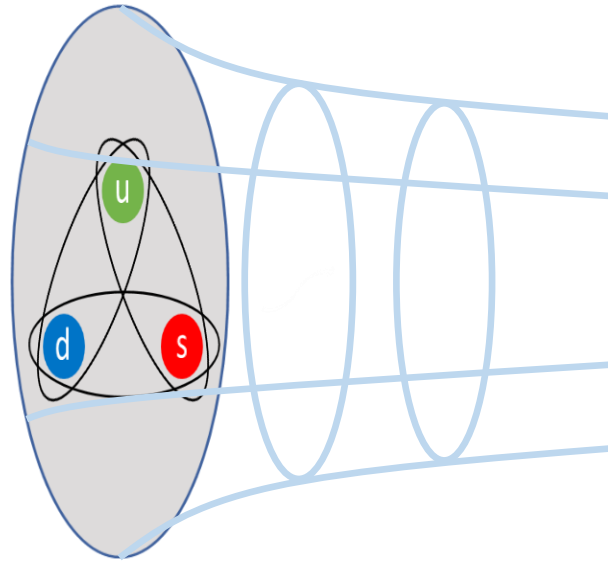


# From top-down to bottom-up: a new construction for holographic color-superconductivity



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[arXiv:2501.16472]

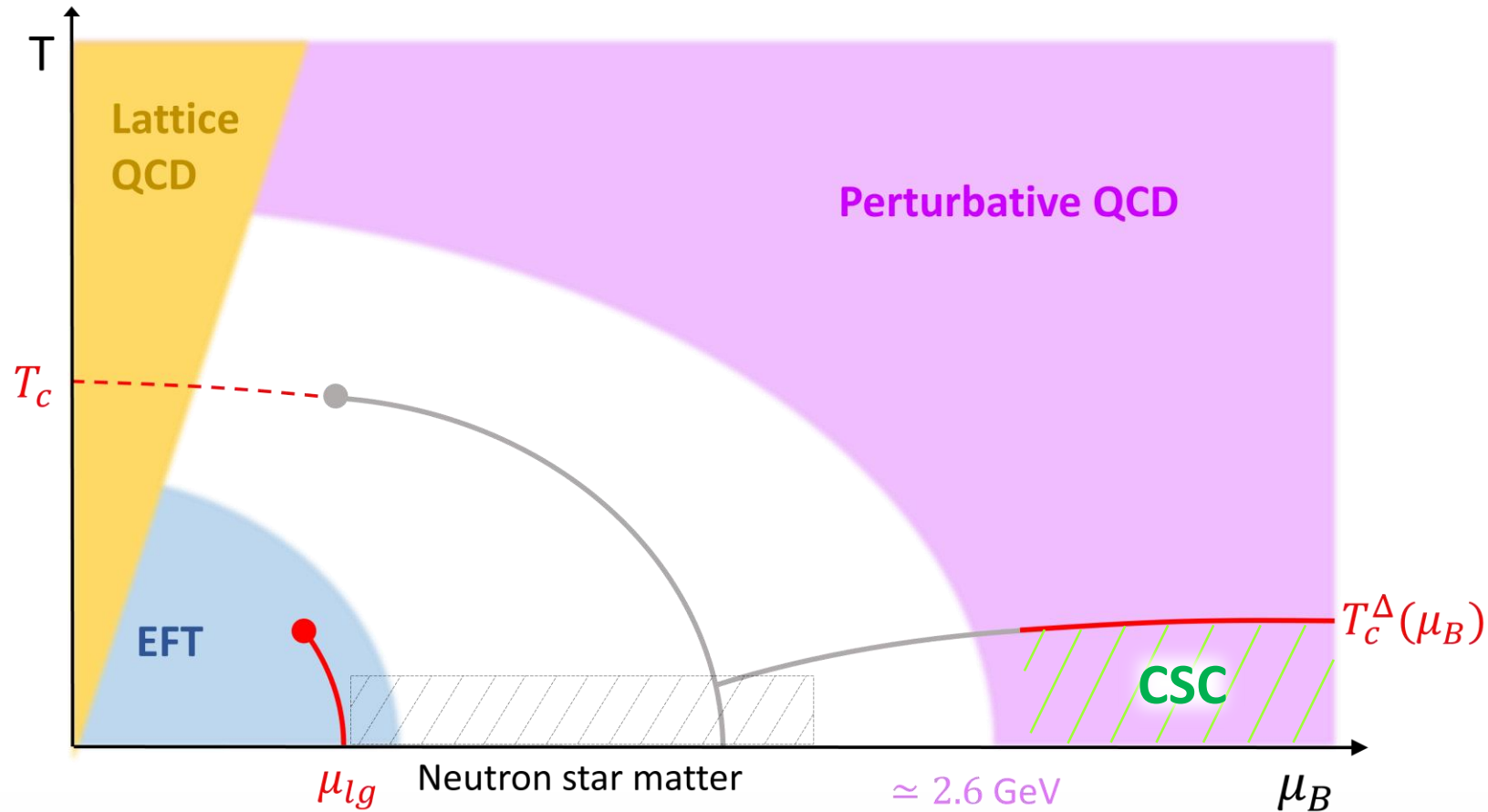


19/09/25

# Outline

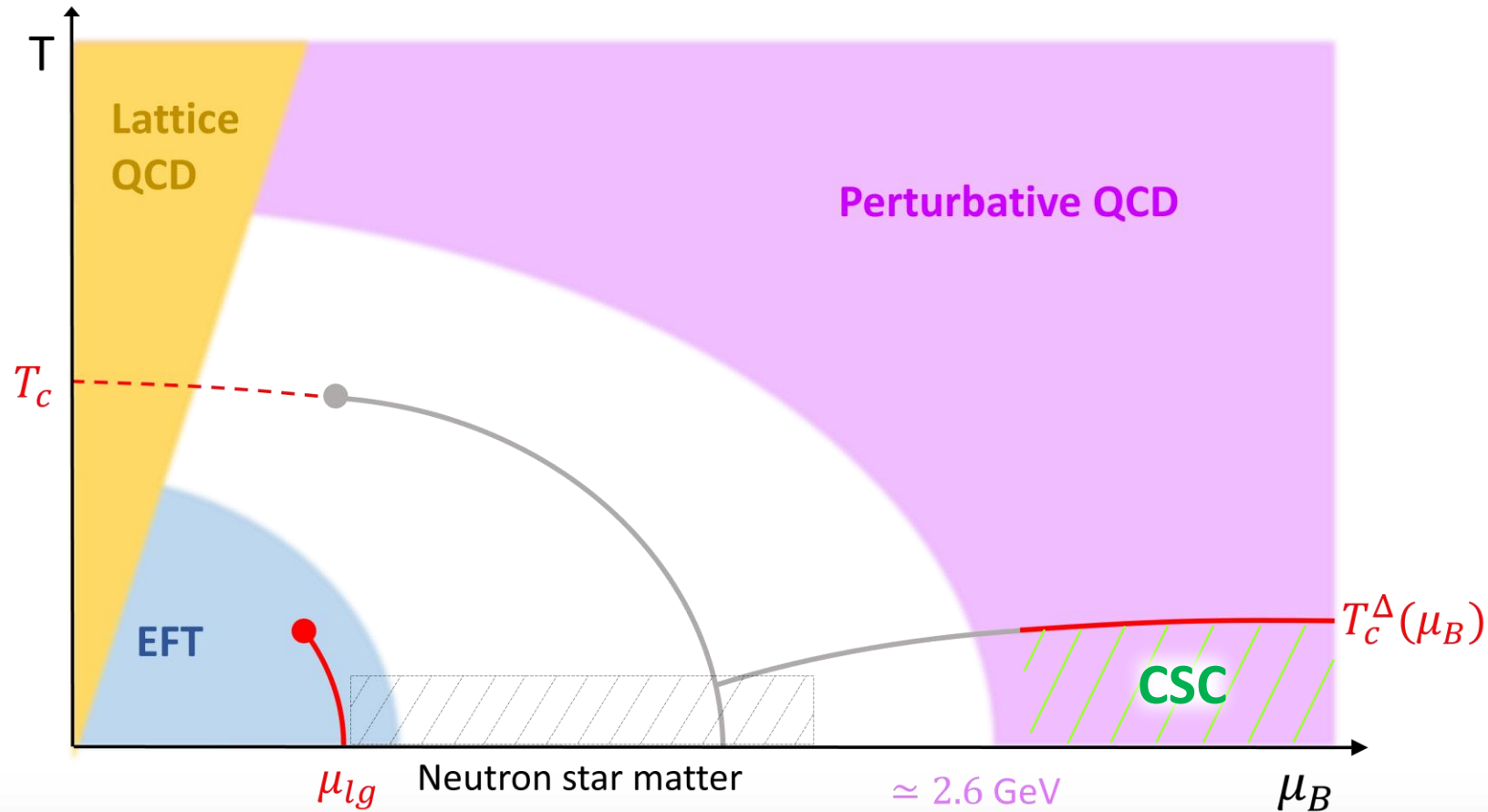
- 1) Context
- 2) Lessons from a top-down example
- 3) The bottom-up model
- 4) Stability analysis and phase diagram
