



I F I R



PHAST  
PHYSIQUE  
ET ASTROPHYSIQUE  
UNIVERSITÉ DE LYON



## PhD day 2026

# Linking ion interaction processes to biological effects in hadrontherapy with the NanOx biophysical model

**PhD student under cotutelle:** Lic. Camila Strubbia Mangiarelli <sup>(1,2)</sup>

**Supervisors:** Prof. Michaël Beuve<sup>(1)</sup> and Prof. Mariel Elisa Galassi<sup>(2)</sup>

<sup>(1)</sup> Institut de Physique des 2 Infinis de Lyon, CNRS/IN2P3, UCBL1, 69100 Villeurbanne, France

<sup>(2)</sup> Grupo de Física Biomédica, Instituto de Física de Rosario, CONICET/UNR, Rosario S2000, Argentina

# - Outline

## 1. Context & motivation

- Hadrontherapy vs. conventional radiotherapy
- Relative Biological Effectiveness
- Objectives

## 2. From ion interactions to chemical stage

- Ion interactions with matter
- Stopping power and range
- Water radiolysis

## 3. NanOx biophysical model

- Local and global events
- Influence of physical processes on  $\alpha$
- Role of Auger electrons

## 4. Toward a simplified NanOx model

## 5. General conclusions & future steps

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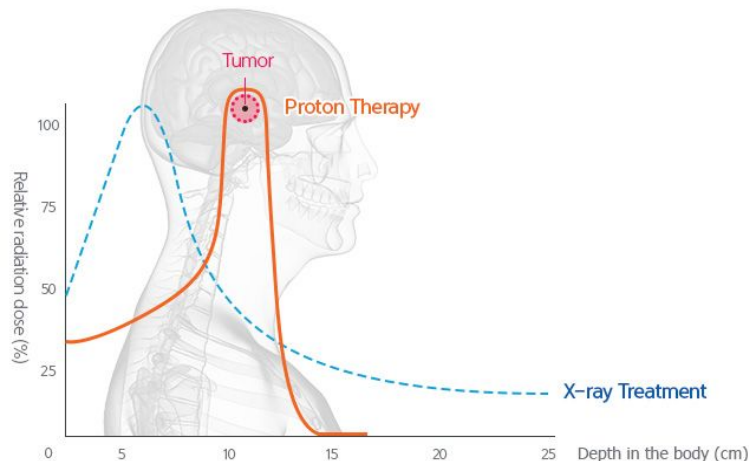
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# - Hadrontherapy vs. conventional radiotherapy

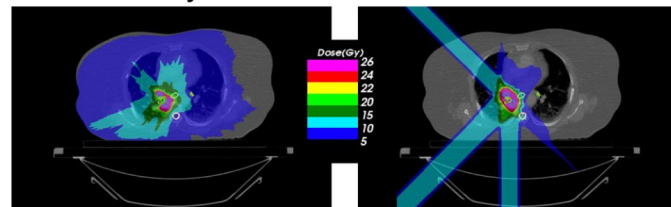
## What is hadrontherapy?

Advanced form of radiation therapy that utilizes proton and heavy-ion beams to treat cancer. It represents a significant evolution in cancer treatment, offering enhanced precision and efficacy.

### Superior Ballistic Accuracy

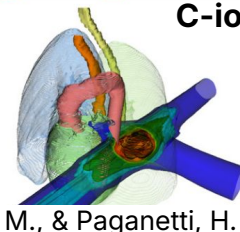
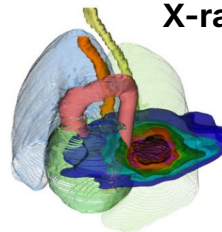


### Targets Tumors Near Critical Organs and Treats Radioresistant Tumors



X-rays

C-ions



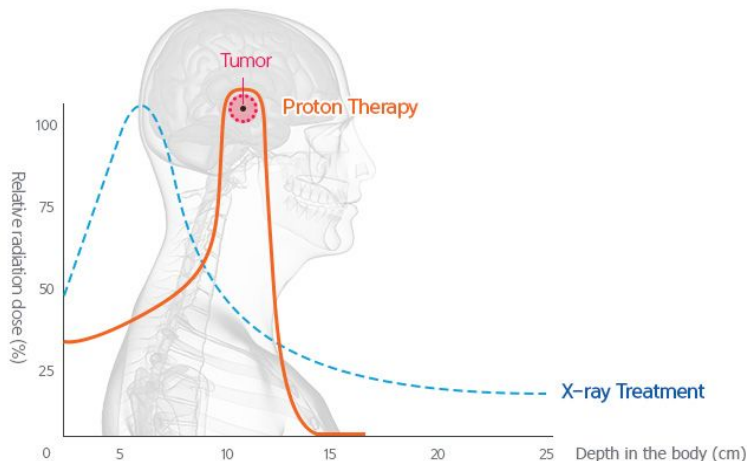
Durante, M., & Paganetti, H. (2016)

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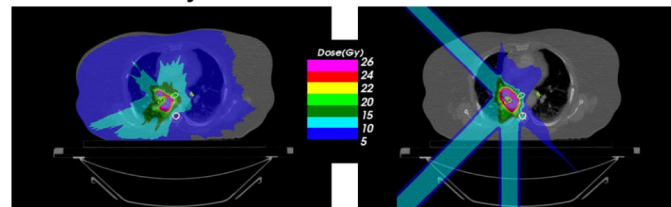
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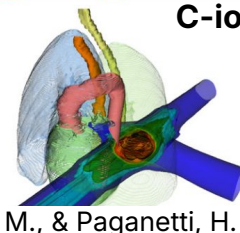
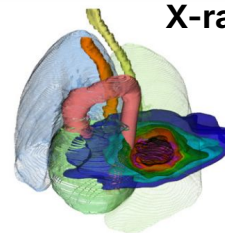


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Durante, M., & Paganetti, H. (2016)

$$D_{bio} = D_{phy} \times RBE$$

Biological dose calculation is key for treatment planning

## - Relative Biological Effectiveness (RBE)

$$RBE = \frac{D_r}{D_{ion}} \Big|_{isoeffect} \Rightarrow \text{complex function which depends on physical and biological parameters}$$

in clinical practice,  
RBE is either taken  
as a fixed value:  
1.1 for proton therapy

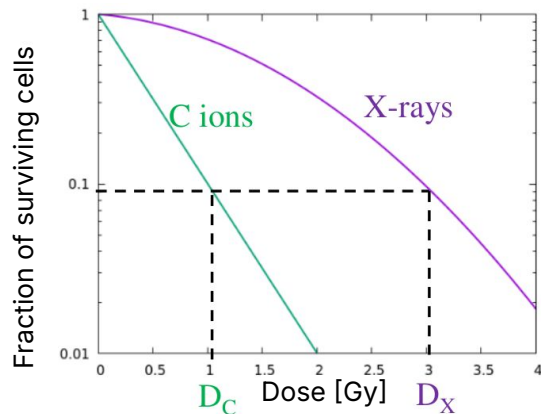
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Empirical approaches → cell survival experiments



linear-quadratic fit →  $S(D) = e^{-(\alpha D + \beta D^2)}$

- Purely phenomenological
- Fails for high-LET clustering

limitations

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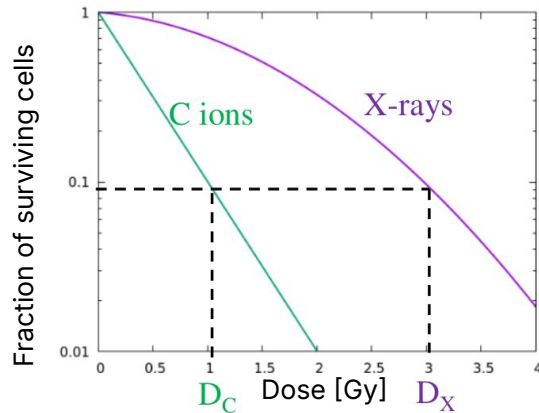
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## Theoretical methods → biophysical models

Mathematical formalism used to predict the biological effects of ionizing radiation.

