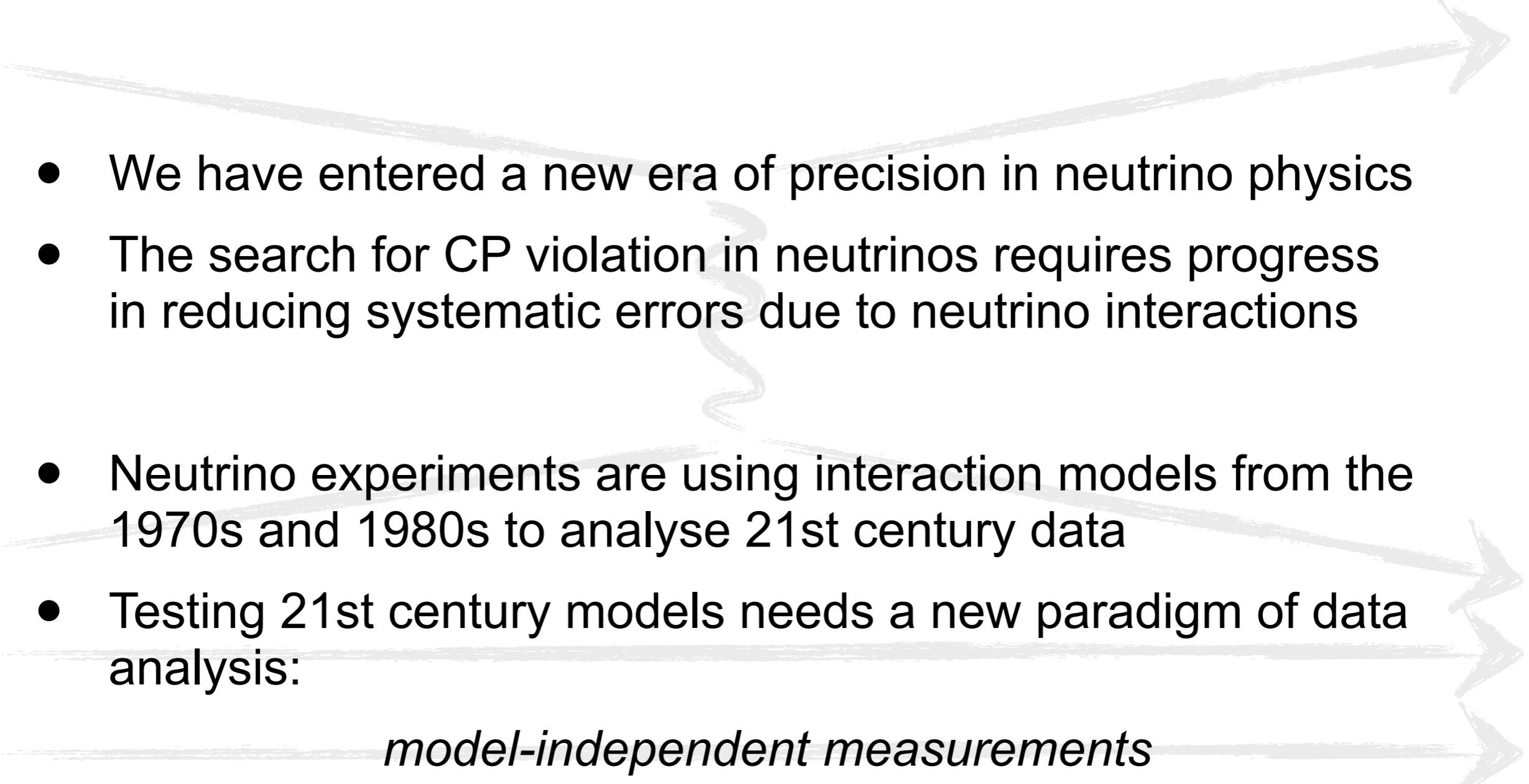


# Neutrino-Nucleus Interaction & Cross- Section Measurements

Morgan Wascko  
Imperial College London

GDR Neutrinos, IPNL  
2013 11 13

# Context & Message



- We have entered a new era of precision in neutrino physics
- The search for CP violation in neutrinos requires progress in reducing systematic errors due to neutrino interactions
- Neutrino experiments are using interaction models from the 1970s and 1980s to analyse 21st century data
- Testing 21st century models needs a new paradigm of data analysis:

*model-independent measurements*

# Outline

- Historical Context
- Neutrino oscillation
- Quasi-Elastic Scattering
- Single pion production
- Model independent measurements
- Conclusion

# Accelerator Neutrinos

- Accelerator neutrino experiments were born in the 1960s and came of age in the 1970s
- 1960s - First pion decay beam, first focussing horns
- 1970s - Development of big bubble chambers
- Discovery of 2nd generation of neutrinos and neutral currents

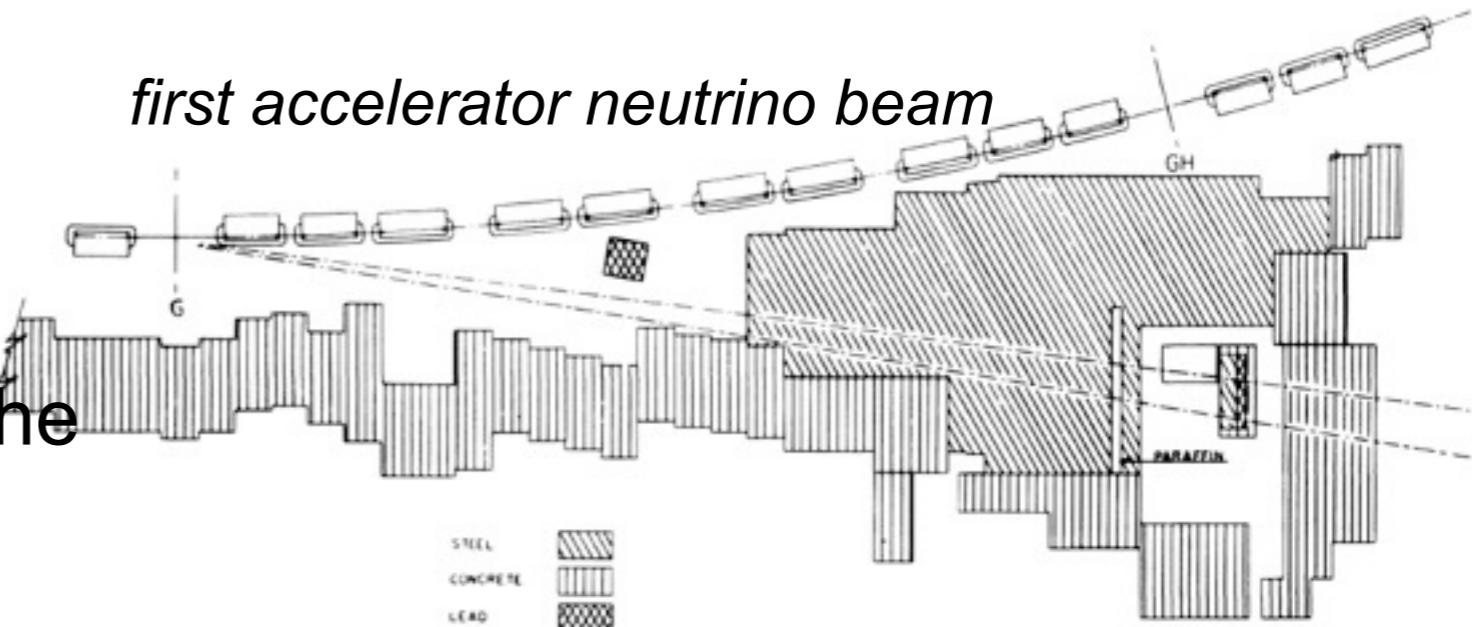
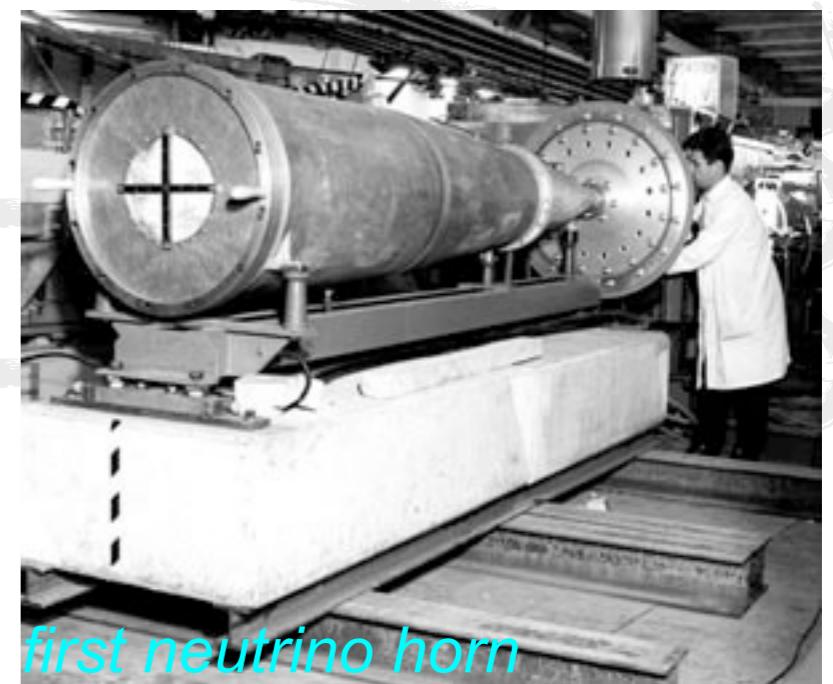


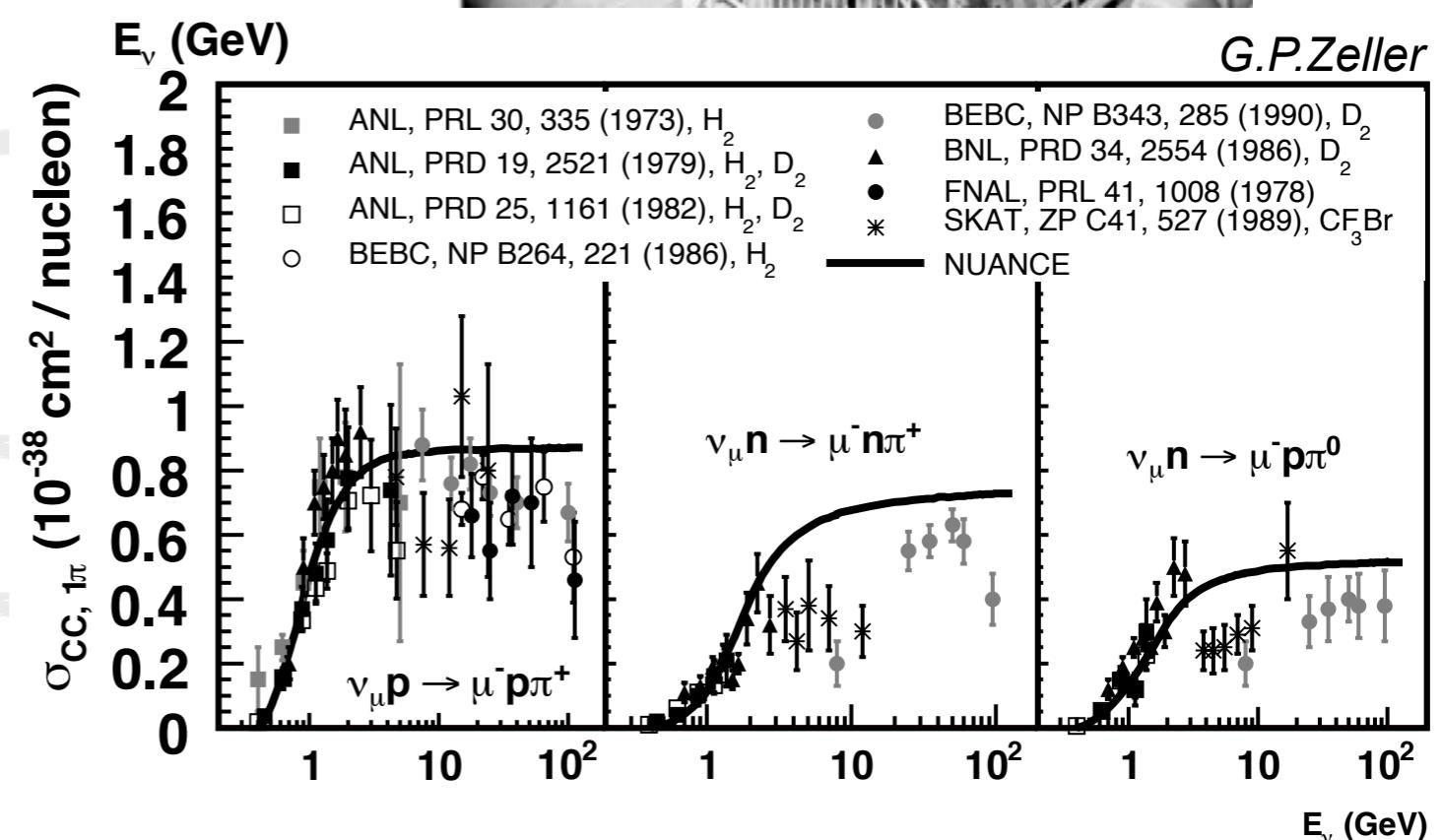
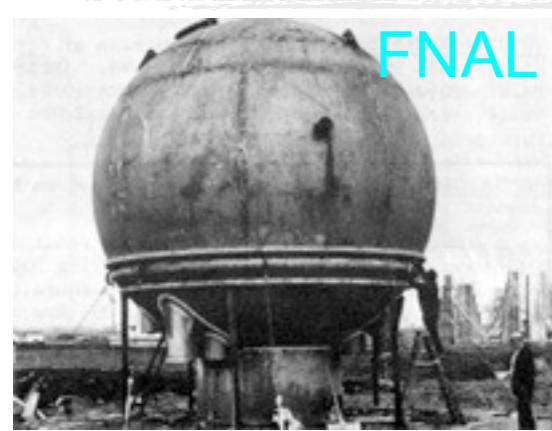
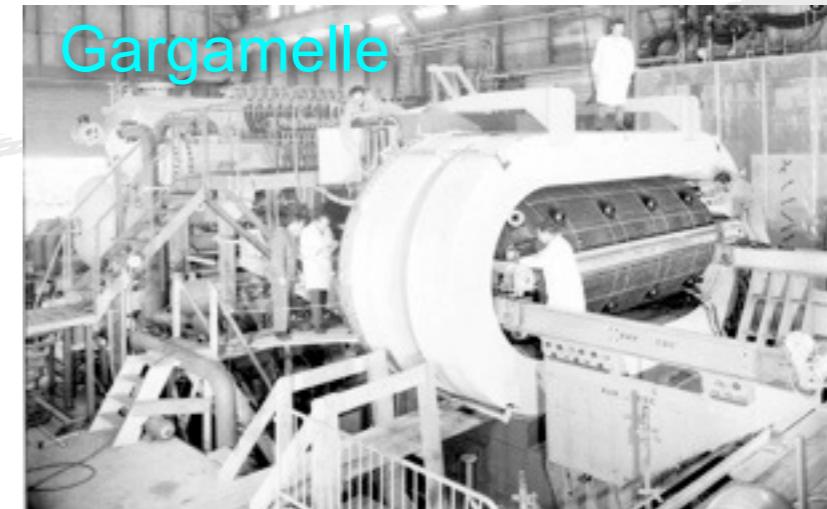
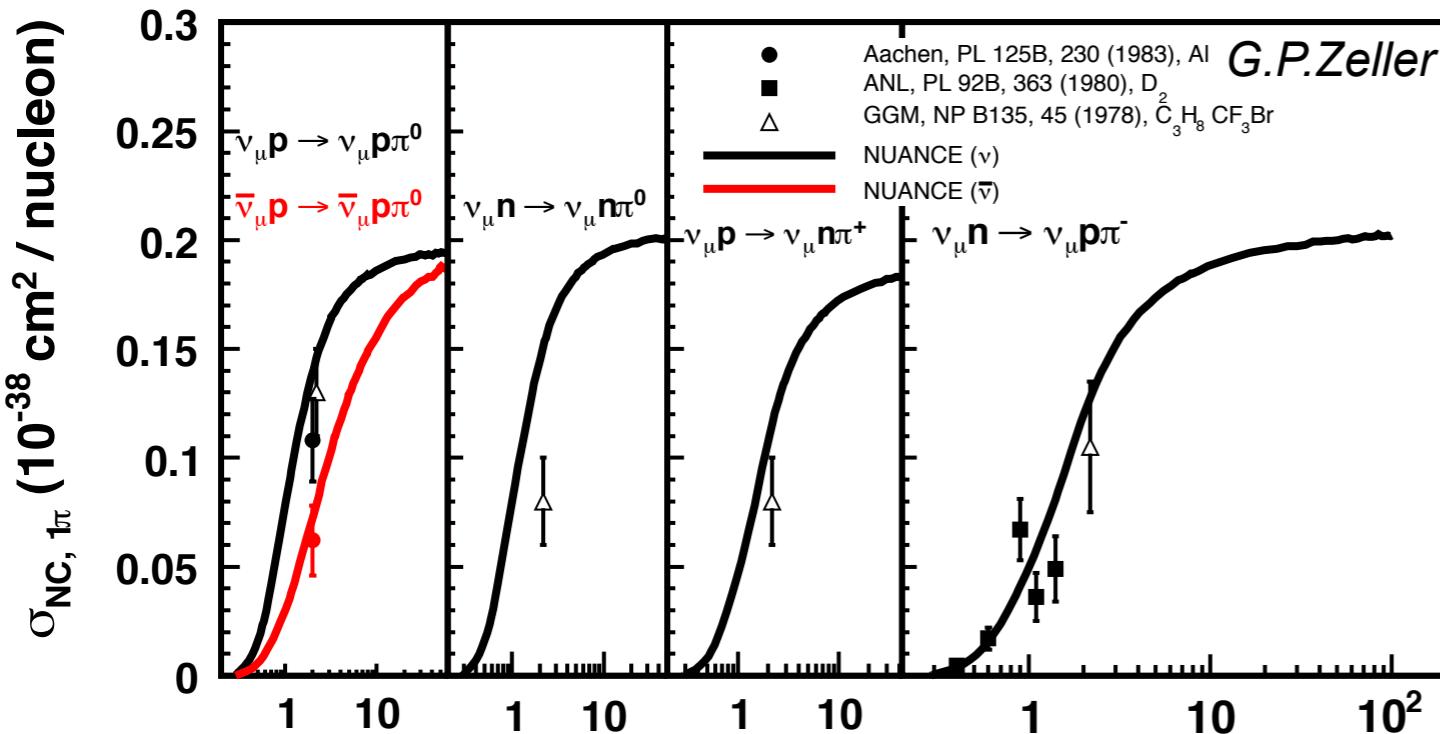
FIG. 1. Plan view of AGS neutrino experiment.



*first neutrino horn*

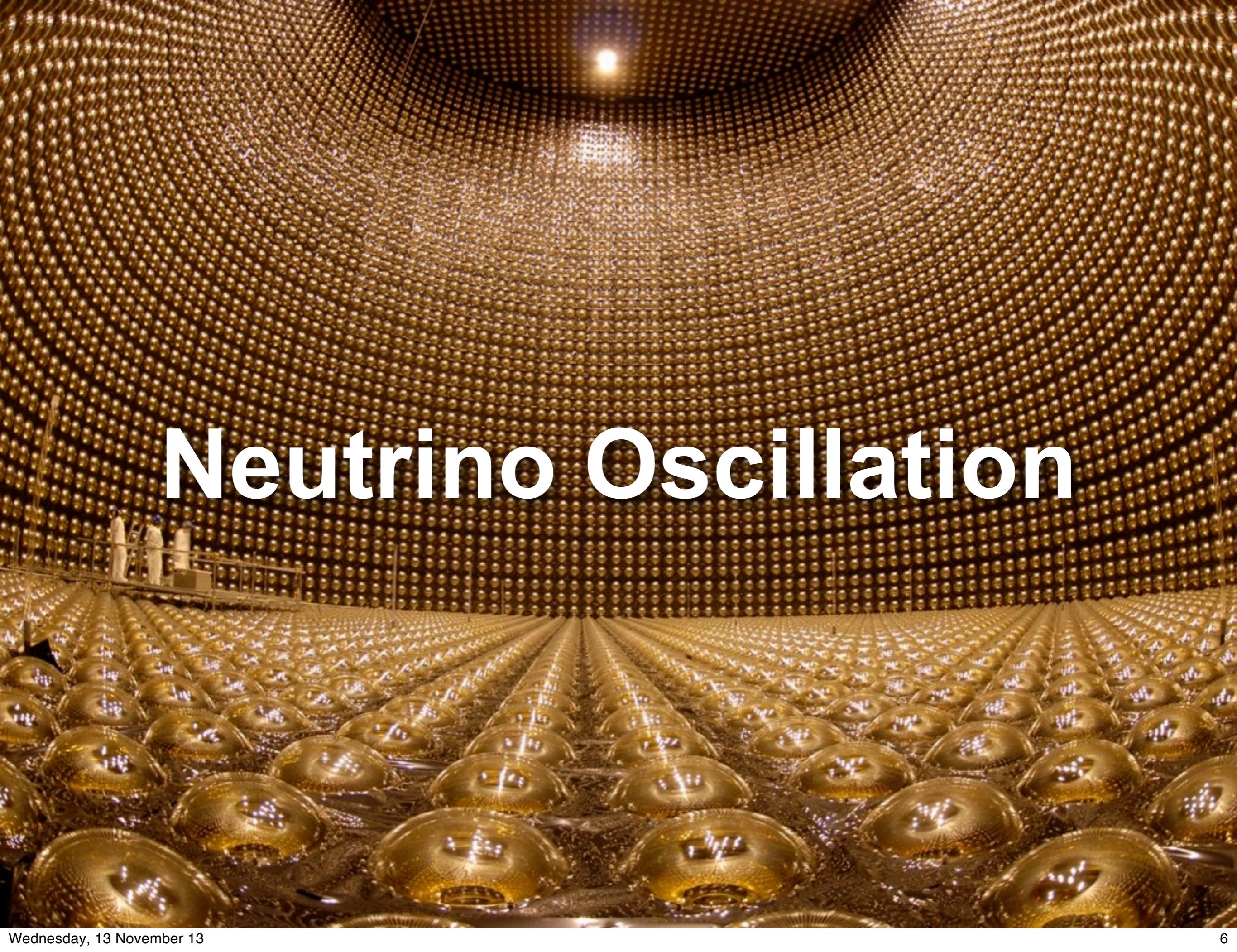
# Early neutrino data

From discovery to  
(precision) measurements...



