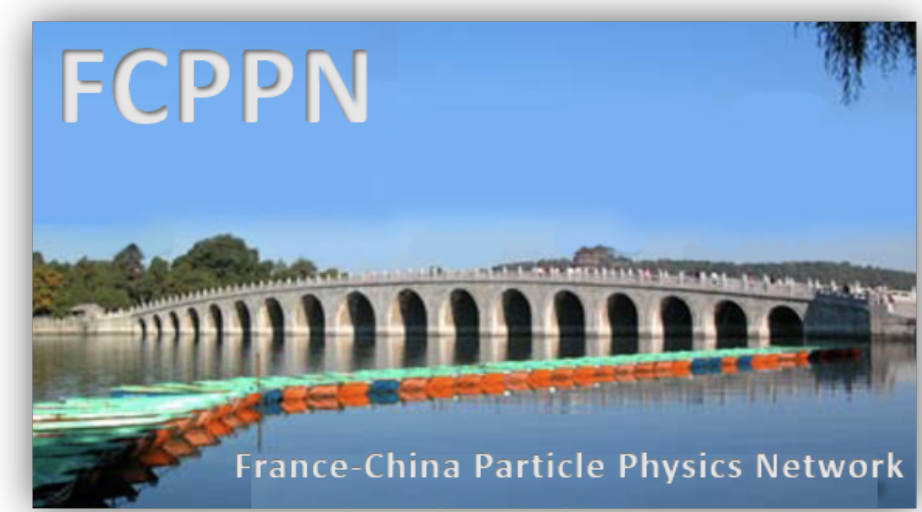




Open heavy-flavour measurement in pp collisions with ALICE in the LHC Run 3

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Laboratoire de Physique de Clermont Auvergne, Clermont-Fd, France

❖ Outline:

- ❖ Motivation
- ❖ ALICE detector in Run 3
- ❖ Open heavy-flavour production
- ❖ Associated production

Lyon, France

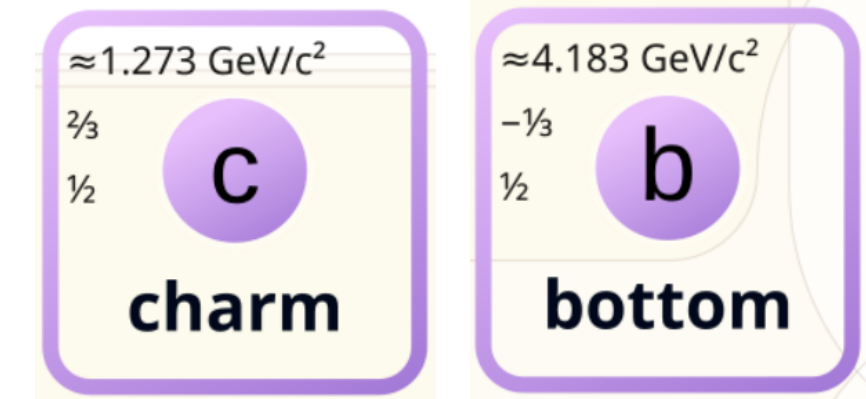
29 June - 3 July, 2026

17th France-China Particle Physics Network/Laboratory workshop

Physics motivation (1)

❖ Heavy quarks (charm and beauty): $m_c \approx 1.3 \text{ GeV}/c^2$ $m_b \approx 4.2 \text{ GeV}/c^2$

- ▶ $m_Q \gg \Lambda_{\text{QCD}} (\sim 200 \text{ MeV})$
- ▶ Produced in hard scattering processes, with large transferred momentum Q^2
- ▶ **Excellent probes of pQCD (perturbative QCD)**
- ▶ In pp, the heavy-flavour hadron production cross section is calculated by factorisation approach

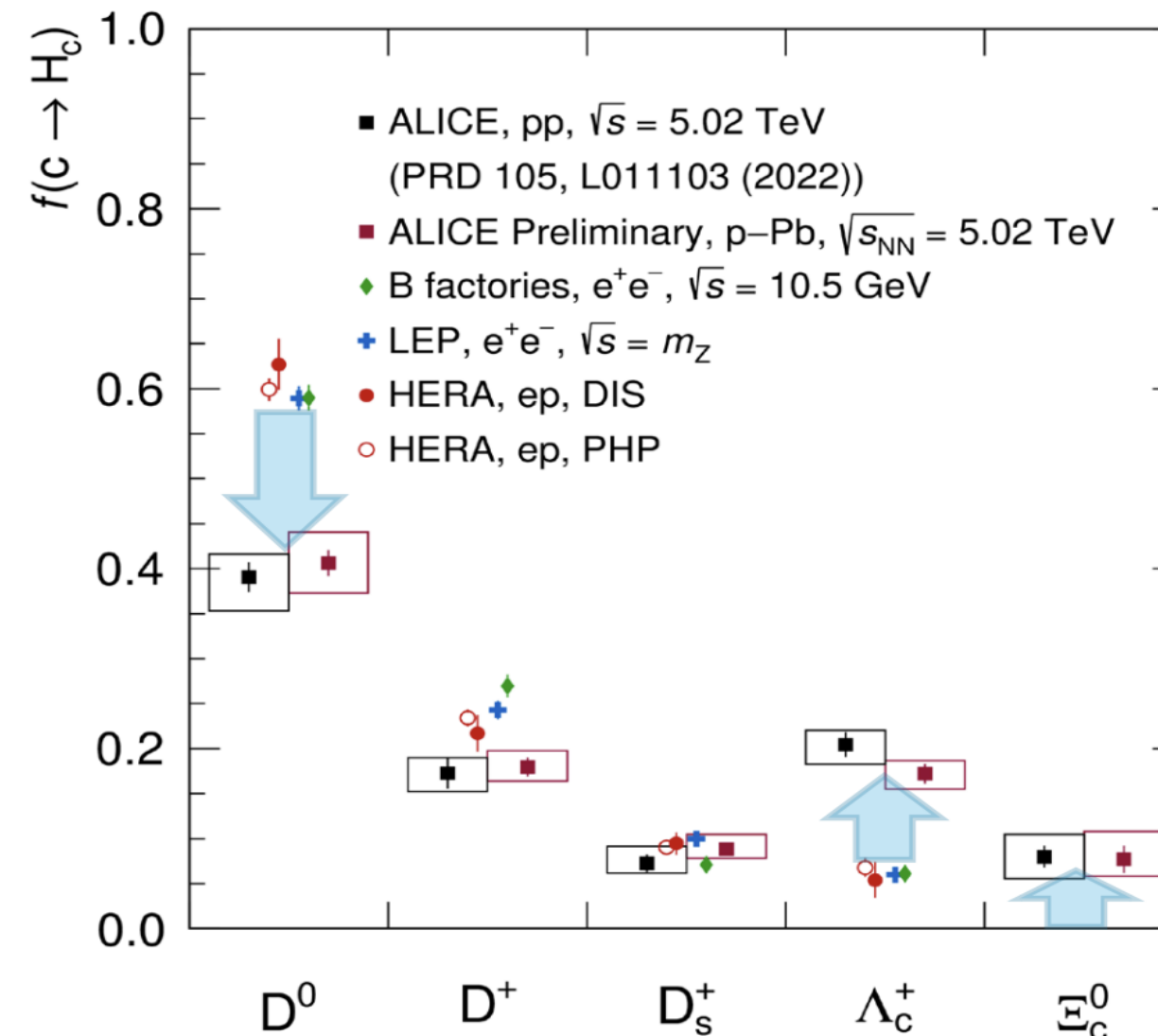
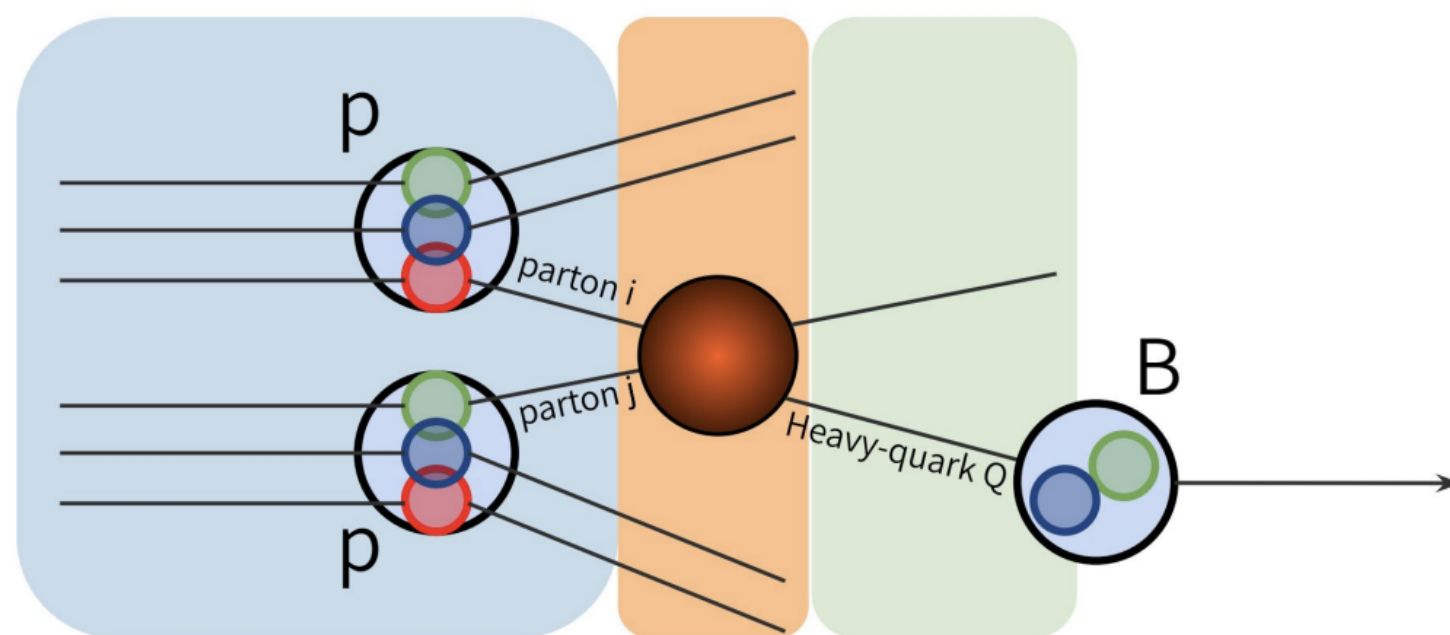


$$\frac{d\sigma^{H_q}}{dp_T^{H_q}}(p_T, \mu_F, \mu_R) = \underbrace{PDF(x_a, \mu_F) \otimes PDF(x_b, \mu_F)}_{\text{Parton distribution functions (initial-state hadronic structure)}} \otimes \underbrace{\frac{d\sigma^q}{dp_T^q}(x_a, x_b, \mu_F, \mu_R)}_{\text{Hard scattering cross section (pQCD)}} \otimes \underbrace{D_{q \rightarrow H_q}(z = p_{H_q} p_q, \mu_F)}_{\text{Fragmentation function (hadronization, assumed universal across collision system)}}$$

Parton distribution functions (initial-state hadronic structure)

Hard scattering cross section (pQCD)

Fragmentation function (hadronization, assumed universal across collision system)

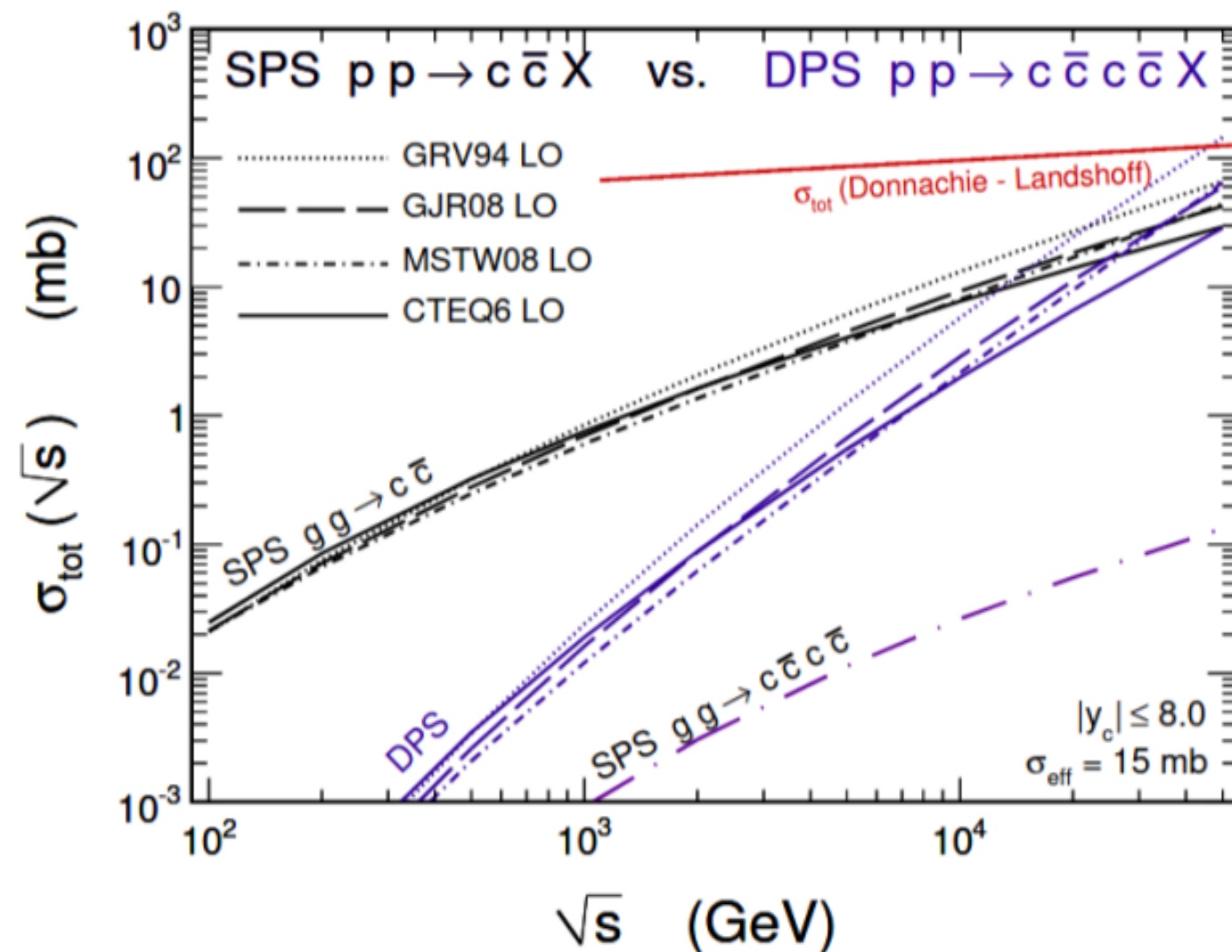
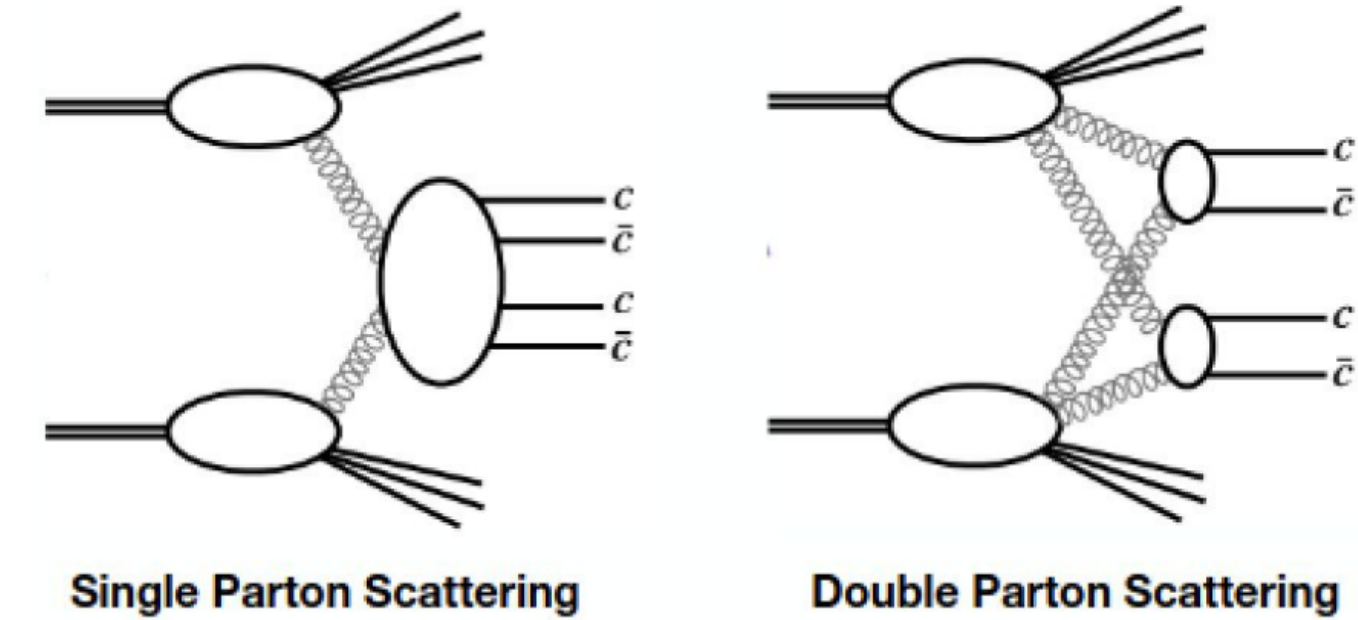


Higher order effects/Coalescence in pp collisions?

