

# QCD Lecture [Day 1]

**Kunihiro Nagano (KEK)**

**3<sup>rd</sup> France-Asia Particle Physics School (FAPPS11)**  
**Les Houches, France, 11/Oct/2011**

**ÉCOLE DE PHYSIQUE**  
des HOUCHES





## Disclaimer

- Related to QCD, there are really rich/many interesting topics.
- I'm just an experimental physicist who has been working at HERA(ep) and LHC (pp) experiments.
  - ➔ Apparently, it's not possible to cover whole of QCD aspects, and most probably my selected topics/ways of explanation are biased toward experimental side.

It is like that teacher of driving school is not a F1 driver, nor a hyper-engineer of car.  
..but he/she can tell how to drive up to a sufficient level that one can enjoy daily life with car.



# Plan for 3 days lectures

- **Day-1: Basis of QCD**
- Day-2: Proton structure @ lepton-hadron collision
- Day-3: Jets @ hadron-hadron collision



QCD knowledge necessary for  
doing physics at LHC

I will try to tell some QCD topics which I think (based on my experiences) would be good to know to enjoy daily life in particle physics field.



For happy  
daily life  
(in particle  
physics life)

# Introduction

- We are at FAPPS = France-Asia Particle Physics School
  - You are supposed to hear about QCD
- 

# Particle Physics

- ▶ Its ultimate goal is (I think):
  - To find out the elementary building blocks of the matter, and
  - To find out the theory that governs the interactions between them.

*“Imagine that the gods are playing some great game like chess, let's say, and you don't know the rules of the game, but you're allowed to look at the board, at least from time to time, in a little corner, perhaps, and from these observations you try to figure out what the rules of the game are, what the rules of the pieces moving are.”*  
(R.P.Feynman)



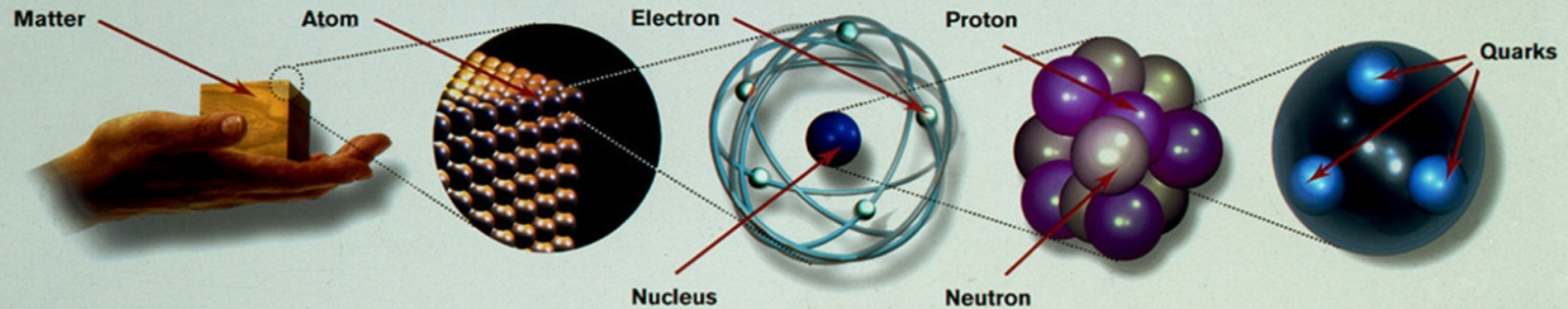
- ▶ Our understanding on this deep and fundamental question has been dramatically improved in these ~100 years namely since the discovery of electron

➔ Our current state-of-art is (➔Next page)



Have learned @ lecture by  
Prof. V. Ruhlman-Kleider

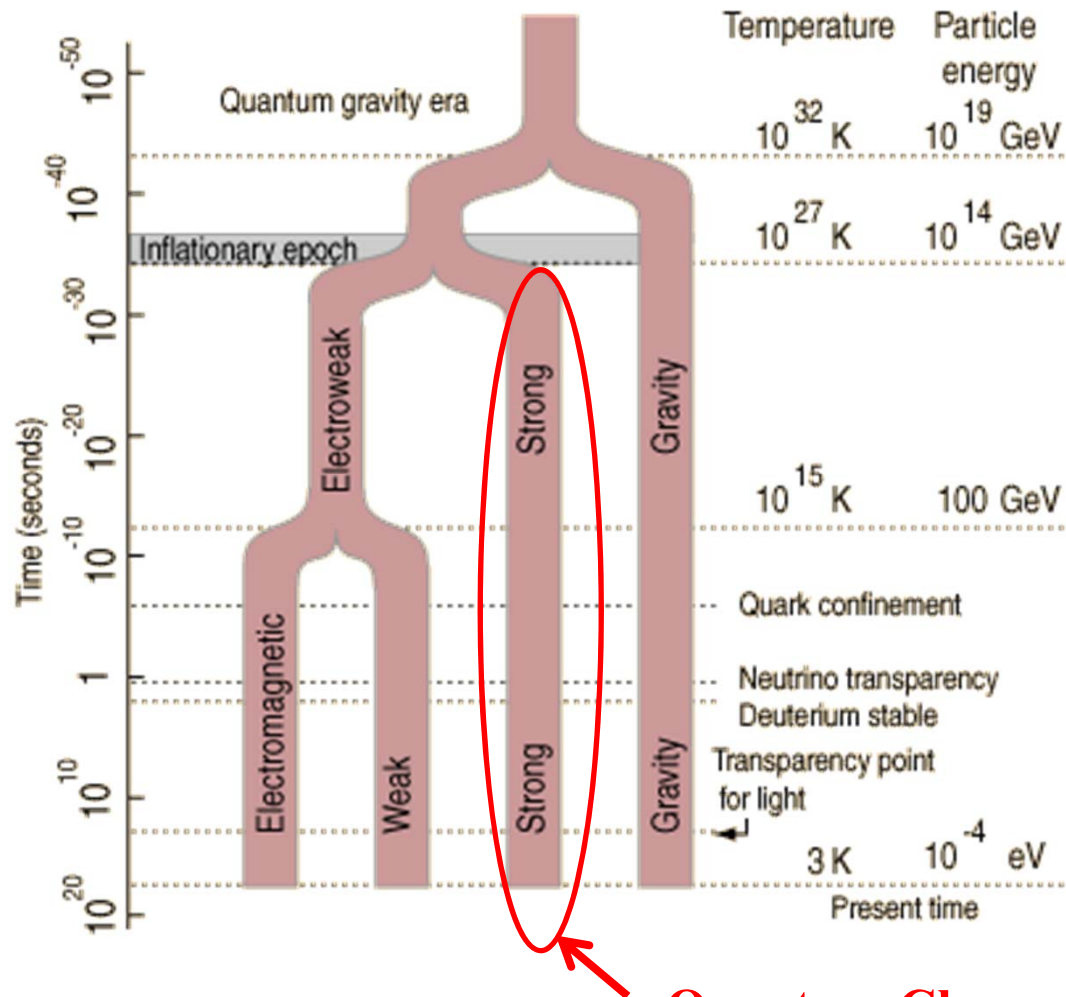
# Particles ["Players"]



