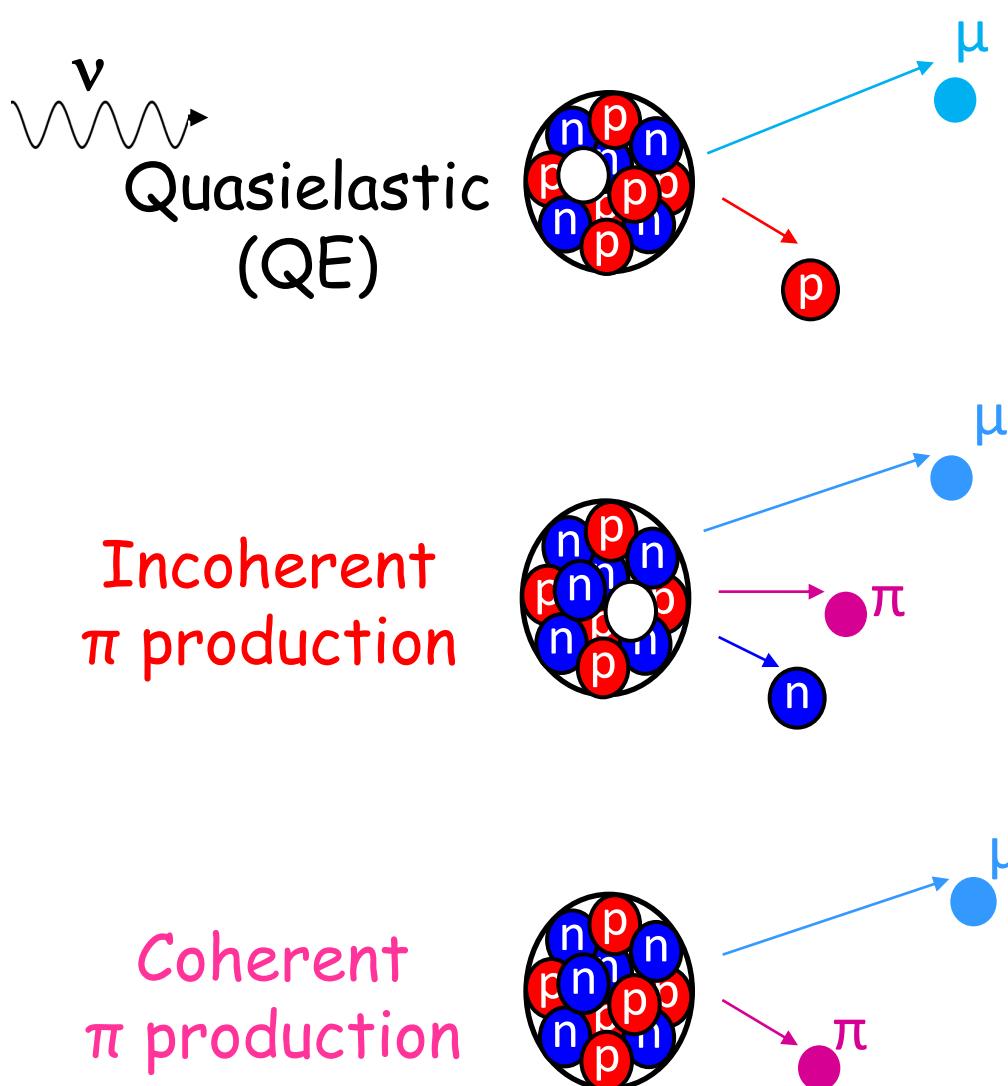


# Nuclear effects in neutrino interactions

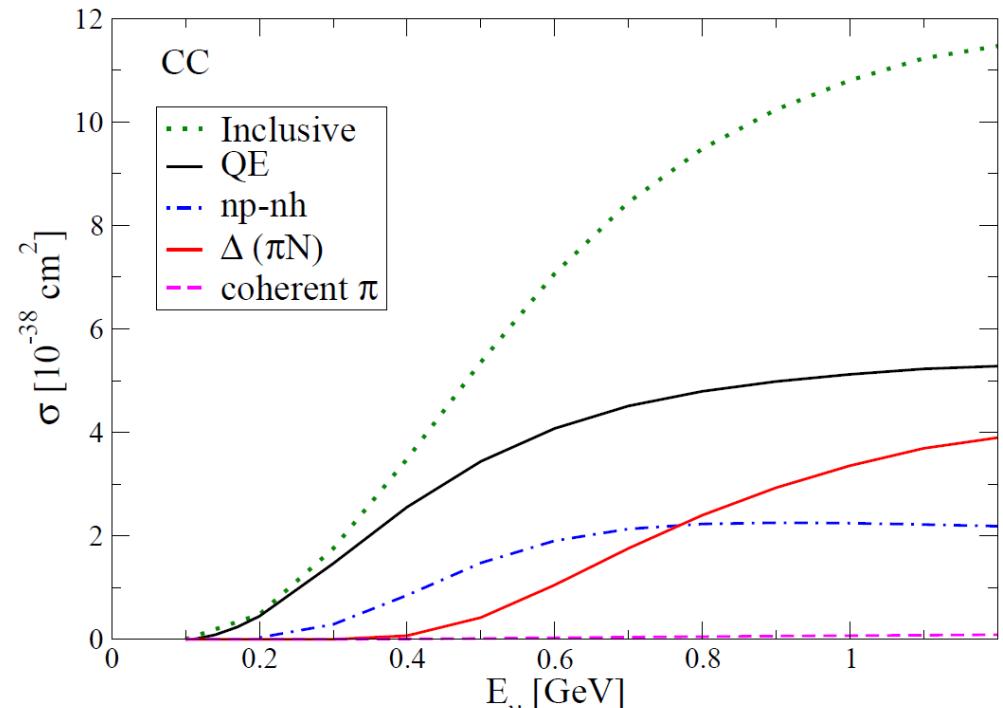
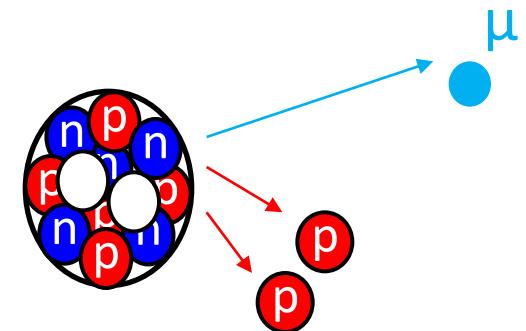
Marco Martini  
Ghent University

# Neutrino - nucleus interaction @ $E_\nu \sim 0$ (1 GeV)

[MiniBooNE, T2K energies]



Two Nucleons  
knock-out  
(2p-2h)



M. Martini, M. Ericson, G. Chanfray, J. Marteau, PRC 80 065501 (2009)

# Neutrino-nucleus interaction

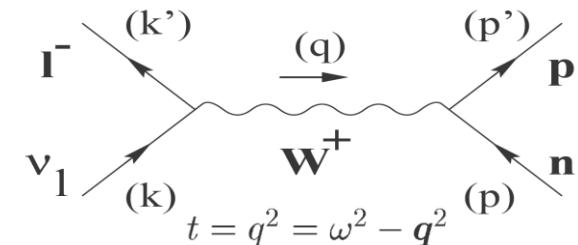
$$\mathcal{L}_W = \frac{G_F}{\sqrt{2}} \cos(\theta_C) l_\mu h^\mu$$

## lepton

$$\langle k', s' | l_\mu | k, s \rangle = e^{-iqx} \bar{u}(k', s') [ \gamma_\mu (1 - \gamma_5) ] u(k, s)$$

## hadron

$$\langle p', s' | h_+^\mu | p, s \rangle = e^{iqx} \bar{u}(p', s') [F_1(t) \gamma^\mu + F_2(t) \sigma^{\mu\nu} \frac{i q_\nu}{2M_N} + G_A(t) \gamma^\mu \gamma_5 + G_P(t) \gamma_5 \frac{q^\mu}{2M_N}] \tau_+ u(p, s)$$



## Cross section:

$$\frac{\partial^2 \sigma}{\partial \Omega_{k'} \partial k'} = \frac{G_F^2 \cos^2 \theta_C \mathbf{k'}^2}{2\pi^2} \cos^2 \frac{\theta}{2} \left[ \underline{G_E^2} \left(1 - \frac{\omega^2}{q^2}\right)^2 R_C + \underline{G_A^2} \frac{(M_\Delta - M_N)^2}{q^2} R_L \right. \\ \left. + \left( \underline{G_M^2} \frac{\omega^2}{q^2} + \underline{G_A^2} \right) \left(1 - \frac{\omega^2}{q^2} + 2 \tan^2 \frac{\theta}{2} \right) R_T \pm \underline{G_A} \underline{G_M} 2 \frac{k+k'}{M_N} \tan^2 \frac{\theta}{2} R_T \right]$$

Nucleon properties → Form factors: Electric  $G_E$ , Magnetic  $G_M$ , Axial  $G_A$

Nuclear dynamics → Nuclear Response Functions:

Charge  $R_c(\tau)$ , Isospin Spin-Longitudinal  $R_l(\tau \sigma \cdot q)$ , Isospin Spin Transverse  $R_T(\tau \sigma \times q)$

# Form Factors

Standard dipole parameterization

Vector

$$G_E(Q^2) = G_M(Q^2) / (\mu_p - \mu_n) = (1 + Q^2 / M_V^2)^{-2}$$

$$Q^2 = q^2 - \omega^2$$

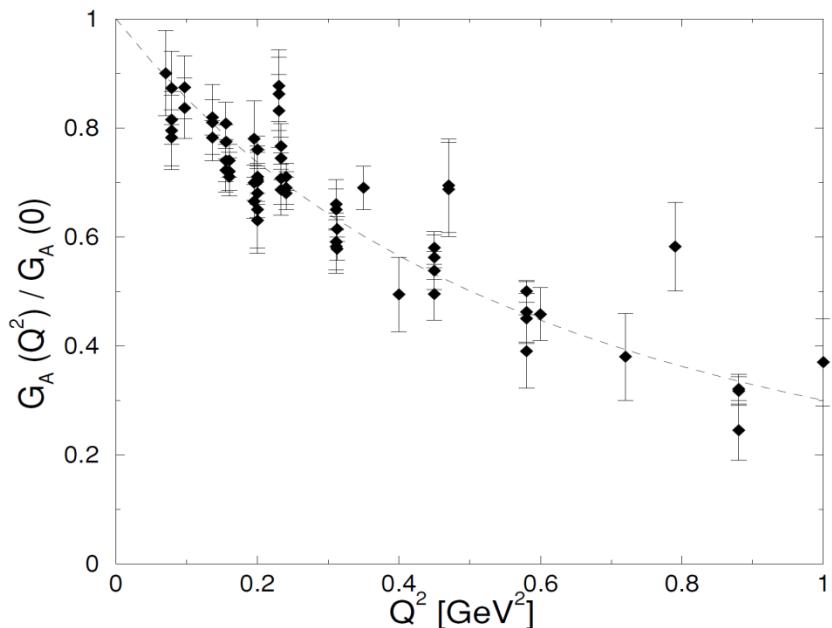
$$M_V = 0.84 \text{ GeV}/c^2$$

Axial

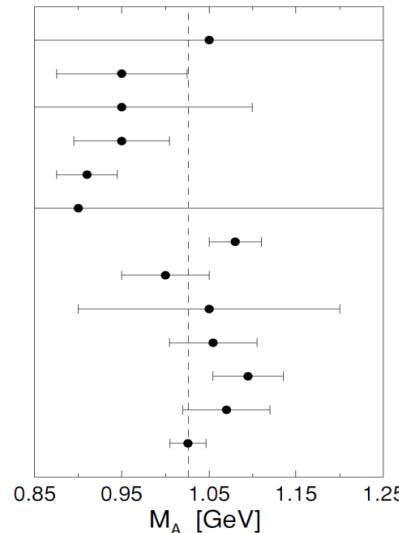
$$G_A(Q^2) = g_A (1 + Q^2 / M_A^2)^{-2}$$

$$g_A = 1.26 \text{ from neutron } \beta \text{ decay}$$

$$M_A = (1.026 \pm 0.021) \text{ GeV}/c^2$$



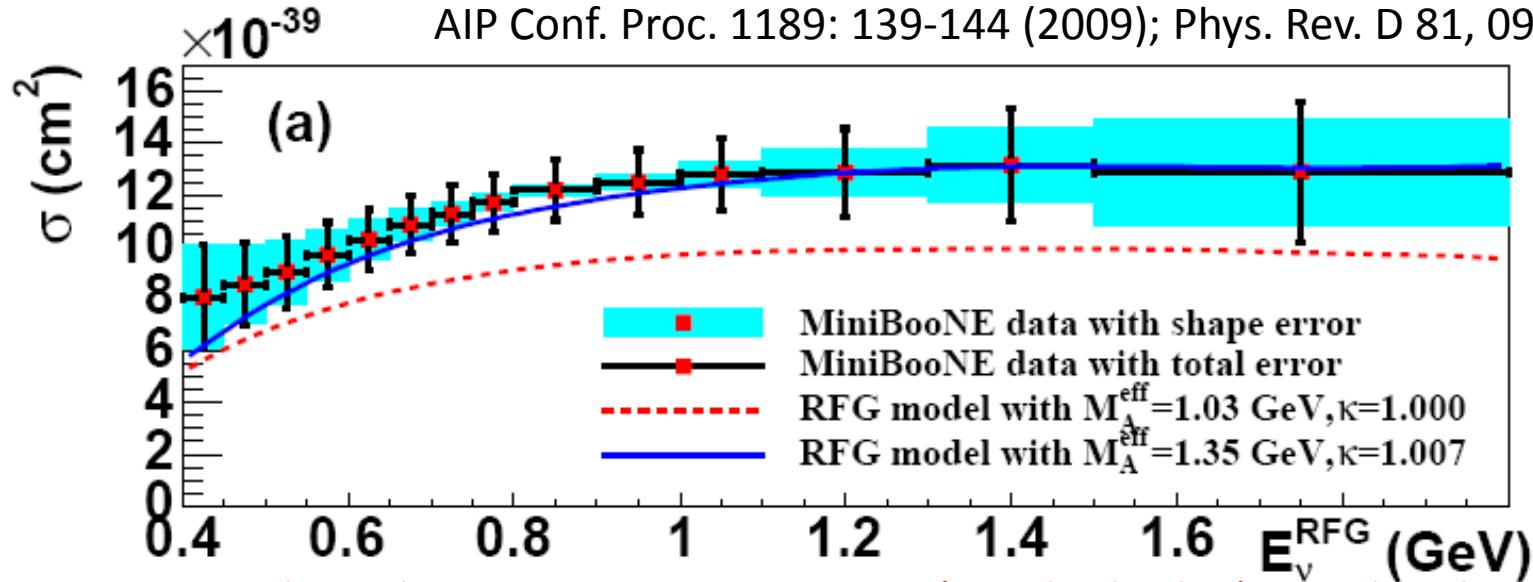
Argonne (1969)  
Argonne (1973)  
CERN (1977)  
Argonne (1977)  
CERN (1979)  
BNL (1980)  
BNL (1981)  
Argonne (1982)  
Fermilab (1983)  
BNL (1986)  
BNL (1987)  
BNL (1990)  
Average



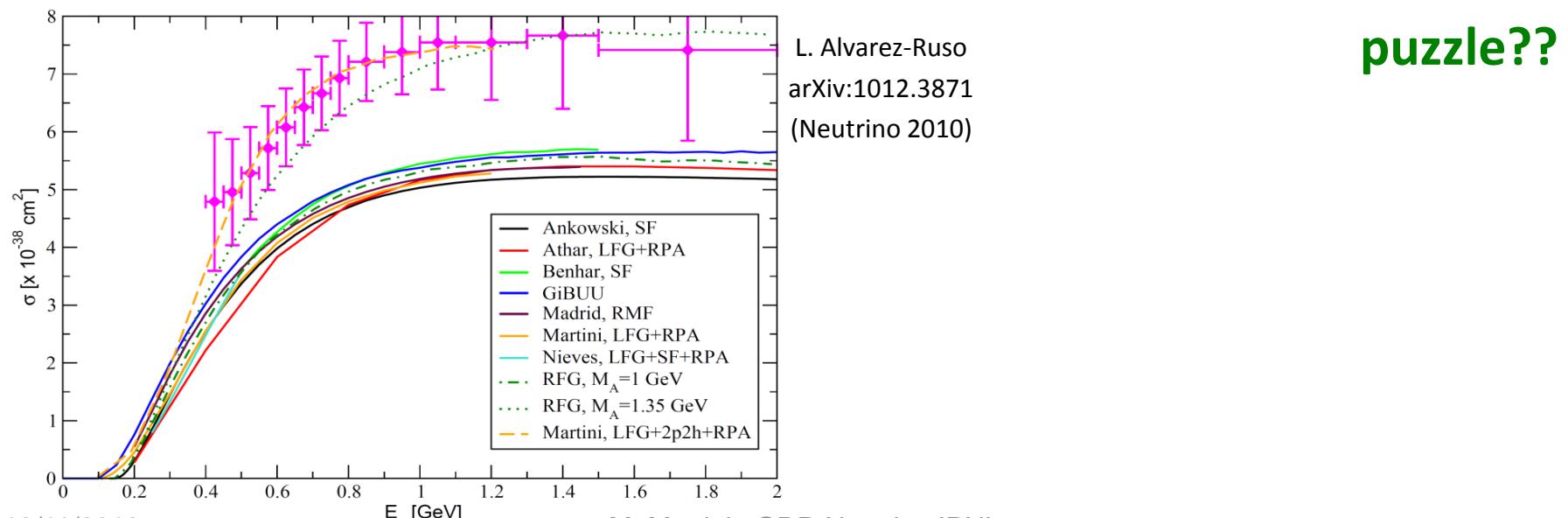
from  $\nu$ -deuterium CCQE  
and  
from  $\pi$  electroproduction

# MiniBooNE CC Quasielastic neutrino cross section on Carbon

AIP Conf. Proc. 1189: 139-144 (2009); Phys. Rev. D 81, 092005 (2010)

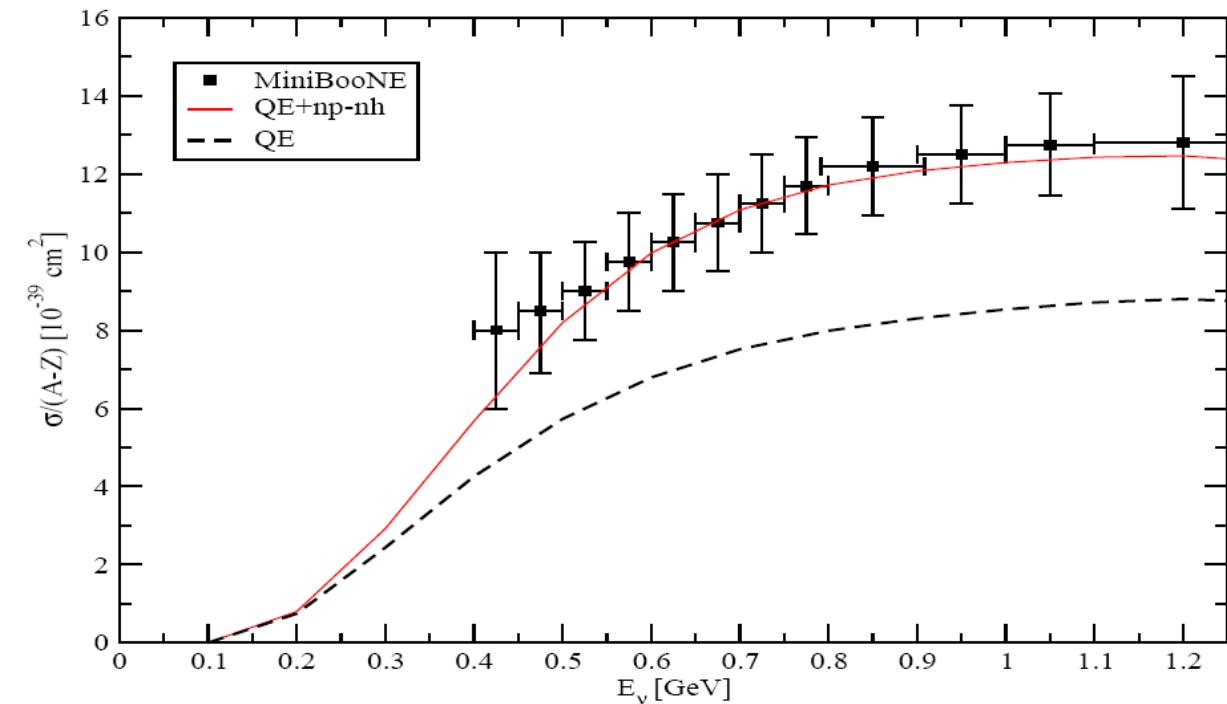


Comparison with predictions using  $M_A = 1.03 \text{ GeV}$  (standard value) reveals a discrepancy  
In the Relativistic Fermi Gas (RFG) model an axial mass of 1.35 GeV is needed to account for data

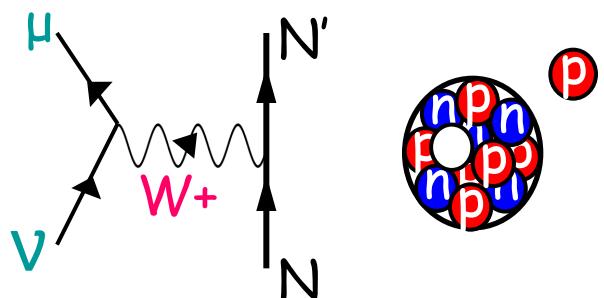


# An explanation of this puzzle

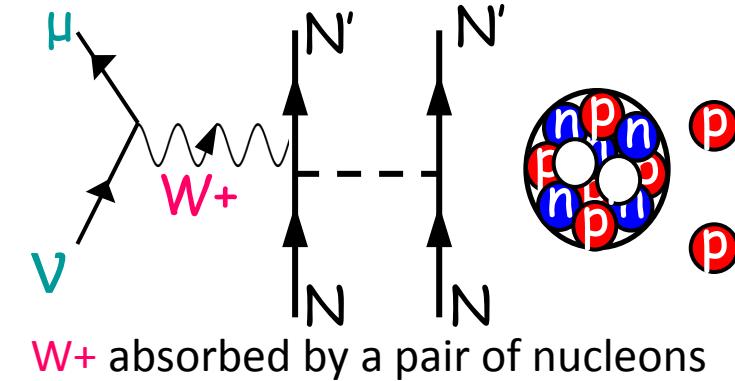
## Inclusion of the multinucleon emission channel (np-nh)



Genuine CCQE



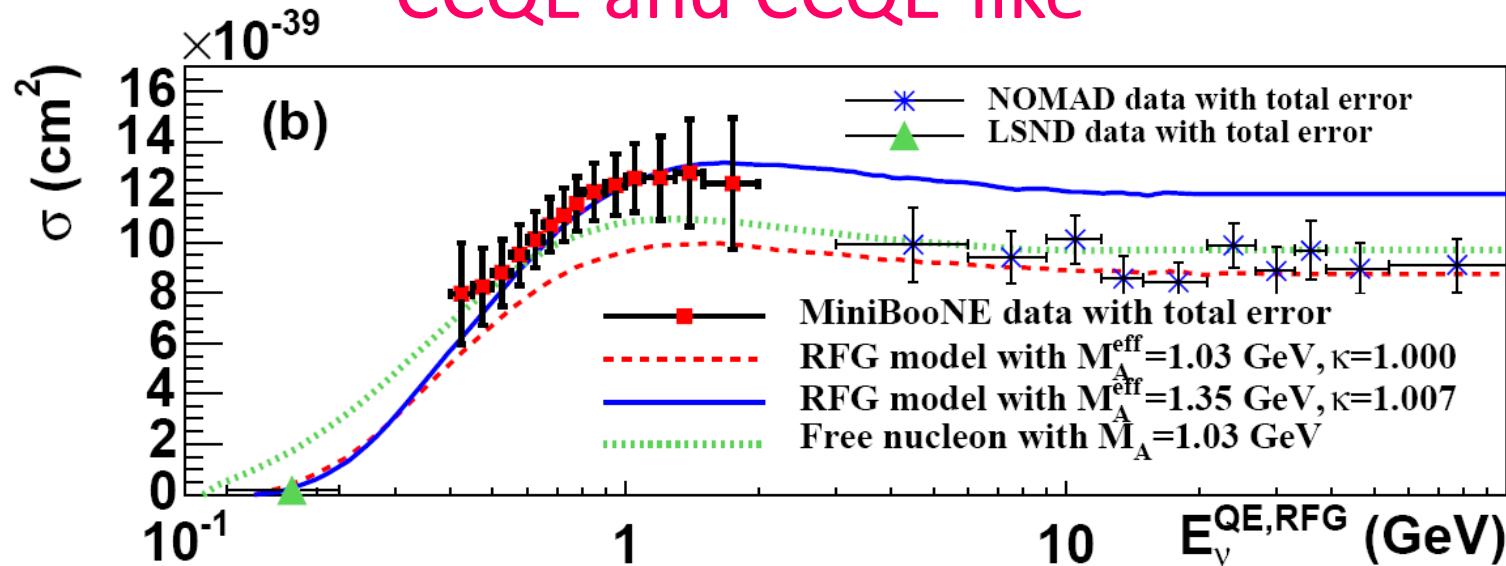
Two particles-two holes (2p-2h)



M. Martini, M. Ericson, G. Chanfray, J. Marteau Phys. Rev. C 80 065501 (2009)

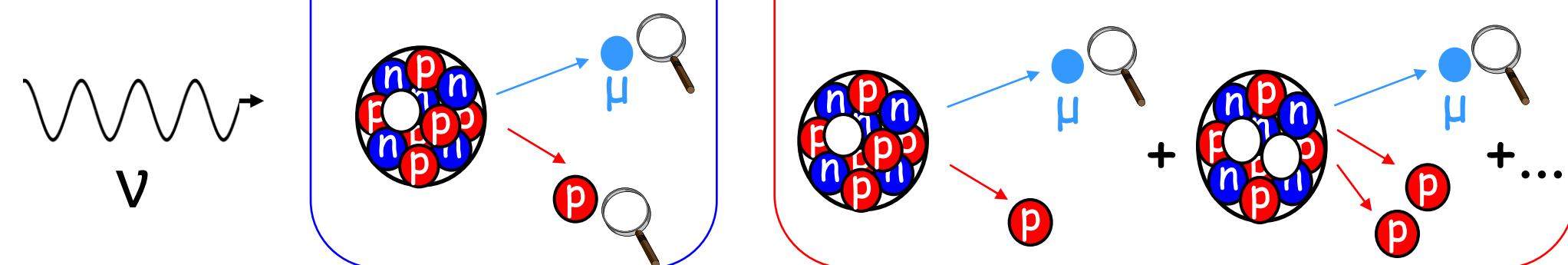
**Agreement with MiniBooNE without increasing  $M_A$**

# CCQE and CCQE-like



CCQE  
e.g. NOMAD

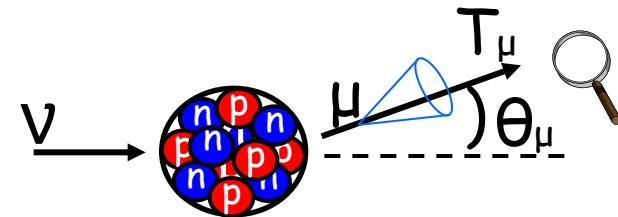
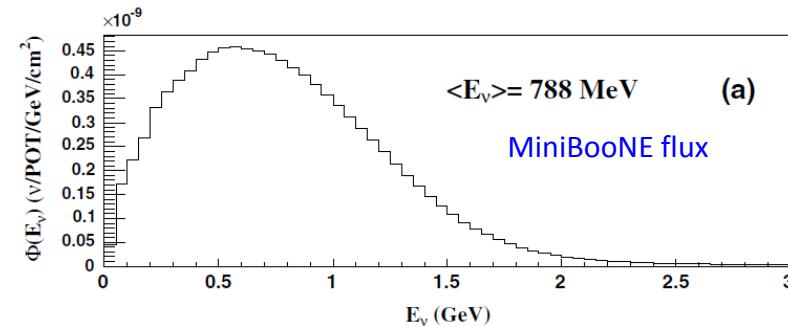
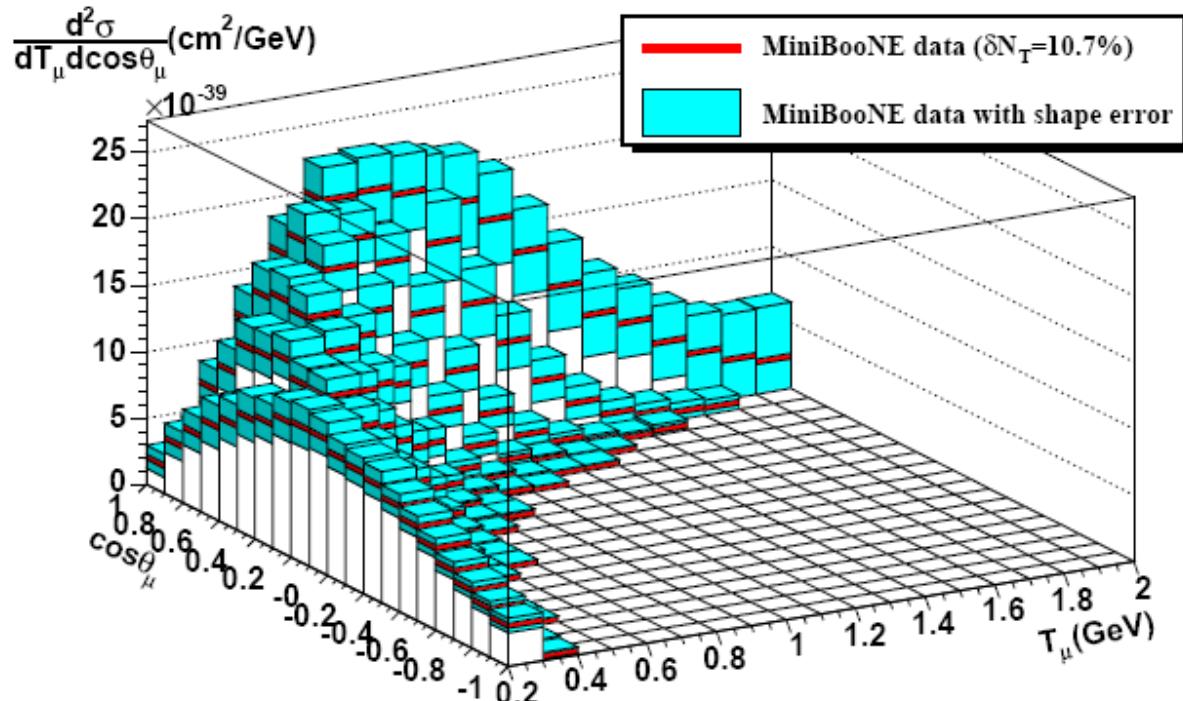
CCQE-like  
e.g. MiniBooNE



Cherenkov detectors measure CCQE-like which includes np-nh contributions

# MiniBooNE CCQE-like flux-integrated double diff. X section

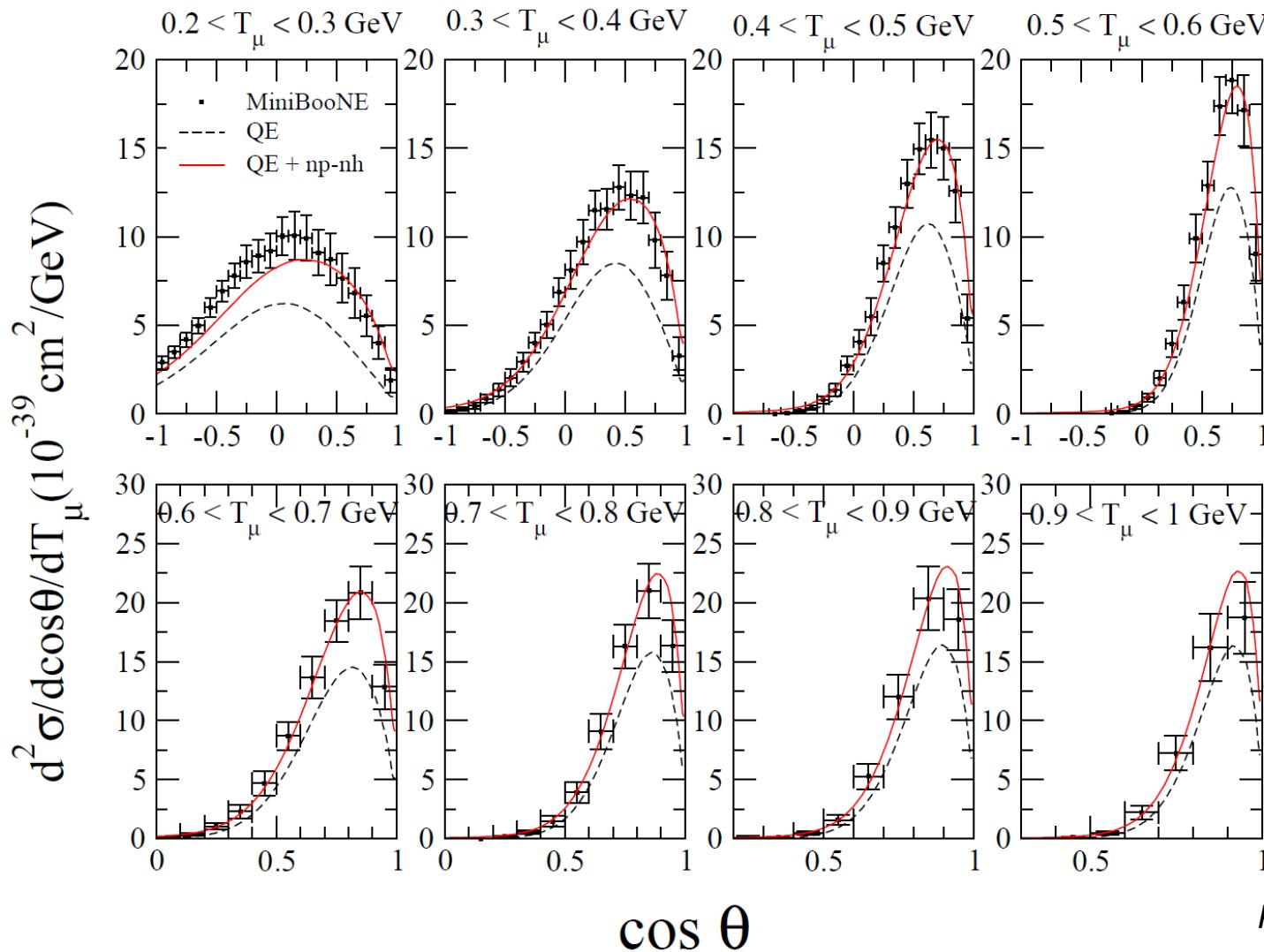
$$\frac{d^2\sigma}{dE_\mu d\cos\theta} = \int dE_\nu \left[ \frac{d^2\sigma}{d\omega d\cos\theta} \right]_{\omega=E_\nu - E_\mu} \Phi(E_\nu)$$



Model independent measurement

MiniBooNE, Phys. Rev. D 81, 092005 (2010)

# Flux-integrated double differential cross section



red: including np-nh

black: genuine QE

Martini, Ericson, Chanfray,  
Phys. Rev. C 84 055502 (2011)

**Agreement with MiniBooNE without increasing  $M_A$  once np-nh is included**

Similar conclusions in Nieves et al. PLB 707, 72 (2012)

M. Martini, GDR Neutrino IPNL

# Theoretical studies on np-nh excitations in CCQE-like

*M. Martini, M. Ericson, G. Chanfray, J. Marteau (Lyon, IPNL)*

Phys. Rev. C 80 065501 (2009)  $\nu \sigma_{\text{total}}$

Phys. Rev. C 81 045502 (2010)  $\nu$  vs antiv ( $\sigma_{\text{total}}$ )

Phys. Rev. C 84 055502 (2011)  $\nu d^2\sigma$ ,  $d\sigma/dQ^2$

Phys. Rev. D 85 093012 (2012) impact of np-nh on  $\nu$  energy reconstruction

Phys. Rev. D 87 013009 (2013) impact of np-nh on  $\nu$  energy reconstruction and  $\nu$  oscillation

Phys. Rev. C 87 065501 (2013) antiv  $d^2\sigma$ ,  $d\sigma/dQ^2$

*J. Nieves, I. Ruiz Simo, M.J. Vicente Vacas, F. Sanchez, R. Gran (Valencia, IFIC)*

Phys. Rev. C 83 045501 (2011)  $\nu$ , antiv  $\sigma_{\text{total}}$

Phys. Lett. B 707 72-75 (2012)  $\nu d^2\sigma$

Phys. Rev. D 85 113008 (2012) impact of np-nh on  $\nu$  energy reconstruction

Phys. Lett. B 721 90-93 (2013) antiv  $d^2\sigma$

arXiv 1307.8105 (2013) extension of np-nh up to 10 GeV

*J.E. Amaro, M.B. Barbaro, J.A. Caballero, T.W. Donnelly, J.M. Udias, C. F. Williamson  
(Superscaling)*

Phys. Lett. B 696 151-155 (2011)  $\nu d^2\sigma$

Phys. Rev. D 84 033004 (2011)  $\nu d^2\sigma$ ,  $\sigma_{\text{total}}$

Phys. Rev. Lett. 108 152501 (2012) antiv  $d^2\sigma$ ,  $\sigma_{\text{total}}$

# Effective models taking into account np-nh excitations

*O. Lalakulich, K. Gallmeister and U. Mosel (GiBUU)*

Phys. Rev. C 86 014614 (2012)  $\nu$   $\sigma_{\text{total}}$ ,  $d^2\sigma$ ,  $d\sigma/dQ^2$

Phys. Rev. C 86 054606 (2012) impact of np-nh on  $\nu$  energy reconstruction and  $\nu$  oscillation

*A. Bodek, H.S. Budd, M.E. Christy (Transverse Enhancement Model)*

EPJ C 71 1726 (2011)  $\nu$  and antiv  $\sigma_{\text{total}}$ ,  $d\sigma/dQ^2$

In the neutrino interaction generators corresponding to experimental studies on  $\nu$  cross sections and oscillations published up to now the np-nh channel was not included

Today there is an effort to include this np-nh channel in several Monte Carlo (GENIE, NuWro,...)

# Theoretical models

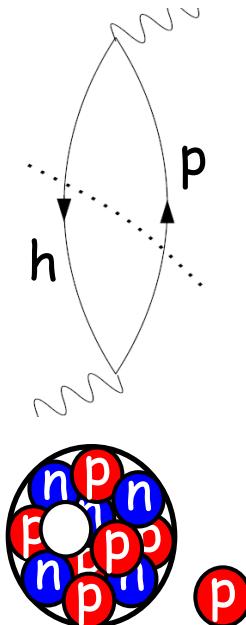
# Response picture

## Response functions

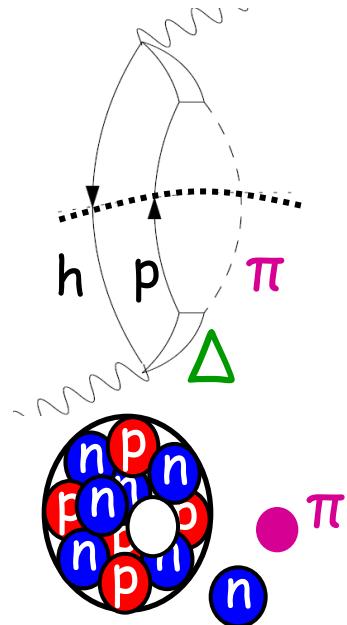
$$R(\omega, q) = -\frac{\mathcal{V}}{\pi} \text{Im}[\Pi(\omega, q, q)]$$

easy to separate the several channels

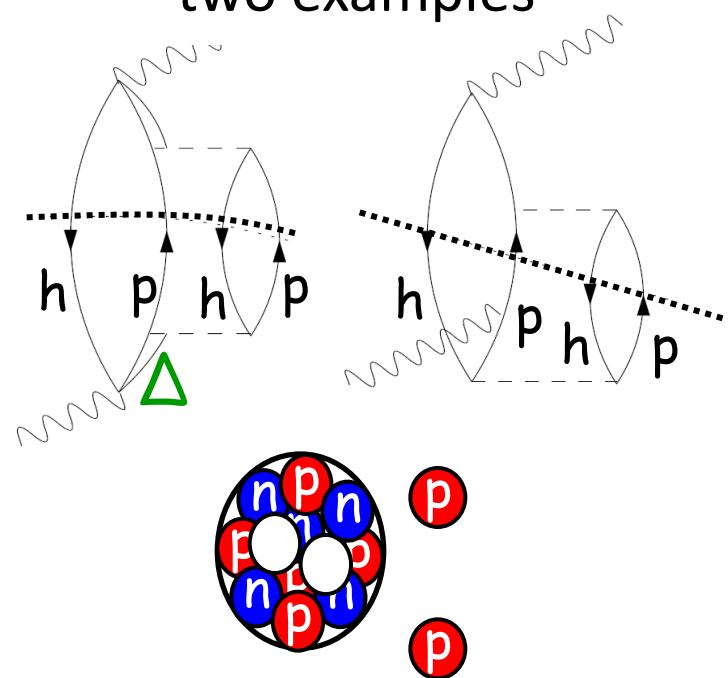
1p-1h  
QE



1p-1h  
1 $\pi$  production



2p-2h:  
two examples



# Genuine Quasielastic Scattering

Nucleon-Nucleon interaction switched off

Nucleons respond individually

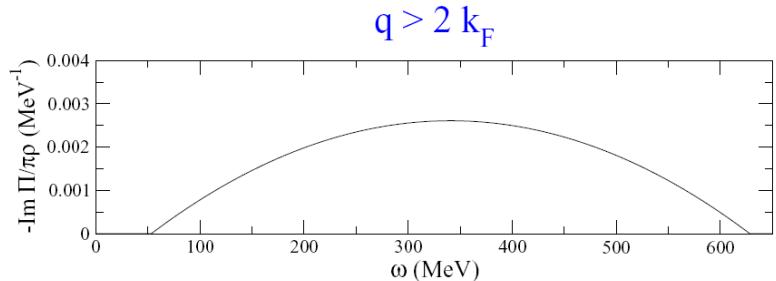
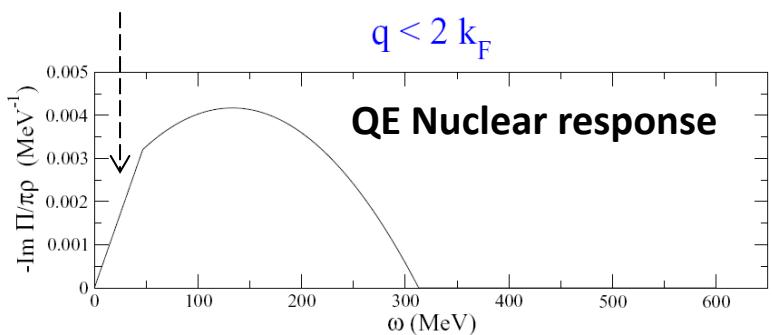
Nucleon at rest:

$$R\alpha \delta(\omega - (\sqrt{q^2 + M^2} - M))$$

Nucleon inside the nucleus:

Fermi motion spreads  $\delta$  distribution (Fermi Gas)

Pauli blocking cuts part of the low momentum Resp.



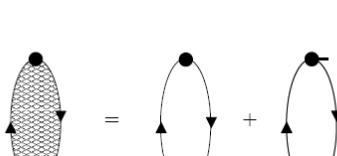
13/11/2013

M. Martini, GDR Neutrino IPNL

Nucleon-Nucleon interaction switched on

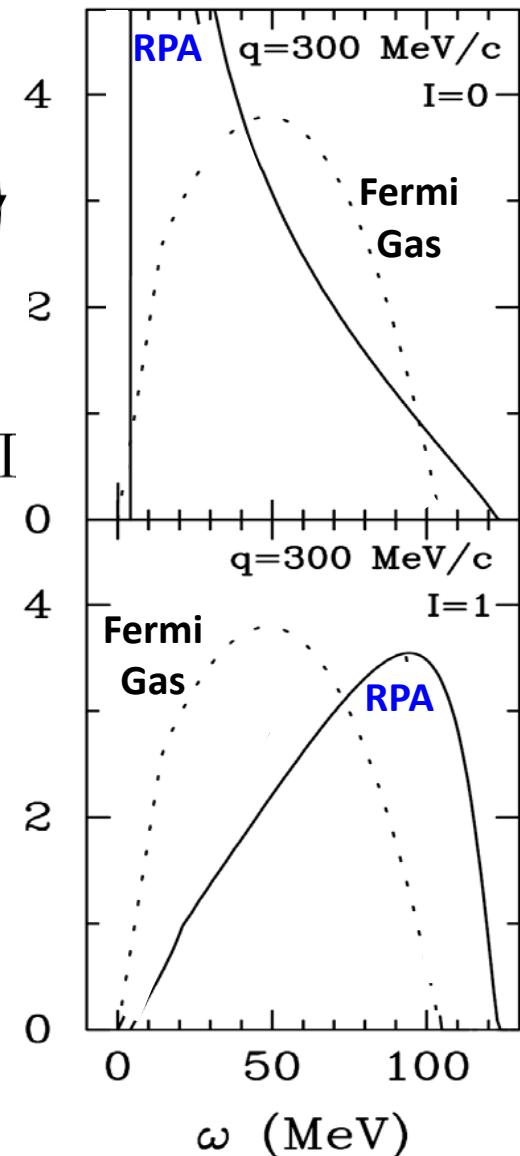
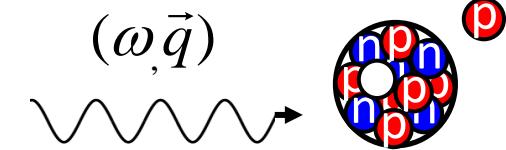
The nuclear response becomes collective

Random Phase Approximation



\*Force acting on one nucleon is transmitted by the interaction

\*Shift of the peak with respect to Fermi Gas, decrease, increase,...



