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Flavour physics & the special role of the third generation

based on:

R. Barbieri, G. Isidori, J. Jones-Pérez, P. Lodone, D.M.S., arXiv:1105.2296

R. Barbieri, P. Campli, G. Isidori, F. Sala, D.M.S., arXiv:1108.5125

R. Barbieri, D. Buttazzo, F. Sala, D.M.S., arXiv:1203.4218

Outline

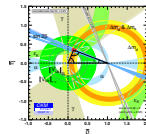
- The flavour puzzle
 - Recap of Minimal Flavour Violation
- $U(2)^3$ flavour symmetry
 - Generic effective field theory approach
 - Supersymmetry
 - Composite Higgs models
 - Bounds from $\Delta F = 1, 2$ processes
 - Extension to the lepton sector
- Conclusions

Our understanding of fundamental physics

natural
well-understood

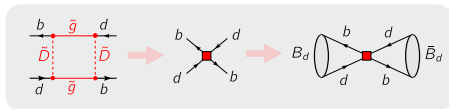
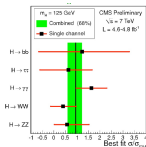
good parametrization
but no explanation

$$\mathcal{L} = \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}} + \mathcal{L}_{\text{Yukawa}} + \sum_{i,d>4} \frac{O_i^{(d)}}{\Lambda^{4-d}}$$



seems to describe the data
but highly unnatural if Higgs is
fundamental and not SUSY

neutrino masses
dark matter



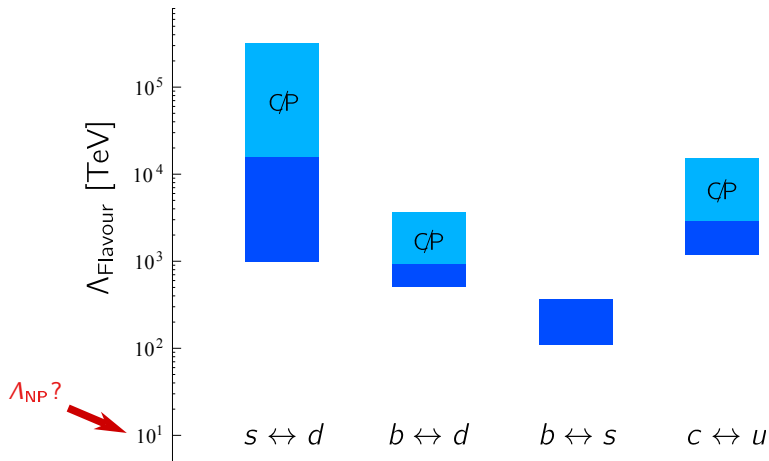
Bounds on new physics from flavour

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \sum_i \frac{O_i^{(d)}}{\Lambda^{4-d}}$$

Operator	Bound on Λ [TeV]		Observables
	Re	Im	
$(\bar{s}_L \gamma^\mu d_L)^2$	9.8×10^2	1.6×10^4	$\Delta m_K; \epsilon_K$
$(\bar{s}_R d_L)(\bar{s}_L d_R)$	1.8×10^4	3.2×10^5	$\Delta m_K; \epsilon_K$
$(\bar{c}_L \gamma^\mu u_L)^2$	1.2×10^3	2.9×10^3	$\Delta m_D; q/p , \phi_D$
$(\bar{c}_R u_L)(\bar{c}_L u_R)$	6.2×10^3	1.5×10^4	$\Delta m_D; q/p , \phi_D$
$(\bar{b}_L \gamma^\mu d_L)^2$	5.1×10^2	9.3×10^2	$\Delta m_{B_d}; S_{\psi K_S}$
$(\bar{b}_R d_L)(\bar{b}_L d_R)$	1.9×10^3	3.6×10^3	$\Delta m_{B_d}; S_{\psi K_S}$
$(\bar{b}_L \gamma^\mu s_L)^2$	1.1×10^2		Δm_{B_s}
$(\bar{b}_R s_L)(\bar{b}_L s_R)$	3.7×10^2		Δm_{B_s}

[Isidori, Nir, Perez 1002.0900]

The flavour puzzle



TeV scale NP requires weakly broken flavour symmetry

Minimal Flavour Violation

MFV = Maximal flavour symmetry ...

[D'Ambrosio et al. (2002)]

$$\mathcal{L}_{\text{SM}} \supset \bar{q}_L(i\not{D})q_L + \bar{u}_R(i\not{D})u_R + \bar{d}_R(i\not{D})d_R - Y_d^{ij} \bar{q}_L^i h d_R^j - Y_u^{ij} \bar{q}_L^i \tilde{h} u_R^j$$

$$U(3)_{Q_L} \otimes U(3)_{U_R} \otimes U(3)_{D_R} \rightarrow U(1)_B$$


... + minimal symmetry breaking

$$Y_u \sim (3, \bar{3}, 1) \quad Y_d \sim (3, 1, \bar{3})$$

A convenient basis

$$Y_u = U_{Q_u}^\dagger \begin{pmatrix} y_u & & \\ & y_c & \\ & & y_t \end{pmatrix} U_U \quad Y_d = U_{Q_d}^\dagger \begin{pmatrix} y_d & & \\ & y_s & \\ & & y_b \end{pmatrix} U_D$$

Minimal Flavour Violation

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[D'Ambrosio et al. (2002)]

$$\mathcal{L}_{\text{SM}} \supset \bar{q}_L(i\not{D})q_L + \bar{u}_R(i\not{D})u_R + \bar{d}_R(i\not{D})d_R - Y_d^{ij} \bar{q}_L^i h d_R^j - Y_u^{ij} \bar{q}_L^i \tilde{h} u_R^j$$

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\downarrow
 V_{CKM}^\dagger

Consequences of MFV

All operators have to be formally invariant under the flavour symmetry.

