

# Baryon and lepton number violation at the LHC

Christopher Smith



## Introduction

**Definition:** Leptons have  $\mathcal{L}=1$ ,  
 Quarks have  $\mathcal{B}=1/3 \leftarrow p^+ \sim \varepsilon^{\alpha\beta\gamma} u_\alpha u_\beta d_\gamma$ .

**Theoretically:** Renormalizability  $\Rightarrow$  no  $\Delta\mathcal{B}, \Delta\mathcal{L} \neq 0$  couplings in  $\mathcal{L}_{SM}$ .

But,  $\Delta\mathcal{B}, \Delta\mathcal{L} \neq 0$  effects expected beyond the SM.  
 (BAU, GUT, Majorana  $\nu$ ,...)

**Experimentally,**  $\Delta\mathcal{B}=0$  is extremely well supported:

**Proton decay:** Lightest spin 1/2 baryon  $\rightarrow$  must violate  $\mathcal{B}$  and  $\mathcal{L}$ :

$$p^+ \rightarrow \pi^0 e^+ : 8.2 \times 10^{33} \text{ yrs} \Leftrightarrow \Gamma \sim 10^{-60} \text{ GeV}$$

$$p^+ \rightarrow \text{any} : 2.1 \times 10^{29} \text{ yrs} \Leftrightarrow \Gamma \sim 10^{-55} \text{ GeV}$$

**Many others:**  $n^0 - \bar{n}^0, Z \rightarrow p^+ e^-, \tau^- \rightarrow e^+ \pi^- \pi^-, K^+ \rightarrow \pi^- e^+ e^+, \dots$

Could the LHC help resolve this puzzle?

- Outline

I. Strategy

II. Effective interactions

III. Supersymmetry

# I. Strategy

## A. The central question

Nikolidakis, CS '07, CS '11

If non-zero, why are  $\mathcal{B}$  and  $\mathcal{L}$  violations so small?

$\mathcal{B}$  and  $\mathcal{L}$  are intrinsically flavored since they refer to quarks & leptons.

Small  $\mathcal{B}$  and  $\mathcal{L}$  violation  $\overset{?}{\longleftrightarrow}$  No NP effects at flavor factories

To answer this, use the techniques of Minimal Flavor Violation.

How large can  $\mathcal{B}$  and  $\mathcal{L}$  violation be in the absence of new flavor couplings?

## B. Minimal Flavor Violation

How large can  $\mathcal{B}$  and  $\mathcal{L}$  violation be in the absence of new flavor couplings?

- Gauge interactions are flavor-blind  $\Leftrightarrow$  Invariance under:

$$U(3)^5 = U(3)_Q \times U(3)_U \times U(3)_D \times U(3)_L \times U(3)_E$$

$$\text{Where } Q = (u_L \ d_L)^T, \ U = u_R^\dagger, \ D = d_R^\dagger, \ L = (\nu_L \ e_L)^T, \ E = e_R^\dagger.$$

Chivukula, Georgi '87

- Flavor couplings = explicit breaking terms for this symmetry

$$\text{In the SM, } \mathbf{Y}_u \sim m_u V_{CKM}, \ \mathbf{Y}_d \sim m_d, \ \mathbf{Y}_e \sim m_e.$$

## B. Minimal Flavor Violation

How large can  $\mathcal{B}$  and  $\mathcal{L}$  violation be in the absence of new flavor couplings?

- $\mathcal{B}$  and  $\mathcal{L}$  are combinations of the flavor  $U(1)$ 's:

$$\begin{aligned} U(3)^5 &= SU(3)^5 \times U(1)_Q \times U(1)_U \times U(1)_D \times U(1)_L \times U(1)_E \\ &= SU(3)^5 \times U(1)_{\mathcal{B}} \times U(1)_{\mathcal{L}} \times U(1)_Y \times U(1)_{PQ} \times U(1)_E \end{aligned}$$

- $\Delta\mathcal{B}$  and  $\Delta\mathcal{L}$  couplings break  $U(1)_{\mathcal{B}} \times U(1)_{\mathcal{L}}$  and maybe also  $SU(3)^5$ .
- MFV = Any  $SU(3)^5$  breaking required to be aligned with the Yukawas.

