Nonequilibrium effects at the QCD phase transition

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Mini-Workshop QCD, Nantes, January 2013







... be brave and solve

$$Z(T, \mu_B) = \int \mathcal{D}(A, q, q^{\dagger}) \mathrm{e}^{-S_{\mathrm{QCD}}^{E}}$$

ab initio and nonperturbatively,

... be strong and collide heavy ions at ultrarelativistic energies,







Being brave

The critical point in lattice QCD

Crossover at $\mu_B = 0$ and T = [145, 165] MeV

(Wuppertal-Budapest JHEP 1009 (2010),HotQCD Pos LATTICE2010 (2010)) Fermionic sign problem at $\mu_B \neq 0 \rightarrow$ usual MC sampling fails! Methods to explore the $T - \mu_B$ -plane:

Reweighting



(Z. Fodor, S.D. Katz, JHEP 0203 (2002))

(G. Endrodi, Z. Fodor, S. D. Katz, K. K. Szabo, JHEP **1104** (2011))

• Imaginary μ_B (de Forcrand, Philipsen): $\mu_B^c > 500 \text{ MeV}$

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Being strong

The critical point in heavy-ion collisions

Coupling of the order parameter to pions $g\sigma\pi\pi$ and protons $G\sigma\bar{p}p \Rightarrow$ fluctuations in multiplicity distributions

 $\langle (\delta \mathbf{N})^2 \rangle \propto \langle (\Delta \sigma)^2 \rangle \propto \xi^2$

 ξ : correlation length of fluctuations of the order parameter, diverges at the CP

(M. Stephanov, K. Rajagopal, E. Shuryak, PRL 81 (1998), PRD 60 (1999))

Higher cumulants are more sensitive to the CP

$$egin{aligned} &\langle (\delta \textit{N})^3
angle \propto \xi^{4.5} \ &\langle (\delta \textit{N})^4
angle - 3 \langle (\delta \textit{N})^2
angle^2 \propto \xi^7 \end{aligned}$$

(M. Stephanov, PLB 102 (2009), PRL 107 (2011))



(STAR collaboration, QM2012)

Being strong

The critical point in dynamic systems

long relaxation times near a critical point \Rightarrow critical slowing down \Rightarrow the system is driven out of equilibrium phenomenological equation:

$$rac{\mathsf{d}}{\mathsf{d}t}m_{\sigma}(t) = -\Gamma[m_{\sigma}(t)](m_{\sigma}(t) - rac{\mathsf{1}}{\xi_{\mathsf{eq}}(t)})$$



(B. Berdnikov and K. Rajagopal, PRD 61 (2000)); D.T.Son, M.Stephanov, PRD 70 (2004); M.Asakawa, C.Nonaka, Nucl. Phys. A774 (2006))

Being strong

Effects at the first order phase transition

 Instability of slow modes at the spinodal lines (spinodal decomposition)

(I. Mishustin, PRL 82 (1999); C. Sasaki, B. Friman,

K. Redlich, PRD 77 (2008))

Significant amplification of density irregularities

(J. Steinheimer, J. Randrup, PRL 109 (2012))



... be brave and solve

$$Z(T, \mu_B) = \int \mathcal{D}(A, q, q^{\dagger}) \mathrm{e}^{-S_{\mathrm{QCD}}^{E}}$$

ab initio and nonperturbatively,

... be strong and collide heavy ions at ultrarelativistic energies,

... be creative and study effective models of QCD.



 $\mathcal{L}_{ ext{eff}}$

... be brave and solve

$$Z(T, \mu_B) = \int \mathcal{D}(A, q, q^{\dagger}) \mathrm{e}^{-S_{\mathrm{QCD}}^{E}}$$

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Critical point



- Correlation length diverges $\xi = \frac{1}{m_{\sigma}} \rightarrow \infty$
- Universality classes for QCD: $\mathcal{O}(4)$ Ising model in $3d \Rightarrow \langle \sigma^2 \rangle \propto \xi^2$
- Renormalization group
- Critical opalescence



 \Rightarrow Large event-by-event fluctuations in thermal systems!

