







Determination of the light quark masses from $\eta \to 3\pi$

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Ph.D. Thesis of S. Lanz, University of Bern, May 12, 2011 Article in preparation 1. Introduction and Motivation

2. Dispersive analysis of $\eta \rightarrow \pi^+ \pi^- \pi^0$ decays

3. Results

4. Conclusion and outlook

1. Introduction and Motivation

1.1 $\eta \rightarrow \pi^+ \pi^- \pi^0$ decays: (m_u-m_d) Golden Channel





Decay forbidden by isospin symmetry

$$\implies A \sim (m_u - m_d) \quad \text{or} \quad A \sim \alpha_{em}$$

• Electromagnetic effects are small

Sutherland's theorem'66 Baur, Kambor & Wyler'95 Ditsche, Kubis & Meißner'09

Decay rate measures the size of isospin breaking in the SM
 Direct probe of m_u - m_d

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1.2 $\eta \rightarrow \pi^+ \pi^- \pi^0$ decays



$$s = \left(p_{\pi^{+}} + p_{\pi^{-}}\right)^{2}, \quad t = \left(p_{\pi^{-}} + p_{\pi^{0}}\right)^{2}$$
$$u = \left(p_{\pi^{0}} + p_{\pi^{+}}\right)^{2}$$
$$+ t + u = M_{\eta}^{2} + M_{\pi^{0}}^{2} + 2M_{\pi^{+}}^{2} \equiv 3s_{0}$$

$$\langle \pi^{+}\pi^{-}\pi^{0}_{out} | \eta \rangle = i (2\pi)^{4} \delta^{4} (p_{\eta} - p_{\pi^{+}} - p_{\pi^{-}} - p_{\pi^{0}}) A(s,t,u)$$

• Lowest order amplitude: Current algebra

Osborn, Wallace'70

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$$A(s,t,u) = \frac{B_0(m_d - m_u)}{3\sqrt{3}F_{\pi}^2} \left[1 + \frac{3(s - s_0)}{M_{\eta}^2 - M_{\pi}^2} + O(m) \right] + O(e^2m)$$

Prediction:
$$\Gamma_{\eta \to 3\pi} = 66 \text{ eV}$$
 and $\Gamma_{exp} = 197 \pm 29 \text{ eV} \implies Problem!$
in 1985
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1.3 Dispersion relations

• Solution to this problem: Large final state interactions

Roiesnel & Truong'81



1.3 Dispersion relations

Solution to this problem: Large final state interactions

Roiesnel & Truong'81



- Higher order corrections
 - ChPT at two loops Bijnens & Ghorbani'07 but many LECs to determine at $\mathcal{O}(p^6)$!
 - Use of dispersion relations
 - > analyticity, unitarity and crossing symmetry
 - Take into account all the rescattering effects

Kambor, Wiesendanger & Wyler'96 Anisovich & Leutwyler'96 Walker'98

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1.4 New dispersive analysis

Dispersive analysis following *Anisovich & Leutwyler* approach with new inputs:

> New $\pi\pi$ phase shifts available, extracted with a better precision

Ananthanarayan et al'01, Colangelo et al'01 Descotes-Genon et al'01 Kaminsky et al'01, Garcia-Martin et al'09

New experimental programs, precise Dalitz plot measurements CBall-Brookhaven, KLOE (Frascati) TAPS/CBall-MAMI (Mainz), WASA-Celsius (Uppsala), WASA-Cosy (Juelich)

• NB: Other recent analyses

> Analytic dispersive Kampf, Knecht, Novotný, Zdráhal '11 - see poster

> NREFT approach Schneider, Kubis, Ditsche'11

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2. Dispersive Analysis of $\eta \rightarrow \pi^+ \pi^- \pi^0$ decays

2.1 Strategy

• Instead of determining $(m_u - m_d) \implies extraction of Q$

$$Q^{2} = \frac{m_{s}^{2} - \hat{m}_{u}^{2}}{m_{d}^{2} - m_{u}^{2}} \qquad \frac{m_{d} + m_{u}}{2} \text{ since } Q^{2} = \frac{M_{K}^{2}}{M_{\pi}^{2}} \frac{M_{K}^{2} - M_{\pi}^{2}}{\left(M_{K^{0}}^{2} - M_{K^{+}}^{2}\right)_{QCD}} \left[1 + O(m_{q}^{2}, e^{2})\right]$$