- 5) Properties of the Higgsed phase
- 6) Summary

# Context: QCD phases



At  $\mu_B \gg \Lambda_{QCD}$ , pQCD predicts **color-superconductivity (CSC)**: quarks form **pairs** that induce **Higgsing** of the color group

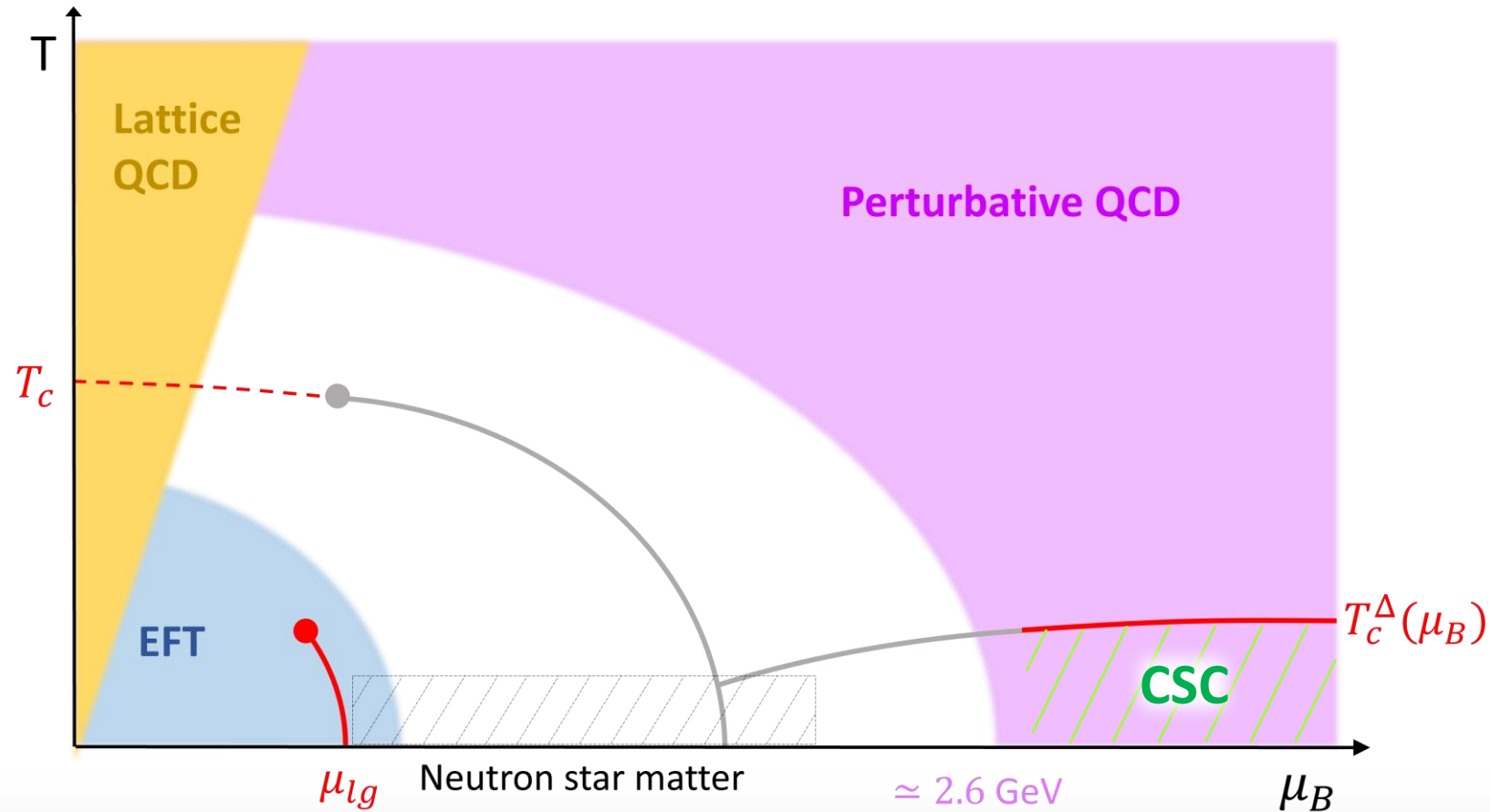
# Context: QCD phases



Example: for 3 massless flavors, **color-flavor locking (CFL)**  $\langle \psi_i^a \psi_j^b \rangle \propto \delta_i^a \delta_j^b$

