



FACULTY
OF MATHEMATICS
AND PHYSICS
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Couplings of axion-like particles in linear and chiral EFT realisations

with Maeve Madigan, Alexandre Salas-Bernardez, Veronica Sanz
and Maria Ubiali

[JHEP 09 \(2023\) 063](#) || [arxiv:2303.17634](#)

[JHEP 10 \(2024\) 164](#) || [arxiv:2404.08062](#)

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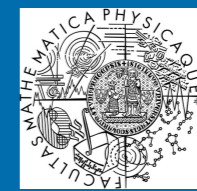
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Welcome to the
ALP adventure!

Axion-Like Particles (ALPs)



- ALPs appear as pseudo Goldstone bosons in many SM extensions with a spontaneous breaking of a global symmetry at scale f_a
- shift symmetry $a \rightarrow a + c$, couplings momentum dependent
- focus on ALP-fermion and ALP Higgs couplings in a large mass range
- ALP associated with a heavy new scale $f_a \gg v$
 - ⇒ Effective Field Theory approach
 - which EFT to use?

- As in SMEFT, start from a linear realisation of EW symmetry, i.e. with a Higgs doublet H

$$\mathcal{L} = \mathcal{L}_{SM} + \mathcal{L}_a$$

- Expand in powers of a/f_a , NLO has one insertion

$$\mathcal{L}_a = \frac{1}{2}(\partial_\mu a)(\partial^\mu a) + \frac{1}{2}m_a^2 a^2 + c_{\tilde{W}}\mathcal{A}_{\tilde{W}} + c_{\tilde{B}}\mathcal{A}_{\tilde{B}} + c_{\tilde{G}}\mathcal{A}_{\tilde{G}} + \sum_{f=u,d,e,Q,L} c_f \mathcal{A}_f$$

- couplings to gauge bosons:

$$\mathcal{A}_{\tilde{X}} = -\frac{a}{f_a} X_{\mu\nu}^a \tilde{X}^{\mu\nu,a}$$

- couplings to fermions:

$$\mathcal{A}_f = \frac{\partial_\mu a}{f_a} \bar{f} \gamma^\mu f$$

- for top quark using EOM:

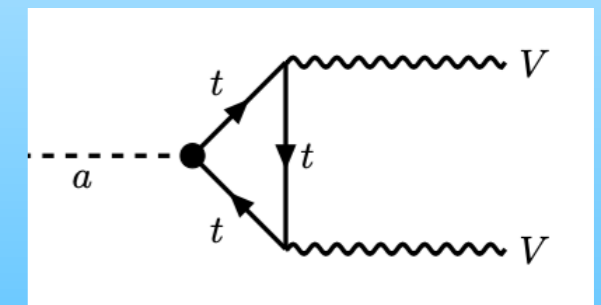
$$\mathcal{L} \supset -ic_t \frac{m_t a}{2f_a} (\bar{t} \gamma^5 t)$$

- Couplings are proportional to the fermion mass!

⇒ Focus on **ALP-top coupling** c_t and **set all other couplings to zero**

- Couplings to vector bosons are generated at 1-loop level

[[Bonilla, Brivio, Gavela, Sanz, 2021](#)]



Direct constraints on c_t



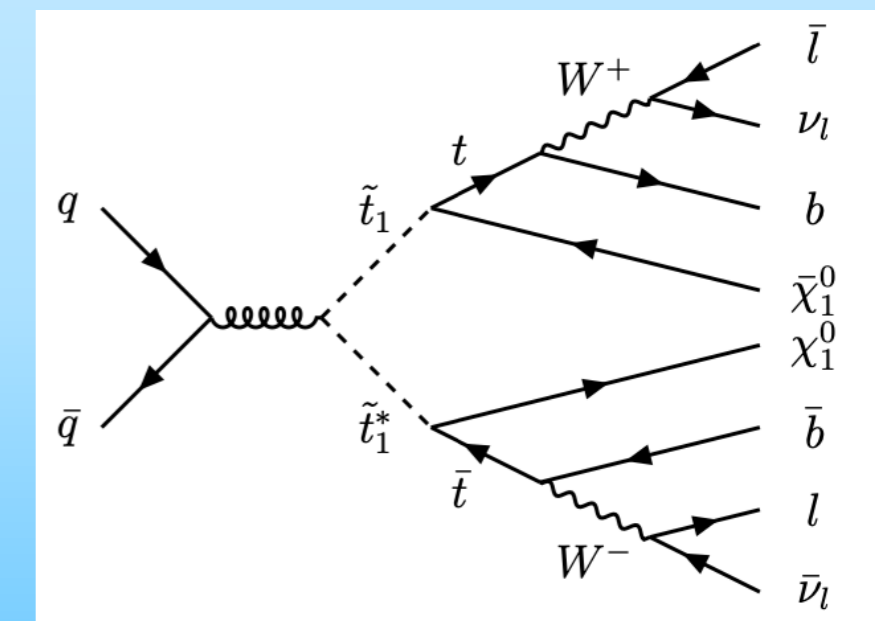
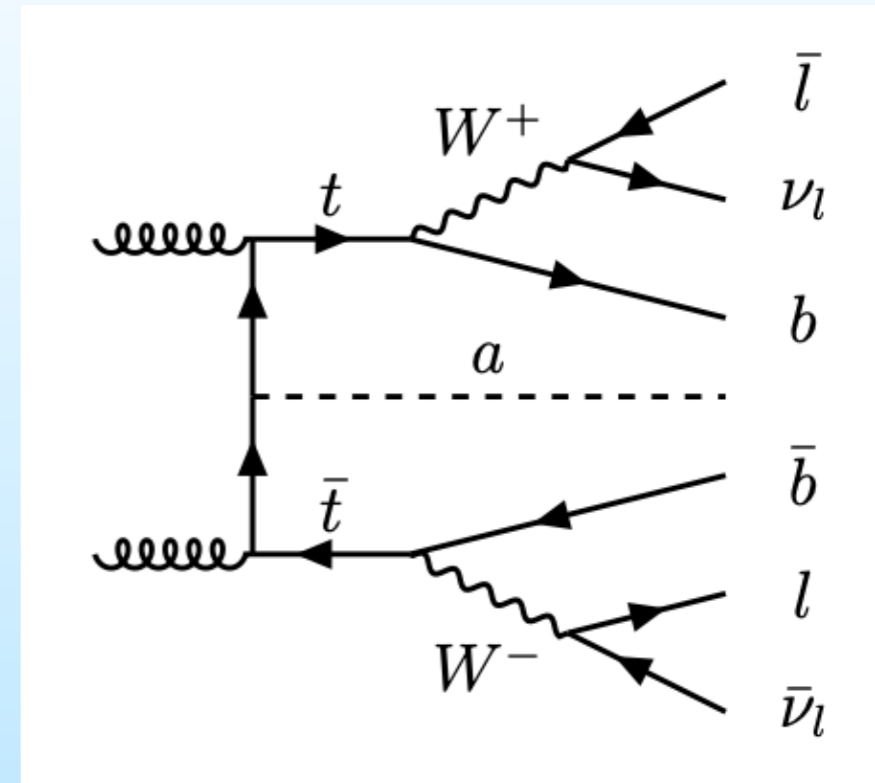
- signal process: $t\bar{t} + a$ production with leptonic top decay
- assume ALP collider stable, escapes the detector as missing transverse energy (MET)
- Reinterpret a Run II **ATLAS SUSY search for top squarks** in events with 2 leptons, 2 b-jets and MET [[2102.134929](https://arxiv.org/abs/2102.134929)]
- SUSY benchmark: pair production of stops with prompt decay into top quarks and neutralinos

