

# Neutrino oscillation update: Hints for $\theta_{13}$ and eV sterile neutrinos

GDR neutrino, Annecy, 28 Nov 2011

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## Introduction

### 3-flavour oscillations

$\theta_{13}$

Upcoming experiments for  $\theta_{13}$

### The reactor anomaly

## Sterile neutrinos

Hints for sterile neutrinos

Global sterile neutrino fit

## Conclusions

# Outline

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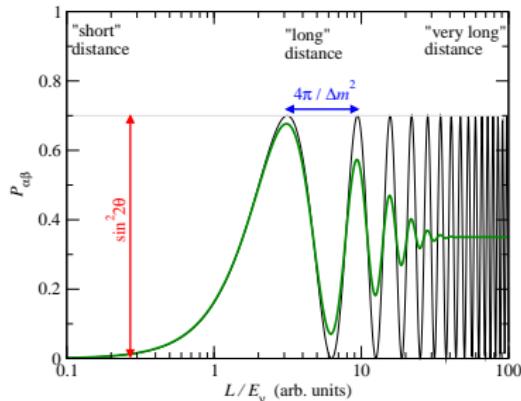
# Neutrino oscillations

... require that there is

- ▶ **mixing** in the lepton sector, and
- ▶ neutrinos have **non-degenerate masses**.

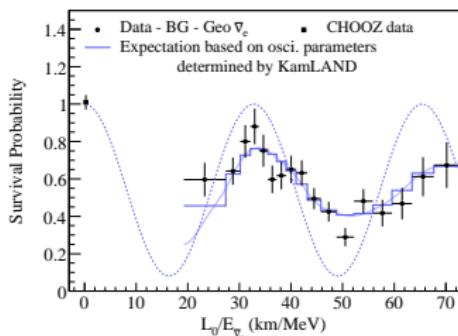
Two-flavour vacuum oscillations:

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}, \quad P = \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{4E_\nu}$$



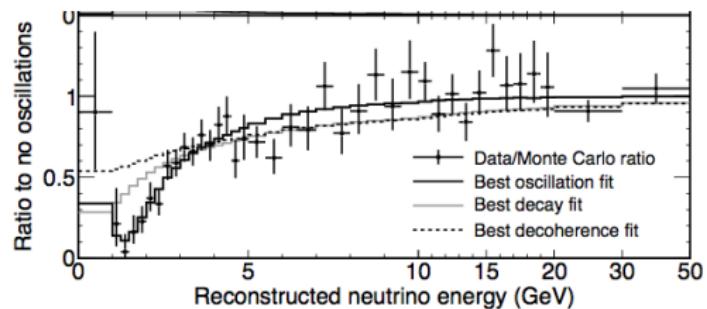
# Neutrinos oscillate!

KamLAND ( $\bar{\nu}_e \rightarrow \bar{\nu}_e$ )



>  $5\sigma$  evidence for spectral distortion

MINOS ( $\nu_\mu \rightarrow \nu_\mu$ )

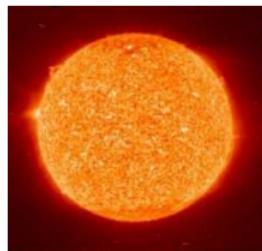


$$P_{\text{survival}} \approx 1 - \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2}{4} \frac{L}{E_\nu} \right)$$

# Global data on neutrino oscillations

from various neutrino sources and  
vastly different energy and distance scales:

sun

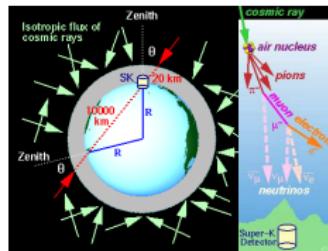


reactors



Homestake, SAGE, GALLEX  
SuperK, SNO, Borexino

atmosphere



KamLAND, CHOOZ

accelerators



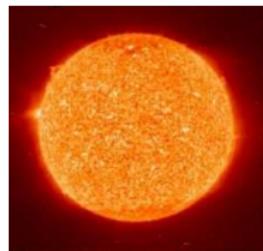
K2K, MINOS, T2K

- ▶ global data fits nicely with the 3 neutrinos from the SM
- ▶ a few “anomalies” at  $2-3\sigma$ : LSND, MiniBooNE, reactor anomaly,  
no LMA MSW up-turn of solar neutrino spectrum

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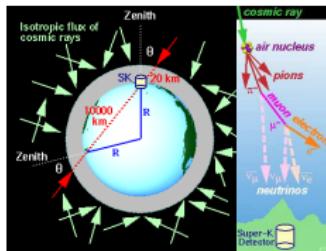
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SuperKamiokande

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- ▶ global data fits nicely with the 3 neutrinos from the SM
- ▶ a few “anomalies” at  $2-3\sigma$ : LSND, MiniBooNE, reactor anomaly, no LMA MSW up-turn of solar neutrino spectrum
- ▶ OPERA result on super-luminal neutrinos?? 100+ cites

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# 3-flavour oscillation parameters

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & e^{-i\delta} s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta} s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

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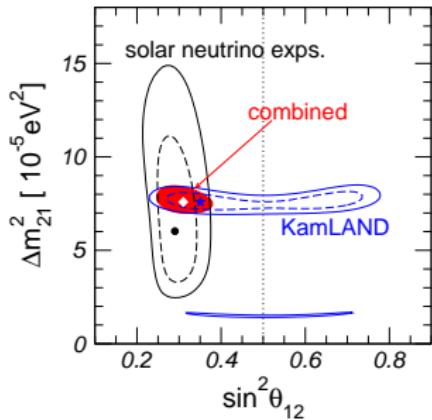
$\Delta m_{31}^2$      
  $\Delta m_{31}^2$      
  $\Delta m_{21}^2$   
atmos+LBL(dis)     
 Chooz+LBL(app)     
 solar+KamLAND

3-flavour effects are suppressed:  $\Delta m_{21}^2 \ll \Delta m_{31}^2$  and  $\theta_{13} \ll 1$  ( $U_{e3} = s_{13} e^{-i\delta}$ )

⇒ dominant oscillations are well described by effective two-flavour oscillations

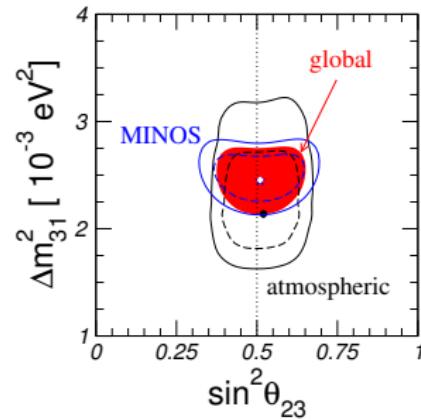
⇒ CP-violation is suppressed by  $\theta_{13}$  and  $\Delta m_{21}^2 / \Delta m_{31}^2$

# The dominating oscillation modes



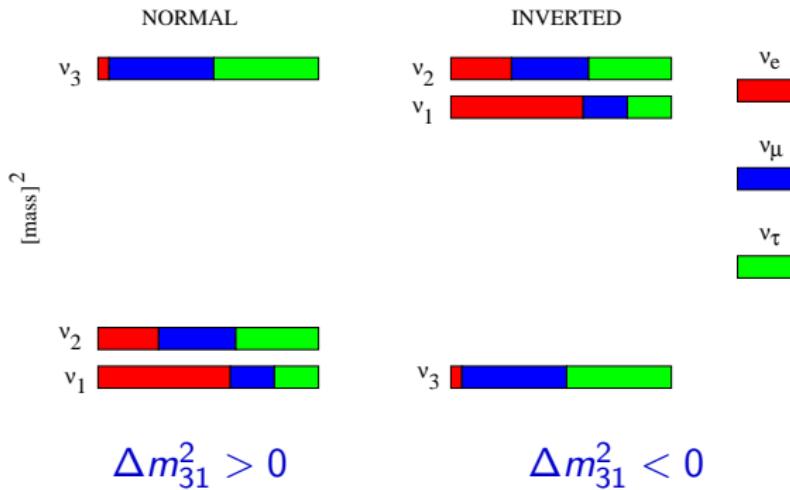
$$\sin^2 \theta_{12} \approx 0.3$$

$$\frac{\Delta m_{21}^2}{\Delta m_{31}^2} \approx \frac{1}{30}$$



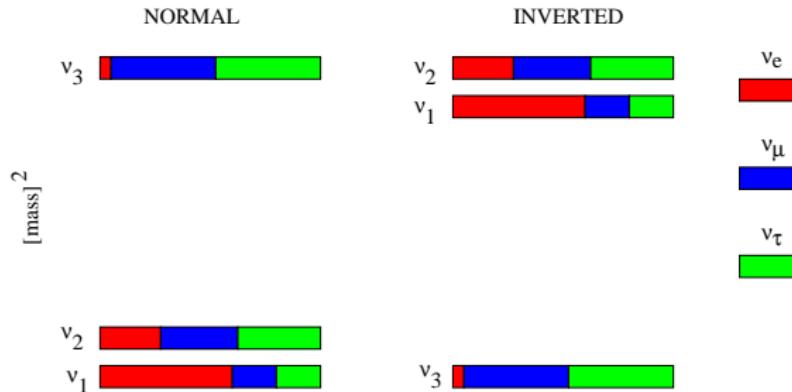
$$\sin^2 \theta_{23} \approx 0.5$$

# Neutrino mass spectra and mixing



- ▶  $\nu_1$  is dominantly  $\nu_e$  (non-maximal but large  $\theta_{12}$ ) close to equal mixing of  $\nu_\mu$  and  $\nu_\tau$  ( $\theta_{23} \approx 45^\circ$ )
- ▶ we know the sign of  $\Delta m_{21}^2$  (matter effect in sun) but not of  $\Delta m_{31}^2$
- ▶ we do not know yet the  $\nu_e$  component of  $\nu_3$  ( $\theta_{13}$ )

# Effects of $\theta_{13}$



1. transitions of  $\nu_e$  involving  $\Delta m_{31}^2$ :
  - 1.1  $\bar{\nu}_e$  disappearance at reactors with  $L \simeq 1$  km
  - 1.2  $\nu_\mu \rightarrow \nu_e$  transitions at accelerator experiments
2. subleading effects in solar/KamLAND/atmospheric oscillations

# Measuring $\theta_{13}$

two complementary approaches towards  $\theta_{13}$ :

- ▶  $\bar{\nu}_e \rightarrow \bar{\nu}_e$  disappearance reactor experiments:  
**CHOOZ, Double Chooz, Daya Bay, RENO**
  
- ▶ long-baseline accelerator experiments looking for  
 $\nu_\mu \rightarrow \nu_e$  appearance: **MINOS, T2K, NOvA**

