

Determining the Neutrino Mass Hierarchy

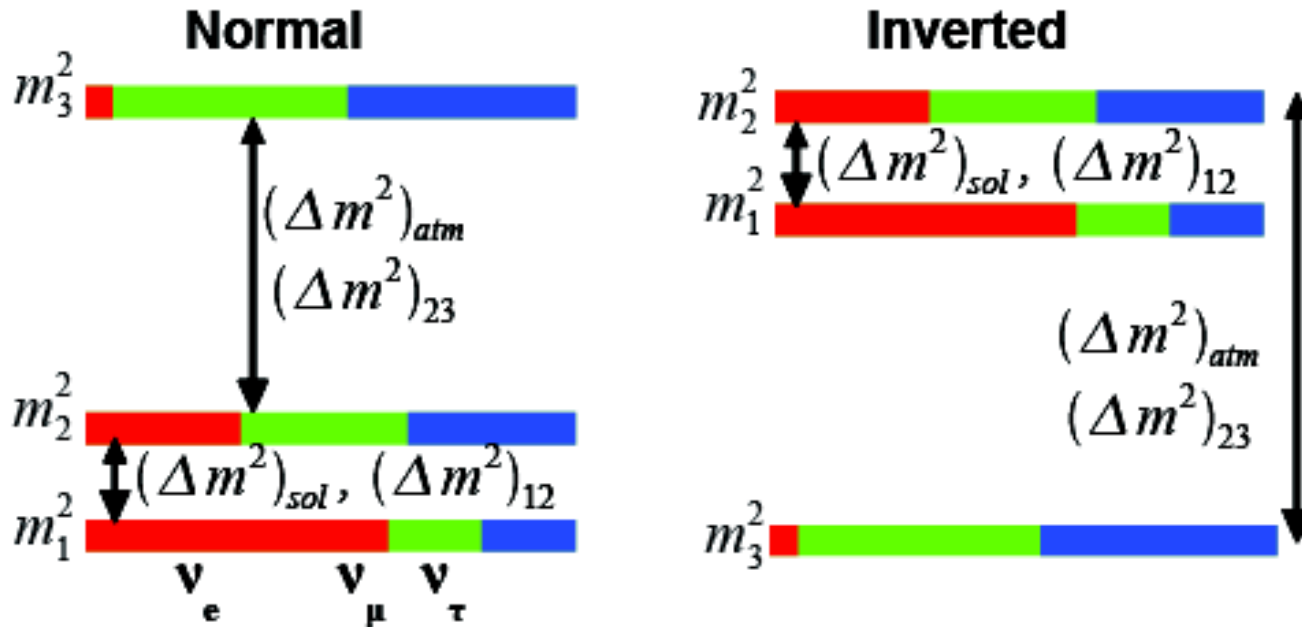
Marco Zito
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GDR Neutrino, Lyon, 12/11/2013

Outline

- Motivations
- A Reminder about Hypothesis Testing
- Statistical Issues in MH
- LBNO Study
- Other methods : atmospherics, reactor
- Conclusions

Neutrino Mass Hierarchy



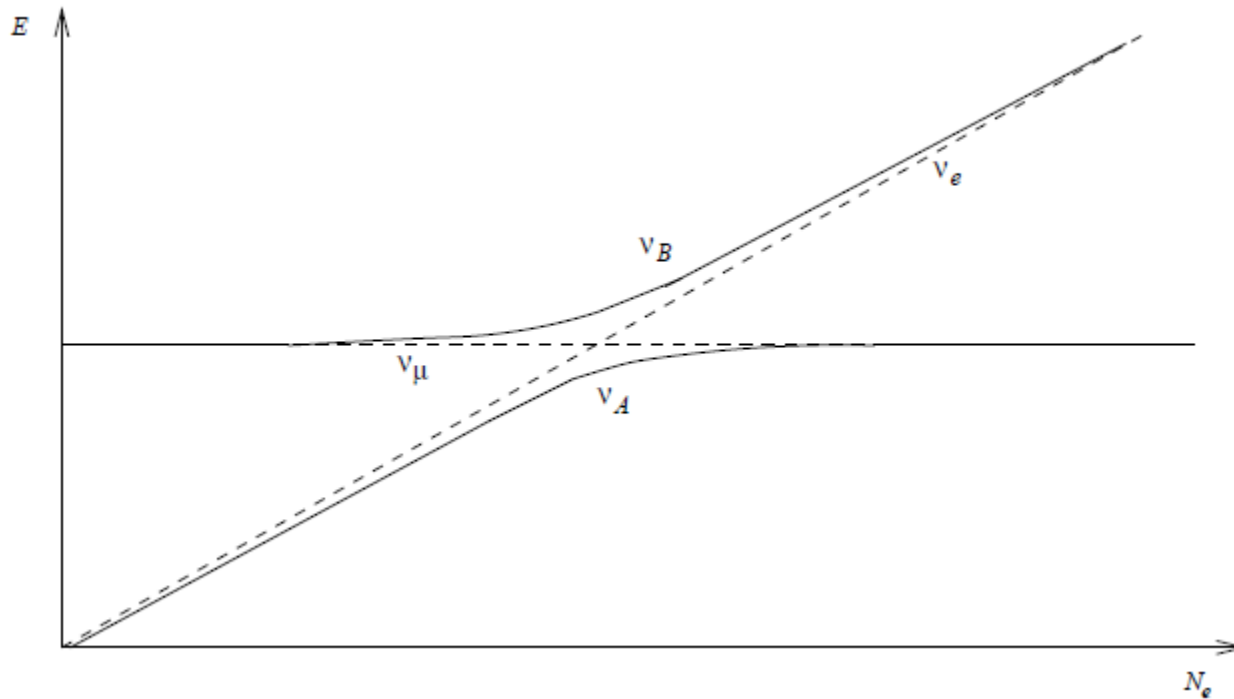
Notice neutrino oscillation in the 2-neutrino regime are not sensitive to MH because

$$P(\nu_1 \rightarrow \nu_2) = \sin^2(2\theta) \sin^2(1.27 \Delta m^2 L/E)$$

To gain any sensitivity three neutrino mixing effects needs to be taken into account, and the experiment needs to be sensitive to this

The large θ_{13} makes this more accessible than previously thought

Solar neutrinos and MSW effect



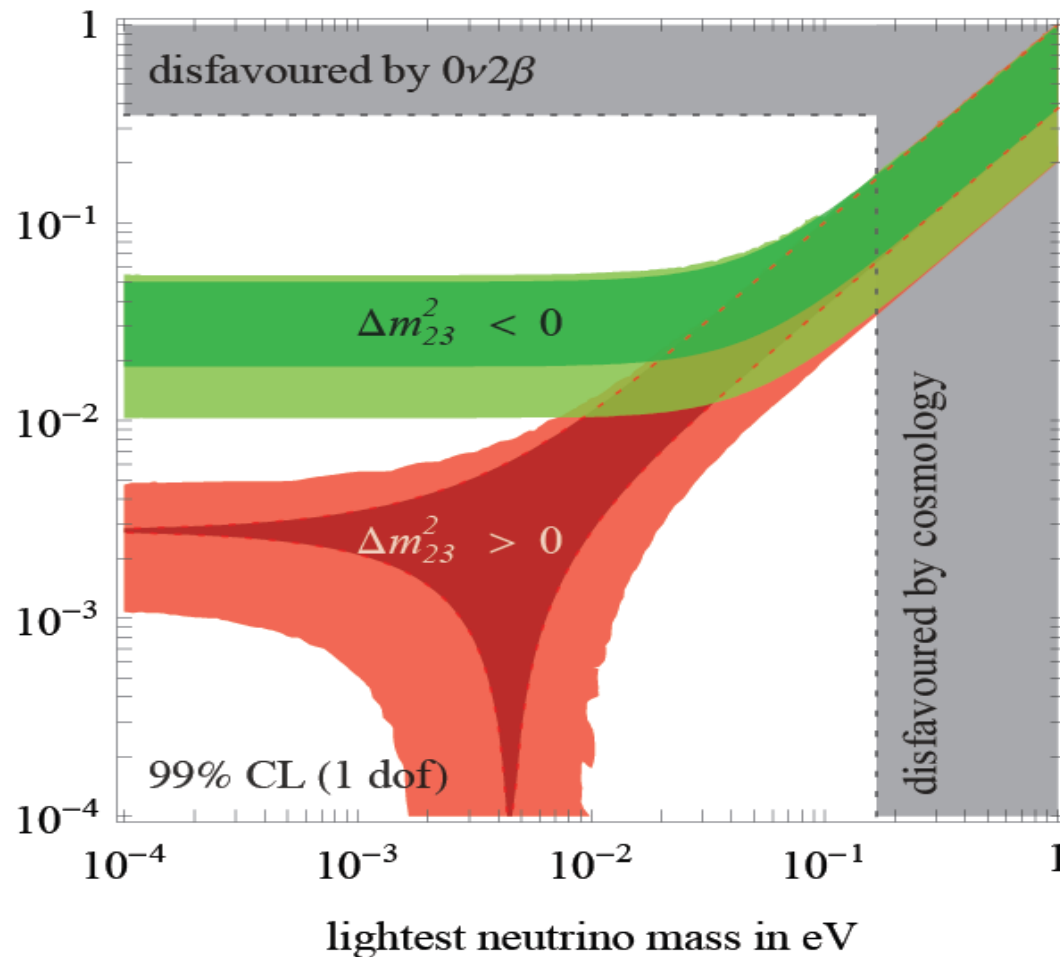
There are two possible orderings, not four, because the solar splitting is fixed by the MSW effect