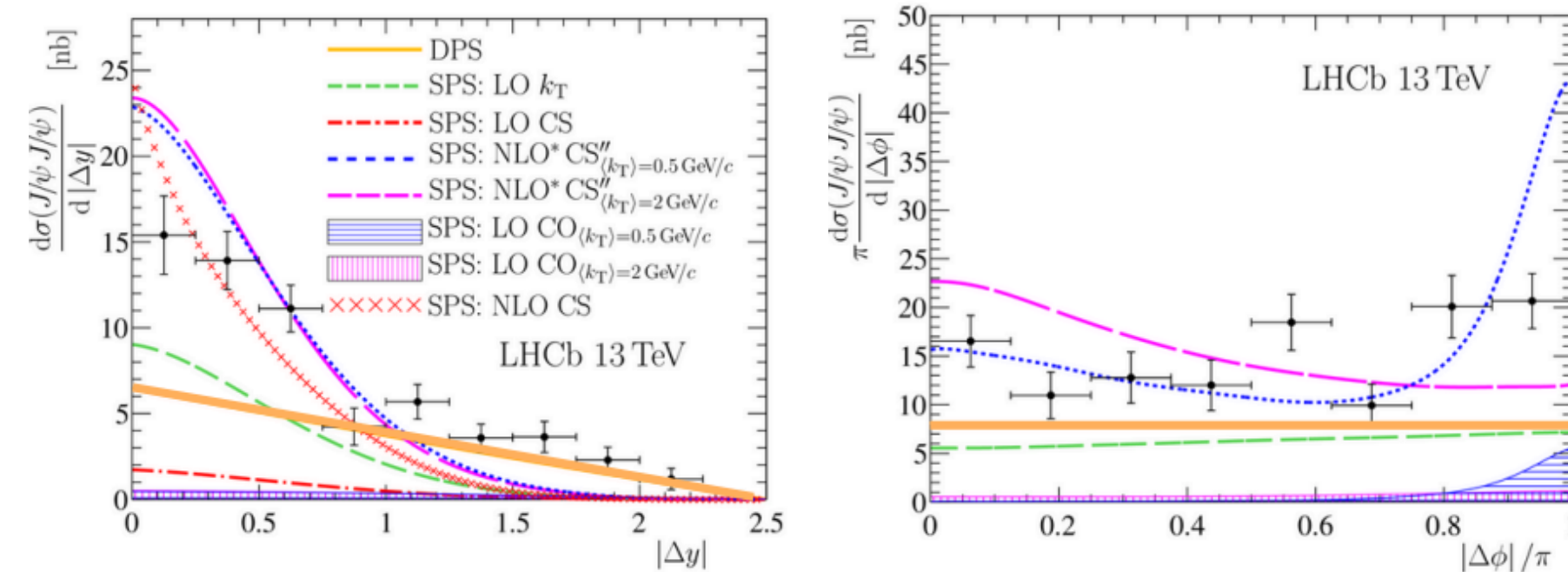
- ▶ **Investigate hadronisation mechanisms**
- ▶ Reference for heavy-ion studies

Physics motivation (2)

- ❖ Multiple parton interactions (MPI)
 - Investigate non-perturbative hadronisation mechanisms (color reconnection, etc)
 - Probe the spatial structure of the proton
- ❖ **Double parton scattering (DPS)**: simplest form of MPI
- ❖ DPS cross section increases faster than **Single Parton Scattering (SPS)** with collision energy



[A.Szczurek, R.Maciula AIP Conf. Proc. 1523, 255–259 (2013)]

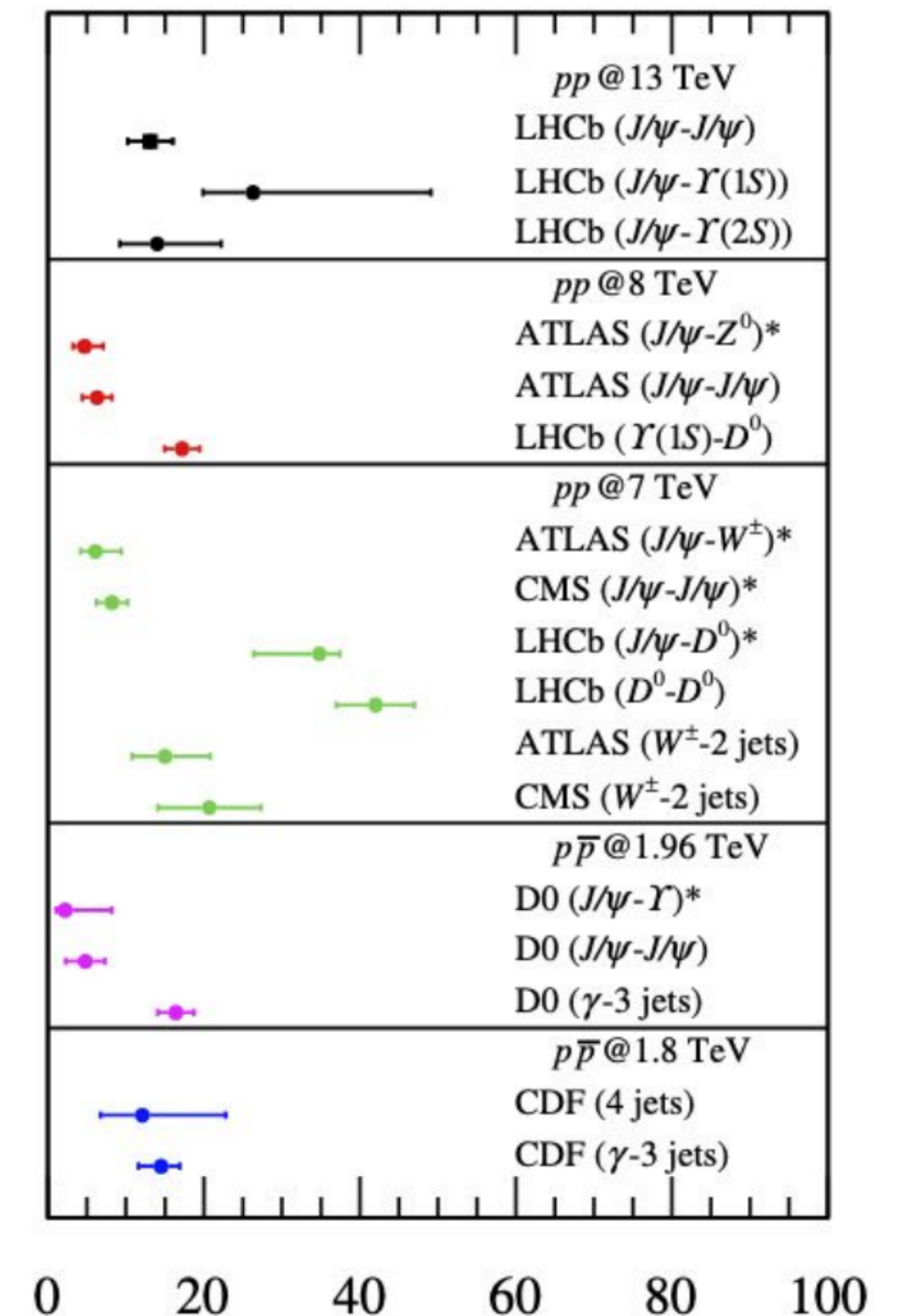


[LHCb Collaboration, JHEP (2017) 2017 : 68]

- ❖ Separation of SPS and DPS via Δy and $\Delta \phi$
- ❖ Key observable for DPS studies:
 - ❖ **effective cross section** σ_{eff}
 - ❖ Assumed to be independent w.r.t. process and $\sqrt{s}$

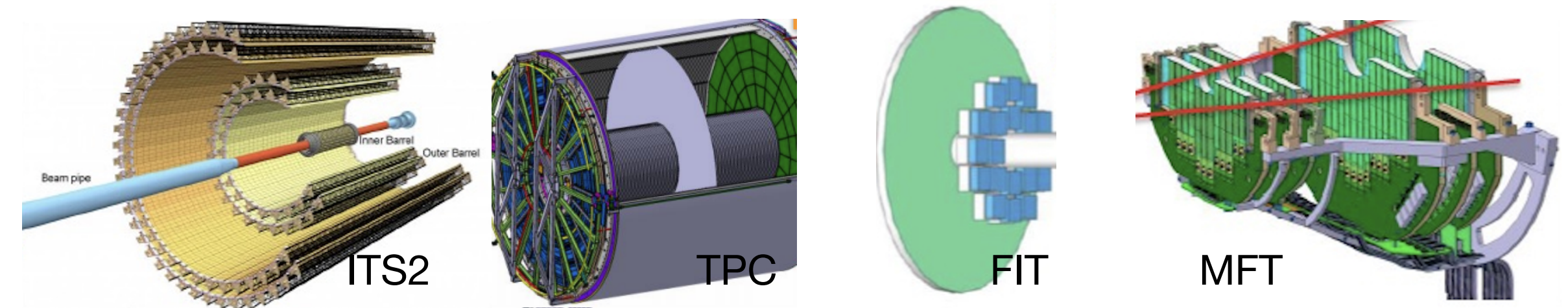
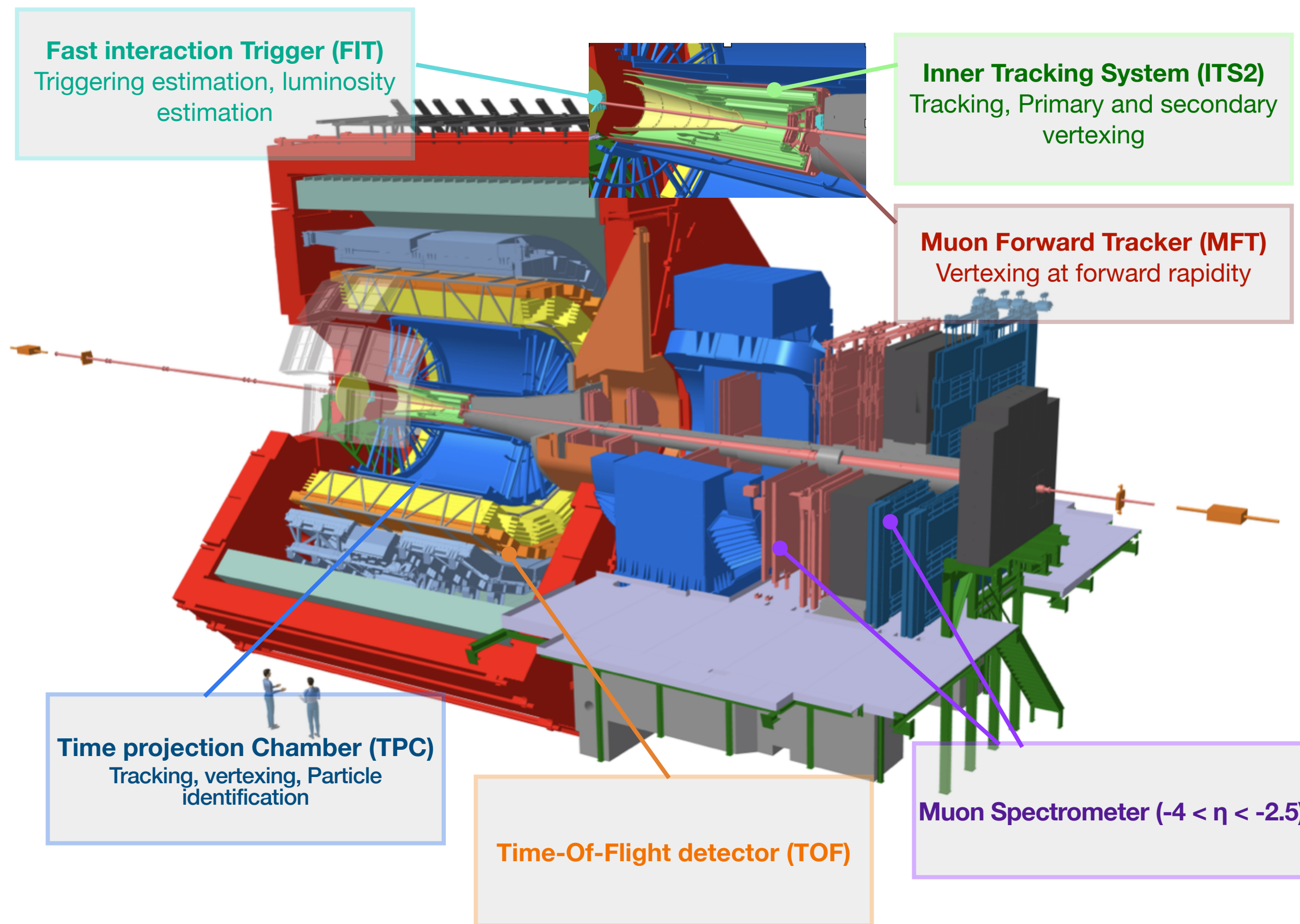
$$\sigma_{\text{eff}} = \frac{1}{1 + \delta_{AB}} \frac{\sigma_A \sigma_B}{\sigma_{A,B}^{\text{DPS}}}$$

$$\delta_{AB} = \begin{cases} 1, & A = B \\ 0, & A \neq B \end{cases}$$

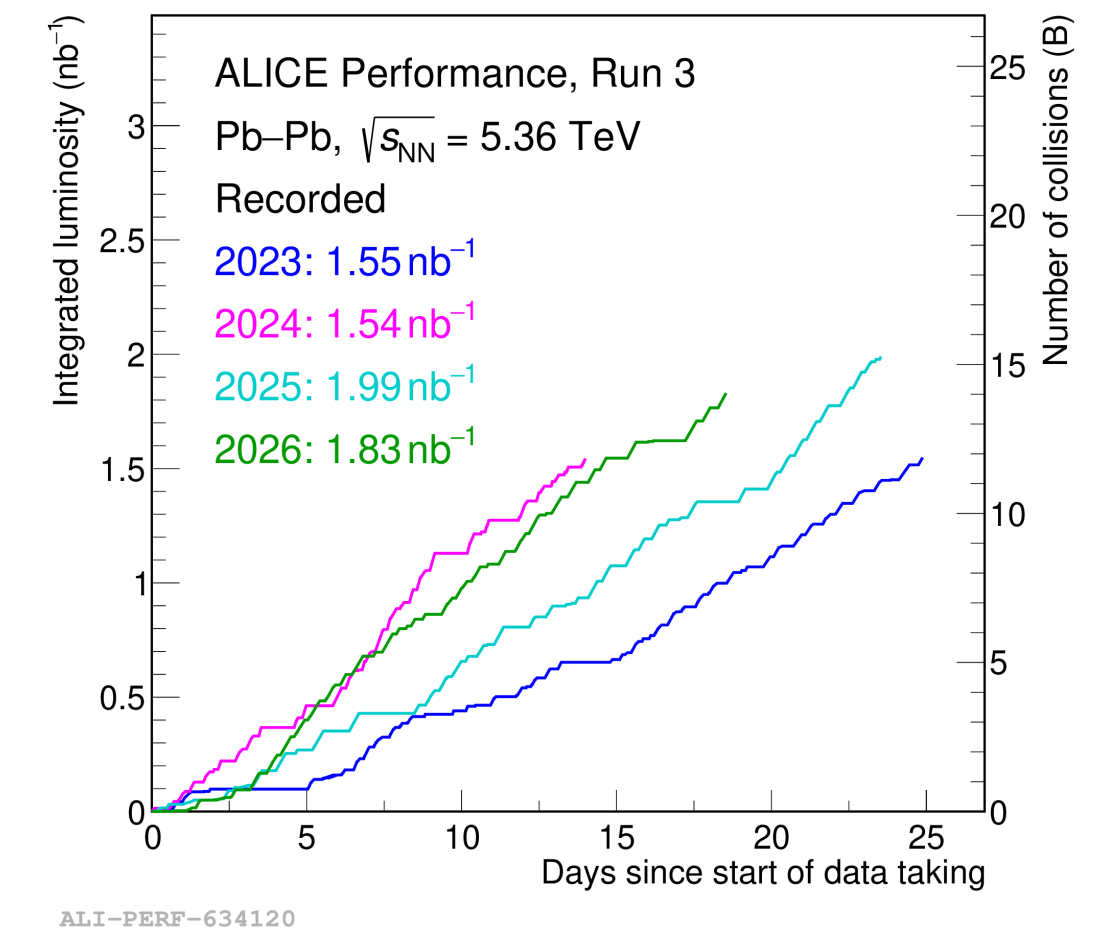
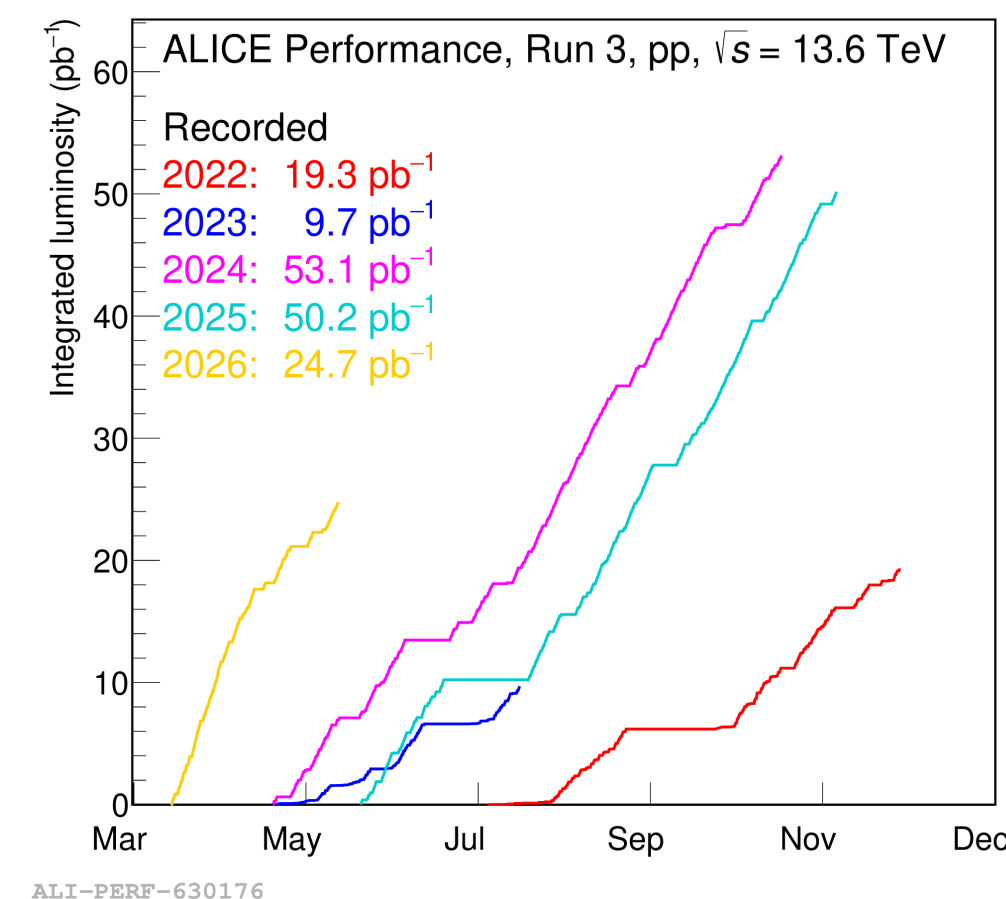


[JHEP 03(2024) 088] σ_{eff} [mb]

ALICE detector in Run 3



- ❖ New Inner Tracking System: better pointing resolution at mid-rapidity
- ❖ New TPC: readout based on Gas Electron Multipliers
- ❖ New MFT: vertexing capabilities at forward rapidity
- ❖ New FIT: forward multiplicity and continuous readout