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“clean” measurement of  $\theta_{13}$ :

$$P_{ee} \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E_\nu} + \mathcal{O}\left(\frac{\Delta m_{21}^2}{\Delta m_{31}^2}\right)^2$$

⇒ bring stat. and syst. errors below % level

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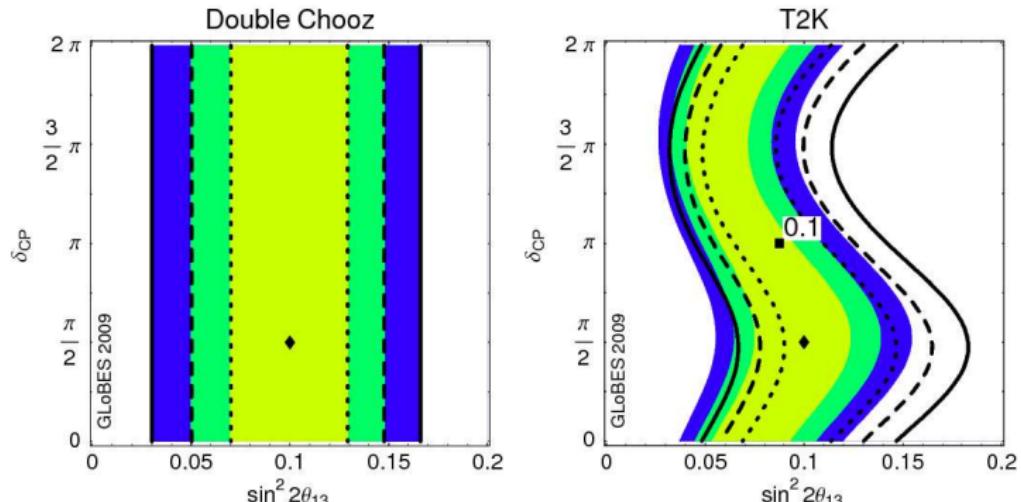
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$P_{\mu e}$  is a complicated function of all 3-flavour parameters

$\theta_{13}$  is correlated with other parameters (CP-phase  $\delta$ , sign of  $\Delta m_{31}^2$ )

## Reactor vs Beam

assume  $\sin^2 2\theta_{13} = 0.1$ ,  $\delta = \pi/2$

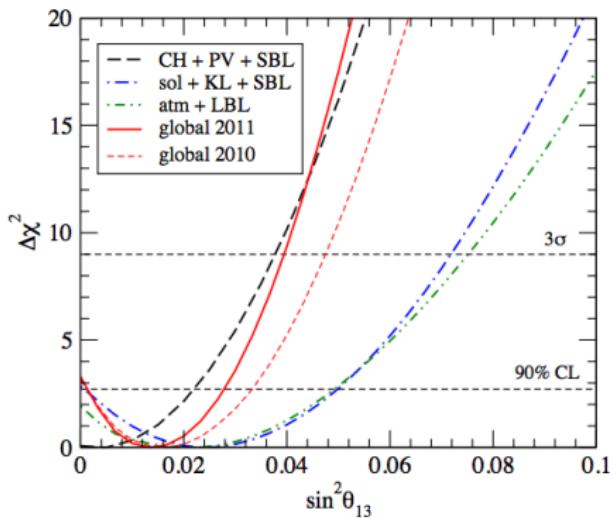
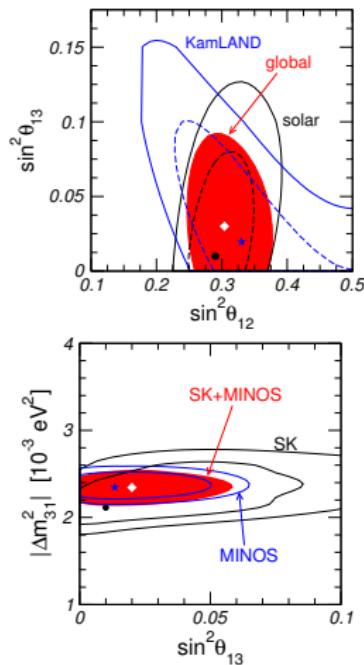


fake data! Huber et al, 2004, 2009, ...

# $\theta_{13}$ till June 2011

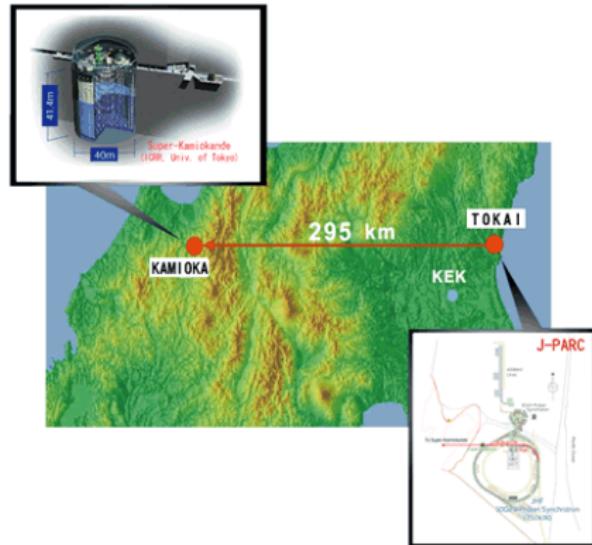
$\sin^2 \theta_{13} \lesssim 0.04$  ( $3\sigma$ ) from global data (dominated by CHOOZ)

$\theta_{13} > 0$  @  $1.8\sigma$  from slight tension between solar/KamL and MINOS/SK



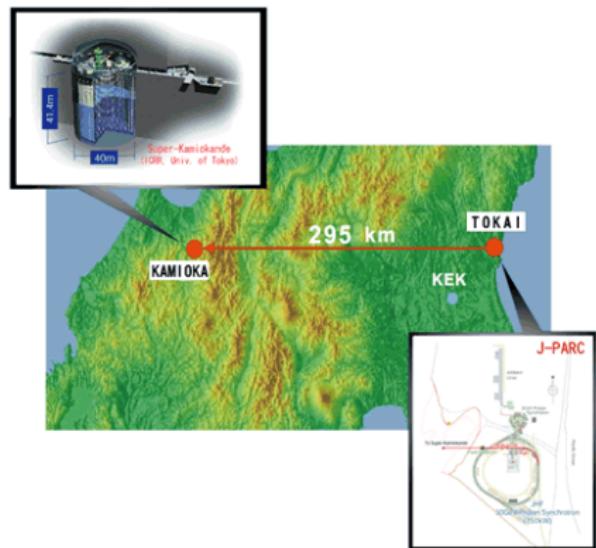
TS, Tortola, Valle, 08, 1103; Fogli et al, 08;  
Gonzalez-Garcia, Maltoni, Salvado, 10

# T2K data on $\nu_\mu \rightarrow \nu_e$ appearance

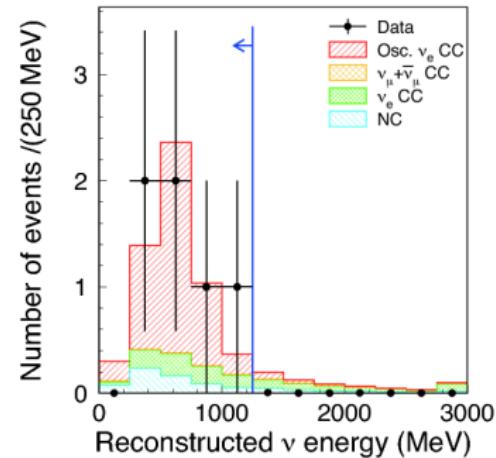


search for  $\nu_\mu \rightarrow \nu_e$  oscillations  
with  $L = 295$  km and  
 $E_\nu \simeq 0.7$  GeV

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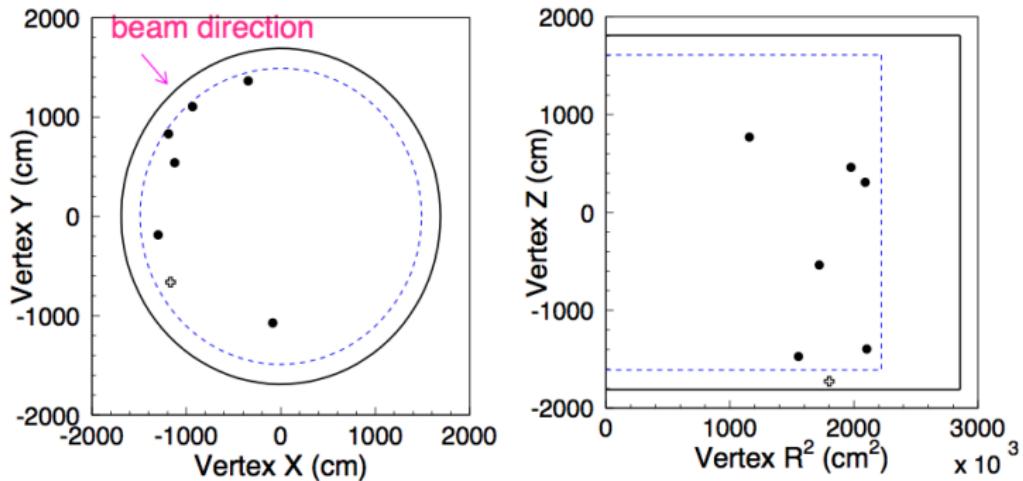
search for  $\nu_\mu \rightarrow \nu_e$  oscillations  
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K. Abe et al., 1106.2822

6 events obs  
 $1.5 \pm 0.3$  expected for  $\theta_{13} = 0$   
 $2.5\sigma$  indication for  $\theta_{13} > 0$

# Vertex distribution of T2K $\nu_e$ events

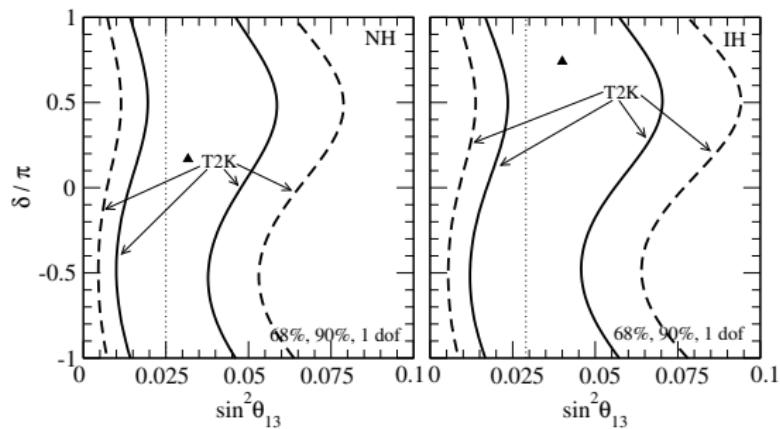


prob to obtain 6 events in front half of detector:  $1/2^6 = 1/64 \approx 0.015$   
 this is approximately the probability of  $\theta_{13} = 0$

