October 2025

Slowly rotating black holes in scalar-tensor theories of gravity

Hugo CANDAN^{1,2}

 ^{1}LUX

Observatoire de Paris, Sorbonne Université, CNRS

²LICLab

Université Paris-Saclav, CNRS/IN2P3

In collaboration with Karim NOUI and David LANGLOIS (paper available soon)















Motivations

More and more tests of gravity will come from black holes in the following years.

- LIGO-Virgo-KAGRA collaboration
- Event Horizon Telescopee
- In the future : LISA
- In the future : Einstein Telescope ?



Figure: M87* by the Event Horizon Telescope

Motivations

More and more tests of gravity will come from black holes in the following years.

- LIGO-Virgo-KAGRA collaboration
- Event Horizon Telescopee
- In the future : LISA
- In the future : Einstein Telescope ?



Figure: M87* by the Event Horizon Telescope

What are the predictions of modified gravity theories on black holes?

Modified gravity theories

There exists a large landscape of modifications of General Relativity.

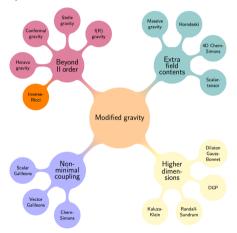


Figure: Landscape of modified gravity theories

Modified gravity theories

There exists a large landscape of modifications of General Relativity.

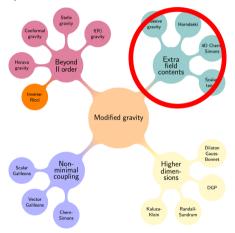


Figure: Landscape of modified gravity theories

Scalar-tensor theories

Definition (Scalar-tensor theories)

Theory of gravity described by both the **metric field** $g_{\mu\nu}$, and a **scalar field** ϕ .

$$S = S_g[g_{\mu\nu}, \phi] + S_m[g_{\mu\nu}, \psi_m] \tag{1}$$

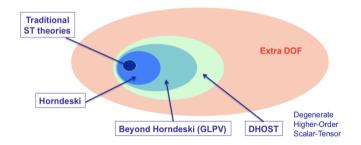


Figure: Landscape of scalar-tensor theories

DHOST theories

Definition (DHOST theories)

Degenerated Higher-Order Scalar-Tensor theories: most general scalar-tensor lagrangian that propagates 3 degrees of freedom: 2 tensorial modes + 1 scalar mode (no Ostrogadski ghost). [Langlois and Noui, 2016]

$$\mathcal{L} = F_2 R + P + Q \Box \phi + A_1 \phi_{\mu\nu} \phi^{\mu\nu} + A_2 \Box \phi^2 + \dots + F_3 G^{\mu\nu} \phi_{\mu\nu} + B_1 \Box \phi^3 + \dots$$
 (2)

With $\phi_{\mu} = \nabla_{\mu}\phi$, $\phi_{\mu\nu} = \nabla_{\mu}\nabla_{\nu}\phi$, and $X = -\frac{1}{2}\phi_{\mu}\phi^{\mu}$. It depends on **functions** of ϕ and $X : F_2(\phi, X)$, $F_3(\phi, X)$, $A_1(\phi, X)$, $B_1(\phi, X)$, ...

DHOST theories

Definition (DHOST theories)

Degenerated Higher-Order Scalar-Tensor theories: most general scalar-tensor lagrangian that propagates 3 degrees of freedom: 2 tensorial modes + 1 scalar mode (no Ostrogadski ghost). [Langlois and Noui, 2016]

$$\mathcal{L} = F_2 R + P + Q \Box \phi + A_1 \phi_{\mu\nu} \phi^{\mu\nu} + A_2 \Box \phi^2 + \dots + F_3 G^{\mu\nu} \phi_{\mu\nu} + B_1 \Box \phi^3 + \dots$$
 (2)

With $\phi_{\mu} = \nabla_{\mu}\phi$, $\phi_{\mu\nu} = \nabla_{\mu}\nabla_{\nu}\phi$, and $X = -\frac{1}{2}\phi_{\mu}\phi^{\mu}$. It depends on **functions** of ϕ and $X : F_2(\phi, X)$, $F_3(\phi, X)$, $A_1(\phi, X)$, $B_1(\phi, X)$, ...

