

LEPTOGENESIS: CONNECTING COSMOLOGY AND PARTICLE PHYSICS

Rémi Faure, IPhT
Moriond conference, March 25th



WHY LEPTOGENESIS (= CREATION OF LEPTONS)?

[Planck2018]

Baryon-to-photon ratio

$$\eta_B \equiv \frac{n_B}{n_\gamma} = (6.13 \pm 0.04) \times 10^{-10} \simeq \frac{n_B - \bar{n}_B}{n_\gamma}$$

WHY LEPTOGENESIS (= CREATION OF LEPTONS)?

[Planck2018]

Baryon-to-photon ratio

$$\eta_B \equiv \frac{n_B}{n_\gamma} = (6.13 \pm 0.04) \times 10^{-10} \simeq \frac{n_B - \bar{n}_B}{n_\gamma}$$

- Such an asymmetry is **not** explained in the Standard Model

WHY LEPTOGENESIS (= CREATION OF LEPTONS)?

[Planck2018]

Baryon-to-photon ratio $\eta_B \equiv \frac{n_B}{n_\gamma} = (6.13 \pm 0.04) \times 10^{-10} \simeq \frac{n_B - \bar{n}_B}{n_\gamma}$

- Such an asymmetry is **not** explained in the Standard Model
- **Neutrino oscillations** point at new physics in the lepton sector (non-zero neutrino masses and CP violation). **Right-handed neutrinos** would be good candidates to explain the oscillations.

WHY LEPTOGENESIS (= CREATION OF LEPTONS)?

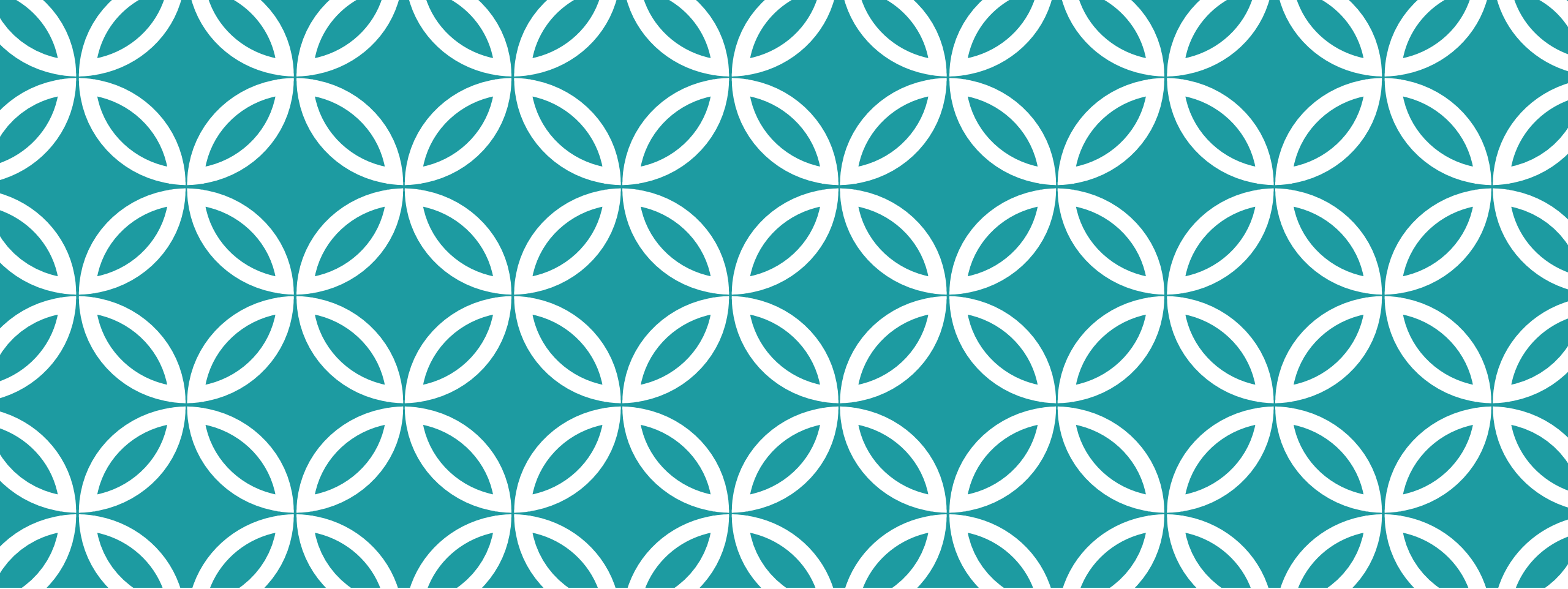
[Planck2018]

Baryon-to-photon ratio $\eta_B \equiv \frac{n_B}{n_\gamma} = (6.13 \pm 0.04) \times 10^{-10} \simeq \frac{n_B - \bar{n}_B}{n_\gamma}$

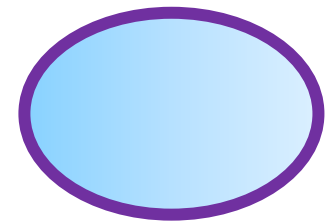
- Such an asymmetry is **not** explained in the Standard Model
- **Neutrino oscillations** point at new physics in the lepton sector (non-zero neutrino masses and CP violation). **Right-handed neutrinos** would be good candidates to explain the oscillations.



Leptogenesis using **right-handed neutrinos**
(also called **sterile neutrinos**)



1. STANDARD LEPTOGENESIS



Majorana sterile neutrinos can explain neutrino masses in the **Seesaw** model.



$$L = L_{SM} + (i/2 \bar{N}_I \gamma^\mu \partial_\mu N_I - 1/2 M_I \bar{N}_I^c N_I - Y_{I\alpha} \bar{N}_I \tilde{\phi}^\dagger l_\alpha + h.c.)$$

Majorana sterile neutrinos can explain neutrino masses in the **Seesaw** model.



$$L = L_{SM} + (i/2 \bar{N}_I \gamma^\mu \partial_\mu N_I - 1/2 M_I \bar{N}_I^c N_I - Y_{I\alpha} \bar{N}_I \tilde{\phi}^\dagger l_\alpha + h.c.)$$

Massive sterile neutrinos

Majorana masses M_I

→ Violates **Lepton number**

Majorana sterile neutrinos can explain neutrino masses in the **Seesaw** model.



$$L = L_{SM} + (i/2 \bar{N}_I \gamma^\mu \partial_\mu N_I - 1/2 M_I \bar{N}_I^c N_I - Y_{I\alpha} \bar{N}_I \tilde{\phi}^\dagger l_\alpha + h.c.)$$

Massive sterile neutrinos
Majorana masses M_I

→ Violates **Lepton number**

The sterile neutrinos interact
with the Standard Model

→ Violates **C and CP symmetry**

Majorana sterile neutrinos can explain neutrino masses in the **Seesaw** model.



$$L = L_{SM} + (i/2 \bar{N}_I \gamma^\mu \partial_\mu N_I - 1/2 M_I \bar{N}_I^c N_I - Y_{I\alpha} \bar{N}_I \tilde{\phi}^\dagger l_\alpha + h.c.)$$

Massive sterile neutrinos
Majorana masses M_I

→ Violates **Lepton number**

The sterile neutrinos interact
with the Standard Model

→ Violates **C and CP symmetry**

Standard leptogenesis: Thermal leptogenesis → **Decays of (heavy) sterile neutrinos**

$\simeq 10^{15}$ GeV

ARS leptogenesis → **Production of (light) sterile neutrinos**

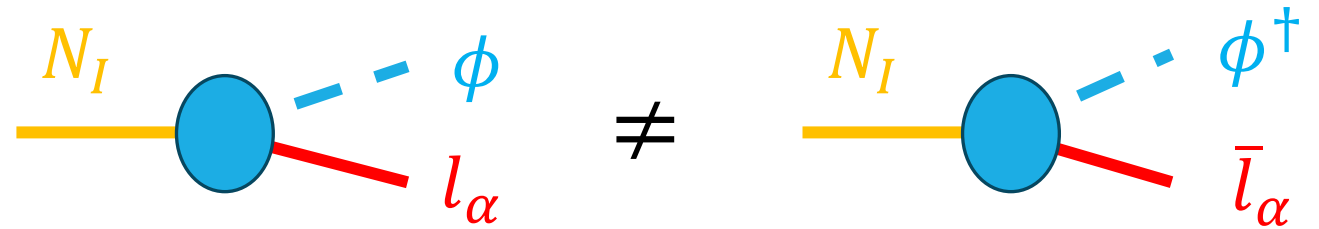
$\simeq 100$ GeV

THERMAL LEPTOGENESIS

[Fukugita, Yanagida, '86]

A lepton asymmetry is produced in sterile neutrino **decays** as they get out of equilibrium because of the **Universe expansion**.

$$M_I \simeq 10^{15} \text{ GeV}$$

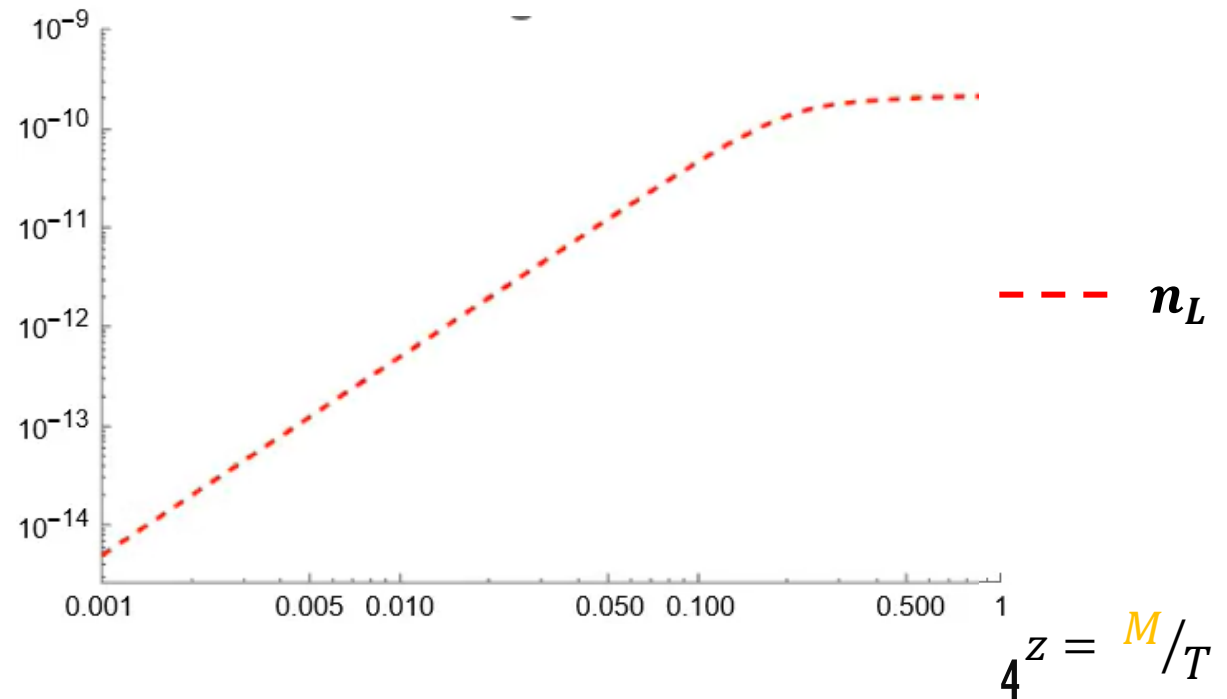
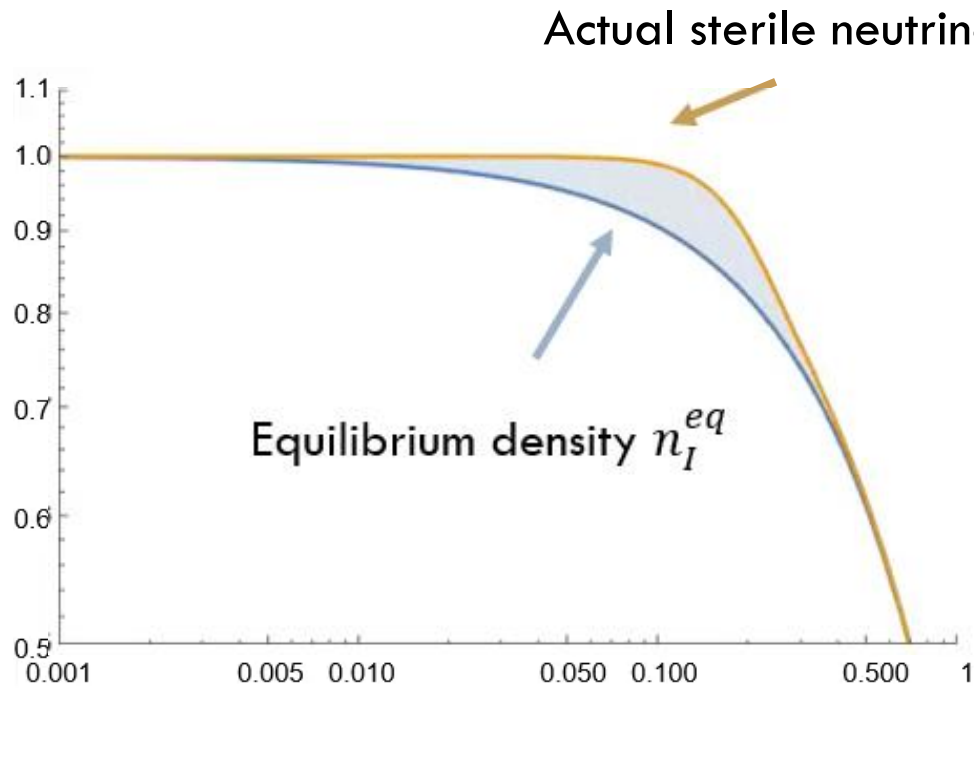
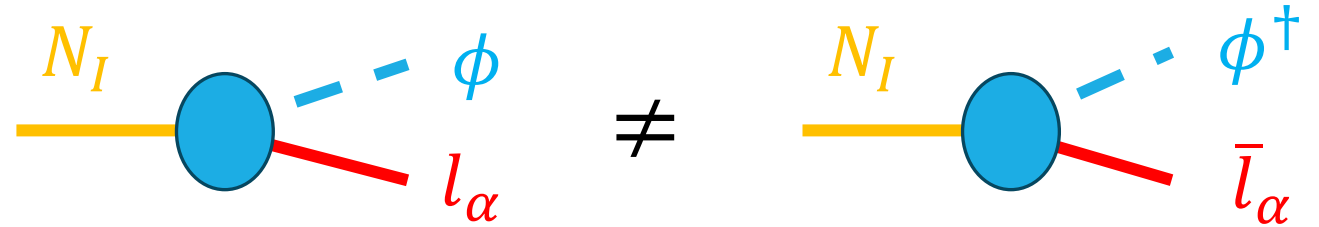


THERMAL LEPTOGENESIS

[Fukugita, Yanagida, '86]

A lepton asymmetry is produced in sterile neutrino **decays** as they get out of equilibrium because of the **Universe expansion**.

