

Observation of electron-antineutrino disappearance at Daya Bay

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on behalf of the Daya Bay collaboration

25.5.2012

LAPP Annecy seminar

Neutrino flavor eigenstates $|v_f\rangle$, $f = e/\mu/\tau$ produced in weak Interactions are different from mass eigenstates $|v_i\rangle$, i = 1/2/3 \rightarrow non-diagonal Unitary mixing matrix: $U_{fi} \equiv \langle v_f | v_i \rangle \Rightarrow |v_f \rangle = U_{fi}^* |v_i \rangle$

Canonical representation of Pontecorvo-Magi-Nakagawa-Sakata mixing matrix is done by ordered product of 12, 13 and 23 rotations, one CP phase δ connected to the smallest mixing angle θ_{13} and two Majorana phases $\alpha_{1,2}$.

$$\begin{pmatrix} v_{e} \\ v_{\mu} \\ v_{\tau} \end{pmatrix} = \begin{pmatrix} 1 & 0 & \theta_{23} \cong 45^{\circ} & 0 \\ 0 & \cos(\theta_{23}) & \sin(\theta_{23}) \\ 0 & -\sin(\theta_{23}) & \cos(\theta_{23}) \end{pmatrix} \begin{pmatrix} \cos(\theta_{13}) & 0 & \sin(\theta_{13}) \cdot e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin(\theta_{13}) \cdot e^{i\delta} & 0 & \cos(\theta_{13}) \end{pmatrix} \\ \begin{pmatrix} \cos(\theta_{12}) & \sin(\theta_{12}) & 0 \\ -\sin(\theta_{12}) & \cos(\theta_{12}) & 0 \\ 0 & \theta_{12} \cong 34^{\circ} & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\alpha_{1}/2} & 0 & 0 \\ 0 & e^{i\alpha_{2}/2} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} v_{1} \\ v_{2} \\ v_{3} \end{pmatrix}$$

Majorana phases α are irrelevant for oscillations.

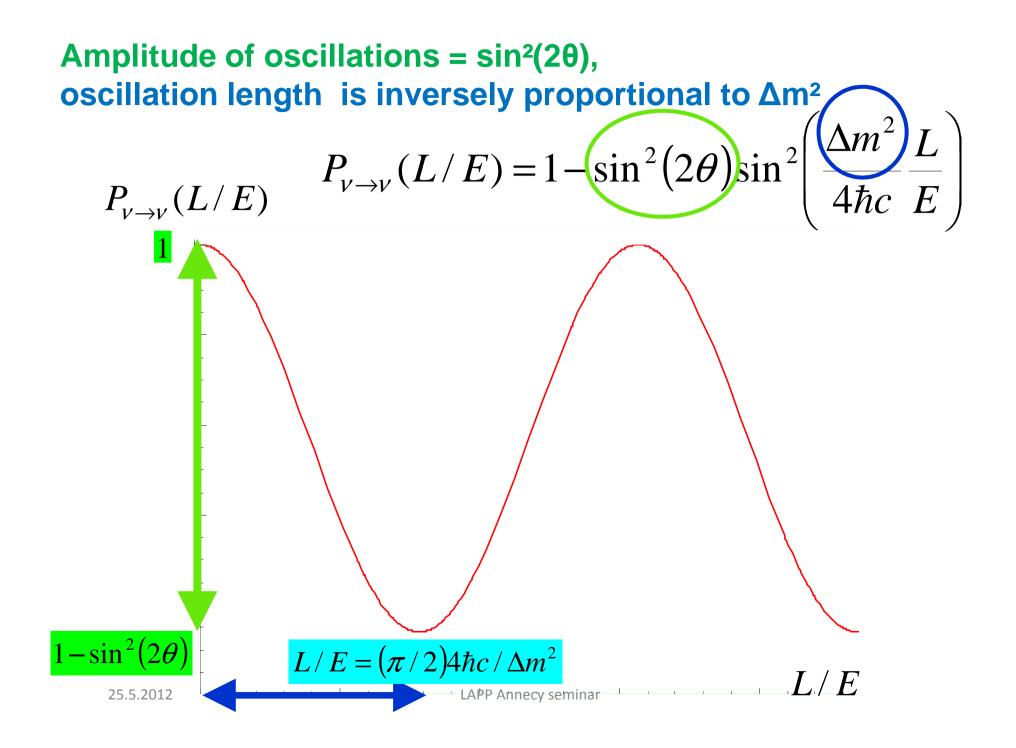
If masses of mass eigenstates are different then probabilities oscillate:

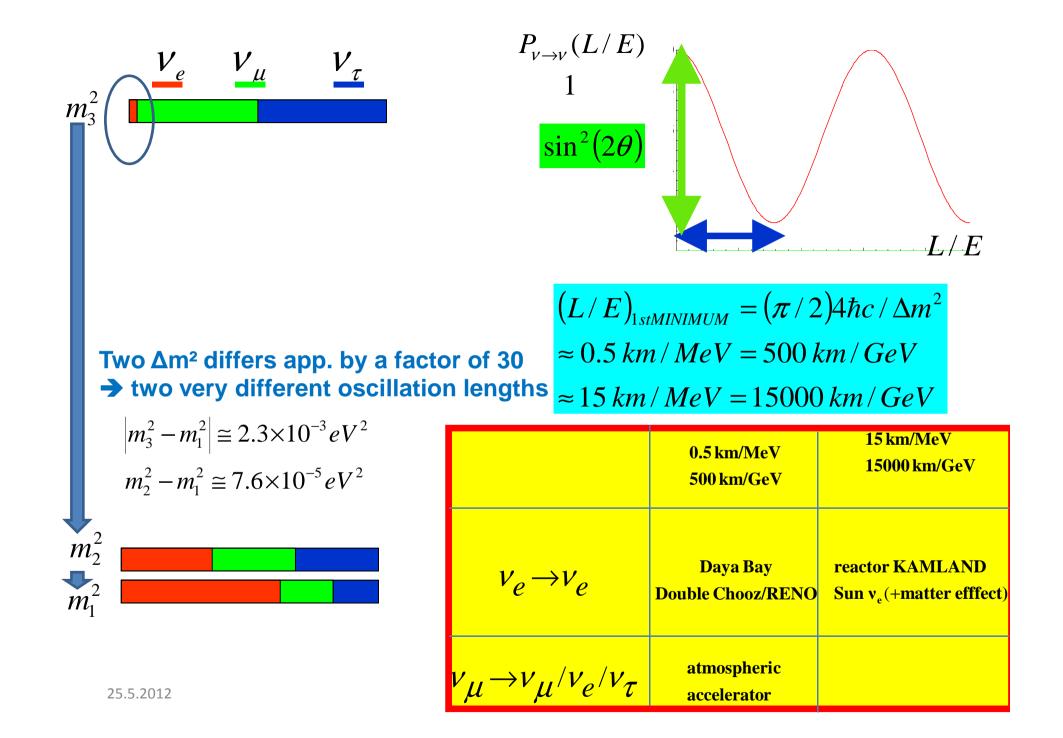
$$P_{\nu_{f} \to \nu_{g}}(L) = \delta_{fg}$$

- $4 \sum_{i < j} \Re \left(U_{gi} U_{fi}^{*} U_{fj} U_{gj}^{*} \right) \sin^{2} \left(\frac{m_{j}^{2} - m_{i}^{2}}{4\hbar c} \frac{L}{E} \right)$
+ $2 \sum_{i < j} \Im \left(U_{gi} U_{fi}^{*} U_{fj} U_{gj}^{*} \right) \sin \left(\frac{m_{j}^{2} - m_{i}^{2}}{2\hbar c} \frac{L}{E} \right)$

Last term is CP and T odd and it is ≠0 only if:

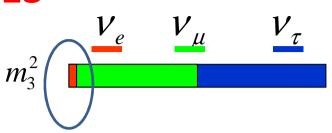
- all three mixing angles $\neq 0$ and
- Sin(δ) \neq 0 (imaginary part of exp(i δ) \neq 0)