Why do we care about the Neutrino Hierarchy ?

- 1) Input for model builders
- 2) Interpretation of double β 0- ν and cosmological measurements
- 3) Crucial ingredient for PMNS CP violation studies

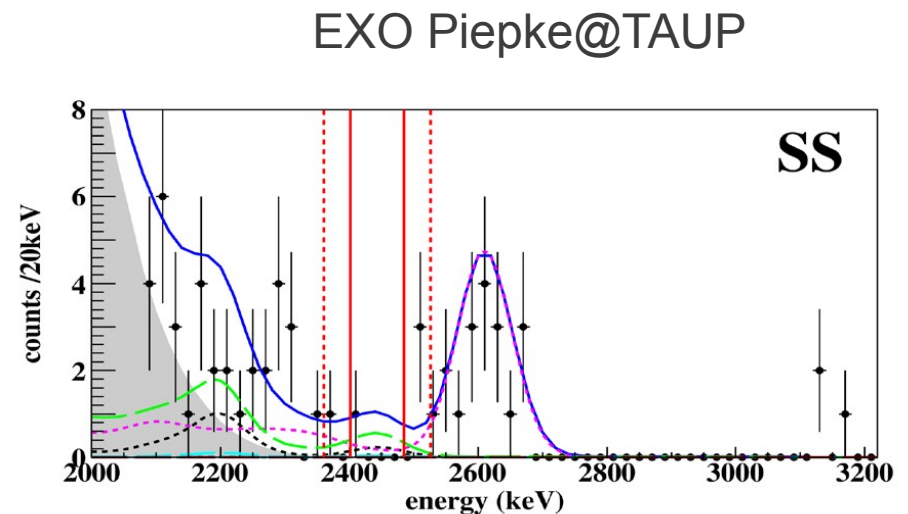
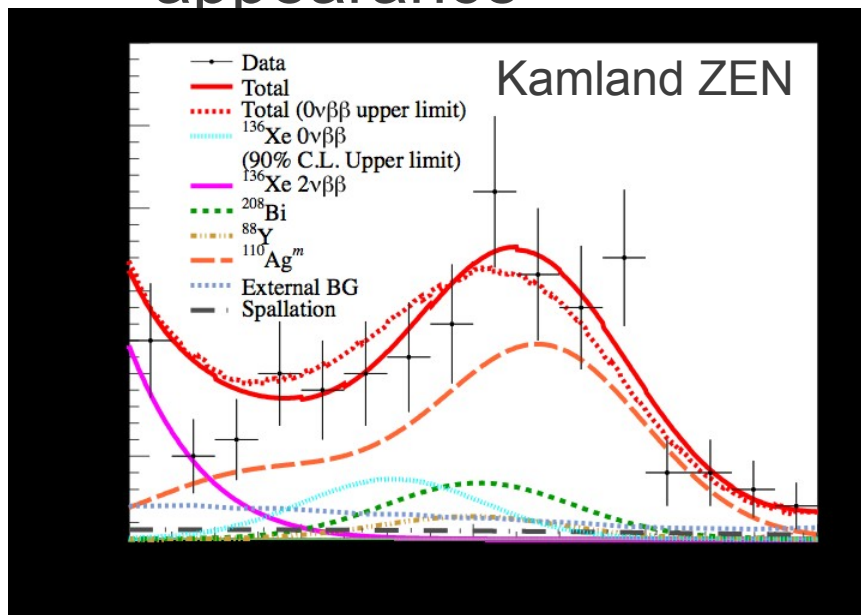
Motivation-2

- Interpreting double beta and cosmology measurements
- Several planned experiment may approach the IH region, below 100 meV



Interpreting double β 0- ν data

- The Klapdor claim should encourage some caution when interpreting data at the limit of the experimental sensitivity
- If an excess is observed in the IH range, knowing (with an independent method) that IH is realized in nature will provide a crucial confirmation
- Cf : theta13 reactor data and T2K $\text{numu} \rightarrow \nu_e$ appearance



Perspectives in cosmology

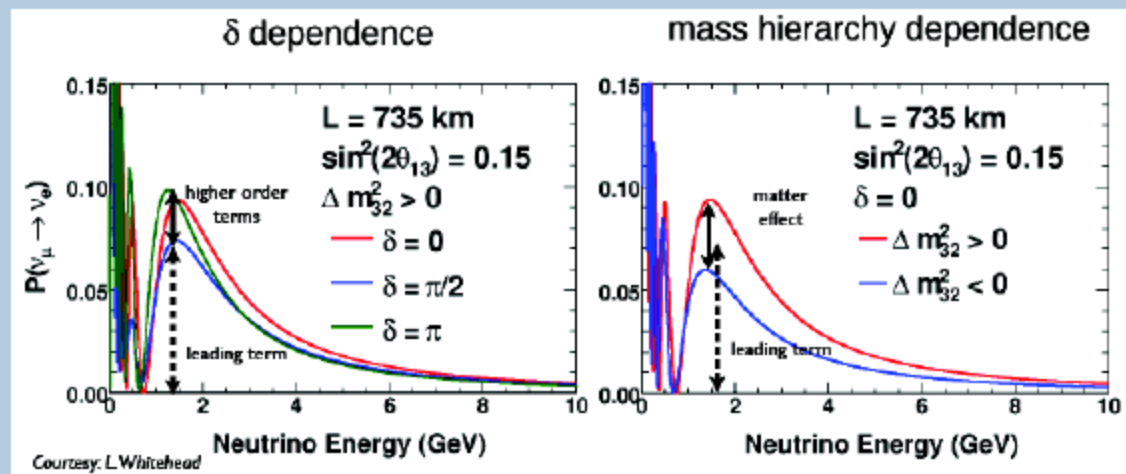
R. Cahn et al, arXiv:1307.5487

	k_{\max} [Mpc $^{-1}$]	$\sigma_{\Sigma m_\nu}$ [eV]	$\sigma_{0.04 \text{ eV}}$	Year
P+BigBOSS14+DES	0.07	0.021	1.9	2022
P+Euclid+DES	0.07	0.019	2.1	2026
P+BigBOSS24+DES	0.07	0.019	2.1	2026
P+BB24+Euc+DES	0.07	0.016	2.5	2026
P+BB24+Euc+LSST	0.07	0.014	2.9	\lesssim 2030
P+BB14+DES	0.14	0.017	2.4	2022
P+Euclid+DES	0.14	0.015	2.9	2026
P+BB24+DES	0.14	0.015	2.7	2026
P+BB24+Euc+DES	0.14	0.013	3.1	2026
P+BB24+Euc+LSST	0.14	0.011	3.6	\lesssim 2030