- LEM I
  - mMKM
  - **NanOx**
- currently implemented in Treatment Planning System (TPS) of hadrontherapy facilities
- developed in IP2I - PRISME group

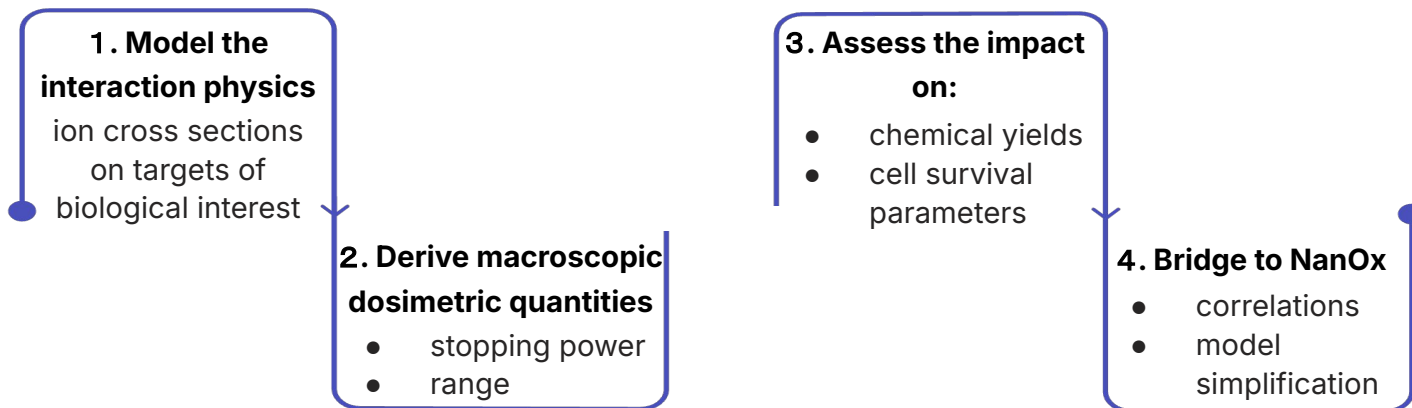
**Biological endpoints: probability of cell survival**

# - Objectives

## Main goal:

Establish a closer link between ion interaction processes and NanOx predictions to enable a simplified model suitable for clinical TPS.

## To achieve this I have to:



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# - Ion interactions with matter

## Inelastic processes in the physical stage ( $<10^{-16}$ s):

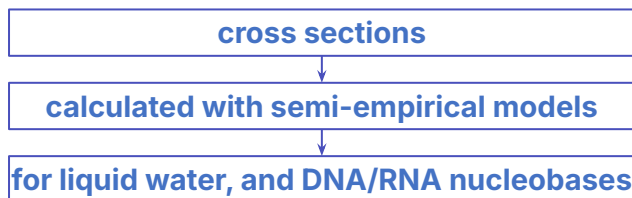
Ionization (single & multiple)	ejection of one or more electrons, produces secondary $\delta$ -electrons
Electronic excitation	target molecule promoted to excited state without ionization
Electron capture	projectile captures a target electron
Electron loss	projectile loses an electron

**cross sections**

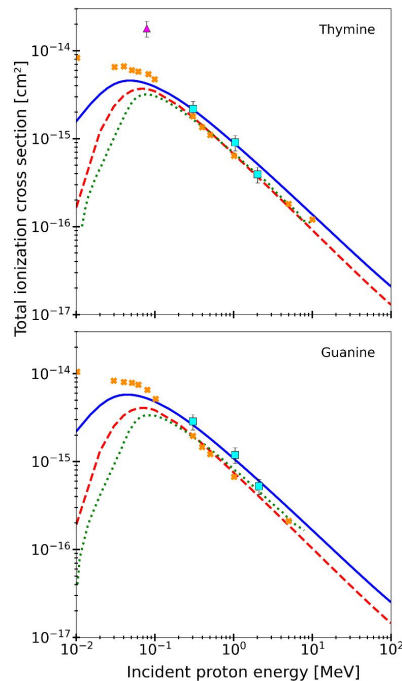
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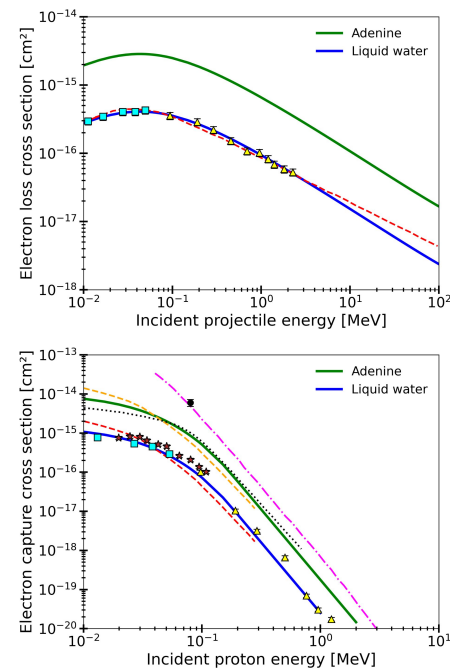
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### For protons:



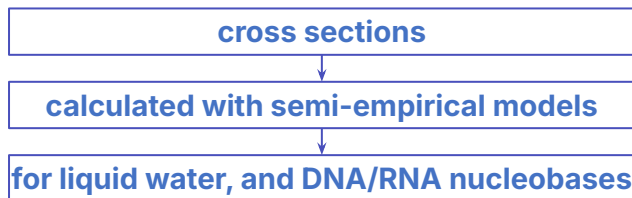
Strubbia Mangiarelli et al. (2025)