$$\bar{d}_{Li}\gamma_{\mu} \left[Y_u Y_u^{\dagger} + Y_d Y_d^{\dagger} + \dots \right]_{ij} d_{Lj} \sim \bar{d}_{Li}\gamma_{\mu} \left[y_t^2 V_{ti}^* V_{tj} \right] d_{Lj}$$
$$\begin{aligned} & \uparrow \\ & \left[Y_u Y_u^{\dagger} \right]_{i \neq j}^n = y_t^{2n} V_{ti}^* V_{tj} \\ & \| Y_d \| \ll 1 \end{aligned}$$

$$\bar{d}_{Ri}\sigma_{\mu\nu} \left[Y_d Y_u^{\dagger} Y_u + \dots \right]_{ij} d_{Lj} \sim \bar{d}_{Ri}\sigma_{\mu\nu} \left[y_{d_i} V_{ti}^* V_{tj} \right] d_{Lj}$$

- same CKM suppression of all amplitudes as in the SM
- no right-handed FCNCs

$$\Rightarrow \text{e.g. } \frac{1}{\Lambda_{\text{NP}}^2} (\bar{d}_{Li}\gamma_{\mu} \left[y_t^2 V_{ti}^* V_{tj} \right] d_{Lj})^2 \rightarrow \Lambda_{\text{NP}} \gtrsim 5 \text{ TeV}$$

Example: MFV in supersymmetry

degeneracy & alignment

$$\tilde{m}_{Q_L}^2 = \tilde{m}^2 \left(a_1 \mathbf{1} + b_1 Y_U Y_U^\dagger + b_2 Y_D Y_D^\dagger + \dots \right)$$

$$\tilde{m}_{U_R}^2 = \tilde{m}^2 \left(a_2 \mathbf{1} + b_5 Y_U^\dagger Y_U + \dots \right)$$

$$\tilde{m}_{D_R}^2 = \tilde{m}^2 \left(a_3 \mathbf{1} + b_6 Y_D^\dagger Y_D + \dots \right)$$

$$A_U = A \left(a_4 \mathbf{1} + b_7 Y_D Y_D^\dagger + \dots \right) Y_U$$

$$A_D = A \left(a_5 \mathbf{1} + b_8 Y_U Y_U^\dagger + \dots \right) Y_D$$

can be complex \rightarrow EDMs

Outline

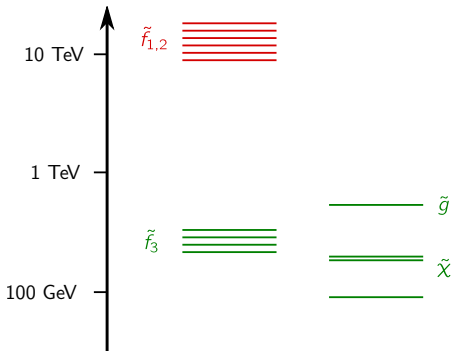
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Why $U(2)^3$?

$$\begin{aligned} (m_u, m_c, m_t) &\sim (\cdot, \bullet, \text{shaded sector}) \\ (m_d, m_s, m_b) &\sim (\cdot, \bullet, \bullet) \end{aligned} \quad |V_{\text{CKM}}| \sim \begin{pmatrix} \bullet & \bullet & \cdot \\ \bullet & \bullet & \bullet \\ \cdot & \bullet & \bullet \end{pmatrix}$$

$$U(2)^3 \Rightarrow m_{u,d}^{1,2} = 0, V_{i3,3i} = 0$$

Why $U(2)^3$?



$U(2)^3$ and its breaking

Maximal flavour symmetry

$$U(2)_{Q_L} \otimes U(2)_{U_R} \otimes U(2)_{D_R}$$

$$\mathbf{q}_L = \begin{pmatrix} q_L^1 \\ q_L^2 \end{pmatrix} \sim (2, 1, 1)$$

$$\mathbf{u}_R = \begin{pmatrix} u_R^1 \\ u_R^2 \end{pmatrix} \sim (1, 2, 1)$$

$$\mathbf{d}_R = \begin{pmatrix} d_R^1 \\ d_R^2 \end{pmatrix} \sim (1, 1, 2)$$

$$q_L^3, u_R^3, d_R^3 \sim 1$$

$U(2)^3$ and its breaking

Maximal flavour symmetry

$$U(2)_{Q_L} \otimes U(2)_{U_R} \otimes U(2)_{D_R}$$

Resulting Yukawas:

$$Y_u = y_t \begin{pmatrix} 0 & 0 \\ -\frac{0}{0} & 1 \end{pmatrix}$$

$$Y_d = y_b \begin{pmatrix} 0 & 0 \\ -\frac{0}{0} & 1 \end{pmatrix}$$

$U(2)^3$ and its breaking

~~Maximal~~ flavour symmetry

$$U(2)_{Q_L} \otimes U(2)_{U_R} \otimes U(2)_{D_R} \rightarrow U(1)_B$$

Minimal + *weak* breaking

$$\begin{array}{ll} \Delta Y_u \sim (2, \bar{2}, 1) & \Delta Y_d \sim (2, 1, \bar{2}) \\ (y_u, y_c, \theta_u) & (y_d, y_s, \theta_d) \end{array}$$

Resulting Yukawas:

$$Y_u = y_t \begin{pmatrix} \Delta Y_u & 0 \\ - & 1 \end{pmatrix} \quad Y_d = y_b \begin{pmatrix} \Delta Y_d & 0 \\ - & 1 \end{pmatrix}$$

$U(2)^3$ and its breaking

Maximal flavour symmetry

$$U(2)_{Q_L} \otimes U(2)_{U_R} \otimes U(2)_{D_R} \rightarrow U(1)_B$$

Minimal + *weak* breaking

$$\begin{array}{lll} \Delta Y_u \sim (2, \bar{2}, 1) & \Delta Y_d \sim (2, 1, \bar{2}) & V \sim (2, 1, 1) \\ (y_u, y_c, \theta_u) & (y_d, y_s, \theta_d) & (V_{cb}, V_{ts}) \end{array}$$

Resulting Yukawas:

$$Y_u = y_t \begin{pmatrix} \Delta Y_u & x_t V \\ -\frac{\Delta Y_u}{0} & \frac{x_t V}{1} \end{pmatrix} \quad Y_d = y_b \begin{pmatrix} \Delta Y_d & x_b V \\ -\frac{\Delta Y_d}{0} & \frac{x_b V}{1} \end{pmatrix}$$

