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Measurement of CP-violating Wtb couplings using EFT in single top t-channel production with CMS Run 2 data

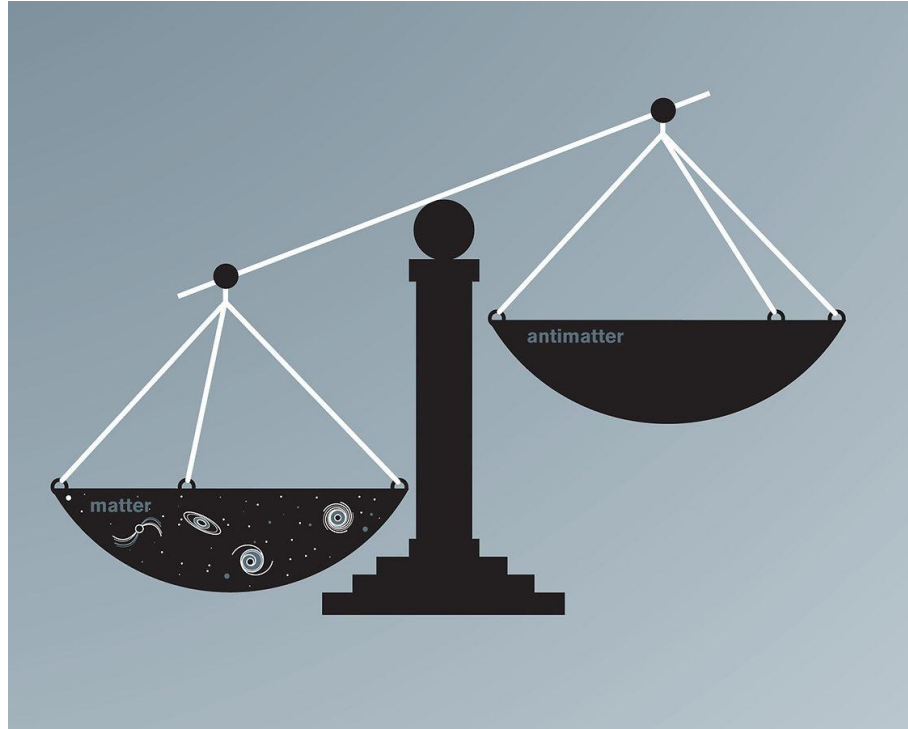
Particle group meeting
27/05/2025

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CMS group at IP2I Lyon

Context : Matter and antimatter asymmetry

The universe is baryon-number asymmetric

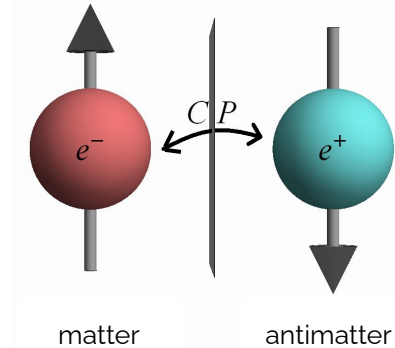


SM prediction: $\eta_{SM} = \frac{n_B - n_{\bar{B}}}{n_\gamma} \propto 10^{-27}$

Observation: $\eta_{obs} = \frac{n_B - n_{\bar{B}}}{n_\gamma} \propto 10^{-10}$

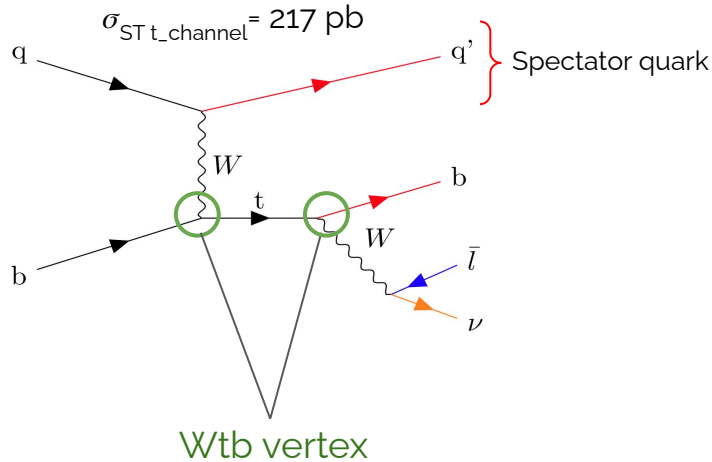
$$\Rightarrow \frac{\eta_{SM}}{\eta_{obs}} \propto 10^{-17}$$

Discrepancy between the SM prediction and observations



**Looking for new CP violation sources involving top quarks
Beyond the Standard Model (BSM).**

Measure CP violation through the t-channel production of single-top quarks and their subsequent decay to Wb . *This process has the advantage of involving twice the Wtb vertex*



Analysis performed in the context of Effective Field Theory

$$\mathcal{L}_{\text{eff}}^{(6)} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i^{(6)}}{\Lambda_i^2} O_i^{(6)} + h.c.$$

Non-Hermitian Operators
involving two quarks and a boson

$$O_{uW}^{(6)} = (\bar{q}\sigma^{\mu\nu}\tau^I t)\tilde{\varphi}W_{\mu\nu}^I$$

$$O_{dW}^{(6)} = (\bar{q}\sigma^{\mu\nu}\tau^I b)\varphi W_{\mu\nu}^I$$

$$O_{\varphi ud}^{(6)} = (\tilde{\varphi}^\dagger iD_\mu \varphi)(\bar{u}\gamma^\mu d)$$

Wilson Coefficients

$$c_{tW} + ic_{tW}^I$$

$$c_{bW} + ic_{bW}^I$$

$$c_{\varphi tb} + ic_{\varphi tb}^I$$

CP violation if

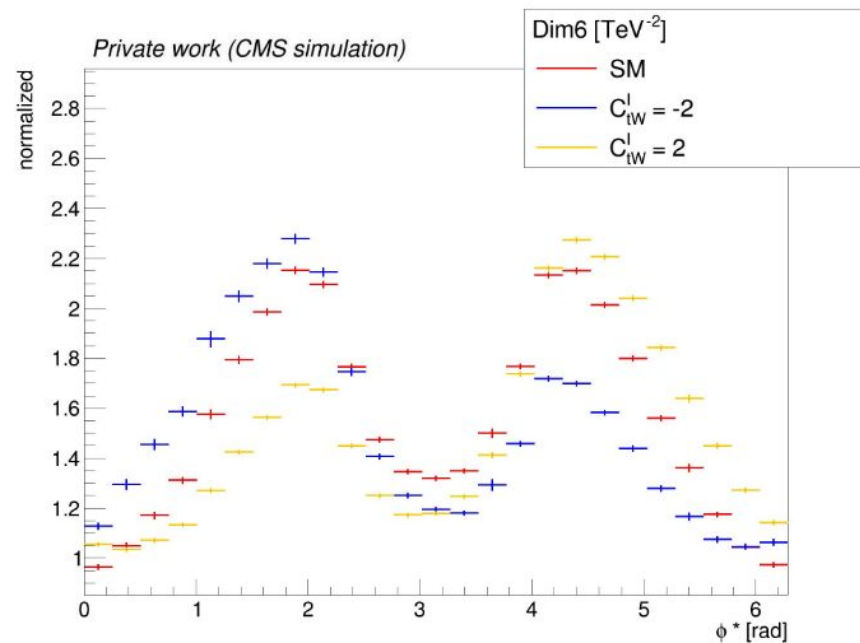
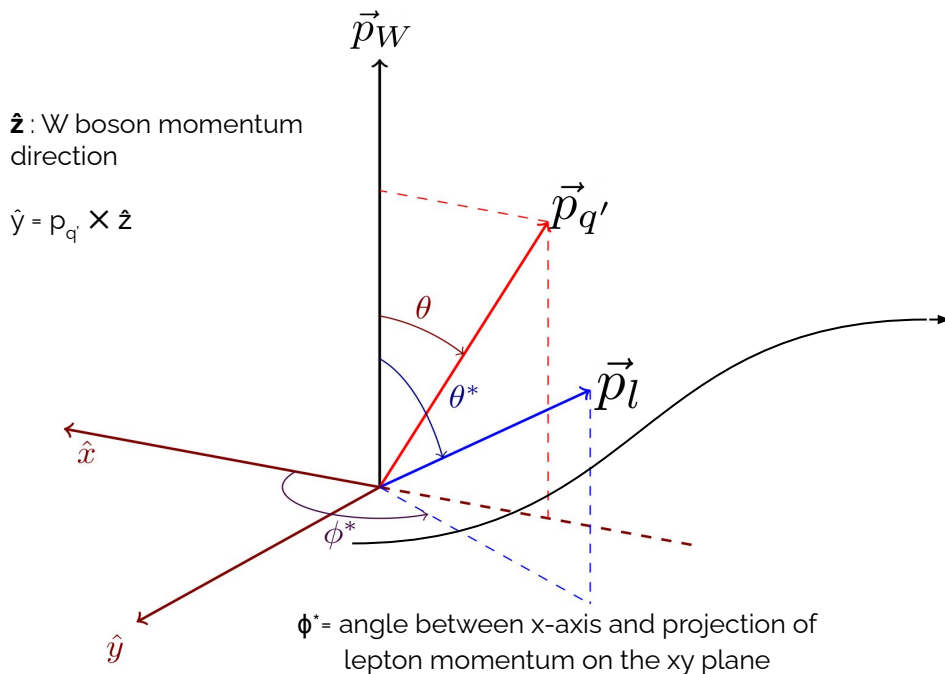
$$c_{tW}^i \neq 0, c_{bW}^i \neq 0 \text{ or } c_{\varphi tb}^i \neq 0$$

- EFT impacts both the production and decay of top quark
- This vertex can be modified by CP violation
- The effect is canceled in $t\bar{t}$ process

Measuring CP violation : EFT impact on angular variables

Top quark rest frame

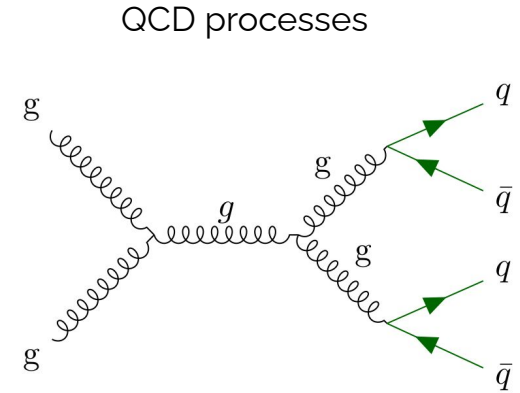
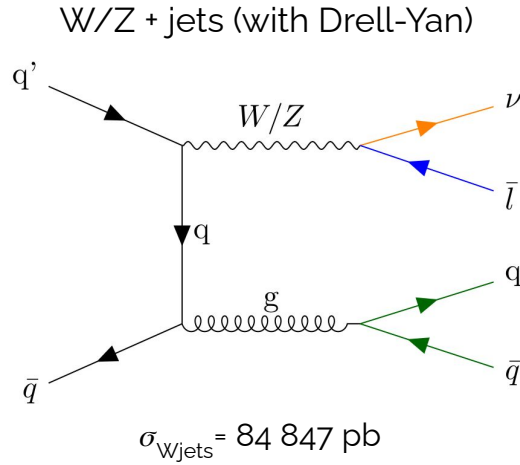
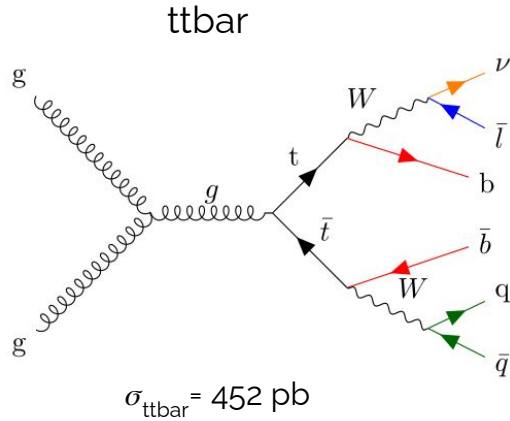
Reference frame used in ATLAS 8 TeV [[arXiv:1707.05393](https://arxiv.org/abs/1707.05393)]



