# A Fourth Chiral Generation and SUSY Breaking

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#### March 15, 2011

#### Talk based on JHEP 03 (2010) 023 (arXiv:0911.1882) In Collaboration with Rohini M. Godbole and Sudhir K. Vempati

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# A Fourth Generation of Fermions?

- Interest in a 4th generation of fermions has waxed and waned
- No reason why there should not be a 4th generation
- Particle Data Group Collaboration, C. Amsler et al., Phys. Lett. B667 (2008) 1.
   "An extra generation of ordinary fermions is excluded at the 6σ level on the basis of the S parameter alone."

(Taken a bit out of context!)

- ► Invisible decay width of Z boson → 3 light neutrinos → 3 generations of quarks and leptons
- Sharp drop in papers written on 4 generations

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### Single Top Production

#### Renewed interest after observation of single top

DØ Collaboration, V. M. Abazov *et al.*, "Observation of Single Top-Quark Production," *Phys. Rev. Lett.* **103** (2009) 092001, arXiv:0903.0850.

$$\sigma(par{p} 
ightarrow tb + X, tqb + X) = 3.94 \pm 0.88$$
 pb



CDF Collaboration, T. Aaltonen *et al.*, "First Observation of Electroweak Single Top Quark Production," *Phys. Rev. Lett.* **103** (2009) 092002, arXiv:0903.0885.

$$\sigma(par{p} 
ightarrow tb + X, tqb + X) = 2.3^{+0.6}_{-0.5} ext{ pb}$$

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## Single Top Production

#### Renewed interest after observation of single top

DØ Collaboration, V. M. Abazov *et al.*, "Observation of Single Top-Quark Production," *Phys. Rev. Lett.* **103** (2009) 092001, arXiv:0903.0850.

 $|V_{tb} f_1^L| = 1.07 \pm 0.12 \text{ (stat+syst)}$  assuming upper bound of 1  $|V_{tb}| > 0.78 \quad @95\% \text{ C.L.}$  with no assumptions



CDF Collaboration, T. Aaltonen *et al.*, "First Observation of Electroweak Single Top Quark Production," *Phys. Rev. Lett.* **103** (2009) 092002, arXiv:0903.0885.

$$|V_{tb}| = 0.91 \pm 0.11 \text{ (stat+syst)} \pm 0.07 \text{ (theory)}$$

#### Previous Measurements

#### Previous measurements use unitarity relation and are not sensitive to new heavy quarks

**DØ** Collaboration, V. M. Abazov *et al.*, "Measurement of  $B(t \rightarrow Wb) / B(t \rightarrow Wq)$  at  $\sqrt{s} = 1.96$ -TeV," *Phys. Lett.* **B639** (2006) 616–622,hep-ex/0603002.

$$R = \frac{\mathcal{B}(t \to Wb)}{\mathcal{B}(t \to Wq)} = \frac{|V_{tb}|^2}{|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2} = 1.03^{+0.19}_{-0.17}$$

Only sizable coupling of 4th generation is to 3rd generation:

$$V_{\mathsf{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \stackrel{\leftarrow}{\leftarrow} \sum_{v} V_{cq}^2 \simeq 1 \\ \leftarrow \sum_{v} V_{tq}^2 \gtrsim 0.78$$

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## Is There a 4th Generation Allowed After All?

- Direct measurement of V<sub>tb</sub> allows for sizable coupling between 3rd and 4th generation
- N<sub>G</sub> = 3 from Invisible decay width of Z?
   Does not apply if neutrinos are heavier than M<sub>Z</sub>/2
- The fourth neutrino so much heavier than the first three? Flavor sector of neutrinos not understood; take it as a hint!
- ▶ Bound from cosmology  $\sum m_{\nu_i} \lesssim 2 \text{ eV}$  also assumes light neutrinos

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### Is There a 4th Generation Allowed After All?

S and T parameter constraints can be evaded, but (!) only at the cost of some fine-tuning

G. D. Kribs, T. Plehn, M. Spannowsky, and T. M. P. Tait, "Four generations and Higgs physics," Phys. Rev. D76 (2007) 075016, arXiv:0706.3718.

Constraints from S and T; latter constrains the mass difference; fourth generation allows for larger Higgs masses  $\odot$ 



Particle Data Group Collaboration, C. Amsler et al., Phys. Lett. B667 (2008) 1.

$$\Delta S = \frac{N_c}{6\pi} \left( 1 - Y \log \frac{m_u^2}{m_d^2} \right)$$
$$\Delta T = \frac{1}{8\pi \sin^2 \theta_w \cos^2 \theta_w} \left\{ 3 \left[ F_{t'b'} + \dots \right] \right\}$$

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### Is There a 4th Generation Allowed After All?