Mixing angle θ13

 $sin^{2}(\theta_{13})$ is the fraction of electron neutrino in mass eigenstate m3



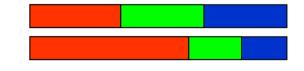
Two ways to measure θ 13

-To measure electron (anti)neutrino disappearance

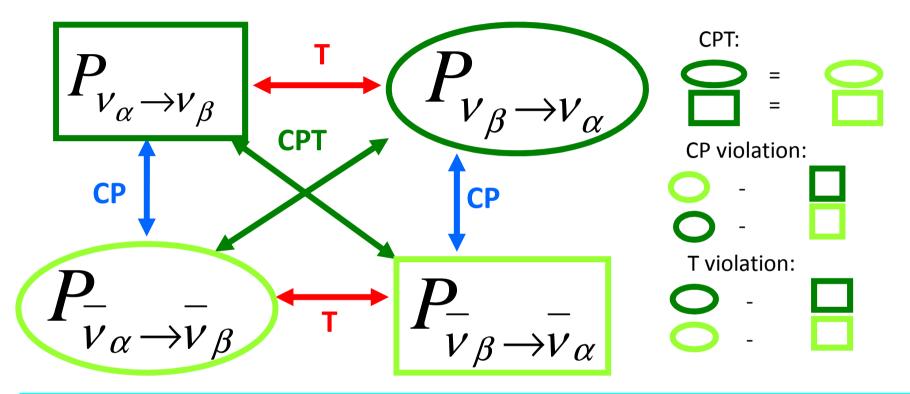
-To measure electron (anti)neutrino appearance in muon (anti)neutrino beam

both measurements done at small values of L/E~0.5km/MeV = 500 km/GeV:





If $\theta_{13} \neq 0$ then CP and T violation in lepton sector could be investigated with neutrino oscillations



$$\mathbf{P_{v_{\mu} \rightarrow v_{e}} - P_{\overline{v}\mu \rightarrow \overline{v}e}} = -2\sin(\delta)\cos(\theta_{13})\sin(2\theta_{13})\sin(2\theta_{12})\sin(2\theta_{23})}$$

$$\times \sin\left(\frac{\Delta m_{12}^{2}}{4\hbar c}\frac{L}{E}\right)\sin\left(\frac{\Delta m_{31}^{2}}{4\hbar c}\frac{L}{E}\right)\sin\left(\frac{\Delta m_{23}^{2}}{4\hbar c}\frac{L}{E}\right)$$

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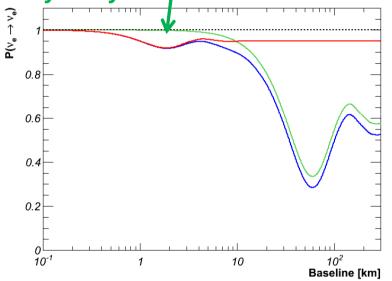
Disappearance probabilty

$$P_{v_f \to v_f}(x) = 1 - \sum_{i < j} 4 |U_{fi}|^2 |U_{fj}|^2 \sin^2 \left(1.267 \Delta m_{ij}^2 \left[eV^2 \right] \frac{x[m]}{E[MeV]} \right)$$

Disappearance probabilty for electron (anti)neutrinos:

$$P_{v_e \to v_e}(x) \xrightarrow{\Delta m_{31}^2 \equiv \Delta m_{32}^2} 1 - \sin^2(2\theta_{13}) \sin^2\left(1.267\Delta m_{31}^2 \left[eV^2\right] \frac{x[m]}{E[MeV]}\right) - \cos^4(\theta_{13}) \sin^2(2\theta_{12}) \sin^2\left(1.267\Delta m_{21}^2 \left[eV^2\right] \frac{x[m]}{E[MeV]}\right)$$

For Daya Bay the last term is <0.1%:



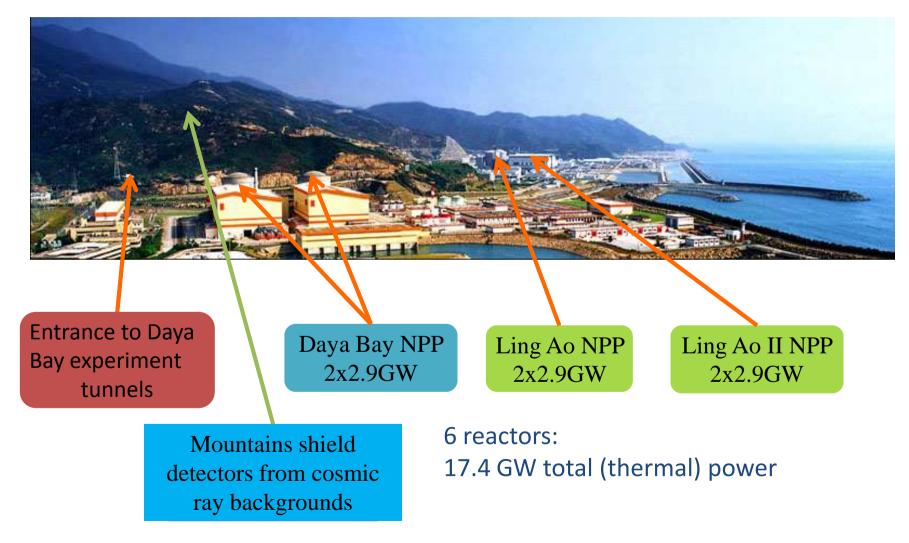
$$P_{\nu_e \to \nu_e}(x) \cong 1 - \sin^2(2\theta_{13}) \sin^2\left(1.267\Delta m_{31}^2 \left[eV^2\right] \frac{x[m]}{E[MeV]}\right)$$

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Daya Bay is one of three competing experiments



Daya Bay Site Guangdong, China



Experimental Layout

A total of eight functionally identical and moveable detectors in three detector halls.

6 of the 8 detectors have been taking physics data since Dec. 2012

The remaining two detectors will be installed and commissioned later this year.





Asia (20)

IHEP, Beijing Normal Univ., Chengdu Univ. of Sci and Tech, CGNPG, CIAE, Dongguan Polytech, Nanjing Univ., Nankai Univ., NCEPU, Shandong Univ., Shanghai Jiao Tong Univ., Shenzhen Univ., Tsinghua Univ., USTC, Zhongshan Univ., Univ. of Hong Kong, Chinese Univ. of Hong Kong, National Taiwan Univ., National Chiao Tung Univ., National United Univ.

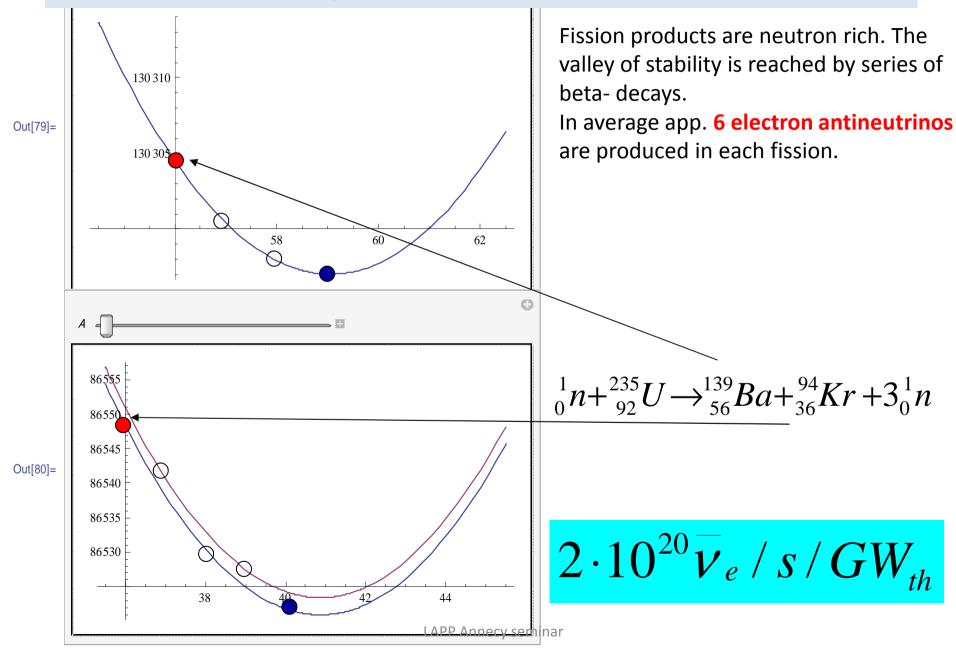
North America (16)