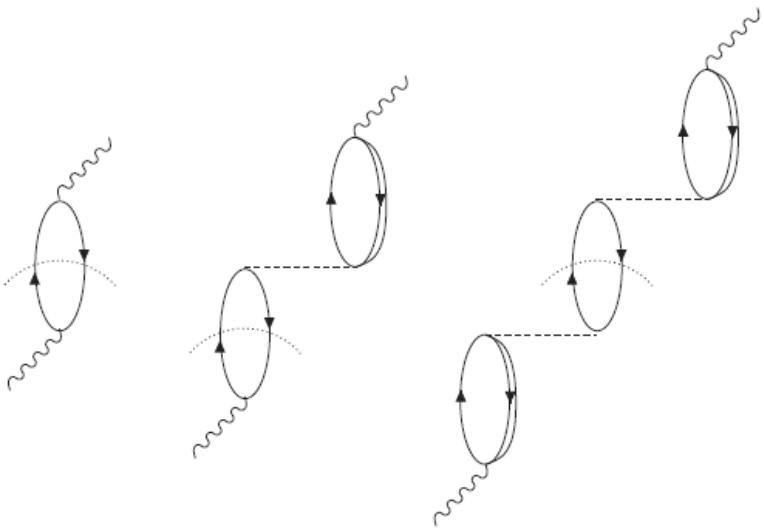
# Effects of the RPA in the $\nu$ genuine quasielastic scattering

QE totally dominated by isospin spin-transverse response  $R_{\sigma\tau(T)}$

## RPA reduction

- expected from the repulsive character of p-h interaction in T channel
- mostly due to interference term  $R^{N\Delta} < 0$   
(Lorentz-Lorenz or Ericson-Ericson effect)

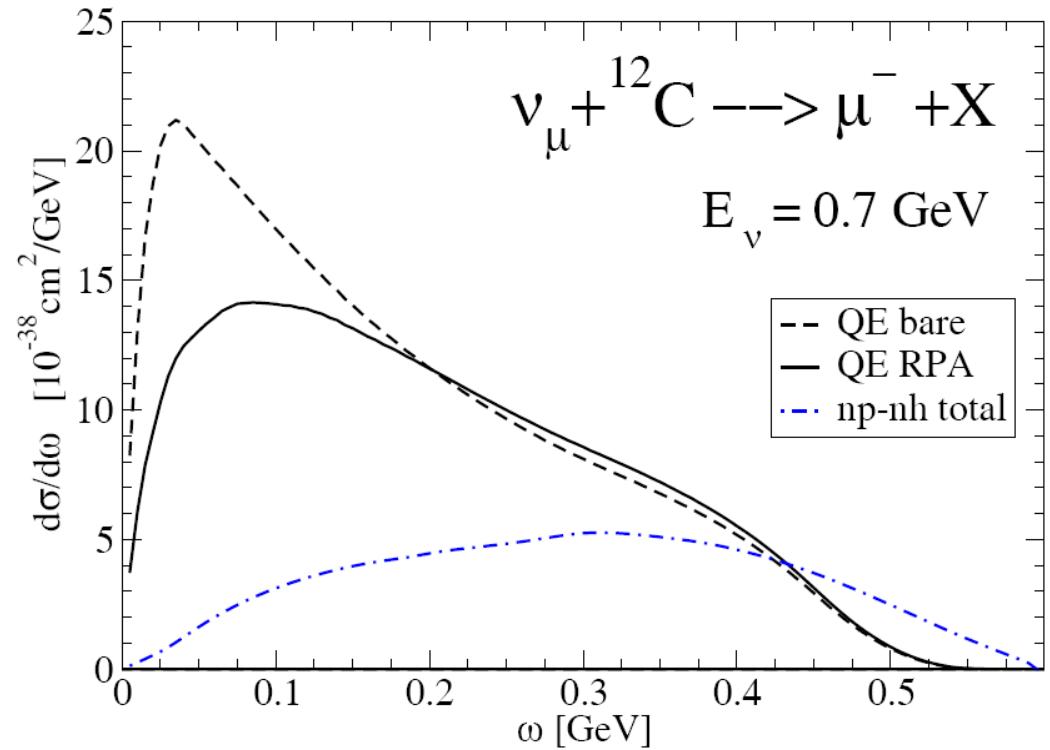
## Lowest order contribution to QE



$$R_{QE}^{NN}$$

$$R_{QE}^{ND}$$

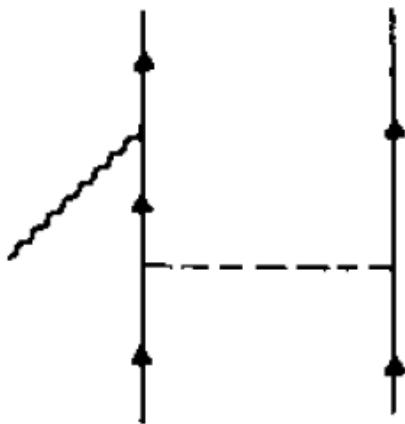
$$R_{QE}^{\Delta\Delta}$$



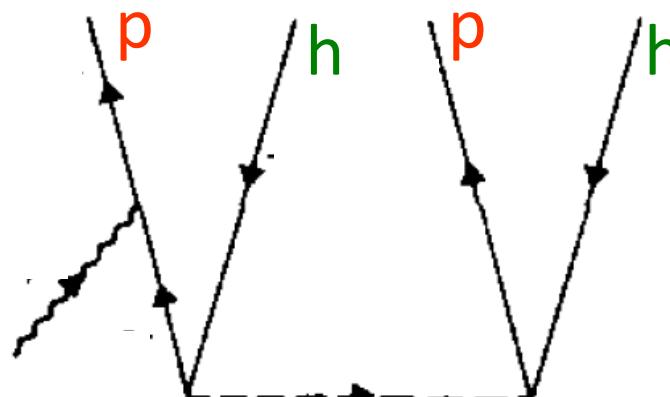
# Two particle-two hole sector (2p-2h)

Three equivalent representations of the same process

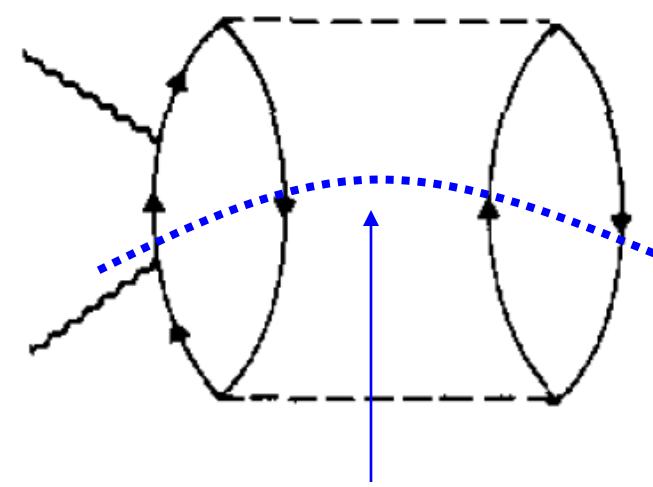
2 body current



2p-2h matrix element



2p-2h response

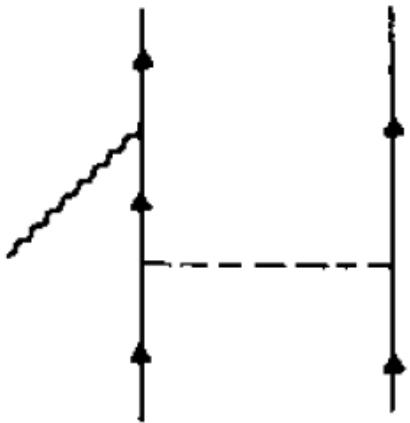


Cut  
(optical theorem)

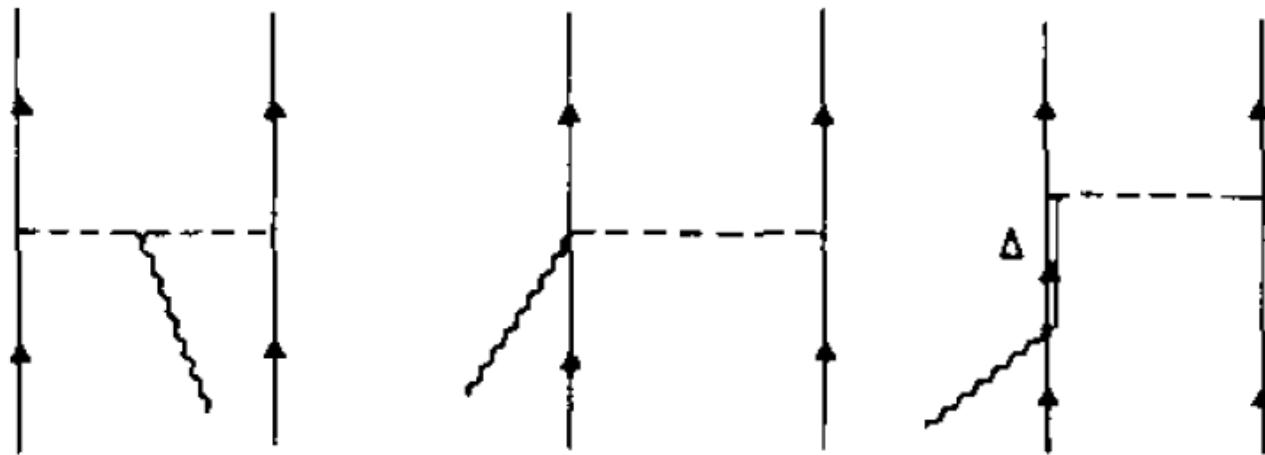
Final state: two particles-two holes

# Some diagrams for 2 body currents

Nucleon-Nucleon  
correlations

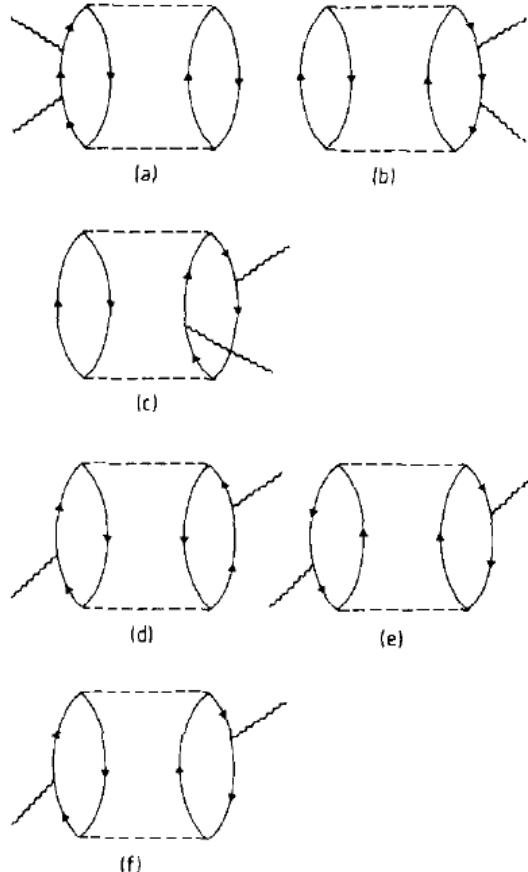


Meson Exchange Currents (MEC)



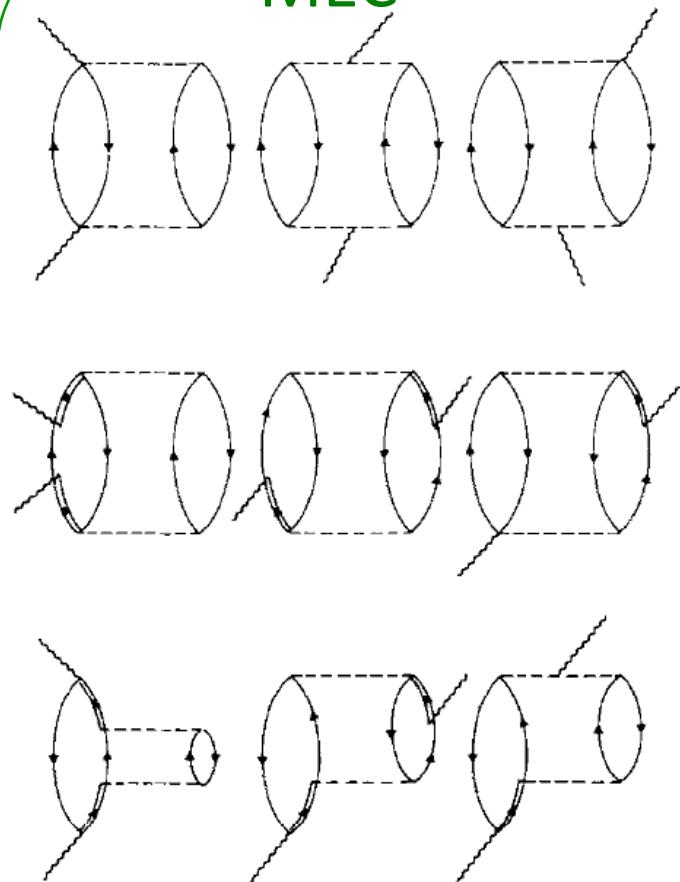
# Some diagrams for 2p-2h responses

## NN correlations



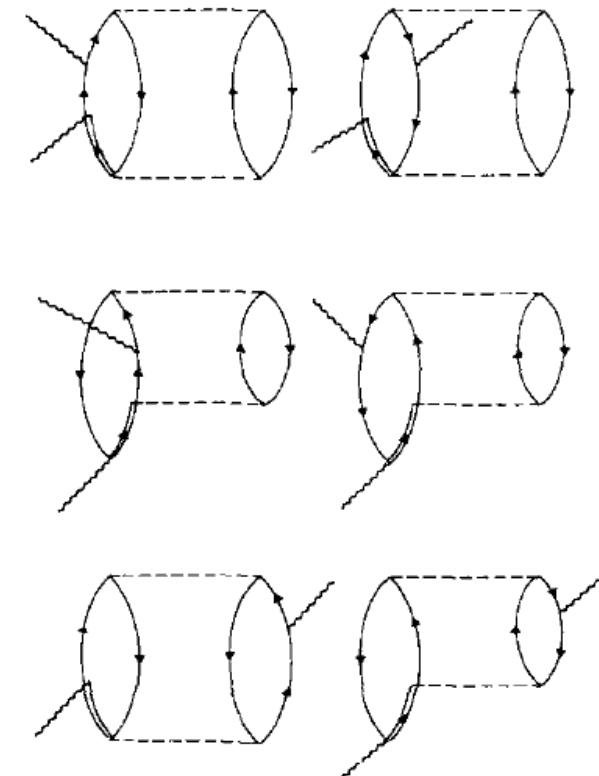
16 diagrams

## MEC



49 diagrams

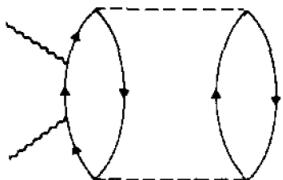
## NN correlation-MEC interference



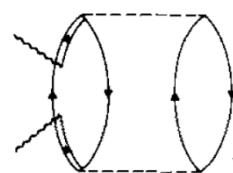
56 diagrams

# Main difficulties in the 2p-2h sector

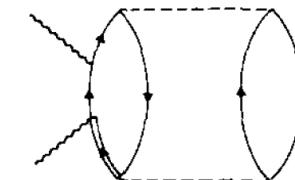
- Huge number of diagrams and terms



16 from NN correlations



49 from MEC



56 from interference

*Alberico, Ericson, Molinari, Ann. Phys. 154, 356 (1984)*

fully relativistic calculation (just of MEC !):

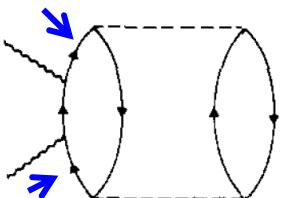
3000 direct terms

More than 100 000 exchange terms

*De Pace, Nardi, Alberico, Donnelly, Molinari, Nucl. Phys. A741, 249 (2004)*

- Divergences in NN correlations

*prescriptions:*



- nucleon propagator only off the mass shell (*Alberico et al. Ann. Phys. 1984*)
- kinematical constraints + nucleon self energy in the medium (*Nieves et al PRC 83*)
- regularization parameter taking into account the finite size of the nucleus to be fitted to data (*Amaro et al. PRC 82 044601 2010*)

# Analogies and differences of 2p-2h

**M. Martini, M. Ericson, G. Chanfray, J. Marteau**

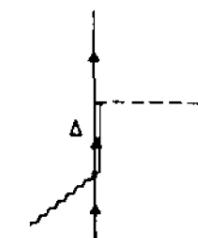
Axial and Vector

NN corr.

$\Delta$ -MEC

$N\Delta$  interf.

[ Genuine CCQE (1p-1h): LRFG+RPA ]



**J. Nieves, I. Ruiz Simo, M.J. Vicente Vacas et al.**

Axial and Vector

NN corr.

MEC

N-MEC interf.

[ Genuine CCQE (1p-1h): LRFG+SF+RPA ]

**J.E. Amaro, M.B. Barbaro, J.A. Caballero, T.W. Donnelly et al.**

Only Vector

MEC

[ Genuine CCQE (1p-1h): Superscaling ]

# Where 2p-2h enter in V-nucleus cross-section?

$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial k'} &= \frac{G_F^2 \cos^2 \theta_c (\mathbf{k}')^2}{2 \pi^2} \cos^2 \frac{\theta}{2} \left[ G_E^2 \left( \frac{q_\mu^2}{\mathbf{q}^2} \right)^2 R_\tau^{NN} \quad \text{isovector nuclear response} \right. \\
 &+ G_A^2 \frac{(M_\Delta - M_N)^2}{2 \mathbf{q}^2} \left. R_{\sigma\tau(L)} \right] \quad \text{isospin spin-longitudinal} \\
 &+ \left( G_M^2 \frac{\omega^2}{\mathbf{q}^2} + G_A^2 \right) \left( -\frac{q_\mu^2}{\mathbf{q}^2} + 2 \tan^2 \frac{\theta}{2} \right) \left. R_{\sigma\tau(T)} \right] \quad \text{isospin spin-transverse} \\
 &\pm 2 G_A G_M \frac{k + k'}{M_N} \tan^2 \frac{\theta}{2} \left. R_{\sigma\tau(T)} \right] \quad \text{interference V-A}
 \end{aligned}$$

The 2p-2h term affects the magnetic and axial responses  
 (terms in  $G_M, G_A$ )  
 (spin-isospin,  $\sigma\tau$  excitation operator)

Other processes, with the same excitation operator ( $\sigma\tau$ ),  
where 2p-2h are relevant

- Transverse response in electron scattering

$$\frac{d^2\sigma}{d\theta d\omega} = \sigma_M \left\{ \frac{(\omega^2 - q^2)^2}{q^4} R_L(\omega, q) + \left[ \tan^2\left(\frac{\theta}{2}\right) - \frac{\omega^2 - q^2}{2q^2} \right] \boxed{R_T(\omega, q)} \right\}$$

- Photon absorption

$$\sigma_\gamma^{\text{tot}} = 2\pi^2 \frac{\alpha}{\omega} \boxed{R_T(q, q)}$$

- Pion absorption

Two-nucleon mechanism:  $\pi NN \rightarrow NN$  ( $\pi N \rightarrow N$  strongly suppressed)  
Dominated by **p-n** initial pairs



Ejected pairs will be predominantly:  
**p-p** for  $\nu$  CC  
**n-n** for antiv CC  
**p-n** for NC

*First results of MINER  $\nu A$  seem to confirm this prediction (PRL 111 022501; 022502 2013)*

# Sources and References of 2p-2h

**M. Martini, M. Ericson, G. Chanfray, J. Marteau**

*Alberico, Ericson, Molinari, Ann. Phys. 154, 356 (1984)*  $(e,e')$   $\gamma$   $\pi$   
*\*Oset and Salcedo, Nucl. Phys. A 468, 631 (1987)*  $\pi$   $\gamma$   
*Shimizu, Faessler, Nucl. Phys. A 333, 495 (1980)*  $\pi$   
*Delorme, Ericson, Phys.Lett. B156 263 (1985)*  
*Marteau, Eur.Phys.J. A5 183-190 (1999); PhD thesis*  
*Marteau, Delorme, Ericson, NIM A 451 76 (2000)*

} V pioneer works

**J. Nieves, I. Ruiz Simo, M.J. Vicente Vacas et al.**

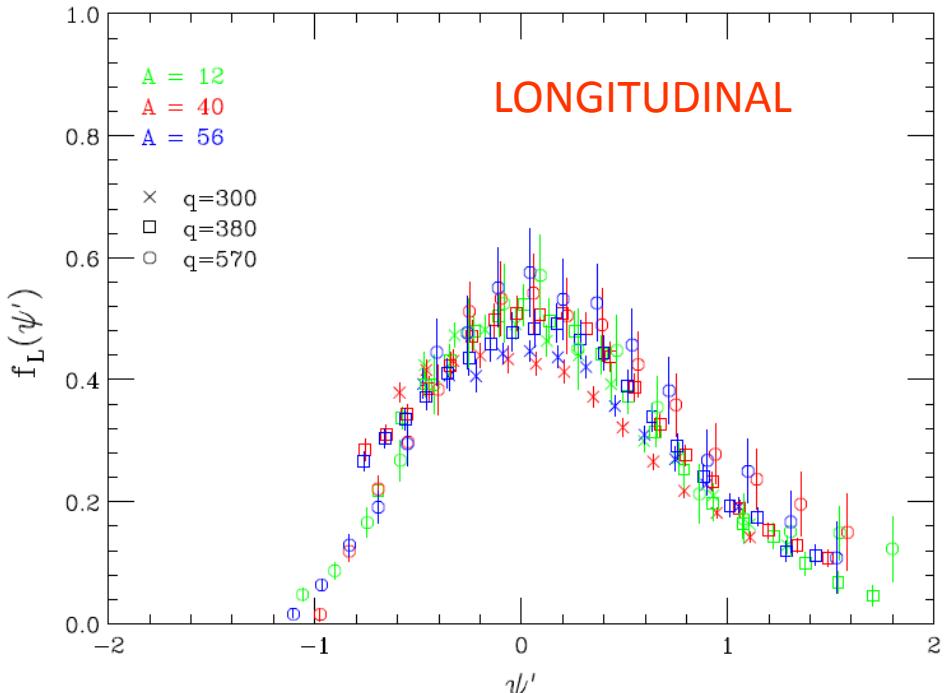
*Gil, Nieves, Oset, Nucl. Phys. A 627, 543 (1997)*  $(e,e')$   $\gamma$   
*\*Oset and Salcedo, Nucl. Phys. A 468, 631 (1987)*  $\pi$   $\gamma$

**J.E. Amaro, M.B. Barbaro, J.A. Caballero, T.W. Donnelly et al.**

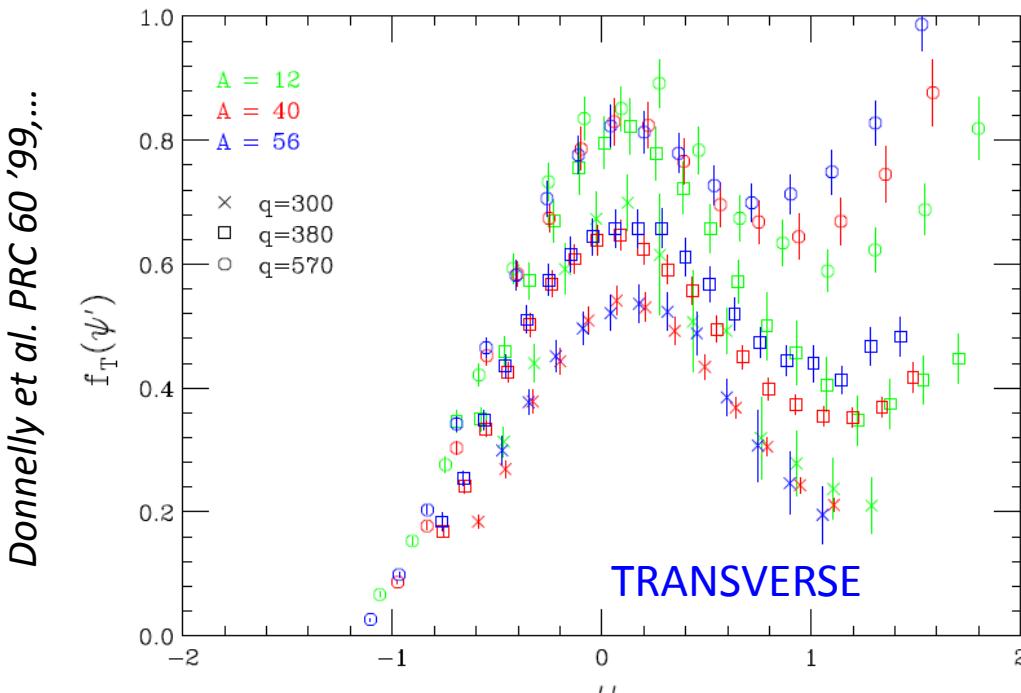
*De Pace, Nardi, Alberico, Donnelly, Molinari, Nucl. Phys. A741, 249 (2004)*  $(e,e')$   $\gamma$

# Electron scattering

$$\frac{d^2\sigma}{d\theta d\omega} = \sigma_M \left\{ \frac{(\omega^2 - q^2)^2}{q^4} R_L(\omega, q) + \left[ \tan^2\left(\frac{\theta}{2}\right) - \frac{\omega^2 - q^2}{2q^2} \right] R_T(\omega, q) \right\}$$



$$f_L(\Psi) = k_F \frac{q^2 - \omega^2}{q m} \frac{R_L(\omega, q)}{Z(G_E^p)^2 + N(G_E^n)^2}$$

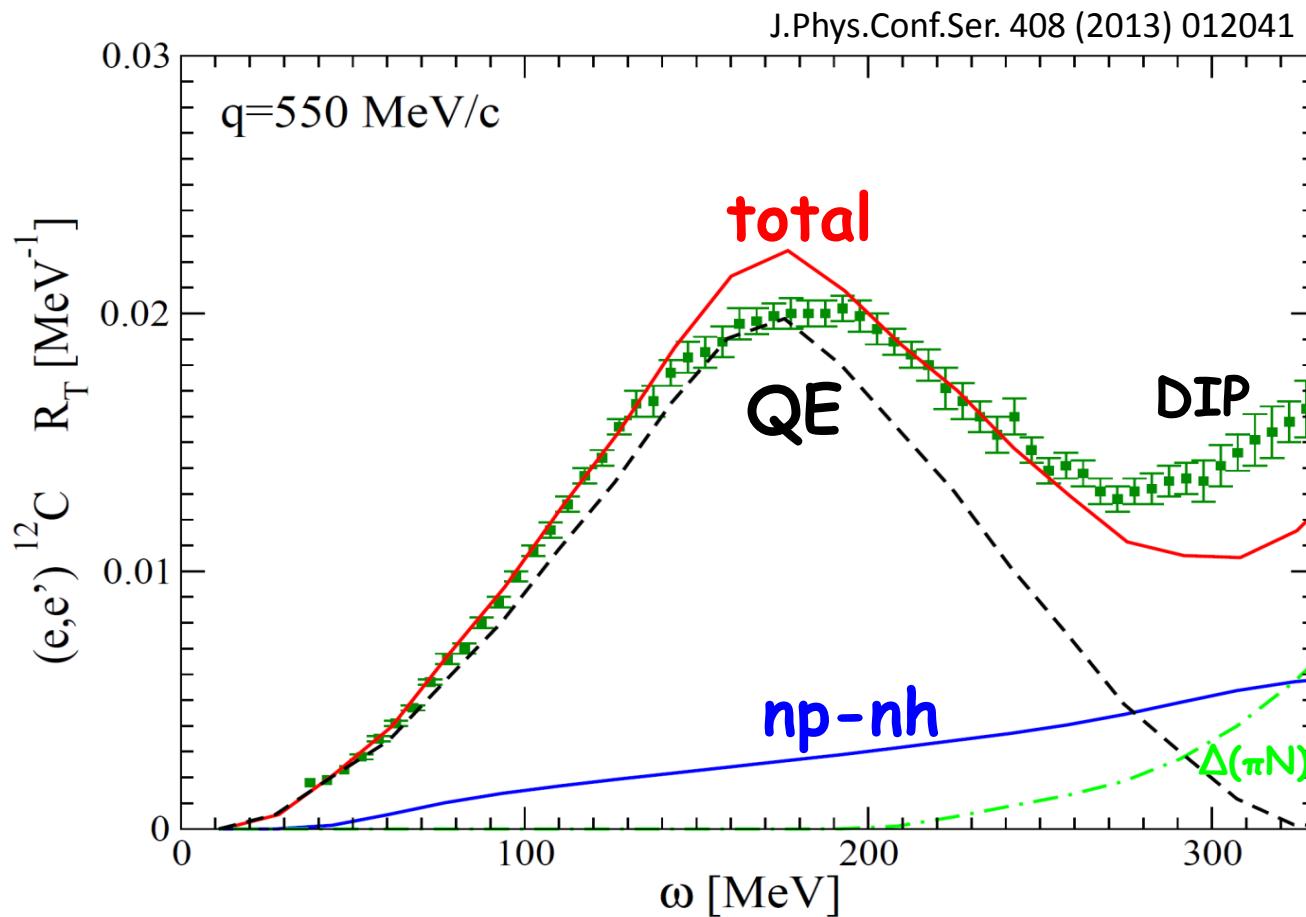


$$f_T(\Psi) = 2 k_F \frac{q m}{q^2 - \omega^2} \frac{R_T(\omega, q)}{Z(G_M^p)^2 + N(G_M^n)^2}$$

Excess in the transverse channel likely due to 2-body currents (MEC and correlations)

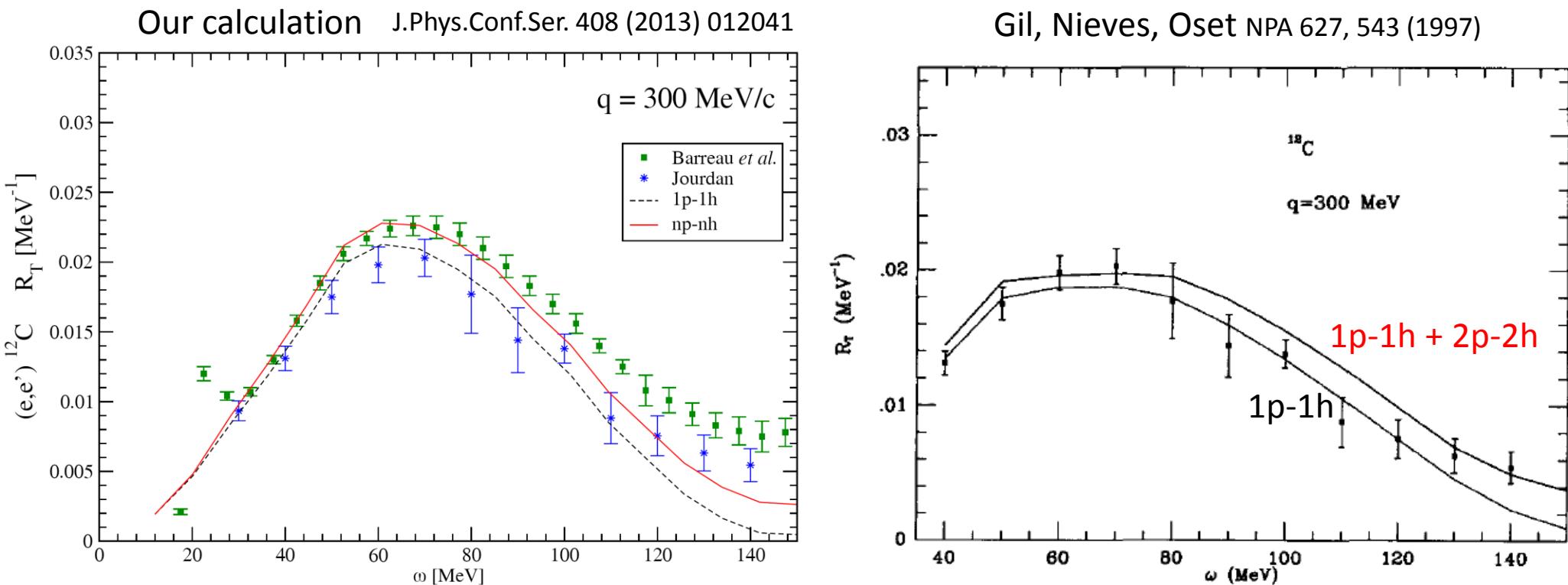
*A.Bodek et al. Eur.Phys.J. C71 (2011)* : parametrization of the enhancement in T channel in terms of correction to G\_M(Q^2)

# Transverse response in electron scattering



np-nh creates a high energy tail in the nuclear response above the QE peak

# $R_T$ of $^{12}\text{C}$ : comparison with data and with calculations of Gil et al.

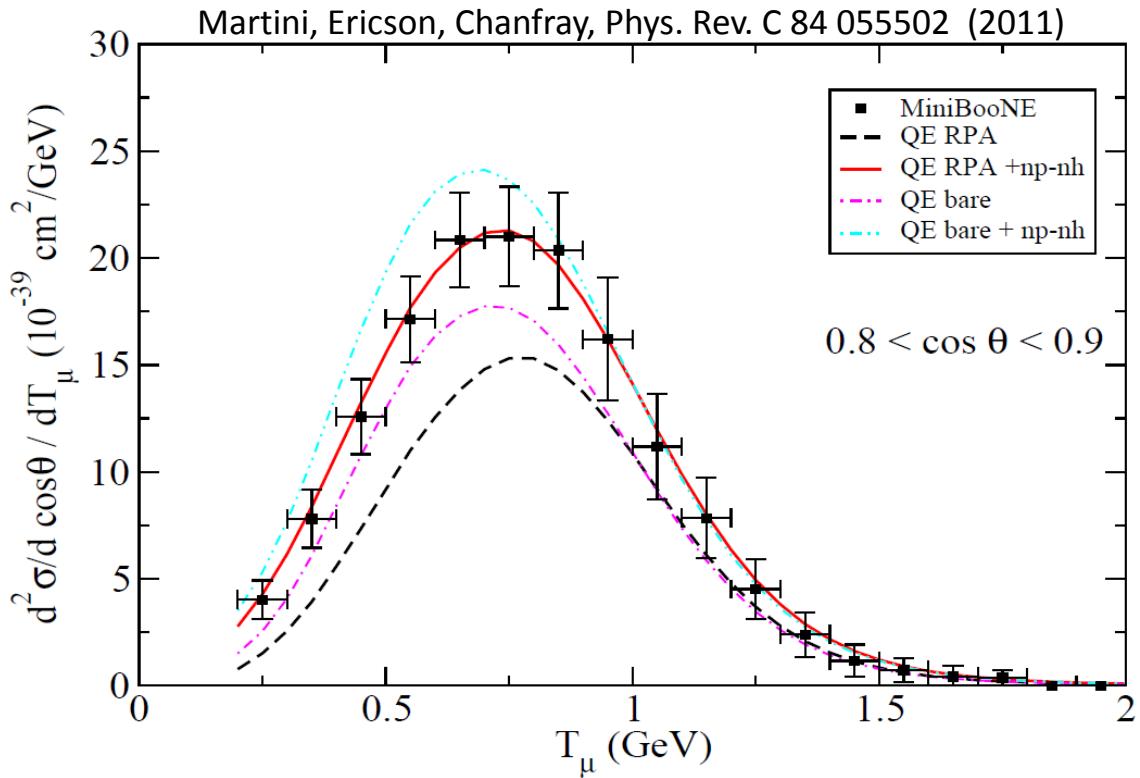


The evaluations of 2p-2h contributions to  $R_T$  are compatible among them and with data.

This test is important for  $\nu$  cross section which is dominated by  $R_T$

# Getting back to $\nu$ cross sections

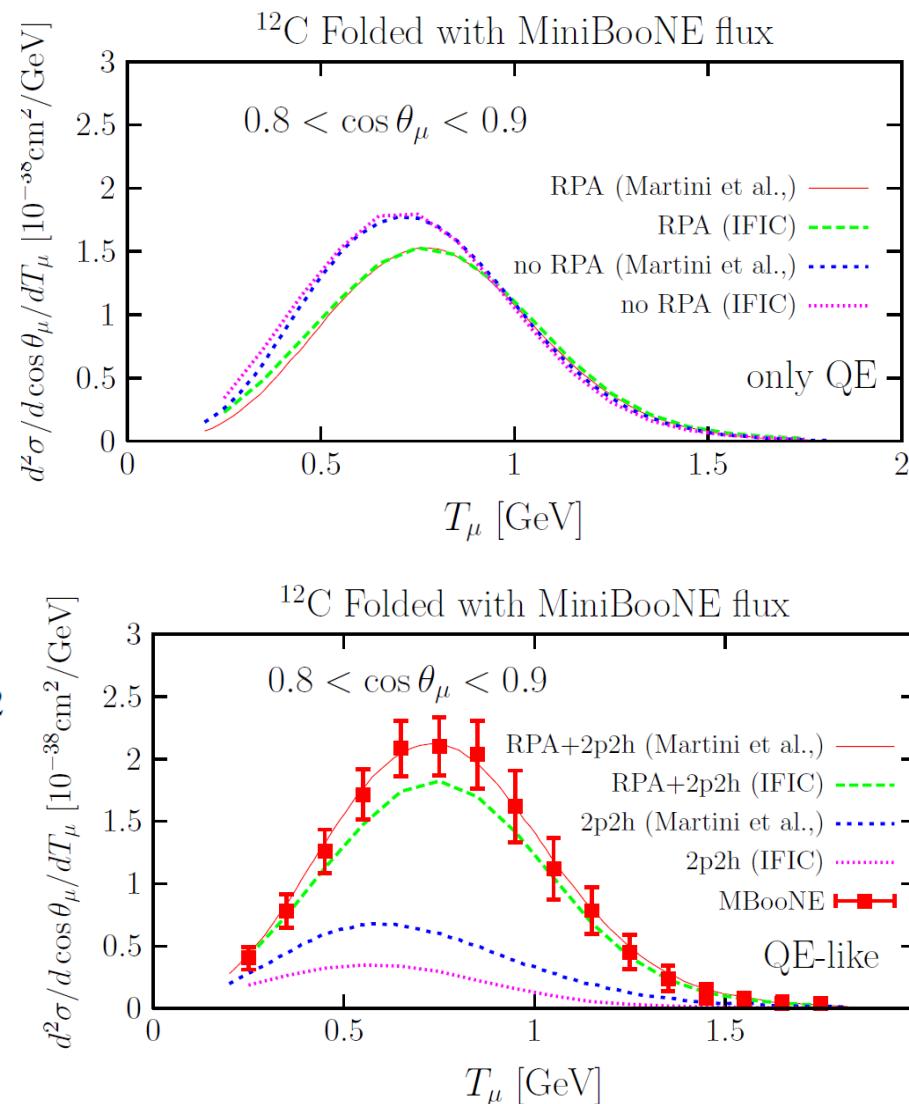
# Flux-integrated v CCQE double differential X section versus $T_\mu$



Delicate balance between

RPA quenching and np-nh enhancement

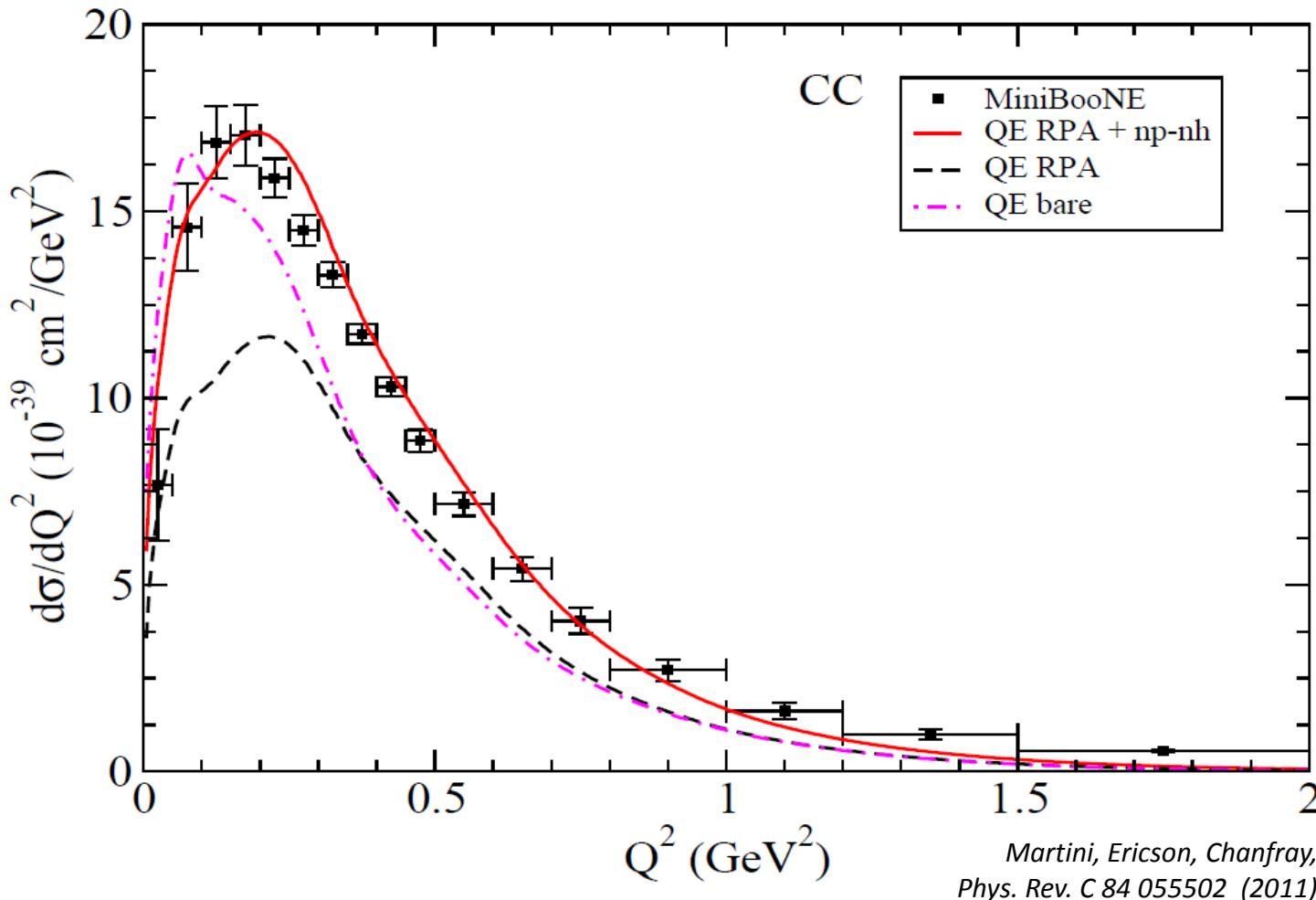
- Genuine QE bare and RPA very similar in Martini et al. and Nieves et al.
- Factor  $\sim 2$  for the np-nh contribution
- Both models compatible with MiniBooNE (additional normalization uncertainty of 10% in the MB data not shown here)



Morfin, Nieves, Sobczyk  
Adv.High Energy Phys. 2012 (2012) 934597

# Charged current $Q^2$ distribution

Historically of interest for the determination of the axial form factor



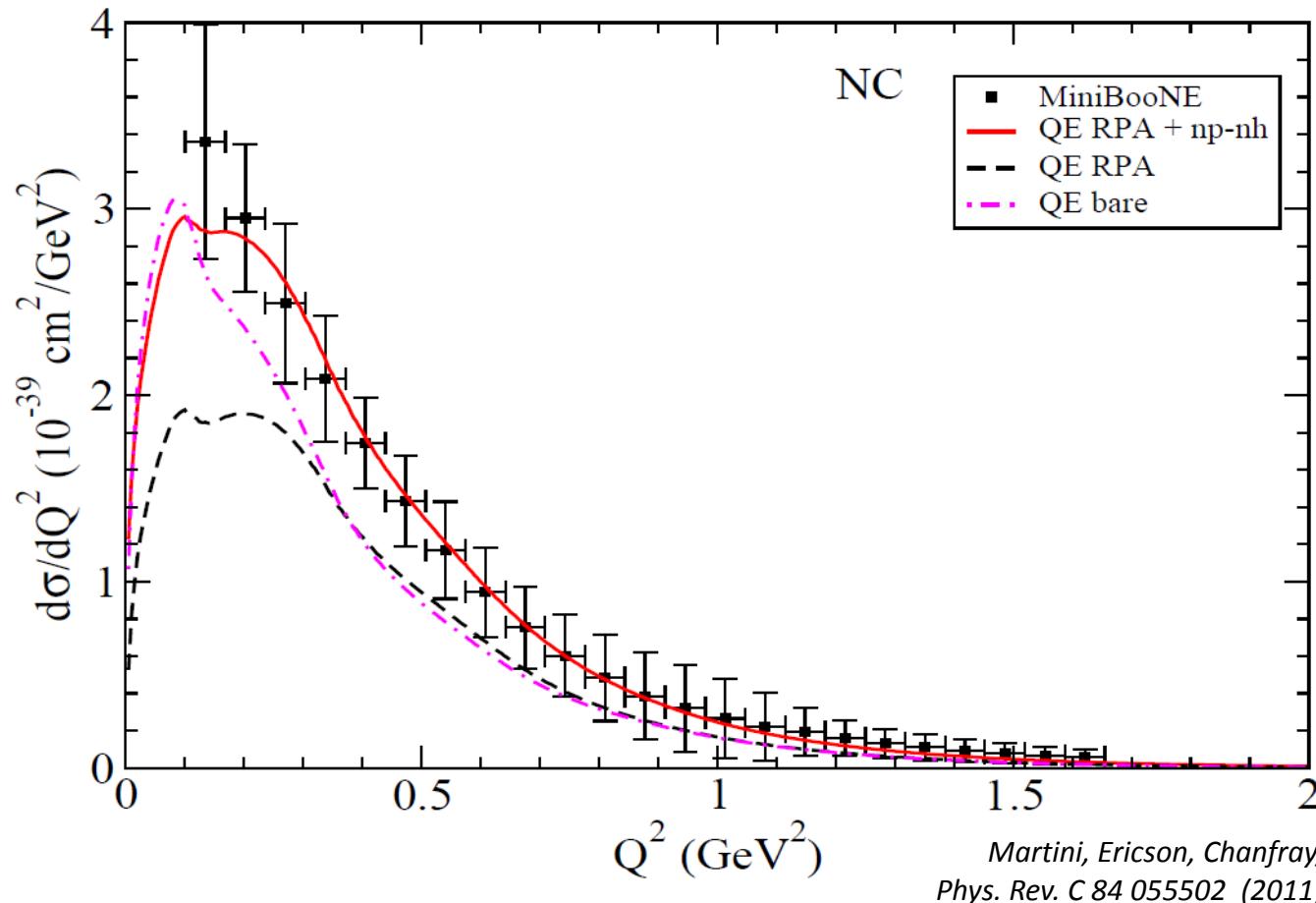
low  $Q^2$ :  
opposite actions of  
RPA quenching and  
np-nh enhancement

$Q^2 > 0.2 \text{ GeV}^2$ :  
np-nh contribution  
singled out

# Neutral current $Q^2$ distribution

Exp. Data: MiniBooNE, Phys. Rev. D 82, 092005 (2010)

obtained indirectly from the energy of ejected nucleons



is not clear how multinucleon component shows up in the data

low  $Q^2$ :  
opposite actions of  
RPA quenching and  
np-nh enhancement

$Q^2 > 0.3 \text{ GeV}^2$ :  
np-nh contribution  
singled out

# Neutrino vs Antineutrino-nucleus cross-section

The asymmetry between neutrinos and antineutrinos interactions is important for the investigation of CP violation effects.

Nuclear effects generate an additional asymmetry due to **interference term**

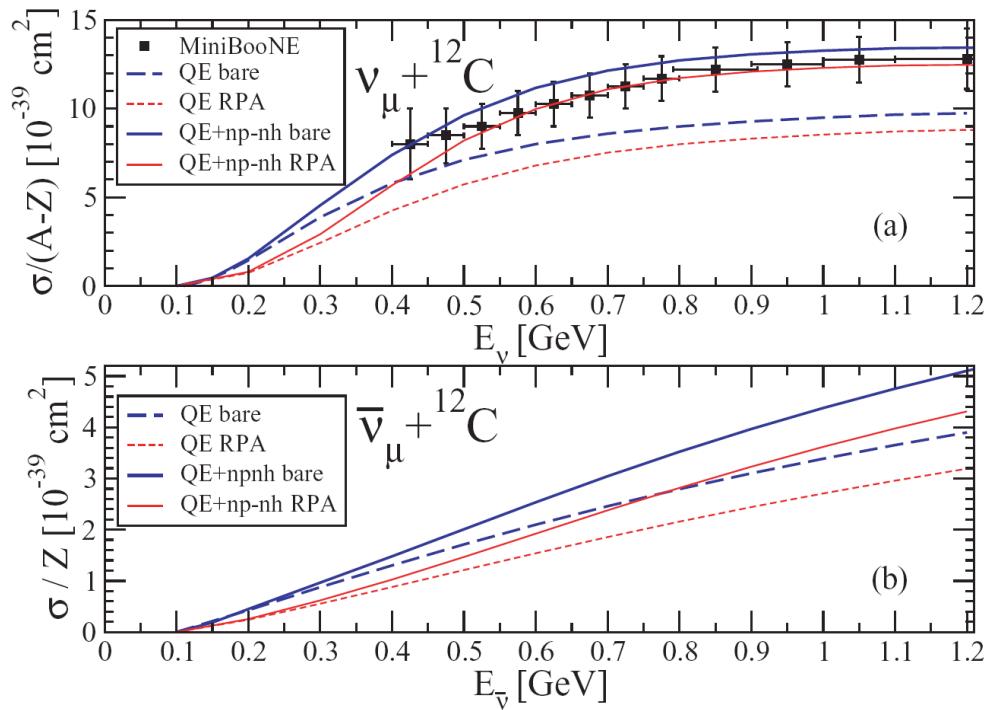
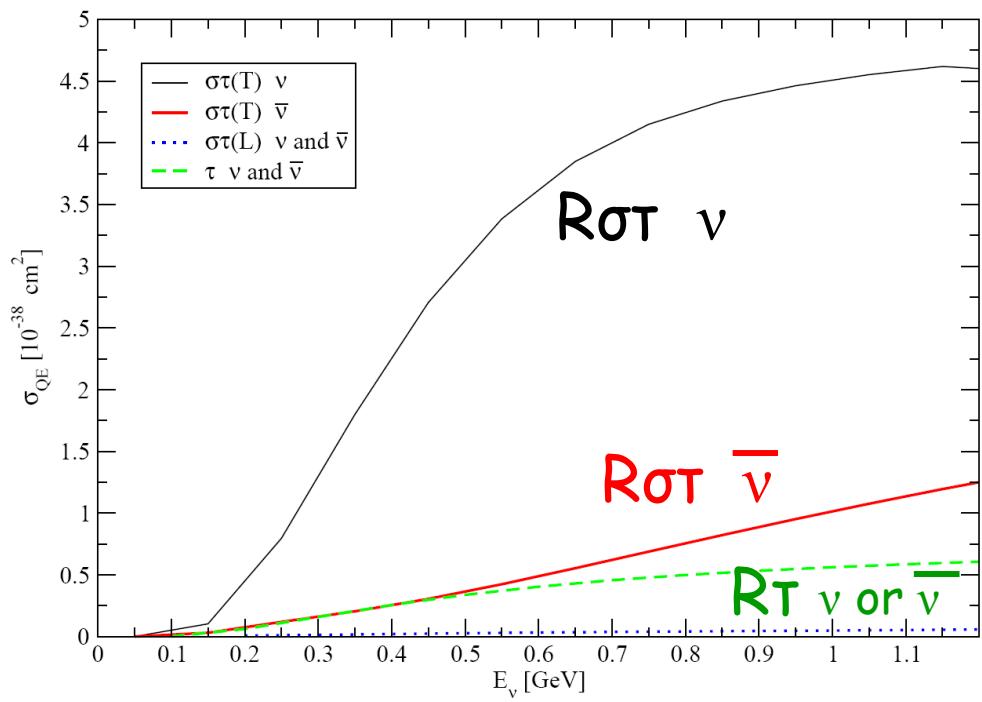
$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial k'} &= \frac{G_F^2 \cos^2 \theta_c (\mathbf{k}')^2}{2 \pi^2} \cos^2 \frac{\theta}{2} \left[ G_E^2 \left( \frac{q_\mu^2}{\mathbf{q}^2} \right)^2 R_\tau^{NN} \right] \text{ isovector nuclear response} \\
 &+ G_A^2 \frac{(M_\Delta - M_N)^2}{2 \mathbf{q}^2} R_{\sigma\tau(L)} \quad \text{isospin spin-longitudinal} \\
 &+ \left( G_M^2 \frac{\omega^2}{\mathbf{q}^2} + G_A^2 \right) \left( -\frac{q_\mu^2}{\mathbf{q}^2} + 2 \tan^2 \frac{\theta}{2} \right) R_{\sigma\tau(T)} \quad \text{isospin spin-transverse} \\
 \left\{ \begin{array}{ll} + & (\nu) \\ - & (\bar{\nu}) \end{array} \right. & \pm 2 G_A G_M \frac{k + k'}{M_N} \tan^2 \frac{\theta}{2} R_{\sigma\tau(T)} \quad \text{interference V-A}
 \end{aligned}$$

*In the model of M. Martini, M. Ericson, G. Chanfray, J. Marteau:*

- The 2p-2h term affects the magnetic and axial responses (terms in  $G_A, G_M$ )
- The isovector response  $R_\tau$  (term in  $G_E$ ) is not affected  
(remember the Superscaling analysis of the electron scattering data)

# Various response contributions to the $\nu$ and $\bar{\nu}$ CCQE

The role of interference term (in  $G_A G_M$ ) is crucial: it enhances the contribution of  $R\sigma\tau(T)$  for neutrinos. For antineutrinos instead the destructive interference partially suppresses this contribution leaving a larger role for isovector  $R\tau$  which is insensitive to 2p-2h.