M. Bobrowski, A. Lenz, J. Riedl, and J. Rohrwild, "How much space is left for a new family of fermions?," Phys. Rev. D79 (2009) 113006, arXiv:0902.4883.

Constraints from FCNCs and  $b \rightarrow s\gamma$ ; small mixing of 3rd and 4th family favored, but sizable mixing possible; suggests that electroweak precision observables should be considered

M. S. Chanowitz, "Bounding CKM Mixing with a Fourth Family," Phys. Rev. D79 (2009) 113008, arXiv:0904.3570.

For  $m_{t'} = 300 \text{ GeV}$  and  $|m_{t'} - m_{b'}| \simeq 45 - 75 \text{ GeV}$ , mixing can be as large as  $\sin \theta_{34} = 0.35$ ; global EWP fit; main constraints come from *S*, *T*; large(r) mixing as suggested by Bobrowski et al. excluded

▶ J. Alwall *et al.*, "Is V(tb) = 1?," *Eur. Phys. J.* **C49** (2007) 791–801, hep-ph/0607115.  $|V_{tb}| = 1$  need not necessarily hold;  $|V_{tb}| > 0.9$ ; constraints from  $R_b$ ,  $B \rightarrow X_s \gamma$ , S, T, U;

## Time to Review What We Know from Theory!

#### Repetition of Families

Why is this pattern for 1 generation replicated 3 times? Horizontal symmetries?



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### Time to Review What We Know from Theory!

#### Mass Hierarchies and Yukawa Textures

up-quark mass  $\sim 2 \times 10^{-3} \text{ GeV} \leftrightarrow \text{top-quark mass} \sim 172.3 \text{ GeV}$ Yukawa coupling of top  $\sim 1$ , but why are the other quarks so light?

Minimal mixing in quark sector

$$V_{\mathsf{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \simeq \begin{pmatrix} 0.97 & 0.22 & 0.00 \\ 0.22 & 0.97 & 0.04 \\ 0.00 & 0.04 & ?? \end{pmatrix}$$

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### Time to Review What We Know from Theory!

Light neutrinos and texture of Yukawa couplings

Why are neutrinos so light?

$$\Delta m_
u^2 \sim 10^{-2} - 10^{-5}$$
 eV,  $\sum m_
u < 2$  eV

Maximal mixing in lepton sector

$$U_{\mathsf{PMNS}} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \simeq \begin{pmatrix} 0.8 & 0.5 & 0.0 \\ -0.4 & 0.6 & 0.7 \\ 0.4 & -0.6 & 0.7 \end{pmatrix}$$

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# Why Consider a Fourth Family?

- Why not? No theoretical explanation for number of families
- Flavor sector not understood at all
- Obvious extension of the Standard Model
- Present experimental data does not exclude a 4th family
- May ease the tension between the lower bound of the Higgs mass and the EW precision fit

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#### There are yet more reasons . . .

- ►  $V_{CKM}$  is now 4-by-4  $\sim$  More CPV  $\sim$  EW baryogenesis?
- S. W. Ham, S. K. Oh, D. Son, "Electroweak Phase Transition in the MSSM with Four Generations," Phys. Rev. D71 (2005) 015001, arXiv:hep-ph/0407019.

∃ parameter space, where EW phase transition strongly first order (otherwise baryon asymmetry washed out) Needs SUSY. Good, because we love SUSY! ☺

W. S. Hou, "Source of CP Violation For Baryon Asymmetry of the Universe," arXiv:0803.1234.
 May work w/o SUSY

 R. Fok and G. D. Kribs, "Four Generations, the Electroweak Phase Transition, and Supersymmetry," *Phys. Rev.* D78 (2008) 075023, arXiv:0803.4207 [hep-ph].
 Disagrees with Hou; SUSY indispensable; Ham/Oh/Son are in regime of non-perturbative b' Yukawa

► SUSY breaking difficult; see results later in talk ... ③

#### There are yet more reasons . . .

#### May help explain current B-physics data

W. S. Hou, M. Nagashima, G. Raz, A. Soddu, "Four Generation CP Violation in  $B \rightarrow \phi K^0, \pi^0 K^0, \eta' K^0$  and Hadronic Uncertainties,", arXiv:hep-ph/0603097.

- ► Time dependent CP violation in B system Discrepancy between b → cc̄s and b → sqq̄
- Direct CP violation in *B* system  $B^0 \rightarrow K^+\pi^-$  and  $B^+ \rightarrow K^+\pi^0$  should have similar rates, but they do not!
- Extra phases and t' contribution to EW penguin diagram may help
- Non-supersymmetric

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#### There are yet more reasons . . .

