

Nonlinear stability of Minkowski spacetime for massive Dirac spinor fields

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Main system

We study the Einstein field equations of general relativity coupled with a massive Dirac spinor field

$$\begin{aligned}R_{\mu\nu} - \frac{1}{2}R_g g_{\mu\nu} &= 8\pi T[\Psi]_{\mu\nu}, \\ \mathfrak{D}\Psi + iM\Psi &= 0,\end{aligned}$$

with the *Dirac operator*

$$\mathfrak{D}\Psi := g^{\alpha\beta} \partial_\alpha \cdot \nabla_\beta \Psi,$$

and the energy-momentum tensor T is defined as

$$\begin{aligned}T[\Psi]_{\mu\nu} := & \frac{i}{4} \left(\langle \Psi, \partial_\mu \cdot \nabla_\nu \Psi \rangle_D + \langle \Psi, \partial_\nu \cdot \nabla_\mu \Psi \rangle_D \right) \\ & - \frac{i}{4} \left(\langle \partial_\mu \cdot \nabla_\nu \Psi, \Psi \rangle_D + \langle \partial_\nu \cdot \nabla_\mu \Psi, \Psi \rangle_D \right).\end{aligned}$$

Vacuum case:

Christodoulou–Klainerman [1993]: geometric proof of nonlinear stability.

Lindblad–Rodnianski [2005]: wave-coordinate approach; reduced Einstein equations.

Massive scalar fields:

LeFloch–Ma [2018(arXiv:1511.03324)]: Einstein–Klein–Gordon; Euclidean–hyperboloidal method.

Ionescu–Pausader [2019]: Einstein–Klein–Gordon; Z-norm framework; KG modified scattering.

Wang [2020]: Intrinsic hyperboloid approach; maximal foliation gauge.

Einstein–Dirac system

Chen [2025]: Massless case; coordinate-based formulation (concrete tetrad constructed); global well-posedness.

Zhao–Wu [2025]: characteristic initial value problem.

LeFloch–Ma–Zhang [2025]: Massive Einstein–Dirac; gauge-invariant formulation; global well-posedness.

Theorem

Let g_r be an $(N, \theta, \varepsilon_*, \ell)$ -admissible reference metric in the original asymptotically Euclidean chart $(\tilde{t}, \tilde{x})$, with N sufficiently large, θ, ε_* sufficiently small, and $\ell \in (0, 1/2)$. After applying the coordinate transformation

$$t = \tilde{t}, \quad x^a = (r/\tilde{r})\tilde{x}^a,$$

write the metric, the reference metric, and the spinor field in the new coordinates (t, x) , and set

$$u_{\alpha\beta} = g_{\alpha\beta} - g_{r\alpha\beta}, \quad r = |x|.$$

Assume that the initial data on $\{t = 1\}$ satisfy the Einstein constraint equations and the Dirac compatibility conditions. Suppose moreover that

$$\sum_{|J| \leq N} \left\| \langle r \rangle^{\kappa+|J|} \left(|\partial_x^J \partial_x u_0| + |\partial_x^J \partial_t u_0| \right) \right\|_{L^2(\mathbb{R}^3)} \leq \varepsilon, \quad \kappa \in (1/2, 3/4),$$

where $u_0 = u|_{\{t=1\}}$, and that the spinor initial data satisfy

$$\left\| \langle r \rangle^{\mu+N+1} |\widehat{\partial}_x^J \Psi_0|_{\vec{n}} \right\|_{L^2(\mathbb{R}^3)} \leq \varepsilon, \quad |J| \leq N+1, \quad \mu \in (3/4, 1),$$

where $\Psi_0 = \Psi|_{\{t=1\}}$ and $\vec{n}$ is the future-oriented unit normal of $\{t = 1\}$. Then the maximal globally hyperbolic Cauchy development of the data is future causally geodesically complete and remains asymptotically Euclidean in all causal directions.

Main Challenge: Transplanting scalar analysis to the spinor bundle

What is different from the Einstein–Klein–Gordon (scalar) case?