Motivation-3

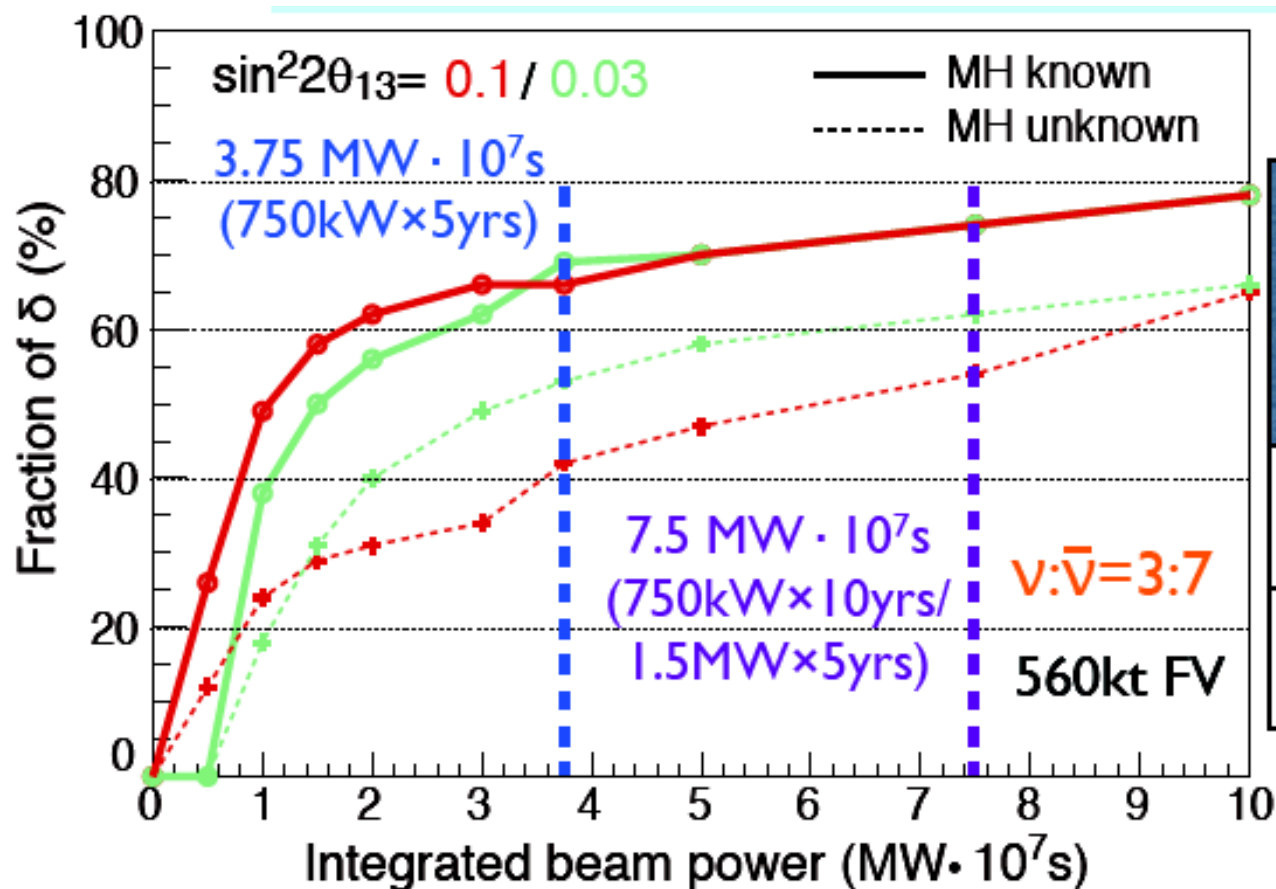
- Disentangling CP from MH

Interplay of CP and Matter Effects



- The simple study of the CP asymmetry is obscured (or enriched) by matter effects (interaction of ν with e in the traversed matter) that mimic a CP effect
- This complication can be seen as a challenge or an opportunity : clean measurement of mass hierarchy

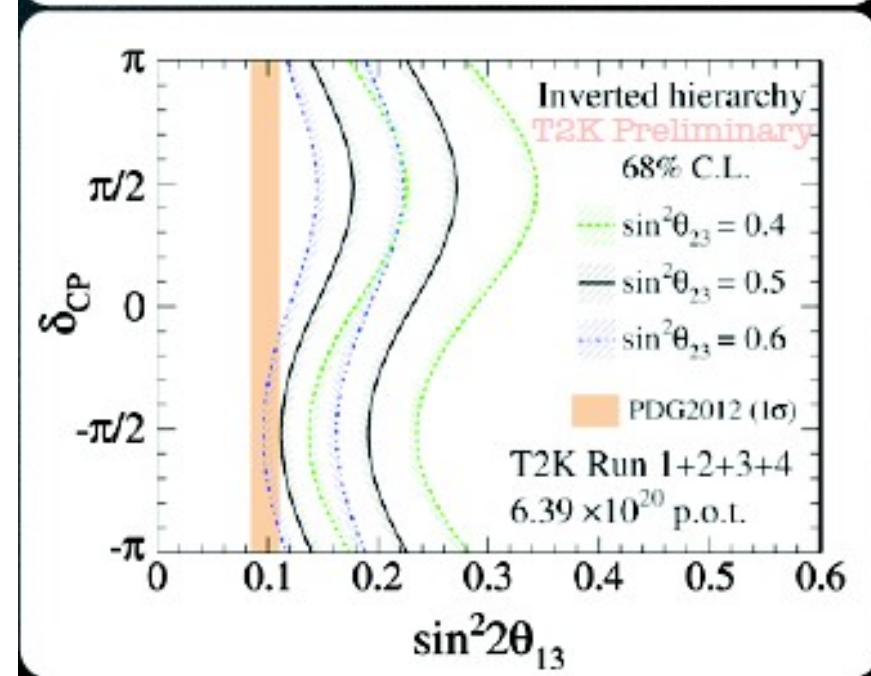
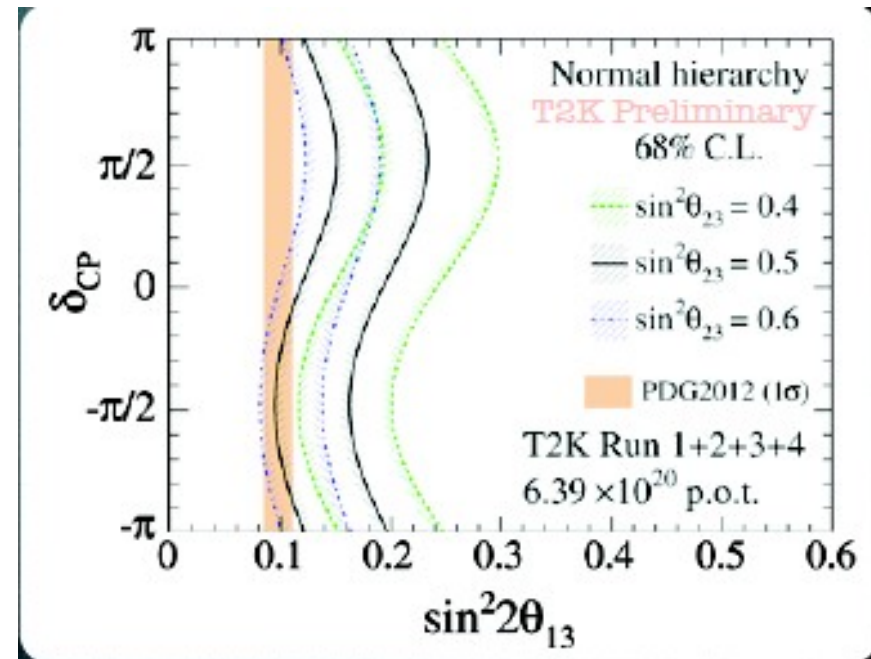
Crucial input for HK



MH knowledge equivalent to ~10 years of HK running

T2K 2013 results

- See talk by Benjamin
- Start excluding delta regions
- Different behaviour according to MH