$U(2)^3$ and its breaking

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$$U(2)_{Q_L} \otimes U(2)_{U_R} \otimes U(2)_{D_R} \rightarrow U(1)_B$$

Minimal + weak breaking

$$\Delta Y_u \sim (2, \bar{2}, 1)$$

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$$\Delta Y_d \sim (2, 1, \bar{2})$$

$$(y_d, y_s, \theta_d)$$

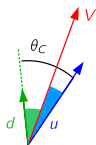
$$V \sim (2, 1, 1)$$

$$(V_{cb}, V_{ts})$$

Resulting Yukawas:

$$Y_u = y_t \begin{pmatrix} \Delta Y_u & x_t V \\ -\frac{\Delta Y_u}{0} & \frac{x_t V}{1} \end{pmatrix}$$

$$Y_d = y_b \begin{pmatrix} \Delta Y_d & x_b V \\ -\frac{\Delta Y_d}{0} & \frac{x_b V}{1} \end{pmatrix}$$



$$|V_{us}| \sim \theta_u - \theta_d$$

$$|V_{td}/V_{ts}| \sim \theta_d$$

$$|V_{ub}/V_{cb}| \sim \theta_u$$

$U(2)^3$ and its breaking

A convenient basis

$$Y_u = U_{Q_u}^\dagger \begin{pmatrix} y_u \\ y_c \end{pmatrix} U_U \quad Y_d = U_{Q_d}^\dagger \begin{pmatrix} y_d \\ y_s \end{pmatrix} U_D \quad V = U_{Q_V} \begin{pmatrix} 0 \\ \epsilon \end{pmatrix}$$

$U(2)^3$ and its breaking

A convenient basis

$$Y_u = U_{Q_u}^\dagger \begin{pmatrix} y_u \\ y_c \end{pmatrix} \times U_U \quad Y_d = U_{Q_d}^\dagger \begin{pmatrix} y_d \\ y_s \end{pmatrix} \times U_D \quad V = U_{cV} \begin{pmatrix} 0 \\ \epsilon \end{pmatrix}$$

$$Y_{u,d}^{\text{diag}} = U_{u,dL} y_{t,b} \begin{pmatrix} \Delta Y_{u,d} & \vdots & X_{t,b} V \\ -\frac{\Delta Y_{u,d}}{0} & -\frac{\Delta Y_{u,d}}{1} & \vdots \end{pmatrix} U_{u,dR}^\dagger$$

$U_{uL}^* U_{dL}^T = V_{CKM}$
 $U_{u,dR} = 1 + O(y_c |V_{cb}|)$

$$U_{u,dL} = \begin{pmatrix} U_{Q_{u,d}} & \vdots & 0 \\ -\frac{U_{Q_{u,d}}}{0} & -\frac{U_{Q_{u,d}}}{1} & \vdots \end{pmatrix} \times R_{23}$$

$U(2)^3$: consequences for $\Delta F = 2$

As in MFV, expansion in spurions to obtain operators invariant under the symmetry

$$q_L = \begin{pmatrix} \mathbf{q}_L \\ q_{3L} \end{pmatrix}, \quad u_R = \begin{pmatrix} \mathbf{u}_R \\ t_R \end{pmatrix}, \quad d_R = \begin{pmatrix} \mathbf{d}_R \\ b_R \end{pmatrix},$$

e.g. $\bar{q}_L \gamma_\mu X_{ij} q_{Lj} \approx a \bar{q}_{3L} \gamma_\mu q_{3L} + b \bar{\mathbf{q}}_L \gamma_\mu \mathbf{q}_L$
 $+ c \bar{q}_{3L} \gamma_\mu (\mathbf{V}^\dagger \mathbf{q}_L) + c^* (\bar{\mathbf{q}}_L \mathbf{V}) \gamma_\mu q_{3L} + d (\bar{\mathbf{q}}_L \mathbf{V}) \gamma_\mu (\mathbf{V}^\dagger \mathbf{q}_L) + \dots$

Resulting effects in operators relevant for meson mixing:

$$c_{LL}^B e^{i\phi_B} (V_{tb} V_{ti}^*)^2 (\bar{d}_L \gamma_\mu b_L)^2 \quad \leftarrow \text{Universal, CPV contribution to } B_{d,s} \text{ mixing}$$
$$c_{LL}^K (V_{tb} V_{ti}^*)^2 (\bar{d}_L \gamma_\mu s_L)^2 \quad \leftarrow \text{Universality with } K \text{ system broken}$$

(cf. $U(3)^3 : c_{LL}^B = c_{LL}^K, \phi_B = 0$)

$U(2)^3$: consequences for $\Delta F = 2$

$$\epsilon_K = \epsilon_K^{\text{SM}} \times (1 + h_K)$$

CPV in $K-\bar{K}$ mixing

$$S_{\psi K_S} = \sin \left[2\beta + \arg \left(1 + h_B e^{i\phi_B} \right) \right]$$

CPV in $B_d-\bar{B}_d$ mixing

$$S_{\psi\phi} = \sin \left[2|\beta_s| - \arg \left(1 + h_B e^{i\phi_B} \right) \right]$$

CPV in $B_s-\bar{B}_s$ mixing

$$\frac{\Delta M_d}{\Delta M_s} = \left(\frac{\Delta M_d}{\Delta M_s} \right)^{\text{SM}}$$

$$h_{K,B} \approx 1.08 c_{LL}^{K,B} \left[\frac{3 \text{ TeV}}{\Lambda} \right]^2$$

$U(2)^3$: consequences for $\Delta F = 2$

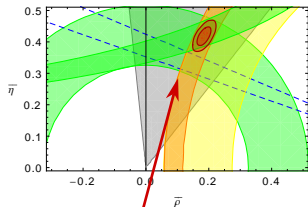
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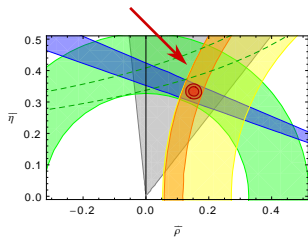
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$$\frac{\Delta M_d}{\Delta M_s} = \left(\frac{\Delta M_d}{\Delta M_s} \right)^{\text{SM}}$$

$$h_{K,B} \approx 1.08 C_{LL}^{K,B} \left[\frac{3 \text{ TeV}}{\Lambda} \right]^2$$