<b>Matter particles</b> All ordinary particles belong to this group  These particles existed just after the Big Bang. Now they are found only in cosmic rays and accelerators	<table> <tr> <th colspan="4">LEPTONS</th> </tr> <tr> <td>FIRST FAMILY</td><td> <b>Electron</b>  Responsible for electricity and chemical reactions; it has a charge of -1  </td><td> <b>Electron neutrino</b>  Particle with no electric charge, and possibly no mass; billions fly through your body every second  </td><td></td></tr> <tr> <td>SECOND FAMILY</td><td> <b>Muon</b>  A heavier relative of the electron; it lives for two-millionths of a second  </td><td> <b>Muon neutrino</b>  Created along with muons when some particles decay  </td><td></td></tr> <tr> <td>THIRD FAMILY</td><td> <b>Tau</b>  Heavier still; it is extremely unstable. It was discovered in 1975  </td><td> <b>Tau neutrino</b>  not yet discovered but believed to exist  </td><td></td></tr> </table>	LEPTONS				FIRST FAMILY	<b>Electron</b> Responsible for electricity and chemical reactions; it has a charge of -1 	<b>Electron neutrino</b> Particle with no electric charge, and possibly no mass; billions fly through your body every second 		SECOND FAMILY	<b>Muon</b> A heavier relative of the electron; it lives for two-millionths of a second 	<b>Muon neutrino</b> Created along with muons when some particles decay 		THIRD FAMILY	<b>Tau</b> Heavier still; it is extremely unstable. It was discovered in 1975 	<b>Tau neutrino</b> not yet discovered but believed to exist 		<table> <tr> <th colspan="2">QUARKS</th> </tr> <tr> <td> <b>Up</b>  Has an electric charge of plus two-thirds; protons contain two, neutrons contain one  </td><td> <b>Down</b>  Has an electric charge of minus one-third; protons contain one, neutrons contain two  </td></tr> <tr> <td> <b>Charm</b>  A heavier relative of the up; found in 1974  </td><td> <b>Strange</b>  A heavier relative of the down; found in 1964  </td></tr> <tr> <td> <b>Top</b>  Heavier still  </td><td> <b>Bottom</b>  Heavier still; measuring bottom quarks is an important test of electroweak theory  </td></tr> </table>	QUARKS		<b>Up</b> Has an electric charge of plus two-thirds; protons contain two, neutrons contain one 	<b>Down</b> Has an electric charge of minus one-third; protons contain one, neutrons contain two 	<b>Charm</b> A heavier relative of the up; found in 1974 	<b>Strange</b> A heavier relative of the down; found in 1964 	<b>Top</b> Heavier still 	<b>Bottom</b> Heavier still; measuring bottom quarks is an important test of electroweak theory 
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# Standard Model [“Rules”]

Have learned @  
lecture by Prof.  
V. Ruhlman-Kleider



**Quantum Chromo Dynamics (QCD)**

which this lecture covers.  
(about “Strong” force)

► Electro-magnetic, Weak, Strong interactions can be described in a unified framework of the Gauge Field Theory

► Energy scale: EM and Weak are unified at  $O(100 \text{ GeV})$

► The Standard Model (SM) gives excellent description to the current experimental data

# Color



- QCD = Quantum **Chromo** Dynamics



**What is color ?**



# Meson spectroscopy

- Spin  Spin 0 (“Singlet”)  $\frac{1}{2} \otimes \frac{1}{2} = 0 \oplus 1$
-  Spin 1 (“Triplet”)

- Isospin (charge for the symmetry under the exchange of u and d quarks)

**Meson: Spin-even strong  
interacting particles**  
→ **Bound state of quark and  
anti-quark**

1 <sup>st</sup> generation	2 <sup>nd</sup> generation	3 <sup>rd</sup> generation	Cha rge
u (~3 MeV)	c (~1200 MeV)	t (~173000 MeV)	2/3 e
d (~4 MeV)	s (~120 MeV)	b (~4200 MeV)	-1/3 e

Isospin triplet	Spin	
	0	1
$u\bar{d}$	$\pi^+$	$\rho^+$
$u\bar{u} - d\bar{d}$	$\pi^0$	$\rho^0$
$d\bar{u}$	$\pi^-$	$\rho^-$
	~135 MeV	~770 MeV

Isospin singlet	Spin	
	0	1
$u\bar{u} + d\bar{d}$	$\eta^0$	$\omega^0$
	~550 MeV	~780 MeV

# Baryon spectroscopy

- Isospin (charge for the symmetry under the exchange of u and d quarks)

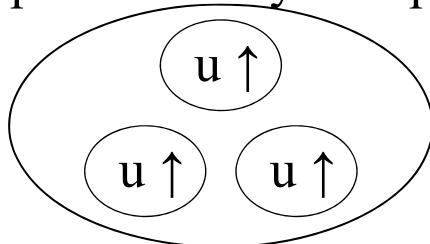
**Baryon: Spin-odd strong  
interacting particles**  
→ **Bound state of 3 quarks**

$$\frac{1}{2} \otimes \frac{1}{2} \otimes \frac{1}{2} = \frac{1}{2} \oplus \frac{1}{2} \oplus \frac{3}{2}$$

	Spin 1/2
uud	p
udd	n
	~940 MeV

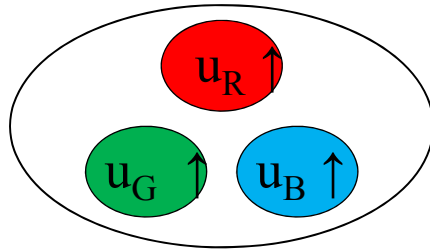
	Spin 3/2
uuu	$\Delta^{++}$
uud	$\Delta^{+}$
udd	$\Delta^0$
ddd	$\Delta^{-}$
	~1230 MeV

- Big success in classfying hadrons (mesons,baryons)  
→ However, this violates the Pauli exclusion principle: “No two identical spin-1/2 particles may occupy the same quantum state simultaneously”.