Beware

These functions are **not independant**, and must satisfy degeneracy conditions to avoid Ostrogradski instabilities. (Example : $A_1 = -A_2$)

Black holes in DHOST theories

Static black holes

Many static spherically symetric black hole solutions are known.

$$ds^{2} = -h(r)dt^{2} + \frac{dr^{2}}{f(r)} + r^{2}\left(d\theta^{2} + \sin^{2}(\theta)d\varphi^{2}\right) \quad ; \quad \phi = qt + \psi(r)$$
(3)

Problem

Very few rotating black hole solutions are known.

- Stealth Kerr (Kerr metric + non trivial scalar field)
- Disformed Kerr (transformation of stealth Kerr)

It appears to be very difficult to solve the equations of motion in the general axisymmetric case for rotating black holes.

Hartle-Thorne formalism

Approach : find approximate rotating metrics for slowly rotating black holes. Expand at linear order in the angular momentum J.

Hartle-Thorne ansatz

Ansatz for a rotating metric at linear order in J. [Hartle, 1967][Hartle and Thorne, 1968]

$$ds^{2} = -h(r)dt^{2} + \frac{dr^{2}}{f(r)} + r^{2}\left(d\theta^{2} + \sin^{2}(\theta)d\varphi^{2}\right) - 2\omega(r)r^{2}\sin^{2}(\theta)dtd\varphi \tag{4}$$

- The unknown is $\omega(r)$, frame dragging at radius r, proportional to J.
- f(r) and h(r) are unchanged compared to the static solution at linear order in J.
- The scalar field ϕ is also unchanged at linear order in J.

For Kerr spacetime, we have $\omega(r)=\frac{2J}{r^3}.$

Solving procedure

We want to solve for $\omega(r)$. Standard procedure is the following.

- 1. Inject the ansatz (4) in the equations of motion $\mathcal{E}_{\mu\nu}=\frac{1}{\sqrt{-g}}\frac{\delta S}{\delta g^{\mu\nu}}$.
- 2. Expand at linear order in $\omega(r)$.
- 3. Equations \mathcal{E}_{tt} , \mathcal{E}_{rr} , $\mathcal{E}_{\theta\theta}$, and $\mathcal{E}_{\varphi\varphi}$ don't depend on ω . It gives back the static solution for f(r), h(r), $\psi(r)$.
- 4. Equation $\mathcal{E}_{t\varphi}$ gives a **second order linear differential equation** in $\omega(r)$. Inject the static solution to solve for $\omega(r)$.

Solving procedure

We want to solve for $\omega(r)$. Standard procedure is the following.

- 1. Inject the ansatz (4) in the equations of motion $\mathcal{E}_{\mu\nu}=\frac{1}{\sqrt{-g}}\frac{\delta S}{\delta g^{\mu\nu}}$.
- 2. Expand at linear order in $\omega(r)$.
- 3. Equations \mathcal{E}_{tt} , \mathcal{E}_{rr} , $\mathcal{E}_{\theta\theta}$, and $\mathcal{E}_{\varphi\varphi}$ don't depend on ω . It gives back the static solution for f(r), h(r), $\psi(r)$.
- 4. Equation $\mathcal{E}_{t\varphi}$ gives a second order linear differential equation in $\omega(r)$. Inject the static solution to solve for $\omega(r)$.

However, we have found that a linear combination of those equations gives a simpler equation for $\omega(r)$.