- **same final state topology** $2l + 2j + MET$

$$\text{with } MET = \begin{cases} \nu & SM \\ \nu + a & ALP \\ \nu + \tilde{\chi}^0 & SUSY \end{cases}$$

- use ALP EFT UFO file and MadGraph5 to generate events

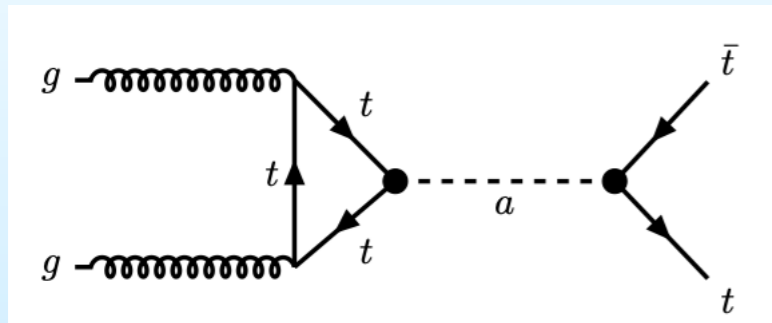
$$\left| \frac{f_a}{c_t} \right| > 550 \text{ GeV at 95\% CL}$$



Indirect constraints on c_t



A. ALP mediated $t\bar{t}$ production:



light off-shell ALP contributing non-resonantly to $gg \rightarrow a \rightarrow t\bar{t}$,
calculate at tree-level with effective coupling $c_{agg}^{eff} = -\frac{\alpha_s}{8\pi}c_t$

1. **CMS:** $m_{t\bar{t}}$ distribution in the lepton + jets channel, Run-II data

[\[2108.02803\]](#)

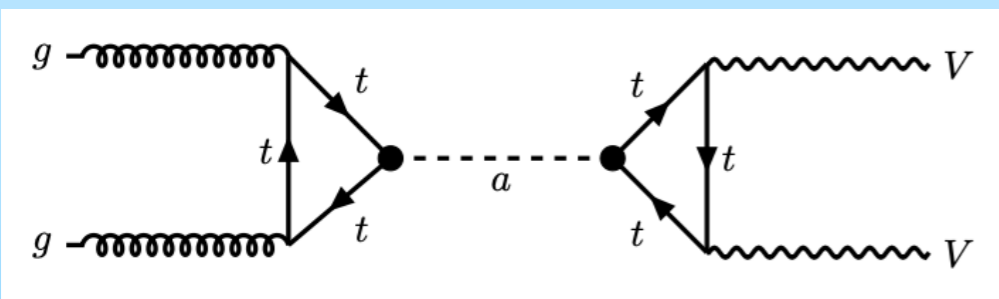
$$\left| \frac{f_a}{c_t} \right| > 103.1 \text{ GeV at 95\% CL}$$

2. **ATLAS:** p_T spectrum of the boosted hadronically decaying top-quark

[\[2202.12134\]](#)

$$\left| \frac{f_a}{c_t} \right| > 169.5 \text{ GeV at 95\% CL}$$

B. ALP mediated diboson production



Non-resonant searches with ALP as off-shell mediator of a $2 \rightarrow 2$ scattering process

