

# Mass hierarchy discrimination with atmospheric neutrinos in large volume ice/water Cherenkov detectors

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# Overview

- development of the new statistical approach
  - 2 unbinned likelihood for hierarchies
  - 2 figures of merit of the experiment:
    - p-value
    - false positive fraction
- Minimal exposure for the discovery calculation
- Testing impact of the model uncertainties
  - neutrino fluxes
  - oscillation parameters
  - ...
- Testing impact of the detector performance
- *We were concentrated on muons only (no shower reconstruction was assumed).*

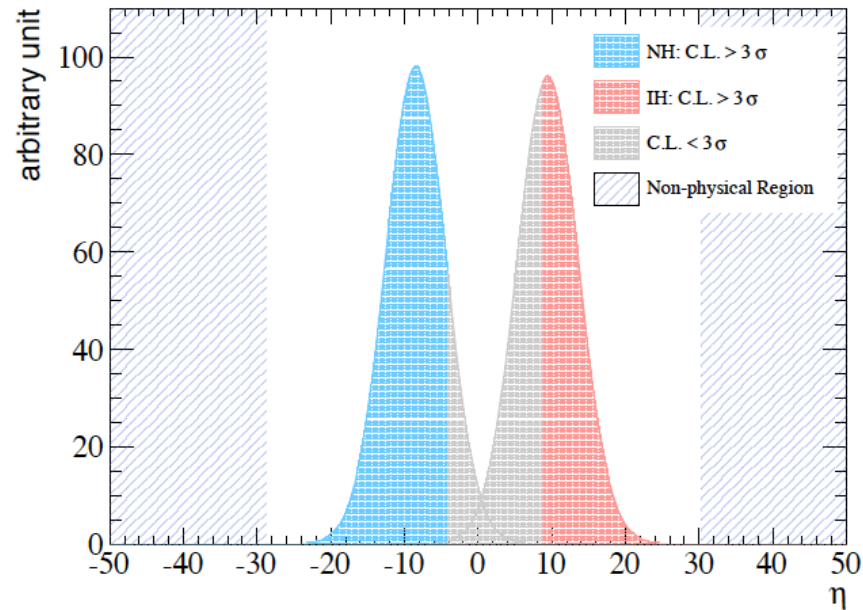
# Method

- True hypothesis. Simulations for a given set of parameters -> test experiments.
- Model hypothesis to calculate unbinned likelihood:

$$L_j = \frac{(e^{-\mu_j} \mu_j^n)}{n!} \times \prod_{i=1}^n \text{pdf}_j(E_i, \theta_i)$$

- Two likelihoods – for IH model and NH model
- Test statistic  $\eta = \log(L_{\text{IH}}/L_{\text{NH}})$

# Test statistic distributions

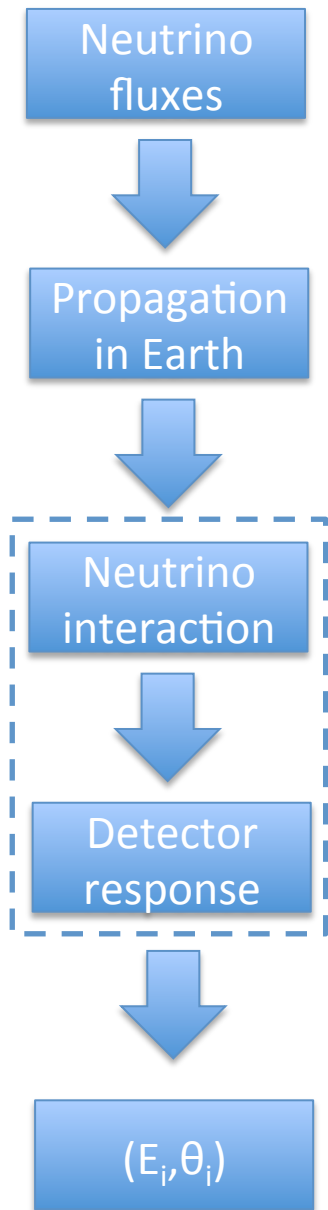


- The Gaussianity was demonstrated with dedicated high statistic tests.
- Choose sigma for C.L. ( $3\sigma$ ,  $5\sigma$ ).
- p-value at given C.L. is a fraction of experiments corresponding to

$$\frac{N_t(\eta)}{N_{\text{NH}}(\eta) + N_{\text{IH}}(\eta)} > \alpha \quad \begin{array}{l} t=\text{NH, IH} \\ \alpha(\sigma) \end{array}$$

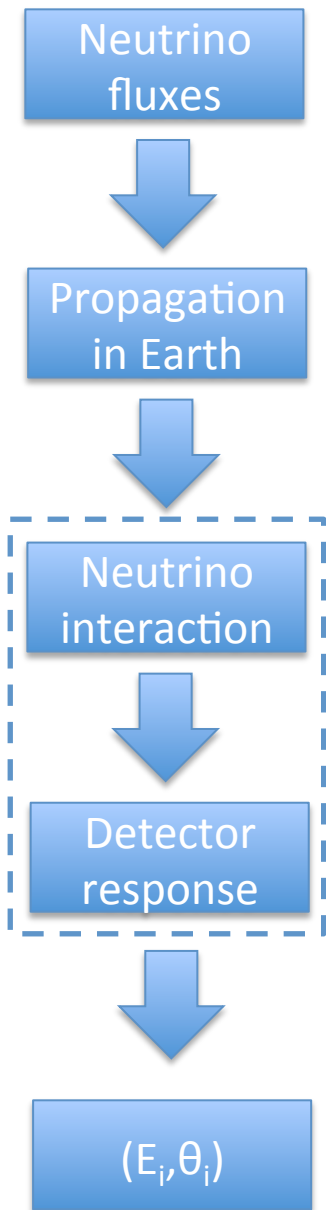
# Toy MC

- fast MC tool for **mass** simulations of the detected events  $(E_i, \theta_i)$
- events  $(E_i, \theta_i)$  are used
  - to create  $\text{pdf}(E, \theta)$  for the two model hypothesis  $(IH, NH)$
  - to calculate test statistics for the test experiments
  - 1000 test experiments to plot distributions and calculate p-value