- Levi-Civita connection $\rightarrow$ spin connection ;
- wave/Klein-Gordon operator $\rightarrow$ Dirac operator;

Main technical points of the vector field method (for E-KG case)

1. good commutation relations between the Killing fields and wave/Klein-Gordon operator;
2. appropriate foliation & energy estimates for wave operator;
3. Klainerman–Sobolev inequalities related to the foliation;
4. pointwise estimate for Klein–Gordon equation;
5. estimates for the matter-metric coupling terms in the Einstein equations

Gauge-invariant differentiation of spinor fields

∇ of Levi-Civita connection

1. Linearity

$$\nabla_{fX} Y = f \nabla_X Y$$

2. Leibniz rule

$$\nabla_X(fY) = df(X)Y + f \nabla_X Y$$

3. Metric compatibility

$$X \langle Y, Z \rangle_g = \langle \nabla_X Y, Z \rangle_g + \langle Y, \nabla_X Z \rangle_g$$

∇ of spin connection

1. Linearity

$$\nabla_{fX} \Psi = f \nabla_X \Psi$$

2.a Leibniz rule (scalar product)

$$\nabla_X(f\Psi) = df(X)\Psi + f \nabla_X \Psi$$

2.b Leibniz rule (Clifford product)

$$\nabla_X(Y \cdot \Psi) = Y \cdot \nabla_X \Psi + \nabla_X Y \cdot \Psi$$

4. Dirac form compatibility

$$X \langle \Phi, \Psi \rangle_D = \langle \nabla_X \Phi, \Psi \rangle_D + \langle \Phi, \nabla_X \Psi \rangle_D$$

Commuting with the Dirac operator

Proposition (Commutator, invariant form)

For any spinor field Ψ and any vector field X , with the adapted derivative $\widehat{X}\Psi := \nabla_X \Psi - \frac{1}{4}g^{\alpha\gamma}\partial_\alpha \cdot \nabla_\gamma X \cdot \Psi$, one has

$$[\widehat{X}, \mathfrak{D}]\Psi = -\frac{1}{2}\pi[X]^{\alpha\beta}\partial_\alpha \cdot \nabla_\beta \Psi - \frac{1}{4}g^{\alpha\beta}\text{Ric}(X, \partial_\alpha)\partial_\beta \cdot \Psi - \frac{1}{4}\square_g X \cdot \Psi,$$

where $\square_g := g^{\alpha\beta}\nabla_{\alpha\beta}^2$ and $\pi[X]$ is the deformation tensor of X , defined as $\pi[X]_{\alpha\beta} = (\mathcal{L}_X g)_{\alpha\beta}$.

Proposition (Commutator in generalized wave gauge)

With the wave-gauge vector field $W = W^\alpha \partial_\alpha = g^{\alpha\beta}\nabla_\alpha \partial_\beta$, for Killing vector fields $Z \in \{L_a, \Omega_{ab}, \partial_\alpha\}$, one has

$$\begin{aligned} [\widehat{Z}, \mathfrak{D}]\Psi &= -\frac{1}{2}\pi[Z]^{\alpha\beta}\partial_\alpha \cdot \widehat{\partial}_\beta \Psi - \frac{1}{8}g^{\mu\nu}\pi[Z]^{\alpha\beta}\partial_\alpha \cdot \partial_\mu \cdot \nabla_\nu \partial_\beta \cdot \Psi \\ &\quad - \frac{1}{4}\pi[Z]^{\alpha\beta}\nabla_\alpha \partial_\beta \cdot \Psi - \frac{1}{4}[Z, W] \cdot \Psi. \end{aligned}$$

The Euclidean-hyperboloidal foliation

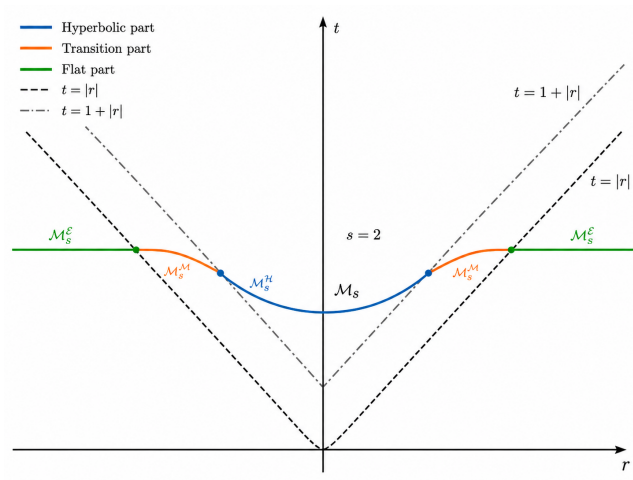


Figure: The Euclidean hyperboloidal slice

The uniform-spacelike conditions

In order to guarantee that the hypersurfaces are (sufficiently) spacelike, for a curved metric $g = \eta + H$, we impose the following **uniform spacelike conditions**:

$$H^{\mathcal{N}00} := g(dt - dr, dt - dr) < 0 \quad \text{in } \{3t/4 \leq r \leq r^\varepsilon(s)\} \cap \mathcal{M}_{[s_0, s_1]} \quad (1a)$$

with, for a sufficiently small $\varepsilon_s > 0$,

$$|H| := \max_{\alpha, \beta} |H_{\alpha\beta}| \leq \varepsilon_s \zeta \quad \text{in } \mathcal{M}_{[s_0, s_1]}, \quad (1b)$$

where ζ is the Minkowski-induced volume factor on $\mathcal{M}_s$, defined by

$$\zeta^2 = 1 - |\partial_r T|^2.$$

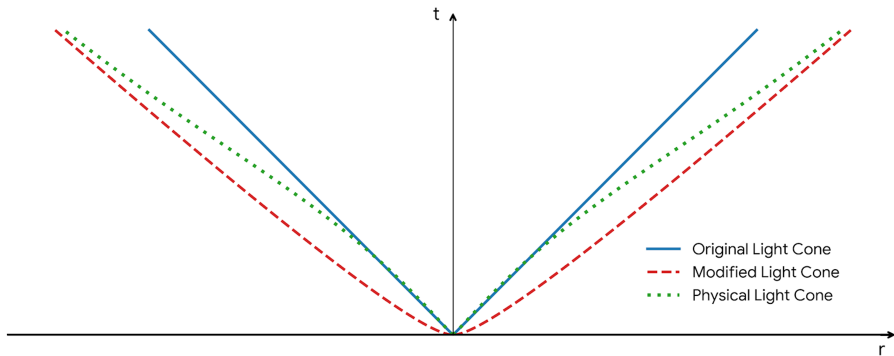


Figure: Comparison of the light-cones: $H^{\mathcal{N}00} < 0$

Dirac form and positive spinor norm

Let $\langle \cdot, \cdot \rangle$ be the standard Hermitian inner product on $\mathbb{C}^4$ and define the Dirac form by

$$\langle \Phi, \Psi \rangle_D := \langle \gamma_0 \phi, \psi \rangle.$$

Here the Clifford multiplication is defined as follows:

$$v \cdot \psi = v^\alpha \gamma_\alpha \psi, \quad \psi \in \mathbb{C}^4.$$

We define the $\vec{n}$ -dependent sesquilinear form

$$\langle \Phi, \Psi \rangle_{\vec{n}} := \langle \Phi, \vec{n} \cdot \Psi \rangle_D = \langle \gamma_0 \phi, \vec{n} \cdot \psi \rangle,$$

and the induced pointwise norm

$$|\Psi|_{\vec{n}}^2 := \langle \Psi, \Psi \rangle_{\vec{n}} = \langle \Psi, \vec{n} \cdot \Psi \rangle_D.$$

For a future-directed unit timelike $\vec{n}$, this defines a positive definite inner product, and hence Cauchy–Schwarz yields

$$\left| \langle \Phi, \vec{n} \cdot \Psi \rangle_D \right| = |\langle \Phi, \Psi \rangle_{\vec{n}}| \leq |\Phi|_{\vec{n}} |\Psi|_{\vec{n}}.$$

Consider the inhomogeneous Dirac equation

$$\mathfrak{D}\Psi + iM\Psi = \Phi.$$

Then the Dirac current:

$$V[\Psi] := \langle \Psi, g^{\alpha\beta} \partial_\alpha \cdot \Psi \rangle_D \partial_\beta,$$

which has a positive normal flux:

$$g(V[\Psi], \vec{n}) = \langle \Psi, \vec{n} \cdot \Psi \rangle_D = |\Psi|_{\vec{n}}^2.$$