Brookhaven Natl' Lab, Cal Tech, Cincinnati, Houston, Illinois Institute of Technology, Iowa State, Lawrence Berkeley Natl' Lab, Princeton, Rensselaer Polytech, UC Berkeley, UCLA, Wisconsin, William & Mary, Virginia Tech, Illinois, Siena College Europe (2) Charles Univ.,

Dubna

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Nuclear reactors are powerful sources of electron antineutrinos



Detection of antineutrinos:

interactions with protons via so called Inverse Beta Decay (IBD)

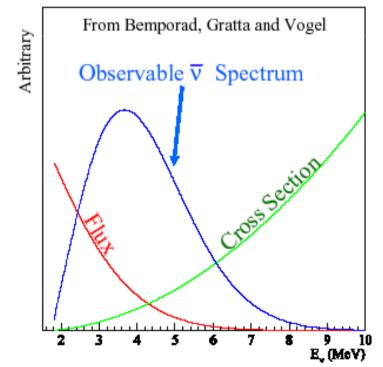
$$\overline{v}_{e} + p \rightarrow n + e^{+}$$

$$E_{v,THR} = \frac{(m_{n} + m_{e})^{2} - m_{p}^{2}}{2m_{p}} = \frac{m_{n} + m_{p} + m_{e}}{2m_{p}} (m_{n} - m_{p} + m_{e})$$

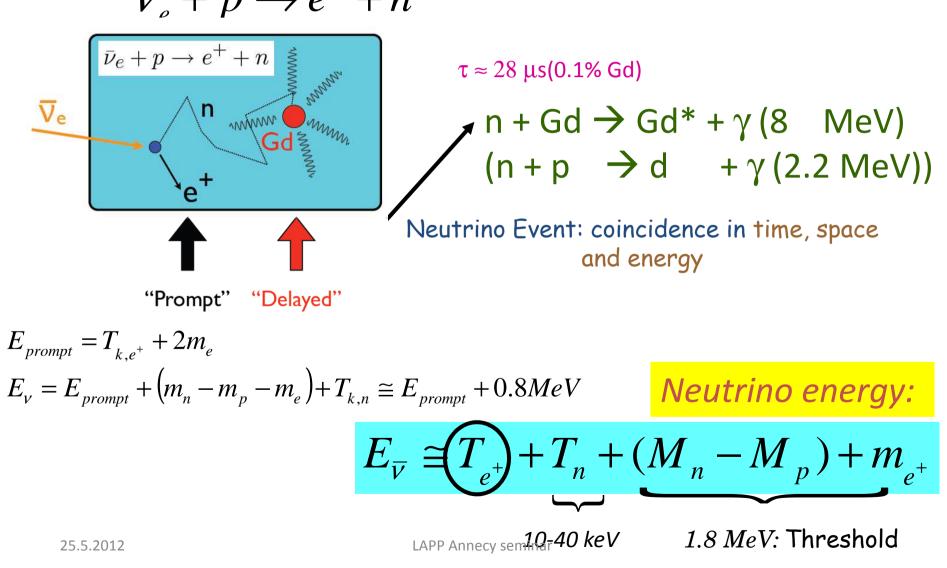
$$= 1.00096(m_{n} - m_{p} + m_{e}) = 1.83MeV$$
From Bemporad, Gratta and Vo

Only antineutrinos with energies larger than 1.8 MeV interact.

Detected energy spectrum is the product of original energy spectrum and IBD cross section and it reaches the maximum around $4 \text{ MeV} \rightarrow$ the first oscillation minimum is at $0.5 \text{ km/MeV} \rightarrow 2 \text{ km}$ for 4 MeV



Detection of positron and neutron is done using Gddoped Liquid scintillator $\overline{V}_{} + p \rightarrow e^{+} + n$



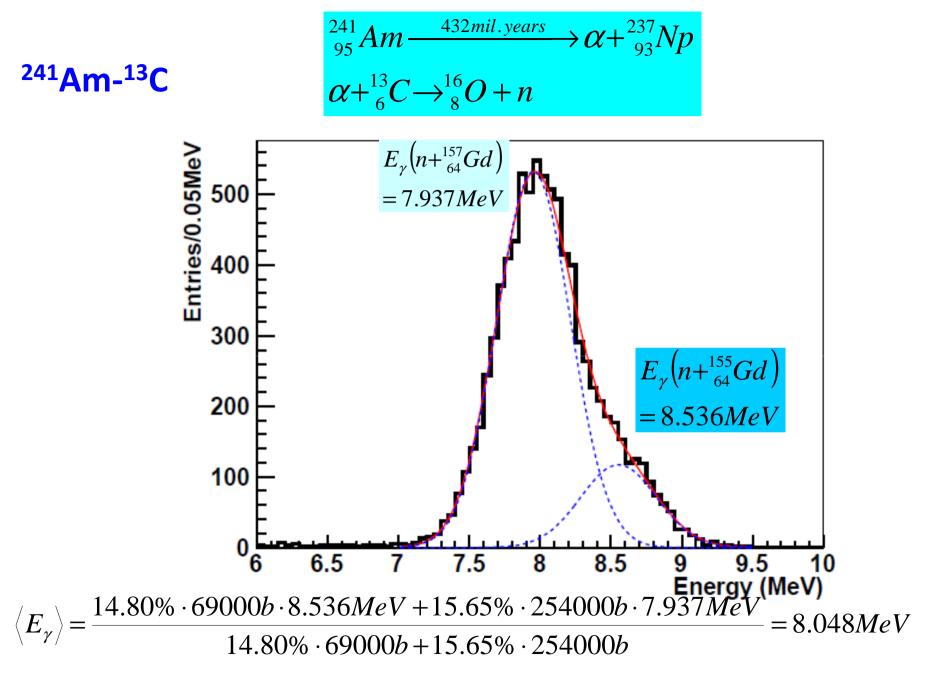
Neutron capture on Gadollinium

$n + {}^{A}_{64}Gd \rightarrow {}^{A+1}_{64}Gd$	$Gd^* \rightarrow {}^{A+1}_{64}Gd$	$+E_{\gamma}$	
^A G	$\sigma_{n+{}^{A}_{64}Gd \rightarrow {}^{A+1}_{64}Gd}^{}*[b]$	Abund.[%]	B[MeV/A]
$A = 152 (-\alpha)^{148} Sm$	735	0.20	8.233399
A = 154	85	2.18	8.224794
A = 155	60900	14.80	8.213248
A=156	1.8	20.47	8.215320
A = 157	254000	15.65	8.203501
A = 158	2.2	24.84	8.201817
$A = 160 \left(\xrightarrow{2\beta} _{66}^{160} _{Dy} \right)$	1.4	21.86	8.183010