Constraints on g_{aVV} through $gg \rightarrow VV$ diboson production,
data from CMS search at $\sqrt{s} = 13 \text{ TeV}$

[\[Gavela, No, Sanz, Trocóniz, 2019\]](#)

[\[Carra et al., 2021\]](#)

VV	lower limit on $\frac{f_a}{c_t}$
ZZ	3.5 GeV
$\gamma\gamma$	22.5 GeV
Z γ	11.0 GeV

Chiral ALP EFT



- As for HEFT, start from non-linear realisation of EW symmetry $\Rightarrow \mathcal{L} = \mathcal{L}_{HEFT}^{LO} + \mathcal{L}_a$

$$\mathcal{L}_a = \frac{1}{2} (\partial_\mu a) (\partial^\mu a) + c_{2D} \mathcal{A}_{2D}(h) + c_{\tilde{W}} \mathcal{A}_{\tilde{W}} + c_{\tilde{B}} \mathcal{A}_{\tilde{B}} + c_{\tilde{G}} \mathcal{A}_{\tilde{G}} + \sum_{i=1}^{17} c_i \mathcal{A}_i(h)$$

[Brivio, Gavela, Merlo, Mimasu, No, del Rey, Sanz '17]

- many more terms at NLO, all with arbitrary Higgs polynomial functions $\mathcal{F}_i(h) = 1 + a_i h/v + b_i (h/v)^2 + \dots$

$$\mathcal{A}_{2D}(h) = iv^2 \text{Tr}[\mathbf{T} \mathbf{V}_\mu] \partial^\mu \frac{a}{f_a} \mathcal{F}_{2D}(h)$$

$$\mathcal{A}_1(h) = \frac{i}{4\pi} \tilde{B}_{\mu\nu} \text{Tr}[\mathbf{T} \mathbf{V}^\mu] \partial^\nu \frac{a}{f_a} \mathcal{F}_1(h)$$

$$\mathcal{A}_2(h) = \frac{i}{4\pi} \text{Tr}[\tilde{W}_{\mu\nu} \mathbf{V}^\mu] \partial^\nu \frac{a}{f_a} \mathcal{F}_2(h)$$

$$\mathcal{A}_3(h) = \frac{1}{4\pi} B_{\mu\nu} \partial^\mu \frac{a}{f_a} \partial^\nu \mathcal{F}_3(h)$$

$$\mathcal{A}_4(h) = \frac{i}{(4\pi)^2} \text{Tr}[\mathbf{V}_\mu \mathbf{V}_\nu] \text{Tr}[\mathbf{T} \mathbf{V}^\mu] \partial^\nu \frac{a}{f_a} \mathcal{F}_4(h)$$

$$\mathcal{A}_5(h) = \frac{i}{(4\pi)^2} \text{Tr}[\mathbf{V}_\mu \mathbf{V}^\mu] \text{Tr}[\mathbf{T} \mathbf{V}^\nu] \partial_\nu \frac{a}{f_a} \mathcal{F}_5(h)$$

$$\mathcal{A}_6(h) = \frac{1}{4\pi} \text{Tr}[\mathbf{T} [W_{\mu\nu}, \mathbf{V}^\mu]] \partial^\nu \frac{a}{f_a} \mathcal{F}_6(h)$$

$$\mathcal{A}_7(h) = \frac{i}{4\pi} \text{Tr}[\mathbf{T} \tilde{W}_{\mu\nu}] \text{Tr}[\mathbf{T} \mathbf{V}^\mu] \partial^\nu \frac{a}{f_a} \mathcal{F}_7(h)$$

$$\mathcal{A}_8(h) = \frac{i}{(4\pi)^2} \text{Tr}[[\mathbf{V}_\nu, \mathbf{T}] \mathcal{D}_\mu \mathbf{V}^\mu] \partial^\nu \frac{a}{f_a} \mathcal{F}_8(h)$$

$$\mathcal{A}_9(h) = \frac{i}{(4\pi)^2} \text{Tr}[\mathbf{T} \mathbf{V}_\mu] \text{Tr}[\mathbf{T} \mathbf{V}^\mu] \text{Tr}[\mathbf{T} \mathbf{V}_\nu] \partial^\nu \frac{a}{f_a} \mathcal{F}_9(h)$$

$$\mathcal{A}_{10}(h) = \frac{1}{4\pi} \text{Tr}[\mathbf{T} W_{\mu\nu}] \partial^\mu \frac{a}{f_a} \partial^\nu \mathcal{F}_{10}(h)$$

$$\mathcal{A}_{11}(h) = \frac{i}{(4\pi)^2} \text{Tr}[\mathbf{T} \mathbf{V}_\mu] \square \frac{a}{f_a} \partial^\mu \mathcal{F}_{11}(h)$$

$$\mathcal{A}_{12}(h) = \frac{i}{(4\pi)^2} \text{Tr}[\mathbf{T} \mathbf{V}_\mu] \partial^\mu \partial^\nu \frac{a}{f_a} \partial_\nu \mathcal{F}_{12}(h)$$