Non-perturbative physics

If the 4th generation Yukawa couplings are dangerously close to the non-perturbative regime, maybe they are simply non-perturbative

S. Bar-Shalom, G. Eilam, A. Soni, "Collider Signals of a Composite Higgs in the Standard Model with Four Generations,", arXiv:1001.0569.

- Higgs as composite particle of 4th generation quarks
- New collider signatures e.g.  $H \rightarrow \overline{t}' t^* \rightarrow \overline{t}' b W^+$

### **Experimental Limits**

We will be working with 3 sets of masses:

From Tevatron and LEP @95% C.L.:

Particle Data Group Collaboration, K. Nakamura et al., JPG 37 075021 (2010).

 $m_{t'}\gtrsim 256~{
m GeV},~~m_{b'}\gtrsim 128~{
m GeV},~~m_{ au'}\gtrsim 100.8~{
m GeV},~~m_{
u'_{\pi}}\gtrsim 45~{
m GeV}$ 

Assumes t', b' decay into W and quark

Taken the most conservative bounds

• T parameter  $\sim |m_{t'} - m_{b'}| \simeq 45 - 75$  GeV

$$m_{t'} = 256 \,\, {
m GeV}, \quad m_{b'} = 181 \,\, {
m GeV}, \quad m_{ au'} = 100.8 \,\, {
m GeV}$$

# Soft SUSY Breaking Parameters



Assume universal mass parameters at same scale!

- ► m<sub>0</sub>
- ► M<sub>1/2</sub>
- ► a<sub>0</sub>
- $\tan \beta$  or equivalently b
- sgn  $\mu$

Reduces number of parameters from 105 to 5! ©

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# Toy mSUGRA/CMSSM Model with Four Generations

Godbole, Vempati, Wingerter arXiv:0911.1882

- $\blacktriangleright$  Even with the most permissive bounds, we find that the MSSM w/four generations becomes non-perturbative  $\sim$  1000 TeV  $\odot$
- To illustrate the *qualitative* features of mSUGRA4, we will calculate the spectrum
- ▶ Perturbativity studies require only RGE equations. For calculating the spectrum, we had to extend SOFTSUSY (→ Indisoft).

| Higgses [GeV]        | Gauginos [GeV]                  |                   | Squar | ks &                     | Sleptons | [GeV                      | ]     |
|----------------------|---------------------------------|-------------------|-------|--------------------------|----------|---------------------------|-------|
| h <sup>0</sup> 119.5 | $\tilde{\chi}_{1}^{0}$ 44.1     | ũL                | 480.4 | $\tilde{t}_1$            | 499.7    | $\widetilde{t}_1'$        | 498.8 |
| A <sup>0</sup> 486.5 | $\tilde{\chi}_{2}^{0}$ 83.4     | ũ <sub>R</sub>    | 462.6 | t <sub>2</sub>           | 357.8    | $\widetilde{t'_2}$        | 356.4 |
| H <sup>0</sup> 486.2 | $\tilde{\chi}_{3}^{0}$ 474.2    | $\widetilde{d}_L$ | 486.7 | $ \widetilde{b}_1 $      | 432.4    | $\widetilde{b}'_1$        | 428.7 |
| H <sup>±</sup> 492.8 | $\tilde{\chi}_{4}^{0}$ 478.1    | $\widetilde{d}_R$ | 462.0 | $\widetilde{b}_2$        | 465.9    | $\widetilde{b}'_2$        | 466.2 |
|                      | $\widetilde{\chi}_1^{\pm}$ 83.4 | € <sub>L</sub>    | 187.7 | $\widetilde{\tau}_1$     | 196.4    | $\widetilde{\tau}'_1$     | 196.2 |
|                      | $\tilde{\chi}_{2}^{\pm}$ 481.4  | $\widetilde{e}_R$ | 142.0 | $\tilde{\tau}_2$         | 126.5    | $\tilde{\tau}'_2$         | 127.1 |
|                      | <i>g̃</i> 352.1                 | $\tilde{\nu}_e$   | 170.4 | $\widetilde{\nu}_{\tau}$ | 169.6    | $\widetilde{\nu}'_{\tau}$ | 169.6 |