K.S. test of  $R^2$  distribution gives  $p = 0.03$  ( $2.2\sigma$ )

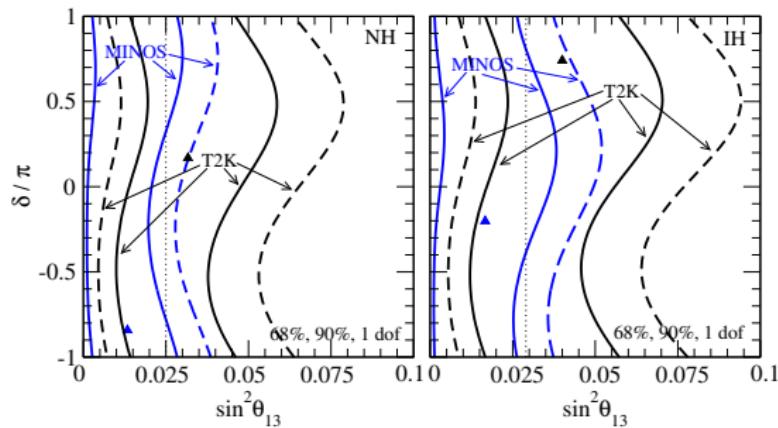
# Recent data on $\nu_\mu \rightarrow \nu_e$ appearance

- ▶ T2K: 6 events obs ( $1.5 \pm 0.3$  expected for  $\theta_{13} = 0$ )  
2.5 $\sigma$  indication for  $\theta_{13} > 0$  T2K, 1106.2822



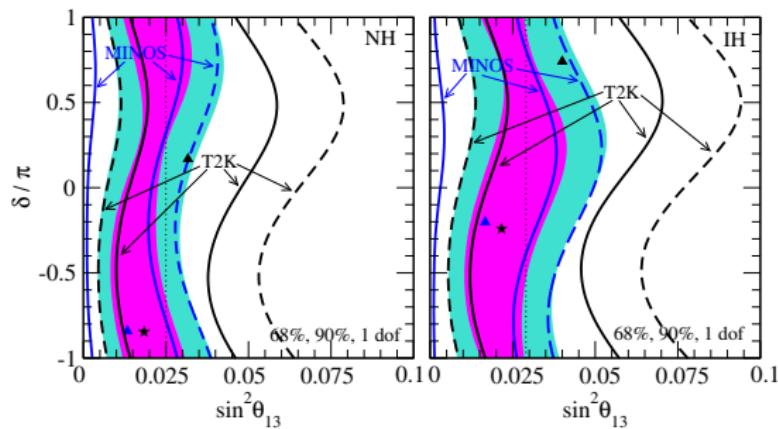
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- ▶ **MINOS:** 62 events obs ( $49.6 \pm 7.0 \pm 2.7$  expected for  $\theta_{13} = 0$ )  
consistent with  $\theta_{13} = 0$  [MINOS, 1108.0015](#)



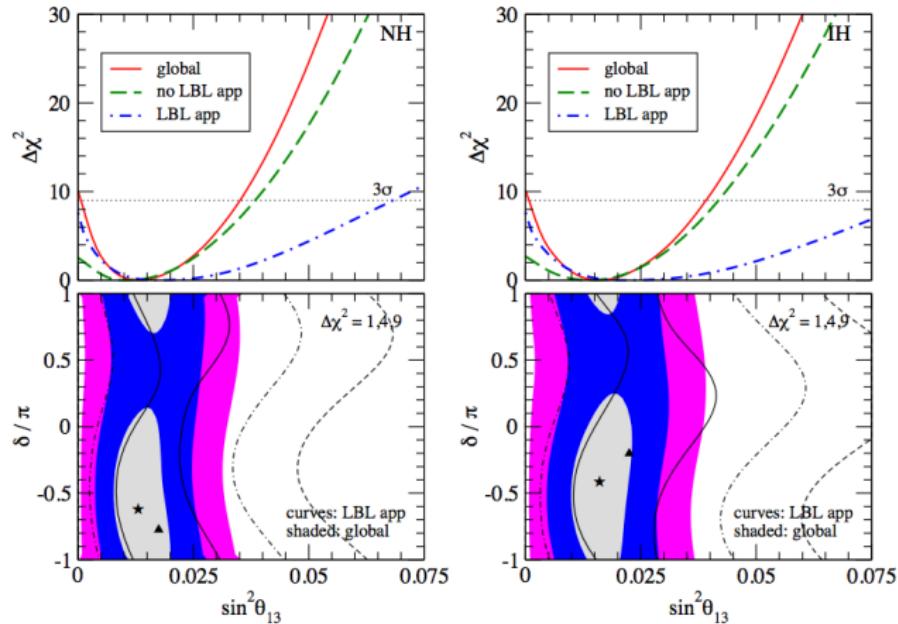
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consistent with  $\theta_{13} = 0$  [MINOS, 1108.0015](#)



# Global data on $\theta_{13}$

TS, Tortola, Valle, 1108.1376



$$\sin^2 \theta_{13} = 0.013^{+0.007}_{-0.005}$$

$$\sin^2 \theta_{13} = 0.016^{+0.008}_{-0.006}$$

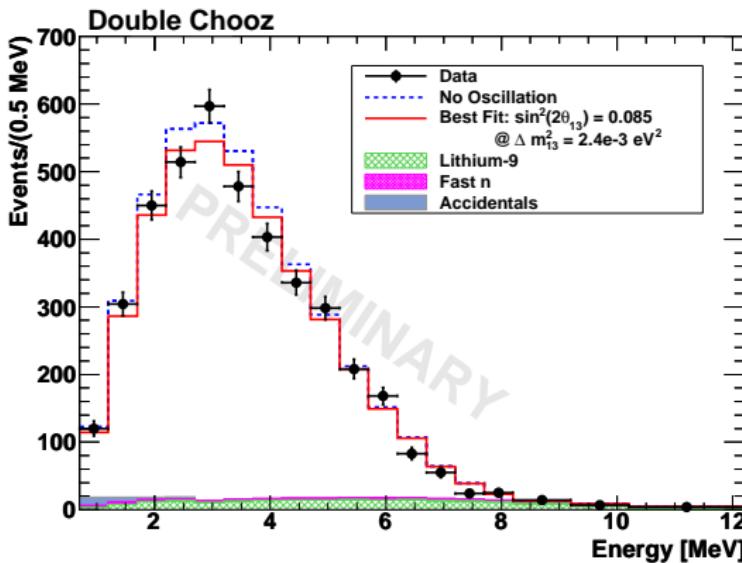
$$\theta_{13} = 0 : \Delta\chi^2 = 10.1 (3.2\sigma)$$

similar results by Fogli et al, 1106.6028; Maltoni @ EPS11

# Preliminary results from Double Chooz

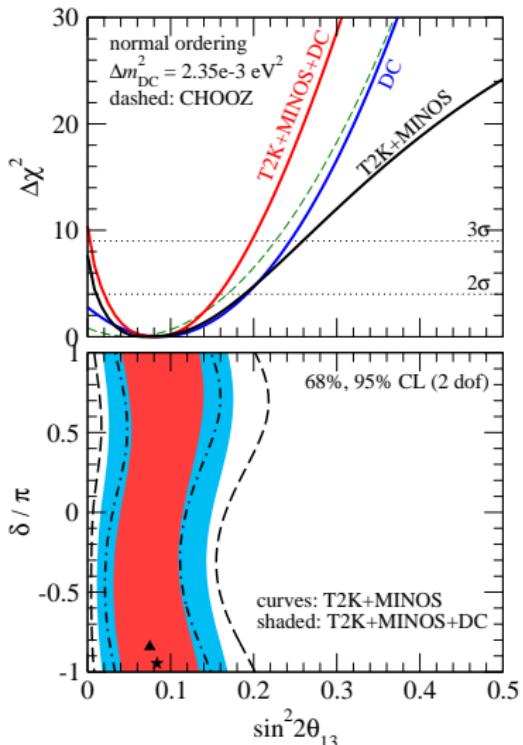
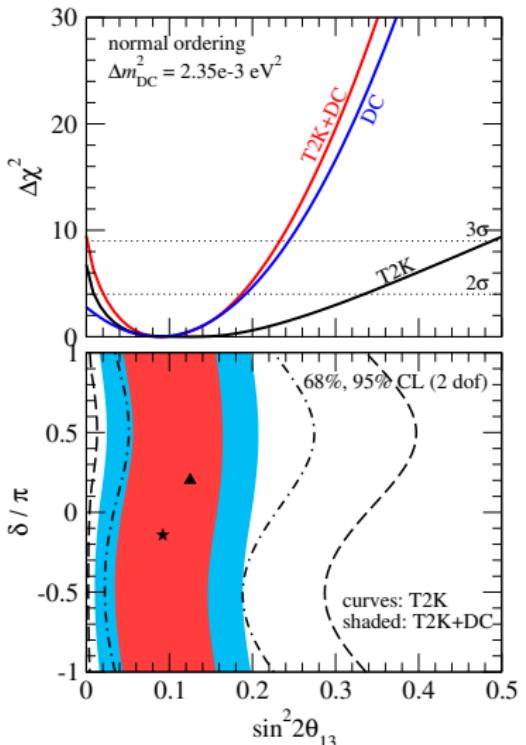
H. deKerret, talk @ LowNu, Korea, 11 Nov 2011

data from April 13 to Sept 18 (101.5 days)



$$\sin^2 2\theta_{13} = 0.085 \pm 0.029(\text{stat}) \pm 0.042(\text{syst}) \quad (> 0 @ 1.7\sigma)$$