Possible tension in CKM fits between $|\epsilon_K|$ and $\sin(2\beta)$ can be solved (in contrast to $U(3)^3$ case)



$U(2)^3$: consequences for $\Delta F = 1$

For $\Delta F = 1$ processes, also chirality breaking bilinears are relevant ($b \rightarrow s\gamma$)

$$\bar{q}_{Li} X_{ij} d_{Rj} \approx \lambda_b (a_d \bar{q}_{3L} b_R + b_d (\bar{\mathbf{q}}_L V) b_R + c_d \bar{\mathbf{q}}_L \Delta Y_d \mathbf{d}_R)$$

Resulting effects in (selected) operators relevant for B and K decays:

$$\begin{aligned} c_{7\gamma} e^{i\phi_{7\gamma}} V_{tb} V_{ti}^* m_b (\bar{d}_{Li} \sigma_{\mu\nu} b_R) e F_{\mu\nu} &\longleftarrow \text{Universal, CPV contribution to } B \text{ decays} \\ c_L^B e^{i\phi_L} V_{tb} V_{ti}^* (\bar{d}_{Li} \gamma_\mu b_L) (\bar{l}_L \gamma_\mu l_L) &\longleftarrow \text{CPV also in chirality conserving operators} \\ c_L^K V_{ts} V_{td}^* (\bar{d}_L \gamma_\mu s_L) (\bar{\nu}_L \gamma_\mu \nu_L) &\longleftarrow \text{Real contribution to } K \text{ decays} \end{aligned}$$

$U(2)^3$: comparison with MFV

	$b_L \leftrightarrow q_L$		$s_L \leftrightarrow d_L$	$b_R \leftrightarrow q_L$
$U(3)^3$	\mathbb{R}	\leftrightarrow	\mathbb{R}	\mathbb{C}
$U(2)^3$	\mathbb{C}		\mathbb{R}	\mathbb{C}
Relevant processes	$B_q^0 - \bar{B}_q^0$ $b \rightarrow s\bar{l}, \nu\bar{\nu}$		$K^0 - \bar{K}^0$ $K \rightarrow \pi\nu\bar{\nu}$	$b \rightarrow s\gamma$ $b \rightarrow s\bar{l}$

As in MFV

- same CKM suppression of all amplitudes as in the SM
- no right-handed FCNCs
- universality in $b \rightarrow s$ vs. $b \rightarrow d$

Different from MFV

- correlation between B & K broken
- CP violation also in chirality conserving bilinears

$U(2)^3$ vs. MFV at large $\tan\beta$

(Comments on MFV at large $\tan\beta$

At large $\tan\beta$, the 3rd generation Yukawas effectively break

$$U(3)^3 \xrightarrow{y_t, y_b} U(2)^3$$

[Feldmann, Mannel (2008), ...]

In MFV + large $\tan\beta$, the spurion structure is minimal ($\Delta Y_{u,d} + V$)

\Rightarrow Same structure of FCNCs as in general $U(2)^3$ with minimal breaking

\Rightarrow MFV + large $\tan\beta$ is a special case of general $U(2)^3$

Nomenclature:

“GMFV” = MFV + large $\tan\beta$ + CPV phases outside the spurions

[Kagan, Perez, Volansky, Zupan (2009)]

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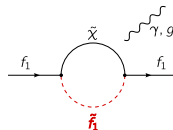
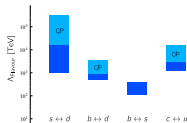
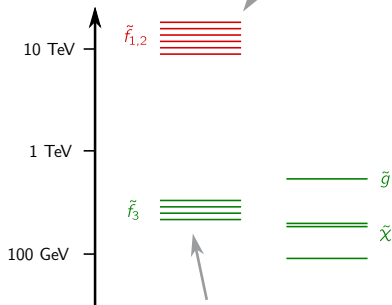
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$U(2)^3$ and natural SUSY

1st/2nd generation sfermions heavy

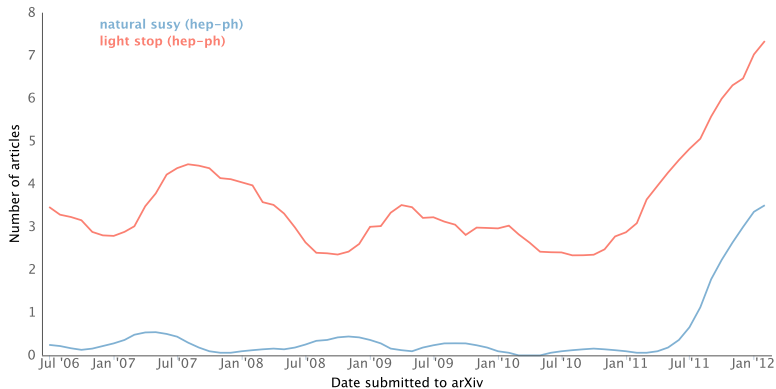
- most strongly constrained by LHC
- CP problem due to 1-loop contributions to EDMs
- strong bound from K mixing on 1-2 transitions



3rd generation sfermions light

- stops and LH sbottom needed to solve the hierarchy problem
- B mixing constraints less stringent

$U(2)^3$ and natural SUSY



<http://arxiv.culturomics.org/>

$U(2)^3$ and natural SUSY

Form of the soft terms:

$$U(2)_{Q_L} \otimes U(2)_{U_R} \otimes U(2)_{D_R} \rightarrow U(1)_B$$

$$m_{\tilde{Q}}^2 = m_{Q_h}^2 \begin{pmatrix} -\frac{1 + c_{Q_V} V^* V^T + \dots}{x_Q e^{i\phi_Q} V^T} & \dots & x_Q e^{-i\phi_Q} V^* \\ \vdots & \vdots & m_{Q_i}^2 / m_{Q_h}^2 \end{pmatrix}$$

etc.

