Methods to describe direct reactions.
II. Transfer reactions. Distorted wave approximations and beyond.
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## Content

- Exact amplitude and distorted waves
- Born approximation
- Overlap functions and their properties
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- Deuteron breakup in (d,p) reactions
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- DOM and transfer reactions


## Wave function of the system



The total wave function $\Psi$ of the system satisfies the Schrodinger equation

$$
(H-E) \Psi=0
$$

and contains outgoing spherical waves in all channels and incoming waves in the $\alpha$ channel. Let us denote it $\Psi_{\alpha}^{(+)}$
The amplitude of the reaction will be determined by the projection of $\Psi_{\alpha}^{(+)}$ into $\psi_{b} \psi_{B}: \quad \chi_{\beta}\left(r_{\beta}\right)=\left(\psi_{b} \psi_{B} \mid \Psi_{\alpha}^{(+)}\right)$

Exact expression for reaction amplitude
The Schrodinger equation can be rewritten as

$$
\left(E-H_{b}-H_{B}-\frac{\boldsymbol{p}_{\beta}^{2}}{2 \mu_{\beta}}\right) \Psi_{\alpha}^{(+)}=V_{\beta} \Psi_{\alpha}^{(+)}, \quad V_{\beta}=\sum_{i \in b, j \in B} V_{i j}
$$

Multiplying from the left by $\psi_{b}{ }^{*} \psi_{B}{ }^{*}$ and integrating over $\xi_{b}$ and $\xi_{B}$ we get

$$
\begin{aligned}
\left(E_{\beta}-\frac{\boldsymbol{p}_{\beta}^{2}}{2 \mu_{\beta}}\right) \chi_{\beta}\left(\boldsymbol{r}_{\beta}\right) & =\int d \xi_{b} d \xi_{B} \psi_{b}^{*}\left(\xi_{b}\right) \psi_{\mathrm{B}}^{*}\left(\xi_{B}\right) V_{\beta}\left(\boldsymbol{r}_{\beta}, \xi_{b}, \xi_{B}\right) \Psi_{\alpha}^{(+)} \\
& =\left(\psi_{b} \Psi_{B}\left|V_{\beta}\right| \Psi_{\alpha}^{(+)}\right)
\end{aligned}
$$

The solution of this equation is

$$
\chi_{\beta}\left(\boldsymbol{r}_{\beta}\right)=e^{i \boldsymbol{k}_{\alpha} \boldsymbol{r}_{\alpha}} \delta_{\alpha \beta}+\int d \boldsymbol{r}_{\beta}^{\prime} G_{\beta}^{(+)}\left(\boldsymbol{r}_{\beta}, \boldsymbol{r}_{\beta}^{\prime}\right)\left(\psi_{b} \psi_{B}\left|V_{\beta}\right| \Psi_{\alpha}^{(+)}\right)
$$

Reaction amplitude and cross section

To get amplitude for the $A+a \rightarrow B+b(\alpha \rightarrow \beta)$ reaction, the total wave function $\Psi_{\alpha}{ }^{(+)}$should be projected into $\psi_{\beta}=\psi_{\mathrm{b}} \psi_{\mathrm{B}}$

$$
\chi_{\beta}\left(\boldsymbol{r}_{\beta}\right)=\left(\Psi_{\beta} \mid \Psi_{\alpha}^{(+)}\right)=\left(\Psi_{b} \Psi_{B} \mid \Psi_{\alpha}^{(+)}\right)=\int d \xi_{b} d \xi_{B} \Psi_{b}^{*}\left(\xi_{b}\right) \Psi_{\mathrm{B}}^{*}\left(\xi_{B}\right) \Psi_{\alpha}^{(+)}
$$

Asymptotically,

$$
\left.\chi_{\beta}\left(\boldsymbol{r}_{\beta}\right)\right|_{r \rightarrow \infty} \rightarrow e^{i \boldsymbol{k}_{\alpha} \boldsymbol{r}_{\alpha}} \delta_{\alpha \beta}+f_{\beta \alpha}\left(\hat{\boldsymbol{r}}_{\beta}, \boldsymbol{k}_{\beta}\right) \frac{e^{i k_{\beta} r_{\beta}}}{r_{\beta}}
$$

Reaction amplitude
Cross section: $\quad \frac{d \sigma}{d \Omega}=\frac{v_{\beta}}{v_{\alpha}}\left|f_{\beta \alpha}\left(\hat{\boldsymbol{r}}_{\beta}, \boldsymbol{k}_{\beta}\right)\right|^{2}$

$$
\chi_{\beta}^{(+)}\left(\boldsymbol{r}_{\beta}\right)=e^{i \boldsymbol{k}_{\alpha} \boldsymbol{r}_{\alpha}} \delta_{\alpha \beta}+\int d \boldsymbol{r}_{\beta}^{\prime} G_{\beta}^{(+)}\left(\boldsymbol{r}_{\beta}, \boldsymbol{r}_{\beta}^{\prime}\right)\left(\psi_{b} \psi_{B}\left|V_{\beta}\right| \Psi_{\alpha}^{(+)}\right)
$$

## Green's function

$$
G_{\beta}^{(+)}\left(\boldsymbol{r}_{\beta}, \boldsymbol{r}_{\beta}^{\prime}\right) \equiv\left(E_{\beta}-\frac{\boldsymbol{p}_{\beta}^{2}}{2 \mu_{\beta}}\right)^{-1}=-\frac{\mu_{\beta}}{2 \pi \hbar^{2}} \frac{e^{i k_{\beta}\left|\boldsymbol{r}_{\beta}-\boldsymbol{r}_{\beta}^{\prime}\right|}}{\left|\boldsymbol{r}_{\beta}-\boldsymbol{r}_{\beta}^{\prime}\right|}
$$