•
$$\Gamma_{\eta
ightarrow 3\pi} \propto \left|A\right|^2$$

 $\succ \Gamma_{\eta \rightarrow 3\pi}$ measured by KLOE, MAMI, COSY

$$> A(s,t,u) = -\frac{1}{Q^2} \frac{M_K^2}{M_\pi^2} \frac{M_K^2 - M_\pi^2}{3\sqrt{3}F_\pi^2} M(s,t,u)$$

M(s,t,u) computed from dispersive treatment

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2.2 Method: Representation of the amplitude

• Decomposition of the amplitude as a function of isospin states

$$M(s,t,u) = M_0(s) + (s-u)M_1(t) + (s-t)M_1(u) + M_2(t) + M_2(u) - \frac{2}{3}M_2(s)$$

Fuchs, Sazdjian & Stern'93 Anisovich & Leutwyler'96

- $> M_I$ isospin / rescattering in two particles
- > Amplitude in terms of S and P waves \implies exact up to NNLO ($\mathcal{O}(p^6)$)
- Main two body rescattering corrections inside M_I
- Functions of only one variable with only right-hand cut of the partial wave $\implies disc[M_I(s)] \equiv disc[f_\ell^I(s)]$
- Elastic unitarity Watson's theorem

$$disc \left[f_{\ell}^{I}(s) \right] \propto t_{\ell}^{*}(s) f_{\ell}^{I}(s)$$

with $t_{\ell}(s)$ partial wave of elastic $\pi\pi$ scattering

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2.3 Dispersion Relations for the $M_{I}(s)$

$$M_{0}(s) = \Omega_{0}(s) \left[\alpha_{0} + \beta_{0}s + \gamma_{0}s^{2} + \frac{s^{2}}{\pi} \int_{4M_{\pi}^{2}}^{\infty} \frac{ds'}{s'^{2}} \frac{\sin \delta_{0}^{0}(s') \hat{M}_{0}(s')}{|\Omega_{0}(s')| (s' - s - i\varepsilon)} \right]$$

Omnès function
Similarly for M₁ and M₂

- Inputs needed for the $\pi\pi$ phase shifts ${\cal S}^I_\ell$
- $\hat{M}_{I}(s)$ contain the left-hand cut. They are obtained from angular averages over the $M_{I}(s) \implies Coupled$ equations
- Four subtraction constants to be fixed: α_0 , β_0 , γ_0 and one more in M_1 (β_1)
- Solve dispersion relations numerically by an iterative procedure

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2.4 Subtraction constants



KLOE data in physical region

Ambrosino et al'08

One loop ChPT in the vicinity of Adler zero





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3. Results

3.1 Result for M(s,t,u) along s=u

From the matching to one loop ChPT (→ referred as matching in the following)



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- 3.1 Result for M(s,t,u) along s=u
- From the matching to one loop ChPT



3.2 Dalitz plot for $\eta \rightarrow \pi^+ \pi^- \pi^0$



Dalitz plot distribution measured by KLOE

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3.3 Dalitz plot: Comparison with KLOE



3.3 Dalitz plot: Comparison with KLOE



3.3 Dalitz plot: Comparison with KLOE





3.5 Extraction of Q and comparison with other results



3.6 Results for the neutral mode

- Compute the amplitude for the neutral mode for which there are much more experimental results
- Amplitude: $\overline{A}(s,t,u) = A(s,t,u) + A(t,u,s) + A(u,s,t)$
- NB: Fit still performed to the charged Dalitz plot distribution
- Dalit plot parametrization: $\Gamma = N(1+2\alpha Z)$ with $Z = X^2 + Y^2$

• Extraction of α



4. Conclusion and outlook

4.1 Conclusion

- $\eta \rightarrow \pi^+ \pi^- \pi^0$ decays represent a source of information on the quark mass ratio Q
- A reliable extraction of Q requires having the strong rescattering effects in the final state under control
- This is possible thanks to dispersion relations
 need to determine unknown subtraction constants
- Use of experimental measurements of the Dalitz plot distributions to determine the subtraction constants and reduce the uncertainties in the dispersive analysis

