



transverse Single Spin Asymmetry (SSA) $\pm S = \uparrow, \downarrow$

where it all started from ... (~1991)



E704 $\int s = 20 \text{ GeV} = 0.7 < p_T < 2.0$

and even before ...





 $P_T \leq 1 \,\mathrm{GeV}$

E925, BNL AGS, 22 GeV PRD 65 (2002) 092008 large SSAs observed in several experiments
 (but not such a high energy so far...)
same trend: A_N increases with x_F is positive for
 π⁺, negative for π⁻ (getting into the valence
 quark region?)
 could A_N be related to elementary QCD
 dynamics?

could it persist at higher energies?



Transverse single spin asymmetries in elastic scattering





for a generic configuration:

$$A_N \equiv \frac{\mathrm{d}\sigma^{\uparrow} - \mathrm{d}\sigma^{\downarrow}}{\mathrm{d}\sigma^{\uparrow} + \mathrm{d}\sigma^{\downarrow}} \propto \boldsymbol{S} \cdot (\boldsymbol{p} \times \boldsymbol{P}_T) \propto P_T \sin(\Phi_S - \Phi)$$

 A_N is zero for longitudinal spin

Single spin asymmetries at partonic level. Example: $q q' \rightarrow q q'$

 $A_N \neq 0$ needs helicity flip + relative phase



QED and QCD interactions conserve helicity, up to corrections $O\left(\frac{m_q}{E_q}\right)$

 $\implies A_N \propto \frac{m_q}{E_q} \alpha_s \quad \text{at quark level}$ (Kane, Pumplin, Repko, 1978) but large SSA observed at hadron level! Cross section for $p p \rightarrow \pi^0 X$ in pQCD based on factorization theorem (in collinear configuration) π^0





Polarization-averaged cross sections at Js=200 GeV



good pQCD description of data at 200 GeV, at all rapidities, down to p⊤ of 1-2 GeV/c



rather good agreement even at at $\int s = 62.4 \text{ GeV}$



mid-rapidity pions

de Florian, Vogelsang, Wagner PRD 76, 094021 (2007)





$$d\sigma^{\uparrow} - d\sigma^{\downarrow} = \sum_{\substack{a,b,c,d=q,\bar{q},g\\ \text{transversity}}} \Delta_T f_a \otimes f_b \otimes [d\hat{\sigma}^{\uparrow} - d\hat{\sigma}^{\downarrow}] \otimes D_{\pi/c}$$

$$p_{\text{QCD elementary}} \qquad FF$$

$$SSA$$

$$A_N = \frac{d\sigma^{\uparrow} - d\sigma^{\downarrow}}{d\sigma^{\uparrow} + d\sigma^{\downarrow}} \propto \hat{a}_N \propto \frac{m_q}{E_q} \alpha_s \quad \text{was considered}$$

$$almost a \text{ theorem}$$

$$(\text{Kane, Pumplin, Repko, 1978})$$

Good description of unpolarized cross-section, with collinear factorization. But A_N is not zero ...







BRAHMS, arXiv:0801.1078







are there SSAs in other processes?

SSAs and TMDs in SIDIS



(Collins, Soper, Ji, J.P. Ma, Yuan, Qiu, Vogelsang, Collins, Metz)

there are 8 independent TMD-PDFs

 $h^q_{1T}(x, \boldsymbol{k}_{\perp}^2)$

correlate s_T of quark with S_T of proton unintegrated transversity distribution

only these survive in the collinear limit

 $\begin{array}{l} f_{1T}^{\perp q}(x, \boldsymbol{k}_{\perp}^2) & \text{correlate } \boldsymbol{k}_{\perp} \text{ of quark with } \boldsymbol{S}_{\mathsf{T}} \text{ of proton (Sivers)} \\ h_1^{\perp q}(x, \boldsymbol{k}_{\perp}^2) & \text{correlate } \boldsymbol{k}_{\perp} \text{ and } \boldsymbol{s}_{\mathsf{T}} \text{ of quark (Boer-Mulders)} \end{array}$

$$g_{1T}^{\perp q}(x, \boldsymbol{k}_{\perp}^2) \quad h_{1L}^{\perp q}(x, \boldsymbol{k}_{\perp}^2) \quad h_{1T}^{\perp q}(x, \boldsymbol{k}_{\perp}^2)$$

different double-spin correlations

TMD-FFs give the number density of hadrons, with their momentum, originated in the fragmentation of a fast moving parton, with its spin.