With **symmetry breaking**:  $[SU(3)_c] \times SU(3)_L \times SU(3)_R \times U(1)_B \rightarrow SU(3)_{c+L+R} \times \mathbb{Z}_2$

# Context: QCD phases



The CSC phase should **connect to nuclear matter** at lower densities, but QCD matter is **strongly-coupled** in this regime

# Context: holographic models

- This work: consider the **holographic** approach to this problem
  - Construct a **model** with **bulk solutions** dual to a **strongly-coupled CSC**
- Several models of **holographic QCD** (top-down **WSS**, bottom-up **V-QCD**)
  - **Many successes**: confinement, chiral symmetry breaking, flavor anomalies, realistic hadronic spectra, etc.
- However, **CSC phases are hard to realize**
  - No single-trace, gauge-invariant **order parameter**

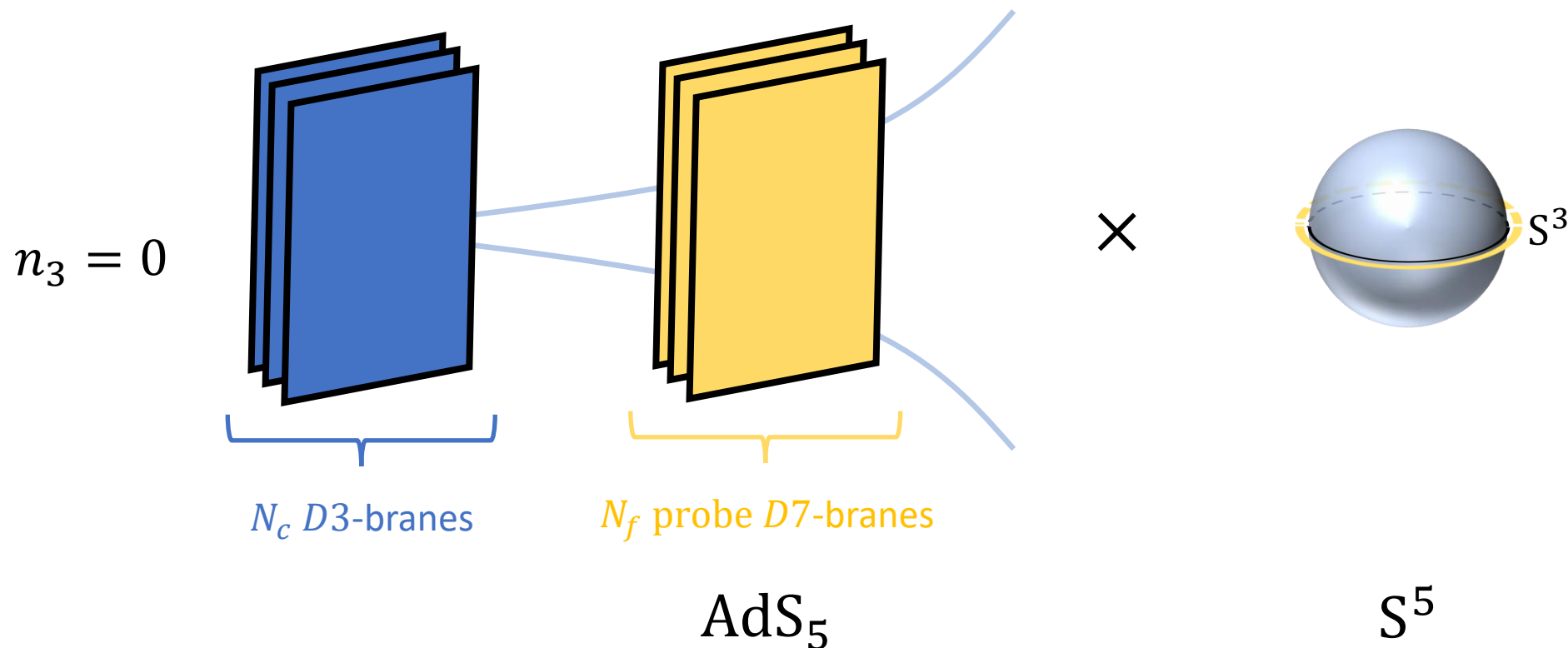
# Context: holographic models

- Some **top-down** examples [Apreda,Erdmenger,Evans,Guralnik'05]  
[Chen,Hashimoto,Matsuura'09],  
[Faedo,Mateos,Pantelidou,Tarrío'18]  
→ But limited to the **quenched limit** ( $N_f, N_{c,broken} \ll N_c$ ) and  $n_B \rightarrow 0$
- Here: **bottom-up** setting  
→ Indeterminacy in the model, but allows to access **large Higgsing and  $n_B$**
- Strategy: inspired from **dimensional reduction of the top-down setup**  
[Faedo,Mateos,Pantelidou,Tarrío'18]
- Spoiler:  $\chi^{SB}$  at high density + color **Higgsing** with  $N_{c,broken}/N_c = \mathcal{O}(1)$