Consequence: flavour-violating gaugino vertices

$\propto (W_{L,R}^d)_{ij}$

$$W_L^d = \begin{pmatrix} c_d & \kappa^* & -\kappa^* s_L e^{i\gamma_L} \\ -\kappa & c_d & -c_d s_L e^{i\gamma_L} \\ 0 & s_L e^{-i\gamma_L} & 1 \end{pmatrix}$$

$$(W_R^d)_{ij} \approx \delta_{ij}$$

$U(2)^3$ and SUSY: $\Delta F = 2$

$$\epsilon_K = \epsilon_K^{\text{SM}} \times (1 + h_K)$$

$$S_{\psi K_S} = \sin \left[2\beta + \arg \left(1 + h_B e^{i\phi_B} \right) \right]$$

$$S_{\psi\phi} = \sin \left[2|\beta_s| - \arg \left(1 + h_B e^{i\phi_B} \right) \right]$$

$$h_K = \xi_L^4 F_0$$

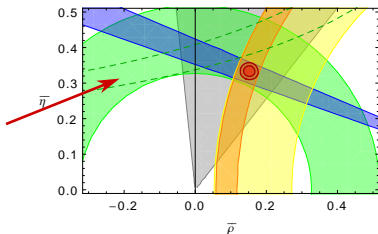
$$h_B = \xi_L^2 F_0$$

$$\phi_B = -2\gamma_L$$

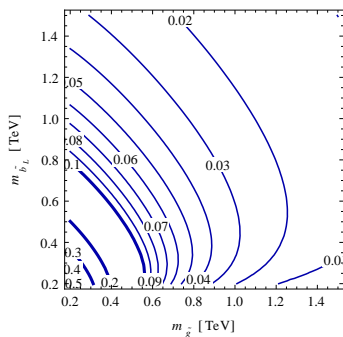
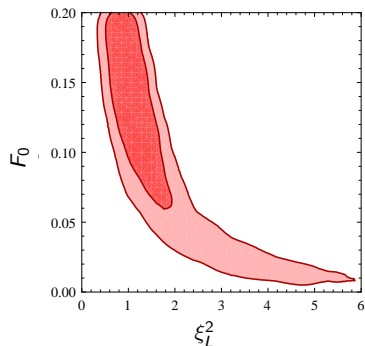
$$\xi_L e^{i\gamma_L} = (W_L^d)^*_{33} (W_L^d)_{23}$$

$$F_0 \left(\frac{m_{\tilde{g}}^2}{m_{\tilde{b}_L}^2} \right) > 0$$

$\Rightarrow h_K > 0$, i.e. the contribution to ϵ_K has the right sign to fix the possible CKM tension



$U(2)^3$ and SUSY: $\Delta F = 2$



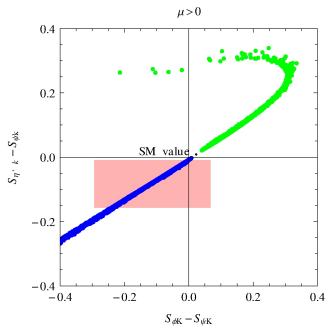
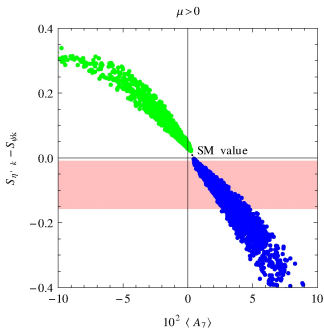
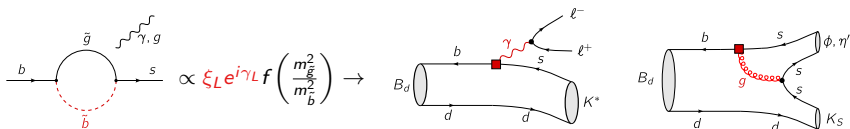
Fit of CKM matrix + F_0 , ξ_L : not too heavy sbottom, gluino preferred

[Barbieri, Isidori, Jones-Pérez, Lodone, DMS (2011)]

$U(2)^3$ and SUSY: $\Delta F = 1$

Also: CP violating effects in (chromo-)magnetic penguin operators

[Barbieri, Campli, Isidori, Sala, DMS (2011)]



Outline

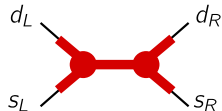
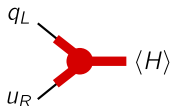
- The flavour puzzle
 - Recap of Minimal Flavour Violation
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Composite Higgs & Partial compositeness

An alternative solution to the hierarchy problem
+ an interesting approach to the flavour problem

$$\mathcal{L} \supset \epsilon_L \bar{q}_L \theta_L + \epsilon_R \bar{u}_R \theta_R + m \theta_L \theta_R$$

$$Y_{ij} \propto \epsilon_L^i (Y_{ij}^*) \epsilon_R^j$$



$$\propto \frac{g_p^2}{m_p^2} \epsilon_{d_L} \epsilon_{d_R} \epsilon_{s_L} \epsilon_{s_R} \propto \frac{g_p^2}{m_p^2} m_d m_s$$

$$m_p \gtrsim 20 \text{ TeV}$$

[Csaki, Falkowski, Weiler (2008)]

In conflict with naturalness. Flavour symmetry for the strong sector?