4.1 Conclusion

- Analysis presented with subtraction constants from
 - > Matching to one loop ChPT $\implies Q = 22.74^{+0.68}_{-0.67}$

Disagreement with the observed Dalitz plot distribution from KLOE

- Fit to the Dalitz plot distribution of the charge mode (*KLOE*) and ChPT $\implies Q = 21.31^{+0.59}_{-0.50}$
- Experimental fit removes the discrepancy on the sign of α in the neutral mode but the value of α is only in marginal agreement with the experimental ones

4.2 Outlook

- Try to understand the discrepancy between the two results
 - use the experimental results on the Dalitz plot distribution from the neutral mode to fix the subtraction constants
 - > More data on $\eta \rightarrow \pi^+ \pi^- \pi^0$ in particular on the Dalitz plot distribution needed!
- Matching to NNLO ChPT

 \rightarrow Constraints from experiment: possible determination of C_i

- Investigate the differences with the analysis of Kampf et al.
- Include electromagnetic corrections in the dispersive analysis

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5. Back-up

Light quark masses from Lattice QCD using Q

• Use Q and lattice determinations of m_s and \hat{m}

 \implies Light quark masses: m_u , m_d

$$m_u = \hat{m} - \frac{m_s^2 - \hat{m}^2}{4\hat{m}Q^2}$$
 and $m_d = \hat{m} + \frac{m_s^2 - \hat{m}^2}{4\hat{m}Q^2}$

• For instance

>
$$m_s$$
 and \hat{m} from BMW - $\begin{bmatrix} m_s = 95.5 \pm 1.5 \pm 1.1 \\ \hat{m} = 3.469 \pm 0.048 \pm 0.0047 & Durr et al'10 \end{bmatrix}$

 \triangleright Q from the fit: $Q = 21.31^{+0.59}_{-0.50}$

 $\implies m_u = (2.02 \pm 0.14) \text{ MeV}$ and $m_d = (4.91 \pm 0.11) \text{ MeV}$

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Determination of the light quark masses

• Fundamental unknowns of the QCD Lagrangian

$$\mathcal{L}_{QCD} = -\frac{1}{4} G_a^{\mu\nu} G_{\mu\nu}^a + \sum_{k=1}^{N_F} \overline{q}_k \left(i \gamma^{\mu} D_{\mu} - m_k \right) q_k$$

- High precision physics at low energy as a key of new physics?
 m_d m_u: small isospin breaking corrections but to be taken into account for high precision physics
- Different approaches:
 - ► Effective field theory → ChPT $\eta \to \pi^+ \pi^- \pi^0 \text{ decays, meson mass splitting}$
 - Numerical simulations on the lattice Hadron spectrum
 - Sum-rules

Hadronic τ decays

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Dalitz plot: Comparison with KLOE



• Error analysis

Matching	$Q(\pi^+\pi^-\pi^0)$	Fit	$Q(\pi^+\pi^-\pi^0)$
Г	\pm 0.31	Г	\pm 0.29
γ_{0}	\pm 0.38	stat. KLOE	\pm 0.091
β_1	\pm 0.36	syst. KLOE	+0.45 -0.30
L ₃	+0.025 -0.023	$\mathcal N$ KLOE	+0.030 -0.029
$\delta_l(s)$	+0.18 -0.15	L ₃	+0.21 -0.25
inelasticity	\pm 0.2	$\delta_l(\mathbf{S})$	+0.041 -0.053
cut-off	\pm 0.09	W _A	$^{+0.000}_{-0.033}$
total uncertainty	+0.68 -0.67	total uncertainty	+0.59 -0.50

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Light quark masses



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Meson masses

• From LO ChPT without e.m effects:

$$egin{aligned} M_{\pi^+}^2 &= (m_{ extsf{u}} + m_{ extsf{d}}) \, B_0 + O(m^2) \ M_{K^+}^2 &= (m_{ extsf{u}} + m_{ extsf{s}}) \, B_0 + O(m^2) \ M_{K^0}^2 &= (m_{ extsf{d}} + m_{ extsf{s}}) \, B_0 + O(m^2) \end{aligned}$$

