega d\cos\theta} \right]_{\omega=E_\nu-E_\mu, \cos\theta=\cos\theta(E_\mu, \bar{E}_\nu)}$$

# Probability distribution before normalization

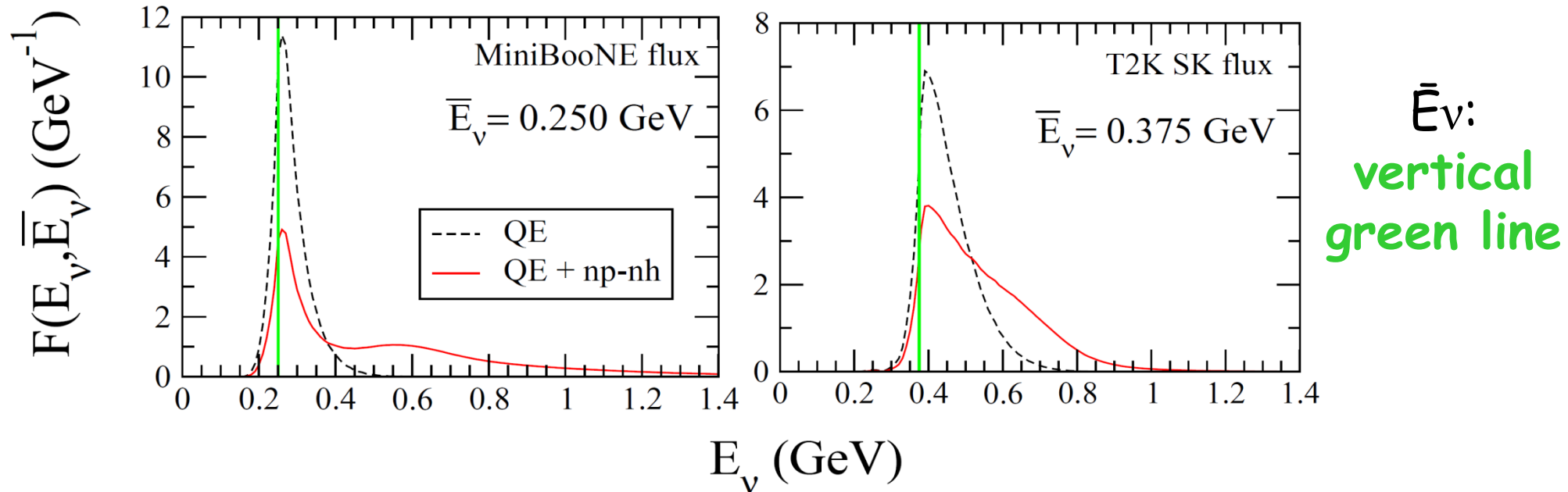
$$F(E_\nu, \overline{E}_\nu) = c \frac{\Phi(E_\nu)}{\int dE_\nu \Phi(E_\nu)} \int_{E_\mu^{\min}}^{E_\mu^{\max}} dE_\mu \left[ \frac{d^2\sigma}{d\omega d\cos\theta} \right]_{\omega=E_\nu-E_\mu, \cos\theta=\cos\theta(E_\mu, \overline{E}_\nu)} = cI(E_\nu, \overline{E}_\nu)$$



# Probability energy distributions with no specification of lepton observables

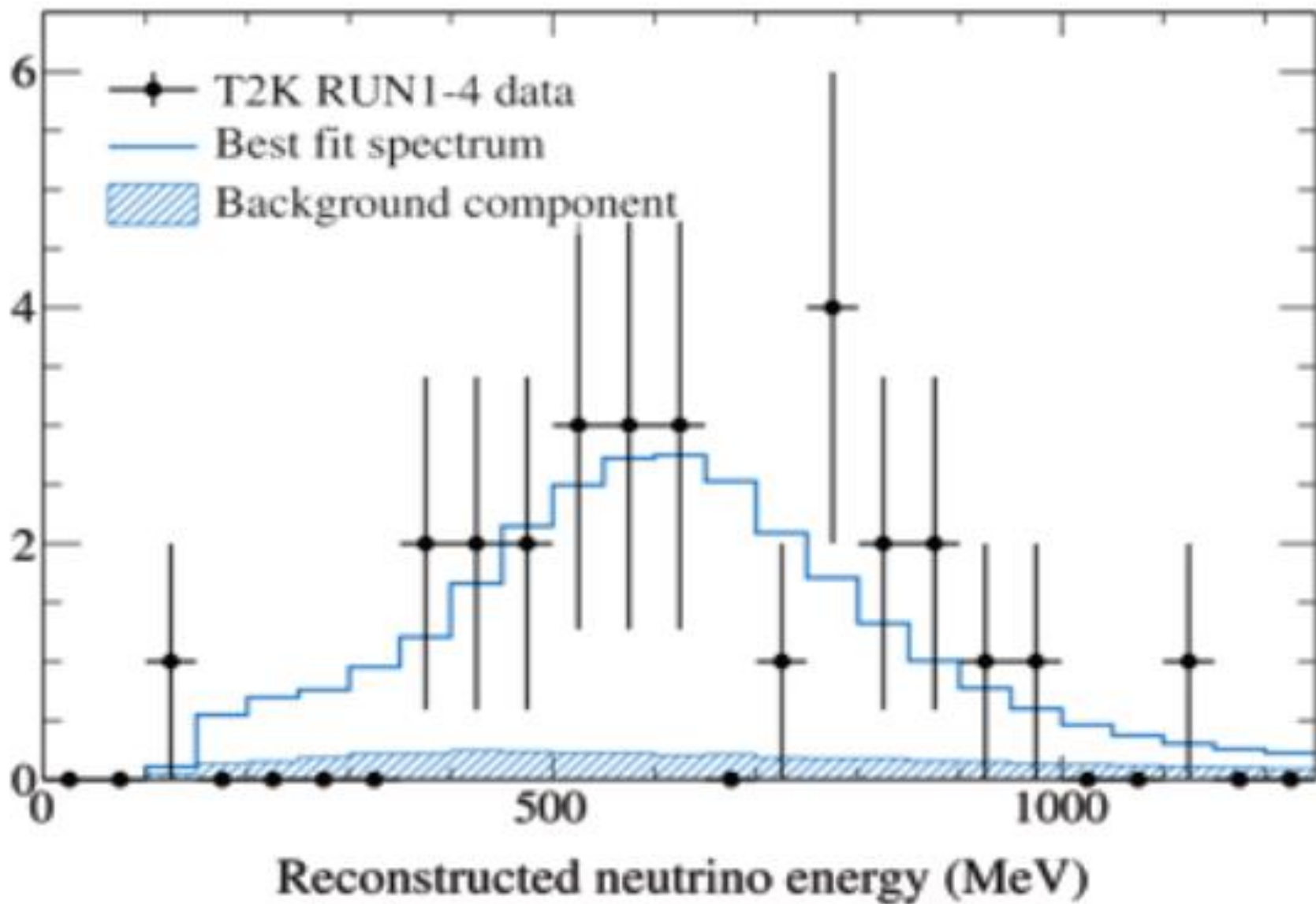
$$F(E_\nu, \bar{E}_\nu) = c \frac{\Phi(E_\nu)}{\int dE_\nu \Phi(E_\nu)} \int_{E_\mu^{\min}}^{E_\mu^{\max}} dE_\mu \left[ \frac{d^2\sigma}{d\omega d\cos\theta} \right]_{\omega=E_\nu-E_\mu, \cos\theta=\cos\theta(E_\mu, \bar{E}_\nu)}$$