The shape of the distribution varies depending on the value of the EFT coefficient

The amount of CP violation can be extracted using such angular distributions

Main background processes :



Other backgrounds :

- Diboson
- ttX
- Single top s-channel and tW process

- **Single Top/AntiTop t-channel (SM)** : ST_t-channel_top_4f_InclusiveDecays_TuneCP5_13TeV-powheg-madspin-pythia8
- **ttbar semileptonic** : TTTToSemiLeptonic_TuneCP5_13TeV-powheg-pythia8
- **W + Jets** : WJetsToLNu_TuneCP5_13TeV-madgraphMLM-pythia8
- **QCD** : pT binned (mu or EM enriched) QCD_Pt-50To80_MuEnrichedPt5_TuneCP5_13TeV-pythia8
- **tW top and anti-top** : ST_tW_top_5f_inclusiveDecays_TuneCP5_13TeV-powheg-pythia8

EFT samples :

- Generated using the dim6Top LO UFO model from Madgraph5
- A reweighting technique is used to get all the EFT combination

The **single isolated muon** trigger with $p_T > 24$ GeV (2016, 2018) and $p_T > 27$ GeV (2017) are used in this analysis

Jet selection

	Good jets
$ \eta $	< 4.7
p_T 2016 (GeV)	> 40
p_T 2017 and 2018 (GeV)	> 40 ($ \eta < 2.4$) > 60 ($2.4 < \eta < 4.7$)
Overlap	Removed overlap between jets and leptons in a $\Delta R < 0.4$ cone
Jet Id	Tight (discriminate real jets from fake lepton, pile up and detector noise)

b-jets :

- Must be good jets
- $|\eta| < 2.5$ (2017 & 2018)
- $|\eta| < 2.4$ (2016)
- Tight/medium working point of DeepJet tagger used

Muon selection

	Isolated muon	Loose muon
$ \eta $	< 2.4	< 2.4
p_T 2017 (GeV)	> 30	> 10
p_T 2016 and 2018 (GeV)	> 26	> 10
Relative isolation	$< 15\%$	$< 25\%$
Id	tight	loose

A reversed isolated muon is also defined by reverting the isolation : $> 40\%$

→ All recommended CMS corrections are applied (including pileup and b-tag weights, muon Rochester momentum correction, JEC, MET phi modulation)

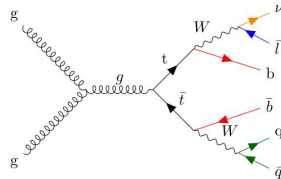
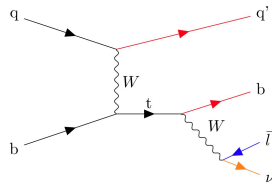
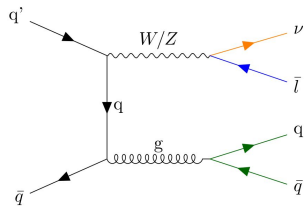
Loose preselection applied to reduce fake lepton contribution :

- **MET** > 20 GeV
- **Transverse mass of W boson with fixed lepton p_T at 45 GeV ($M_T^{W,fix}$)** > 25 GeV

Lepton selection :

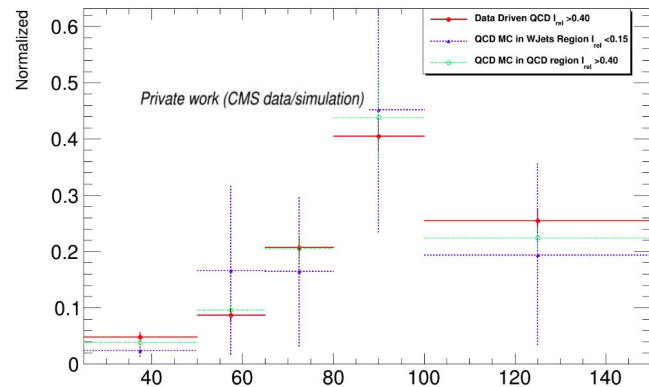
- Exactly one isolated tight muon
- Veto events with additional loose muons
- Veto events with veto electrons

Event categorization based on the number of Jets and b-tagged jets : **Signal region (SR)** , **W/Z-Jets control region (CR)** and **ttbar CR**



+ **QCD measurement region** (sideband region) :

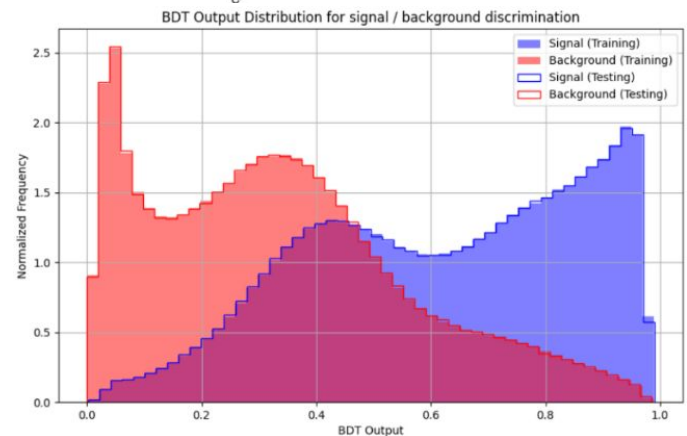
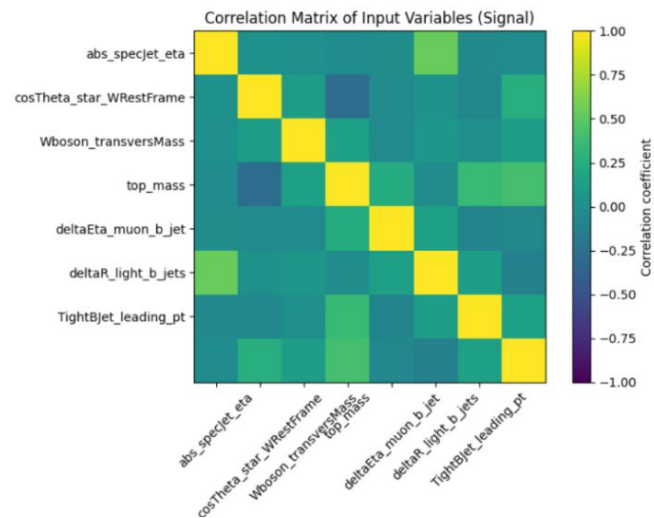
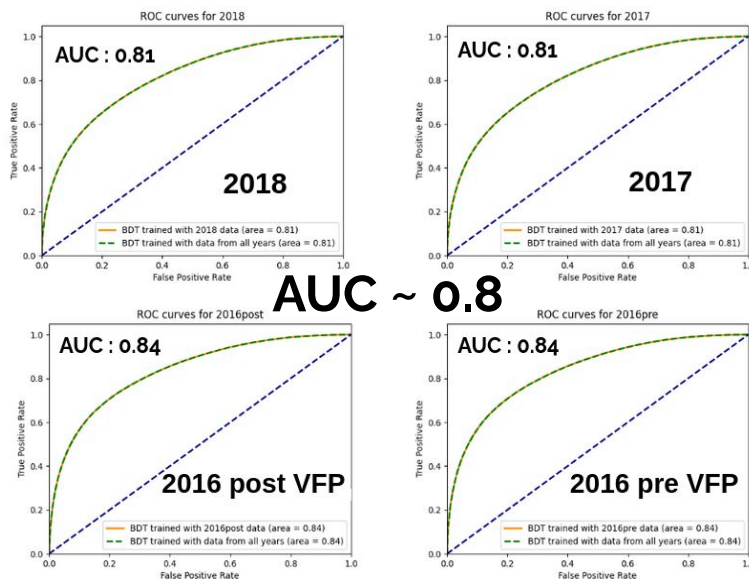
- ❖ defined for each signal and control region
- ❖ same selection but reverted muon isolation (>0.4)



Comparison of the distribution of $M_T^{W,fix}$ for different QCD templates

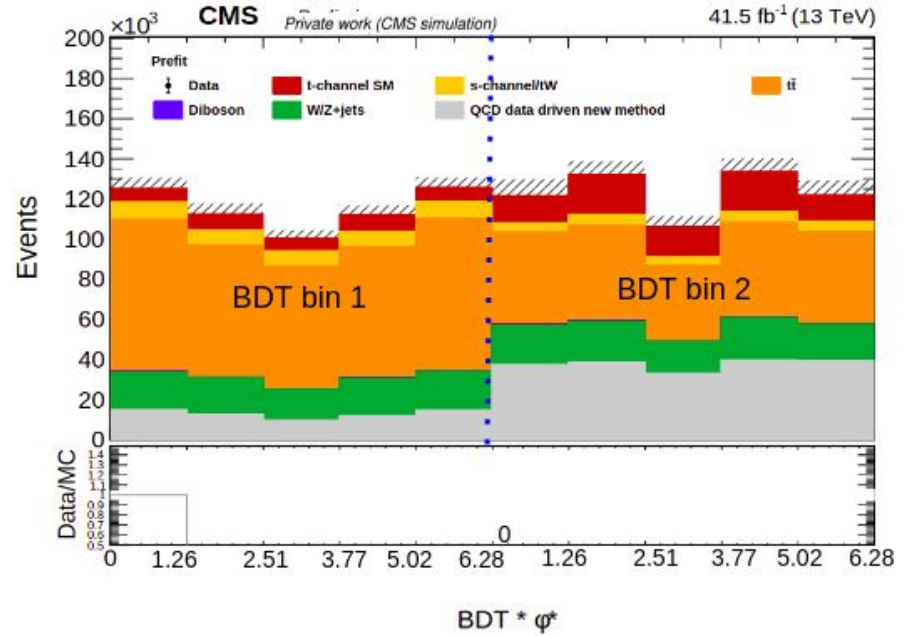
In the SR we require : **1** isolated tight muon, **2** jets and **1** tight b-jet

- ❖ BDT trained in the SR using XGBoost to discriminate single top (ST) t-channel from other SM background process
- ❖ *Input variables for the BDT* : $\cos(\theta^*)$, η of the spectator jet, $M_T^{W,fix}$, M_{top} , $\Delta\eta(\mu, b\text{-jet})$, $\Delta R(\text{spec jet}, b\text{-jet})$, b-jet p_T , lepton p_T