*Plots from the PDG!*

# Neutrino Oscillation



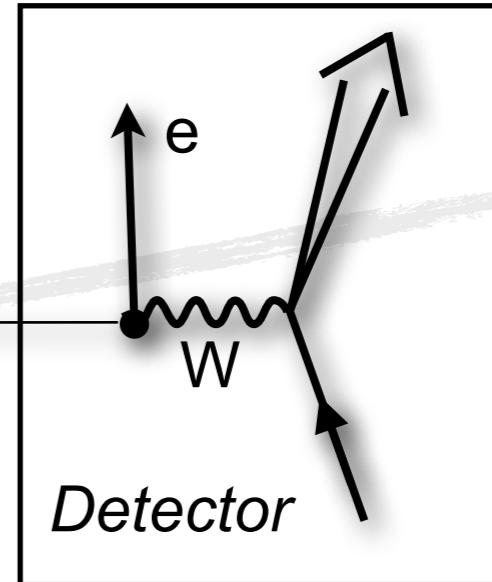
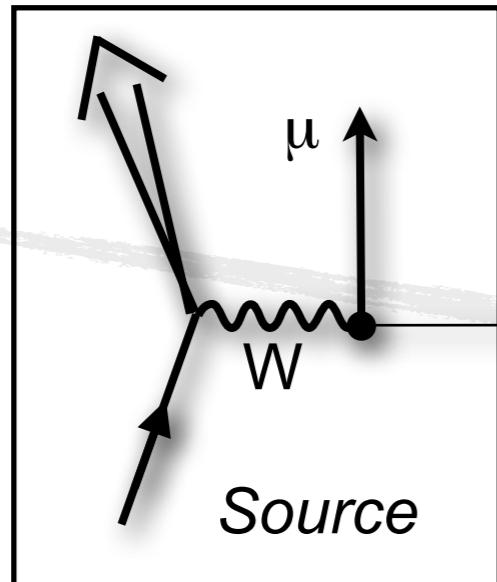
# Neutrino oscillation



*Bruno Pontecorvo*

[Sov.Phys.JETP 6:429, 1957](#)

[Sov.Phys.JETP 26:984-988, 1968](#)



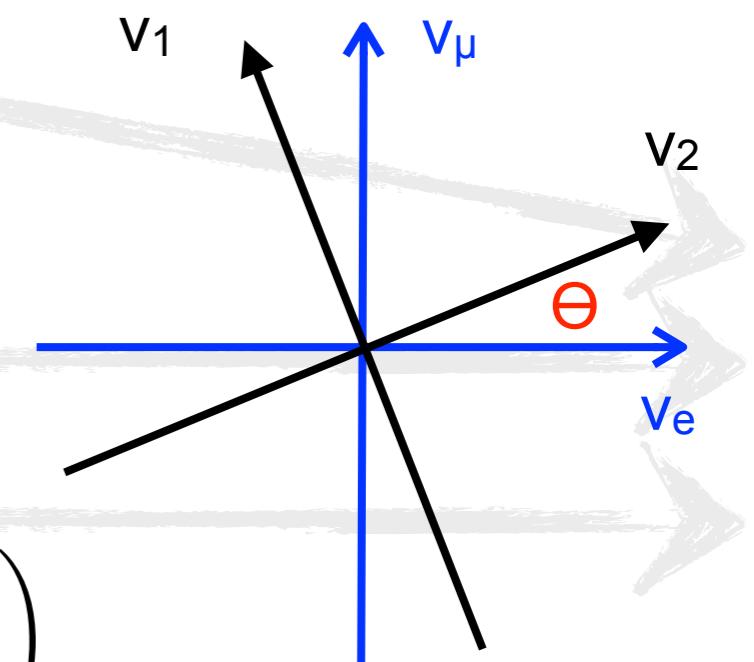
Maki,  
Nakagawa,  
Sakata

[Prog.Theor.Phys. 28, 870 \(1962\)](#)

Simple 2 neutrino example-  
if weak eigenstates ( $v_e, v_\mu$ ) differ from mass eigenstates ( $v_1, v_2$ ):

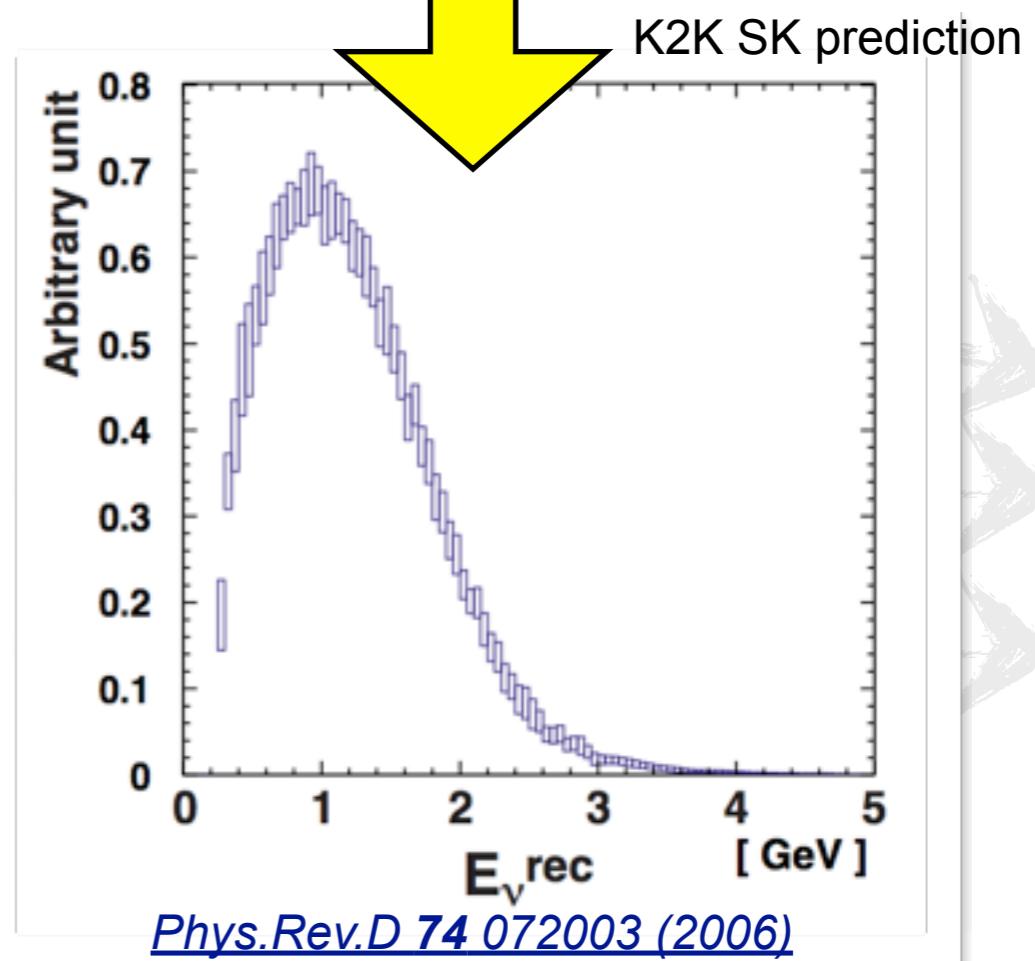
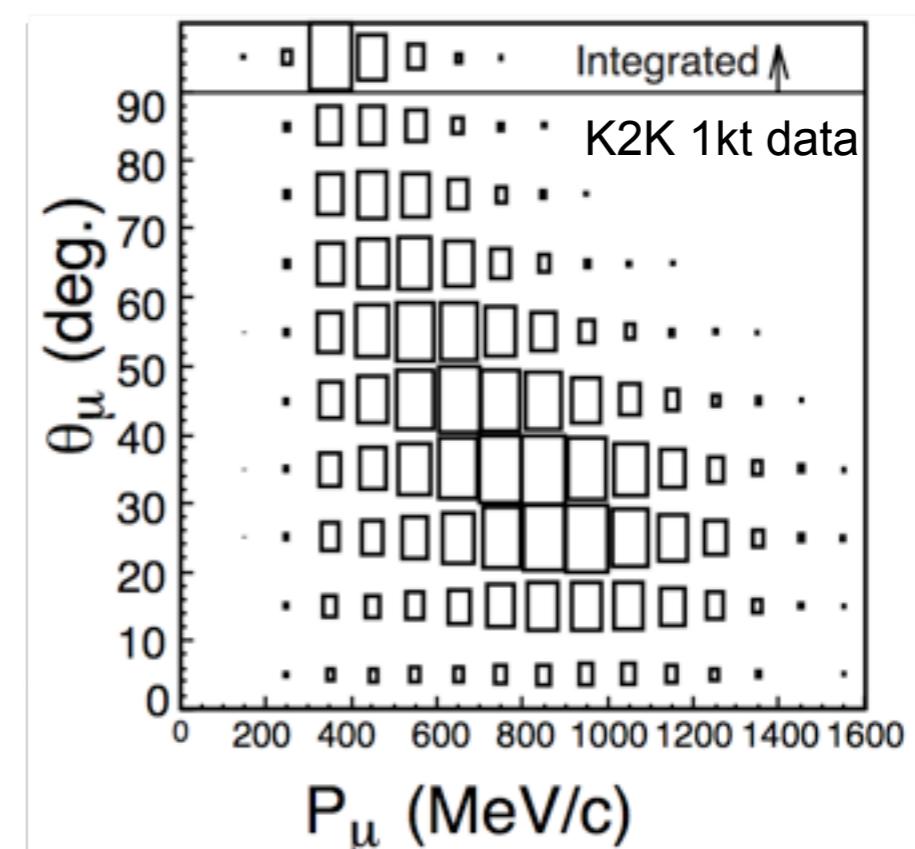
$$\begin{pmatrix} v_e \\ v_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$$

$$P_{\text{oscillation}}(v_\mu \rightarrow v_e) = \sin^2 2\theta \sin^2 \left( \frac{1.27 \Delta m^2 (eV^2) L(km)}{E_\nu (GeV)} \right)$$



# What do we need?

- Need to predict event rates and kinematics of final state particles
  - Need to reconstruct neutrino energy accurately
    - Both kinematic and calorimetric reconstruction
  - Need to accurately predict background contamination
- Need precise neutrino-nucleus cross-sections
- Need good models



# Xsecs and Oscillations

- Cross section models used by experiments cannot describe recent K2K, MiniBooNE, SciBooNE, MINERvA observations.
  - Leads to larger systematic uncertainties
- Model dependence will always be injected into data analysis
  - Energy,  $Q^2$  reconstruction
  - Background subtraction
- Using these models will always give such uncertainties.
  - Need to use better models!

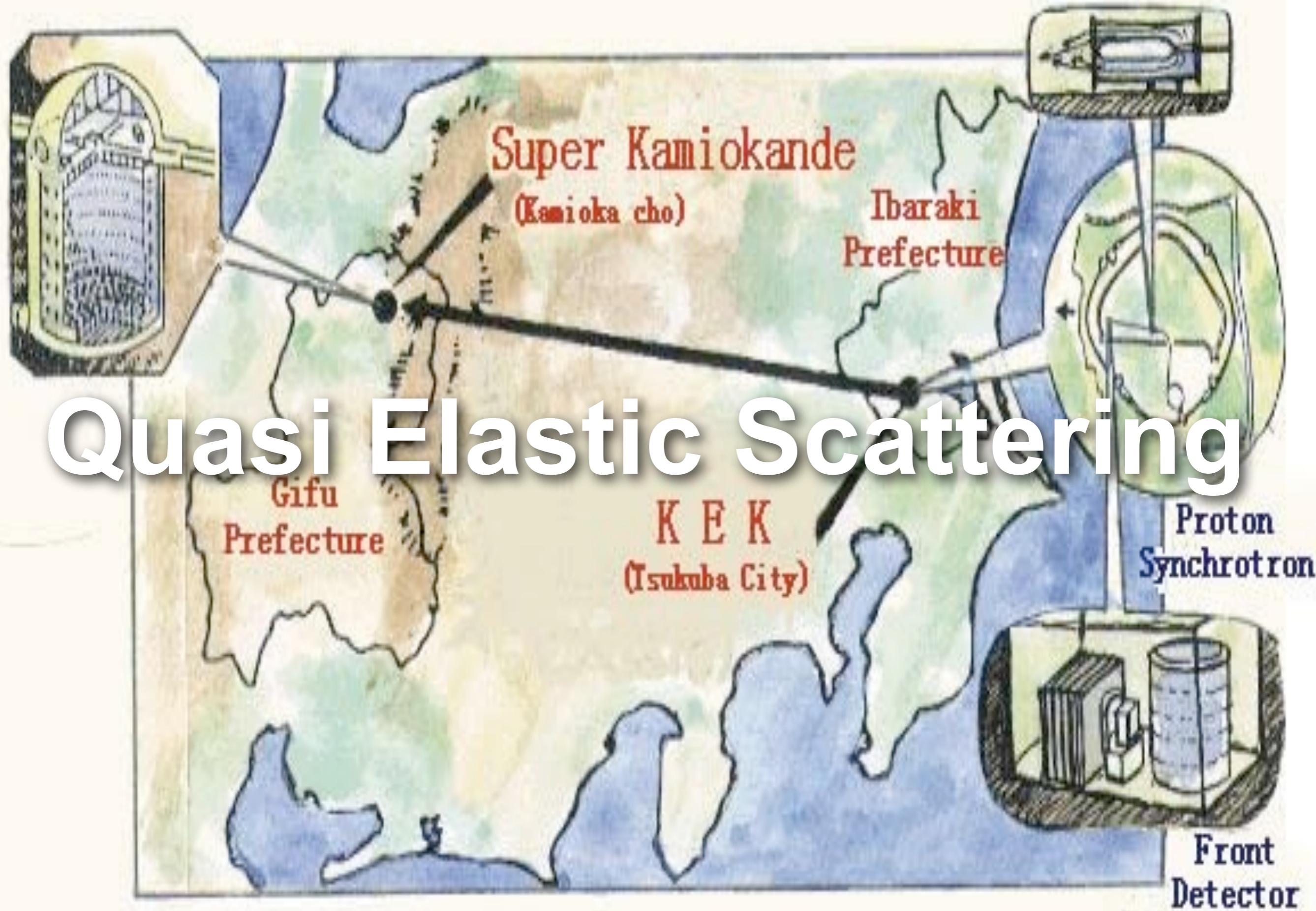
*Systematic uncertainties reported by the world's most sensitive  $\nu_e$  appearance experiments: MiniBooNE and T2K*

Experiment	xsec err (%)	total err (%)
MiniBooNE (2007)	12.3	17.6
T2K (2012)	7.5	10.3

[Conrad & Louis, FNAL Wine and Cheese Apr 11 2007](#)

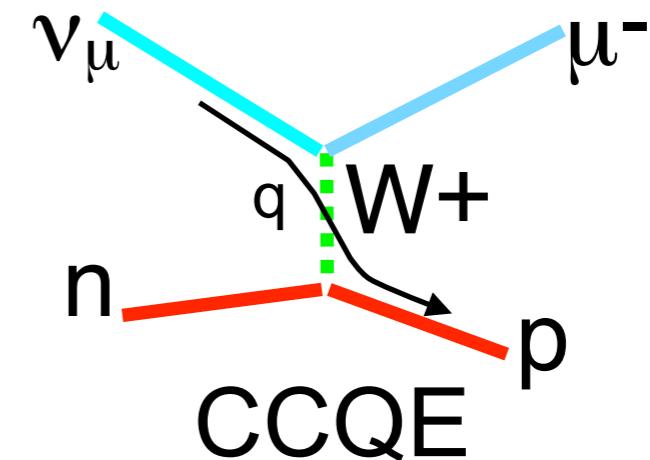
[T. Nakaya, Neutrino 2012, Kyoto](#)

# Quasi Elastic Scattering



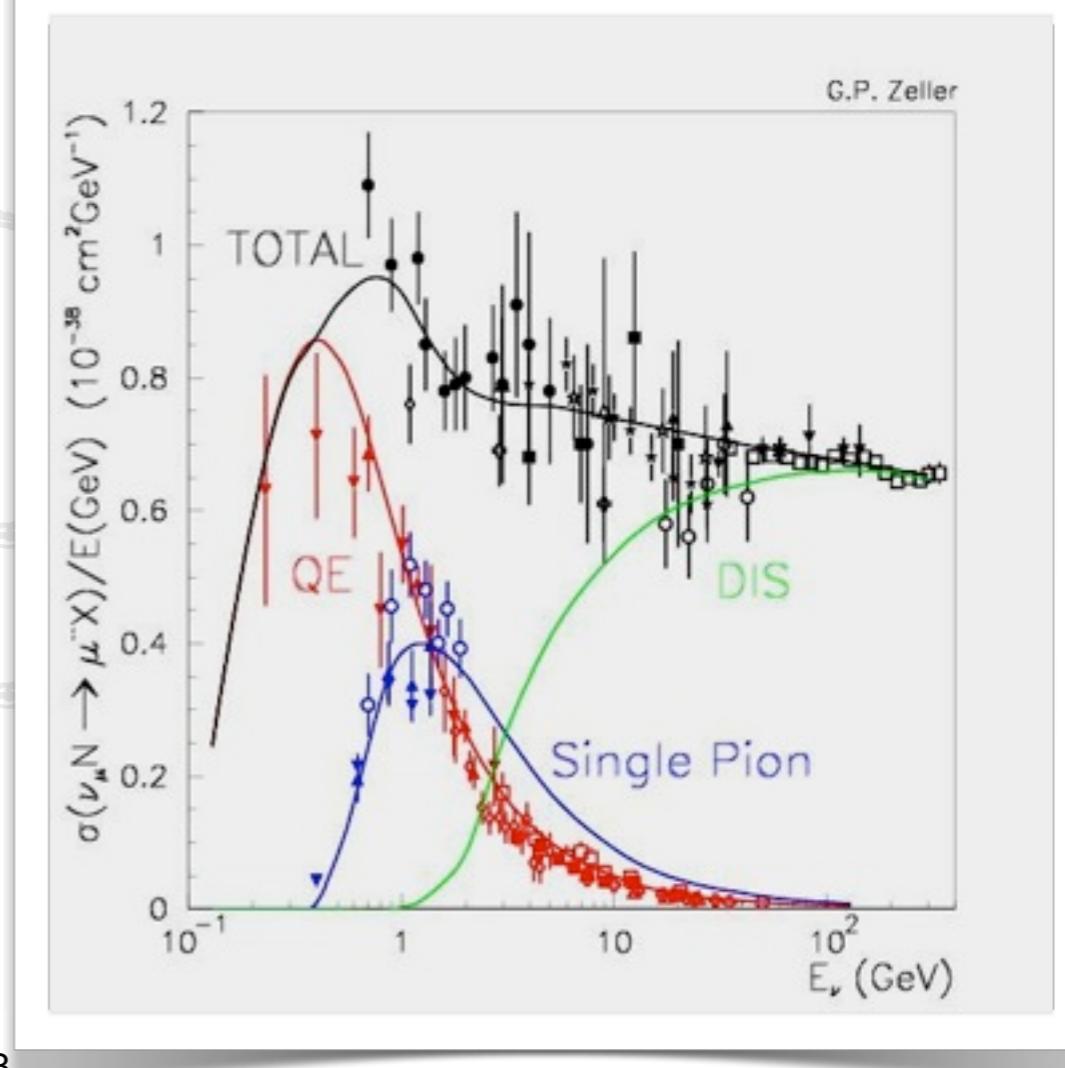
# Quasi-Elastic Scattering

- Experiments use Llewellyn Smith formalism (1971)
- Form-factors parameterise nucleon weak charge distributions
  - $F_V$  measured by electron scattering,  $F_P$  negligible due to kinematics,  $F_A$  assumed to be dipole
- Important for accelerator  $\nu$  beams
  - Dominant process near 1 GeV
  - Simple energy reconstruction



$$F_A(Q^2) = \frac{F_A(0)}{(1 - \frac{Q^2}{M_A^2})^2}$$

(where  $Q^2 = -q^2$ )