$$\int dE_\nu F(E_\nu, \bar{E}_\nu) = 1$$



High energy tail due to the np-nh contribution

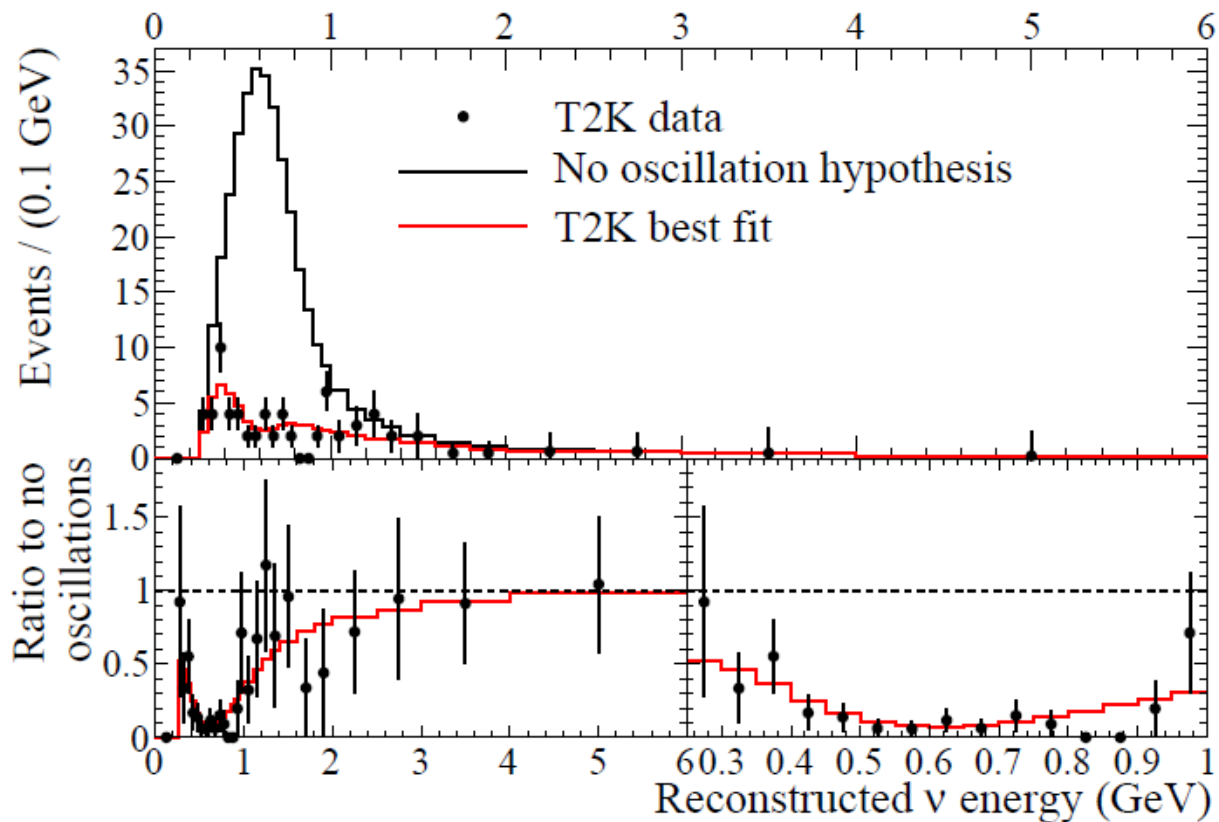
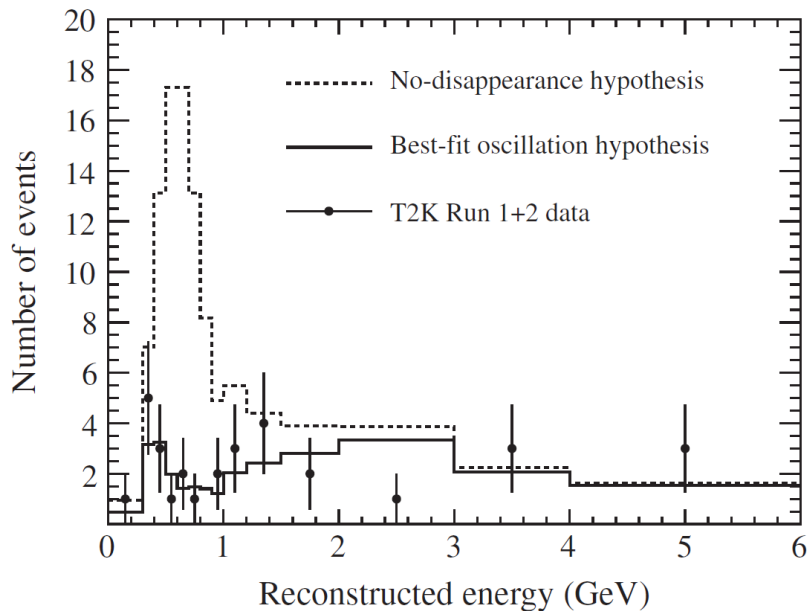
Number of  $\nu_e$  candidate events / (50 MeV)



# T2K $\nu_\mu$ disappearance

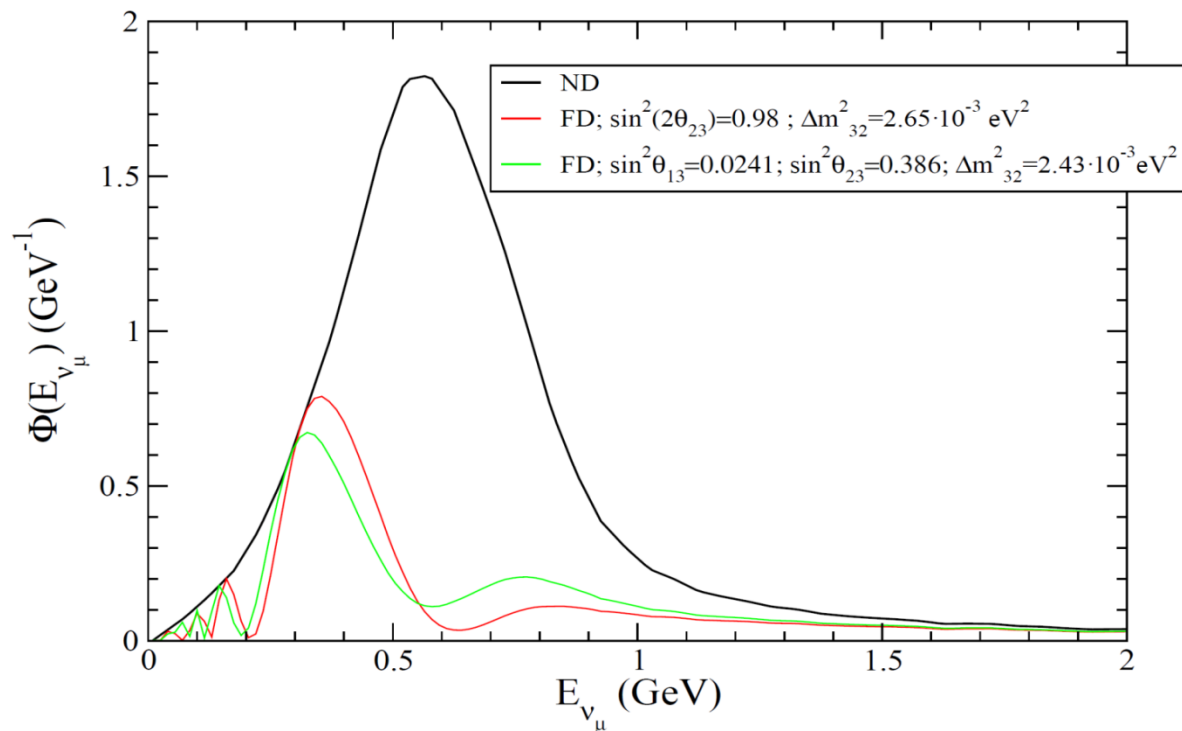
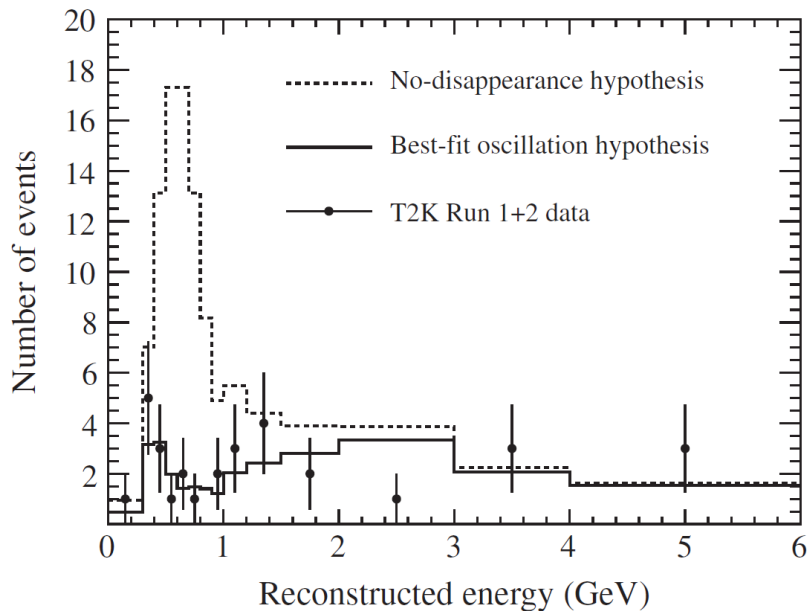
T2K arXiv 1308.0465 (2013)