$$\sum_{\gamma} E_{\gamma} \left(n + {}^{155}_{64}Gd \right) = 8.536 MeV$$

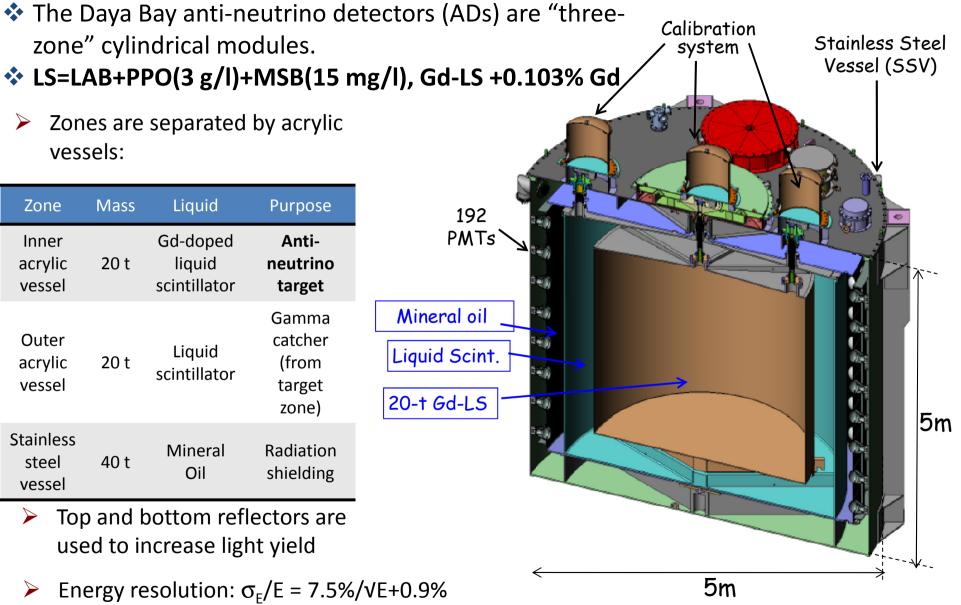
$$\sum_{\gamma} E_{\gamma} \left(n + {}^{157}_{64}Gd \right) = 7.937 MeV$$

$$\langle E_{\gamma} \rangle = 8.048 MeV$$



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Anti-neutrino detectors

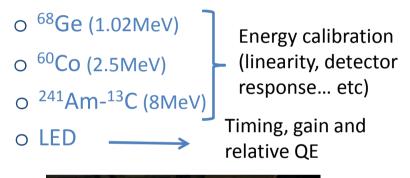


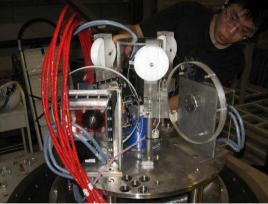
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Detector calibration

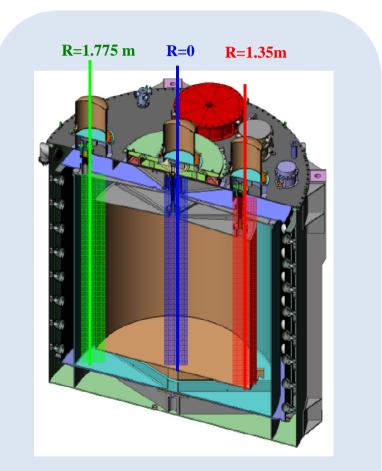
- Calibration is key to the reduction of the detector-related systematic errors:
 - Three sources + LED in each calibration unit, on a turn-table:





Can also use spallation neutrons 25.5(uniformity, stability, calibration, LAPP etc.) cy seminar

Three calibration units per detector that deploy sources along z-axis

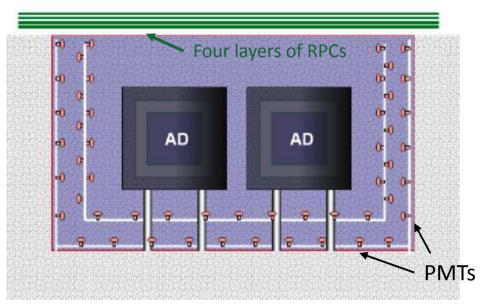


Automated Calibration Units

The water Čerenkov detectors

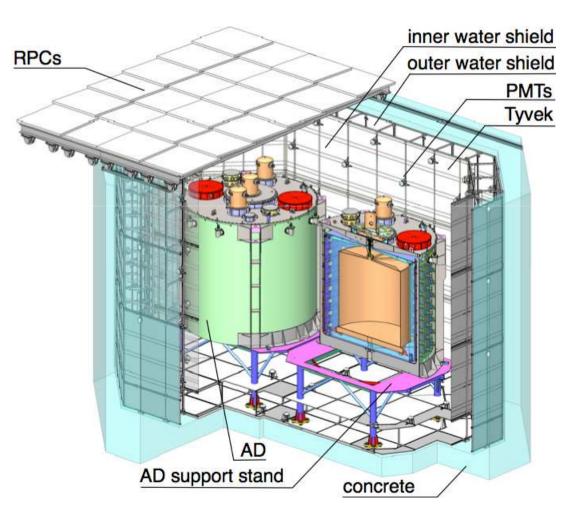
- The detectors are immersed in an instrumented water pool:
 - Double purpose:
 - ✓ Attenuates ambient neutrons as well as gammas
 - Serves as a Cerenkov detector to tag cosmic ray muons.
- The water pool is divided into two optically decoupled detectors:
 - ✓ Allows for increased redundancy and thus better tagging efficiency
- The pools are covered with a retractable RPC roof for further cosmic ray tagging.

(in commissioning; not used for first analysis)





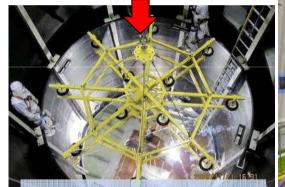
- Outer layer of water Čerenkov detector (on sides and bottom) is 1m thick, inner layer >1.5m. Water extends 2.5m above ADs
 - 288 8" PMTs in each near hall
 - 384 8" PMTs in Far Hall
- 4-layer RPC modules above pool
 - 54 modules in each near hall
 - 81 modules in Far Hall



Assembly of Anti-neutrino detectors



Stainless Steel Vessel (SSV) in assembly pit



Install lower reflector



Install Acrylic Vessels

ADs are assembled in clean-room



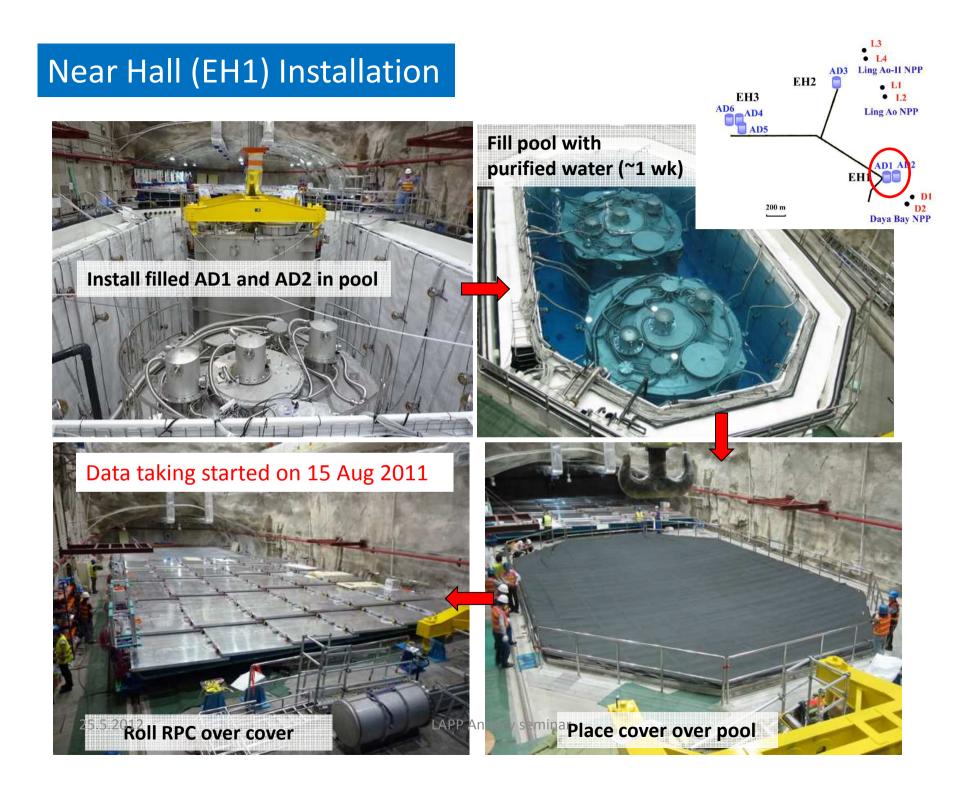
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Install top reflector