**Relative role of 2p-2h smaller for antineutrinos**

Antineutrino X section very sensitive to RPA

*M. Martini, M. Ericson, G. Chanfray, J. Marteau, Phys. Rev. C 81 045502 (2010)*

## 2p-2h contributions in the different approaches

$$\begin{aligned}
 \frac{\partial^2 \sigma}{\partial \Omega \partial k'} &= \frac{G_F^2 \cos^2 \theta_c (\mathbf{k}')^2}{2 \pi^2} \cos^2 \frac{\theta}{2} \left[ G_E^2 \left( \frac{q_\mu^2}{\mathbf{q}^2} \right)^2 R_\tau^{NN} \right] \\
 &+ G_A^2 \frac{(M_\Delta - M_N)^2}{2 q^2} R_{\sigma\tau(L)} \\
 &+ \left( G_M^2 \frac{\omega^2}{\mathbf{q}^2} + G_A^2 \right) \left( -\frac{q_\mu^2}{\mathbf{q}^2} + 2 \tan^2 \frac{\theta}{2} \right) R_{\sigma\tau(T)} \\
 &\pm 2 G_A G_M \frac{k + k'}{M_N} \tan^2 \frac{\theta}{2} R_{\sigma\tau(T)}
 \end{aligned}$$

-----

*M. Martini, M. Ericson, G. Chanfray, J. Marteau*

terms in  $G_M$  and  $G_A$

*J. Nieves, I. Ruiz Simo, M.J. Vicente Vacas et al.*

all the terms

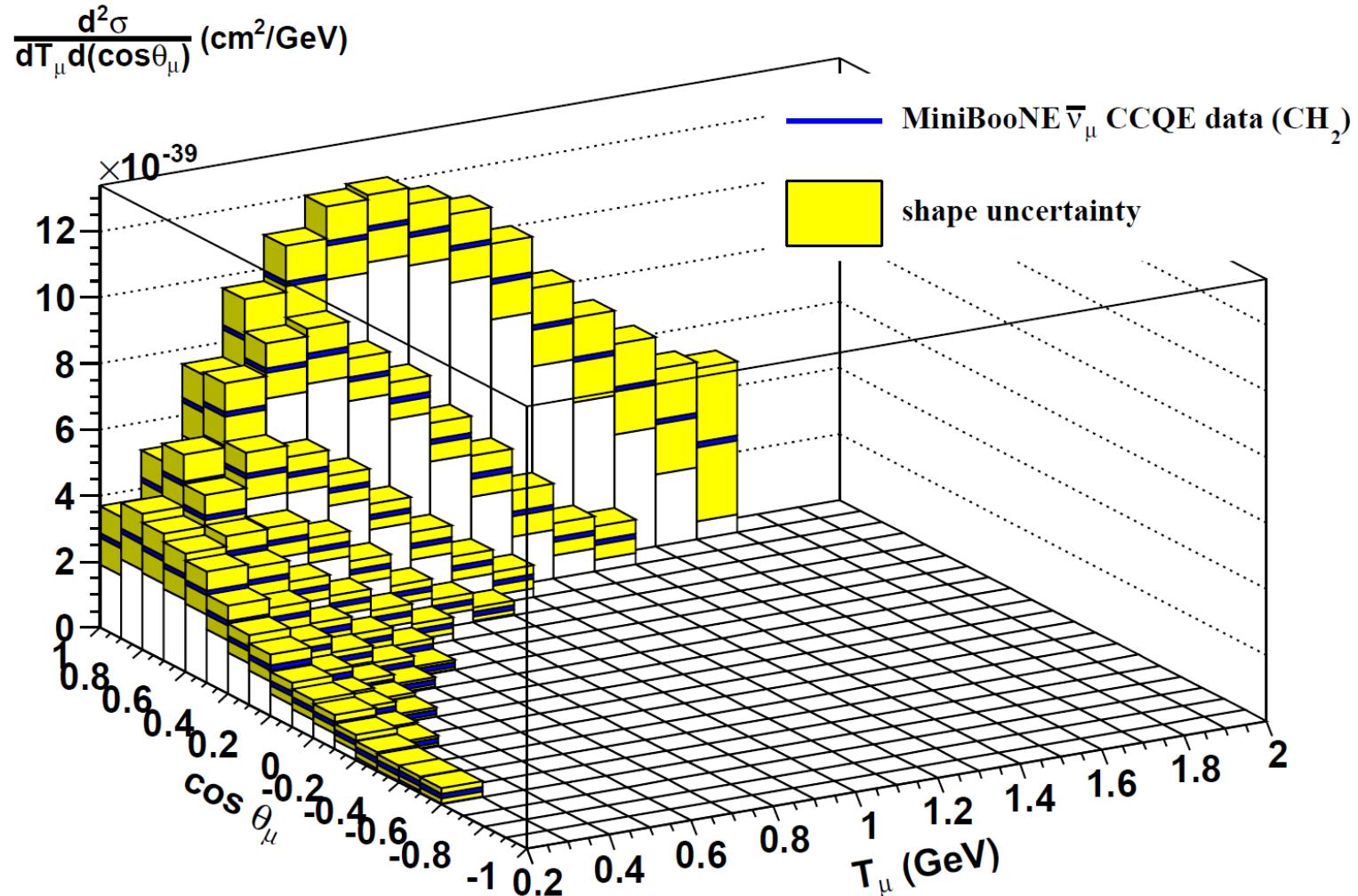
*J.E. Amaro, M.B. Barbaro, J.A. Caballero, T.W. Donnelly et al.*

only in the  $G_M^2$  term

**Relative role of 2p-2h for neutrinos and antineutrinos is different**

# Antineutrino MiniBooNE CCQE-like $d^2\sigma$

Recent Measurement



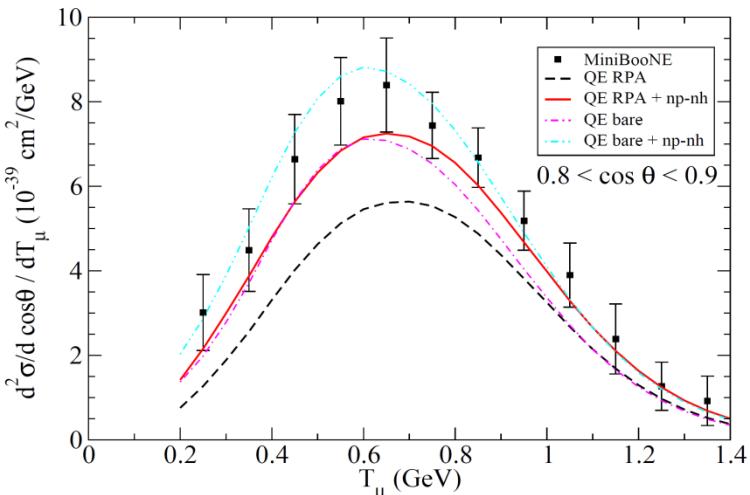
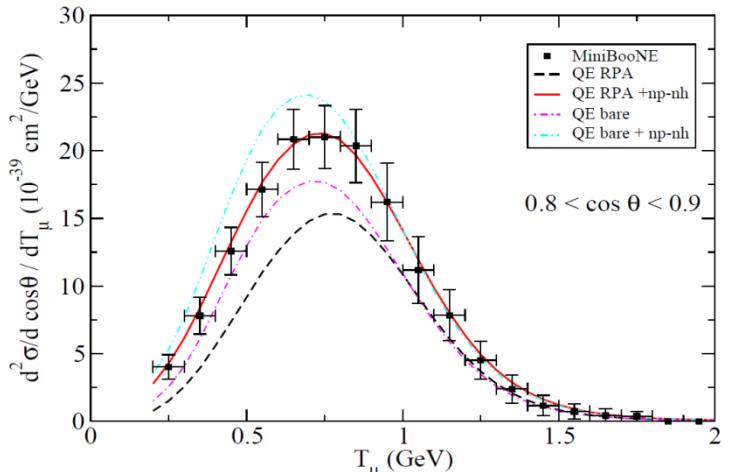
MiniBooNE, Phys. Rev. D 88 (2013) 032001

$\text{CH}_2$  and Carbon

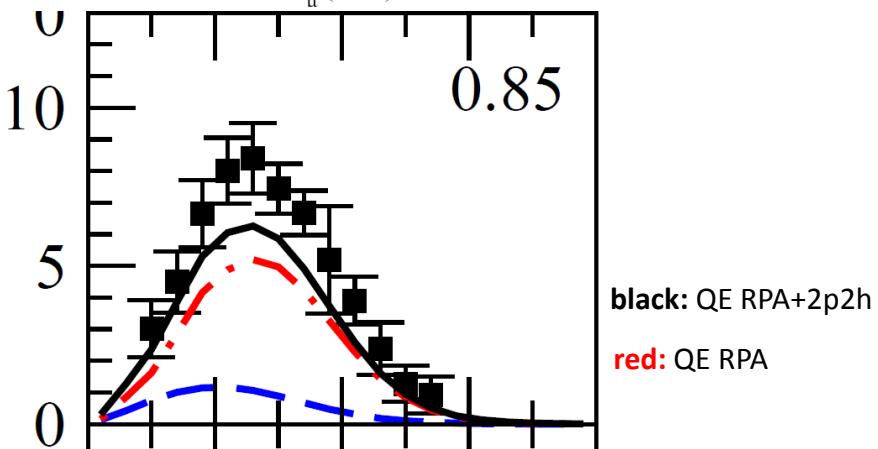
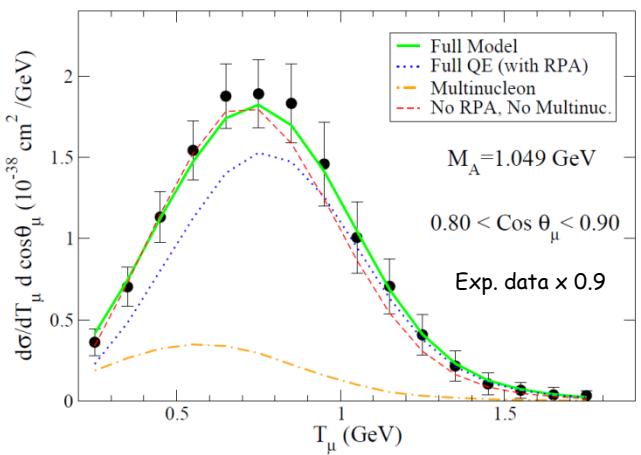
V

V

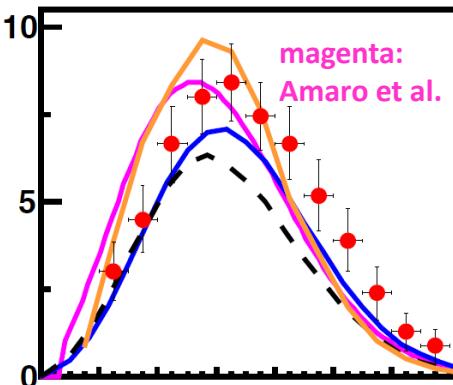
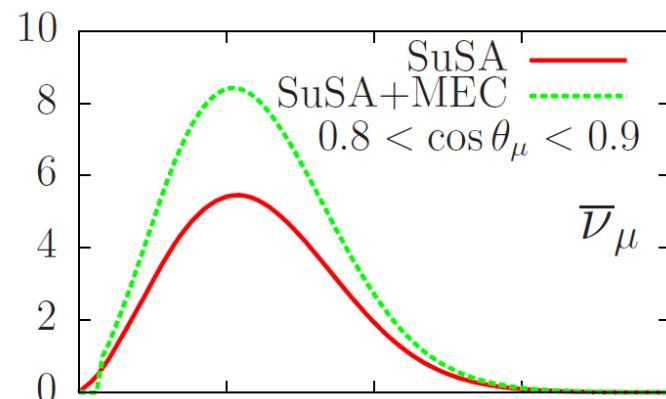
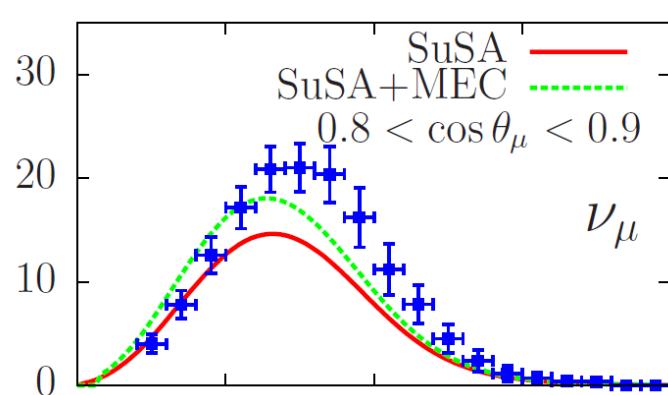
Martini et al.



Nieves et al.

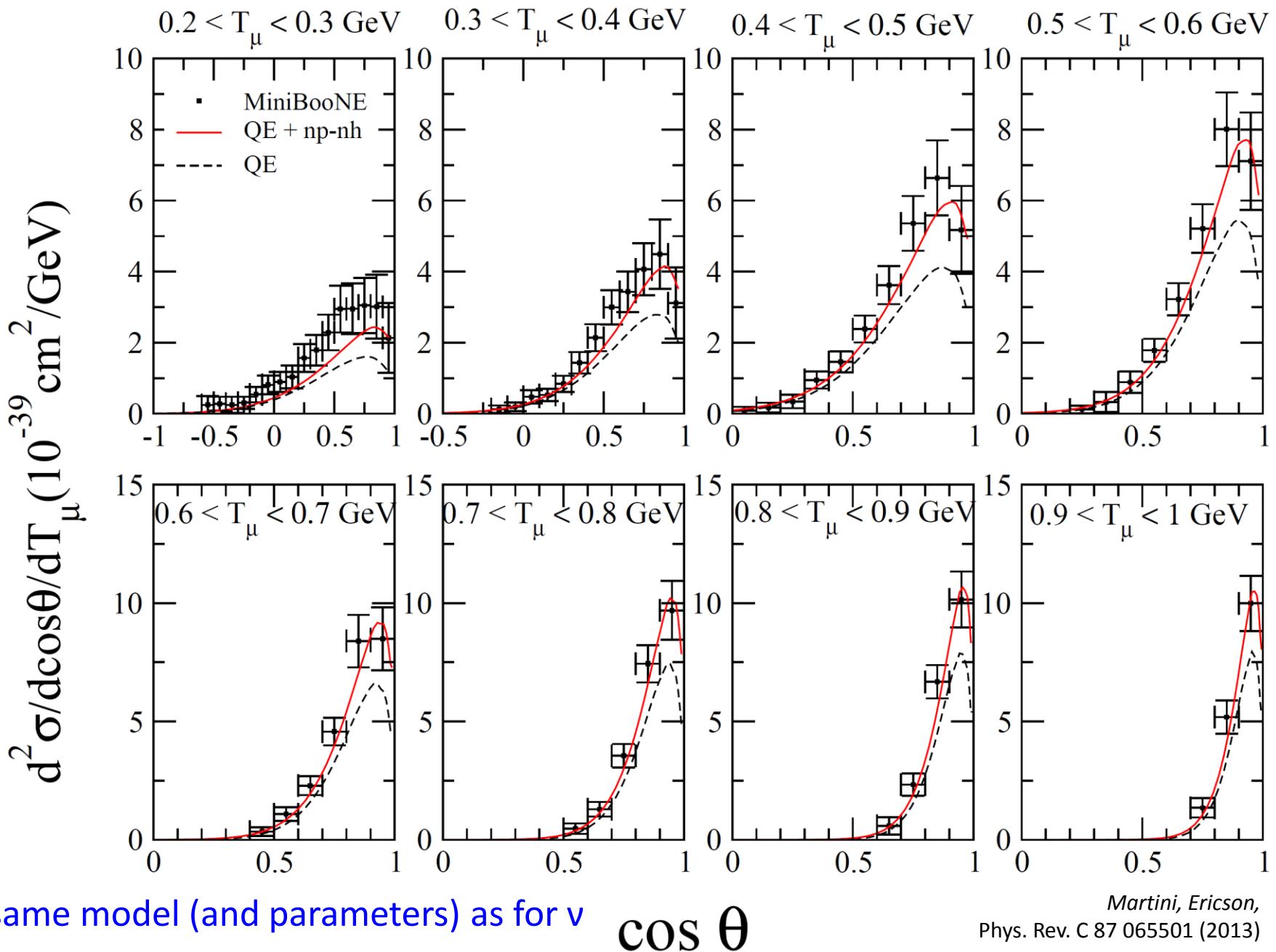


Amaro et al.

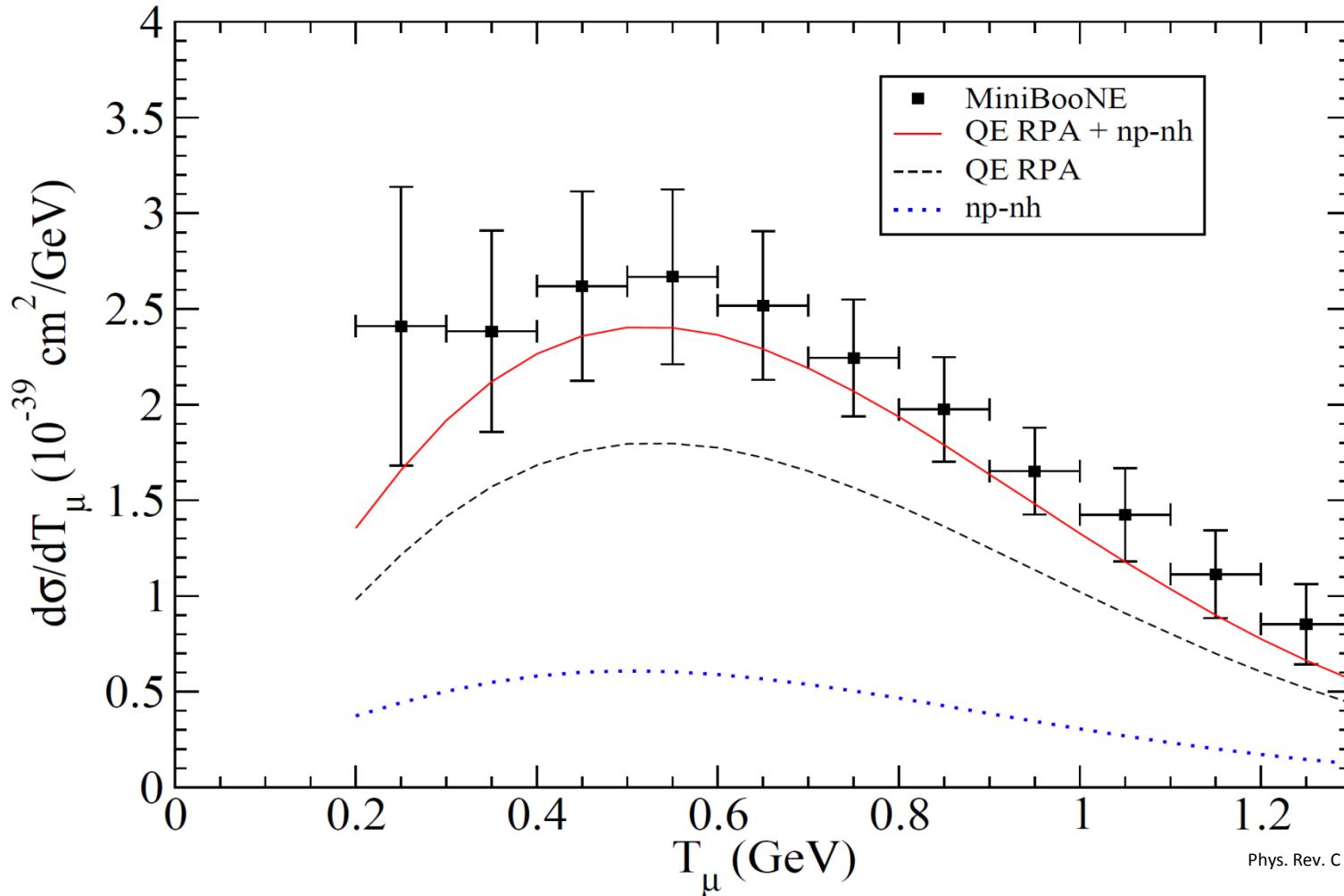


13/11/20

# Our results for $\bar{v}$



# Antineutrino $d\sigma/dT_\mu$



Martini, Ericson,  
Phys. Rev. C 87 065501 (2013)

Our results are fully compatible with experimental data.

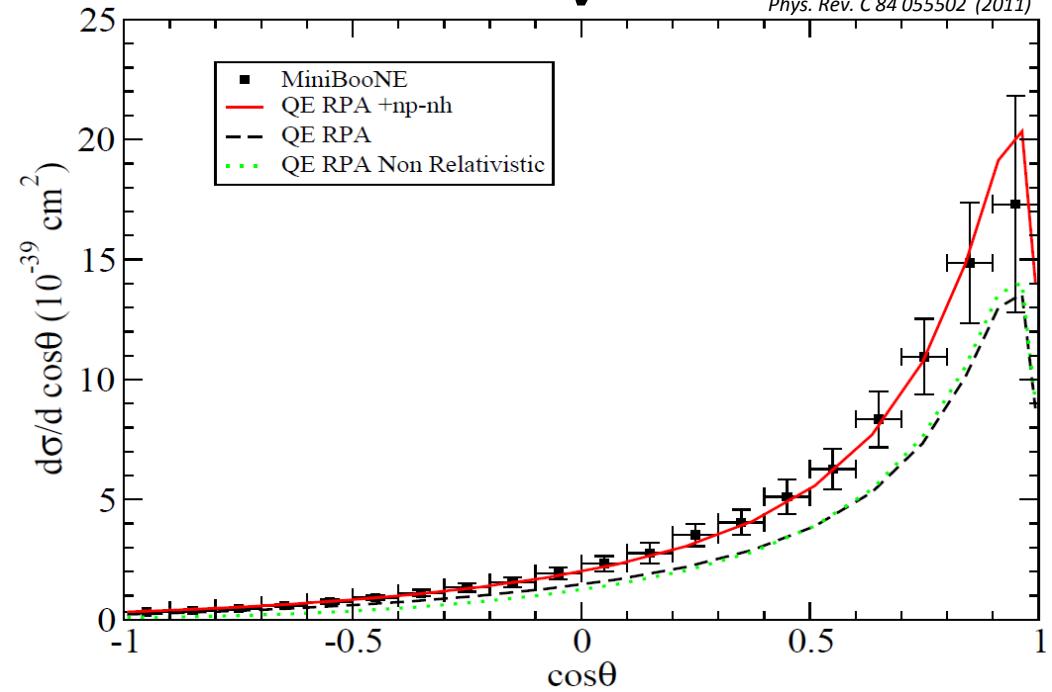
Nevertheless a small but systematic underestimation shows up.

We remind the additional normalization uncertainty of 17.2% in the MiniBooNE data not shown here.

# $d\sigma/d\cos\theta$

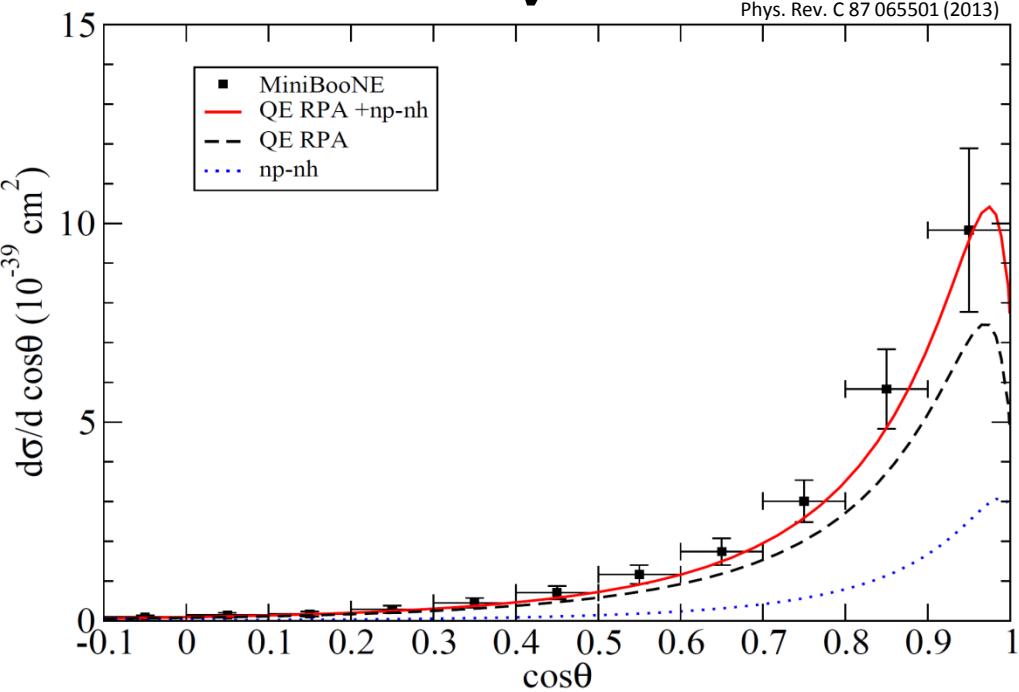
V

Martini, Ericson, Chanfray,  
Phys. Rev. C 84 055502 (2011)



$\overline{V}$

Martini, Ericson,  
Phys. Rev. C 87 065501 (2013)



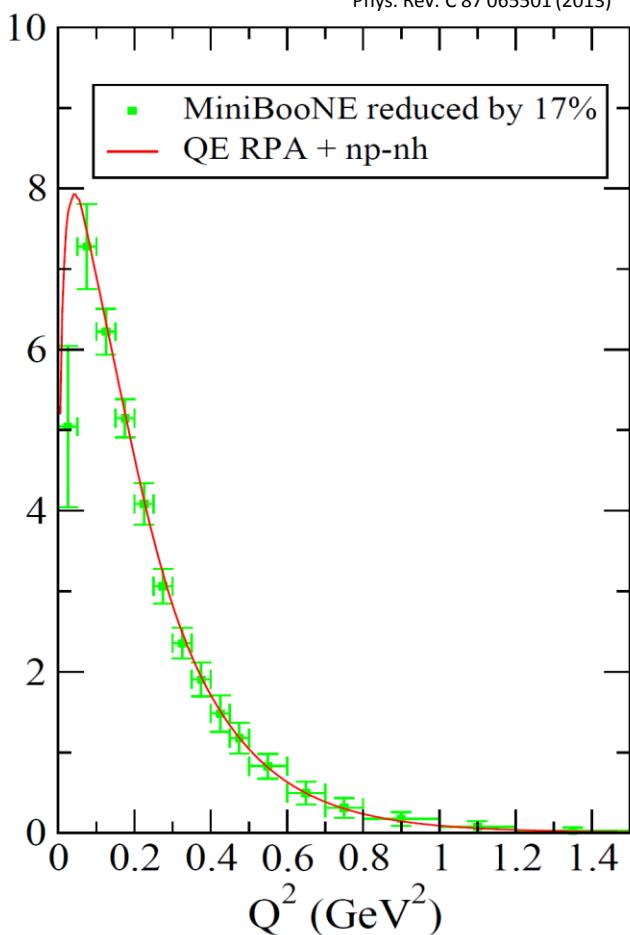
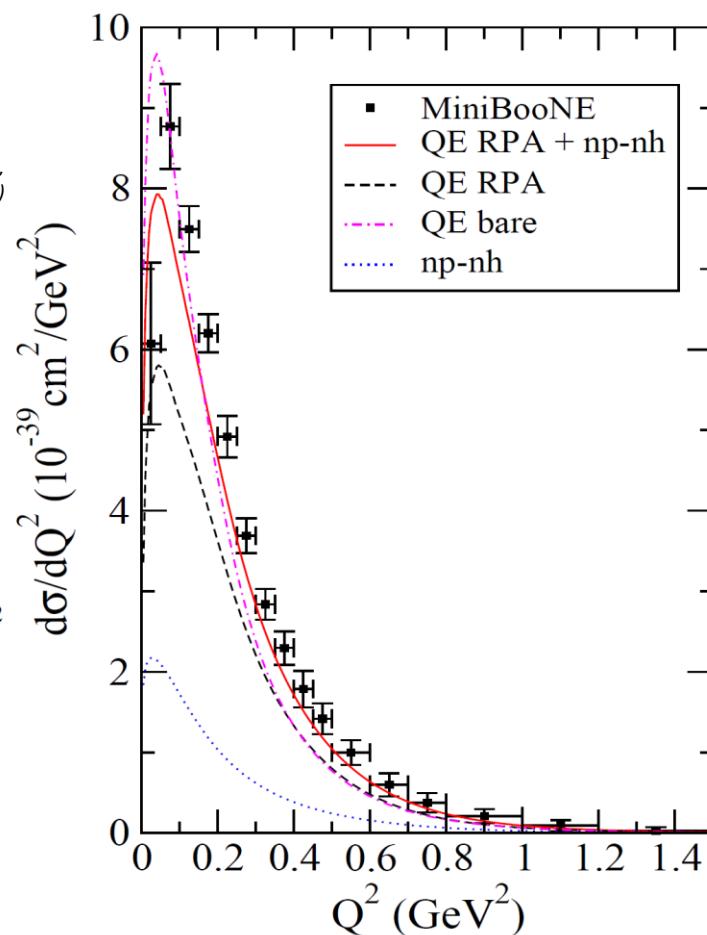
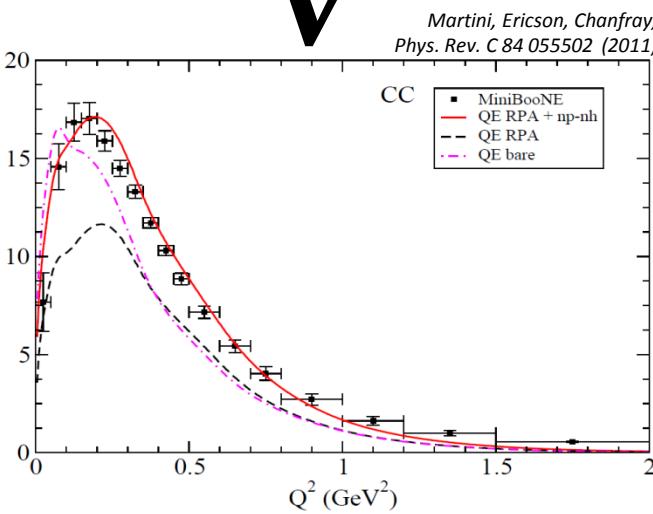
Antineutrino cross section falls more rapidly with angle than the neutrino one

# CC $Q^2$ distribution

$\bar{V}$

$V$

Martini, Ericson,  
Phys. Rev. C 87 065501 (2013)



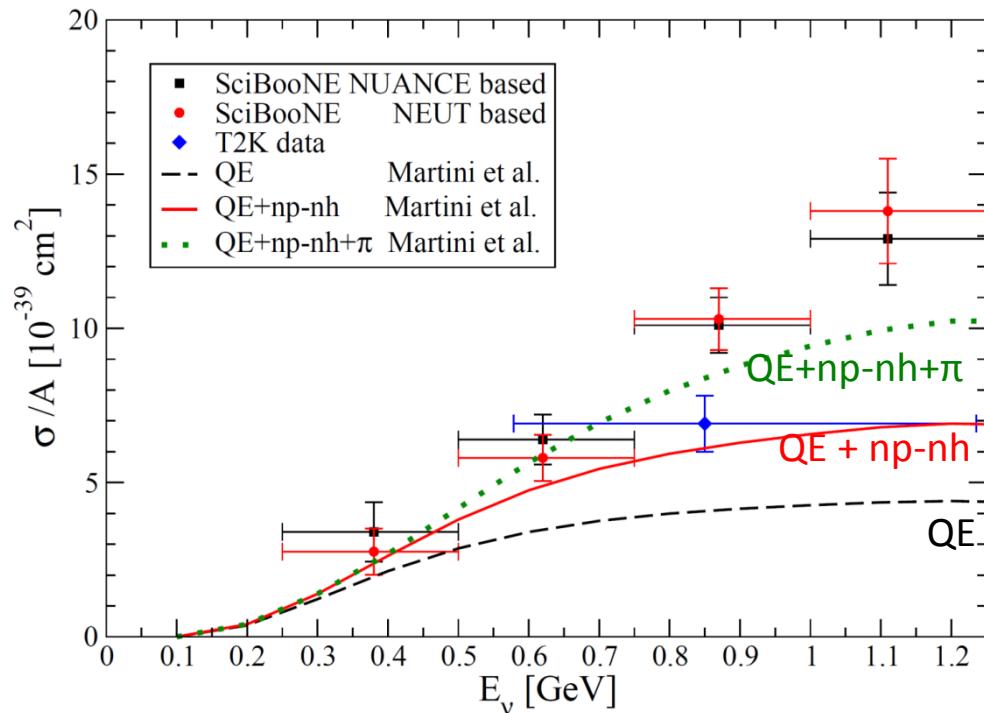
- Antineutrino  $Q^2$  distribution peaks at smaller  $Q^2$  values than the neutrino one
- RPA effects disappears beyond  $Q^2 \geq 0.3 \text{ GeV}^2$  where the np-nh contribution is required

# Inclusive CC cross section on Carbon

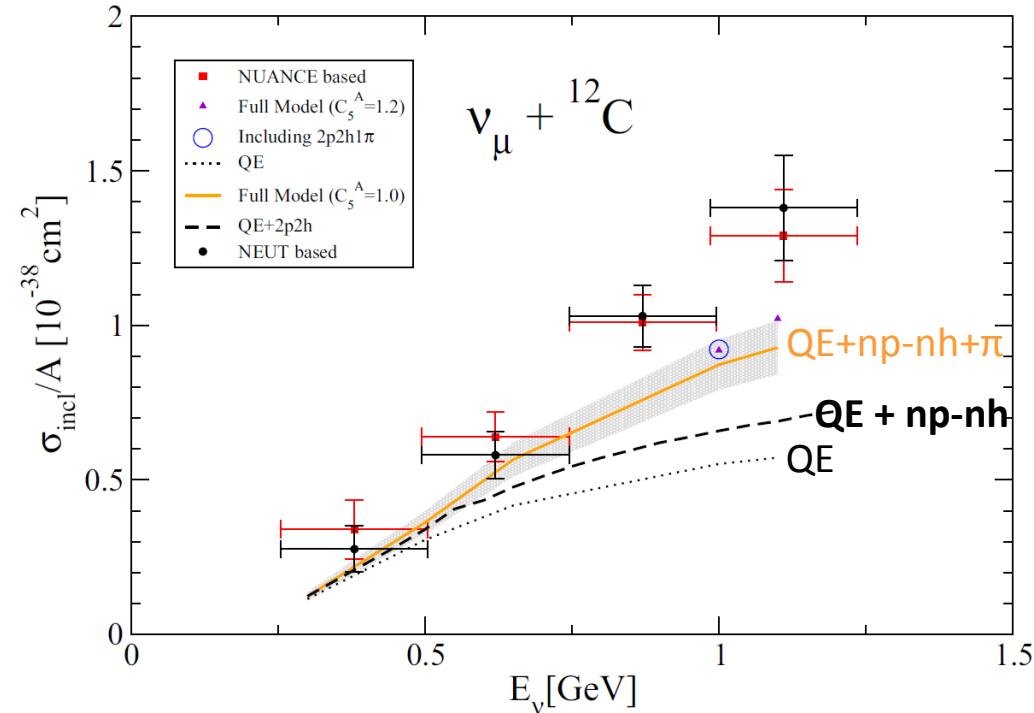
Less affected by background subtraction with respect to exclusive channels

SciBooNE, *Phys. Rev. D* 83, 012005 (2011)

T2K, *Phys. Rev. D* 87, 092003 (2013)



M. Martini, M. Ericson, G. Chanfray, J. Marteau  
*Phys. Rev. C* 80 065501 (2009)



J. Nieves, I. Ruiz Simo, M.J. Vicente Vacas  
*Phys. Rev. C* 83 045501 (2011)

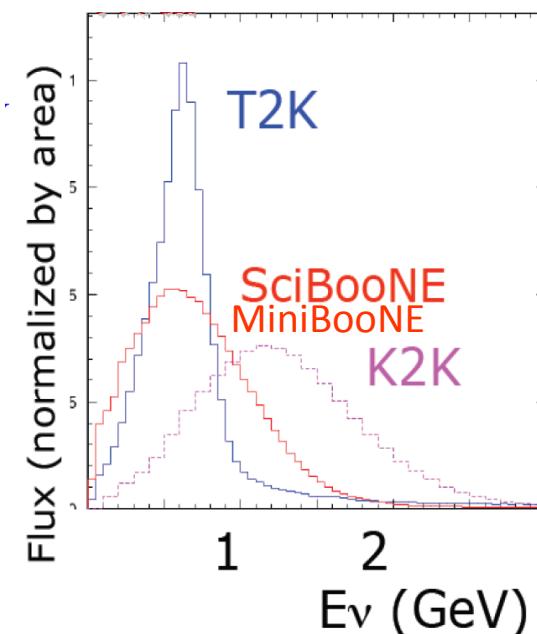
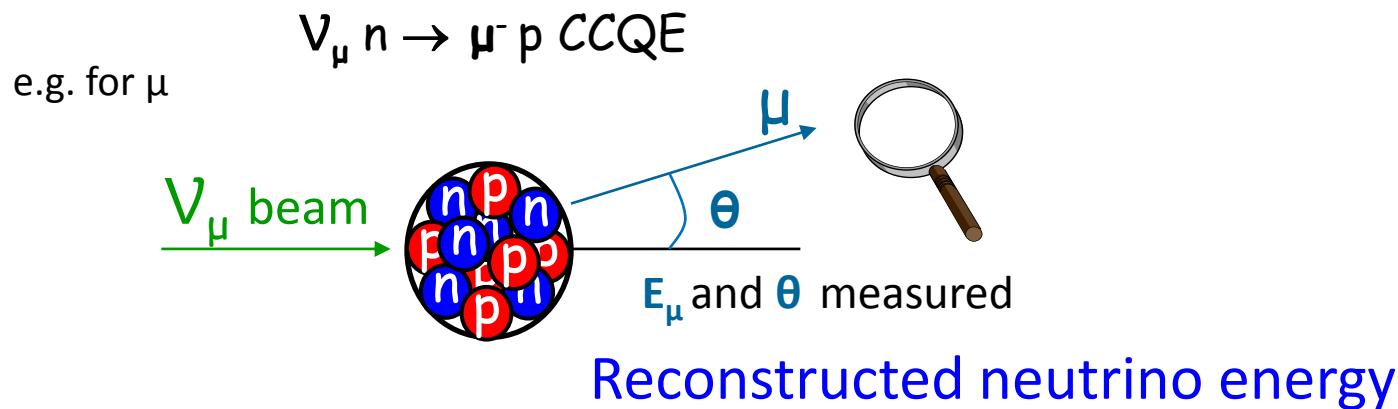
# Neutrino energy reconstruction problems and neutrino oscillations

# Towards the neutrino oscillation physics

Neutrino oscillation experiments require the determination of the neutrino energy which enters the expression of the oscillation probability.

The neutrino energy is unknown. We know only broad fluxes.

The determination of the neutrino energy is done through Charged Current QuasiElastic events.



via two-body  
kinematics

$$\overline{E}_\nu = \frac{E_\mu - m_\mu^2/(2M)}{1 - (E_\mu - P_\mu \cos \theta)/M}$$

$\overline{E}_\nu = E_\nu$  is exact only for CCQE with free nucleon

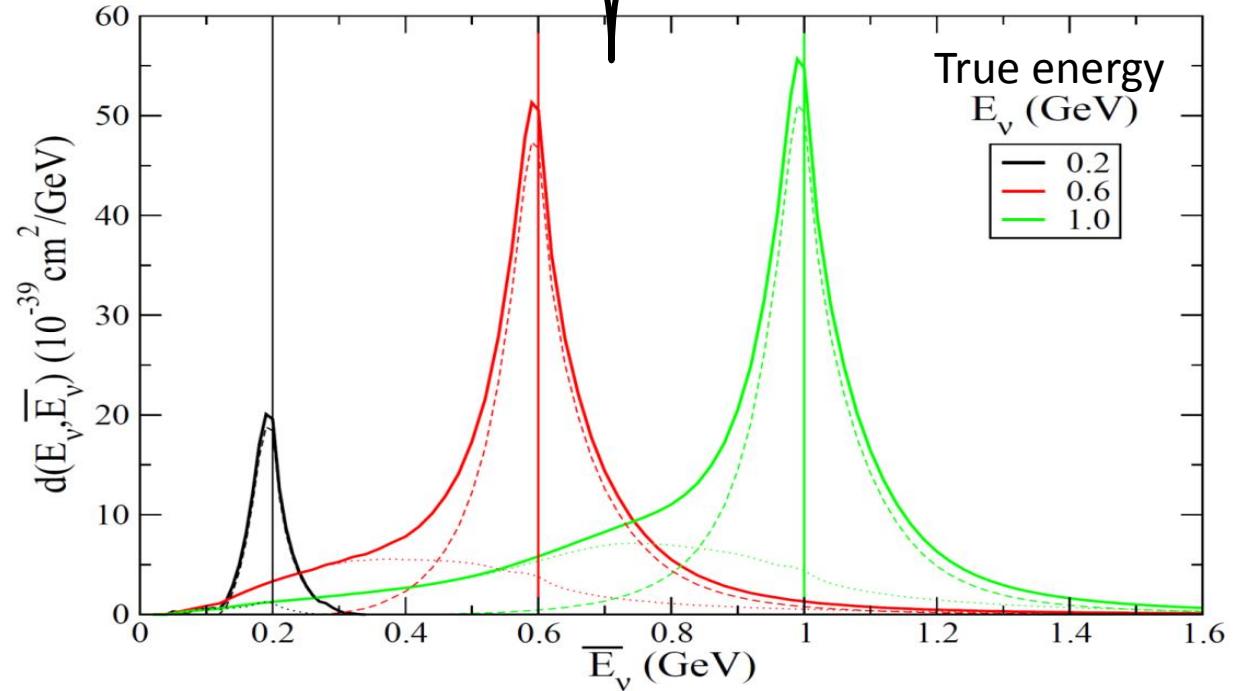
reconstructed neutrino energy  $\overline{E}_\nu$   $\longleftrightarrow ?$   $E_\nu$  true neutrino energy

# From true neutrino energy to reconstructed neutrino energy

Probability energy distribution ( $E_\nu, \bar{E}_\nu$ )

$$D_{rec}(\bar{E}_\nu) = \int dE_\nu \Phi(E_\nu) \left[ \int_{E_l^{min}}^{E_l^{max}} dE_l \frac{ME_l - m_l^2/2}{\bar{E}_\nu^2 P_l} \left[ \frac{d^2\sigma}{d\omega d\cos\theta} \right]_{\omega=E_\nu-E_l, \cos\theta=\cos\theta(E_l, \bar{E}_\nu)} \right]$$

The quantity  $D_{rec}(\bar{E}_\nu)$  corresponds to the product  $\sigma(E_\nu)\Phi(E_\nu)$  but in terms of reconstructed neutrino energy

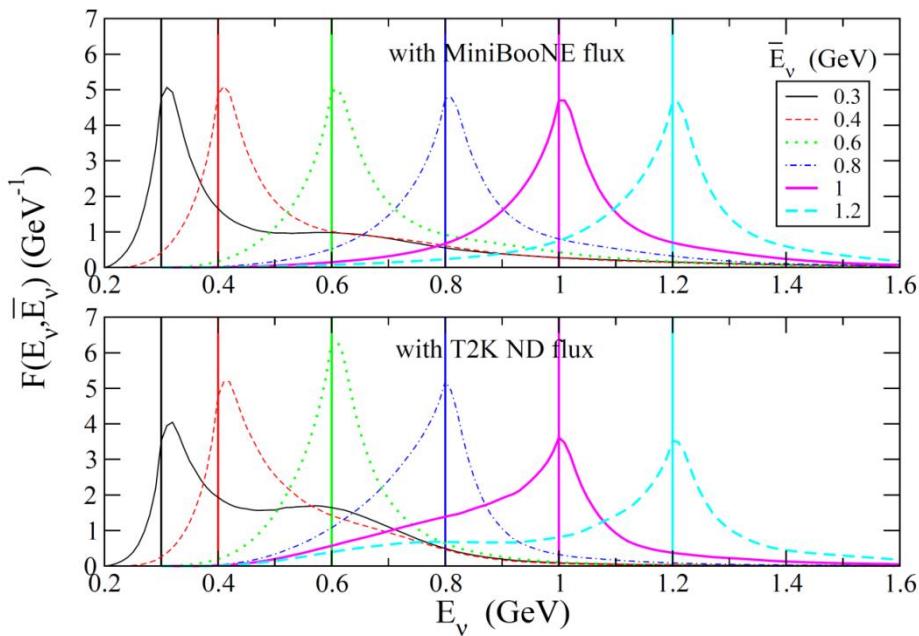


Crucial role of np-nh: low energy tail

M. Martini, M. Ericson, G. Chanfray  
 - Phys. Rev. D 85 093012 (2012)  
 - Phys. Rev. D 87 013009 (2013)

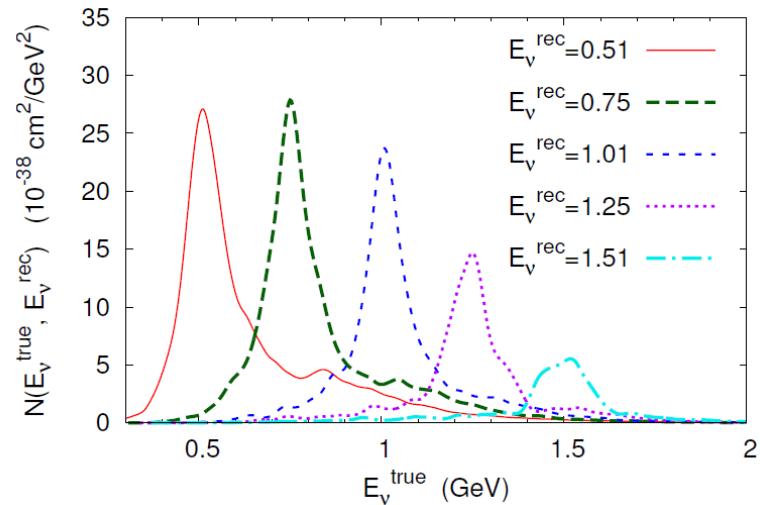
# Viceversa: distributions in terms of true $E_\nu$ for fixed values of reconstructed $\bar{E}_\nu$

Martini, Ericson, Chanfray, PRD 85 093012 (2012)

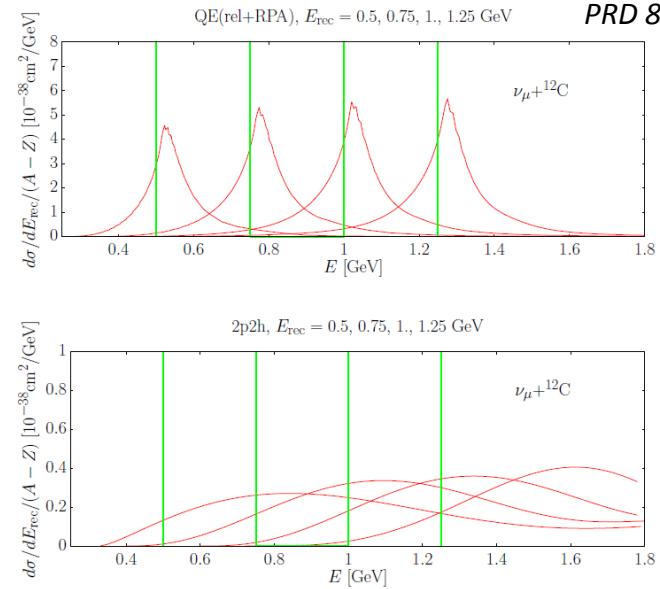


- The distributions are not symmetrical around  $\bar{E}_\nu$ .
- The asymmetry favors higher energies at low  $\bar{E}_\nu$  and smaller energies for large  $\bar{E}_\nu$ .
- Crucial role of neutrino flux.