To get reaction amplitude, the limit $\boldsymbol{r}_{\beta} \rightarrow \infty$ should be considered.
For $\boldsymbol{r}_{\beta} \gg \boldsymbol{r}_{\beta}^{\prime}$,

$$
\left|\boldsymbol{r}_{\beta}-\boldsymbol{r}_{\beta}^{\prime}\right| \approx r_{\beta}-\hat{\boldsymbol{r}}_{\beta} \cdot \boldsymbol{r}_{\beta}^{\prime}=r_{\beta}-\hat{\boldsymbol{k}}_{\beta} \cdot \boldsymbol{r}_{\beta}^{\prime}
$$

$$
\chi_{\beta}^{(+)}\left(\boldsymbol{r}_{\beta}\right)=e^{i \boldsymbol{k}_{\alpha} \boldsymbol{r}_{\alpha}} \delta_{\alpha \beta}-\frac{\mu_{\beta}}{2 \pi \hbar^{2}} \frac{e^{i k_{\beta} r_{\beta}}}{r_{\beta}} \int d \boldsymbol{r}_{\beta}^{\prime} e^{-i \boldsymbol{k}_{\beta} \boldsymbol{r}_{\beta}^{\prime}}\left(\Psi_{b} \Psi_{B}\left|V_{\beta}\right| \Psi_{\alpha}^{(+)}\right)
$$

$$
f_{\beta \alpha}\left(\hat{\boldsymbol{r}}_{\beta}, \boldsymbol{k}_{\beta}\right)=-\frac{\mu_{\beta}}{2 \pi \hbar^{2}}\left\langle e^{i \boldsymbol{k}_{\beta} \boldsymbol{r}_{\beta}^{\prime}} \Psi_{b} \psi_{B}\right| V_{\beta}\left|\Psi_{\alpha}^{(+)}\right\rangle
$$

## Distorted waves

Let us introduce arbitrary potential $U_{\beta}\left(r_{\beta}\right)$

$$
\left(E-H_{b}-H_{B}-\frac{\boldsymbol{p}_{\beta}^{2}}{2 \mu_{\beta}}-U_{\beta}\left(\boldsymbol{r}_{\beta}\right)\right) \Psi_{\alpha}^{(+)}=\left(V_{\beta}-U_{\beta}\left(\boldsymbol{r}_{\beta}\right)\right) \Psi_{\alpha}^{(+)},
$$

Multiplying from the left by $\psi_{b}{ }^{*} \psi_{B}{ }^{*}$ and integrating over $\xi_{b}$ and $\xi_{B}$ we get
$\tilde{\chi}_{\beta}^{(+)}\left(\boldsymbol{r}_{\beta}\right)=\chi_{\beta}^{(+)} \delta_{\alpha \beta}+\int d \boldsymbol{r}_{\beta}^{\prime} \tilde{G}_{\beta}^{(+)}\left(\boldsymbol{r}_{\beta}, \boldsymbol{r}_{\beta}^{\prime}\right)\left(\psi_{b} \psi_{B}\left|V_{\beta}-U_{\beta}\left(\boldsymbol{r}_{\beta}\right)\right| \Psi_{\alpha}^{(+)}\right)$
The reaction amplitude is then

$$
\left\lvert\, f_{\beta \alpha}\left(\hat{r}_{\beta}, \boldsymbol{k}_{\beta}\right)=-\frac{\mu_{\beta}}{2 \pi \hbar^{2}}\left\langle\chi_{\beta}^{(-)} \Psi_{b} \psi_{B}\right| V_{\beta}-U_{\beta}\left(\boldsymbol{r}_{\beta}\right)\left|\Psi_{\alpha}^{(+)}\right\rangle\right.
$$

Exact amplitude contain exact wave function $\Psi_{\alpha}^{(+)}$

$$
f_{\beta \alpha}\left(\hat{r}_{\beta}, \boldsymbol{k}_{\beta}\right)=-\frac{\mu_{\beta}}{2 \pi \hbar^{2}} T_{\beta \alpha}\left(\hat{r}_{\beta}, \boldsymbol{k}_{\beta}\right)=-\frac{\mu_{\beta}}{2 \pi \hbar^{2}}\left\langle\chi_{\beta}^{(-)} \Psi_{b} \Psi_{B}\right| V_{\beta}-U_{\beta}\left(\boldsymbol{r}_{\beta}\right)\left|\Psi_{\alpha}^{(+)}\right\rangle
$$

This wave function satisfies the Schrodinger equation

$$
\left(E-H_{a}-H_{A}-\frac{\boldsymbol{p}_{\alpha}^{2}}{2 \mu_{\alpha}}-U_{\alpha}\left(\boldsymbol{r}_{\alpha}\right)\right) \Psi_{\alpha}^{(+)}=\left(V_{\alpha}-U_{\alpha}\left(\boldsymbol{r}_{\alpha}\right)\right) \Psi_{\alpha}^{(+)}
$$

The formal solution can be written as

$$
\Psi_{\alpha}^{(+)}=\chi_{\alpha}^{(+)} \Psi_{a} \Psi_{A}+\underbrace{\left(E-H_{\alpha}-H_{A}-\frac{\boldsymbol{p}_{\alpha}^{2}}{2 \mu_{\alpha}}-U_{\alpha}\left(\boldsymbol{r}_{\alpha}\right)\right)^{-1}}_{G_{\alpha}^{(+)}}\left(V_{\alpha}-U_{\alpha}\left(\boldsymbol{r}_{\alpha}\right)\right) \Psi_{\alpha}^{(+)}
$$