$$M_I \simeq 10^{15} \text{ GeV}$$



ARS LEPTOGENESIS

[Akhmedov, Rubakov, Smirnov, '98]

As they are being produced in the interaction basis (Y), sterile neutrinos **oscillate** between different mass eigenstates (M_I).

$$M_I \simeq 100 \text{ GeV}$$

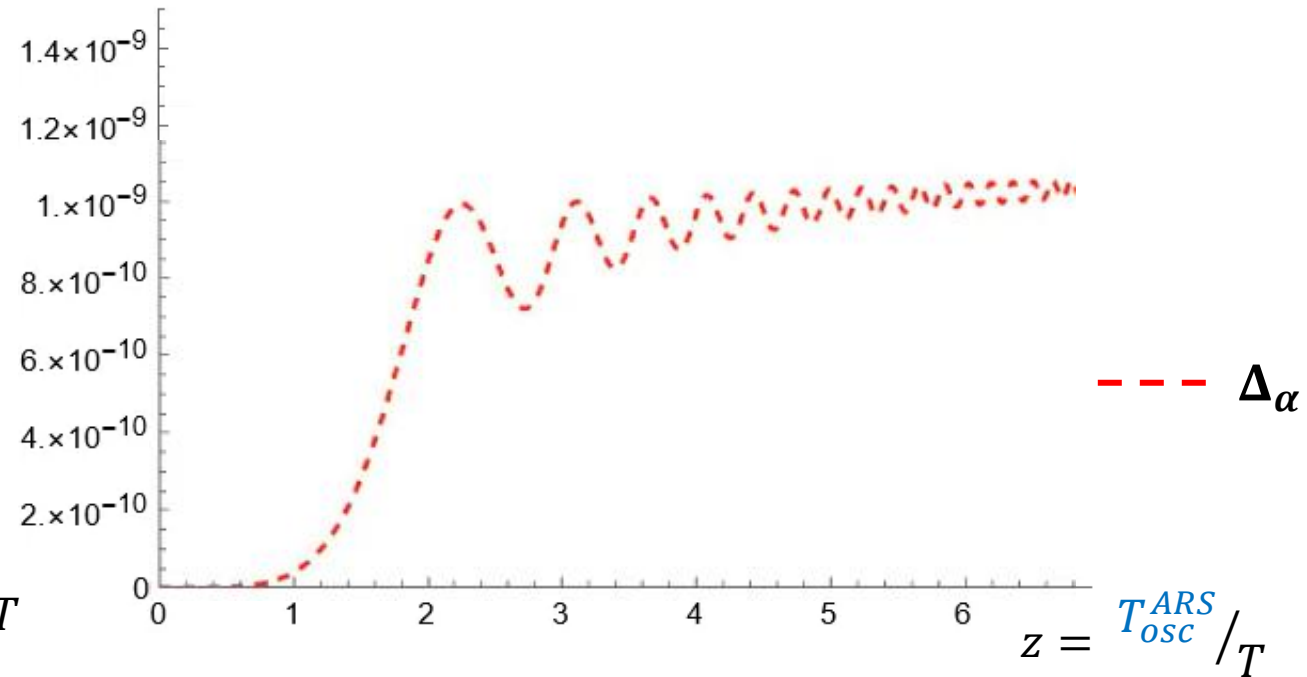
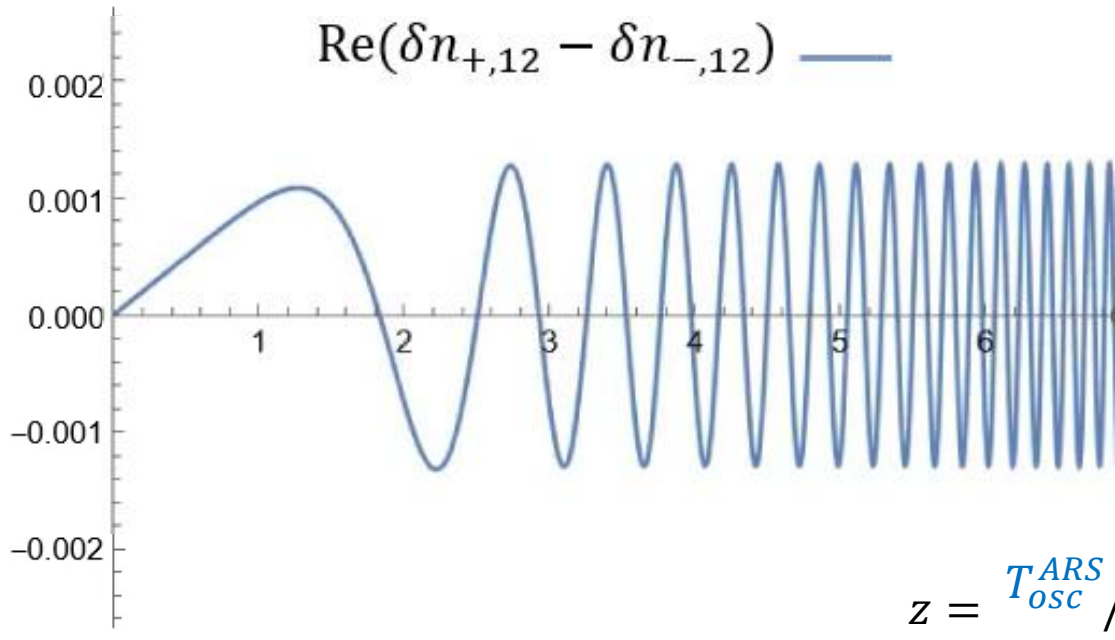


ARS LEPTOGENESIS

[Akhmedov, Rubakov, Smirnov, '98]

As they are being produced in the interaction basis (Y), sterile neutrinos **oscillate** between different mass eigenstates (M_I).

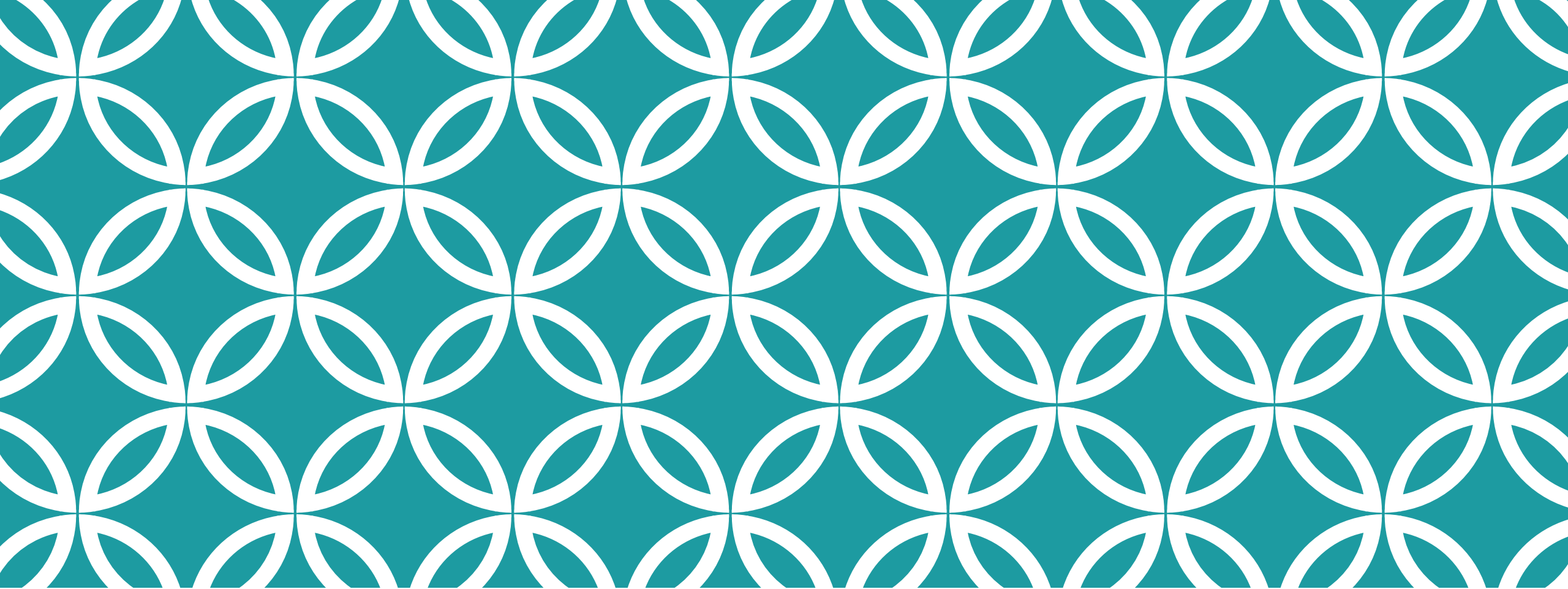
$$M_I \simeq 100 \text{ GeV}$$



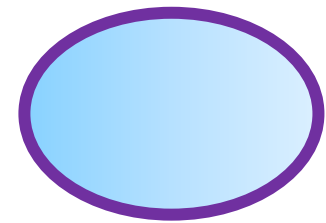
$$\delta \mathbf{n}_h = \begin{pmatrix} \mathbf{n}_{1,h} - n_1^{eq} & \delta n_{h,12} \\ \delta n_{h,12}^* & \mathbf{n}_{2,h} - n_2^{eq} \end{pmatrix}$$

$$T_{osc}^{ARS} \equiv (a_R (M_2^2 - M_1^2))^{1/3}$$

$$a_R \simeq 7 \times 10^{17} \text{ GeV} \quad 5$$

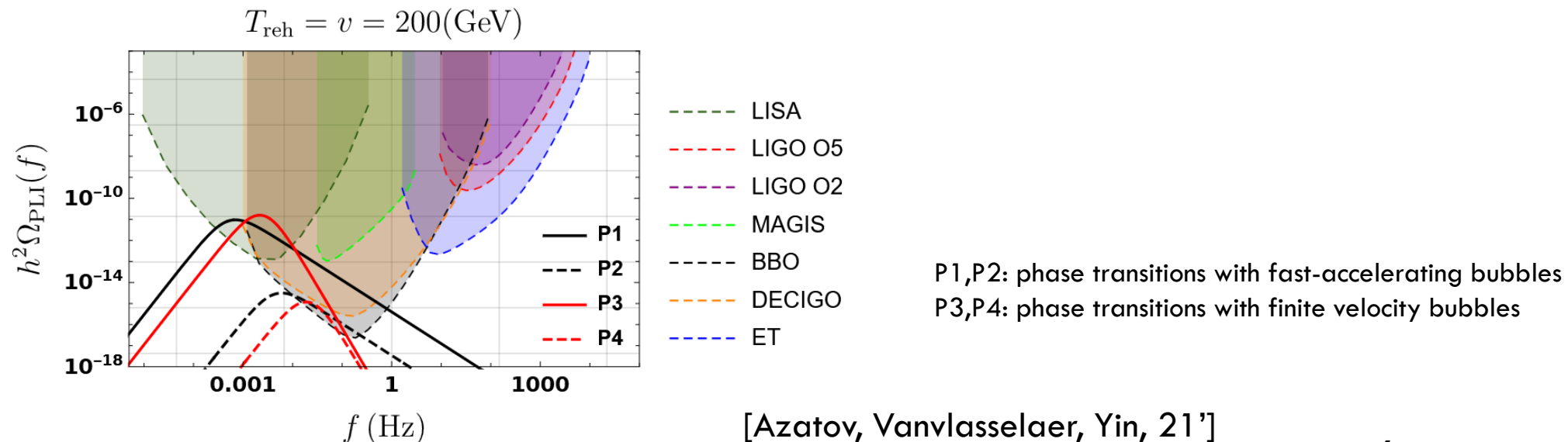


2. STERILE NEUTRINOS AND PHASE TRANSITION

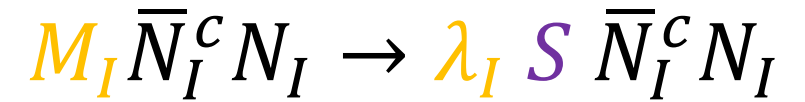


WHY COSMOLOGICAL PHASE TRANSITIONS?

- Naturally appear in GUTs or conformal models (extra scalar fields)
- Produce **Gravitational Waves (GW)** that can be detected



Phase transition in leptogenesis:



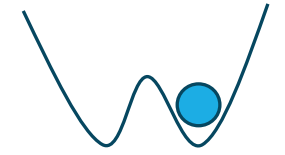
At some temperature T_n :



$$\langle S \rangle = 0$$
$$M = 0$$



$$\langle S \rangle \neq 0$$
$$M \neq 0$$



Phase transition in leptogenesis:

$$M_I \bar{N}_I^c N_I \rightarrow \lambda_I S \bar{N}_I^c N_I$$

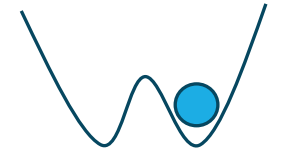
At some temperature T_n :



$$\langle S \rangle = 0$$
$$M = 0$$

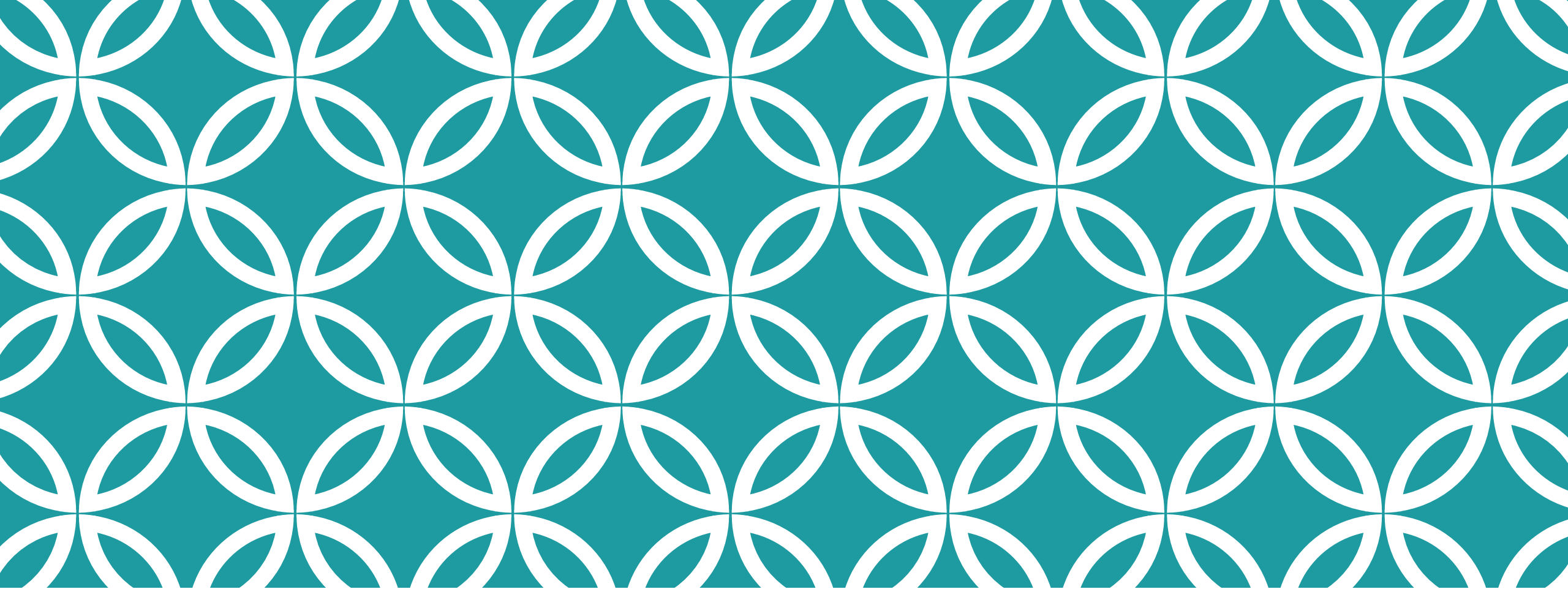


$$\langle S \rangle \neq 0$$
$$M \neq 0$$

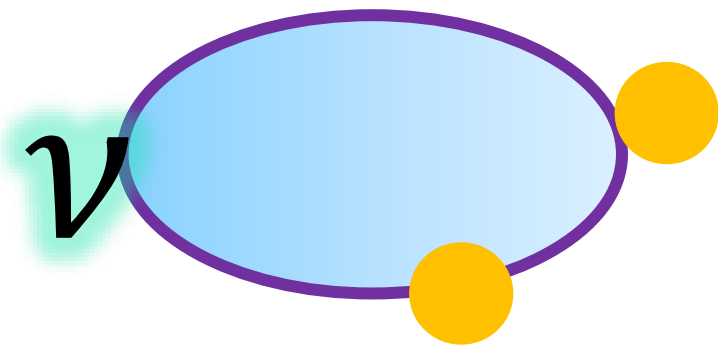


Question

How does a **phase transition** modify standard **leptogenesis** (with sterile neutrinos)?