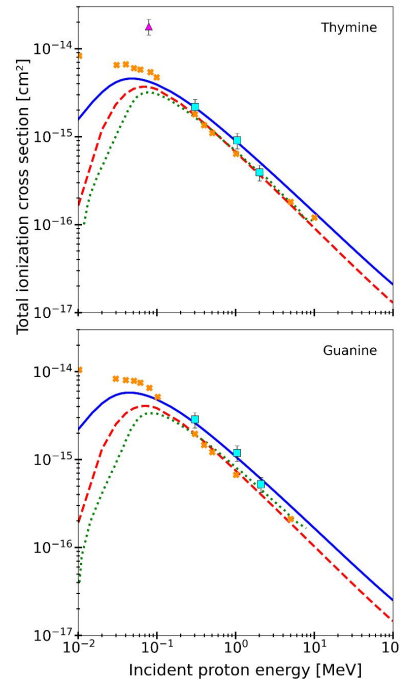
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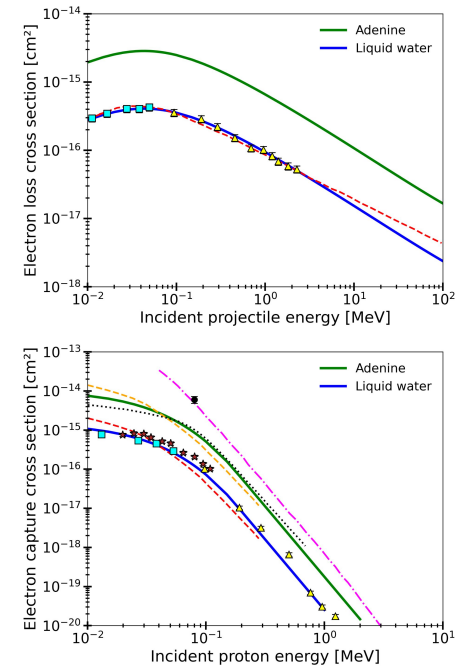
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### For protons:



Strubbia Mangiarelli et al. (2025)



→ Cross sections are the fundamental input to Monte Carlo simulations and determine all subsequent biological predictions

## - Stopping power and range

$$S(E) = -\frac{dE}{dx} \rightarrow \text{mean energy loss per unit path length}$$

$$R_{CSDA} = -\int_{E_i}^0 \frac{dE}{S(E)} \rightarrow \text{depth at which the ion stops} \\ \rightarrow \text{location of the Bragg peak}$$

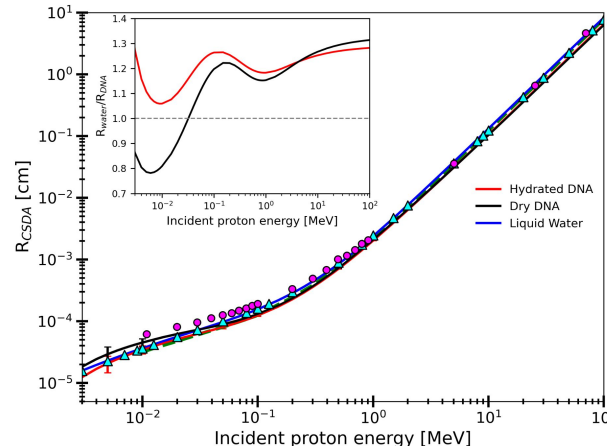
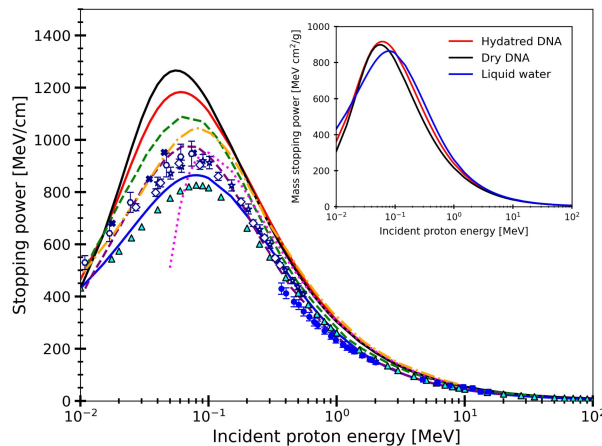
the Bragg peak position must be predicted to within ~1 mm for treatment planning, errors here directly misplace the dose

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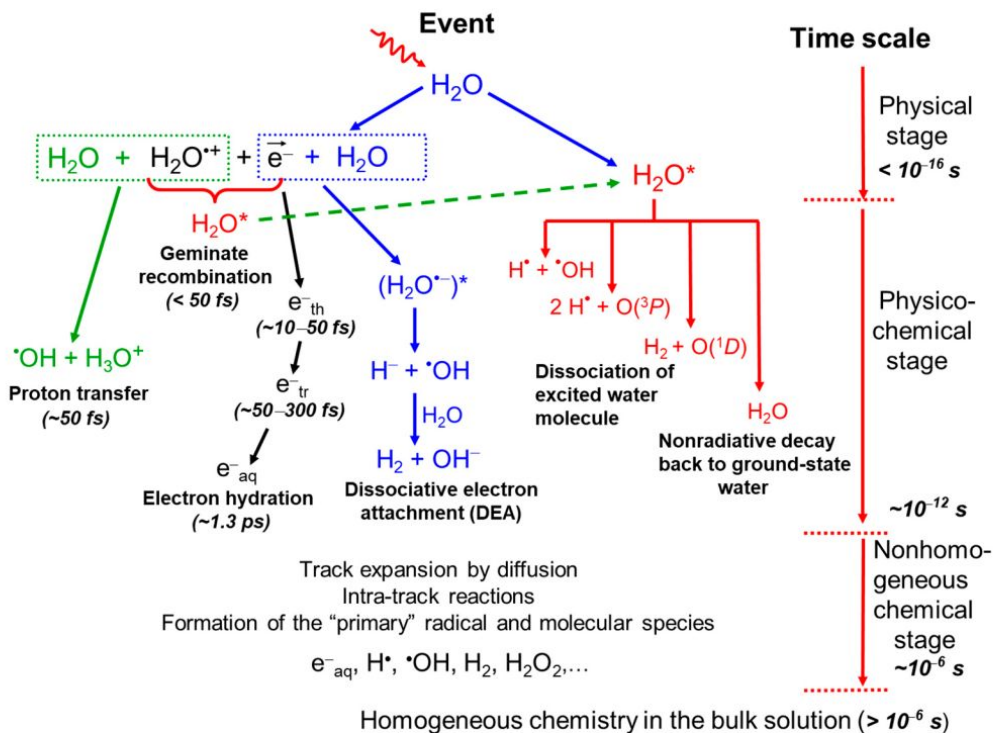
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Strubbia Mangiarelli et al. (2025)

- Stopping power differences with liquid water: up to **60%** (dry DNA), **40%** (hydrated DNA)
- Even after density normalization → **25–30%** shift in position of the maximum (for S)
- Water as surrogate ≠ DNA → risk of misrepresenting damage
- Explicit DNA modeling is a good way to improve accurate hadrontherapy predictions

# - Water radiolysis



**Diagram:** The time scale of events in the water radiolysis at low LET. The figure is divided into three distinct consecutive stages: physical, physicochemical, and chemical.