# Nuclear Models

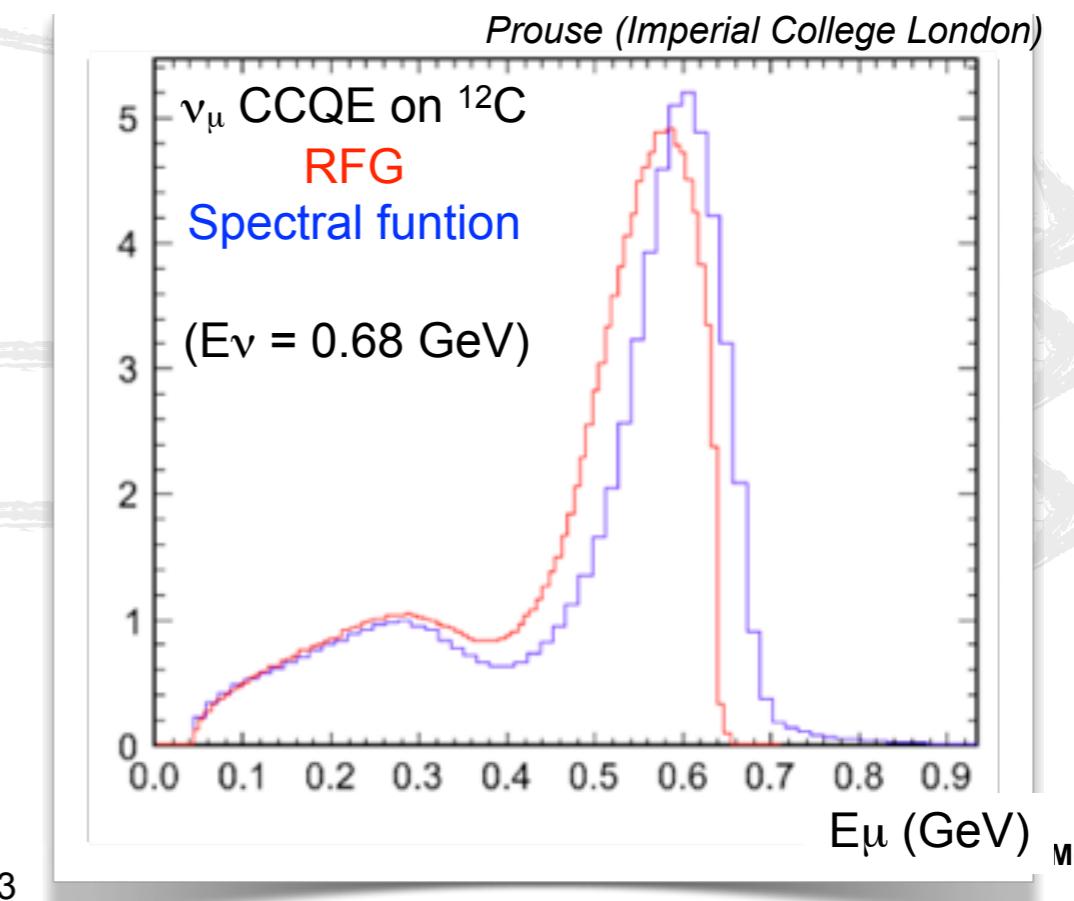
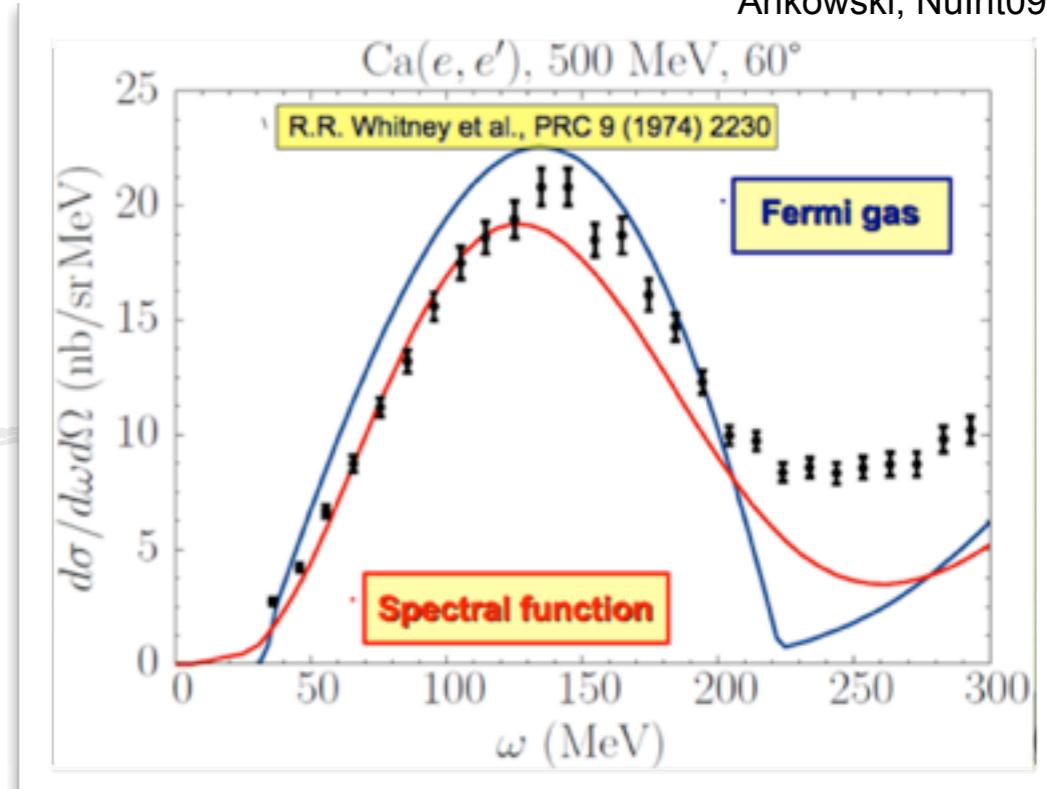
Ankowski, NuInt09

- Most experiments use RFG
  - Smith & Moniz (1971)

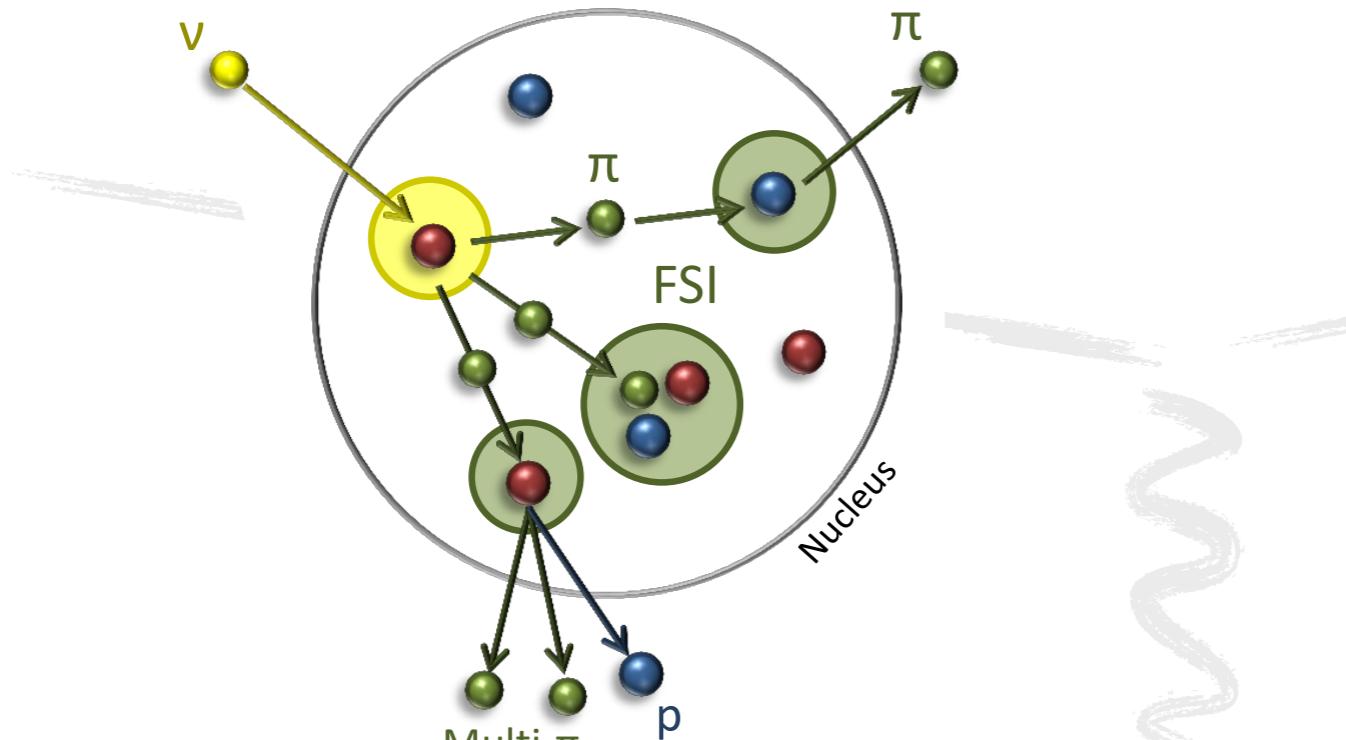


*New Secretary of  
energy does not need  
citations anymore!*

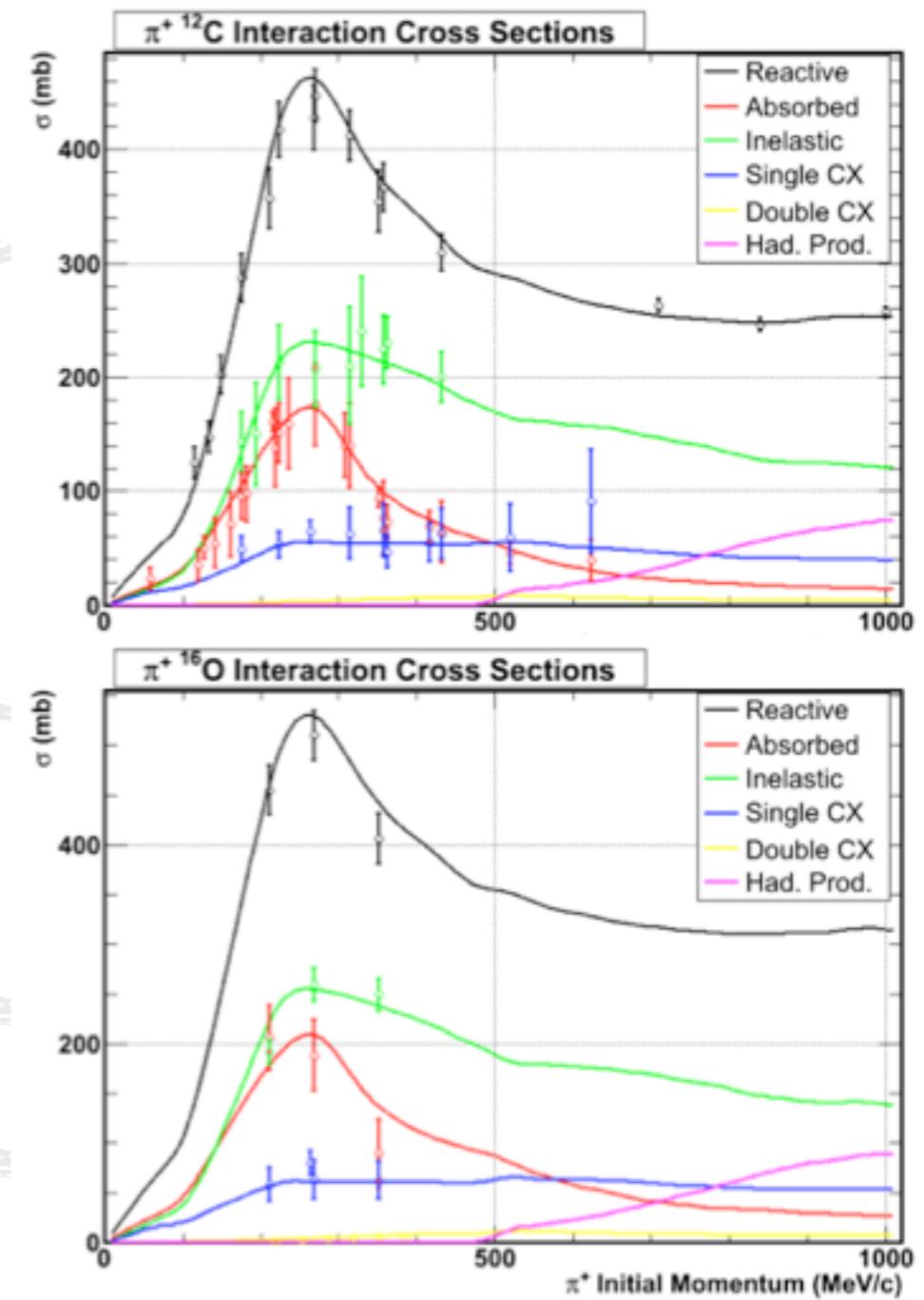
- Most theorists prefer something else
  - Spectral function
  - Affects neutrino energy reconstruction!
- Impacts oscillation experiment!



# Final State Interactions



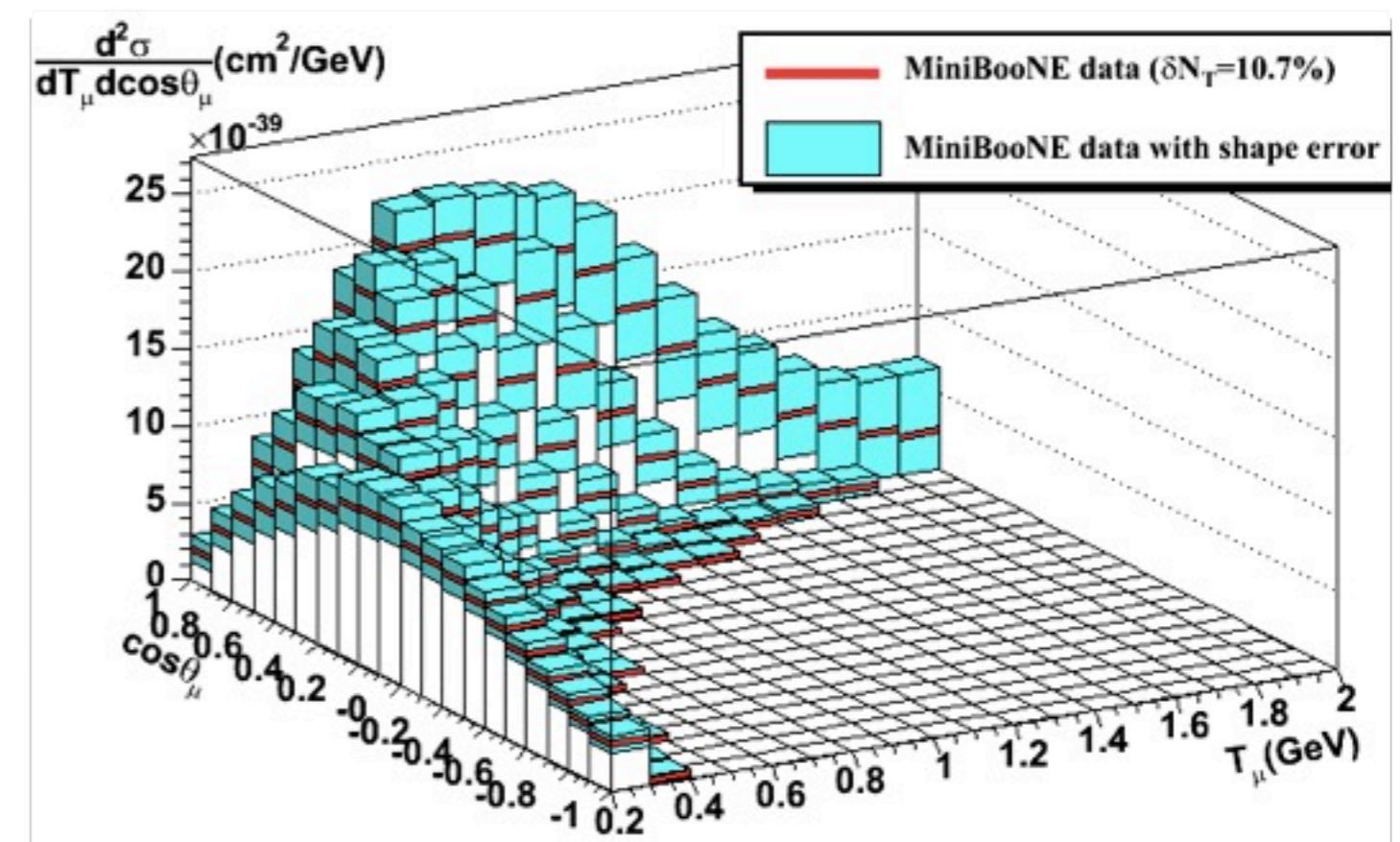
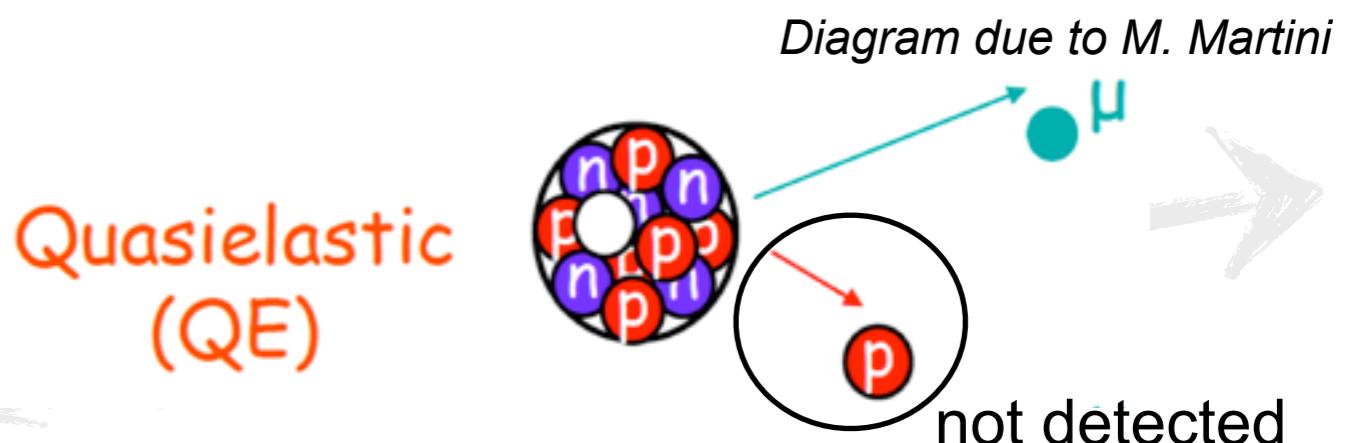
P. de Perio, NuInt11



- Neutrino interaction products are created in the nuclear environment
- Need to simulate how they get out
  - Tune with external hadron data
  - Crucial piece of any analysis

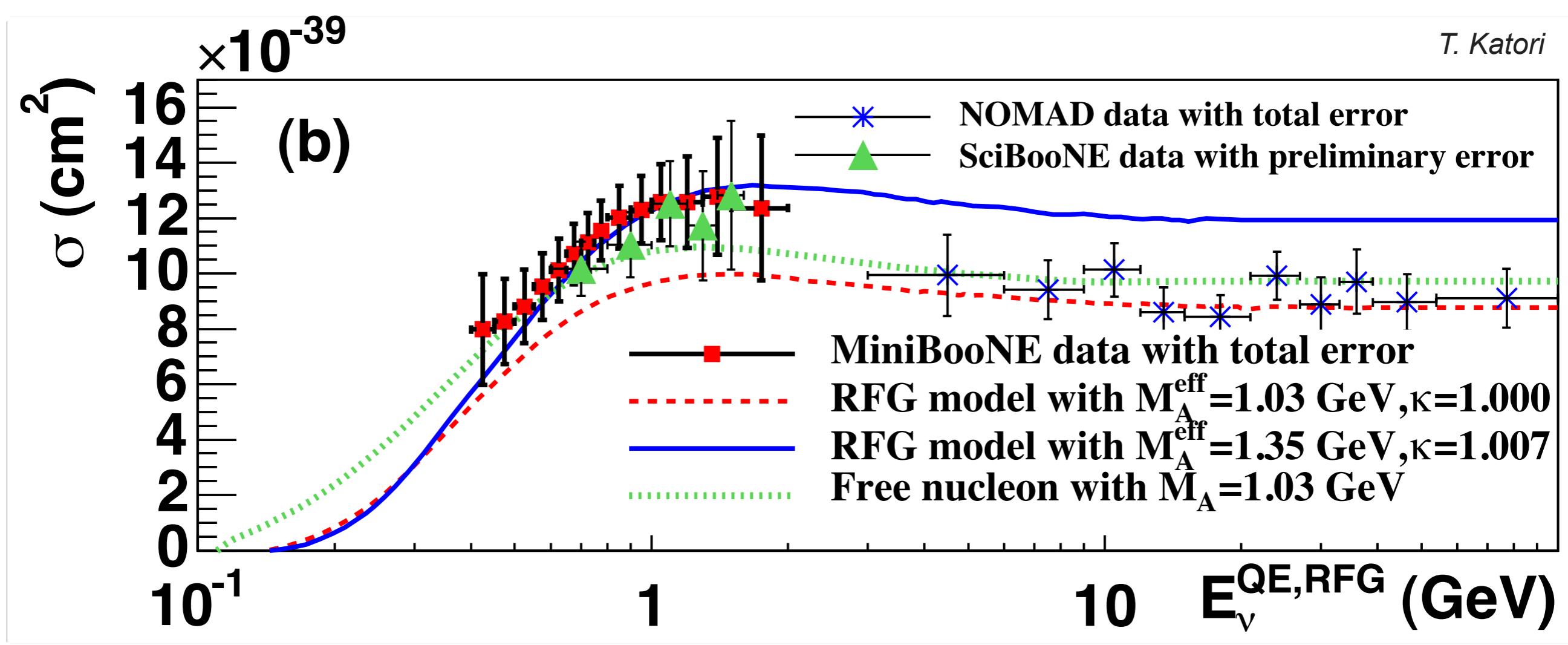
# MiniBooNE $\nu_\mu$ CCQE

- Flux averaged double differential CCQE cross section
- Depends critically on flux prediction, background estimation
- Most complete cross-section information possible based on the muon kinematics
- Incredibly useful input for theorists
- 100+ citations!