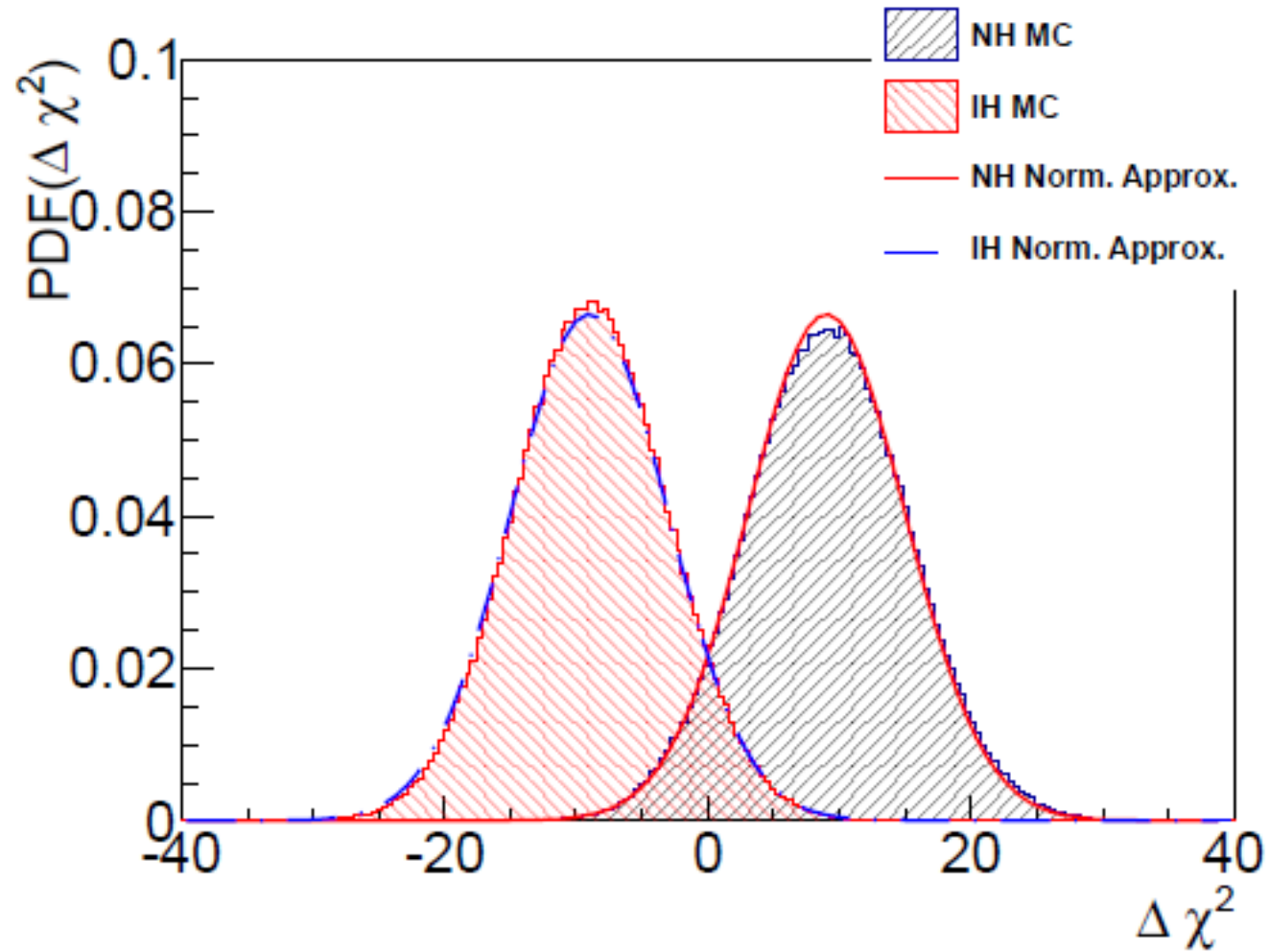
Hypothesis testing-1

- In experimental physics, we often encounter the following question : given a measurement, how well are the data in agreement with a given hypothesis ? How can we choose quantitatively between the default hypothesis H_0 and an alternative hypothesis H_1 ?
- For instance: H_0 = existence of a Higgs boson with $M_H=125 \text{ GeV}/c^{**2}$, H_1 = no Higgs
- Or H_0 =neutrino oscillation with probabilities given by the PMNS model, H_1 = no oscillation

Hypothesis testing-1

- A measurement consists of n data values $X = (x_1, x_2, \dots, x_n)$ (eg n of events in each bin of a distribution) and each hypothesis specifies a pdf $f(X|H_0)$, $f(X|H_1)$ etc
- To measure the agreement between the data and an hypothesis, one constructs a function of the measured variables called a “test statistic” $t(X)$.
- For each of the hypothesis, there is a specific pdf for the statistic t $g(t|H_0)$, $g(t|H_1)$

Example



The Neyman Pearson lemma

- The Neyman-Pearson lemma states that the acceptance region (where we accept H_0) with the best purity for a given efficiency is defined by $g(t|H_0)/g(t|H_1) > c$
- Where c is determined by the required efficiency
- $r = g(t|H_0)/g(t|H_1)$ is called a likelihood ratio

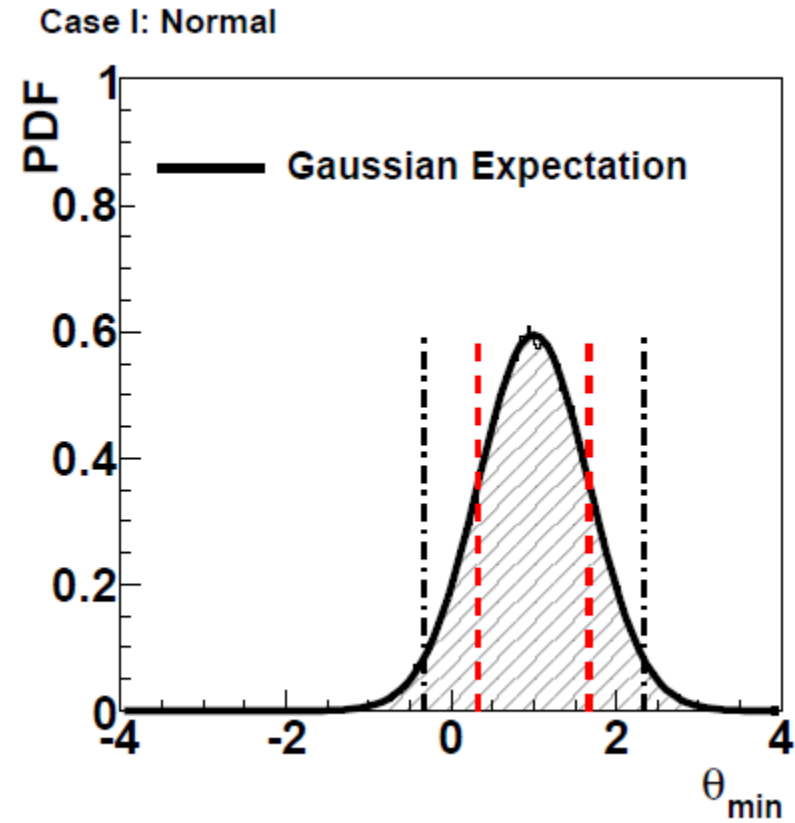
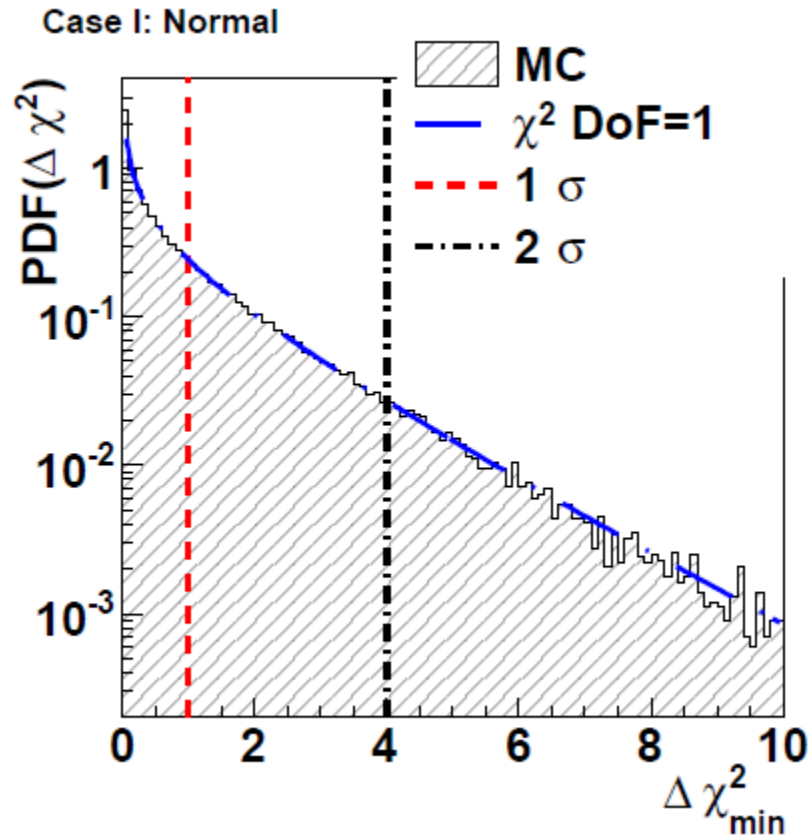
The Wilks theorem (1937)