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# - NanOx biophysical model

## NanOx → Nanodosimetry and Oxidative stress

→ Predicts cell survival to ionizing radiation

→ Considers:

- The stochastic nature of energy deposition (micro- and nano-scales)
- Sublethal damage and oxidative stress induced by free radicals (e.g.,  $\bullet\text{OH}$ )

→ Has two types of biological events:

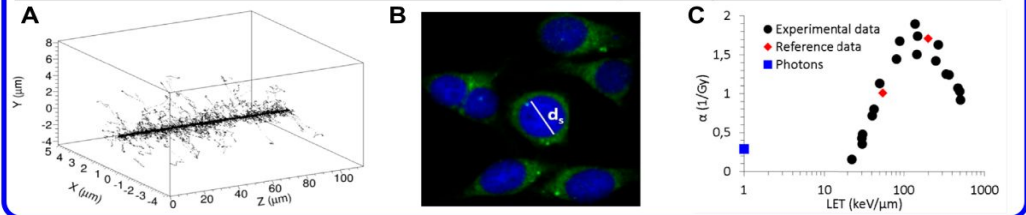
- Local lethal events (LLE)
- Global events (GB)

→ Outputs: cell survival parameters ( $\alpha, \beta$ )

## The NanOx model (hadrontherapy implementation)

### INPUT

	Data (MC simulations and biological measures)	NanOx		
		Scale	Input	Main param.
Physico-chemical	- Spatial distribution of energy transfer points and radical species → configuration $c_k$ - $c_k$ : combination of K configurations $c_k$	Nano	$c_k^Z$	
		Micro	${}^{tk}RCE, c_k^Z$	
		Macro	$D, K$	
Biological	- Cell geometry from microscopy images - Experimental $\alpha$ and $\beta$ coefficients	Micro	$d_s$	
		Macro	$(\alpha_r, \beta_r)$ $\alpha_{int}, \alpha_{high}$	$(\alpha_G, \beta_G)$ $\sigma, h, z_0$



### MEAN CELL SURVIVAL FRACTION AT DOSE $D$

$\overline{S(D)} = \sum_{k=0}^{\infty} P(K, D) \cdot \langle c_K S \rangle_{c_K}$  where  $c_K S = c_K S_L \times c_K S_G$  and  $\langle c_K S \rangle_{c_K}$  is the survival fraction  $c_K S$  averaged over **all radiation configurations  $c_K$  generated with MC simulations**

# - Local and global events

## LOCAL LETHAL EVENTS

**Example:** irreparable DNA damage

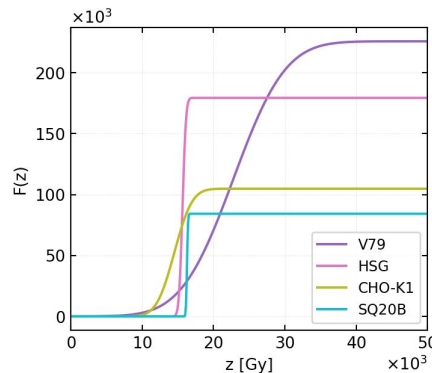
**Modeling:** inactivation of 1 among  $N$  nanometric targets. The cell survival  $S_L$  is expressed via an **effective function  $F$**  characterizing the response of each cell line to the **restricted specific energy deposited** in targets ( $^{ck}z$ ):

$${}^{cK} S_L = \prod_{k=1}^K \exp(-F({}^{ck}z))$$

**EFFECTIVE LOCAL LETHAL FUNCTION (ELLF)**  $\longrightarrow F(z) = \frac{h}{2} \left[ 1 + \operatorname{erf} \left( \frac{z-z_0}{\sigma} \right) \right]$

$(z_0, \sigma, h)$  determined from a fit of experimental values of the linear parameter  $\alpha$  (Monini et al. (2020))

$z_0$ : threshold energy,  
 $\sigma$ : width of the transition region,  
 $h$ : saturation level



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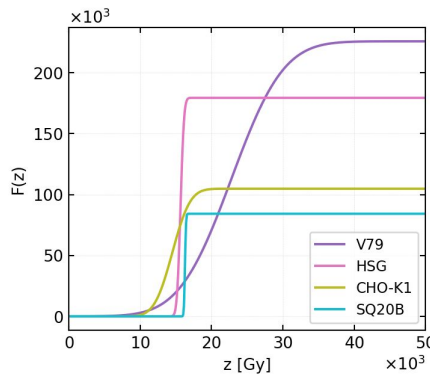
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## GLOBAL EVENTS

**Example:** oxidative stress due to accumulation of reactive chemical species

**Modeling:** cell survival  $S_G$  computed from the **chemical specific energy**:

$${}^{c_K}\tilde{Z} = {}^{c_K}RCE \cdot {}^{c_K}Z$$

$${}^{c_K}S_G = \exp \left( -\alpha_G {}^{c_K}\tilde{Z} - \beta_G {}^{c_K}\tilde{Z}^2 \right)$$

**relative chemical effectiveness**  $\rightarrow {}^{c_K}RCE = \frac{{}^{c_K}G}{G_r}$

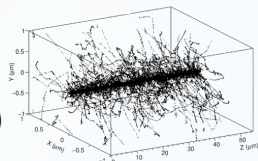
- **Chemical yield  $G$ :** number of reactive chemical species generated per 100 eV.
- Currently, only primary hydroxyl radicals ( $\bullet\text{OH}$ ) produced at time  $T_{RCE} = 10^{-11}$  s are considered for calculation of cell survival fraction.

## - **First study:** Influence of core ionization processes on cell survival calculated with NanOx

**MC simulations**

**LPChem code**

(Gervais et al. (2006))



Physical and physico-chemical stages of ion impact on **water molecules**



Modifications to consider different physical scenarios:

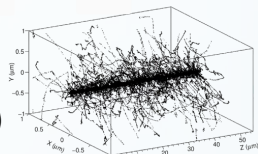
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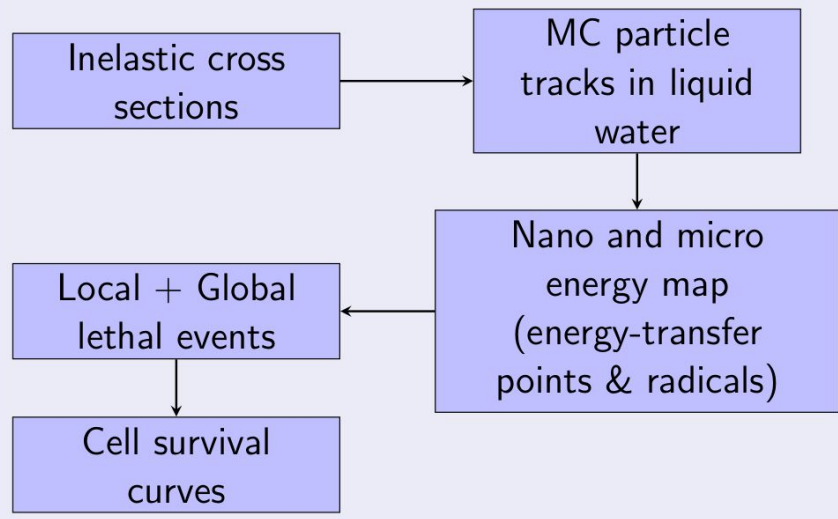
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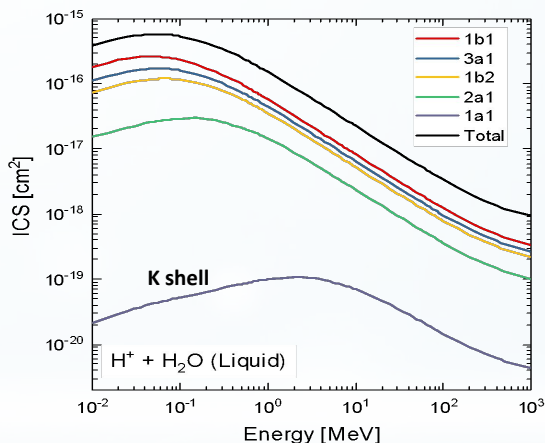
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## Workflow diagram



# - Post-collisional Auger e<sup>-</sup> emission: why does it matter?

The significance of this effect does not stem from its low probability of occurrence (less than 1%), but rather from the kinetic energy of the emitted Auger electron.