Then the amplitude can be rewritten as
$T_{\beta \alpha}\left(\hat{\boldsymbol{r}}_{\beta}, \boldsymbol{k}_{\beta}\right) \in\left\langle\chi_{\beta}^{(-)} \psi_{b} \psi_{B}\right| V_{\beta}-U_{\beta}\left(\boldsymbol{r}_{\beta}\right)\left|\chi_{\alpha}^{(+)} \psi_{a} \psi_{A}\right|$

$$
=\left\langle\chi_{\beta}^{(-)} \psi_{b} \psi_{B}\right|\left(V_{\beta}-U_{\beta}\left(\boldsymbol{r}_{\beta}\right)\right) G_{\alpha}^{(+)}\left(V_{\alpha}-U_{\alpha}\left(\boldsymbol{r}_{\alpha}\right)\right)\left|\Psi_{\alpha}^{(+)}\right\rangle
$$

## Distorted wave Born approximation (DWBA)

$$
T_{\beta \alpha}^{D W B A}\left(\hat{r}_{\beta}, \boldsymbol{k}_{\beta}\right)=\left\langle\chi_{\beta}^{(-)} \psi_{b} \psi_{B}\right| V_{\beta}-U_{\beta}\left(\boldsymbol{r}_{\beta}\right)\left|\chi_{\alpha}^{(+)} \psi_{a} \psi_{A}\right\rangle
$$

$\chi_{\alpha}$ is obtained from optical model in channel $\alpha$ $\chi_{\beta}$ is obtained from optical model in channel $\beta$

$$
V_{\beta}=V_{b B}=\sum_{i \in b} \sum_{j \in B} v_{i j}=\sum_{i \in b}\left\{\sum_{j \in x}+\sum_{j \in A}\right\} v_{i j}=V_{b x}+V_{b A} .
$$



The assumption $V_{b A}-U_{\beta}\left(\boldsymbol{r}_{\beta}\right) \approx 0$ is often made. This assumption may look reasonable if $x$ is a nucleon and $A$ is large. Then

$$
\begin{aligned}
& T_{\beta \alpha}^{D W B A}\left(\hat{\boldsymbol{r}}_{\beta}, \boldsymbol{k}_{\beta}\right)=\iint d \boldsymbol{r}_{\alpha} d \boldsymbol{r}_{\beta} \chi_{\beta}^{(-)}\left(\boldsymbol{k}_{\beta}, \boldsymbol{r}_{\beta}\right)^{*} I_{\alpha \beta}\left(\boldsymbol{r}_{\alpha}, \boldsymbol{r}_{\beta}\right) \chi_{\alpha}^{(+)}\left(\boldsymbol{k}_{\alpha}, \boldsymbol{r}_{\alpha}\right) \\
& I_{\alpha \beta}\left(\boldsymbol{r}_{\alpha}, \boldsymbol{r}_{\beta}\right)=\left\langle\psi_{b} \psi_{B}\right| V_{b x}\left|\psi_{a} \psi_{A}\right\rangle=\left\langle\psi_{b}\right| V_{b x}\left|\psi_{a}\right\rangle\left\langle\psi_{B} \mid \psi_{A}\right\rangle
\end{aligned}
$$

## Overlap integrals

Overlap integrals $\left\langle\psi_{B} \mid \psi_{A}\right\rangle$ carry information about nuclear structure. They are solutions of an integral equation.
$\left(T_{A}+V_{A}-E_{A}\right) \psi_{A}=0, \quad\left(T_{B}+V_{B}-E_{B}\right) \psi_{B}=0$
$\psi_{A}\left(T_{B}+V_{B}-E_{B}\right) \psi_{B}=0$
$\left\langle\psi_{A}\right| T_{A}+\left(T_{B}-T_{A}\right)+V_{A}+\left(V_{B}-V_{A}\right)-E_{A}+\left(E_{A}-E_{B}\right)\left|\psi_{B}\right\rangle=0$
$\left\langle\psi_{A}\right|\left(T_{B}-T_{A}\right)+\left(E_{A}-E_{B}\right)\left|\psi_{B}\right\rangle=\left\langle\psi_{A}\right|\left(V_{A}-V_{B}\right)\left|\psi_{B}\right\rangle$

$$
\left(T_{x}+\varepsilon\right)\left\langle\psi_{A} \mid \psi_{B}\right\rangle=-\left\langle\psi_{A}\right| V_{A x}\left|\psi_{B}\right\rangle
$$

Partial wave expansion of the overlap integral
$I_{A B}(\boldsymbol{r}) \equiv\left\langle\psi_{B} \mid \psi_{A}\right\rangle=\sum_{M_{A} M_{B} m \sigma}\left(\left.\operatorname{lm} \frac{1}{2} \sigma \right\rvert\, j m_{j}\right)\left(j m_{j} J_{A} M_{A} \mid J_{B} M_{B}\right) I_{l j}(r) Y_{l m}(\hat{r}) \chi_{1 / 2 \sigma} \chi_{1 / 2 \tau}$

## Properties of the overlap integrals

I) Asymptotic behaviour

At large $r$ the overlap integral satisfies the equation

$$
\begin{array}{ll}
\left(T_{x}+\varepsilon\right) I_{A B}(\boldsymbol{r})=-\left\langle\psi_{A}\right| V_{A x}\left|\psi_{B}\right\rangle \approx 0 & \text { (for neutral particle } x \text { ) } \\
\left(T_{x}+V_{\text {coul }}(r)+\varepsilon\right) I_{A B}(\boldsymbol{r})=-\left\langle\psi_{A}\right| V_{A x}-V_{\text {Coul }}(r)\left|\psi_{B}\right\rangle \approx 0 & (\text { for charged particle } x \text { ) }
\end{array}
$$