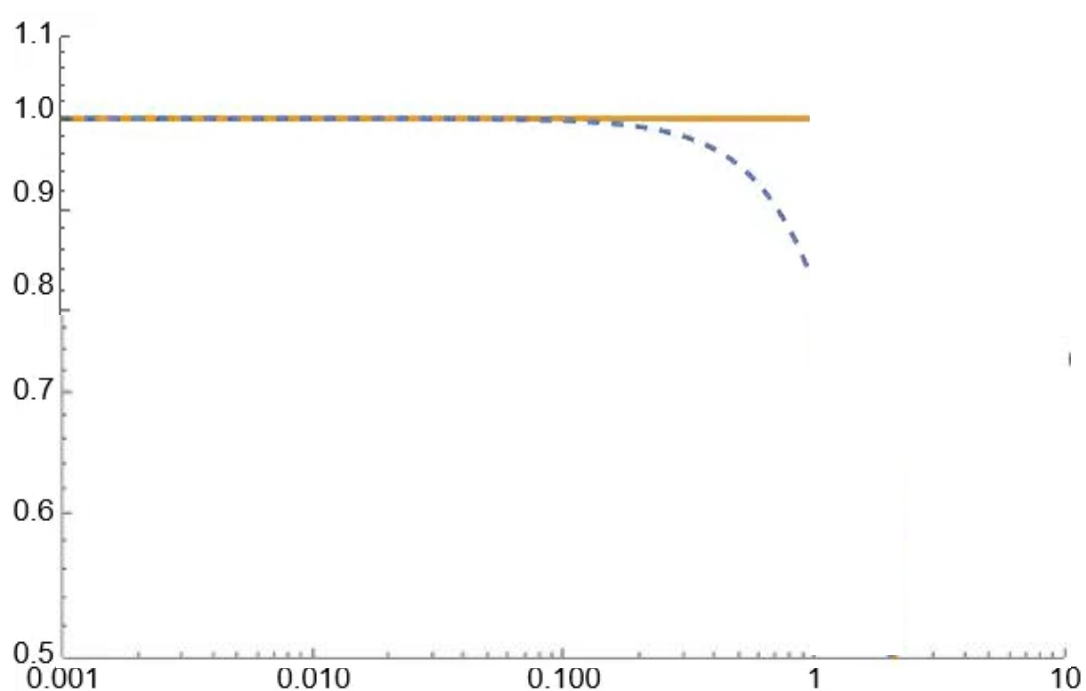


3. STERILE NEUTRINOS WITH TIME-DEPENDENT MASSES

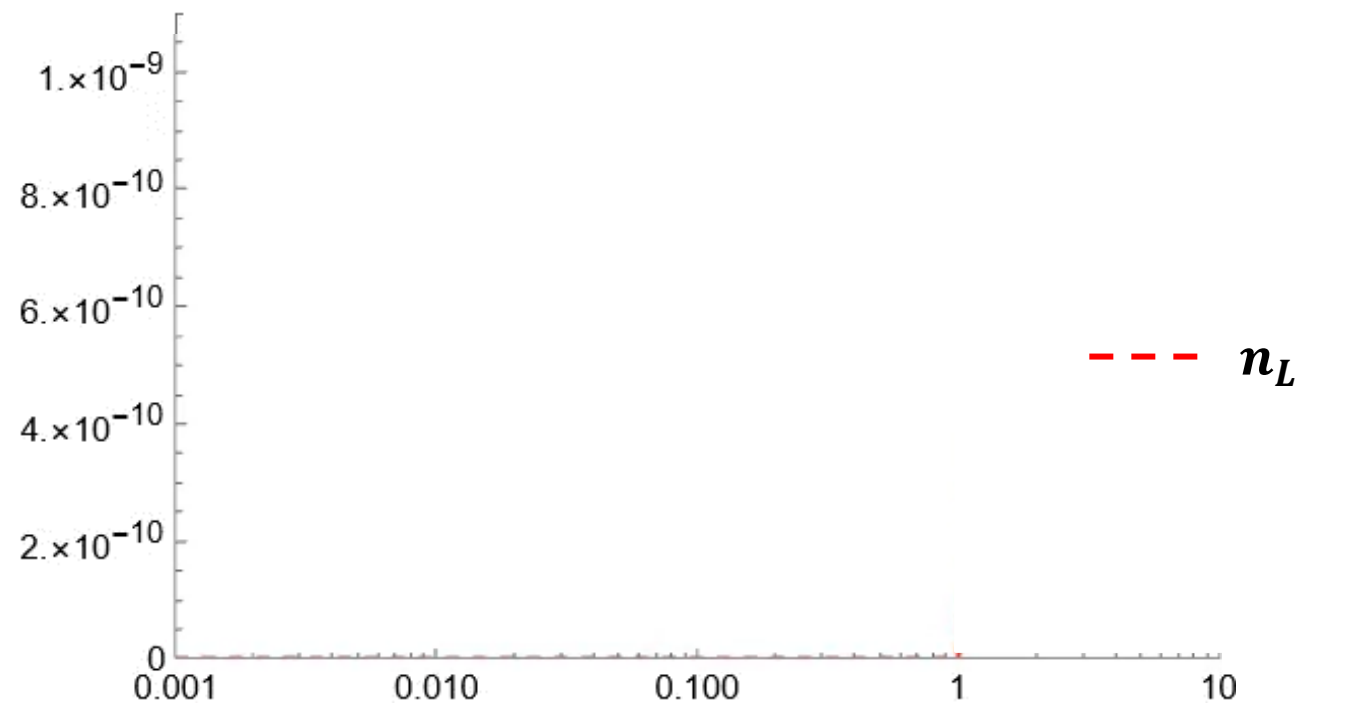


« THERMAL-LIKE »: MASS GAIN MECHANISM

After the phase transition, sterile neutrinos become **very massive** ($M \gg T_n$), are (suddenly) out-of-equilibrium and **quickly decay**.



$$z = M/T$$

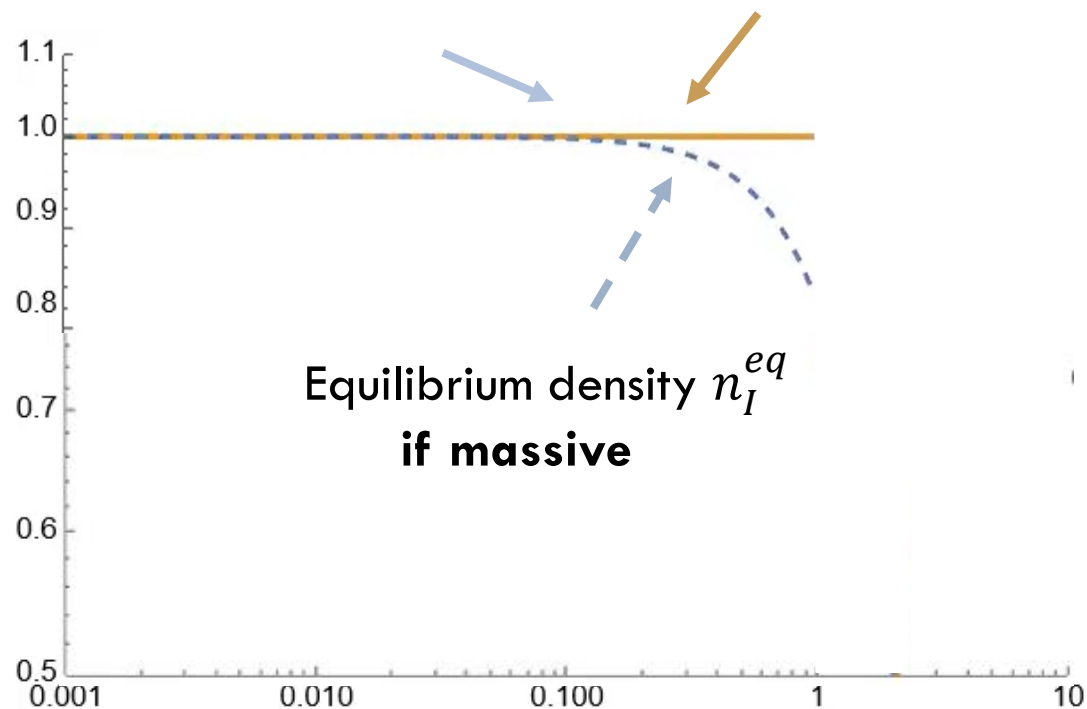


$$z = M/T$$

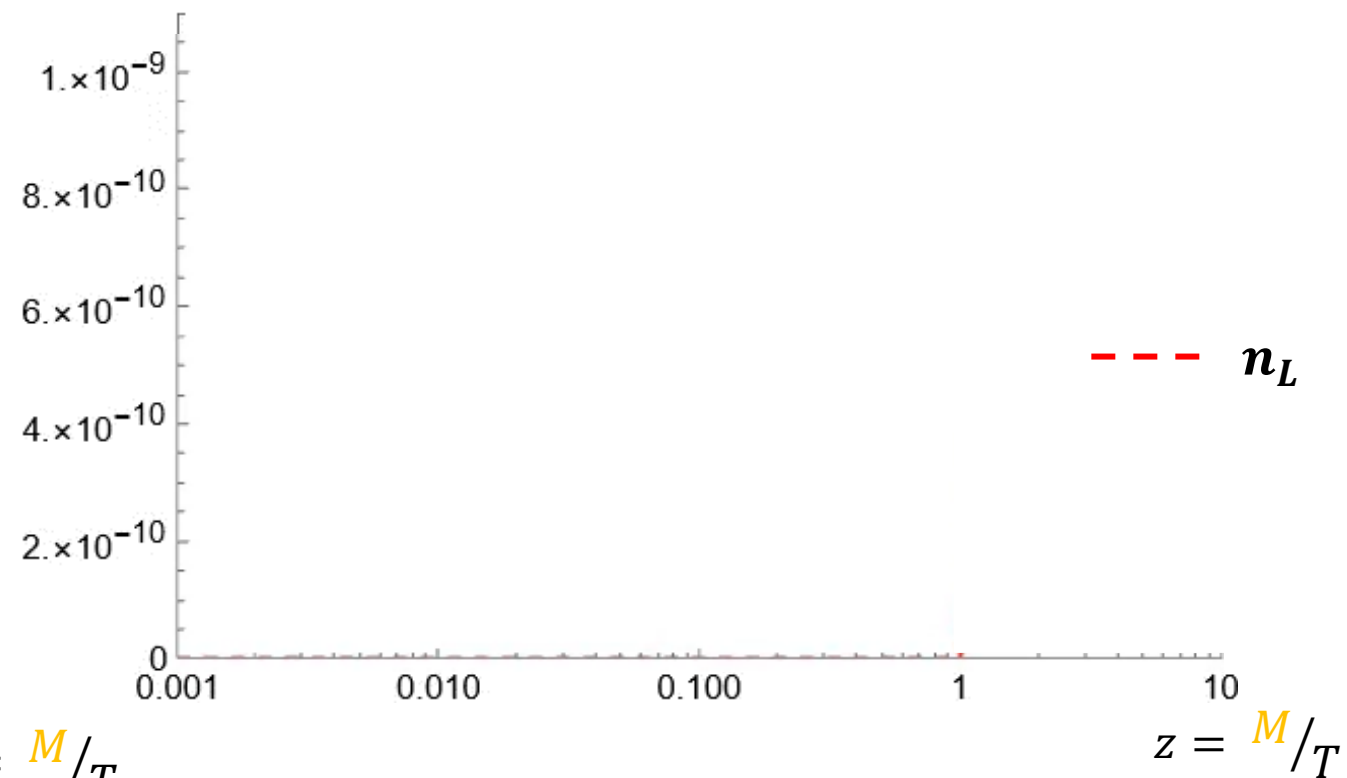
« THERMAL-LIKE »: MASS GAIN MECHANISM

After the phase transition, sterile neutrinos become **very massive** ($M \gg T_n$), are (suddenly) out-of-equilibrium and **quickly decay**.