# Toy MC scheme

- Simulations is just a fishing from some pre-generated matrixes.
- Basic ingredients
  - flux model
  - propagator: neutrino oscillation parameters, Earth profile, neutrino cross-sections
  - detector performance
    - The performance should be parameterized (energy resolution, angular resolution, effective mass)*



1. Baseline atmospheric neutrino flux: HONDA et al.(PRD 2005).  
2D matrix (E,cosθ)
2. PREM. 1000 steps for each baseline(θ). Oscillation probabilities calculated for fixed values of cos(θ) ranging from 0 to 1 with 0.02 step (1D matrix).
3. Cross sections from GloBES. (E, cosθ) matrixes with expected number of nu+anu events using (1)&(2) and assuming 1Mt effective mass.  
Neutrino muon kinematics simulated with GENIE. 2 distributions  
E<sub>μ</sub>(E<sub>ν</sub>) and θ(E<sub>ν</sub>). Random fishing from them.
4. So far track/energy reconstruction of the muons only.  
Final matrixes for the muons 5 GeV – 40 GeV  
(reasonable energy and track reconstruction, well known cross-sections).

# Reference oscillation parameters.

NH:  $m_1 < m_2 < m_3$ , with  $\Delta m_{21}^2 \equiv \delta m^2$  and  $\Delta m_{32}^2 \simeq \Delta m_{31}^2 \equiv \Delta m^2$

IH:  $m_3 < m_1 < m_2$ , with  $\Delta m_{21}^2 \equiv \delta m^2$  and  $\Delta m_{23}^2 \simeq \Delta m_{13}^2 \equiv \Delta m^2$

assuming this formalism, the values of  $\Delta m_{31}^2$  are different for IH, NH both from theoretical and experimental view shift is not constrained (CP is not known)

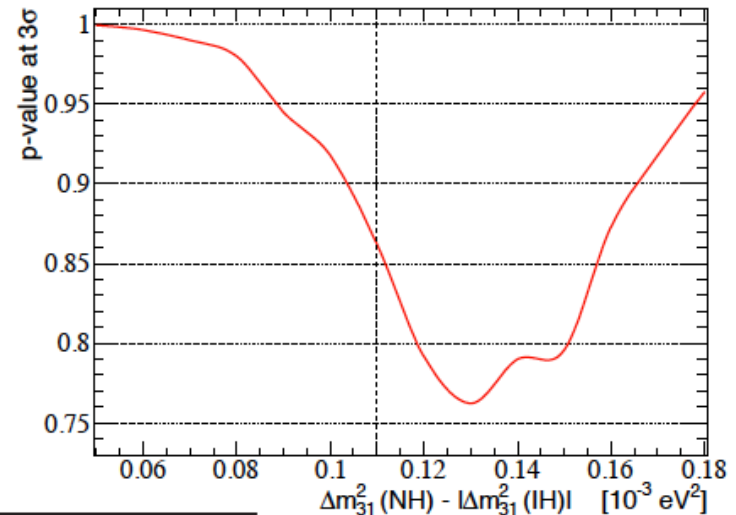
- No fit is done. The “worst case discrimination scenario” was chosen for

$$\Delta m_{31}^2(\text{NH}) - |\Delta m_{31}^2(\text{IH})|$$

low exposure for this plot (34 Mt x year)

- for bigger exposure shift has minor impact

$$\begin{aligned} \delta m_{31}^2 &= \Delta m_{31}^2(\text{NH}) - |\Delta m_{31}^2(\text{IH})| \\ &= 2\Delta m_{21}^2(\cos^2 \theta_{12} - \cos \delta_{CP} \sin \theta_{13} \sin 2\theta_{12} \tan \theta_{23}) \end{aligned}$$

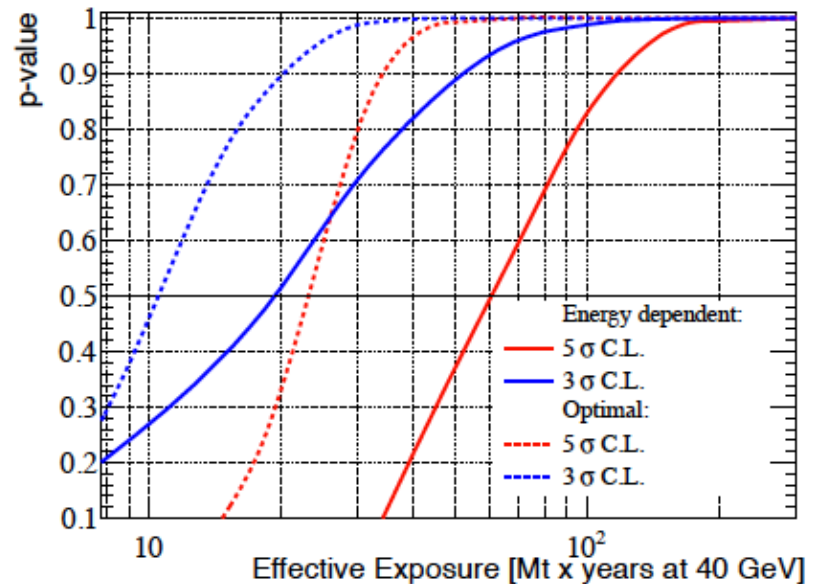
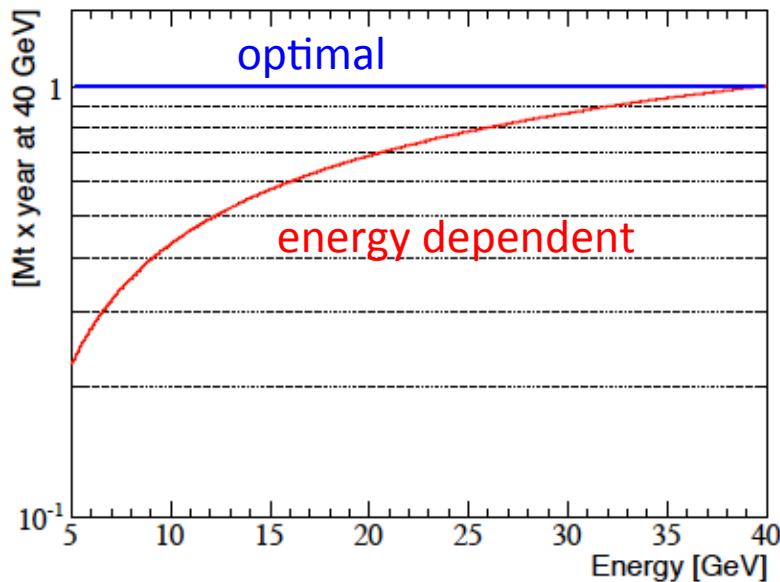