# “Color”

- A new internal degree of freedom, “color”



Note: this “color” is nothing to do with the usual color, named just from analogy

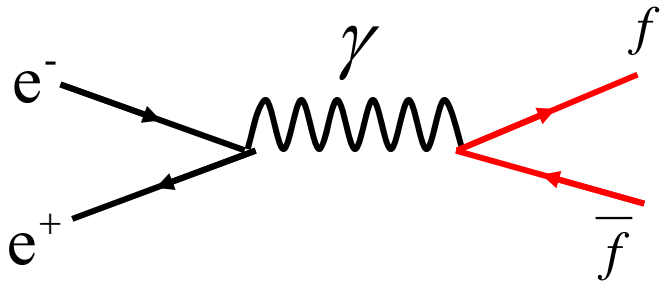
**Bound state must be  
“color white”**

-- 3 quantum states: **R**, **G**, **B**

1 <sup>st</sup> generation			2 <sup>nd</sup> generation			3 <sup>rd</sup> generation			Charge
<b>u<sub>R</sub></b>	<b>u<sub>G</sub></b>	<b>u<sub>B</sub></b>	<b>c<sub>R</sub></b>	<b>c<sub>G</sub></b>	<b>c<sub>B</sub></b>	<b>t<sub>R</sub></b>	<b>t<sub>G</sub></b>	<b>t<sub>B</sub></b>	2/3 e
(∼3 MeV)			(∼1200 MeV)			(∼17300 MeV)			
<b>d<sub>R</sub></b>	<b>d<sub>G</sub></b>	<b>d<sub>B</sub></b>	<b>s<sub>R</sub></b>	<b>s<sub>G</sub></b>	<b>s<sub>B</sub></b>	<b>b<sub>R</sub></b>	<b>b<sub>G</sub></b>	<b>b<sub>B</sub></b>	-1/3 e
(∼4 MeV)			(∼120 MeV)			(∼4200 MeV)			

- Existence of color

-- Let's consider  $e^+e^- \rightarrow X$

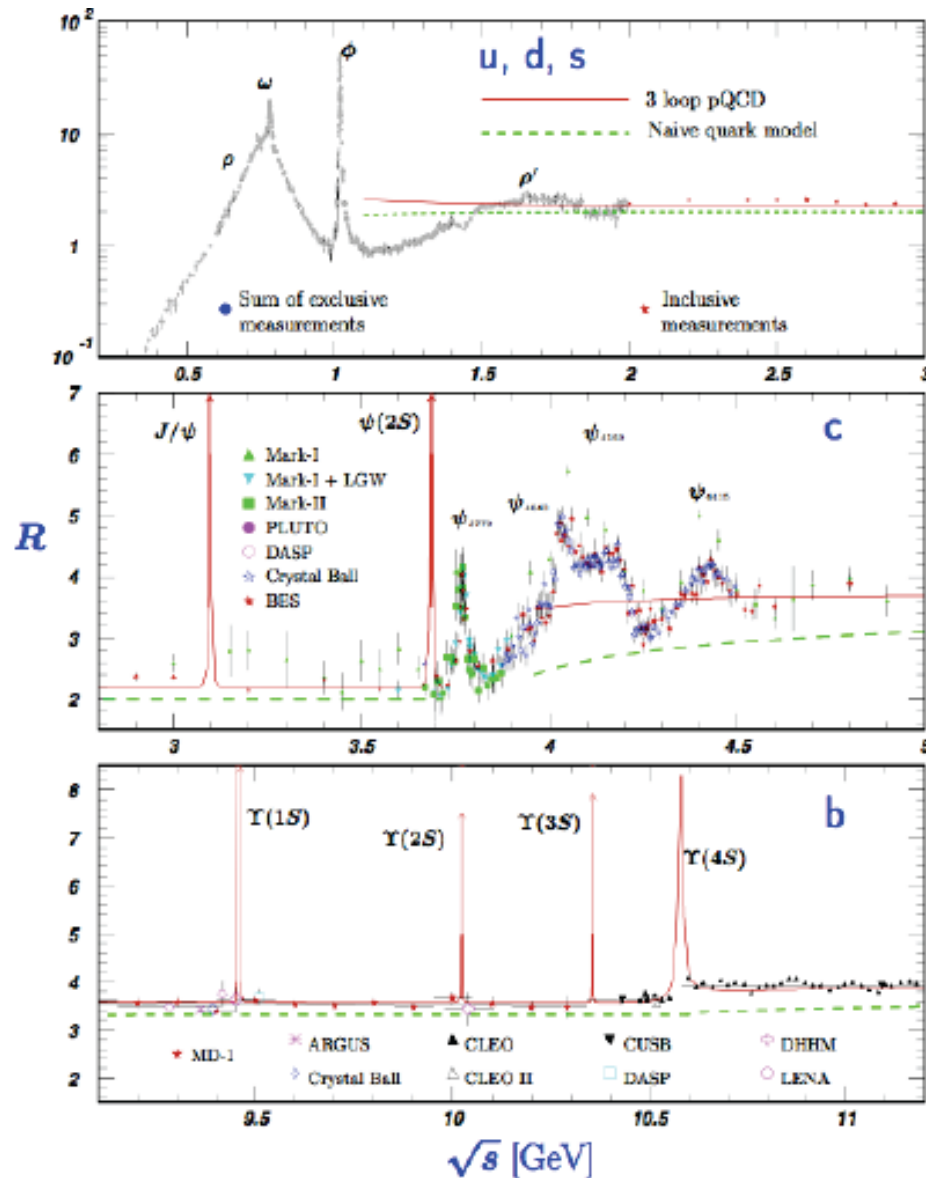


$$\sigma(\text{Electro-magnetic}) \propto Q^2$$

$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = \boxed{Nc} \sum_q Q_q^2$$

Nr of “colors”  
(if any)
Quark charge
Sum over quarks

# “R-ratio”



$$R_{u,d,s} = \left[ \left( \frac{2}{3} \right)^2 + \left( -\frac{1}{3} \right)^2 + \left( -\frac{1}{3} \right)^2 \right] \times 3$$

$$R_{u,d,s,c} = R_{u,d,s} + \left[ \left( \frac{2}{3} \right)^2 \right] \times 3$$

$$R_{u,d,s,c,b} = R_{u,d,s,b} + \left[ \left( -\frac{1}{3} \right)^2 \right] \times 3$$