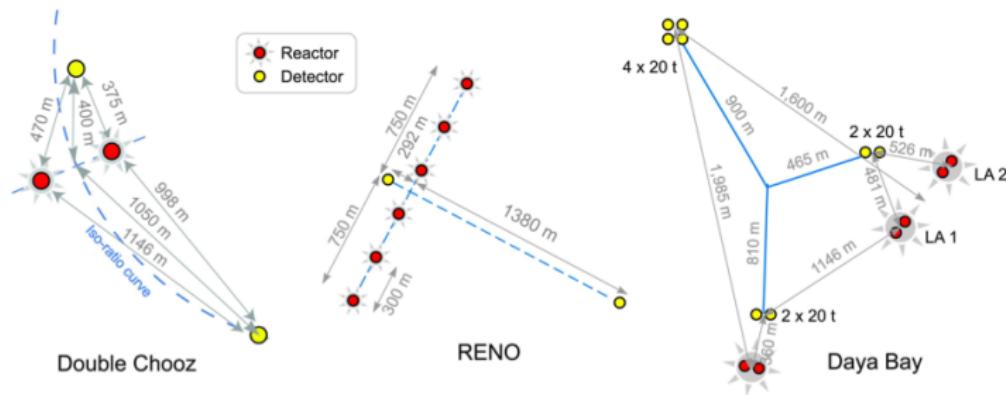
# Preliminary results from Double Chooz



$\theta_{13} = 0$  excluded at  $3\sigma$

# Upcoming experiments searching for $\theta_{13}$

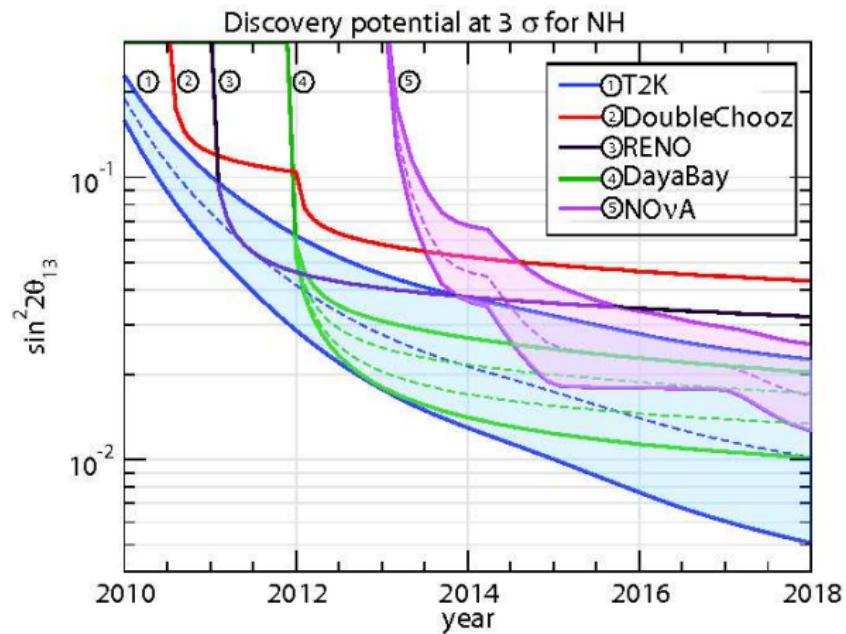
- $\bar{\nu}_e \rightarrow \bar{\nu}_e$  disappearance reactor experiments:



- long-baseline accelerator experiments looking for  $\nu_\mu \rightarrow \nu_e$  appearance:
  - T2K:**  $E_\nu \simeq 0.7$  GeV,  $L \approx 295$  km, off-axis  $2.5^\circ$
  - NOvA:**  $E_\nu \simeq 2$  GeV,  $L \approx 810$  km, off-axis  $0.8^\circ$

# Near term prospects for $\theta_{13}$

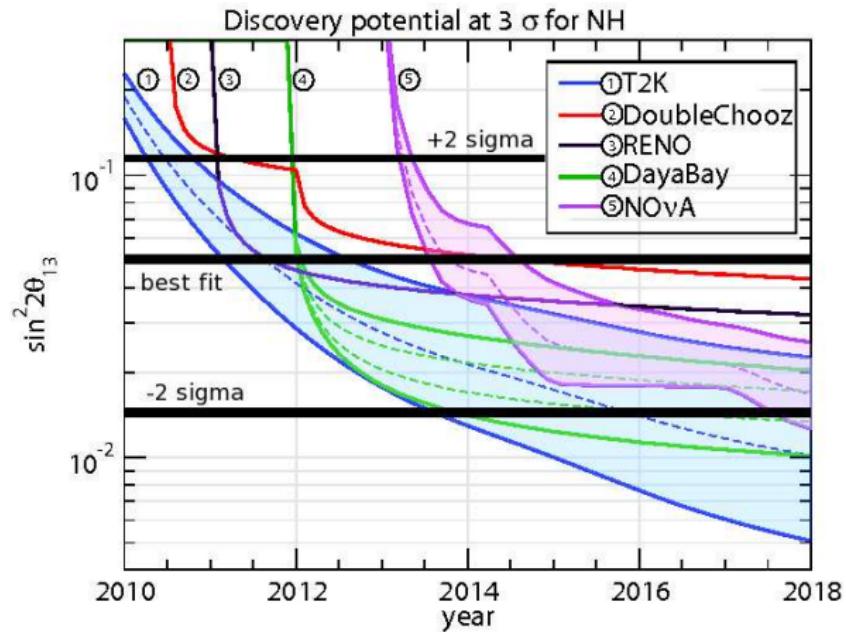
upcoming reactor and accelerator experiments



Mezzetto, TS, 10

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upcoming reactor and accelerator experiments



Mezzetto, TS, 10

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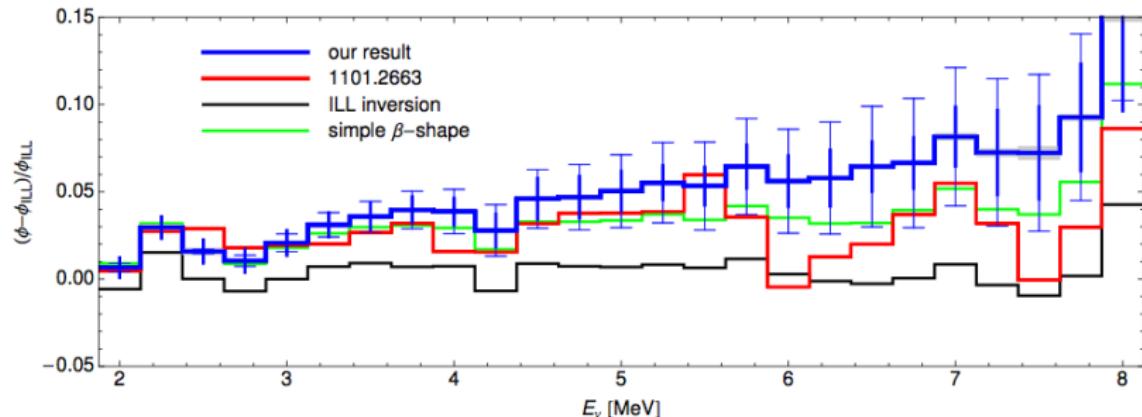
Conclusions

# New reactor flux calculations

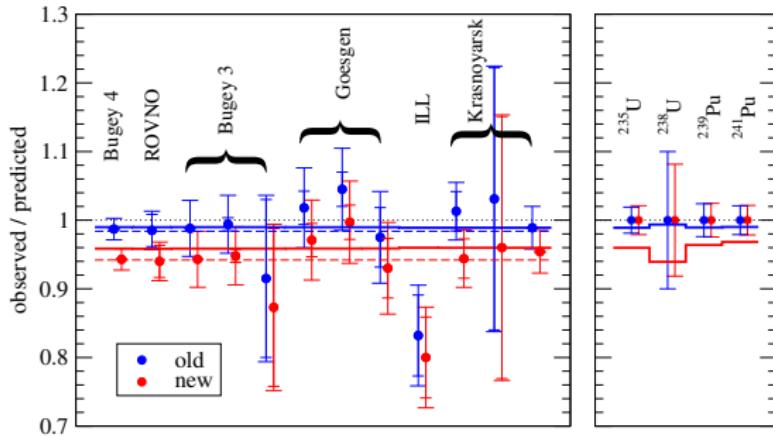
- ▶ to predict the  $\bar{\nu}_e$  flux from nuclear reactors one has to convert the measured  $e^-$  spectra from  $^{235}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$  into neutrino spectra

Schreckenbach et al., 82, 85, 89

- ▶ recent improved calculation [Mueller et al., 1101.2663](#) yields  
 $\sim 3\%$  higher fluxes
- ▶ confirmed by independent calculation [P. Huber, 1106.0687](#)



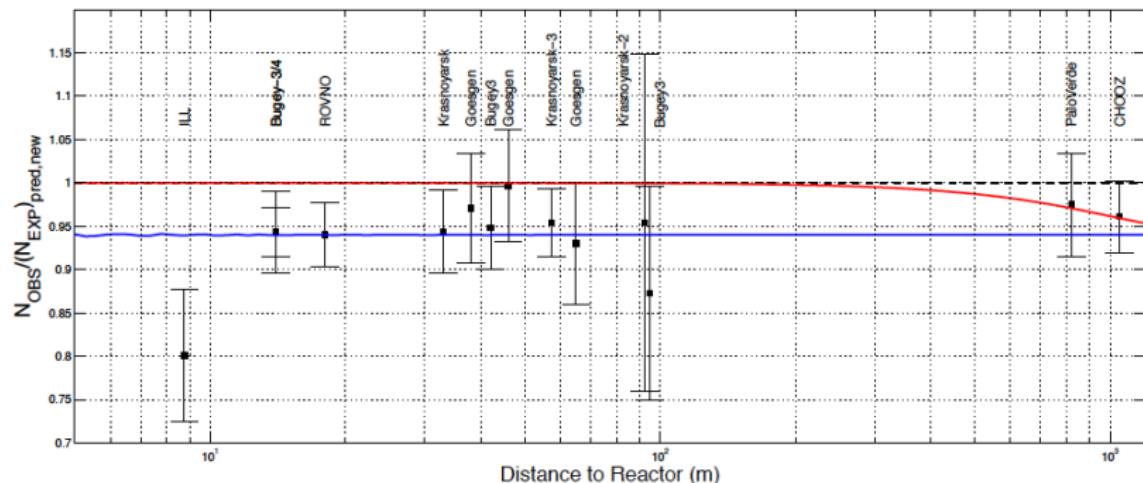
# New reactor flux calculations



- ▶  $\chi^2 = 8.1(13.0)/12$  dof for old (new) fluxes  $\Rightarrow$   
still acceptable fit within errors (P-value: 37%)
- ▶ flux free analysis:  $f = 0.942 \pm 0.024$ ,  $f = 1$  disfavored with  
 $\Delta\chi^2 = 6.2$  ( $2.5\sigma$ )

# New reactor fluxes and reactor data

Mention et al., 1101.2755



- ▶ non-zero  $\theta_{13}$  can reduce flux at  $L \gtrsim 1$  km but not shorter baselines
- ▶ sterile neutrino with  $\Delta m^2 \sim 1 \text{ eV}^2$  can account for rate reduction at  $L \gtrsim 10 - 100$  m
- ▶ systematic error or problem with new calculations?