First order phase transitions

- Two degenerate minima separated by a barrier
- Latent heat
- Phase coexistence
- Supercooling effects in nonequilibrium situations
- Nucleation
- Spinodal decomposition
 (I.N.Mishustin, PRL 82 (1999); Ph.Chomaz, M.Colonna,

J.Randrup, Physics Reports 389 (2004))



 \Rightarrow (Large) fluctuations in single events in nonequilibrium situations!

Fluctuations are different, but all are interesting!

• Crossover: remnants of the $\mathcal{O}(4)$ criticality.

(V. Skokov, B. Stokic, B. Friman and K. Redlich, PRC 82 (2010), V. Skokov, B. Friman and K. Redlich, PRC 83 (2011), V. Skokov, B. Friman and K. Redlich, PLB 708 (2012))

- Critical point: divergent event-by-event fluctuations in thermodynamic equilibrium.
- First order phase transition: large nonstatistical fluctuations in η/p_T spectra in individual events.

Motivation: Heavy-ion collisions are dynamic, inhomogeneous and finite in space and time.

- ? Can nonequilibrium effects become strong enough to develop signals of the first order phase transition?
- ? Do enhanced equilibrium fluctuations at the critical point survive the dynamics?

The linear sigma model with constituent quarks

$$\mathcal{L} = \overline{q} \left[i\gamma^{\mu}\partial_{\mu} - g \left(\sigma + i\gamma_{5}\tau\vec{\pi}\right) \right] q + \frac{1}{2} \left(\partial_{\mu}\sigma\right)^{2} + \frac{1}{2} \left(\partial_{\mu}\vec{\pi}\right)^{2} - U \left(\sigma,\vec{\pi}\right)$$
$$U \left(\sigma,\vec{\pi}\right) = \frac{\lambda^{2}}{4} \left(\sigma^{2} + \vec{\pi}^{2} - \nu^{2}\right)^{2} - h_{q}\sigma - U_{0}$$

g = 3.3: crossover at $\mu =$ 0

g = 5.5: first order pt at $\mu = 0$



(O. Scavenius, A. Mocsy, I.N. Mishustin, D.H. Rischke, PRC 64 (2001); C.E. Aguiar, E.S. Fraga, T. Kodama, J.Phys.G 32 (2006))

Nonequilibrium chiral fluid dynamics - N χ FD

• Langevin equation for the sigma field: damping and noise from the interaction with the quarks

$$\partial_{\mu}\partial^{\mu}\sigma + \frac{\delta U}{\delta\sigma} + g\rho_{s} + \eta\partial_{t}\sigma = \xi$$

 Fluid dynamic expansion of the quark fluid = heat bath, including energy-momentum exchange

$$\partial_{\mu}T^{\mu\nu}_{q}=S^{\nu}=-\partial_{\mu}T^{\mu\nu}_{\sigma}$$

• Nonequilibrium equation of state

$$p = p(e, \sigma)$$

Selfconsistent approach within the two-particle irreducible effective action!

(MN, S. Leupold, C. Herold, M. Bleicher, PRC 84 (2011))

The two-particle irreducible (2PI) effective action

Resummation of subdiagrams \rightarrow full propagators For the σ mean field and the full quark propagators S^{ab}

$$\Gamma[\sigma, S] = S_{\rm cl}[\sigma] - i \mathrm{Tr} \ln S^{-1} - i \mathrm{Tr} S_0^{-1} S + \Gamma_2[\sigma, S] ,$$

equation of motion for σ and S^{ab}

$$\frac{\delta\Gamma[\sigma, S]}{\delta\sigma^{a}} = 0 \quad \text{and} \quad \frac{\delta\Gamma[\sigma, S]}{\delta S^{ab}} = 0$$

give conserving transport equations if the self-energy is given by

$$-i\Sigma^{ab}(x,y) = -\frac{\delta\Gamma_2[\sigma,S]}{\delta S^{ab}(x,y)}$$

Dyson-Schwinger equation for S^{ab}

$$(i\partial - m_f)S^{ab}(x,y) - i\int_{\mathcal{C}} d^4 z \Sigma^{ac}(x,z)S^{cb}(z,y) = i\delta_{\mathcal{C}}^{ab}(x-y)$$