Parameter	Value
$\Delta m_{21}^2$ [1]	$(7.58_{-0.26}^{+0.22}) \times 10^{-5} \text{ eV}^2$
$\Delta m_{31}^2(\text{NH})$ [46]	$(2.45 \pm 0.09) \times 10^{-3} \text{ eV}^2$
$\Delta m_{31}^2(\text{IH})$	$0.13 \times 10^{-3} \text{ eV}^2 - \Delta m_{31}^2(\text{NH})$
$\sin^2(2\theta_{12})$ [1]	$0.849_{-0.059}^{+0.071}$
$\sin^2(2\theta_{13})$ [47]	$0.096 \pm 0.013$
$\sin^2(2\theta_{23})$ [1]	$0.974_{-0.032}^{+0.028}$



# Ideal detector exposure.

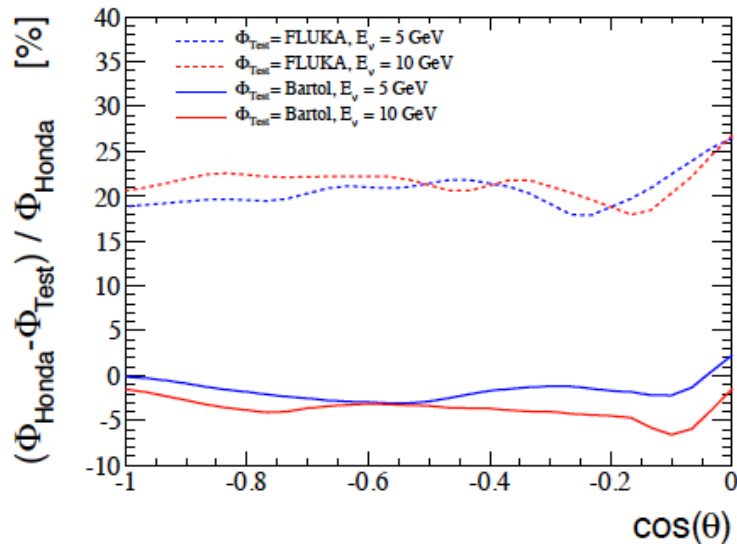
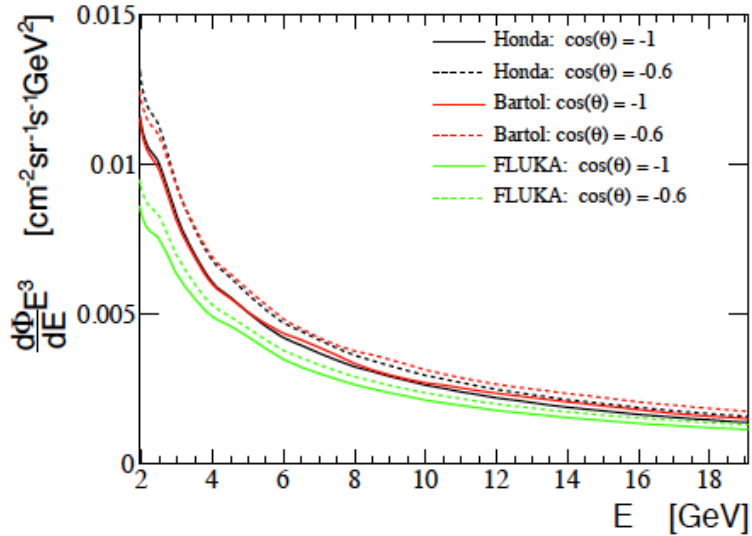
- Perfect muon energy/track reconstruction
- No biases on parameters
- Exposure normalized to 1 Mt x year at 40 GeV



- the minimal required effective exposure is 60 Mt  $\times$  year (p-value threshold at 0.5 at 5 C.L.)
- 170 Mt  $\times$  year was chosen for the analysis