[PhysRevD 81 092005 \(2010\)](#)

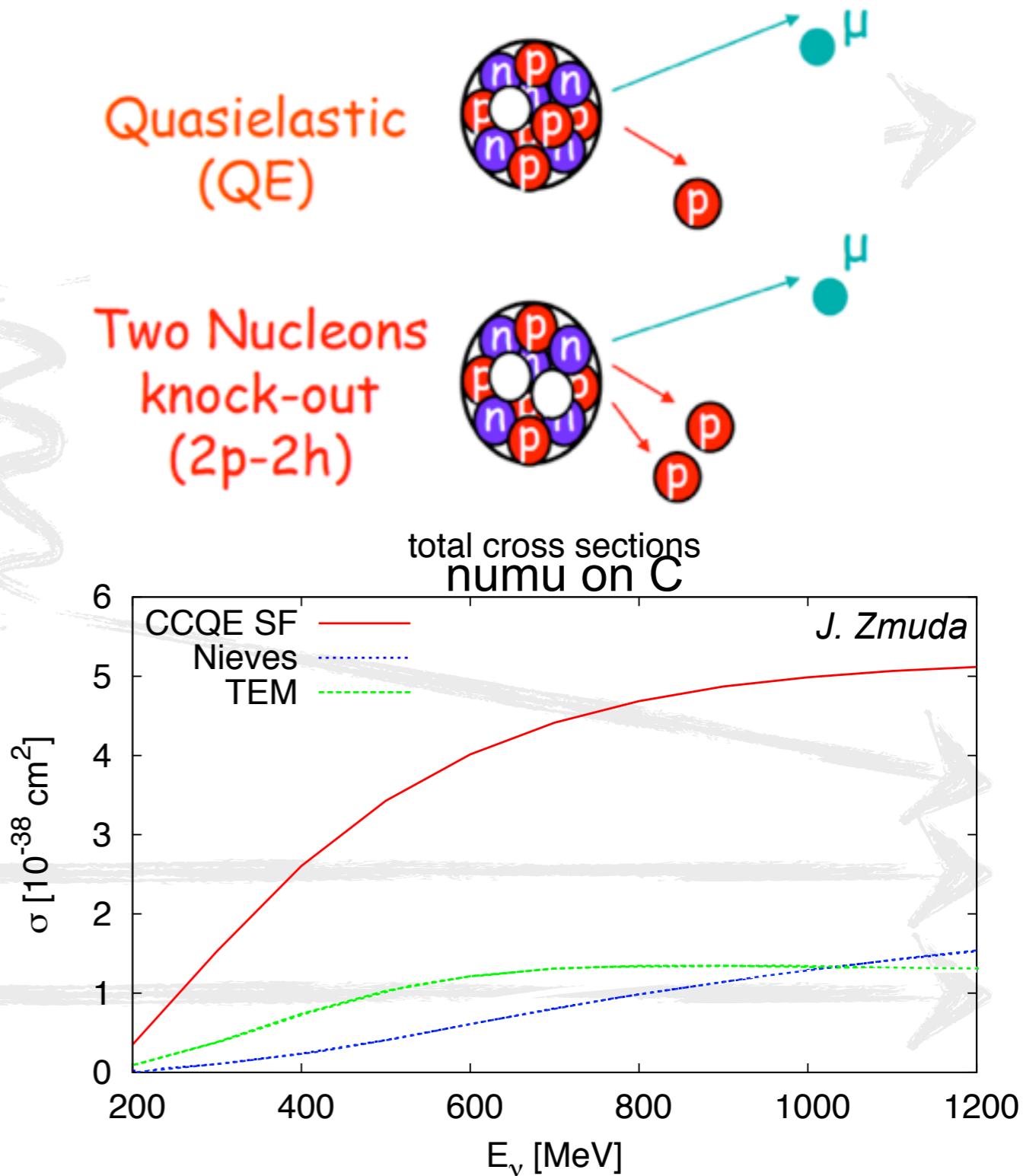
# CCQE comparisons



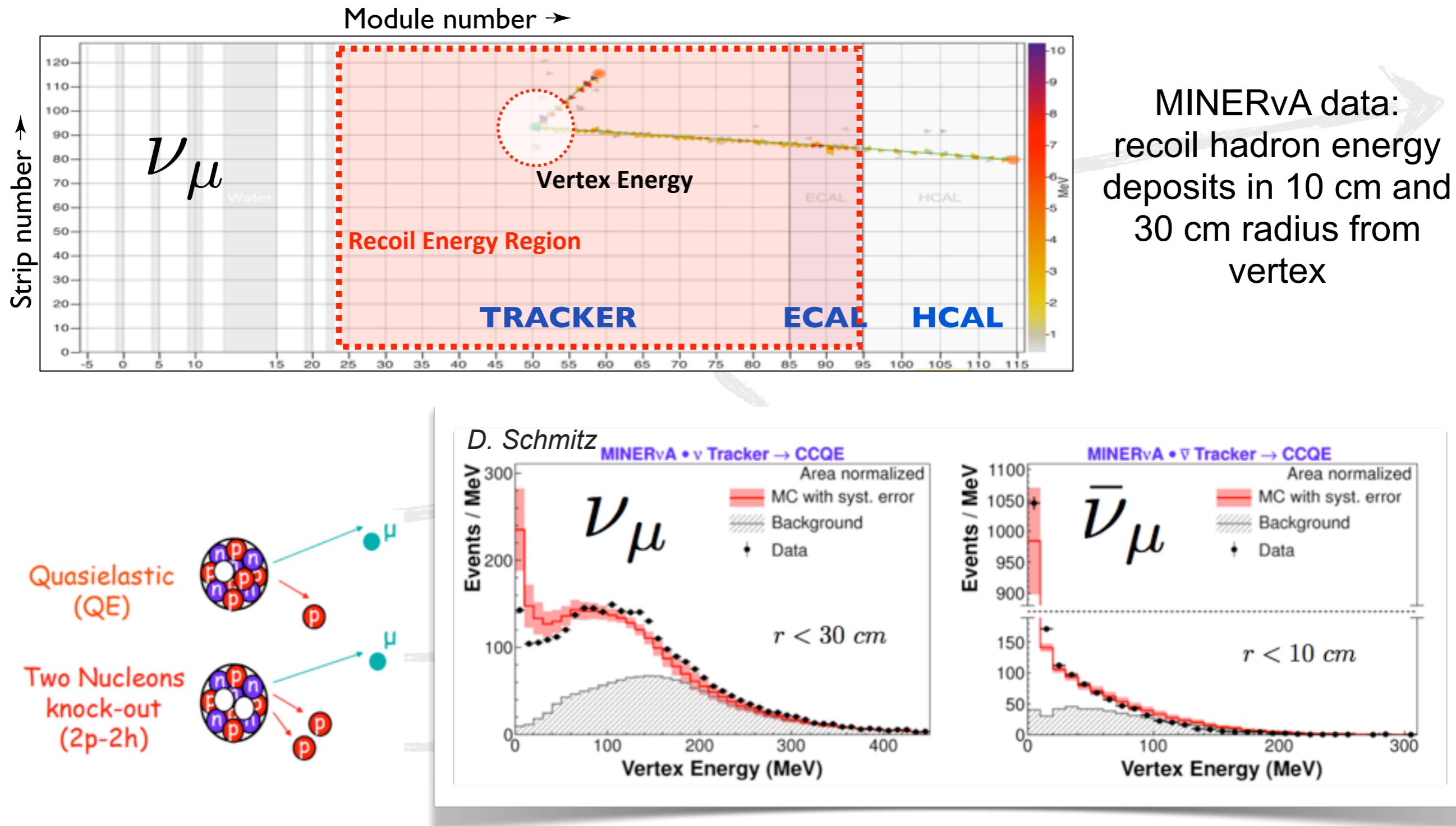
Significant discrepancies between BooNEs ( $\sim 1 \text{ GeV}$ ) and NOMAD ( $\sim 10 \text{ GeV}$ ).

# A “new” process?

- Solution to MinBooNE/NOMAD discrepancy : np-nh events?
- First suggested by Martini *et al.* (IPNL)
- Neutrino interacts with correlated nucleons within the nucleus
- Evidence for such processes seen via enhancements in transverse cross sections in e-A scattering
- Multiple theoretical approaches being developed

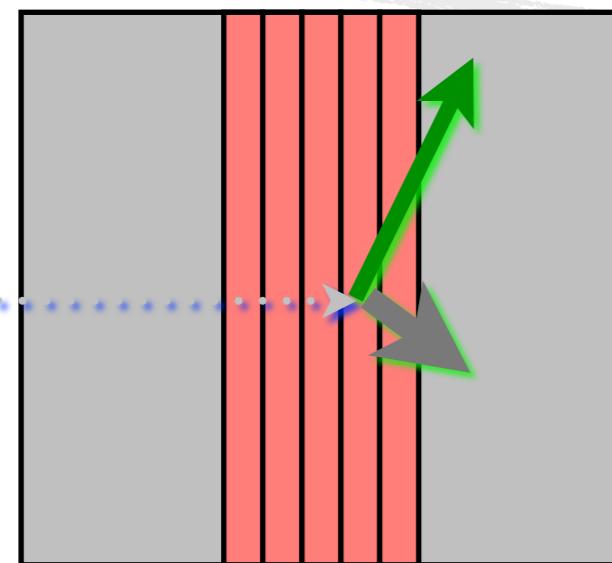


# Support from MINERvA



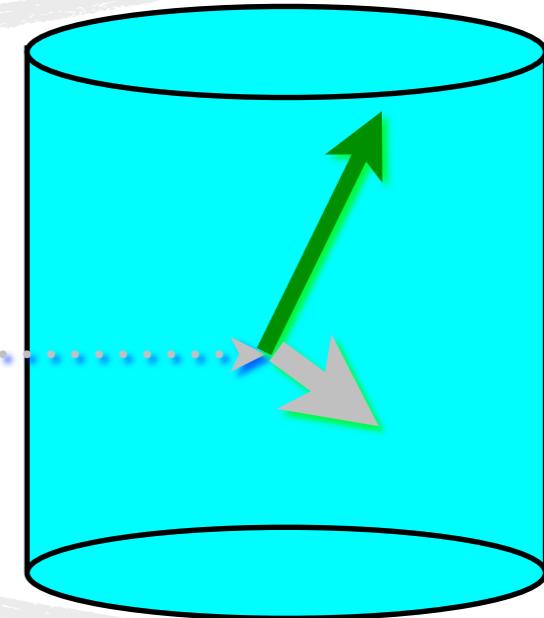
# Effect on oscillation experiments

Segmented detector



Far detector rates  
tuned with near  
detector:  
*extrapolate xsec  
model parameters  
as well as flux  
factors*

Open-volume detector



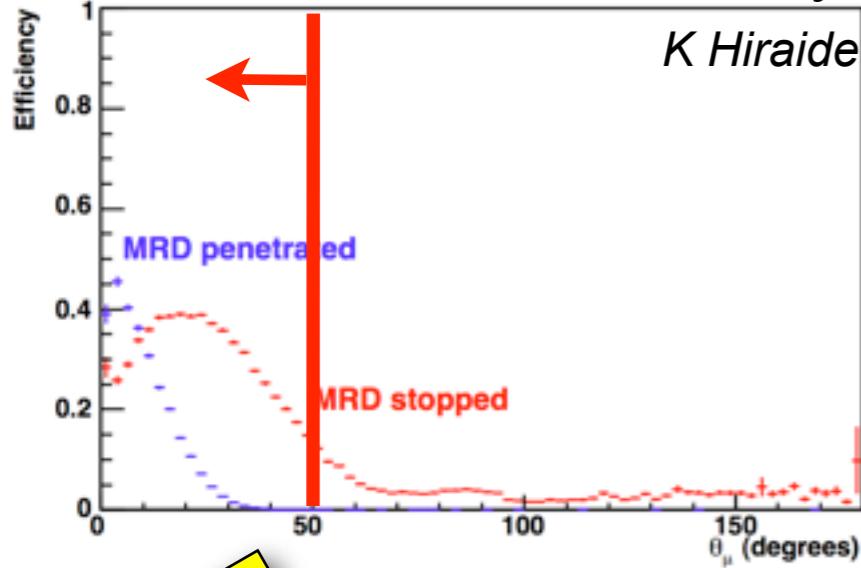
Loses acceptance at high angle;  
No backwards muons.

Good acceptance over all angles;  
2<sup>nd</sup> tracks often not seen.

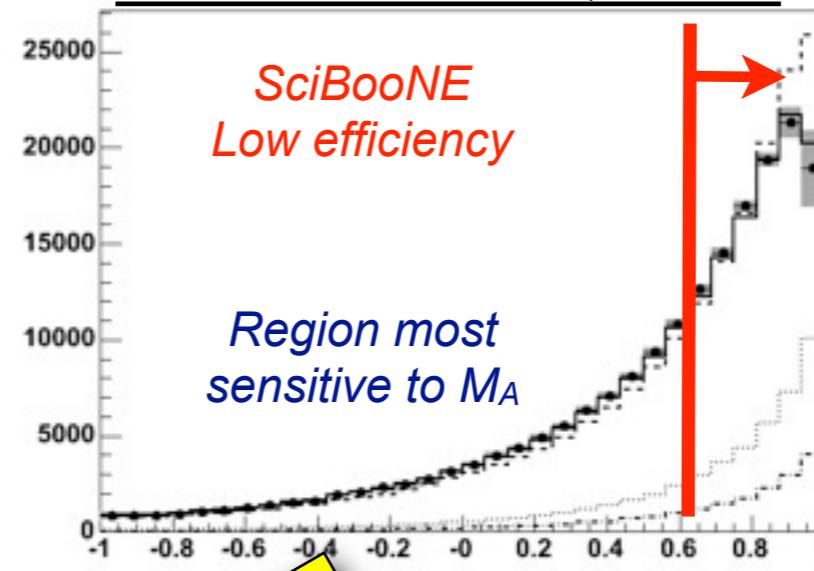
Example applies to:  
T2K, MiniBooNE/SciBooNE,  
possibly Hyper-K, LBNO & LBNE

# Different acceptances (1)

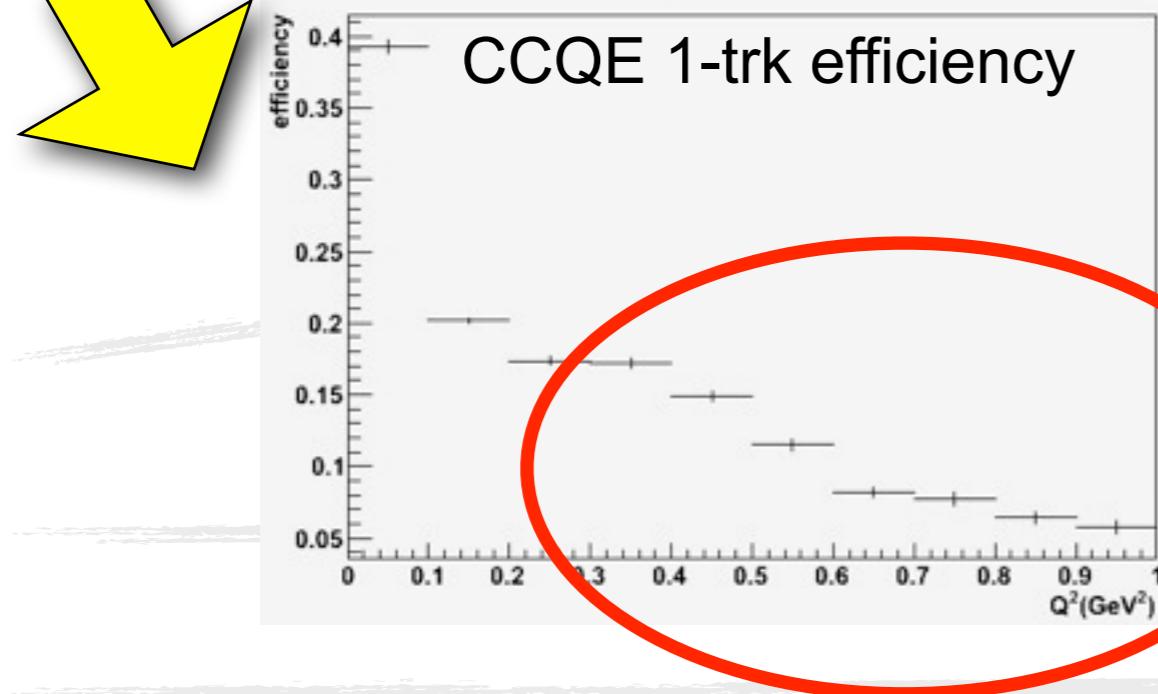
SciBooNE CC efficiency



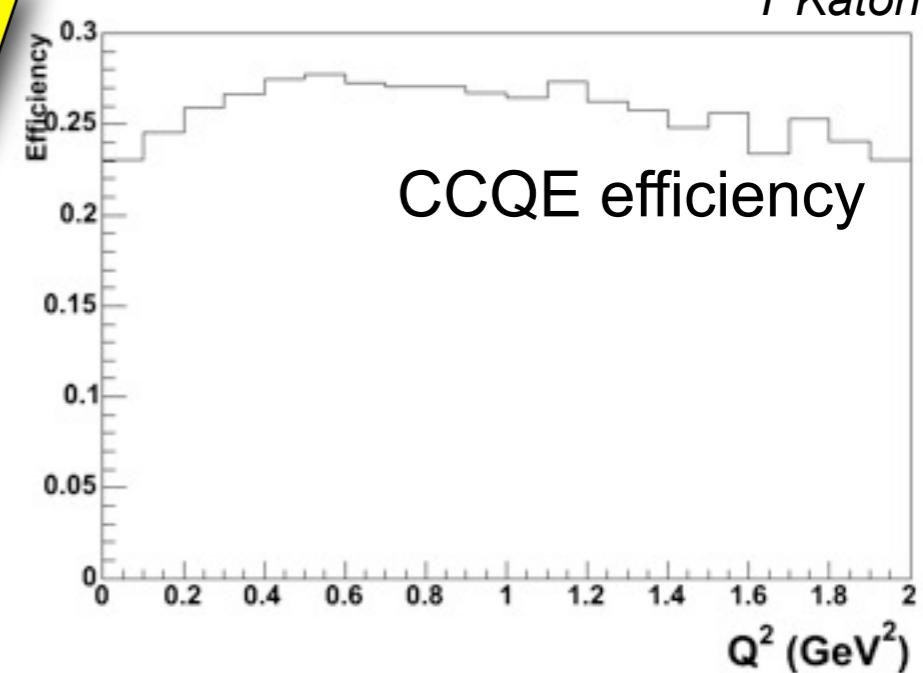
MiniBooNE CCQE data



*J Alcaraz Aunion*



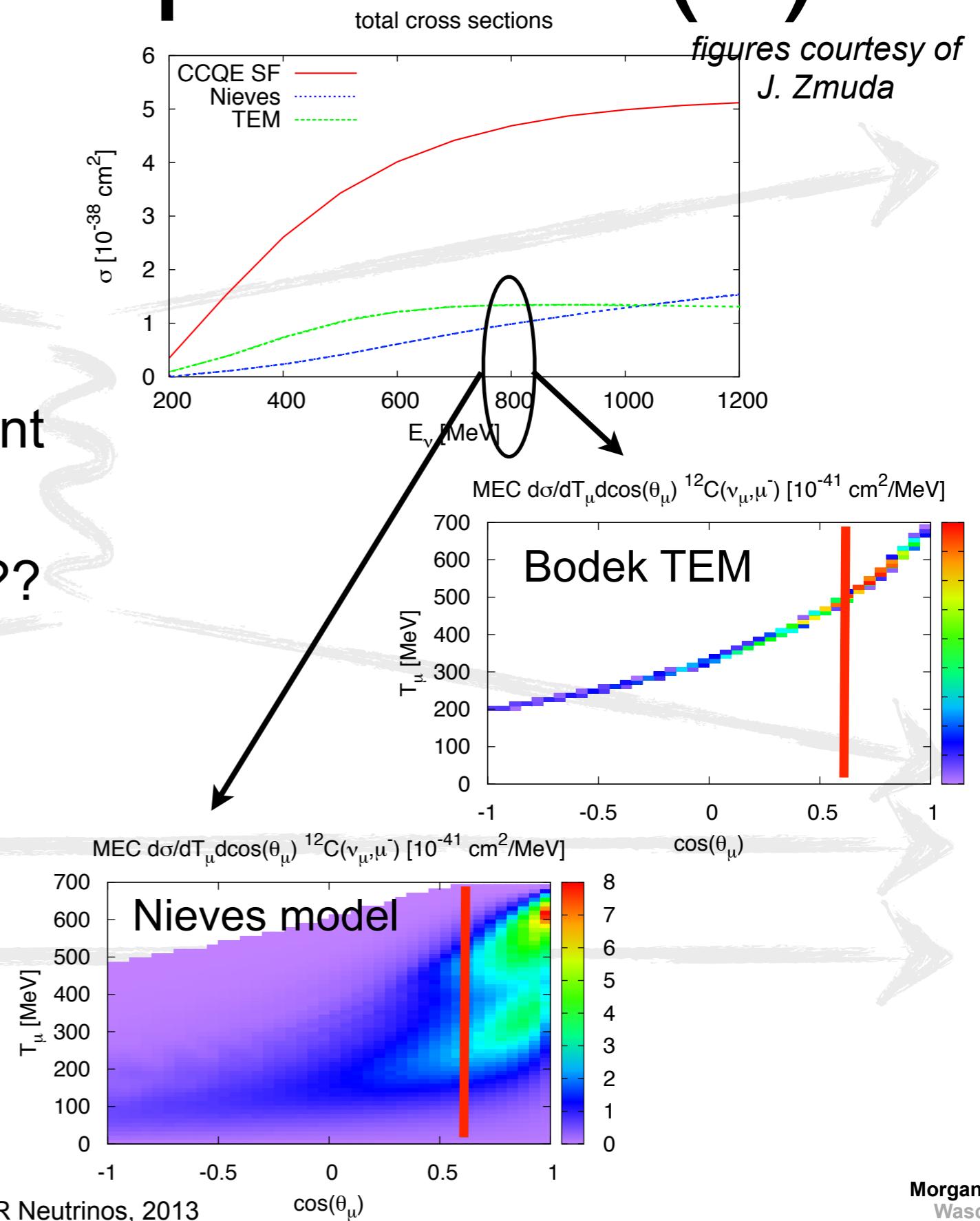
*T Katori*



Non-zero efficiency over  $Q^2$  range (& protons!) allow extrapolation because xsec model predicts kinematics over full phase space.  
Works great as long as the model is correct.