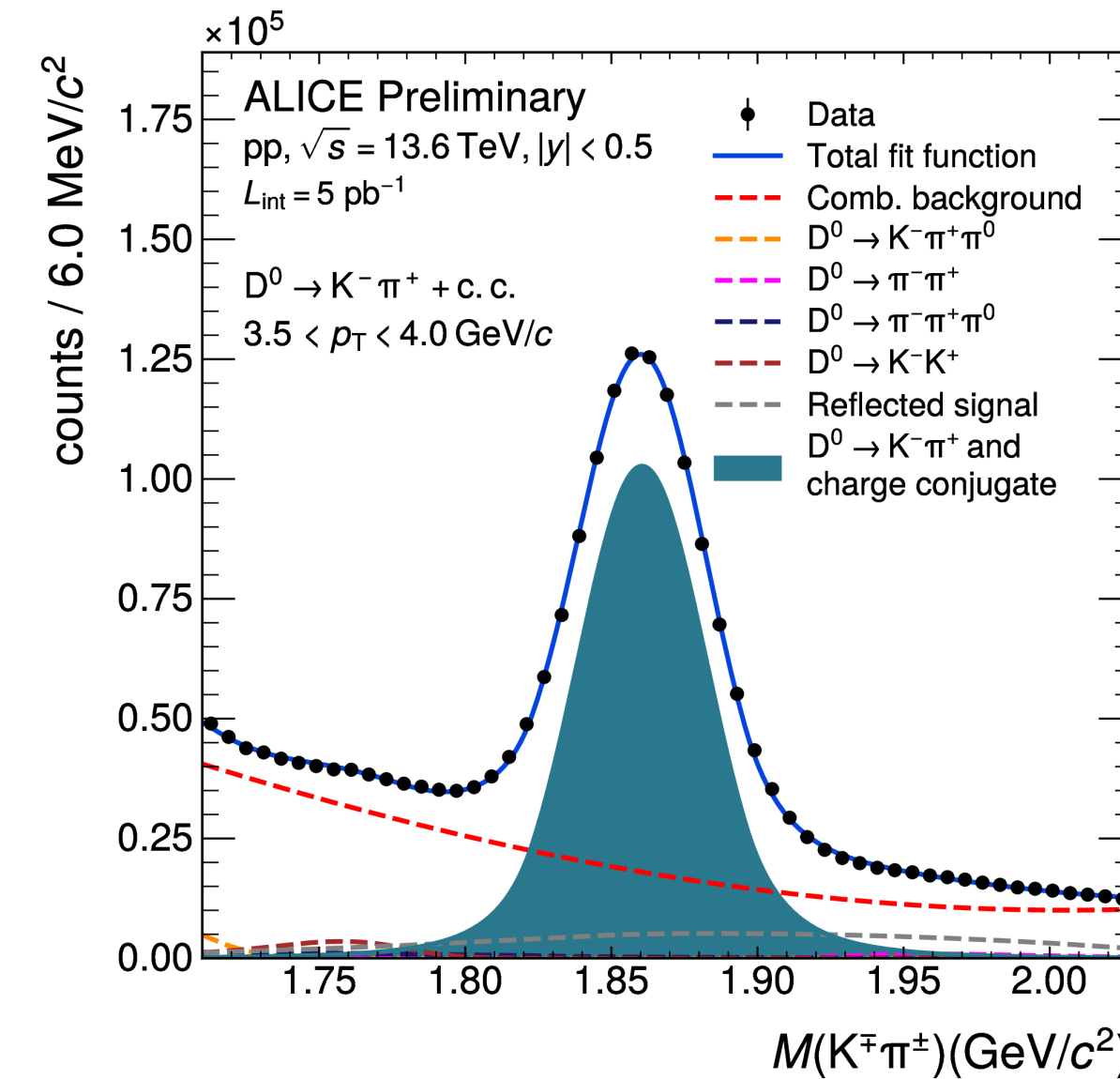
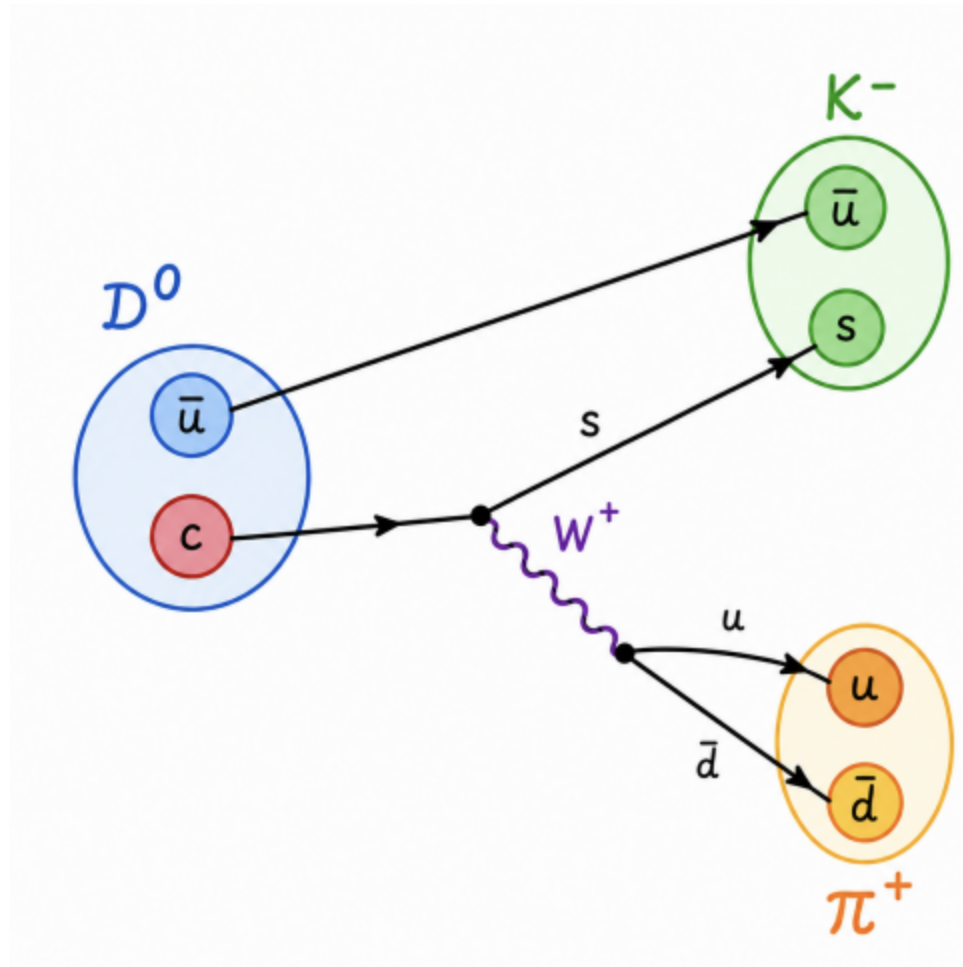
- ❖ Large data sample collected:
 - ▶ Higher interaction rates (up to 750 kHz in pp, 50 kHz in Pb-Pb)
 - ▶ Data taking in continuous readout mode

$\sim 8 \times 10^{12}$ minimum bias (MB) pp events
 $\times 10^3$ more MB than Run 1+2

$\sim 5 \times 10^{10}$ MB Pb-Pb events
 $\times 160$ more MB than Run 1+2

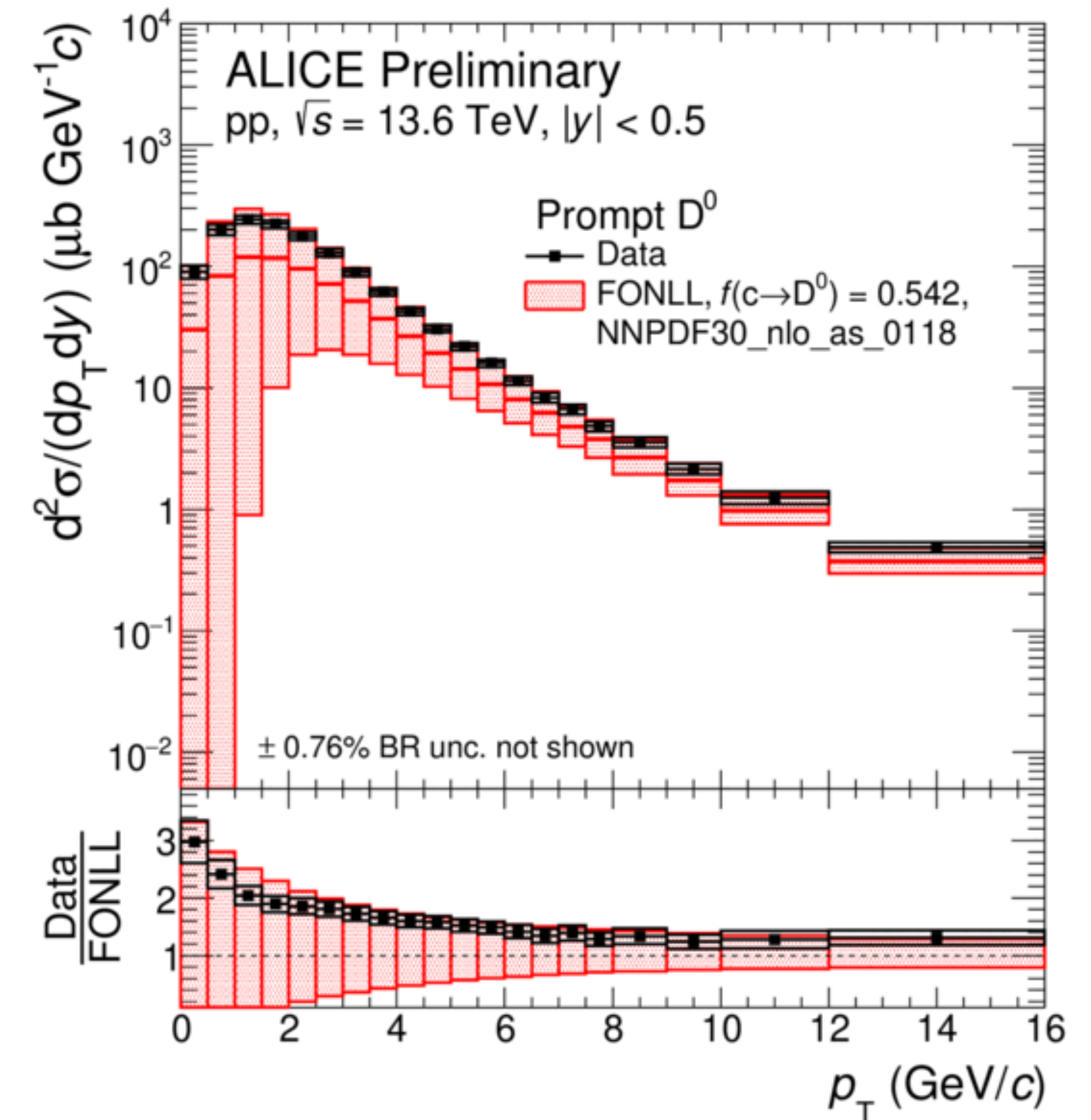
Prompt D^0 -meson production in Run 3

- ❖ Prompt D^0 -meson production measured with high precision down to $p_T = 0$



ALI-PREL-623550

- ▶ $D^0 \rightarrow K\pi$ at midrapidity ($|y| < 0.5$)
- ▶ Signal selection performed via BDT (boosted decision tree)
- ▶ Model predictions based on **FONLL** calculations compatible with the measurement within the uncertainties
- ▶ High precision measurement thanks to the large statistics
- ▶ Benefit for the associated heavy-flavour measurements



ALI-PREL-623534

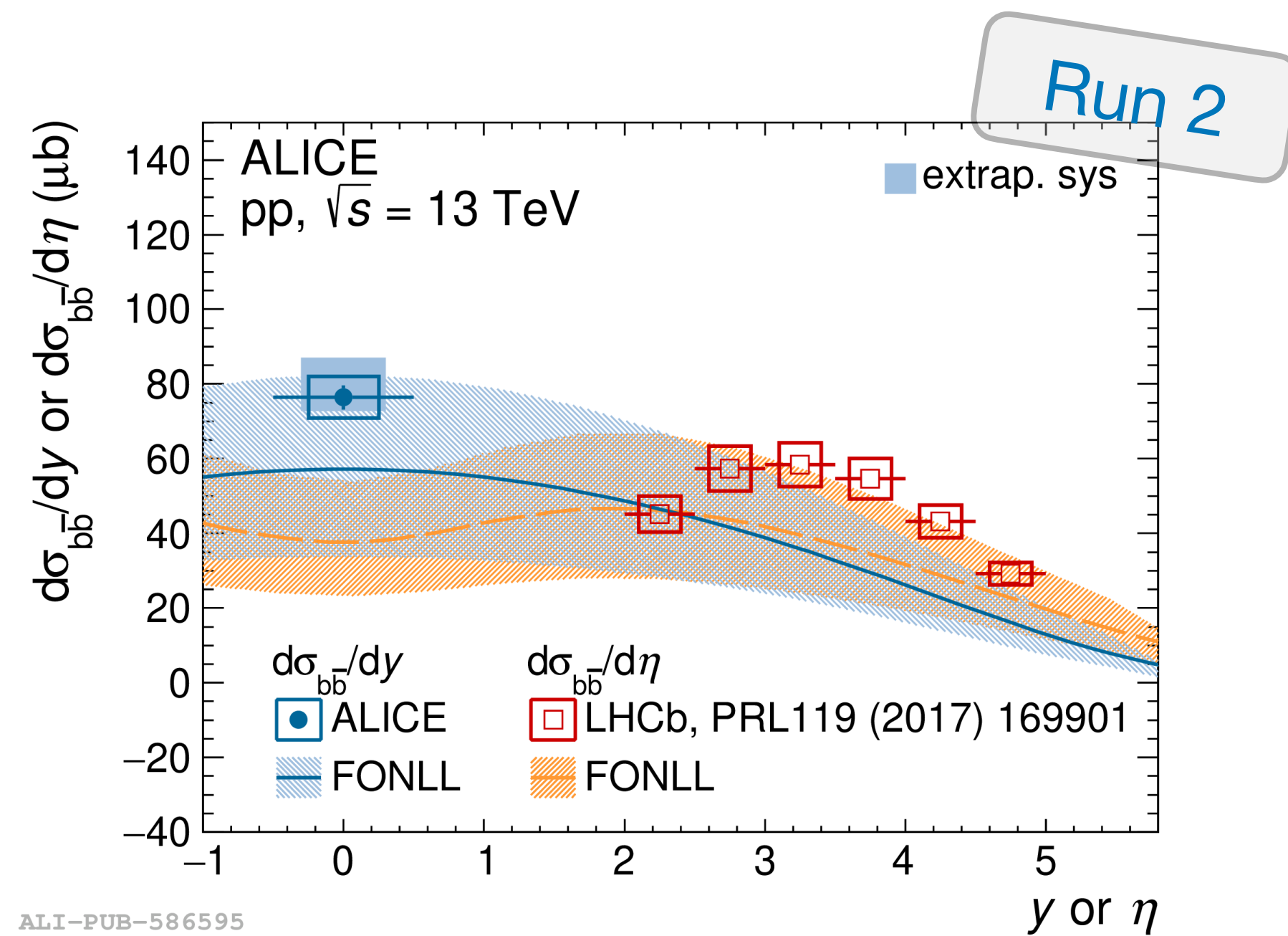
FONLL: [M. Cacciari et al., JHEP05(1998)007]

NNPDF30nlo_as0118: [R. D. Ball et al., Nuclear Physics B 855 (2012) 153]