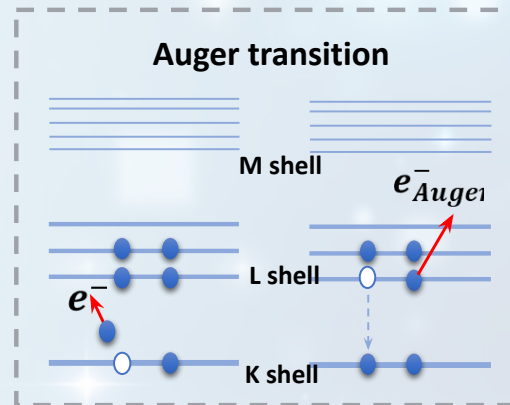


- The probability of ionizing the innermost shell of the molecule is very low

$$\langle E \rangle = \frac{\sum_{j=1}^5 \int \epsilon d\sigma_j / d\epsilon d\epsilon}{\sigma_{Total}} \cong 40 \text{ eV}$$

Average kinetic energy of electrons emitted

$$E_{Auger} = |B_{2a1} - B_{1a1}| - B_{2a1} \cong 470 \text{ eV}$$



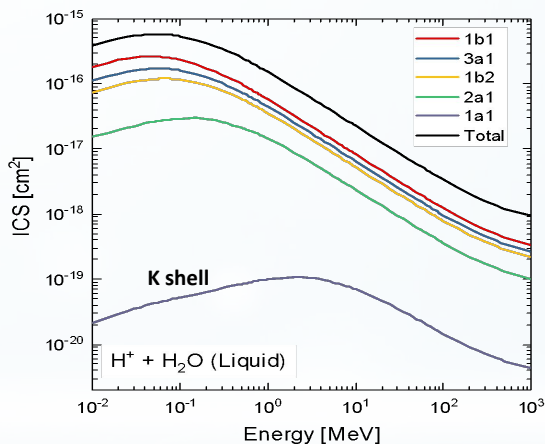
$E_{Auger} > \langle E \rangle$   
thus can produce further ionizations



Mol. orbital	
1a <sub>1</sub>	540.0
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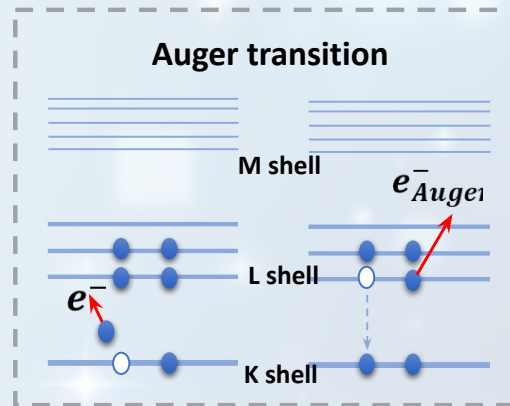


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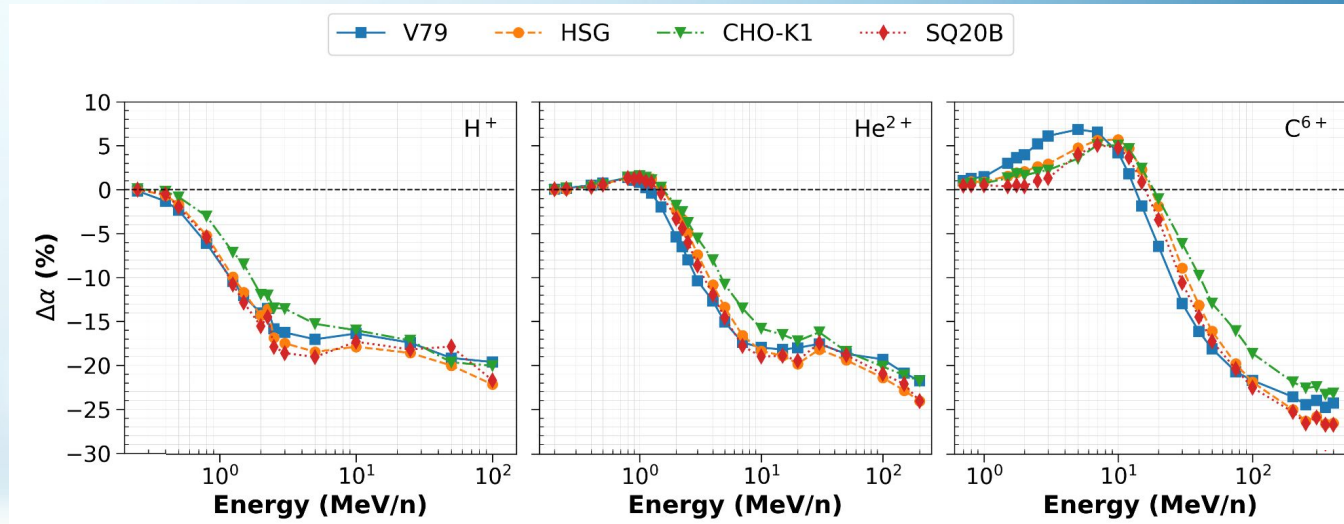
Moreover, since the Auger process occurs after the collision, it does not involve energy loss from the projectile itself and this is why it doesn't impact on the stopping power

H<sub>2</sub>O

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# - Results: effect of physical processes on $\alpha$ value

## Suppressing 1s ionization



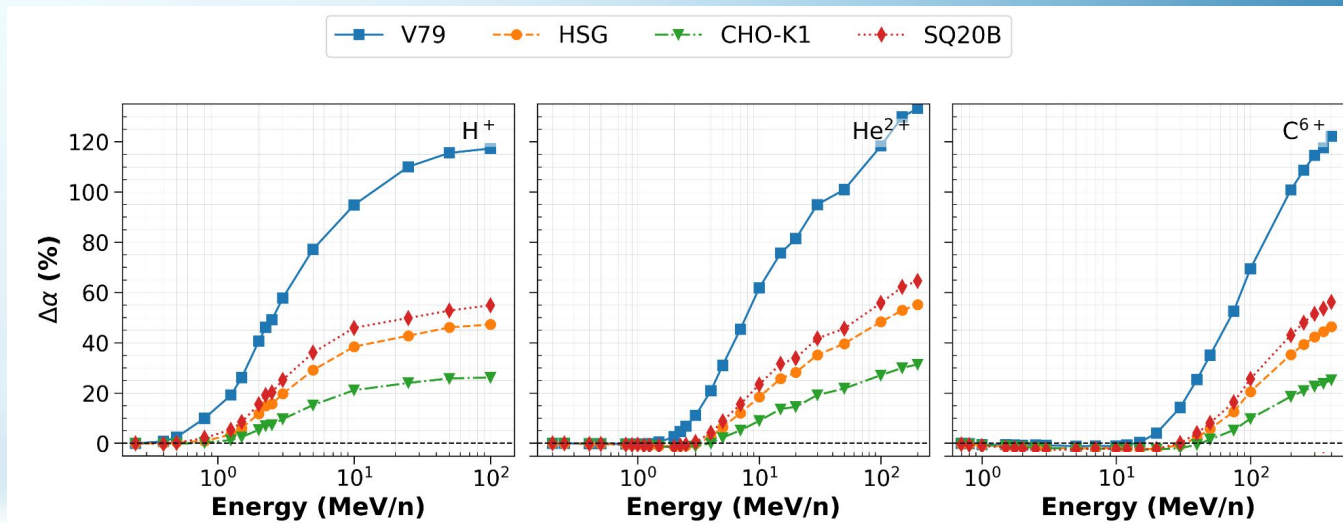
\* 1s ionization contributes up to 26.6% to  $\alpha$ , effect grows with energy as LET decreases and localized deposits become more critical

$\Delta\alpha = (\alpha_{\text{mod}} - \alpha_{\text{full}}) / \alpha_{\text{full}} \times 100$ . Statistical uncertainty below 2% in all cases.