## 2. Lessons from a top-down example

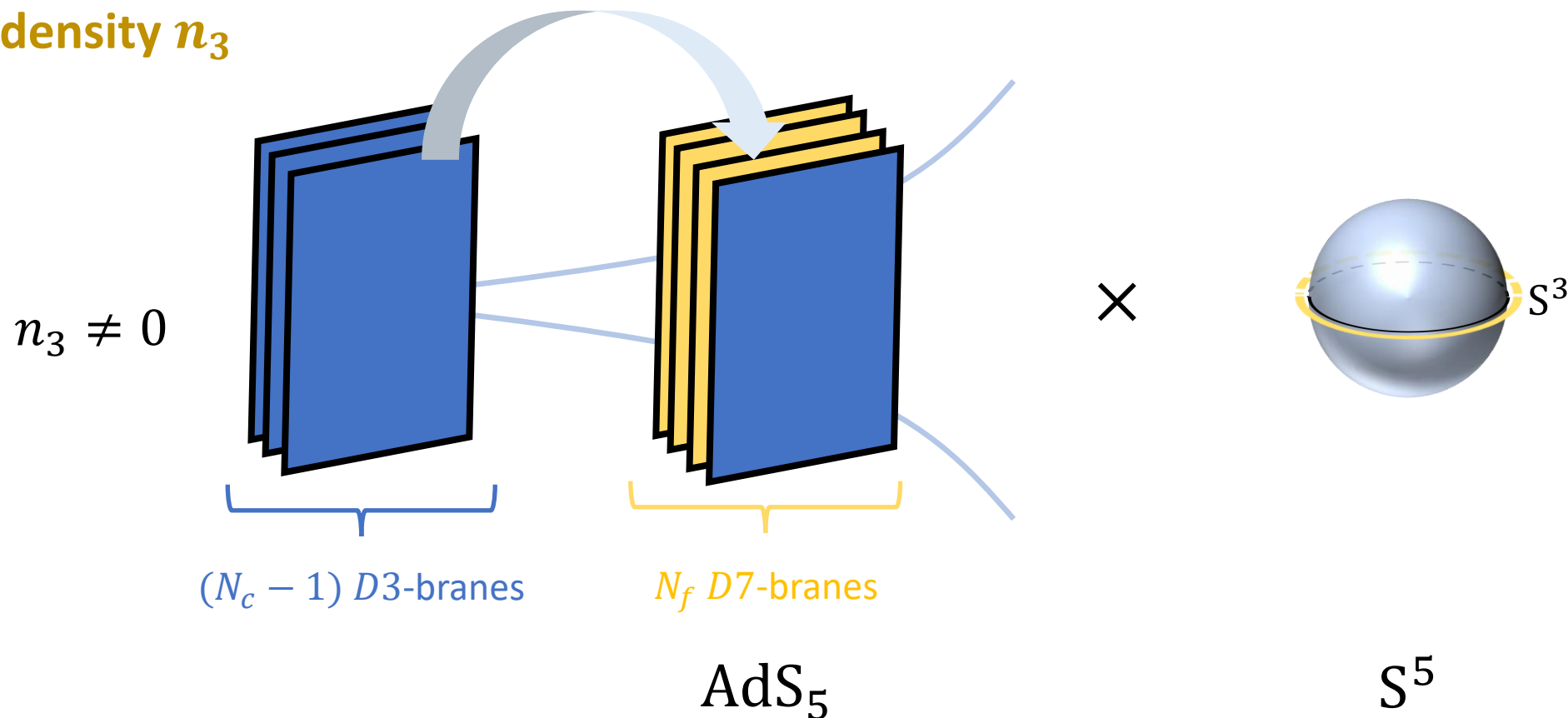
# D3/D7 setup

[Faedo,Mateos,Pantelidou,Tarrío'18]: **D3/D7 setup**, exhibiting a **CSC solution** at finite **isospin density  $n_3$**



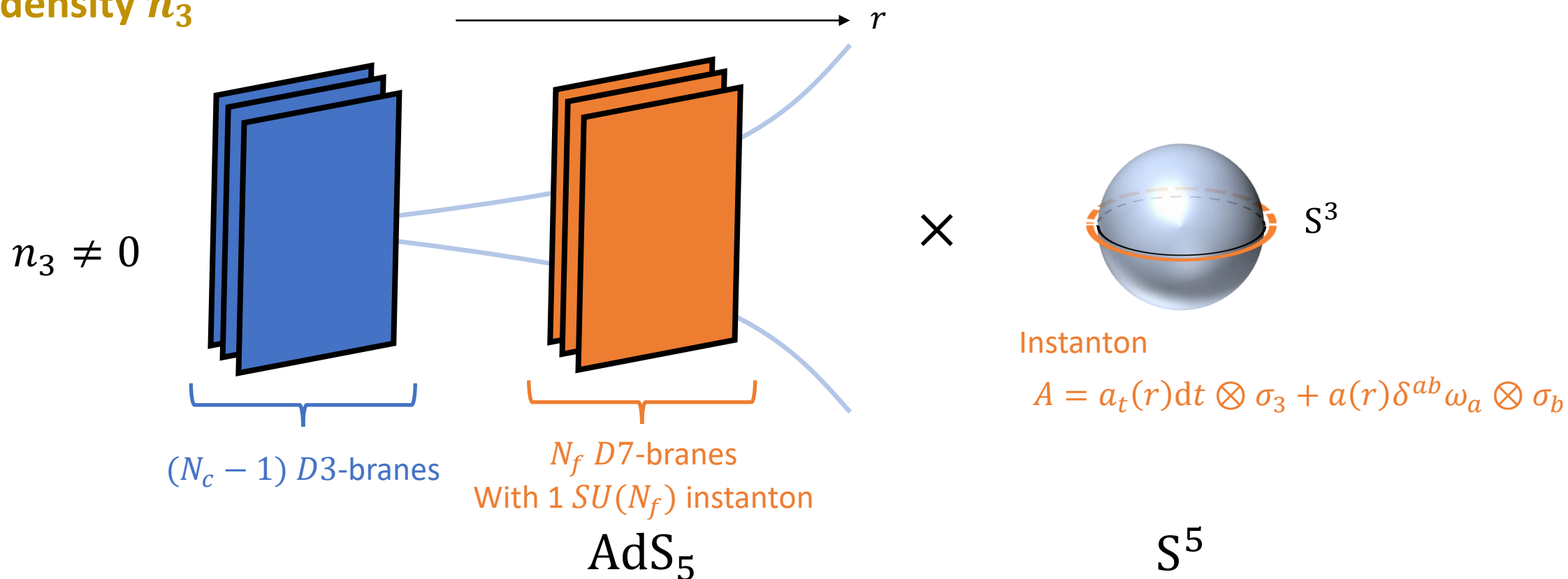
# D3/D7 setup

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# D3/D7 setup

[Faedo,Mateos,Pantelidou,Tarrío'18]: **D3/D7 setup**, exhibiting a **CSC solution** at finite **isospin density  $n_3$**



# Dimensional reduction

- **Flavor brane action**  $S_f = S_{DBI} + S_{WZ}$
- $S_{WZ}$  **couples** the background **RR 4-form**  $C_4$  to the **flavor gauge fields**

$$S_{WZ} = \frac{1}{2} \int C_4 \wedge \text{Tr}(F \wedge F)$$

→ The **instanton** carries **color-brane charge**

- **Dimensional reduction** to 5d:

$$S_{WZ,5} = 24\pi^2 \int C_4 \wedge dV(a) \quad A = a_t(r)dt \otimes \sigma_3 + a(r)\delta^{ab}\omega_a \otimes \sigma_b$$

- Lessons: CSC **in a bottom-up 5d setting** could be realized by a **similar mechanism**  
(with  $D7 \rightarrow$  space-filling  $D4$ )
- The **top-down example** suggests that this can be obtained by including **the appropriate WZ term**  
→ **Bottom-up point of view**: only term with  $C_4$  compatible with **RR gauge-invariance**