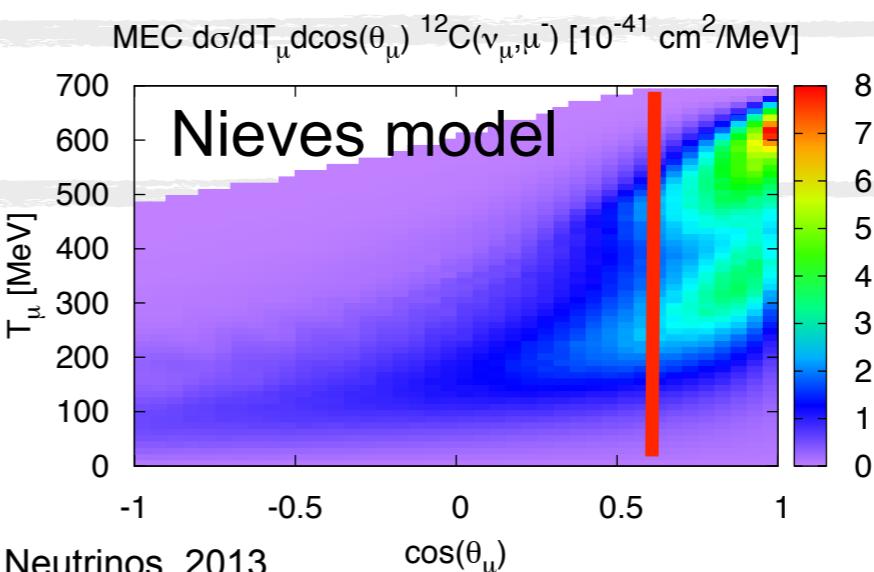
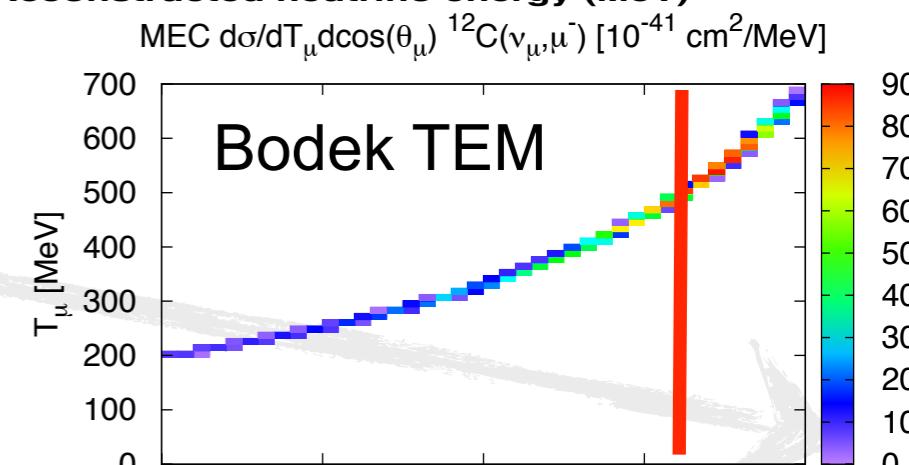
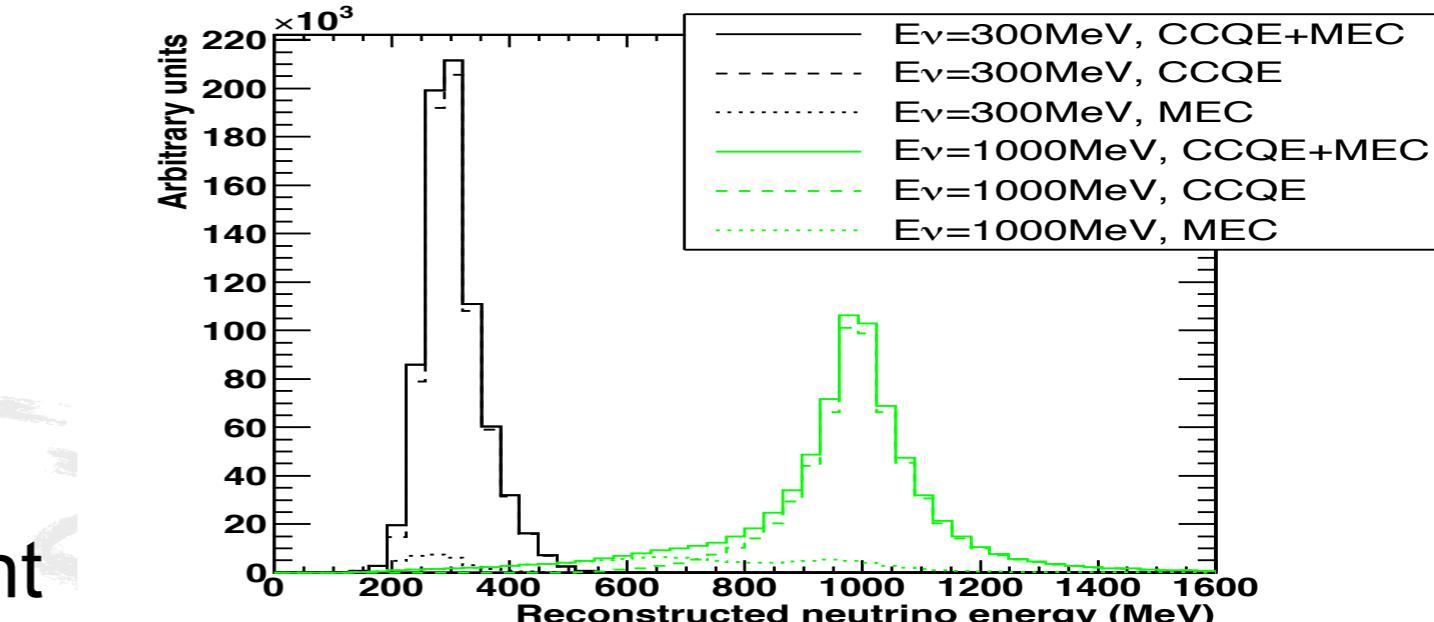
# Different acceptances (2)

- However, presence of unmodelled processes in data sample affects extrapolation
- Effects exacerbated by different kinematics in each model
  - Which one matches Nature??
- Changes neutrino energy reconstruction
- Near detector extrapolation cannot fix this even if it is identical to far detector!



# Different acceptances (2)

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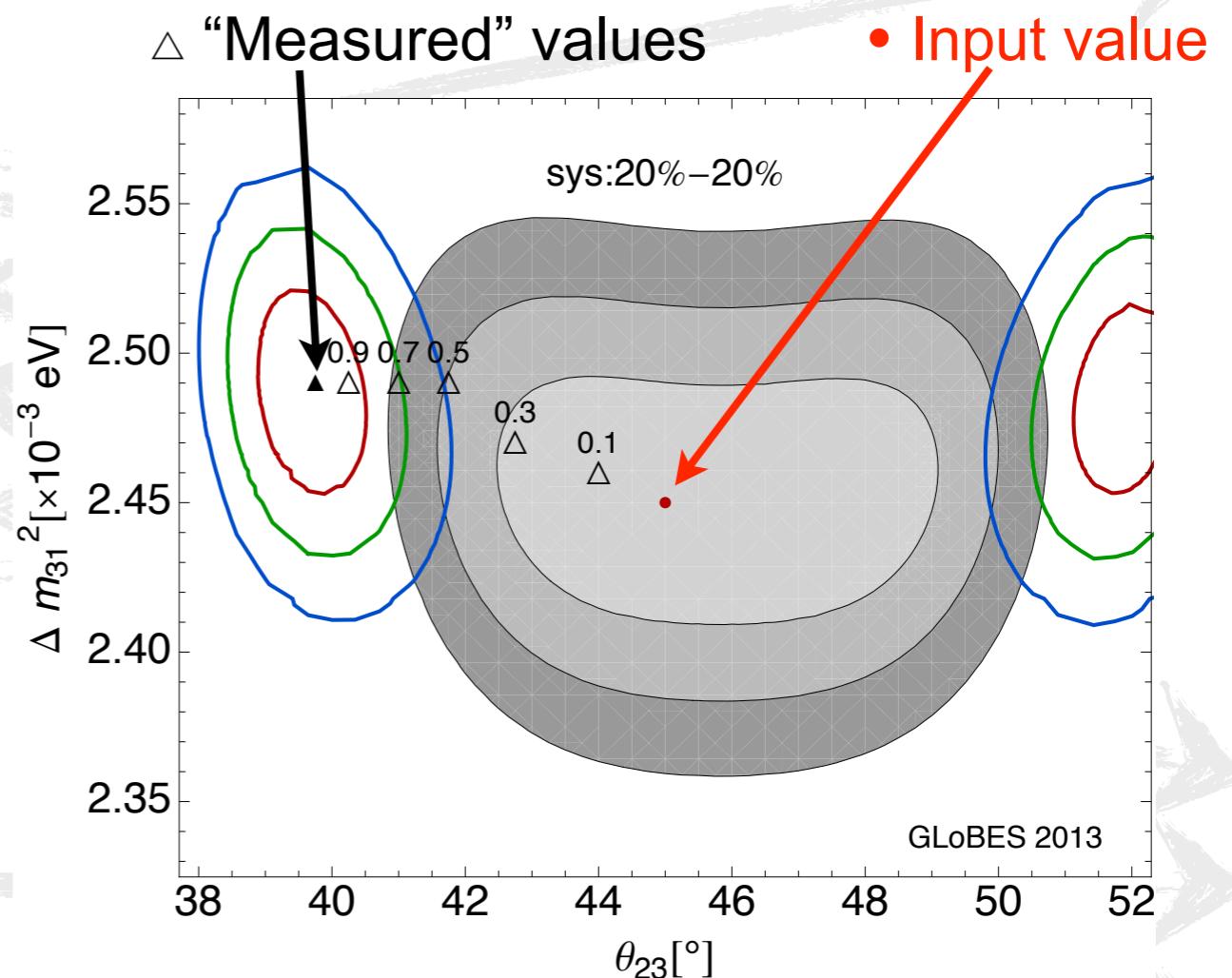


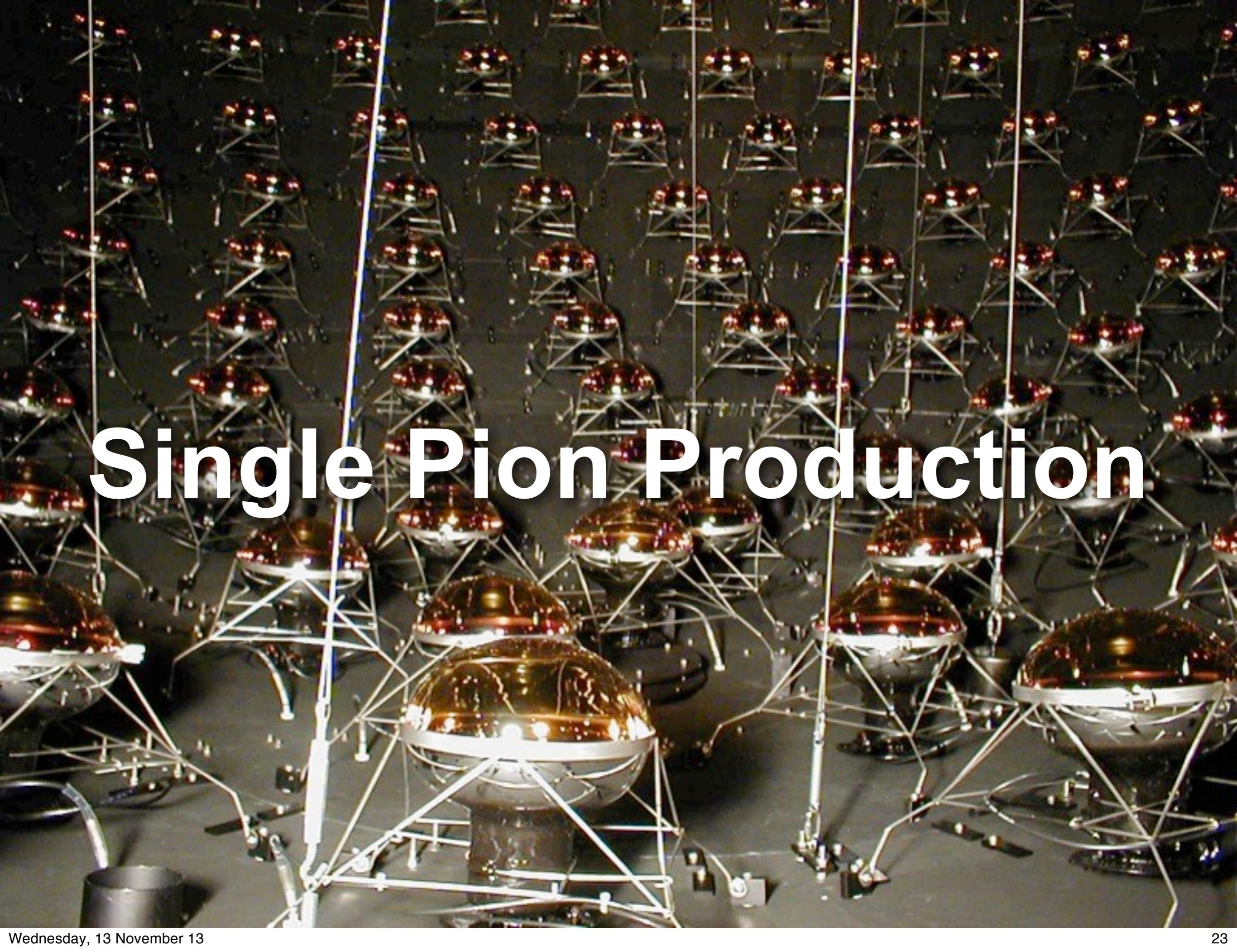
GDR Neutrinos, 2013

# Effect on oscillation experiments

- Assuming a wrong model of np-nh (or any interaction) kinematics can change the measured value of neutrino oscillation parameters
  - $E_\nu$  reconstruction and event rates will be wrong
- Example:  $\nu_\mu$  disappearance with generic nuclear effects
  - Parameterise fraction of nuclear effects that are neglected
- Can shift the measured values of  $\theta_{23}$  by 5° degrees and  $\Delta m^2_{31}$  by .05 eV<sup>2</sup>
  - Can change interpretation: true maximal mixing can appear as non-maximal!

Coloma & Huber, arXiv:1307.1243 [hep-ph]

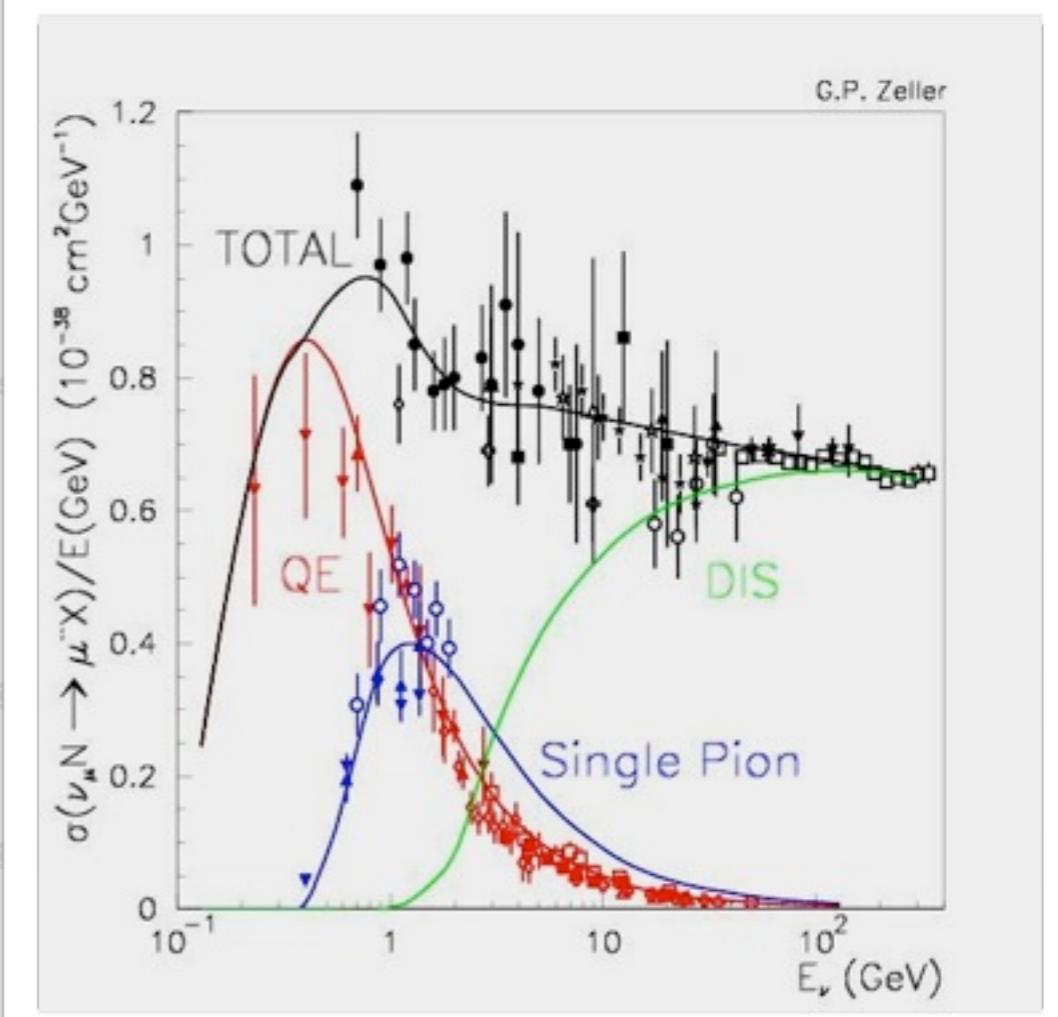
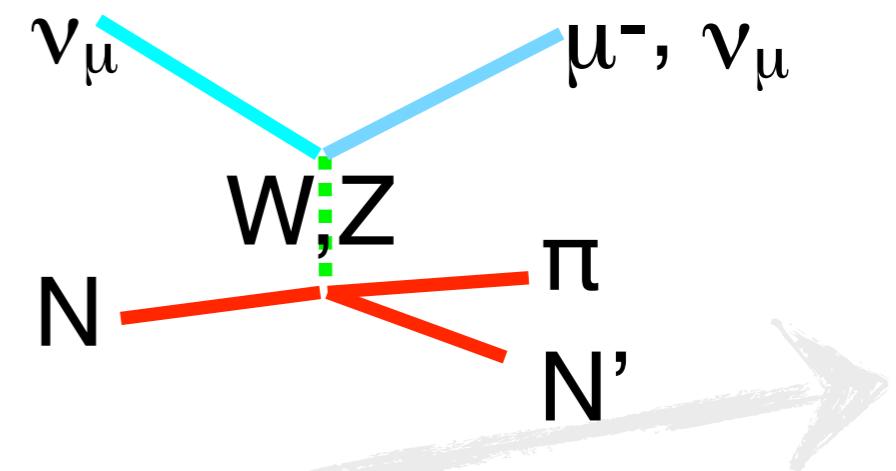


The background image shows a top-down view of a large-scale particle detector, likely the NA60 experiment at CERN. The detector consists of numerous spherical and cylindrical modules, each containing internal structures and sensors. The entire assembly is supported by a complex network of white steel trusses and poles.

# Single Pion Production

# Single Pion Production

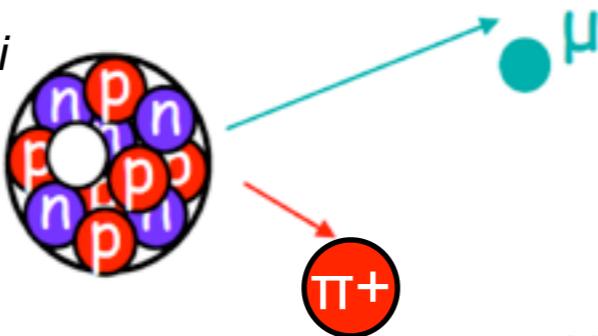
- Described by Rein-Sehgal model (1983)
- Again, form-factors parameterise nucleon weak charge distributions
- 18 resonances
- Model is tuned on data from 1970s
  - 2nd largest xsec near 1 GeV
  - Major background to CCQE
  - Previous measurements disagree!



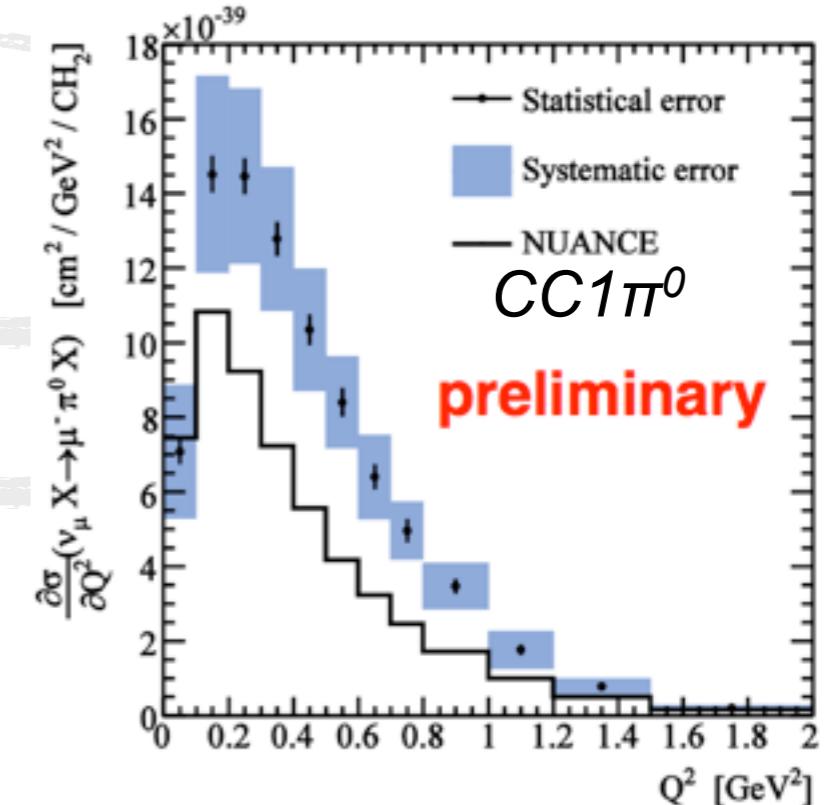
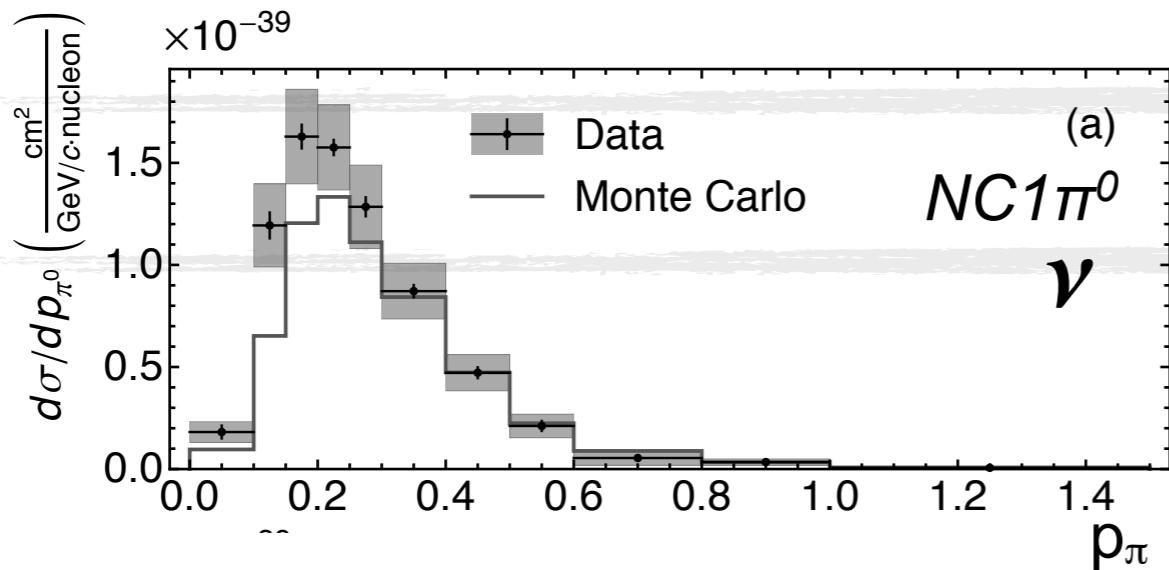
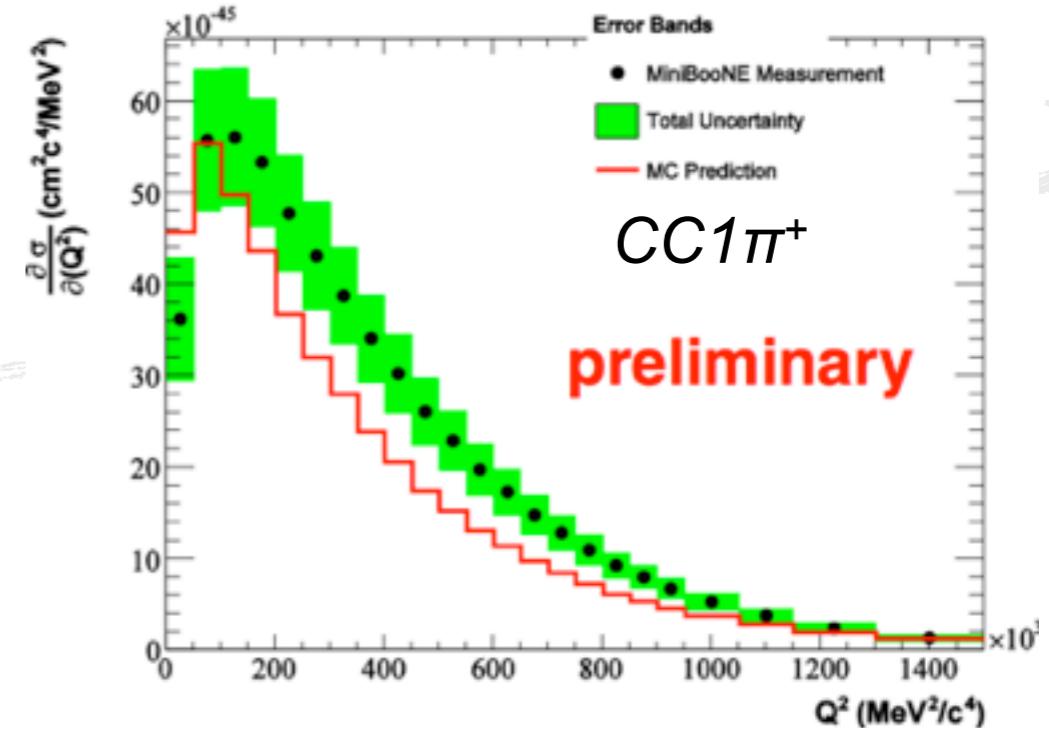
# MiniBooNE data