- This is a special case of the hypothesis testing
- Where one hypothesis (H_0) consists of a subset ω of all acceptable hypotheses Ω (also called “nested set of hypothesis”)
- In the space of n parameters to be fitted $(\theta_1, \theta_2, \dots, \theta_n)$, H_0 is obtained fixing $n-m$ parameters $\theta_{i+m} = \theta_{i+m}^\circ, \theta_n = \theta_n^\circ$
- Then it can be shown that the likelihood ratio $L_\omega(H_0)/L_\Omega(H_1)$ is distributed according to a χ^2 distribution with $n-m$ dof for a large number of events

A typical case

- For a single real variable θ , the hypothesis (H_0) is $\theta = \theta^\circ$
- Here $n=1$, $n-m=1$
- After the measurement, the data are fitted giving θ_{\min}
- Then one can accept or reject H_0 by studying the likelihood ratio $P(\theta^\circ)/P(\theta_{\min})$
- This is equivalent to $\Delta\chi^2 = \chi^2(\theta^\circ) - \chi^2(\theta_{\min})$
- This is distributed as a χ^2 with 1 dof
- How far are the data off from the fit value ? From the definition of $\chi^2 = (x - x_0)^2 / \sigma^2$ (x is normally distributed variable) one can simply read the number of σ as $n = \sqrt{\Delta\chi^2}$. This is related to the p-value. Suppose to redo the experiment many times. How often will the χ^2 be as bad as it has been seen or worse ?

Example



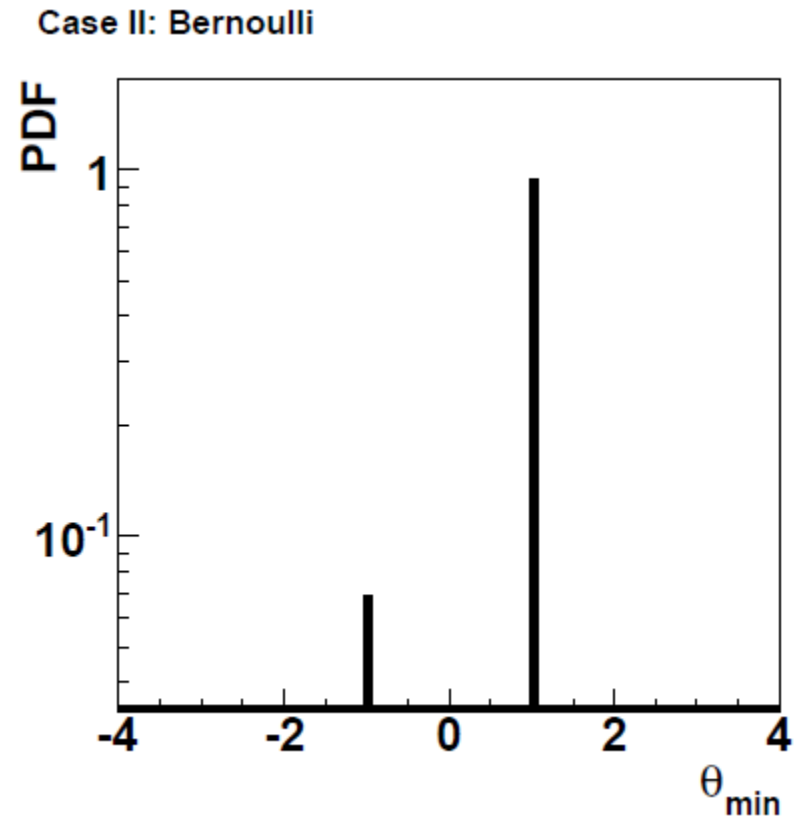
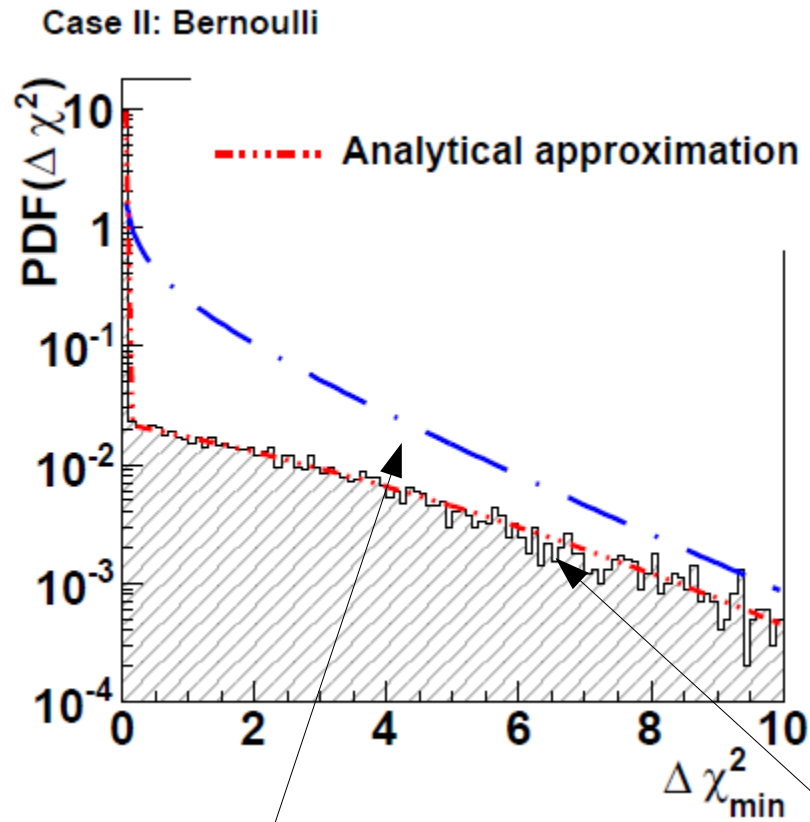
Mass Hierarchy (until recently)

- Until recently the statistical tool to assess the sensitivity consisted of building (say for true NH)
- $\Delta\chi^2 = \chi^2(\text{IH}) - \chi^2(\text{NH})$
- Can we apply the Wilks theorem here and interpret $\Delta\chi^2$ as $(n_\sigma)^2$?