### 3. The bottom-up model

# Holographic dictionary

$$T_{\mu\nu}$$

$$\leftrightarrow$$

$$g_{MN}$$

$$U(N_f)_L \times U(N_f)_R : J_{L/R}^\mu = 0$$

$$\leftrightarrow$$

$$A_M^{L/R} (D4 \text{ and } \overline{D4})$$

$$\bar{\psi}^i \psi_j \text{ or } \phi^{i\dagger} \phi_j$$

$$\leftrightarrow$$

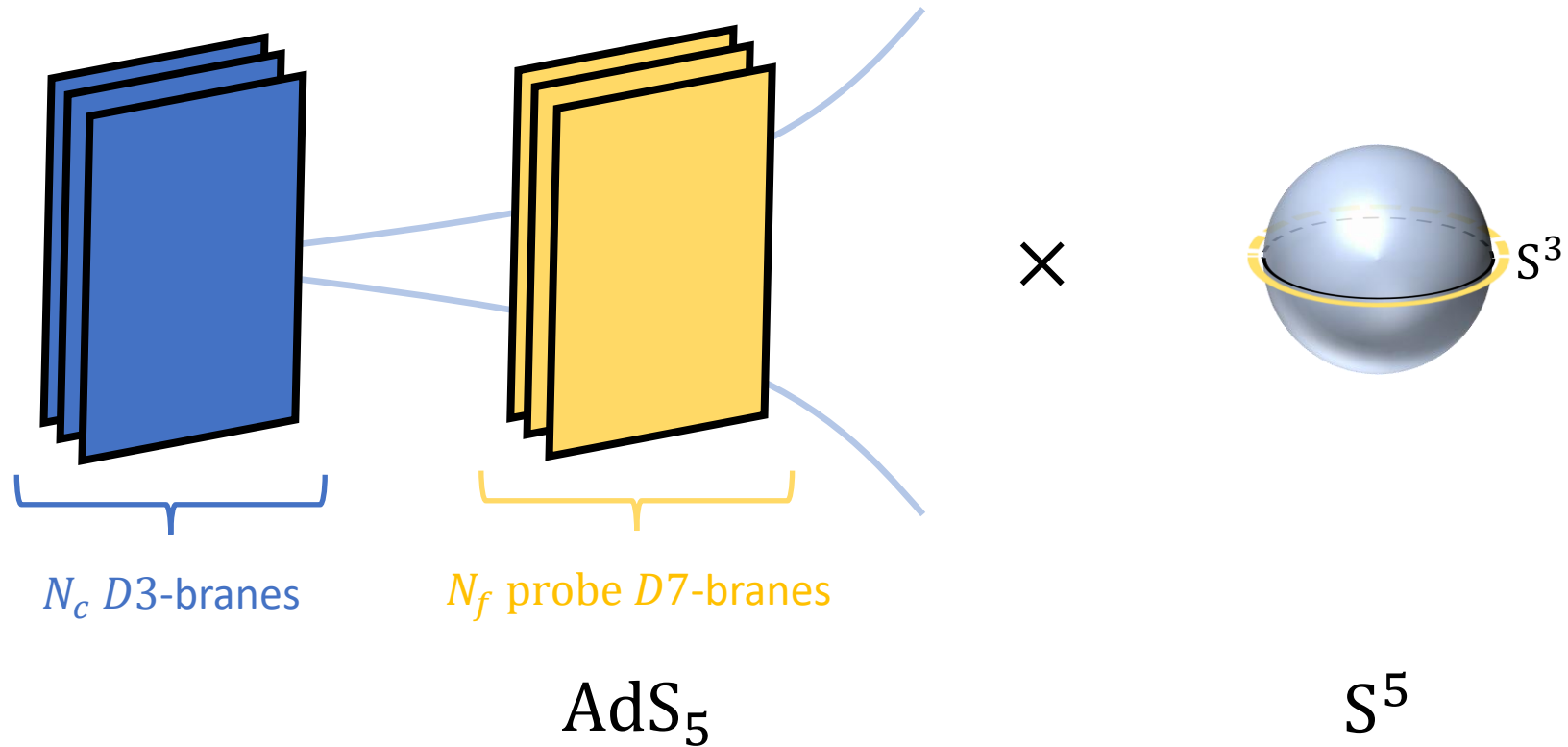
$$\text{“tachyon” } \mathcal{T}_j^{\bar{i}} (D4 \bullet\!\!\!\circ \overline{D4})$$

+ **4-form**  $C_4$  sourced by the **color branes**

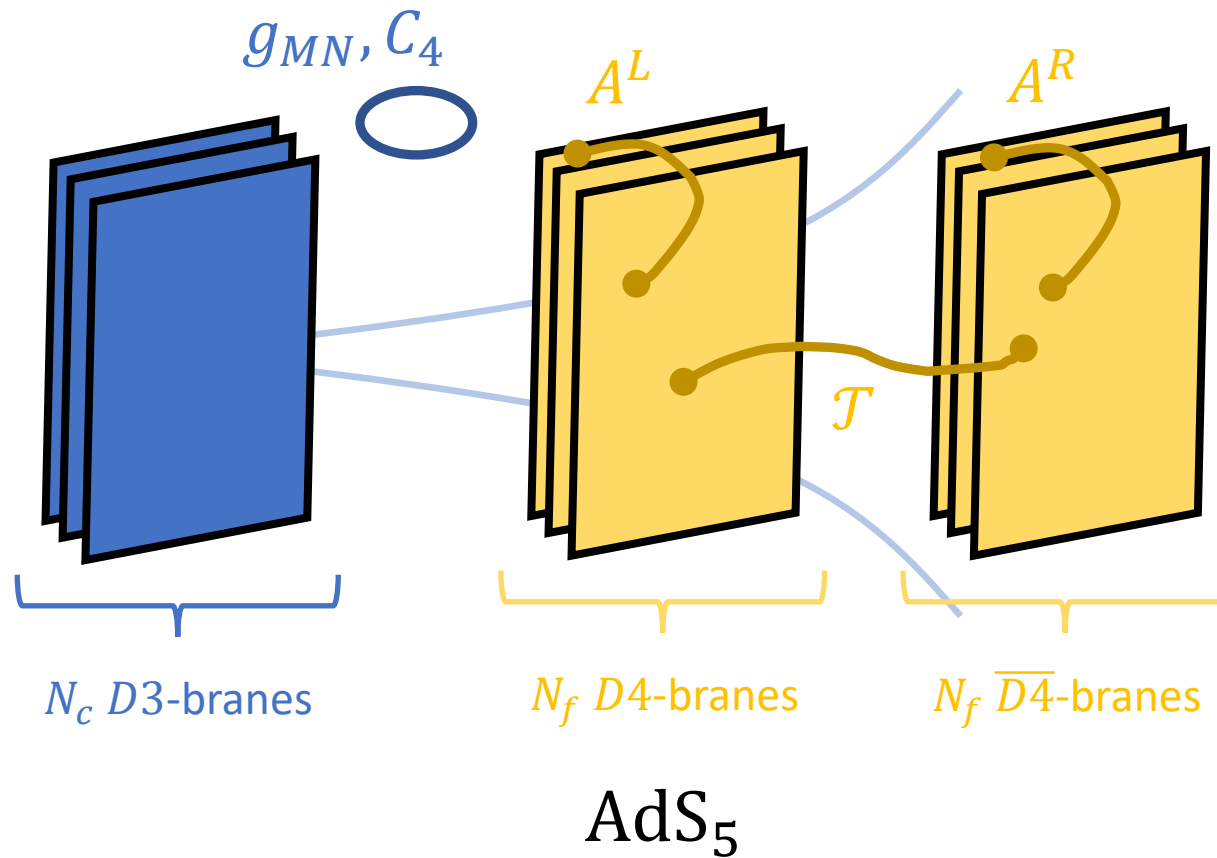
**Order parameter:**  $\langle \bar{\psi} \psi \rangle$  (not  $\langle \bar{\psi} \psi \bar{\psi} \psi \rangle$ )  $\rightarrow$  generated by **instanton** effects in **pQCD**