O. Lalakulich, U. Mosel, K. Gallmeister PRC 86 054606 (2012)



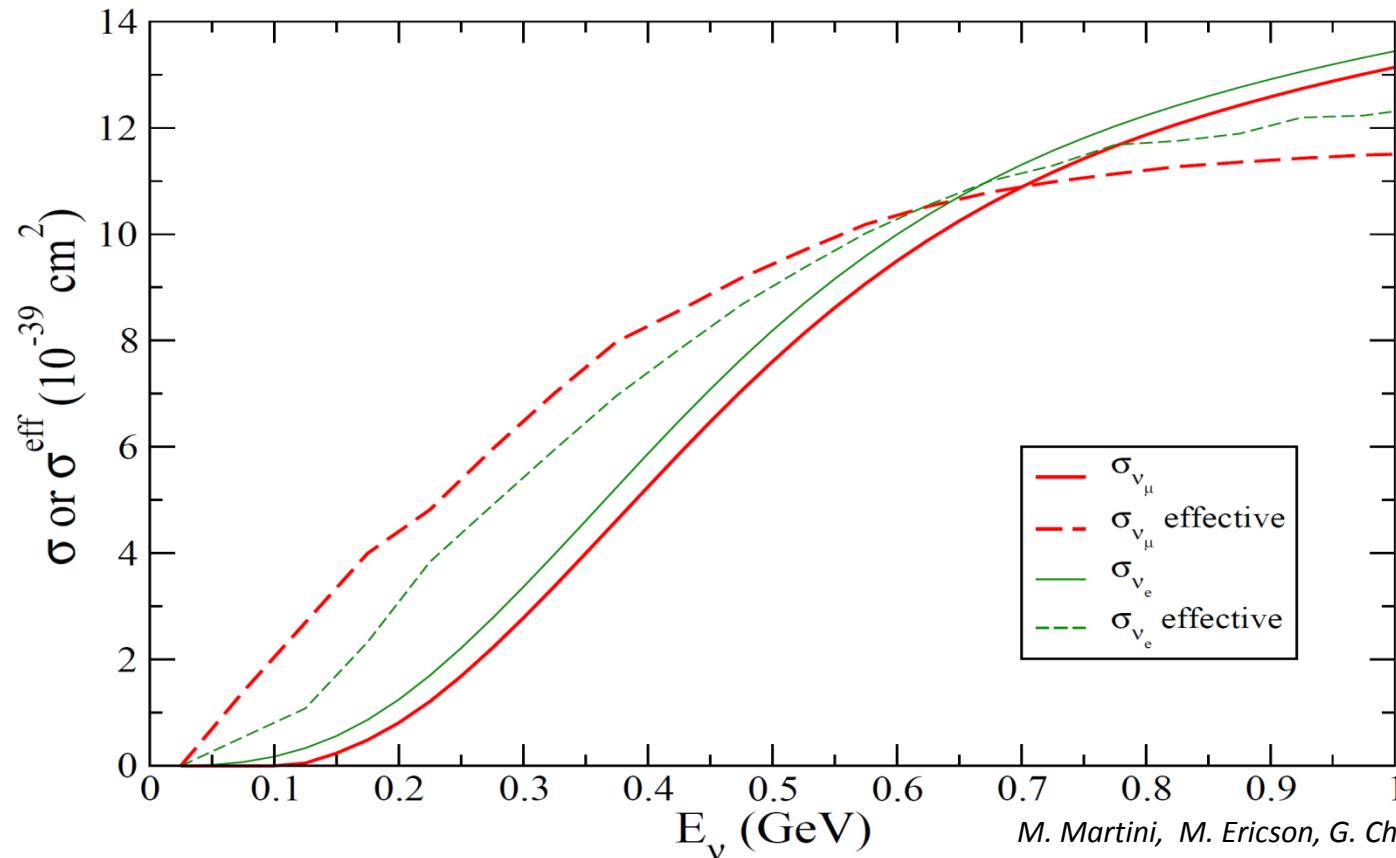
J. Nieves, F. Sanches, I. Ruiz Simo, M.J. Vicente Vacas  
QE(rel+RPA),  $E_{\text{rec}} = 0.5, 0.75, 1., 1.25 \text{ GeV}$   
PRD 85 113008 (2012)



# Real and effective cross sections for $\nu_\mu$ and $\nu_e$

Let's define the effective cross section through  $D_{\text{rec}}(\bar{E}_\nu) = \sigma_\nu^{\text{eff}}(\bar{E}_\nu)\Phi(\bar{E}_\nu)$

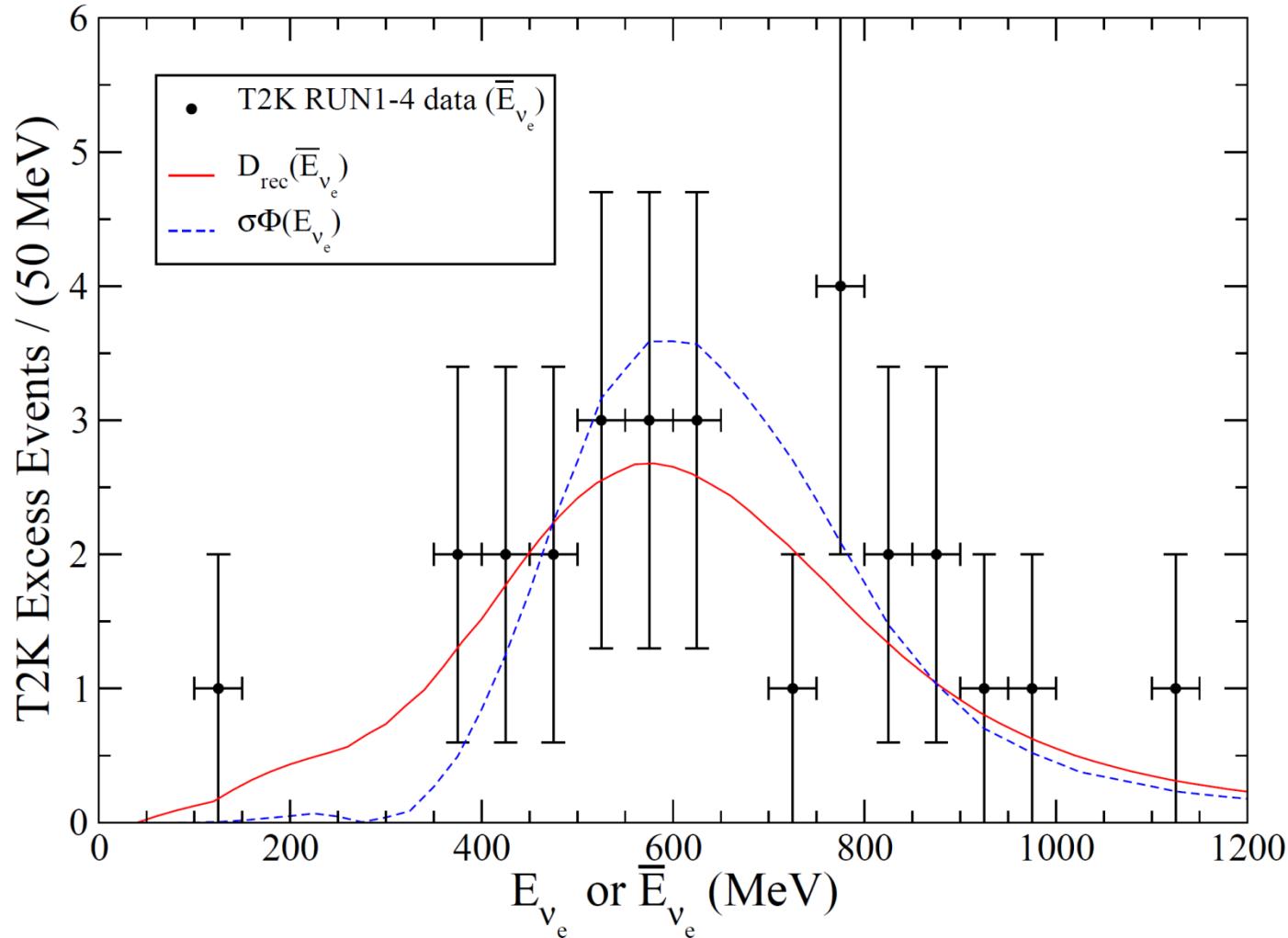
Let's then ignore the difference between the true and reconstructed neutrino energies



The effective cross section is not universal but  
it depends on the particular beam energy distribution  
(here we used  $\nu_\mu$  and  $\nu_e$  MiniBooNE fluxes)

# Application to $\nu$ oscillation analysis

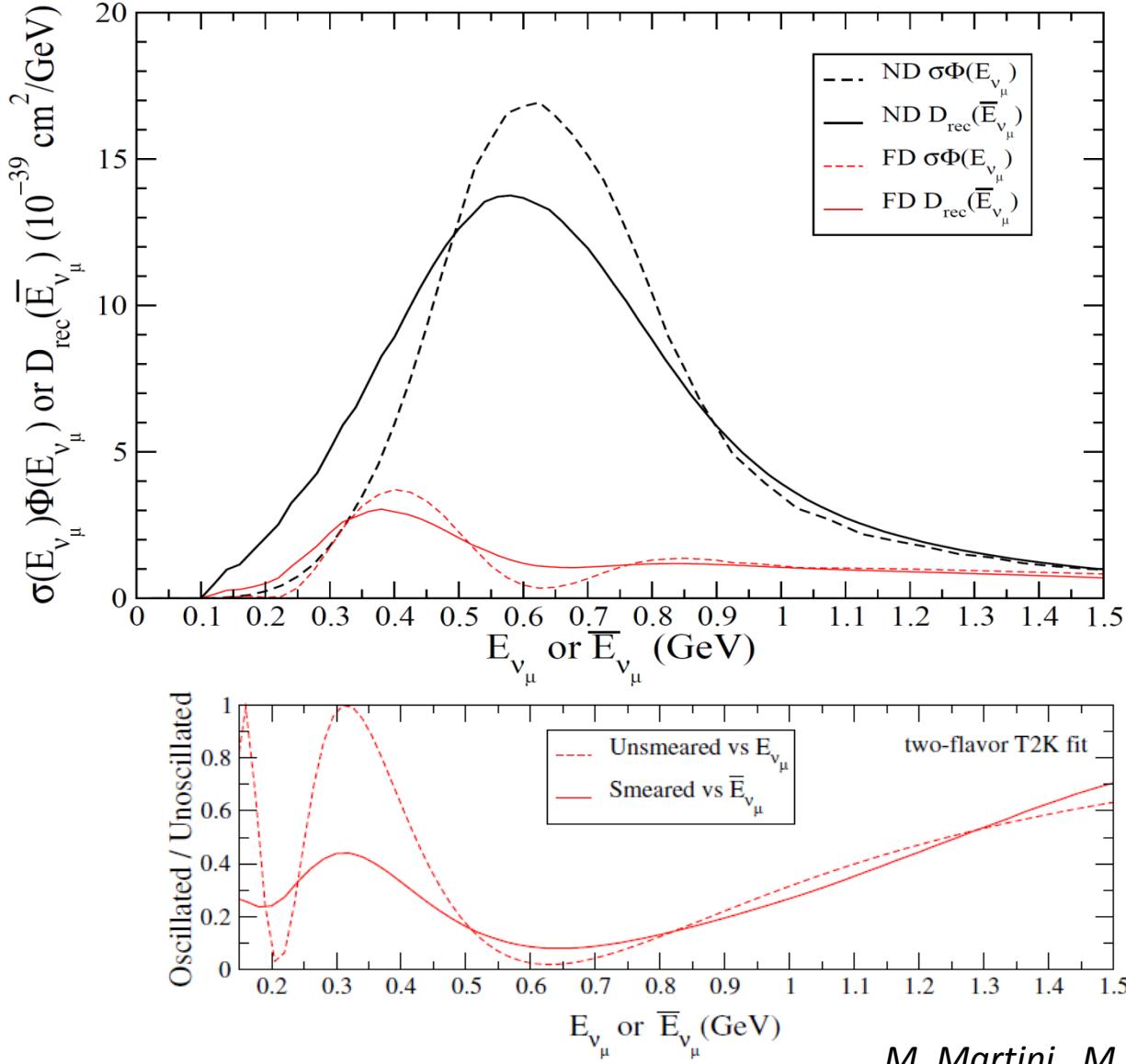
2013: 28 events



The reconstruction correction tends to make events leak outside the high flux region especially towards the low energy side, in agreement with the observed trend

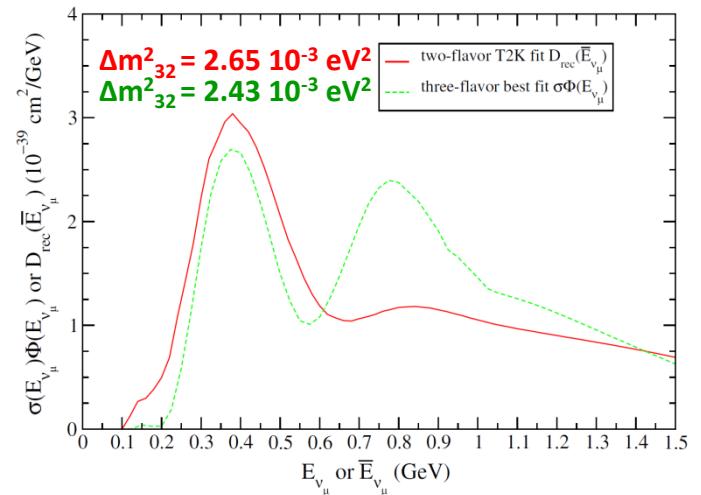
# $\nu_\mu$ disappearance T2K

PRD85 (2012); 1308.0465 (2013)



After reconstruction:

- Near Detector:  
clear low energy enhancement
  - Far Detector:  
low energy tail and  
the middle hole is largely filled
- Effects largely due to np-nh



$E_\nu$  reconstruction leads to an increase of oscillation mass parameters

M. Martini, M. Ericson, G. Chanfray, PRD 87 013009 (2013)

Similar results in: O. Lalakulich, U. Mosel, K. Gallmeister, PRC 86 054606 (2012)

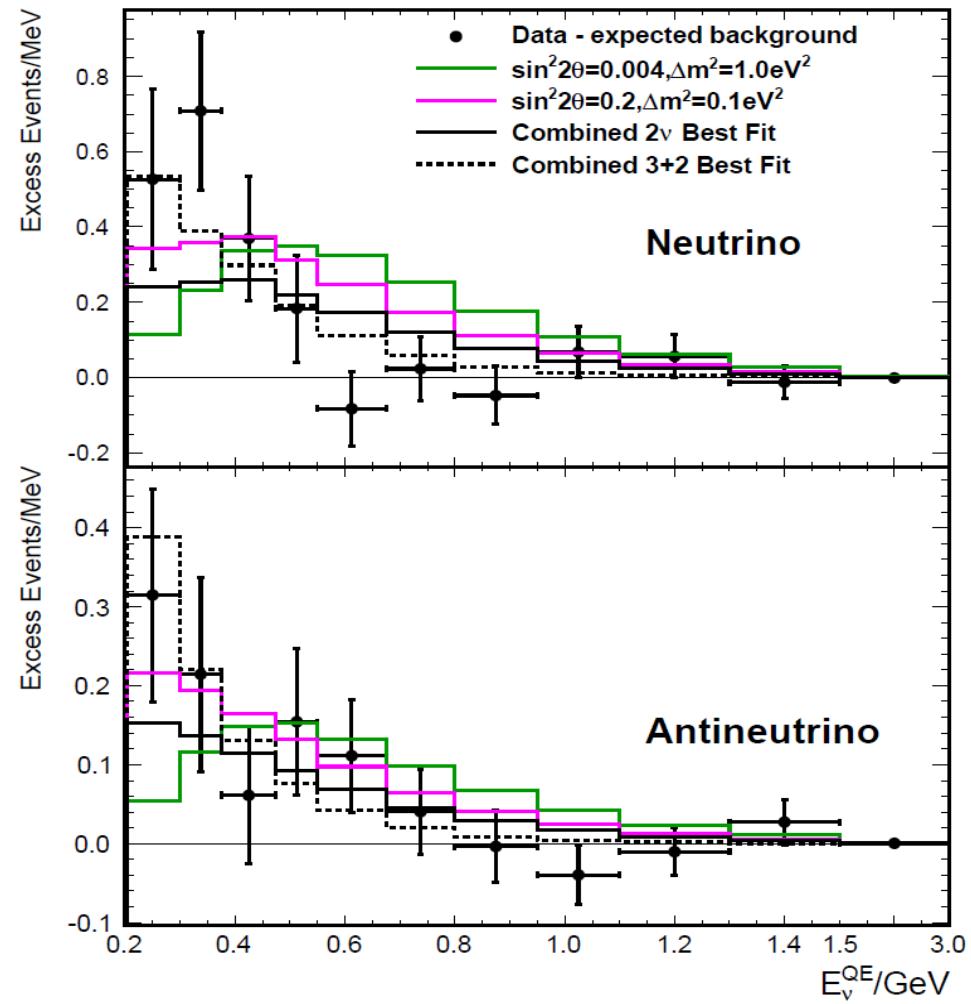
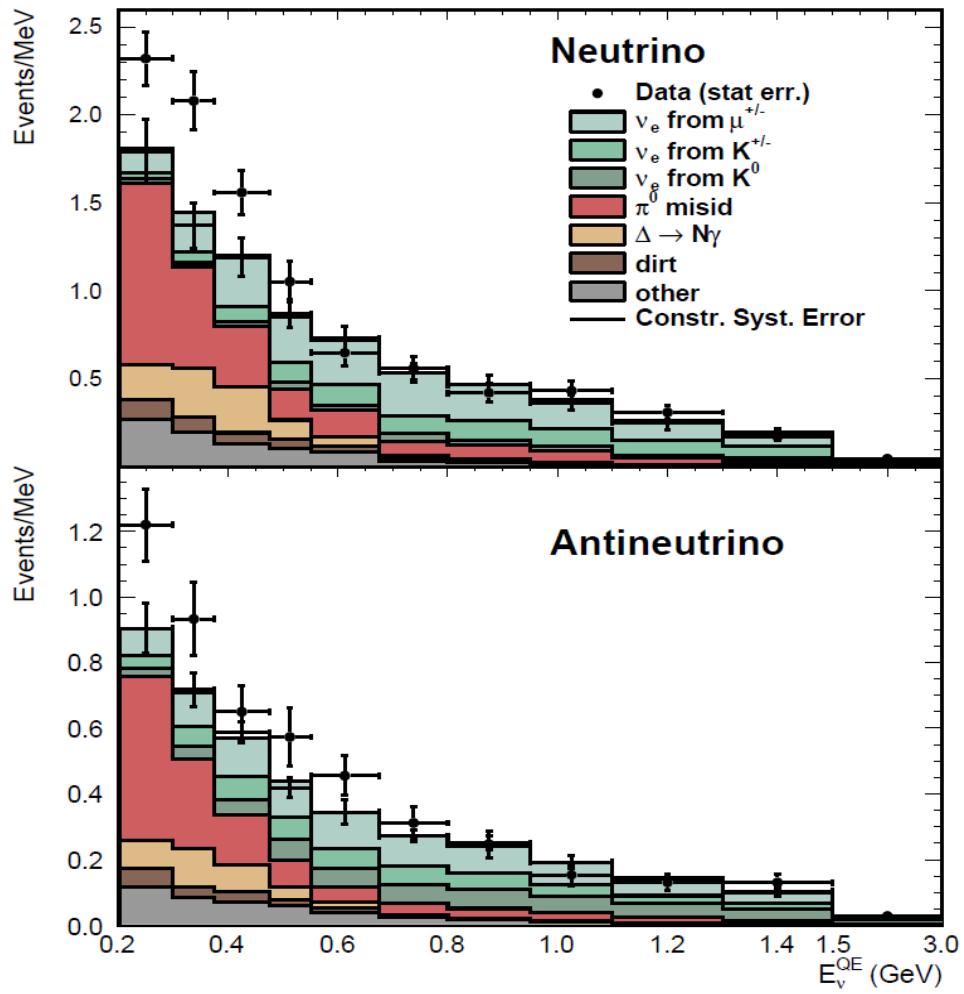
13/11/2013

M. Martini, GDR Neutrino IPNL

48

# $\nu_\mu \rightarrow \nu_e$ MiniBooNE

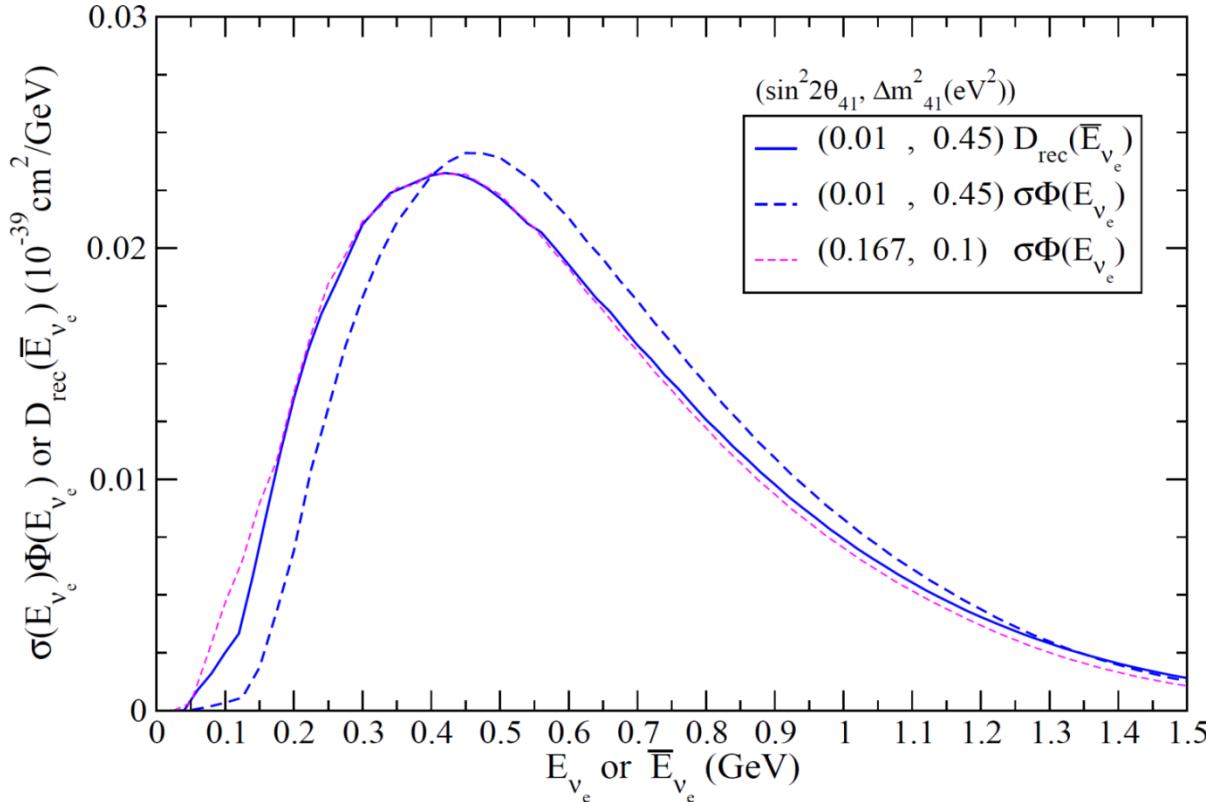
PRL 98 (2007), PRL 102 (2009), PRL 105 (2010), PRL 110 (2013)



MiniBooNE Anomaly: Excess of events at low energies  
Sterile neutrino???

# Taking now into account the smearing procedure

M. Martini, M. Ericson, G. Chanfray, PRD 87 013009 (2013)



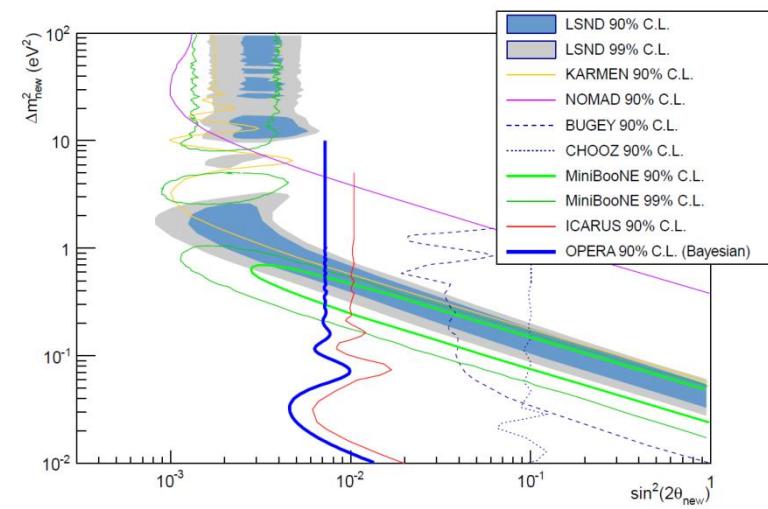
A large mass value allows the same quality of fit of data than is obtained in the unsmeared case with a much smaller mass.

The energy reconstruction leads to an increase of the oscillation mass parameters



**Gain for the compatibility with the existing constraints**

OPERA, JHEP 1307 (2013) 004,  
Addendum-*ibid.* 1307 (2013) 085



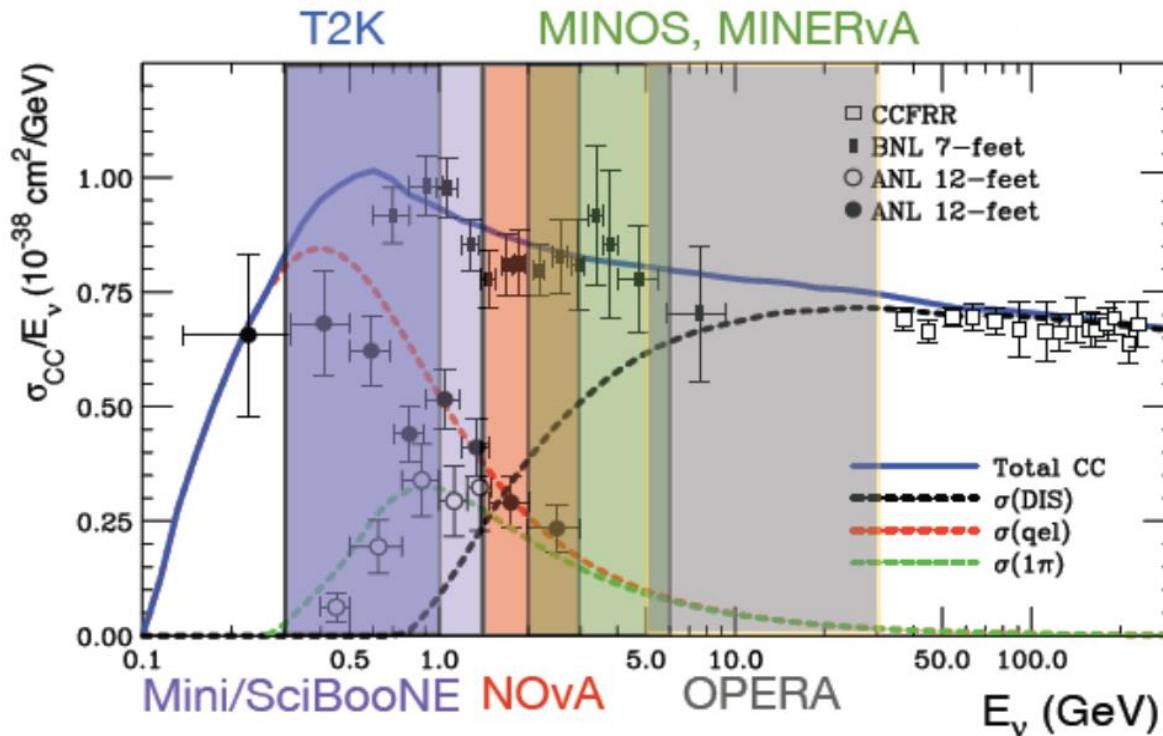
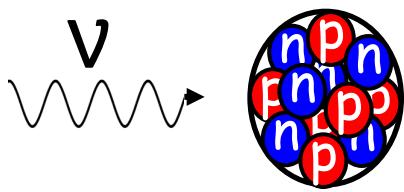
# Nuclear effects in neutrino interactions

## Summary

- Quasielastic  $\sigma$ ,  $d^2\sigma/(dT_\mu d\cos\theta)$ ,  $d\sigma/dQ^2$  measured by MiniBooNE can be explained without any modification of  $M_A$  including the np-nh channel
- Several theoretical calculations agree on the crucial role of the multinucleon channel in order to explain data but there are some differences on the way to treat this channel
- Nuclear effects generate an asymmetry between  $\nu$  and anti $\nu$  interaction: important for the investigation of CP violation effects
- Neutrino energy reconstruction and neutrino oscillations  $\overline{E_\nu} \longleftrightarrow E_\nu$ 
  - **T2K**: agreement with  $\nu_\mu \rightarrow \nu_e$  data
  - **T2K**  $\nu_\mu$  and **MiniBooNE**: the energy reconstruction correction is expected to lead to an increase of the best fit oscillation mass parameters
  - **MiniBooNE**: the smearing procedure improve the compatibility with existing constraints

# Spares

# Neutrino - nucleus cross sections

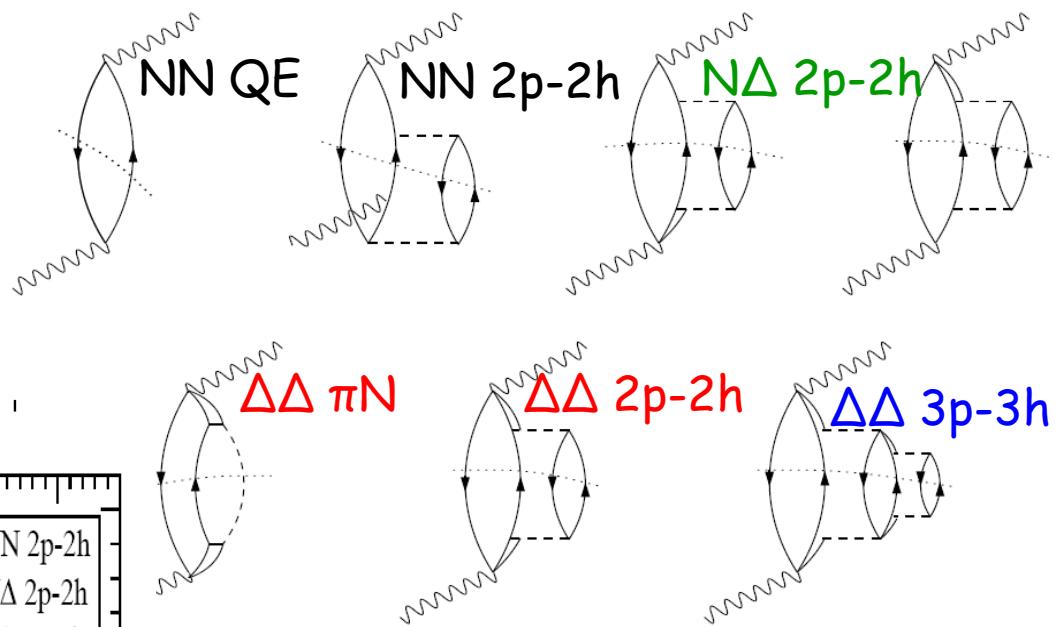
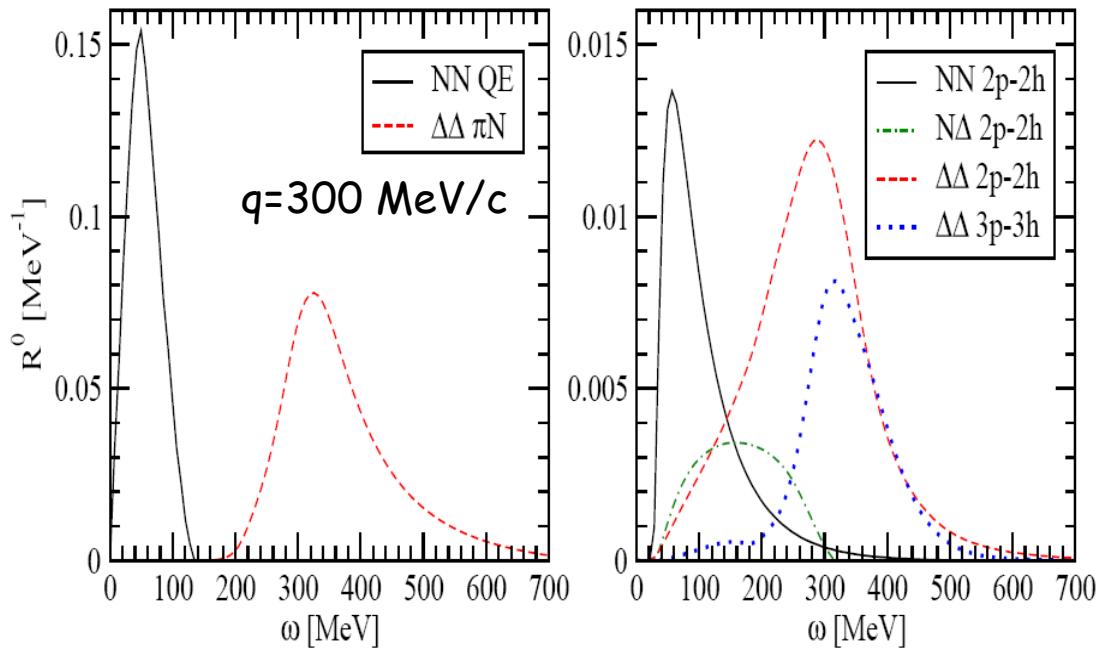


- Relatively precise measurements at high neutrino energies, where deep inelastic scattering is important
- Less precise measurements in few-GeV region, where many processes contribute
- Nuclear effects important at all energies, especially low energies
- Large uncertainties, some puzzles

# Bare nuclear responses

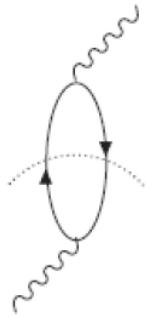
Several partial components  
(final state channels)

- QE (1 nucleon knock-out)
- Pion production
- Multinucleon emission



# Bare polarization propagators

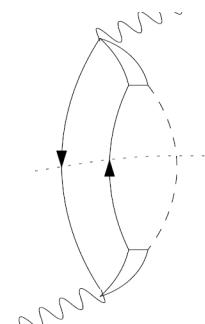
## Quasielastic



$$\Pi^0(\vec{q}, \omega) = g \int \frac{d\vec{k}}{(2\pi)^3} \left[ \frac{\theta(|\vec{k} + \vec{q}| - k_F) \theta(k_F - k)}{\omega - (\omega_{\vec{k}+\vec{q}} - \omega_{\vec{k}}) + i\eta} - \frac{\theta(k_F - |\vec{k} + \vec{q}|) \theta(k - k_F)}{\omega + (\omega_{\vec{k}} - \omega_{\vec{k}+\vec{q}}) - i\eta} \right]$$

Nucleon-hole

## Pion production



$$\Pi_{\Delta-h}(q) = \frac{32\tilde{M}_\Delta}{9} \int \frac{d^3 k}{(2\pi)^3} \theta(k_F - k) \left[ \frac{1}{s - \tilde{M}_\Delta^2 + i\tilde{M}_\Delta \Gamma_\Delta} - \frac{1}{u - \tilde{M}_\Delta^2} \right]$$

Delta-hole

# Delta in the medium

Mass

$$\tilde{M}_\Delta = M_\Delta + 40(MeV) \frac{\rho}{\rho_0}$$

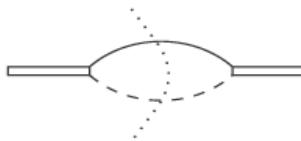
Width

$$\tilde{\Gamma}_\Delta = \Gamma_\Delta F_P - 2\text{Im}(\Sigma_\Delta)$$

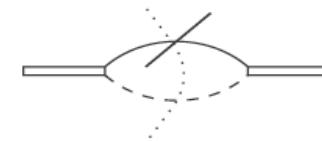
Self energy

$$\text{Im}(\Sigma_\Delta(\omega)) = - \left[ C_Q \left( \frac{\rho}{\rho_0} \right)^\alpha + C_{2p2h} \left( \frac{\rho}{\rho_0} \right)^\beta + C_{3p3h} \left( \frac{\rho}{\rho_0} \right)^\gamma \right]$$

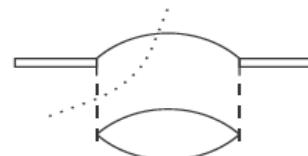
E. Oset and L. L. Salcedo, Nucl. Phys. A 468, 631 (1987)



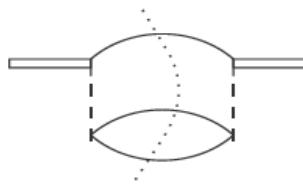
$\Delta \rightarrow \pi N$



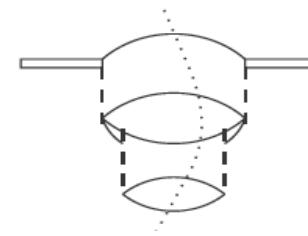
Pauli correction ( $F_P$ )



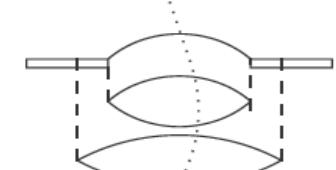
Pion distortion ( $C_Q$ )



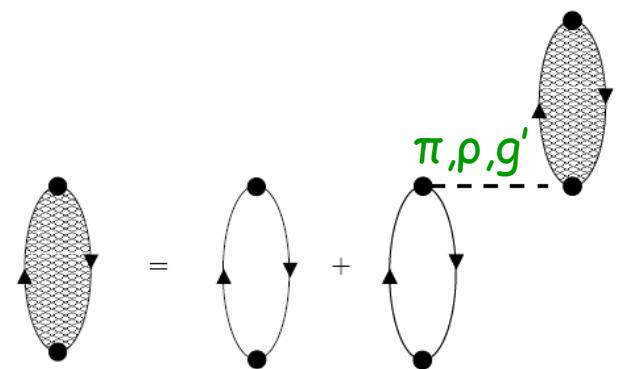
2p-2h



3p-3h



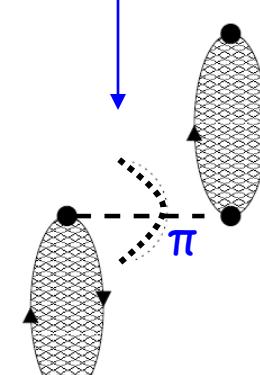
# Switching on the interaction: random phase approximation



RPA

$$\Pi = \Pi^0 + \Pi^0 V \Pi$$

$$\text{Im}\Pi = |\Pi|^2 \text{ Im}V + |1 + \Pi V|^2 \text{ Im}\Pi^0$$

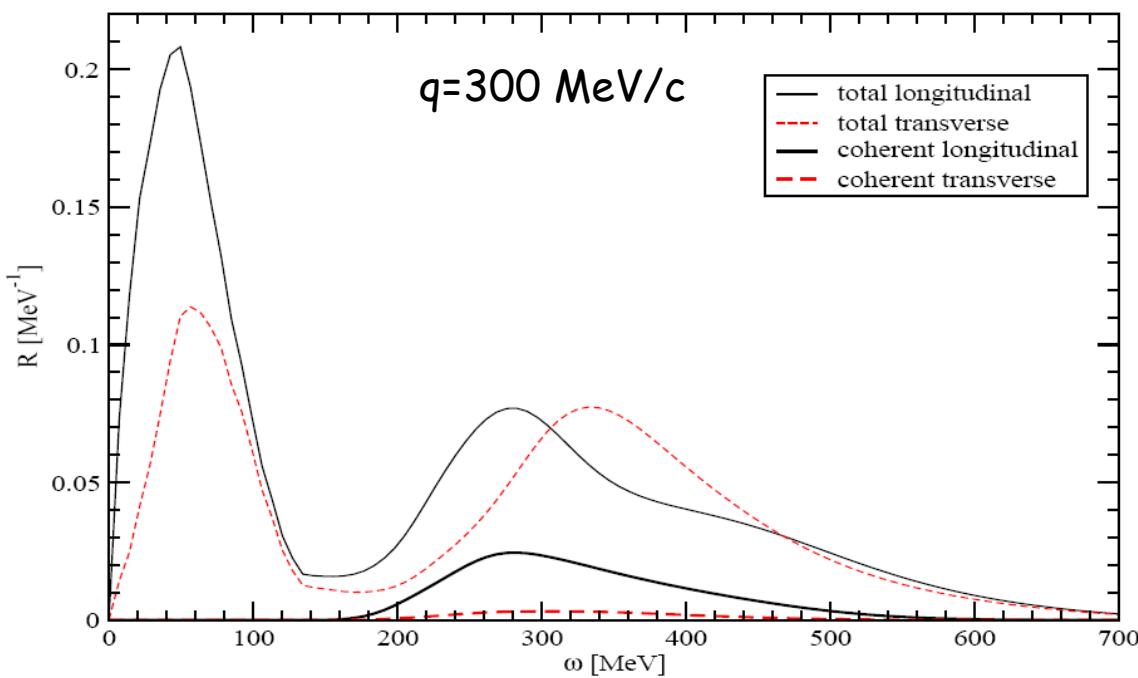


$$\Pi^0 = \sum_{k=1}^{N_k} \Pi_{(k)}^0$$

exclusive channels:  
QE, 2p-2h,  $\Delta \rightarrow \pi N$  ...

coherent  $\pi$   
production

Several partial components  
treated in self-consistent,  
coupled and coherent way



# From nuclear matter to finite nuclei

Semi-classical approximation

$$\Pi^0(\omega, \mathbf{q}, \mathbf{q}') = \int d\mathbf{r} e^{-i(\mathbf{q}-\mathbf{q}') \cdot \mathbf{r}} \Pi^0 \left( \omega, \frac{1}{2} (\mathbf{q} + \mathbf{q}'), \mathbf{r} \right)$$

Local density approximation  $k_F(r) = (3/2 \pi^2 \rho(r))^{1/3}$

$$\Pi^0 \left( \omega, \frac{\mathbf{q} + \mathbf{q}'}{2}, \mathbf{r} \right) = \Pi_{k_F(r)}^0 \left( \omega, \frac{\mathbf{q} + \mathbf{q}'}{2} \right)$$

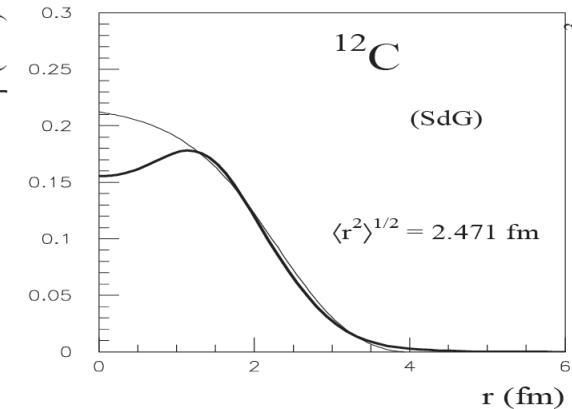
e.g. Lindhard funct. for QE

$$\Pi_{k_F(R)}^{0(L)}(\omega, q, q') = 2\pi \int du P_L(u) \Pi_{k_F(R)}^0 \left( \omega, \frac{\mathbf{q} + \mathbf{q}'}{2} \right)$$

$$\begin{aligned} \Pi^{0(L)}(\omega, q, q') &= 4\pi \sum_{l_1, l_2} (2l_1 + 1)(2l_2 + 1) \begin{pmatrix} l_1 & l_2 & L \\ 0 & 0 & 0 \end{pmatrix}^2 \\ &\times \int dR R^2 j_{l_1}(qR) j_{l_1}(q'R) \Pi_{k_F(R)}^{0(l_2)}(\omega, q, q') \end{aligned}$$

$$R_{(k)xy}^{0PP'}(\omega, q) = -\frac{\mathcal{V}}{\pi} \sum_J \frac{2J+1}{4\pi} \text{Im}[\Pi_{(k)xyPP'}^{0(J)}(\omega, q, q)]$$

N,  $\Delta$   
 QE, 2p-2h, ...  
 Longit., Transv., Charge



# Details: p-h effective interaction

$$\begin{aligned} V_{NN} &= (f' + V_\pi + V_\rho + V_{g'}) \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 \\ V_{N\Delta} &= (V_\pi + V_\rho + V_{g'}) \boldsymbol{\tau}_1 \cdot \mathbf{T}_2^\dagger \\ V_{\Delta N} &= (V_\pi + V_\rho + V_{g'}) \mathbf{T}_1 \cdot \boldsymbol{\tau}_2 \\ V_{\Delta\Delta} &= (V_\pi + V_\rho + V_{g'}) \mathbf{T}_1 \cdot \mathbf{T}_2^\dagger. \end{aligned}$$

$$f' = 0.6 \quad g'_{NN} = 0.7 \quad g'_{N\Delta} = g'_{\Delta\Delta} = 0.5$$

$$G_M^*/G_M = G_A^*/G_A = f^*/f = 2.2$$

$$\begin{aligned} V_\pi &= \left(\frac{g_r}{2M_N}\right)^2 F_\pi^2 \frac{\mathbf{q}^2}{\omega^2 - \mathbf{q}^2 - m_\pi^2} \boldsymbol{\sigma}_1 \cdot \hat{\mathbf{q}} \boldsymbol{\sigma}_2 \cdot \hat{\mathbf{q}} \\ V_\rho &= \left(\frac{g_r}{2M_N}\right)^2 C_\rho F_\rho^2 \frac{\mathbf{q}^2}{\omega^2 - \mathbf{q}^2 - m_\rho^2} \boldsymbol{\sigma}_1 \times \hat{\mathbf{q}} \boldsymbol{\sigma}_2 \times \hat{\mathbf{q}} \\ V_{g'} &= \left(\frac{g_r}{2M_N}\right)^2 F_\pi^2 g' \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \\ C_\rho &= 1.5 \quad F_\pi(q) = (\Lambda_\pi^2 - m_\pi^2) / (\Lambda_\pi^2 - q^2) \\ \Lambda_\pi &= 1 \text{ GeV} \quad \Lambda_\rho = 1.5 \text{ GeV} \end{aligned}$$

## RPA

$$\Pi = \Pi^0 + \Pi^0 V \Pi$$

$$(1 + \Pi V)^* \Pi = (1 + \Pi V)^* \Pi^0 + (1 + \Pi V)^* \Pi^0 V \Pi$$

$$\Pi + \Pi^* V^* \Pi = (1 + \Pi V)^* \Pi^0 (1 + V \Pi)$$

$$\text{Im}(\Pi) = |\Pi|^2 \text{Im}(V) + |1 + V \Pi|^2 \text{Im}(\Pi^0)$$

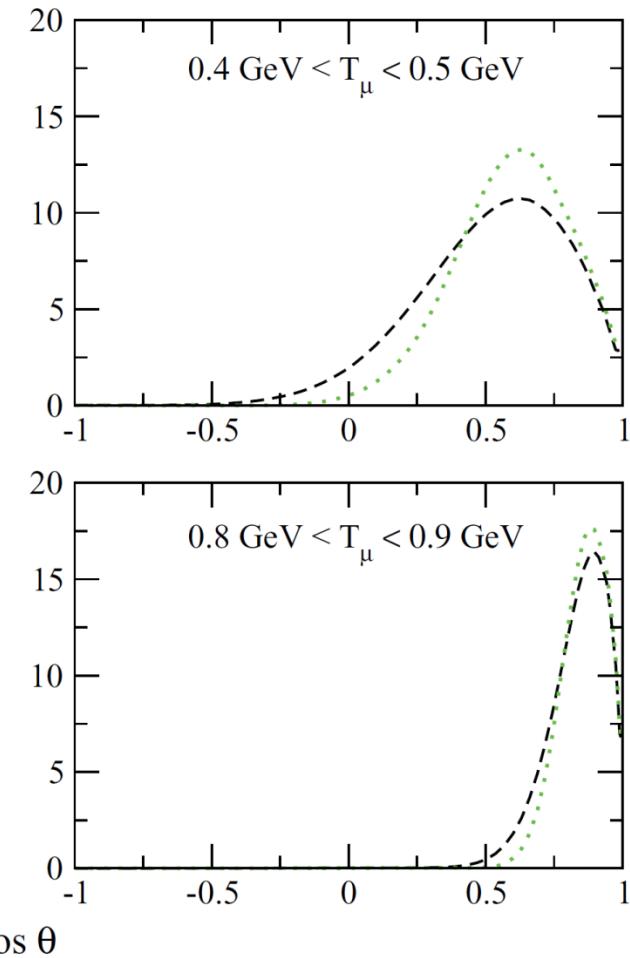
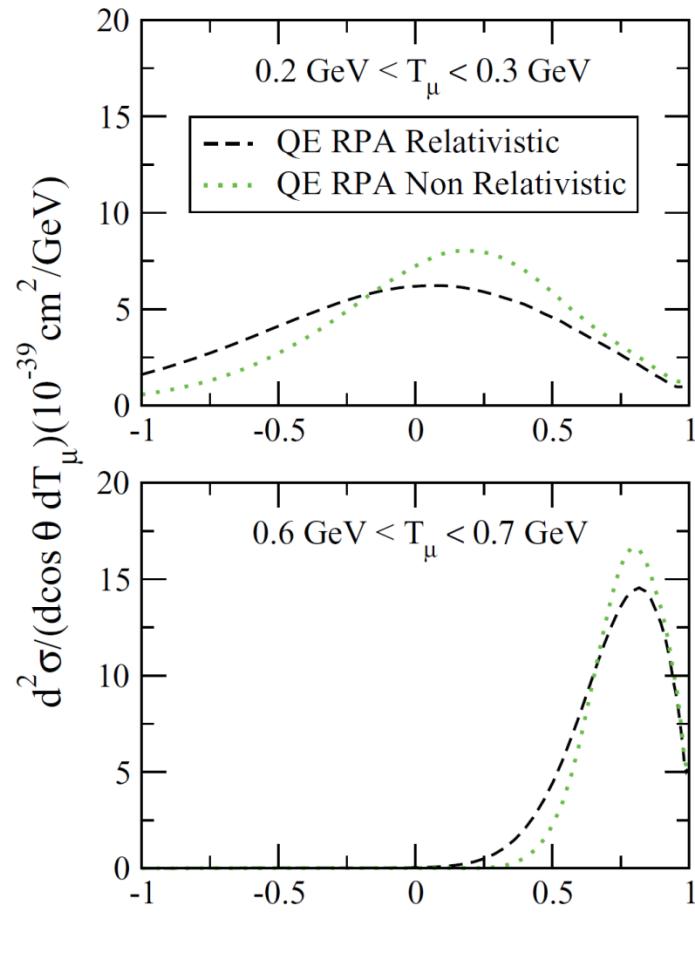
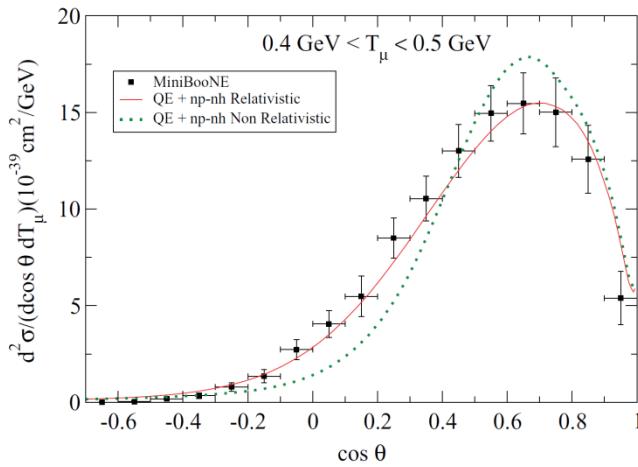
coherent

exclusive channels:  
QE, 2p-2h,  $\Delta \rightarrow \pi N$  ...