Energy identity (differential form):

$$\operatorname{div}(V[\Psi]) = 2 \Re(\langle \Phi, \Psi \rangle_D).$$

Proposition (Exterior energy estimates)

Under the **uniform-spacelike conditions** with a sufficiently small $\varepsilon_s > 0$

$$H^{\mathcal{N}00} < 0 \quad \text{in } \{r \geq 3t/4\} \cap \mathcal{M}_{[s_0, s_1]}, \quad |H| := \max_{\alpha, \beta} |H| \leq \varepsilon_s \zeta \quad \text{in } \mathcal{M}_{[s_0, s_1]},$$

the following energy estimates hold for any solution to the equation

$$\mathfrak{D}\Psi + iM\Psi = \Phi$$

with sufficient decay rate at spatial infinity:

$$\begin{aligned} & \mathbf{E}_\kappa^{\mathcal{E}\mathcal{M}}(s_1, \Psi) - \mathbf{E}_{g, \kappa}^{\mathcal{E}\mathcal{M}}(s_0, \Psi) + \kappa \int_{s_0}^{s_1} s \int_{\mathcal{M}_s^{\mathcal{E}\mathcal{M}}} \frac{(\omega^\kappa \zeta |\Psi|_{\vec{\gamma}})^2}{\langle r - t \rangle} dx ds + \mathbf{E}^c(s_0, s_1; \Psi) \\ & \lesssim \int_{s_0}^{s_1} s \int_{\mathcal{M}_s^{\mathcal{E}\mathcal{M}}} \omega^{2\kappa} \zeta^2 |\langle \Phi, \Psi \rangle_D| dx ds \lesssim \int_{s_0}^{s_1} s \mathbf{E}_\kappa^{\mathcal{E}\mathcal{M}}(s, \Psi)^{1/2} \|\omega^\kappa \zeta^2 \bar{\zeta}^{-1/2} |\Phi|_{\vec{n}}\|_{L^2(\mathcal{M}_s^{\mathcal{E}\mathcal{M}})} ds, \end{aligned}$$

where $\vec{\gamma} := \text{grad}(r - t)$, $|\Psi|_{\vec{\gamma}}^2 = \langle \Psi, \vec{\gamma} \cdot \Psi \rangle_D$, $\bar{\zeta} = (\zeta^2 + |H^{\mathcal{N}00}|)^{1/2}$, and

$$\mathbf{E}_\kappa^{\mathcal{E}\mathcal{M}}(s, \Psi) := \int_{\mathcal{M}_s^{\mathcal{E}\mathcal{M}}} \mathbf{e}_\kappa[\Psi] dx, \quad \mathbf{E}^c(s_0, s_1; \Psi) := \int_{\mathcal{C}_{[s_0, s_1]}} \langle \Psi, \vec{n}_c \cdot \Psi \rangle_D dV_c \geq 0,$$

and $\vec{n}_c$ is the future-oriented unit normal vector of $\mathcal{C}_{[s_0, s_1]}$, while dV_c is the associated volume form.

Theorem (K-S inequalities for spinor fields)

Assume the **uniform spacelike conditions** with sufficiently small ε_s . Consider any sufficiently regular spinor field Ψ defined in the spacetime slab $\mathcal{M}_{[s_0, s_1]}$.

Provided the metric satisfies the decay condition for some $0 \leq \delta \leq 1$,

$$(s/t)^{-1}|H|_1 + \frac{(s/t)^{-2}|H^{\mathcal{N}00}|_1}{1 + (s/t)^{-2}|H^{\mathcal{N}00}|} \lesssim (s/t)^{-\delta}, \quad \zeta^{-1}|H|_1 + \frac{\zeta^{-2}|H^{\mathcal{N}00}|_1}{1 + \zeta^{-2}|H^{\mathcal{N}00}|} \lesssim \zeta^{-\delta}.$$

- **Hyperboloidal region.**

$$(s/t)^{1/2+2\delta} t^{3/2} |\Psi|_{\bar{n}} \lesssim \sum_{|J| \leq 2} \|(s/t)^{1/2} |\widehat{L}^J \Psi|_{\bar{n}}\|_{L^2(\mathcal{M}_s^{\mathcal{H}})}.$$