Using symmetry to solve for $\omega(r)$

The idea is to exploit a shift symmetry of the frame dragging.

$$\omega(r) \to \omega(r) + \omega_0 \iff \varphi \to \varphi - \omega_0 t$$
 (5)

From this symmetry, the following can be shown.

$$\mathcal{E}_{t\varphi} + \omega \mathcal{E}_{\varphi\varphi} = \frac{h}{2\sqrt{-g}} \sin^3(\theta) \frac{\mathrm{d}}{\mathrm{d}r} \Big[Q(r)\omega'(r) \Big]$$
 (6)

 $\omega(r)$ can be expressed as an integral.

$$\omega(r) = \int \frac{k}{Q(r)} \mathrm{d}r \tag{7}$$

Q(r) depends on the functions of the theory F_2 , F_3 , A_1 , ..., and on the static solution $f(r), h(r), \psi(r).$

Expression of Q(r)

We can compute explicitly the expression of $\mathcal{Q}(r)$ from the DHOST lagrangian.

$$Q = \sqrt{\frac{f}{h}} \left((F_2 + 2XA_1)r^4 + 2f\psi'XB_2r^3 - \frac{1}{2}f\psi'X'(F_{3X} + 4XB_6 - 2B_2)r^4 \right)$$
 (8)

Plug-in your theory functions F_2 , A_1 , ..., and the static solution f(r), h(r), $\psi(r)$, and you can compute the first order rotation $\omega(r)$.

$$\omega(r) = \int \frac{k}{Q(r)} \mathrm{d}r \tag{9}$$

Slowly rotating solutions in shiftsymmetric theories

Shift-symmetric theories

We will consider a subclass of DHOST theories.

Definition (Shift-symmetric scalar-tensor theories)

Theories for which the lagrangian obeys the symmetry $\phi \to \phi + c$. The lagrangian must depend only on the **derivatives** of ϕ .

In these theories, a time dependance can be added to the scalar field, while preserving the stationnarity of the metric.

$$\phi = qt + \psi(r) \tag{10}$$

Many static black hole solutions were found in this framework.

No-hair theorems for shift symmetry

No-hair theorems have been proven in the **Horndeski** framework, for shift-symmetric theories.

- For static black holes, with q=0 [Hui and Nicolis, 2013]
- At first order in rotation, with $q \neq 0$ [Maselli et al., 2015]

Theorem

In shift-symmetric Horndeski theories, providing theory functions are **analytic** in X, **asymptotically flat** black hole solutions are identical to Kerr at first order in J, with no scalar hair.

$$f(r) = h(r) = 1 - \frac{2M}{r}$$
; $\omega(r) = \frac{2J}{r^3}$; $\psi'(r) = 0$ (11)

Evading the no-hair theorem

Hairy static solutions have been found, evading no-hair theorems hypotheses.

- Non-analytic functions : shift-symmetric Gauss-Bonnet $F_3 \propto \log(X)$ [Lu and Pang, 2020], BCL solution $F_2 = 1 + \lambda \sqrt{|X|}$ [Babichev et al., 2017].
- Hairy solutions in **beyond Horndeski** theories [Bakopoulos et al., 2024].

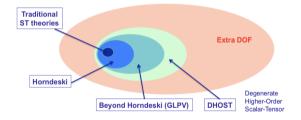


Figure: Landscape of scalar-tensor theories

Slowly rotating metric

Let's compute $\omega(r)$ for these solutions. We will restrict ourselves to shift-symmetric, parity-symmetric $(\phi \to -\phi)$, beyond Horndeski theories.

$$Q = \sqrt{\frac{f}{h}} (F_2 + 2XA_1) r^4 \tag{12}$$

Slowly rotating metric

Let's compute $\omega(r)$ for these solutions. We will restrict ourselves to shift-symmetric, parity-symmetric $(\phi \to -\phi)$, beyond Horndeski theories.

$$Q = \sqrt{\frac{f}{h}} (F_2 + 2XA_1) r^4 \tag{12}$$

For these theories, it can be shown from the equations of motion that we also have the following equation.

$$\frac{\mathrm{d}}{\mathrm{d}r} \left[\sqrt{\frac{f}{h}} \left(F_2 + 2XA_1 \right) \right] = 0 \tag{13}$$

Slowly rotating metric

Let's compute $\omega(r)$ for these solutions. We will restrict ourselves to shift-symmetric, **parity-symmetric** $(\phi \rightarrow -\phi)$, beyond Horndeski theories.