massless equilibrium n_I^{eq} = Actual sterile neutrino density n_I



$$z = M/T$$

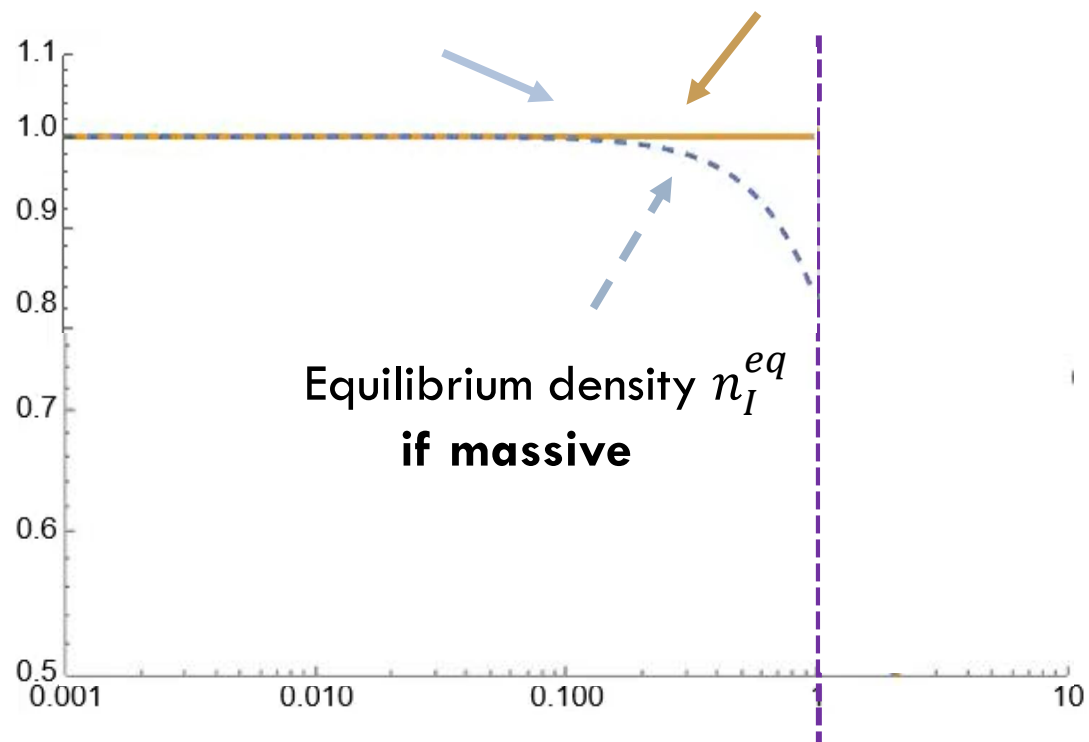


$$z = M/T$$

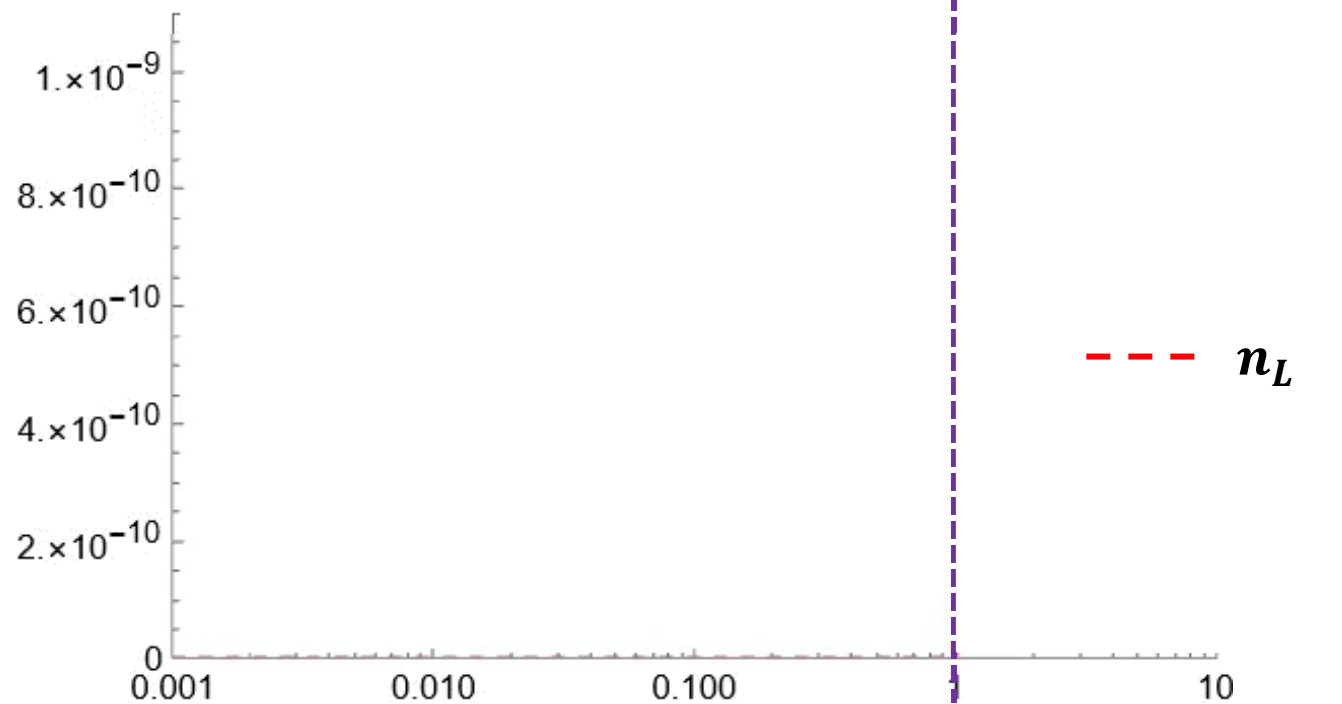
« THERMAL-LIKE »: MASS GAIN MECHANISM

After the phase transition, sterile neutrinos become **very massive** ($M \gg T_n$), are (suddenly) out-of-equilibrium and **quickly decay**.

massless equilibrium n_I^{eq} = Actual sterile neutrino density n_I



Phase transition $z = M/T$

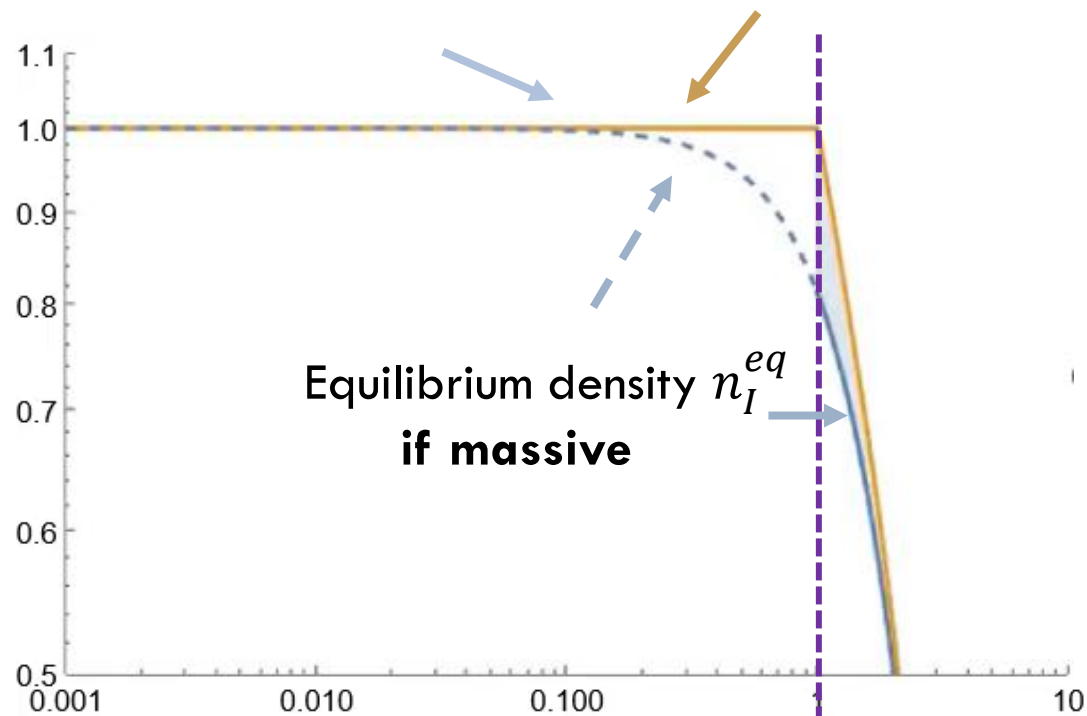


Phase transition $z = M/T$

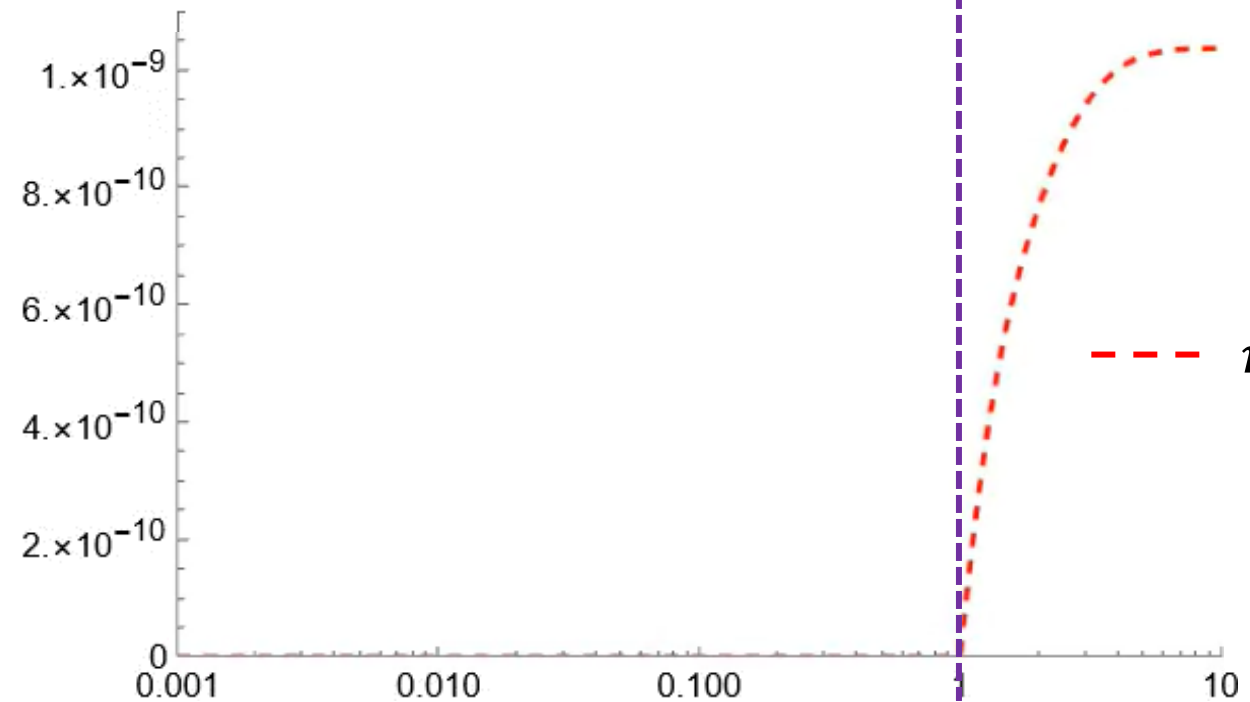
« THERMAL-LIKE »: MASS GAIN MECHANISM

After the phase transition, sterile neutrinos become **very massive** ($M \gg T_n$), are (suddenly) out-of-equilibrium and **quickly decay**.

massless equilibrium n_I^{eq} = Actual sterile neutrino density n_I

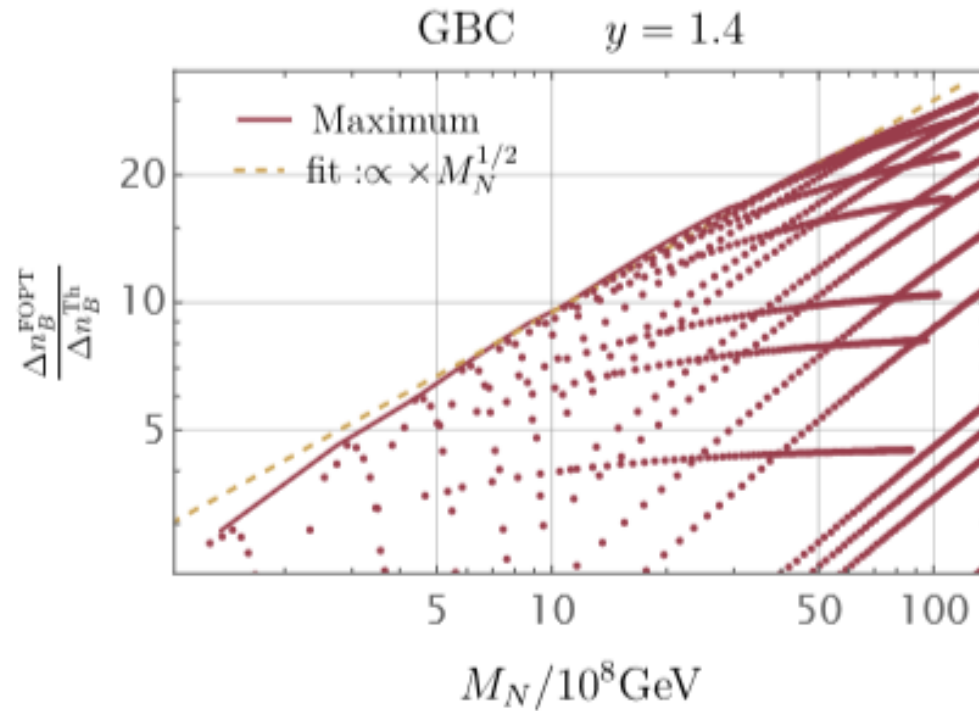
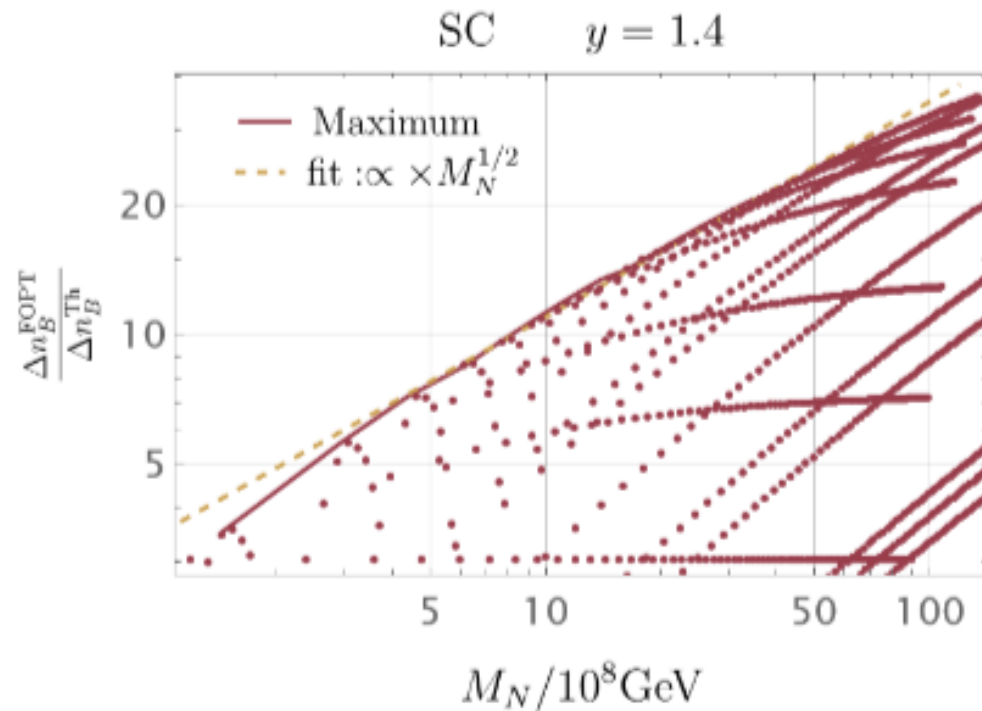