**3 colors are there indeed !**

## QCD

- **Formulate dynamics between colors in the framework of Quantum Field Theory → QCD**



## Analogy to QED

- Guiding principle for all field theories:

**Local gauge invariance**

- QED: U(1) gauge symmetry

Invariant under phase transformation  
(aka “offset”) at **each of space-time point**

$$e(x) \rightarrow e'(x) = e^{ie\theta(x)} e(x)$$

Phase difference between space-time points is cancelled by gauge field  
(massless gauge boson)

$$\frac{\partial}{\partial x^\mu} e(x) \rightarrow \left[ \frac{\partial}{\partial x^\mu} + ieA_\mu(x) \right] e(x)$$

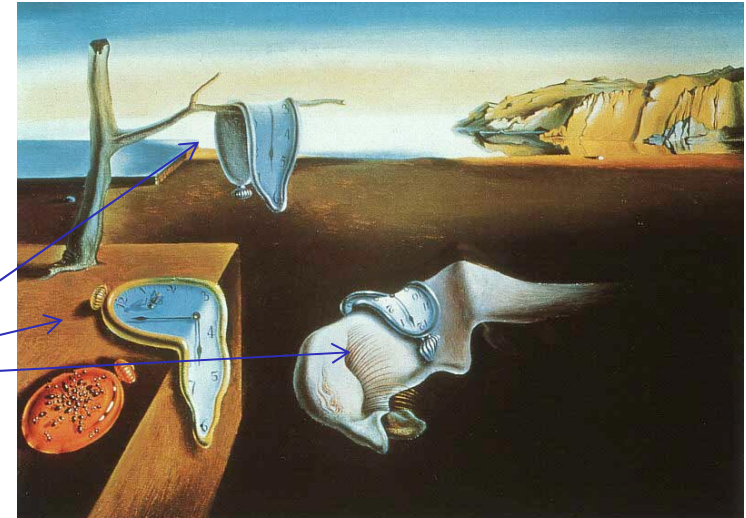
EM field  
Quanta of field → Photon

$$A_\mu(x) \rightarrow A_\mu(x) - \frac{\partial}{\partial x^\mu} \theta(x)$$

Local gauge invariance →

- ① Vector field (EM field) of massless particle (photon)
- ② Universal coupling between field and matter


$$\alpha_{QED} \approx \frac{1}{137}$$



# QCD

- Invariance under local SU(3) rotation in color space

spin- $\frac{1}{2}$  quark fields  
come as colors triplets

$$\Psi = \begin{pmatrix} \text{red} \\ \text{blue} \\ \text{green} \end{pmatrix} \xrightarrow{\text{rotation}} \Psi' = \begin{pmatrix} \text{green} \\ \text{red} \\ \text{blue} \end{pmatrix}$$


- Similarly to QED, local SU(3) invariance dictates:

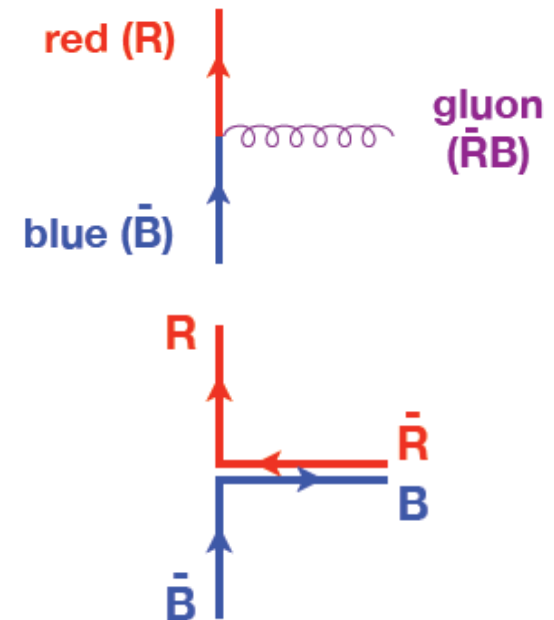
-- Field quanta: 8 massless spin-1 “gluon”s

\* Gluons carry one color charge and one anti-color charge

$\bar{R}B$   $\bar{R}G$   
 $\bar{G}B$   $\bar{G}R$   
 $\bar{B}R$   $\bar{B}G$

$$(\bar{R}R - \bar{B}B)/\sqrt{2}$$

$$(\bar{R}R + \bar{B}B - 2\bar{G}G)/\sqrt{6}$$



-- Universal coupling constant:  $\alpha_s$

# Color matrices

- The color charge of gluon is represented by a “matrix” in color space:  
 --  $T^A$  with  $A=1\dots 8$

“Generator” of SU(3) group

## Gell-Mann matrix

$$\begin{aligned} \lambda^1 &= \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda^2 &= \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda^3 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \\ \lambda^4 &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} & \lambda^5 &= \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} & \lambda^6 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \\ \lambda^7 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} & \lambda^8 &= \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix} \end{aligned}$$

- Algebra:  $[T^A, T^B] \equiv T^A T^B - T^B T^A = if^{ABC} T^C$

“non-abelian”

- $f^{ABC}$  contains SU(3) group structure

$$\sum_{C,D} f^{ACD} f^{BCD} = C_A \delta^{AB}$$

$$\sum_A T^A T^A = C_F \cdot 1$$

- Under normalization of  $T_r(T^A T^B) = T_F \delta^{AB}$

Specific group structure (SU(3))

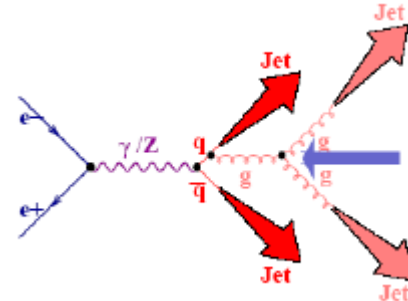
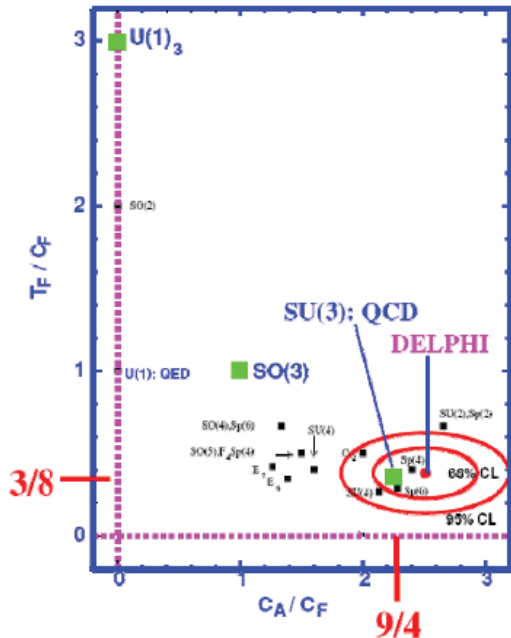
$$C_A = N = 3$$