Electromagnetic effects: Dashen's theorem

$$\left(M_{K^{+}}^{2}-M_{K^{0}}^{2}\right)_{em}-\left(M_{\pi^{+}}^{2}-M_{\pi^{0}}^{2}\right)_{em}=O\left(e^{2}m\right)$$
 Dashen'69

 $\begin{array}{c} \hline \\ \hline \\ \hline \\ \\ \end{array} \begin{array}{c} & \searrow \\ M_{\pi^0}^2 = B_0 \left(m_u + m_d \right), \ M_{\pi^+}^2 = B_0 \left(m_u + m_d \right) + \Delta_{em} \\ \\ & \searrow \\ M_{K^0}^2 = B_0 \left(m_d + m_s \right), \ M_{K^+}^2 = B_0 \left(m_u + m_s \right) + \Delta_{em} \\ \\ & 2 \text{ unknowns } B_0 \text{ and } \Delta_{em} \end{array}$

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Quark mass ratios $\frac{m_u}{m_d} \stackrel{\text{LO}}{=} \frac{M_{K^+}^2 - M_{K^0}^2 + 2M_{\pi^0}^2 - M_{\pi^+}^2}{M_{K^0}^2 - M_{K^+}^2 + M_{\pi^+}^2} = 0.56 ,$

$$\frac{m_s}{m_d} \stackrel{\text{\tiny LO}}{=} \frac{M_{K^+}^2 + M_{K^0}^2 - M_{\pi^+}^2}{M_{K^0}^2 - M_{K^+}^2 + M_{\pi^+}^2} = 20.2$$

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•
$$Q^2 = \frac{M_K^2}{M_\pi^2} \frac{M_K^2 - M_\pi^2}{M_{K^0}^2 - M_{K^+}^2} \Big[1 + O(m_q^2) \Big]$$
 is only valid for e=0

• Including the electromagnetic corrections, one has

$$\mathsf{Q}_{D}^{2} \equiv \frac{(M_{K^{0}}^{2} + M_{K^{+}}^{2} - M_{\pi^{+}}^{2} + M_{\pi^{0}}^{2})(M_{K^{0}}^{2} + M_{K^{+}}^{2} - M_{\pi^{+}}^{2} - M_{\pi^{0}}^{2})}{4M_{\pi^{0}}^{2}(M_{K^{0}}^{2} - M_{K^{+}}^{2} + M_{\pi^{+}}^{2} - M_{\pi^{0}}^{2})}$$

$$\implies Q_D = 24.2$$

• Corrections to the Dashen's theorem

 \longrightarrow The corrections can be large due to e^2m_s corrections:

$$\left(M_{K^{+}}^{2} - M_{K^{0}}^{2}\right)_{\mathrm{em}} - \left(M_{\pi^{+}}^{2} - M_{\pi^{0}}^{2}\right)_{\mathrm{em}} = e^{2}M_{K}^{2}\left(A_{1} + A_{2} + A_{3}\right) + O\left(e^{2}M_{\pi}^{2}\right)$$

Urech'98, Ananthanarayan & Moussallam'04

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Corrections to Dashen's theorem

Dashen's Theorem

$$\left(M_{K^{+}}^{2} - M_{K^{0}}^{2}\right)_{\text{em}} = \left(M_{\pi^{+}}^{2} - M_{\pi^{0}}^{2}\right)_{\text{em}} \implies \left(M_{K^{+}}^{2} - M_{K^{0}}^{2}\right)_{\text{em}} = 1.3 \text{ MeV}$$

• With higher order corrections

• Lattice :
$$(M_{K^+} - M_{K^0})_{em} = 1.9 \text{ MeV}, Q = 22.8$$

• ENJL model:
$$(M_{K^+} - M_{K^0})_{em} = 2.3 \text{ MeV}, Q = 22$$

Bijnens & Prades'97

Donoghue & Perez'97

- VMD: $(M_{K^+} M_{K^0})_{em} = 2.6 \text{ MeV}, Q = 21.5$
- Sum Rules: $(M_{K^+} M_{K^0})_{em} = 3.2 \text{ MeV}, Q = 20.7$