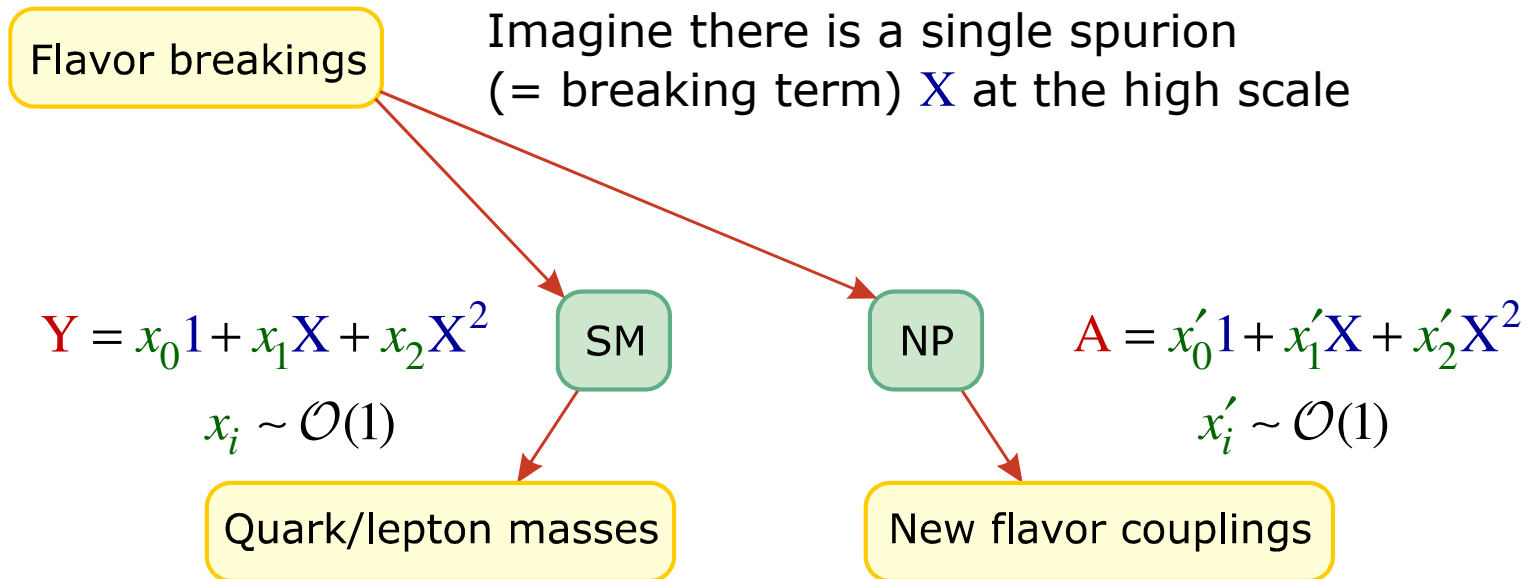


That's what works for FCNC.

Hall, Randall '90; D'Ambrosio, Giudice, Isidori, Strumia '02,...

## B. Minimal Flavor Violation

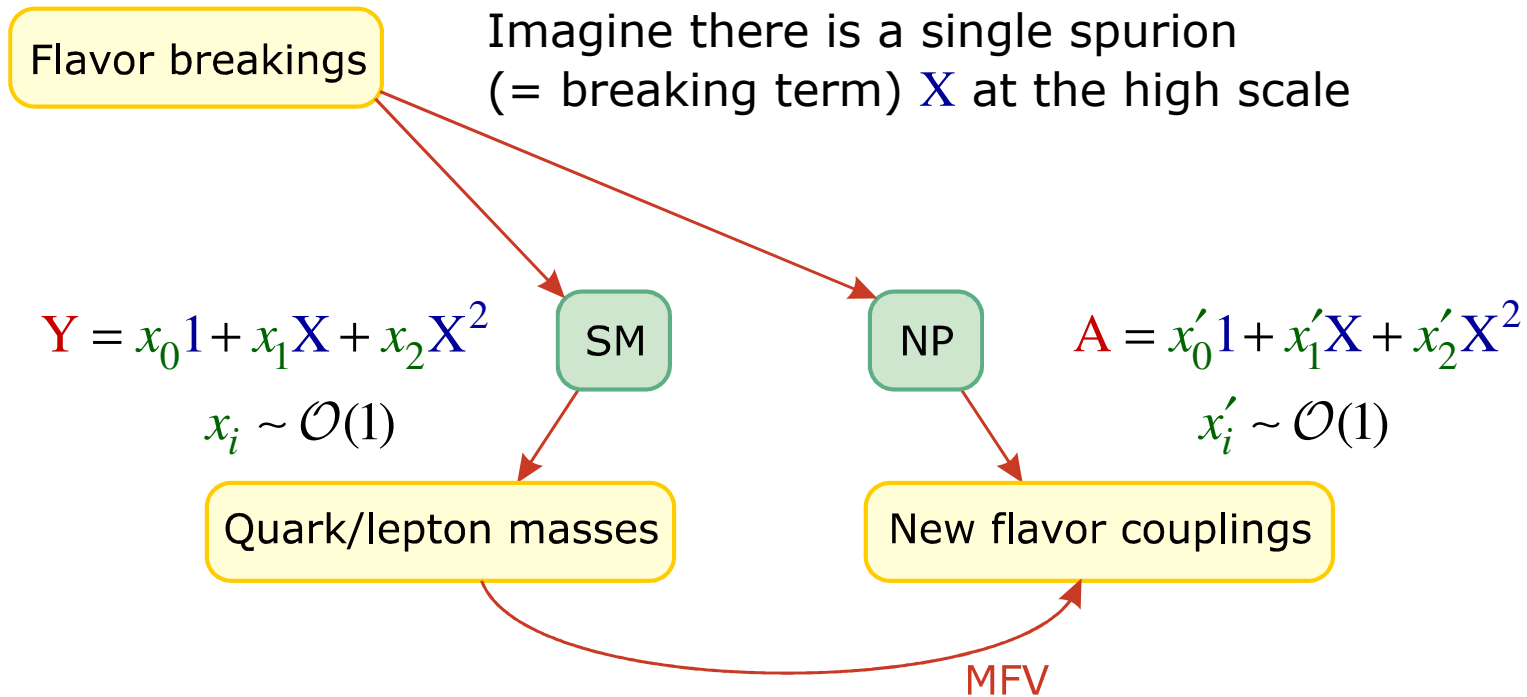
Nikolidakis, CS '07  
Colangelo, Nikolidakis, CS '08  
CS '11