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$$\mathcal{A}_{14}(h) = \frac{i}{(4\pi)^2} \text{Tr}[\mathbf{T} \mathbf{V}_\mu] \partial_\nu \frac{a}{f_a} \partial^\mu \partial^\nu \mathcal{F}_{14}(h)$$

$$\mathcal{A}_{15}(h) = \frac{i}{(4\pi)^2} \text{Tr}[\mathbf{T} \mathbf{V}_\mu] \partial^\mu \frac{a}{f_a} \partial_\nu \mathcal{F}_{15}(h) \partial^\nu \mathcal{F}'_{15}(h)$$

$$\mathcal{A}_{16}(h) = \frac{i}{(4\pi)^2} \text{Tr}[\mathbf{T} \mathbf{V}_\mu] \partial_\nu \frac{a}{f_a} \partial^\mu \mathcal{F}_{16}(h) \partial^\nu \mathcal{F}'_{16}(h)$$

$$\mathcal{A}_{17}(h) = \frac{i}{(4\pi)^2} \text{Tr}[\mathbf{T} \mathbf{V}_\mu] \partial^\mu \frac{\square a}{f_a} \mathcal{F}_{17}(h).$$

Chiral ALP EFT



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$$\mathcal{A}_4(h) = \frac{i}{(4\pi)^2} \text{Tr}[\tilde{W}_{\mu\nu} \mathbf{V}^\mu] \partial^\nu \frac{a}{f_a} \mathcal{F}_4(h)$$

$$\mathcal{A}_5(h) = \frac{i}{(4\pi)^2} \text{Tr}[\tilde{W}_{\mu\nu} \mathbf{V}^\mu] \partial^\nu \frac{a}{f_a} \mathcal{F}_5(h)$$

$$\mathcal{A}_6(h) = \frac{1}{4\pi} \text{Tr}[\mathbf{T} [W_{\mu\nu}, \mathbf{V}^\mu]] \partial^\nu \frac{a}{f_a} \mathcal{F}_6(h)$$

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$$\mathcal{A}_{12}(h) = \frac{i}{(4\pi)^2} \text{Tr}[\tilde{W}_{\mu\nu} \mathbf{V}^\mu] \partial^\nu \frac{a}{f_a} \mathcal{F}_{12}(h)$$

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$$\mathcal{A}_{14}(h) = \frac{i}{(4\pi)^2} \text{Tr}[\tilde{W}_{\mu\nu} \mathbf{V}^\mu] \partial^\nu \frac{a}{f_a} \mathcal{F}_{14}(h)$$

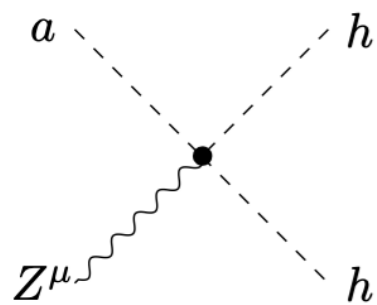
$$\mathcal{A}_{15}(h) = \frac{i}{(4\pi)^2} \text{Tr}[\mathbf{T} \mathbf{V}_\mu] \partial^\mu \frac{a}{f_a} \partial_\nu \mathcal{F}_{15}(h) \partial^\nu \mathcal{F}'_{15}(h)$$

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$$\mathcal{A}_{17}(h) = \frac{i}{(4\pi)^2} \text{Tr}[\mathbf{T} \mathbf{V}_\mu] \partial^\mu \frac{\square a}{f_a} \mathcal{F}_{17}(h)$$

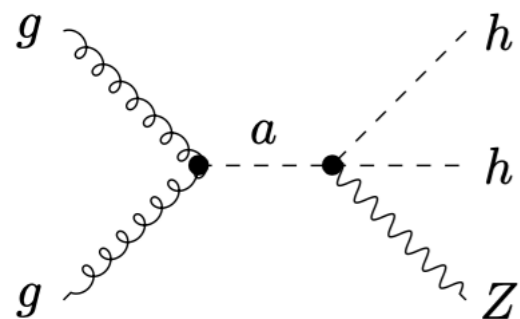
- More general description
- Many more terms at NLO than linear ALP EFT
- ALP-Higgs-Z couplings $ah^n Z$ at NLO

ALP-Higgs couplings in chiral ALP EFT



$$\frac{g}{4\pi^2 c_W v^2 f_a} \left[p_{hh}^\mu (p_a^2 \tilde{b}_{11} + p_a \cdot p_{hh} \tilde{b}_{14}) + p_a^\mu (p_{hh}^2 \tilde{b}_{13} + p_a \cdot p_{hh} \tilde{b}_{12}) \right. \\ \left. + 2\tilde{a}_{16} (p_{h1}^\mu p_a \cdot p_{h2} + p_{h2}^\mu p_a \cdot p_{h1}) + 4\tilde{a}_{15} p_a^\mu p_{h1} \cdot p_{h2} \right. \\ \left. - p_a^\mu (16\pi^2 v^2 \tilde{b}_{2D} - \tilde{b}_{17} p_a^2) + 2\pi s_{2W} \tilde{b}_{310} (p_Z^2 p_a^\mu - p_Z^\mu p_a \cdot p_Z) / e \right]$$