T2K PRD 85, 031103 (2012)



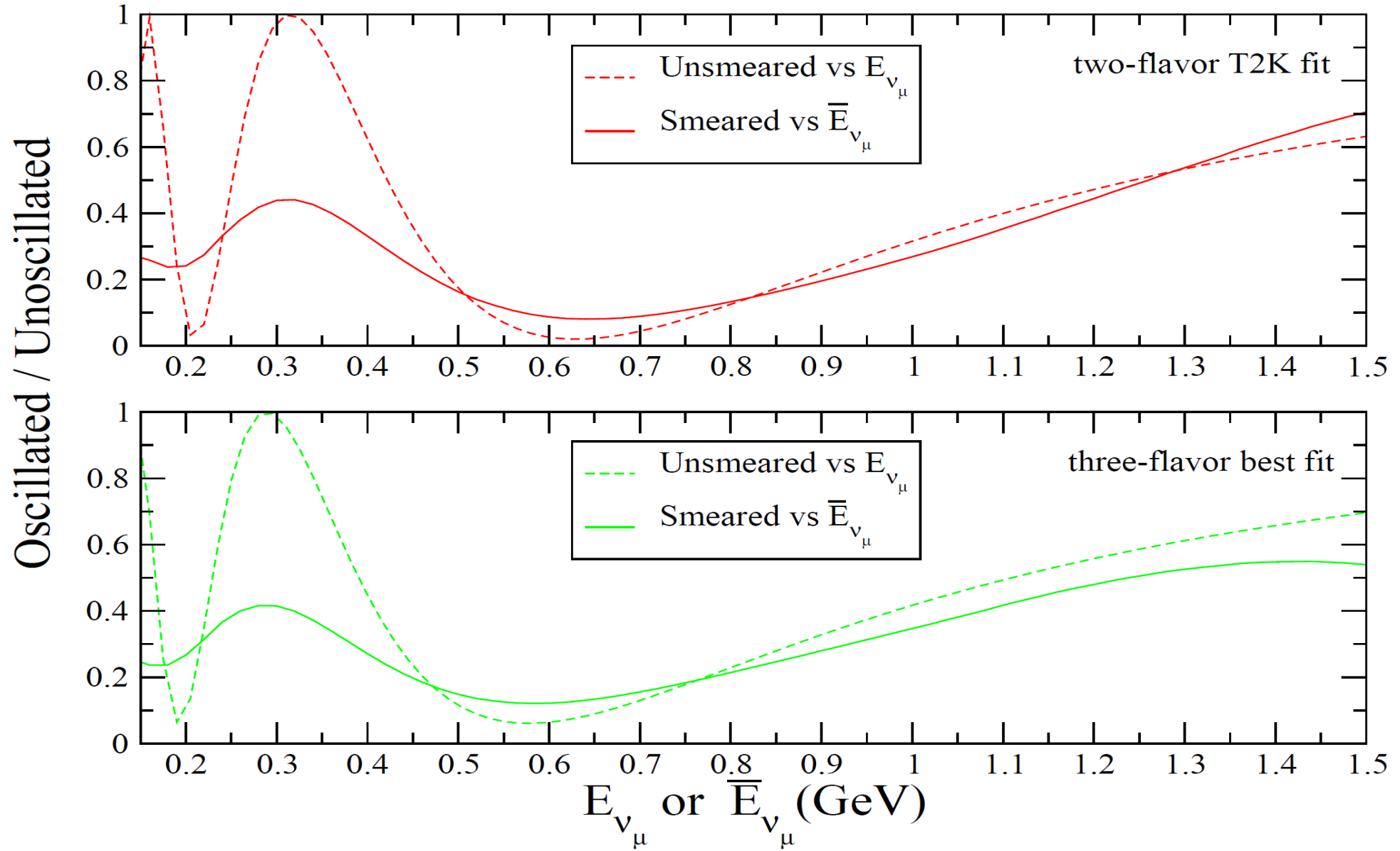
# T2K $\nu_\mu$ disappearance

T2K PRD 85, 031103 (2012)