The answer is NO

- X. Qian et al. arXiv:1210.3651v3
- E. Ciuffoli et al. arXiv:1305.5150v2
- F. Capozzi et al. arXiv:1309.1638v1

Evidence that Wilks does not apply



Expected if Wilks theorem holds

Observed (toys) very different distribution

Interpretation

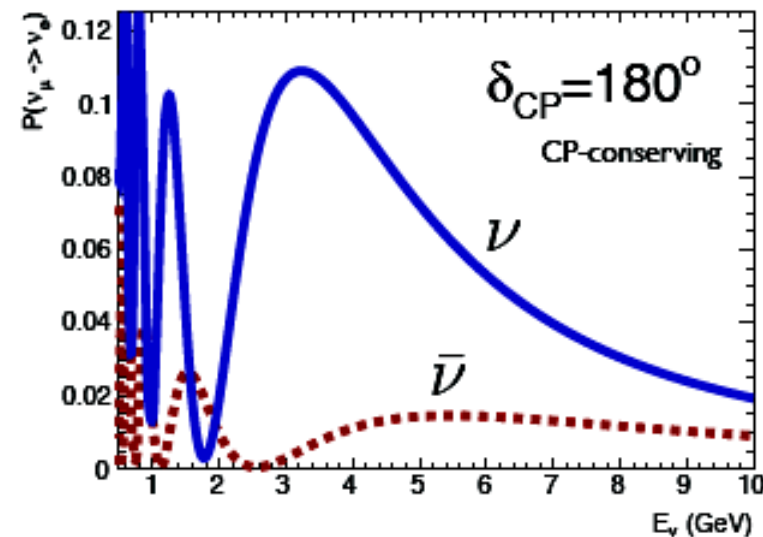
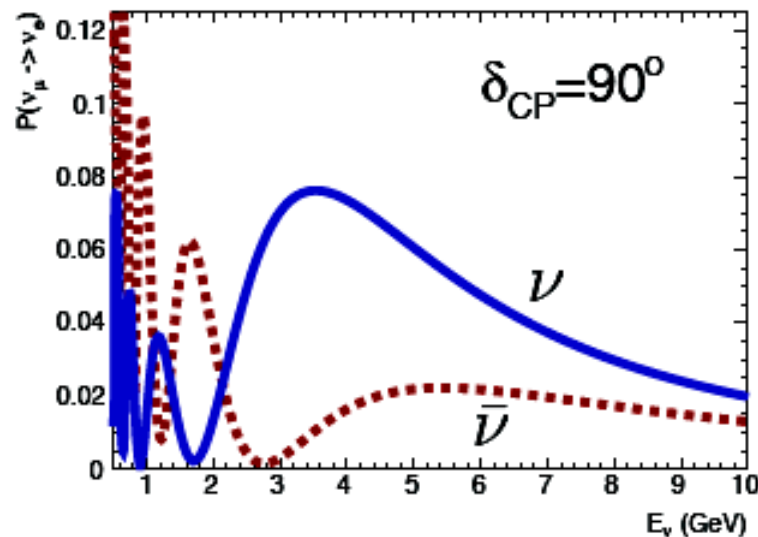
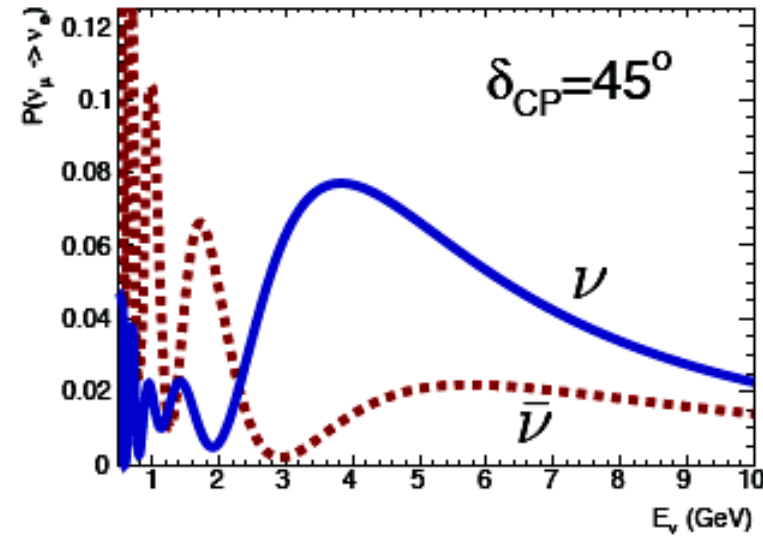
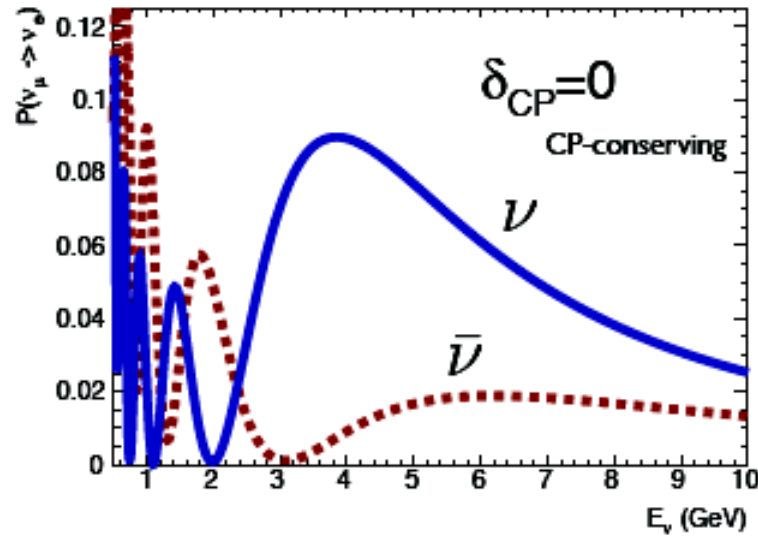
- Ciuffoli et al demonstrate that $\Delta\chi^2$ is distributed as a gaussian with $\sigma=2\sqrt{\Delta\chi^2}$
- Capozzi builds a continuous variable alpha interpolating between NH and IH. Then, $\Delta\chi^2$ should be measured from alpha=0, where hierarchy information is lost, not from the full $\chi^2(\text{IH})-\chi^2(\text{NH})$. The factor 2 is explained in intuitively easy terms

CERN-Pythäsalmi: oscillations

★ Normal mass hierarchy

L=2300 km

$$\sin^2(2\theta_{13}) = 0.09$$



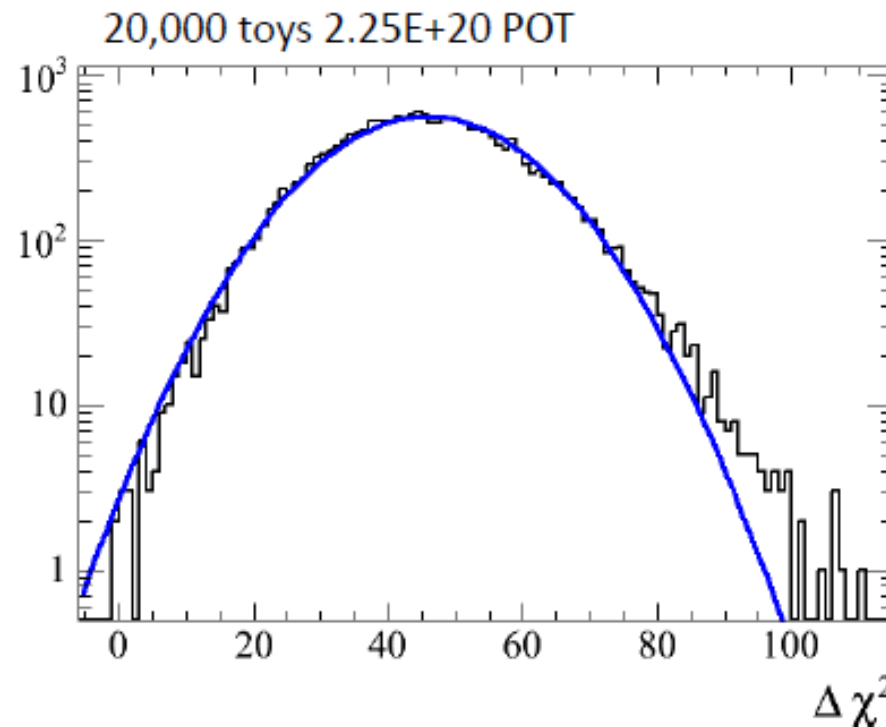
PDF($\Delta\chi^2$) & Significance

- Minimize χ^2 with respect to systematic/oscillation parameters (including δ_{CP}) for each mass hierarchy:
 - $\chi_{\min}^2(NH) = \chi_{true}^2$ ← If the true hierarchy is normal one
 - $\chi_{\min}^2(IH) = \chi_{false}^2$
- Calculate $\Delta\chi^2 = \chi_{false}^2 - \chi_{true}^2$ for each toy data set
- If $\Delta\chi^2 < 0$, then the wrong solution is preferred
- Significance:

$$\Pr(\Delta\chi^2 \geq 0) = \int_0^{\infty} \text{PDF}(\Delta\chi^2) d\Delta\chi^2$$

LBNO

Example: PDF($\Delta\chi^2$) in LBNO for $\delta_{CP} = 90^\circ$



Fit with a Gaussian gives significance of 3.46σ (cf. 3.57σ for Qian et al.)