- **Merging-Euclidean domain.** One has, for $0 \leq \kappa \leq 1$ and in $\mathcal{M}_{[s_0, s_1]}^{\mathcal{EM}}$,

$$\zeta^{1/2+2\delta} (2+r-t)^\kappa (1+r) |\Psi|_{\bar{n}} \lesssim_\delta \sum_{j+|K| \leq 2} \|(2+r-t)^\kappa \zeta^{1/2} |\widehat{\partial}_r^j \widehat{\Omega}^K \Psi|_{\bar{n}}\|_{L^2(\mathcal{M}_s^{\mathcal{EM}})}.$$

- **Euclidean domain.** In $\mathcal{M}_{[s_0, s_1]}^{\mathcal{E}}$,

$$(2+r-t)^\kappa (1+r) |\Psi|_{\bar{n}} \lesssim \sum \|(2+r-t)^\kappa |\widehat{\partial}_r^j \widehat{\Omega}^K \Psi|_{\bar{n}}\|_{L^2(\mathcal{M}_s^{\mathcal{E}})}.$$

Existence of a light-bending coordinate chart

Consider a reference metric g_r in the original coordinates $(\tilde{t}, \tilde{x})$.

- Asymptotic flatness:

$$|\tilde{H}_{r\alpha\beta}|_{\tilde{\mathcal{K}}, N+2} \lesssim \varepsilon_\star (\tilde{t} + \tilde{r} + 1)^{-1+\theta},$$

where $\tilde{\mathcal{K}} = \{\tilde{S}, \tilde{\partial}_\alpha, \tilde{L}_a, \tilde{\Omega}_{ab}\}$.

$$|\tilde{\partial}\tilde{H}_r| \lesssim \varepsilon_\star (\tilde{t} + \tilde{r} + 1)^{-1},$$

near the light cone, i.e., for $(1 - \ell)\tilde{t} \leq \tilde{r} \leq (1 - \ell)^{-1}\tilde{t}$.

- Generalized wave coordinate condition:

$$|\tilde{\mathcal{K}}^I \tilde{W}_r^\alpha| \lesssim \varepsilon_\star (\tilde{t} + \tilde{r} + 1)^{-4-(p-k)+\theta},$$

with $\square_{g_r} \tilde{X}^\alpha = -\tilde{W}_r^\alpha$.

- Almost Ricci-flat condition:

$$|\tilde{\mathcal{K}}^I \tilde{U}_{r\alpha\beta}| \lesssim \varepsilon_\star^2 (\tilde{t} + \tilde{r} + 1)^{-4-(p-k)+\theta},$$

with $\tilde{R}_{r\alpha\beta} = \tilde{U}_{r\alpha\beta}$.

Existence of a light-bending coordinate chart

Example: the reference metric applied in Lindblad-Rodnianski [2010]

$$g_{\mu\nu}^{\text{ref}} = \eta_{\mu\nu} + \chi\left(\frac{r}{t}\right) \chi(r) \frac{M}{r} \delta_{\mu\nu}.$$

The actual metric is decomposed as

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}^0 + h_{\mu\nu}^1 = g_{\mu\nu}^{\text{ref}} + h_{\mu\nu}^1.$$

This reference captures the Schwarzschild-type ADM mass tail $\frac{M}{r} \delta_{\mu\nu}$, while the remainder $h_{\mu\nu}^1$ is the finite-energy perturbation estimated by energy method.

Proposition (Existence of a light-bending coordinate chart)

Consider a spacetime $(\mathcal{M}, g_r)$ where $\mathcal{M}$ is diffeomorphic to $\mathbb{R}_+^{1+3} = \{(t, x) \mid x \in \mathbb{R}^3, t \geq 0\}$. Assume that there is a global coordinate chart $\{\tilde{x}^\alpha\}$ such that g_r is an $(N, \theta, \varepsilon_\star, \ell)$ -admissible reference metric with $(N+3)\theta \leq 1$. Then there exist two universal constants K_0, K_1 and some $\varepsilon_0 > 0$ such that for any $0 < \varepsilon_\star \leq \varepsilon_0$ and any C_L satisfying

$$0 \leq C_L \leq \frac{1}{K_1 \varepsilon_\star} - K_0,$$

so that in the new coordinate chart $\{x^\alpha\}$ defined by

$$t = \tilde{t}, \quad x^a = (r/\tilde{r})\tilde{x}^a$$

with

$$r = \tilde{r} - K_r \varepsilon_\star \chi(\tilde{r}/\tilde{t})\tilde{r}^\theta, \quad K_r = (K_0 + C_L)\theta^{-1},$$

where χ is a cut-off function, the reference metric g_r satisfies the following properties, provided that $K_r \varepsilon_\star$ is sufficiently small.