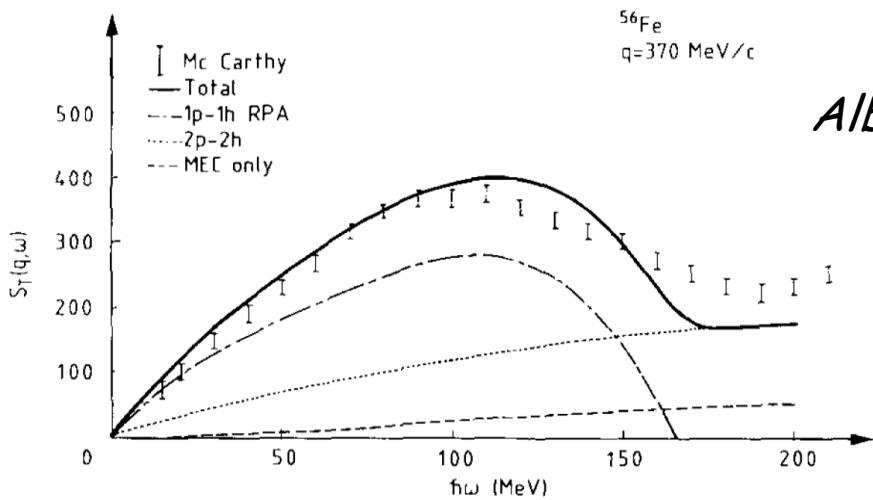
# Relativistic corrections

$$\omega \rightarrow \omega(1 + \frac{\omega}{2M_N})$$

$$\pi \rightarrow (1 + \frac{\omega}{M_N}) \pi$$



# NN correlations and $N\Delta$ interference contributions to 2p-2h



Starting point: a microscopic evaluation of  $R_T$   
Alberico, Ericson, Molinari, Ann. Phys. 154, 356 (1984)

Transverse magnetic response of  $(e, e')$

for some values of  $q$  and  $\omega$ , but:

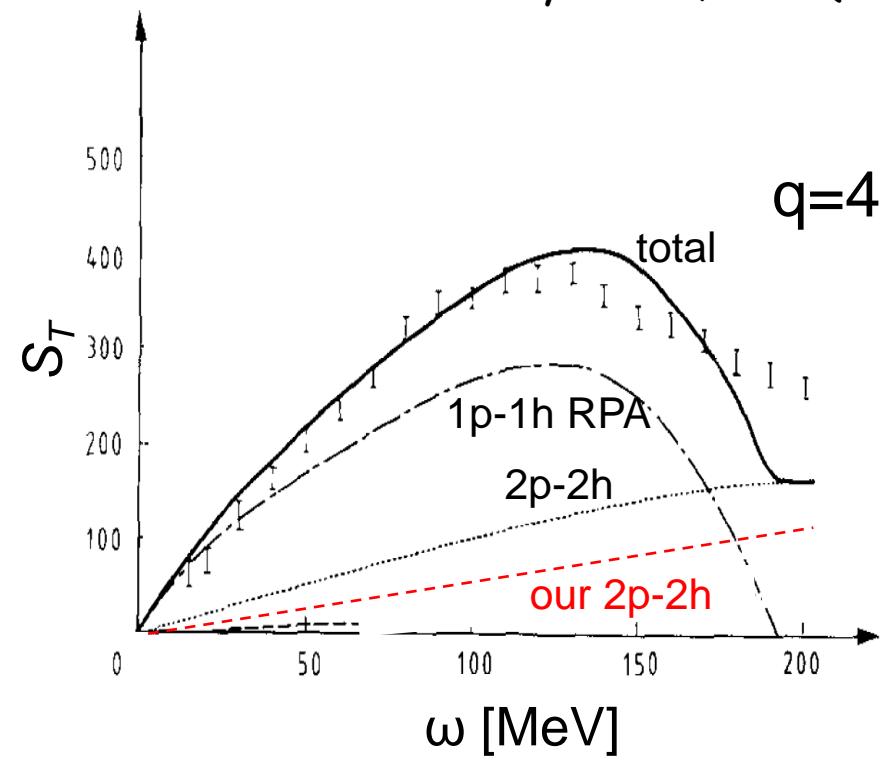
$^{56}\text{Fe}$ , few  $q$  and  $\omega$ , too large  $\text{Im } C_0$

## Our work

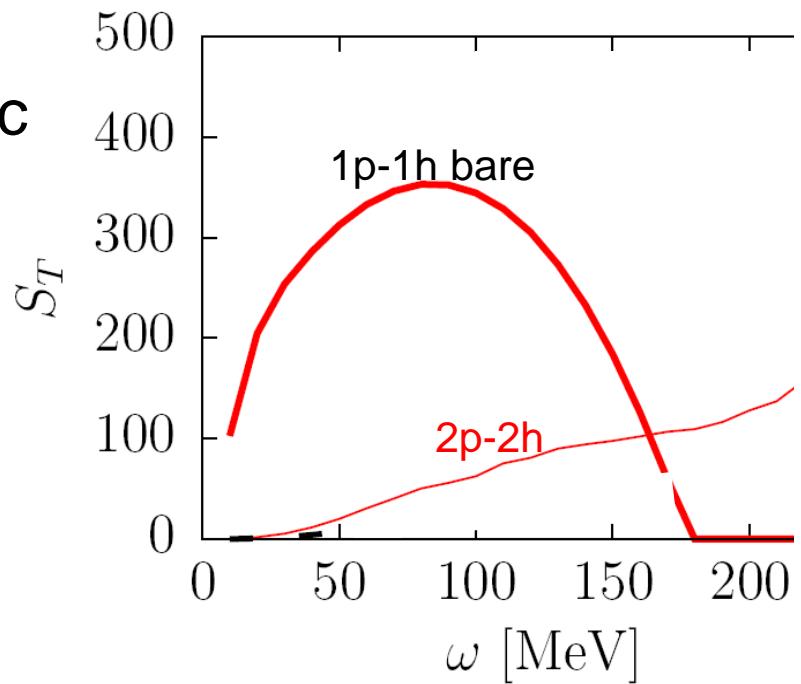
- Parameterization of the responses in terms of  $x = \frac{q^2 - \omega^2}{2M_N\omega} \longrightarrow$  Extrapolation to cover V region
- Global reduction  $\approx 0.5$  to reproduce the absorptive p-wave  $\pi$ -A optical potential

# A comparison between our parameterization of 2p-2h (PRC 2009) and the one of the PRC (2010) paper of Amaro et al. on electron scattering

Alberico et al. Ann. Phys. 154, 356 (1984)



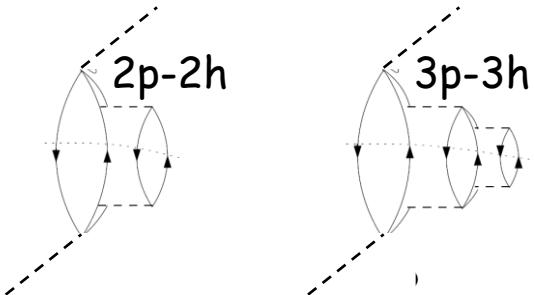
Amaro et al. PRC 82 044601 (2010)  
(not yet inserted in neutrino calculations)



With the reduction factor that we had applied in order to reproduce  $\text{Im}C_0$ , our parameterization is quite close to the results of Amaro et al.

# $\Delta\Delta$ contributions to np-nh in our model

- Reducible to a modification of the Delta width in the medium



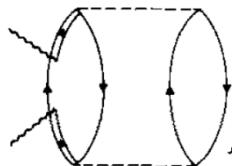
E. Oset and L. L. Salcedo, Nucl. Phys. A 468, 631 (1987):

$$\widetilde{\Gamma_\Delta} = \Gamma_\Delta F_P - 2\text{Im}(\Sigma_\Delta)$$

$$\text{Im}(\Sigma_\Delta(\omega)) = - \left[ C_Q \left( \frac{\rho}{\rho_0} \right)^\alpha + C_{2p2h} \left( \frac{\rho}{\rho_0} \right)^\beta + C_{3p3h} \left( \frac{\rho}{\rho_0} \right)^\gamma \right]$$

Nieves et al. in PRC 83 (2011) and in 1106.5374 use the same model for these contributions

- Not reducible to a modification of the Delta width



Microscopic calculation of  $\pi$  absorption at threshold:  $\omega = m_\pi$

Shimizu, Faessler, Nucl. Phys. A 333, 495 (1980)

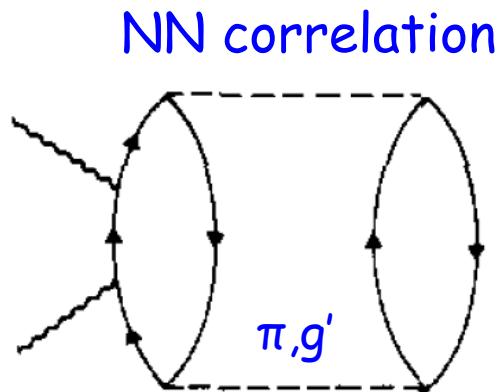
Extrapolation to other energies

$$Im(\Pi_{\Delta\Delta}^0) = -4\pi\rho^2 \frac{(2M_N + m_\pi)^2}{(2M_N + \omega)^2} C_3 \Phi_3(\omega) \left[ \frac{1}{(\omega + M_\Delta - M_N)^2} \right]$$

# Further considerations on 2p-2h

$q = 410 \text{ MeV}/c$   
central tensor

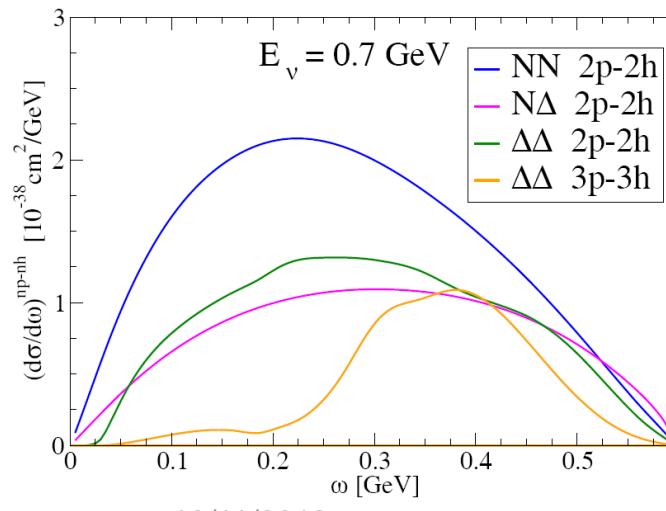
e.g.



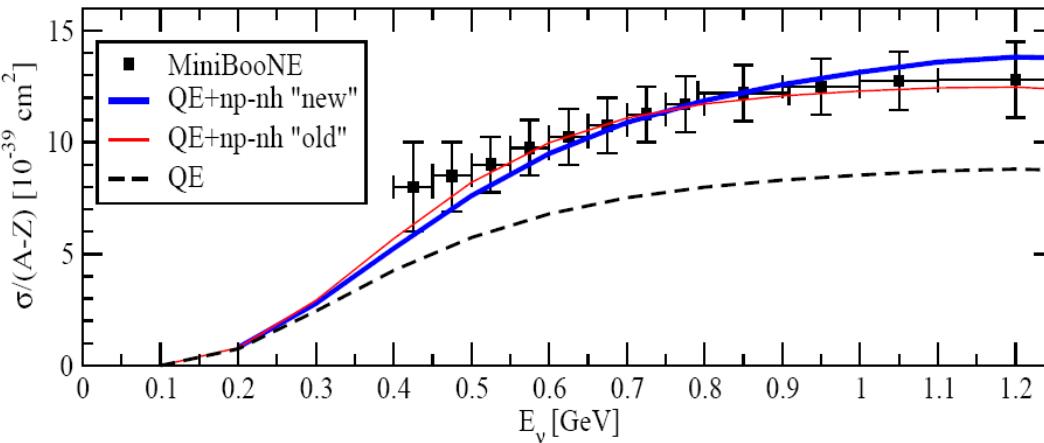
$\hbar\omega$	$\beta A_i^C$	$\beta A_i^T$
50	11.3	20.3
100	12.5	31.8
150	23.6	47.8
200	20.9	53.1
250	17.3	51.1
300	10.9	39.9

Alberico et al.  
Ann. Phys. '84

Tensor correlations are dominant in the NN correlation term but 2p-2h contributions involving  $\Delta$  excitations are also very important. Tensor correlations alone are insufficient to account the overall 2p-2h effect.



# Comparison of the two 2p-2h parameterizations in $V-^{12}C$ scattering



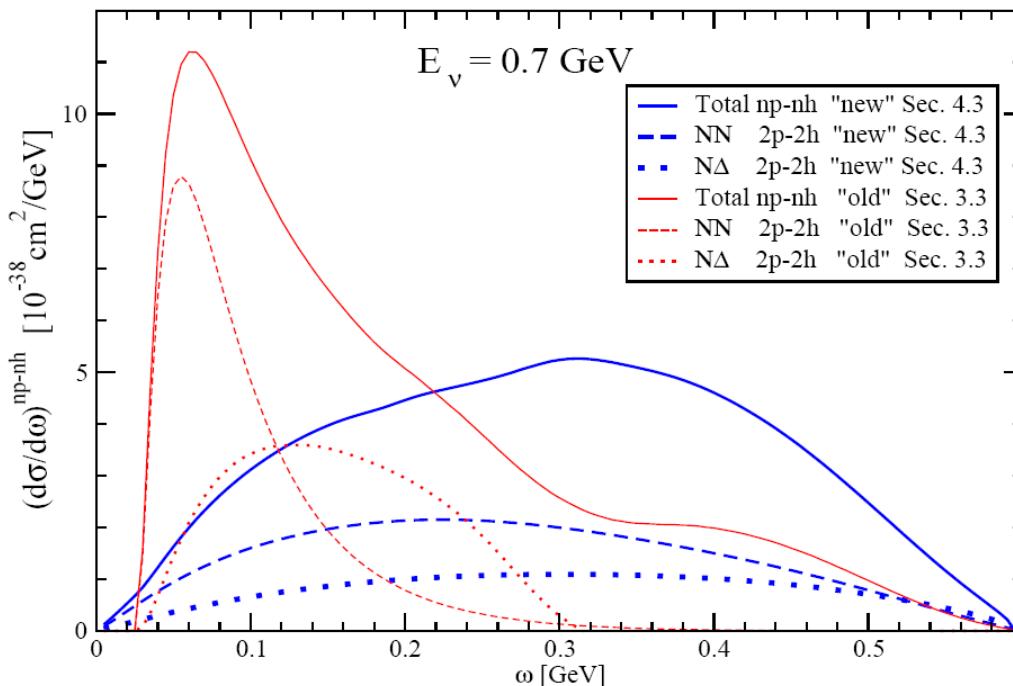
In the paper

*Martini, Ericson, Chanfray, Marteau, PRC 80 (2009)*  
we considered 2 different parameterizations of 2p-2h

Red "Old": from Delorme et al.  
(2p-2h  $\pi$  absorption)

the one used in:

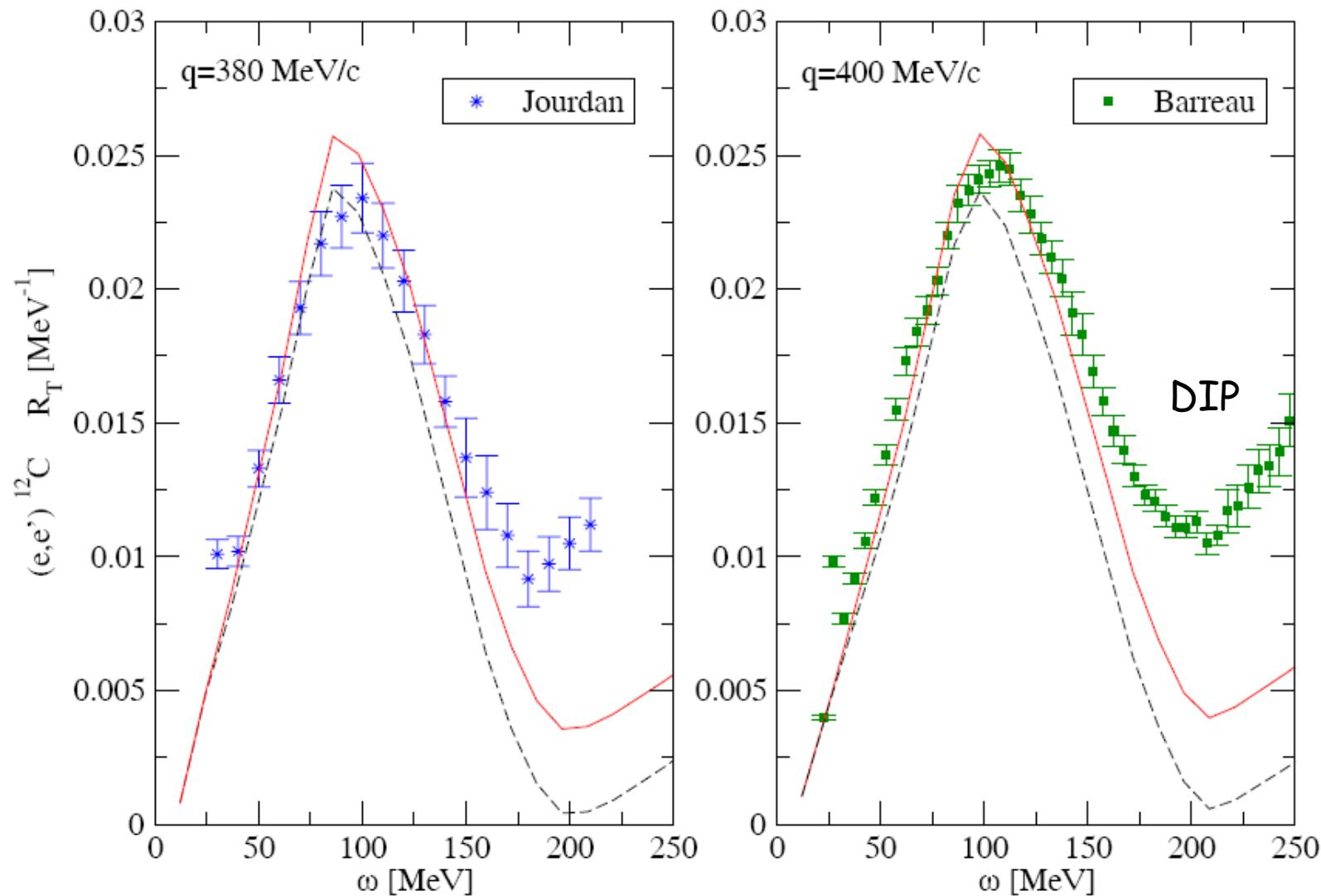
Marteau, Delorme, Ericson, NIM A451 (2000) 76-80

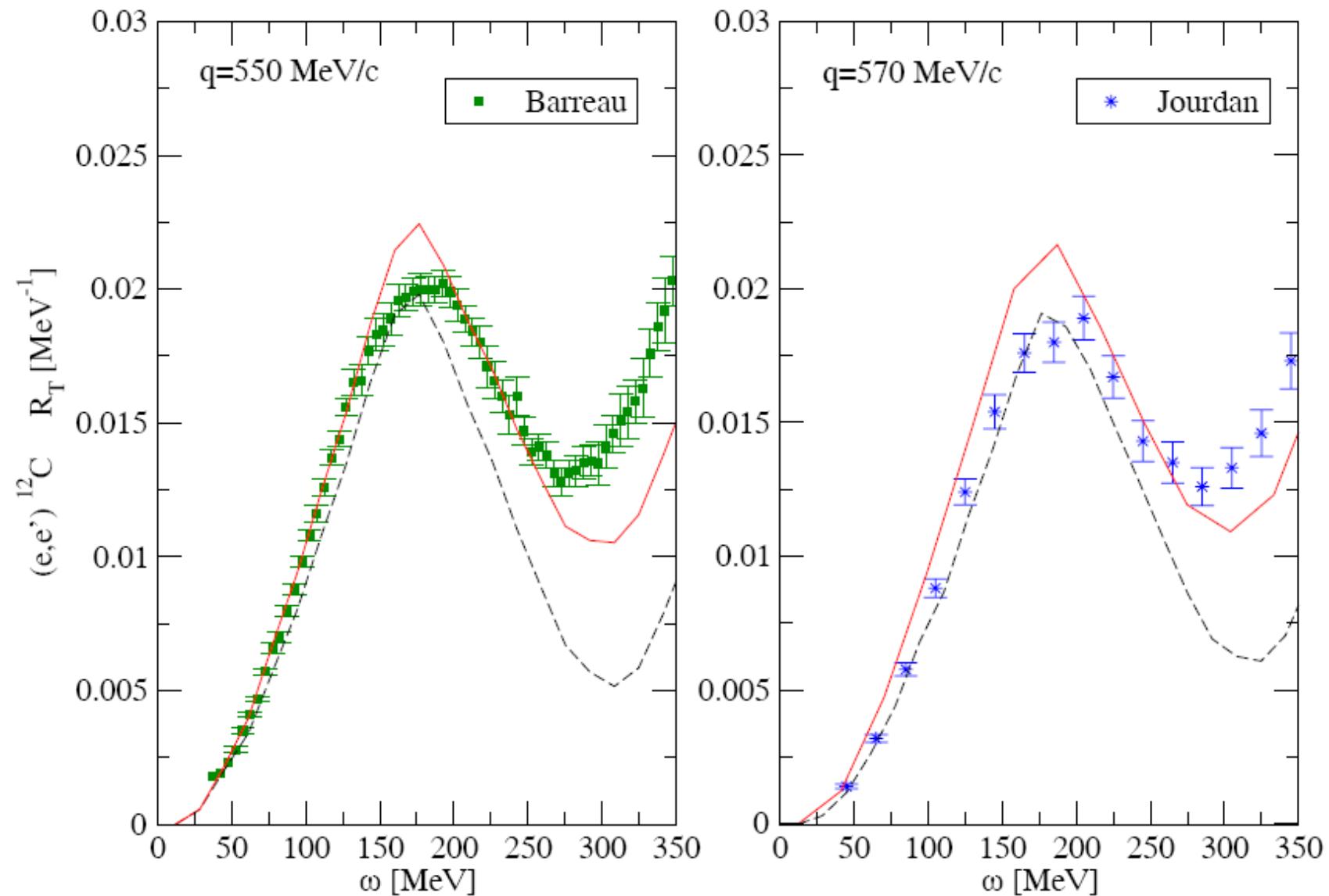


Blue "New": from Alberico et al.  
( $R_T$  of  $(e,e')$   $^{56}\text{Fe}$  rescaled)

in the next papers we have only considered the "New" parameterization

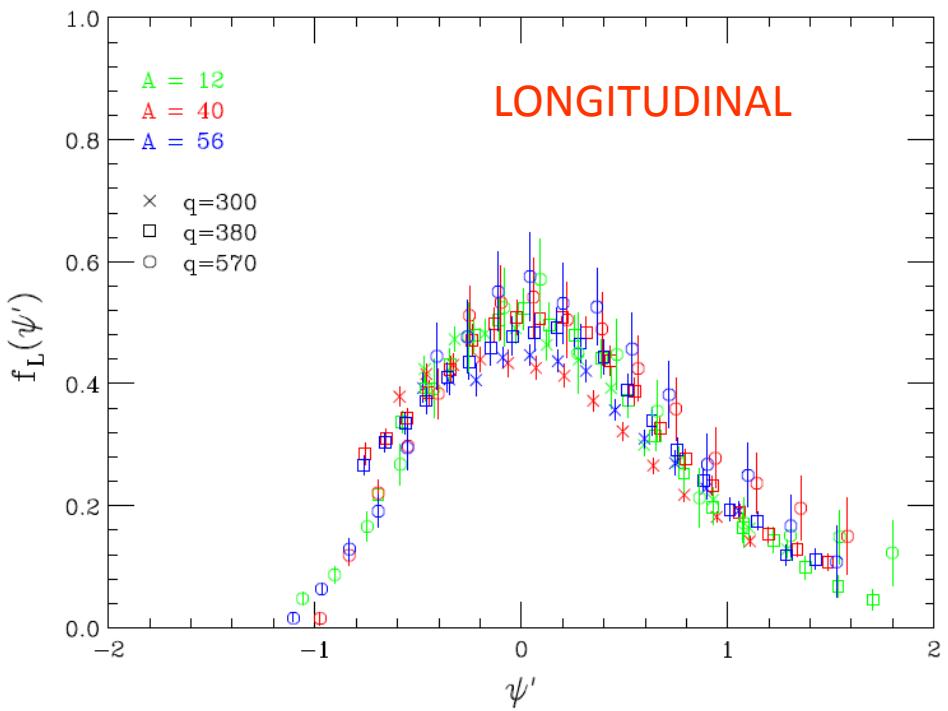
## Our results vs experiment for other $q$ values





# Scaling approach in electron scattering

$$f_L(\Psi) = k_F \frac{q^2 - \omega^2}{q m} \frac{R_L(\omega, q)}{Z(G_E^p)^2 + N(G_E^n)^2}$$



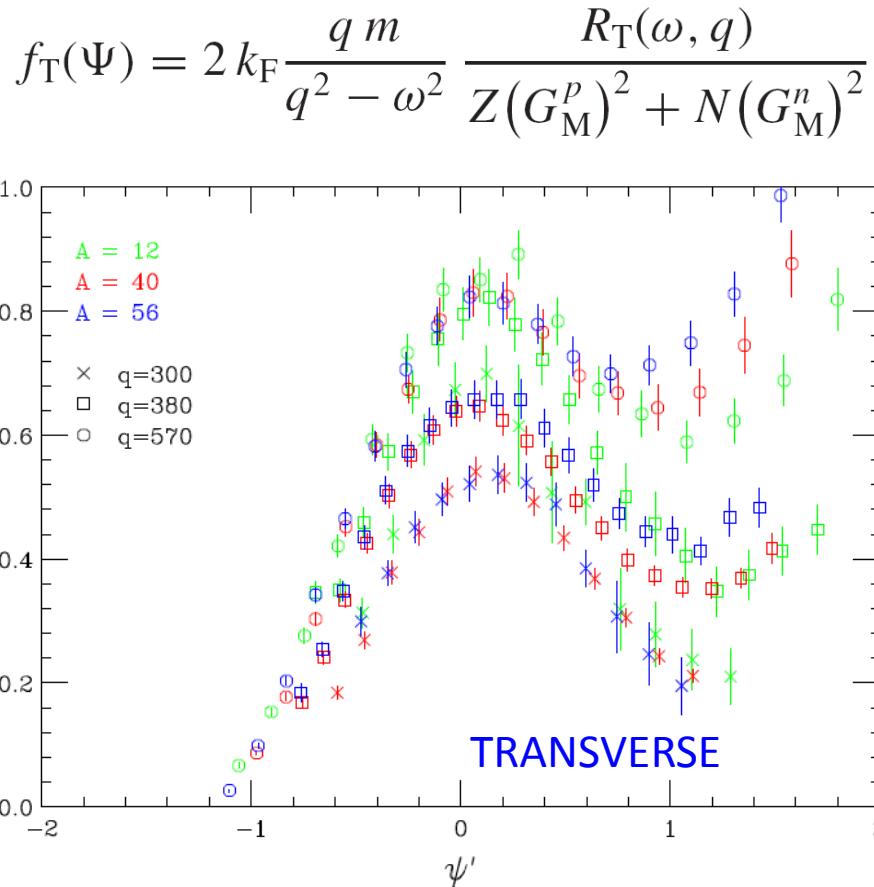
Superscaling: scaling with A and q

NB: the longitudinal superscaling function is used to study ν scattering in SuSA approach

Excess in the transverse channel likely due to 2-body currents (MEC and correlations)

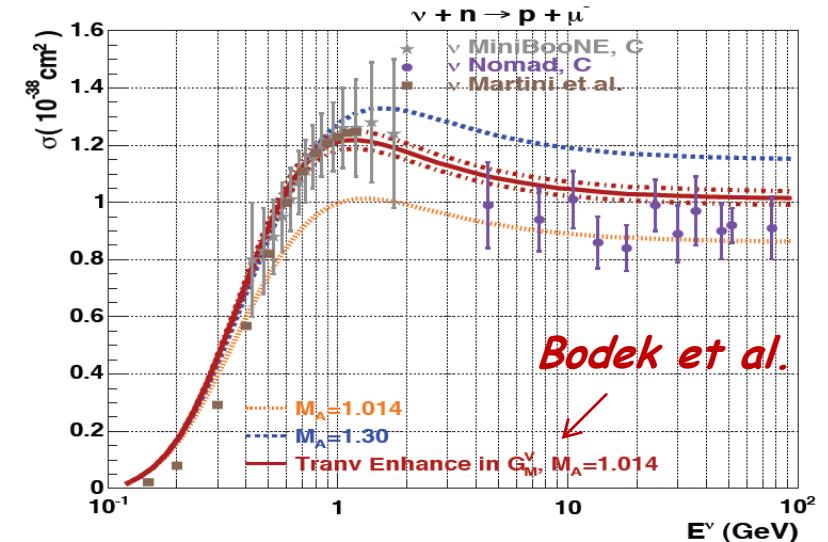
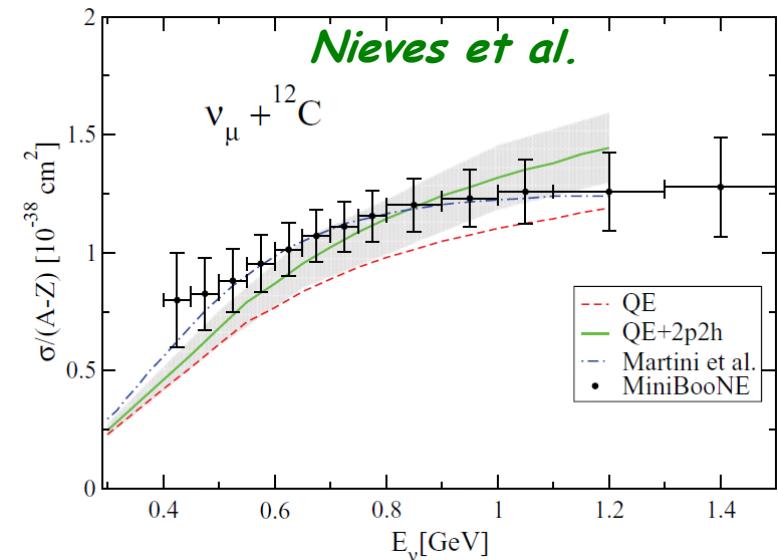
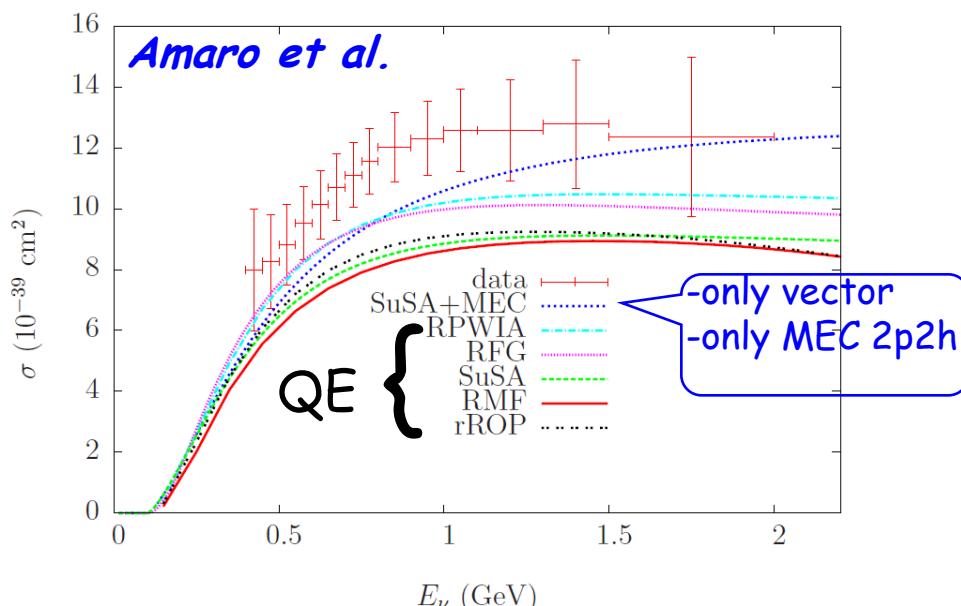
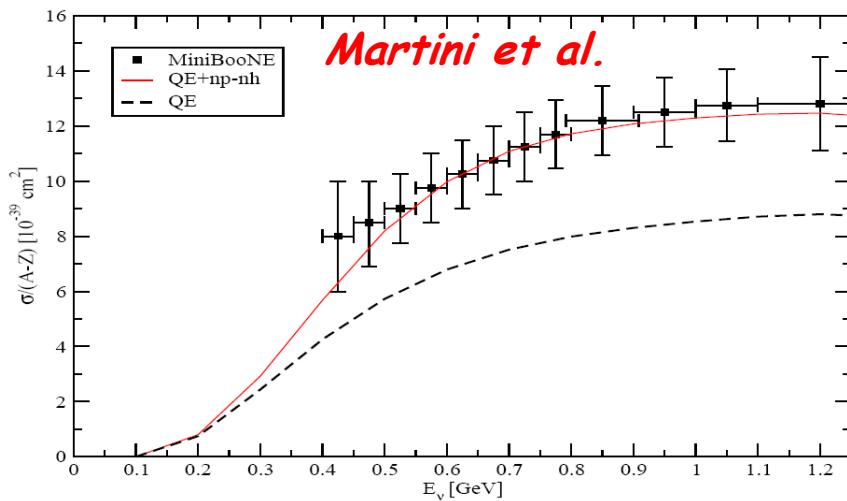
*A.Bodek et al. Eur.Phys.J. C71 (2011) :*

parametrization of the enhancement in T channel in terms of correction to  $G_M(Q^2)$



No superscaling: scaling with A, not with q

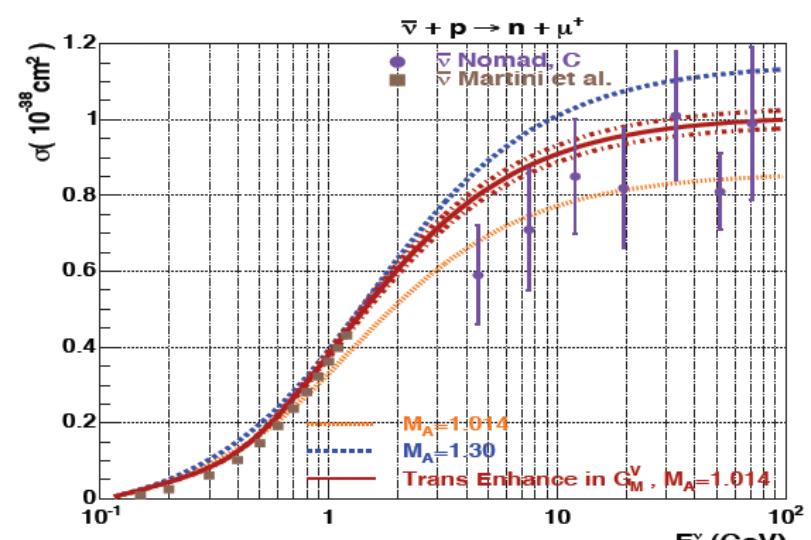
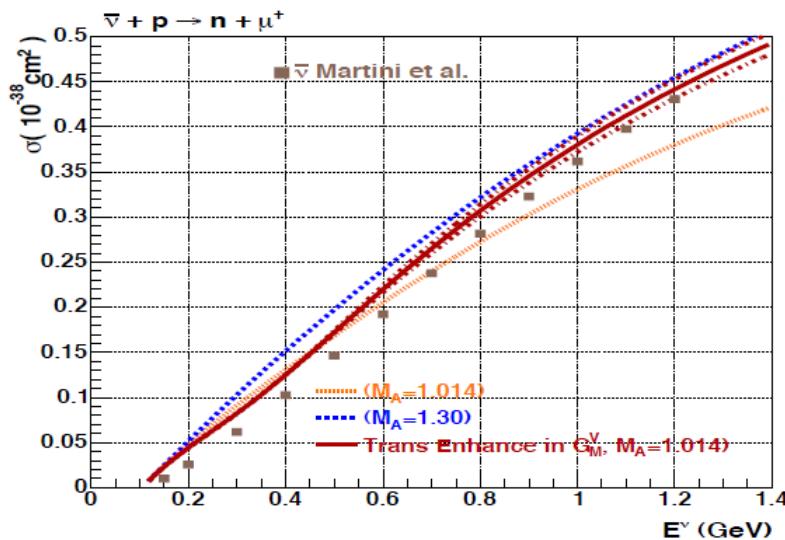
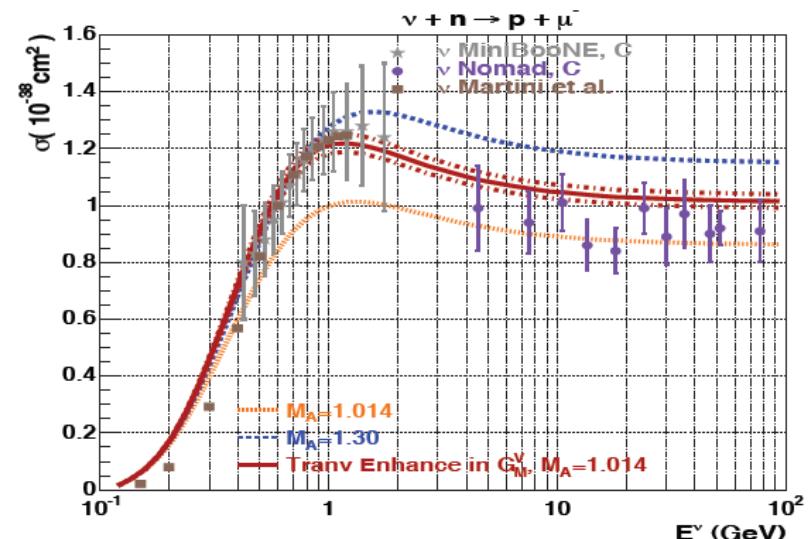
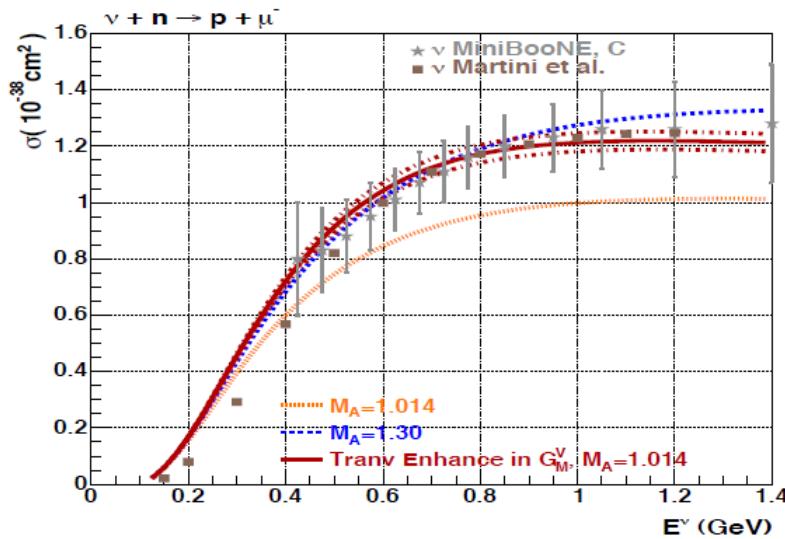
# Total CCQE and comparison with flux unfolded MB



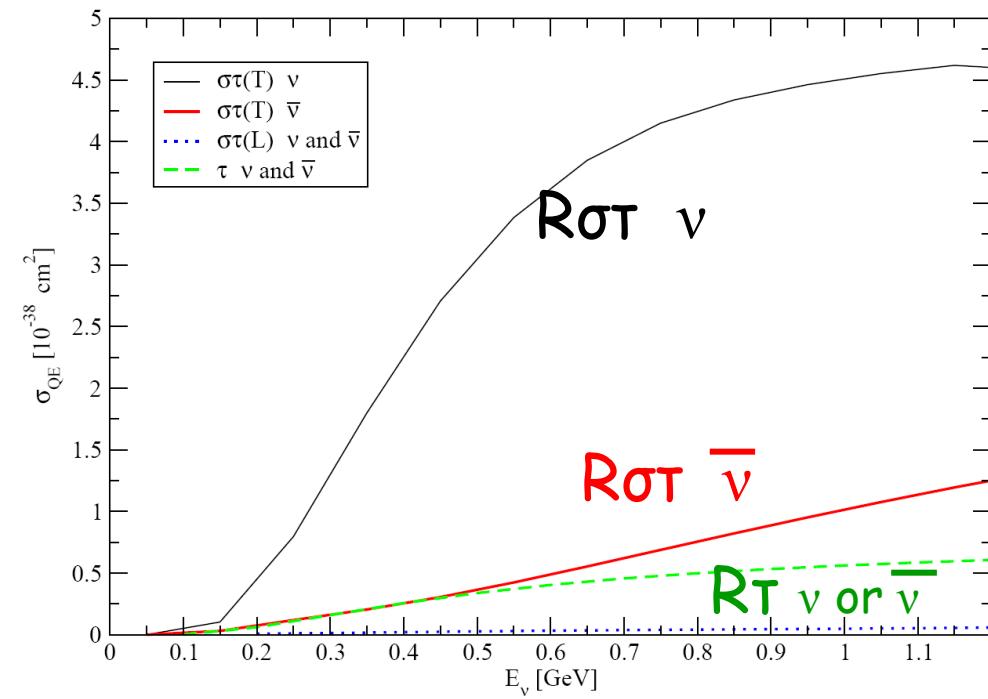
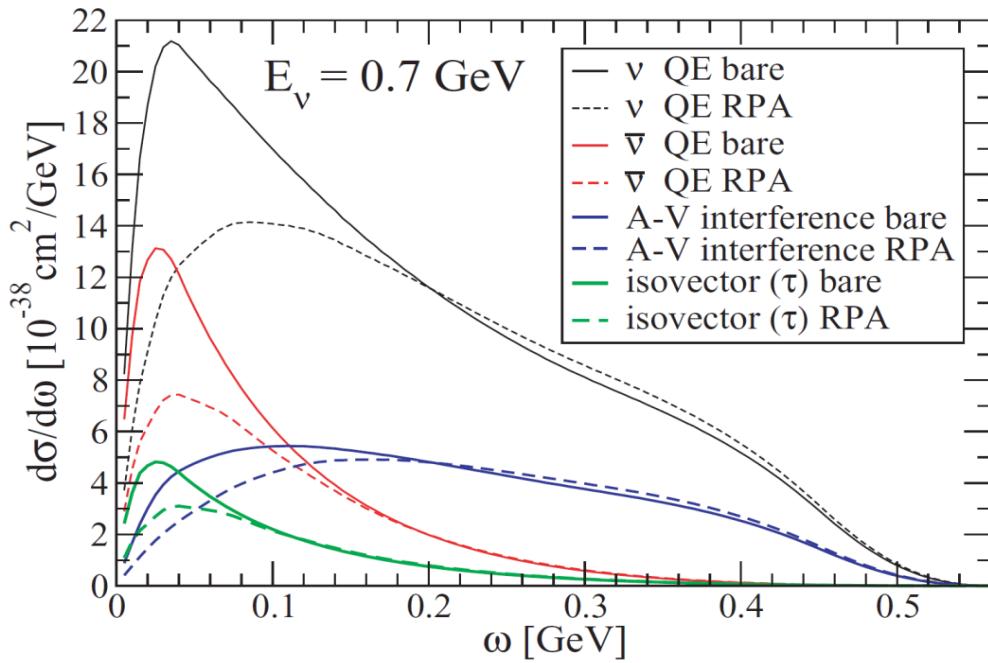
N.B. The experimental unfolding is model dependent

M. Martini, GDR Neutrino IPNL

$$G_{Mp}^{nuclear}(Q^2) = G_{Mp}(Q^2) \times \sqrt{1 + A Q^2 e^{-Q^2/B}}$$



# Various response contributions to the $\nu$ and $\bar{\nu}$ CCQE



The role of interference term (in  $G_A G_M$ ) is crucial:

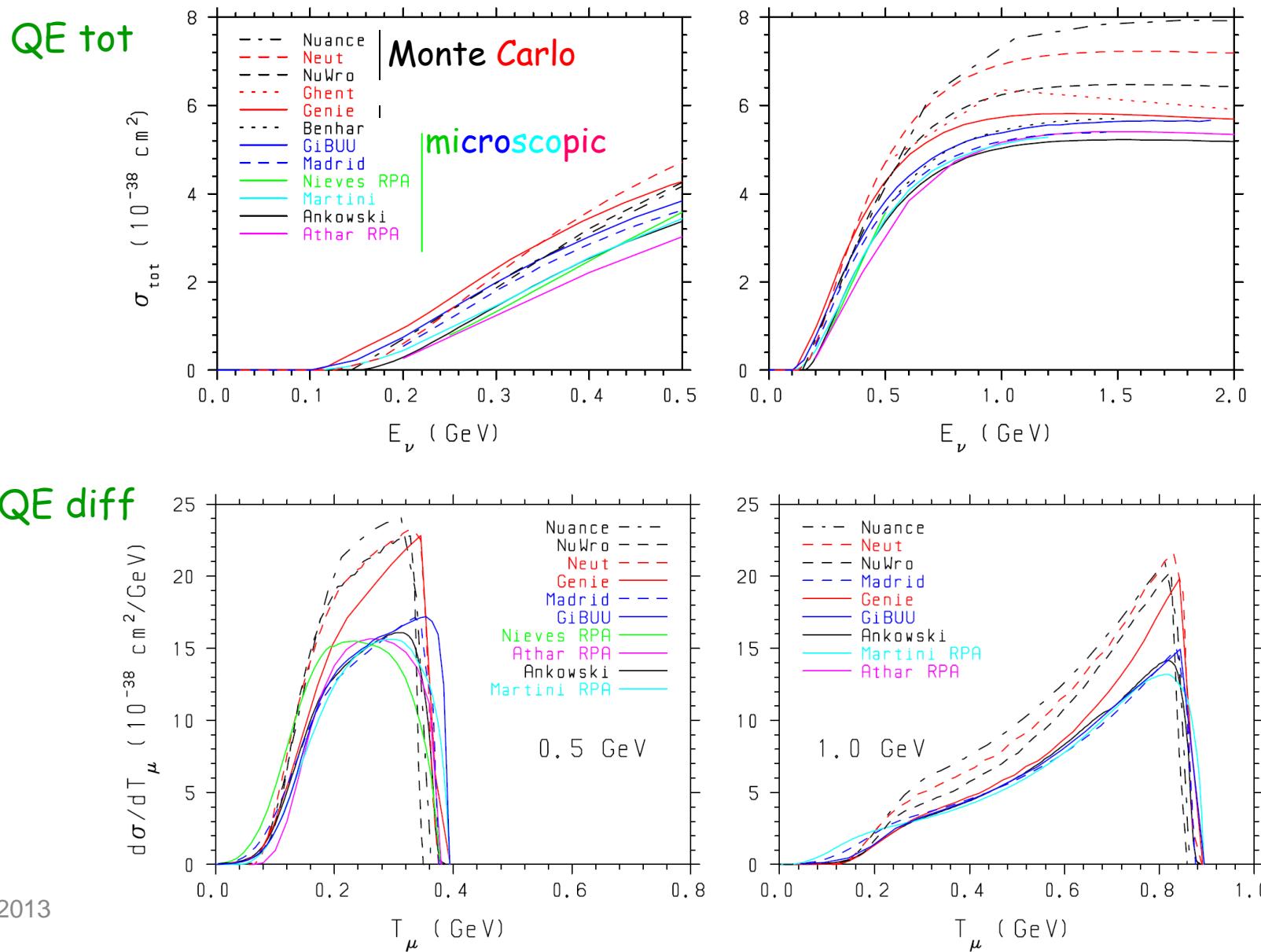
it enhances the contribution of  $R\sigma\tau(T)$  for neutrinos.

For antineutrinos instead the destructive interference partially suppresses this contribution leaving a larger role for isovector  $R\tau$  which is insensitive to 2p-2h.

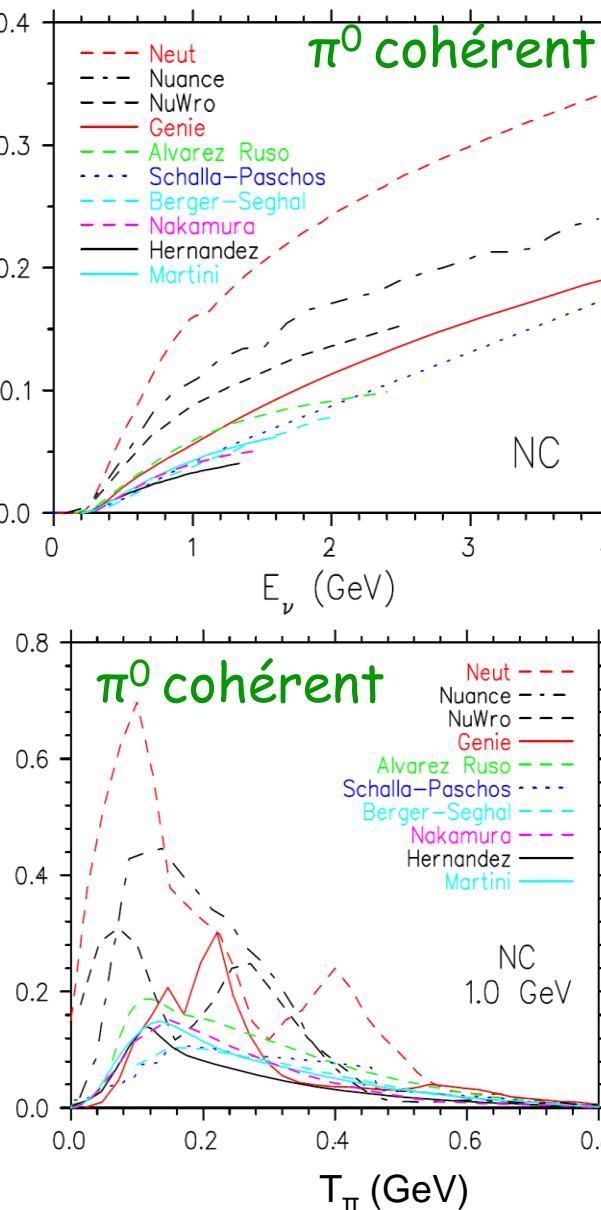
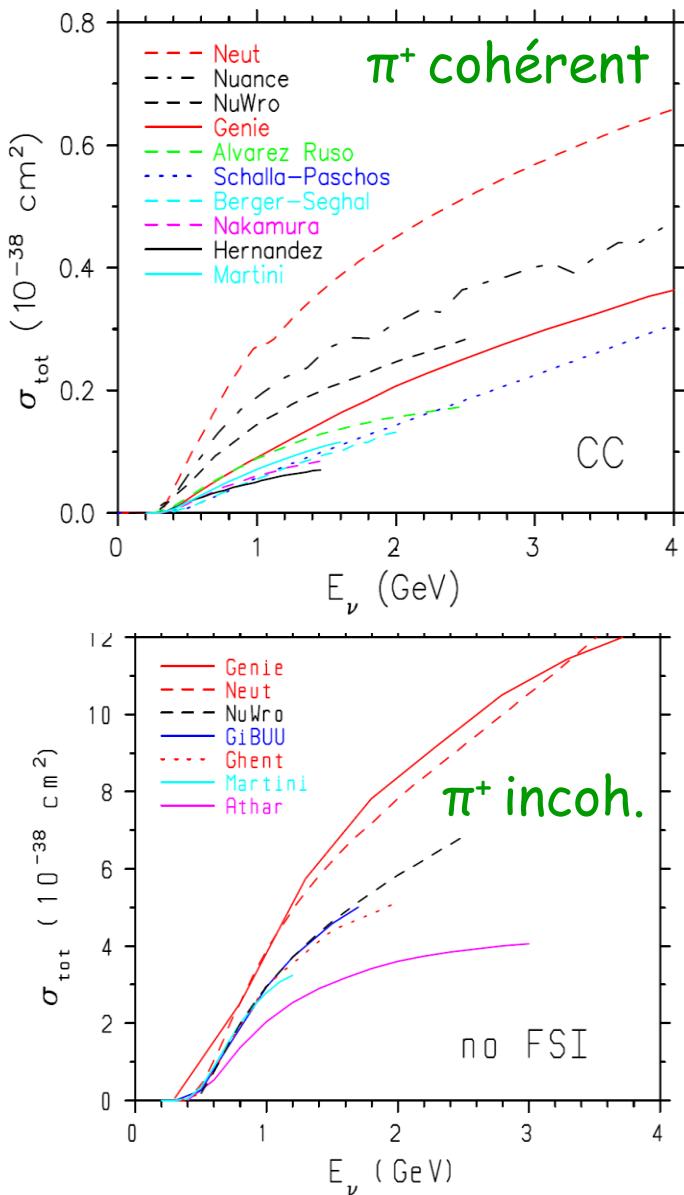
Hence the relative role of 2p-2h should be smaller for antineutrinos

# Comparison of Models of Neutrino-Nucleus Interactions

S. Boyd\*, S. Dytman<sup>†</sup>, E. Hernández\*\*, J. Sobczyk<sup>‡</sup> and R. Tacik<sup>§</sup>



# NUINT09

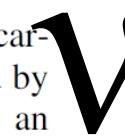


- Monte Carlo
- QE: Fermi Gas
- $\pi$  prod: Rein-Sehgal
- **Neut**: SuperKamiokande, K2K, T2K, SciBooNE
- **Nuance**: SuperKamiokande, MINOS, MiniBooNE
- **Genie**: T2K, MINOS, Minerva, NOvA, ArgoNEUT
- **NuWro**: Wroclaw theo. group

MC larger than microscopic models

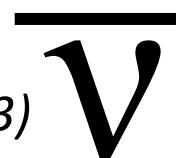
Experience from electron quasielastic scattering on carbon suggests that multibody final states are dominated by initial-state  $np$  pairs [24,43,44]. This could lead to an expectation of final state  $pp$  pairs in neutrino quasielastic scattering and  $nn$  pairs in the analogous antineutrino channel. The vertex energy measurement, shown in Fig. 5, is sensitive to these effects. These data prefer the addition of a final state proton with less than 225 MeV kinetic energy in  $25 \pm 1(\text{stat}) \pm 9(\text{syst})\%$  of the events. The corresponding result in the antineutrino mode [35], in contrast, prefers the removal of a final state proton in  $10 \pm 1(\text{stat}) \pm 7(\text{syst})\%$  of the events. The systematic uncertainties for

the two samples are positively correlated with a correlation coefficient of +0.7, implying that the observed difference is unlikely to be due to one of the systematic uncertainties considered. The systematic uncertainties are primarily from the detector response to protons and uncertainties in reactions in the target nucleus that absorb or create final state protons. Independent of models, elastic and inelastic nucleon reactions which might produce additional final state protons in the neutrino data should have analogous reactions in the antineutrino data, and the difference in the two results makes it unlikely that any modification of final state nucleon interactions can explain the discrepancy. Pion final state interactions (FSI), especially absorption, would produce more protons in the neutrino reaction and neutrons in the antineutrino reaction, but the associated uncertainties are included in the total systematic errors. The observed patterns in the neutrino and antineutrino channels, combined with the observation that electron quasielastic scattering with multinucleon final states in carbon produces primarily final state  $np$  pairs, suggests that an initial state of strongly correlated  $np$  pairs also may participate in the neutrino quasielastic interaction.