The asymptotic part of the overlap functions $I_{l j}(r)$ is given by
$I_{l j}(r) \approx C_{l j} W_{-\eta, l+1 / 2}(2 \kappa r) / r$
$C_{l j}$ is the asymptotic normalization coefficient (ANC),
$W$ is the Whittaker function,
$\kappa=(2 \mu \varepsilon)^{1 / 2}, \quad \varepsilon$ is the nucleon separation energy
Example: for $B=A+n e u t r o n$ and $l=0: \quad I_{l j}(r) \approx C_{l j} \exp (-\kappa r) / r$
II) Normalization

Definition: the norm of $I_{l j}(r)$ is called the spectroscopic factor.

$$
S_{l j}=\int_{0}^{\infty} d r r^{2} I_{l j}^{2}(r) \quad(\times B)
$$

The meaning of the spectroscopic factor from the shell model point of view.
The shell model wave function is a linear combination of the Slater determinants

$$
\begin{aligned}
& \psi_{A}=\sum_{\alpha_{A}} C_{A, \alpha_{A}} D_{A, \alpha_{A}} \quad \alpha_{A}=\left\{n_{1} l_{1} j_{1} m_{1} \tau_{1}, \ldots, n_{A} l_{A} j_{A} m_{A} \tau_{A}\right\} \\
& \psi_{B}=\sum_{\alpha_{B}} C_{B, \alpha_{B}} D_{B, \alpha_{B}} \\
& \left\langle\psi_{A} \mid \psi_{B}\right\rangle=\sum_{\alpha_{A} \alpha_{B}} C_{A, \alpha_{A}} C_{B, \alpha_{B}} \varphi_{\gamma\left(\alpha_{A} \alpha_{B}\right)}
\end{aligned}
$$

The spectroscopic factor is expressed only via coefficients $C_{A, \alpha_{A}}$ and $C_{B, \alpha_{B}}$ which are probability amplitudes of a particular shell occupation scheme.

## Modelling the overlap functions:

$\left(T_{x}+\varepsilon\right)\left\langle\psi_{A} \mid \psi_{B}\right\rangle=-\left\langle\psi_{A}\right| V_{A x}\left|\psi_{B}\right\rangle \approx-V_{A x}\left\langle\psi_{A} \mid \psi_{B}\right\rangle$
or

$$
\left(T_{x}+V_{A x}(r)+\varepsilon\right) I_{l j}(r)=0
$$

$$
I_{l j}(r)=S^{1 / 2} \varphi_{l j}(r), \quad \int_{0}^{\infty} d r r^{2} \varphi_{l j}^{2}(r)=1
$$

$\varphi_{l j}(r)$ is the normalized solution of the two-body equation and the spectroscopic factor $S$ is thought to be determined from experiment.

Often, a standard Wood-Saxon potential with $r_{0} \approx 1.25 \mathrm{fm}, a \approx 0.65 \mathrm{fm}$ is used to determine $\varphi_{l j}(r)$ while the depth $V_{0}$ is fitted to reproduce $\varepsilon$.

Typical example of the overlap functions for stable nuclei


## Peripheral transfer reactions

The reaction amplitude can be rewritten as follows:

$$
\begin{aligned}
T_{\beta \alpha}^{D W B A}\left(\hat{\boldsymbol{r}}_{\beta}, \boldsymbol{k}_{\beta}\right) & =\iint d \boldsymbol{r}_{\alpha} d \boldsymbol{r}_{\beta} \chi_{\beta}^{(-)}\left(\boldsymbol{k}_{\beta}, \boldsymbol{r}_{\beta}\right)^{*} I_{\alpha \beta}\left(\boldsymbol{r}_{\alpha}, \boldsymbol{r}_{\beta}\right) \chi_{\alpha}^{(+)}\left(\boldsymbol{k}_{\alpha}, \boldsymbol{r}_{\alpha}\right) \\
& =\int_{0}^{R_{\text {cut }}} d r_{x A} \ldots+\int_{R_{\text {cut }}}^{\infty} d r_{x A} \ldots=T_{i n}+T_{\text {ext }}
\end{aligned}
$$

$T_{\text {int }}$ probes the overlap integral $I_{l j}\left(r_{x A}\right)$ in the nuclear interior.
$T_{\text {ext }}$ probes the tail of the overlap integral $I_{l j}\left(r_{x A}\right)$, the magnitude of which is given by the ANC.

$$
\begin{aligned}
I_{l j}\left(r_{x A}\right)=S^{1 / 2} \varphi_{l j}\left(r_{x A}\right) & =S^{1 / 2} b_{l j} W_{-\eta, l+1 / 2}\left(2 \kappa r_{x A}\right) / r_{x A} \\
C_{l j} & =S^{1 / 2} b_{l j}
\end{aligned}
$$

$b_{l j}$ is the single-particle ANC

## Contribution to the $A(d, p) B$ reaction amplitude

D. Y.Pang, F.M.Nunes, A.M.Mukhamedzhanov, Phys. Rev. C 75, 024601 (2007)


The contribution of the asymptotic region into ( $d, p$ ) reaction amplitude dominates

Cross sections of peripheral reactions are factorized via ANCs:
$\sigma(\theta) \sim\left|T_{\mathrm{int}}+T_{\text {ext }}\right|^{2} \approx\left|T_{\text {ext }}\right|^{2}=\left|\sqrt{S} b \tilde{T}_{\text {ext }}\right|^{2}=C^{2}\left|\tilde{T}_{\text {ext }}\right|^{2}$
does not
The ANC determined from experiment as

$$
C^{2} \sim \sigma_{\exp }(\theta) /\left|\tilde{T}_{\text {ext }}\right|^{2}
$$

does not depend on $b$.