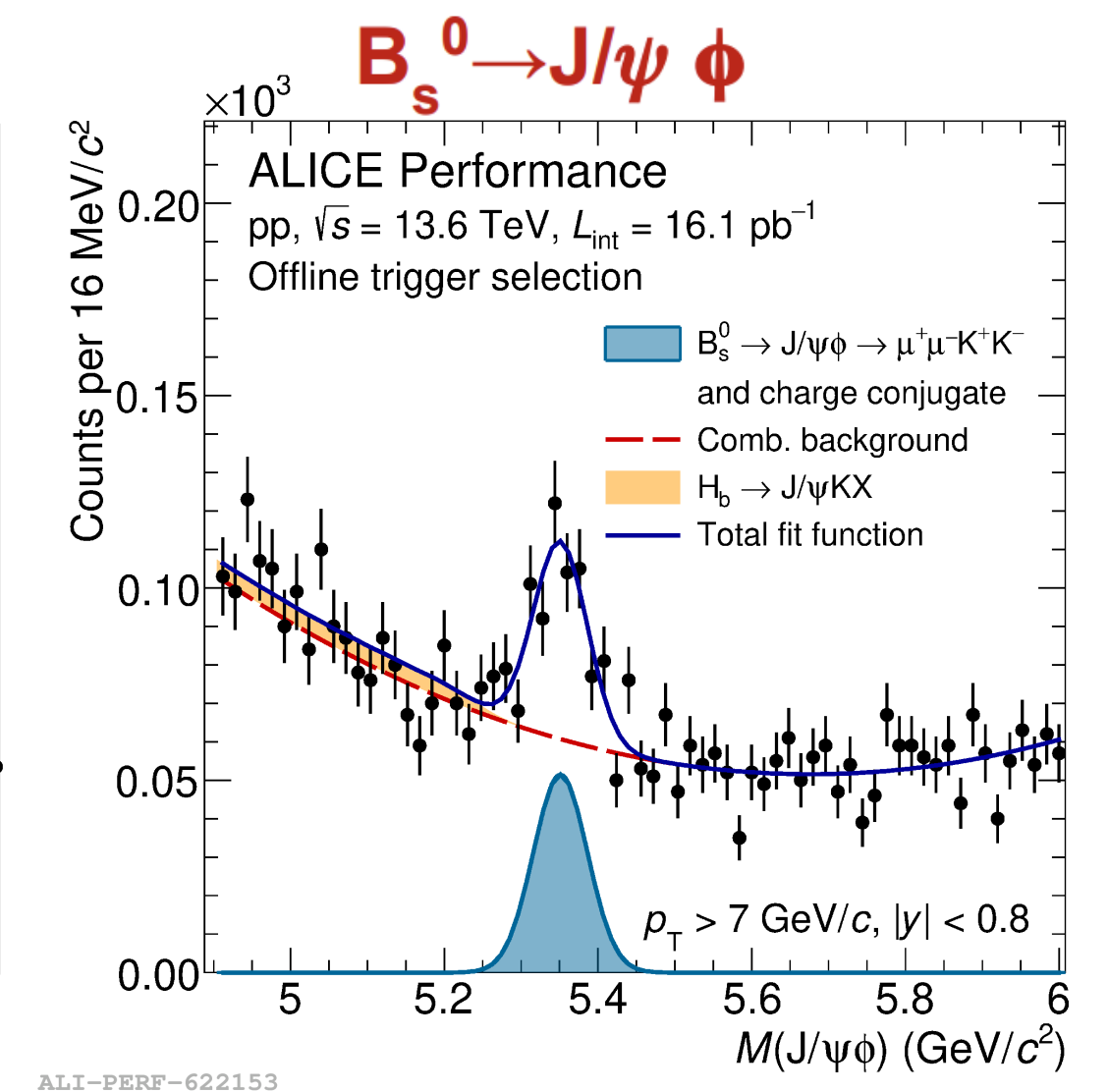
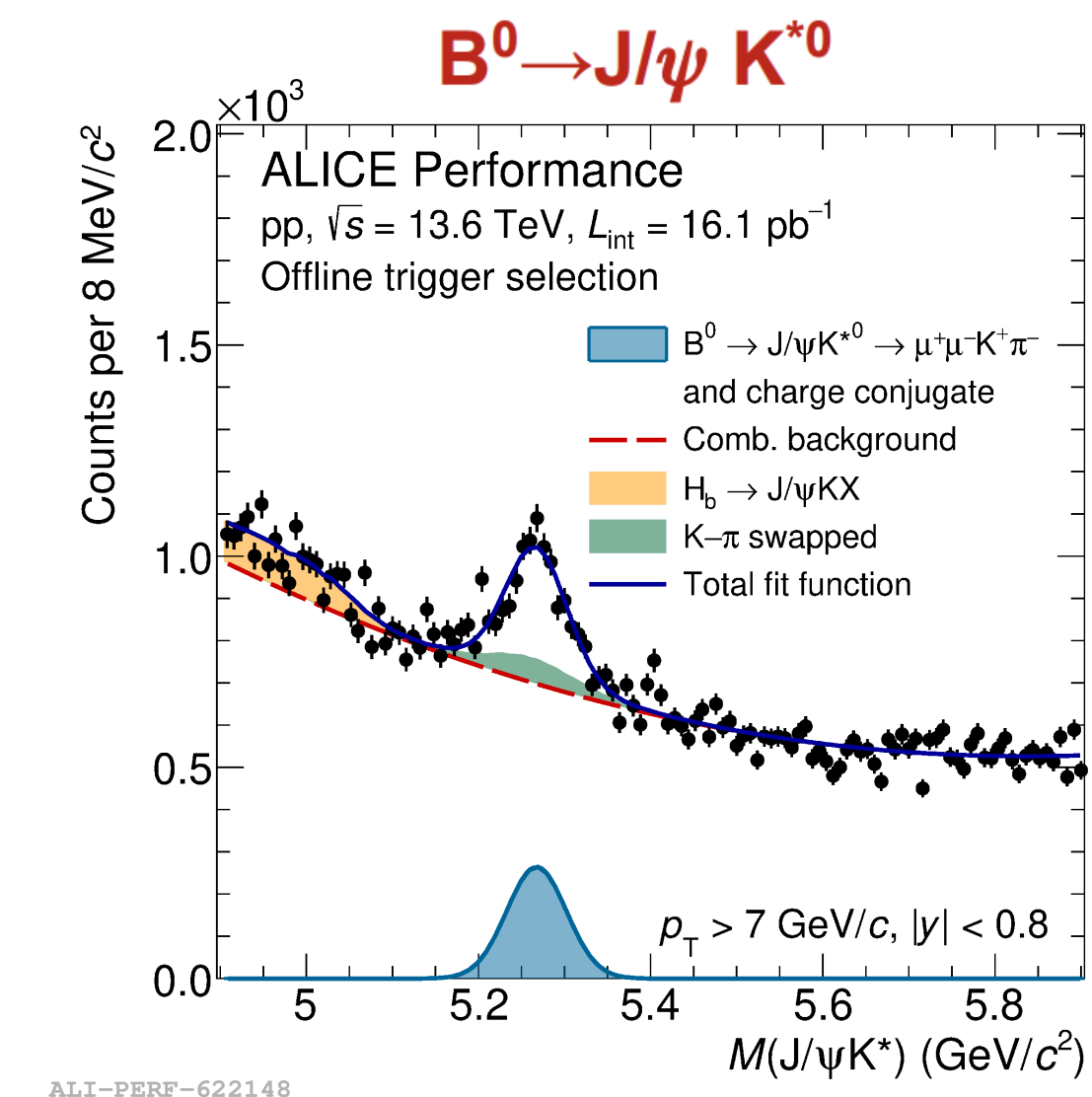
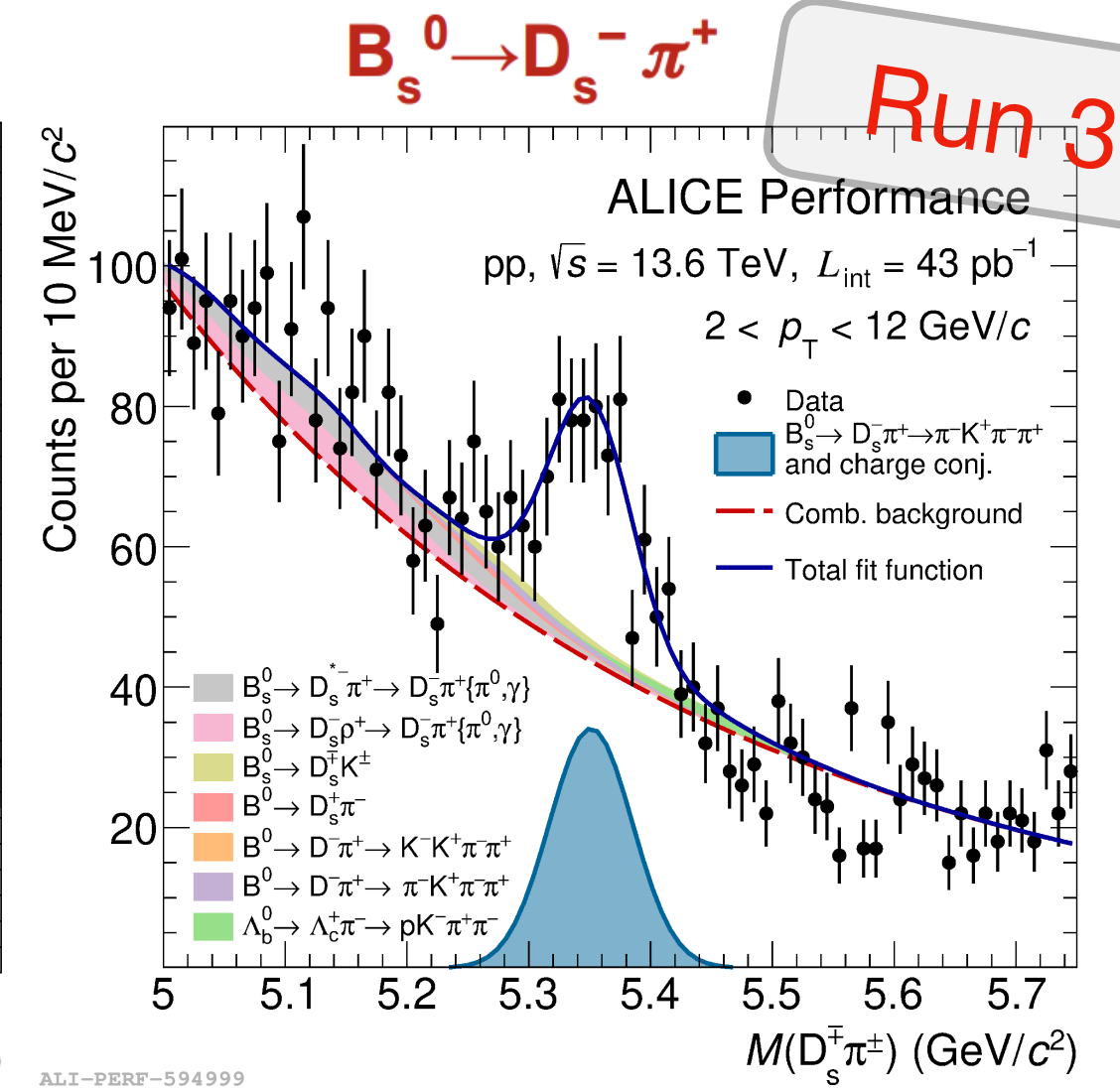
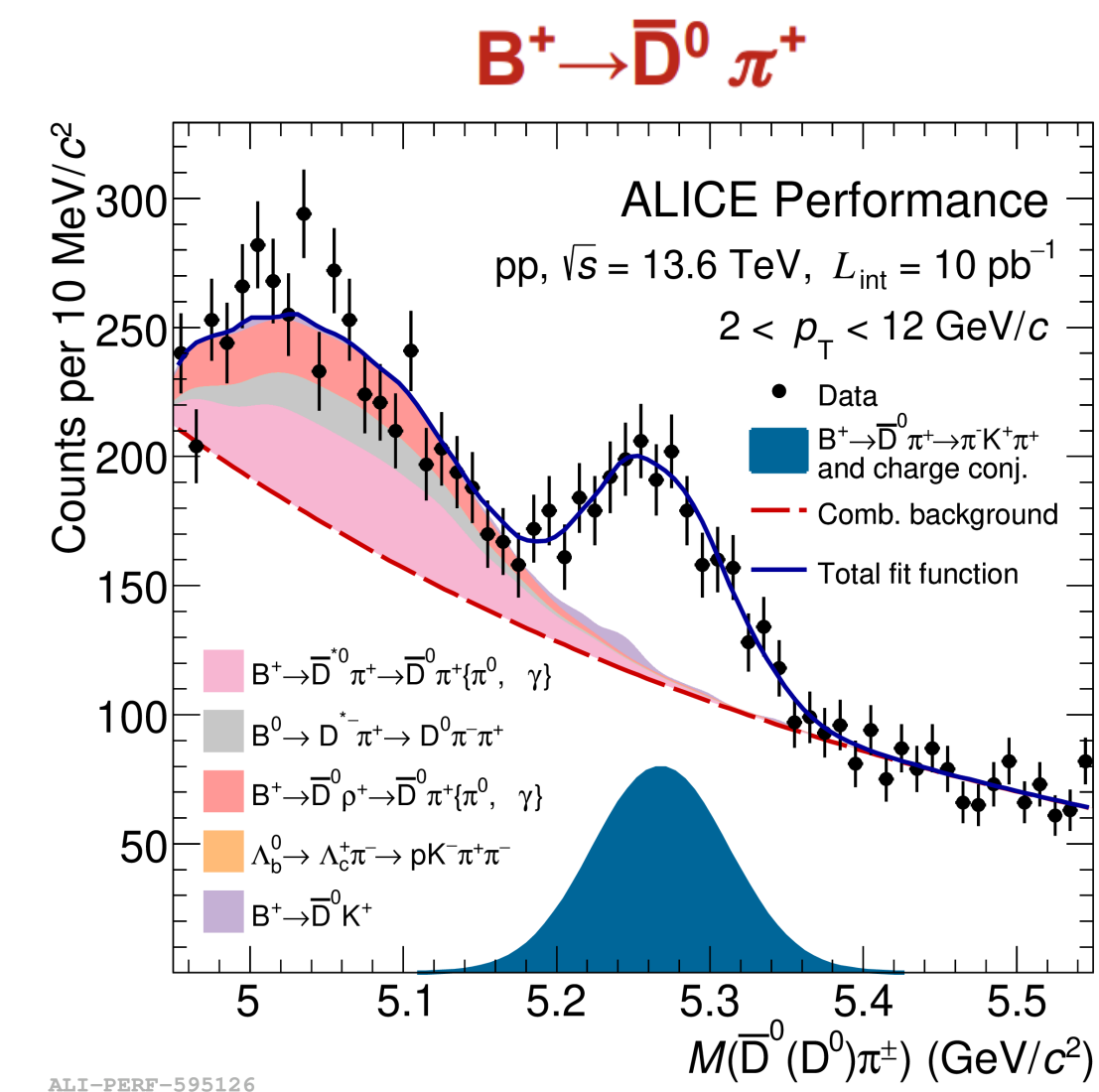
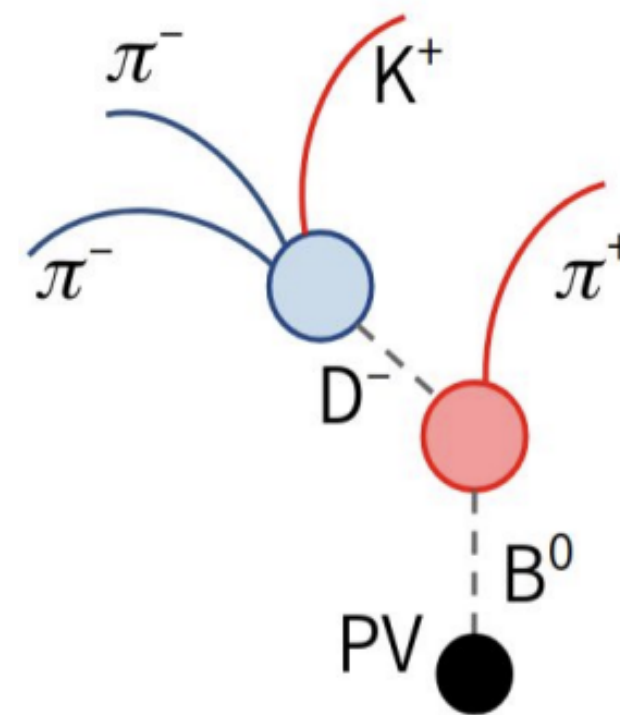
Beauty production measurements in Run 2 and Run 3



- During **Run 2**, ALICE measured the beauty cross section at midrapidity **indirectly**, via non-prompt charm hadrons
 - Limited constraint on beauty hadronisation models
- Run 3: direct reconstructed** beauty hadrons accessible in various channels



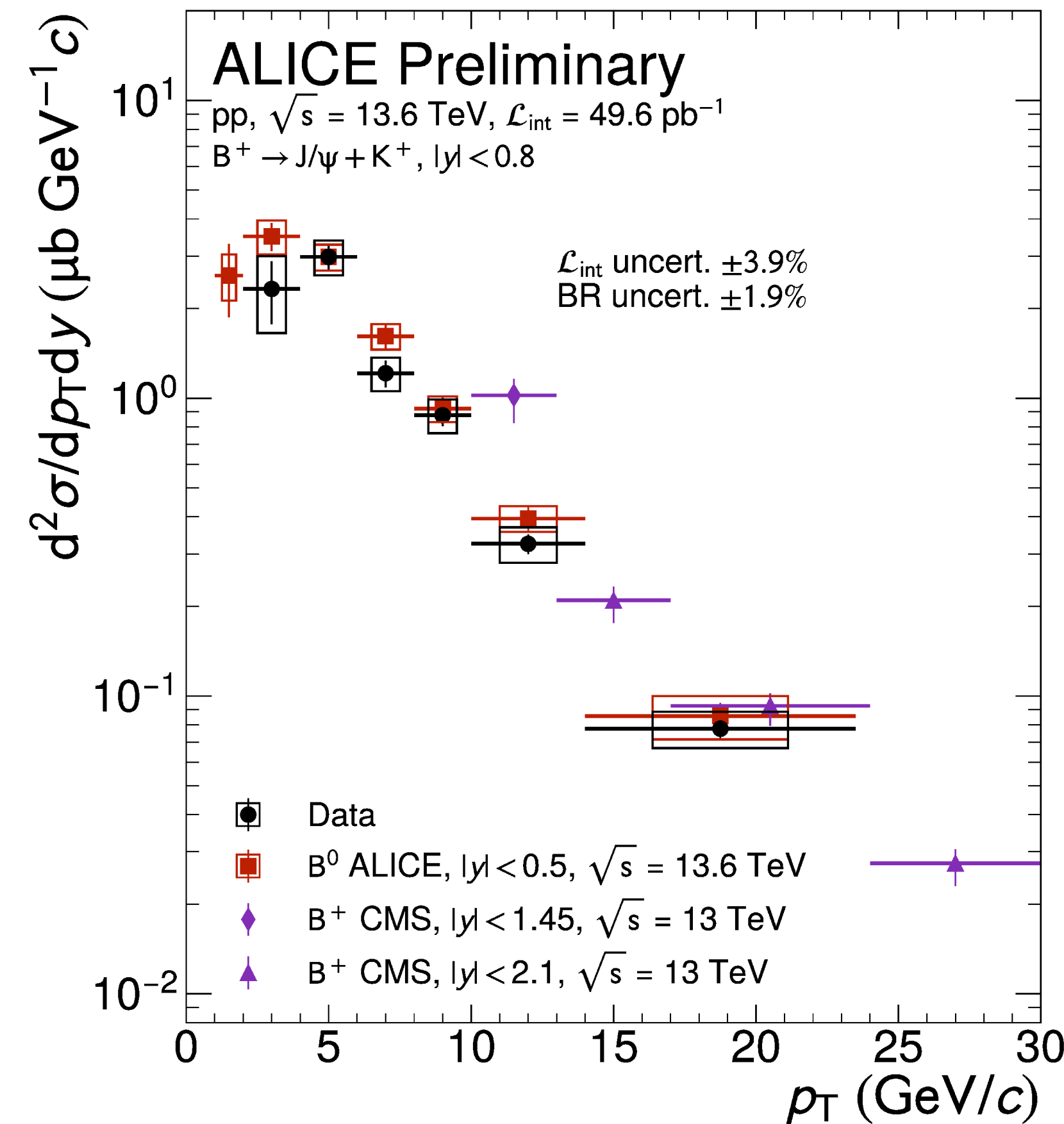
ALI-PUB-586595



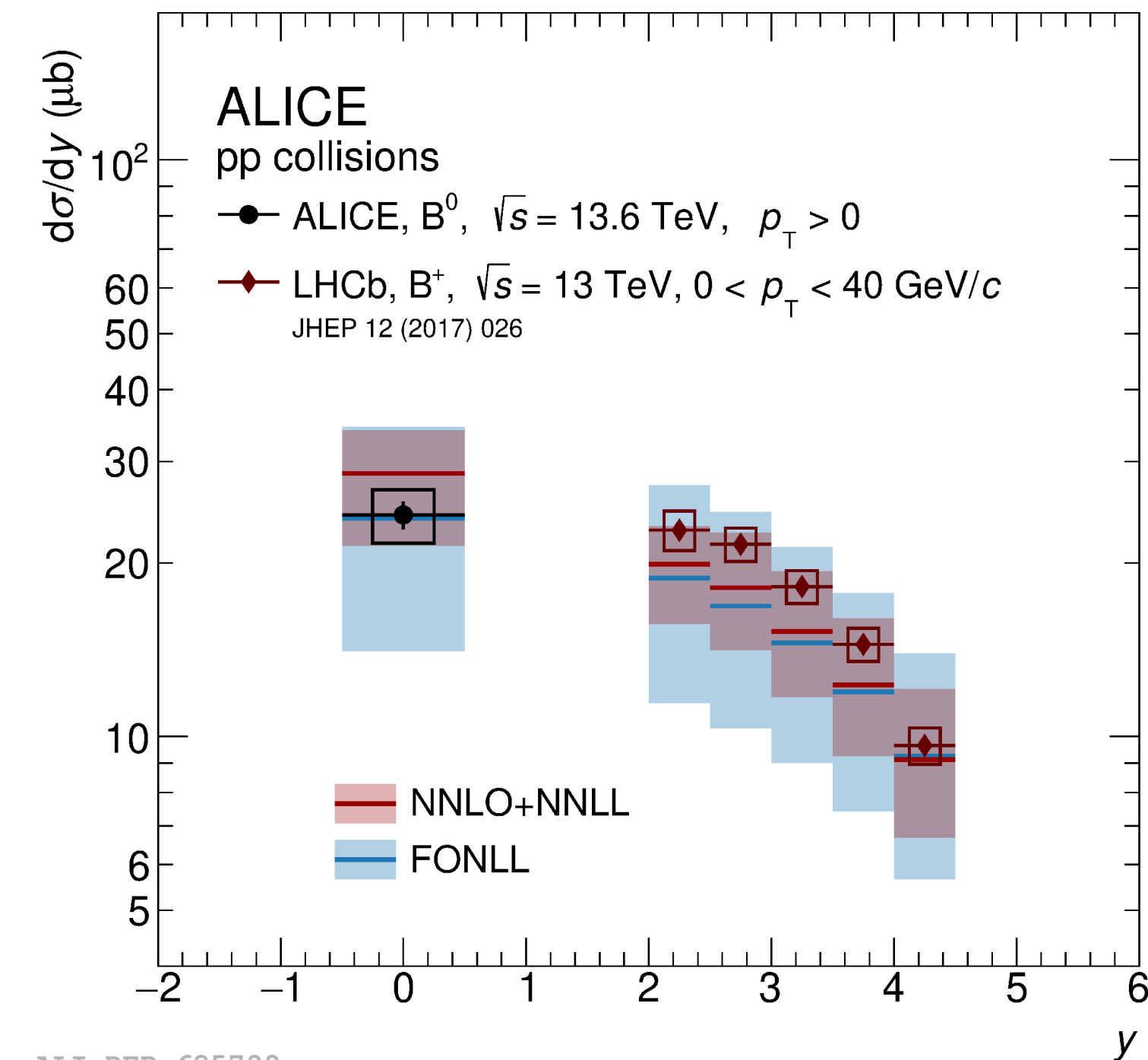
Exclusive beauty hadron reconstruction in Run 3



- ❖ **First exclusive reconstruction of open-beauty hadrons** by the ALICE Collaboration
 - ▶ Using offline software triggers
 - ▶ Direct access to the beauty sector
- ❖ B^0 and B^+ production cross sections measured down to low p_T
 - ▶ Complementary to CMS and LHCb results
- ❖ Results compatible with **FONLL** and **NNLO+NNLL** predictions within uncertainties



ALI-PREL-621295



ALI-DER-625799

LHCb, [JHEP 12 (2017) 026]

CMS: [PLB 771 (2017) 435-456]

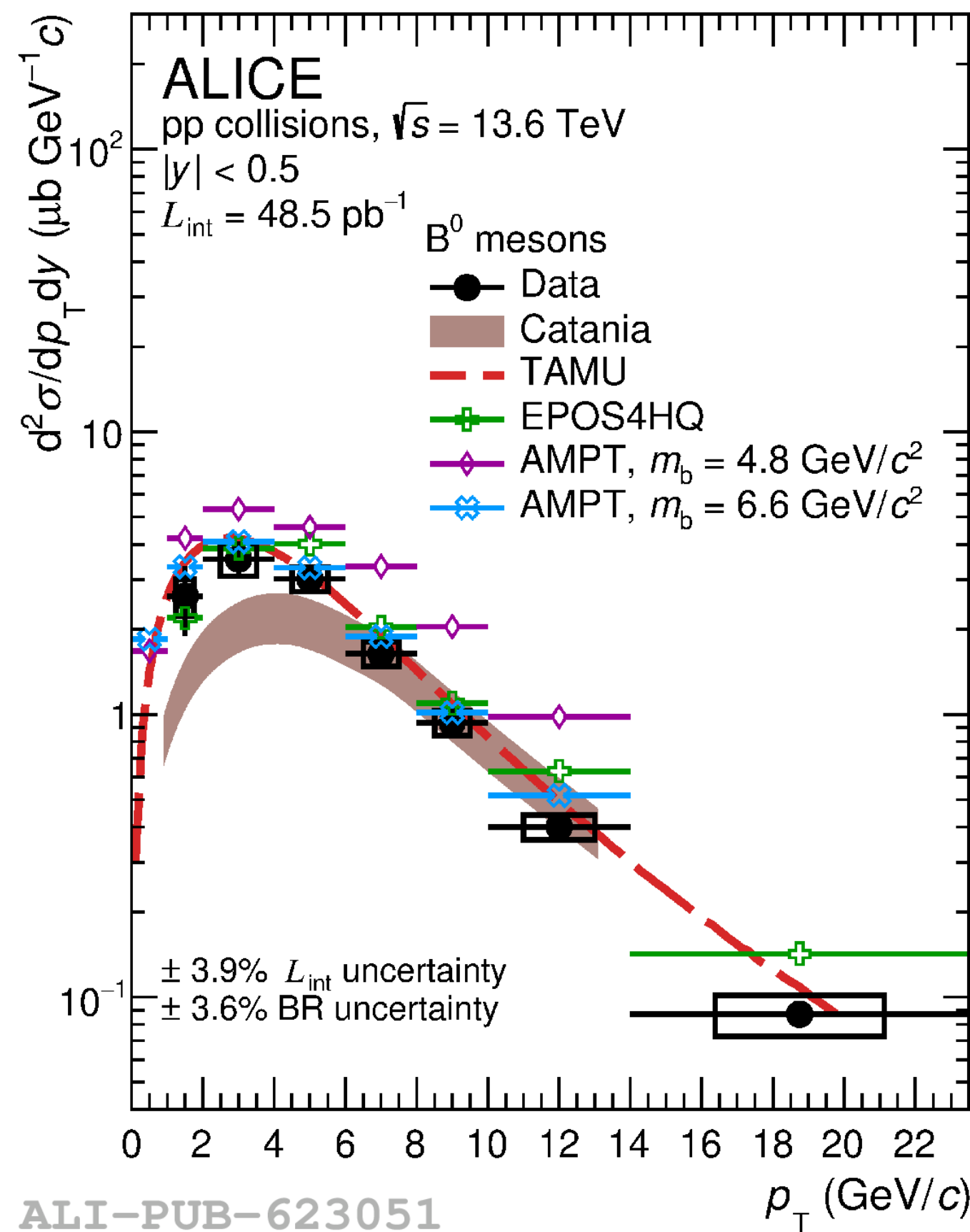
arXiv:2603.18904

FONLL: [Cacciari et al., JHEP 9805:007,1998]