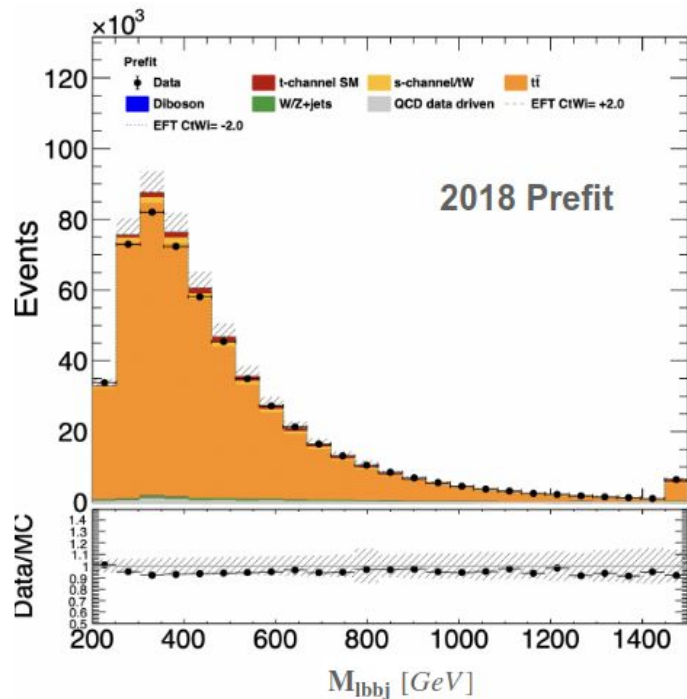


As the measurement observable we are using the ϕ^* variable in bins of the BDT

- Constructed by unrolling the 2D distribution to get a 1D distribution
- ϕ^* : Measure the CP violation (c_{tw}^i Wilson Coefficient)
- BDT : Increase the sensitivity of the analysis

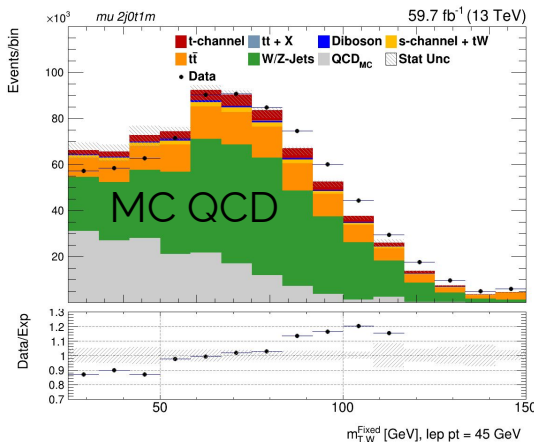


In the ttbar control region, we are using **invariant mass of the lepton and three jets** (2 tight b- tagged jets and a third jet with the highest p_T) system.

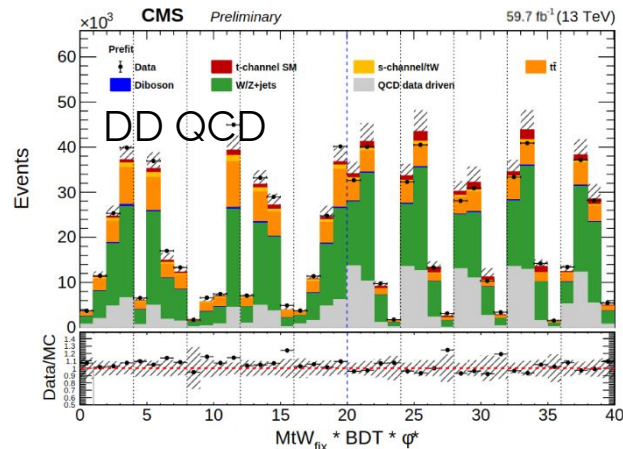


- Variable chosen for its power to discriminate between ttbar and the rest
- Good data/MC agreement observed

In the W-jets CR we use the transverse mass of the W boson with fixed lepton p_T at 45 GeV ($M_{T,W}^{\text{fix}}$) in bins of our main observable (3D distribution unrolled in 1D)



Bad data/MC agreement using MC QCD



We still get unsatisfactory data/MC agreement due to bad QCD modelling : **We need a new method to estimate the QCD**

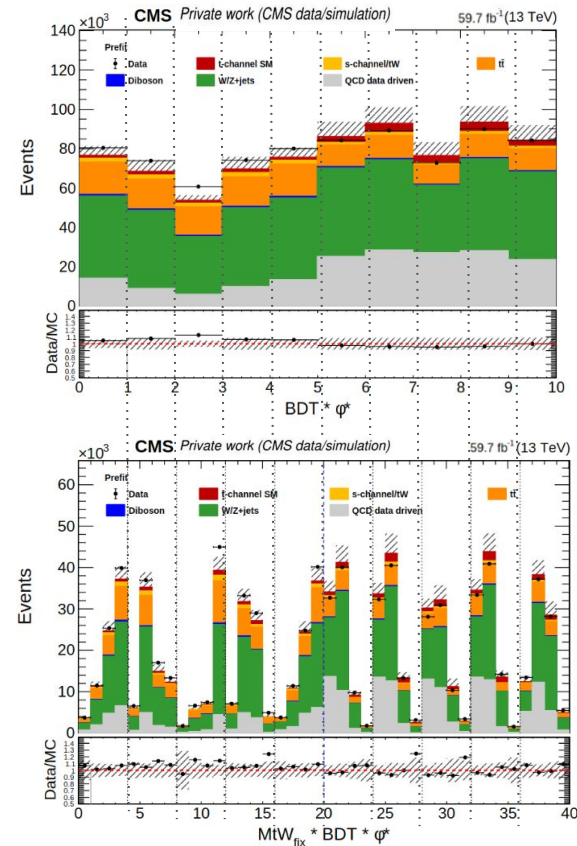
- There is no standard method to estimate QCD (under discussion within TOP PAG)
- We present here our QCD estimation strategy

Let's take any variable

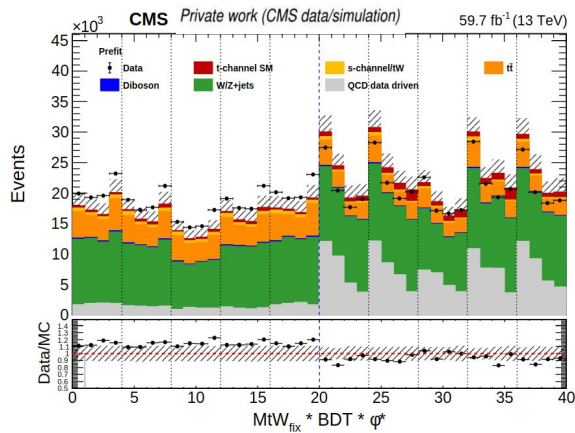
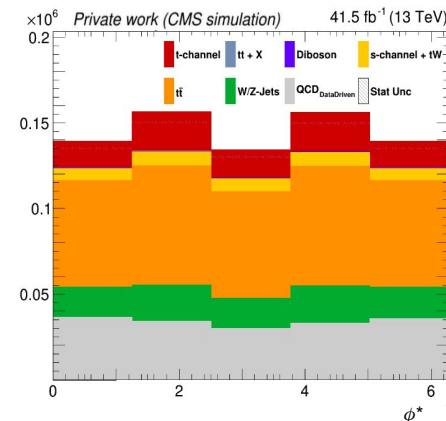
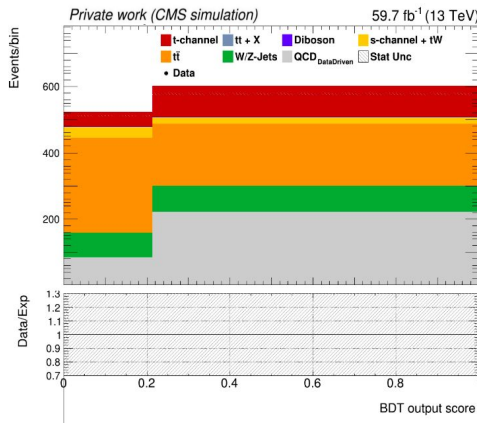
For each bin of this variable :

1. **Build the $M_T^{W,fix}$ template** (transverse mass of the W with lepton p_T fixed at 45 GeV) for every process after optimizing the binning of the variable and $M_T^{W,fix}$
2. Replace the QCD MC template by the **data driven QCD template** (obtained from the QCD sideband region) and set its normalization to that of QCD MC from SR.
3. Perform a **simultaneous fit** over all bins of the chosen variable with **n Parameters Of Interests (POIs) for the QCD normalization** (n bins of the variable)

SM t-channel rate parameter is fixed to 1



- ❖ **BDT binning** : We are using **2 bins of BDT with equal number of W+jets events** in the SR in each of them to reduce the impact of the lack of statistics for this process
- ❖ **ϕ^* binning** : Binning optimized in the SR by **maximizing the significance of ST t-channel with EFT over SM** (5 bins of ϕ^*)
- ❖ **$M_T^{W,fix}$** : Tried optimizing wrt significance of QCD over the rest but found better results using a binning with **equal number of W+jets events** in each bin. We are using 4 bins of $M_T^{W,fix}$

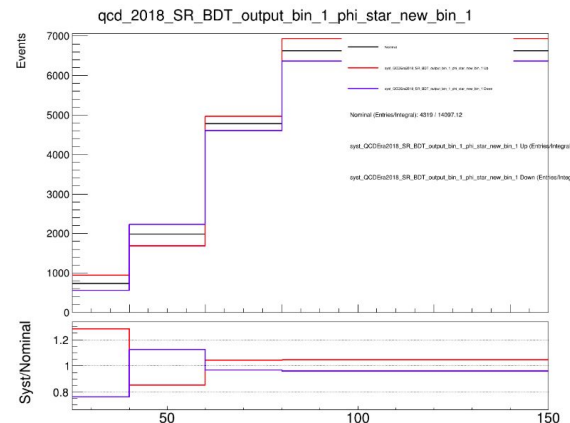


NAMES	USED	CORRELATION
Muon Reco	Y	All channel
Muon HLT	Y	All channel
Muon ID	Y	All channel
Muon Iso	Y	All channel
B Tagging SF	Y	All channel
Prefiring	Y	All channel
Pile Up	Y	All channel
Top pt	Y	Only TTbar
Lumi	Y	All channel
JES/JER	Y	As per Recom
ISR	Y	Not correlated
FSR	Y	Not correlated
PDF + α_s	Y	All channels
QCD Scale	Y	All channels
Diff btw NLO and LO	Y	Only EFT+ST

We introduce 2 uncertainties in this analysis :

- Data Driven QCD shape/era uncertainty (constructed by choosing different data taking era)
- Difference between NLO (POWHEG samples) and LO (Madgraph samples)

All uncertainties are correlated over bins of the main observable except **QCD era uncertainty**.