Proposition

- *Almost Minkowski condition:*

$$|h_{r\alpha\beta}|_{\mathcal{K},p} + |h_r^{\alpha\beta}|_{\mathcal{K},p} \lesssim_N K_r \varepsilon_\star (t+r+1)^{-1+(p+1)\theta},$$

Other almost Minkowski bounds for g_r and its derivatives.

- *Almost Ricci-flat condition:*

$$|R[g_r]_{\alpha\beta}|_N + (1+r+t)|\partial_\gamma R[g_r]_{\alpha\beta}|_{N-1} \lesssim_N \varepsilon_\star^2 (1+t+r)^{-4+\theta},$$

- *Generalized wave coordinate condition:*

$$|\Gamma_r^\lambda|_{N+1} + (1+|r-t|)|\partial_\gamma \Gamma_r^\lambda|_N \lesssim_N K_r \varepsilon_\star (t+r+1)^{-2+\theta},$$

- *Light-bending condition:*

$$h_r^{\mathcal{N}00} := (dt - dr, dt - dr)_{g_r} < -C_L \varepsilon_\star r^{-1+\theta} \quad \text{in } \mathcal{M}_{[s_0, s_1]} \cap \{r \geq (3/4)t\}.$$

Lemma (Derivation of Dirac form)

For any sufficiently regular spinor fields Φ, Ψ and any vector field X defined in (a subset of) $\mathcal{M}_{[s_0, s_1]}$, one has

$$X(\langle \Phi, \Psi \rangle_D) = \langle \widehat{X}\Phi, \Psi \rangle_D + \langle \Phi, \widehat{X}\Psi \rangle_D - \frac{1}{2} \operatorname{div}(X) \langle \Phi, \Psi \rangle_D.$$

Furthermore,

$$\mathcal{L}^I(\langle \Phi, \Psi \rangle_D) \simeq \langle \widehat{\mathcal{L}^J}\Phi, \widehat{\mathcal{L}^K}\Psi \rangle_D + \text{cubic and higher-order terms}$$

where the sum is taken over multi-indices J, K satisfying

$$\operatorname{ord}(J) + \operatorname{ord}(K) \leq p, \quad \operatorname{rank}(J) + \operatorname{rank}(K) \leq k.$$

Coupled term

$u_{\alpha\beta} := g_{\alpha\beta} - g_{r\alpha\beta}$: metric perturbation

$\mathbb{F}$: metric nonlinearities

$\mathbb{S}$: spinorial source term

$\mathbb{G}$: gauge terms

$$\begin{aligned} g^{\mu\nu} \partial_\mu \partial_\nu u_{\alpha\beta} &= \mathbb{F}(g, g; \partial u, \partial u)_{\alpha\beta} + \mathbb{S}(g, \partial g, \Psi, \hat{\partial}\Psi)_{\alpha\beta} \\ &\quad + 2\mathbb{F}(g, g; \partial g_r, \partial u)_{\alpha\beta} + 2\mathbb{F}(u, g_r; \partial g_r, \partial g_r)_{\alpha\beta} \\ &\quad + \mathbb{F}(u, u; \partial g_r, \partial g_r)_{\alpha\beta} + u^{\mu\nu} \partial_\mu \partial_\nu g_{r\alpha\beta} \\ &\quad - 2(\mathbb{G}(g, \partial g, \Gamma, \partial\Gamma)_{\alpha\beta} - \mathbb{G}(g_r, \partial g_r, \Gamma_r, \partial\Gamma_r)_{\alpha\beta}), \\ \mathfrak{D}\Psi + iM\Psi &= 0, \end{aligned}$$

with generalized wave coordinate conditions:

$$\Gamma^\lambda = \Gamma_r^\lambda + \partial_\alpha (\tilde{\Phi}_\beta^\delta) \tilde{\Phi}_\delta^\lambda u^{\alpha\beta}.$$

Thank you!