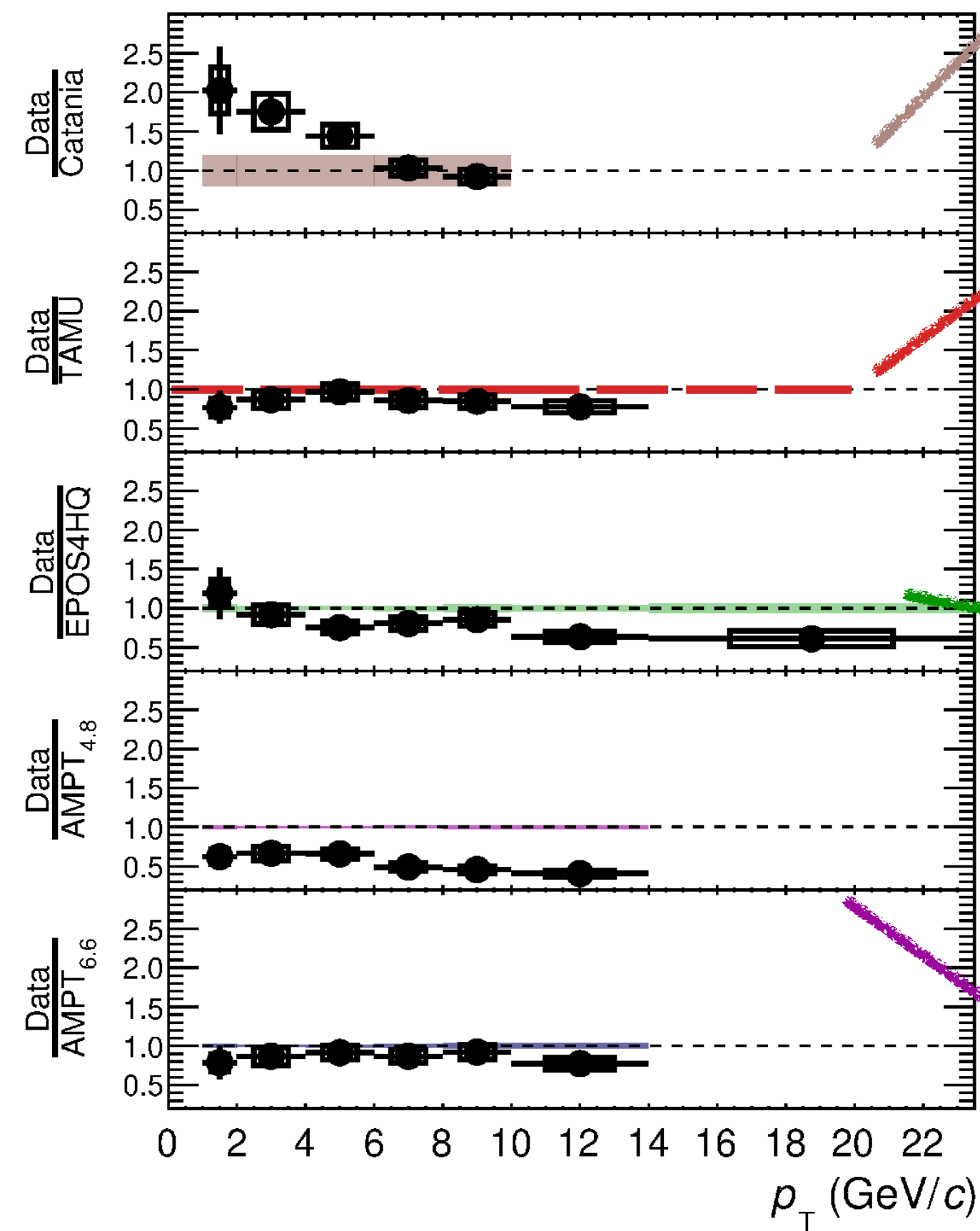
NNLO+NNLL: [Czakon et al. PRL 135 (2025) 16, 161903]

B⁰ production cross section

❖ Production cross section compared with transport models



ALI-PUB-623051



arXiv:2603.18904

❖ Catania [V. Minnisale et al., PLB 2021 136622]

- FONNL b-quark spectra
- Hadronisation via fragmentation and coalescence
- Underestimates the data at low p_T

❖ TAMU [He et al., PRL 131 (2023) 1, 012301]

- LHCb $b\bar{b}$ + FONNL b-quark p_T spectra
- $b \rightarrow H_b$ from statistical hadronisation model
- Better describes data

❖ EPOS4HQ [Zhao et al., PRC 110 024909]

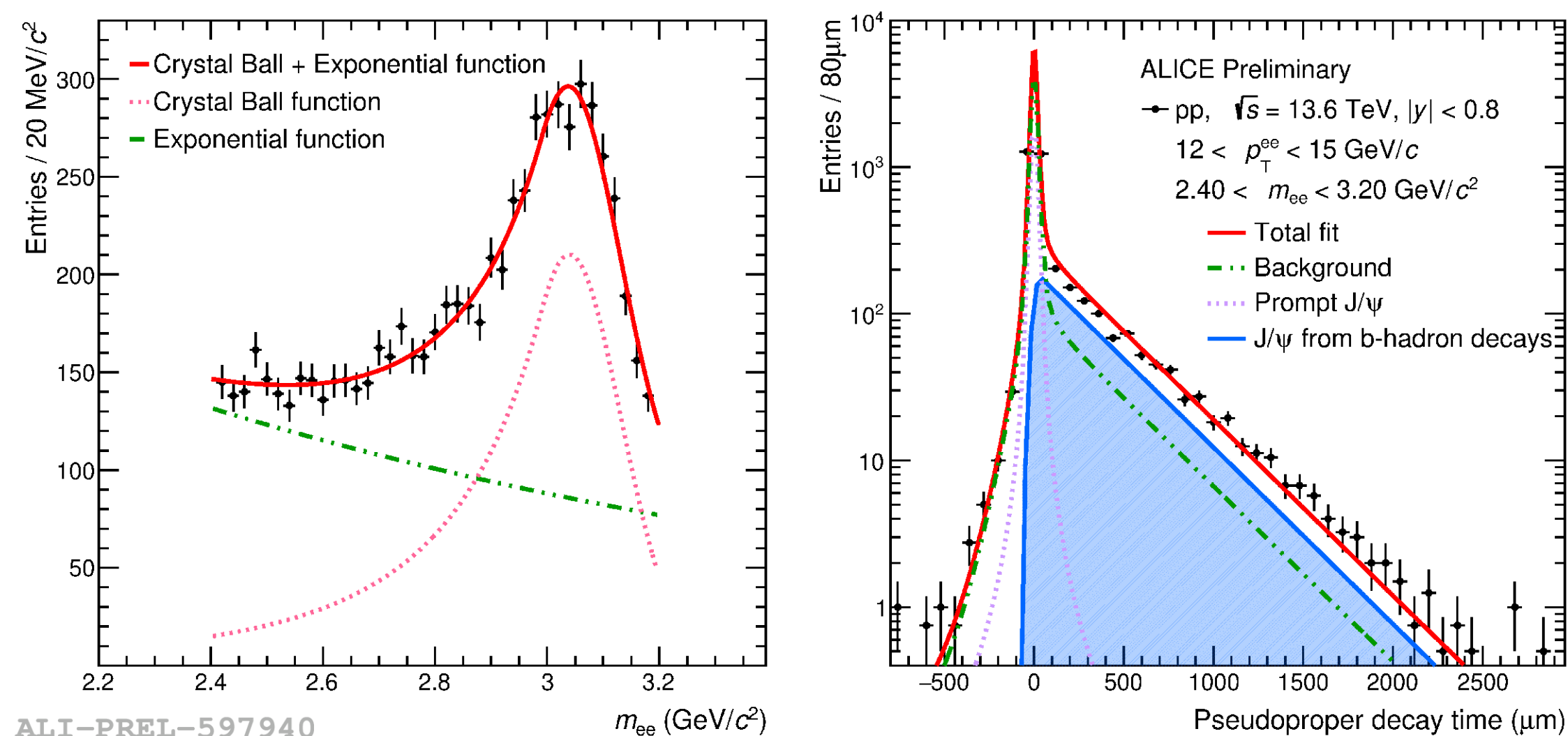
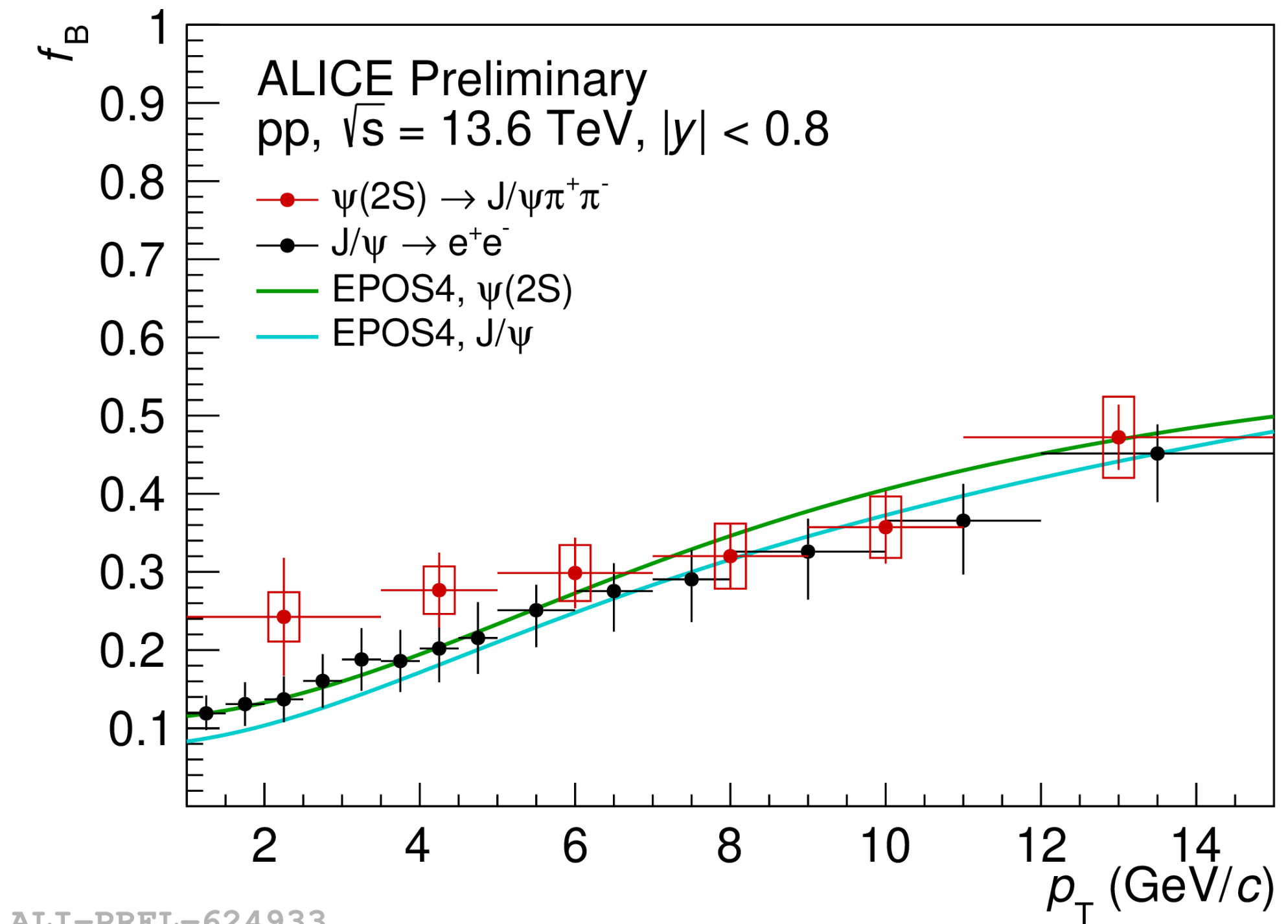
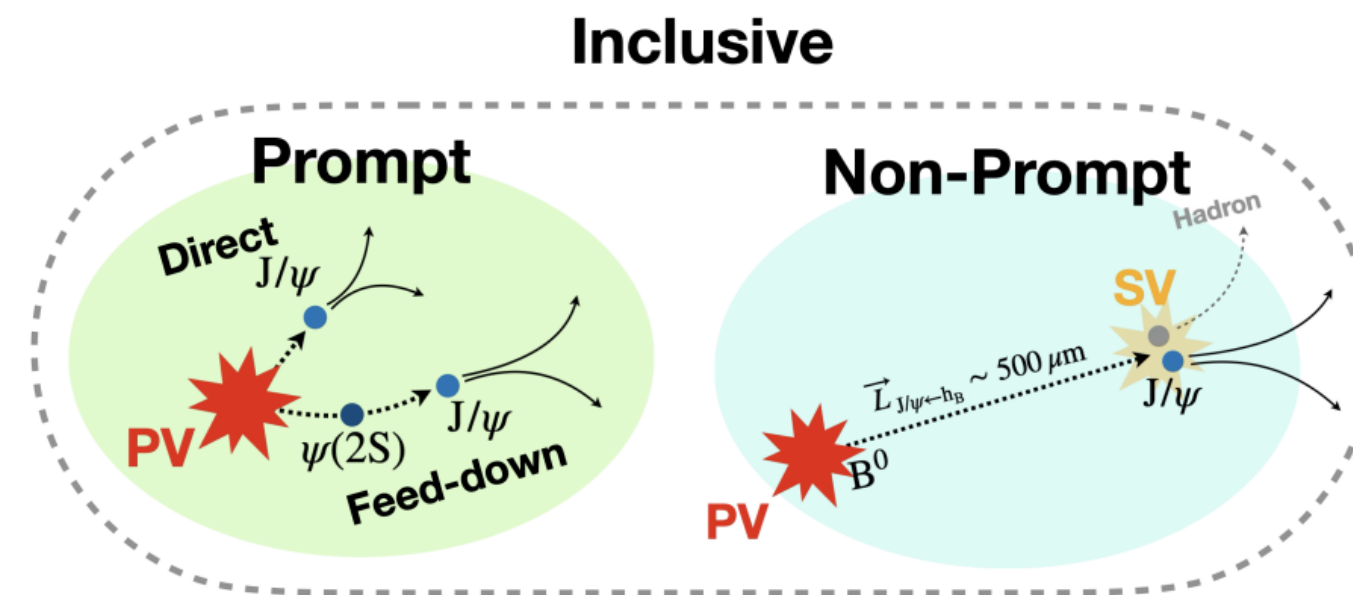
- Hydrodynamic evolution with heavy quark propagation
- Hadronisation via fragmentation and coalescence
- Describes data well up to 10 GeV/c only

❖ AMPT [He et al., JHEP 07(2021) 035]

- Multi-phase partonic and hadronic interactions
- Hadronisation via fragmentation and coalescence
- Overestimates data for $m_b = 4.8 \text{ GeV}/c^2$

Beauty production measurement via quarkonia

- ❖ J/ψ and $\psi(2S)$: bound states of charm and anti-charm pairs
- ❖ **Non-prompt J/ψ and $\psi(2S)$** : produced by B-hadron decays
- ❖ The non-prompt fraction of quarkonia f_B is extracted through a simultaneous fit of:
 - ▶ The **pseudo-proper decay time τ**
 - ▶ The dilepton **invariant-mass distribution m_{ee}**

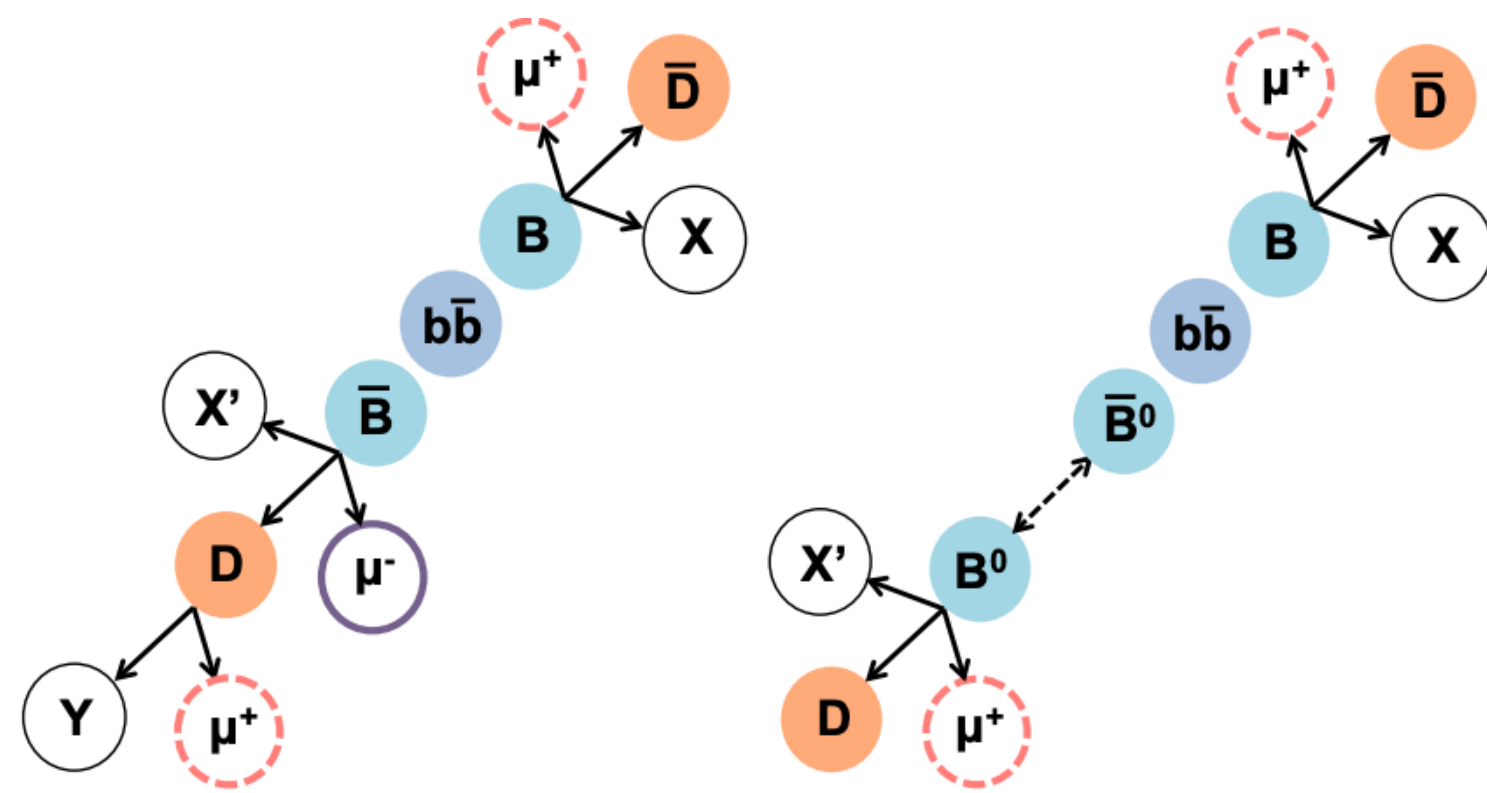


- ❖ **First measurement of the fraction of $\psi(2S)$ originating from B-hadron decays at midrapidity in the low- p_T region**
- ❖ Hint of a larger $f_B(\psi(2S))$ than $f_B(J/\psi)$ at low p_T
- ❖ Comparison with EPOS4 predictions:
 - ▶ Good description of $f_B(J/\psi)$ for $p_T > 4$ GeV/c
 - ▶ A slightly larger predicted $f_B(\psi(2S))$ compared to $f_B(J/\psi)$