## Toy mSUGRA/CMSSM Model with Four Generations

| Higgses [GeV]        | Gauginos [GeV]                 | Squarks & Sleptons [GeV]                           |
|----------------------|--------------------------------|--|
| h <sup>0</sup> 106.7 | $\tilde{\chi}_{1}^{0}$ 96.6    | $\tilde{u}_L$ 568.2 $\tilde{t}_1$ 587.4            |
| A <sup>0</sup> 382.2 | $\tilde{\chi}_{2}^{0}$ 178.3   | $\tilde{u}_R$ 547.5 $\tilde{t}_2$ 411.0            |
| H <sup>0</sup> 382.6 | $\tilde{\chi}_{3}^{0}$ 343.0   | $\tilde{d}_L$ 573.6 $\tilde{b}_1$ 519.9            |
| H± 390.9             | $\tilde{\chi}_{4}^{0}$ 362.8   | $\tilde{d}_R$ 546.6 $\tilde{b}_2$ 547.2            |
|                      | $\tilde{\chi}_{1}^{\pm}$ 178.0 | $\tilde{e}_L$ 205.7 $\tilde{\tau}_1$ 209.1         |
|                      | $\tilde{\chi}_{2}^{\pm}$ 364.5 | $\tilde{e}_R$ 146.7 $\tilde{\tau}_2$ 138.9         |
|                      | <i>̃g</i> 607.0                | $\tilde{\nu}_{e}$ 189.8 $\tilde{\nu}_{\tau}$ 189.1 |

Godbole, Vempati, Wingerter arXiv:0911.1882

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Table: mSUGRA3 with  $m_0 = 100$  GeV,  $m_{1/2} = 250$  GeV,  $A_0 = 0$  GeV,  $\tan \beta = 10$ ,  $\operatorname{sgn} \mu = +$ .  $M_{\rm GUT} = 2.40 \times 10^{16}$  GeV.

| Higgses [GeV]        | Gauginos [GeV]                 | Squarks & Sleptons [GeV] |       |                      | ]     |                           |       |
|----------------------|--------------------------------|--------------------------|-------|----------------------|-------|---------------------------|-------|
| h <sup>0</sup> 119.5 | $\tilde{\chi}_{1}^{0}$ 44.1    | ũL                       | 480.4 | $\tilde{t}_1$        | 499.7 | $\tilde{t}'_1$            | 498.8 |
| A <sup>0</sup> 486.5 | $\tilde{\chi}_{2}^{0}$ 83.4    | ũ <sub>R</sub>           | 462.6 | $\tilde{t}_2$        | 357.8 | $\widetilde{t}'_2$        | 356.4 |
| H <sup>0</sup> 486.2 | $\tilde{\chi}_{3}^{0}$ 474.2   | <i>d</i> <sub>L</sub>    | 486.7 | $\widetilde{b}_1$    | 432.4 | $\widetilde{b}'_1$        | 428.7 |
| H <sup>±</sup> 492.8 | $\tilde{\chi}_{4}^{0}$ 478.1   | $\tilde{d}_R$            | 462.0 | $\tilde{b}_2$        | 465.9 | $\widetilde{b}'_2$        | 466.2 |
|                      | $\tilde{\chi}_1^{\pm}$ 83.4    | € <sub>L</sub>           | 187.7 | $\tilde{\tau}_1$     | 196.4 | $\tilde{\tau}'_1$         | 196.2 |
|                      | $\tilde{\chi}_{2}^{\pm}$ 481.4 | $\widetilde{e}_R$        | 142.0 | $\tilde{\tau}_2$     | 126.5 | $\tilde{\tau}'_2$         | 127.1 |
|                      | ĝ 352.1                        | $\tilde{\nu}_e$          | 170.4 | $\tilde{\nu}_{\tau}$ | 169.6 | $\widetilde{\nu}'_{\tau}$ | 169.6 |

Table: mSUGRA4 with  $m_0 = 100$  GeV,  $m_{1/2} = 250$  GeV,  $A_0 = 0$  GeV,  $\tan \beta = 10$ ,  $\sin \mu = +$ , and all 4th generation masses equal to their 3rd generation counterparts (toy model).  $M_{GUT} = 8.82 \times 10^{16}$  GeV.

# Toy mSUGRA/CMSSM Model with Four Generations

Godbole, Vempati, Wingerter arXiv:0911.1882

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Figure: The running of the various soft masses in the MSSM3 and MSSM4 is shown in the left and right panel, respectively. The unification scale is  $M_{GUT} = 2.40 \times 10^{16}$  GeV and  $M_{GUT} = 8.82 \times 10^{16}$  GeV in the case of three and four generations, respectively.

## Perturbativity of the Yukawa Couplings

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Figure: Constraints in the  $m_{b'}-m_{t'}$  plane from the perturbativity of  $h_{t'}$  for fixed values of  $m_{\tau'} = 100.8$  GeV and tan  $\beta = 3$ .