## B. Minimal Flavor Violation

Nikolidakis, CS '07  
Colangelo, Nikolidakis, CS '08  
CS '11

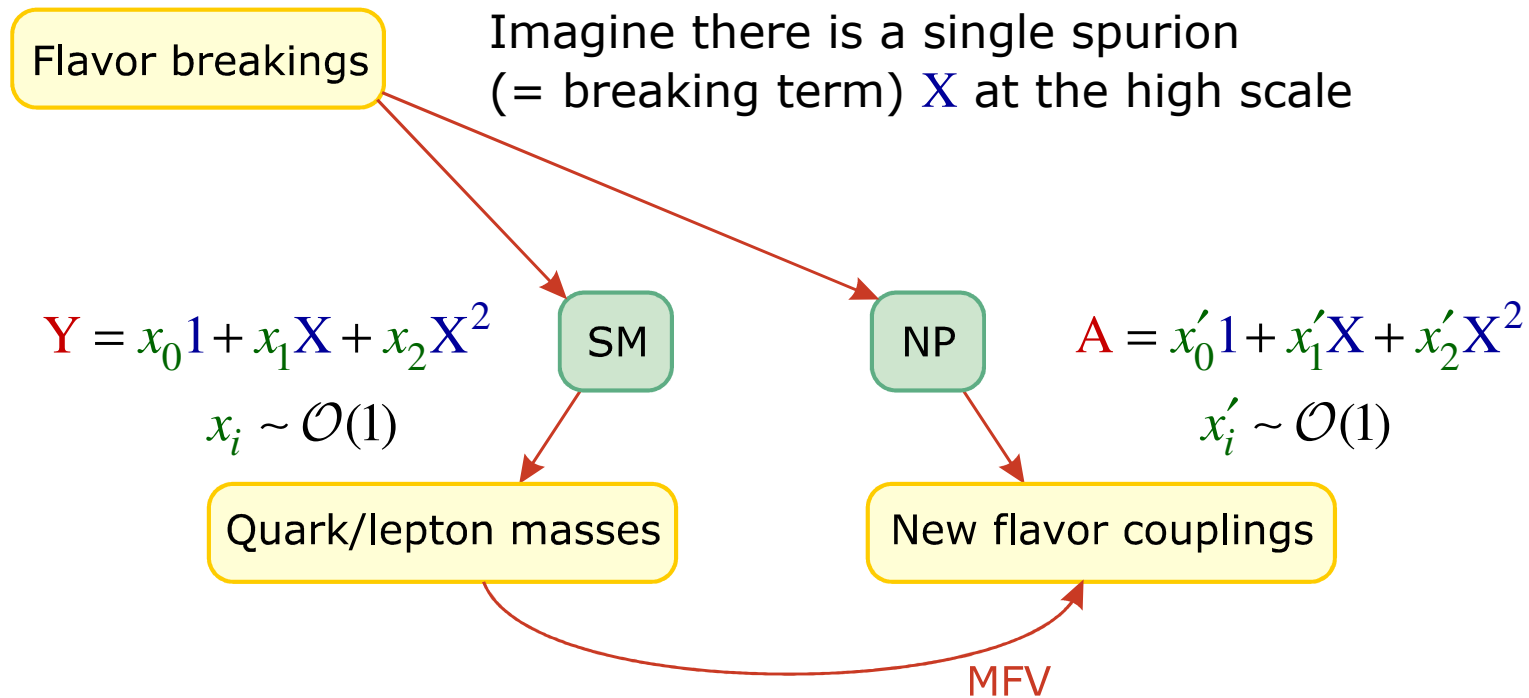


Redundancy  $\Rightarrow$  MFV relation among flavor couplings:

$$A = a_0 \mathbf{1} + a_1 Y + a_2 Y^2 \quad \text{with} \quad a_i \sim \mathcal{O}(1)$$

## B. Minimal Flavor Violation

Nikolidakis, CS '07  
Colangelo, Nikolidakis, CS '08  
CS '11



In this way, NP couplings inherit the hierarchies of the Yukawas:

$$A = a_0 \mathbf{1} + a_1 Y_u^\dagger Y_u + a_2 Y_d^\dagger Y_d + \dots \approx \begin{pmatrix} 1 & 10^{-4} & 10^{-3} \\ 10^{-4} & 1 & 10^{-2} \\ 10^{-3} & 10^{-2} & 1 \end{pmatrix} + i \begin{pmatrix} 0 & 10^{-4} & 10^{-3} \\ 10^{-4} & 0 & 10^{-4} \\ 10^{-3} & 10^{-4} & 0 \end{pmatrix}$$

## II. Effective interactions

## Step 1 - Only SM gauge interactions

Simplest operators breaking  $U(1)_{\mathcal{B},\mathcal{L}}$  but not  $SU(3)^5$  ...  
...without any spurions (only gauge interactions).