# Dependence of $\theta_{13}$ from global fit on SBL reactor data

- ▶ new fluxes and including SBL reactors in fit (“default analysis”)

$$\begin{aligned}\sin^2 \theta_{13} &= 0.013^{+0.007}_{-0.005}, & \Delta\chi^2 &= 10.1 (3.2\sigma) && (\text{NH}) \\ \sin^2 \theta_{13} &= 0.016^{+0.008}_{-0.006}, & \Delta\chi^2 &= 10.1 (3.2\sigma) && (\text{IH})\end{aligned}$$

- ▶ new fluxes not including SBL reactors in fit

$$\begin{aligned}\sin^2 \theta_{13} &= 0.022 \pm 0.008, & \Delta\chi^2 &= 13.5 (3.7\sigma) && (\text{NH}) \\ \sin^2 \theta_{13} &= 0.026 \pm 0.009, & \Delta\chi^2 &= 15.2 (3.9\sigma) && (\text{IH})\end{aligned}$$

- ▶ including SBL reactors but allow free flux normalization

$$\begin{aligned}\sin^2 \theta_{13} &= 0.011^{+0.007}_{-0.004}, & \Delta\chi^2 &= 7.7 (2.8\sigma) && (\text{NH}) \\ \sin^2 \theta_{13} &= 0.014^{+0.007}_{-0.006}, & \Delta\chi^2 &= 8.4 (2.9\sigma) && (\text{IH})\end{aligned}$$

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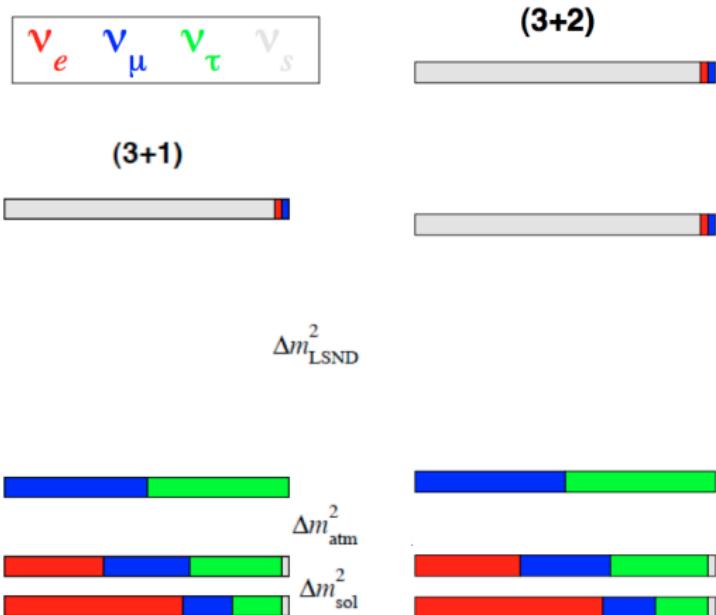
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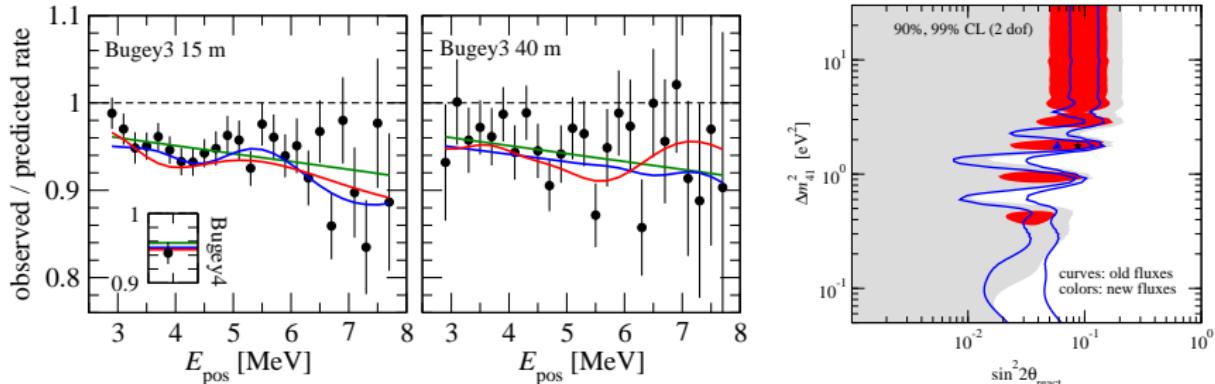
# Sterile neutrinos at the eV scale

Sterile neutrinos are generic in models for neutrino mass (though typically at much higher scales).

Why not assume that some of them have masses at the eV scale?



# Reactor data and sterile neutrinos

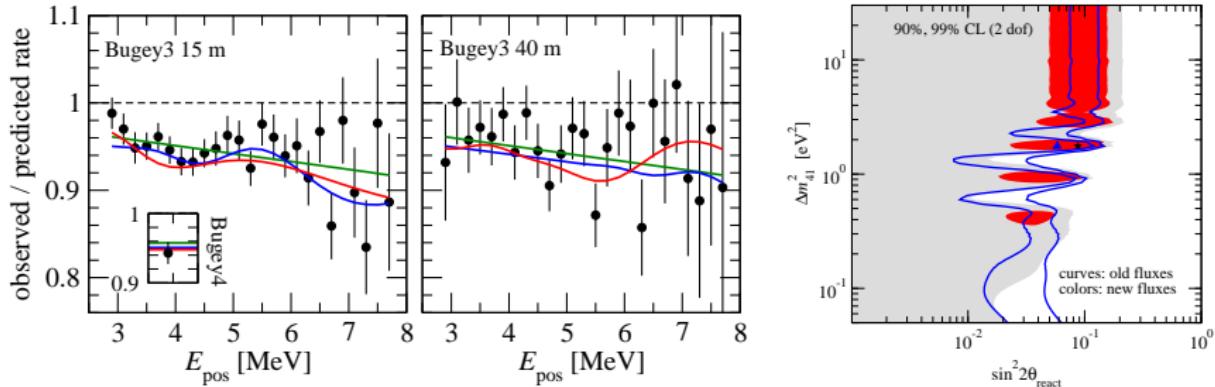


	$\Delta m_{41}^2$ [eV <sup>2</sup> ]	$ U_{e4} $	$\Delta m_{51}^2$ [eV <sup>2</sup> ]	$ U_{e5} $	$\Delta\chi^2(\text{no osc})$
3+1	1.78	0.151			8.5 (98% CL 2 dof)
3+2	0.46	0.108	0.89	0.124	12.1 (98% CL 4 dof)

- bounds on  $U_{e4,5}$  become “preferred regions” with new reactor fluxes

Mention et al., 11; Kopp, Maltoni, TS, 11

# Reactor data and sterile neutrinos



	$\Delta m_{41}^2$ [eV $^2$ ]	$ U_{e4} $	$\Delta m_{51}^2$ [eV $^2$ ]	$ U_{e5} $	$\Delta\chi^2(\text{no osc})$
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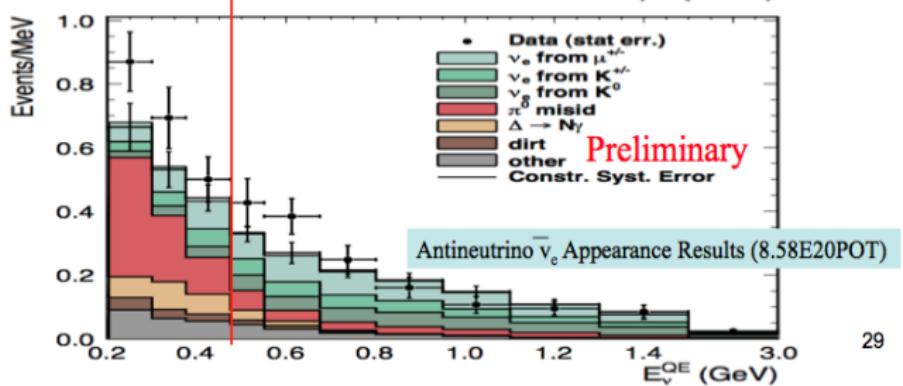
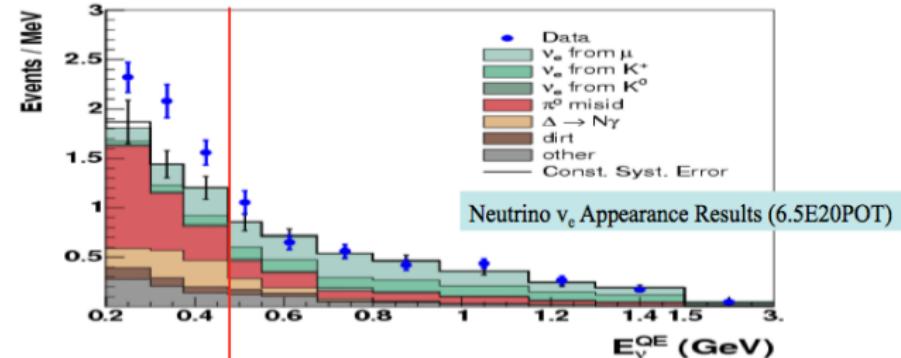
- bounds on  $U_{e4,5}$  become “preferred regions” with new reactor fluxes  
Mention et al., 11; Kopp, Maltoni, TS, 11
- hint for  $U_{e4} > 0$  from calibration data in gallium solar  $\nu$  exps (“Gallium anomaly”) Acero, Giunti, Laveder, 07; Giunti, Laveder, 10

## More hints for eV neutrinos from $\nu_\mu \rightarrow \nu_e$ searches

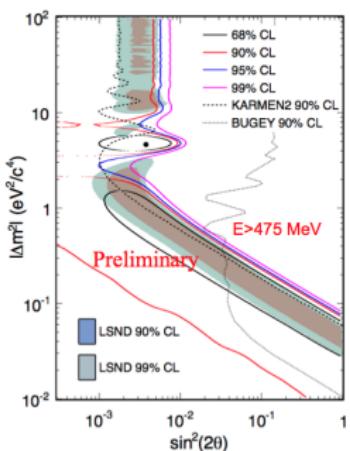
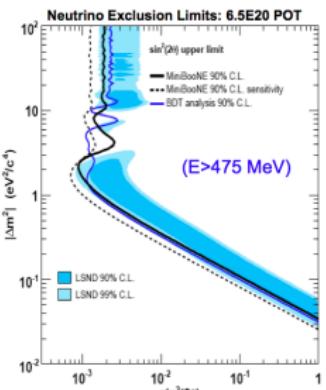
- ▶ **LSND**  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ ,  $87.9 \pm 22.4 \pm 6.0$  excess events  
 $P = (0.264 \pm 0.067 \pm 0.045)\%$   $\sim 3.8\sigma$  away from zero
- ▶ **KARMEN**  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ , tight constraint on LSND region  
(slightly smaller  $L/E$  than LSND)
- ▶ **MiniBooNE**  $\nu_\mu \rightarrow \nu_e$   
 $E > 475$  MeV: no excess,  $E < 475$  MeV:  $\sim 3\sigma$  excess
- ▶ **MiniBooNE**  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ , inconclusive  
consistent with MB $\nu$  as well as with LSND in  $2\nu$  framework