(J. M. Luttinger, J. C. Ward, Phys. Rev. 118 (1960); G. Baym, L. P. Kadanoff, Phys. Rev. 124 (1961); G. Baym, Phys. Rev. 127 (1962))

$$\Gamma_{2}[\sigma, S] = g \int_{\mathcal{C}} d^{4}x \operatorname{tr}(S^{++}(x, x)\sigma^{+}(x) + S^{--}(x, x)\sigma^{-}(x))$$

equation of motion for the σ mean field

$$-\frac{\delta S_{\rm cl}[\sigma]}{\delta \sigma^{a}} = \frac{\delta \Gamma_{2}[\sigma, S]}{\delta \sigma^{a}} = g {\rm tr} S^{aa}(x, x)$$

the effective action along the contour

$$\Gamma[\sigma, S] = g \operatorname{tr} S_{\operatorname{th}}^{++}(x, x) \Delta \sigma(x) - \frac{T}{V} \ln Z_{\operatorname{th}}$$

$$+ \int d^4 x D[\bar{\sigma}](x) \Delta \sigma(x)$$

$$+ \frac{i}{2} \int d^4 x \int d^4 y \Delta \sigma(x) \mathcal{I}[\bar{\sigma}](x, y) \Delta \sigma(y)$$
with $\Delta \sigma = \sigma^+ - \sigma^-$ and $\bar{\sigma} = 1/2(\sigma^+ + \sigma^-)$ on the contour



The 2PI effective action - term by term

$$\begin{split} \Gamma[\sigma, S] &= -\frac{T}{V} \ln Z_{\text{th}} + g \text{tr} S_{\text{th}}^{++}(x, x) \Delta \sigma(x) \\ &+ \int d^4 x \mathcal{D}[\bar{\sigma}](x) \Delta \sigma(x) + \frac{i}{2} \int d^4 x \int d^4 y \Delta \sigma(x) \mathcal{I}[\bar{\sigma}](x, y) \Delta \sigma(y) \end{split}$$

equilibrium properties, equation of state: $-\frac{7}{V} \ln Z_{\text{th}}$ lowest order in the eq. of motion for the σ field: $g \text{tr} S_{\text{th}}^{++}(x, x) \Delta \sigma(x)$ dissipative processes: $\int d^4 x D[\bar{\sigma}](x) \Delta \sigma(x)$ origin of fluctuations: $\frac{i}{2} \int d^4 x \int d^4 y \Delta \sigma(x) \mathcal{I}[\bar{\sigma}](x, y) \Delta \sigma(y)$

Equilibrium properties

$$\Omega_{\rm eff} = -\frac{T}{V} \ln Z_{\rm th} = -d_q T \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \ln \left(1 + \exp\left(-\frac{E}{T}\right)\right) + U(\sigma, \vec{\pi})$$

with dynamically generated quark masses $E = \sqrt{p^2 + g^2 \sigma^2}$



Lowest order

$$\mathrm{tr} \mathcal{S}_{\mathrm{th}}^{++}(x,x) = 2 d_q m_q \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \frac{n_{\mathrm{F}}(p)}{E} = \rho_s$$

equation of motion for the sigma field:

$$\partial_{\mu}\partial^{\mu}\sigma+rac{\delta U}{\delta\sigma}+g
ho_{s}=0$$

equivalent to

$$\partial_{\mu}\partial^{\mu}\sigma + \frac{\delta U}{\delta\sigma} + \frac{\delta\Omega_{\rm eff}}{\delta\sigma} = 0$$

(I. N. Mishustin and O. Scavenius, PRL 83 (1999); K. Paech, H. Stöcker and A. Dumitru, PRC 68 (2003))



The damping coefficient

Solve $\int d^4x D[\bar{\sigma}](x) \Delta \sigma(x)$ explicitly for the zero-mode:

$$\eta = g^2 \frac{d_q}{\pi} \left(1 - 2n_{\rm F}\left(\frac{m_\sigma}{2}\right)\right) \frac{\left(\frac{m_\sigma^2}{4} - m_q^2\right)^{\frac{3}{2}}}{m_\sigma^2}$$

below T_c : $\sigma \rightarrow \pi \pi$ \Rightarrow $\eta = 2.2/fm$ (T. S. Biro and C. Greiner, PRL **79** (1997))