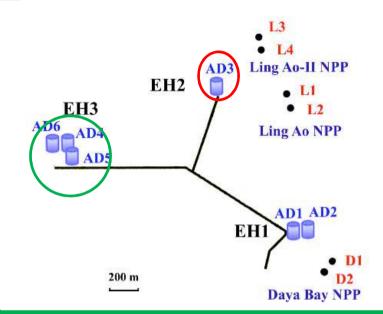






Ling Ao (EH2) and Far (EH3) Halls





EH2 (Ling Ao Near Hall): Began operation on 5 Nov 2011

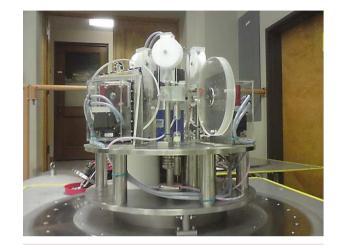
EH3 (Far Hall): Started data-taking on 24 Dec 2011

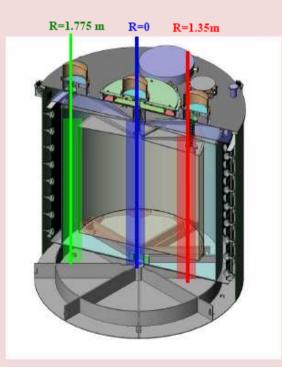
Remaining two ADs will be installed in 25.5.2012 2012



Automatic Calibration system

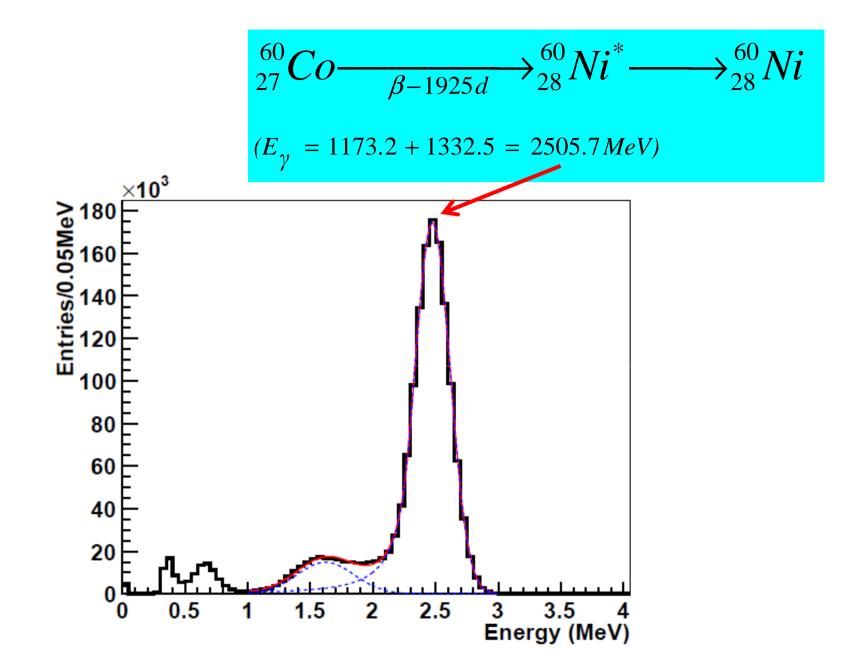
- Three Z axis:
 - One at the center
 - For time evolution, energy scale, nonlinearity...
 - One at the edge
 - For efficiency, space response
 - One in the γ -catcher
 - For efficiency, space response
- 3 sources for each z axis:
 - LED
 - for T₀, gain and relative QE
 - ⁶⁸Ge (2×0.511 MeV γ 's)
 - for positron threshold & non-linearity...
 - $-^{241}$ Am⁻¹³C + ⁶⁰Co (1.17+1.33 MeV γ 's)
 - For neutron capture time, ...
 - For energy scale, response function, ...
- Once every week:
 - 3 axis, 5 points in Z, 3 sources





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Automated Calibration



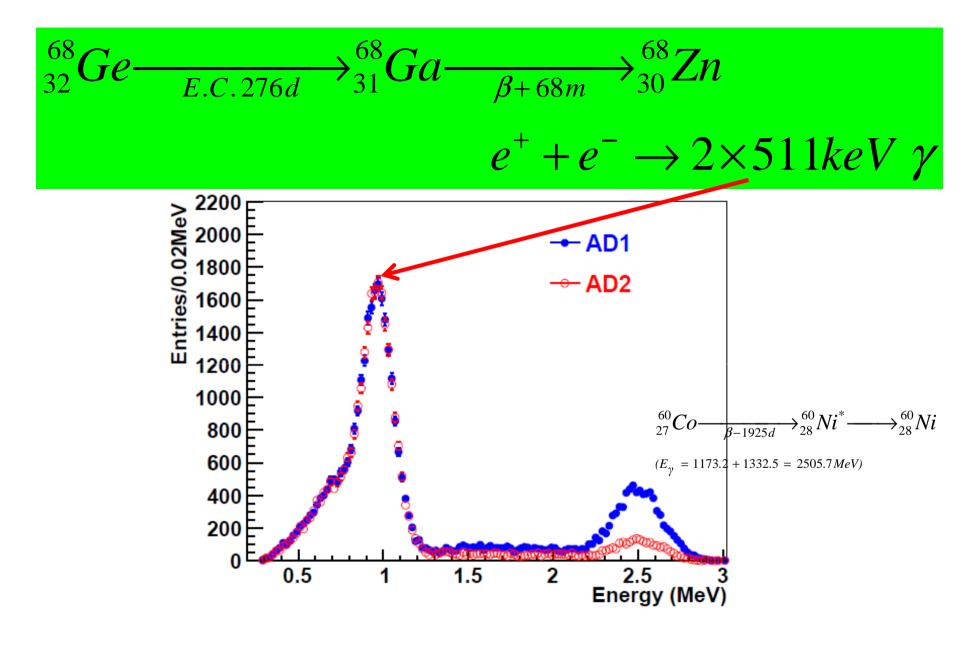


Figure 15: The energy spectrum of the ⁶⁸Ge source.

ENERGY RESOLUTION

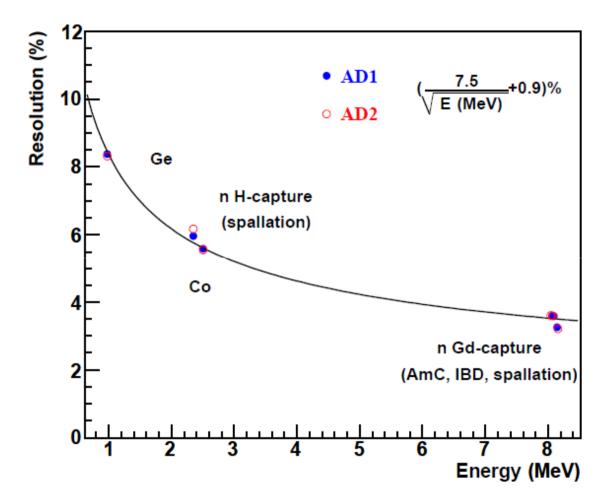
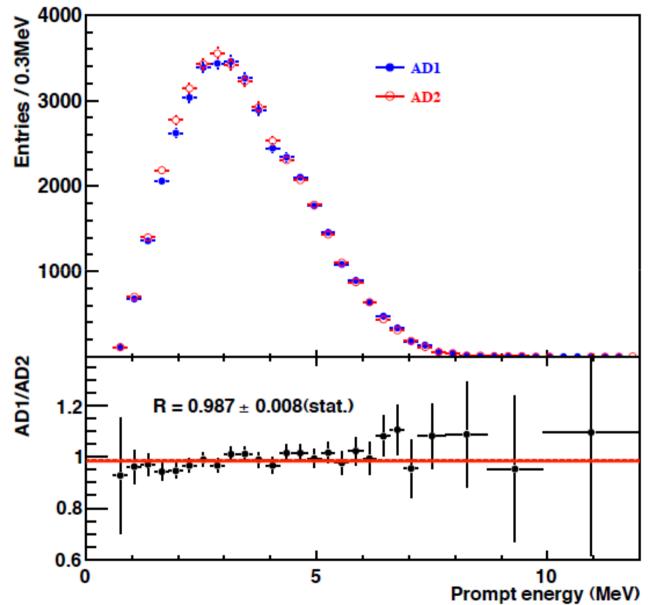


Figure 25: Resolution of reconstructed energy.