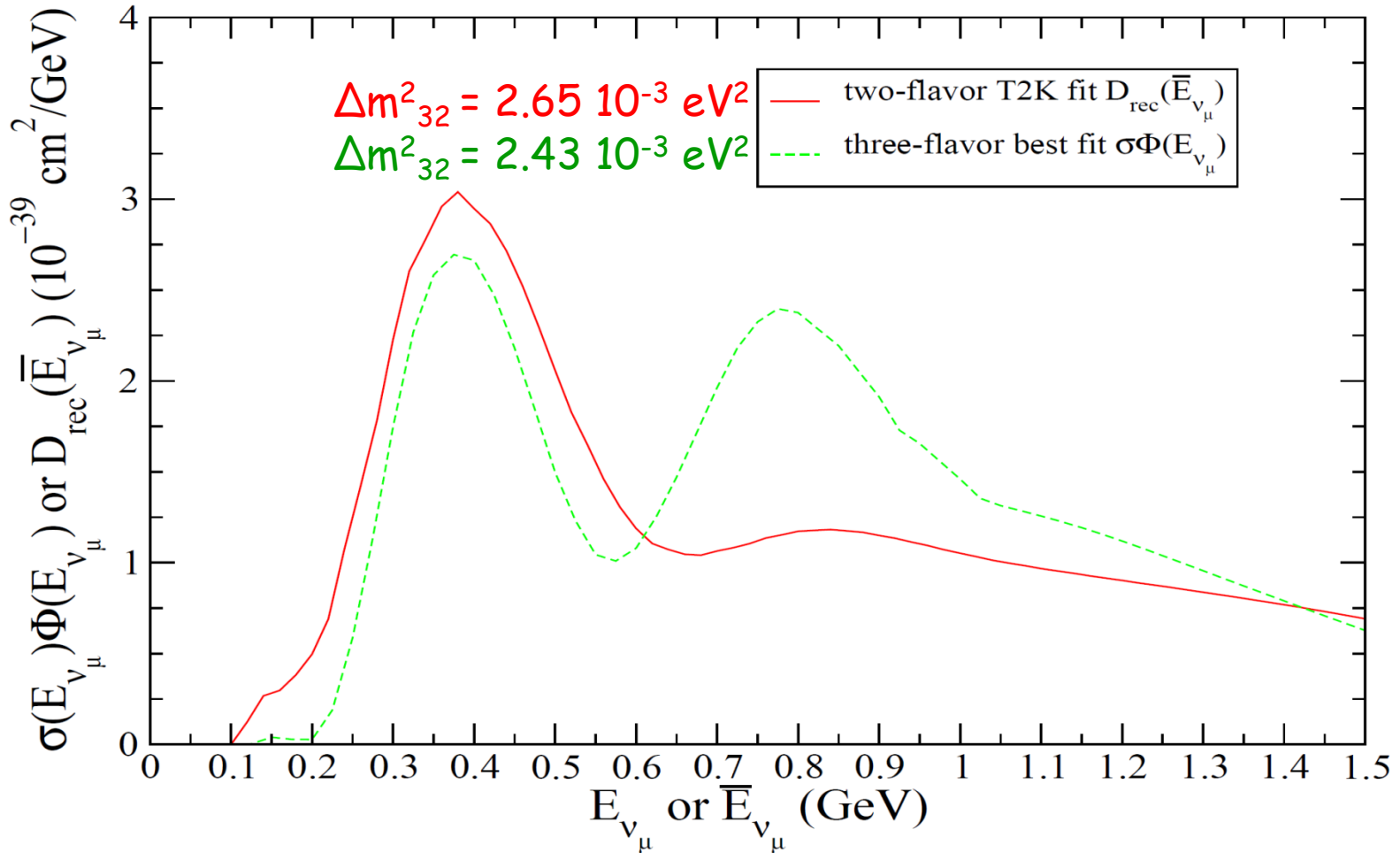
$$\Phi_{\nu_\mu}^{FD}(E_{\nu_\mu}) = \left[ 1 - 4 \cos^2 \theta_{13} \sin^2 \theta_{23} (1 - \cos^2 \theta_{13} \sin^2 \theta_{23}) \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E_{\nu_\mu}} \right) \right] \Phi_{\nu_\mu}^{ND}(E_{\nu_\mu})$$

# Ratio of the distributions FD/ND





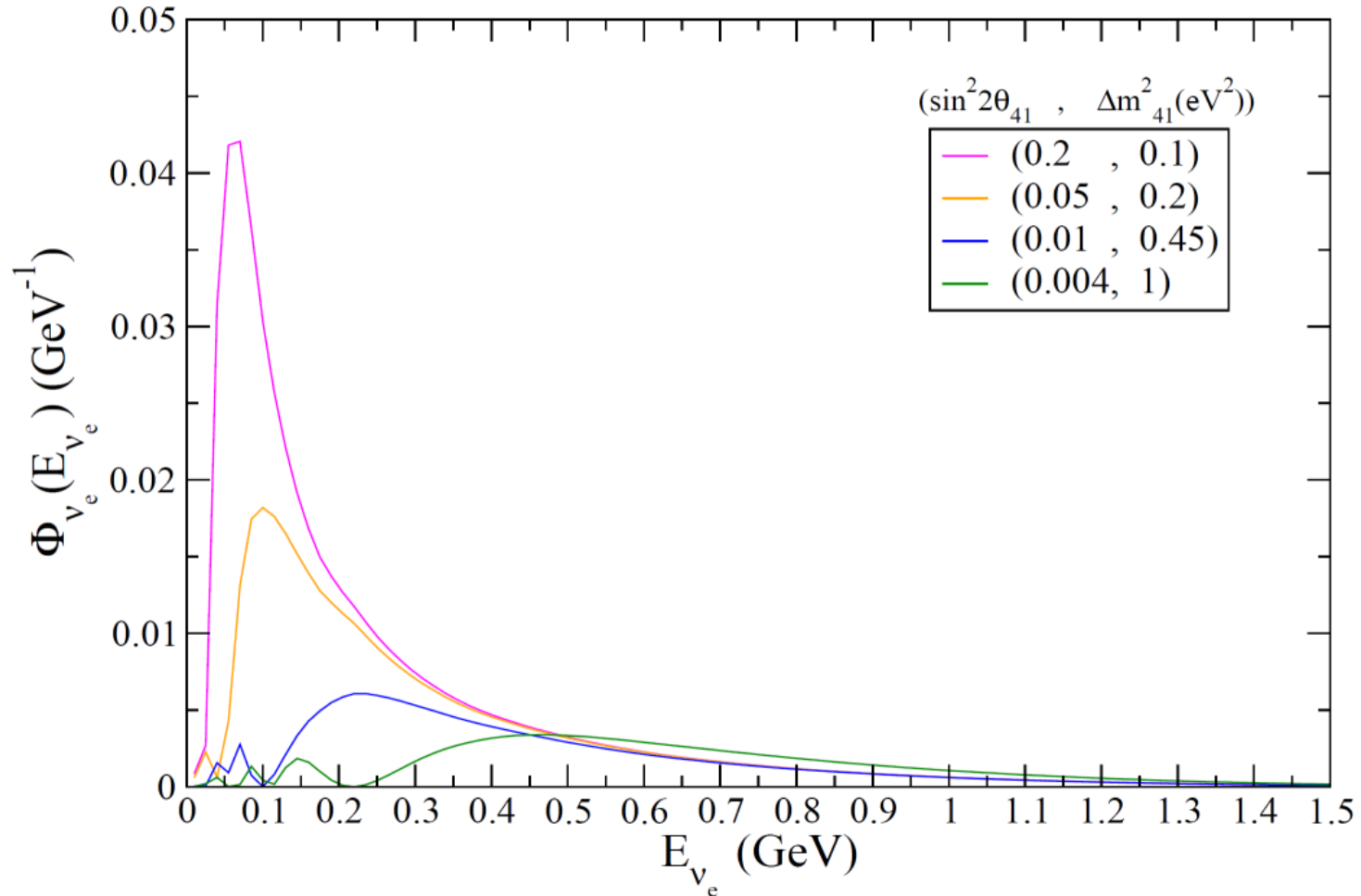
# T2K far detector



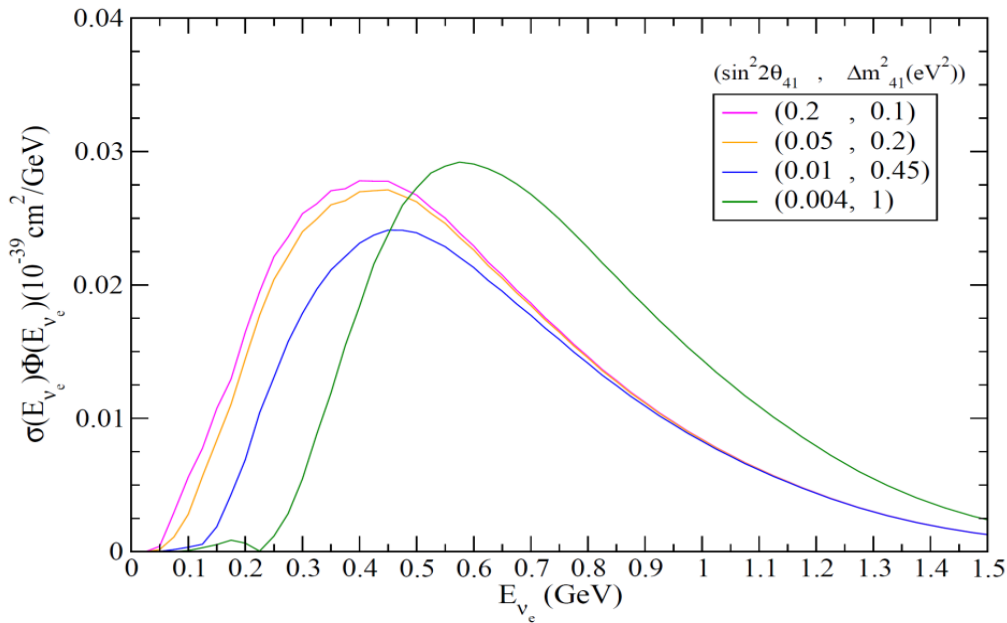
In the first peak region: the smeared curve can be reproduced in the unsmeared case with a lower value of the oscillation mass parameter