Phase transition $z = M/T$



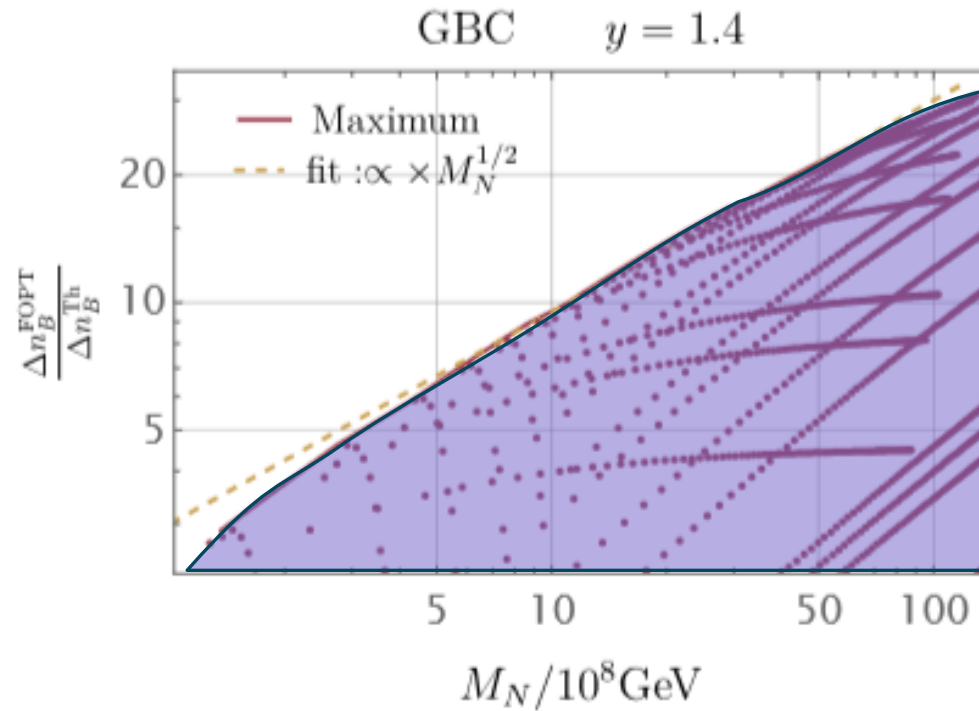
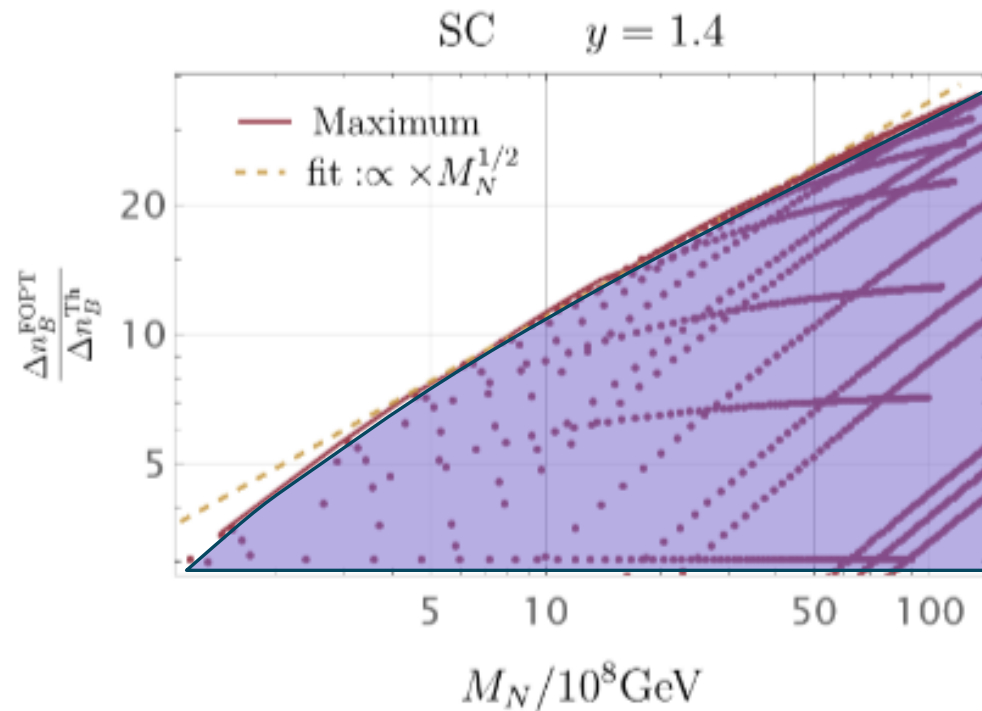
Phase transition $z = M/T$


Comparison of thermal leptogenesis with/without a phase transition



Adapted from
[Chun, Dutka, Jung, Nagels, Vanvlasselaer, '23]

Comparison of thermal leptogenesis with/without a phase transition



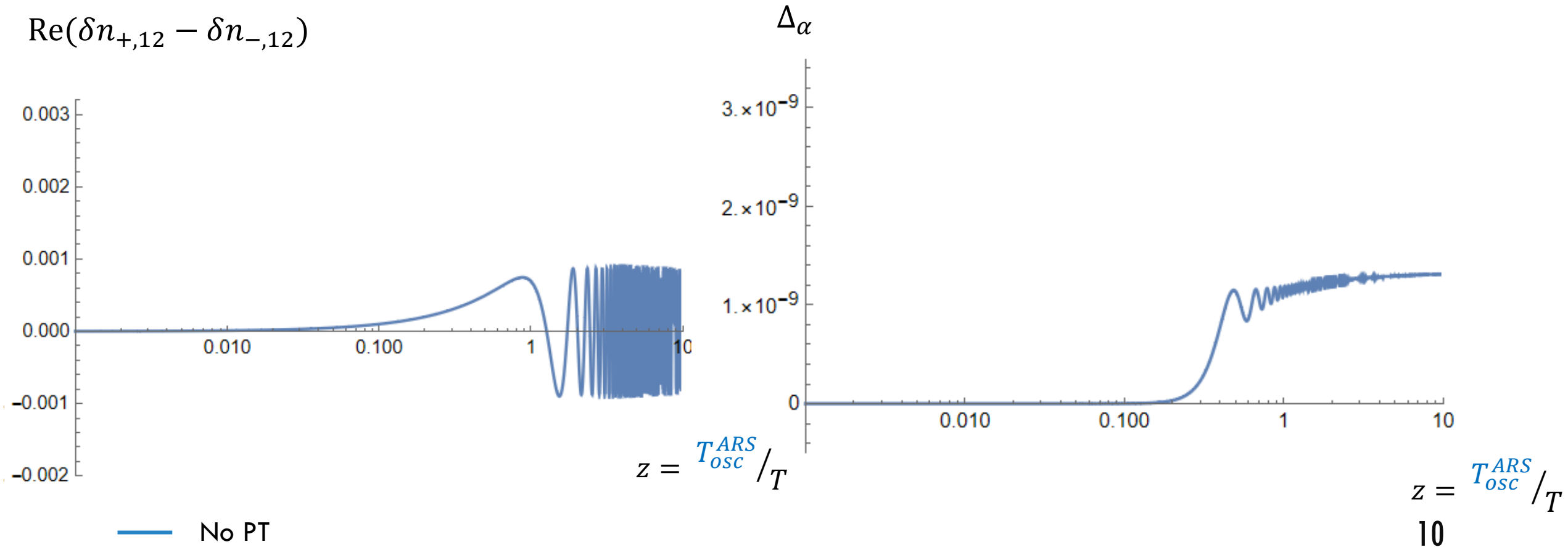
 More asymmetry produced in the phase transition scenario for $M_N > 10^8 \text{ GeV}$

Adapted from
[Chun, Dutka, Jung, Nagels, Vanvlasselaer, '23]

« ARS-LIKE »: STERILE NEUTRINO OSCILLATIONS

[RF, Lavignac,
2504.XXXXX]

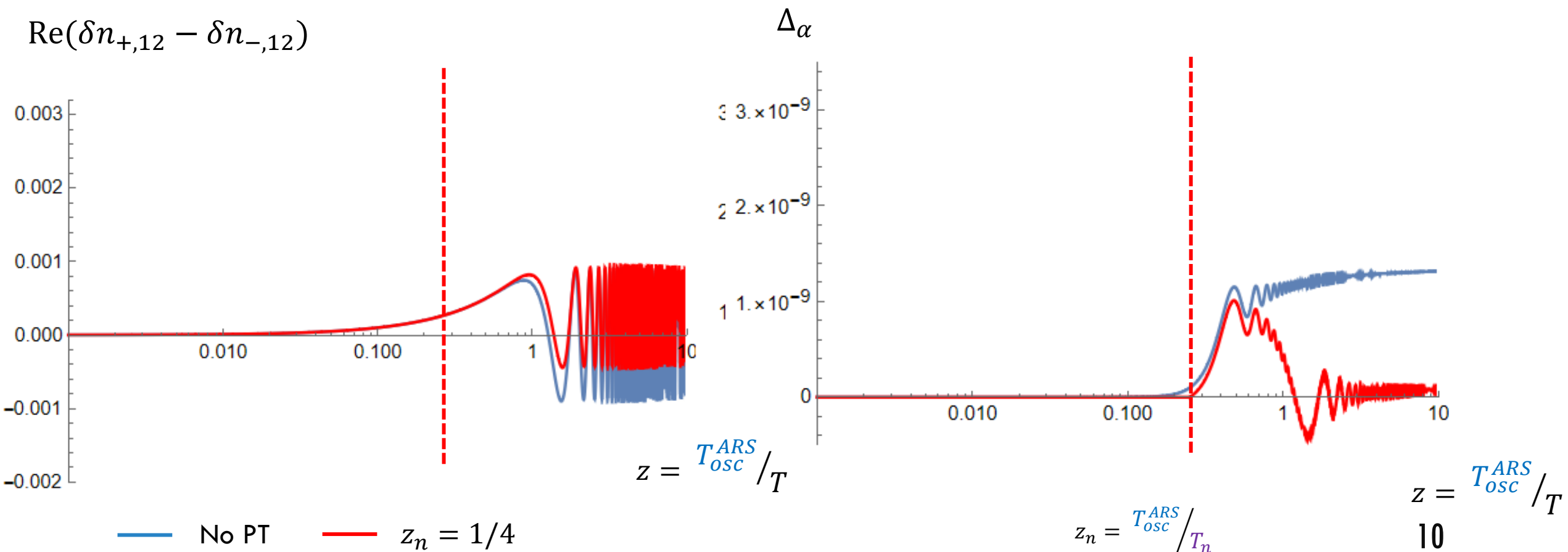
Initially, sterile neutrinos are **absent** in the plasma and **massless**. They **cannot undergo vacuum oscillations**, before the PT: oscillations are frustrated.



« ARS-LIKE »: STERILE NEUTRINO OSCILLATIONS

[RF, Lavignac,
2504.XXXXX]

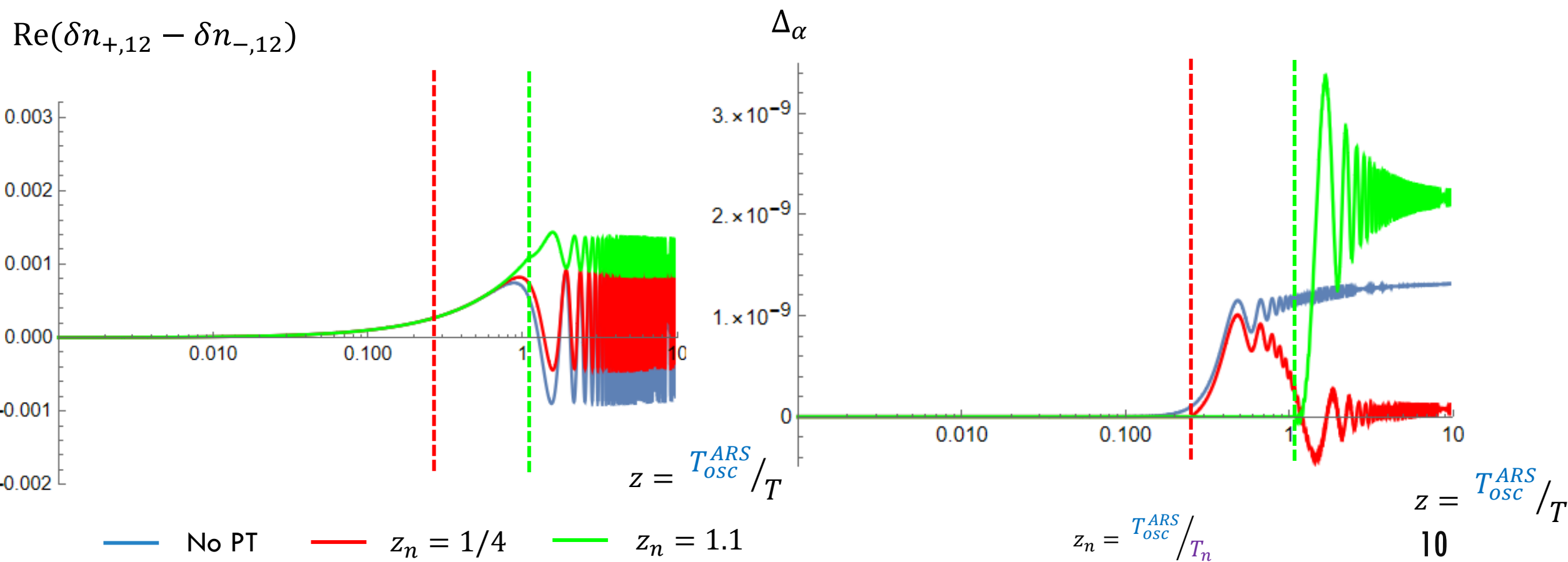
Initially, sterile neutrinos are **absent** in the plasma and **massless**. They **cannot undergo vacuum oscillations**, before the PT: oscillations are frustrated.