The spectroscopic factor determined from experiment as

$$
S=\sigma_{\exp }(\theta) /\left|b \tilde{T}_{e x t}\right|^{2}
$$

depends on $b$.

Example: ${ }^{12} \mathrm{C}\left({ }^{8} \mathrm{Li},{ }^{7} \mathrm{Li}\right)^{13} \mathrm{C}$
L.Trache et al, Phys.Rev. C 67, 062801 (2003) depens on $b$

Can $b_{l j}$ be determined from experimental data in a mode-independent way?
Original idea (S.A.Goncharov, et al, Yad.Fiz. 35, 662 (1982)):

$$
\sigma(\theta) \propto\left|T_{\mathrm{int}}+T_{\text {ext }}\right|^{2}=\left|\sqrt{S} \tilde{T}_{\text {int }}(b)+\sqrt{S} b \tilde{T}_{\text {ext }}^{\text {does not }}\right|^{2}
$$

$T_{\text {ext }}$ can be fixed using ANCs measured from peripheral reactions. Then $T_{\text {int }}$ can be determined.

$$
\sigma(\theta) \propto S b^{2}\left|\frac{\tilde{T}_{\text {int }}(b)}{b}+\tilde{T}_{e x t}\right|^{2} \Rightarrow \frac{\sigma(\theta)}{S b^{2}} \propto\left|\frac{\tilde{T}_{\mathrm{int}}(b)}{b}+\tilde{T}_{e x t}\right|^{2}
$$

$$
R_{t h}(b) \equiv \frac{\sigma_{t h}(\theta)}{S_{t h} b_{t h}^{2}}=\frac{\sigma_{\exp }(\theta)}{C_{\exp }^{2}} \equiv R_{\exp }
$$

## ${ }^{13} \mathrm{C}(\mathrm{p}, \mathrm{d})^{12} \mathrm{C} \quad \mathrm{E}_{\mathrm{p}}=18.6 \mathrm{MeV}$

S.A.Goncharov et al, Yad.Fiz. 44, 303 (1980)

A.M. Mukhamedzhanov and F.Nunes, Phys. Rev. C 72, 017602 (2005)

$A(d, p) B$ reactions. Beyond the Born approximation.


Born approximation:

$$
\Psi_{\alpha}^{(+)} \approx \chi_{d}^{(+)}\left(\boldsymbol{r}_{d}\right) \psi_{d}\left(\boldsymbol{r}_{n p}\right) \psi_{A}
$$

Beyond the Born approximation: taking deuteron breakup into account.

$$
\begin{gathered}
\Psi_{\alpha}^{(+)} \approx \psi_{A n p}^{(+)}\left(\boldsymbol{R}, \boldsymbol{r}_{n p}\right) \psi_{A} \\
\left(T_{R}+T_{n p}+V_{n p}+V_{n A}+V_{p A}-E\right) \psi_{A n p}^{(+)}\left(\boldsymbol{R}, \boldsymbol{r}_{n p}\right)=0
\end{gathered}
$$

Solving 3-body Schrödinger equation in the adiabatic approximation. Johnson-Soper model.
R.C. Johnson and P.J.R. Soper, Phys. Rev. C1,976 (1970)

Adiabatic assumption: $\quad\left(T_{n p}+V_{n p}+\varepsilon_{d}\right) \psi_{A n p}^{(+)}\left(\boldsymbol{R}, \boldsymbol{r}_{n p}\right)=0$
Then the three-body equation becomes

$$
\left(T_{R}+V_{n A}+V_{p A}-E_{d}\right) \psi_{A n p}^{(+)}\left(\boldsymbol{R}, \boldsymbol{r}_{n p}\right)=0, \quad E_{d}=E-\varepsilon_{d}
$$

To calculate the reaction amplitude

$$
T_{\beta \alpha}\left(\hat{\boldsymbol{r}}_{\beta}, \boldsymbol{k}_{\beta}\right)=\left\langle\chi_{\beta}^{(-)} \psi_{b} \psi_{B}\right| V_{n p}\left(\boldsymbol{r}_{n p}\right)\left|\psi_{A n p}^{(+)}\left(\boldsymbol{R}, \boldsymbol{r}_{n p}\right)\right\rangle
$$

Only those part of the wave function, where $r_{n p} \approx 0$, are needed:

$$
\left(T_{R}+V_{n A}(\boldsymbol{R})+V_{p A}(\boldsymbol{R})-E_{d}\right) \psi_{A n p}^{(+)}(\boldsymbol{R}, 0)=0
$$

## Johnson-Soper model

- The zero-range ( $\mathrm{d}, \mathrm{p}$ ) reaction amplitude formally looks exactly the same as the zero-range DWBA amplitude

$$
T_{\beta \alpha}^{Z R}=\left\langle\left.\chi_{\beta}^{(-)}\left(\frac{A}{A+\alpha} \boldsymbol{R}\right) I_{A B}(\boldsymbol{R}) \right\rvert\, \psi_{A n p}^{(+)}(\boldsymbol{R}, 0)\right\rangle
$$

- The three-body wave function is calculated from the two-body Schrödinger equation

$$
\left(T_{R}+V_{n A}(\boldsymbol{R})+V_{p A}(\boldsymbol{R})-E_{d}\right) \psi_{A n p}^{(+)}(\boldsymbol{R}, 0)=0
$$