*Lower LET  $\rightarrow$  sparse energy deposits  $\rightarrow$  highly localized 1s electrons matter more  $\rightarrow$  suppressing them reduces  $z$   $\rightarrow$  fewer LLE  $\rightarrow$  lower  $\alpha$*

# - Results: effect of physical processes on $\alpha$ value

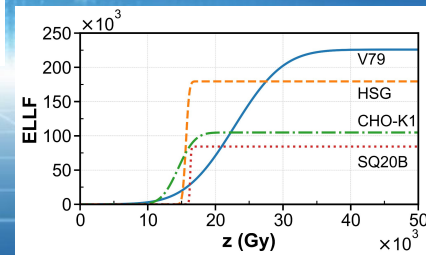
## Suppressing Auger emission



\* Largest effect: up to 133% increase in  $\alpha$  ( $He^{2+}$ , V79); strongly correlated with  $z_0$  threshold of each cell line

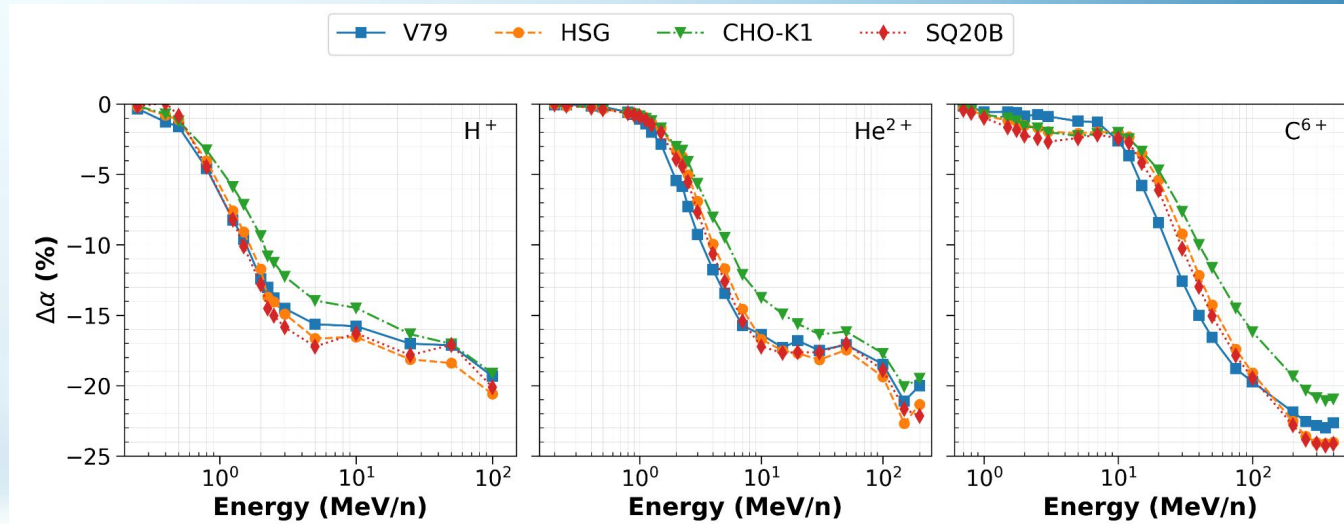
$$\Delta\alpha = (\alpha_{\text{mod}} - \alpha_{\text{full}}) / \alpha_{\text{full}} \times 100. \text{ Statistical uncertainty below 2\% in all cases.}$$

*Auger emission suppressed  $\rightarrow$  relaxation energy stays local  $\rightarrow$   $z$  overestimated  $\rightarrow$  ELLF activated more  $\rightarrow$   $\alpha$  artificially increased. Effect largest in V79 (highest  $z_0$ )*



# - Results: effect of physical processes on $\alpha$ value

## No tracking Auger electrons



\* ~15–20% reduction in  $\alpha$  — Auger energy escapes nanometric volume, reducing  $z$  and LLE probability

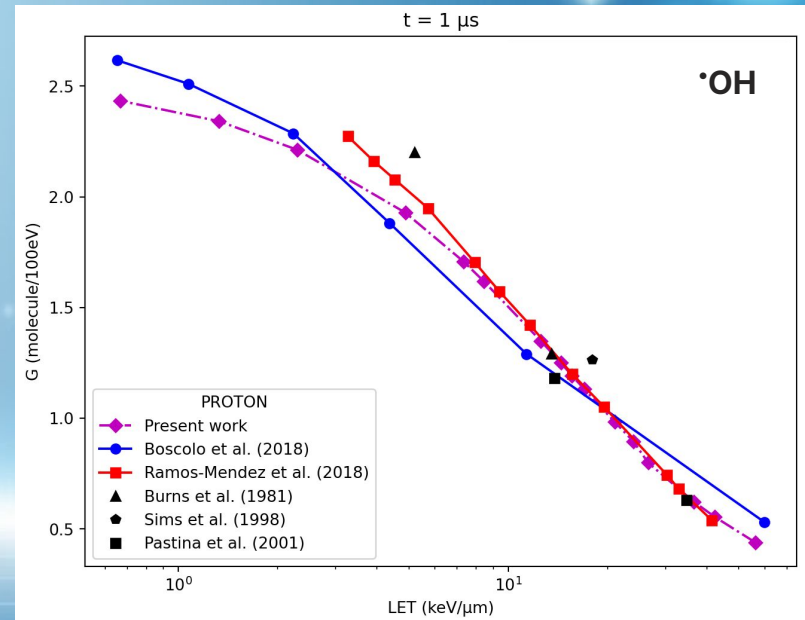
$$\Delta\alpha = (\alpha_{\text{mod}} - \alpha_{\text{full}}) / \alpha_{\text{full}} \times 100. \text{ Statistical uncertainty below 2\% in all cases.}$$

*Auger electrons emitted but not tracked → energy carried away from nanometric volume →  $z$  reduced → fewer targets reach lethal threshold → lower  $\alpha$*

# - Results: effect of physical processes on G-value

## Work in progress !

- **Preliminary baseline results:**  
G( $\cdot\text{OH}$ ) decreasing with increasing LET, is consistent with experimental data, which validates our simulation framework.
- The **next step** is to apply the same physical modifications — suppressing 1s ionization, Auger emission, and Auger tracking — and quantify how each one affects G.



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# - Correlation between physical parameters and NanOx

## Work in progress !

- NanOx requires Monte Carlo simulations at micro/nano scales.
- These simulations are computationally expensive and time-consuming.
- Our goal: build a “fast version” of NanOx.
- Idea: **replace part of the MC workflow** with an **AI-based predictive model**.