[Rajagopal & Wilczek'01]

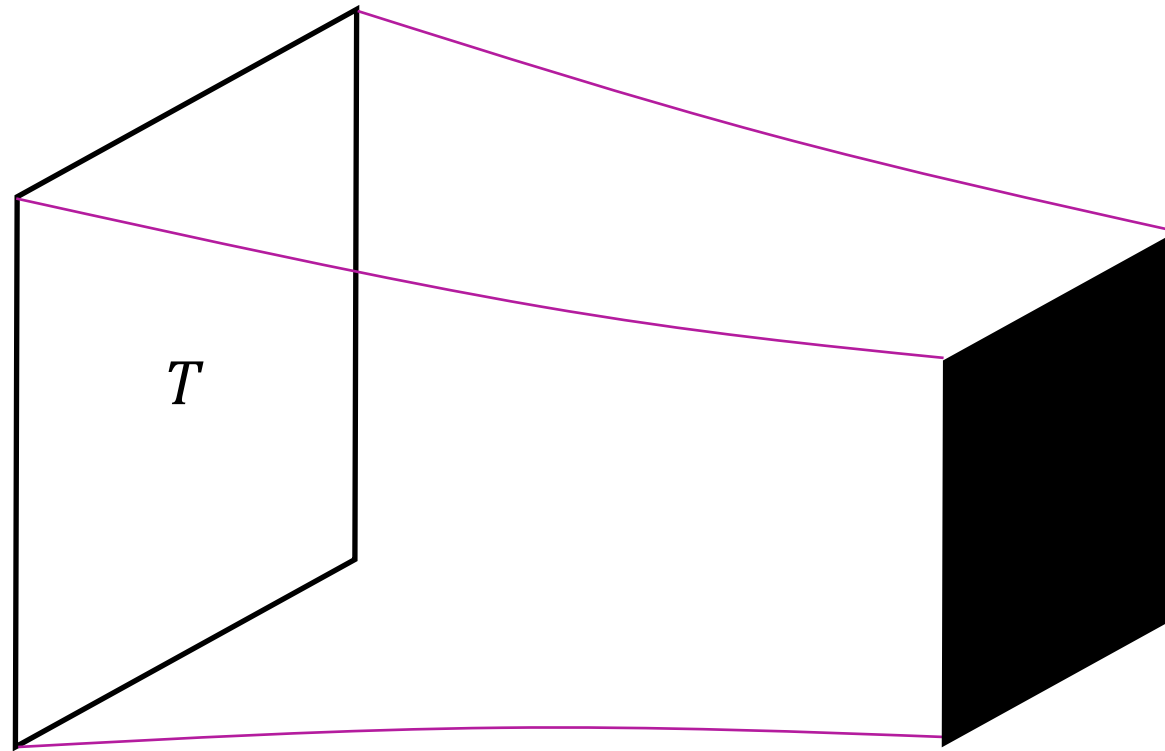
# String-theoretic picture



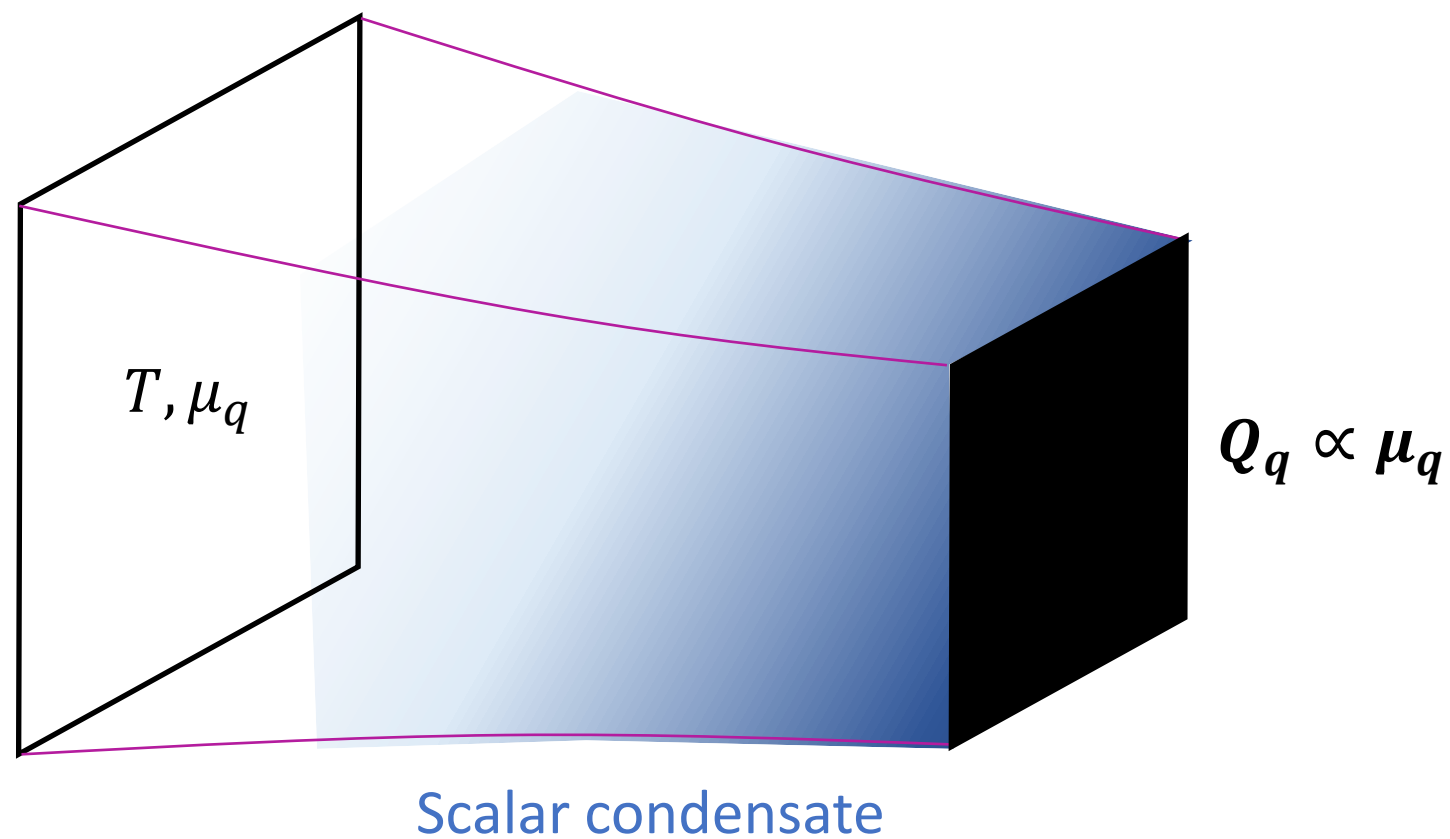
# String-theoretic picture



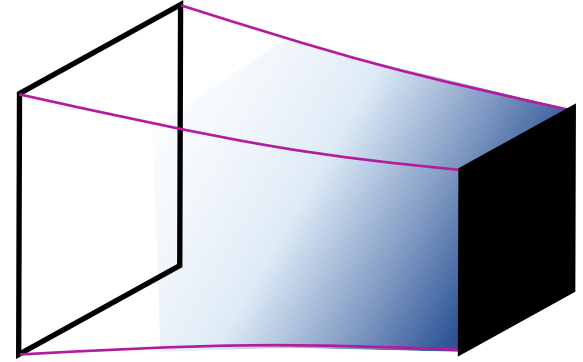
# Ansatz



# Ansatz



# Ansatz



- States at finite **temperature  $T$**  quark number **chemical potential  $\mu_q$** .

$\mu_q$  sources the  **$U(1)_{L+R}$**  gauge field

$$A^L = A^R = A_M dx^M \otimes \mathbb{I}_{N_f}$$

- **Chiral symmetry breaking**  $\mathcal{T} = \chi \mathbb{I}_{N_f}$

→  $\chi$  is the equivalent of  **$a$**  in the **top-down** example

- **Metric** ansatz:  $ds^2 = e^{2A(r)}(-f(r)dt^2 + f(r)^{-1}dr^2 + d\vec{x}^2)$

Summary of bulk fields

$$A, f \quad C_4 \quad A_M \quad \chi$$

# Bulk action

$$S = S_c + S_f + S_{WZ}$$

- **Color** action

$$S_c = \int dx^5 \sqrt{-g} \left( R - \Lambda - \frac{1}{2 \cdot 5!} F_5^2 \right)$$

- **Flavor** part: Sen action [Sen'04] ( $D4 - \overline{D4}$  including the **tachyon**)

$$S_f = -\frac{N_f}{N_c} \int dx^5 V_f(\chi) \sqrt{-\det(g_{MN} + \ell^2 F_{MN} + \ell^2 \partial_M \chi \partial_N \chi)}$$

- **WZ term**: of the form found in the **top-down example**