there are 2 independent TMD-FFs for spinless hadrons

 $D_1^q(z, \pmb{p}_\perp^2)$ unpolarized hadrons in unpolarized quarks unintegrated fragmentation function

 $H_1^{\perp q}(z, {m p}_{\perp}^2)$ correlate ${f p}_{\perp}$ of hadron with ${f s}_{
m T}$ of quark (Collins)

$$\begin{aligned} \frac{\mathrm{d}\sigma}{\mathrm{d}\phi} &= F_{UU} + \cos(2\phi) F_{UU}^{\cos(2\phi)} + \frac{1}{Q} \cos\phi F_{UU}^{\cos\phi} + \lambda \frac{1}{Q} \sin\phi F_{LU}^{\sin\phi} \\ &+ S_L \left\{ \sin(2\phi) F_{UL}^{\sin(2\phi)} + \frac{1}{Q} \sin\phi F_{UL}^{\sin\phi} + \lambda \left[F_{LL} + \frac{1}{Q} \cos\phi F_{LL}^{\cos\phi} \right] \right\} \\ &+ S_T \left\{ \sin(\phi - \phi_S) F_{UT}^{\sin(\phi - \phi_S)} + \sin(\phi + \phi_S) F_{UT}^{\sin(\phi + \phi_S)} + \sin(3\phi - \phi_S) F_{UT}^{\sin(3\phi - \phi_S)} \\ &+ \frac{1}{Q} \left[\sin(2\phi - \phi_S) F_{UT}^{\sin(2\phi - \phi_S)} + \sin\phi_S F_{UT}^{\sin\phi_S} \right] \\ &+ \lambda \left[\cos(\phi - \phi_S) F_{LT}^{\cos(\phi - \phi_S)} + \frac{1}{Q} \left(\cos\phi_S F_{LT}^{\cos\phi_S} + \cos(2\phi - \phi_S) F_{LT}^{\cos(2\phi - \phi_S)} \right) \right] \right\} \end{aligned}$$

HADRON PRODUCTION PLANE

Kotzinian, NP B441 (1995) 234 Mulders and Tangermann, NP B461 (1996) 197 Boer and Mulders, PR D57 (1998) 5780 Bacchetta et al., PL B595 (2004) 309 Bacchetta et al., JHEP 0702 (2007) 093 Anselmino et al., PR D83 (2011) 114019



LEPTON SCATTERING PLANE

the $F_{S_B S_T}^{(\dots)}$ contain the TMDs; plenty of Spin Asymmetries

SSA in hadronic processes: TMDs, higher-twist correlations? Two main different (?) approaches

1. Generalization of collinear scheme (assuming factorization)



M.A., M. Boglione, U. D'Alesio, E. Leader, S. Melis, F. Murgia, A. Prokudin, ... Field-Feynman

TMD factorization



factorization assumed

(talk by C. Pisano for way of probing TMDs through azimuthal distribution of pions inside a jet)