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Detailed comparison of AD1 and AD2 arXiv:1202.6181



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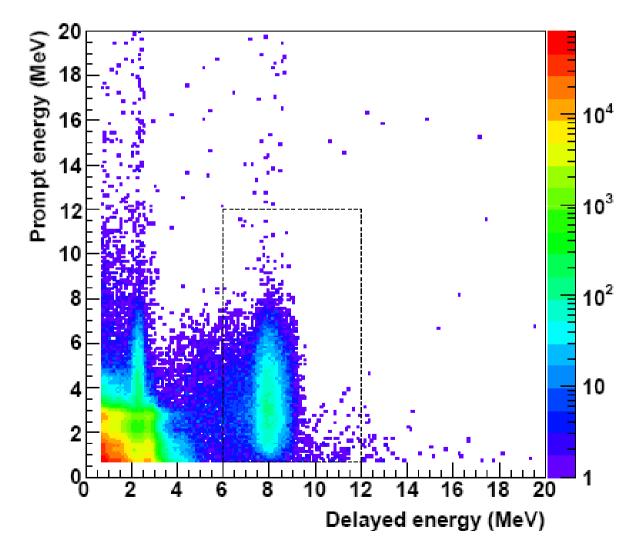
Antineutrino (IBD) Event Selection

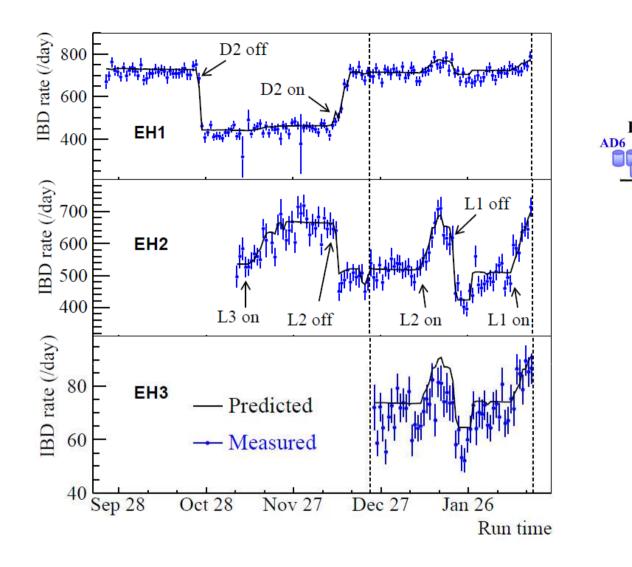
- IBD Selection
 - Prompt positron: $0.7 \text{ MeV} < E_p < 12 \text{ MeV}$
 - Delayed neutron: $6.0 \text{ MeV} < E_d < 12 \text{ MeV}$
 - Capture Time: $1 \mu s < \Delta t = t delayed t prompt < 200 \mu s$
- Muon Veto
 - Pool muon: veto following 0.6 ms
 - AD muon (> 20 MeV): veto following 1 ms
 - AD shower muon (>2.5 GeV): veto following 1 s
- Multiplicity
 - No other signal > 0.7 MeV within $\pm 200 \ \mu s$ of IBD

Prompt positron + delayed neutron correlated signal is signature for IBD events

IBD Selection

- Prompt positron: $0.7 \text{ MeV} < E_p < 12 \text{ MeV}$
- Delayed neutron: $6.0 \text{ MeV} < E_d < 12 \text{ MeV}$
- Capture Time: $1 \mu s < \Delta t < 200 \mu s$





L3 L4

AD3

EH2

EH3

AD4 AD5

200 m

Ling Ao-II NPP

• L1

Ling Ao NPP

AD1 AD2

Daya Bay NPP

DI

D2

EH1

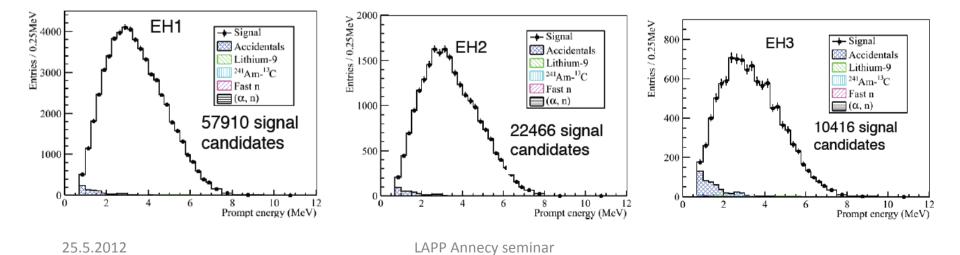
• L2

FIG. 3. Daily average measured IBD rates per AD in the three experimental halls as a function of time. Data between the two vertical dashed lines are used in this analysis. The black curves are no-oscillation predictions based on reactor flux analyses and detector simulation for comparison. The predictions have been corrected 25.5.2012 with the best-fit normalization parameters in determining $\sin^2 2\theta_{13}$.

Backgrounds

	AD1	AD2	AD3	AD4	AD5	AD6
IBD candidates	28935	28975	22466	3528	3436	3452
DAQ live time (day)	49.5530		49.4971		48.9473	
Muon veto time (day)	8.7418	8.9109	7.0389	0.8785	0.8800	0.8952
$\epsilon_{\mu}\cdot\epsilon_{m}$	0.8019	0.7989	0.8363	0.9547	0.9543	0.9538
Accidentals (/day)	9.82±0.06	9.88±0.06	7.67 ± 0.05	3.29 ± 0.03	3.33 ± 0.03	3.12 ± 0.03
Fast neutron (/day)	0.84±0.28	0.84 ± 0.28	0.74±0.44	0.04 ± 0.04	0.04±0.04	$0.04{\pm}0.04$
⁹ Li/ ⁸ He (/day)	3.1:	±1.6	1.8±1.1		0.16±0.11	
Am-C correlated (/day)	0.2±0.2					
$^{13}C(\alpha, n)^{16}O$ background (/day)	0.04±0.02	0.04 ± 0.02	0.035 ± 0.02	0.03 ± 0.02	0.03±0.02	$0.03{\pm}0.02$
IBD rate (/day)	714.17±4.58	717.86 ± 4.60	532.29 ± 3.82	71.78 ± 1.29	69.80±1.28	70.39±1.28

TABLE II. Signal and backgrounds summary. The background and IBD rates are corrected for the $\epsilon_{\mu} \cdot \epsilon_{m}$ efficiency.