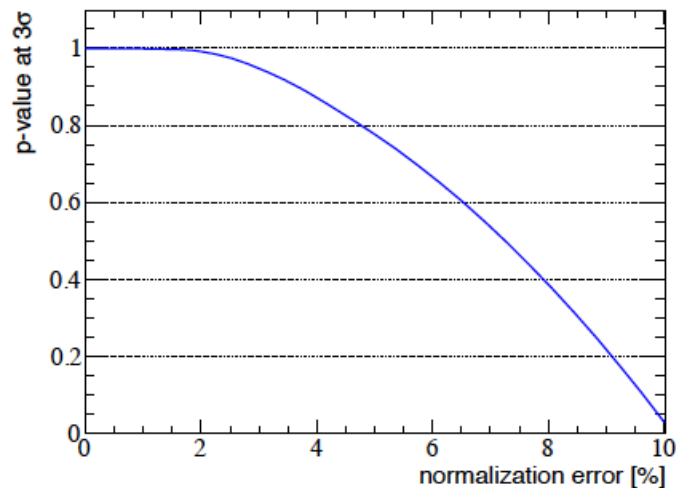
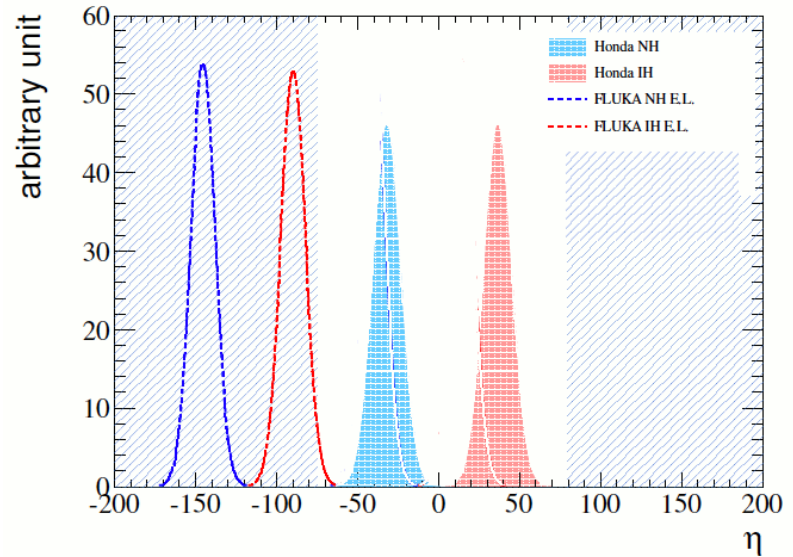
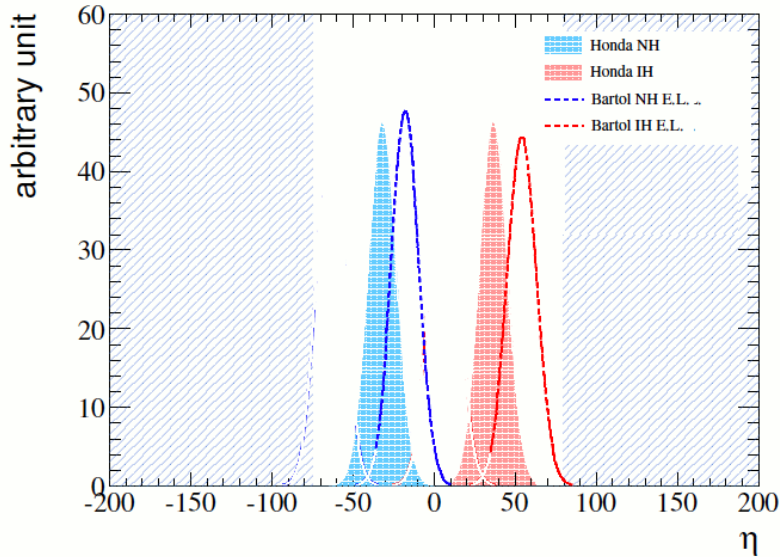
# Atmospheric flux uncertainty.



Fluxes from atmflux\_new studied:

- Honda 1995
- FLUKA 2002
- Bartol 1995
- New fluxes exist (3D calculations, etc.). But the main uncertainties: interaction of CR with light nuclei and CR flux measurements remains unchanged introducing  $\sim 20\%$  uncertainty.
- FLUKA and Honda are  $\sim 20\%$  different in the normalization.
- The shape differences  $\sim 5\%$

# Atmospheric flux models.



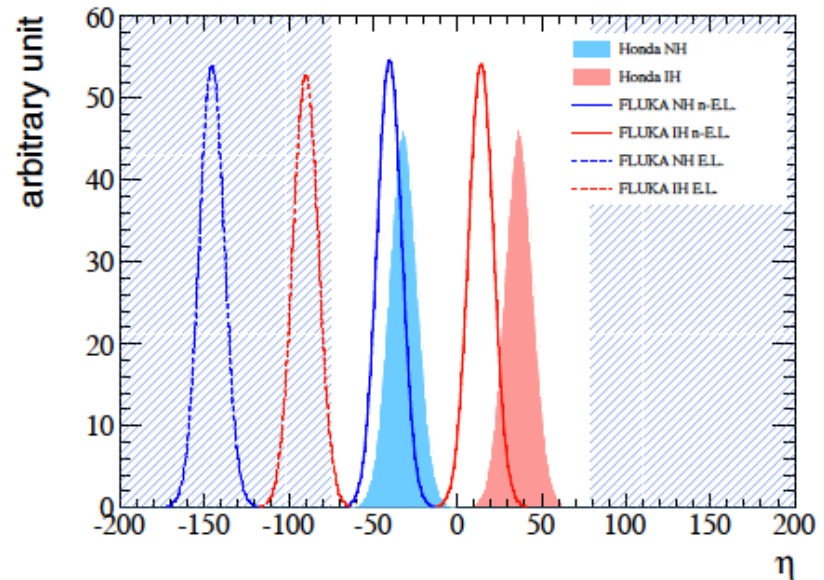
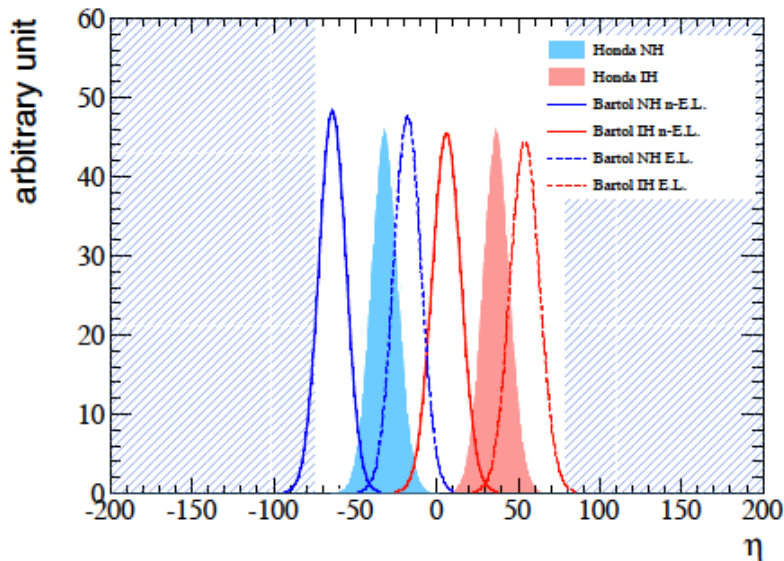
- Bartol-Honda  $p=0.851$  at  $5 \sigma$
- FLUKA-Honda  $p=0$  at  $5 \sigma$
- *Normalize the flux to the area where there are no oscillations?*