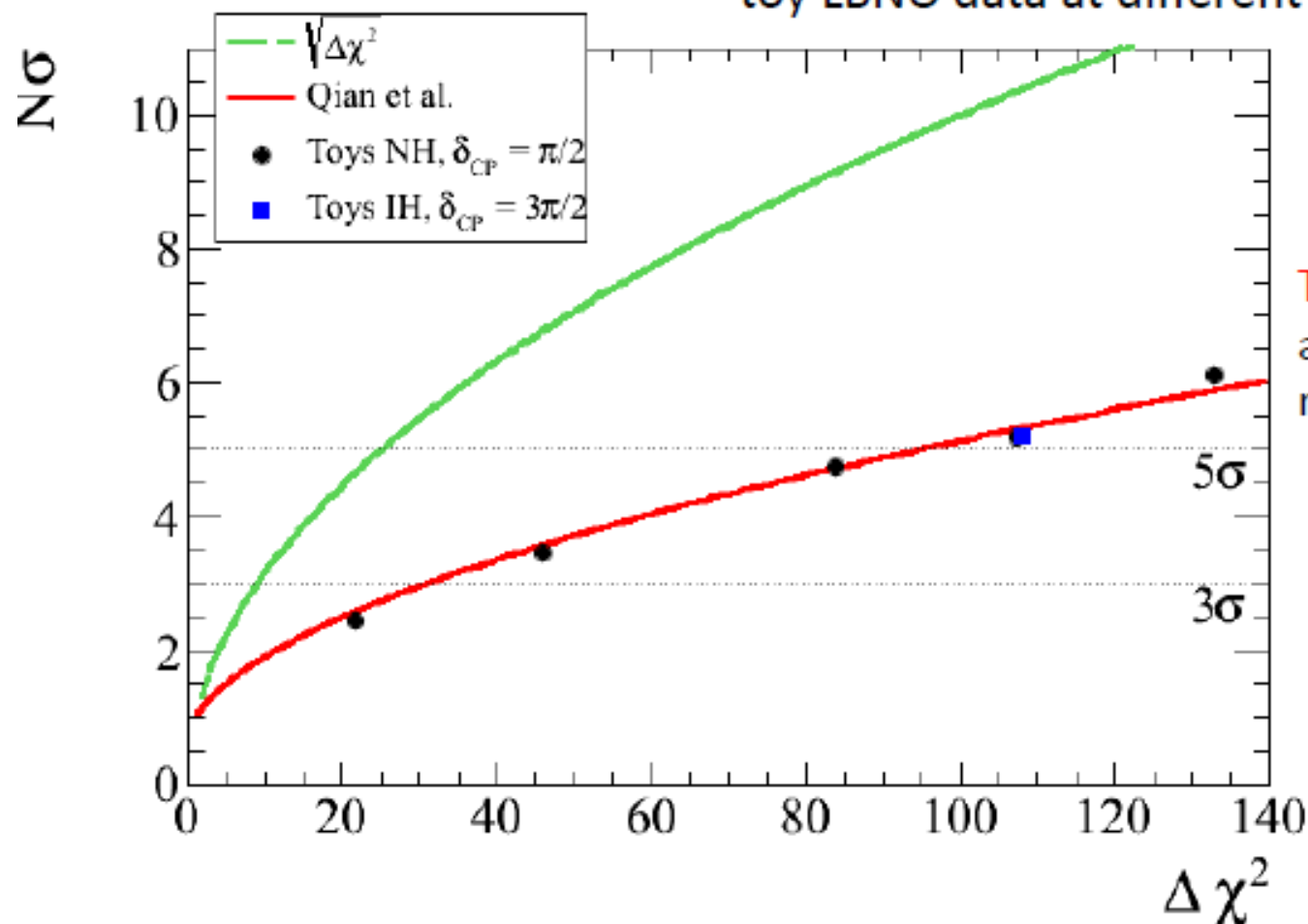
The naïve calculation for $\Delta\chi^2 \sim 45$ gives $\sqrt{45} = 6.7\sigma$

Fit overestimates the negative tail (the distribution is skewed to +ve values).

Skewed gaussian fit \rightarrow the effect is 6 -- 7%

Confidence in MH determination

Points are confidence levels estimated from 20,000 toy LBNO data at different exposures

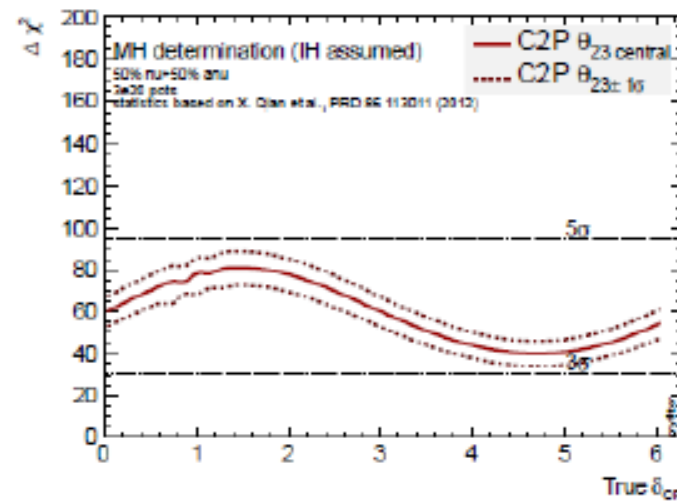
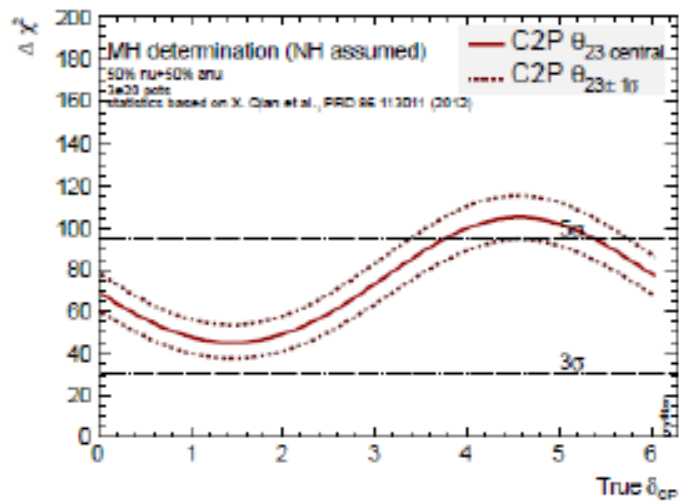