« ARS-LIKE »: STERILE NEUTRINO OSCILLATIONS

[RF, Lavignac,
2504.XXXXX]

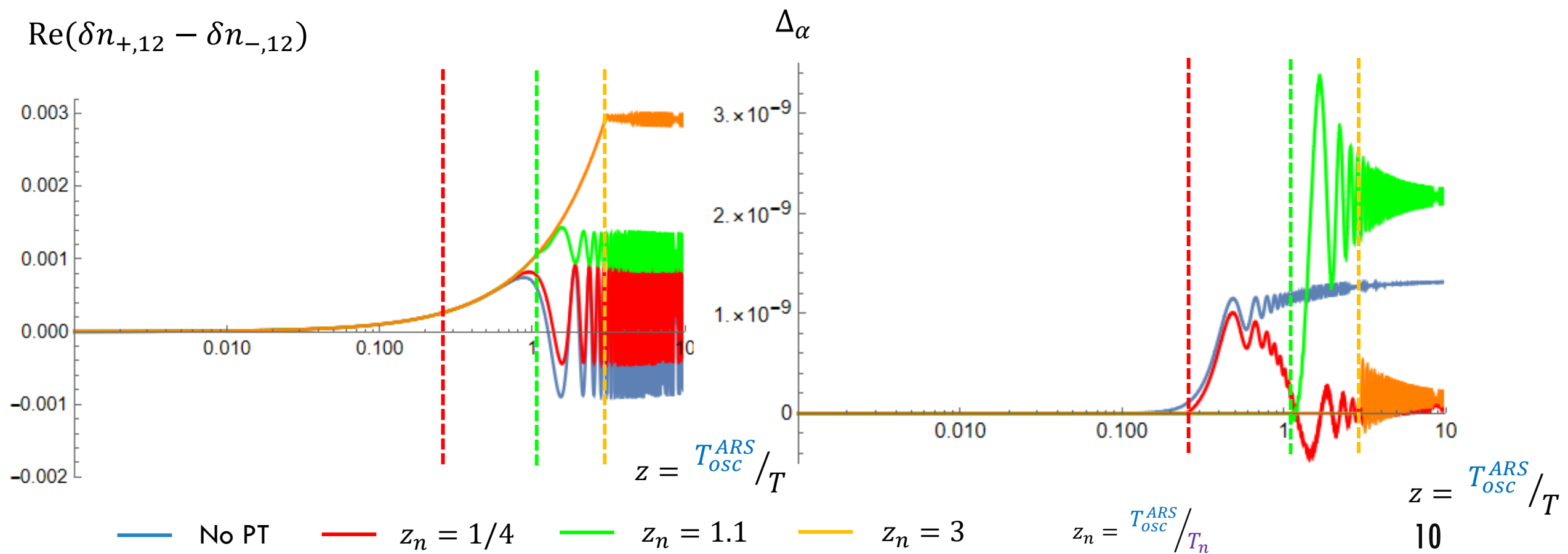
Initially, sterile neutrinos are **absent** in the plasma and **massless**. They **cannot undergo vacuum oscillations**, before the PT: oscillations are frustrated.



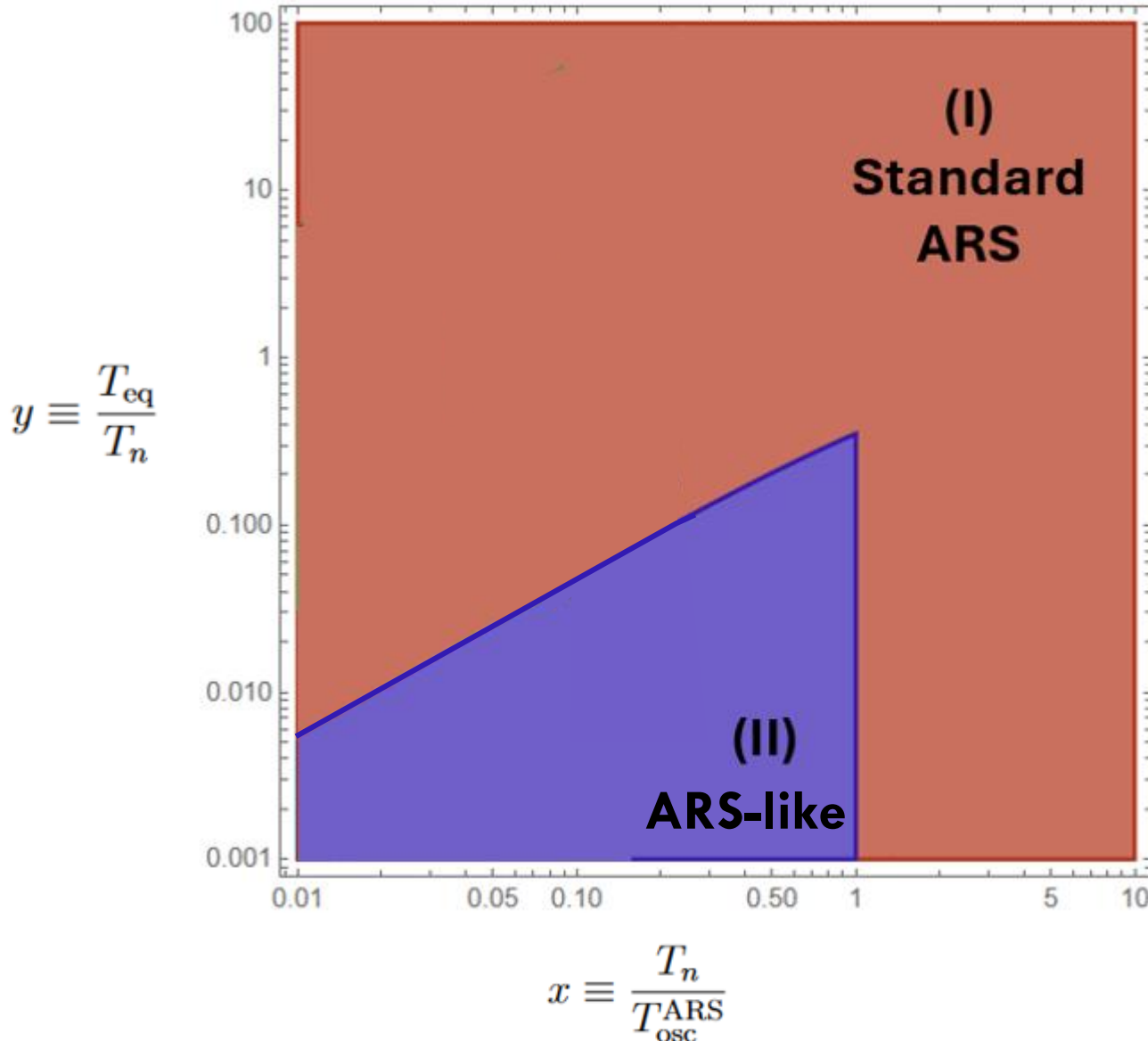
« ARS-LIKE »: STERILE NEUTRINO OSCILLATIONS

[RF, Lavignac,
2504.XXXXX]

Initially, sterile neutrinos are **absent** in the plasma and **massless**. They **cannot undergo vacuum oscillations**, before the PT: oscillations are frustrated.



Comparison of ARS **without (I)** and **with (II)** a phase transition



$$T_{osc}^{ARS} = \text{oscillation temperature} \\ \equiv (a_R (M_2^2 - M_1^2))^{1/3}$$

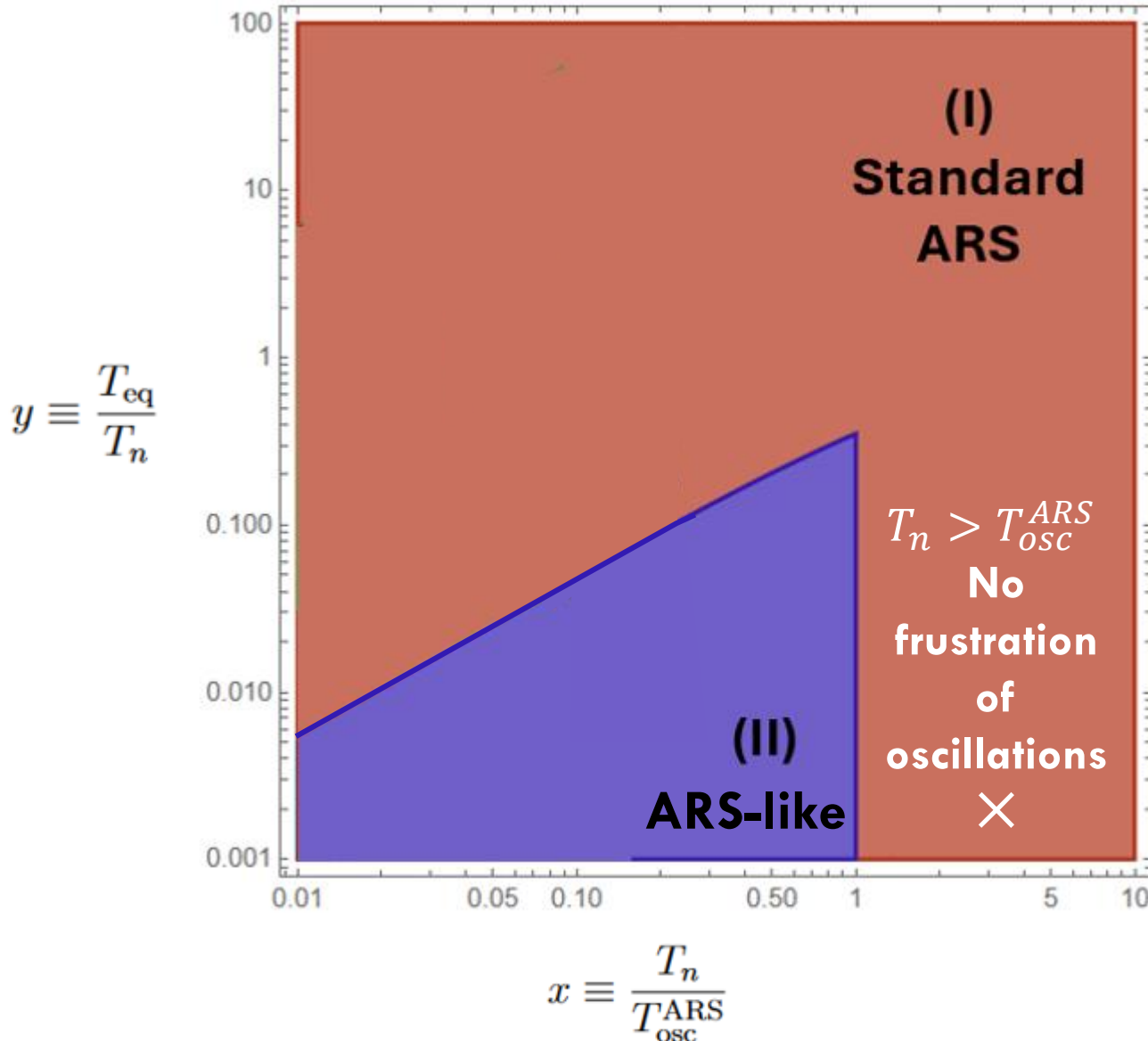
$$a_R \simeq 7 \times 10^{17} \text{ GeV}$$

$$T_{eq} = \text{equilibration temperature}$$

$$\equiv \Gamma^{-1} = (a_R \gamma_+ (Y Y^\dagger))^{-1}$$

$$\gamma_+ \simeq 0.012$$

Comparison of ARS **without** (I) and **with** (II) a phase transition



$$T_{osc}^{ARS} = \text{oscillation temperature} \\ \equiv (a_R (M_2^2 - M_1^2))^{1/3}$$

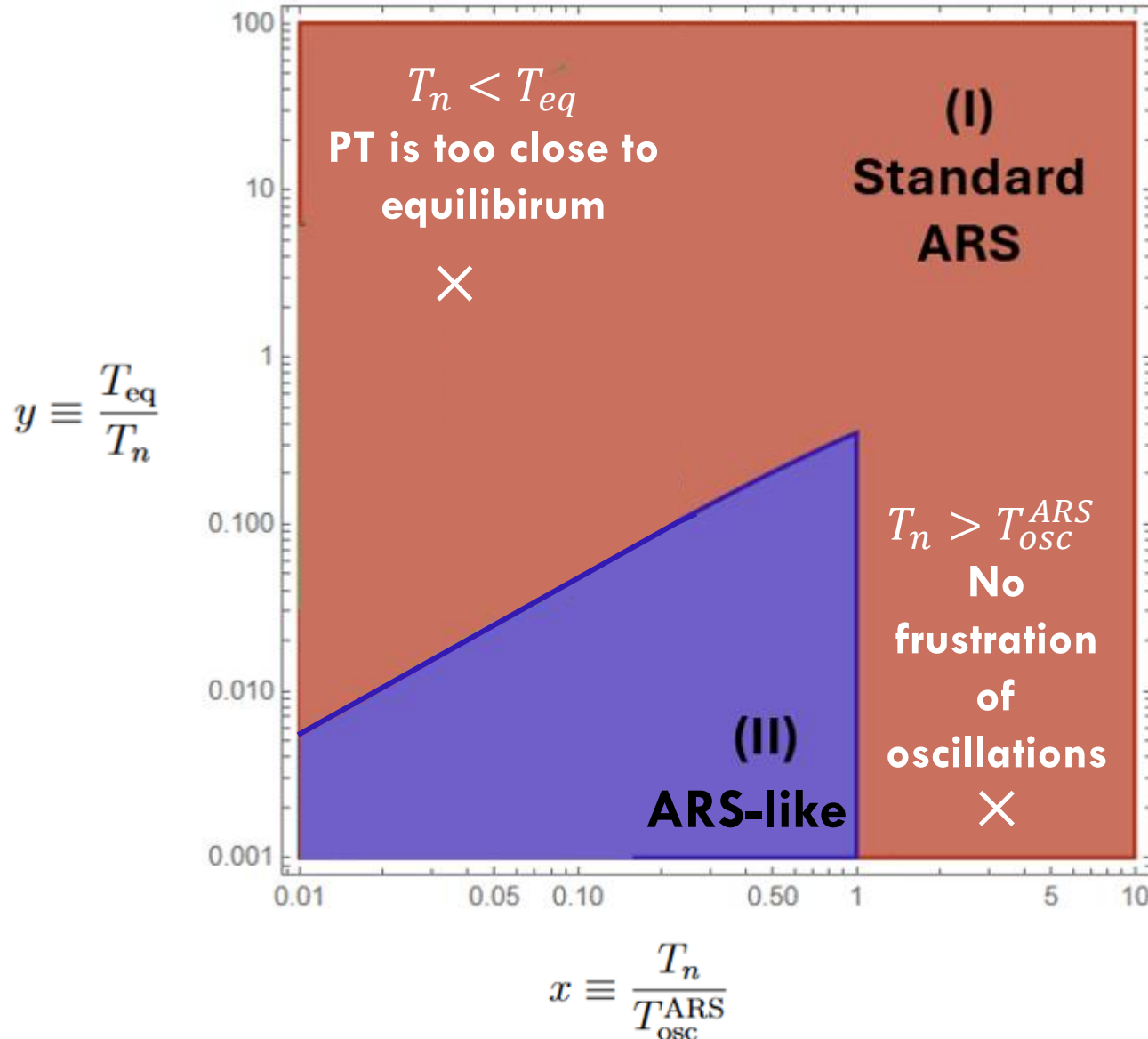
$$a_R \simeq 7 \times 10^{17} \text{ GeV}$$

$$T_{eq} = \text{equilibration temperature}$$

$$\equiv \Gamma^{-1} = (a_R \gamma_+ (Y Y^\dagger))^{-1}$$

$$\gamma_+ \simeq 0.012$$

Comparison of ARS **without** (I) and **with** (II) a phase transition



$$T_{osc}^{ARS} = \text{oscillation temperature} \\ \equiv (a_R (M_2^2 - M_1^2))^{1/3}$$