- Epsilon contractions must involve the same three SM fields, e.g.:

$$\epsilon^{IJK} Q^I Q^J Q^K \rightarrow \epsilon^{IJK} (g_Q Q)^I (g_Q Q)^J (g_Q Q)^K = \det(g_Q) \epsilon^{IJK} Q^I Q^J Q^K$$

- SM gauge invariance  $\Rightarrow$  at least four epsilon contractions:

$$\mathcal{H}_{eff} = \frac{1}{\Lambda^{14}} \left[ c_1 (LQ^3)^3 + c_2 (EU^2 D)^3 + c_3 (EUQ^{\dagger 2})^3 + c_4 (LQD^{\dagger} U^{\dagger})^3 \right]$$

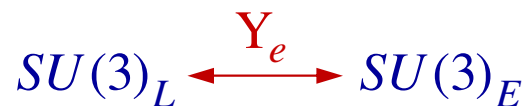
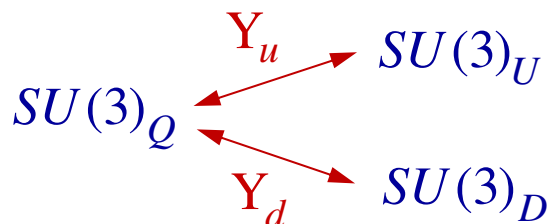
This is the SM  $\mathcal{B}+\mathcal{L}$  anomaly. t'Hooft '76

- Lower-dimensional  $\Delta\mathcal{B}$  and  $\Delta\mathcal{L}$  interactions must break  $SU(3)^5$ .

## Step 2 - Introducing Yukawa couplings

Simplest operators breaking  $U(1)_{\mathcal{B},\mathcal{L}}$  but not  $SU(3)^5 \dots$   
 ...with Yukawa spurions (massless/Dirac neutrinos).

- The Yukawas link the  $SU(3)$  spaces  $\Rightarrow$  New epsilon contractions:



E.g.:  $\epsilon^{IJK} Q^{\dagger I} (U Y_u)^J (D Y_d)^K$

$\epsilon^{IJK} L^{\dagger I} L^{\dagger J} (E Y_e)^K$

- There are **three notable features**:
  - Steps of three:  $\Delta\mathcal{L} = \mathbb{Z}N_F$  but  $\Delta\mathcal{B} = \mathbb{Z}N_F / N_C$  since  $\mathcal{B}(p^+) \equiv 1$ .
  - Three generations participate:  $\epsilon^{IJK} \neq 0$  iff  $I \neq J \neq K$ .
  - High-dimensional operators: **At least six fermion fields**.

## Step 2 - Introducing Yukawa couplings

Simplest operators breaking  $U(1)_{\mathcal{B},\mathcal{L}}$  but not  $SU(3)^5$  ...  
 ...with Yukawa spurions (massless/Dirac neutrinos).

- Simplest operators:

$$\mathcal{H}_{eff} = \frac{1}{\Lambda^5} \left[ \underbrace{c_1 E L^{\dagger 2} U^3 + c_2 L^{\dagger 3} Q^{\dagger} U^2}_{\Delta\mathcal{B}, \Delta\mathcal{L} = 1, 3} + \underbrace{c_3 D^4 U^2 + c_4 D^3 U Q^{\dagger 2} + c_5 D^2 Q^{\dagger 4}}_{\Delta\mathcal{B}, \Delta\mathcal{L} = 2, 0} \right]$$

can induce proton decay.      can induce neutron oscillations.

- Bounds satisfied even for  $\Lambda \approx 1 \text{ TeV}$ :

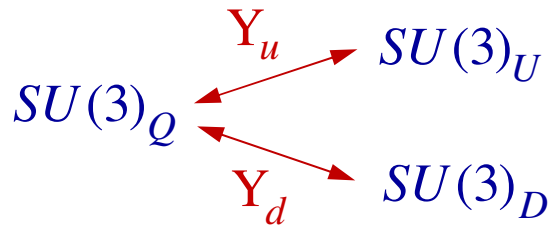
$$L^{\dagger 3} \otimes Q^{\dagger} (U \mathbf{Y}_u)^2 + \dots \rightarrow \{\bar{\nu}_{\mu} e_L^c\} \{\bar{\nu}_{\tau} s_L^c\} \{\bar{u}_R u_R^c\} \frac{m_u^2}{v^2} V_{ub} + \dots$$

$$\Rightarrow \Gamma \sim \frac{m_{p^+}^{11}}{\Lambda^{10}} (10^{-13})^2 \approx (10^{-60} \text{ GeV}) \times \left( \frac{1 \text{ TeV}}{\Lambda} \right)^{10}$$

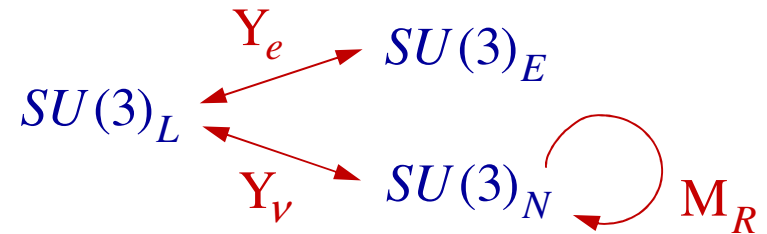
### Step 3 - Introducing neutrino masses

Simplest operators breaking  $U(1)_{B,\mathcal{L}}$  but not  $SU(3)^5$  ...  
...with Yukawa & Majorana neutrino spurions.