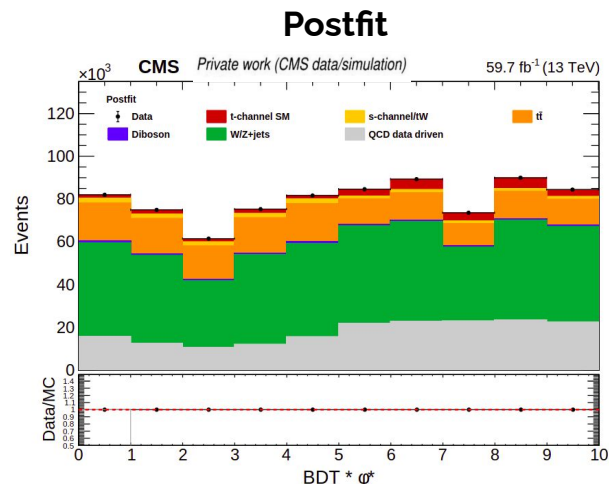
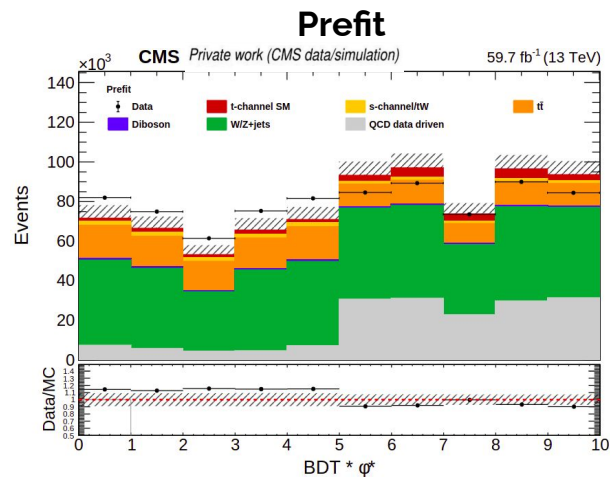


Down : 2018 A, B, C era
Up : 2018 D era
Nominal

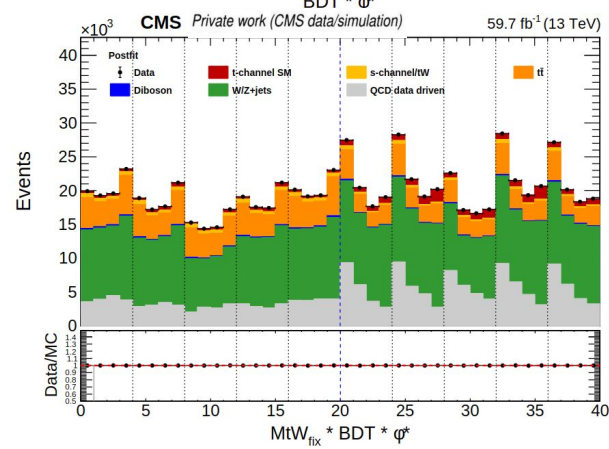
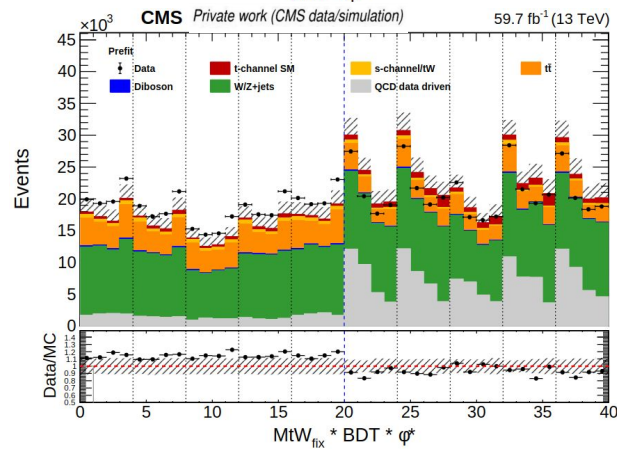
$M_T^{W,fix}$ distribution in BDT bin 1 and ϕ^* bin 1 with Up and Down variations of QCD era uncertainty

QCD Background only fit with data unblinded in the W-Jets region is performed

Integrated over $M_T^{W,fix}$



$M_T^{W,fix}$ in bins of BDT x ϕ^* (used in the QCD fit)



Uncertainties include both statistical and systematic

POI Name Best Fit Value Uncertainty

r_bin1_phi1 2.130 +/- 0.136

r_bin1_phi2 2.144 +/- 0.169

r_bin1_phi3 2.397 +/- 0.212

r_bin1_phi4 2.581 +/- 0.215

r_bin1_phi5 2.115 +/- 0.145

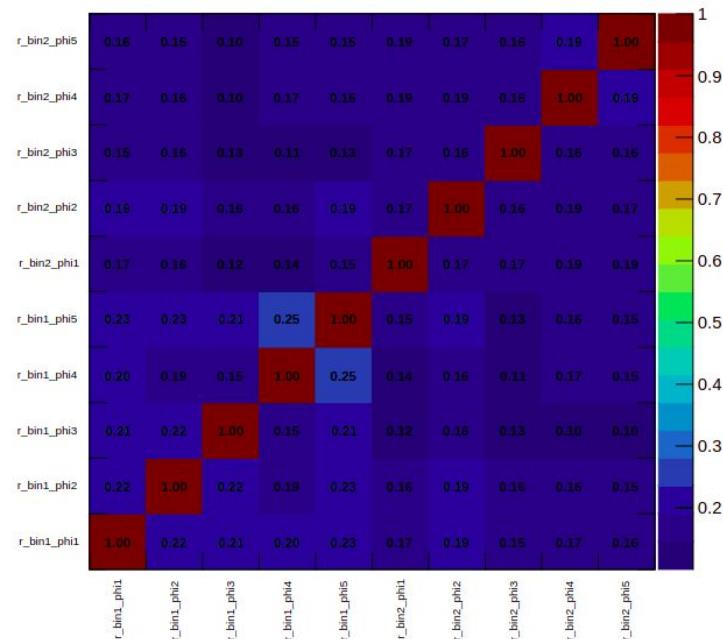
r_bin2_phi1 0.714 +/- 0.033

r_bin2_phi2 0.733 +/- 0.033

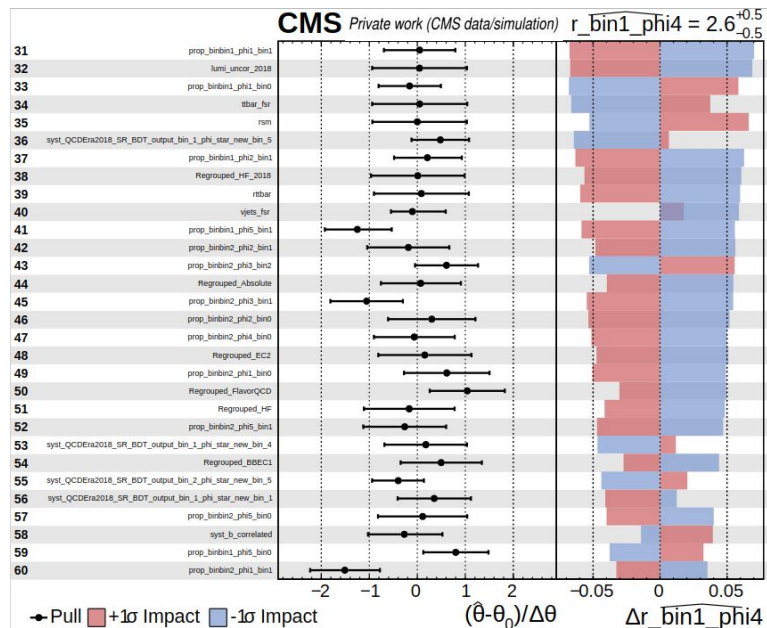
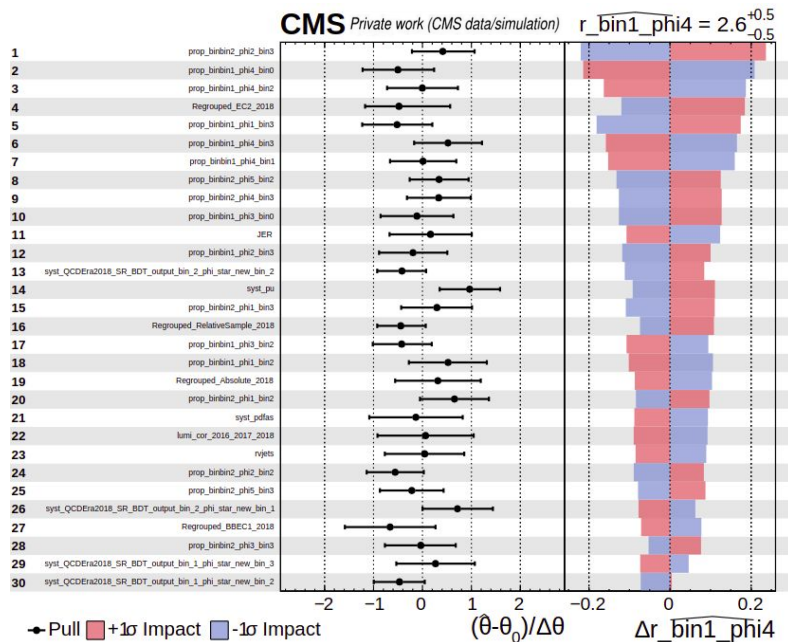
r_bin2_phi3 1.002 +/- 0.041

r_bin2_phi4 0.791 +/- 0.035

r_bin2_phi5 0.717 +/- 0.032



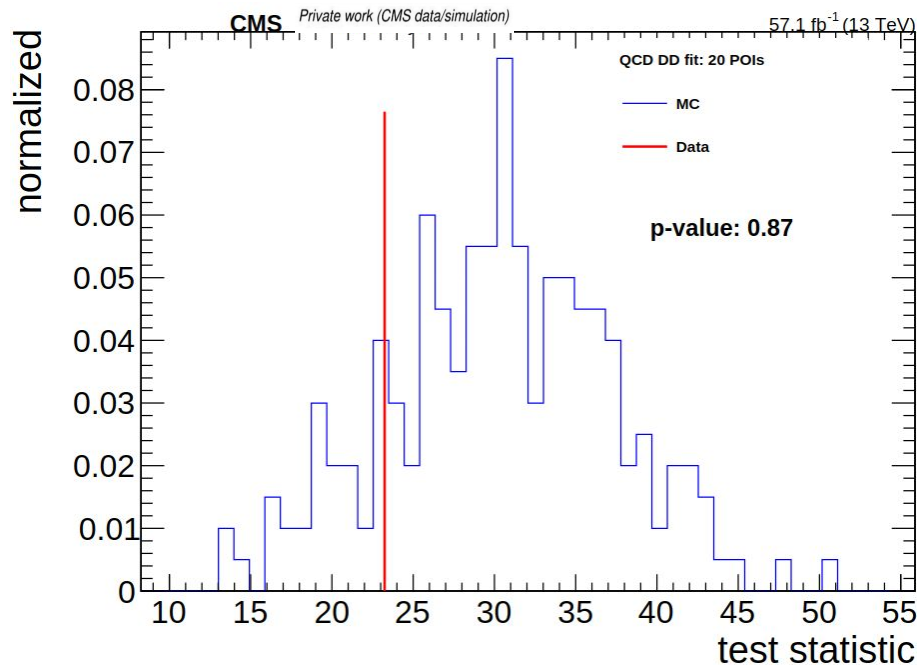
➤ Low correlations (<0.25) between the POIs