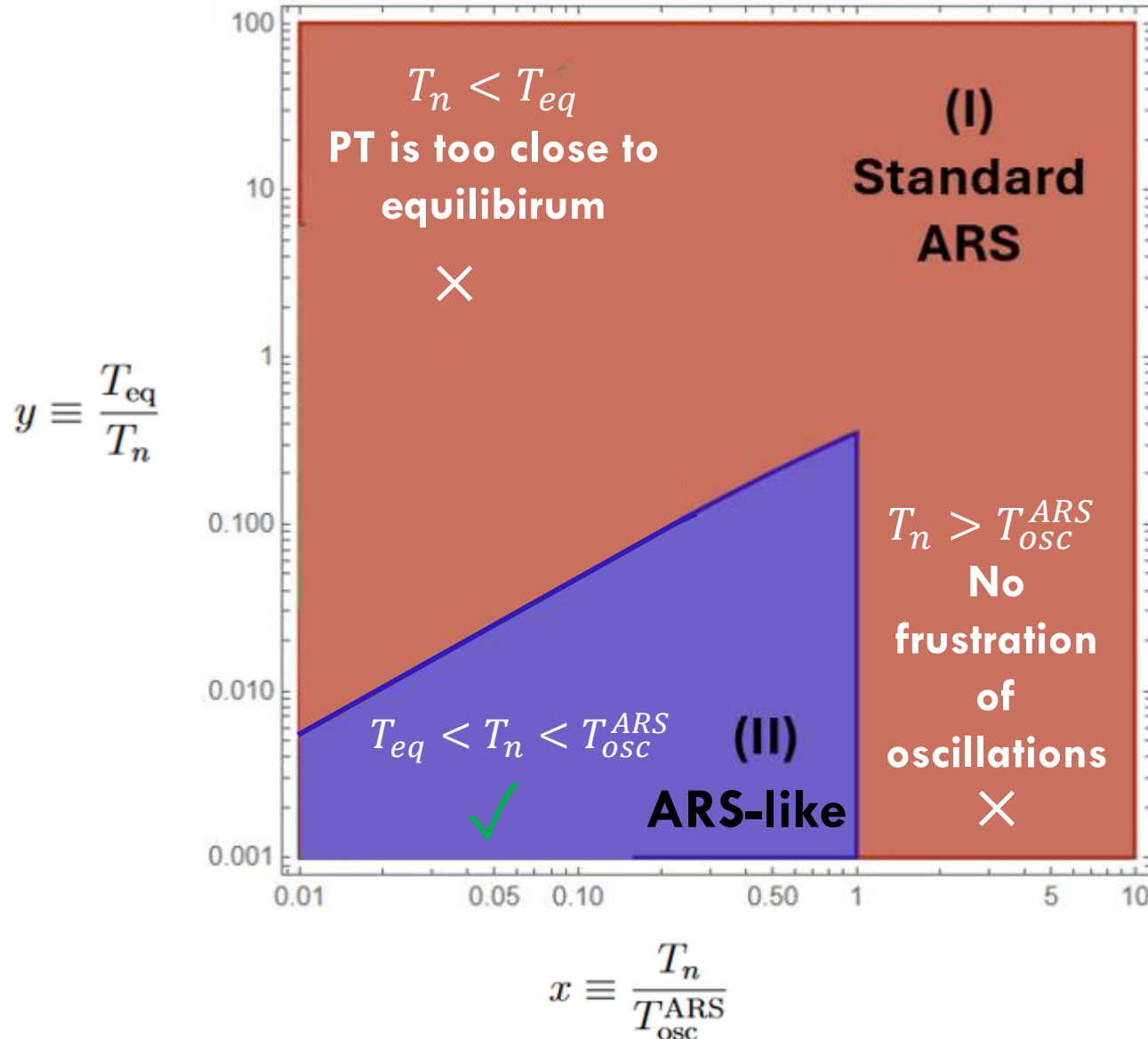
$$a_R \simeq 7 \times 10^{17} \text{ GeV}$$

$$T_{eq} = \text{equilibration temperature}$$

$$\equiv \Gamma^{-1} = (a_R \gamma_+ (Y Y^\dagger))^{-1}$$

$$\gamma_+ \simeq 0.012$$

Comparison of ARS **without** (I) and **with** (II) a phase transition



$$T_{osc}^{ARS} = \text{oscillation temperature} \\ \equiv (a_R (M_2^2 - M_1^2))^{1/3}$$

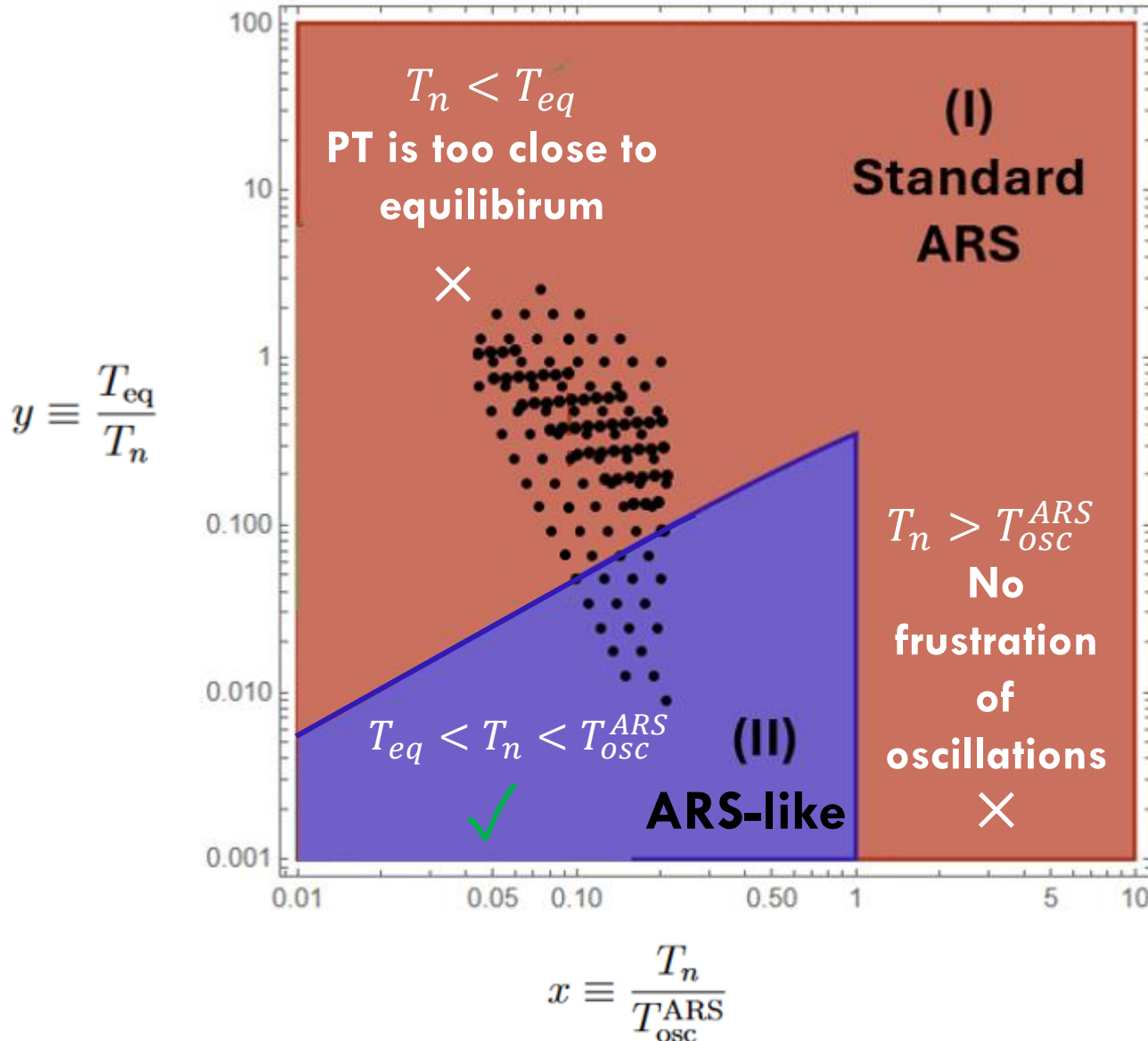
$$a_R \simeq 7 \times 10^{17} \text{ GeV}$$

$$T_{eq} = \text{equilibration temperature}$$

$$\equiv \Gamma^{-1} = (a_R \gamma_+ (Y Y^\dagger))^{-1}$$

$$\gamma_+ \simeq 0.012$$

Comparison of ARS **without** (I) and **with** (II) a phase transition



- Successful leptogenesis (full numerical study **with** a PT)

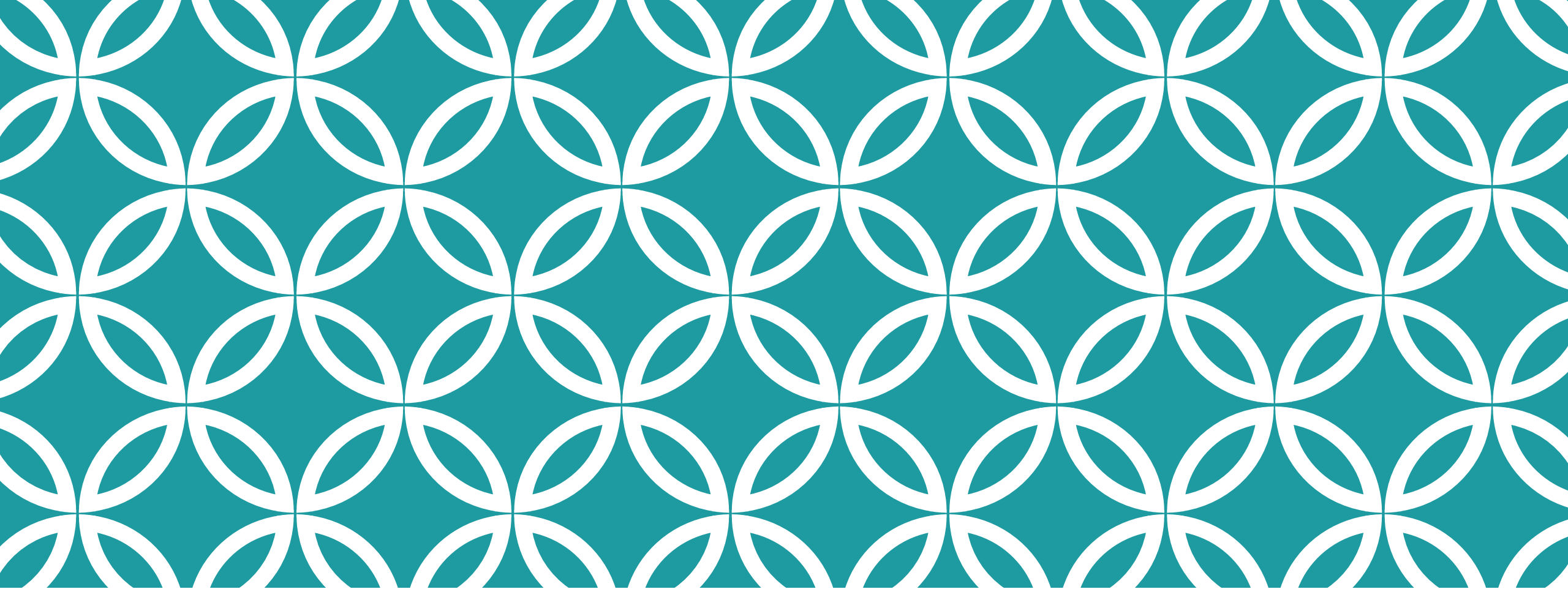
$$T_{osc}^{ARS} = \text{oscillation temperature} \equiv (a_R (M_2^2 - M_1^2))^{1/3}$$

$$a_R \simeq 7 \times 10^{17} \text{ GeV}$$

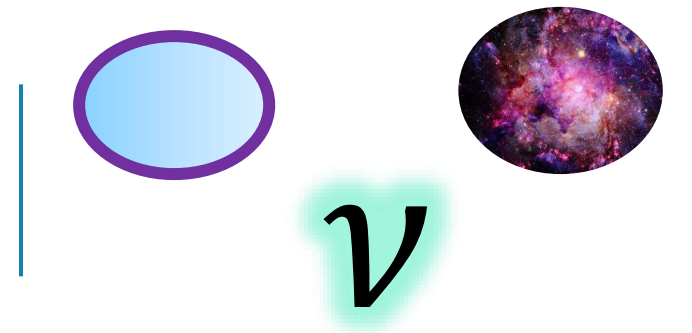
$$T_{eq} = \text{equilibration temperature}$$

$$\equiv \Gamma^{-1} = (a_R \gamma_+ (Y Y^\dagger))^{-1}$$

$$\gamma_+ \simeq 0.012$$

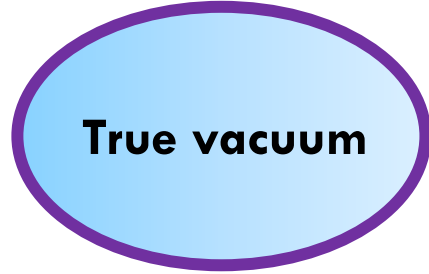


SUMMARY AND CONCLUSION



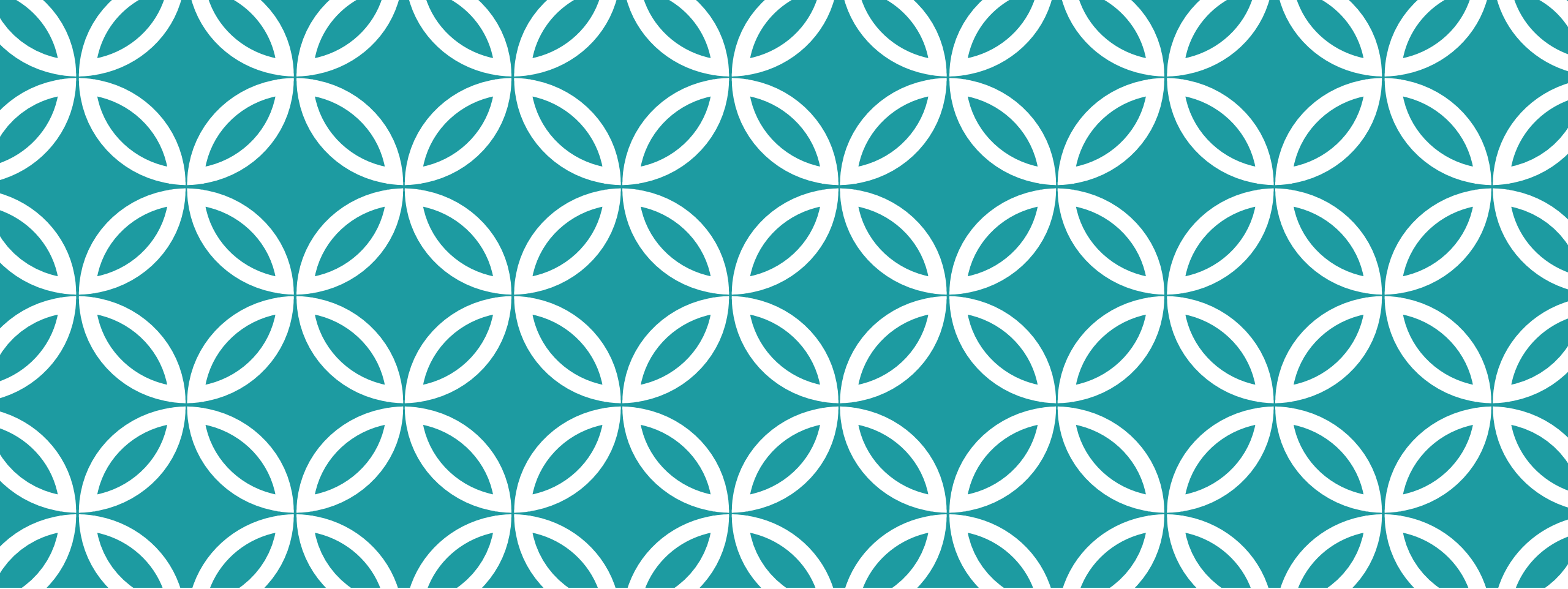
Question

- Leptogenesis: relates cosmological observations $\eta_B \equiv \frac{n_B}{n_\gamma}$ to properties of particles (sterile neutrinos)
- Connects to Gravitational Waves detection if involves a phase transition
- Phase transitions can enhance the asymmetry production and allow a larger parameter space



Thank you for your attention!