$$\tilde{a}_i = c_i a_i \\ \tilde{b}_i = c_i b_i$$



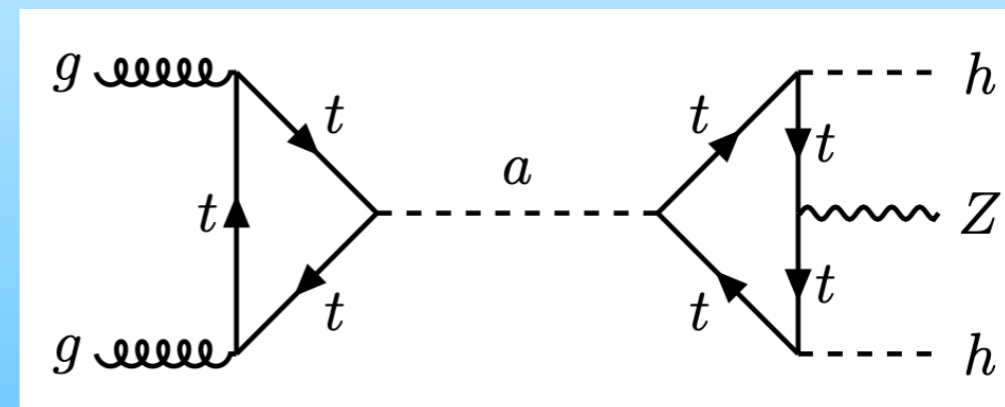
ALP-mediated Di-Higgs + Z production from gluon fusion is TREE LEVEL in chiral ALP EFT, effective coupling c

- Use ATLAS di-Higgs searches in the $b\bar{b}\gamma\gamma$ final state [2310.12301](#), no veto on MET ($Z \rightarrow \nu\nu$)
- simplest benchmark scenario: all \tilde{a}_i and \tilde{b}_i equal 1
- generate events in MadGraph, limits on f_a from χ^2 in high mass region:

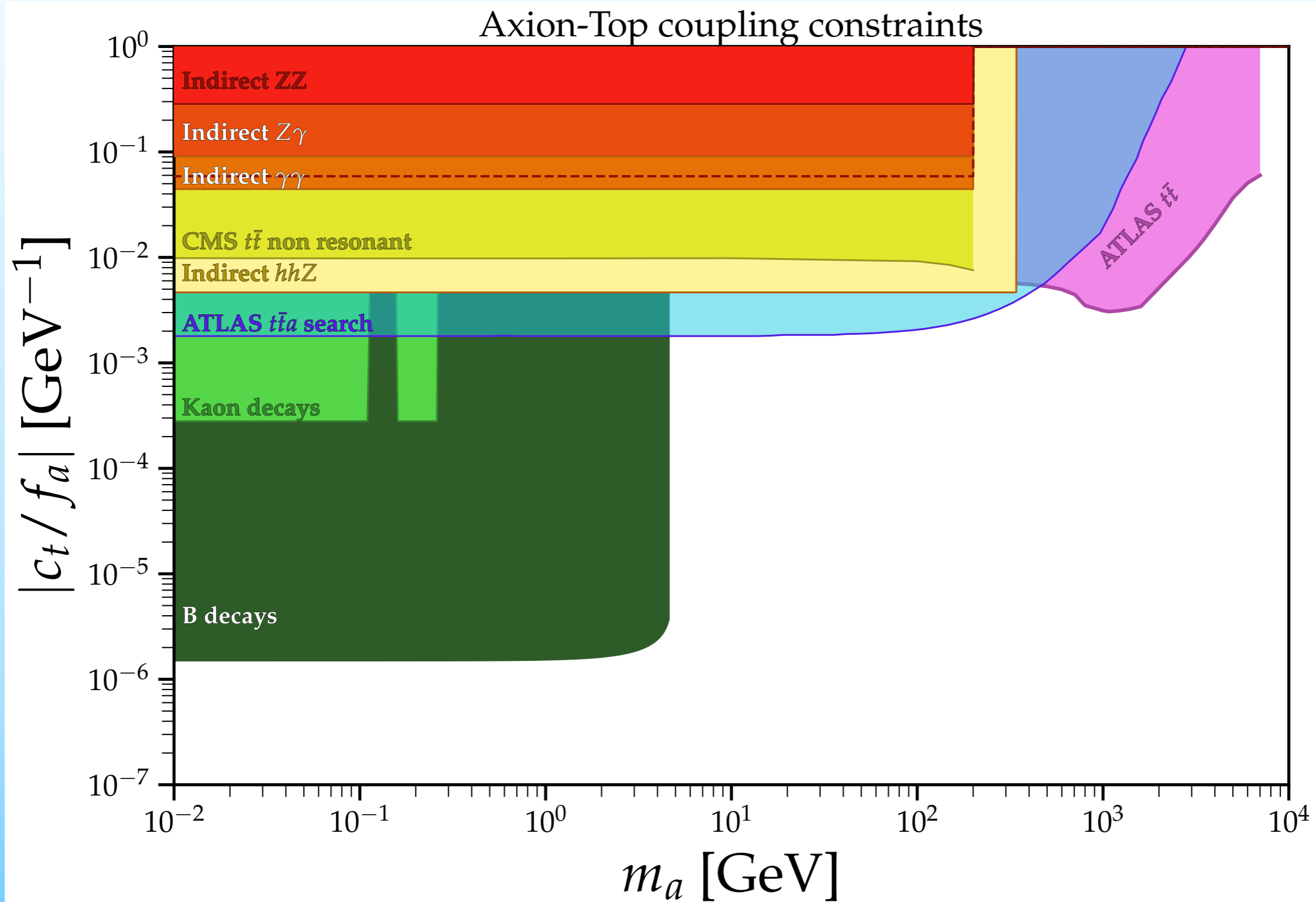
$$f_a > 0.53\sqrt{c} \text{ TeV}$$

- we can recast chiral ALP EFT limits into limits on c_t in the linear EFT using top loops