$$S_{WZ} = \frac{N_f}{N_c} \ell \int C_4 \wedge dV_{WZ}(\chi)$$

→ Responsible for color **Higgsing** + crucial role for **chiral condensation** (next section)

# Parameters

$$x_f \equiv \frac{N_f}{N_c} \quad V_f(\chi) \quad V_{WZ}(\chi)$$

- Consider the simplest case of **quadratic potentials**

$$V_f(\chi) = c_0 \ell^{-2} + \frac{1}{2} (m_{UV}^2 - m_{WZ}^2) \chi^2, \quad V_{WZ}(\chi) = \frac{1}{2} m_{WZ}^2 \chi^2$$

- **$c_0$  is irrelevant** (can be absorbed), and  $m_{UV}$  is related to the **dimension  $\Delta$**  of  $\mathcal{O}$  dual to  $\chi$

$$m_{UV}^2 = \Delta(\Delta - 4) \ell^{-2}$$

- So we work with **2 parameters**

$$x_f \quad \text{and} \quad m_{WZ}^2$$

## 4. Stability analysis

# Instability mechanism

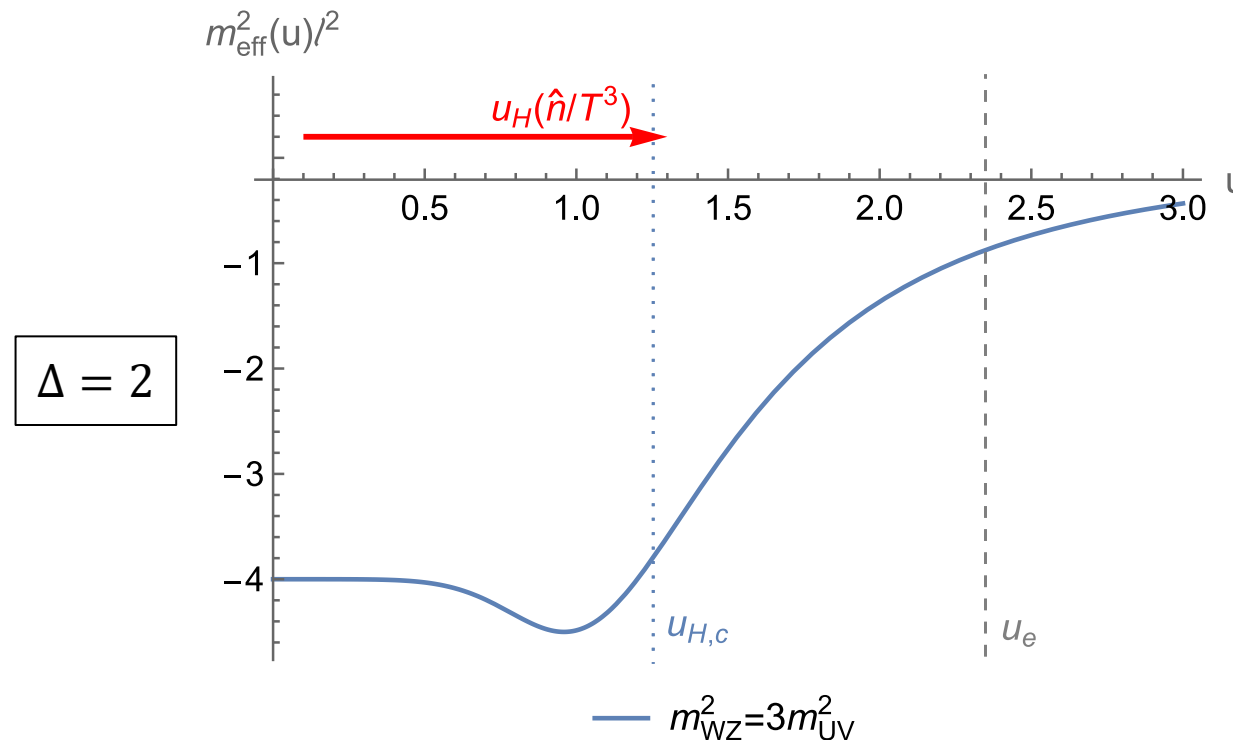
- Consider **solutions to the EOMs** at finite temperature  **$T$**  and  **$\mu_q$**   
→ Depend on  **$T/\mu_q$**
- The **uncondensed** solution ( $\chi = 0$ ) always exists: **DBI black brane**  
[Alho,Järvinen,Kajantie,Kiritsis,Rosen,Tuominen'14]
- **Question:** when is the DBI BB unstable to **scalar condensation** ?  
→ Look for **marginally stable modes** = normalizable solutions of