Beauty production measurement via like-sign muons

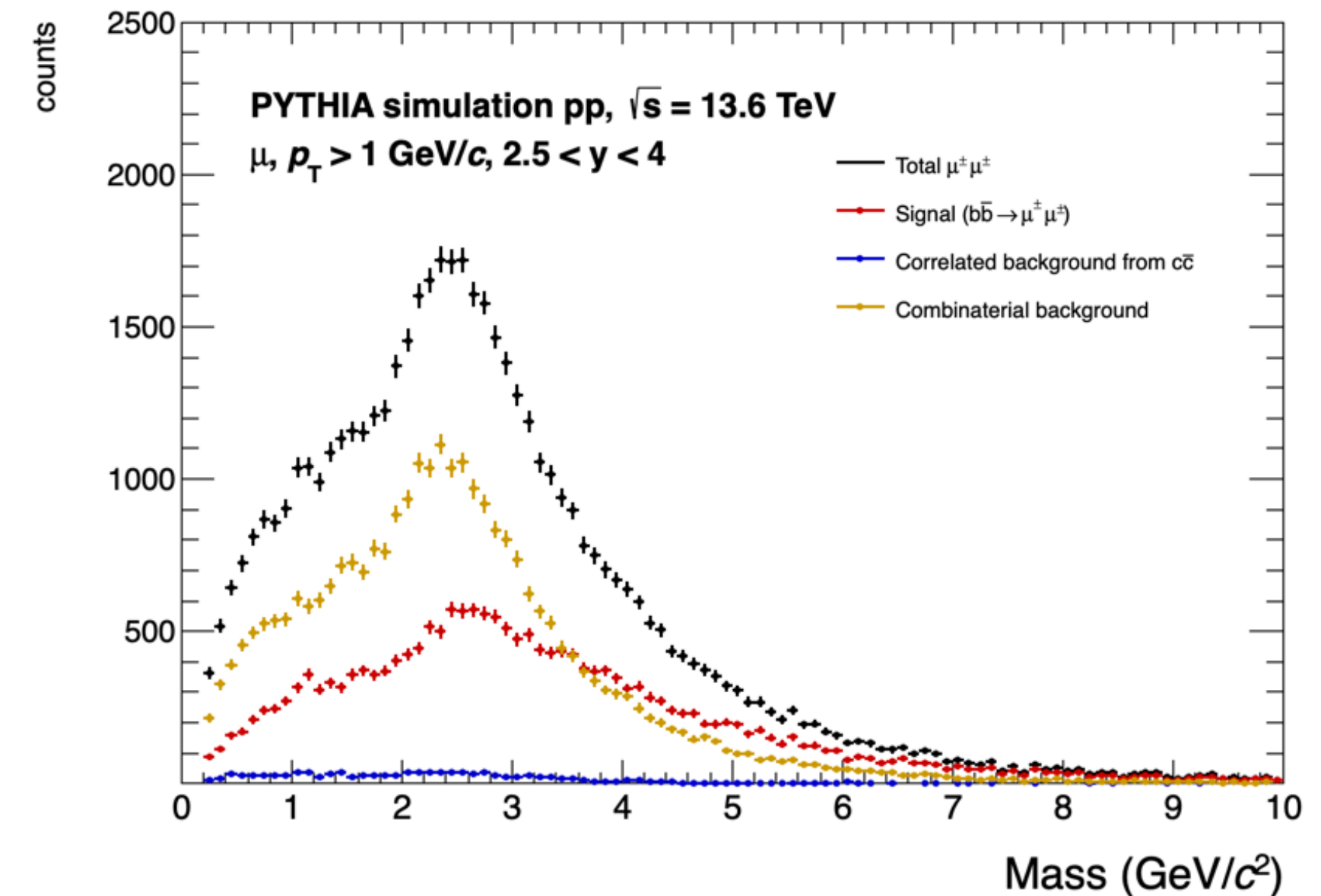
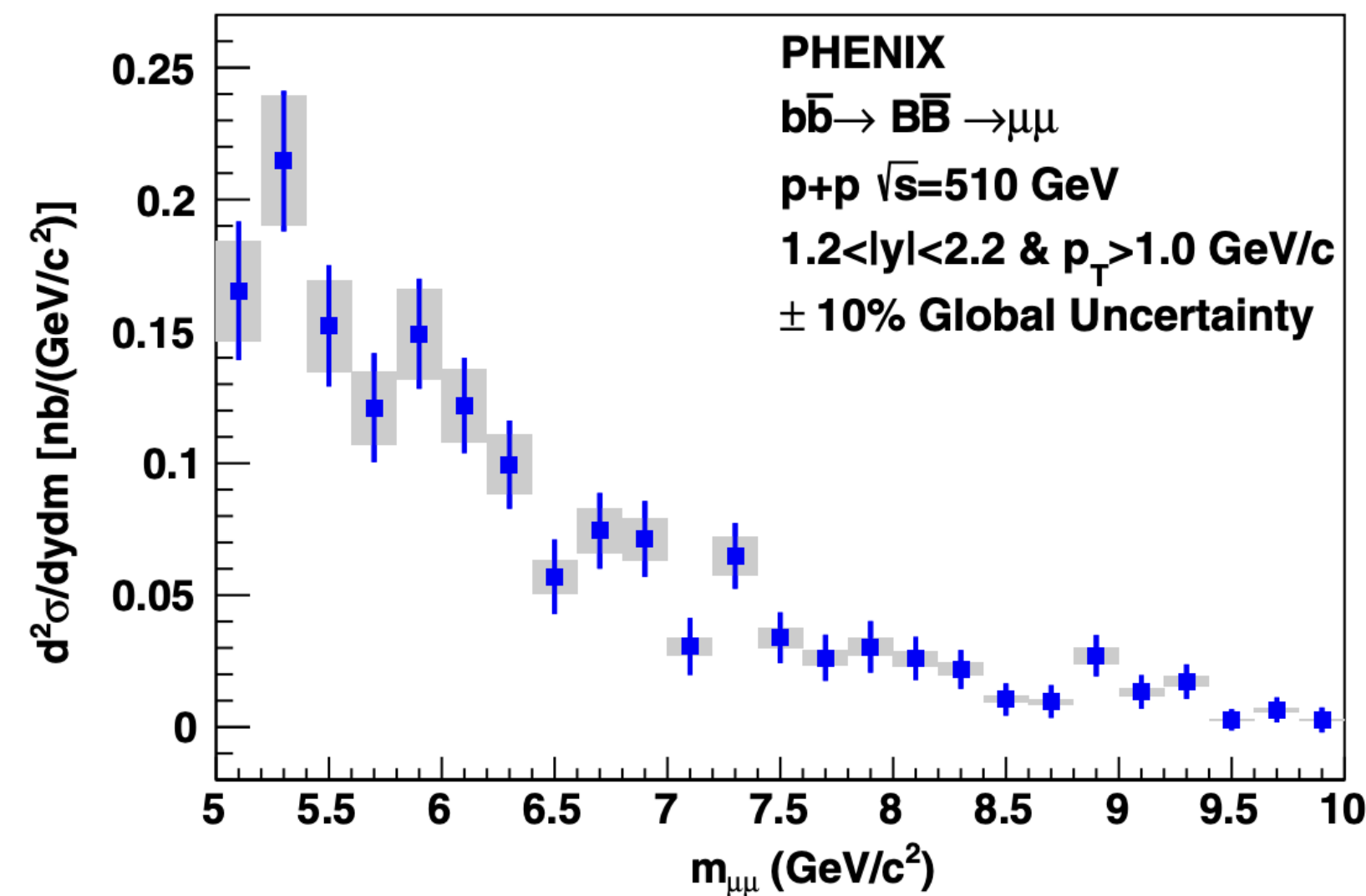
❖ Beauty production cross section can be measured **at forward rapidity** via muons with ALICE detector

- ▶ Like-sign dimuons: a clean signal channel free from quarkonia and Drell-Yan contaminations
- ▶ Similar measurement performed in PHENIX: can be extended to the LHC energy regime



Like-sign dimuons

[PHENIX: PhysRevD.102.092002]



❖ Feasibility study with PYTHIA shows **significant signal** and **negligible correlated charm background**, main background from combinatorial pairs

Beauty production measurement via like-sign muons



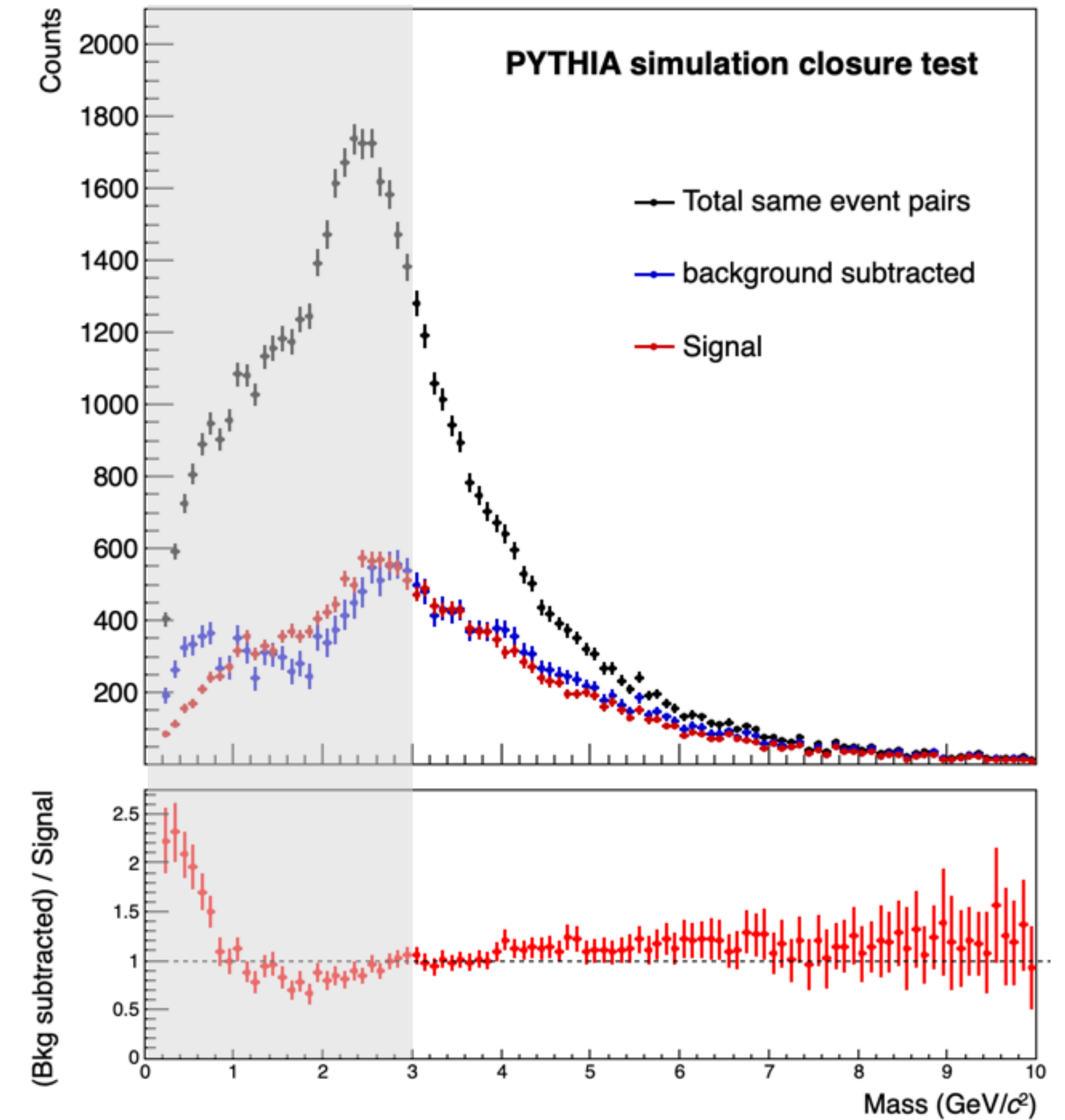
- ❖ Combinatorial background subtracted via the event-mixing technique:

$$N_{signal} = N_{\pm\pm}^{SE} - R \times N_{\pm\pm}^{ME} \quad \text{where } R = \frac{\sqrt{N_{++}^{SE} \times N_{--}^{SE}}}{\sqrt{N_{++}^{ME} \times N_{--}^{ME}}}$$

- ❖ Mixed events normalised by an iterative method:
 - The MC signal Sig is used to correct the overestimated normalisation factor R until it converges

$$R^{i+1} = \sqrt{\frac{(N_{++}^{SE} - Sig_{++}^i) \times (N_{--}^{SE} - Sig_{--}^i)}{N_{++}^{ME} \times N_{--}^{ME}}}$$

- ❖ Closure test with PYTHIA shows **promising results in signal region** 3-10 GeV/c²
- ❖ Method validated, being applied to pp data

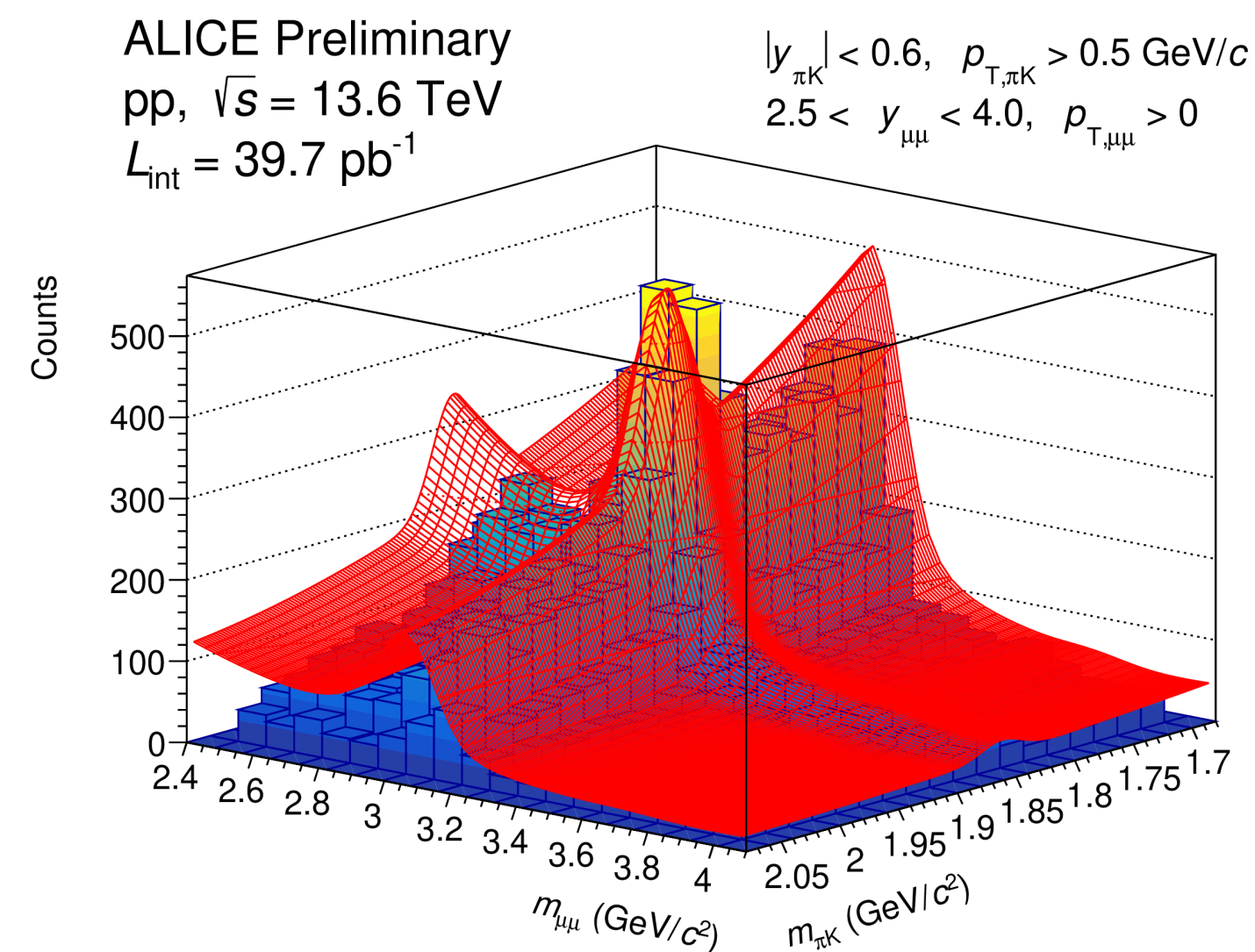


Associated production of prompt D^0 and J/ψ



❖ J/ψ - D^0 associated production cross section measured in pp collisions at $\sqrt{s} = 13.6$ TeV with ALICE detector

- ▶ J/ψ reconstructed at forward rapidity via dimuons using offline trigger selection
- ▶ 2D fits to the invariant mass of the D^0 and the J/ψ to extract the signal



ALI-PREL-609894

❖ A clear J/ψ - D^0 signal can be observed

❖ PYTHIA 8 simulations:

- ▶ Monash tune + CharmoniumShower:all
- ▶ Single prompt D^0 and inclusive J/ψ cross sections: **compatible** with data
- ▶ J/ψ - D^0 cross section: **overestimate** data

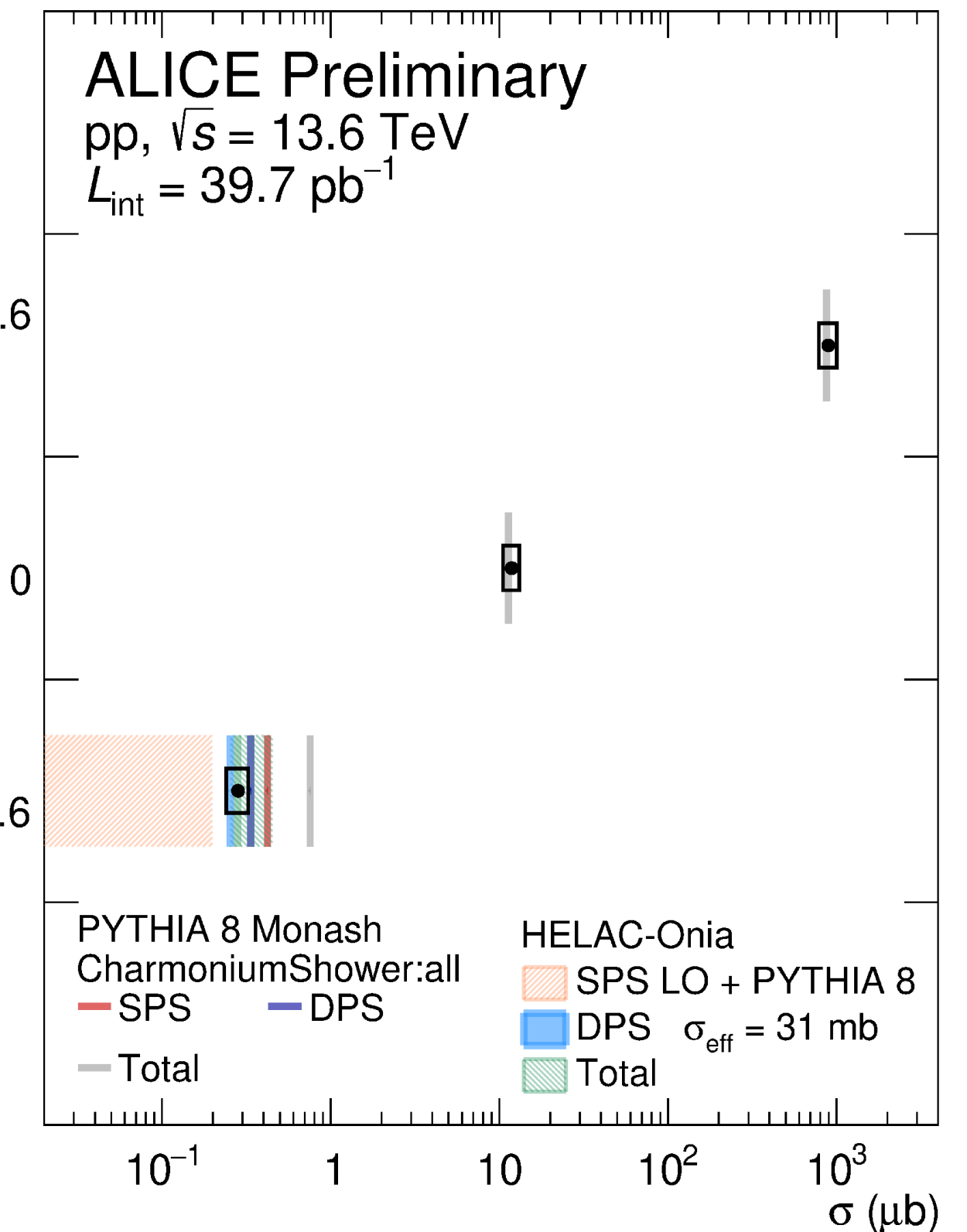
❖ HELAC-onia:

- ▶ DPS component is based on a σ_{eff} value (31 mb) which is extracted from a fit to the data
- ▶ Reproduces the J/ψ - D^0 cross section
- ▶ Large uncertainty on the **SPS LO + PYTHIA 8 component**: to be improved using NLO calculations

Prompt $D^0, |y| < 0.6$
 $p_T > 0.5 \text{ GeV}/c$

Inclusive J/ψ
 $2.5 < y < 4.0, p_T > 0$

D^0 - J/ψ
 $1.9 < \Delta y < 4.6$



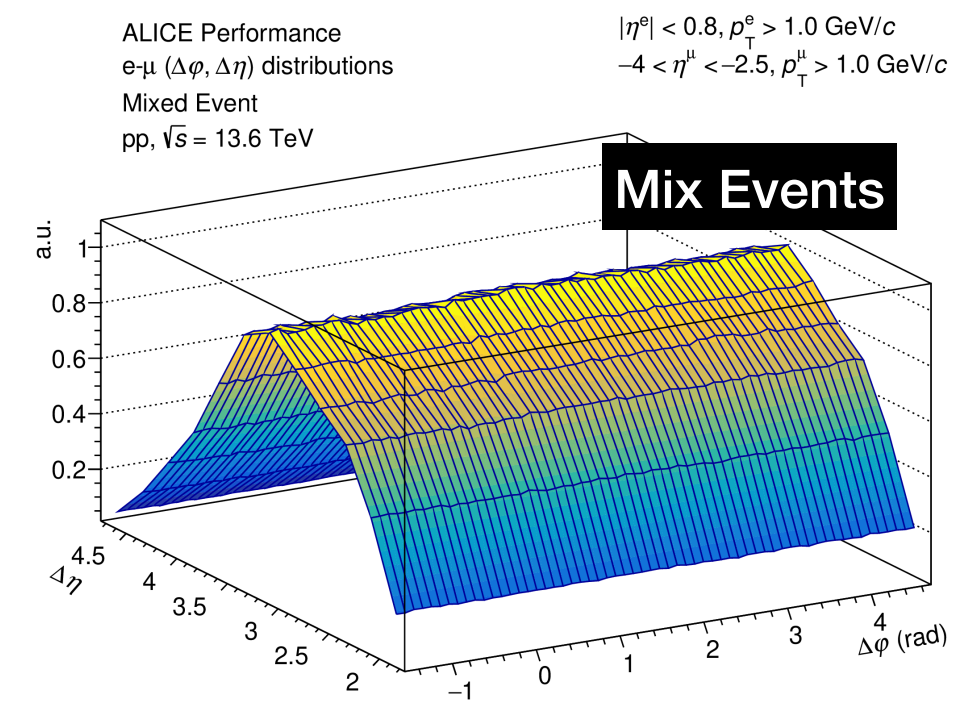
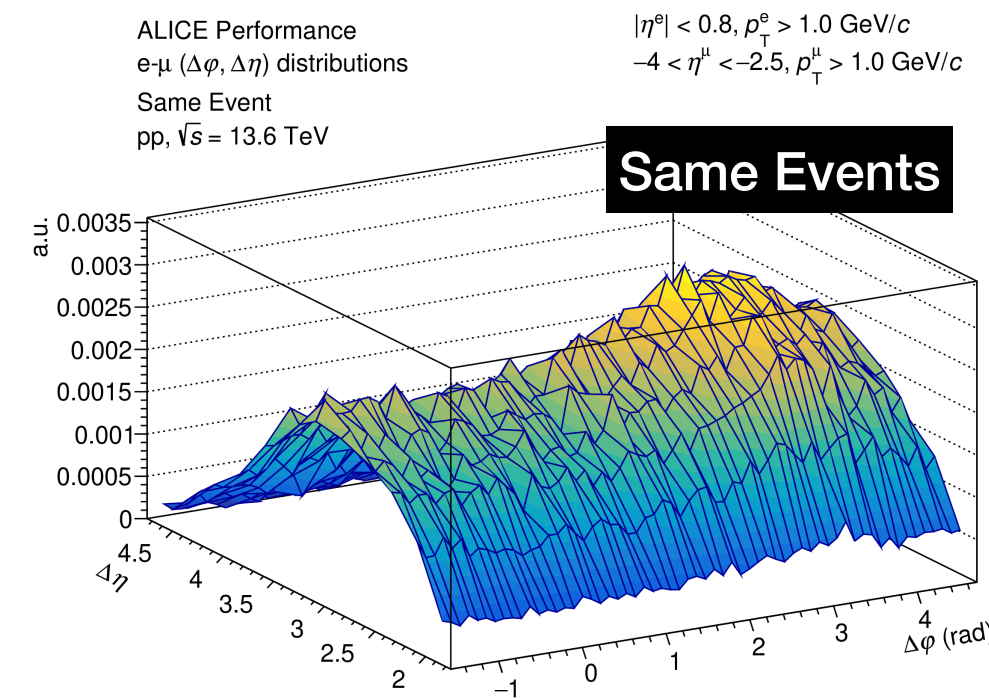
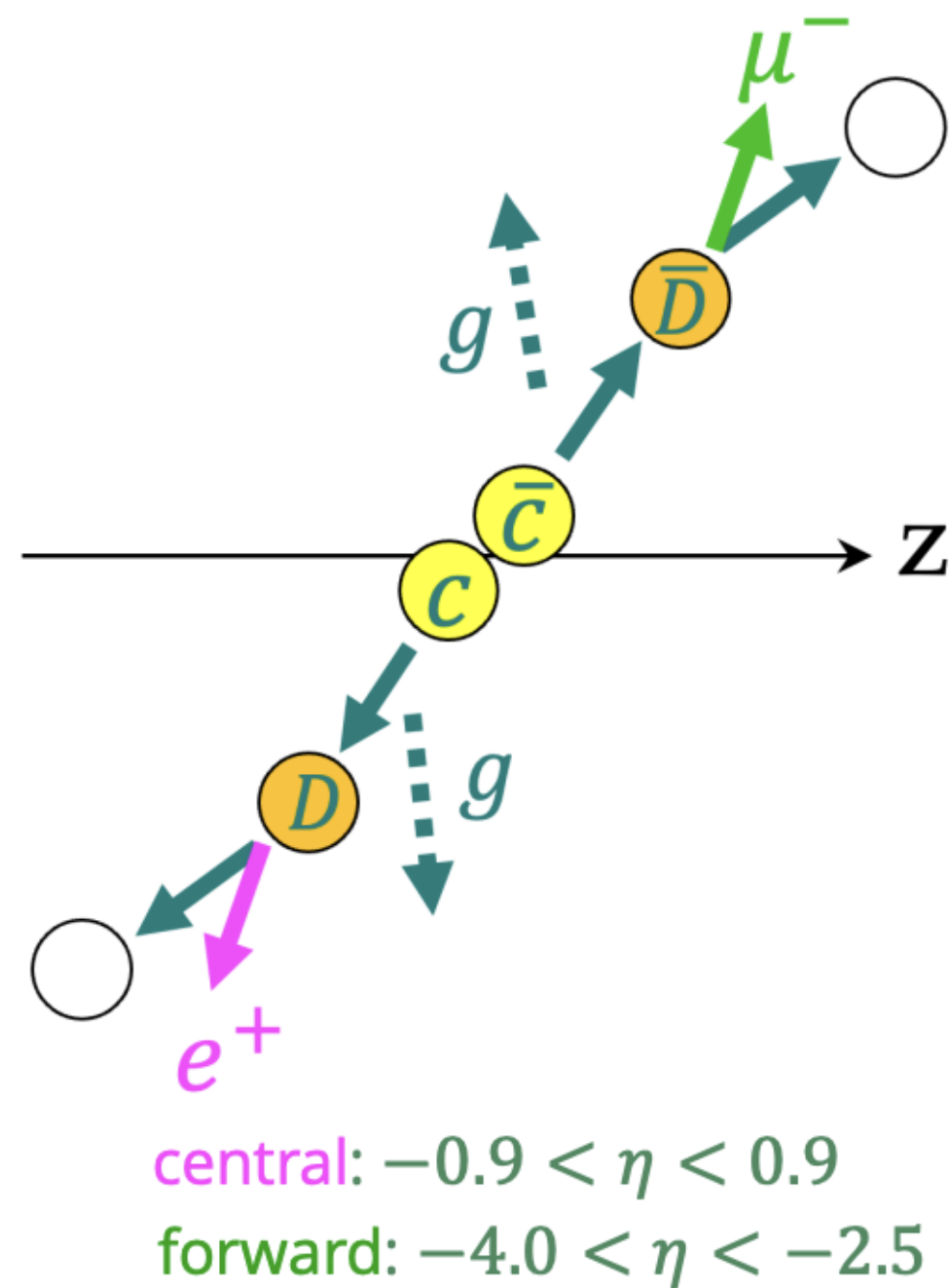
ALI-PREL-609949

PYTHIA: [P. Skands et al., Eur. Phys. J. C (2014) 74:3024]

HELAC-Onia: [Hua-Sheng et al., Comput.Phys.Commun. 184 (2013) 2562-2570]

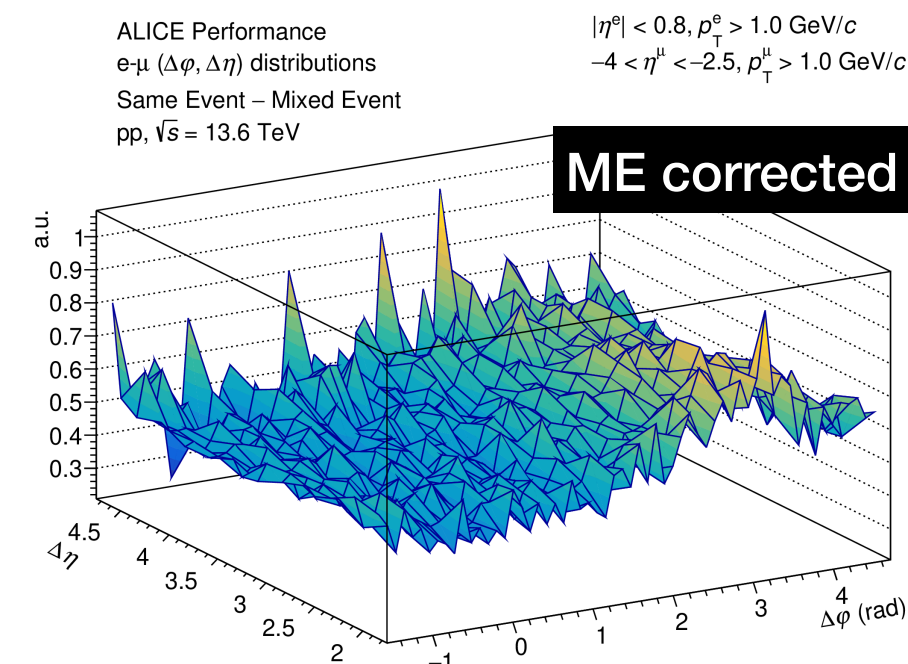
Associated production of heavy flavour e-μ pairs

- ❖ Heavy-flavour e-μ association production and angular correlation measured in pp collisions at $\sqrt{s} = 13.6$ TeV with ALICE
 - ▶ Mid-forward correlation: unique rapidity coverage
 - ▶ Opposite-flavour lepton pairs: clean signal free from resonance decays, thermal radiation, or Drell-Yan processes
 - ▶ Dedicated e-μ software trigger enables the measurement with pp data collected in the Run 3

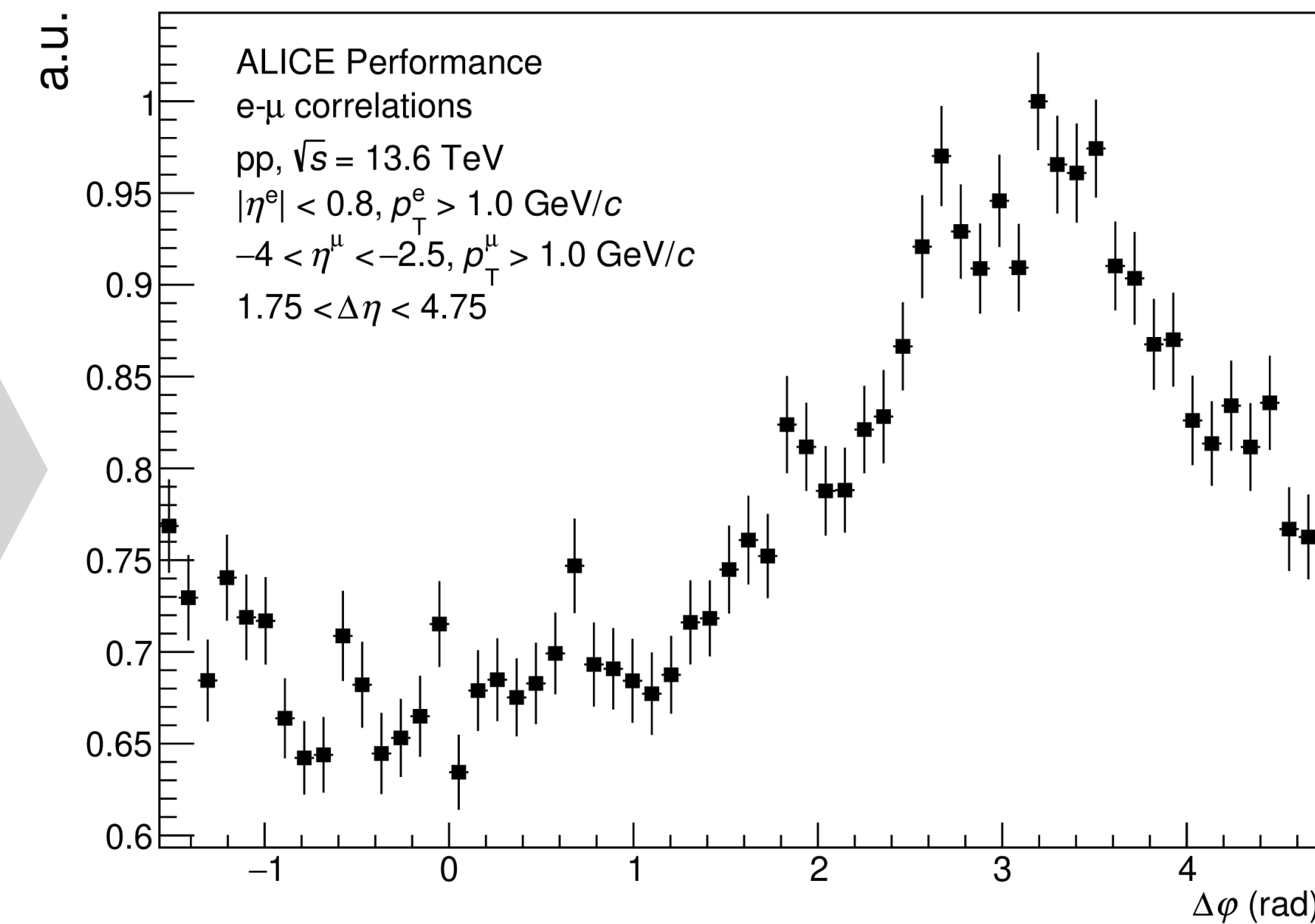


ALI-PERF-635577

$$C(\Delta\phi, \Delta\eta) = \frac{SE(\Delta\phi, \Delta\eta)}{ME(\Delta\phi, \Delta\eta)}$$



ALI-PERF-635609



ALI-PERF-635634

- ❖ First performance estimation of ME-corrected e-μ azimuthal correlations
- ❖ Clear back-to-back away-side peak observed