$$C_F = \frac{N^2 - 1}{2N} = \frac{4}{3}$$

$$T_F = \frac{1}{2}$$

# Experimental data supporting SU(3)

## ► 4 jets production in $e^+e^-$ collisions



angular correlations between four jets depend on

$$\frac{C_A}{C_F} = \frac{9}{4}, \quad \frac{T_F}{C_F} = \frac{3}{8}$$

$$\left| q \frac{g}{q} \right|^2$$

$$\sim C_F = 4/3$$

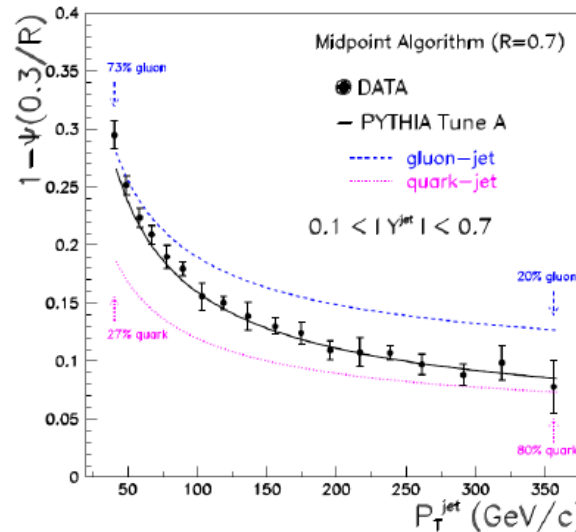
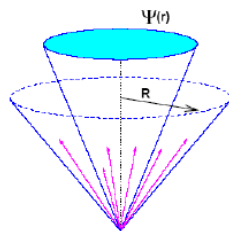
$$\left| g \frac{g}{g} \right|^2$$

$$\sim C_A = 3$$

Specific group structure (SU(3))

## ► Jet shapes

-- Gluon jet is wider than quark jet



$$C_A = N = 3$$

$$C_F = \frac{N^2 - 1}{2N} = \frac{4}{3}$$

$$T_F = \frac{1}{2}$$

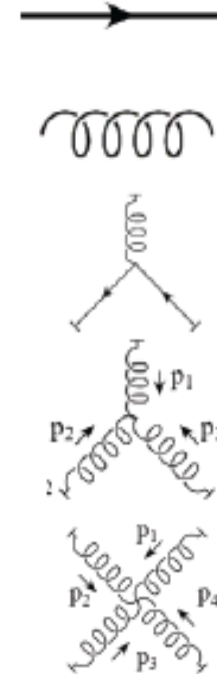
# Non-abelian

- Induces self-interaction between gluons

## QCD Lagrangian

$$\begin{aligned}
 \mathcal{L}_{\text{QCD}} = & \bar{\Psi}(i\partial_\mu\gamma^\mu - m)\Psi \\
 & - (\partial_\mu A_\nu - \partial_\nu A_\mu)^2 \\
 & - g\bar{\Psi}A_\mu^a T_a \gamma^\mu \Psi \\
 & - \frac{1}{2}g(\partial_\mu A_\nu^a - \partial_\nu A_\mu^a)f_{abc}A^{\mu b}A^{\nu c} \\
 & - \frac{1}{4}g^2 f_{abc}A_\mu^b A_\nu^c f_{ade}A^{\mu d}A^{\nu e}
 \end{aligned}$$

(we ignore here complications due to the gauge-fixing term)



like in  
QED

non  
abelian



# ..leads to Asymptotic freedom

rough qualitative picture (for the moment):

we get back to this when we discuss renormalization!

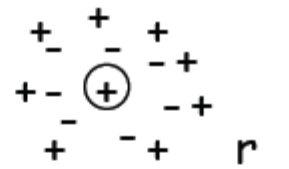


Gross, Wilczek;  
Politzer ('73/'74)  
(Nobel prize 2004)

value of strong coupling  $\alpha_s$  depends on distance (i.e. energy)



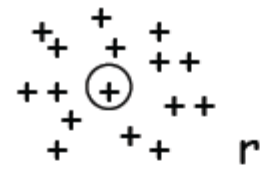
"screening" of the charge



$\alpha_s(r) \uparrow$  if  $r \downarrow$



"anti-screening"



$\alpha_s(r) \downarrow$  if  $r \downarrow$



Who wins?