- A  $\Delta\mathcal{L} = \pm 2$  Majorana spurion breaks the  $\Delta\mathcal{L} = \mathbb{Z}N_F$  selection rule:



E.g.:  $\epsilon^{IJK} Q^{\dagger I} (U Y_u)^J (D Y_d)^K$



$\epsilon^{IJK} L^{\dagger I} \underbrace{(v Y_\nu^T M_R^{-1} Y_\nu)}_{m_\nu/v} Y_e^\dagger Y_e)^{JK}$

- Simplest operators:  $\mathcal{H}_{eff} = \frac{1}{\Lambda^2} \left[ c_1 L Q^3 + c_2 E U^2 D + c_3 E U Q^{\dagger 2} + c_4 L Q D^{\dagger} U^{\dagger} \right]$

Weinberg '79

Bounds satisfied for  $\Lambda \gtrsim 5 \text{ TeV}$  (instead of  $\Lambda \gtrsim 10^{16} \text{ GeV}$  when  $c_i \sim 1$ ).

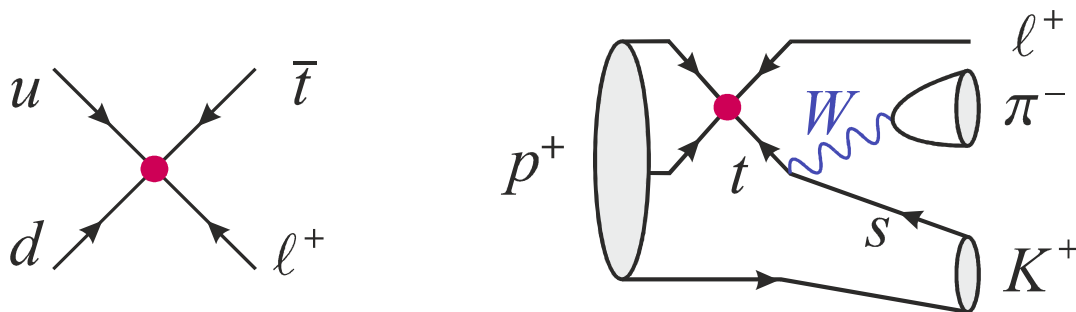
## Consequences for the LHC

MFV  $\rightarrow$  Epsilon contractions  $\rightarrow$  Dominant channels involve the top!

$$\text{E.g., } \epsilon^{LMN} u^L u^M u^N \rightarrow u + c + t.$$

Dimension-six: Wilson coefficients suppressed by  $m_V \rightarrow$  Negligible.

Trying to remove MFV for  $\Delta\mathcal{L}$  brings back proton stability problems!



Hou, Nagashima, Soddu '05; Dong, Durieux, Gérard, Han, Maltoni '11

Dimension-nine: Some  $\mathcal{O}(1)$  Wilson coefficients for top quark(s).

Proton stable enough for  $\Lambda \approx 1 \text{ TeV} \dots$

Effective formalism inadequate for the LHC?



## Consequences for the LHC

Durieux, Gérard, Maltoni, CS '12 [soon]

Effective operators cannot be used to compute cross-sections,  
but can be used to **estimate ratios of cross-section**.

Identify the flavor channels where  $\Delta\mathcal{B}$  and  $\Delta\mathcal{L}$  effects can be large.  
(this requires considering far more than the five dim-9 operators)

$\Delta\mathcal{B}, \Delta\mathcal{L} = 1, 3$ : Dilepton & top(s)	$\Delta\mathcal{B}, \Delta\mathcal{L} = 2, 0$ : Di-top & b's
$1 : gu \rightarrow \bar{t} + \bar{c} + e^+ \mu^+ \bar{\nu}_\tau$	$1 : dd \rightarrow \bar{t} \bar{t} + \bar{s} \bar{s}$
$\lambda^8 : gu \rightarrow \bar{t} \bar{t} + e^+ \mu^+ \bar{\nu}_\tau$	$\lambda^2 : dd \rightarrow \bar{t} \bar{t} + \bar{b} + \bar{s}$
$\lambda^9 : gg \rightarrow \bar{t} \bar{t} + \bar{c} + e^+ \mu^+ \bar{\nu}_\tau + h.c.$	$\lambda^4 : dd \rightarrow \bar{t} \bar{t} + \bar{b} \bar{b}$
$\lambda^{11} : uu \rightarrow \bar{t} + e^+ \mu^+ \bar{\nu}_\tau$	$\lambda^{15} : gd \rightarrow \bar{t} \bar{t} + \bar{b} \bar{b} \bar{b}$
$\lambda^{25} : gg \rightarrow \bar{t} \bar{t} \bar{t} + e^+ \mu^+ \bar{\nu}_\tau + h.c.$	$\lambda^{26} : gg \rightarrow \bar{t} \bar{t} + \bar{b} \bar{b} \bar{b} \bar{b} + h.c.$