PRL 111 022502 (2013)

MINERvA



PRL 111 022501 (2013)

Transverse enhancement is included as a parametrization affecting the  $Q_{\text{QE}}^2$  dependence in our analysis but is thought to be due to underlying multinucleon dynamical processes [57–63]. Such processes could have an effect on the vertex and recoil energy distributions that we do not simulate. Motivated by these concerns and by discrepancies observed in our analysis of  $\nu_\mu$  quasielastic scattering [64], we have also studied the vertex energy to test the simulation of the number of low energy charged particles emitted in quasielastic interactions. Figure 5 shows this energy compared to the simulation. A fit which modifies the distributions to incorporate energy due to additional protons is not able to achieve better agreement. This might be explained if the dominant multibody process is  $\bar{\nu}_\mu(np) \rightarrow \mu^+ nn$  [57,60,65] since MINERvA is not very sensitive to low energy neutrons. A similar analysis on neutrino mode data is consistent with additional protons in the final state [64].

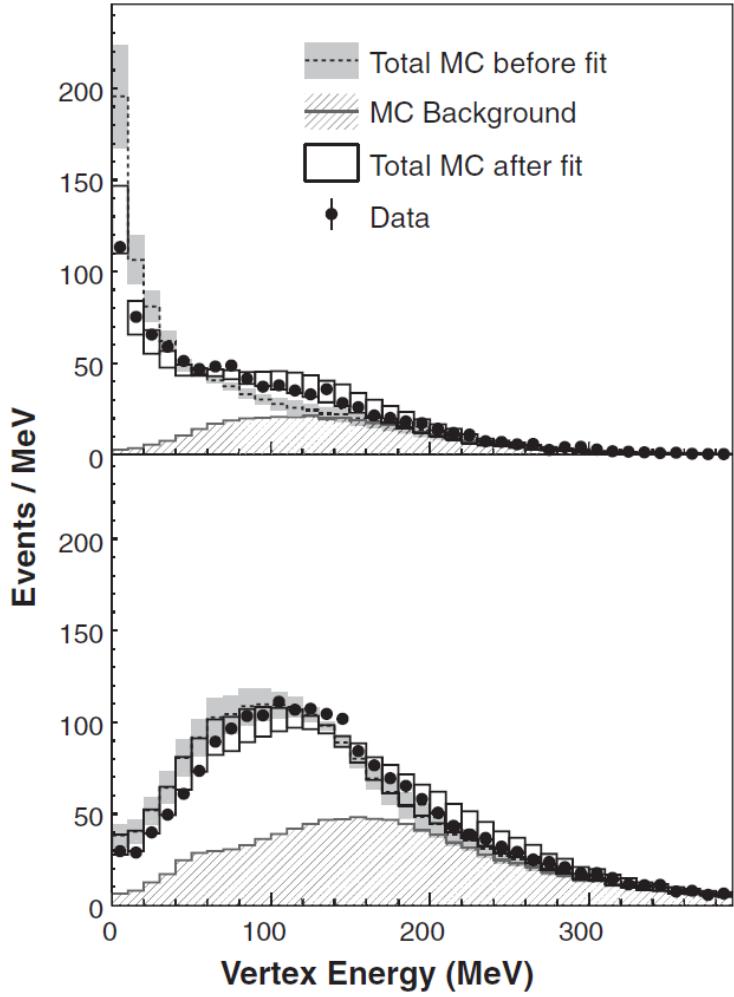


FIG. 5. Reconstructed vertex energy of events passing the selection criteria in the data (points with statistical errors) compared to the GENIE RFG model (shown with systematic errors) for  $Q_{QE}^2 < 0.2 \text{ GeV}^2/c^2$  (top) and for  $Q_{QE}^2 > 0.2 \text{ GeV}^2/c^2$  (bottom).

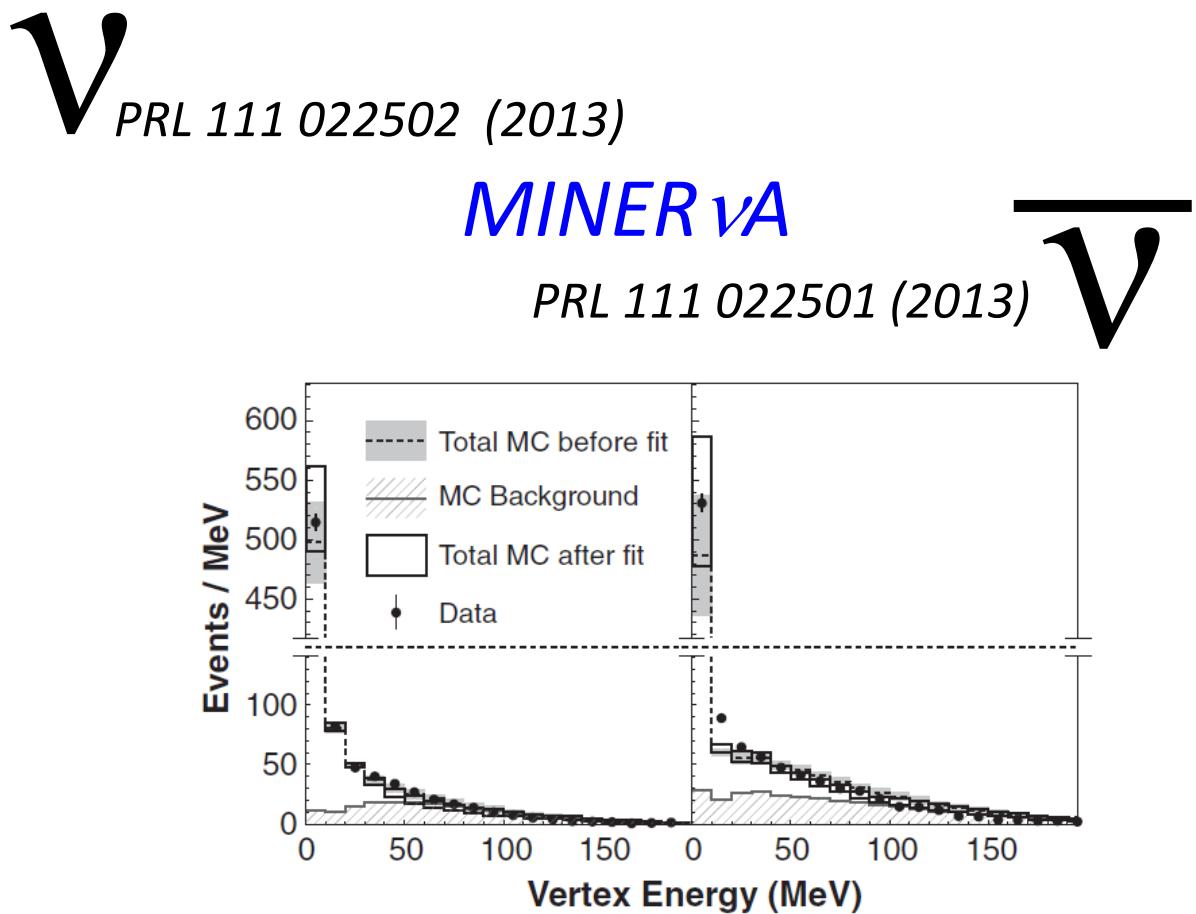
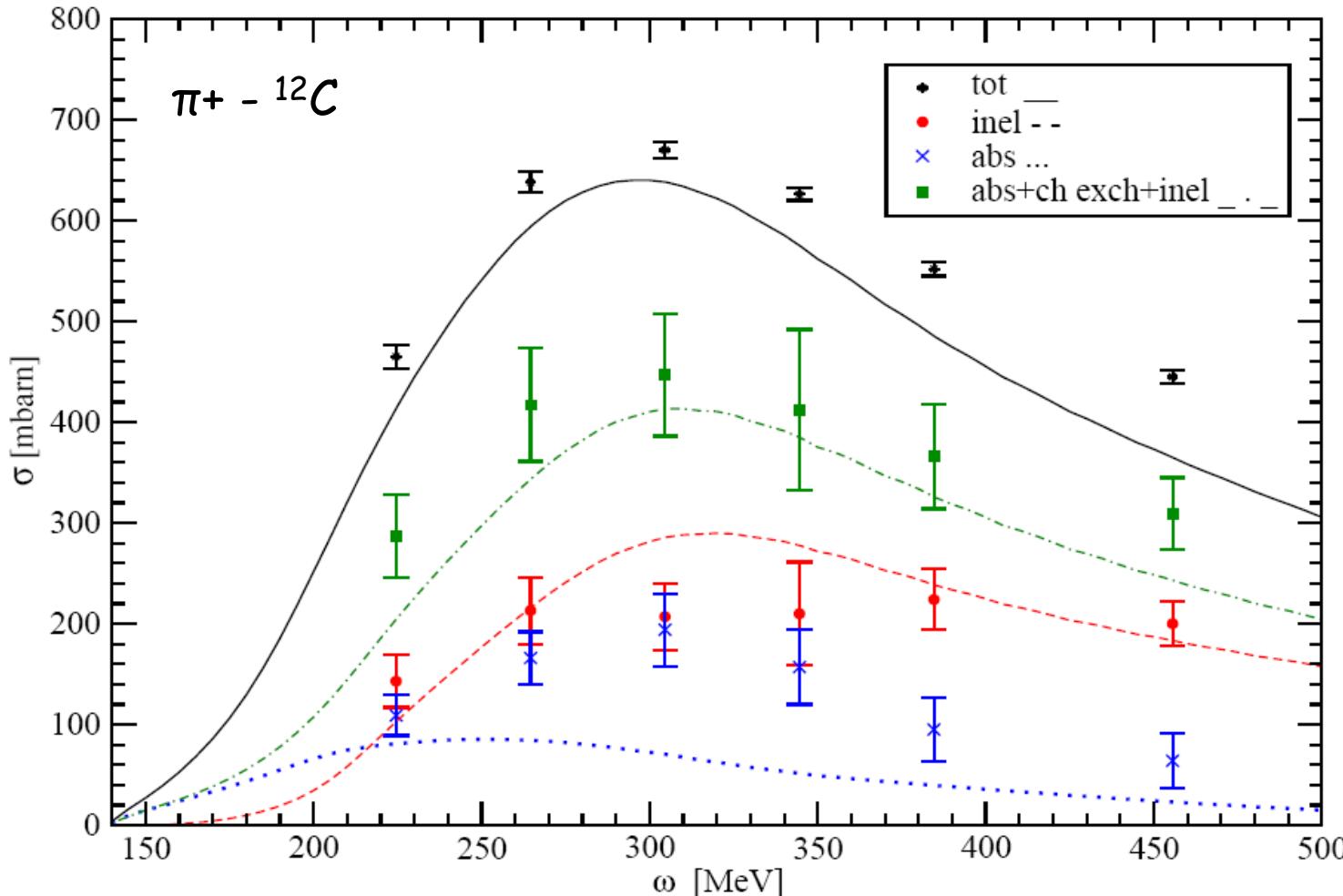


FIG. 5. Reconstructed vertex energy of events passing the selection criteria compared to the GENIE RFG model for  $Q_{QE}^2 < 0.2 \text{ GeV}^2/c^2$  (left) and for  $Q_{QE}^2 > 0.2 \text{ GeV}^2/c^2$  (right).

# Comparison with $\nu$ data pion production

# Testing our model: pion-nucleus cross-section

$$\sigma^{tot}(\omega) = \left( \frac{g_r}{2M_N} \right)^2 \pi q_\pi R_L(\omega, q_\pi)$$

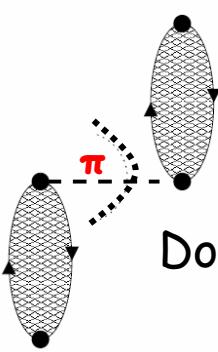


Overestimation of  
inelastic ch. in the  
peak region

Underestimation of  
absorption

Absence of  $\pi$  FSI

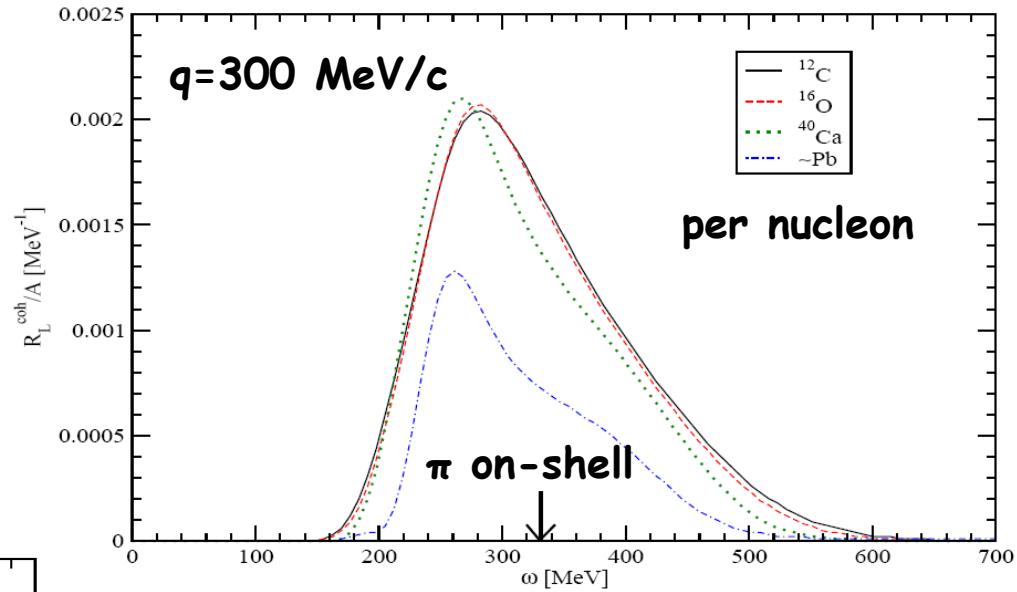
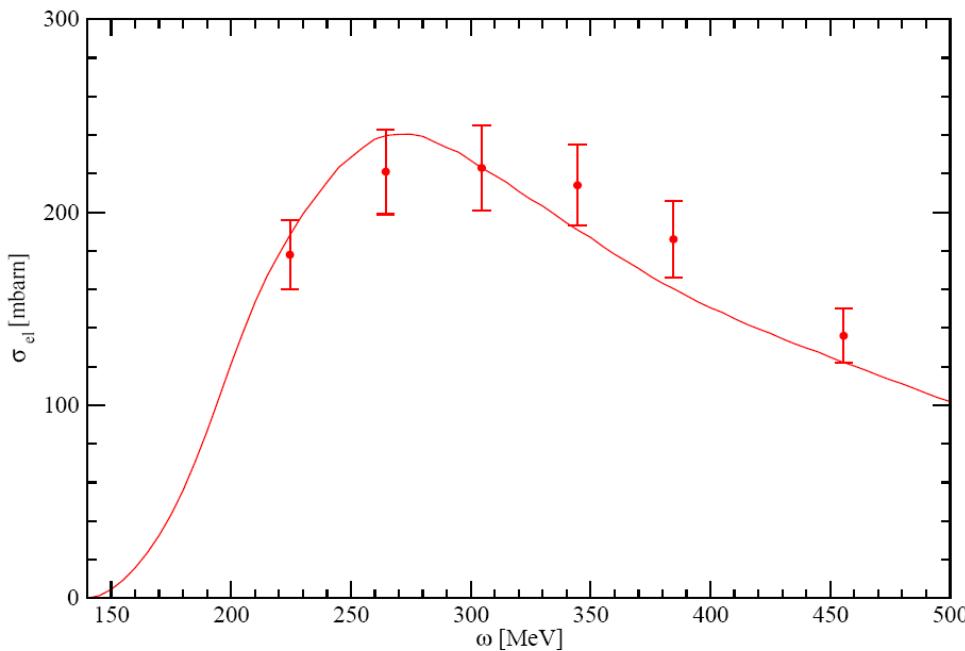
# Coherent channel



Dominated by  $R_{\sigma\tau}$  longitudinal

Reshaped by collective effects

Softening of the responses



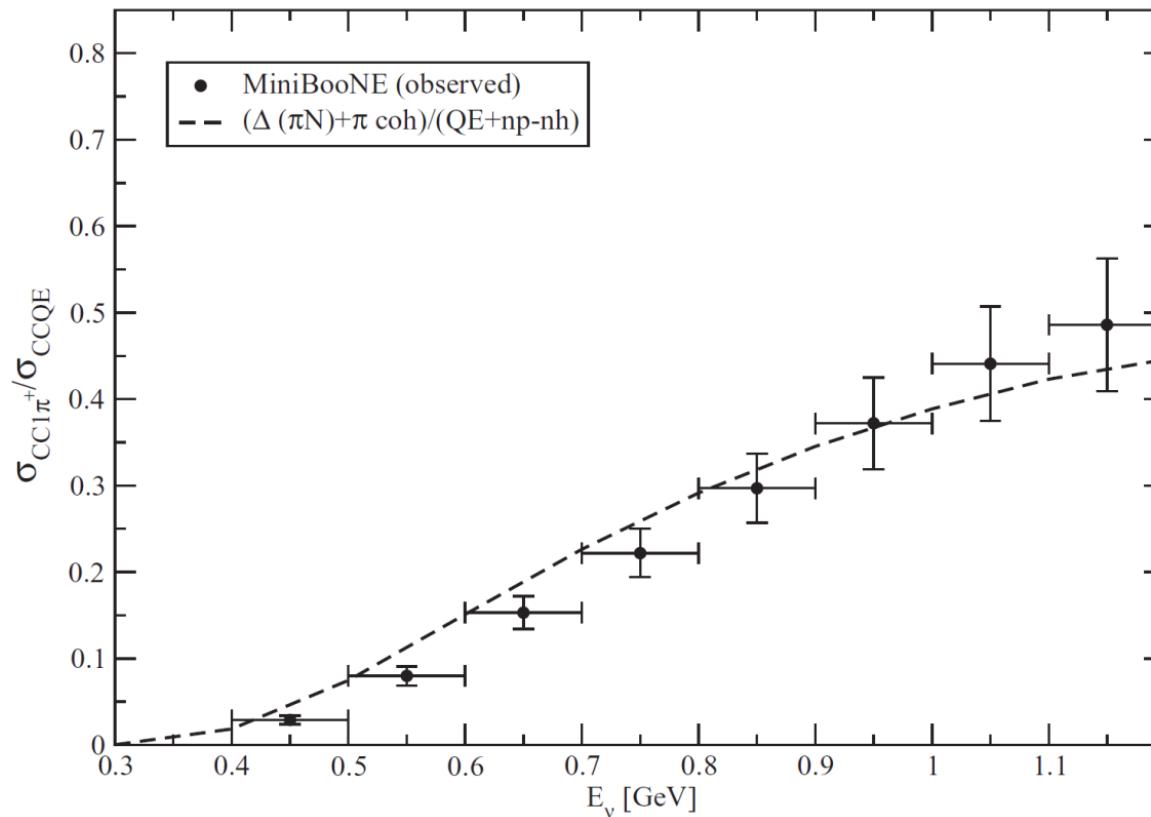
Test:  $\pi - {}^{12}C$  elastic cross-section

$$\sigma^{elas}(\omega) = \left( \frac{g_r}{2M_N} \right)^2 \pi q_\pi R_L^{coh}(\omega, q_\pi)$$

$$q_\pi^2 = \omega^2 - m_\pi^2$$

# Charged current total $1\pi^+$ production over QE ratio

MiniBooNE, Phys. Rev. Lett. 103, 081801 (2009)



In our model  $\pi$  FSI are not included;  
a reduction of  $\sim 15\%$  is expected

# NC $\pi^0$ production cross sections

Total cross section	MiniBooNE PRD 81, 013005 2010 $\sigma$ [ $10^{-40} \text{ cm}^2/\text{nucleon}$ ]	Our model $\sigma$ [ $10^{-40} \text{ cm}^2/\text{nucleon}$ ]
$\nu$ @ 808 MeV	$4.76 \pm 0.05 \text{ st} \pm 0.76 \text{ sy}$	5.42
$\bar{\nu}$ @ 664 MeV	$1.48 \pm 0.05 \text{ st} \pm 0.23 \text{ sy}$	1.37
	Incoherent exclusive NC $1\pi^0$ corrected for FSI effects	Our model
$\nu$ @ 808 MeV	$5.71 \pm 0.08 \text{ st} \pm 1.45 \text{ sy}$	5.14
$\bar{\nu}$ @ 664 MeV	$1.28 \pm 0.07 \text{ st} \pm 0.35 \text{ sy}$	1.17
$\pi^0$ total NC/ $\sigma$ total CC	SciBooNE PRD 81 033004 '10	Our model
$\nu$ @ 1.1 GeV	$(7.7 \pm 0.5 \text{ st} \pm 0.5 \text{ sy}) 10^{-2}$	$7.9 10^{-2}$ (without np-nh: 9.8)

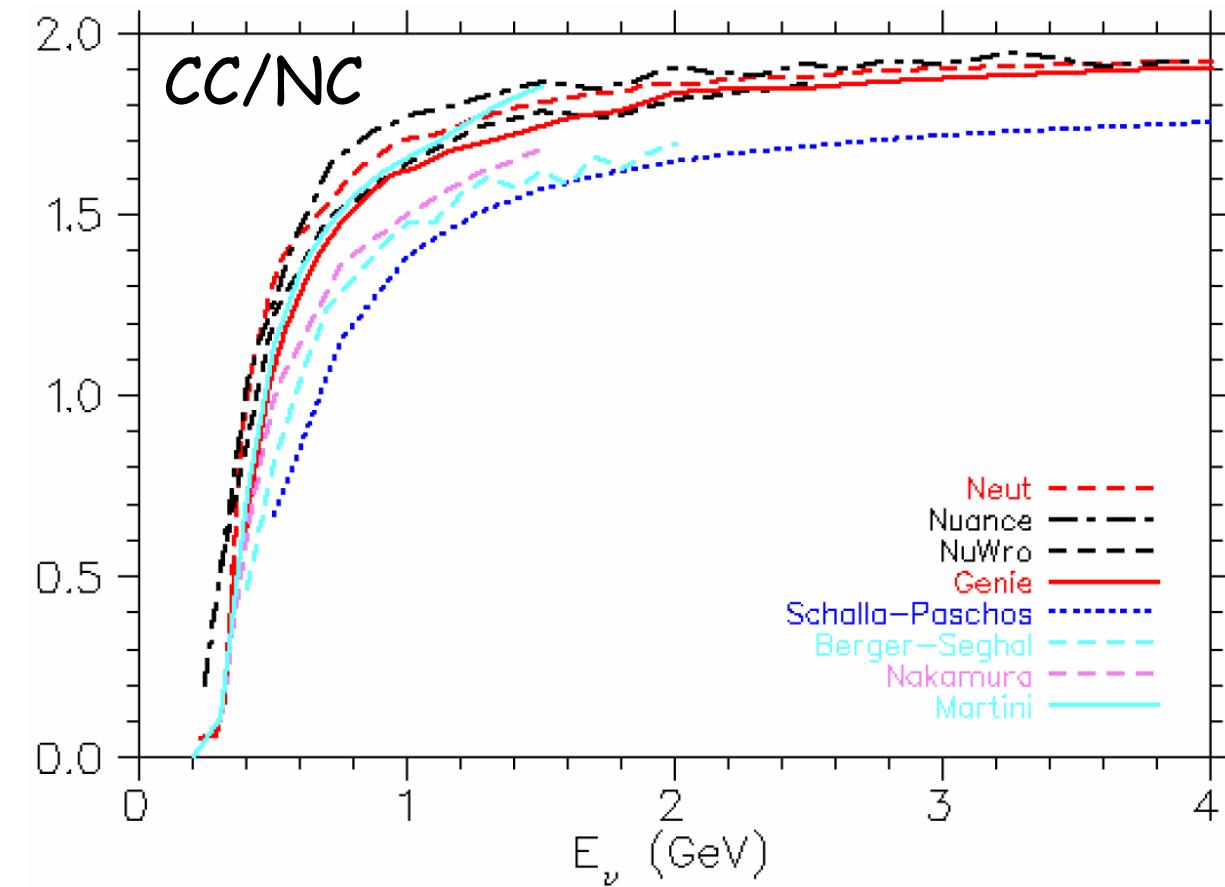
# Coherent pion production

Ratio of $\sigma$	Experiment	Our model
$\pi^+$ coherent CC/ $\sigma$ total CC	SciBooNE u.l. @ $E_\nu$ 1.1 GeV $0.67 \cdot 10^{-2}$ PRD 78 112004 '08	$0.71 \cdot 10^{-2}$ (without np-nh: 0.89)
$\pi^0$ coherent NC/ $\pi^0$ total NC	MiniBooNE $(19.5 \pm 1.1 \pm 2.5) \cdot 10^{-2}$ Phys. Lett. B 664, 41 '08	$6 \cdot 10^{-2}$
$\pi^0$ coherent NC/ $\sigma$ total CC	SciBooNE $(0.7 \pm 0.4) \cdot 10^{-2}$ PRD 81 033004 '10	$0.4 \cdot 10^{-2}$ (without np-nh: 0.5)
$\pi^+$ coherent CC/ $\pi^0$ coherent NC	SciBooNE $0.14^{+0.30}_{-0.28}$ PRD 81 111102 '10	1.5

coherent puzzle

# Coherent puzzle

Boyd S. et al. AIP Conf. Proc. 1189 60 (2009)



SciBooNE:

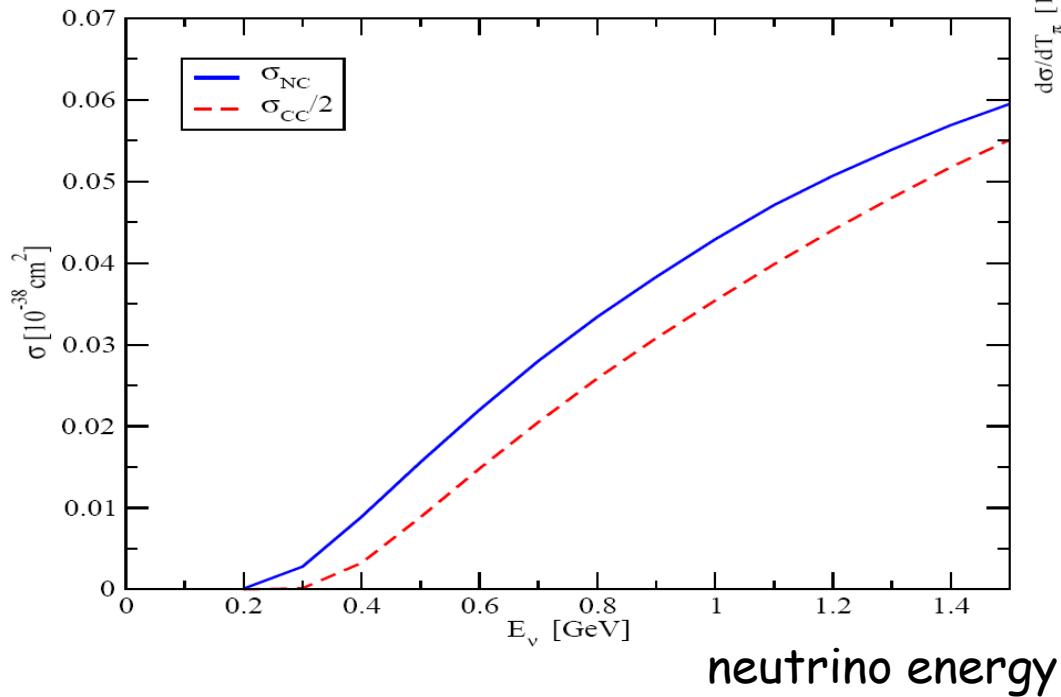
$$\frac{\pi^+ \text{ coh. CC}}{\pi^0 \text{ coh. NC}} = 0.14^{+0.30}_{-0.28}$$

Theoretical models:

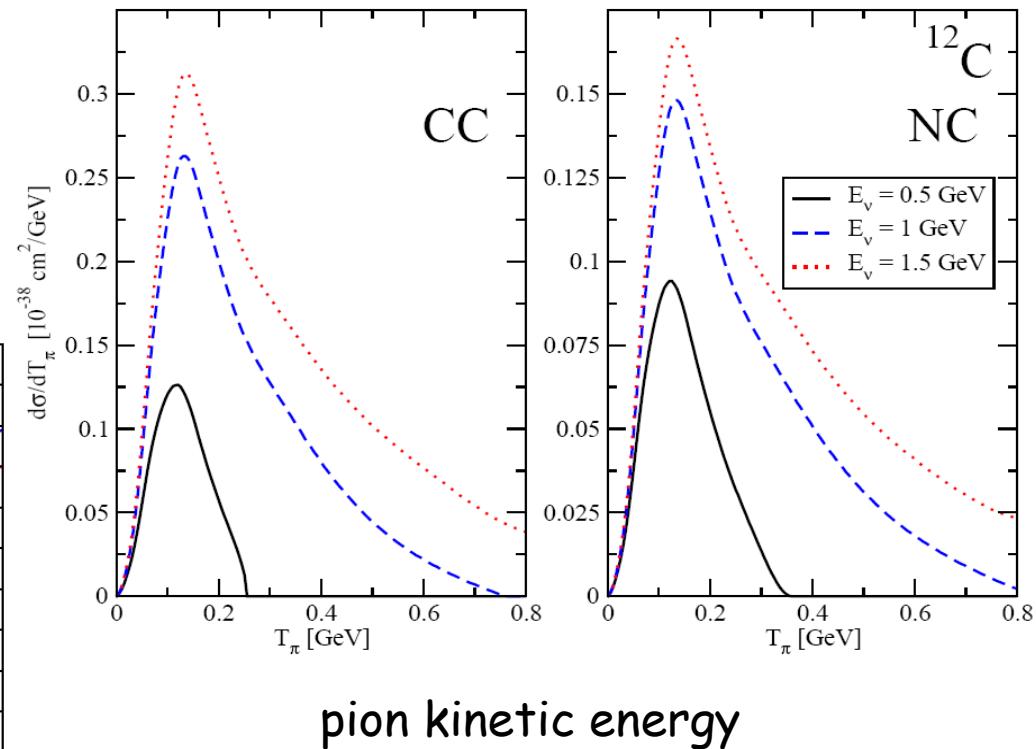
$$\frac{\pi^+ \text{ coh. CC}}{\pi^0 \text{ coh. NC}} = 1.5 \sim 2 !!$$

# $V_\mu$ induced coherent pion production off $^{12}C$

Total cross section

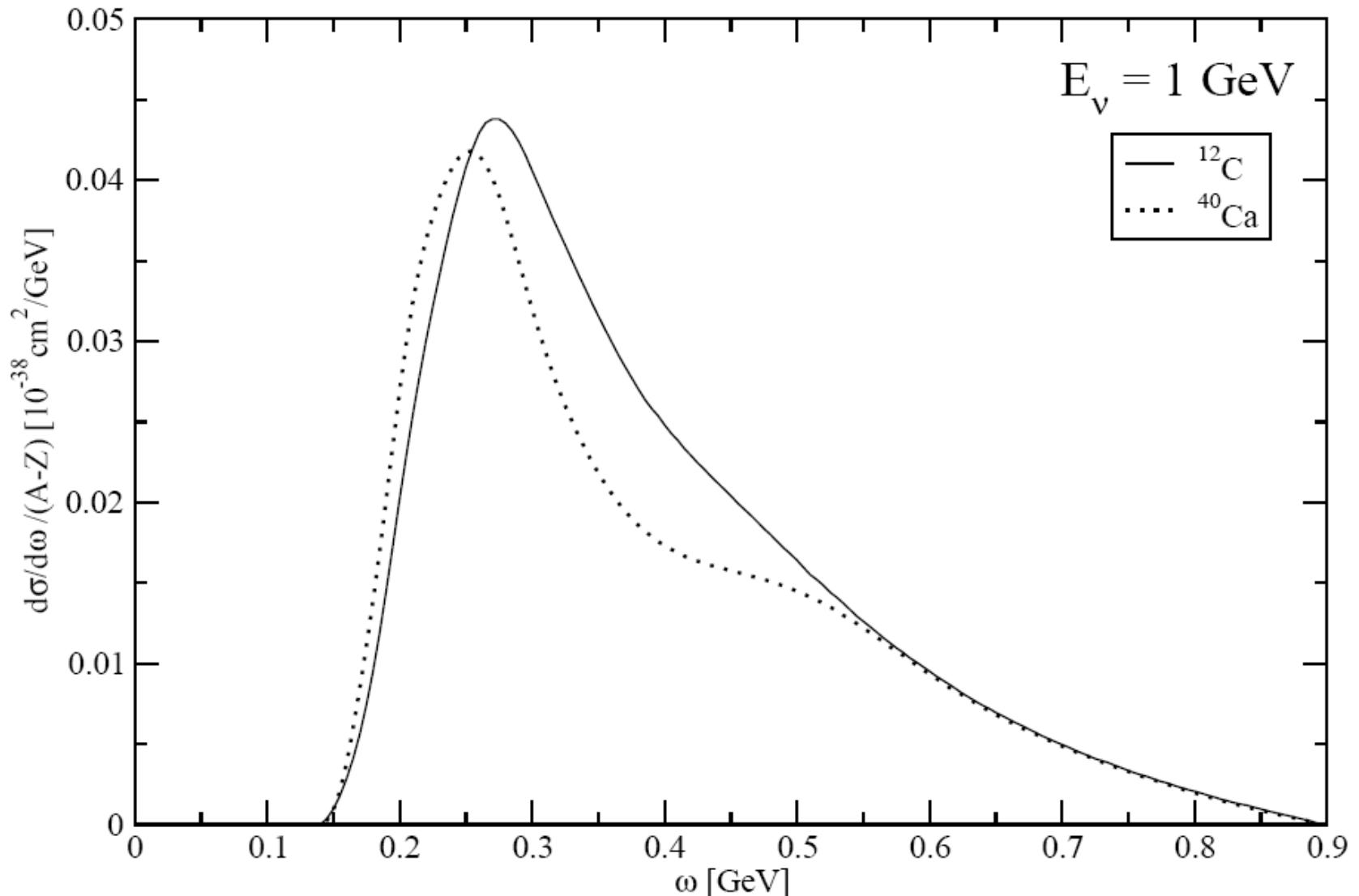


Differential cross section

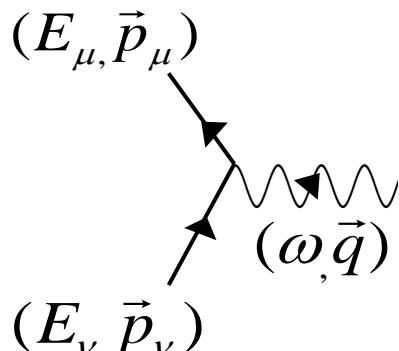
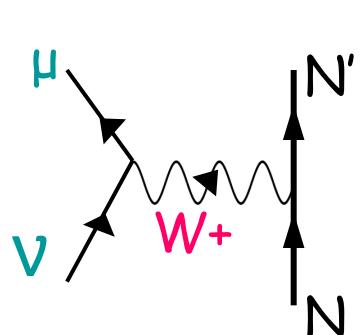


neutrino energy

# $V_\mu$ induced coherent pion production



# QE Scattering with free nucleon at rest: two-body kinematics



$$\omega = E_\nu - E_\mu$$

$$q^2 = E_\nu^2 + p_\mu^2 - 2E_\nu p_\mu \cos\theta$$

$$q^2 - \omega^2 = 4(E_\mu + \omega)E_\mu \sin^2 \frac{\theta}{2} - m_\mu^2 + 2(E_\mu + \omega)(E_\mu - p_\mu) \cos\theta$$

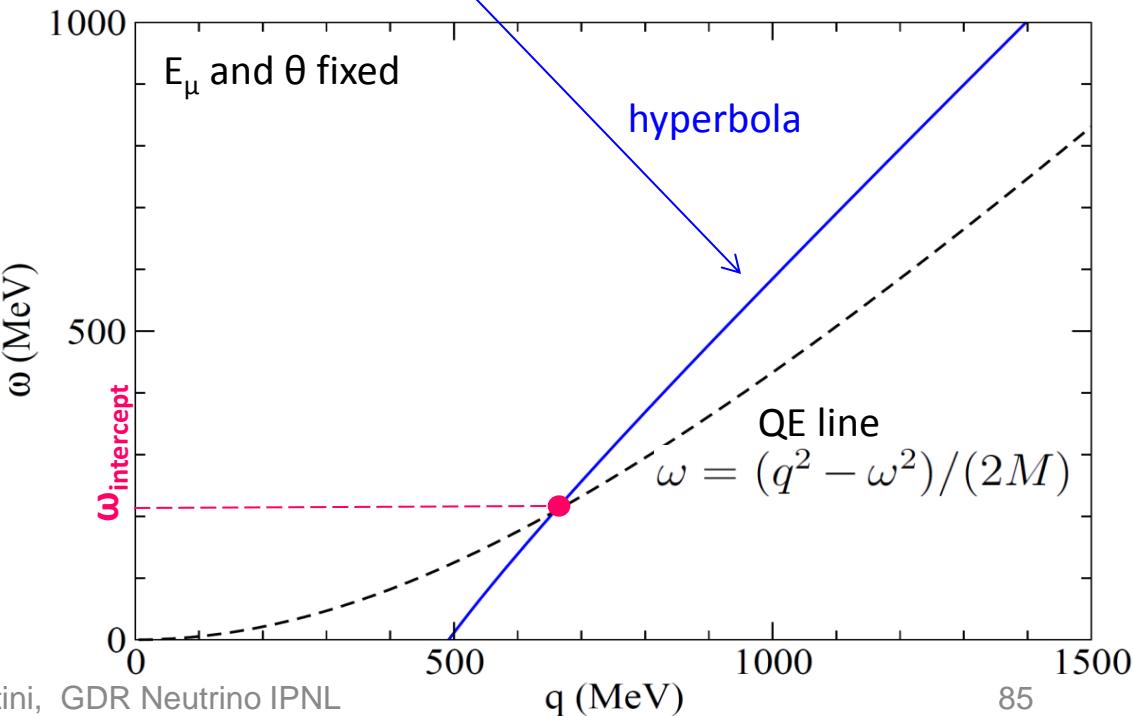
The nuclear response function is proportional to the delta distribution

$$\delta\left[\omega - \left(\sqrt{q^2 + M^2} - M\right)\right]$$

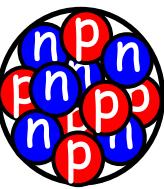
The intercept of the **hyperbola** with the **QE line** fixes the possible  $\omega$  and  $q$  values for given  $E_\mu$  and  $\theta$ .

Hence the neutrino energy is determined

$$E_\nu = E_\mu + \omega_{\text{intercept}}$$

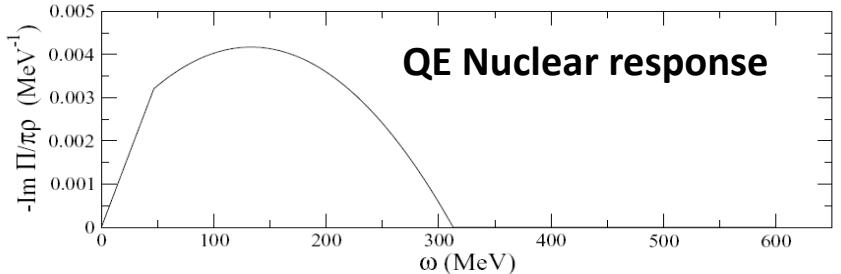


# QE Scattering with nucleons inside the nucleus



$q < 2 k_F$

1 particle- 1 hole (p-h) excitation

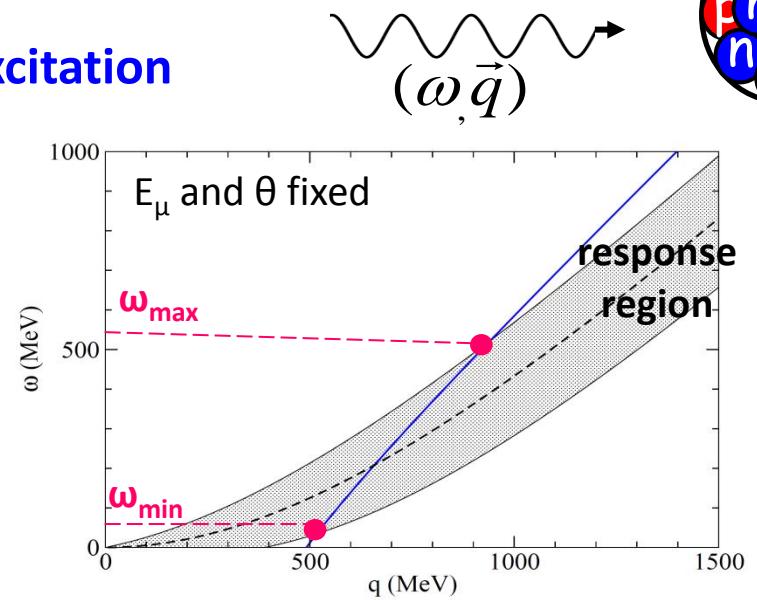
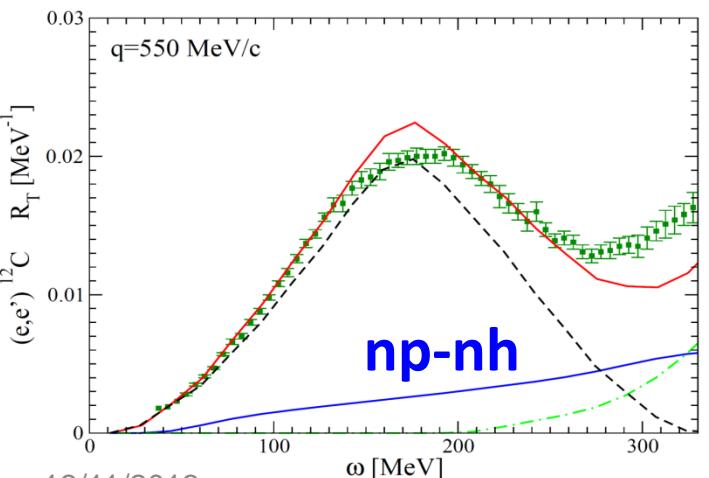


Fermi motion spreads  $\delta$  distribution (Fermi Gas)

Pauli blocking cuts part of the low momentum nuclear response

RPA collective effects

np-nh excitations



Broadening of the neutrino energy

$$E_\nu = E_\mu + (\omega_{\min} \leq \omega \leq \omega_{\max})$$

- np-nh creates a high energy tail above the QE peak
- np-nh enlarges the region of response to the whole  $(\omega, q)$  plane

no reason to fulfill the QE relation for  $E_\nu$  reconstruction

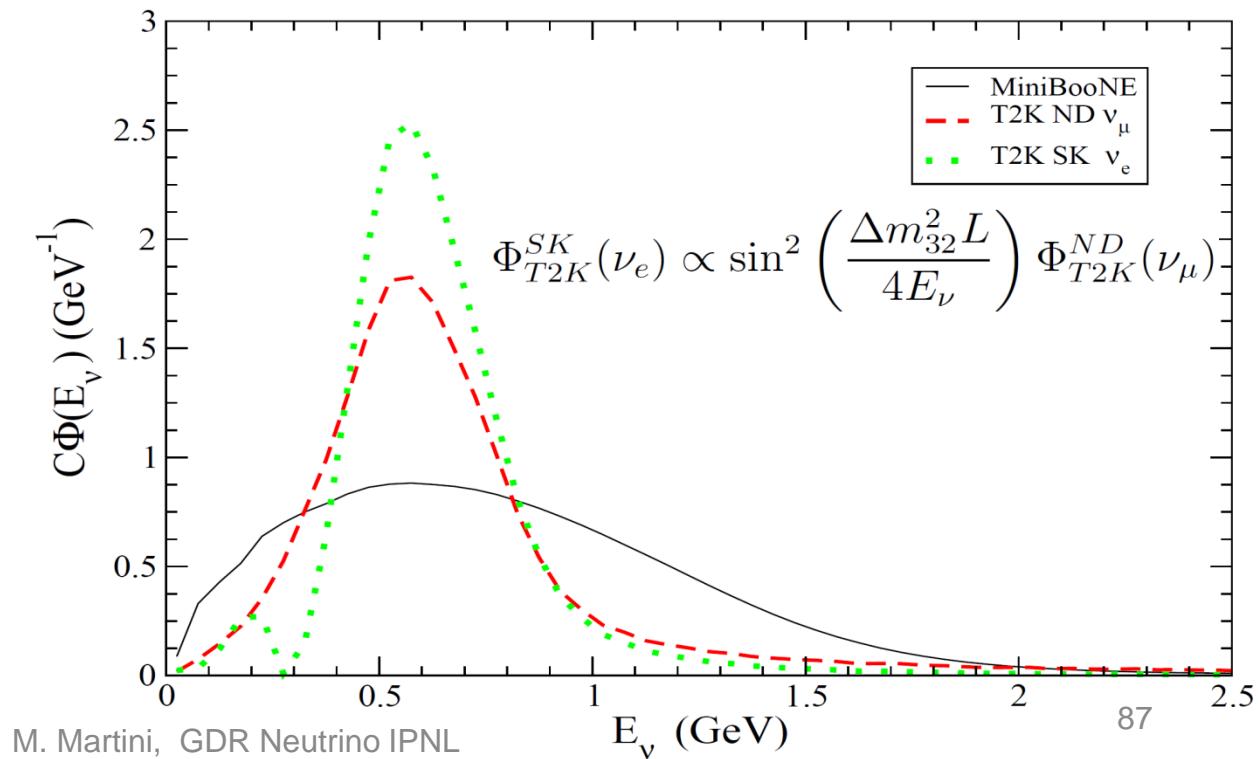
# A. Neutrino energy distributions with fixed muon variables ( $E_\mu$ and $\theta$ )

$$f(E_\nu, E_\mu, \theta) dE_\nu = C \left[ \frac{d^2\sigma}{d\omega \ d\cos\theta} \right]_{\omega=E_\nu-E_\mu} \Phi(E_\nu) dE_\nu$$