*Diagram due to M. Martini*

**Single Pion  
(CC1 $\pi^+$ )**



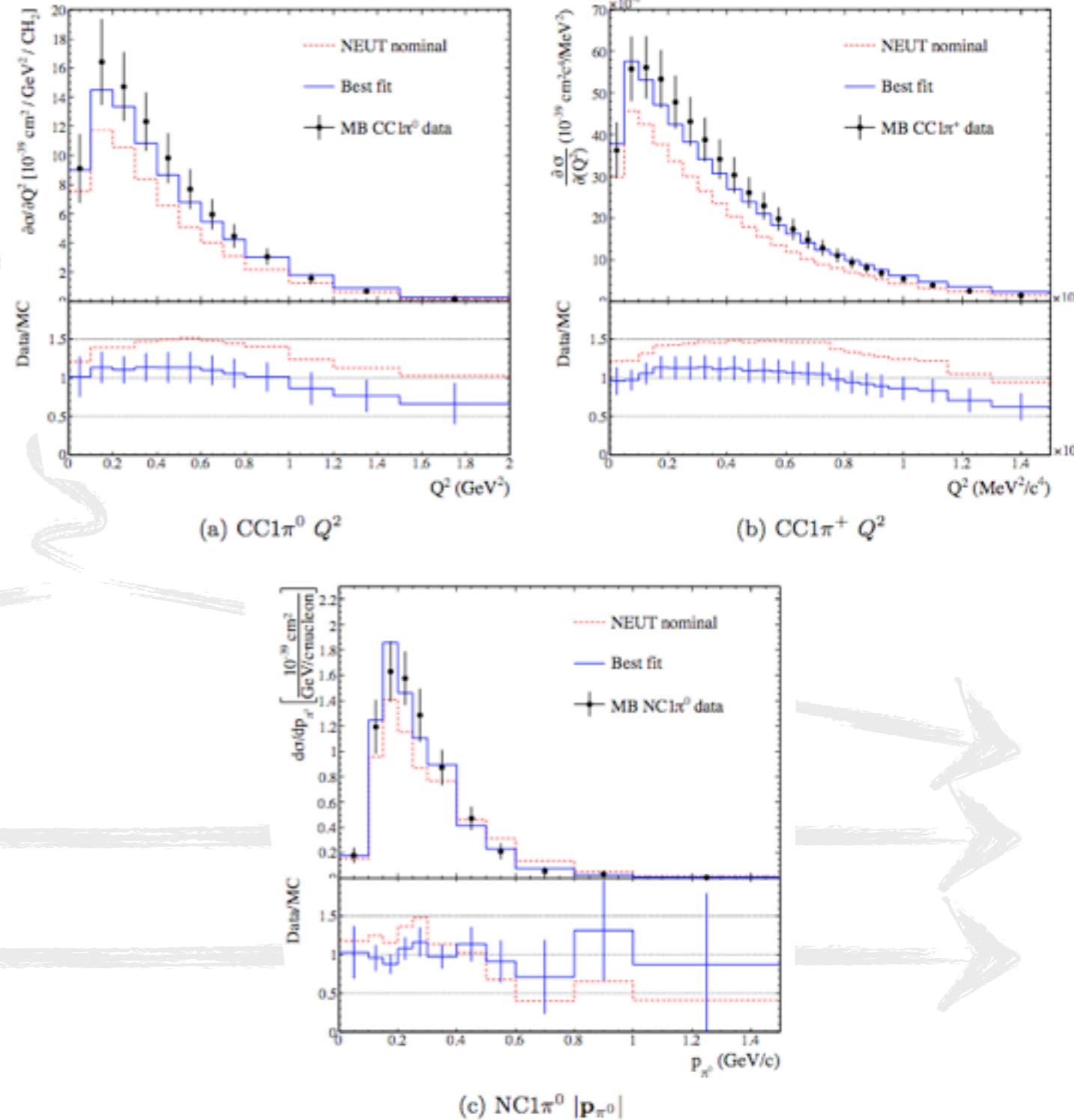
- MiniBooNE has published 3 world-leading papers on single pion production
- Signal definitions based on final state particles
  - model independence!



# Simultaneous data fits

P. Rodrigues, NuFact12

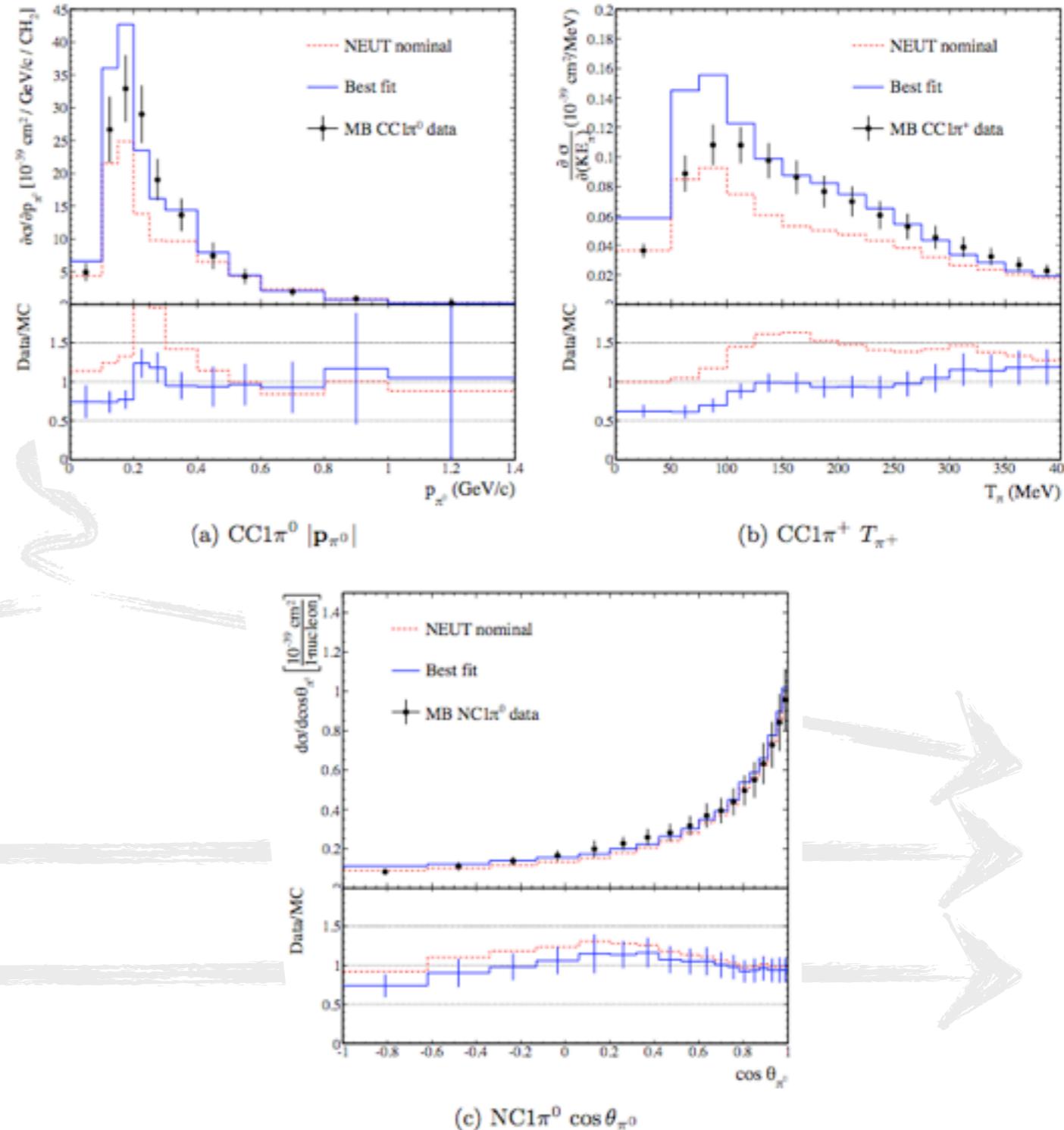
- T2K fits use all 3 data samples simultaneously with independent generator
- Poor agreement with Rein-Sehgal model
- For oscillation analysis, include *ad hoc* tuning parameters that break internal consistency of model
- Achieve good agreement in fitting variables



# Non-fitting distributions

P. Rodrigues, NuFact12

- Unfortunately, other variables do not all agree well
- Must inflate systematic errors to cover data-model differences



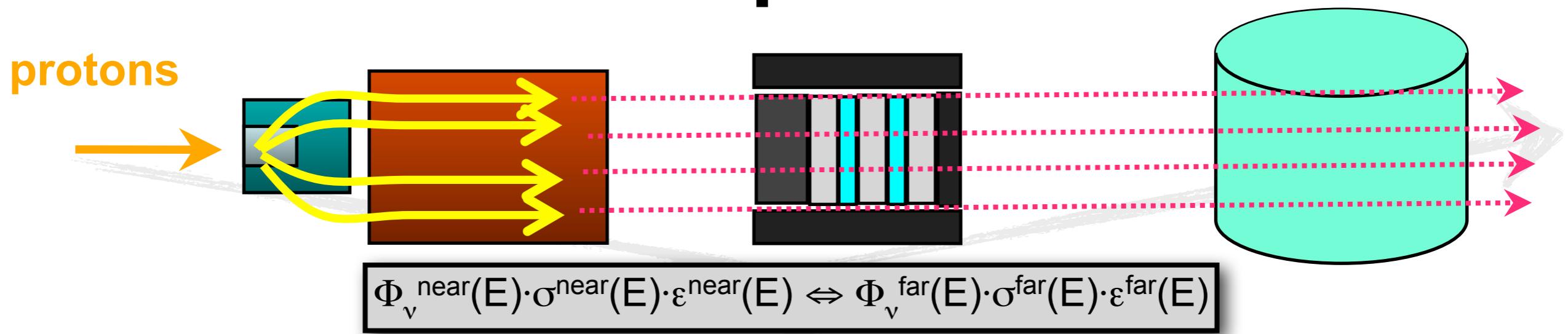
- Work proceeding on improved form factors and other model improvements
- High quality data samples inspire good new developments in theory and modelling



A grid of detector modules, likely a silicon microstrip detector, showing a dense pattern of colored tracks representing particle trajectories. The tracks are primarily yellow, red, and blue, forming a complex web across the array. A prominent diagonal track, colored yellow, extends from the bottom left towards the top right. Two points on this track are circled with white outlines and labeled '1' and '2' respectively. The background is black, and the detector structure is visible at the bottom.

# Going Forward,

# Model Dependence?



- Models are useful:
  - Relate final state particles to neutrino energy, estimate systematic errors.
  - Cannot do oscillation analysis without a model, but error cancellation only works if the model matches Nature!
- Ulrich Mosel's observation, Nulnt11:
  - Theorist's paradigm: "A good generator MC does not have to fit the data, provided its model is correct"
  - Experimentalist's paradigm: "A good generator MC does not have to be correct, provided it fits the data"
- We need model independent measurements!
  - Provide enough info for later physicists to cleanly use data with a new model.
  - Confront each model with multiple data sets

# What does model dependence mean?

- Distinguish between  $\sigma$  model and detector model
  - Any MC-derived quantity is, of course, model-dependent
- Restricting unsmeearing, BG corrections, and efficiencies to detector MC quantities, not cross section processes is probably the best we can do
- This is why it's preferable to measure final state particle cross sections over process measurements

- NB: Could instead use multiplicative purity correction
- Useful for highly correlated data:  
Peelle's Pertinent Puzzle

Absolute flux-averaged differential cross section formula

$U_{ij}$  : unsmeearing matrix

$d_j$  : data vector

$$\sigma_i = \frac{\sum_j U_{ij} (d_j - b_j)}{\varepsilon_i (\Phi T)}$$

$b_j$  : predicted background

$\varepsilon_i$  : efficiency

$T$  : integrated target number

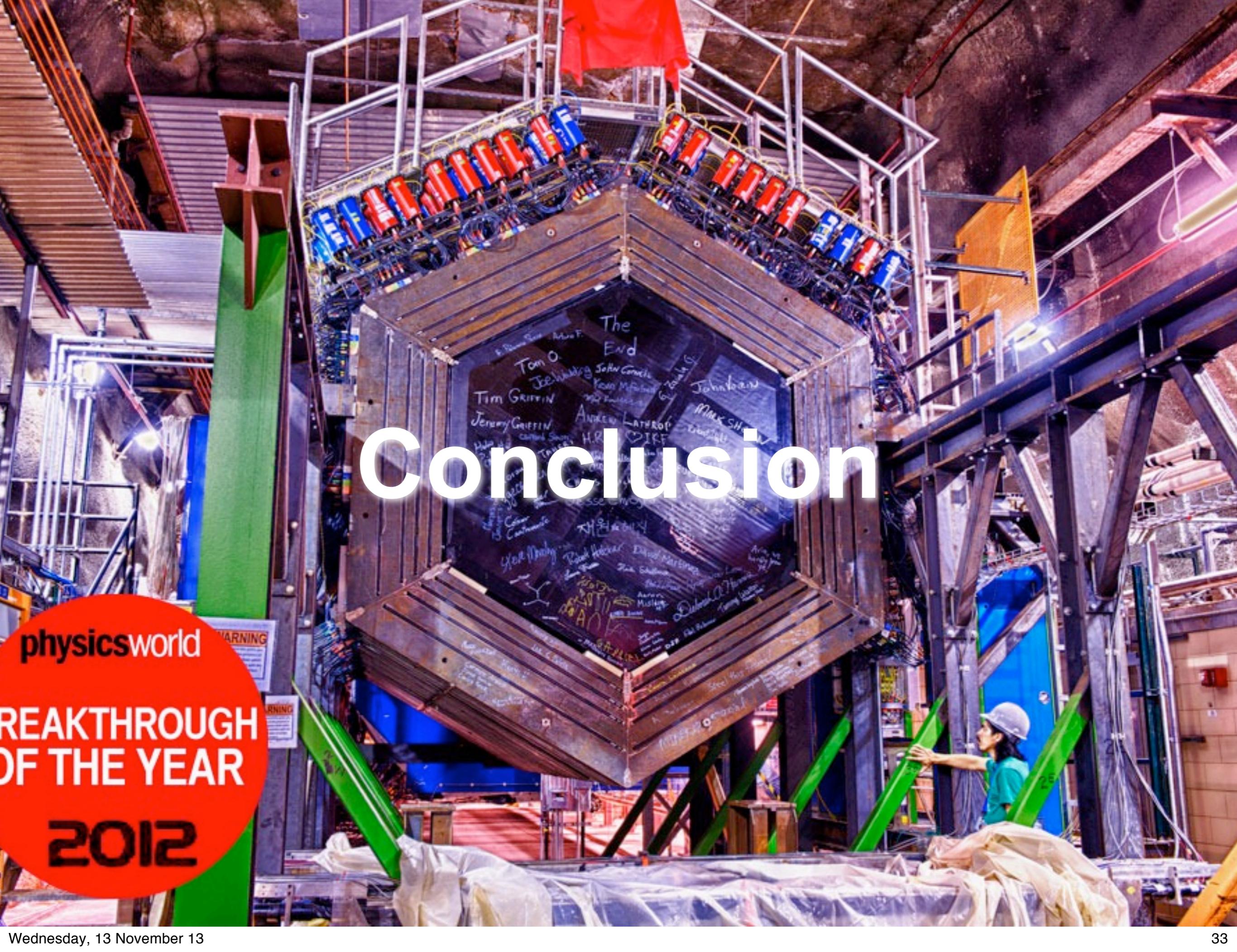
$\Phi$  : integrated  $\nu$ -flux

# Show the whole story

- All neutrino data samples are rife with backgrounds.
  - MiniBooNE:  $\text{CCQE} \leftrightarrow \text{CC1}\pi^+ \rightarrow \text{CC1}\pi^0 \rightarrow? \text{NC1}\pi^0$
  - but really:  $\mu \leftrightarrow \mu+p \leftrightarrow \mu+\pi^+ \rightarrow \mu+\pi^0 \rightarrow? \pi^0$
- Knowing what we do (about np-nh) from e-A experiments, we cannot have confidence in one without seeing all the others.
  - We've already learned that seeing them all isn't enough!
- For example, to extract  $M_A$  from neutrino data:
  - Requires nuclear model & background predictions match Nature.
  - Predicated on assumption that  $F_A$  is a dipole.

# Experimenter's Wish List

1. np-nh models implemented in neutrino generator MCs
  - Neutrino experiments must develop event selection cuts using MC
  - Need to simulate interactions over all relevant energies and full phase space, which means need to use generators
2. Predictions for nucleon recoil multiplicities and spectra
  - True test of any model is comparison with data
  - Need to establish robust np-nh event signatures
  - Will need near detector designs with 4-pi coverage and very low recoil hadron energy thresholds (<5-10 MeV).
3. Role of np-nh interactions for pion production?