$$\lambda^3 \approx 1\%$$

These ratios are approximate: **Dynamical effects can be important!**

### III. Supersymmetry

## A. What happens in supersymmetry?

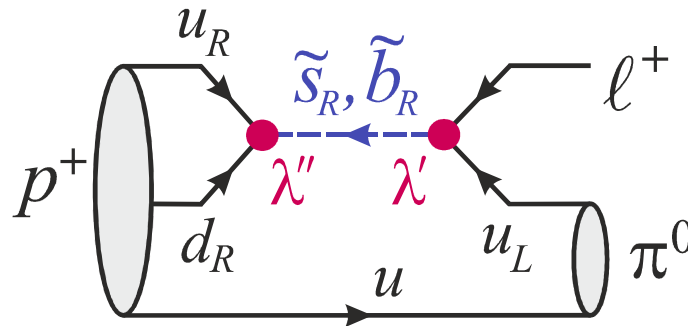
Fayet '76

Simplest operators breaking  $U(1)_{\mathcal{B},\mathcal{L}}$  but not  $SU(3)^5 \dots$   
 ...within the MSSM.

- Squarks/slepton carry  $\mathcal{B}$  and  $\mathcal{L} \rightarrow$  Renormalizable  $\Delta\mathcal{B}, \Delta\mathcal{L}$  couplings exist:

$$\mathcal{W}_{RPV} = \underbrace{\mu'^I L^I H_u + \lambda^{IJK} L^I L^J E^K + \lambda'^{IJK} L^I Q^J D^K}_{\Delta\mathcal{L}=1} + \underbrace{\lambda''^{IJK} U^I D^J D^K}_{\Delta\mathcal{B}=1}$$

which induce **proton decay** at tree-level, e.g. via:



But,  $\tau_{p^+}^{\text{exp}} \Rightarrow |\lambda' \lambda''| \leq 10^{-27} ?$

- **Escape route 1:** Invent **R-parity** to get rid of all these couplings.

## A. What happens in supersymmetry?

Nikolidakis, CS '07

Simplest operators breaking  $U(1)_{\mathcal{B},\mathcal{L}}$  but not  $SU(3)^5$  ...  
...within the MSSM.

- Squarks/slepton carry  $\mathcal{B}$  and  $\mathcal{L} \rightarrow$  Renormalizable  $\Delta\mathcal{B}, \Delta\mathcal{L}$  couplings exist:

$$\mathcal{W}_{RPV} = \underbrace{\mu'^I L^I H_u + \lambda^{IJK} L^I L^J E^K + \lambda'^{IJK} L^I Q^J D^K}_{\Delta\mathcal{L}=1} + \underbrace{\lambda''^{IJK} U^I D^J D^K}_{\Delta\mathcal{B}=1}$$

- Escape route 2: Minimal Flavor Violation

The  $\Delta\mathcal{B}=1$  couplings are allowed, but not the  $\Delta\mathcal{L}=1$  when  $m_\nu = 0$ .

$$\epsilon^{IJK} (U Y_u Y_d^\dagger)^I D^J D^K, \epsilon^{IJK} (U Y_u)^I (D Y_d)^J (D Y_d)^K, \dots$$

Proton decay is slow enough even for EW-scale squark masses.

## A. What happens in supersymmetry?

Csaki, Grossman, Heidenreich '11

Simplest operators breaking  $U(1)_{\mathcal{B},\mathcal{L}}$  but not  $SU(3)^5 \dots$   
 $\dots$ within the MSSM.

- Holomorphy: If Yukawas = VEVs of some chiral superfields:

No  $Y_i^\dagger$  allowed in  $\mathcal{W}_{RPV}$ , only  $\epsilon^{IJK} (UY_u)^I (DY_d)^J (DY_d)^K$  permitted.

Less free parameters, and smaller couplings:

Full:

$\lambda''$	$ds$	$sb$	$bd$
$u$	4	4	4
$c$	3	4	4
$t$	0	3	3

Holomorphic:

$\lambda''$	$ds$	$sb$	$bd$
$u$	11	6	8
$c$	8	4	5
$t$	4	3	4

$$x \equiv \mathcal{O}(10^{-x}), \tan \beta = 50$$

## B. Stealth supersymmetry at the LHC?

MFV instead of R parity: No sizeable  $\mathcal{L}$  violation ( $\sim m_\nu$ ),  
 Dominant  $\mathcal{B}$  violation through  $\lambda''_{312} \leq \mathcal{O}(1)$ .