Li9 and He8 background

These isotopes are products of photonuclear interactions of cosmic muons on C

$${}^{8}_{2}He \xrightarrow{119ms16\%}{}^{7}_{3}Li + e^{-} + \overline{\nu}_{e} + n$$

$${}^{8}_{2}He \xrightarrow{84\%}{}^{3}_{3}Li^{*} + e^{-} + \overline{\nu}_{e}$$

$${}^{8}_{3}Li^{*} \rightarrow {}^{8}_{3}Li + 0.98MeV\gamma$$

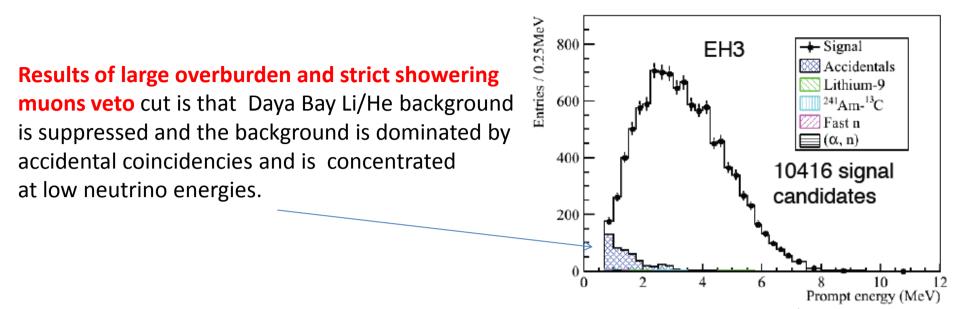
$${}^{8}_{3}Li \xrightarrow{840ms}{}^{8}_{4}Be + e^{-} + \overline{\nu}_{e}$$

$${}^{8}_{4}Be \rightarrow \alpha + \alpha$$

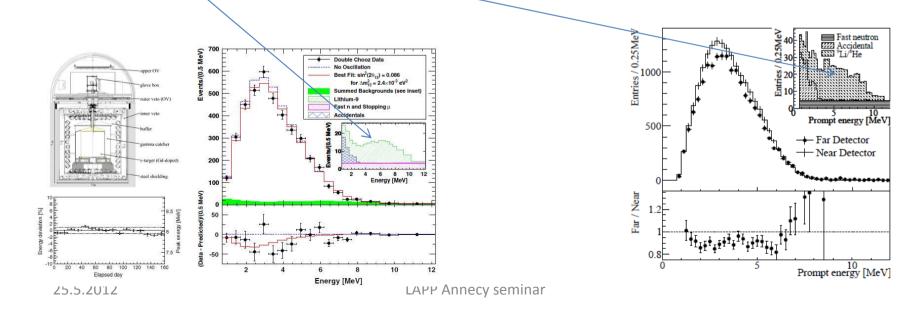
$${}^{9}_{3}Li \xrightarrow{178ms 50\%} {}^{8}_{4}Be + e^{-} + \overline{\nu}_{e} + n$$

$${}^{8}_{4}Be \rightarrow \alpha + \alpha$$

$${}^{9}_{3}Li \xrightarrow{178ms 50\%} {}^{9}_{4}Be + e^{-} + \overline{\nu}_{e} \qquad \begin{array}{c} \textit{Muon VETO:} \\ \textit{1s after an AD shower muon} \end{array}$$



In Double Chooz and RENO the background is still dominated by decays of Li/He isotopes and spans the whole range of neutrino energies.



Systematic Uncertainties

Detector					
	Efficiency	Correlated	Uncorrelated		
Target Protons		0.47%	0.03%		
Flasher cut	99 98%	0.01%	0.01%		
Delayed energy cut	90.9%	0.6%	0.12%		
Prompt energy cut	99.88%	0.10%	0.01%		
Multiplicity cut		0.02%	< 0.01%		
Capture time cut	98.6%	0.12%	0.01%		
Gd capture ratio	83.8%	0.8%	<0.1%		
Spill-in	105.0%	1.5%	0.02%		
Livetime	100.0%	0.002%	< 0.01%		
Combined	78.8%	1.9%	0.2%		
	Flasher cut Delayed energy cut Prompt energy cut Multiplicity cut Capture time cut Gd capture ratio Spill-in Livetime	Efficiency Target Protons Flasher cut 99 98% Delayed energy cut 90.9% Prompt energy cut 99.88% Multiplicity cut Capture time cut 98.6% Gd capture ratio 83.8% Spill-in 105.0% Livetime 100.0%	EfficiencyCorrelatedTarget Protons0.47%Flasher cut99 98%0.01%Delayed energy cut90.9%0.6%Prompt energy cut99.88%0.10%Multiplicity cut0.02%Capture time cut98.6%0.12%Gd capture ratio83.8%0.8%Spill-in105.0%1.5%Livetime100.0%0.002%		

Reactor

Correlated		Uncorrelated		
Energy/fission	0.2%		Power	0.5%
$\overline{\nu}_e$ /fission	3%		Fission fraction	0.6%
			Spent fuel	0.3%
Combined	3%		Combined	0.8%

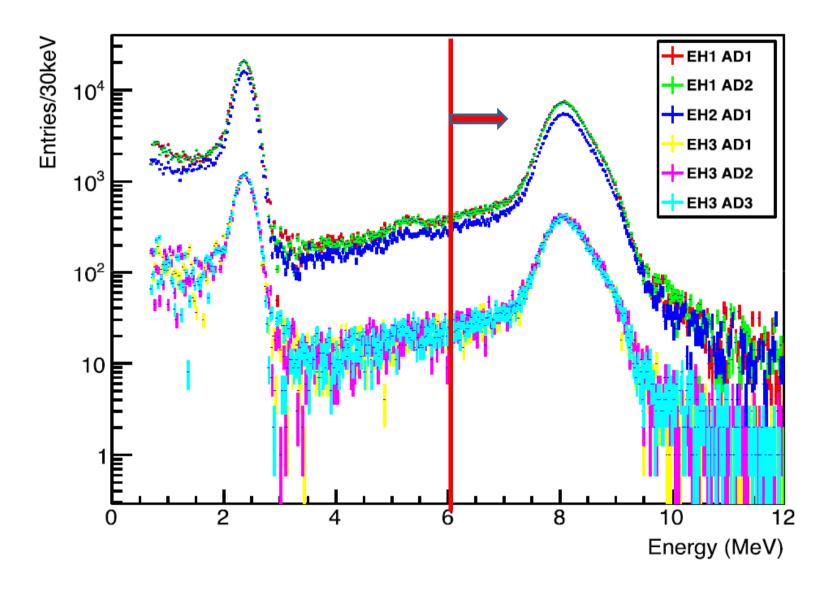
For oscillation analysis, only **uncorrelated** uncertainties are used.

Largest systematic uncertainties:

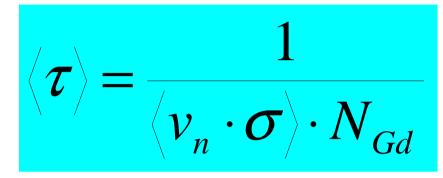
- Delayed energy cut
- Gd capture ratio
- Smaller than far site statistical uncertainty (1%)

Influence of uncorrelated reactor systematics (0.8%) is only **0.04%** on oscillation analysis.

DELAYED ENERGY CUT



Gd content is monitored by measurement of the time of neutron capture on Gd



Very simplified estimation using Maxwell Boltzmann distribution of neutron velocities, app. cross section and Gd concentration gives:

$$\frac{dP}{dv} = \sqrt{\frac{2}{\pi} \left(\frac{m}{kT}\right)^3} v^2 e^{-\frac{mv^2}{2kT}} \Rightarrow m.p. \quad v = \sqrt{\frac{2kT}{m_n}} \xrightarrow{T=20^\circ c} 2200m/s$$

$$N_{Gd} = 0.103\% \cdot \rho \cdot \frac{N_A}{\langle A_{Gd} \rangle} = 0.00103 \cdot 0.86g/cm^3 \cdot \frac{6.022/mol}{157.25g/mol} = 3.29 \cdot 10^{18}/cm^3$$

$$\langle \sigma \rangle = (0.148 \cdot 60900 + 0.1565 \cdot 254000) \cdot 10^{-24}cm^2 = 4.876 \cdot 10^{-20}cm^2$$

$$\langle \tau \rangle = \frac{1}{v \cdot \langle \sigma \rangle \cdot N_{Gd}} = 28.3\mu s$$