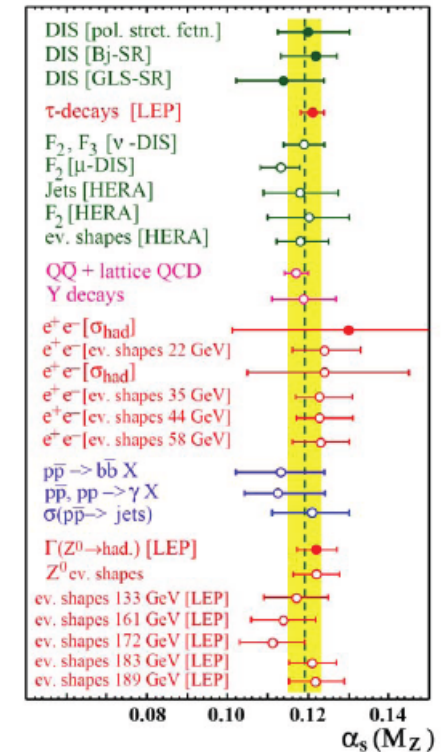
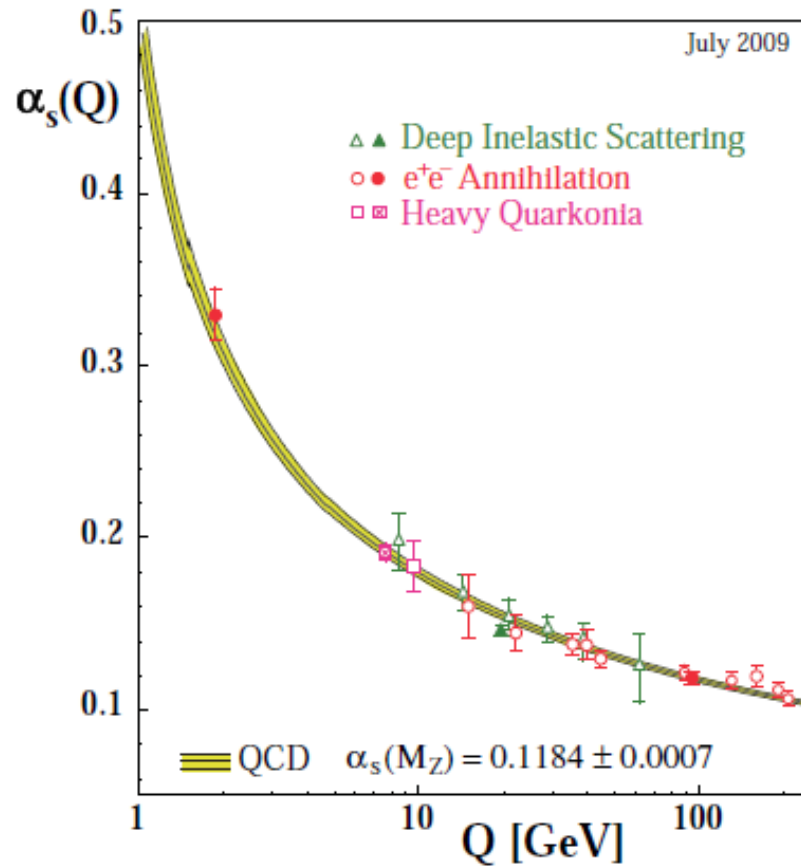
$$\alpha_s(Q^2) = \frac{g^2}{4\pi} \approx \frac{4\pi}{(11 - \frac{2}{3}N_f) \ln(Q^2/\Lambda^2)}$$

$Q \sim 1/r$

coupling at some reference scale  $Q_0$

S. Bethke,  
arXiv:0908.1135

# Strong coupling constant: $\alpha_s$



$$r \uparrow \Rightarrow \alpha_s \uparrow$$

confinement

non-perturbative  
hadronic structure

e.g. Lattice QCD



asymptotic freedom

hard scattering  
cross sections

perturbative methods

interplay

$$r \downarrow \Rightarrow \alpha_s \downarrow$$

M. Stratmann @  
Wako Spin Fest, 2005

# Confinement

- Coupling (force) is stronger as longer distance.  $\phi(r) \propto r$

→ Color is confined as:

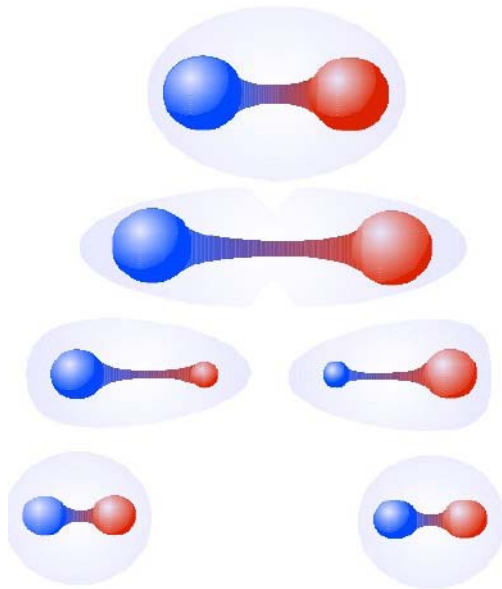
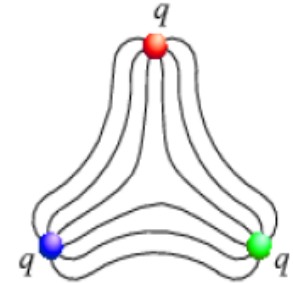
- Gluon field is screened out and confined into hadrons

“Hadrons are to be color-white”

- Energy injected into a hadron does not separate quarks but goes into creating quark-antiquark pairs, hence hadrons



<http://www.scholarpedia.org/>



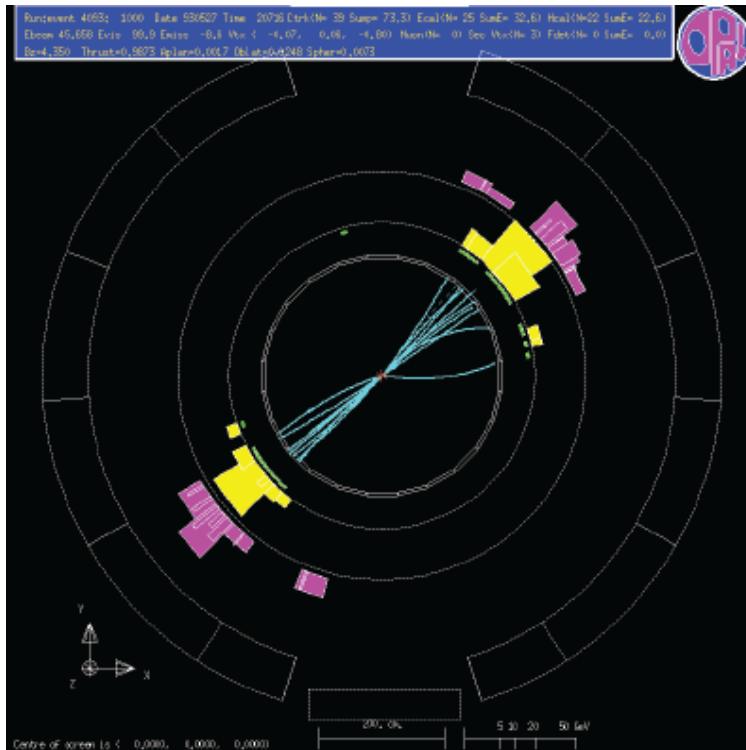
“Jet”