- The adiabatic interaction potential is a sum of the proton and neutron potentials taken at half deuteron energy
- The model takes the deuteron breakup into account as $\psi_{d}(\boldsymbol{R}, 0)$ includes all deuteron continuum states

Solving 3-body Schrödinger equation using Weinberg state expansion. Johnson-Tandy model.
R.C. Johnson and P.C. Tandy, Nucl. Phys. A235, 56 (1974)

$$
\psi_{A n p}^{(+)}\left(\boldsymbol{R}, \boldsymbol{r}_{n p}\right)=\sum_{i=1}^{\infty} \varphi_{i}\left(\boldsymbol{r}_{n p}\right) \chi_{i}(\boldsymbol{R}) \quad \chi_{i}=-\left\langle\varphi_{i}\right| V_{n p}\left|\psi_{A n p}^{(+)}\right\rangle
$$

Weinberg basis:

$$
\left(T_{n p}+\alpha_{i} V_{n p}+\varepsilon_{d}\right) \varphi_{i}\left(\boldsymbol{r}_{n p}\right)=0, \quad \alpha_{1}=1, \varphi_{1}=\varphi_{d},\left\langle\varphi_{i}\right| V_{n p}\left|\varphi_{j}\right\rangle=-\delta_{i j}
$$

It is assumed that only first term of the expansion is important. It can be found if all the coupling to the other channels are neglected

$$
\begin{aligned}
& \left(T_{R}+V(\boldsymbol{R})-E_{d}\right) \chi_{1}^{(+)}(\boldsymbol{R})=0 \\
& V(\boldsymbol{R})=\int d \boldsymbol{r}_{n p}\left|\varphi_{1}\left(\boldsymbol{r}_{n p}\right)\right|^{2} V_{n p}\left(\boldsymbol{r}_{n p}\right)\left(V_{n A}\left(\boldsymbol{R}+\frac{\boldsymbol{r}_{n p}}{2}\right)+V_{p A}\left(\boldsymbol{R}-\frac{\boldsymbol{r}_{n p}}{2}\right)\right)
\end{aligned}
$$

## Johnson-Tandy model

- The (d,p) reaction amplitude formally looks exactly the same as the finite-range DWBA amplitude
- The three-body wave function $\psi_{A n p}^{(+)}\left(\boldsymbol{R}, \boldsymbol{r}_{n p}\right)$ is calculated from the two-body Schrödinger equation
- The Johnson-Tandy two-body "deuteron" potential is calculated in a folding procedure involving a sum of the proton and neutron potentials taken at half deuteron energy
- The model takes the deuteron breakup into account as the projection of $V_{n p}\left(r_{n p}\right) \varphi_{1}\left(r_{n p}\right) \chi_{1}(\boldsymbol{R})$ onto any deuteron continuum state is not zero


## Deuteron potential: adiabatic vs conventional

J.D.Harvy and R.C.Johnson, Phys. Rev. 3, 636 (1971)


Conventional potential has an absorptive part that has to account for deuteron breakup. In adiabatic approach, this breakup is explicitly included.
${ }^{116} \mathrm{Sn}(d, p)^{117} \mathrm{Sn} \quad E_{d}=8.22 \mathrm{MeV}$
R.R. Cadmus Jr., and W. Haeberli, Nucl. Phys. A327, 419 (1979)


## ${ }^{12} \mathrm{C}(\mathrm{d}, \mathrm{p}){ }^{13} \mathrm{C}$ at $\mathrm{E}_{\mathrm{d}}=51 \mathrm{MeV}$

A.M. Mukhamedzhanov and F. Nunes, Phys. Rev. C 72, 017602 (2005)


Calculated using adiabatic d- ${ }^{12}$ C potential

It is not possible to determine $b$ (and therefore $S$ ) from this graph.

Adiabatic cross sections are "more peripheral" than the conventional DWBA cross sections, the contribution from partial waves with low relative orbital momentum is suppressed

## Remnant term in the transfer reaction amplitude.

$$
\begin{gathered}
T_{\beta \alpha}^{D W B A}\left(\hat{r}_{\beta}, \boldsymbol{k}_{\beta}\right)=\left\langle\chi_{\beta}^{(-)} \psi_{b} \psi_{B}\right| V_{\beta}-U_{\beta}\left(r_{\beta}\right)\left|\chi_{\alpha}^{(+)} \Psi_{a} \psi_{A}\right\rangle \\
V_{\beta}=V_{b B}=\sum_{i \in b} \sum_{j \in B} v_{i j}=\sum_{i \in b}\left\{\sum_{j \in x}+\sum_{j \in A}\right\} v_{i j}=V_{b x}+V_{b A} .
\end{gathered}
$$

The DWBA reaction amplitude has two terms:
$T_{\beta \alpha}^{D W B A}\left(\hat{r}_{\beta}, \boldsymbol{k}_{\beta}\right)=\left\langle\chi_{\beta}^{(-)} \psi_{b} \psi_{B}\right| V_{b x}\left|\chi_{\alpha}^{(+)} \Psi_{a} \psi_{A}\right\rangle$
$+\left\langle\chi_{\beta}^{(-)} \psi_{b} \psi_{B}\right| \sum_{i \in b, j \in A} V_{i j}-U_{\beta}\left(r_{\beta}\right)\left|\chi_{\alpha}^{(+)} \psi_{a} \psi_{A}\right\rangle$

1) The main term that factorizes via SFs or ANCs and depends on small $r_{x b}$
2) The remnant term that does not factorize via SFs or ANCs and is not bounded by small $r_{x b}$

Adiabatic calculation always assume that the remnant term can be neglected.