# Latest MiniBooNE results

Z. Djurcic @ NuFact11



29



# Can we fit all this in a 3+1 (or 3+n) scheme

3+1  $\nu_\mu \rightarrow \nu_e$  appearance

$$P_{\mu e} = \sin^2 2\theta_{\text{app}} \sin^2 \frac{\Delta m_{41}^2 L}{4E} \quad \sin^2 2\theta_{\text{app}} = 4|U_{e4}|^2 |U_{\mu 4}|^2$$

3+1  $\nu_e$  and  $\nu_\mu$  disappearance

$$P_{\alpha\alpha} = 1 - \sin^2 2\theta_{\text{dis}} \sin^2 \frac{\Delta m_{41}^2 L}{4E} \quad \sin^2 2\theta_{\text{dis}} = 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2)$$

3+1: constraints from  $\nu_e$  ( $\nu_\mu$ ) disappearance experiments on  $U_{e4}$  ( $U_{\mu 4}$ )  
 imply that appearance mixing angle is quadratically suppressed

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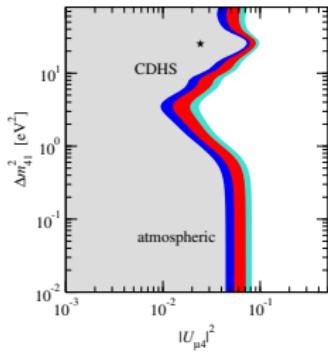
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3+2: phase  $\delta \equiv \arg(U_{e4}^* U_{\mu 4} U_{e5} U_{\mu 5}^*) \rightarrow \text{CP violation}$

Karagiorgi et al. 06; Maltoni, TS 07

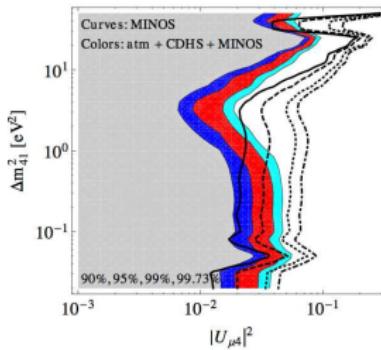
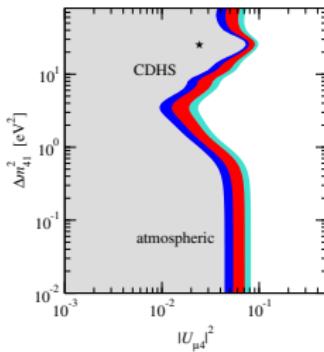
BUT: constrain  $|U_{ei}|$  and  $|U_{\mu i}|$  ( $i = 4, 5$ ) from disappearance to be reconciled with appearance amplitudes  $|U_{ei} U_{\mu i}|$

# Limits on $U_{\mu 4}$



- ▶  $\nu_\mu$  disappearance CDHS
- ▶ atmospheric neutrinos Bilenky, Giunti, Grimus, TS 99; Maltoni, TS, Valle 01

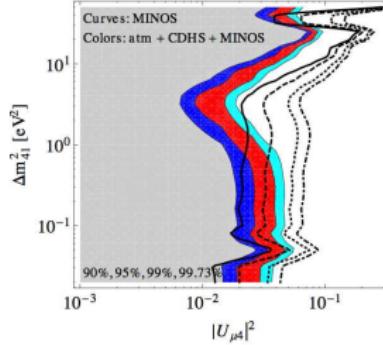
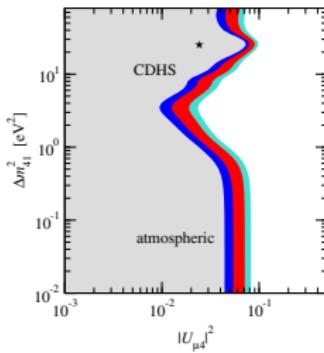
# Limits on $U_{\mu 4}$



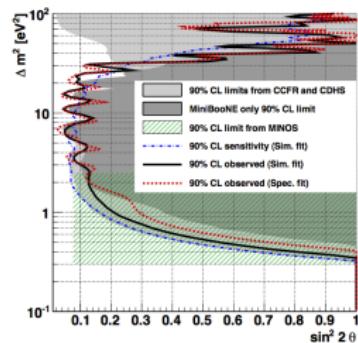
Kopp, Maltoni, TS, in prep

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- ▶ MINOS NC data 1001.0336, 1104.3922

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Kopp, Maltoni, TS, in prep

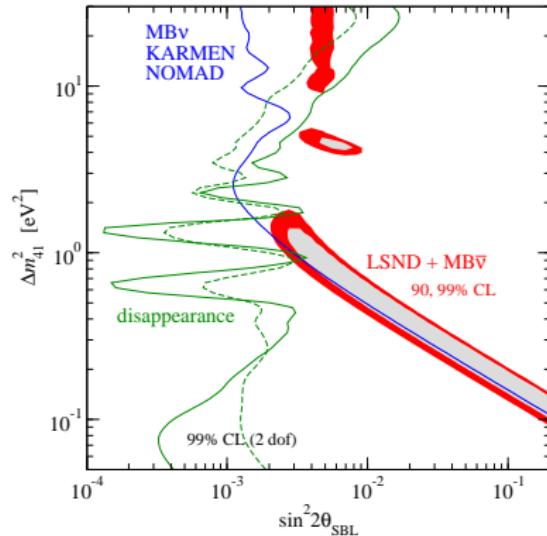


MiniBooNE, 1106.5685

$$\sin^2 2\theta = 4|U_{\mu 4}^2|(1 - |U_{\mu 4}^2|)$$

- ▶  $\nu_\mu$  disappearance CDHS
- ▶ atmospheric neutrinos [Bilenky, Giunti, Grimus, TS 99](#); [Maltoni, TS, Valle 01](#)
- ▶ MINOS NC data [1001.0336](#), [1104.3922](#)
- ▶ additional constraints from MiniB  $\nu_\mu(\bar{\nu}_\mu)$  at  $\Delta m^2 \gtrsim 1$  eV $^2$

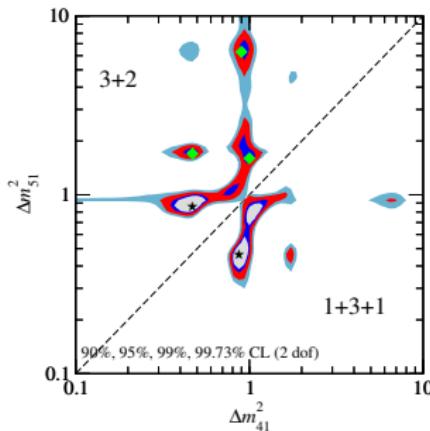
# 3+1 global fit



- ▶ no CP violation  $\rightarrow$  LSND+ $MB\bar{\nu}$  versus  $MB\nu$
- ▶ despite relaxed constraints on  $U_{e4}$  no improvement of global 3+1 fit
- ▶ LSND+ $MB\bar{\nu}$  versus rest:  $\chi^2_{PG} = 21.5(24.2)$  for new (old) flux  
 $\rightarrow$  compatibility of less than  $10^{-5}$

# 3+2 global fit

Kopp, Maltoni, TS, PRL 11



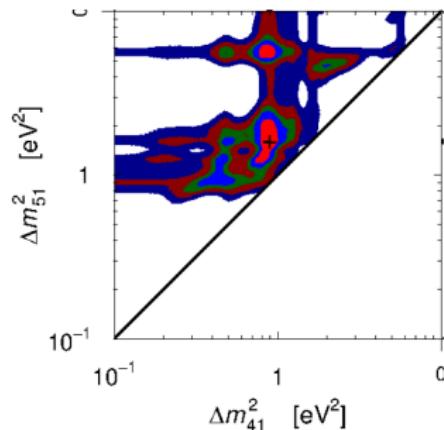
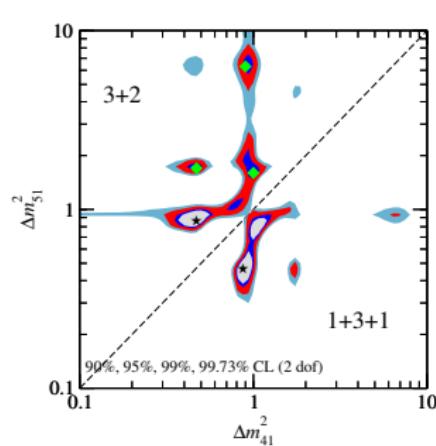
some improvement in the fit:

- ▶  $\Delta\chi^2_{3+2}$  (old vs new fluxes) = 11.1
- ▶  $\Delta\chi^2$  (3+1 vs 3+2) = 11.2  
(97.6% CL, 4 dof)  
6.3 for old flux

	$\Delta m_{41}^2$	$ U_{e4} $	$ U_{\mu 4} $	$\Delta m_{51}^2$	$ U_{e5} $	$ U_{\mu 5} $	$\delta/\pi$	$\chi^2/130$
3+2	0.47	0.128	0.165	0.87	0.138	0.148	1.64	110.1
3+2'	0.47	0.117	0.201	1.70	0.151	0.101	1.39	114.4
3+2'	1.00	0.133	0.163	1.60	0.122	0.079	1.48	114.4
3+2'	0.90	0.123	0.163	6.30	0.135	0.091	1.67	115.0
1+3+1	0.47	0.129	0.154	0.87	0.142	0.163	0.35	106.1

# 3+2 global fit

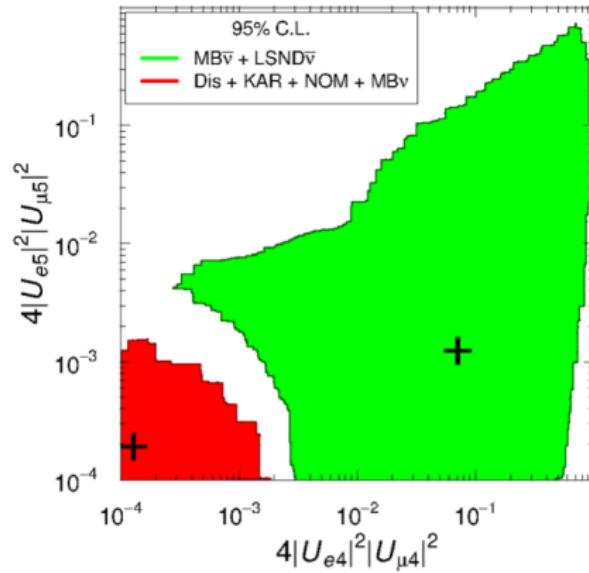
Kopp, Maltoni, TS, PRL 11



Giunti, Laveder, 1109.4033

	$\Delta m_{41}^2$	$ U_{e4} $	$ U_{\mu 4} $	$\Delta m_{51}^2$	$ U_{e5} $	$ U_{\mu 5} $	$\delta/\pi$	$\chi^2/130$
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GL:	0.90	0.130	0.134	1.60	0.130	0.080	1.52	92/100