# Oscillations induced by sterile neutrino; 3+1 hypothesis

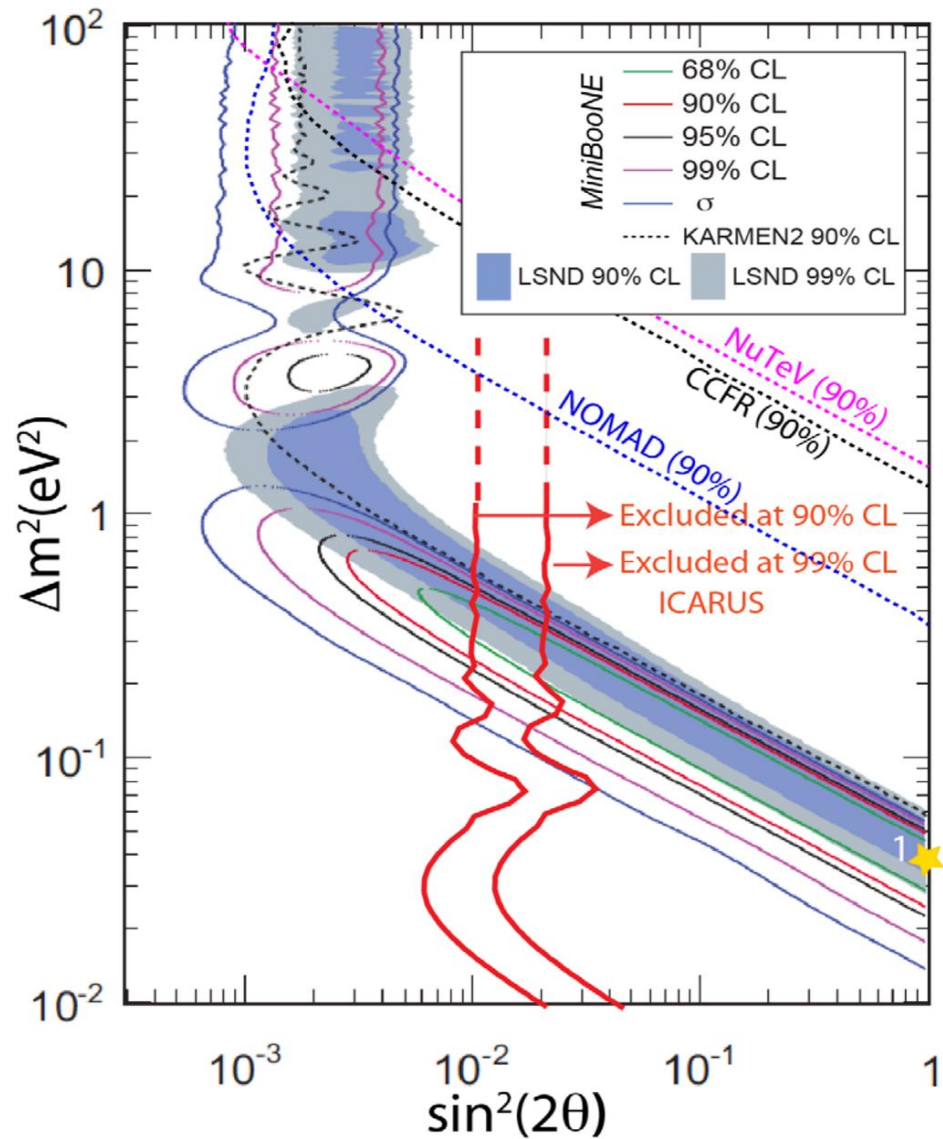
$$\Phi_{\nu_e}(E_{\nu_e}) = \Phi_{\nu_\mu}(E_{\nu_\mu}) \sin^2(2\theta_{41}) \sin^2\left(\frac{\Delta m_{41}^2 L}{4E_\nu}\right)$$



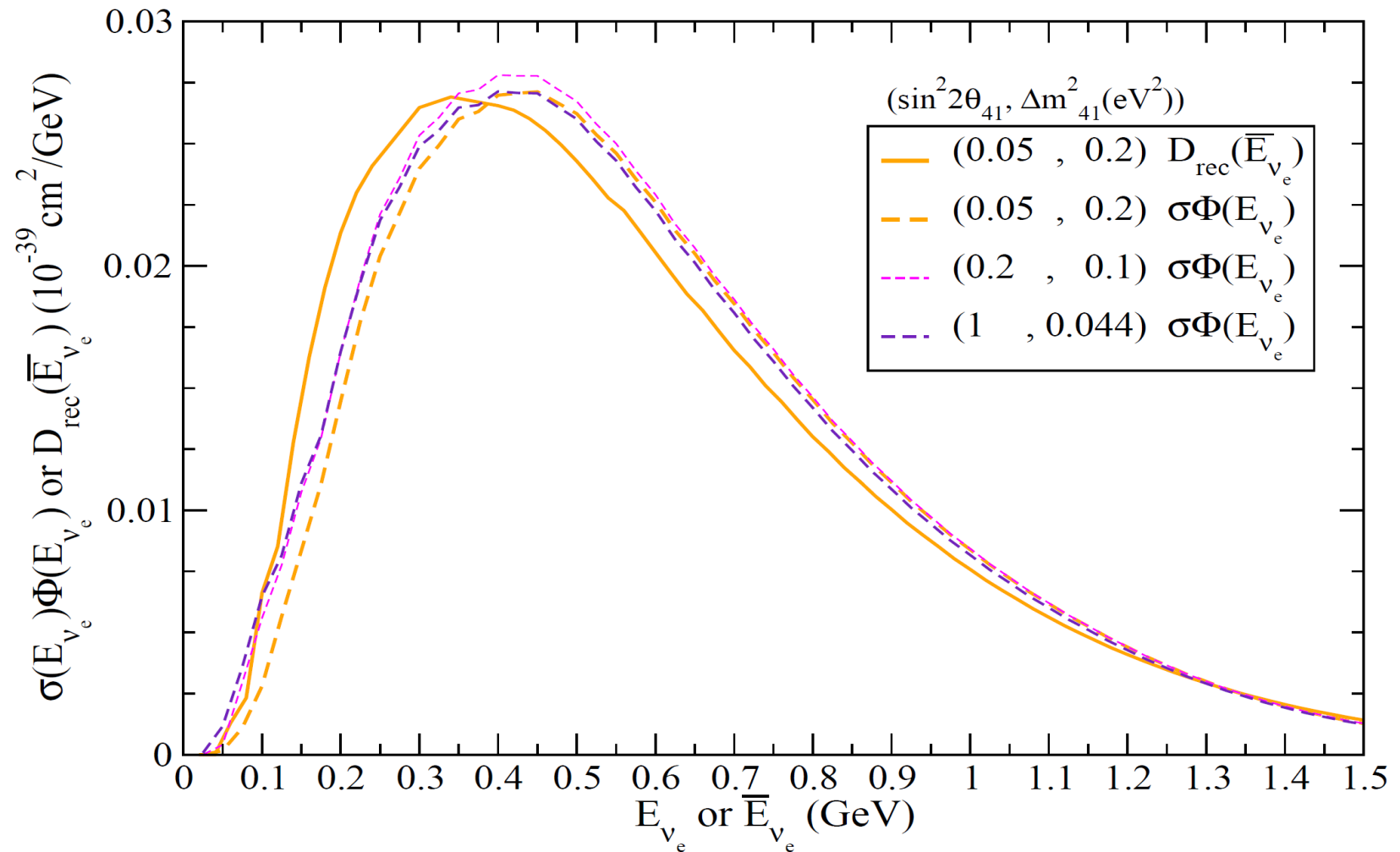
# Some considerations on the oscillation parameters



The low energy behavior of the MiniBooNE data favors small values of the mass parameter which concentrate the  $\nu$  flux at low energies. But small values imply, in order to have enough events, large values of  $\sin^2(2\theta)$  which are not compatible with the constraints from other sets of data.