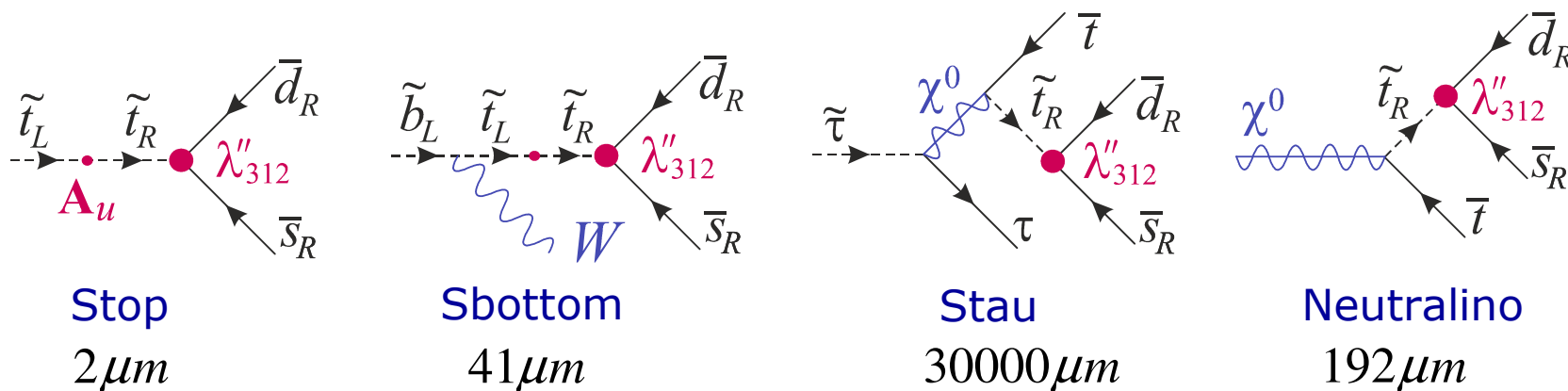
$$\downarrow$$

$$\tilde{t}_R d_R s_R, t_R \tilde{d}_R s_R, t_R d_R \tilde{s}_R$$

Theoretically, this single coupling does not change much.

Experimentally, the whole phenomenology is modified.

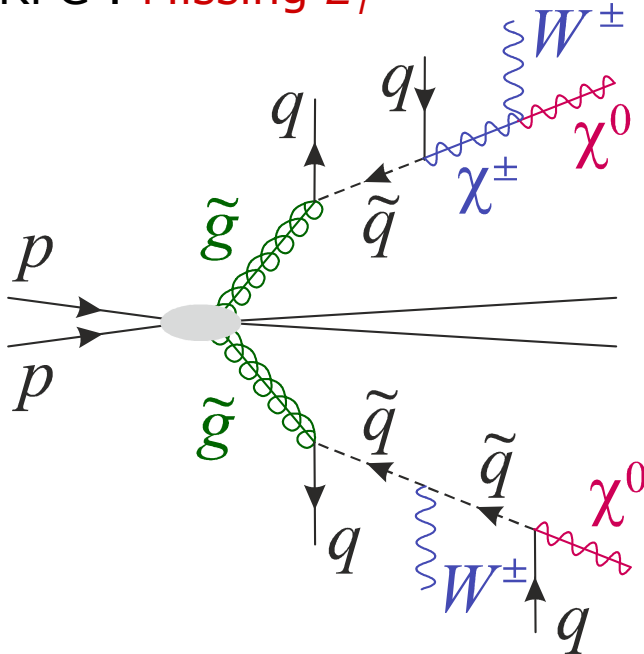
The LSP quickly decays, so it needs not be colorless & neutral:



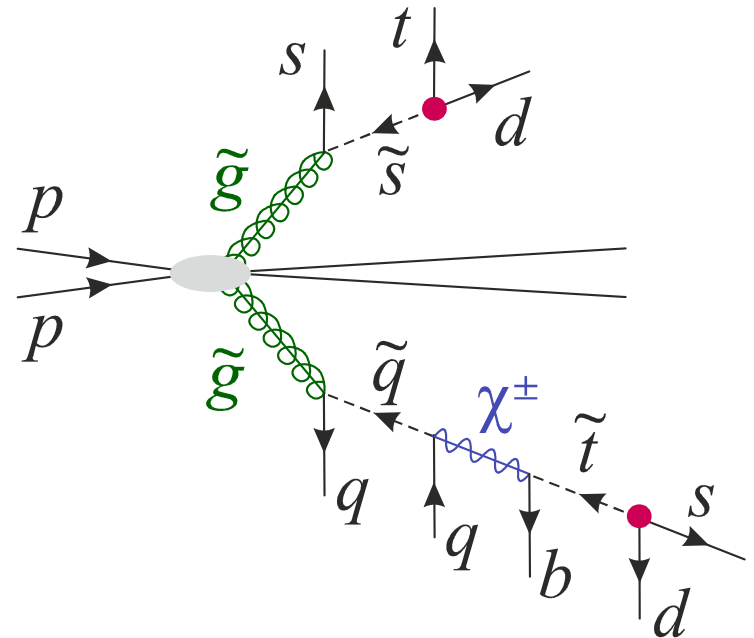
## B. Stealth supersymmetry at the LHC?

Characteristic signals: - Most decay chains end in **top(s) + jet final states**.  
 - **No missing  $E_T$**  (except from neutrinos).