# There is severe tension in the 3+2 fit



Giunti, Laveder, 1109.4033

# There is severe tension in the 3+2 fit

	LSND+MB( $\bar{\nu}$ ) vs rest		appearance vs disapp.	
	old	new	old	new
$\chi^2_{\text{PG}}/\text{dof}$	25.1/5	19.9/5	19.9/4	14.7/4
PG	$10^{-4}$	0.13%	$5 \times 10^{-4}$	0.53%
adding MINOS NC <small>Kopp, Maltoni, TS, work in prep.</small>				
$\chi^2_{\text{PG}}/\text{dof}$	30.0/5	24.8/5	24.7/4	19.5/4
PG	$10^{-5}$	$10^{-4}$	$5 \times 10^{-5}$	$6 \times 10^{-4}$

- ▶ explanation of appearance signal requires  $U_{ei}$  and  $U_{\mu i}$  ( $i = 4, 5$ )
- ▶ no hint for oscillations seen in  $\nu_\mu$  disappearance  
⇒ constraints on  $U_{\mu i}$  in tension with signals
- ▶ MINOS NC data makes compatibility worse by one order of magnitude
- ▶ MB low-E excess cannot be explained when disapp. data are included

## Summary sterile global fit

- ▶ If we assume that the 3+n oscillation scenario is correct at least one of the experiments is “mis-interpreted”.
- ▶ If we neglect LSND, there is still the hint for  $\nu_e$  disappearance from the reactor anomaly and maybe Gallium  
(less solid, relies on complicated theoretical calculations, uncertainties difficult to estimate)
- ▶ If we stick to LSND, probably we have to discard more than one experiment (several experiments constrain  $\nu_\mu$  disappearance)

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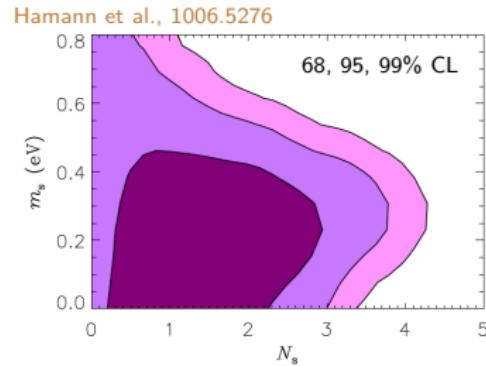
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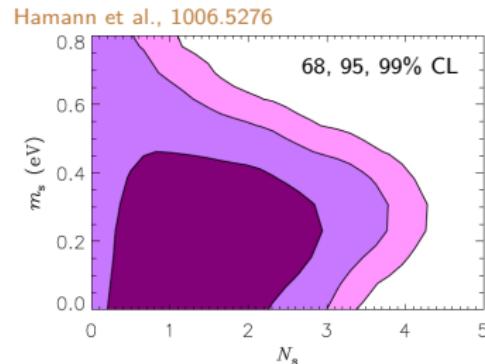
# eV sterile neutrinos and cosmology

- ▶ CMB, SDSS, HST: prefer some extra radiation, but limit on HDM excludes two eV-scale neutrinos
- ▶ BBN:  $N_s < 1.2$  (95% CL)  
Mangano, Serpico, 1103.1261  
→ PLANCK!



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Mangano, Serpico, 1103.1261  
→ PLANCK!



If those eV-scale sterile neutrinos were confirmed on Earth we would need a  
**substantial modification of standard cosmology**

e.g. extra rel. dof, large lepton number, weared DE eq. of state,...

Elgaroy, Kristiansen, 1104.0704, Hamann, Hannestad, Raffelt, Wong, 1108.4136

# More exotic ideas

- ▶ 3-neutrinos and CPT violation Murayama, Yanagida 01;  
Barenboim, Borissov, Lykken 02; Gonzalez-Garcia, Maltoni, TS 03
- ▶ 4-neutrinos and CPT violation Barger, Marfatia, Whisnant 03
- ▶ Exotic muon-decay Babu, Pakvasa 02
- ▶ CPT viol. quantum decoherence Barenboim, Mavromatos 04
- ▶ Lorentz violation Kostelecky et al., 04, 06; Gouvea, Grossman 06
- ▶ mass varying  $\nu$  Kaplan,Nelson,Weiner 04; Zurek 04; Barger,Marfatia,Whisnant 05
- ▶ shortcuts of sterile  $\nu$ s in extra dim Paes, Pakvasa, Weiler 05
- ▶ decaying sterile neutrino Palomares-Riu, Pascoli, TS 05; Gninenko 10
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most of these ideas have problems with some data

# Many ideas to test eV sterile neutrinos

- ▶ radioactive source experiments (e.g., in Borexino, SNO+) Cribier et al,  
1107.2335; Garvin et al, 1006.2103; Vergados, Novikov, 1006.3862; Grieb, Link, Raghavan, hep-ph/0611178,...
- ▶ several ideas at FNAL (MINOS+, MicroBooNE, MiniBooNE extensions, very-low-E NuFact) B. Louis @ NuFact11
- ▶ ICARUS at CERN PS C. Rubbia et al. 0909.0355
- ▶ experiments with  $\sim$ 100 kW proton synchrotrons  
Conrad, Shaevitz 09; Huber, Agarwalla 10; Agarwalla, Conrad, Shaevitz, 11
- ▶ signatures in IceCube (Deep Core)  
Nunokawa, Peres, Zukanovich, hep-ph/0302039; Coube, 0709.1937; Razzaque, Smirnov, 1104.1390

# Many ideas to test eV sterile neutrinos

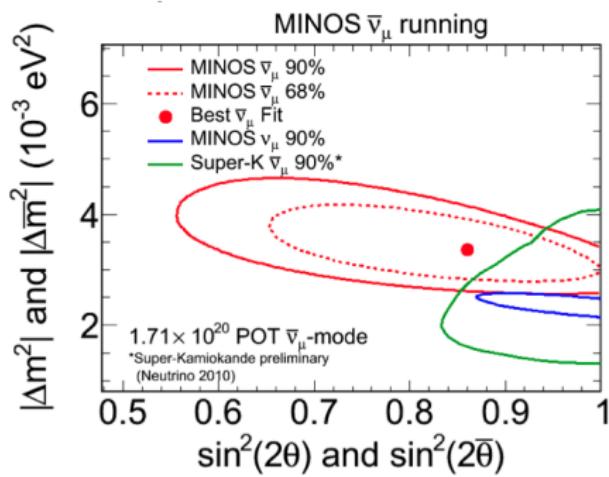
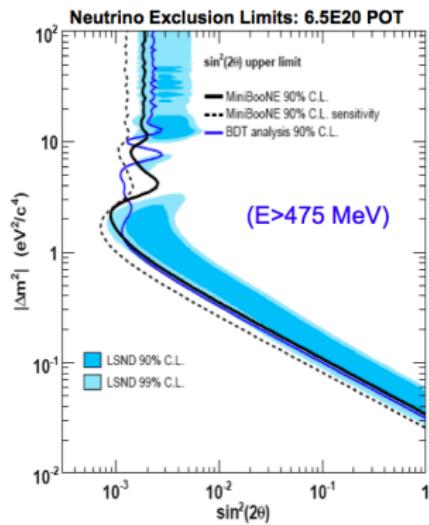
2011 sterile neutrino workshops:

- ▶ LNGS, Italy, May 2011
- ▶ FNAL, USA, May 2011
- ▶ Virginia Tech, Blacksburg, USA, Sept 2011

White paper on sterile neutrinos is in preparation:  
[http://cnp.phys.vt.edu/white\\_paper/](http://cnp.phys.vt.edu/white_paper/)

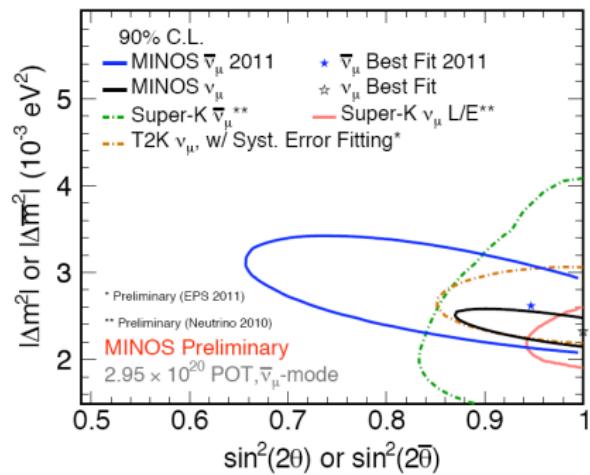
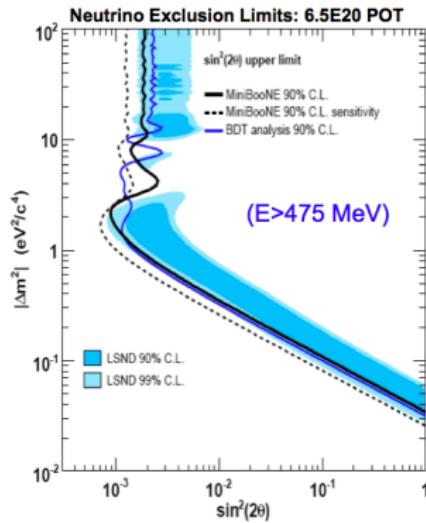
# Personal comment

two examples for regions in disagreement at 90% CL:



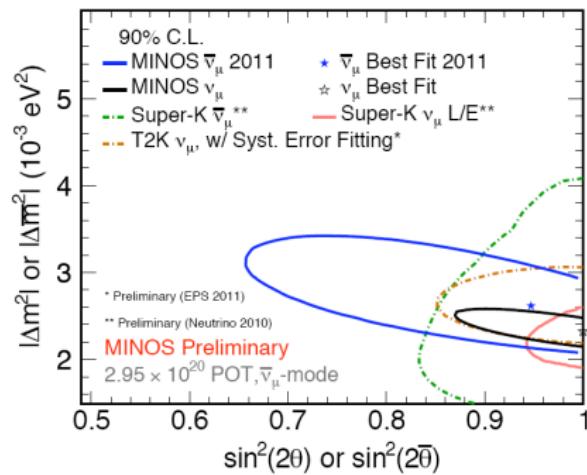
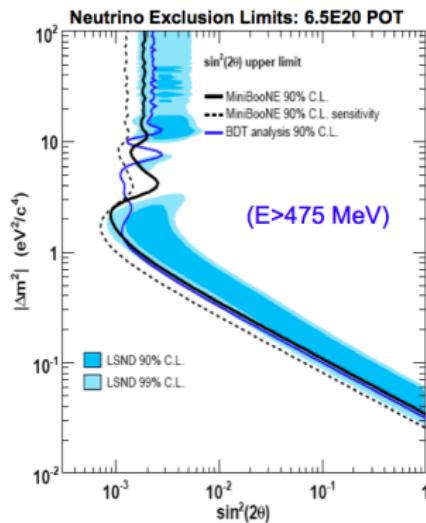
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We need to solve the question of sterile neutrinos **conclusively!**