$$^{25.5.2012}$$

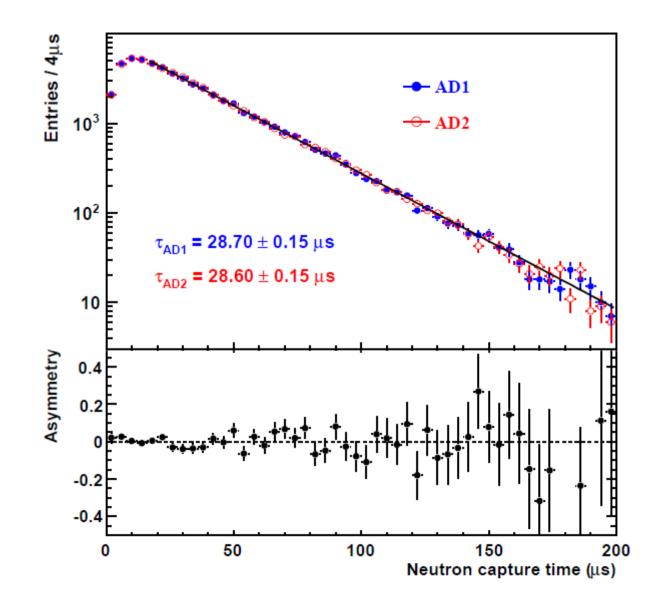
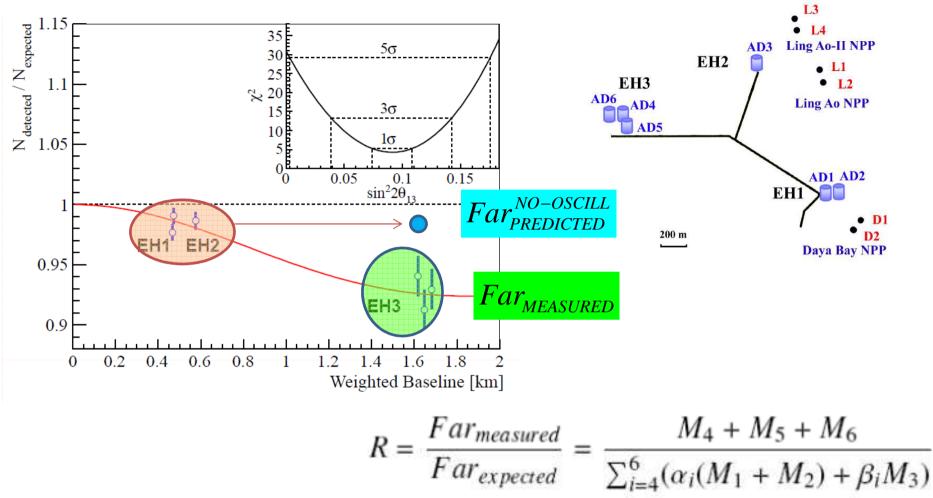


Figure 14: The neutron capture time on Gd from the Am-13C source at the detector center.

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 M_n : measured rates in each detector.

Weights α_i, β_i : determined from baselines and reactor fluxes, no oscillations assumed.

 $R = 0.940 \pm 0.011 \text{ (stat)} \pm 0.004 \text{ (syst)}$

Unambiguous observation of antineutrino deficit at the far site!
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Rate-only analysis

Determine θ_{13} using measured rates in each detector: **

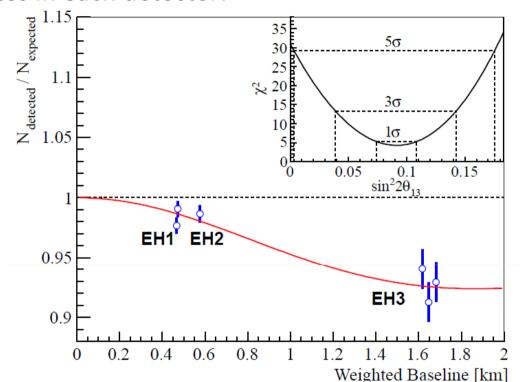
Uses standard χ^2 approach: $(\chi^2/NDF=4.26/4)$

$$\begin{split} \chi^2 &= \sum_{d=1}^6 \frac{\left[M_d - T_d \left(1 + \varepsilon + \sum_r \omega_r^d \alpha_r + \varepsilon_d\right) + \eta_d\right]^2}{M_d} \\ &+ \sum_r \frac{\alpha_r^2}{\sigma_r^2} + \sum_{d=1}^6 \left(\frac{\varepsilon_d^2}{\sigma_d^2} + \frac{\eta_d^2}{\sigma_B^2}\right)\,, \end{split}$$

Far vs. near relative measurement. [Absolute rate is not constrained.]

Consistent results obtained by independent analyses, different reactor flux models.

25.5.2012



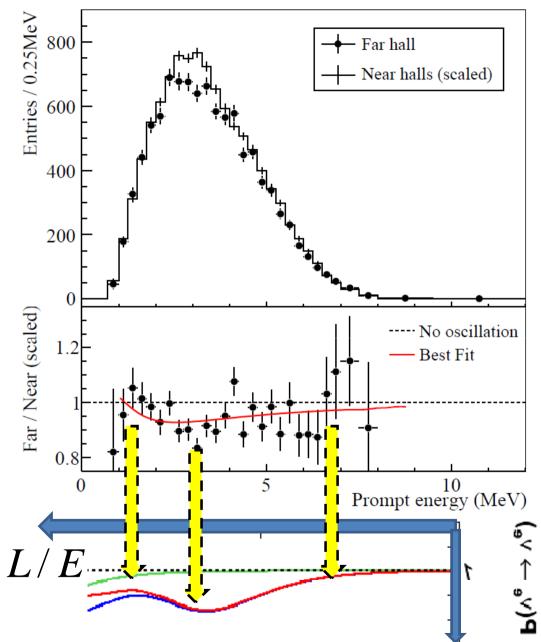
 $sin^2 2\theta_{13} = 0.092 \pm 0.016$ (stat) ± 0.005 (syst) $sin^2 2\theta_{13} = 0$ excluded at 5.2 σ

The smallest lepton mixing angle is comparable to largest (Cabibbo) $\theta_{13} \cong 8.8^{\circ}$ quark mixing angle. LAPP Annecy seminar

The disagreement of the spectra in far and near hall provides further evidence of neutrino oscillation. The ratio of the spectra is consistent with the best-fit oscillation solution of

 $\sin^2 2\theta_{13} = 0.092$ obtained from the rate-only analysis.

Currently the result is only from rate analysis!



Global situation of θ_{13}

Daya Bay sin²2θ₁₃ = 0.092 ± 0.016 (stat) ± 0.005 (syst) Phys.Rev.Lett. 108 (2012) 171803

Previous results suggest a non-zero θ_{13}

T2K

 $0.03(0.04) < \sin^2 2\theta_{13} < 0.28(0.34)$ PRL 107, 041801 (2011)

MINOS

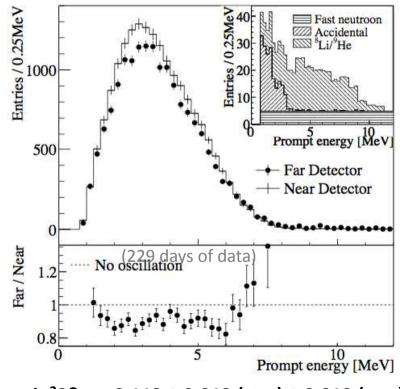
 $2\sin^2(\theta_{23})\sin^2(2\theta_{13}) = 0.041^{+0.047}_{-0.031}$ PRL. 107, 181802 (2011)

Double Chooz

$sin^{2}(2 \theta_{13})=0.086 \pm 0.041(stat) \pm 0.030(syst)$

Y. Abe et al. PRL 108 131801 (2012)

Recent results from RENO



 $\sin^2 2\theta_{13} = 0.113 \pm 0.013 \text{ (stat)} \pm 0.019 \text{ (syst)}$

(http://arxiv.org/pdf/1204.0626v2.pdf)

CONCLUSIONS

-Daya Bay experiment has started to take data with 3 far and 3 near detectors on December 24, 2011

-Daya Bay has the highest sensitivity to θ_{13} among all the other experiments that are currently in operation or under construction

-With 43 ktons x GW x day exposure in 55 days, the (6.0 +- 1.1(stat) +- 0.4(syst)) % deficit of neutrino flux in far detectors has been measured

-This result implies the value of sin²2θ₁₃=0.092 +- 0.016(stat) +- 0.005(syst) with a significance of 5.2 σ