Phenomenology - TMD factorization

$$\begin{split} A_{N} &= \frac{d\sigma^{\uparrow} - d\sigma^{\downarrow}}{d\sigma^{\uparrow} + d\sigma^{\downarrow}} \qquad \begin{array}{l} \mbox{main contribution from Sivers}\\ \mbox{and Collins effects} \\ d\sigma^{\uparrow} - d\sigma^{\downarrow} &\equiv \frac{E_{\pi} \, d\sigma^{p \to \pi \, X}}{d^{3} p_{\pi}} - \frac{E_{\pi} \, d\sigma^{p \to \pi \, X}}{d^{3} p_{\pi}} = [d\sigma^{\uparrow} - d\sigma^{\downarrow}]_{\text{Sivers}} + [d\sigma^{\uparrow} - d\sigma^{\downarrow}]_{\text{Collins}} \\ [d\sigma^{\uparrow} - d\sigma^{\downarrow}]_{\text{Sivers}} &= \sum_{\substack{q_{a,b}, q_{c,d} \\ q_{a,b}, q_{c,d} \\ q_{a}, k_{\perp b} \ ds_{a} \, ds_{b} \, dz \\ \times \quad \int \frac{d\sigma^{\uparrow} - d\sigma^{\downarrow}}{16 \, \pi^{2} \, x_{a} \, x_{b} \, z^{2} s} \, d^{2} \mathbf{k}_{\perp a} \, d^{2} \mathbf{k}_{\perp b} \, d^{3} \mathbf{p}_{\perp} \, \delta(\mathbf{p}_{\perp} \cdot \hat{\mathbf{p}}_{c}) \, J(p_{\perp}) \, \delta(\hat{s} + \hat{t} + \hat{u}) \\ \times \quad \left(\Delta^{N} f_{a/}(x_{a}, k_{\perp b}) \cos \phi_{a} \longrightarrow \mathbf{Sivers phase} \\ \times \quad f_{b/p}(x_{b}, k_{\perp b}) \, \frac{1}{2} \, \left[|\hat{M}_{1}^{0}|^{2} + |\hat{M}_{2}^{0}|^{2} + |\hat{M}_{3}^{0}|^{2} \right]_{ab \to cd} \, D_{\pi/c}(z, p_{\perp}) \\ [d\sigma^{\uparrow} - d\sigma^{\downarrow}]_{\text{Collins}} &= \sum_{\substack{q_{a}, b, q_{c,d} \\ q_{a}, k_{\perp b} \ ds_{a} \, bd_{a} \, dz \\ \times \quad \int \frac{dx_{a} \, dx_{b} \, dz}{16 \, \pi^{2} \, x_{a} \, x_{b} \, z^{2} s} \, d^{2} \mathbf{k}_{\perp a} \, d^{2} \mathbf{k}_{\perp b} \, d^{3} \mathbf{p}_{\perp} \, \delta(\mathbf{p}_{\perp} \cdot \hat{\mathbf{p}}_{c}) \, J(p_{\perp}) \, \delta(\hat{s} + \hat{t} + \hat{u}) \\ \times \quad \left(\Delta_{T} q_{a}(x_{a}, k_{\perp a}) \cos(\phi_{a} + \varphi_{1} - \varphi_{2} + \phi_{\pi}^{H}) \\ \times \quad \int \frac{d\sigma^{1} q_{a}(x_{a}, k_{\perp b})}{f_{b/p}(x_{b}, k_{\perp b}) \, \left[\hat{M}_{1}^{0} \, \hat{M}_{2}^{0} \right]_{q_{a} b \to q_{c}}} \left(\Delta^{N} D_{\pi/q_{c}}(z, p_{\perp}) \right) \right) \\ \end{array}$$

negligible contributions from other TMDs



```
A<sub>N</sub> and
Collins
effect alone
```

Collins contribution to A_N, according to extration from SIDIS and e+e- data. Problems at x_F ≥ 0.3

M.A, M. Boglione, U. D'Alesio, E. Leader, S. Melis, F. Murgia, A. Prokudin, PR D86 (2012) 074032



A_N vs. x_F and Sivers effect alone

Sivers contribution to A_N, according to extration from SIDIS data. can be large enough

> M.A, M. Boglione, U. D'Alesio, S. Melis, F. Murgia, A. Prokudin



A_N vs. P_T and Sivers effect alone

Sivers contribution to A_N, according to extration from SIDIS data. can be large enough

> M.A, M. Boglione, U. D'Alesio, S. Melis, F. Murgia, A. Prokudin



even P_T dependence of latest STAR data could be explained



possible project: compute T_a using SIDIS extracted Sivers functions



fits of E704 and STAR data Kouvaris, Qiu, Vogelsang, Yuan

sign mismatch (Kang, Qiu, Vogelsang, Yuan)

compare

$$gT_{q,F}(x,x) = -\int d^2k_{\perp} \frac{|k_{\perp}|^2}{M} f_{1T}^{\perp q}(x,k_{\perp}^2)|_{\text{SIDIS}}$$

as extracted from fitting A_N data, with that obtained by inserting in the the above relation the SIDIS extracted Sivers functions

similar magnitude, but opposite sign!

the same mismatch does not occur adopting TMD factorization; the reason is that the hard scattering part in higher-twist factorization is negative

node in the Sivers function (Boer, Kang, Prokudin...)? Study it at large x values