# Outline

Introduction

3-flavour oscillations

$$\theta_{13}$$

Upcoming experiments for  $\theta_{13}$

The reactor anomaly

Sterile neutrinos

Hints for sterile neutrinos

Global sterile neutrino fit

Conclusions

# Conclusions

- ▶ the bulk of data on neutrino oscillations is fitted perfectly within the three-flavour framework, and we have **evidence for oscillatory behaviour** of the survival probability as function of neutrino energy
- ▶ recent T2K results on  $\nu_e$  appearance combined with the rest of the data or with prelim. DoubleChooz results lead to a **hint for  $\theta_{13} > 0$  at about  $3\sigma$**  – will be tested very soon by up-coming experiments
- ▶ there are intriguing hints for sterile neutrinos at the eV scale:  
**LSND, reactor anomaly, Gallium anomaly, cosmology?**  
BUT: no consistent picture has emerged sofar

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**Thank you for your attention!**

# 3-flavour oscillation parameters

TS, Tortola, Valle, 1108.1376

	best fit $\pm 1\sigma$	$3\sigma$ range	prec@ $3\sigma$	
$\frac{\Delta m_{21}^2}{10^{-5}\text{eV}^2}$	$7.59^{+0.20}_{-0.18}$	7.09–8.19	7%	KamLAND
$\frac{\Delta m_{31}^2}{10^{-3}\text{eV}^2}$	$2.50^{+0.09}_{-0.16}$ $-(2.40^{+0.08}_{-0.09})$	$2.14 - 2.76$ $-(2.13 - 2.67)$	12%	MINOS
$\sin^2 \theta_{12}$	$0.312^{+0.017}_{-0.015}$	0.27–0.36	14%	SNO
$\sin^2 \theta_{23}$	$0.52^{+0.06}_{-0.07}$ $0.52 \pm 0.06$	0.39–0.64	24%	SuperK
$\sin^2 \theta_{13}$	$0.013^{+0.007}_{-0.005}$ $0.016^{+0.008}_{-0.006}$	0.001–0.035 0.001–0.039	120%	T2K + global data
$\delta$	$(-0.61^{+0.75}_{-0.65})\pi$ $(-0.41^{+0.65}_{-0.70})\pi$	$0 - 2\pi$	—	

upper: normal hierarchy, lower: inverted hierarchy

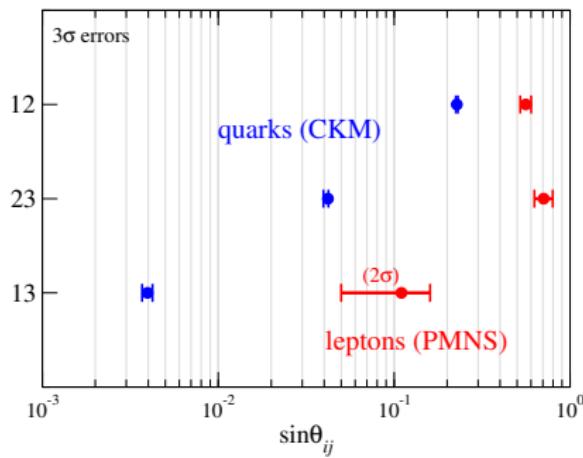
# CKM versus PMNS

Quark mixing:

$$U_{CKM} = \begin{pmatrix} 1 & \epsilon & \epsilon \\ \epsilon & 1 & \epsilon \\ \epsilon & \epsilon & 1 \end{pmatrix}$$

Lepton mixing:

$$U_{PMNS} = \frac{1}{\sqrt{3}} \begin{pmatrix} \mathcal{O}(1) & \mathcal{O}(1) & \epsilon \\ \mathcal{O}(1) & \mathcal{O}(1) & \mathcal{O}(1) \\ \mathcal{O}(1) & \mathcal{O}(1) & \mathcal{O}(1) \end{pmatrix}$$



# Symmetry versus anarchy?

Are lepton mixing angles “special”?

Example: Tri-bimaximal mixing: [Harrison, Perkins, Scott, hep-ph/0202074](#)

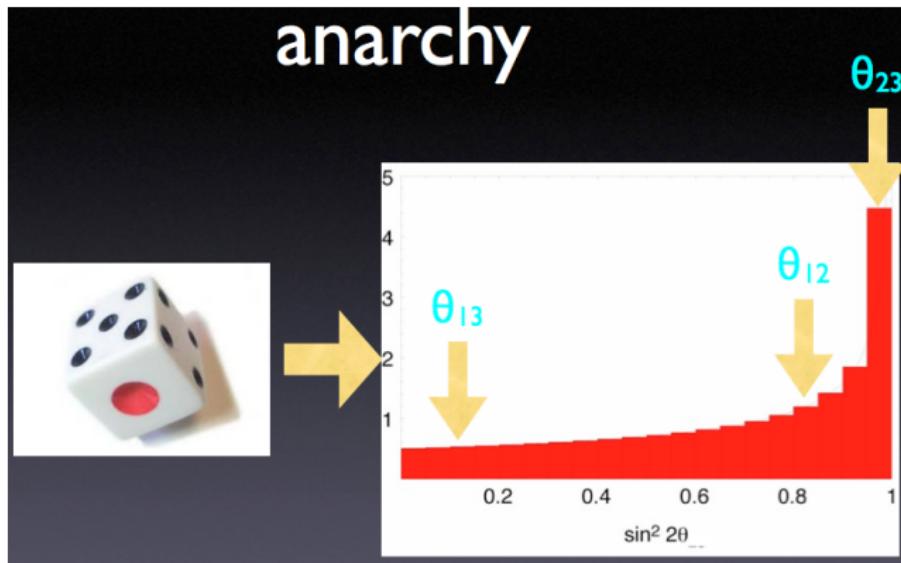
$$U_{TBM} = \begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & 1/\sqrt{2} \\ 1/\sqrt{6} & -1/\sqrt{3} & 1/\sqrt{2} \end{pmatrix}$$

Since June,  $\mathcal{O}(50)$  papers appeared on arXiv claiming that large  $\theta_{13}$  is consistent with tri-bimaximal mixing or similar flavour symmetries.

To me it is not clear whether these models remain attractive if  $\theta_{13}$  is large.

# Symmetry versus anarchy?

Maybe the mixing angles are just random numbers? Murayama et al.



# 3+1 + NSI

Akhmedov, TS 10

assume

- ▶ a 4th neutrino with  $\Delta m_{41}^2 \sim 1 \text{ eV}^2$
- ▶ a new type of CC-like interaction

$$\mathcal{L}_{\text{NSI}} = -2\sqrt{2}G_F \sum_{\alpha,\beta} \varepsilon_{\alpha\beta}^{ff'} (\bar{f} P_{L,R} \gamma^\mu f') (\bar{l}_\alpha P_L \gamma_\mu \nu_\beta) + h.c.$$

$f, f'$  fermions depending on production or detection process

(NC-like NSI will have no relevant effect in short-baseline experiments since matter effect is very small)

# 3+1 + NSI

- ▶ zero-distance effects and CPV (NSI-oscillation interference)
- ▶ can decouple LSND/KARMEN from the rest:

	source	detection
LSND/KARMEN	$\mu$ decay	$\nu$ -nucl CC ( $e$ )
MiniB/NOMAD	$\pi$ decay	$\nu$ -nucl CC ( $e$ )
CDHS (atm)	$\pi$ decay	$\nu$ -nucl CC ( $\mu$ )
Bugey/Chooz	$\nu$ -nucl CC ( $e$ )	$\nu$ -nucl CC ( $e$ )

$$|\alpha_{\mu e}^{\text{LK}}|, |\beta_{\mu e}^{\text{LK}}|, \delta^{\text{LK}}, \alpha_e, \alpha_\mu, |\beta_{\mu e}|, \delta, \Delta m_{41}^2$$

→ 8 parameters (7 for 3+2 osc)

# 3+1 + NSI

- ▶  $\chi^2_{(3+1)\text{osc}} - \chi^2_{(3+1)\text{NSI}} = 18.5$  (5 dof) → 99.76% CL  
appearance versus disappearance: PG compatibility of 15%
  - ▶  $|U_{e4}| \approx 0.116$ ,  $|U_{\mu 4}| \approx 0.205$  (more freedom from new react flux)  
 $|\varepsilon_{\mu s}^{ud}| \approx 0.05$ ,  $|\varepsilon_{e\mu}^{ud}| \approx 0.011$ ,  $|\varepsilon_{\mu s}^{e\nu}| \approx 0.03$ ,  $|\varepsilon_{\mu e}^{e\nu}| \approx 0.01$   
strength of new interactions at the level of few % compared to  $G_F$   
in agreement with bounds Biggio, Blennow, Fernandez-Martinez, 0907.0097
  - ▶ if due to dim-6 operator  $\varepsilon G_F(\bar{f}f')(\bar{\ell}\nu)$  expect a charged mediator with  $m_\phi \sim m_W/\sqrt{\varepsilon} \sim \text{TeV}$  → excellent prospect at LHC
  - ▶ **BUT:** seems difficult (impossible?) to obtain such operators at dim-6 in gauge invariant way → go to dim-8 and involve some fine tuning
- Gavela, Hernandez, Ota, Winter, 0809.3451; Antusch, Baumann, Fernandez, 0807.1003  
severe constraints from colliders Davidson, Sanz, 1108.5320

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# Energy dependent quantum decoherence

Farzan, TS, Smirnov 07

- ▶ no sterile neutrino needed
- ▶ postulate quantum-decoherence of the mass states  $\Rightarrow$  damping of the interference terms in the oscillation probabilities.
- ▶ damping affects only oscillations with  $\Delta m_{31}^2$  and rapidly decreases with the neutrino energy
- ▶ reconcile LSND signal with MB $\nu$  and other null-result exps.
- ▶ standard explanations of solar, atmos, KamL, MINOS not affected
- ▶ LSND signal controlled by the 1-3 mixing:  $0.0014 < \sin^2 \theta_{13} < 0.034$
- ▶ comparison of near and far measurements at reactors: null-result positive signal for  $\theta_{13}$  in long-baseline accelerator experiments
- ▶ seems to be consistent with reactor anomaly!