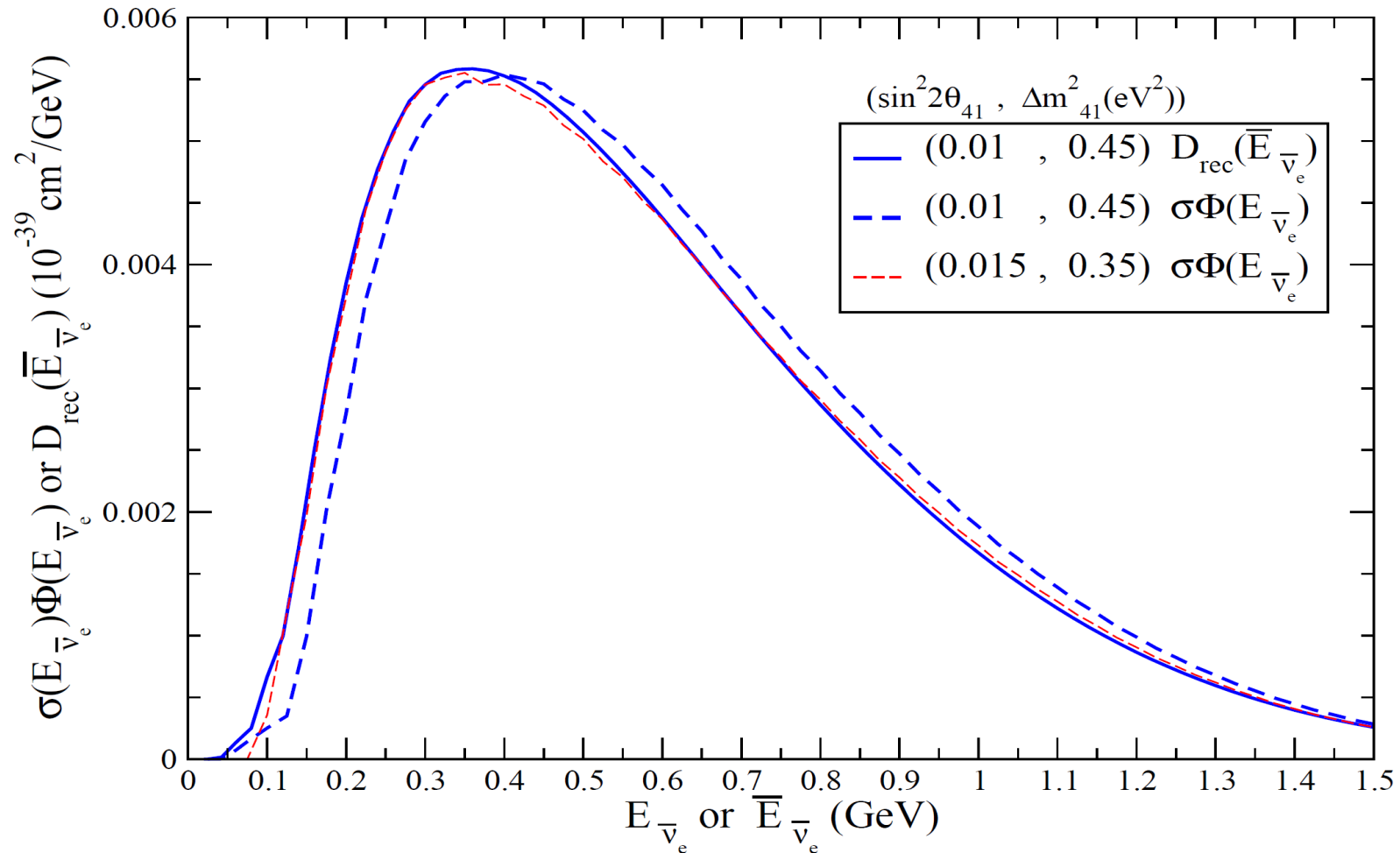
# The case of smaller mass values



The smeared curve is shifted at lower energies (displacement of the peak  $\approx 80$  MeV).

It is impossible to reproduce the smeared curve with an unsmeared one even taking a very small mass.

# Antineutrinos



Similar effects, although less pronounced, are present for antineutrinos.

# $\nu_{\mu} \rightarrow \nu_e$ MiniBooNE

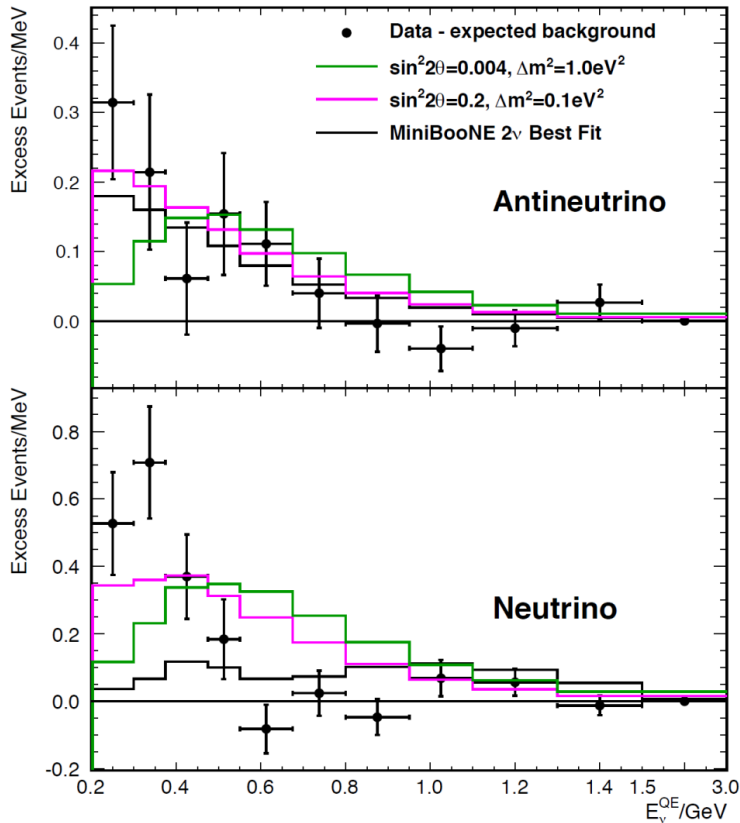
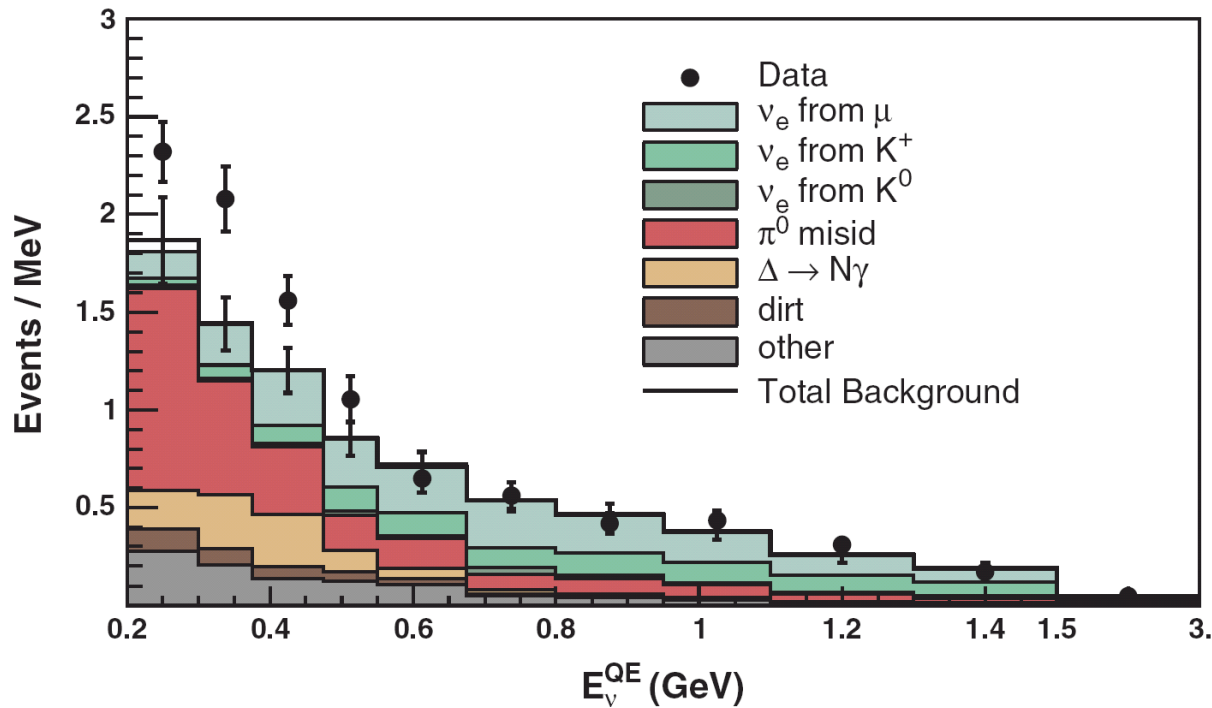