- if normalization still has uncertainty > 2%

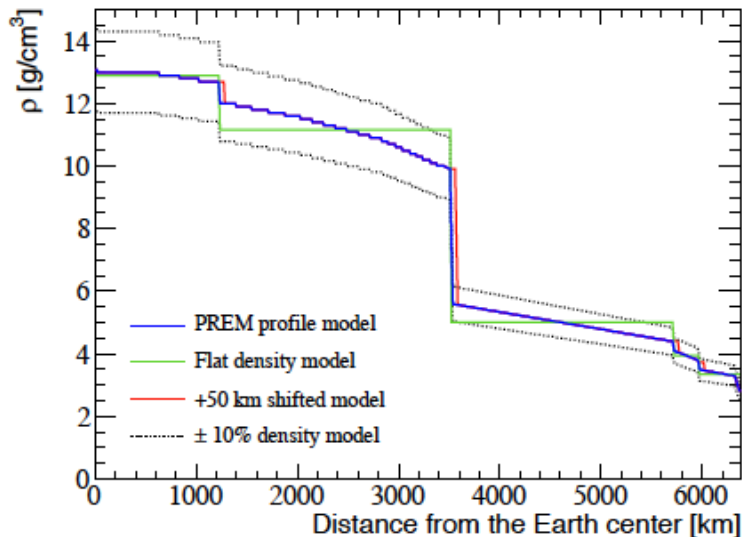
~~$$L_j = \frac{(e^{-\mu_j} \mu_j^n)}{n!} \times \prod_{i=1}^n \text{pdf}_j(E_i, \theta_i)$$~~

non-extended likelihood  
 Bartol-Honda  $p=0.502$   
 FLUKA-Honda  $p=0.655$

extended likelihood  
 Bartol-Honda  $p=0.851$   
 FLUKA-Honda  $p=0$



# Earth density profile.



- 50 km limit shift – no impact
- assumption of the flat density profile – no impact ( $p=0.999$  at  $3\sigma$ )
- reducing the overall density by 10% -  $p=0.996$
- density is increased by 10% -  $p=0.872$
- varying the overall density factor by 1% - no effect

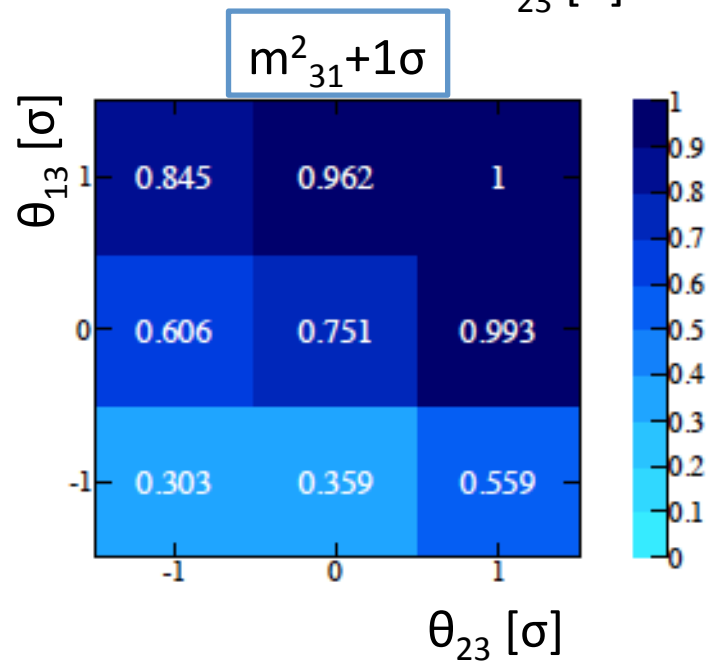
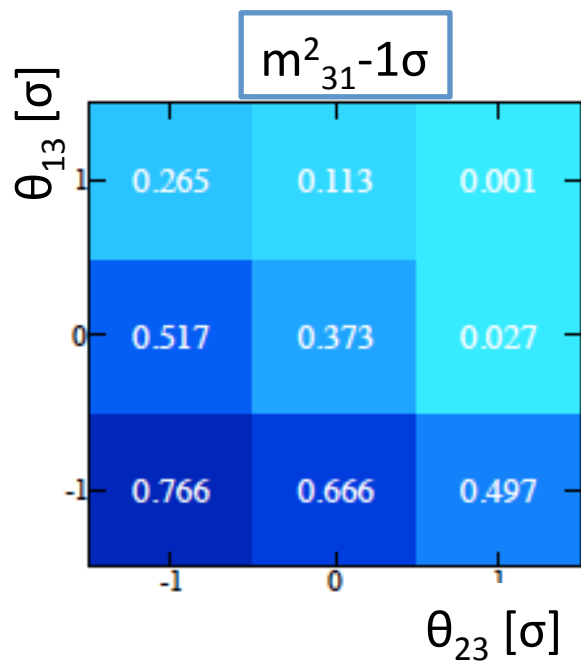
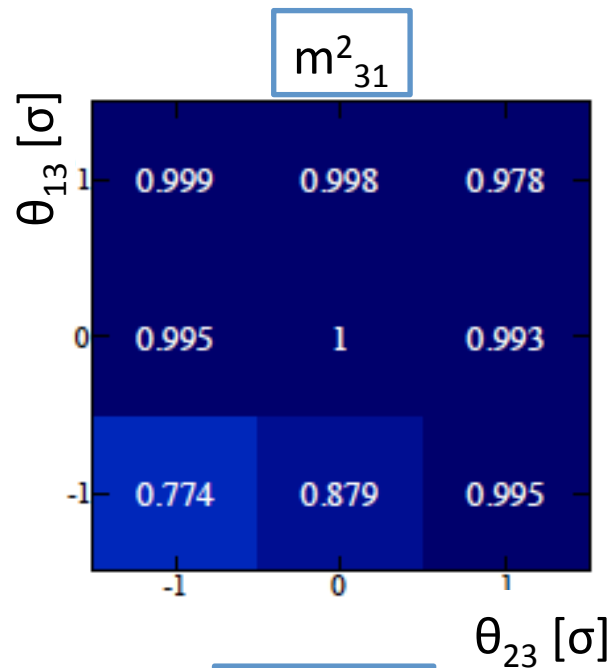
- introduced biases are larger than the known uncertainties or even unphysical