The model of Qian et al., appears to be working reasonable well for LBNO

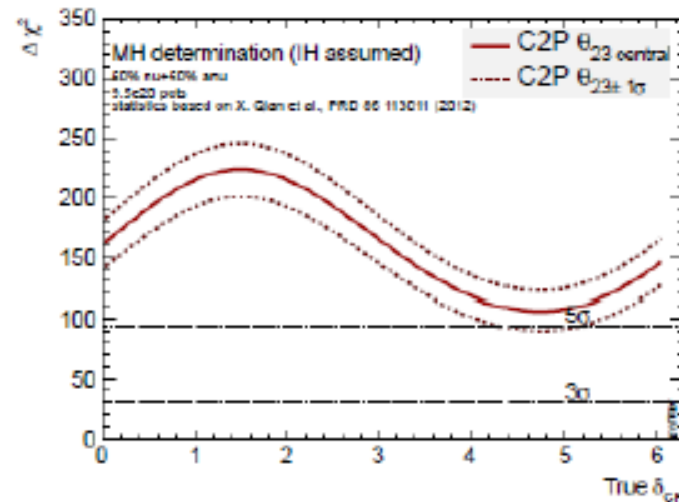
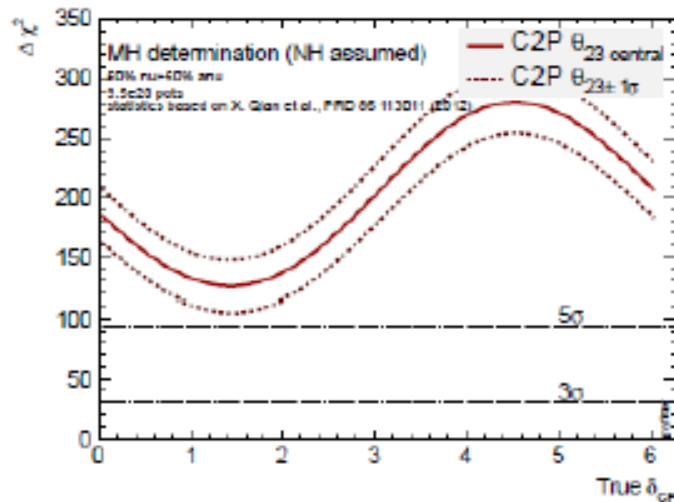
$$3\sigma: \Delta\chi^2 = 30.96$$

$$5\sigma: \Delta\chi^2 = 94.66$$

MH determination in LBNO



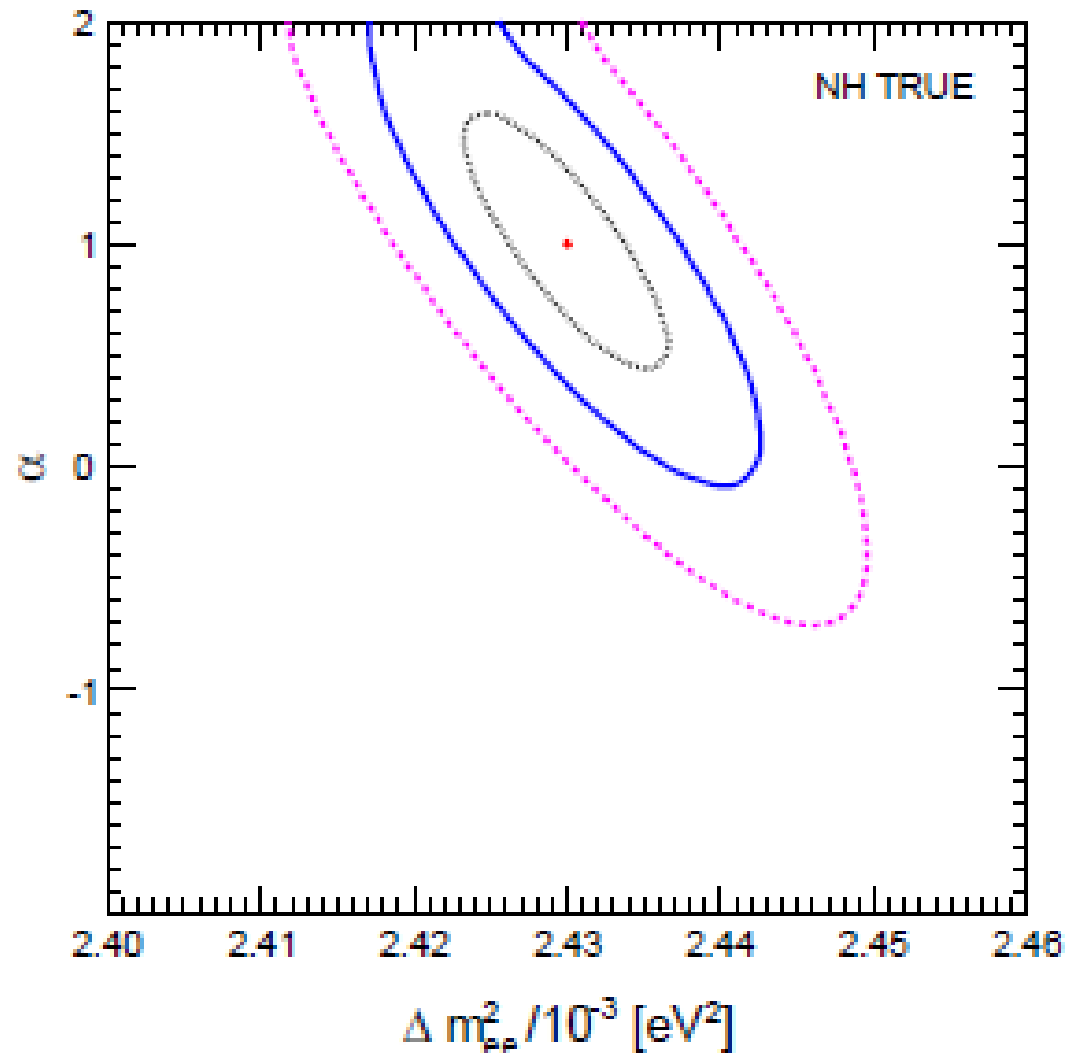
2-3y run → sensitivity
@ 3σ level



6-7y run → sensitivity
@ 5σ level

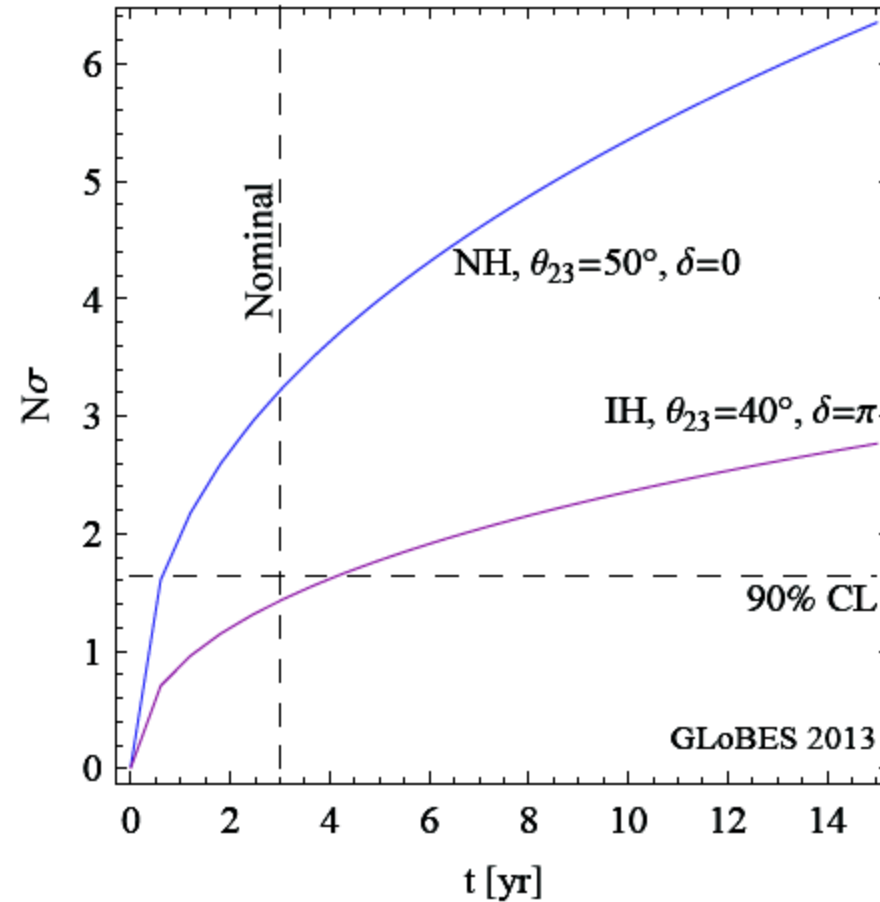
Other methods

- JUNO



Using correct MH statistics

PINGU



Not corrected for MH statistics

Conclusions

- Never apply “widely used statistical recipes” without paying attention to the specific problem
- If any doubt, check with toys
- The sensitivity reported for all the Neutrino Mass Hierarchy determinations needs to be reevaluated. With good approximation the n of sigmas should be divided by 2
- MH will be much more difficult than previously thought
- One good experiment with n sigmas=5 or more is much more valuable than many experiments with 1-2 sigmas