RPC : **Missing  $E_T$**



RPV : **top(s) + jets**

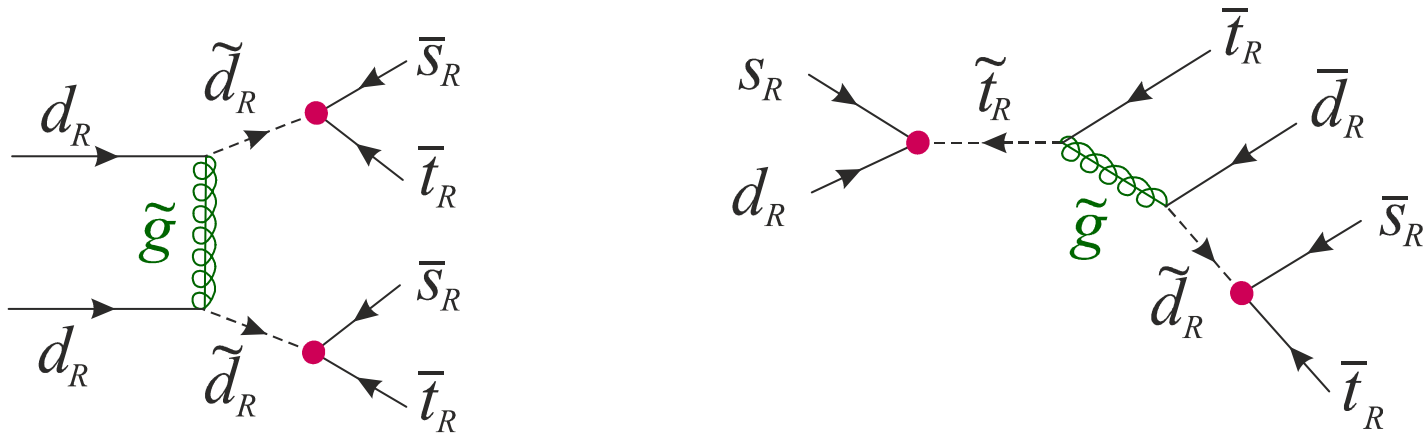


SUSY disappears from missing  $E_T$  channels (those used up to now),  
 Instead, sparticles shows up as multi-jet resonances (displaced vertices?).

## B. Stealth supersymmetry at the LHC?

Characteristic signals: - Most decay chains end in **top(s) + jet final states**.  
 - **No missing  $E_T$**  (except from neutrinos).

Simplest channels  $\rightarrow$  **Look for two anti-tops**



$$\text{Large rate: } \sigma(dd \rightarrow \bar{t}\bar{t} + \bar{s}\bar{s}) \approx \sigma(dd \rightarrow \tilde{d}\tilde{d})[\mathcal{B}(\tilde{d} \rightarrow \bar{t}\bar{s})]^2 \approx \sigma(dd \rightarrow \tilde{d}\tilde{d})$$

SUSY disappears from missing  $E_T$  channels (those used up to now),  
 Instead, **sparticles** shows up as **multi-jet resonances** (displaced vertices?).



## B. Stealth supersymmetry at the LHC?

Many theoretical studies dedicated to  $\mathcal{B}$ -violation at colliders:

**Tevatron:** Dimopoulos, Hall '88; Dreiner, Ross '91, Berger *et al.* '99, Chiappetta *et al.* '99; Chaichan *et al.* '00, Allanach *et al.* '01; ...

**LHC:** Choudhury, Datta, Maity '11, Csaki, Grossman, Heidenreich '11; ...

Experimentally, light SUSY with  $\mathcal{B}$ -violation could not have been seen yet.

**Resonant gluino** with RPV three-jet decay @ CMS:  $m_{\tilde{g}} > 280 \text{ GeV}$

with a  $1.9\sigma$  bump around 390 GeV...

(to be compared to  $m_{\tilde{g}} \gtrsim 1 \text{ TeV}$  in the CMSSM)

**Resonant LSP stop:** production rates relatively small for the LHC.

300 fb<sup>-1</sup> at 14 TeV would be needed to exclude  $m_{\tilde{t}} > 650 \text{ GeV}$  .

(searching for resonances in four jets)

Conclusion

## Baryon and lepton number violation at the LHC?

- Low-energy  $\mathcal{B}$  and  $\mathcal{L}$  violating interactions are possible

Proton stability ensured by their non-trivial flavor structure.

No fine-tuning! Just Yukawa hierarchies + small neutrino masses.

These hierarchies favor processes with top quarks:

$$\begin{aligned}\Delta\mathcal{B}, \Delta\mathcal{L} = 1, 3 : & \quad gu \rightarrow \bar{t} + \bar{c} + e^+ \mu^+ \bar{\nu}_\tau \\ \Delta\mathcal{B}, \Delta\mathcal{L} = 2, 0 : & \quad dd \rightarrow \bar{t}\bar{t} + \bar{s}\bar{s}, \bar{t}\bar{t} + \bar{b} + \bar{s}, \bar{t}\bar{t} + \bar{b}\bar{b}\end{aligned}$$

- In supersymmetry, the main motivation for R-parity disappears!

No sizable  $\mathcal{L}$  violation, but large  $\mathcal{B}$  violating couplings.

→ Bypass current bounds on sparticle masses.

→ Look for resonances in top(s) + jets final states, especially in:

$$\Delta\mathcal{B}, \Delta\mathcal{L} = 2, 0 : \quad dd \rightarrow \bar{t}\bar{t} + \bar{s}\bar{s}, \bar{t}\bar{t} + \bar{b} + \bar{s}, \bar{t}\bar{t} + \bar{b}\bar{b}$$