Drell-Yan processes - TMDs



factorization holds, two scales, M^2 , and $q_T << M$

$$\mathrm{d}\sigma^{D-Y} = \sum_{a} f_q(x_1, \mathbf{k}_{\perp 1}; Q^2) \otimes f_{\bar{q}}(x_2, \mathbf{k}_{\perp 2}; Q^2) \,\mathrm{d}\hat{\sigma}^{q\bar{q} \rightarrow \ell^+ \ell^-}$$

direct product of TMDs
no fragmentation process

$$\begin{aligned} \frac{d\sigma}{d^{1}q\,d\Omega} &= \frac{\alpha_{em}^{2}}{F\,q^{2}} \times \quad \text{S. Arnold, A. Metz and M. Schlegel, arXiv:0809.2262 [hep-ph]} \\ &\left\{ \left((1+\cos^{2}\theta) F_{UU}^{1} + (1-\cos^{2}\theta) F_{UU}^{2} + \sin^{2}\theta\cos\phi F_{UU}^{\cos\phi} + \sin^{2}\theta\cos2\phi F_{UU}^{\cos\phi} - s\right) \\ &+ S_{aL} \left(\sin 2\theta \sin\phi F_{UL}^{\sin\phi} + \sin^{2}\theta \sin 2\phi F_{UL}^{\sin 2\phi} \right) \\ &+ S_{bL} \left(\sin 2\theta \sin\phi F_{UL}^{\sin\phi} + \sin^{2}\theta \sin2\phi F_{UL}^{\sin 2\phi} \right) \\ &+ S_{bL} \left(\sin 2\theta \sin\phi F_{UL}^{\sin\phi} + \sin^{2}\theta \sin2\phi F_{UL}^{\sin 2\phi} \right) \\ &+ \left| \vec{S}_{aT} \right| \left[\sin\phi_{a} \left((1+\cos^{2}\theta) F_{UU}^{2} + (1-\cos^{2}\theta) F_{UU}^{2} + \sin^{2}\theta \cos\phi F_{TU}^{\cos\phi} + \sin^{2}\theta \cos2\phi F_{TU}^{\cos\phi} \right) \\ &+ \cos\phi_{a} \left(\sin 2\theta \sin\phi F_{UU}^{\sin\phi} + \sin^{2}\theta \sin2\phi F_{UU}^{\sin 2\phi} \right) \right] \\ &+ \left| \vec{S}_{bT} \right| \left[\sin\phi_{b} \left((1+\cos^{2}\theta) F_{UT}^{1} + (1-\cos^{2}\theta) F_{U}^{2} + \sin^{2}\theta \sin2\phi F_{UT}^{\sin2\phi} \right) \\ &+ \cos\phi_{b} \left(\sin 2\theta \sin\phi F_{UU}^{\sin\phi} + \sin^{2}\theta \sin2\phi F_{UU}^{\sin2\phi} \right) \right] \\ &+ S_{aL} S_{bL} \left((1+\cos^{2}\theta) F_{LL}^{1} + (1-\cos^{2}\theta) F_{U}^{1} + \sin^{2}\theta \sin2\phi F_{UL}^{\sin2\phi} \right) \\ &+ S_{aL} \left[\sin\phi_{b} \left((1+\cos^{2}\theta) F_{LL}^{1} + (1-\cos^{2}\theta) F_{UT}^{1} + \sin^{2}\theta \cos\phi F_{LL}^{\cos\phi\phi} + \sin^{2}\theta \cos2\phi F_{LL}^{\cos2\phi} \right) \\ &+ sin\phi_{b} \left(\sin 2\theta \sin\phi F_{UU}^{\sin\phi} + \sin^{2}\theta \sin2\phi F_{UU}^{\sin2\phi} \right) \right] \\ &+ \left| \vec{S}_{aT} \right| \left[\cos\phi_{b} \left((1+\cos^{2}\theta) F_{LL}^{1} + (1-\cos^{2}\theta) F_{LL}^{2} + \sin^{2}\theta \cos\phi F_{LL}^{\cos\phi\phi} + \sin^{2}\theta \cos2\phi F_{LL}^{\cos\phi\phi} \right) \\ &+ \sin\phi_{b} \left(\sin 2\theta \sin\phi F_{LT}^{\sin\phi} + \sin^{2}\theta \sin2\phi F_{LT}^{\sin2\phi} \right) \right] \\ &+ \left| \vec{S}_{aT} \right| \left| \vec{S}_{bT} \right| \left[\cos\phi_{b} \left((1+\cos^{2}\theta) F_{LL}^{1} + (1-\cos^{2}\theta) F_{LL}^{2} + \sin^{2}\theta \cos\phi \phi F_{TL}^{\cos\phi\phi} + \sin^{2}\theta \cos2\phi F_{TL}^{\cos\phi\phi} \right) \\ &+ \sin\phi_{a} \left(\sin 2\theta \sin\phi F_{LT}^{\sin\phi} + \sin^{2}\theta \sin2\phi F_{LT}^{\sin2\phi} \right) \right] \\ &+ \left| \vec{S}_{aT} \right| \left| \vec{S}_{bT} \right| \left[\cos\phi_{b} \left((1+\cos^{2}\theta) F_{LL}^{1} + (1-\cos^{2}\theta) F_{TL}^{2} + \sin^{2}\theta \cos\phi \phi F_{TL}^{\cos\phi\phi} + \sin^{2}\theta \cos2\phi F_{TL}^{\cos\phi\phi} \right) \\ &+ \sin\phi_{a} \left(\sin 2\theta \sin\phi F_{LT}^{\sin\phi} + \sin^{2}\theta \sin2\phi F_{TL}^{\sin2\phi} \right) \right] \\ &+ \cos(\phi_{a} - \phi_{b} \right) \left((1+\cos^{2}\theta) F_{TT}^{1} + (1-\cos^{2}\theta) F_{TT}^{2} + \sin^{2}\theta \cos\phi \phi F_{TT}^{\cos\phi\phi} + \sin^{2}\theta \cos2\phi F_{TT}^{\cos\phi\phi} \right) \\ &+ \sin(\phi_{a} - \phi_{b} \right) \left(\sin\theta \sin\phi F_{TT}^{\sin\phi} + \sin^{2}\theta \sin2\phi F_{TT}^{\sin2\phi} \right) \right] \right\} \end{aligned}$$