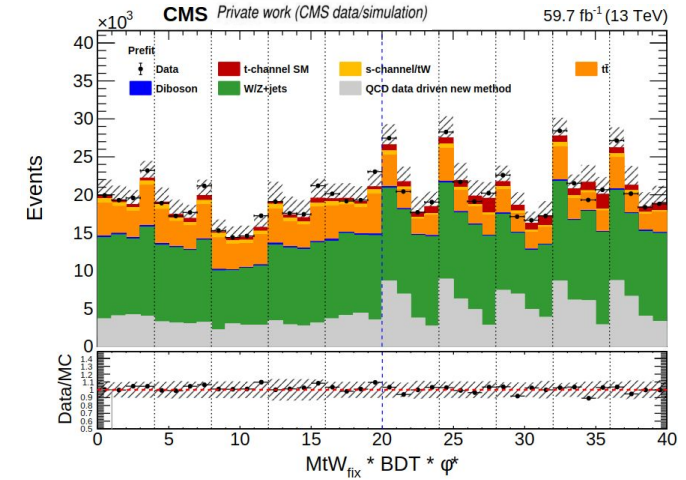
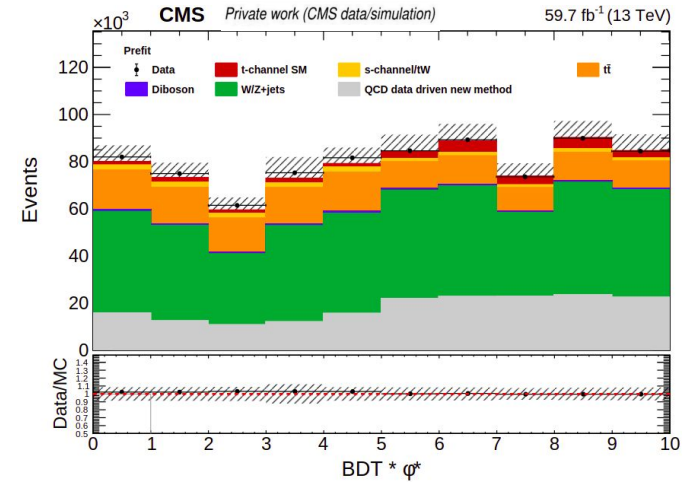
→ The impact of the nuisances on the POI of BDT bin 1 and phi star bin 4 is presented

- ◆ Pulls of the nuisances are not very large
- ◆ MC statistical uncertainties have a large impact on the POIs

- A goodness of fit (GoF) test with the saturated model where likelihood ratio is used as test statistic, and the alternate hypothesis is considered to be the data itself, is performed.
- The observed P-value shows, the model adequately fits the data

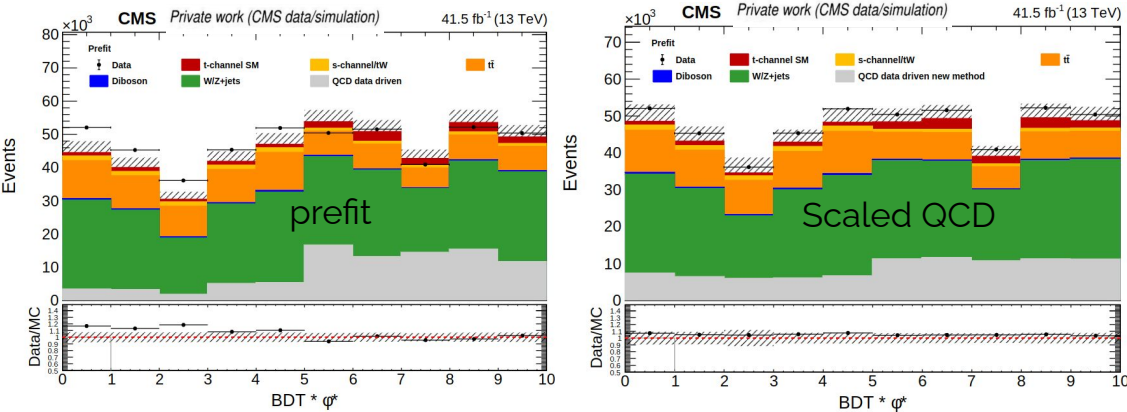


- A new QCD template ("aka" Scaled QCD) is constructed from the best fit values of the POIs
- The templates does **not** include pulled nuisances from background data fit
- Upper plot will be the input to the final EFT fit
- Clear improvement of the data/MC agreement compared to prefit (slide 12)



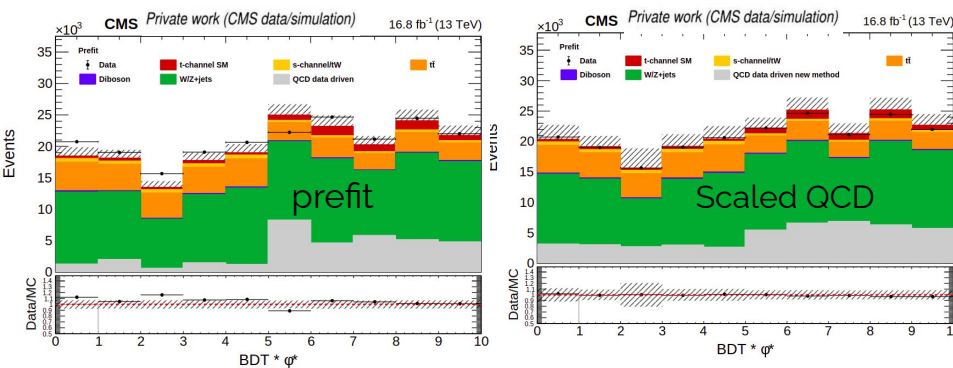
QCD background fit results for other years

2017

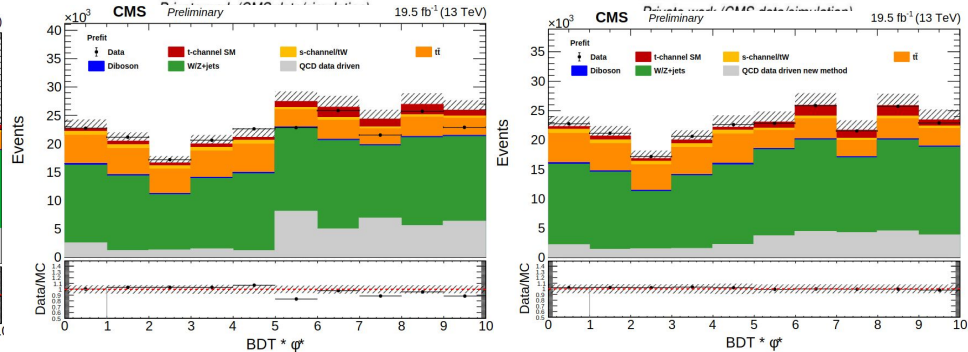


→ Same method is used for other years showing same improvement in the data/MC agreement as in 2018.

2016 postVFP



2016 preVFP



What has been done :

- Produced private EFT samples
- Divided the analysis into signal and control regions
- Trained a BDT to improve the sensitivity of the analysis on EFT measurement
- Developed a promising QCD estimation strategy

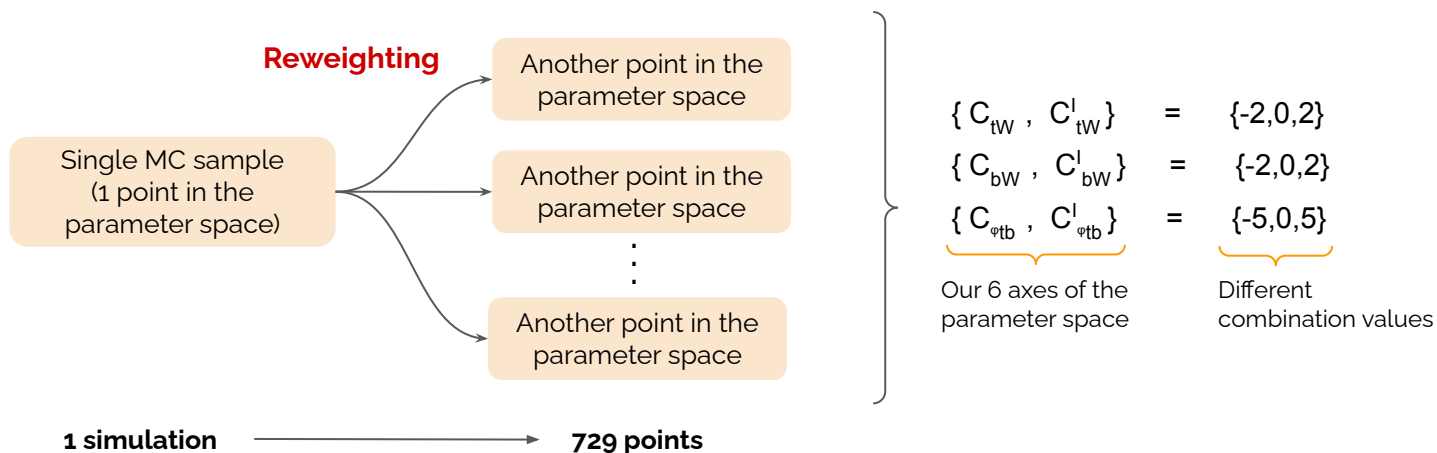
Plans :

- ❑ Currently working on the final EFT fit for all years
- ❑ Write an analysis note

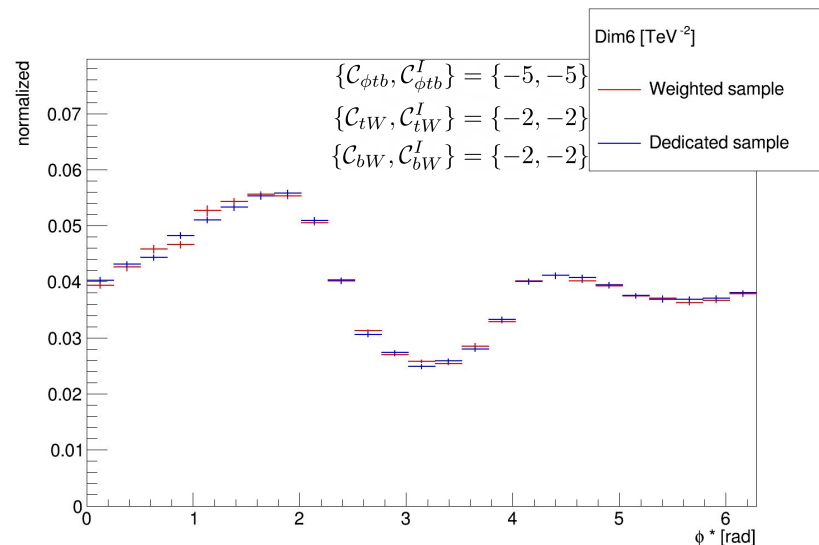
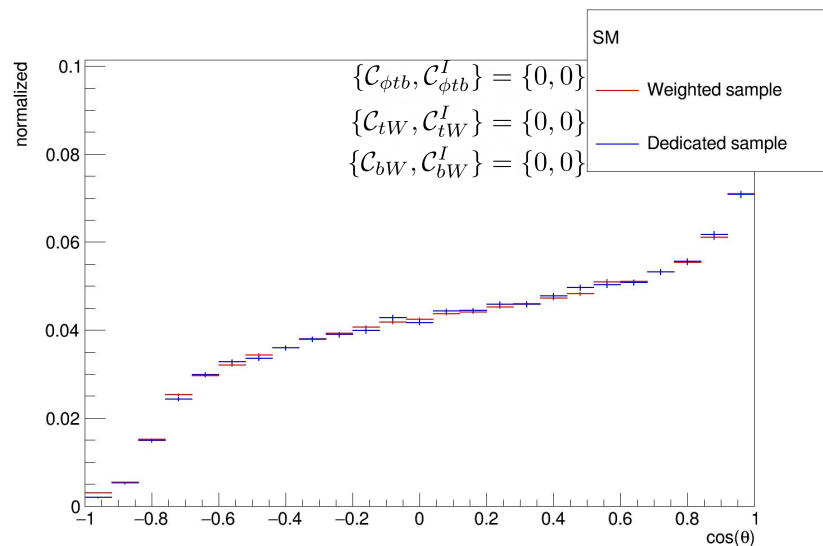
Thank you for your attention