Appendix: Local representation of the spinor objects

In the expression of $T_{\mu\nu}$, one has:

- The spinor field Ψ : $\mathbb{C}^4$ -valued functions ψ under a fixed *tetrad* $\{e_0, e_1, e_2, e_3\}$;
- The spin covariant derivatives ∇ . Under a fixed tetrad:

$$\nabla\psi := d\psi + \frac{1}{4}\omega_{\alpha\beta}\gamma^\alpha\gamma^\beta\psi \quad (2)$$

where $\omega_{\alpha\beta}$ are the connection forms for of $\{e_\alpha\}$:

$$\omega_{\alpha\beta}\eta^{\beta\gamma} \otimes e_\gamma = \nabla e_\alpha,$$

and $\gamma^\alpha = \eta^{\alpha\beta}\gamma_\beta$ the Dirac matrices.

- The Clifford multiplication. Under $\{e_\alpha\}$:

$$X \cdot \psi := X^\alpha \gamma_\alpha \psi, \quad X = X^\alpha e_\alpha. \quad (3)$$

Appendix: Dirac matrix

The Dirac matrices: basis for the linear representation of a Clifford algebra on $\text{End}(\mathbb{C}^4)$. The Dirac matrices γ_i are defined as (not unique):

$$\gamma_0 = \begin{pmatrix} 0 & I_2 \\ I_2 & 0 \end{pmatrix}, \quad \gamma_i = \begin{pmatrix} 0 & -\sigma_i \\ \sigma_i & 0 \end{pmatrix}, \quad i = 1, 2, 3,$$

where $\sigma_1, \sigma_2, \sigma_3$ denote the standard 2×2 Pauli matrices, defined as

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

The famous anti-commutation relation of these matrices is

$$\begin{aligned} \{\gamma_i, \gamma_j\} &= \gamma_i \gamma_j + \gamma_j \gamma_i = -2\eta_{ij} I_4 \quad (i, j = 0, 1, 2, 3), \\ \gamma_0^\dagger &= \gamma_0, \quad \gamma_{\hat{i}}^\dagger = -\gamma_{\hat{i}} \quad (\hat{i} = 1, 2, 3), \end{aligned}$$

where I_2 and I_4 denote the 2×2 and 4×4 identity matrices, respectively, and the † symbol denotes the Hermitian transpose of a matrix.

Appendix: The Euclidean-hyperboloidal foliation

Precisely,

$$\partial_r T(s, r) = \frac{r\xi(s, r)}{(s^2 + r^2)^{1/2}}, \quad T(s, 0) = s.$$

where $\xi = \xi(s, r) \in [0, 1]$ is a *cut-off function* satisfying

$$\xi(s, r) = \begin{cases} 1, & r < r^{\mathcal{H}}(s), \\ 0, & r > r^{\mathcal{E}}(s), \end{cases} \quad (4)$$

here the hyperboloidal radius $r^{\mathcal{H}}(s) := \frac{1}{2}(s^2 - 1)$ and the Euclidean radius $r^{\mathcal{E}}(s) = \frac{1}{2}(s^2 + 1)$.

Appendix: The Euclidean-hyperboloidal foliation

Direct calculation shows that ($r := |x|$)

$$T(s, r) = \begin{cases} (r^2 + s^2)^{1/2}, & 0 \leq r \leq r^{\mathcal{H}}(s), \\ s + \int_0^r \frac{\xi(s, \rho) \rho}{\sqrt{s^2 + \rho^2}} d\rho, & r^{\mathcal{H}}(s) < r < r^{\mathcal{E}}(s), \\ T(s) = s + \int_0^{r^{\mathcal{E}}(s)} \frac{\xi(s, \rho) \rho}{\sqrt{s^2 + \rho^2}} d\rho, & r^{\mathcal{E}}(s) \leq r < \infty. \end{cases}$$

$$\mathcal{M}_s := \{(t, r) | t = T(s, r)\}, \quad \mathcal{M}_{[s_0, s_1]} := \{(t, r) | T(s_0, r) \leq t \leq T(s_1, r)\}.$$

Appendix: The Euclidean-hyperboloidal foliation

Hyperbolic part $\mathcal{M}_s^{\mathcal{H}} := \mathcal{M}_s \cap \{r \leq r^{\mathcal{H}}(s)\},$