# Neutrino oscillation parameters

- each oscillation parameter value is biased in the true hypothesis, by  $\pm 1 \sigma$  from the central value while keeping unaltered the model hypothesis.
- biasing  $\Delta m_{31}^2(\text{NH}) - |\Delta m_{31}^2(\text{IH})|$  simultaneously with other parameters have minor impact (maximum variation  $\pm 8\%$  for biasing together with  $\Delta m_{31}^2$  for 34 Mt x year)
- almost no impact while biasing solar parameters (bias on  $\theta_{12}$  and  $\Delta m_{12}^2$  has maximum spread of 0.1% at 3  $\sigma$  level). No degradation in combination with  $\Delta m_{31}^2$

# Reactor sector.

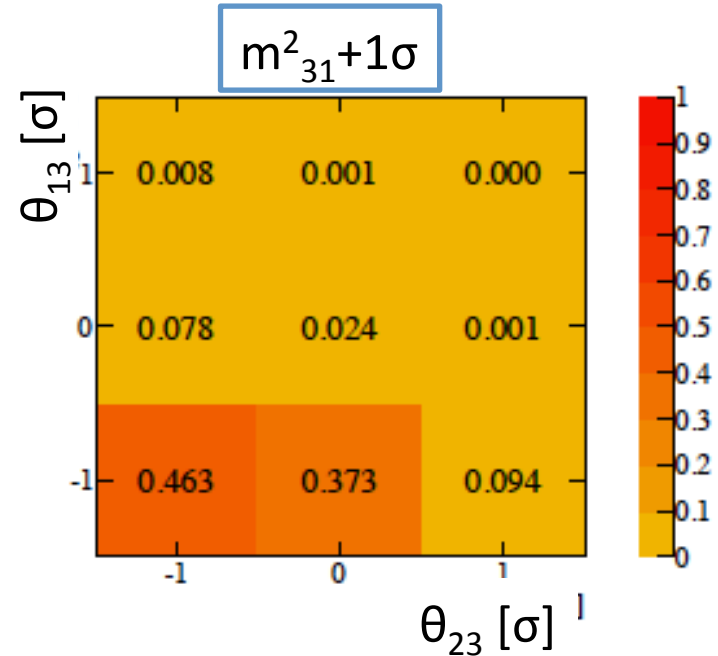
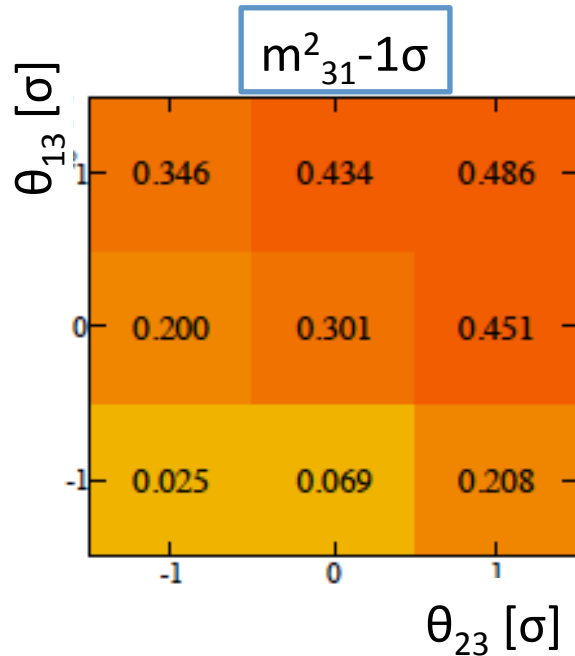
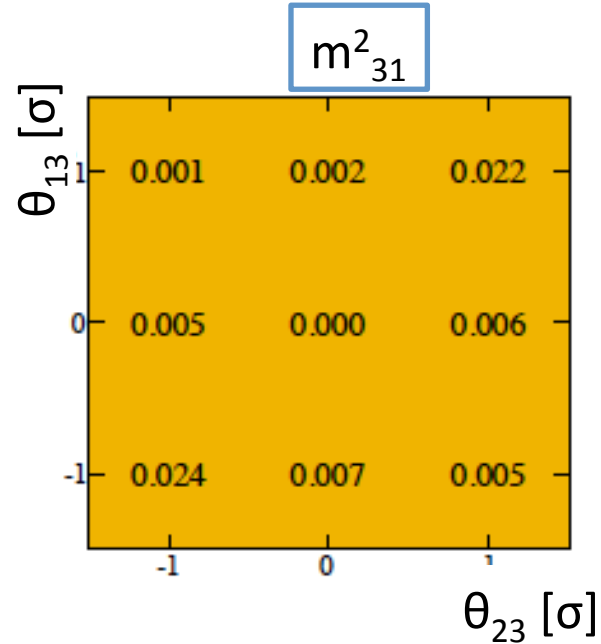
- p-value at 3  $\sigma$  C.L.