Backup

- We produce a simulation sample for single top production including EFT coefficients at top production and decay
- Reweighting method: different regions of the parameter space to be probed with a single Monte Carlo (MC) sample

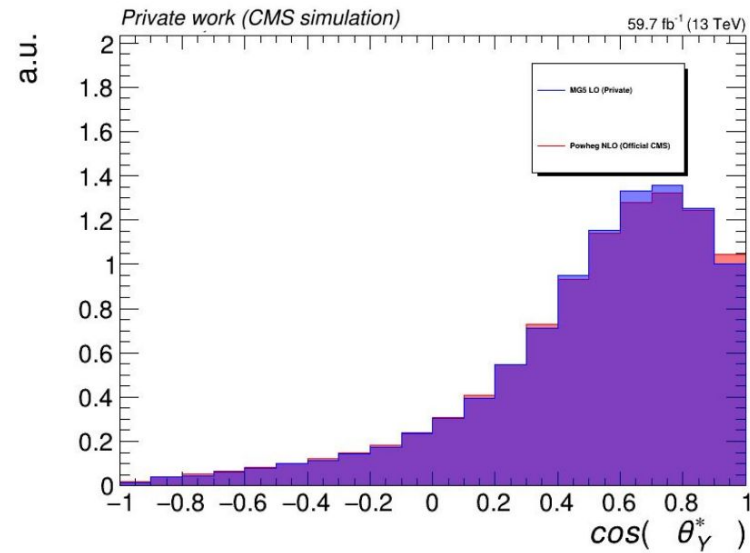
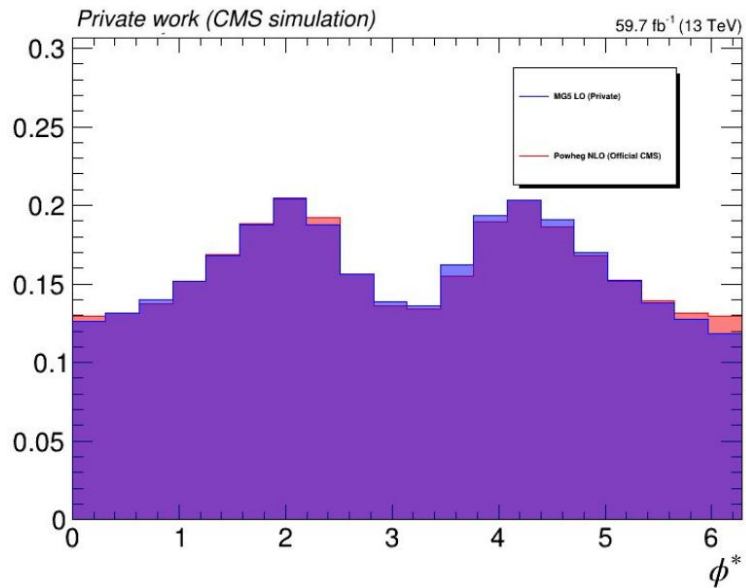


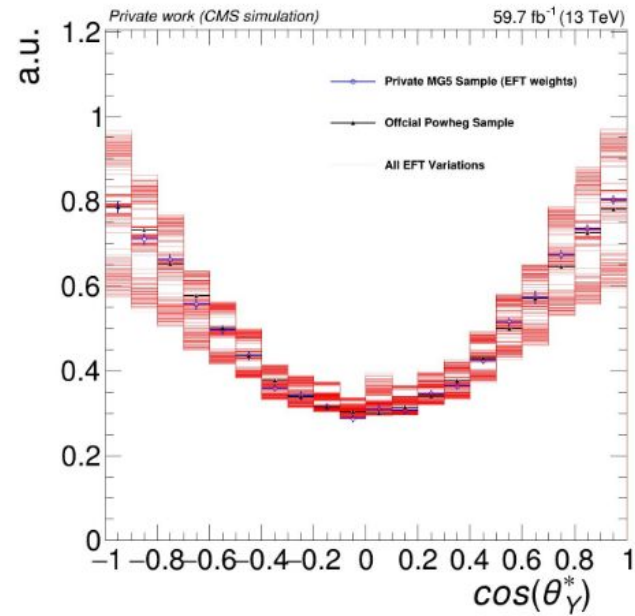
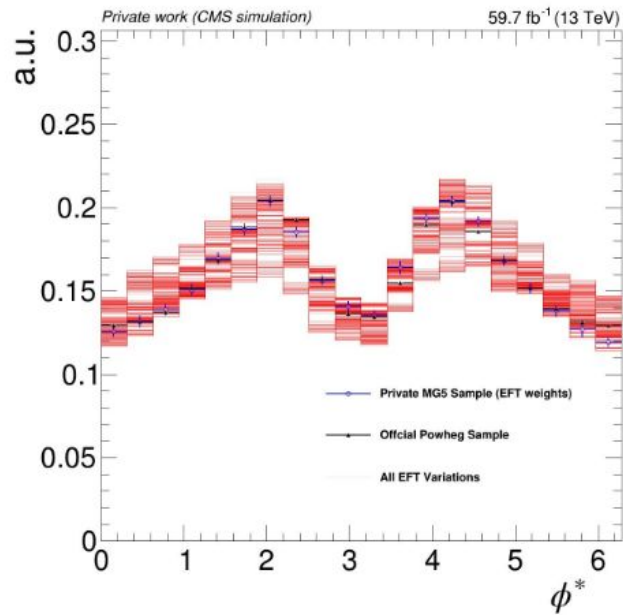
The reweighting method allows to produce a single sample instead of 729

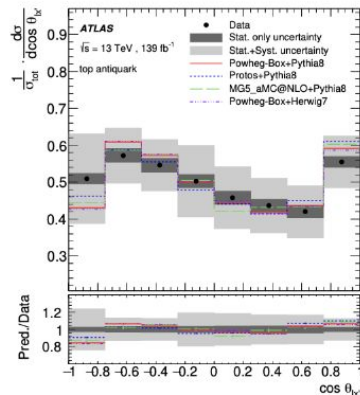
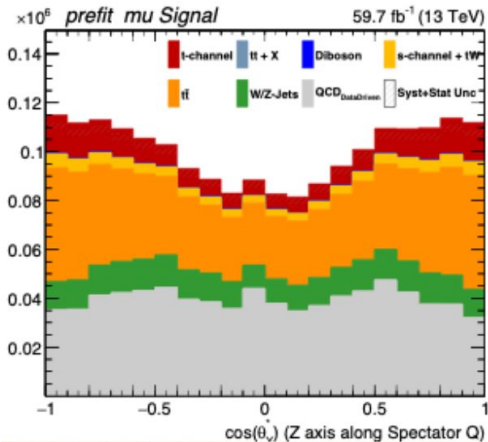


Comparing reweighted distributions of $\cos(\theta)$ and ϕ^* to dedicated (non-reweighted) samples at two different distant points of the parameter space

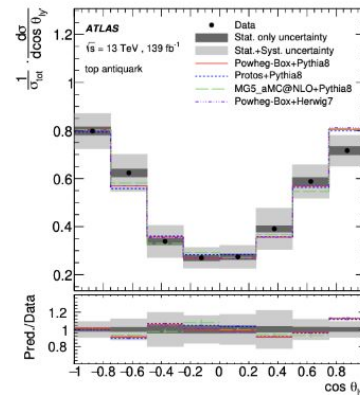
→ **Reweightings is validated**





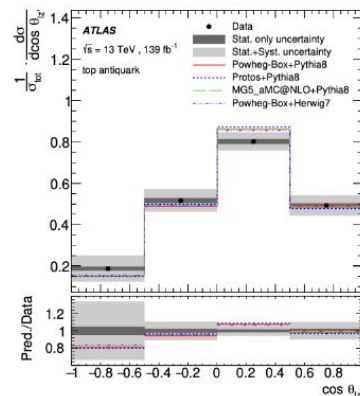


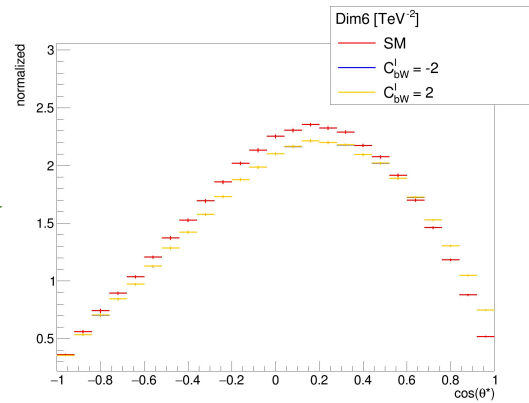
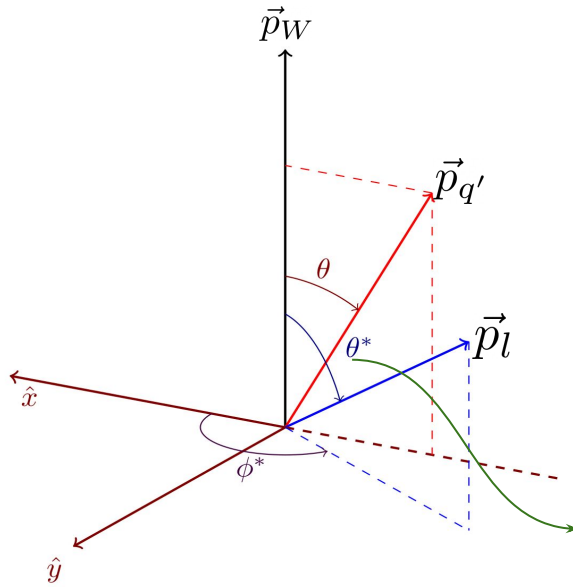
(a)



(b)