The origin of fluctuations

imaginary part of $\boldsymbol{\Gamma}$ is interpreted as stochastic fluctuations

$$\begin{aligned} \exp[-\frac{1}{2}\int \mathrm{d}^4x\int \mathrm{d}^4y\Delta\sigma(x)\mathcal{I}(x,y)\Delta\sigma(y)] \\ &= \int \mathcal{D}\xi \mathcal{P}[\xi]\exp[i\int \mathrm{d}^4x\xi(x)\Delta\sigma(x)] \end{aligned}$$

 $P[\xi]$ Gaussian measure with

$$egin{aligned} &\langle \xi
angle &= 0 \ &\langle \xi(t) \xi(t')
angle &= \mathcal{I}^{-1}(t, \mathbf{x}; t', \mathbf{y}) \end{aligned}$$

in white noise approximation:

$$\langle \xi(t)\xi(t')\rangle = \frac{1}{V}\delta(t-t')m_{\sigma}\eta \coth\left(\frac{m_{\sigma}}{2T}\right)$$

Evolution in a box

- Nonexpanding, finite heat bath
- Initialize the sigma field in equilibrium at $T > T_c$
- Initialize the energy density at a $T_{\rm sys} < T_c$
- Update sigma field on the grid according to the Langevin equation

Equilibration for a heat bath with reheating Critical point



$T_c = 139.8 \text{ MeV}$

- During relaxation of the *σ*-field the temperature of the heat bath increases.
- Coupled dynamics equilibrate at a given T_{eq} and σ_{eq} .
- Green curves correspond to scenarios with *T*_{eq} near *T_c*.
 ⇒ Critical slowing down!

Equilibration for a heat bath with reheating

First order phase transition



(MN, S. Leupold, M. Bleicher, PLB 711 (2012))

 $T_c = 123.3 \text{ MeV}$

- Strong reheating during relaxation of the *σ*-field.
- Long (initial) relaxation times for $T_{\rm sys}$ close to the phase transition.
- Except for the scenario with $T_{\rm sys} = 20$ MeV the heat bath reheats to $T > T_c$.
- System gets trapped in metastable states.

Fluid dynamic expansion of the heat bath

- Very simple initial conditions: almond-shaped initial temperature distribution, sigma field and energy density in equilibrium at T(x)
- 3+1d fluid dynamic expansion
- Update sigma field on the grid according to the Langevin equation
- Very good energy conservation



Reheating and supercooling



- Oscillations at the critical point
- Supercooling of the system at the first order phase transition
- Reheating effect visible at the first order phase transition

MN, M. Bleicher, S. Leupold, I. Mishustin, arXiv:1105.1962

Intensity of sigma fluctuations

in single events



16

14

16

Pion fluctuations

So far: pion fluctuations were not considered and $\vec{\pi} = \langle \vec{\pi} \rangle = 0$. Propagate pion fluctuations, too:



Larger pion fluctuations in a scenario with a first order phase transition!

Realistic initial conditions

initial conditions from the hybrid UrQMD+hydro approach (profiles from Pb+Pb at $E_{lab} = 40A$ GeV)

(H.Petersen et al. PRC 78 (2008))



Dynamic domain formation

First order phase transition

Sigma field fluctuations:
$$\Delta\sigma=\sqrt{(\sigma-\sigma_{eq})^2}$$





• Highly supercooled state at t = 4.0 fm/c.

Dynamic domain formation

First order phase transition

Sigma field fluctuations:
$$\Delta \sigma = \sqrt{(\sigma - \sigma_{eq})^2}$$





- Highly supercooled state at t = 4.0 fm/c.
- Dynamic formation of domains at t = 5.6 fm/c.

Dynamic domain formation

First order phase transition

Sigma field fluctuations:
$$\Delta \sigma = \sqrt{(\sigma - \sigma_{eq})^2}$$



- Highly supercooled state at t = 4.0 fm/c.
- Dynamic formation of domains at t = 5.6 fm/c.
- Dynamic decay of domains at t = 7.2 fm/c.

This could lead to non-statistical fluctuations in hadron multiplicities.

Trajectories and isentropes at finite μ_B



- Grey: equilibrium isentropes (s/n = const.), color: (T)-(μ_B) trajectories from simulations.
- Fluid trajectories differ from the (equilibrium) isentropes due to interaction with the fields.