(

Case of one polarized nucleon only





Collins-Soper frame

naive collinear parton model: $\lambda = 1$ $\mu = \nu = 0$

Decay angular distributions in pion-induced Drell-Yan E615 Data 252 GeV π^2 + W Phys. Rev. D 39 (1989) 92



Sivers effect in D-Y processes By looking at the d⁴o/d⁴q cross section one can single out the Sivers effect in D-Y processes

$$d\sigma^{\uparrow} - d\sigma^{\downarrow} \propto \sum_{q} \Delta^{N} f_{q/p^{\uparrow}}(x_{1}, \boldsymbol{k}_{\perp}) \otimes f_{\bar{q}/p}(x_{2}) \otimes d\hat{\sigma}$$

$$q = u, \bar{u}, d, \bar{d}, s, \bar{s}$$

$$A_N^{\sin(\phi_S - \phi_\gamma)} \equiv \frac{2\int_0^{2\pi} \mathrm{d}\phi_\gamma \left[\mathrm{d}\sigma^{\uparrow} - \mathrm{d}\sigma^{\downarrow}\right] \sin(\phi_S - \phi_\gamma)}{\int_0^{2\pi} \mathrm{d}\phi_\gamma \left[\mathrm{d}\sigma^{\uparrow} + \mathrm{d}\sigma^{\downarrow}\right]}$$



Predictions for A_N

Sivers functions as extracted from SIDIS data, with opposite sign



M.A., M. Boglione, U. D'Alesio, S. Melis, F. Murgia, A. Prokudin, e-Print: arXiv:0901.3078

expected Sivers asymmetry in D-Y@AFTER, sign change, no TMD evolution



A_N: a simple, unexpected, single spin asymmetry measured in many experiments

its understanding is not easy and reveals subtle aspects of QCD dynamics

a global study of transverse spin asymmetries in SIDIS, large P_T and D-Y processes should lead to a better knowledge of the 3-dimensional nucleon structure

THANK YOU!