TABLE II:  $\chi^2$  values from oscillation fits to the antineutrino-mode data for different prediction models. The best fit  $(\Delta m^2, \sin^2 2\theta)$  values are  $(0.043 \text{ eV}^2, 0.88)$ ,  $(0.059 \text{ eV}^2, 0.64)$ , and  $(0.177 \text{ eV}^2, 0.070)$  for the nominal, Martini, and disappearance models, respectively. The test point  $\chi^2$  values in the third column are for  $\Delta m^2 = 0.5 \text{ eV}^2$  and  $\sin^2 2\theta = 0.01$ . The effective dof values are approximately 6.9 for best fits and 8.9 for the test points.

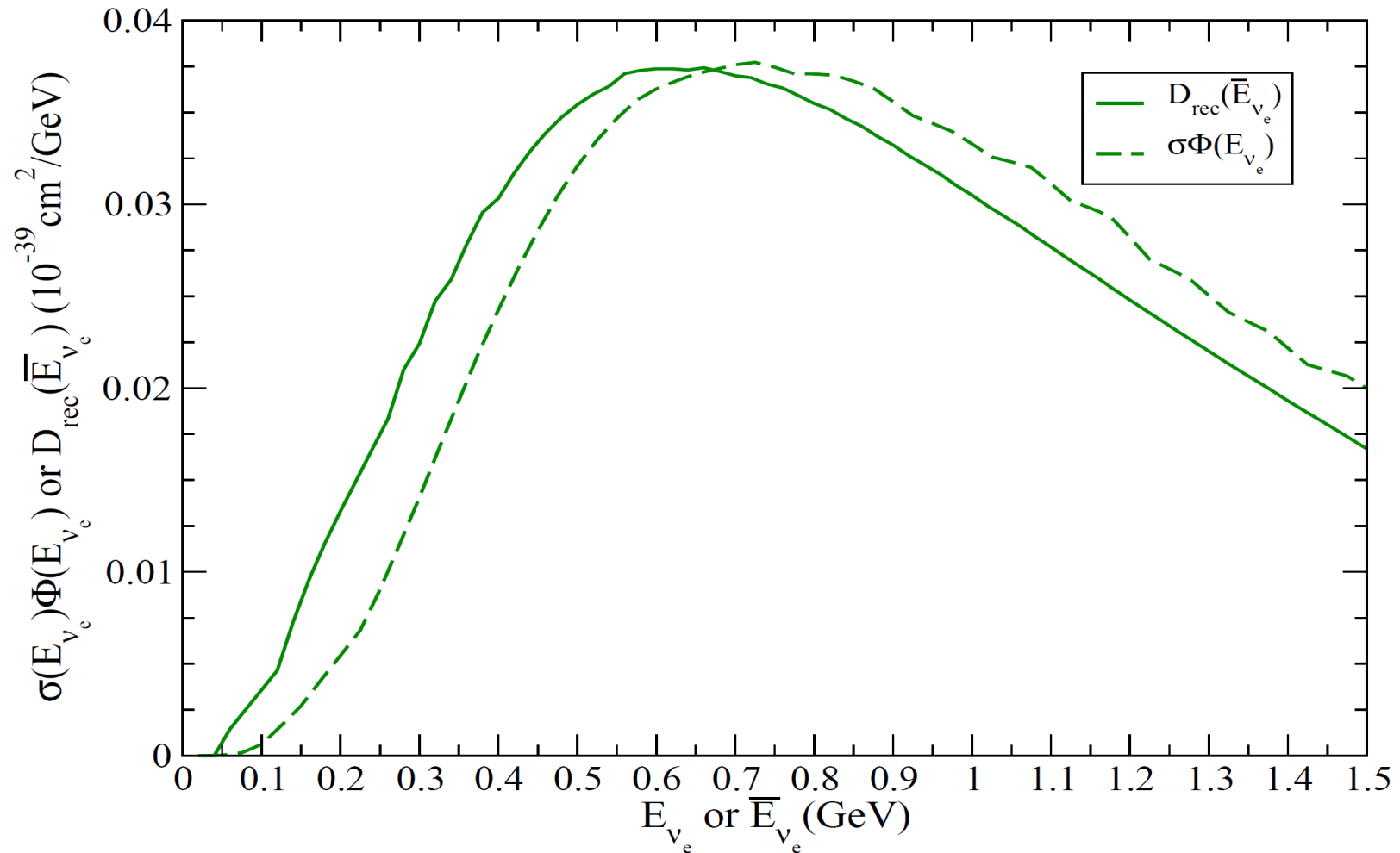
Prediction Model	$\chi^2$ values	
	Best Fit	Test Pt.
Nominal $\bar{\nu}$ -mode Result	5.0	6.2
Martini <i>et al.</i> [25] Model	5.5	6.5
Model With Disapp. (see text)	5.4	6.7

PRL 110 (2013)