Quarks and gluons kicked by hard scatter form a “spray” of collinear hadrons

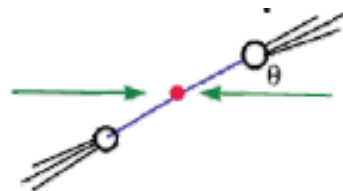
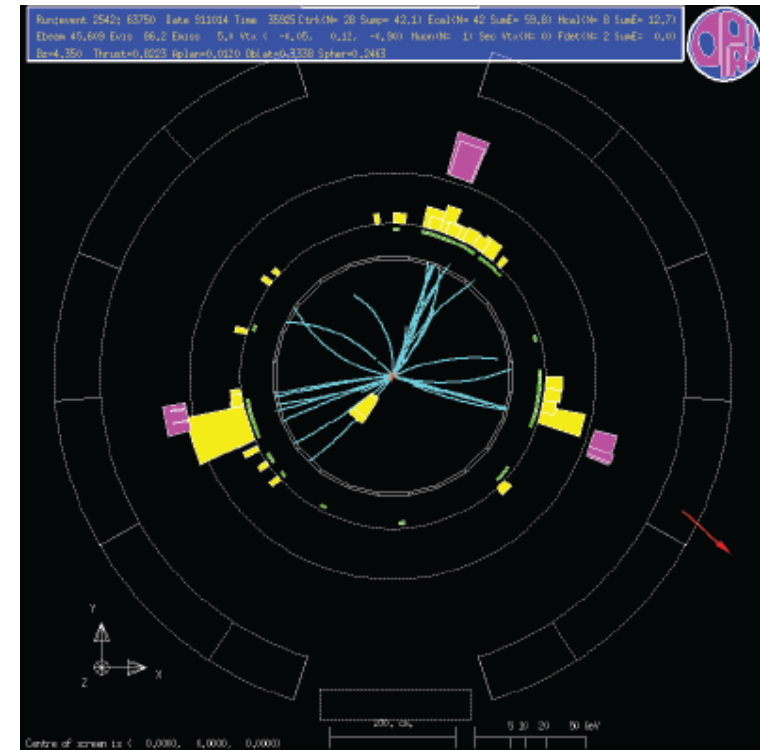
**Quarks/gluons cannot be detected as they are; “confined” into hadrons**

# Existence of gluon

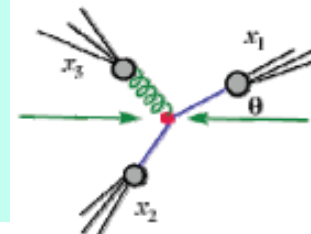
- Nevertheless, gluon existence can be “seen” in e.g.  $ee \rightarrow X$



About  
~10 %  
have 3<sup>rd</sup>  
jet



Corresponds to  
a rough  
measurement  
on  $\alpha_s$



## Perturbative QCD

- Have looked:
  - SU(3) group structure supported in data
  - Running  $\alpha_s$ , giving asymptotic freedom
  - Confinement qualitatively explained

**→ Possibility to get “precise” prediction  
e.g for hadron collider processes ?**

**(Flush overview of Day-2 and Day-3 topics)**



# Perturbative QCD (pQCD)

► Perturbative expansion  $\sigma = \sigma_0 + \alpha\sigma_1 + \alpha^2\sigma_2 \dots$

-- If  $\alpha$  is small, there is a chance that calculation up to finite order shows a good approximation;  $\sigma_0 \gg \alpha\sigma_1 \gg \alpha^2\sigma_2 \dots$

0<sup>th</sup> order  $\sigma^{(0)} = \sigma_0$ .

1<sup>st</sup> order  $\sigma^{(1)} = \sigma_0 + \alpha\sigma_1$

2<sup>nd</sup> order  $\sigma^{(2)} = \sigma_0 + \alpha\sigma_1 + \alpha^2\sigma_2$

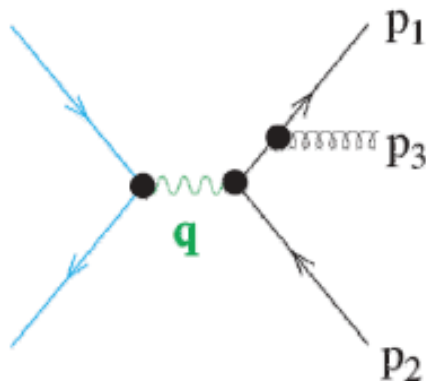


“k-Factor”:

=Ratio between  $\sigma^{(n)}$  vs  $\sigma^{(n+1)}$

► Asymptotic freedom :  $\alpha_s$  getting smaller at shorter distance

➔ Enables us to compute interactions of quarks and gluons at short-distances ?

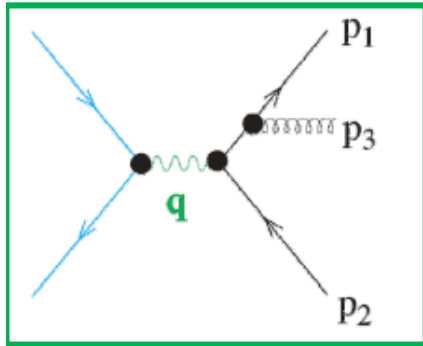


$$\frac{d\sigma}{dx_1 dx_2} = \sigma_0 \frac{\alpha_s}{2\pi} C_F \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)}$$

$x_1$ : fractional momentum of  $p_1$  wrt  $p_0$

$x_2$ : fractional momentum of  $p_2$  wrt  $p_1$

➔ Diverges at  $x_1=1, x_2=1 \dots$



## Collinear/Soft singularities

$$\frac{d\sigma}{dx_1 dx_2} = \sigma_0 \frac{\alpha_s}{2\pi} C_F \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)}$$

$$\frac{4\pi\alpha_{em}}{s} \sum e_q^2$$

collinear singularities:

$$(1-x_1) \rightarrow 0$$

(gluon collinear to antiquark)

$$(1-x_2) \rightarrow 0$$

(gluon collinear to quark)

2 & 3 collinear

soft singularity:

$$\text{gluon soft: } x_3 \rightarrow 0$$

$$x_1 \rightarrow 1, \quad x_2 \rightarrow 1$$

3  
soft

➔ These singularities arise from interactions at long distance, and called as infrared divergence

\* **Appear when 2→3 kinematics reduces to 2→2 kinematics**

➔ Not indicating general failure of pQCD; the observable we try to calculate here is not **infrared-safe**

# Factorization

- Firstly, there are meaningful infrared-safe observables which are insensitive to indistinguishable  $2 \rightarrow 2$ ,  $2 \rightarrow 3$  origin of long-distance interactions

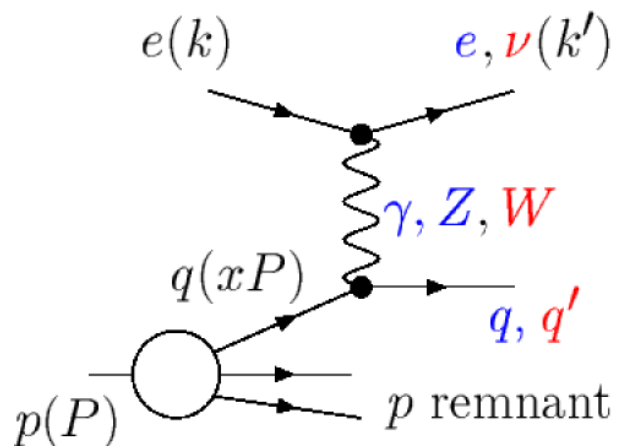
E.g  $e^+ e^- \rightarrow \text{hadrons}$

$$R = \frac{\sigma(e^+ e^- \rightarrow \text{hadrons})}{\sigma(e^+ e^- \rightarrow \mu^+ \mu^-)} = N_c \sum_q Q_q^2$$

Fully inclusive, infrared-safe by definition.