Composite MFV

Simplified picture: fermionic partners for all SM fermions

Flavour-invariant strong sector

[Redi, Weiler (2011)]

Flavour violation only in **composite**-elementary **mixing**

$$\mathcal{L}_{\text{mix}} = m_\rho \left(\bar{U}_L \epsilon_{Ru} u_R + \bar{D}_L \epsilon_{Rd} d_R + \bar{q}_L \epsilon_{Lu} Q_R^u + \bar{q}_L \epsilon_{Ld} Q_R^d \right) + \text{h.c.}$$

Right-handed compositeness

Left-handed compositeness

$$U(3)_q \times U(3)_{u+u} \times U(3)_{d+d}$$

$$U(3)_{q+u+d} \times U(3)_u \times U(3)_d$$

$$\epsilon_{Ru} \propto 1$$

$$\epsilon_{Rd} \propto 1$$

$$\epsilon_{Lu} \propto Y_u \sim (3, \bar{3}, 1)$$

$$\epsilon_{Ld} \propto Y_d \sim (3, 1, \bar{3})$$

$$\epsilon_{Ru} \propto Y_u \sim (3, \bar{3}, 1)$$

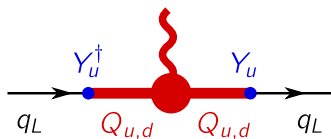
$$\epsilon_{Rd} \propto Y_d \sim (3, 1, \bar{3})$$

$$\epsilon_{Lu} \propto 1$$

$$\epsilon_{Ld} \propto 1$$

Composite MFV: FCNCs

Tree-level FCNCs: only in right-handed compositeness



$$\epsilon_{Ru} \propto 1$$

$$\epsilon_{Rd} \propto 1$$

$$\epsilon_{Lu} \propto Y_u \sim (3, \bar{3}, 1)$$

$$\epsilon_{Ld} \propto Y_d \sim (3, 1, \bar{3})$$

$U(3)^3$ EFT vs. CHM

	$b_L \leftrightarrow q_L$		$s_L \leftrightarrow d_L$	$b_R \leftrightarrow q_L$
$U(3)^3$ EFT	\mathbb{R}	\leftrightarrow	\mathbb{R}	\mathbb{C}
$U(3)^3$ R-comp.	\mathbb{R}	\leftrightarrow	\mathbb{R}	0
$U(3)^3$ L-comp.	0		0	0
$U(2)^3$ EFT	\mathbb{C}		\mathbb{R}	\mathbb{C}
Relevant processes	$B_q^0 - \bar{B}_q^0$		$K^0 - \bar{K}^0$	$b \rightarrow s\gamma$
	$b \rightarrow s\bar{l}, \nu\bar{\nu}$		$K \rightarrow \pi\nu\bar{\nu}$	$b \rightarrow s\bar{l}$

Composite MFV

Sizable top Yukawa requires large top compositeness

$$y_t \sim \epsilon_{Lu}^3 Y_U \epsilon_{Ru}^3$$

Right-handed compositeness

$$\epsilon_{Ru} \propto 1$$

Left-handed compositeness

$$\epsilon_{Lu} \propto 1$$

One chirality of all (1, 2, 3) up quarks has to be strongly composite!
This will soon be probed by dijet searches at LHC.

No problem in $U(2)^3$!

Alternative: $U(3)^2 \times U(2)$ [[Redi arXiv:1203.4220](#)]

$U(2)^3$ in Composite Higgs Models

Right-handed compositeness

$$U(2)_q \times U(2)_{U+u} \times U(2)_{D+d}$$

Left-handed compositeness

$$U(2)_{q+U+D} \times U(2)_u \times U(2)_d$$

$$\Delta Y_u \sim (2, \bar{2}, 1) \quad \Delta Y_d \sim (2, 1, \bar{2}) \quad V \sim (2, 1, 1)$$

Example: **composite**-elementary mixing in RH compositeness

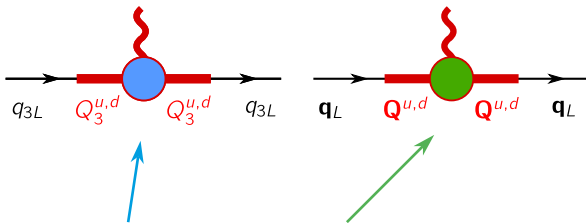
$$\begin{aligned} \mathcal{L}_{\text{mix}}^{R\text{-comp}}(U(2)^3) \approx m_\rho \times \\ [\epsilon_{Rd}(A_d \bar{B}_L b_R + B_d \bar{D}_L d_R) + \epsilon_{Ld}(a_d \bar{q}_{3L} Q_{3R}^d + b_d (\bar{q}_L V) Q_{3R}^d + c_d \bar{q}_L \Delta Y_d Q_R^d)] \\ + \text{h.c.} + (d \rightarrow u) \end{aligned}$$

$$\epsilon_{Ru,d} \propto \text{diag}(A, A, B)$$

$$\epsilon_{Lu,d} \propto y_{t,b} \begin{pmatrix} \Delta Y_{u,d} & & \\ - & 0 & \\ & & 1 \end{pmatrix} \begin{matrix} x_{t,b} \\ V \\ - \end{matrix}$$

Composite $U(2)^3$: FCNCs

Tree-level FCNCs: this time, also in left-handed compositeness!



Different strong interactions in the two cases lead to flavour violation after rotating to the mass basis for the external quarks

$U(2)^3$ vs. $U(3)^3$ in CHM

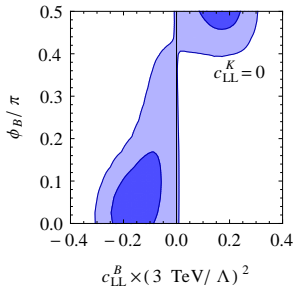
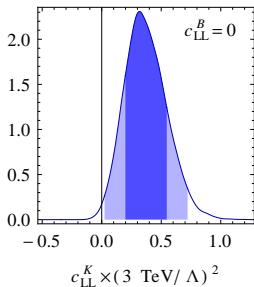
	$b_L \leftrightarrow q_L$		$s_L \leftrightarrow d_L$	$b_R \leftrightarrow q_R$
$U(3)^3$ EFT	\mathbb{R}	\leftrightarrow	\mathbb{R}	\mathbb{C}
$U(3)^3$ R-comp.	\mathbb{R}	\leftrightarrow	\mathbb{R}	0
$U(3)^3$ L-comp.	0		0	0
$U(2)^3$ EFT	\mathbb{C}		\mathbb{R}	\mathbb{C}
$U(2)^3$ R-comp.	\mathbb{C}		\mathbb{R}	0
$U(2)^3$ L-comp.	\mathbb{R}		\mathbb{R}	\mathbb{C}
Relevant processes	$B_q^0 - \bar{B}_q^0$		$K^0 - \bar{K}^0$	$b \rightarrow s\gamma$
	$b \rightarrow s\bar{l}\bar{l}, \nu\bar{\nu}$		$K \rightarrow \pi\nu\bar{\nu}$	$b \rightarrow s\bar{l}\bar{l}$