Approximate way to include remnant term

$$
\begin{aligned}
\left\langle\chi_{\beta}^{(-)} \psi_{b} \psi_{B}\right| \sum_{i \in b, j \in A} V_{i j} & -U_{\beta}\left(\boldsymbol{r}_{\beta}\right)\left|\chi_{\alpha}^{(+)} \Psi_{a} \psi_{A}\right\rangle \\
& \approx\left\langle\chi_{\beta}^{(-)} \psi_{b} \psi_{B}\right| V_{b B}\left(\boldsymbol{r}_{b B}\right)-U_{\beta}\left(\boldsymbol{r}_{\beta}\right)\left|\chi_{\alpha}^{(+)} \psi_{a} \psi_{A}\right\rangle
\end{aligned}
$$

- Often $V_{b B}$ is chosen as a complex optical potential between $b$ and $B$.
- No theoretical justification is given to this choice.
- Remnant term is important for heavy particle transfer

Comparing remnant and no-remnant calculations

Comparing various verions of remnant calculations


Avoiding calculation of the remnant term

$$
T_{\beta \alpha}\left(\hat{\boldsymbol{r}}_{\beta}, \boldsymbol{k}_{\beta}\right)=\left\langle\chi_{\beta}^{(-)} \psi_{b} \psi_{B}\right| V_{b x}\left(\boldsymbol{r}_{b x}\right)+V_{b A}\left(\boldsymbol{r}_{b A}\right)-U_{\beta}\left(\boldsymbol{r}_{\beta}\right)\left|\chi_{\alpha}^{(+)} \psi_{a} \psi_{A}\right\rangle
$$

Reminder: derivation of reaction amplitude:
$\left(E-H_{b}-H_{A}-H_{x}-\frac{\boldsymbol{p}_{\beta}^{2}}{2 \mu_{\beta}}-\frac{\boldsymbol{p}_{A x}^{2}}{2 \mu_{A x}}-V_{b A}-V_{x A}\right) \Psi_{\alpha}^{(+)}=V_{b x} \Psi_{\alpha}^{(+)}$,
$U_{\beta}\left(\boldsymbol{r}_{\beta}\right)$ is arbitrary. Let us choose $\sum_{i \in b, j \in A} V_{i j}$ instead of $U_{\beta}\left(r_{\beta}\right)$


$$
\begin{gathered}
T_{\beta \alpha}\left(\hat{\boldsymbol{r}}_{\beta}, \boldsymbol{k}_{\beta}\right)=\left\langle\tilde{\phi}_{\beta}^{(-)}\right| V_{b x}\left|\Psi_{\alpha}^{(+)}\right\rangle \\
\left(E-H_{b}-H_{A}-H_{x}-\frac{\boldsymbol{p}_{\beta}^{2}}{2 \mu_{\beta}}-\frac{\boldsymbol{p}_{A x}^{2}}{2 \mu_{A x}}-V_{b A}-V_{x A}\right) \tilde{\phi}_{\beta}^{(+)}=0
\end{gathered}
$$

## Recoil excitation and breakup



$$
\begin{gathered}
T_{\beta \alpha}\left(\hat{\boldsymbol{r}}_{\beta}, \boldsymbol{k}_{\beta}\right)=\left\langle\tilde{\phi}_{\beta}^{(-)}\right| V_{b x}\left|\Psi_{\alpha}^{(+)}\right\rangle \\
\left(T+V_{b A}+V_{x A}-E\right) \tilde{\phi}_{\beta}^{(+)}=0
\end{gathered}
$$

What does it mean?

- Breakup of $B$ is included in $\tilde{\phi}_{\beta}^{(+)}$as its overlap with any continuum state of $B$ is not zero.
- The breakup of $B$ occurs because $A$ inside $B$ interacts with $b$, gets a recoil and then passes it via $V_{A x}$ to $x$.
- The price to pay for getting rid of the remnant term is to include recoil excitation and breakup of $B$.

Wave function $\tilde{\phi}_{\beta}^{(+)}$in the adiabatic model:

$$
\tilde{\phi}_{\beta}^{(+)}=\chi_{b A}^{(+)}\left(\boldsymbol{k}_{\beta}, \boldsymbol{r}_{b A}\right) \psi_{A x}\left(\boldsymbol{r}_{A x}\right) e^{-i \mu \boldsymbol{k}_{\beta} \boldsymbol{r}_{A x}}, \quad \mu=m_{x} /\left(m_{x}+m_{A}\right)
$$

In the zero-range approximation, $\quad V_{b x}\left(\boldsymbol{r}_{b x}\right) \psi_{b x}\left(\boldsymbol{r}_{b x}\right)=D_{0} \delta\left(\boldsymbol{r}_{b}-\boldsymbol{r}_{x}\right)$
the $A(a, b) B$ reaction amplitude becomes

$$
T_{a d}^{Z R}=D_{0} \int d \boldsymbol{r} \chi_{b A}^{(-)^{*}}\left(\boldsymbol{k}_{\beta}, \boldsymbol{r}\right) e^{i \mu \boldsymbol{k}_{\beta} r} \psi_{A x}(\boldsymbol{r}) \chi_{\alpha}^{(+)}\left(\boldsymbol{k}_{\alpha}, \boldsymbol{r}\right)
$$

In the conventional approach (that assume that the remnant term is small)

$$
\begin{gathered}
T_{\text {standard }}^{Z R}=D_{0} \int d \boldsymbol{r} \chi_{\beta}^{(-)^{*}}\left(\boldsymbol{k}_{\beta}, \tilde{\mu} \boldsymbol{r}\right) \psi_{A x}(\boldsymbol{r}) \chi_{\alpha}^{(+)}\left(\boldsymbol{k}_{\alpha}, \boldsymbol{r}\right) \\
\tilde{\mu}=m_{A} /\left(m_{x}+m_{A}\right)
\end{gathered}
$$