$$\frac{c}{f_a^2} \simeq \frac{\alpha_s}{8\pi c_W} \frac{c_t^2}{f_a^2}$$



Summary

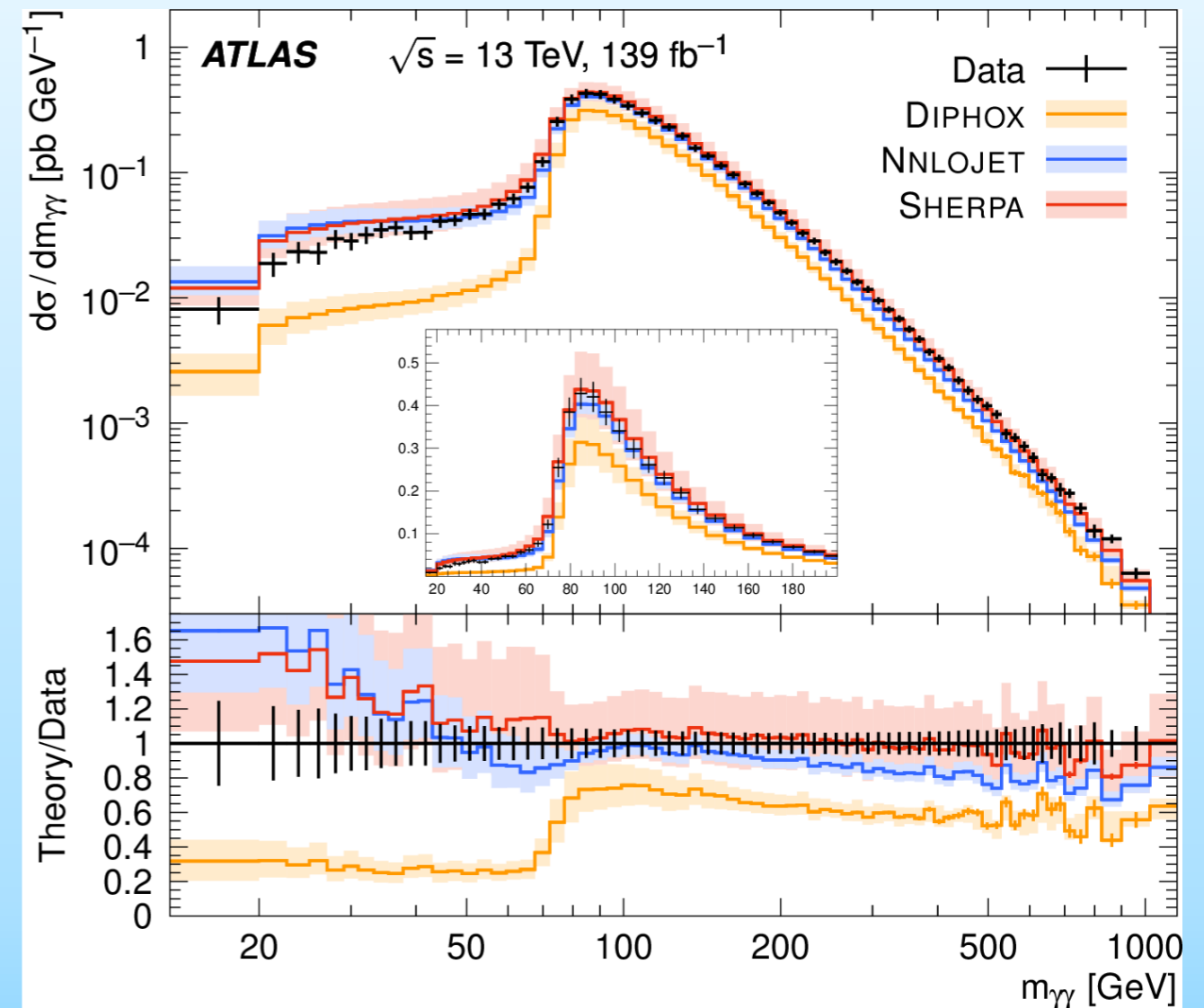
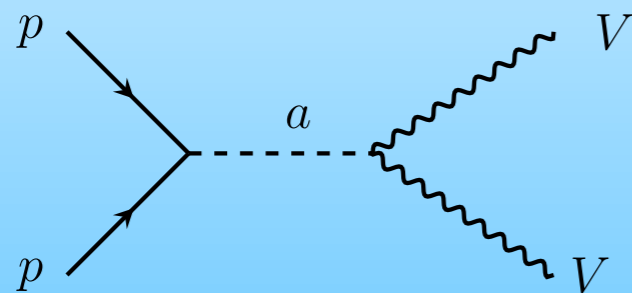


Outlook



Global fit to multiboson final states, first in the linear ALP EFT (WIP)