### Perturbativity of the Yukawa Couplings

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Figure: Constraints in the  $m_{\tau'}-m_{b'}$  plane from the perturbativity of  $h_{t'}$ ,  $h_{b'}$ , and  $h_{\tau'}$  for fixed values of  $m_{t'} = 150$  GeV and tan  $\beta = 3$ .

# Perturbativity of the Yukawa Couplings

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Figure: For the masses that are (i) experimentally allowed, (ii) that are  $\sim 25\%$  below the experimental lower bounds with *T*-parameter constraints.

# Minimal Gauge Mediated Supersymmetry Breaking

- $\blacktriangleright$  Theory becomes non-perturbative  $\sim 10-1000$  TeV
- 4th chiral generation and perturbative unification mutually exclusive
- mSUGRA/CMSSM does not work
- Need SUSY breaking mechanism with low scale
- Gauge Mediated Supersymmetry Breaking
- Consider minimal model

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# Minimal Gauge Mediated Supersymmetry Breaking

Spectrum generated with Indisoft

Godbole, Vempati, Wingerter arXiv:0911.1882

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| Higgses [GeV]        | Gauginos [GeV]                    | Squarks & Sleptons [GeV]   |  |  |
|----------------------|-----------------------------------|--|--|--|
| h <sup>0</sup> 46.2  | ${\widetilde{\chi}_{1}^{0}}$ 64.3 | $\widetilde{u}_L$ 758.1 $\widetilde{t}_1$ 766.1 $\widetilde{t}_1'$ 722.6   |  |  |
| A <sup>0</sup> 507.6 | ${\widetilde \chi}^0_2$ 127.0     | $\widetilde{u}_R$ 735.5 $\widetilde{t}_2$ 639.3 $\widetilde{t}_2'$ 583.8   |  |  |
| H <sup>0</sup> 532.2 | $\widetilde{\chi}_3^0$ 640.6      | $\begin{bmatrix} \tilde{d}_L & 761.1 \end{bmatrix} \begin{bmatrix} \tilde{b}_1 & 725.1 \end{bmatrix} \begin{bmatrix} \tilde{b}_1' & 733.4 \end{bmatrix}$ |  |  |
| H <sup>±</sup> 516.1 | $\widetilde{\chi}_4^0$ 655.1      | $\tilde{d}_R$ 733.8 $\tilde{b}_2$ 734.3 $\tilde{b}_2'$ 525.5   |  |  |
|                      | $\widetilde{\chi}_1^\pm$ 126.9    | $\widetilde{e}_L$ 208.3 $\widetilde{\tau}_1$ 208.4 $\widetilde{\tau}_1'$ 320.3   |  |  |
|                      | $\widetilde{\chi}_2^\pm$ 652.0    | $\widetilde{e}_{R}$ 88.1 $\widetilde{	au}_{2}$ 87.8 $\widetilde{	au}_{2}'$ 193.4   |  |  |
|                      | <i>g</i> 438.4 <i>g</i> € 38.4    | $\tilde{\nu}_{e}$ 197.2 $\tilde{\nu}_{\tau}$ 197.2 $\tilde{\nu}_{\tau}'$ 202.7   |  |  |

Table: Minimal GMSB spectrum with 4 generations:  $n_5 = 1$ ,  $M_{\rm mess} = 100$  TeV,  $\Lambda = 50$  TeV,  $\tan \beta = 1.75$ , $\operatorname{sgn} \mu = +$ .  $\tilde{\tau}'$  is tachyonic,  $m_h = 46.2$  GeV,  $m_{\widetilde{G}} = 1.2 \times 10^{-9}$  GeV (gravitino), NLSP is neutralino.

# Minimal Gauge Mediated Supersymmetry Breaking

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**Figure:** Regions in mGMSB parameter space  $\Lambda$ - $M_{mess}$ . The lower-diagonal part is ruled out as  $\Lambda > M_{mess}$ . In the upper-diagonal part, from left to right, the first region (red) tachyonic  $\tau'$ , and the second (orange), third (cyan), fourth (green) do not have consistent radiative electroweak symmetry breaking as indicated by the tachyonic Higgses.

# Conclusions

- ▶ 4th generation not favored by experiment, but not excluded
- Obvious extension of the Standard Model
- May addresses some questions like
  - Higgs bound  $\leftrightarrow$  electroweak precision data
  - Electroweak baryogenesis
  - B-physics data
- Needs fine-tuned masses to avoid S and T parameter constraints
- Difficult to accommodate in the context of SUSY (breaking)

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