## Introducing $\alpha_{core}$

- NanOx splits an ion track in two regions:
  - **core** → high-density energy-deposit
  - **penumbra** → low-density  $\delta$ -ray
- In the core region ⇒ the linear coefficient  $\alpha_{core}$  quantifies:
  - the number of LLE per unit of restricted energy deposited
  - how efficiently core-region energy deposition produces lethal nanometric damage (e.g., irreparable DNA lesions)

$${}_{t_N, t_k} \alpha_{core} = \int_0^{+\infty} \underbrace{\frac{1}{t_i z_c^{hit}} t_{i, c_k} \left[ \frac{dP}{dz} \right]_c^{hit}}_{MC \text{ simulation}} \underbrace{t_N F(z)}_{ELLF} dz$$

# - Correlation between physical parameters and NanOx

## Work in progress !

- NanOx requires Monte Carlo simulations at micro/nano scales.
- These simulations are computationally expensive and time-consuming.
- Our goal: build a “fast version” of NanOx.
- Idea: **replace part of the MC workflow** with an **AI-based predictive model**.

### Introducing $\alpha_{core}$

- NanOx splits an ion track in two regions:
  - **core** → high-density energy-deposit
  - **penumbra** → low-density  $\delta$ -ray
- In the core region ⇒ the linear coefficient  $\alpha_{core}$  quantifies:
  - the number of LLE per unit of restricted energy deposited
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$$\alpha_{core} = a\sigma + bS_{res} + \dots$$

# - Outline

- 1. Context & motivation**
  - Hadrontherapy vs. conventional radiotherapy
  - Relative Biological Effectiveness
  - Objectives
- 2. From ion interactions to chemical stage**
  - Ion interactions with matter
  - Stopping power and range
  - Water radiolysis
- 3. NanOx biophysical model**
  - Local and global events
  - Influence of physical processes on  $\alpha$
  - Role of Auger electrons
- 4. Toward a simplified NanOx model**
- 5. General conclusions & future steps**

# - Conclusions & future steps

## ✓ Conclusions:

- **Cross sections** → calculated and validated for protons on H<sub>2</sub>O and DNA/RNA bases; good agreement with experiment (Strubbia Mangiarelli et al. 2025)
- **Stopping power** → differs up to 60% from liquid water; water-as-surrogate introduces real dosimetric error
- **Cell survival ( $\alpha$ )** → 1s ionization: up to 26.6% effect; Auger emission: up to 133%, correlated with  $z_0$  threshold

# - Conclusions & future steps

## ✓ Conclusions:

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## → Next steps:

1. Integrate proton cross sections in DNA into Geant4-DNA
2. Complete G( $\cdot$ OH) sensitivity study across all physical scenarios and ion species
3. Establish  $\alpha_{\text{core}} \leftrightarrow$  correlations → simplified NanOx
4. Train AI/ML surrogate → fast NanOx for clinical TPS

**Thanks for your attention**

Questions?

# Appendix

The background is a vibrant blue gradient. At the bottom, a perspective grid of white lines recedes towards a bright white horizon line. The sky is filled with various glowing elements: soft bokeh lights, sharp four-pointed stars, and a horizontal band of small, bright blue dots just above the horizon. A single, larger, semi-transparent blue square is positioned in the upper right quadrant.

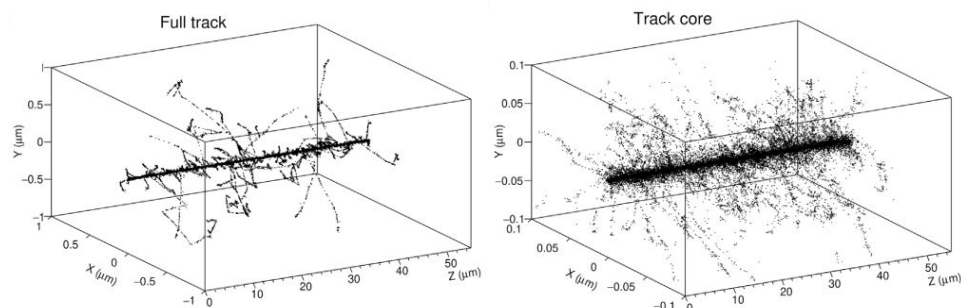
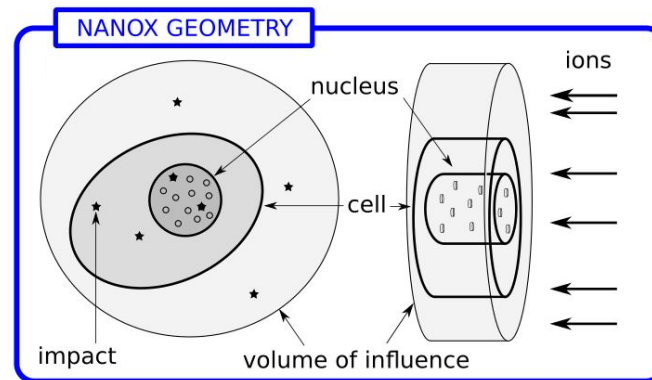
# - NanOx for hadrontherapy

## Premises:

- One sensitive volume (SV): cell nucleus
- SV with cylindrical geometry and incident beam parallel to the SV axis
- Irradiation under 'track segment' conditions
- Core and penumbra at the ion track

## Input parameters:

- SV diameter
- Coefficients  $\alpha_r$  and  $\beta_r$ , for reference radiation (e.g.,  $\gamma$  photons of  $^{60}\text{Co}$ )
- Parameters ( $z_0$ ,  $\sigma$ ,  $h$ ) of the effective local lethal function (ELLF), used to calculate  $S_L$



Alcocer-Ávila et al. (2023): 2.6 MeV proton track and a zoom of its core

# - Cell lines considered

Alcocer-Ávila et al.

10.3389/fphy.2022.1011063

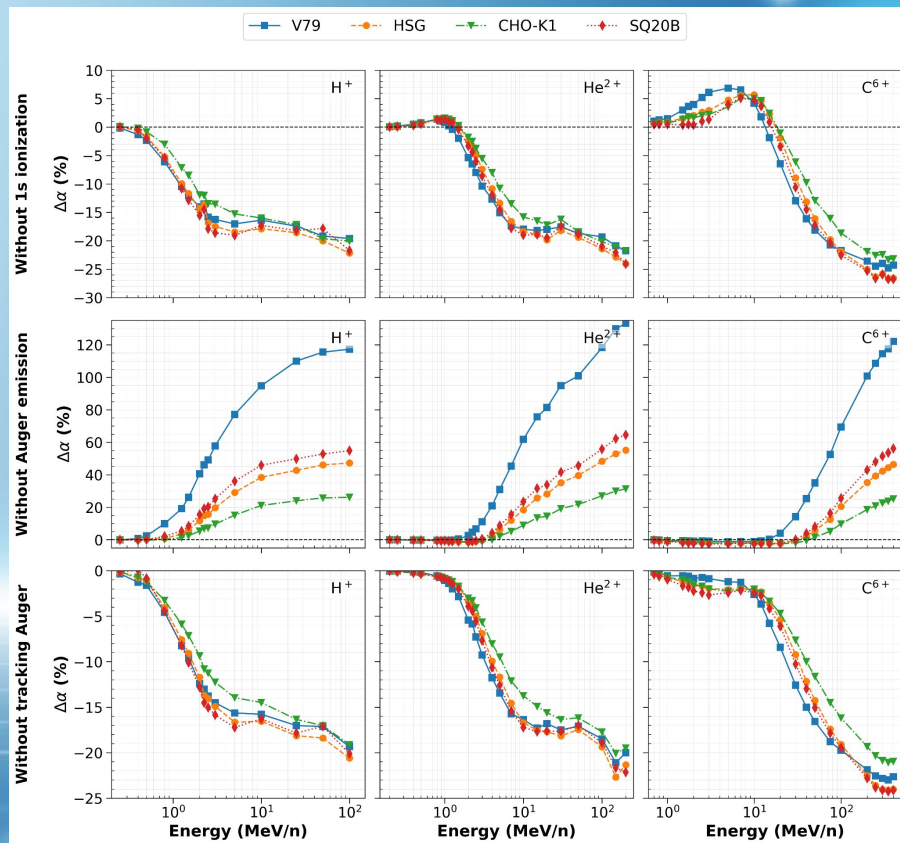
TABLE 1 Values of the parameters used to model the cell lines V79, CHO-K1 and HSG with NanOx.  $d_s$  and  $L_s$  represent the sensitive volume diameter and length, respectively. Similarly,  $d_t$  and  $L_t$  are the local targets' diameter and length, respectively.  $T_{RCE}$  is the time at which the concentration of  $\bullet$ OH radicals is considered.