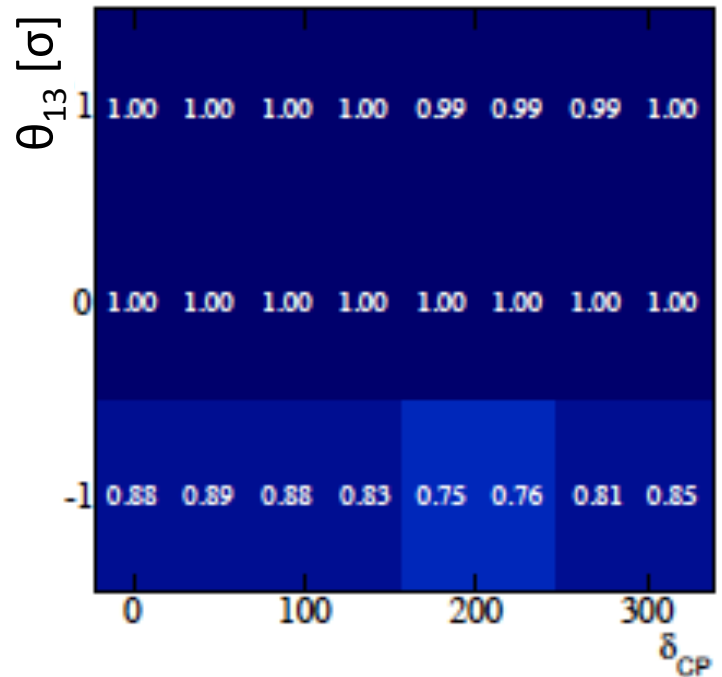
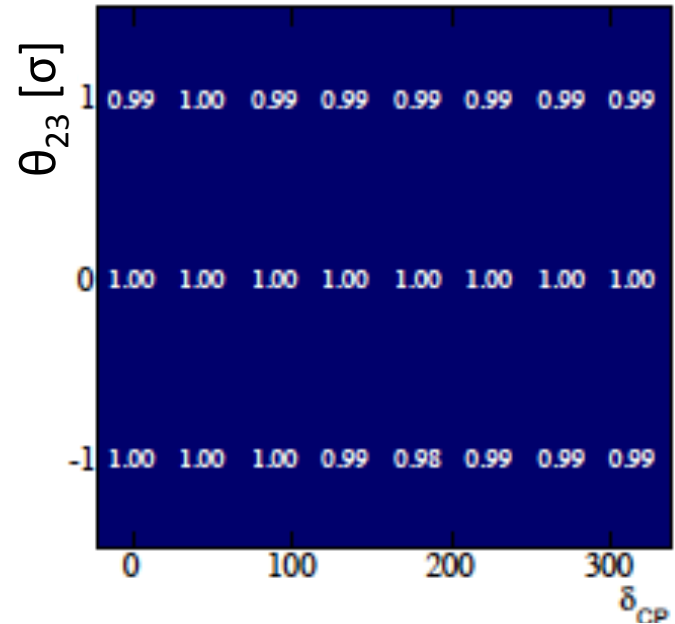
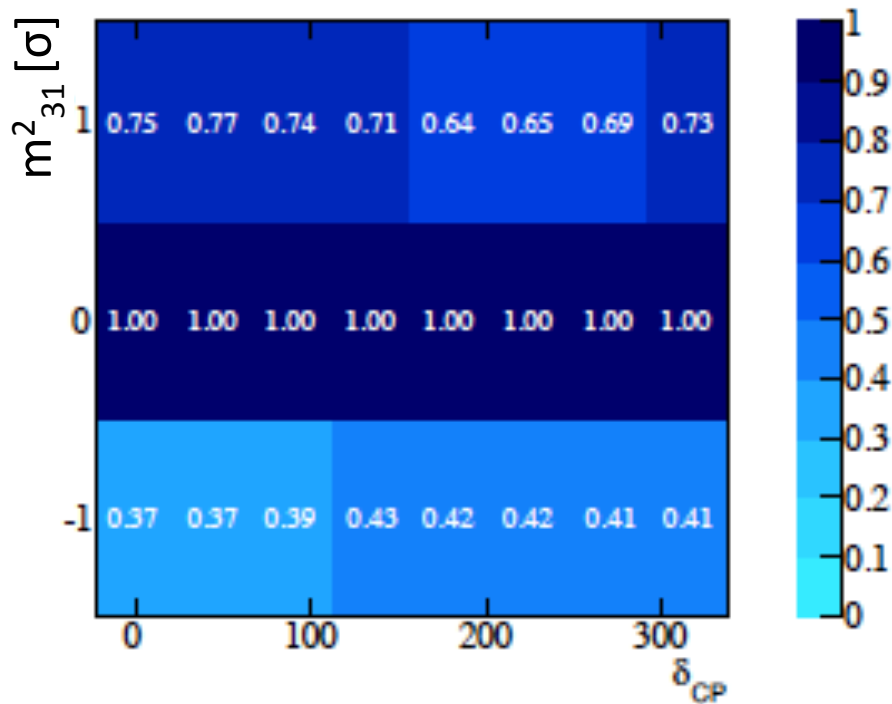
# Reactor sector.

- fraction of false positives at  $3\sigma$  C.L.