Anant & Moussallam'04

Update \longrightarrow $Q = 20.7 \pm 1.2$ Kastner & Neufeld'07

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Lattice QCD

• Compute the quark masses from first principles

 $\rightarrow \mathcal{L}_{OCD}$ on the lattice

- QCD Lagrangian as input
- Calculate the spectrum of the low-lying states for different quark masses
- Tune the values of the quark masses such that the QCD spectrum is reproduced
- Set the scale by adding an external input or extract quark mass ratios
- NB: computation in the isospin limit: $m_u = m_d = \hat{m}_d$ $\frac{m_u + m_d}{2}$

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Q from	\hat{m}, m_s from	Q	\hat{m}	m_s	m_u	m_d
matching	FLAG	22.74	3.4	95	2.12 ± 0.62	4.68 ± 0.38
matching	RBC/UKQCD	22.74	3.59	96.2	2.35 ± 0.30	4.83 ± 0.17
matching	BMW	22.74	3.469	95.5	2.20 ± 0.13	4.74 ± 0.10
fit	FLAG	21.31	3.4	95	1.94 ± 0.65	4.86 ± 0.39
fit	RBC/UKQCD	21.31	3.59	96.2	2.17 ± 0.31	5.01 ± 0.17
fit	BMW	21.31	3.469	95.5	2.02 ± 0.14	4.91 ± 0.11

Main result: m_s and \hat{m} from BMW + Q from fit $m_u = (2.02 \pm 0.14)$ MeV and $m_d = (4.91 \pm 0.11)$ MeV

 $m_{\mu} = 0$ excluded!

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Results for the neutral channel

- Amplitude: $\overline{A}(s,t,u) = A(s,t,u) + A(t,u,s) + A(u,s,t)$
- Dalit plot parametrization: $\Gamma = N(1+2\alpha Z)$ with $Z = X^2 + Y^2$



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Results for the neutral channel

- Amplitude: $\overline{A}(s,t,u) = A(s,t,u) + A(t,u,s) + A(u,s,t)$
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	$Q(\pi^+\pi^-\pi^0)$	$Q(3\pi^0)$	r	α
Г	\pm 0.31	\pm 0.31		
γ_0	\pm 0.38	\pm 0.36	\pm 0.0069	\pm 0.0096
eta_{1}	\pm 0.36	\pm 0.35	\pm 0.0039	\pm 0.0026
L ₃	+0.025 -0.023	+0.036 -0.033	\pm 0.0026	\pm 0.0009
$\delta_l(s)$	+0.18 -0.15	+0.17 -0.13	+0.0027 -0.0032	\pm 0.0040
inelasticity	\pm 0.2	\pm 0.2	—	—
cut-off	\pm 0.09	\pm 0.09	\pm 0.002	\pm 0.0026
total uncertainty	+0.68 -0.67	+0.65 -0.64	+0.0090 -0.0092	± 0.011

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	$Q(\pi^+\pi^-\pi^0)$	$Q(3\pi^0)$	r	α
Г	\pm 0.29	\pm 0.29		_
stat. KLOE	\pm 0.091	\pm 0.086	\pm 0.0068	\pm 0.0034
syst. KLOE	+0.45 -0.30	+0.42 -0.28	+0.0078 -0.0125	$+0.0067 \\ -0.0094$
${\cal N}$ KLOE	+0.030 -0.029	+0.030 -0.029	+0.0001 -0.0001	+0.0016 -0.0012
L ₃	+0.21 -0.25	+0.22 -0.26	+0.0020 -0.0021	+0.0018 -0.0015
$\delta_l(\mathbf{S})$	+0.041 -0.053	+0.034 -0.048	+0.0014 -0.0018	+0.0020 -0.0017
W _A	$^{+0.000}_{-0.033}$	$^{+0.000}_{-0.032}$	+0.0015 -0.0013	$^{+0.0013}_{-0.0008}$
total uncertainty	+0.59 -0.50	$^{+0.56}_{-0.50}$	+0.011 -0.015	+0.0083 -0.0104