Event class	Parameters	V79 cells	CHO-K1 cells	HSG cells
		Chinese-hamster lung fibroblast	Chinese-hamster ovary	human salivary-gland
Local/Global	$d_s$ ( $\mu\text{m}$ )	9.8	11.8	14
	$L_s$ ( $\mu\text{m}$ )	1.0	1.0	1.0
Local	$z_0$ (Gy)	22,789	14,507	15,654
	$\sigma$ (Gy)	8117	2781	549
	$h$	225,841	104,810	179,439
	$d_t$ (nm)	20	20	20
	$L_t$ (nm)	10	10	10
Global	$\beta_G$ ( $\text{Gy}^{-2}$ )	0.0405	0.0625	0.0961
	$\alpha_G$ ( $\text{Gy}^{-1}$ )	0	0	0
	$T_{RCE}$ (s)	$10^{-11}$	$10^{-11}$	$10^{-11}$

SQ20B (human squamous cell carcinoma, head-and-neck radioresistant cell)

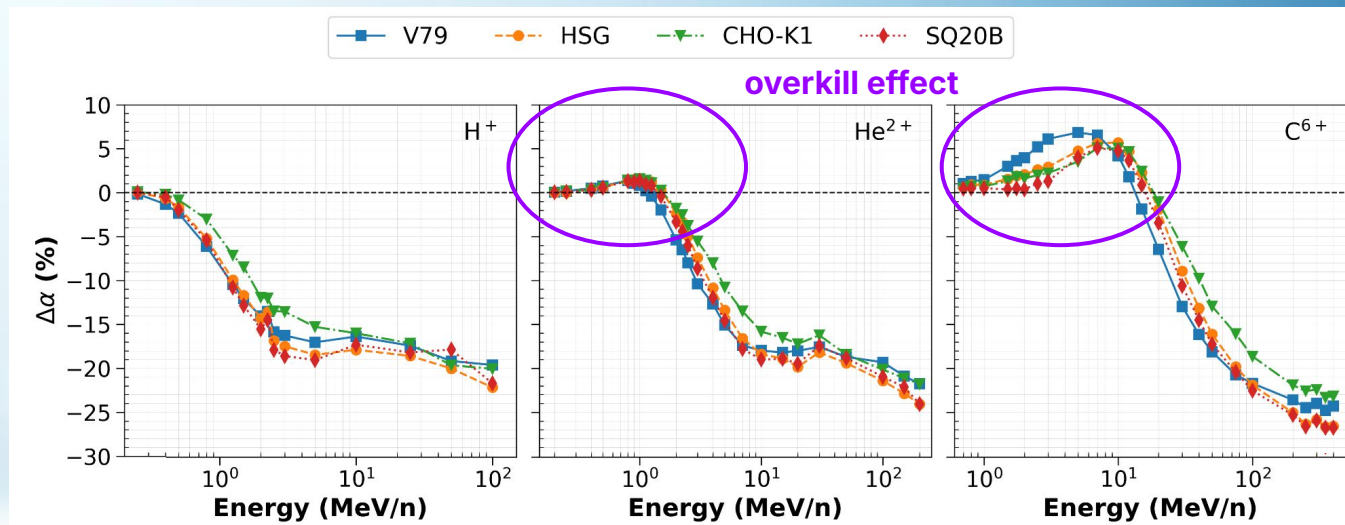
# - Results: effect of physical processes on $\alpha$ value

Relative percentage difference in the  $\alpha$  parameter with respect to the full model, defined as  $\Delta\alpha = (\alpha_{\text{mod}} - \alpha_{\text{full}}) / \alpha_{\text{full}} \times 100$ , for protons, helium, and carbon ions as a function of projectile energy. Results are shown for the four analyzed cell lines: V79, HSG, CHO-K1, and SQ20B. Symbols represent the calculated values, and lines are included only to guide the eye. Statistical uncertainties in  $\Delta\alpha$  are below 2% in all cases.



# - Results: effect of physical processes on $\alpha$ value

## Suppressing 1s ionization



\* 1s ionization contributes up to 26.6% to  $\alpha$ , effect grows with energy as LET decreases and localized deposits become more critical

$\Delta\alpha = (\alpha_{\text{mod}} - \alpha_{\text{full}}) / \alpha_{\text{full}} \times 100$ . Statistical uncertainty below 2% in all cases.

Lower LET  $\rightarrow$  sparse energy deposits  $\rightarrow$  highly localized 1s electrons matter more  $\rightarrow$  suppressing them reduces  $z \rightarrow$  fewer LLE  $\rightarrow$  lower  $\alpha$

# - Why NanOx? Limitations of existing models

## Limitations of existing models (LEM, MKM, BIANCA...)

### Single scale

Operate at microscopic dose or domain level, ignore nanometric clustering of ionizations critical for high-LET damage

### Implicit chemistry

Treat indirect effects ( $\cdot\text{OH}$  radicals) without any quantitative link to actual radical chemistry or track-dependent G-values

### Poisson statistics

Assume Poisson distribution for lethal events, valid for sparse photon deposits, but breaks down for densely ionizing ion tracks

### Ad-hoc corrections

Cannot predict RBE across different particles without empirical re-fitting for each new condition

## How NanOx closes the gaps

### Multi-scale track-structure

Full stochastic track-structure (core + penumbra) down to 10 nm targets, explicit nanometric energy clustering

### Explicit radical chemistry

Computes chemical yield  $G(\cdot\text{OH})$  from MC simulations, direct quantitative link between radical production and cell survival

### Two-component stochastic model

Separates local lethal events (nanometric inactivation) from global events (oxidative stress), no Poisson assumption needed

### Mechanistic RBE prediction

Predicts RBE across diverse radiation qualities and cell lines without ad-hoc corrections, using the ELLF and LQ global component

**NanOx provides a multiscale, physics-based description that predicts RBE for diverse radiation qualities, something earlier models cannot achieve without ad-hoc corrections.**

# - Comparison of biophysical models with experimental data