vertex	Feynman Rule in linear ALP EFT
$a\gamma\gamma$	$-\frac{4i}{f_a} (c_\theta^2 c_{\tilde{B}} + s_\theta^2 c_{\tilde{W}}) \epsilon^{\mu\nu\rho\sigma} (p_{\gamma 1})_\rho (p_{\gamma 2})_\sigma$
$a\gamma Z$	$\frac{2i}{f_a} s_{2\theta} (c_{\tilde{B}} - c_{\tilde{W}}) \epsilon^{\mu\nu\rho\sigma} (p_Z)_\rho (p_\gamma)_\sigma$
aZZ	$-\frac{4i}{f_a} (s_\theta^2 c_{\tilde{B}} + c_\theta^2 c_{\tilde{W}}) \epsilon^{\mu\nu\rho\sigma} (p_{Z1})_\rho (p_{Z2})_\sigma$
aW^+W^-	$-\frac{4i}{f_a} c_{\tilde{W}} \epsilon^{\mu\nu\rho\sigma} (p_{W^+})_\rho (p_{W^-})_\sigma$
agg	$-\frac{4i}{f_a} c_{\tilde{G}} \delta_{ab} \epsilon^{\mu\nu\rho\sigma} (p_{g1})_\rho (p_{g2})_\sigma$
$a\gamma W^+W^-$	$\frac{4ie}{f_a} c_{\tilde{W}} \epsilon^{\mu\nu\rho\sigma} (p_{WW\gamma})_\sigma$
aZW^+W^-	$\frac{4ie}{f_a} \frac{c_\theta}{s_\theta} c_{\tilde{W}} \epsilon^{\mu\nu\rho\sigma} (p_{WWZ})_\sigma$
$aggg$	$\frac{4}{f_a} g_s c_{\tilde{G}} f_{abc} \epsilon^{\mu\nu\rho\sigma} (p_{ggg})_\sigma$



invariant mass distribution in the di-photon final state [\[2107.09330\]](#)

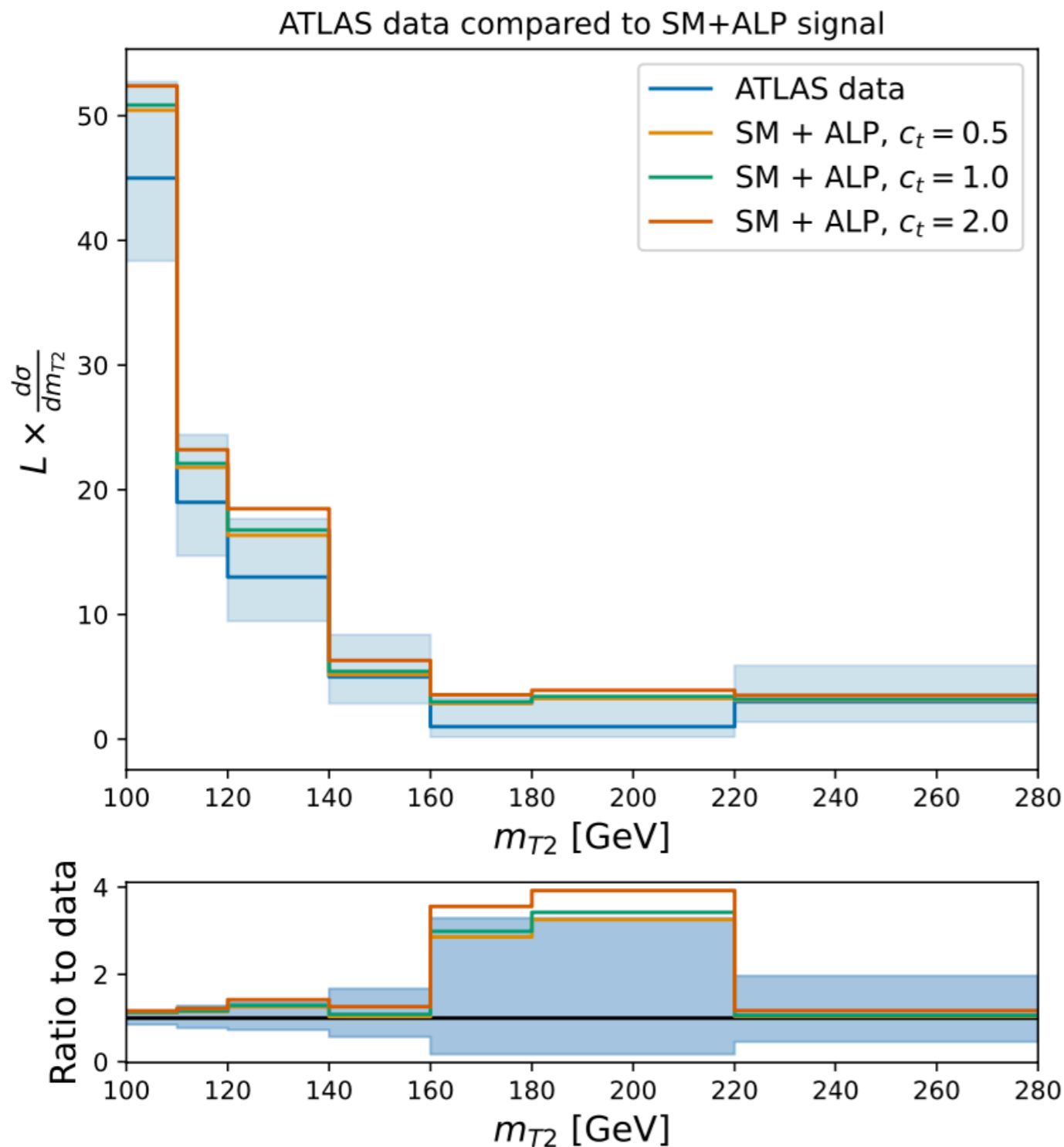


Thank you!