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Method: Representation of the amplitude

- Knowing the discontinuity of $M_I \rightarrow$ write a dispersion relation for it
- Cauchy Theorem and Schwarz reflection principle

$$\implies M_{I}(s) = \frac{1}{\pi} \int_{4M_{\pi}^{2}}^{\infty} \frac{disc [M_{I}(s')]}{s' - s - i\varepsilon} ds'$$

 M_I can be reconstructed everywhere from the knowledge of $disc[M_I(s)]$



• If M_I doesn't converge fast enought for $|s| \rightarrow \infty \implies$ subtract the dispersion relation

$$M_{I}(s) = P_{n-1}(s) + \frac{s^{n}}{\pi} \int_{4M_{\pi}^{2}}^{\infty} \frac{ds'}{s'^{n}} \frac{disc[M_{I}(s')]}{(s'-s-i\varepsilon)} P_{n-1}(s) \text{ polynomial}$$

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Hat functions

• Discontinuity of M_I : by definition $disc[M_I(s)] = disc[f_\ell^I(s)]$

 $\implies f_{\ell}^{I}(s) = M_{I}(s) + \hat{M}_{I}(s)$

with $\hat{M}_{I}(s)$ real on the right-hand cut

- The left-hand cut is contained in $\hat{M}_{I}(s)$
- Determination of $\hat{M}_{I}(s)$: subtract M_{I} from the partial wave projection of M(s,t,u) $M(s,t,u) = M_{0}(s) + (s-u)M_{1}(t) + ...$
- $\hat{M}_{I}(s)$ singularities in the t and u channels, depend on the other M_{I} Angular averages of the other functions \implies Coupled equations

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Hat functions

• Ex:
$$\hat{M}_0(s) = \frac{2}{3} \langle M_0 \rangle + 2(s-s_0) \langle M_1 \rangle + \frac{20}{9} \langle M_2 \rangle + \frac{2}{3} \kappa(s) \langle zM_1 \rangle$$

where
$$\langle z^n M_I \rangle (s) = \frac{1}{2} \int_{-1}^{1} dz \ z^n M_I (t(s,z)),$$

 $z = \cos \theta$ scattering angle

Non trivial angular averages index in the integration path to avoid crossing cuts Anisovich & Anselm'66

Hat functions

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$$\hat{M}_0(s) = \frac{2}{3} \langle M_0 \rangle + 2(s - s_0) \langle M_1 \rangle + \frac{20}{9} \langle M_2 \rangle + \frac{2}{3} \kappa(s) \langle zM_1 \rangle$$

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Non trivial angular averages index in the integration path to avoid crossing cuts Anisovich & Anselm'66

Dispersion Relations for the M_I(s)

Elastic Unitarity

 $\ell = 1$ for I = 1, $\ell = 0$ otherwise]

$$\implies disc \left[M_{I} \right] = disc \left[f_{\ell}^{I}(s) \right] = \theta \left(s - 4M_{\pi}^{2} \right) \left[M_{I}(s) + \hat{M}_{I}(s) \right] \sin \delta_{\ell}^{I}(s) e^{-i\delta_{\ell}^{I}(s)}$$

 δ^I_ℓ phase of the partial wave $f^I_\ell(s)$

 $\pi\pi$ phase shift

 \Rightarrow Watson theorem: elastic $\pi\pi$ scattering phase shifts

Solution: Inhommogeneous Omnès problem

$$\begin{bmatrix} M_0(s) = \Omega_0(s) \left(\alpha_0 + \beta_0 s + \gamma_0 s^2 + \frac{s^3}{\pi} \int_{4M_\pi^2}^{\infty} \frac{ds'}{s'^3} \frac{\sin \delta_0^0(s') \hat{M}_0(s')}{|\Omega_0(s')| (s' - s - i\varepsilon)} \right) \end{bmatrix}$$

Omnès function
Similarly for M₁ and M₂
$$\begin{bmatrix} \Omega_I(s) = \exp\left(\frac{s}{\pi} \int_{4M_\pi^2}^{\infty} ds' \frac{\delta_\ell^I(s')}{s'(s' - s - i\varepsilon)}\right) \end{bmatrix}$$

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