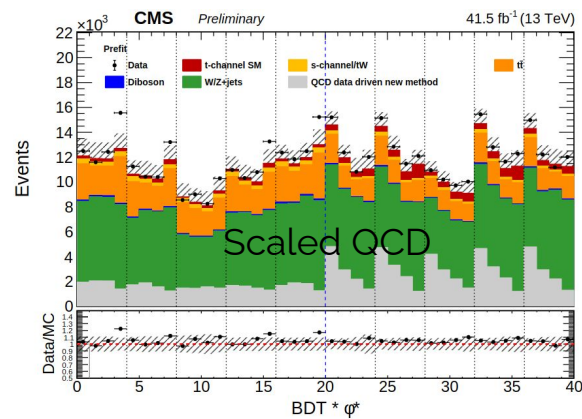
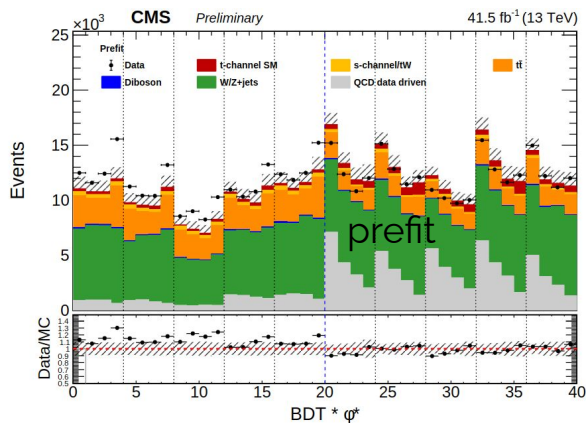
	C_{tw}		C_{itw}	
	68% CL	95% CL	68% CL	95% CL
All terms	$[-0.3, 0.8]$	$[-0.9, 1.4]$	$[-0.5, -0.1]$	$[-0.8, 0.2]$
Order $1/\Lambda^4$	$[-0.3, 0.8]$	$[-0.9, 1.4]$	$[-0.5, -0.1]$	$[-0.8, 0.2]$
Order $1/\Lambda^2$	$[-0.3, 0.8]$	$[-0.8, 1.5]$	$[-0.6, -0.1]$	$[-0.8, 0.2]$



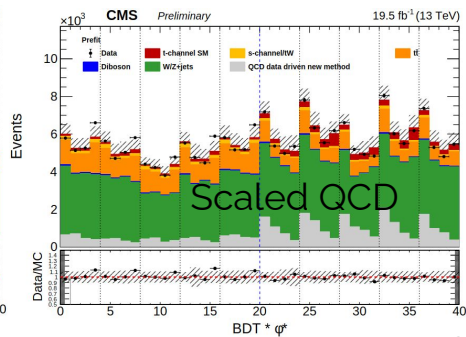
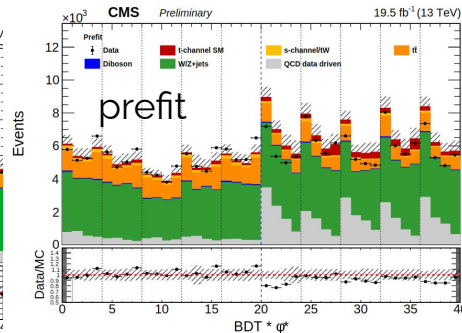
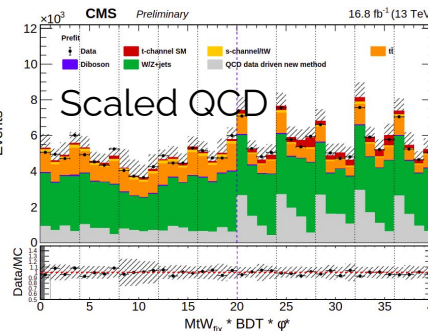
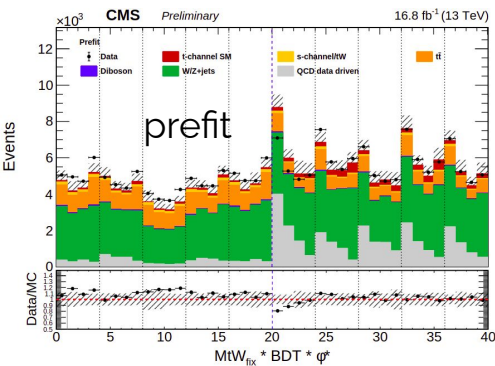


QCD background fit results for other years

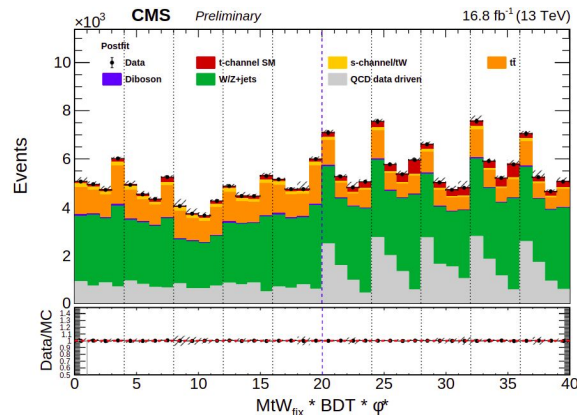
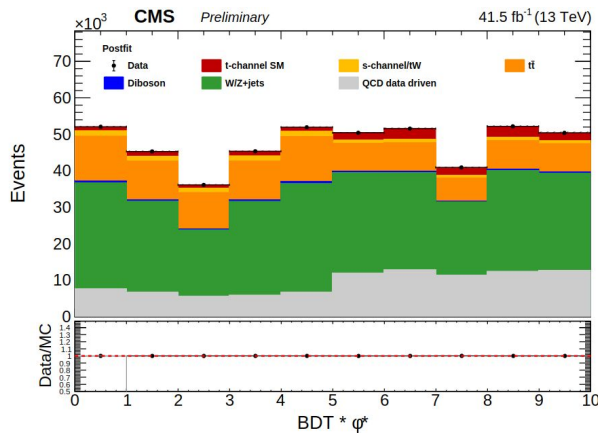
2017



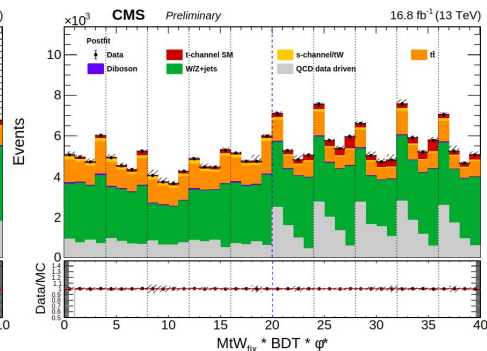
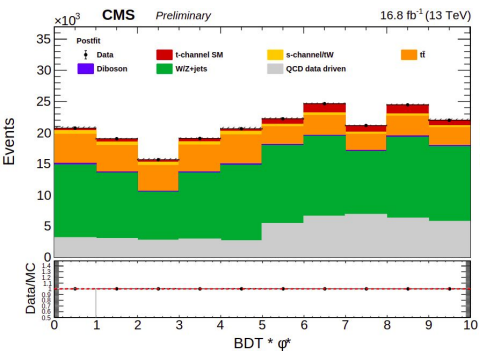
2016 postVFP



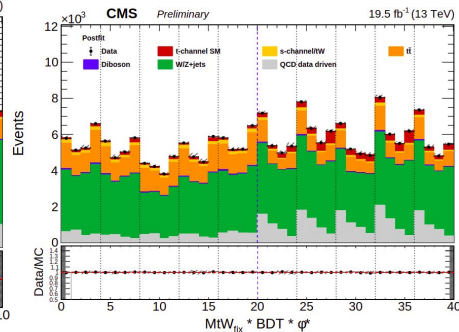
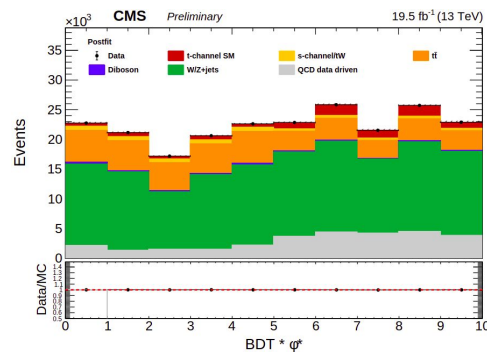
2017

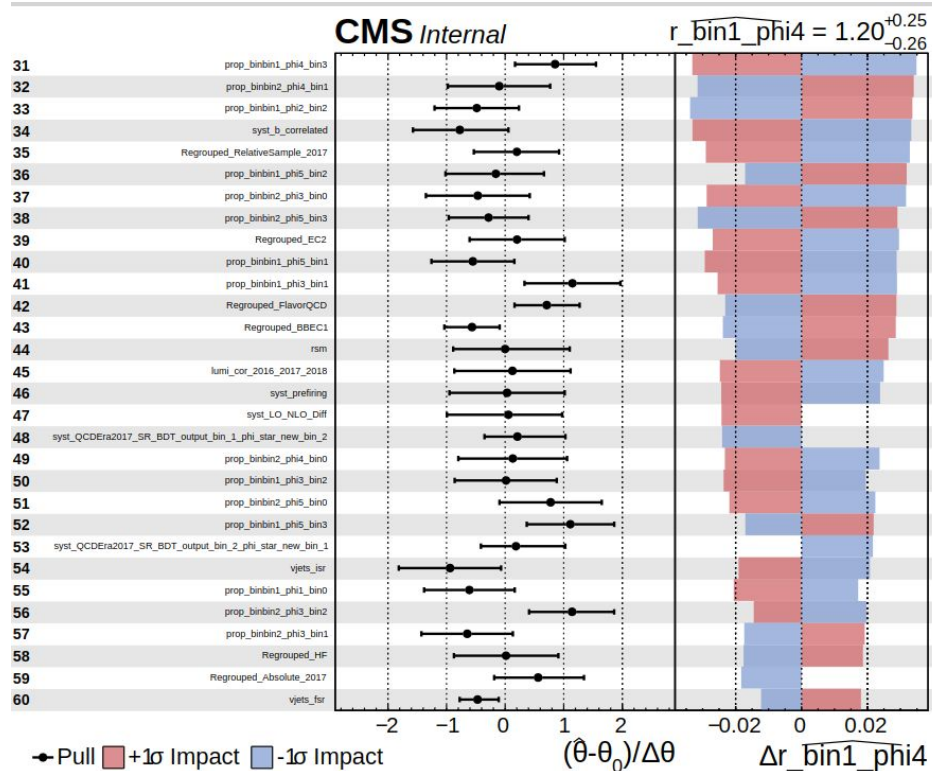
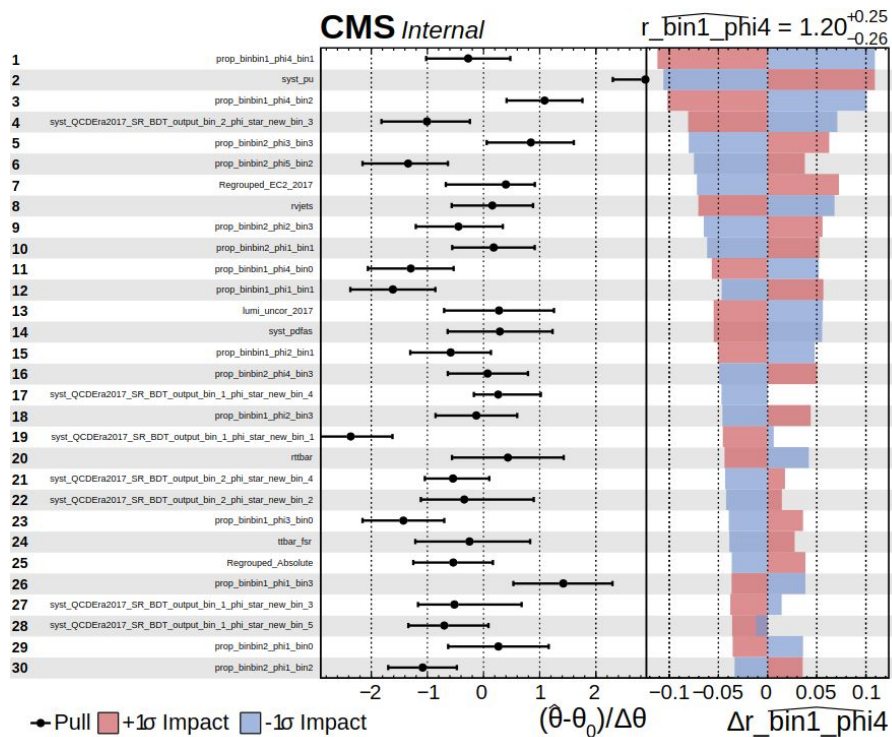