# Conclusion

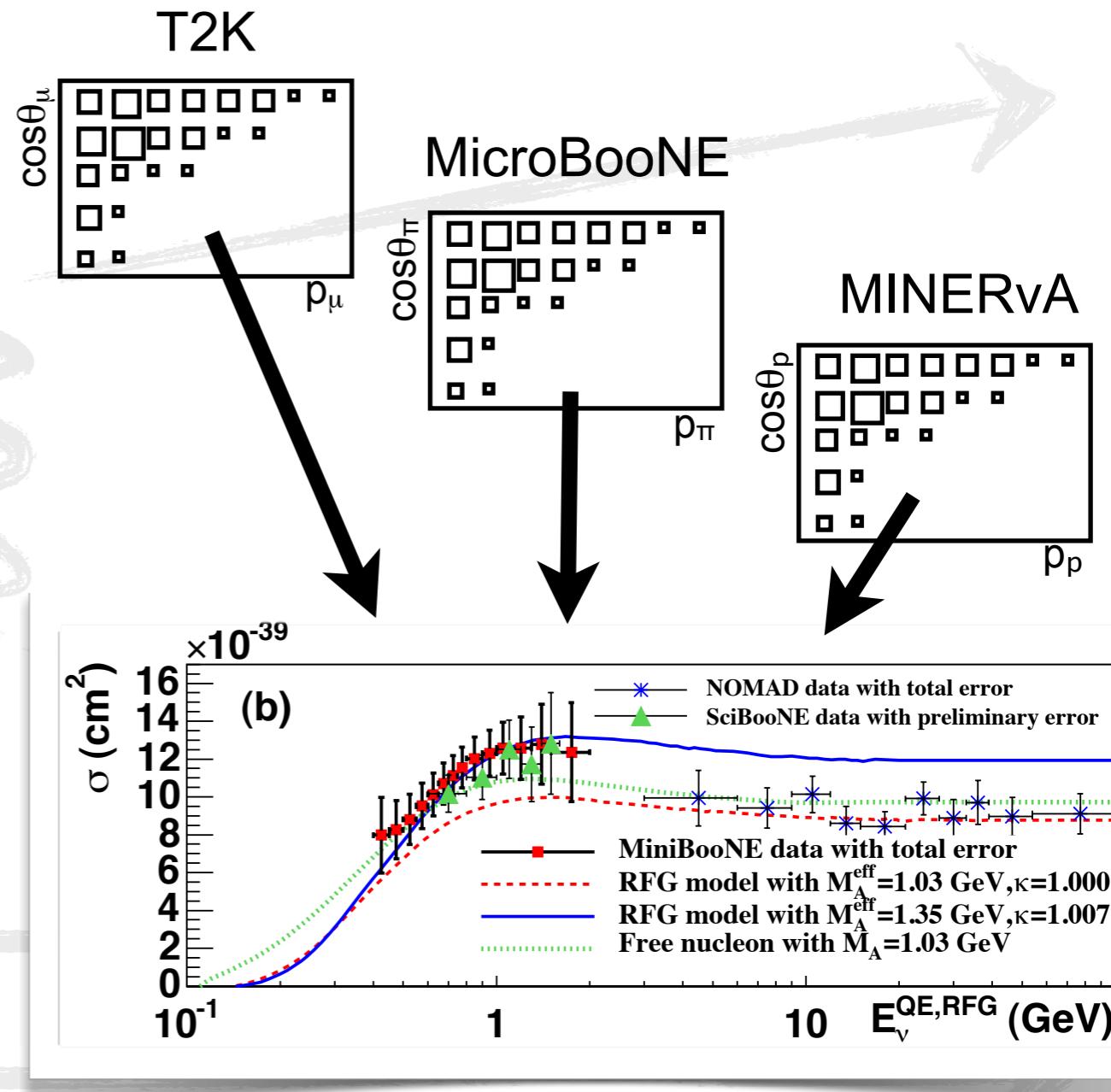
physicsworld

BREAKTHROUGH  
OF THE YEAR

2012

# Growing Consensus

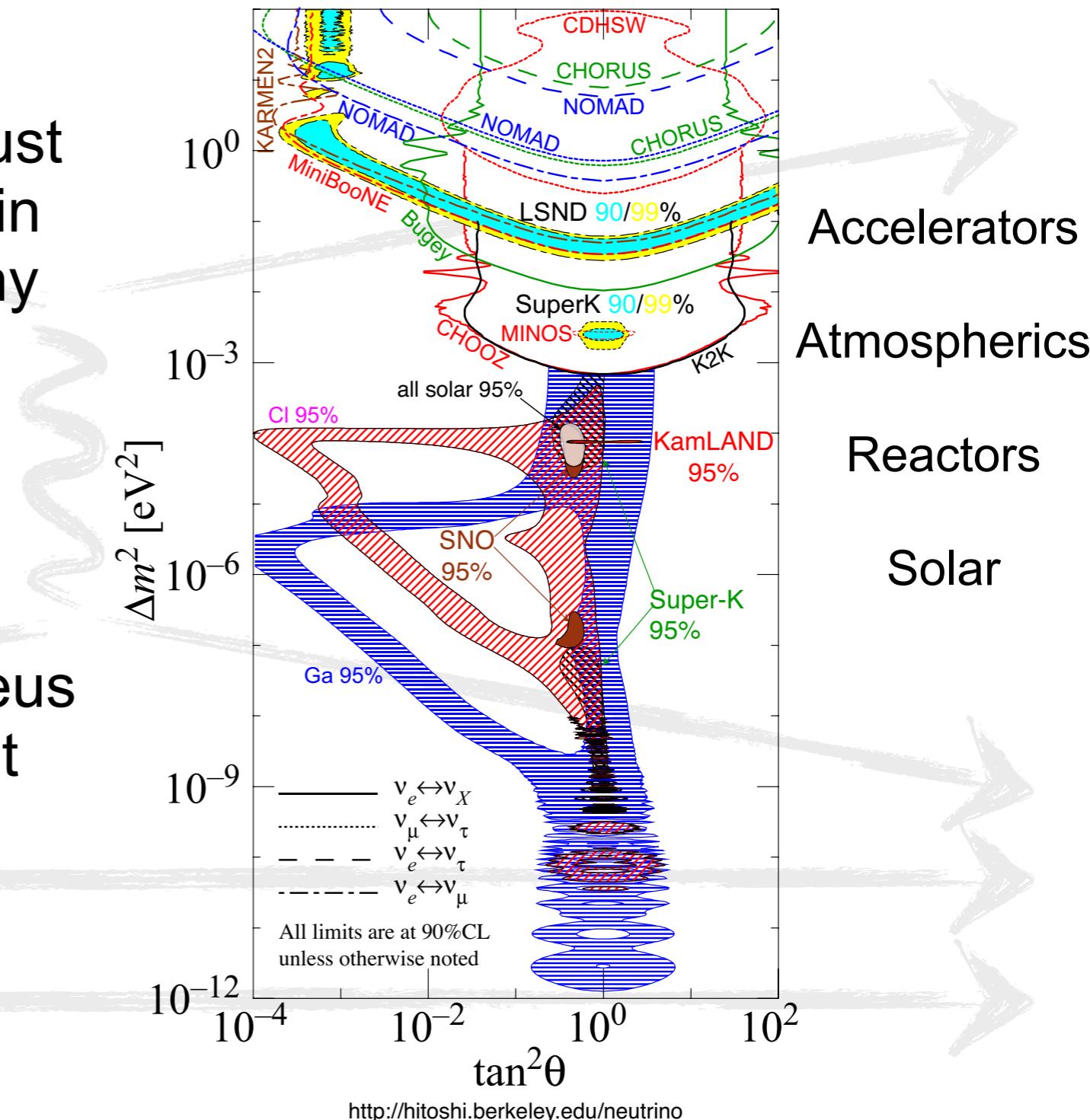
- We need broad coverage
  - Model independent measurements at many energies, nuclei
- Move away from process cross-sections
  - $\sigma(\text{CCQE})$ ,  $\sigma(\text{CC res } \pi)$ ,  $\sigma(\text{CC coh } \pi)$
- Instead measure final state particle cross-sections
  - $\sigma(\text{CC})$ ,  $\sigma(\mu)$ ,  $\sigma(\mu+p)$ ,  $\sigma(\mu+\pi)$



*With large value of  $\theta_{13}$ , systematic errors will become the driving limitation for  $\delta_{CP}$  searches!*

# Build a model-independent database

- Discovery of oscillation is robust because the effects are seen in many experiments, using many neutrino sources
  - Removes degeneracies & ambiguities, constrains systematic errors
- To find the best neutrino-nucleus interaction models—those that match Nature—we will need multiple techniques and technologies, and model-independent analyses



A close-up photograph of a plum blossom tree against a clear blue sky. The branches are bare or sparsely leafed, with clusters of white and red blossoms. A large, out-of-focus flower is centered in the background.

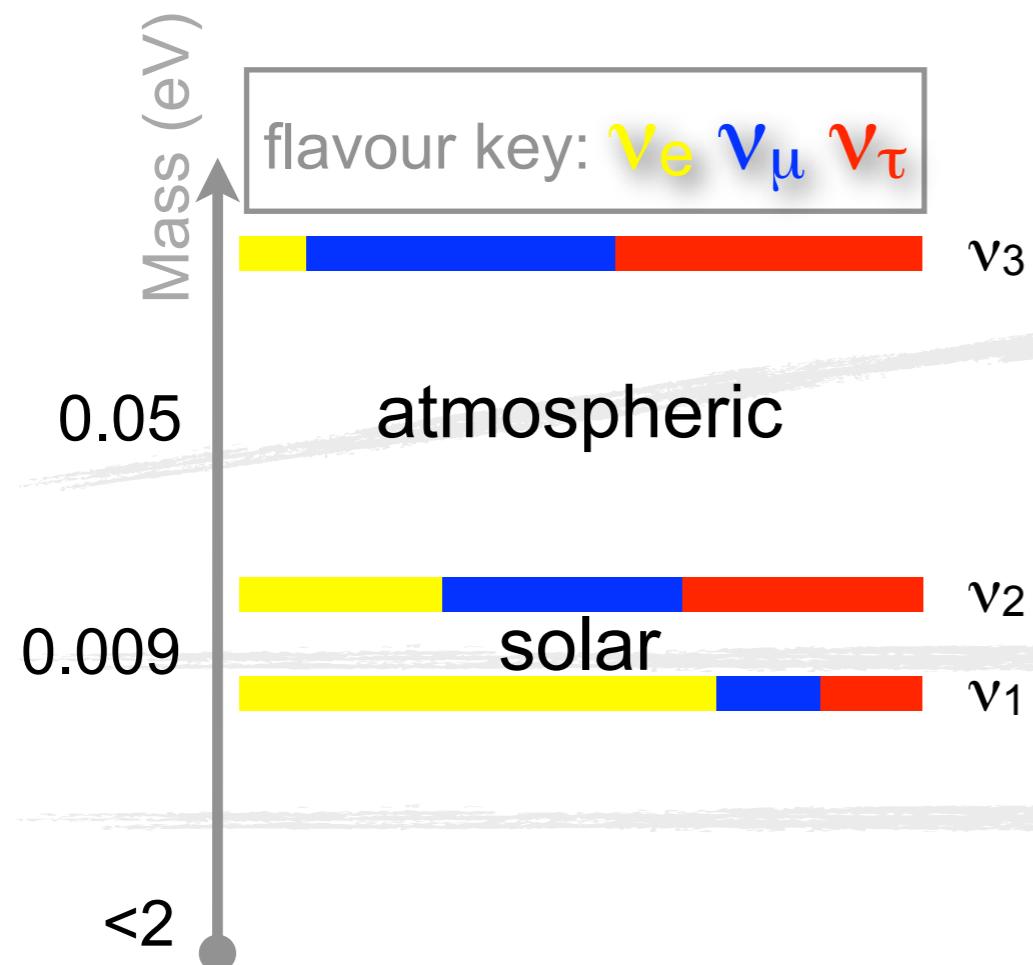
Thank you for your  
attention!

ご清聴ありがとうございました

水戸の梅の花

# Current neutrino picture

flavour	<b>atmospheric</b>	<b>accelerator</b>	<b>solar</b>	<b>Majorana</b>	mass
$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$	$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$	$\begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix}$	$\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} e^{i\frac{\alpha_1}{2}} & 0 & 0 \\ 0 & e^{i\frac{\alpha_2}{2}} & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$



	VALUE
$ \Delta m^2_{32} $	$2.35 \pm 0.12 \times 10^{-3} \text{ (eV}^2)$
$\Delta m^2_{21}$	$7.58 \pm 0.24 \times 10^{-5} \text{ (eV}^2)$
$\sin^2 \theta_{12}$	$0.31 \pm 0.018$
$\sin^2 \theta_{23}$	$0.42 \pm 0.08$
$\sin^2 \theta_{13}$	$\sim 0.02 \pm 0.007$
$\delta$	?

Goal for next generation of experiments: measure  $\delta_{CP}$

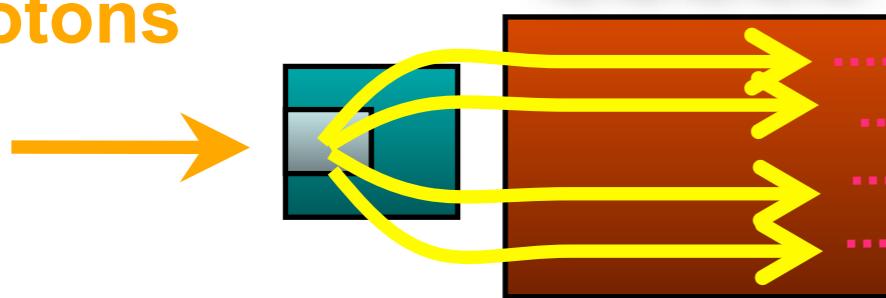
# Accelerator ν Experiments

Search for  $\delta_{CP}$  by comparing  $\nu_\mu \rightarrow \nu_e$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ .

Intense beam

protons

$\pi, \pi, \pi, \pi, K$

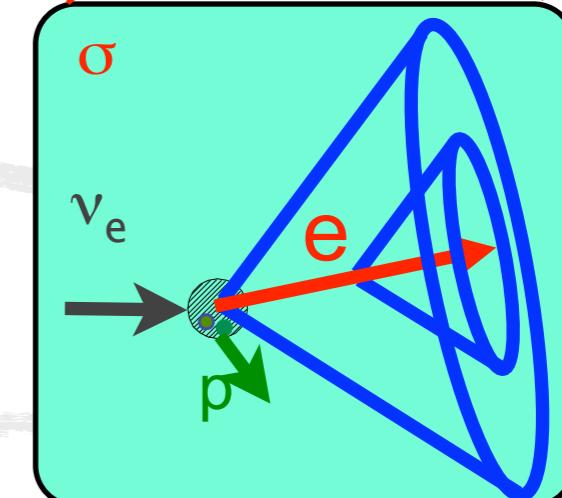
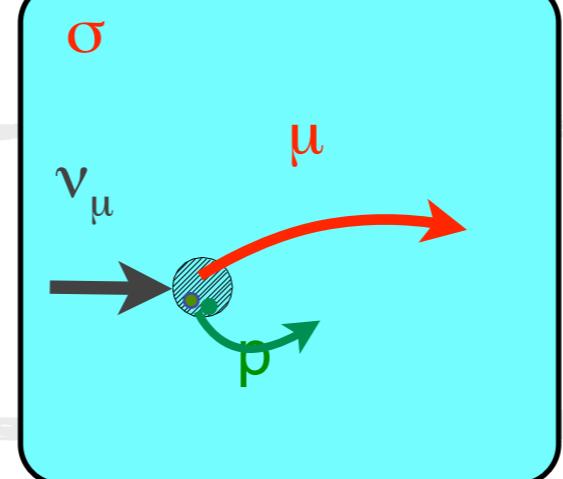
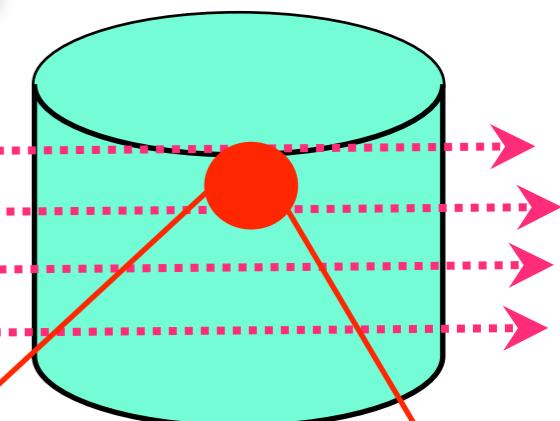


- K2K/MINOS
- MiniBooNE/SciBooNE
- OPERA
- T2K/NOvA
- LBNE/LBNO/T2HK

Gigantic detector

oscillation?

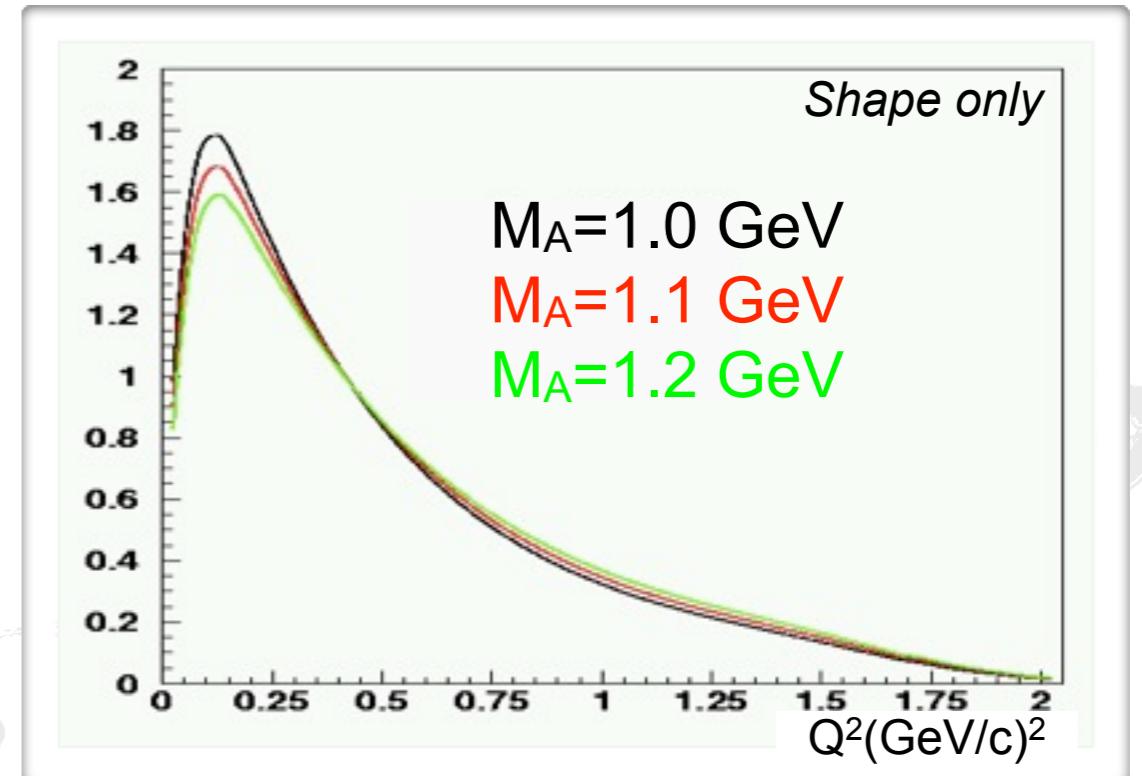
$\nu, \nu, \nu, \nu$



$$\Phi_\nu^{\text{near}}(E) \cdot \sigma^{\text{near}}(E) \cdot \varepsilon^{\text{near}}(E) \Leftrightarrow \Phi_\nu^{\text{far}}(E) \cdot \sigma^{\text{far}}(E) \cdot \varepsilon^{\text{far}}(E)$$

# $M_A$ fit results

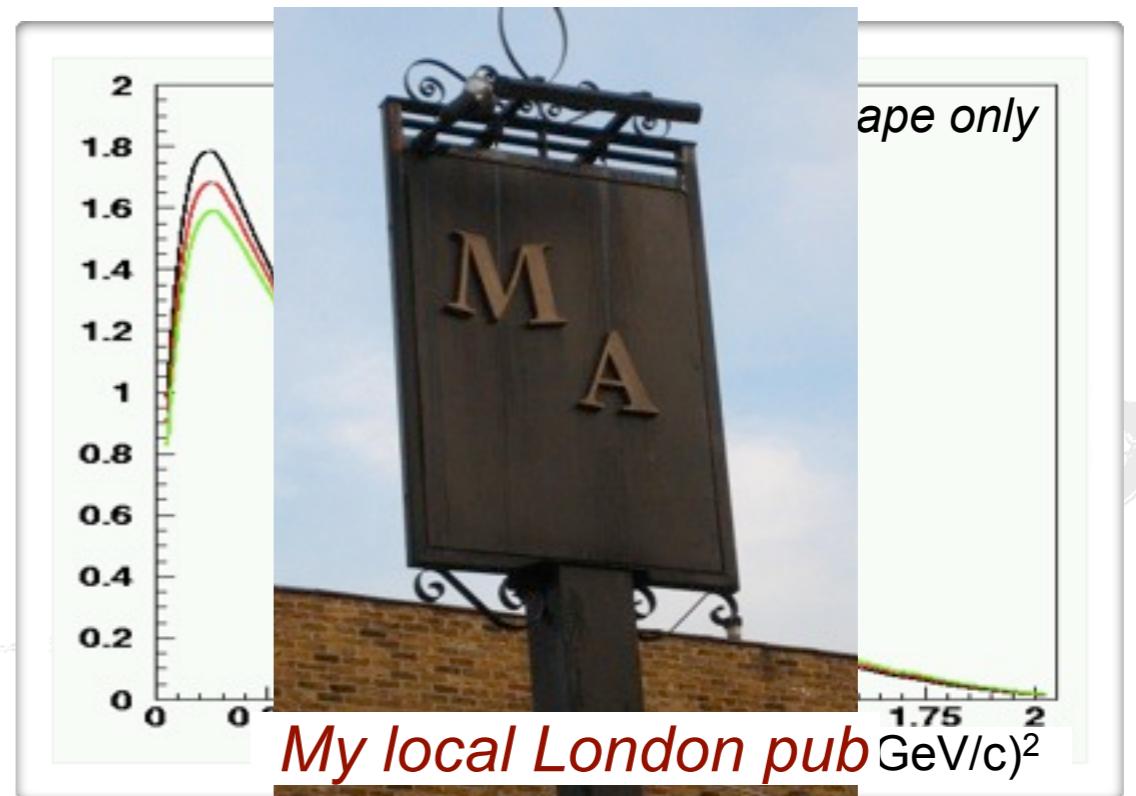
- Value of  $M_A$  changes scale & shape of  $Q^2$  distribution
- Recent measurements at low energy on nuclear targets favour high value of  $M_A$ 
  - But not at high energy!
- Also show increased suppression at low  $Q^2$
- $F_A$ : not dipole form factor?
  - Is  $M_A$  an effective parameter?