# $\nu_e$ background and effective cross sections



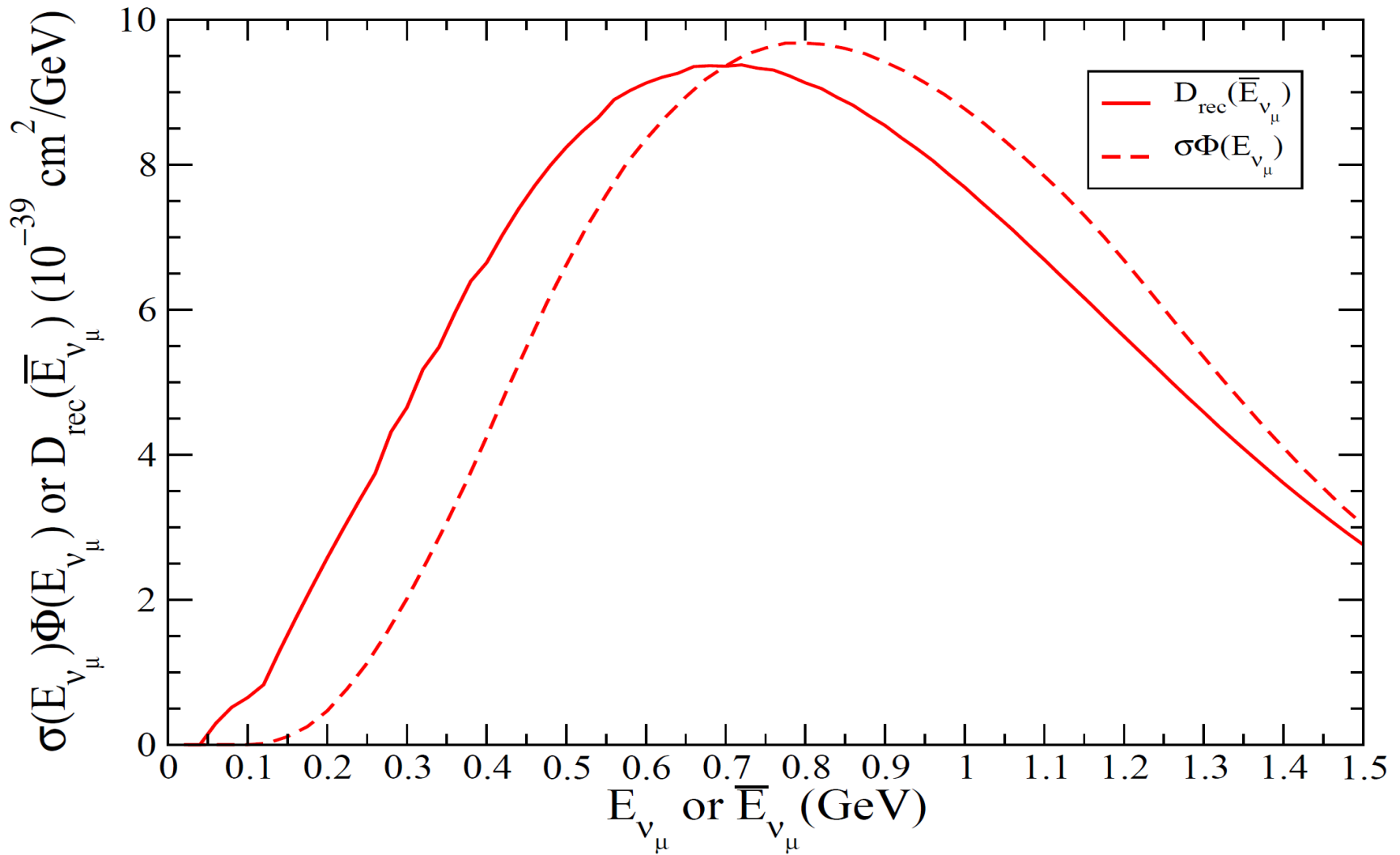
# MiniBooNE electron events distribution for $\nu_e$ background



The electron event background is underestimated for low reconstructed neutrino energies  $E < 0.6$  GeV and overestimated for larger ones



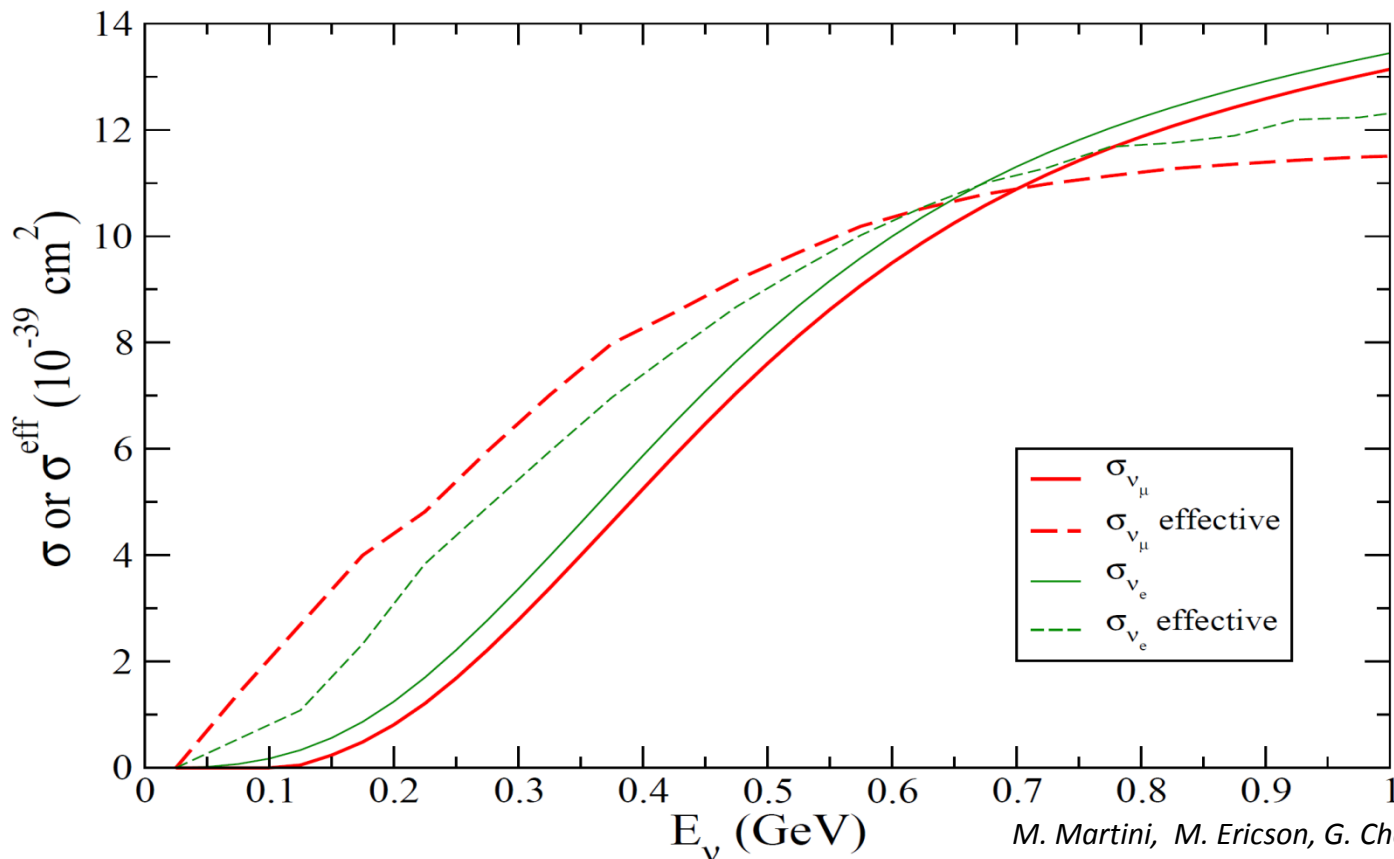
# MiniBooNE muon events distribution



# Real and effective cross sections for $\nu_\mu$ and $\nu_e$

Let's define the effective cross section through  $D_{\text{rec}}(\bar{E}_\nu) = \sigma_\nu^{\text{eff}}(\bar{E}_\nu)\Phi(\bar{E}_\nu)$

Let's then ignore the difference between the true and reconstructed neutrino energies



The effective cross section is not universal but  
it depends on the particular beam energy distribution  
(here we used  $\nu_\mu$  and  $\nu_e$  MiniBooNE fluxes)

# Real and effective cross sections for $\mu$