Back-up slides

ALP signal



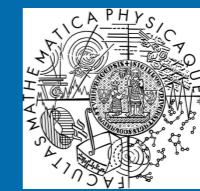
- compare ALP signal + SM background for different c_t to data
- MadGraph and SM background uncertainties negligible compared to experimental uncertainties
- $t\bar{t}a$ vertex proportional to c_t/f_a , global factor $(c_t/f_a)^2$ in the signal events
- Assume a Poisson likelihood

$$\mathcal{L}(c_t) = \prod_{k=1}^{N_{\text{bins}}} \frac{\exp\left(-\left(\left(\frac{c_t}{f_a}\right)^2 s_k + b_k\right)\right) \left(\left(\frac{c_t}{f_a}\right)^2 s_k + b_k\right)^{n_k}}{n_k!}$$

- use the profile likelihood ratio to obtain limits on c_t :

$$\left| \frac{f_a}{c_t} \right| > 550 \text{ GeV at 95\% CL}$$

Stranverse mass



ATLAS: measurement of the **stranverse mass** m_{T2} distribution in the $2l + 2j + MET$ final state with different lepton flavours:

$$m_{T2}(\vec{p}_{T1}, \vec{p}_{T2}, \vec{p}_T^{miss}) = \min_{\vec{q}_{T1} + \vec{q}_{T2} = \vec{p}_T^{miss}} \left(\max [m_T(\vec{p}_{T1}, \vec{q}_{T1}), m_T(\vec{p}_{T2}, \vec{q}_{T2})] \right)$$

leptons

neutrinos

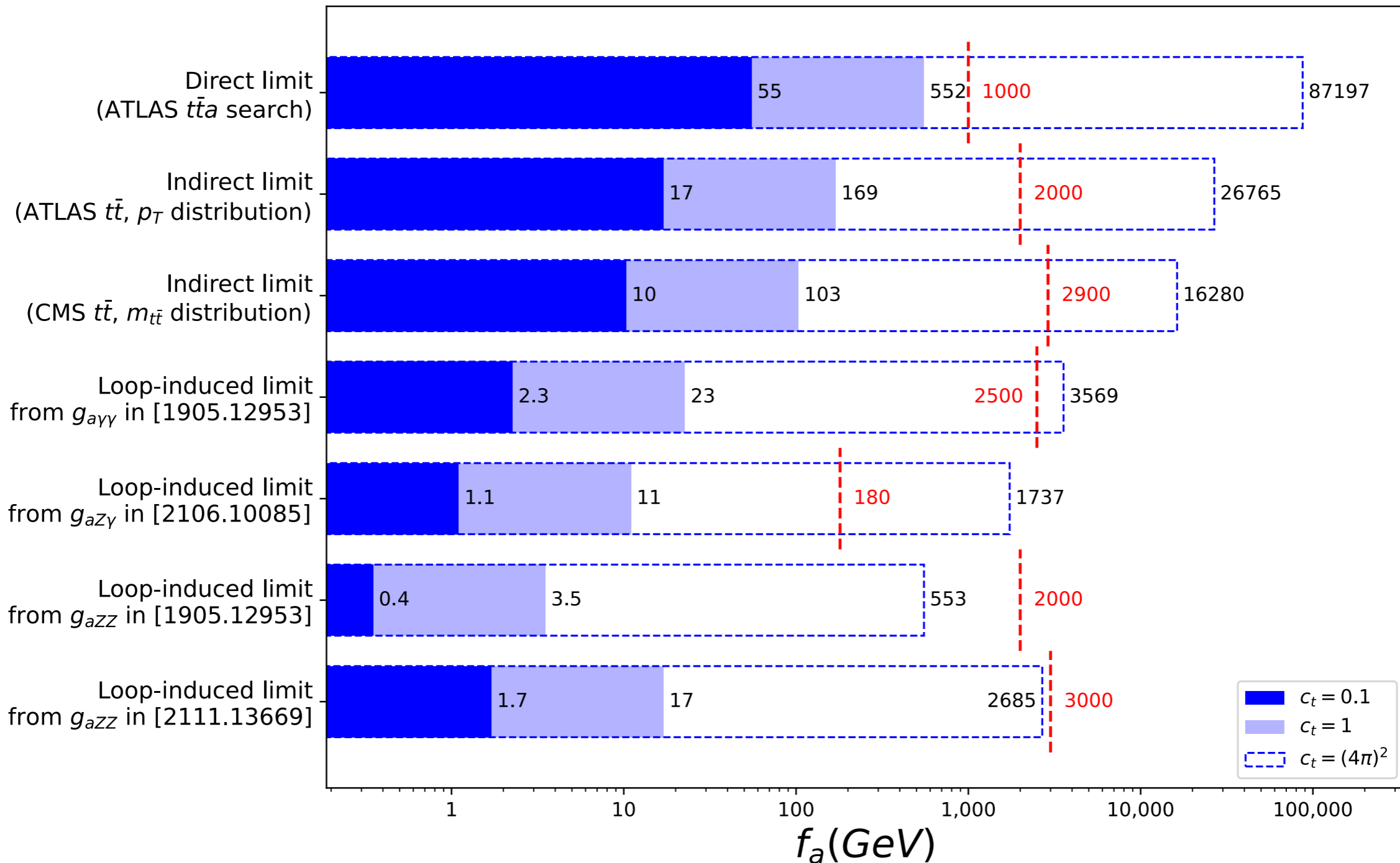
with transverse mass of lepton-neutrino pairs

$$m_T(\vec{p}_T, \vec{q}_T) = \sqrt{2 |\vec{p}_T| |\vec{q}_T| (1 - \cos(\Delta\Phi))}$$

Summary of constraints from Run-II data



ALPs: current collider constrains for different choices of $|c_t|$



red dashed lines: EFT validity limits