Experiment	$M_A$ Value (GeV)
World Average (n,p)	$1.03 \pm 0.03$
K2K SciFi (O)	$1.20 \pm 0.12$
K2K SciBar (C)	$1.14 \pm 0.10$
MiniBooNE (C)	$1.35 \pm 0.17$
MINOS (Fe)	$1.19 \pm 0.17$
NOMAD (C)	$1.05 \pm 0.06$

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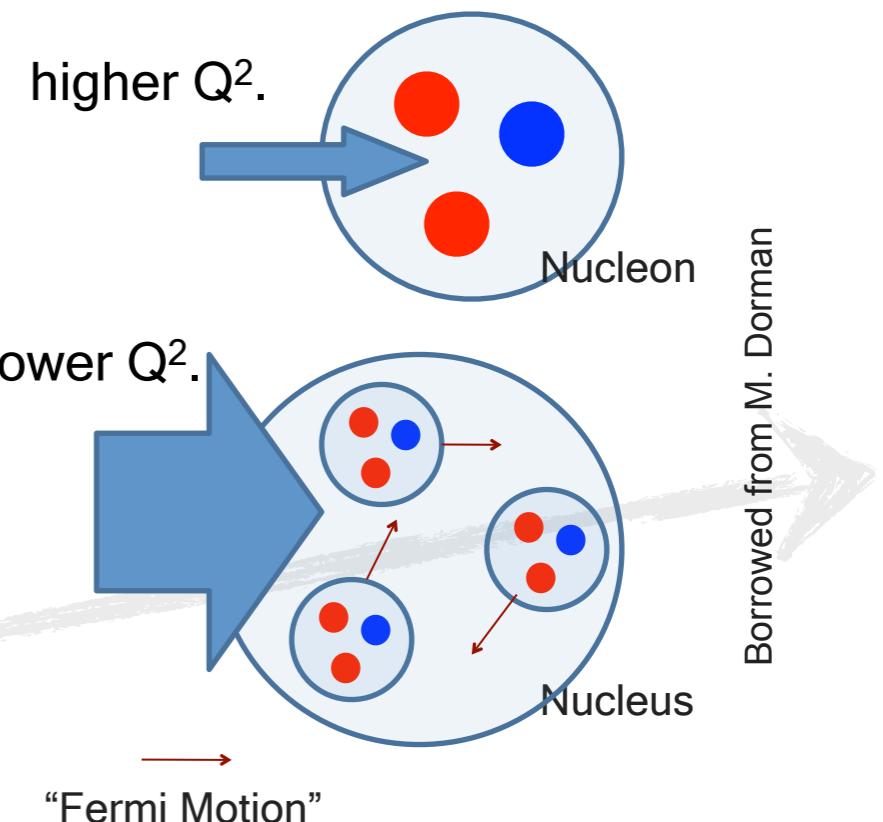
*My local London pub*

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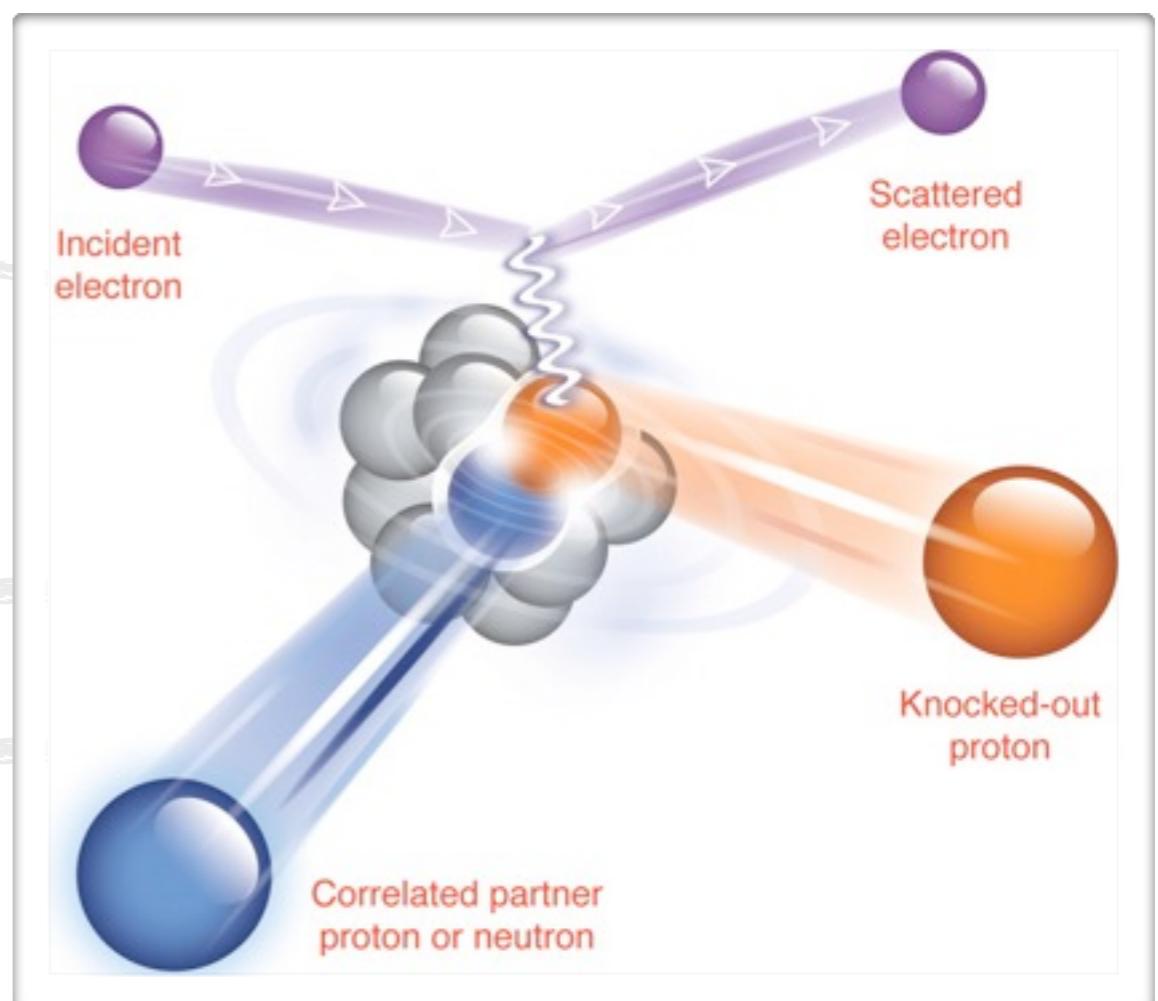
# Impulse approximation

Nuclear effects discussed by O. Benhar

- Assume interaction involves only one nucleon
  - $\lambda > 1 \text{ fm}$  for  $Q^2 < 1(\text{GeV}/c)^2$
- Neutrino experiments assume quasi-free interactions
  - Are nucleons actually quasi-free? If not, could we tell?
- Can low  $Q^2$  region be described by impulse approximation?

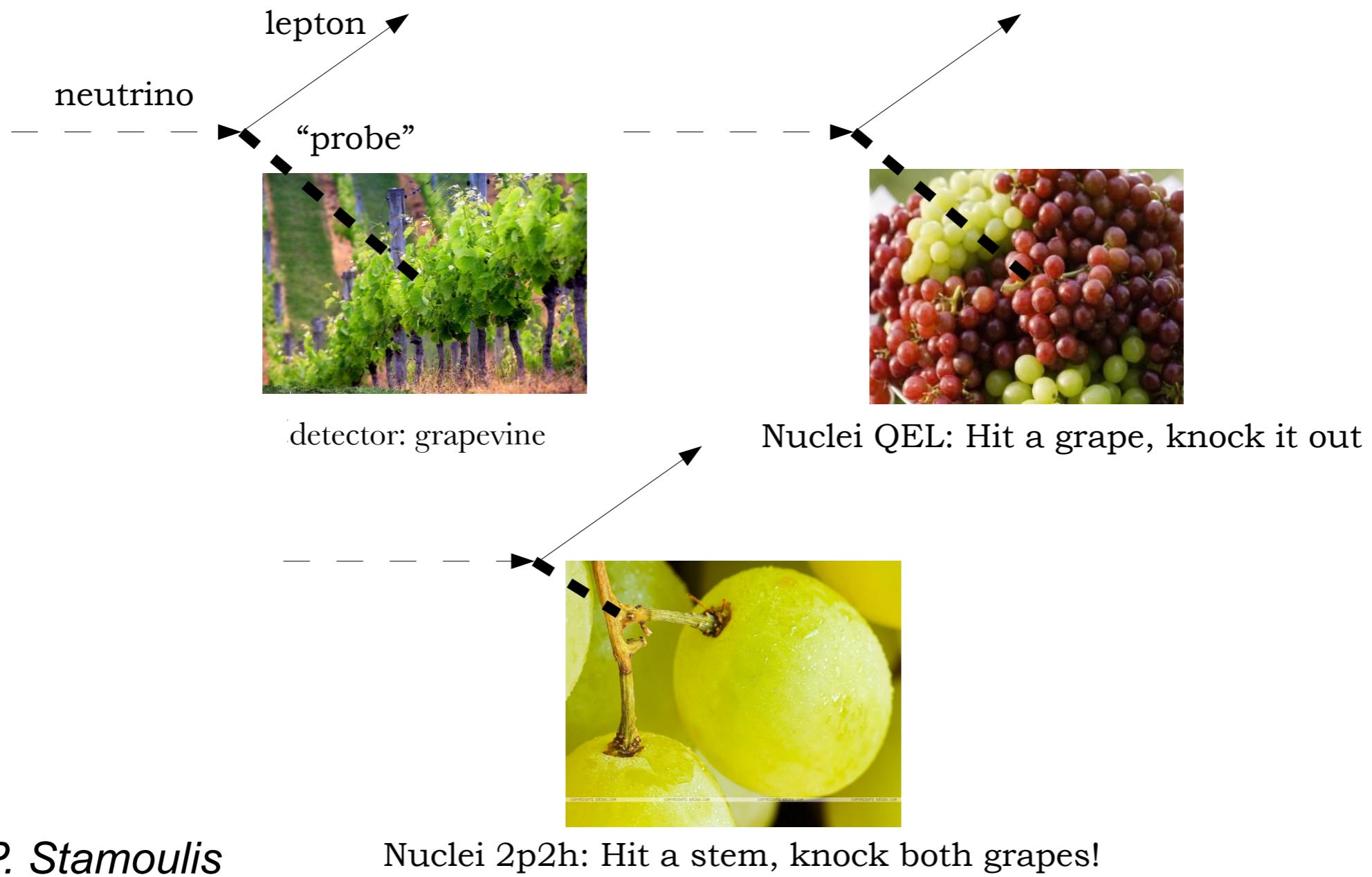


Borrowed from M. Dorman



[Science Vol. 320, no. 5882, pp. 1476](#)

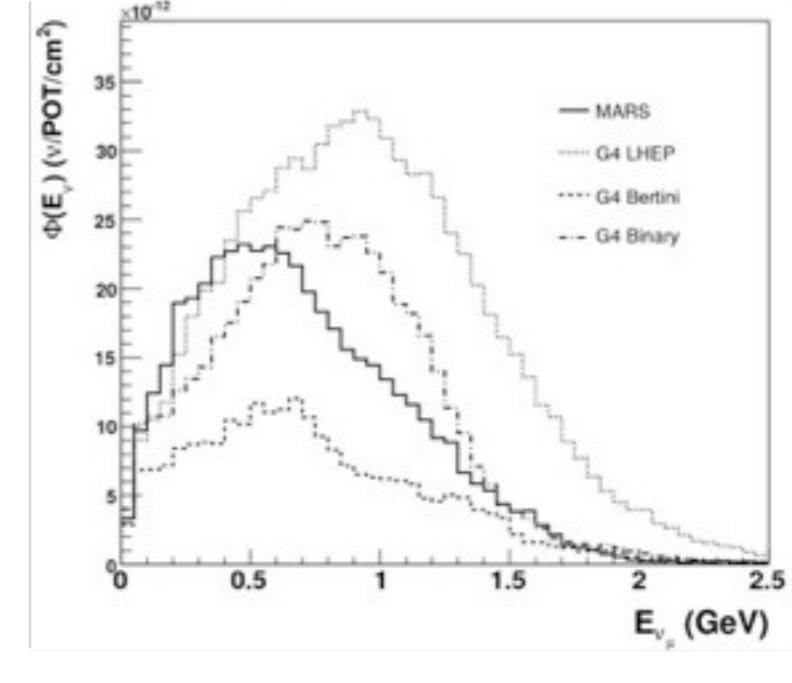
# An analogy



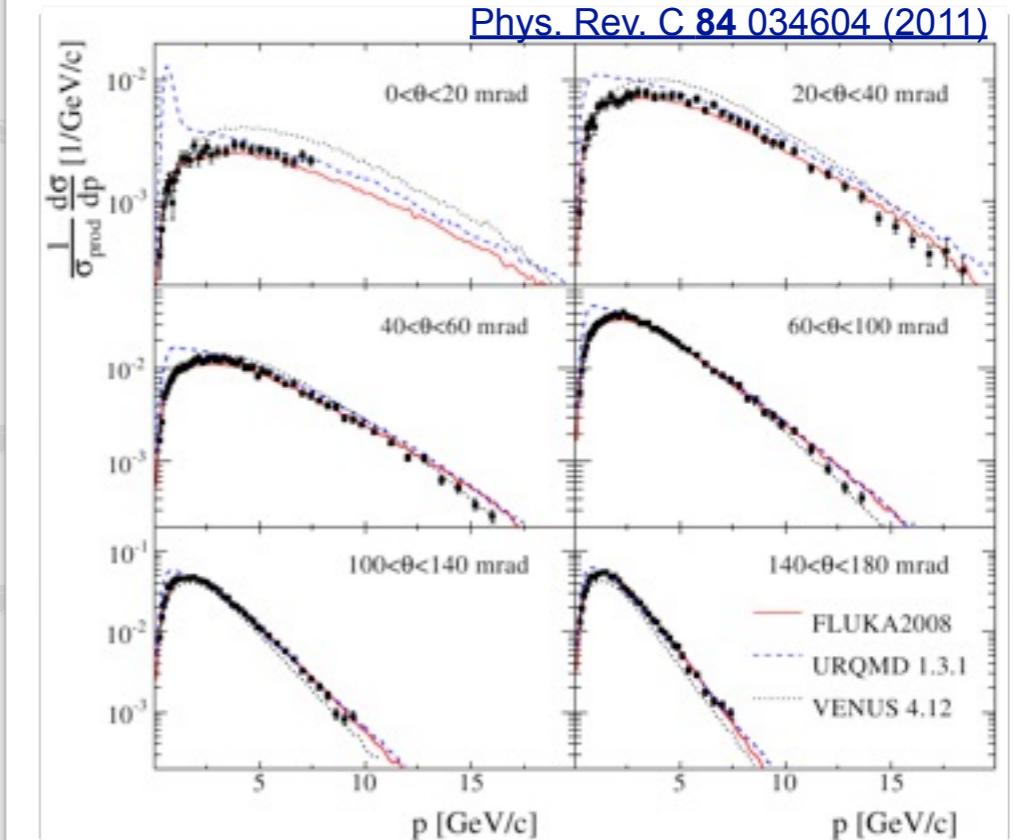
# Flux Predictions

- Dedicated hadron production experiments have *revolutionised* neutrino flux predictions
- Top: range of MC MiniBooNE flux predictions with different hadron models
  - 8 GeV protons on beryllium
- Bottom: NA-61 pC $\rightarrow\pi^+X$  data used to tune T2K flux MC
- Of course, hadron production isn't magic
- Still need primary & secondary beam monitoring, etc.

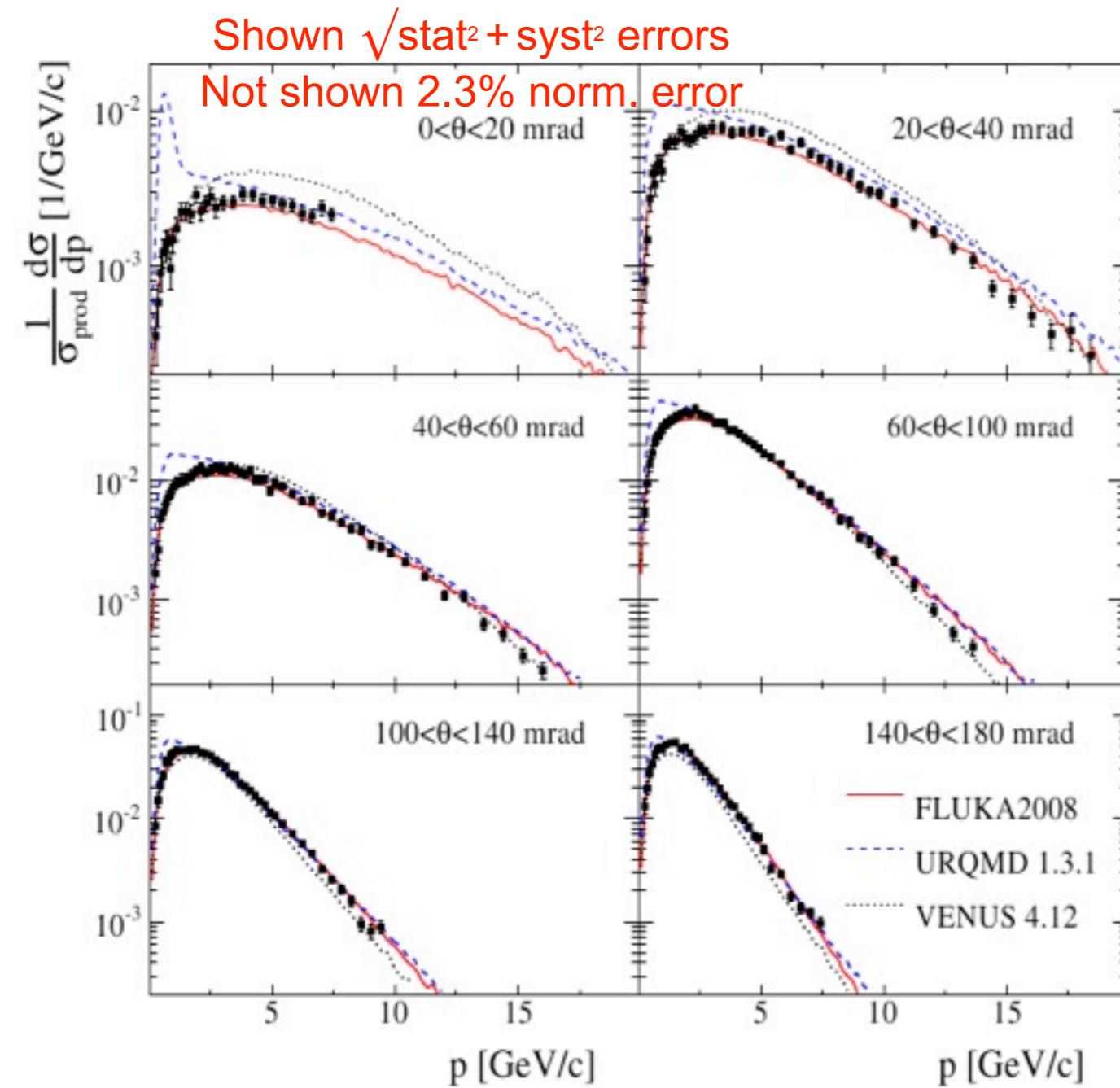
[D. Schmitz, FERMILAB-THESIS-2008-26](#)



[Phys. Rev. C 84 034604 \(2011\)](#)

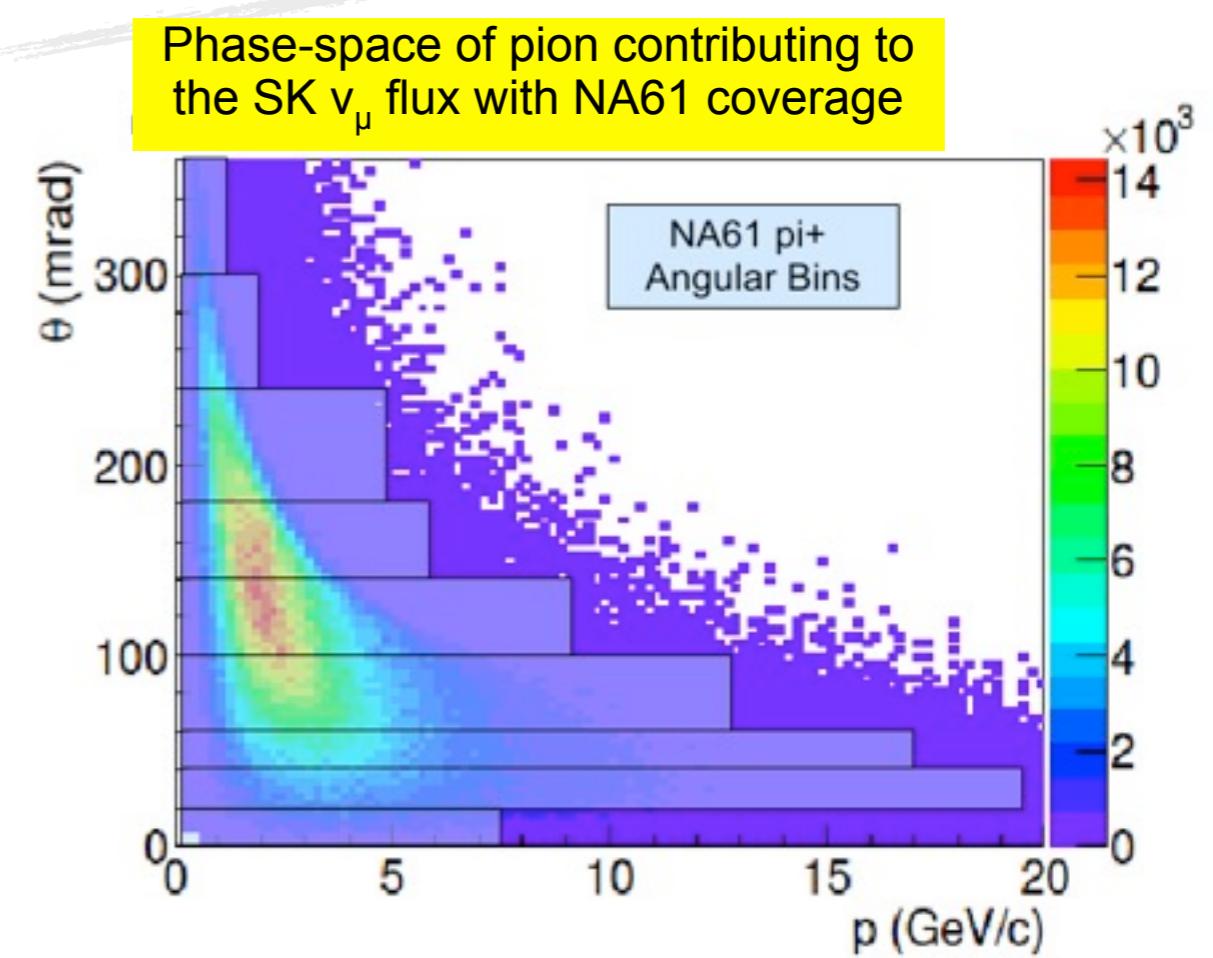


# NA61 pion data



N. Abgrall et. al., arXiv:1102.0983 [hep-ex]  
Accepted by Phys. Rev. C (2011)

NA61 experiment measures particle production from C at T2K beam energy (30 GeV)



Covers almost all of the relevant pion phase-space for  $\nu_\mu$  production at T2K