Evolution of quark number density

Crossover - critical point



(work in progress C. Herold, MN, I. Mishustin, M. Bleicher)

Evolution of quark number density

Crossover - critical point



during the evolution initial density



(work in progress C. Herold, MN, I. Mishustin, M. Bleicher)

Evolution of quark number density

Crossover - critical point

during the evolution

€U>/C

initial density

(work in progress C. Herold, MN, I. Mishustin, M. Bleicher)

200

 $\langle \mu \rangle / MeV$

250

300

350

150

100

'50

Formation of high quark number density domains

First order phase transition

initial density

(work in progress C. Herold, MN, I. Mishustin, M. Bleicher)

Formation of high quark number density domains

First order phase transition

during the evolution

(work in progress C. Herold, MN, I. Mishustin, M. Bleicher)

Formation of high quark number density domains

€U>/C

First order phase transition

during the evolution

initial density

after t = 12 fm

(work in progress C. Herold, MN, I. Mishustin, M. Bleicher)

200

 $\langle \mu \rangle / \text{MeV}$

250

300

350

150

50

100

Dynamic enhancement of event-by-event fluctuations

Event-by-event fluctuations

correlation length from $G(r) \propto \exp(-r/\xi)$

temperature

- Dynamic correlation length grows up to \simeq 2.5 fm.
- Enhanced event-by-event fluctuations of the order parameter, $\langle \sigma_V^2 \rangle \propto \xi^2$.
- Initial fluctuations are washed out during the first 1 fm.
- Delay between the averaged T_c and the peak in ξ and ⟨σ_V²⟩.

Not included (yet)

not presented:

Polyakov-loop extended NχFD

(C. Herold, MN, I. Mishustin, M. Bleicher, arXiv:1301.1214)

· Effects of the inhomogeneity of the system

(MN, C. Herold, M. Bleicher, arXiv:1301.2577)

not included:

- Quantum dynamics (e.g. J. Berges et al., PRL 107 (2011) 061301)
- Fluid dynamic fluctuations and viscosities

(J. Kapusta, B. Mueller, M. Stephanov, PRC 85 (2012); Acta Phys. Polon. B 43 (2012))

Final state interaction

Summary

- Dynamic domain formation (*σ* and n) at the first order phase transition.
- ? Can nonequilibrium effects become strong enough to develop signals of the first order phase transition?
- Dynamic correlation length ξ grows up to \simeq 2.5 fm.
- Dynamic enhancement of event-by-event-fluctuations of the order parameter (σ) at the critical point.
- ? Do enhanced equilibrium fluctuations at the critical point survive the dynamics?

Summary

- Dynamic domain formation (*σ* and n) at the first order phase transition.
- Yes, nonequilibrium dynamics can lead to signals at the first order phase transition!
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- Yes, nonequilibrium dynamics can lead to signals at the first order phase transition!
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- Dynamic enhancement of event-by-event-fluctuations of the order parameter (*σ*) at the critical point.
- Yes, the critical fluctuations develop even in the situation of a nonequilibrium, dynamic simulation of heavy-ion collisions!

Energy-momentum conservation

for the full propagator:

$$\partial_{\mu}(T_{q}^{\mu\nu}+T_{\sigma}^{\mu\nu})=\mathbf{0}$$

HERE, approximation of an ideal fluid and the source term

$$egin{aligned} \partial_\mu T^{\mu
u}_{ ext{q}} = g ext{tr} S^{++}_{ ext{th}}(x,x) \ &= -\partial_\mu T^{\mu
u}_\sigma = S^
u \end{aligned}$$

(MN, M. Bleicher, S. Leupold, I. Mishustin, arXiv:1105.1962)

Energy transfer between the field and the heat bath

$$\Delta E_{\rm diss} \simeq -\partial_{\mu} T_{\sigma}^{\mu 0} \Delta t = (g\rho_{s} + \eta \partial_{t}\sigma) \partial_{t} \sigma \Delta t$$

The total energy of the σ field

$$E_{\sigma} = 1/2\partial_t \sigma^2 + 1/2\vec{\nabla}\sigma^2 + U(\sigma)$$