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$U(2)^3$: allowed effects in $\Delta F = 2$

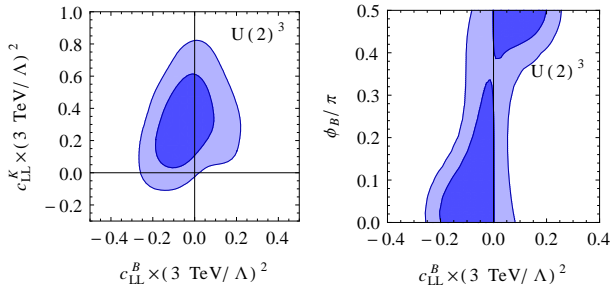
$$\mathcal{H}_{\text{eff}} = \frac{c_{LL}^K}{\Lambda^2} \xi_{ds}^2 \frac{1}{2} (\bar{d}_L \gamma_\mu s_L)^2 + \sum_{i=d,s} \frac{c_{LL}^B e^{i\phi_B}}{\Lambda^2} \xi_{ib}^2 \frac{1}{2} (\bar{d}_L^i \gamma_\mu b_L)^2 + \text{h.c.},$$



Result of Bayesian fit of CKM Wolfenstein parameters + $c_{LL}^{K,B}$, ϕ_B to all relevant experimental constraints including ϵ_K , $S_{\psi K_S}$, ϕ_s

$U(2)^3$: allowed effects in $\Delta F = 2$

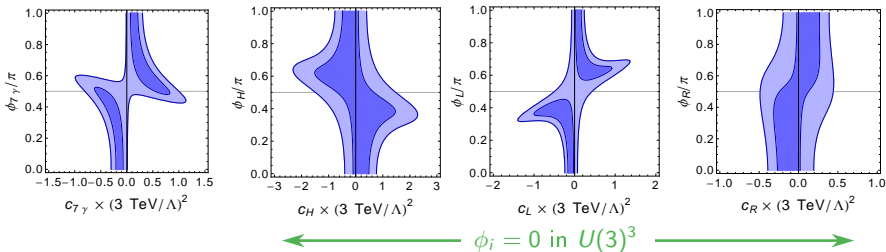
$$\mathcal{H}_{\text{eff}} = \frac{c_{LL}^K}{\Lambda^2} \xi_{ds}^2 \frac{1}{2} (\bar{d}_L \gamma_\mu s_L)^2 + \sum_{i=d,s} \frac{c_{LL}^B e^{i\phi_B}}{\Lambda^2} \xi_{ib}^2 \frac{1}{2} (\bar{d}_L^i \gamma_\mu b_L)^2 + \text{h.c.},$$



Result of Bayesian fit of CKM Wolfenstein parameters + $c_{LL}^{K,B}$, ϕ_B to all relevant experimental constraints including ϵ_K , $S_{\psi K_S}$, ϕ_s

$U(2)^3$: allowed effects in $\Delta F = 1$

$$\mathcal{H}_{\text{eff}} = \sum_{i=d,s} \xi_{ib} \left[\frac{c_{7\gamma} e^{i\phi_{7\gamma}}}{\Lambda^2} m_b (\bar{d}_L^i \sigma_{\mu\nu} b_R) e F^{\mu\nu} + \frac{c_{8g} e^{i\phi_{8g}}}{\Lambda^2} m_b (\bar{d}_L^i \sigma_{\mu\nu} T^a b_R) g_s G^{\mu\nu a} \right. \\ \left. + \frac{c_{Le} e^{i\phi_L}}{\Lambda^2} (\bar{d}_L^i \gamma_\mu b_L) (\bar{l}_L \gamma_\mu l_L) + \frac{c_{Re} e^{i\phi_R}}{\Lambda^2} (\bar{d}_L^i \gamma_\mu b_L) (\bar{e}_R \gamma_\mu e_R) + \frac{c_{He} e^{i\phi_H}}{\Lambda^2} \frac{v^2}{2} (\bar{d}_L^i \gamma_\mu b_L) \frac{g}{c_w} Z^\mu \right] + \text{h.c.},$$



- $O(1)$ effects possible for NP scale of $3 \text{ TeV} \approx 4\pi v$
- In $\Delta F = 1$, larger effects allowed than in $U(3)^3$ due to additional phases

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Extension to the lepton sector

$$\begin{aligned}
 (m_u, m_c, m_t) &\sim \left(\cdot, \text{red circle}, \text{red wedge} \right) & |V_{\text{CKM}}| &\sim \begin{pmatrix} \text{red circles} & & \\ & \text{red circles} & \\ & & \text{red circle} \end{pmatrix} \\
 (m_d, m_s, m_b) &\sim \left(\cdot, \text{red circle}, \text{red circle} \right) & & \\
 (m_e, m_\mu, m_\tau) &\sim \left(\cdot, \text{blue circle}, \text{blue circle} \right) & |U_{\text{PMNS}}| &\sim \begin{pmatrix} \text{blue circles} & & \\ & \text{blue circles} & \\ & & \text{blue circles} \end{pmatrix}
 \end{aligned}$$

Charged lepton masses fit into $U(2)$ picture — Mixings don't

Extension to the lepton sector

$$U_{uL}^* U_{dL}^T = V_{\text{CKM}}$$

$$U_\nu^* U_{eL}^T = V_{\text{PMNS}}$$

$$m_\nu = U_\nu^T \begin{pmatrix} m_{\nu 1} e^{i\phi_1} & & \\ & m_{\nu 2} e^{i\phi_2} & \\ & & m_{\nu 3} \end{pmatrix} U_\nu$$

$$Y_e = U_{eL}^\dagger \begin{pmatrix} y_e & & \\ & y_\mu & \\ & & y_\tau \end{pmatrix} U_{eR}$$