- Factorization:

-- If we can factorize the physical observable into a **calculable infrared safe** and a **non-calculable but universal piece**



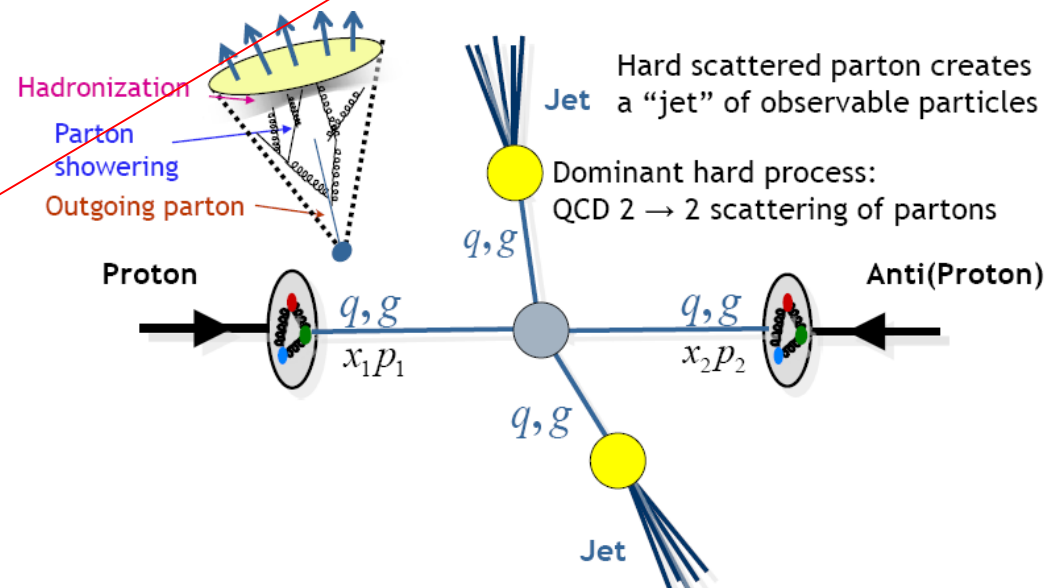
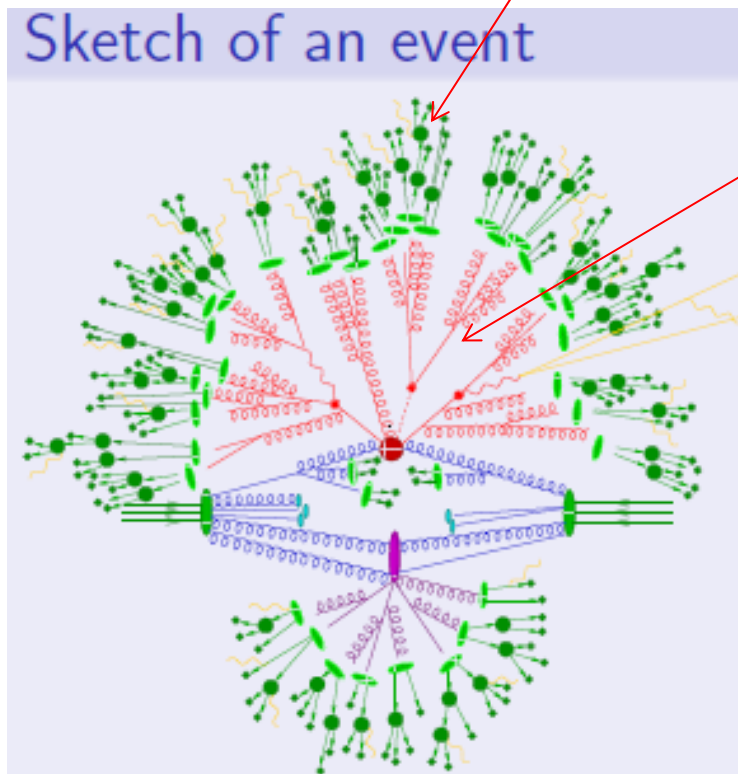
$$\sigma(ep) \propto \sum \int_0^1 dx d\sigma(eq_i \rightarrow eq_i; x) f(q_i; x)$$

Can be regarded as a probability to find quark (with  $x$ ) inside proton  
 → “Proton structure”  
 (Lecture on Day 2)

Note: Factorization has to be proven for each process

## Up to detector level

- ▶ Even if factorization holds, detectors are a long-distance away and experiments only see hadrons (not free quarks/gluons)
  - To establish connection between theory and experiment, Monte Carlo technique is used to simulate “parton shower” and “hadronization”



→ Jets at hadron colliders  
(Lecture on Day-3)

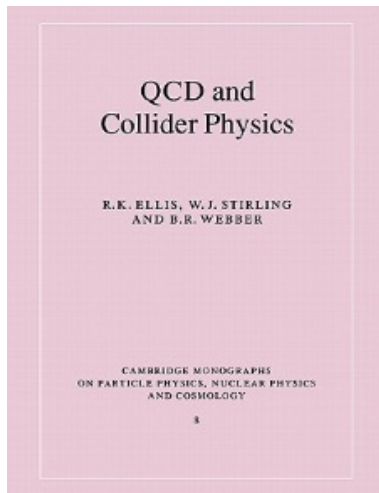
## Wrap up



## Topics discussed

- ▶ Quarks for hadron spectroscopy
- ▶ Basics of QCD
  - SU(3) giving non-abelian self interactions
  - Asymptotic freedom
  - Confinement
- ▶ Possibility of precise determination power of pQCD but also its difficulty

## References



- QCD and Collider Physics (Cambridge press)
  - R.K.Ellis, W.J.Stirling, B.R.Webber

**End of Day-1**