BACK-UP SLIDES

Baryon-to-photon ratio $\eta_B \equiv \frac{n_B}{n_\gamma} = (6.13 \pm 0.04) \times 10^{-10} \simeq \frac{n_B - \bar{n}_B}{n_\gamma}$
[Planck2018]

Sakharov conditions for generation of matter-antimatter asymmetry (1967):

- **Baryon/Lepton number violation**
- **C (Charge) and CP (Charge-Parity) violation**
- **Out of Equilibrium**



Andrei Sakharov

$$M_I \bar{N}_I^c N_I \rightarrow \lambda_I S \bar{N}_I^c N_I$$

At the nucleation temperature T_n

False vacuum

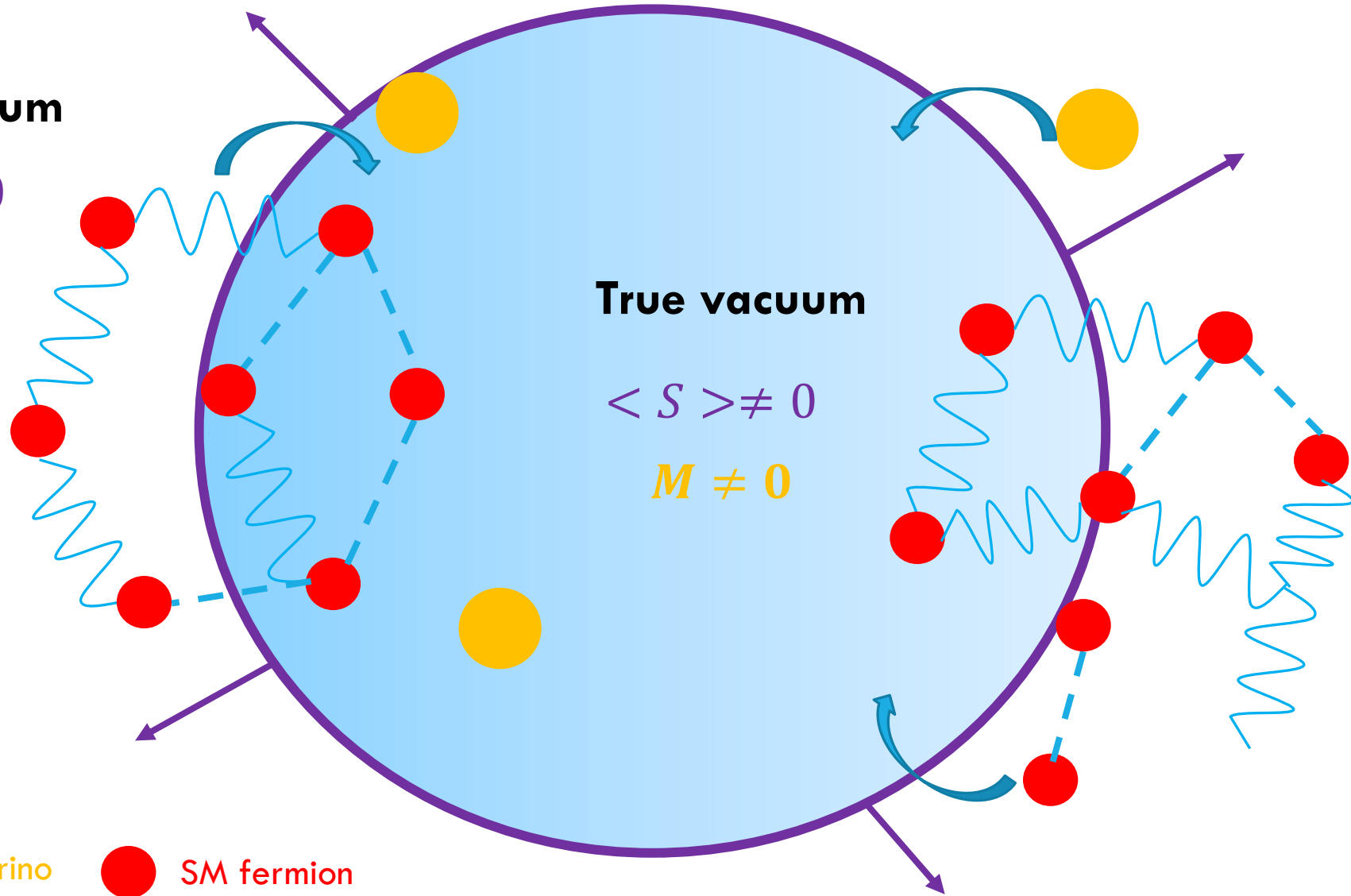
$$\langle S \rangle = 0$$

$$M = 0$$

True vacuum

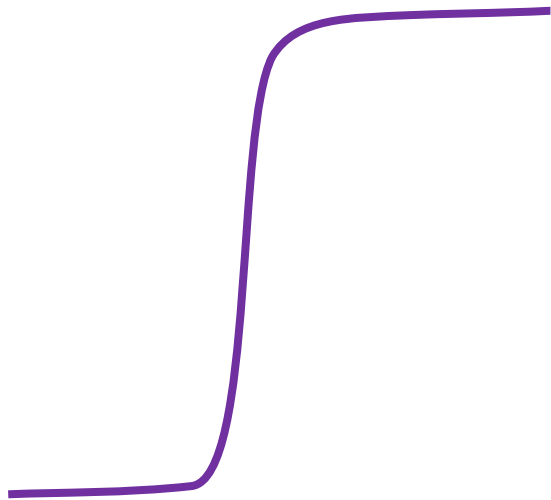
$$\langle S \rangle \neq 0$$

$$M \neq 0$$



During the **phase-transition**, the masses of the sterile neutrinos are **time dependent**. All quantities will have an explicit time dependence along the **wall**.

$\langle S \rangle (t)$



$$M_I = \lambda_{NS}^I \langle S \rangle (t) = M_I(t)$$

Aside: parameter space

$$- 1/2 M_I \bar{N}_I^c N_I - Y_{I\alpha} \bar{N}_I \tilde{\phi}^\dagger l_\alpha$$

- Sterile neutrinos**

Observable at low-energy

+ fix $\delta_{CP} = \frac{3\pi}{2}, \alpha = 0$
 $m_1 = 0$ (normal ordering)

Casas-Ibarra parametrization:

$$Y \equiv \frac{i\sqrt{2}}{\nu} \underbrace{\sqrt{\mathcal{M}}}_{\text{red}} \mathbf{R} \underbrace{\sqrt{m} U_{PMNS}^\dagger}_{\text{green}}$$

[Casas, Ibarra, '01]

Parameters

$$\mathcal{M} = \begin{pmatrix} M_1 & 0 \\ 0 & M_2 \end{pmatrix}$$

$$\mathbf{R} = \begin{pmatrix} 0 & \cos(\hat{\mathbf{z}}) & \sin(\hat{\mathbf{z}}) \\ 0 & -\sin(\hat{\mathbf{z}}) & \cos(\hat{\mathbf{z}}) \end{pmatrix}$$

$$M \equiv \frac{M_1 + M_2}{2}, \Delta M = M_2 - M_1$$

complex angle $\hat{\mathbf{z}}$

- Phase transition**

Nucleation temperature T_n

ADVANTAGES OF A PHASE TRANSITION

Nonequilibrium dynamics

$$\langle S \rangle = \langle S \rangle (t)$$

$$M_I = M_I(t)$$

(3rd Sakharov condition)

Different sterile neutrino constraints

$$M_I^{\text{High } T} \neq M_I^{\text{Low } T}$$

Gives the baryon asymmetry

Related to neutrino data by the Seesaw

$$L = L_{SM} + (i/2 \bar{N}_I \gamma^\mu \partial_\mu N_I - 1/2 M_I \bar{N}_I^c N_I - Y_{I\alpha} \bar{N}_I \tilde{\phi}^\dagger l_\alpha + h.c.)$$



$$L = L_{SM} + L_S + (i/2 \bar{N}_I \gamma^\mu \partial_\mu N_I - 1/2 \lambda_{NS}^I S \bar{N}_I^c N_I - Y_{I\alpha} \bar{N}_I \tilde{\phi}^\dagger l_\alpha + h.c.)$$

$M_I = \lambda_{NS}^I \langle S \rangle$ New dynamics for the sterile sector: **Phase Transition (PT)**



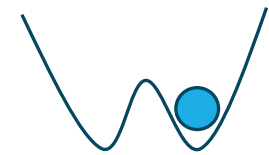
$$\langle S \rangle = 0$$

$$M = 0$$



$$\langle S \rangle \neq 0$$

$$M \neq 0$$

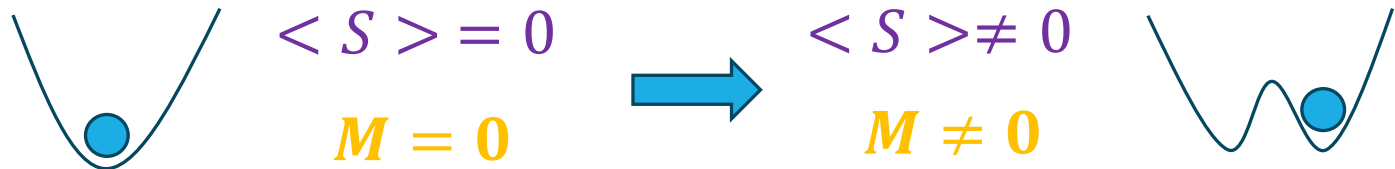


$$L = L_{SM} + (i/2 \bar{N}_I \gamma^\mu \partial_\mu N_I - 1/2 M_I \bar{N}_I^c N_I - Y_{I\alpha} \bar{N}_I \tilde{\phi}^\dagger l_\alpha + h.c.)$$



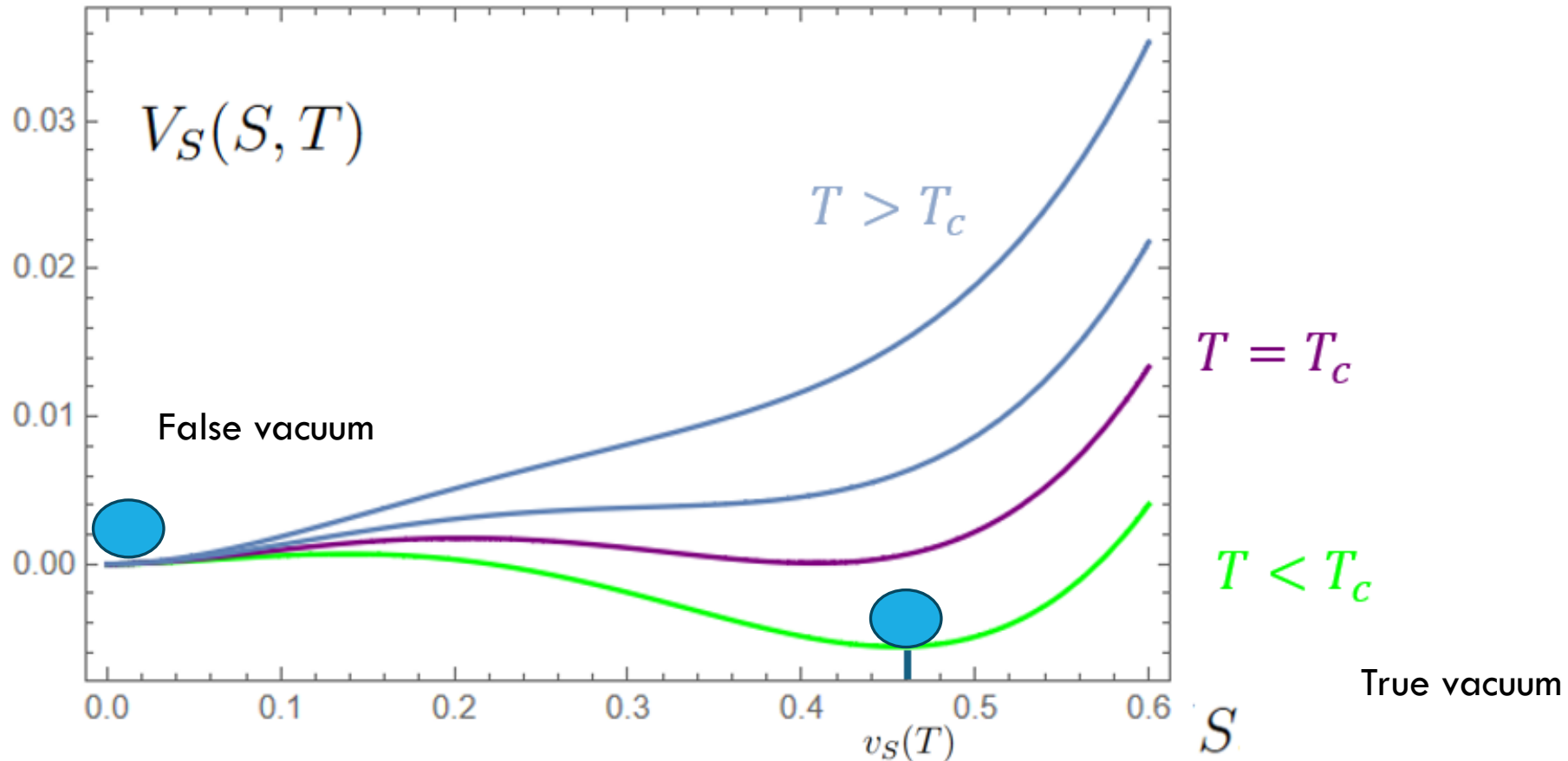
$$L = L_{SM} + L_S + (i/2 \bar{N}_I \gamma^\mu \partial_\mu N_I - 1/2 \lambda_{NS}^I S \bar{N}_I^c N_I - Y_{I\alpha} \bar{N}_I \tilde{\phi}^\dagger l_\alpha + h.c.)$$

$M_I = \lambda_{NS}^I \langle S \rangle$ New dynamics for the sterile sector: **Phase Transition (PT)**



A **(first-order) phase transition** happens through the nucleation of bubbles of true vacuum, at a certain **nucleation temperature T_n** .

$L_S = (\partial S)^2 - V_S(S, T)$ Scalar potential V_S is responsible for the phase transition



Transition happens through the nucleation of bubbles of true vacuum, at a certain **nucleation temperature** T_n .