N.K. Timofeyuk and R.C.Johnson, Phys. Rev. C 59, 1545 (1999)



Transfer reactions with dispersive optical potentials
N. B. Nguyen et al, Phys. Rev. C84, 044611 (2011)

$$
\begin{aligned}
& V_{\text {opt }}\left(\boldsymbol{r}, \boldsymbol{r}^{\prime}, E\right)=V_{0}\left(\boldsymbol{r}, \boldsymbol{r}^{\prime}\right)+\Delta V\left(\boldsymbol{r}, \boldsymbol{r}^{\prime}, E\right)+i W\left(\boldsymbol{r}, \boldsymbol{r}^{\prime}, E\right) \\
& \Delta V\left(\boldsymbol{r}, \boldsymbol{r}^{\prime}, E\right)=\frac{\mathrm{P}}{\pi} \int d E^{\prime} \frac{W\left(\boldsymbol{r}, \boldsymbol{r}^{\prime}, E^{\prime}\right)}{E-E^{\prime}}
\end{aligned}
$$

DOM from has been described in terms of 32 parameters used to fit data sets for $40 \leq \mathrm{A} \leq 208$ and $4 \leq \mathrm{E} \leq 200 \mathrm{MeV}$ (taken from J.M. Mueller et al, Phys. Rev. C 83, 064605 (2011))


## ${ }^{132} \mathrm{Sn}(d, p)^{133} \mathrm{Sn}, \mathrm{E}_{\mathrm{d}}=9.46 \mathrm{MeV}$

Johnson-Tandy adiabatic model has been used to calculate transfer cross sections, remnant term is neglected.


DOM can also predict potential well for neutron bound state


## ANCs obtained from transfer reactions using

- Global systematic of nucleon optical potentials CH89
- DOM

| Woods-Saxon potential used | DOM used for |
| :--- | :--- |
| for neutron bound state | neutron bound state |

Nucleus $E_{d}(\mathrm{MeV})$ CH89 + WS DOM + WS DOM DOM(th)

| ${ }^{41} \mathrm{Ca}$ | 20 | 5.0 | 4.4 | 4.4 | 2.8 |
| :--- | :---: | :---: | :---: | :---: | :---: |
|  | 56 | 4.6 | 3.8 | 3.8 |  |
| ${ }^{49} \mathrm{Ca}$ | 2 | 31.7 | 24.4 | 24.4 | 29.6 |
|  | 13 | 27.9 | 22.7 | 22.6 |  |
|  | 19.3 | 26.0 | 23.1 | 23.0 |  |
|  | 56 | 35.8 | 23.5 | 23.2 |  |
| ${ }^{133} \mathrm{Sn}$ | 9.46 | 0.78 | 0.71 | 0.49 | 0.56 |
| ${ }^{209} \mathrm{~Pb}$ | 8 | 4.5 | 4.1 | 4.2 | 2.5 |
|  | 20 | 2.4 | 1.7 | 1.7 |  |

## Spectroscopic factors obtained using

- Global systematic of nucleon optical potentials CH89
- DOM

| Woods-Saxon potential used | DOM used for |
| :--- | :--- |
| for neutron bound state | neutron bound state |

Nucleus $E_{d}$ Data CH89 + WS DOM + WS DOM DOM(th)

| ${ }^{41} \mathrm{Ca}$ | 20 | $[29]$ | 0.96 | 0.85 | 0.86 | 0.75 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 56 | $[30]$ | 0.88 | 0.73 | 0.74 |  |
| ${ }^{49} \mathrm{Ca}$ | 2 | $[31]$ | 0.94 | 0.72 | 0.66 | 0.80 |
|  | 13 | $[32]$ | 0.82 | 0.67 | 0.61 |  |
|  | 19.3 | $[32]$ | 0.77 | 0.68 | 0.62 |  |
|  | 56 | $[33]$ | 1.1 | 0.70 | 0.62 |  |
| ${ }^{133} \mathrm{Sn}$ | 9.46 | $[1]$ | 1.1 | 1.0 | 0.72 | 0.80 |
| ${ }^{209} \mathrm{~Pb}$ | 8 | $[34]$ | 1.7 | 1.5 | 1.2 | 0.76 |
|  | 20 | $[35]$ | 0.89 | 0.61 | 0.51 |  |

## Summary

- Exact amplitude can be written using distorted waves

$$
T_{\beta \alpha}\left(\hat{\boldsymbol{r}}_{\beta}, \boldsymbol{k}_{\beta}\right)=\left\langle\chi_{\beta}^{(-)} \psi_{b} \psi_{B}\right| V_{b x}+V_{b A}-U_{\beta}\left(\boldsymbol{r}_{\beta}\right)\left|\Psi_{\alpha}^{(+)}\right\rangle
$$

- Born approximation for exact wave function can be introduced that selects only one channel of interest
- Transfer reactions probe overlap functions. Many transfer reactions are peripheral, they are sensitive only to the asymptotic part of the overlap integral given by ANCs. If potential well for bound state are reliably determined then spectroscopic factors can be studied in transfer reactions as well.
- In the $A(d, p) B$ reaction deuteron breakup channels play important role. They can approximately be taken into account using Johnson-Soper and JohnsonTandy approximations. These approximations allow available DWBA codes to be used.
- Remnant term can be exactly excluded from transfer reaction calculations but then recoil excitation and breakup effects in the final nucleus should be taken into account
- Transfer reactions benefit from using optical potentials obtained from DOM. Then ANCs and SFs are less dependent on incident energies.