**Neutrino flux**  
3 cases for example

**Neutrino-nucleus double  
differential cross section**

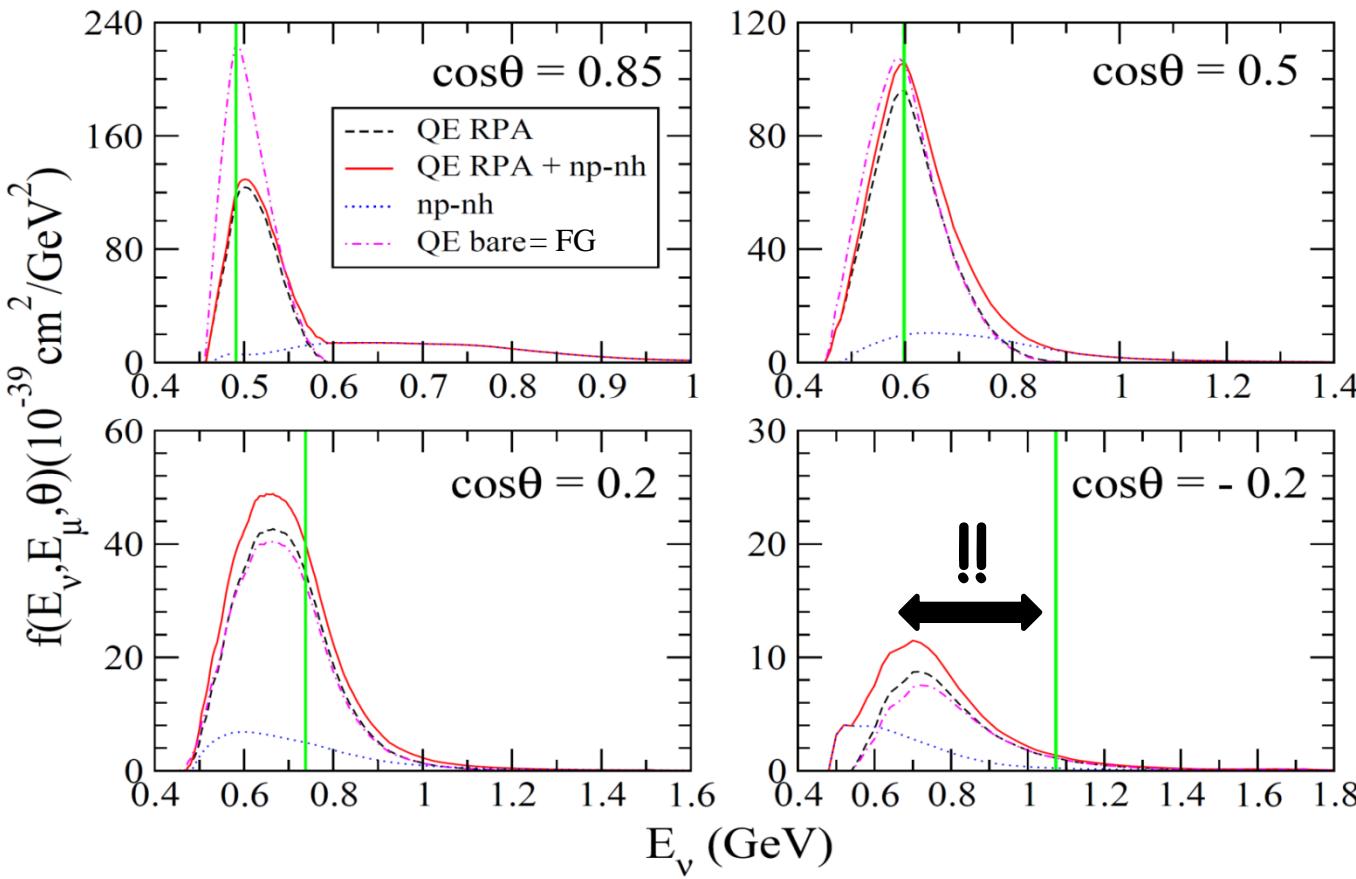
- Main ingredient of this calculation
- We consider our theoretical model which was quite successful in the reproduction of MiniBooNE QE data



# Neutrino energy distributions

Given  $E_\mu$  and  $\theta \rightarrow$  Unique  $\bar{E}_\nu$  reconstructed value (**vertical green line**) but a broadening of the true neutrino energy due to nuclear effects (Fermi motion, RPA, np-nh)

$T_\mu = 0.35$  GeV with T2K ND flux



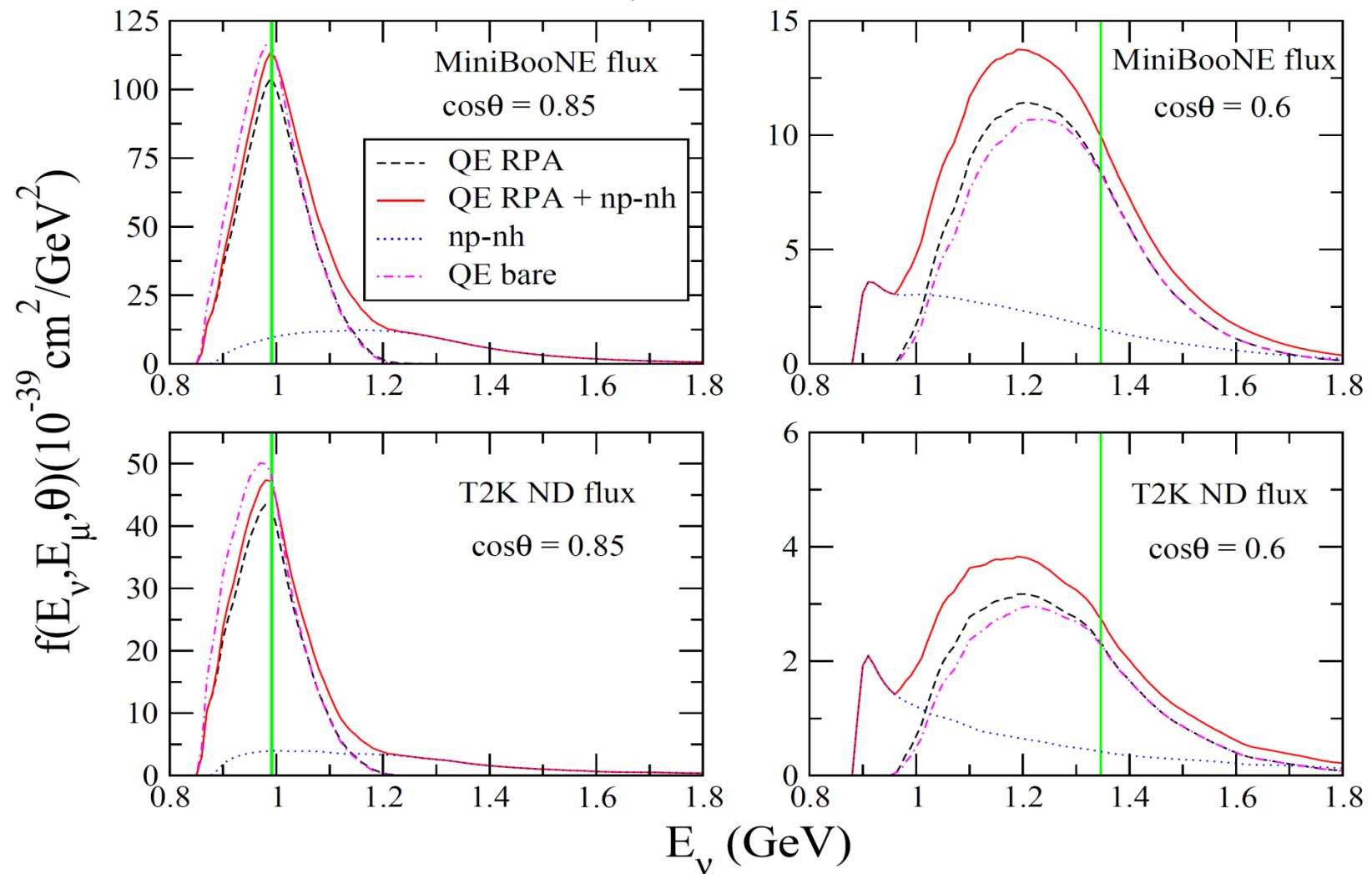
**Sizeable deviations from the reconstructed energy**

Hardening or softening depending on  $\theta$

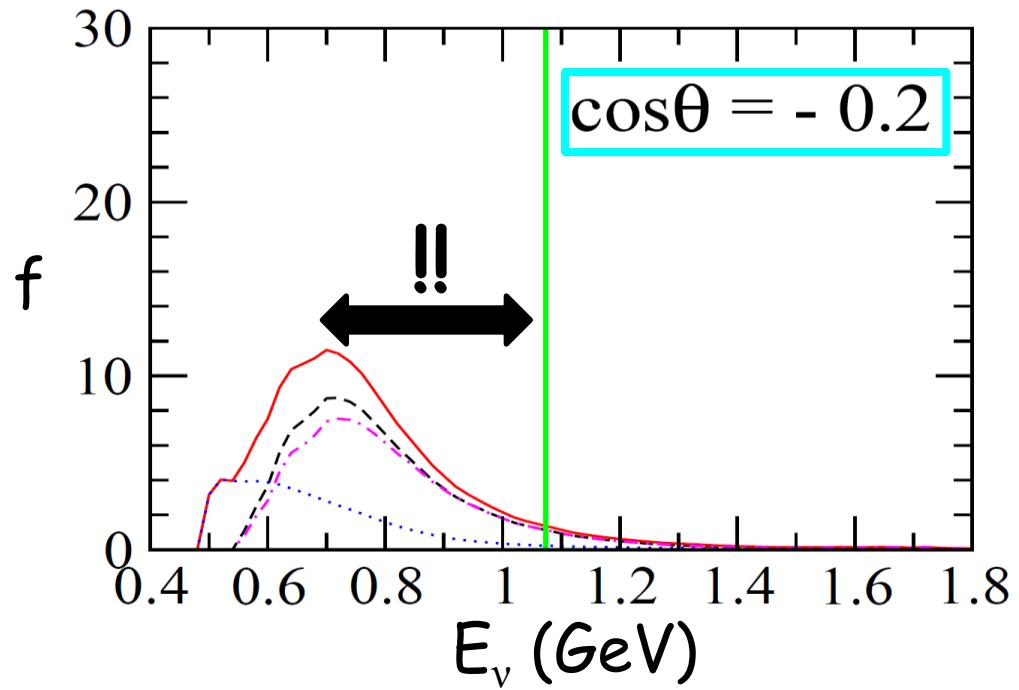
Tails created by the np-nh contribution

# Neutrino energy distributions with fixed $E_\mu$ and $\theta$

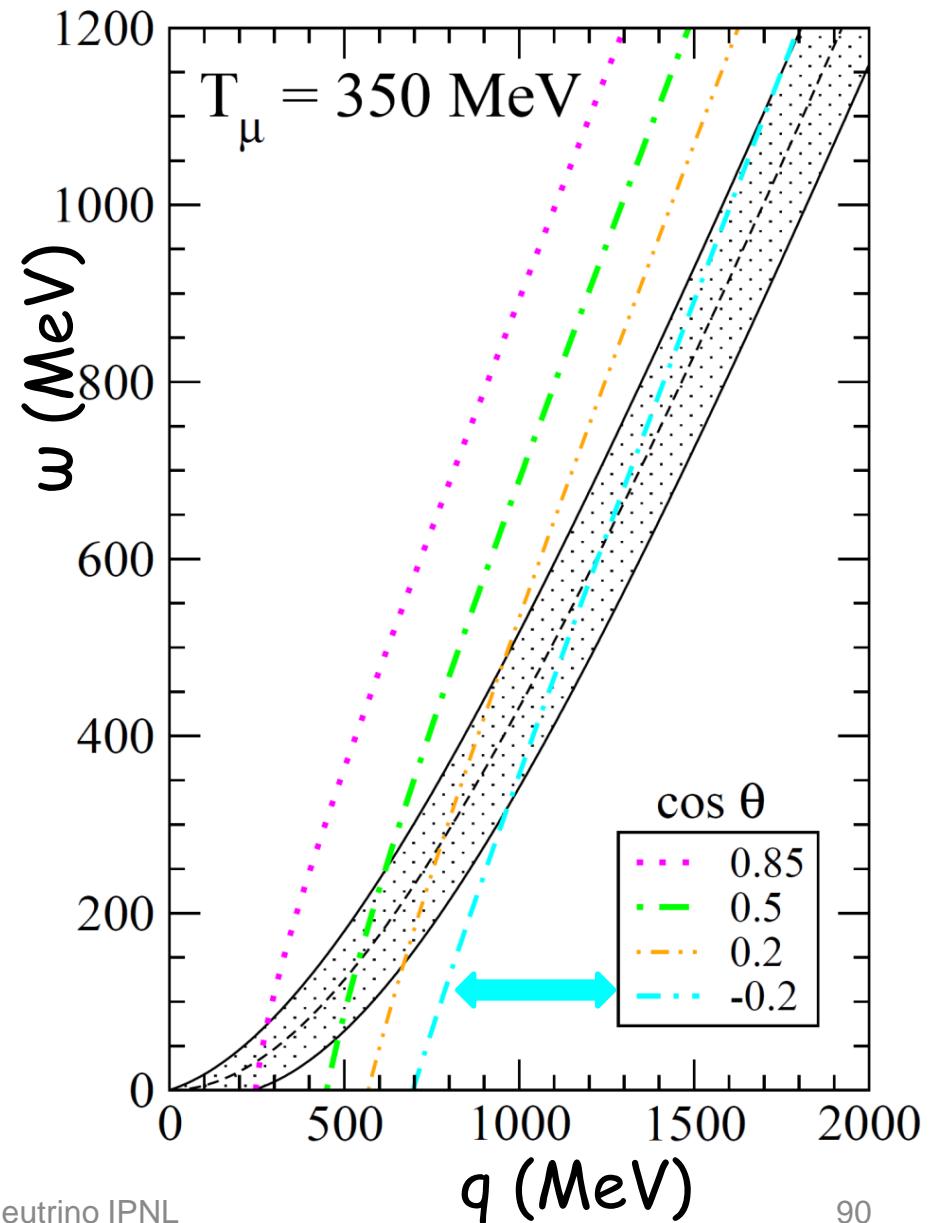
$T_\mu = 0.75 \text{ GeV}$



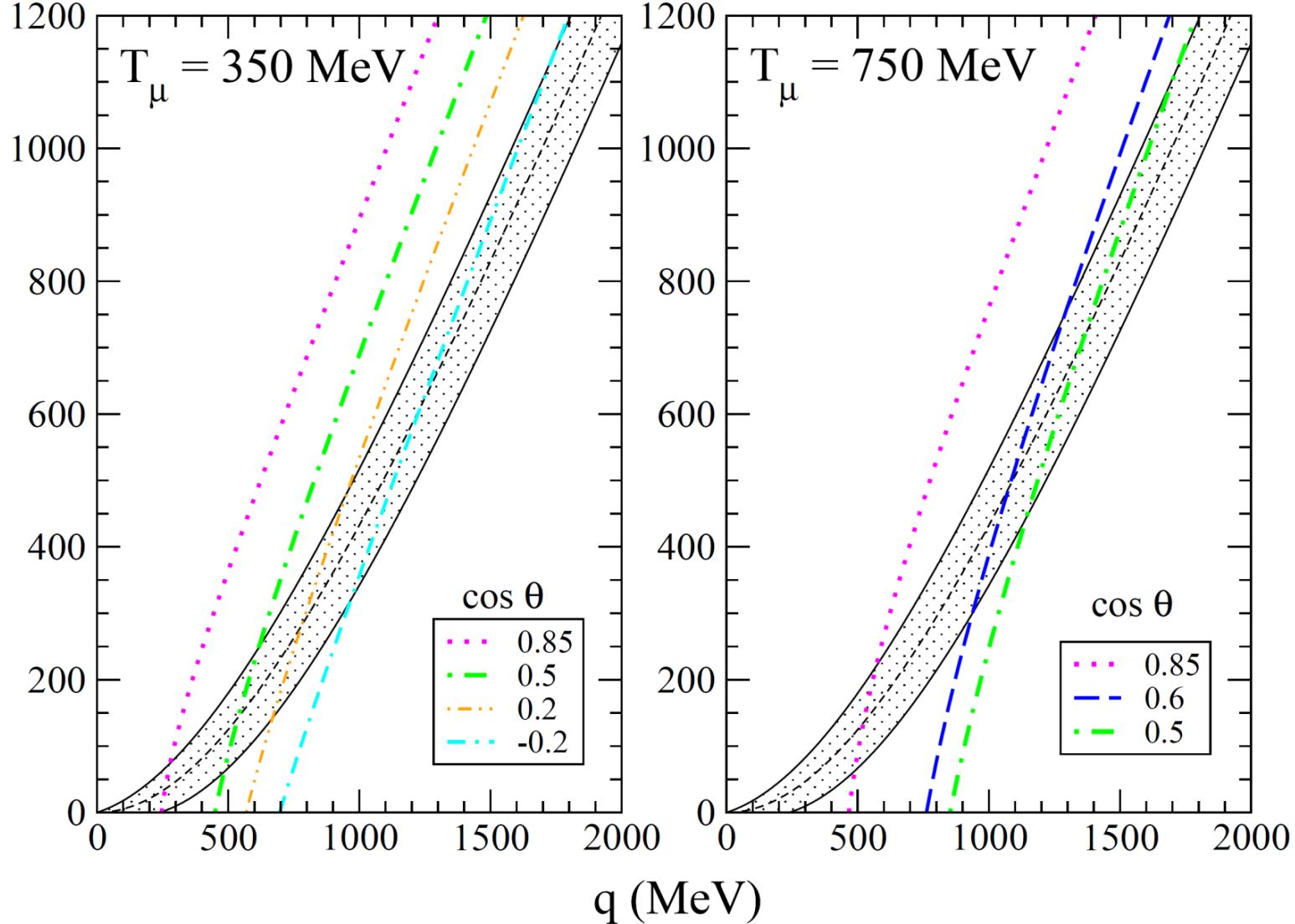
# Remember: response region and hyperbolas



At large muon angle the portion of hyperbola inside the quasielastic region is very large



# Response region and hyperbolas for several $T_\mu$ and $\theta$



With increasing muon angle the portion of hyperbola  
inside the quasielastic region become very large

## B. Neutrino energy distributions with no specification of lepton observables

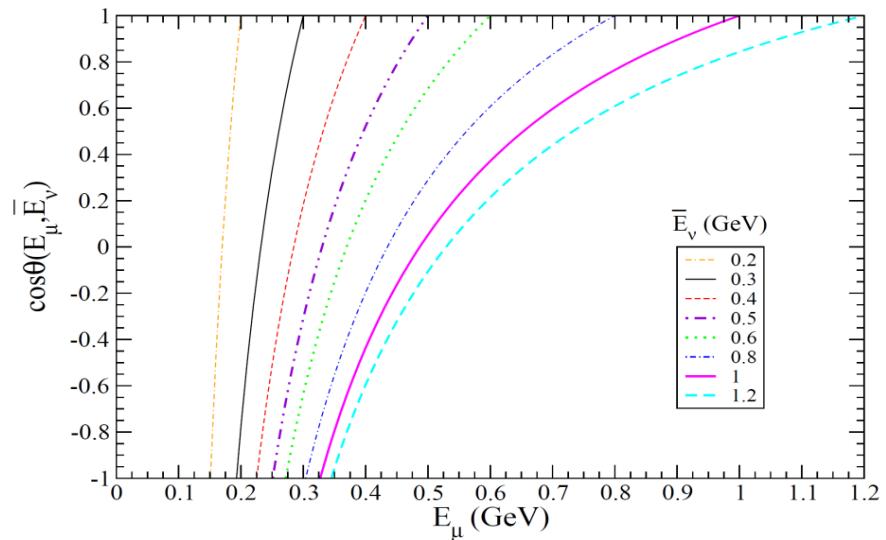
Neutrino reconstructed energy  $\bar{E}_\nu$  is fixed

Many couples of  $E_\mu$  and  $\theta$  can lead to the same  $\bar{E}_\nu$

One has to sum over these couples

$$\overline{E_\nu} P_\mu \cos \theta + M(\overline{E_\nu} - E_\mu) - \overline{E_\nu} E_\mu + m_\mu^2/2 = 0 \leftrightarrow \overline{E_\nu} = \frac{E_\mu - m_\mu^2/(2M)}{1 - (E_\mu - P_\mu \cos \theta)/M}$$

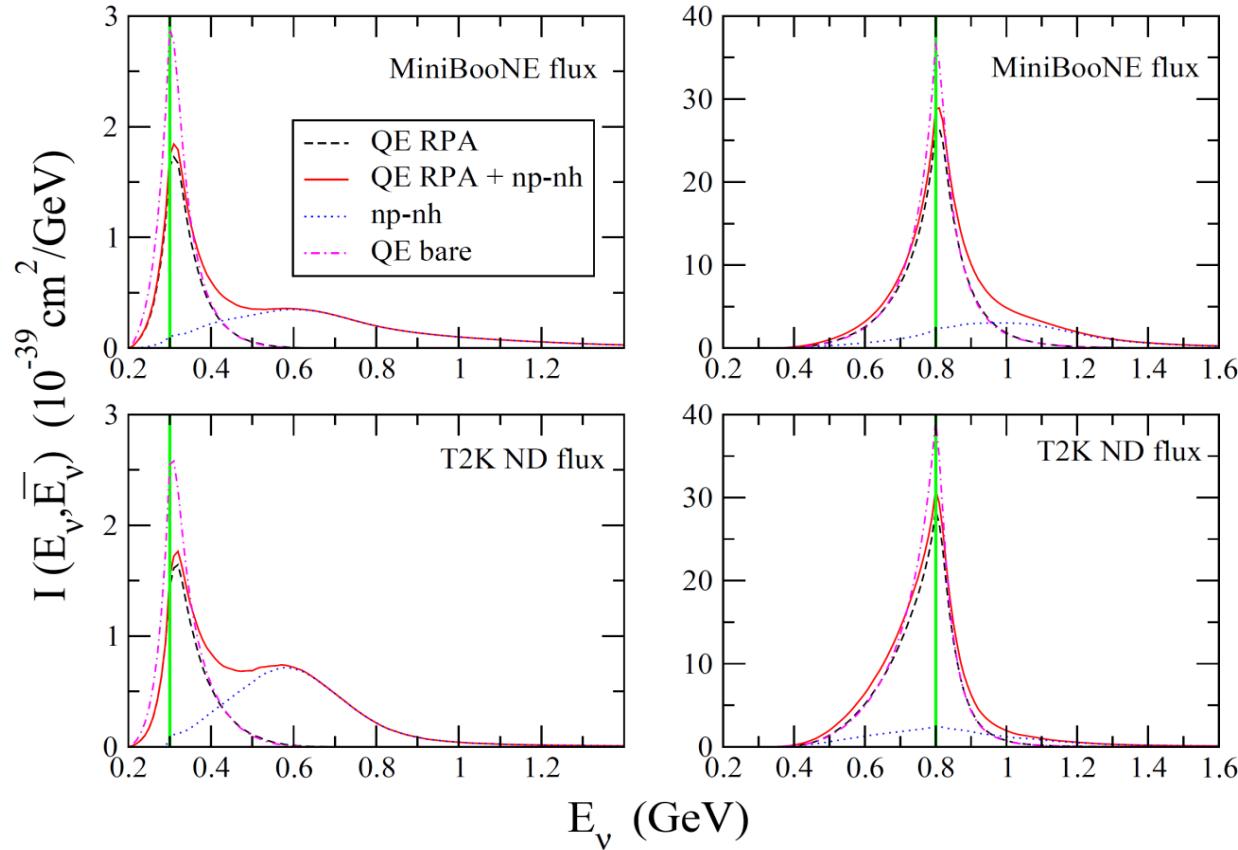
$\cos \theta(E_\mu, \overline{E_\nu})$  is the cosine solution  
of this equation for given  $\bar{E}_\nu$  and  $E_\mu$



$$F(E_\nu, \overline{E_\nu}) = c \frac{\Phi(E_\nu)}{\int dE_\nu \Phi(E_\nu)} \int_{E_\mu^{\min}}^{E_\mu^{\max}} dE_\mu \left[ \frac{d^2 \sigma}{d\omega \, d\cos \theta} \right]_{\omega=E_\nu - E_\mu, \cos \theta = \cos \theta(E_\mu, \overline{E_\nu})}$$

# Probability distribution before normalization

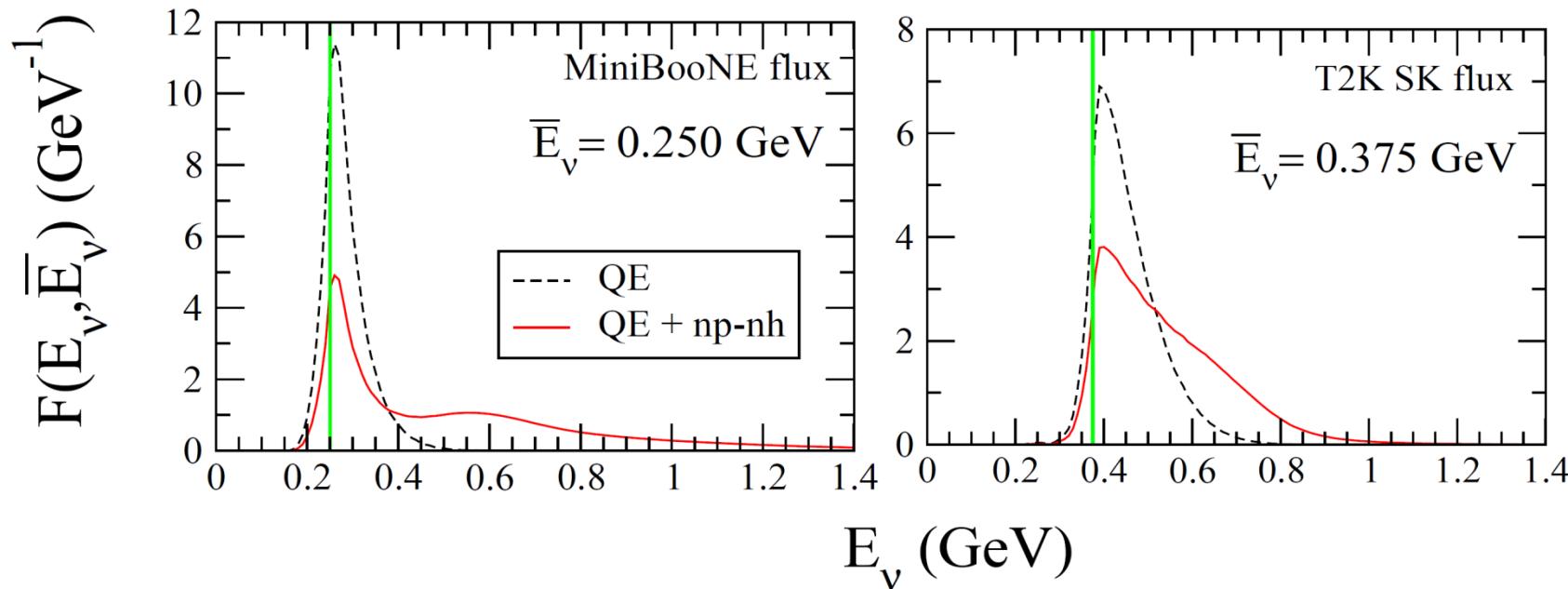
$$F(E_\nu, \overline{E}_\nu) = c \frac{\Phi(E_\nu)}{\int dE_\nu \Phi(E_\nu)} \int_{E_\mu^{\min}}^{E_\mu^{\max}} dE_\mu \left[ \frac{d^2\sigma}{d\omega \, d\cos\theta} \right]_{\omega=E_\nu-E_\mu, \cos\theta=\cos\theta(E_\mu, \overline{E}_\nu)} = cI(E_\nu, \overline{E}_\nu)$$



# Probability energy distributions with no specification of lepton observables

$$F(E_\nu, \bar{E}_\nu) = c \frac{\Phi(E_\nu)}{\int dE_\nu \Phi(E_\nu)} \int_{E_\mu^{\min}}^{E_\mu^{\max}} dE_\mu \left[ \frac{d^2\sigma}{d\omega \ d\cos\theta} \right]_{\omega=E_\nu-E_\mu, \ \cos\theta=\cos\theta(E_\mu, \bar{E}_\nu)}$$

$$\int dE_\nu \ F(E_\nu, \bar{E}_\nu) = 1$$



$\bar{E}_\nu$ :  
vertical  
green line

High energy tail due to the np-nh contribution

# The average neutrino energy

$$(E_\nu)_{\text{average}}(\overline{E_\nu}) = \int dE_\nu \ E_\nu \ F(E_\nu, \overline{E_\nu})$$

$\overline{E_\nu}$ (MeV)	MiniBooNE		T2K ND	
	QE + np-nh	QE	QE + np-nh	QE
300	546	335	514	350
400	579	435	529	446
500	638	527	575	528
600	711	619	638	606
800	861	799	781	758
1000	1024	981	937	914
1200	1190	1164	1116	1104

# Applications to actual data

Reconstructed energy



True neutrino energy

Experimental distributions are given in terms of reconstructed  $\nu$  energy.

Experimental results change once plotted in terms of the true neutrino energy  $E_\nu$

The number of events  $g(\overline{E_\nu})d\overline{E_\nu}$  in a bin of reconstructed energy  $\overline{E_\nu}$

should be smeared by the function  $F(E_\nu, \overline{E_\nu})$

leading to the following distribution in terms of the real neutrino energy:

$$G(E_\nu) = \int d\overline{E_\nu} g(\overline{E_\nu}) \underline{F(E_\nu, \overline{E_\nu})}$$

For practical reasons instead of continuous integral we have taken a discrete sum of 3 energy points in each bins (which explains the unphysical spikes of our next curves).

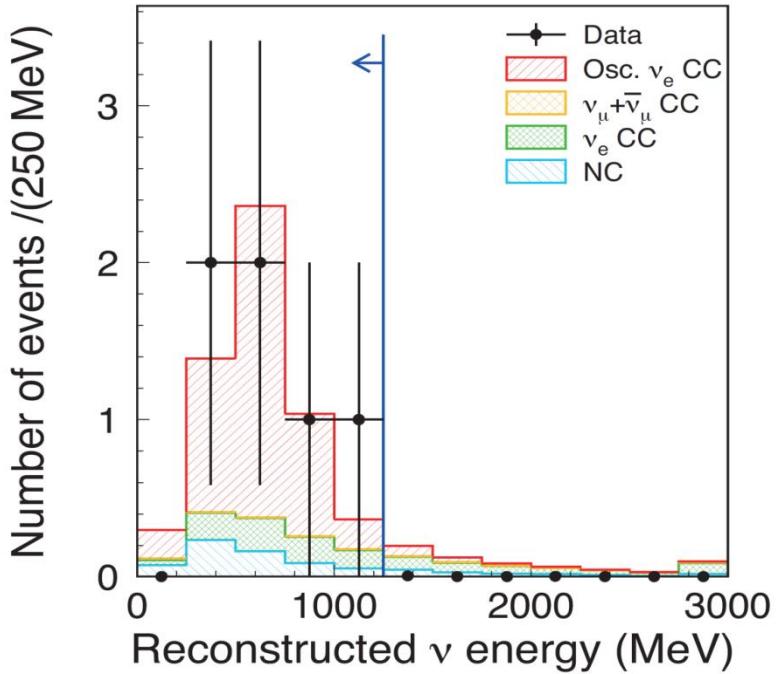
**N.B.**

Up to now any Monte Carlo used in  $\nu$  experiments includes multinucleon emission

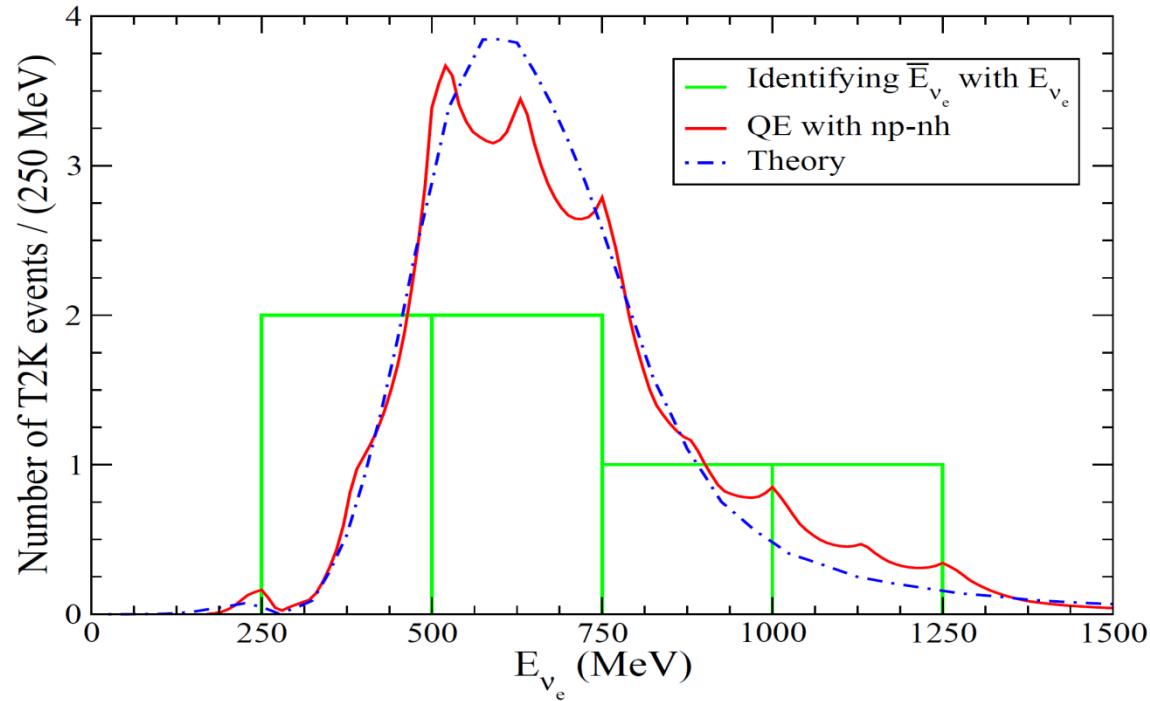
# $\nu_\mu \rightarrow \nu_e$ T2K

Reconstructed energy

T2K PRL 107, 041801 (2011)



Our calculation

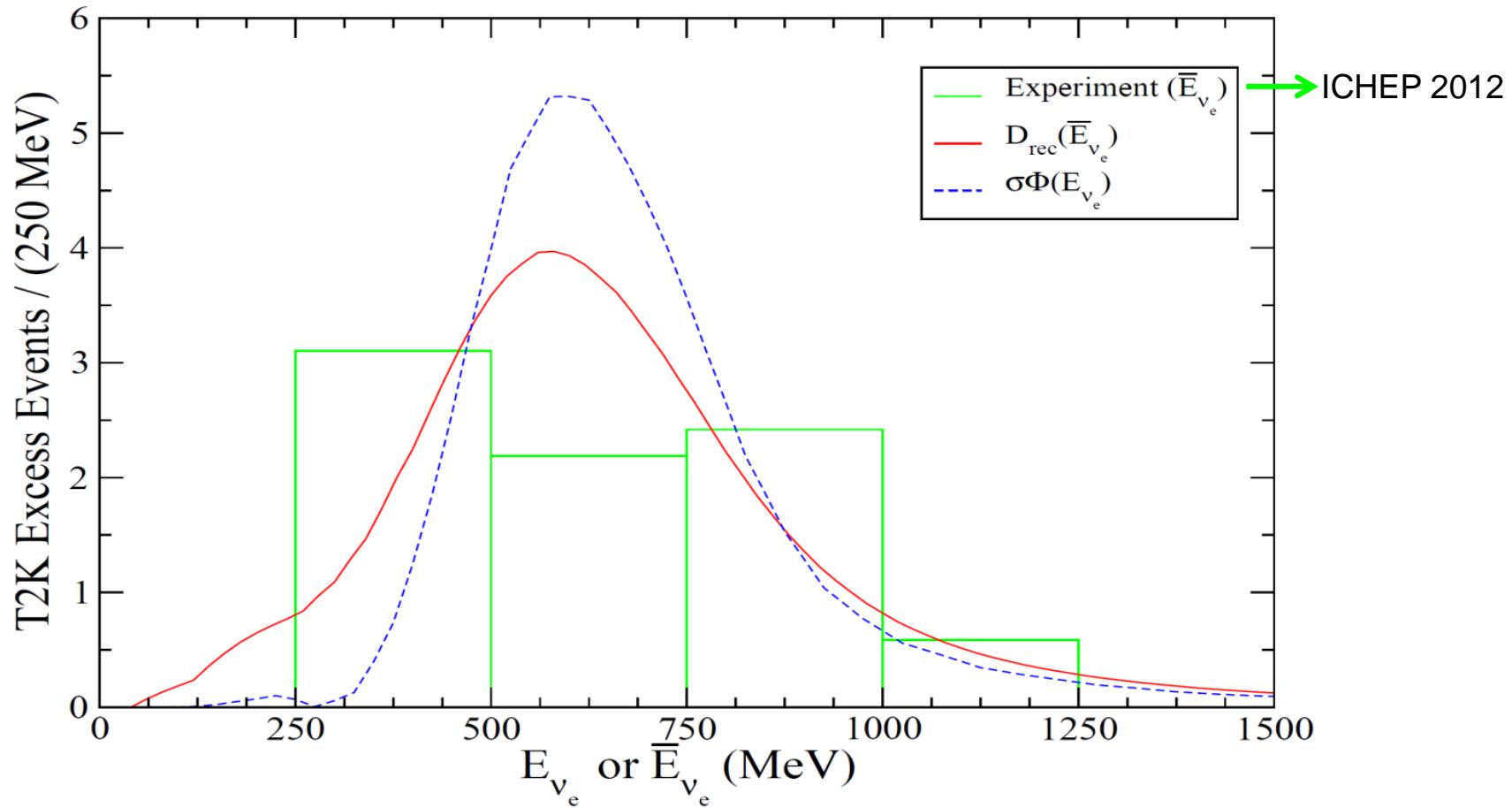


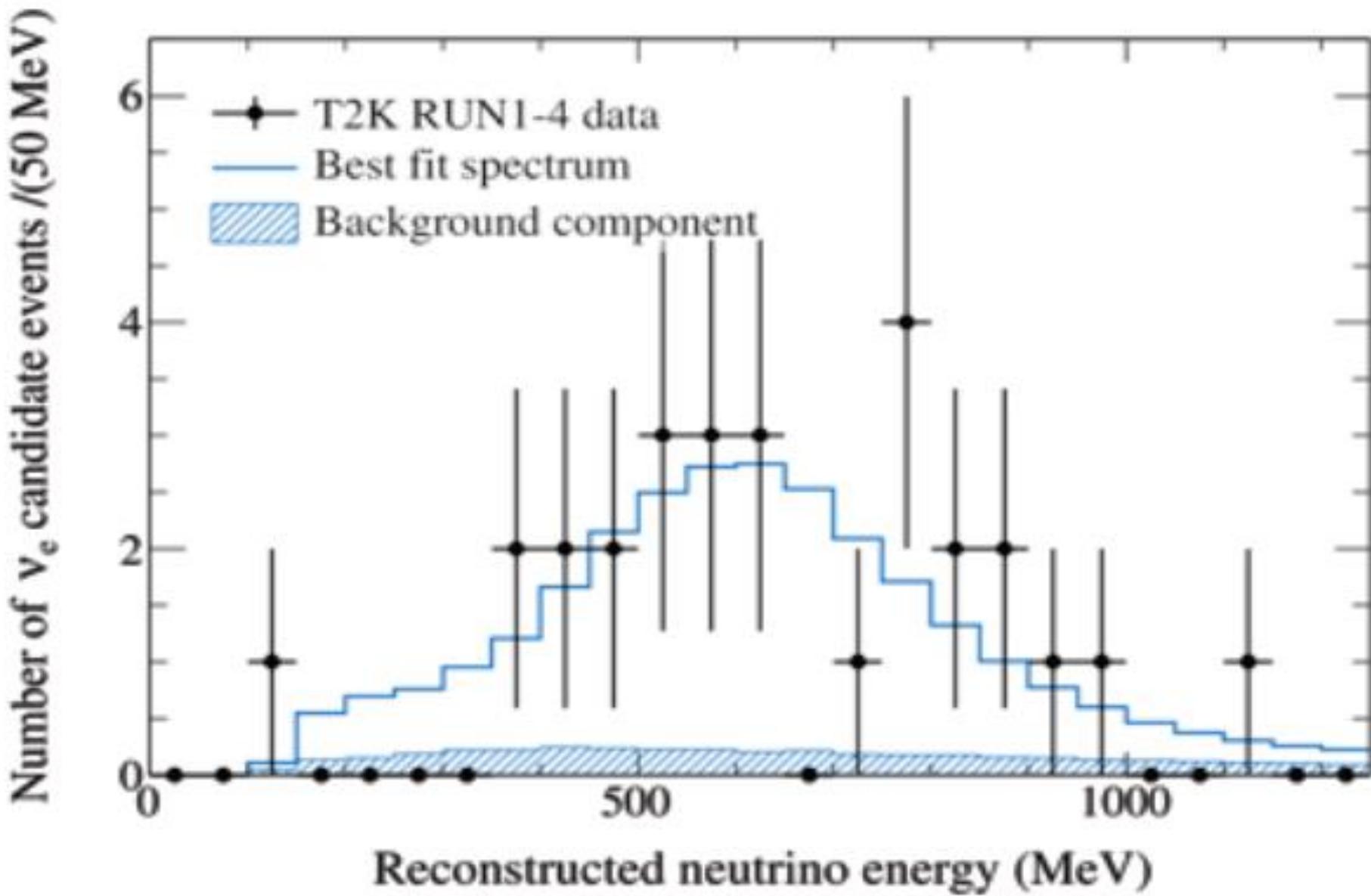
Narrowing of the **smeared distribution**  
in agreement with  
our theoretical prediction  $\sigma_{\text{QE+np-nh}}(E_{\nu_e})\Phi_{T2K}^{SK}(E_{\nu_e})$   
normalized to same number of events

True neutrino energy



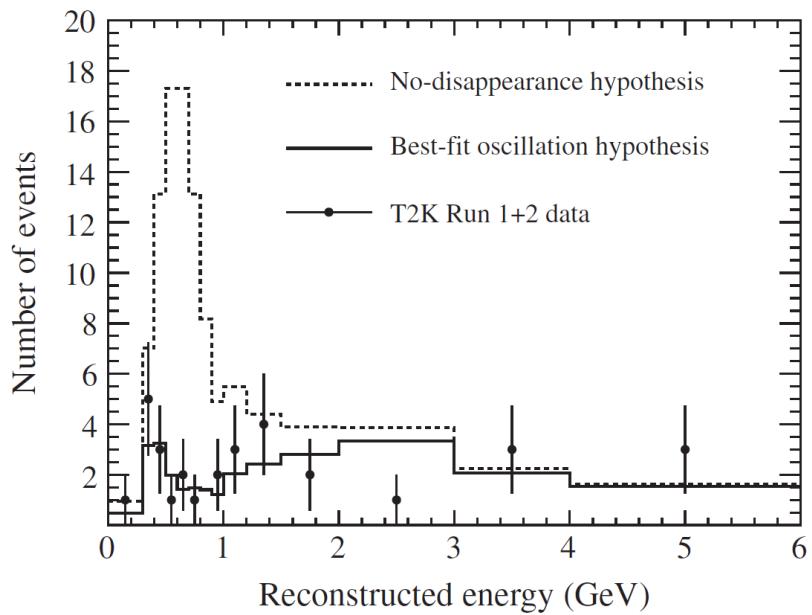
Reconstructed energy



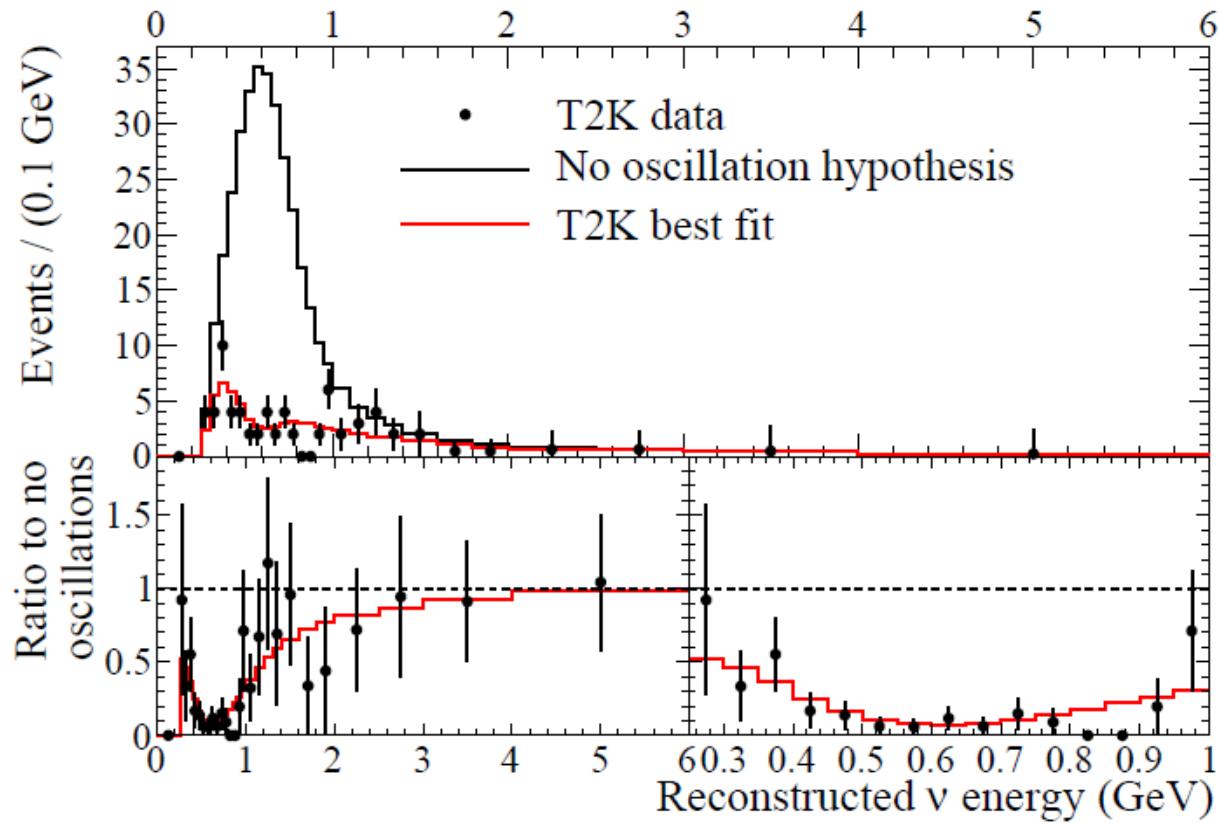


# T2K $\nu_\mu$ disappearance

T2K PRD 85, 031103 (2012)

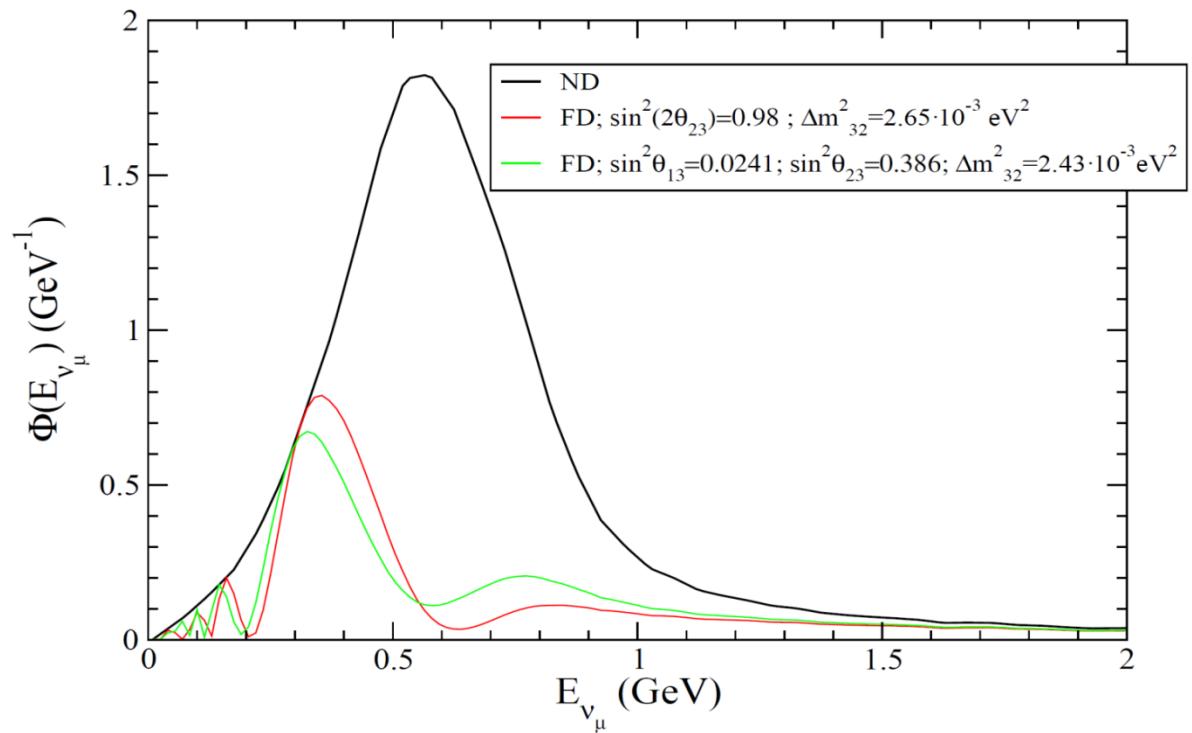
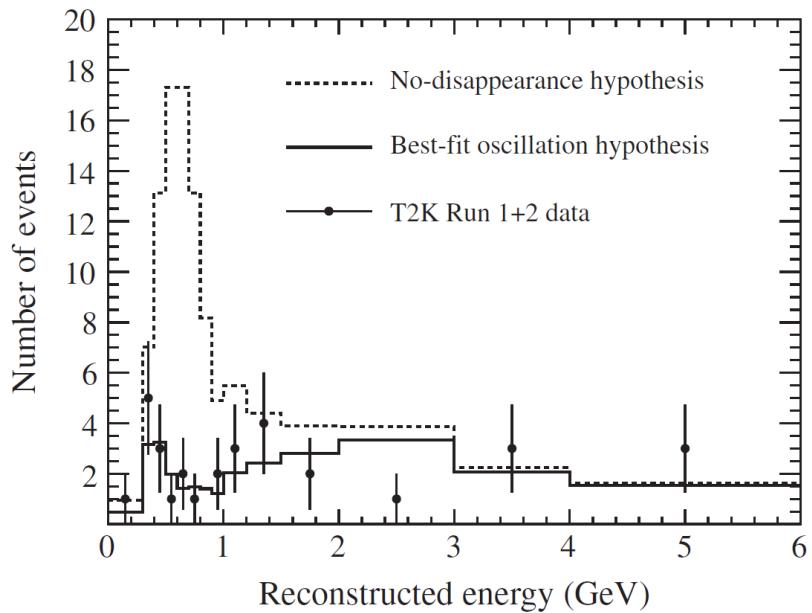


T2K arXiv 1308.0465 (2013)