$$Q = \sqrt{\frac{f}{h}} (F_2 + 2XA_1) r^4 \tag{12}$$

For these theories, it can be shown from the equations of motion that we also have the following equation.

$$\frac{\mathrm{d}}{\mathrm{d}r} \left[\sqrt{\frac{f}{h}} \left(F_2 + 2XA_1 \right) \right] = 0 \tag{13}$$

Hence, $Q(r) \propto r^4$, and so we can deduce $\omega(r)$.

$$\omega(r) = \frac{2J}{r^3} \tag{14}$$

Summary of the solutions

In this class of theories, the first order correction in J is always identical to the one of Kerr, even for hairy static solutions.

[Babichev et al., 2017]	$f(r) = h(r) = 1 - \frac{2M}{r} - \frac{\beta^2}{2\zeta\eta r^2}$	$\omega(r) = \frac{2J}{r^3}$
[Bakopoulos et al., 2024]	$f(r) = h(r) = 1 - \frac{2M}{r} + \eta q^4 \left(\frac{\frac{\pi}{2} - \operatorname{Arctan}\left(\frac{r}{\lambda}\right)}{\frac{r}{\lambda}} + \frac{1}{1 + \left(\frac{r}{\lambda}\right)^2} \right)$	$\omega(r) = \frac{2J}{r^3}$
[Bakopoulos et al., 2024]	$f(r) = h(r) = 1 + \eta q^2 - \frac{2M}{r} + \eta q^2 \frac{\frac{\pi}{2} - \operatorname{Arctan}(\frac{r}{\lambda})}{\frac{r}{\lambda}}$	$\omega(r) = \frac{2J}{r^3}$

Scalar Gauss-Bonnet

This is not true for non-parity-symmetric theories, as scalar Gauss-Bonnet theories.

Static solution: [Lu and Pang, 2020]

$$f(r) = h(r) = 1 + \frac{r^2}{2\alpha} \left(1 - \sqrt{1 + \frac{8\alpha M}{r^3}} \right)$$
 (15)

First order rotation: [Charmousis et al., 2022]

$$\omega(r) = \frac{J}{M} \frac{1 - f(r)}{r^2} = -\frac{J}{2\alpha M} \left(1 - \sqrt{1 + \frac{8\alpha M}{r^3}} \right)$$
 (16)



Conclusion

• We have established a way to compute $\omega(r)$ in an integral form for any scalar-tensor theory, providing the static solution is known.

$$\omega(r) = \int \frac{k}{Q(r)} \mathrm{d}r \tag{17}$$

• For a large subclass of theories (shift and parity symmetric beyond Horndeski theories), $\omega(r)$ is always identical to the one of Kerr, independently of the static solution.

$$\omega(r) = \frac{2J}{r^3} \tag{18}$$

Thank you!

hugo.candan@obspm.fr

References



Babichev, E., Charmousis, C., and Lehébel, A. (2017).

Asymptotically flat black holes in Horndeski theory and beyond.

JCAP, 04:027.



Bakopoulos, A., Charmousis, C., Kanti, P., Lecoeur, N., and Nakas, T. (2024). Black holes with primary scalar hair.

Phys. Rev. D. 109(2):024032.



Charmousis, C., Lehébel, A., Smyrniotis, E., and Stergioulas, N. (2022).

Astrophysical constraints on compact objects in 4D Einstein-Gauss-Bonnet gravity.

JCAP. 02(02):033.



Hartle, J. B. (1967).

Slowly rotating relativistic stars. 1. Equations of structure.

Astrophys. J., 150:1005-1029.



Hartle, J. B. and Thorne, K. S. (1968).

Slowly Rotating Relativistic Stars. II. Models for Neutron Stars and Supermassive Stars. Astrophys. J., 153:807.



Hui. L. and Nicolis. A. (2013).

No-Hair Theorem for the Galileon.

Phys. Rev. Lett., 110:241104.