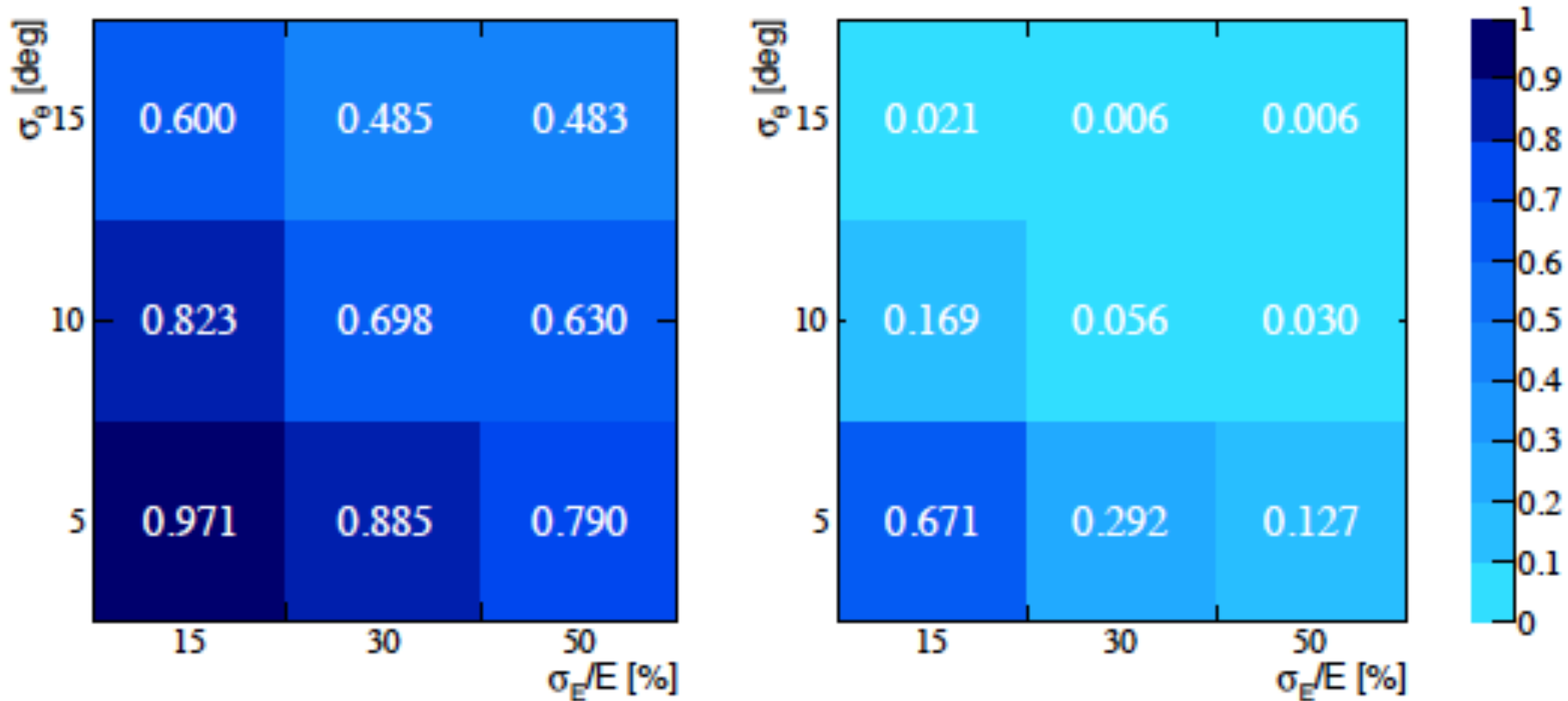


# CP phase.

- p-value at 3  $\sigma$  C.L.

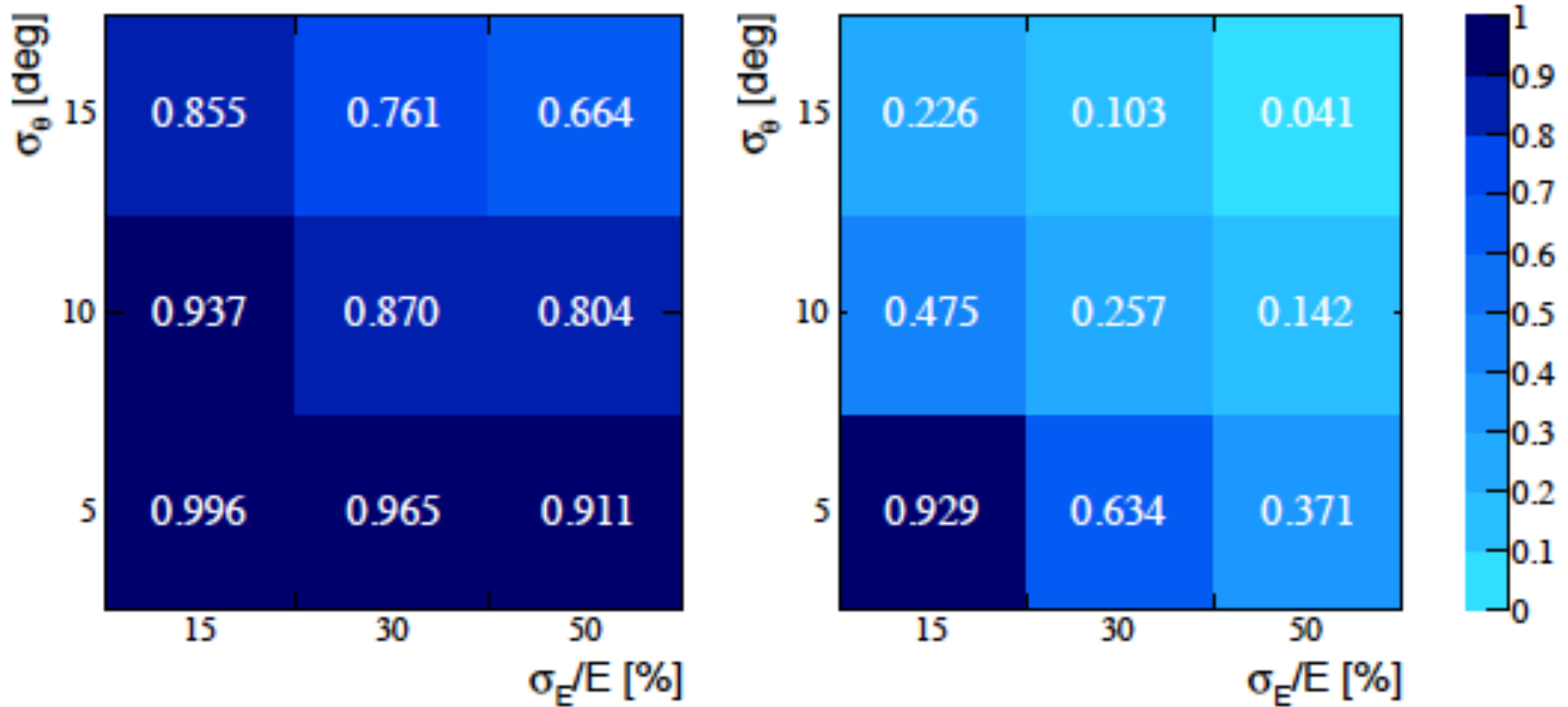


# Detector performance.



- p-value at 3  $\sigma$  and 5  $\sigma$  C.L.

# Detector performance.



- p-value at  $3\sigma$  and  $5\sigma$  C.L.  
for 1 GeV muon energy thresholds.

# Conclusions

- Minimum required exposure was found to be **60 Mt x year at 40 GeV** (for a 50% discrimination probability at  $5\sigma$ )
- This number can be significantly reduced by
  - improving the detection efficiency in the 5–10 GeV muon energy region
  - going down below 1 GeV has less impact and hard to achieve
- Minor uncertainties impact
  - Earth density
  - Atmospheric fluxes shape
  - CP phase
  - shift between  $m^2_{31}(\text{NH})$  and  $m^2_{31}(\text{IH})$
  - $\theta_{12}$  and  $m^2_{12}$
- Overall normalization of atmospheric flux is critical
  - anchoring the flux at high energies
  - using non extended likelihood
- An important dependence of the NMH determination on the values of  $\theta_{13}$ ,  $\theta_{23}$  and  $m^2_{31}$ .

# More.

- The software written for this work is a great tool for evaluating detector performance.
- It maybe used for:
  - **Detector configuration optimization.**
    - Realistic energy and track reconstruction
    - Effective mass performance for ORCA
  - Reconstruction software evaluation.
- Modification of software is possible for:
  - including the shower reconstruction
  - fit oscillation parameters

THANK YOU FOR ATTENTION