In the see-saw mechanism, U_ν comes from the diagonalization of the neutrino Majorana mass matrix

$$m_\nu = -\frac{v^2}{2} \frac{Y_\nu^T Y_\nu}{M_{N_R}}$$

We *assume* that charged leptons (Y_e) behave as quarks and that the large neutrino mixing is caused by Y_ν and/or M_{N_R}

U_{eL} comes from the diagonalization of the charged lepton Yukawa

For a more complete model including also neutrino masses, see [\[Blankenburg, Isidori & Jones-Pérez \(2012\)\]](#)

$U(2)^2$ in the lepton sector

The lepton flavour symmetry

$$U(2)_{L_L} \otimes U(2)_{E_R}$$

is broken minimally by the spurions

$$\Delta Y_e \sim (2, \bar{2}) \quad V_e \sim (2, 1)$$

Resulting Yukawas

$$Y_e^{\text{diag}} = U_{eL} y_\tau \begin{pmatrix} \Delta Y_e & & \\ & 0 & \\ & & 1 \end{pmatrix} \begin{matrix} x_{t,b} \\ \\ \\ \end{matrix} \begin{matrix} V_e \\ \\ \\ \end{matrix} U_{eR}^\dagger$$
$$U_\nu^* U_{eL}^T = U_{\text{PMNS}}$$

$U(2)^2$ in the lepton sector

$$Y_e^{\text{diag}} = U_{eL} y_\tau \begin{pmatrix} \Delta Y_e & X_{t,b} V_e \\ 0 & 1 \end{pmatrix} U_{eR}^\dagger$$

Chirality breaking, flavour changing bilinears have same spurion structure, but different $O(1)$ coefficients

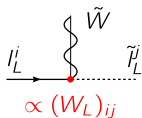
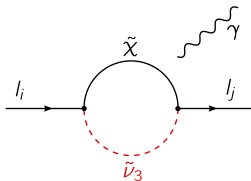
$$\bar{l}_R^T \begin{pmatrix} a \Delta Y_e & b V_e \\ 0 & c 1 \end{pmatrix} l_L$$

Leads to flavour violation after rotation to the mass basis of external ch. leptons

$$c_\tau U_{eL}^{3i*} U_{eL}^{3\tau} m_\tau (\bar{e}_L \sigma_{\mu\nu} \tau_R) e F_{\mu\nu}$$
$$c_\mu U_{eL}^{3e*} U_{eL}^{3\mu} m_\mu (\bar{e}_L \sigma_{\mu\nu} \mu_R) e F_{\mu\nu}$$

$U(2)^2$ in the lepton sector: SUSY

Example: LFV in SUSY $U(2)^3$

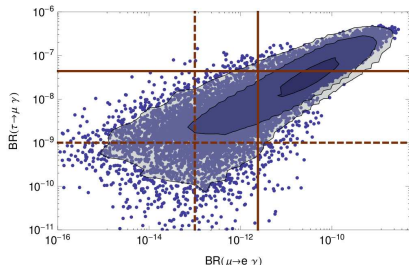


$$\mu \rightarrow e\gamma : \quad \frac{|W_L^{23*} W_L^{13}|}{|V_{ts} V_{td}^*|} < 0.6 \times \left[\frac{m_{\tilde{l}_{3L}}}{500 \text{ GeV}} \right]^2 \left[\frac{10}{\tan \beta} \right]$$

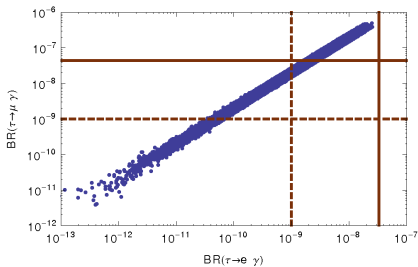
$$\tau \rightarrow e\gamma : \quad \frac{|W_L^{33*} W_L^{13}|}{|V_{tb} V_{td}^*|} < 1.2 \times \left[\frac{m_{\tilde{l}_{3L}}}{500 \text{ GeV}} \right]^2 \left[\frac{10}{\tan \beta} \right]$$

$$\tau \rightarrow \mu\gamma : \quad \frac{|W_L^{33*} W_L^{23}|}{|V_{tb} V_{ts}^*|} < 0.3 \times \left[\frac{m_{\tilde{l}_{3L}}}{500 \text{ GeV}} \right]^2 \left[\frac{10}{\tan \beta} \right]$$

$U(2)^2$ in the lepton sector: SUSY



[Blankenburg, Isidori & Jones-Pérez, arXiv:1204.0688]



Conclusions

A weakly and minimally broken $U(2)^3$ flavour symmetry ...

- provides an effective **protection mechanism** for FCNCs beyond the SM
- could accommodate possible **non-standard CPV** in $\Delta F = 2$
- is compatible with **natural SUSY** (hierarchical sfermions)
- can be implemented in **composite Higgs** models, evading LHC bounds more easily than composite MFV
- can be extended to the lepton sector, predicting potentially **sizeable LFV** decays

extra slides

CKM fit: inputs

$ V_{ud} $	0.97425(22)	f_K	(155.8 ± 1.7) MeV
$ V_{us} $	0.2254(13)	\hat{B}_K	0.737 ± 0.020
$ V_{cb} $	$(40.6 \pm 1.3) \times 10^{-3}$	κ_ϵ	0.94 ± 0.02
$ V_{ub} $	$(3.97 \pm 0.45) \times 10^{-3}$	$f_{B_s} \sqrt{\hat{B}_s}$	(288 ± 15) MeV
γ_{CKM}	$(74 \pm 11)^\circ$	ξ	1.237 ± 0.032
$ \epsilon_K $	$(2.229 \pm 0.010) \times 10^{-3}$	η_{tt}	0.5765(65)
$S_{\psi K_S}$	0.673 ± 0.023	η_{ct}	0.496(47)
ΔM_d	$(0.507 \pm 0.004) \text{ ps}^{-1}$	η_{cc}	1.87(76)
$\Delta M_s / \Delta M_d$	(35.05 ± 0.42)		
ϕ_s	-0.002 ± 0.087		

Conclusions

$U(2)^3$ in Composite Higgs Models