Transition part $\mathcal{M}_s^{\mathcal{M}} := \mathcal{M}_s \cap \{r^{\mathcal{H}}(s) \leq r \leq r^{\mathcal{E}}(s)\},$

Flat part $\mathcal{M}_s^{\mathcal{E}} := \mathcal{M}_s \cap \{r \geq r^{\mathcal{E}}(s)\}.$

We also denote by

$$\mathcal{M}_{[s_0, s_1]}^{\mathcal{H}} := \bigcup_{s_0 \leq s \leq s_1} \mathcal{M}_s^{\mathcal{H}}, \quad \mathcal{M}_{[s_0, s_1]}^{\mathcal{M}} := \bigcup_{s_0 \leq s \leq s_1} \mathcal{M}_s^{\mathcal{M}}, \quad \mathcal{M}_{[s_0, s_1]}^{\mathcal{E}} := \bigcup_{s_0 \leq s \leq s_1} \mathcal{M}_s^{\mathcal{E}}$$

We also use the notation $\mathcal{M}_s^{\mathcal{EM}} = \mathcal{M}_s^{\mathcal{M}} \cup \mathcal{M}_s^{\mathcal{E}}.$

Appendix: Energy estimate

Proposition (Energy estimate, global version)

Suppose that $g_{\alpha\beta} = \eta_{\alpha\beta} + H_{\alpha\beta}$ is a sufficiently regular metric defined in $\mathcal{M}_{[s_0, s_1]}$. Then any C^1 solution Ψ to the Dirac equation

$$\mathfrak{D}\Psi + iM\Psi = \Phi,$$

defined in the domain $\mathcal{M}_{[s_0, s_1]}$ and vanishing sufficiently fast at the spatial infinity, satisfies the energy identity

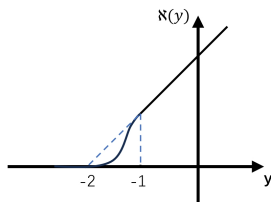
$$\begin{aligned} & \int_{\mathcal{M}_{s_1}} w \langle \Psi, \vec{n} \cdot \Psi \rangle_D dV_{\vec{\sigma}} + \int_{s_0}^{s_1} \int_{\mathcal{M}_s} \langle \Psi, \text{grad}(w) \cdot \Psi \rangle_D \bar{l} dV_{\vec{\sigma}} ds \\ &= \int_{\mathcal{M}_{s_0}} w \langle \Psi, \vec{n} \cdot \Psi \rangle_D dV_{\vec{\sigma}} - 2 \int_{s_0}^{s_1} \int_{\mathcal{M}_s} w \Re(\langle \Phi, \Psi \rangle_D) \bar{l} dV_{\vec{\sigma}} ds. \end{aligned}$$

Here, $\vec{n}$ is the future oriented, unit normal vector to $\mathcal{M}_s$, and w is a positive regular scalar weight.

Appendix: Energy estimate

We choose a ghost weight function

$$\omega(t, r) := \aleph(r - t), \quad (5)$$



where $\aleph$ is a smooth and non-decreasing function, satisfying

$$\begin{aligned} \aleph(y) &= 0 \quad \text{for } y \leq -2 \quad \text{and} \quad \aleph(y) = y + 2 \quad \text{for } y \geq -1, \\ \aleph'(y) &> 0 \quad \text{for } y > -2. \end{aligned}$$

Observe that this weight satisfies

$$\omega \begin{cases} \leq 1, \\ = (2 + r - t), \end{cases} \quad \begin{aligned} &\mathcal{M}_{[s_0, s_1]}^{\mathcal{H}}, \\ &\mathcal{M}_{[s_0, s_1]}^{\mathcal{EM}}. \end{aligned}$$

Appendix: Klainerman-Sobolev inequalities

Turn L^2 (energy type) estimates to pointwise estimates. Original Klainerman-Sobolev type inequality for scalar field within a flat background spacetime (version of Hörmander[1997]):

$$|t^{3/2}\phi(t, x)| \lesssim \sum_{|J| \leq 2} \|L^J \phi\|_{L^2(\mathcal{H}_s)}, \quad s = \sqrt{t^2 - r^2}. \quad (6)$$