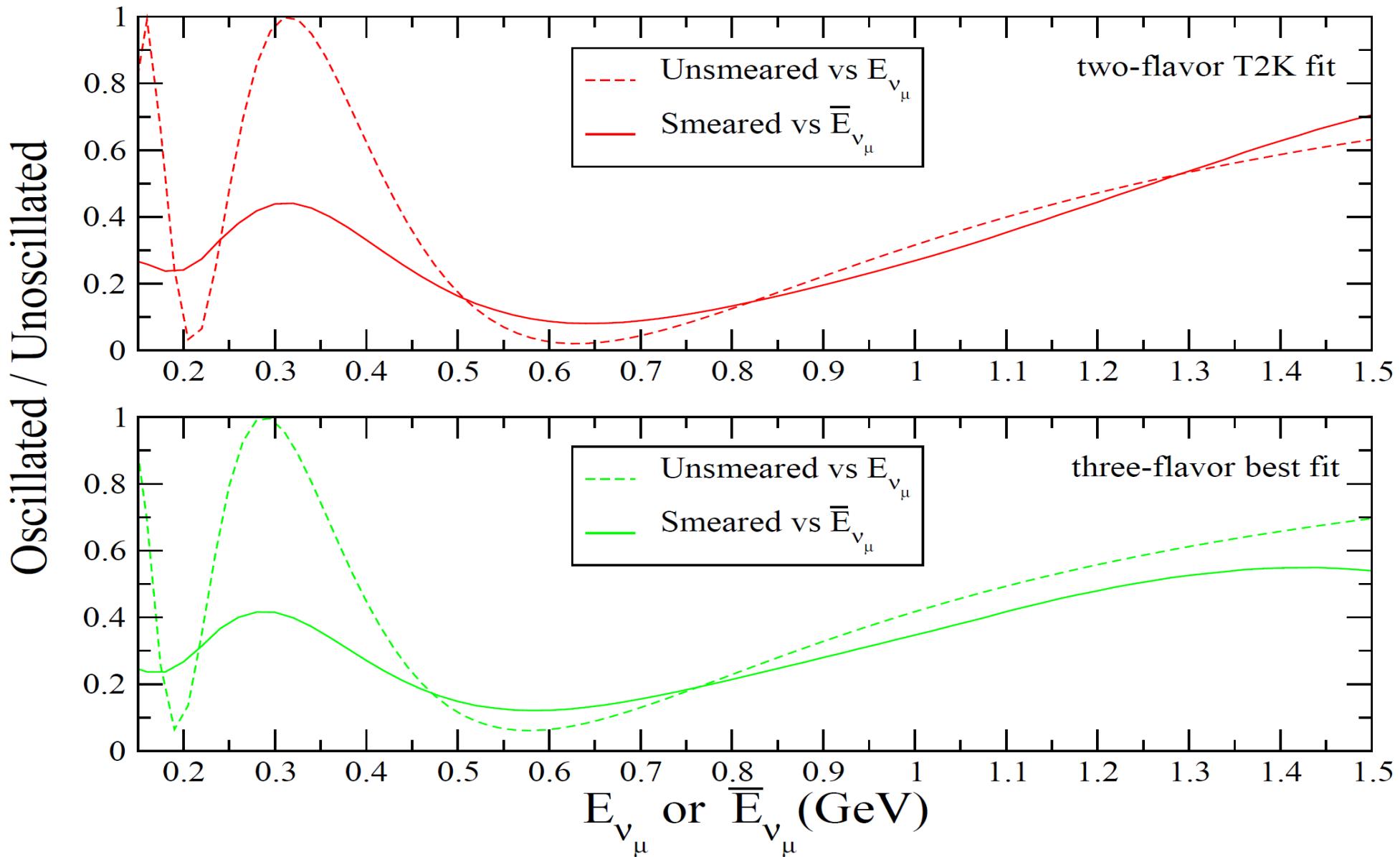
# T2K $\nu_\mu$ disappearance

T2K PRD 85, 031103 (2012)

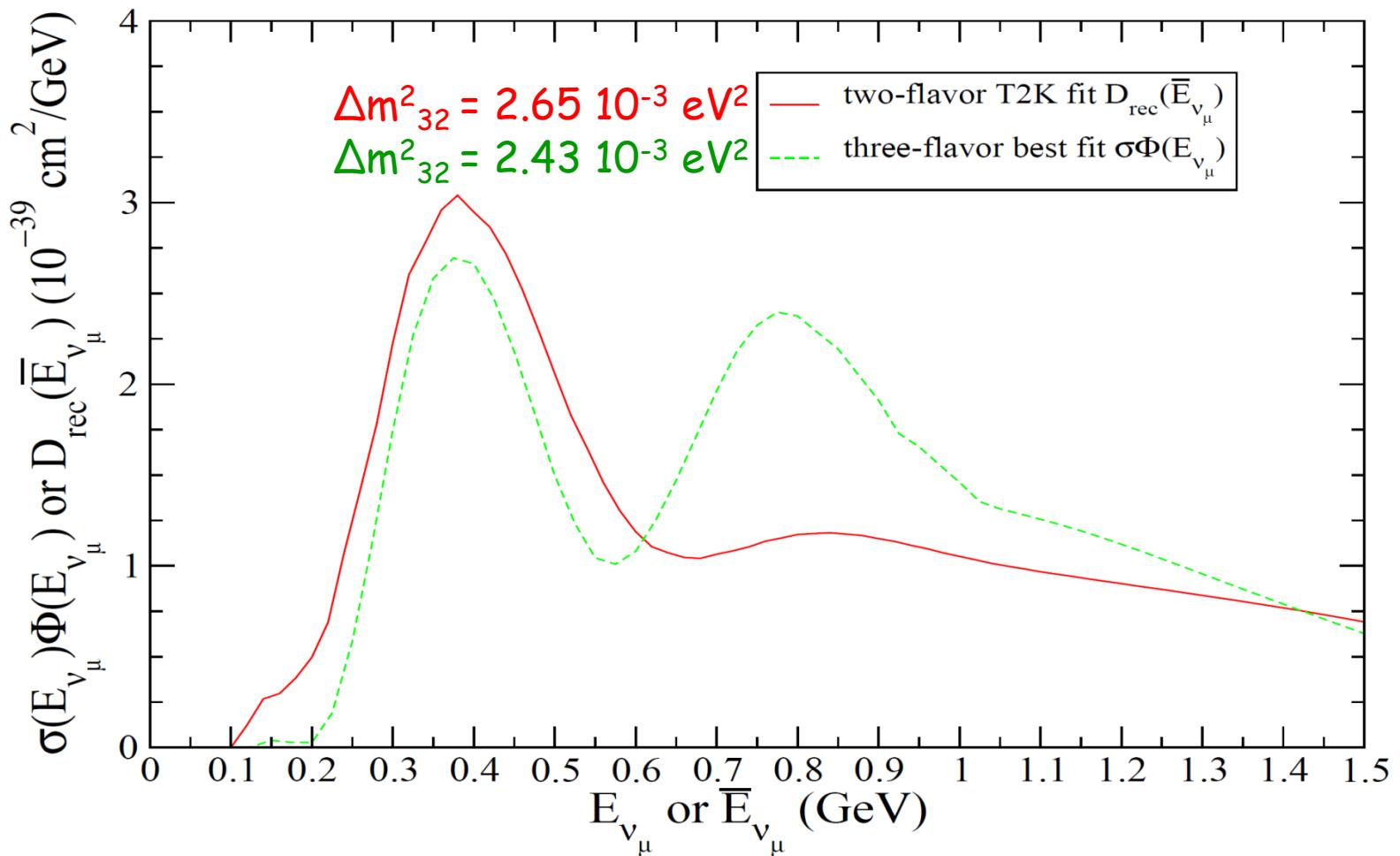


$$\Phi_{\nu_\mu}^{FD}(E_{\nu_\mu}) = \left[ 1 - 4 \cos^2 \theta_{13} \sin^2 \theta_{23} (1 - \cos^2 \theta_{13} \sin^2 \theta_{23}) \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E_{\nu_\mu}} \right) \right] \Phi_{\nu_\mu}^{ND}(E_{\nu_\mu})$$

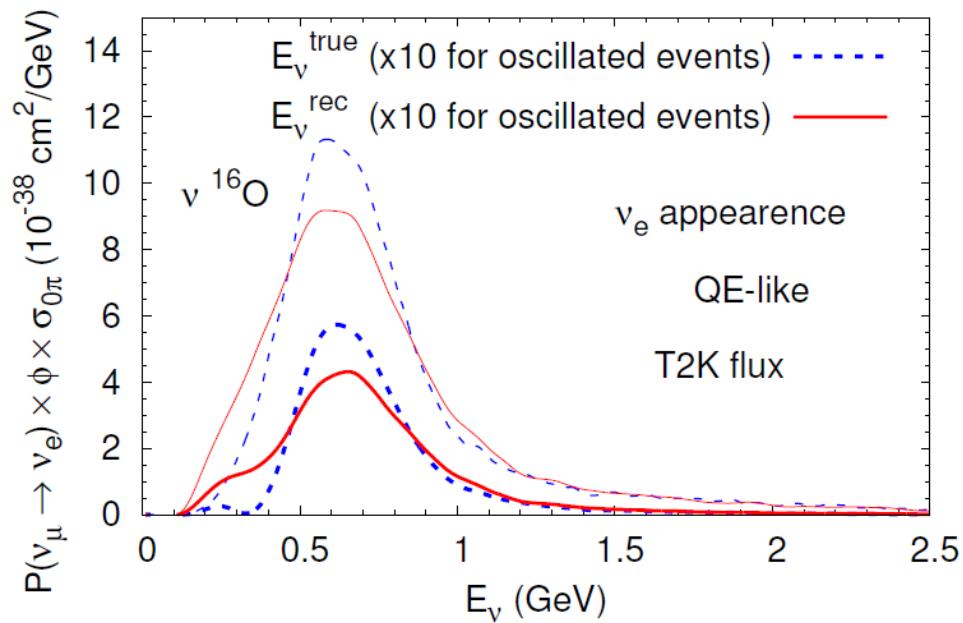
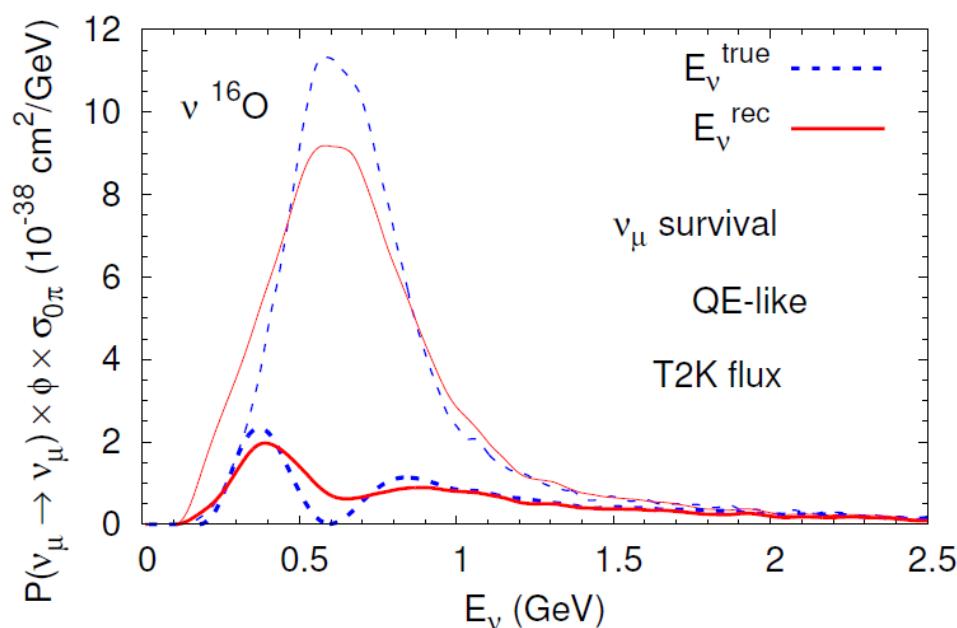
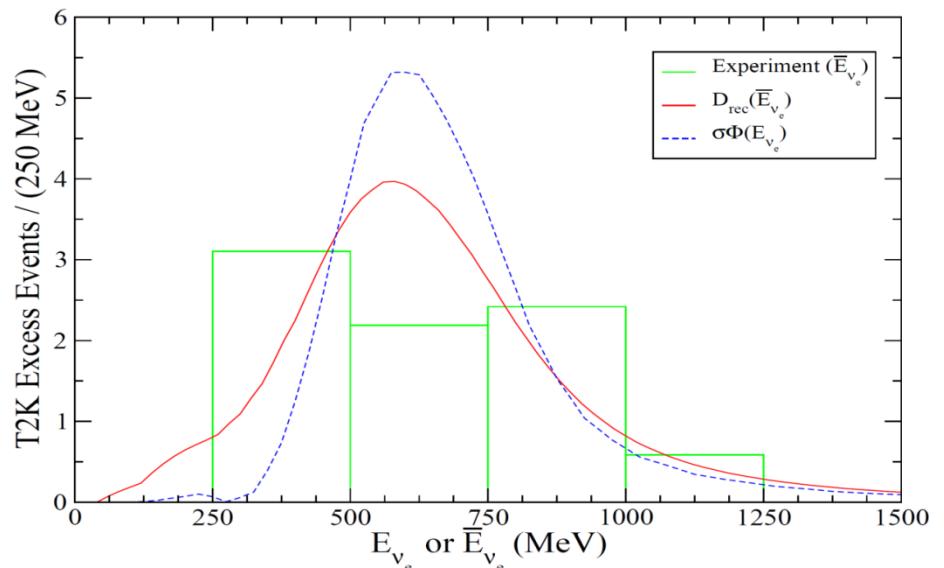
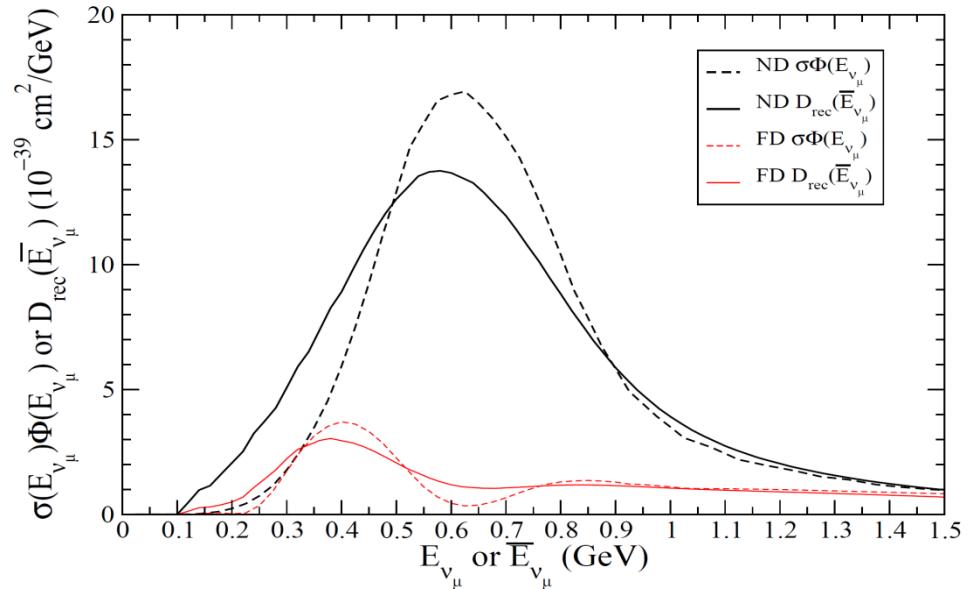
# Ratio of the distributions FD/ND



# T2K far detector

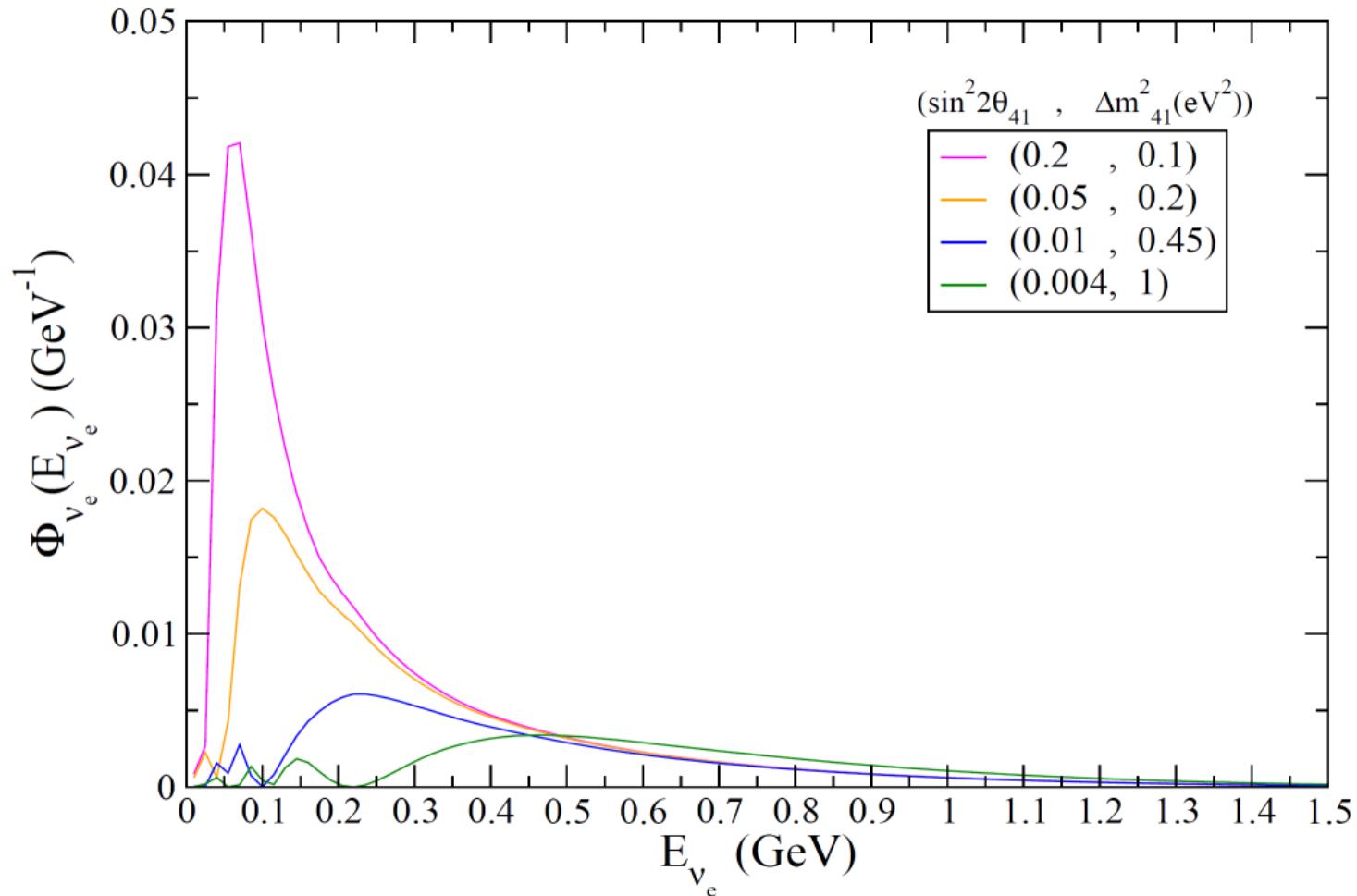


In the first peak region: the smeared curve can be reproduced in the unsmeared case with a lower value of the oscillation mass parameter

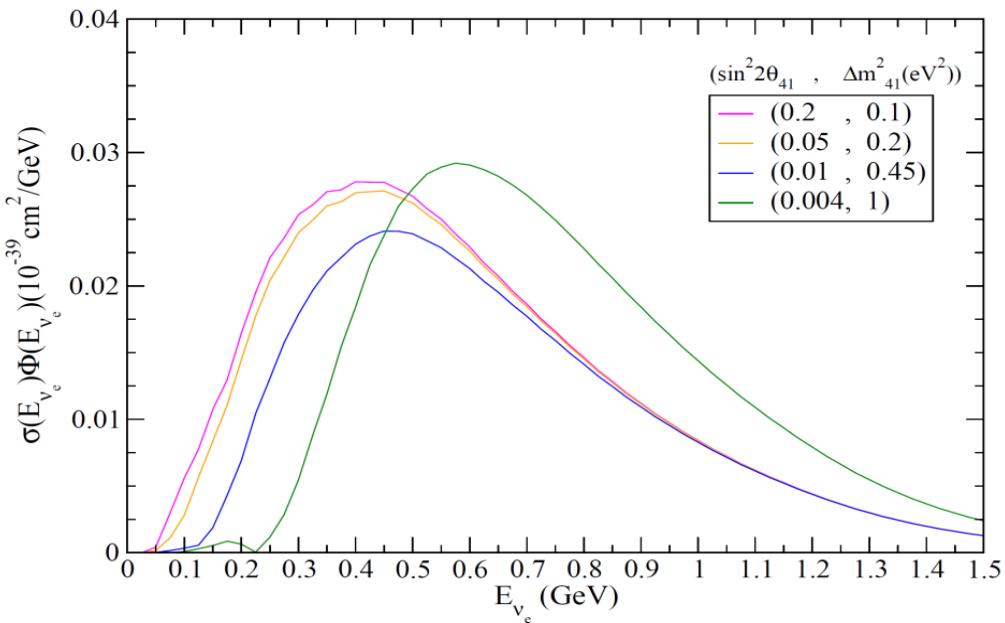


# Oscillations induced by sterile neutrino; 3+1 hypothesis

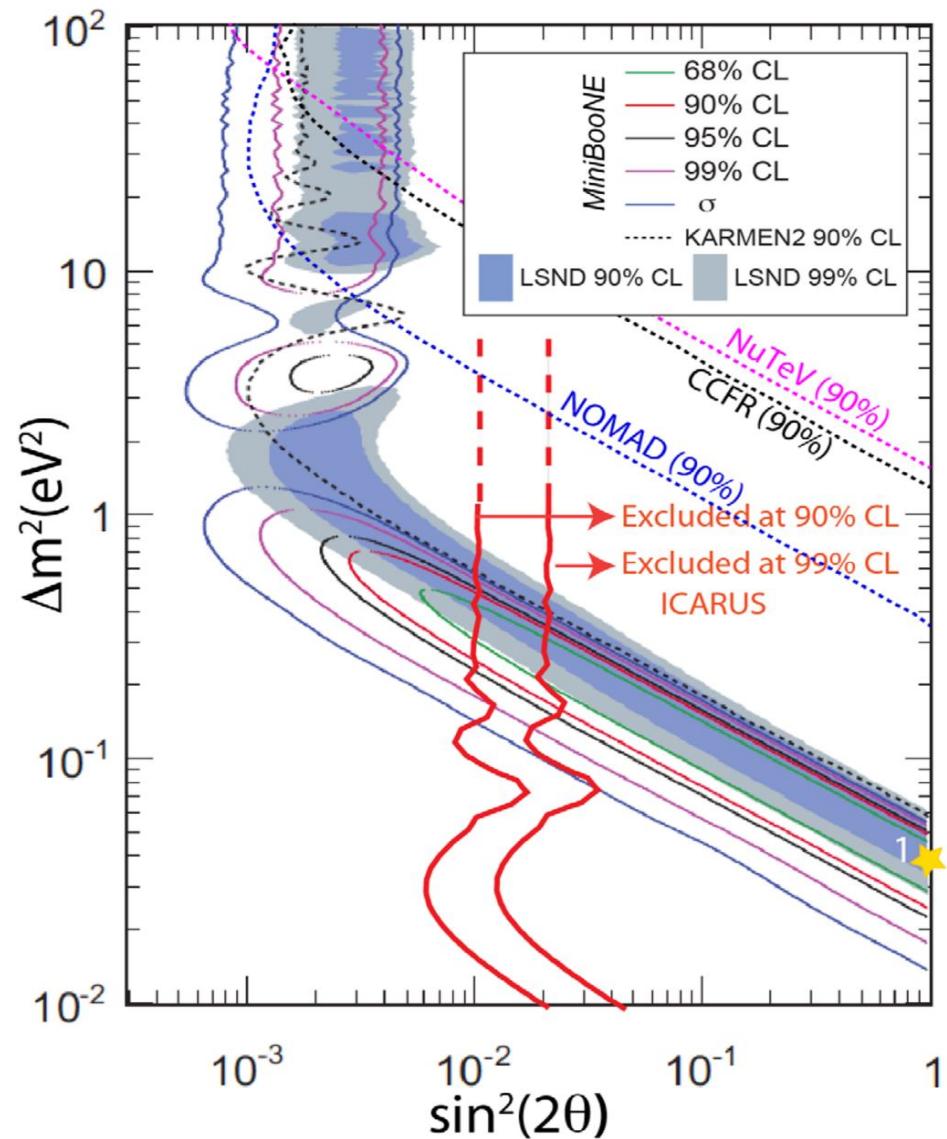
$$\Phi_{\nu_e}(E_{\nu_e}) = \Phi_{\nu_\mu}(E_{\nu_\mu}) \sin^2(2\theta_{41}) \sin^2\left(\frac{\Delta m_{41}^2 L}{4E_\nu}\right)$$



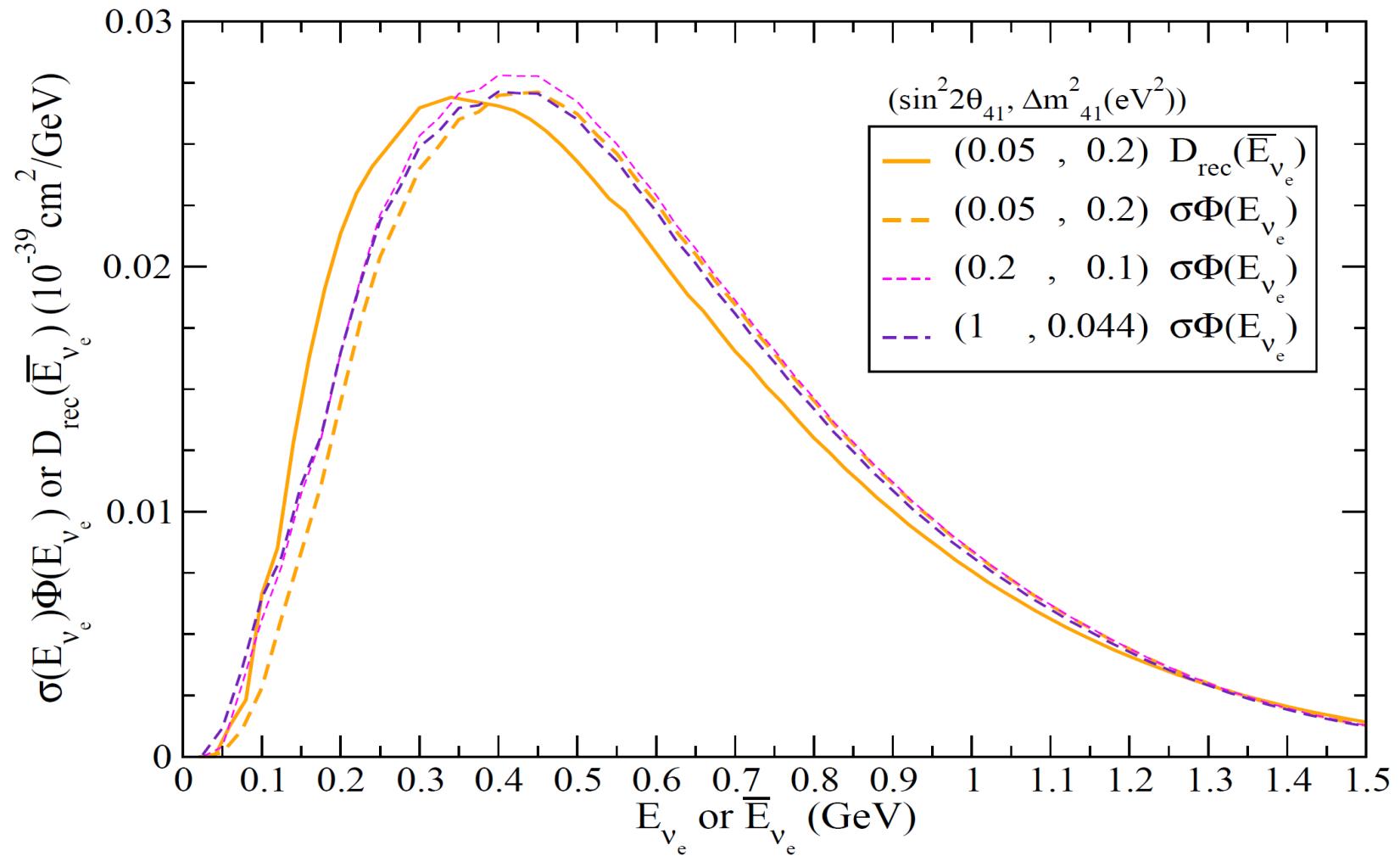
# Some considerations on the oscillation parameters



The low energy behavior of the MiniBooNE data favors small values of the mass parameter which concentrate the  $\nu$  flux at low energies. But small values imply, in order to have enough events, large values of  $\sin^2(2\theta)$  which are not compatible with the constraints from other sets of data.



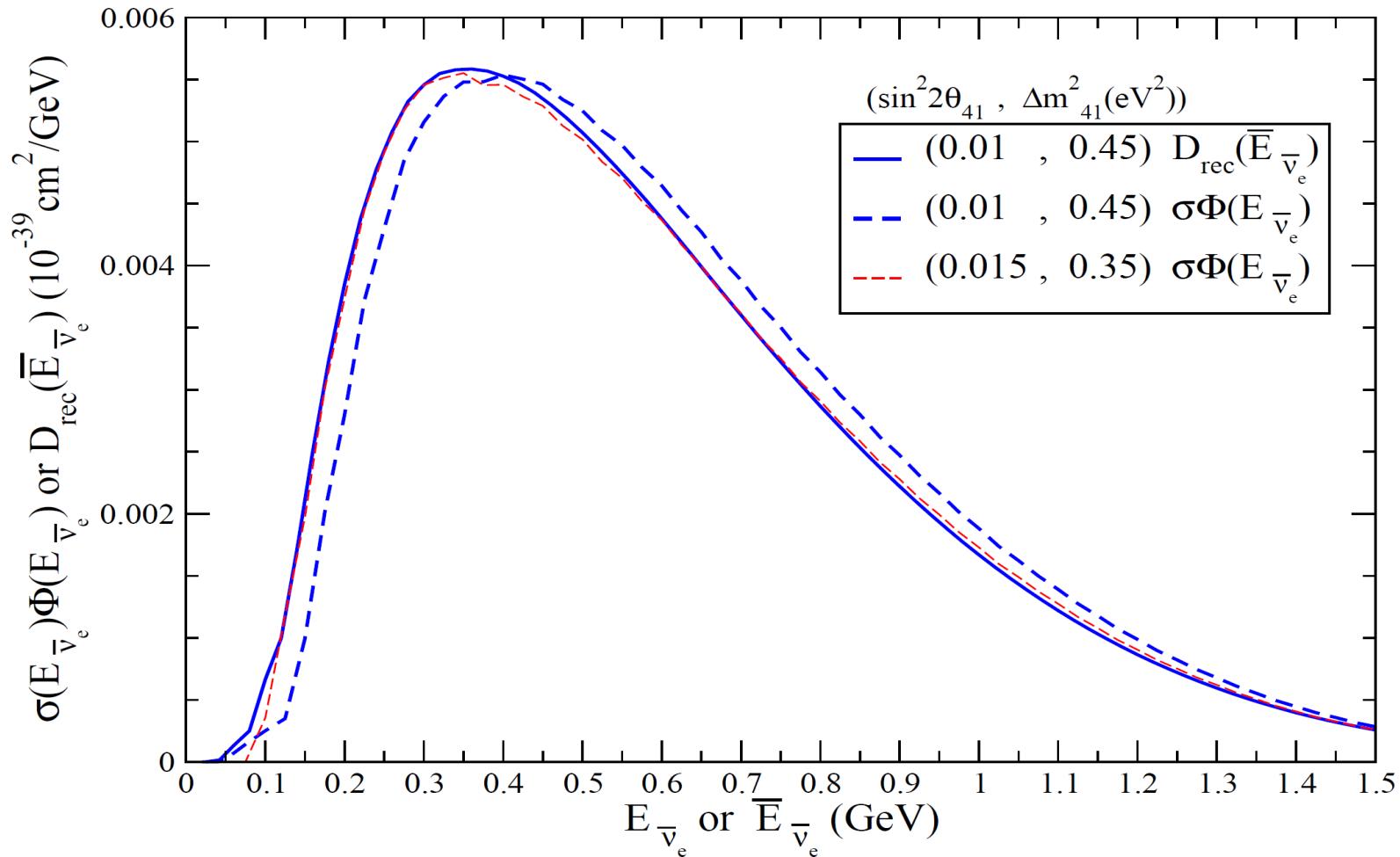
# The case of smaller mass values



The smeared curve is shifted at lower energies (displacement of the peak  $\approx 80$  MeV).

**It is impossible to reproduce the smeared curve with an unsmeared one even taking a very small mass.**

# Antineutrinos



Similar effects, although less pronounced, are present for antineutrinos.

# $\nu\mu \rightarrow \nu e$ MiniBooNE

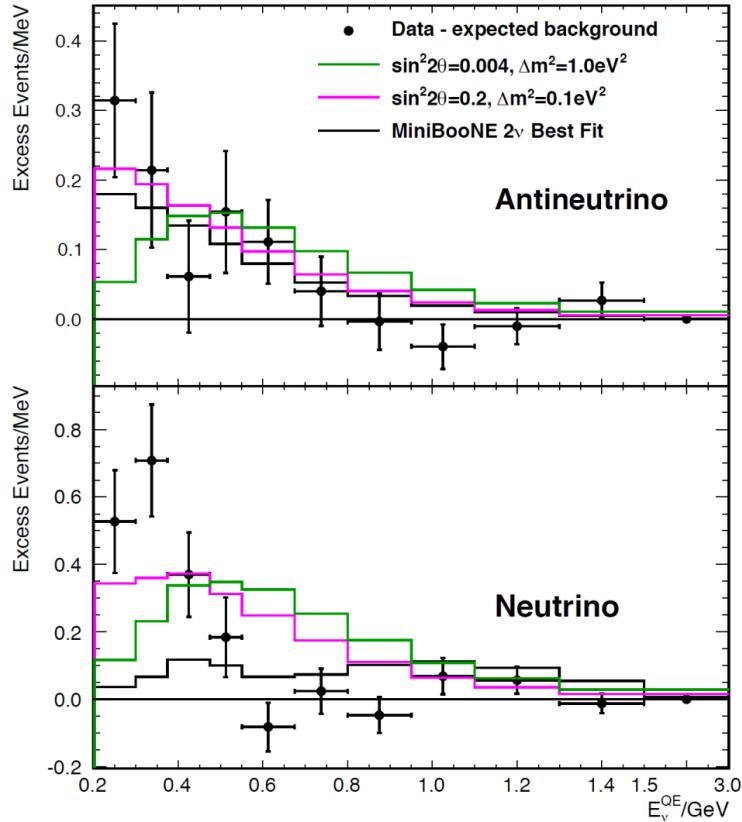
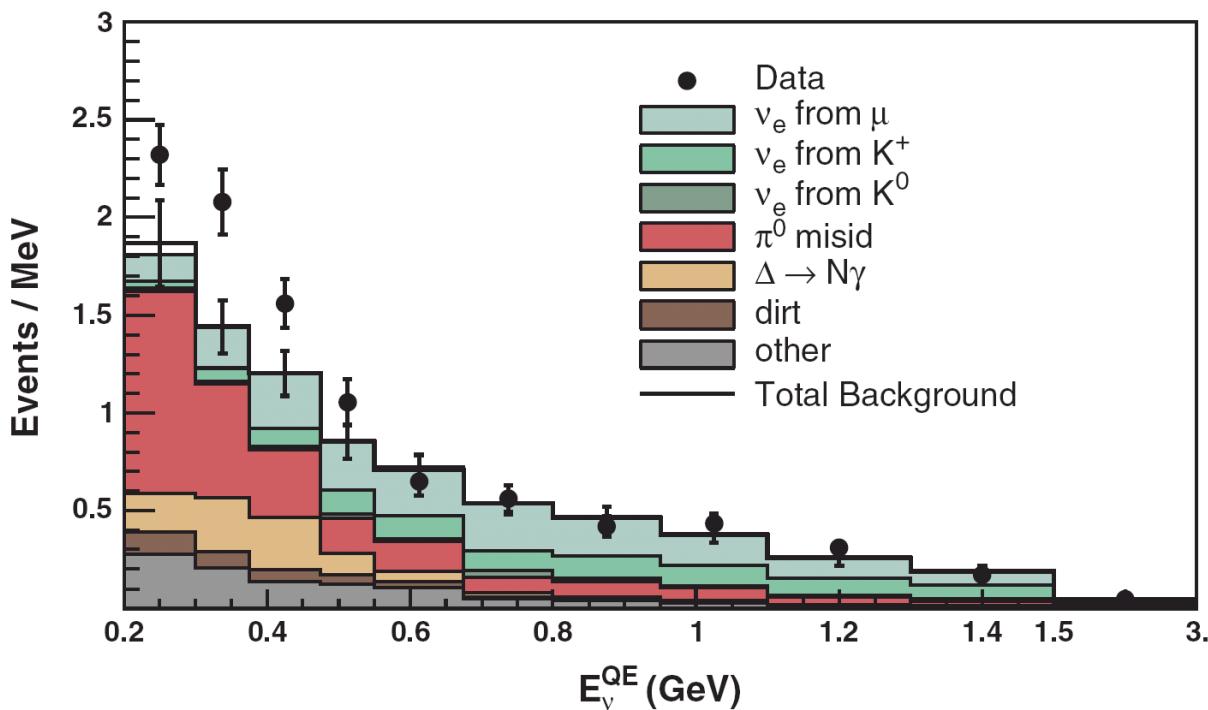


TABLE II:  $\chi^2$  values from oscillation fits to the antineutrino-mode data for different prediction models. The best fit ( $\Delta m^2, \sin^2 2\theta$ ) values are  $(0.043 \text{ eV}^2, 0.88)$ ,  $(0.059 \text{ eV}^2, 0.64)$ , and  $(0.177 \text{ eV}^2, 0.070)$  for the nominal, Martini, and disappearance models, respectively. The test point  $\chi^2$  values in the third column are for  $\Delta m^2 = 0.5 \text{ eV}^2$  and  $\sin^2 2\theta = 0.01$ . The effective dof values are approximately 6.9 for best fits and 8.9 for the test points.

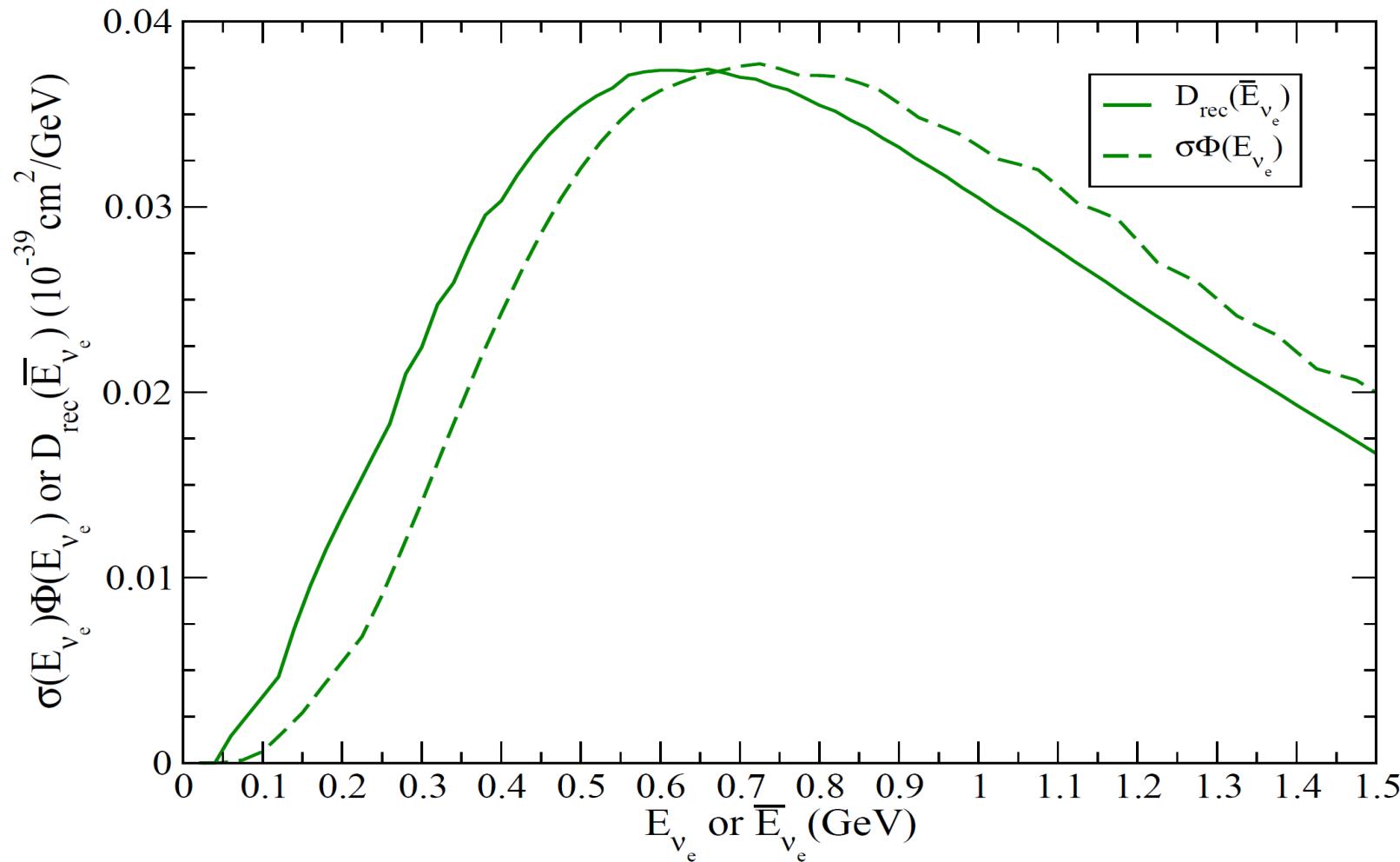
Prediction Model	$\chi^2$ values	
	Best Fit	Test Pt.
Nominal $\bar{\nu}$ -mode Result	5.0	6.2
Martini <i>et al.</i> [25] Model	5.5	6.5
Model With Disapp. (see text)	5.4	6.7

arXiv: 1303.2588

# $\nu_e$ background and effective cross sections

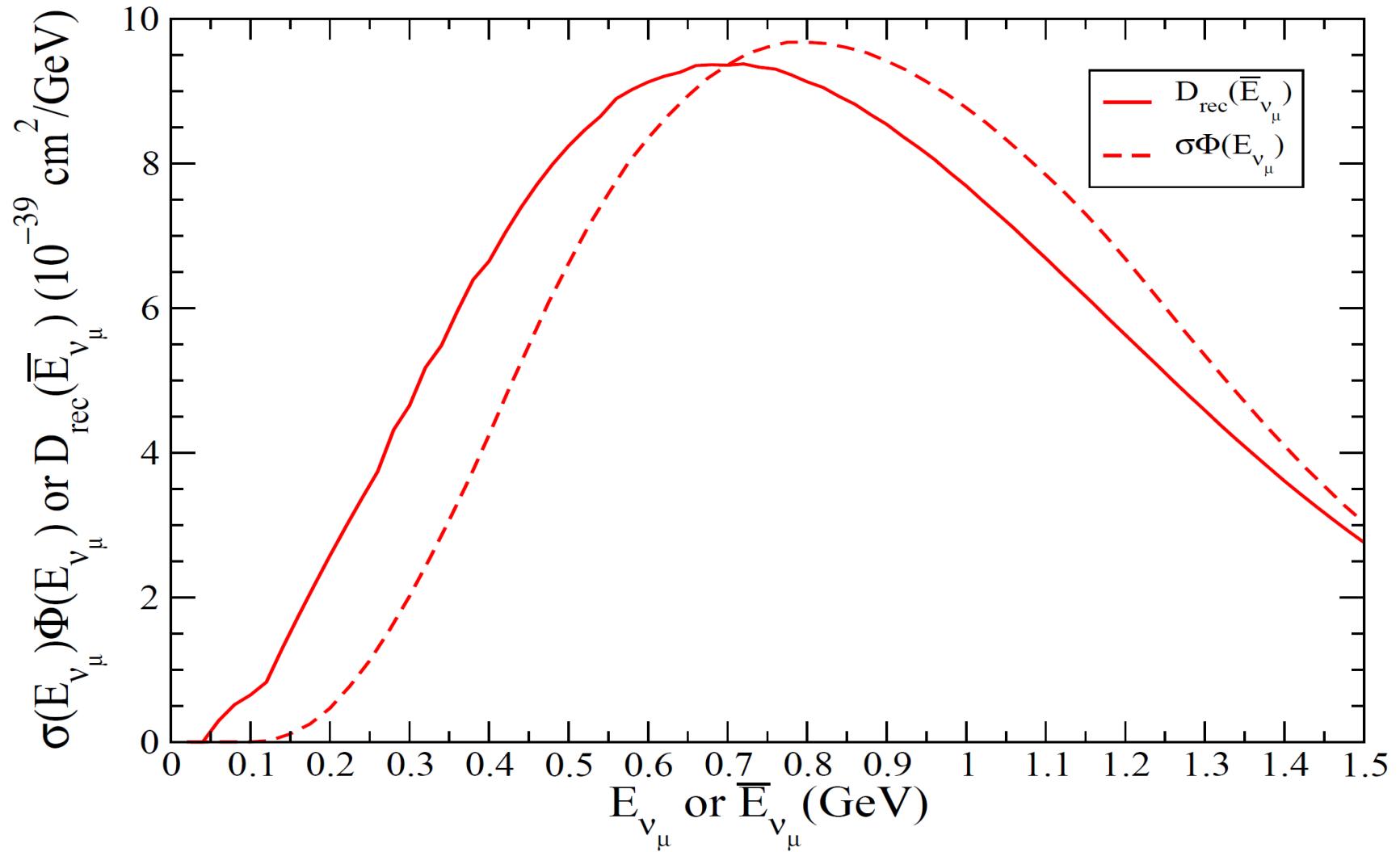


# MiniBooNE electron events distribution for $\nu e$ background

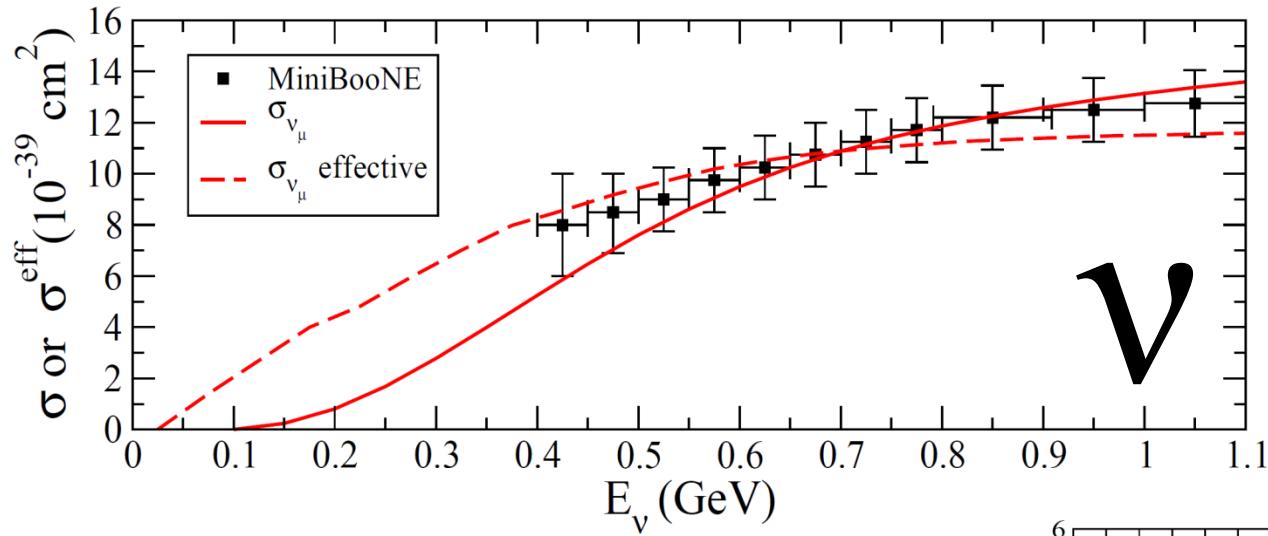


The electron event background is underestimated for low reconstructed neutrino energies  $E < 0.6 \text{ GeV}$  and overestimated for larger ones

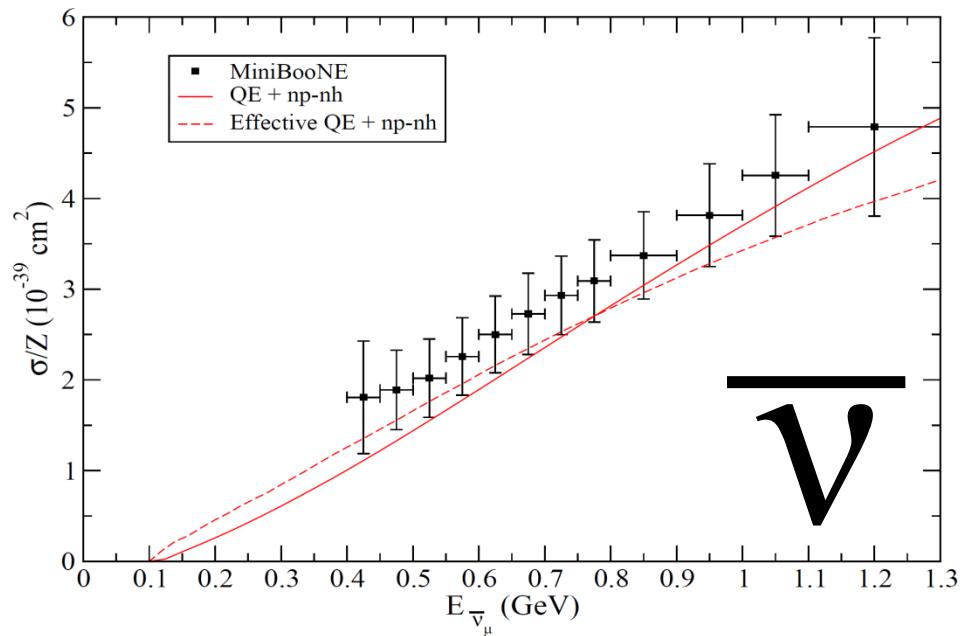
# MiniBooNE muon events distribution



# Real and effective cross sections for $\mu$



V



V