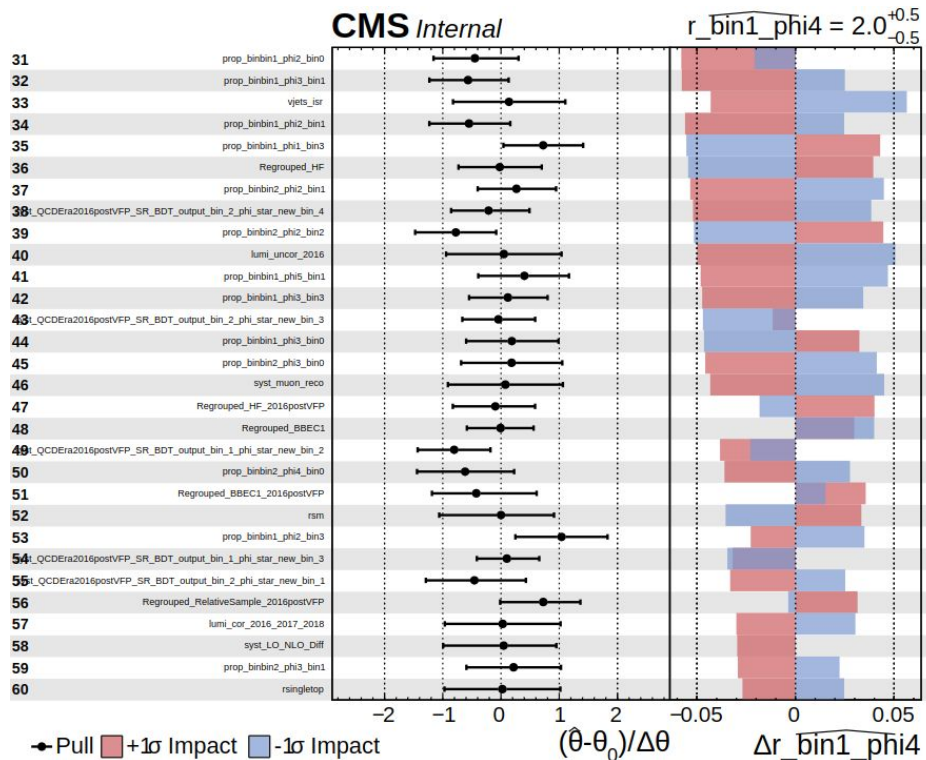
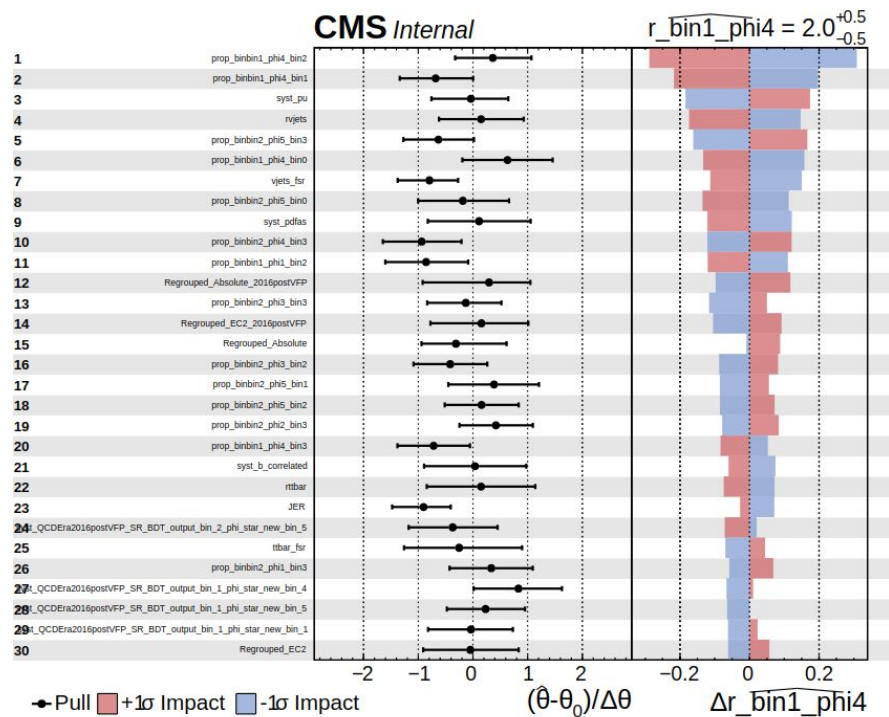
2016 postVFP

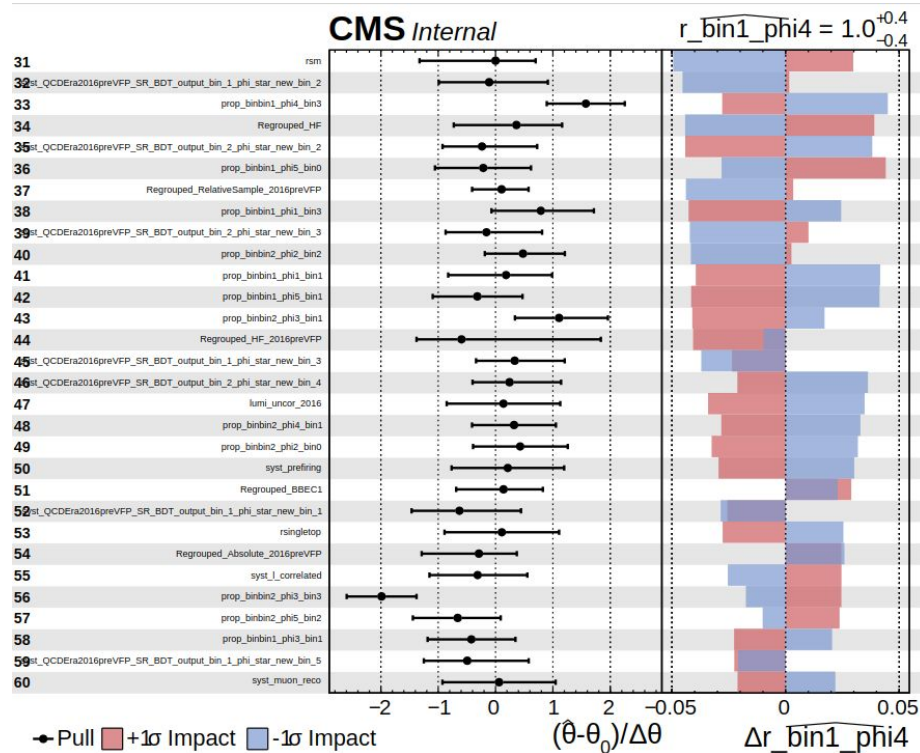
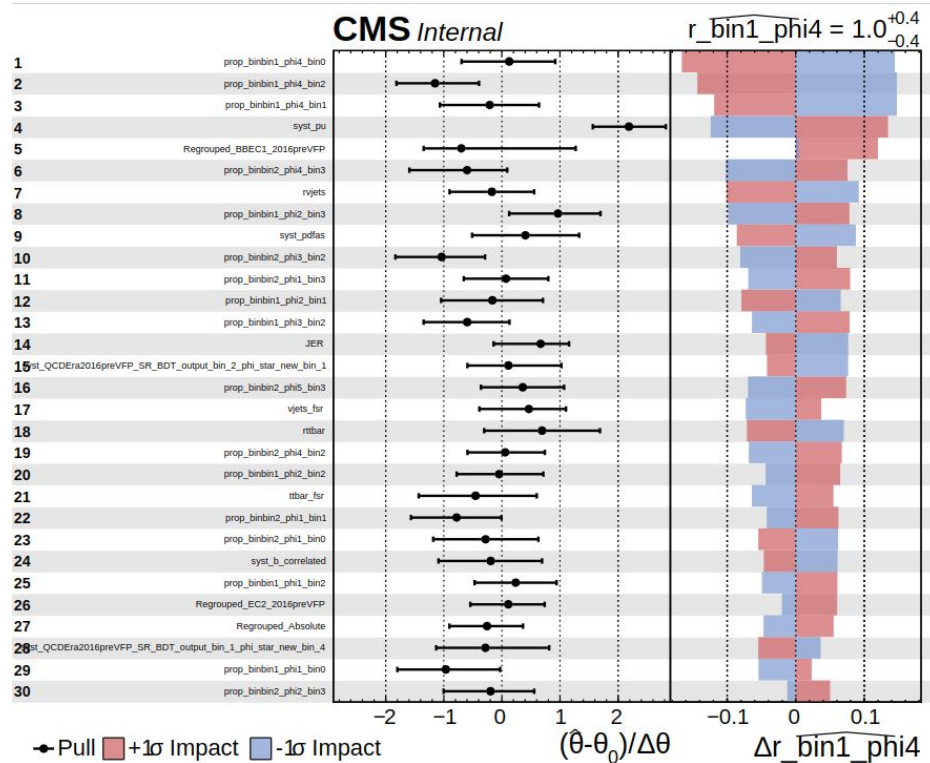


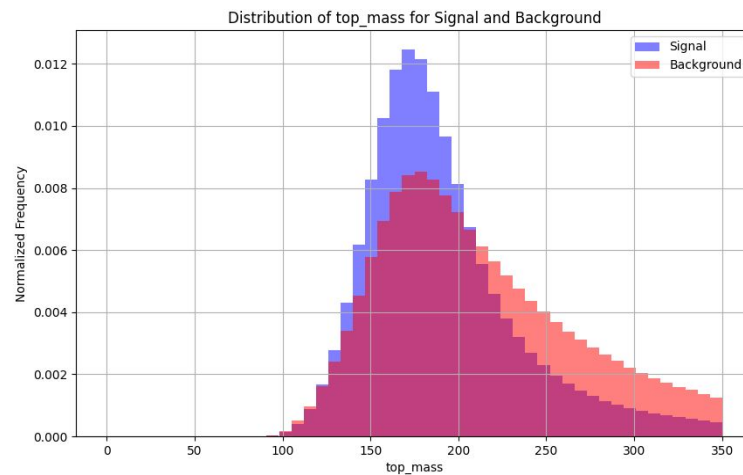
2016 preVFP











Binning optimization with significance

Formula used to evaluate significance (Poisson-Poisson model) taken from ATL-PHYS-PUB-2020-025 :

$$\sqrt{\sum_i \left(n_i \ln \left[\frac{n_i(b_i + \sigma_i^2)}{b_i^2 + n_i \sigma_i^2} \right] - \frac{b_i^2}{\sigma_i^2} \ln \left[1 + \frac{\sigma_i^2(n_i - b_i)}{b_i(b_i + \sigma_i^2)} \right] \right)}$$

n = QCD + non QCD

b = non QCD

$$\sigma = \sqrt{\sum evWeight^2}$$

Optimization performed with the differential evolution algorithm from scipy.optimize (evolutionary algorithm)