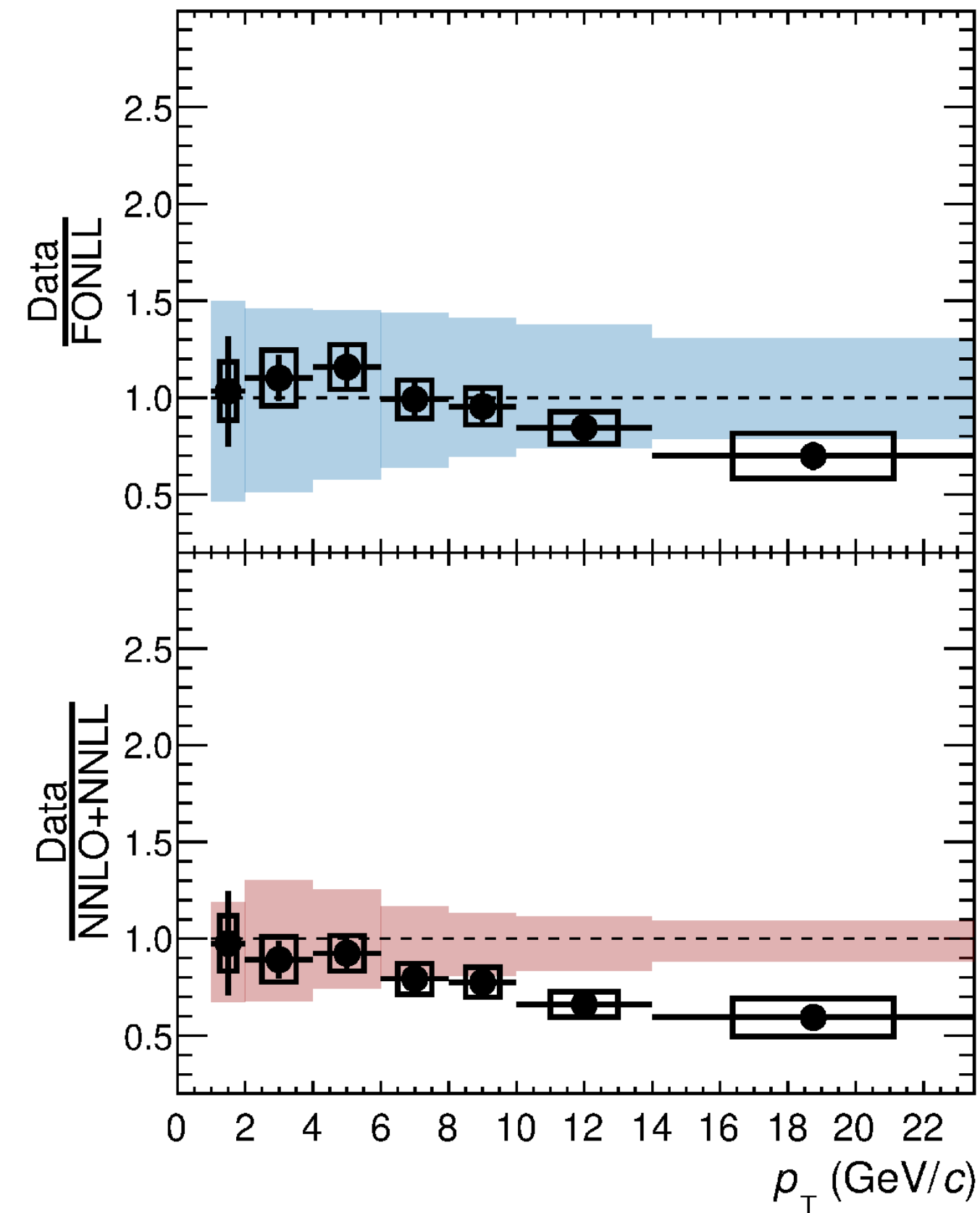
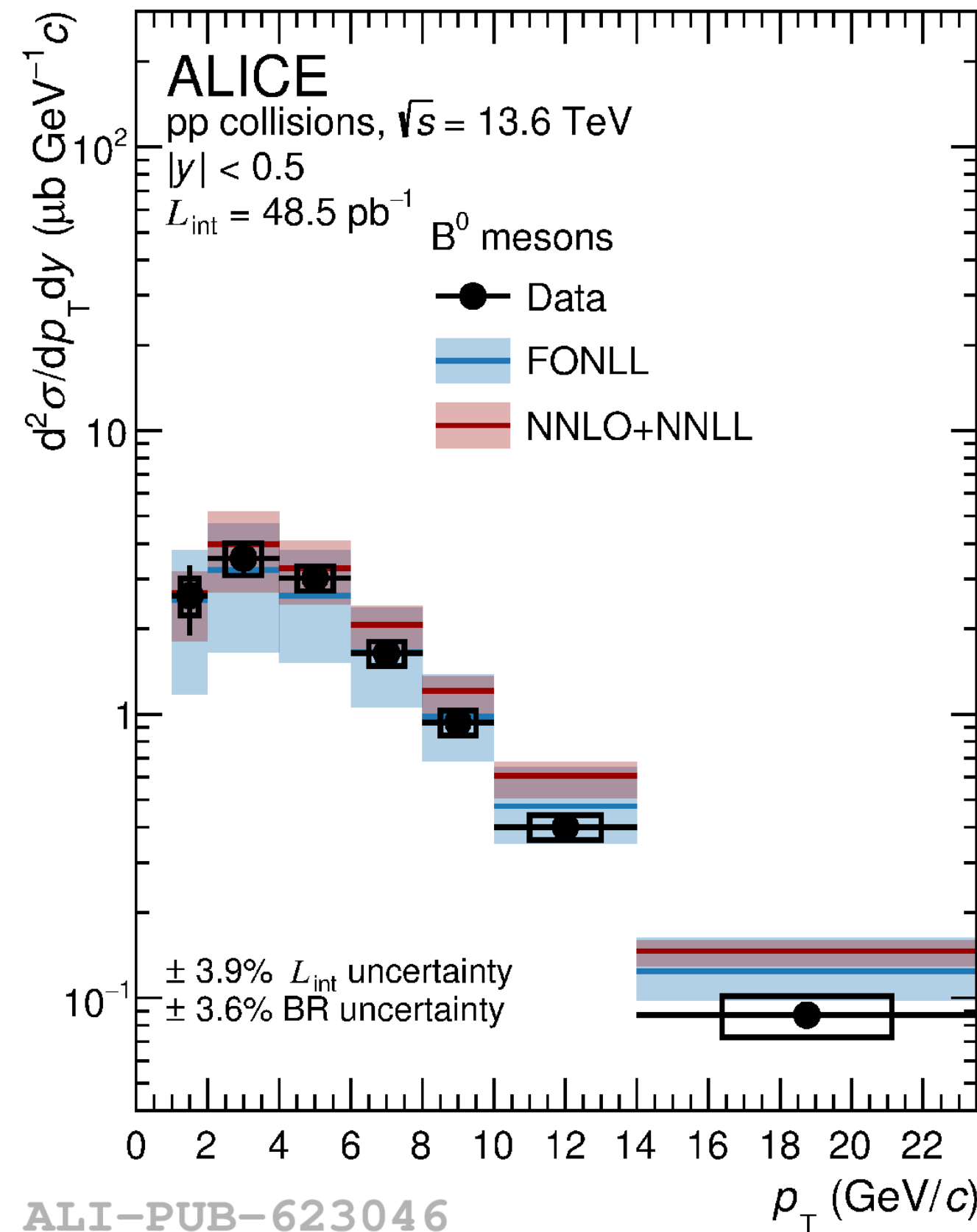
- ❖ LHC Run 3 opens the door to new physics channels for pQCD and QGP investigations with the ALICE detector
- ❖ The production of prompt D-meson and B-meson production measured in pp collisions at $\sqrt{s} = 13.6$ TeV by ALICE
 - First measurement of full reconstruction of B mesons, set new constraints on model calculations
- ❖ New like-sign dimuon channel provides a new opportunity for exploring beauty physics at forward rapidity with the ALICE detector
- ❖ Double Parton Scattering studied by measuring associated production of J/ψ - D^0 and heavy flavour e - μ
 - Associated measurements between the central barrel and muon spectrometer now feasible in pp, and promising in Pb–Pb collisions
 - Rapidity gap coverage extended up to $|\Delta y| \approx 5$, allowing exploration of long-range correlations

Thank you for your attention
Merci pour votre attention
谢谢大家，敬请斧正！



Backup

B⁰ meson production



❖ B⁰-meson production cross section is well described by both **NNLO+NNLL** and **FONLL** predictions within uncertainties at $p_T < 10 \text{ GeV}/c$

❖ **NNLO+NNLL**

- Improved theoretical precision
- Reduced scale dependence
- Predictions located at the upper part of **FONLL** uncertainty band

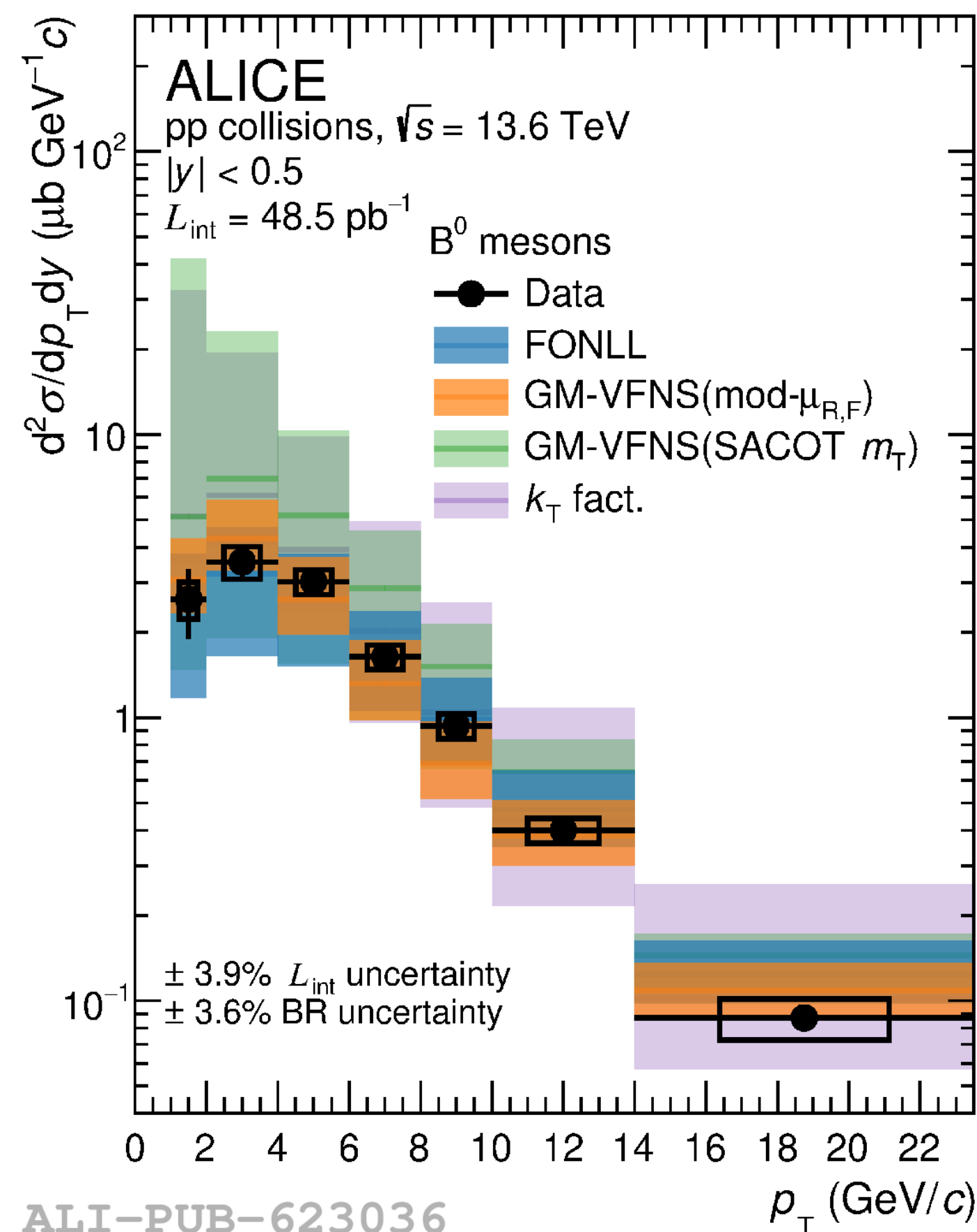
FONLL: [Cacciari et al., JHEP 9805:007,1998]

NNLO+NNLL: [Czakon et al. PRL 135 (2025) 16, 161903]

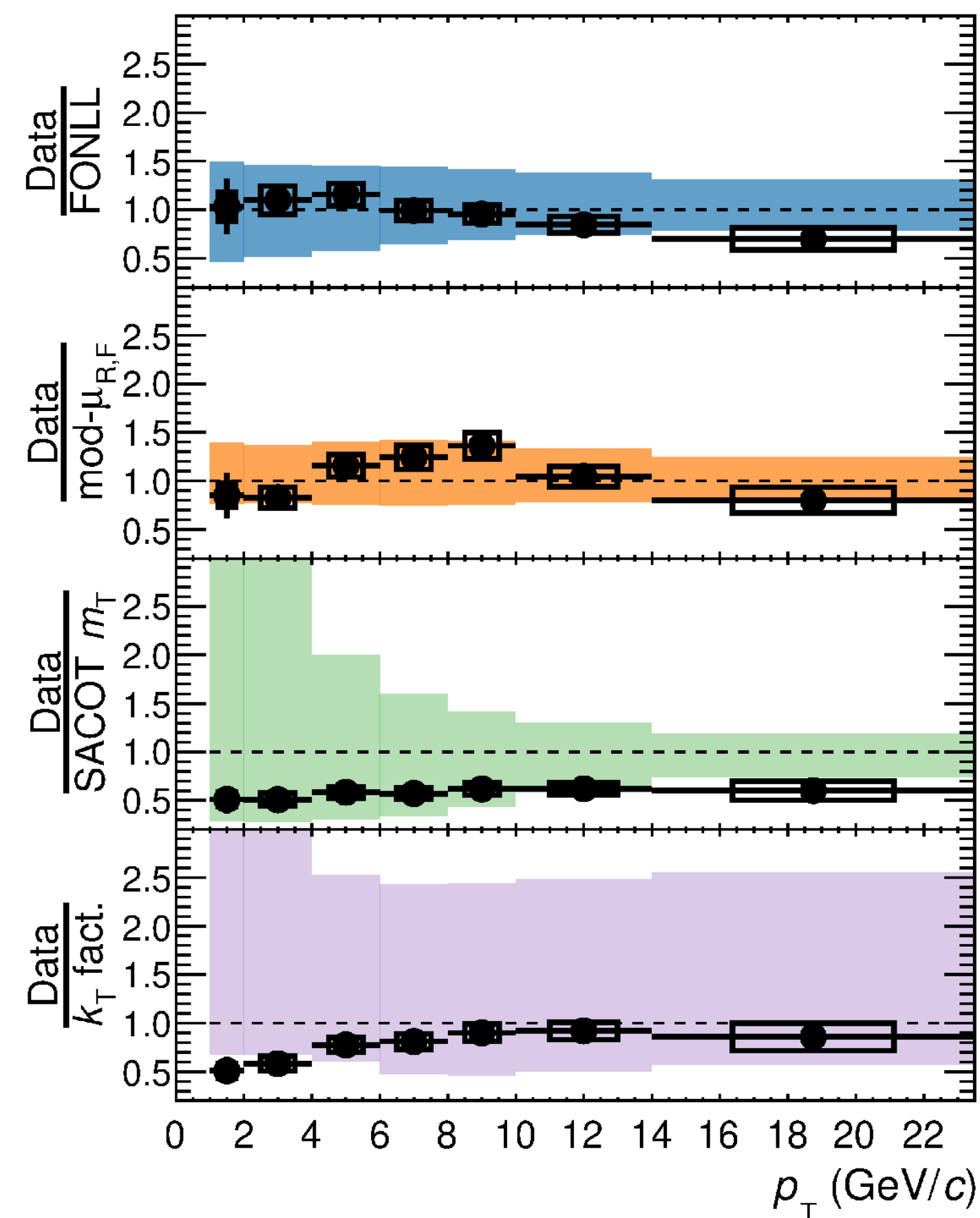
ALICE, arXiv:2603.18904

B⁰ meson production

- ❖ First measurement of B⁰-meson production at midrapidity down to low $p_T = 1$ GeV/c in pp collisions at 13.6 TeV by ALICE
- ❖ B⁰-meson production cross section in agreement with various pQCD calculations

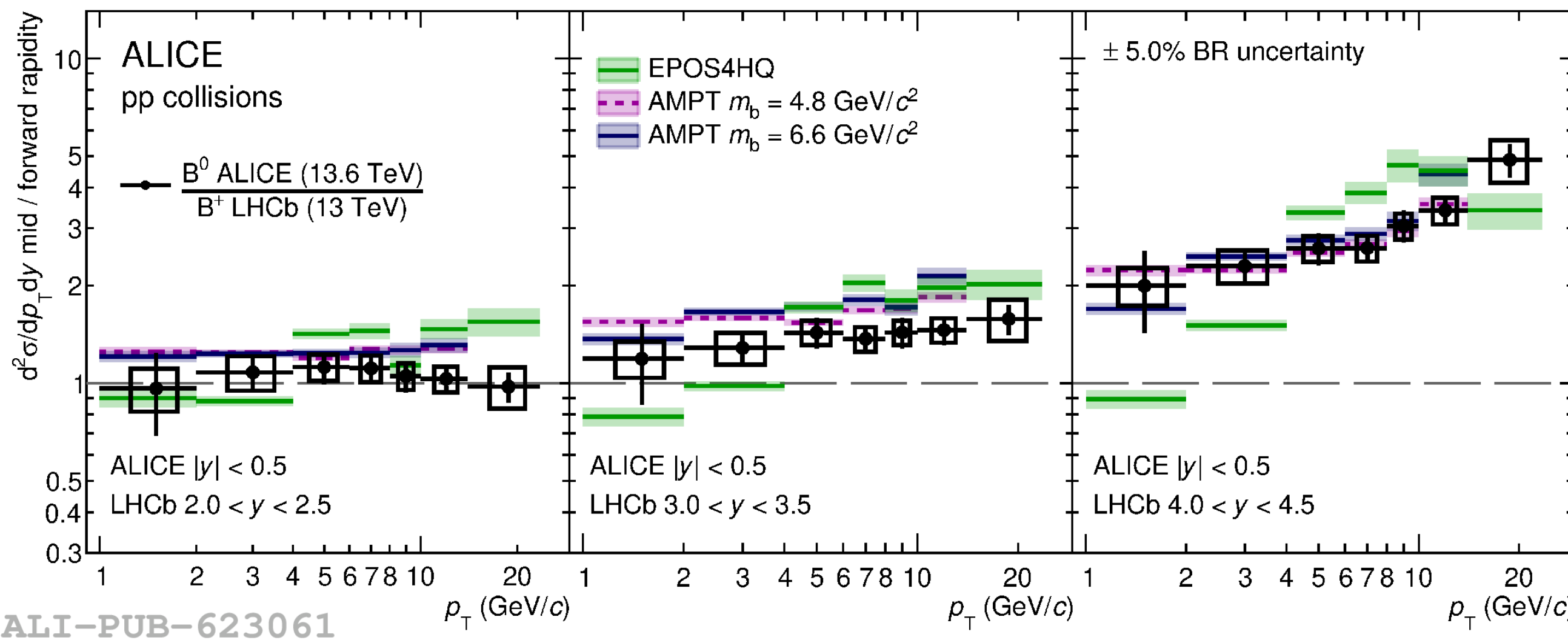
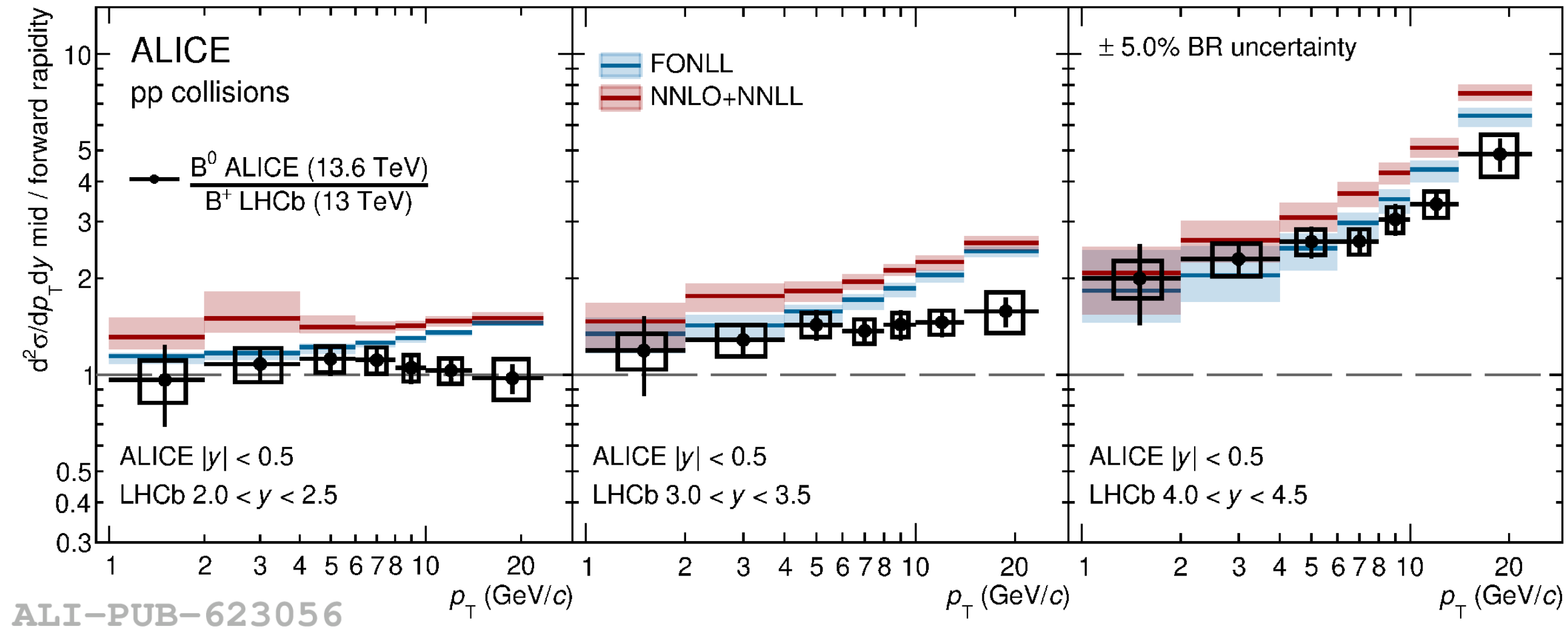


ALI-PUB-623036



- ❖ **FONLL, GM-VFNS (mod- $\mu_{R,F}$):**
 - NLO + NLL calculations with collinear factorisation
 - Provide the best agreement over the full- p_T range
- ❖ **GM-VFNS (SACOT m_T):**
 - tends to overestimate the data, though still compatible within statistical uncertainties
- ❖ **k_T factorisation:**
 - NLO + NLL calculations with transverse momentum factorisation
 - Calculations provide on average larger values of the production cross section

B mesons mid-to-forward-rapidity ratio with models



- ❖ Harder p_T -spectrum and larger production towards midrapidity
- ❖ Comparison with models:
 - ▶ FONLL and NNLO+NNLL qualitatively describe the data, with NNLO+NNLL calculations systematically higher than FONLL
 - ▶ EPOS4HQ underestimates the ratio at low p_T
 - ▶ AMPT with two hypotheses for beauty-quark mass describes data

FONLL: [Cacciari et al., JHEP 9805:007,1998]

NNLO+NNLL: [Czakon et al. PRL 135 (2025) 16, 161903]

EPOS4HQ: [Zhao et al., PRC 110 024909]

AMPT: [He et al., JHEP 07(2021) 035]