$$\delta\chi''(r) - \alpha(r)\delta\chi'(r) - \frac{\ell^2 m_{eff}^2(r)}{r^2 f(r)} \delta\chi(r) = 0$$

- **Qualitative mechanism:** instability when  **$m_{eff}^2$**  is **sufficiently negative** over a **large enough region** in the bulk

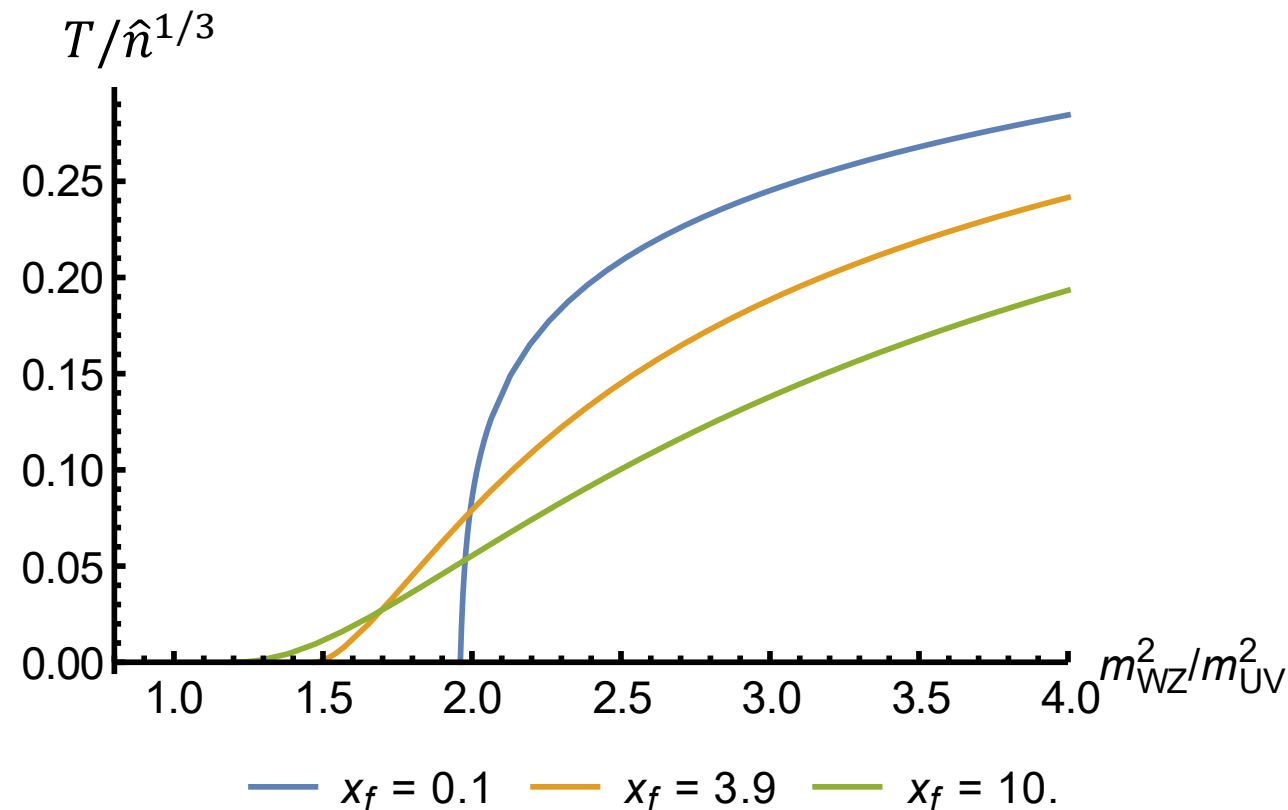
# Instability mechanism

- $m_{eff}^2(r)$  can be expressed as a **function of  $u \equiv \hat{n}^{1/3}r$**   
**→ No other dependence on  $\hat{n}/T^3$**
- $m_{eff}^2(u)$  has a **minimum**, which becomes **more negative with decreasing  $m_{WZ}^2$**
- The bulk extends from  $u = 0$  to  $u_H(\hat{n}/T^3)$



# Phase diagram $(x_f, m_{WZ}^2; T/\hat{n}^{1/3})$

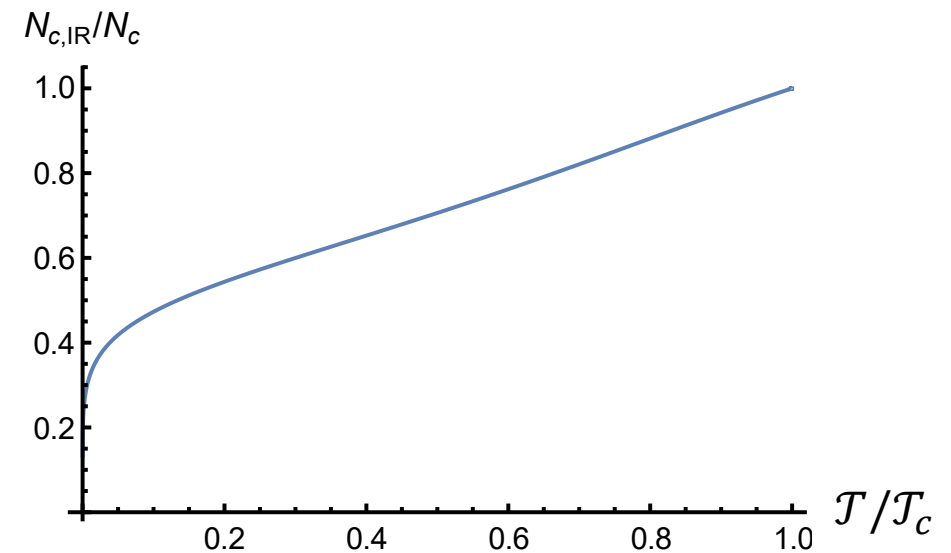
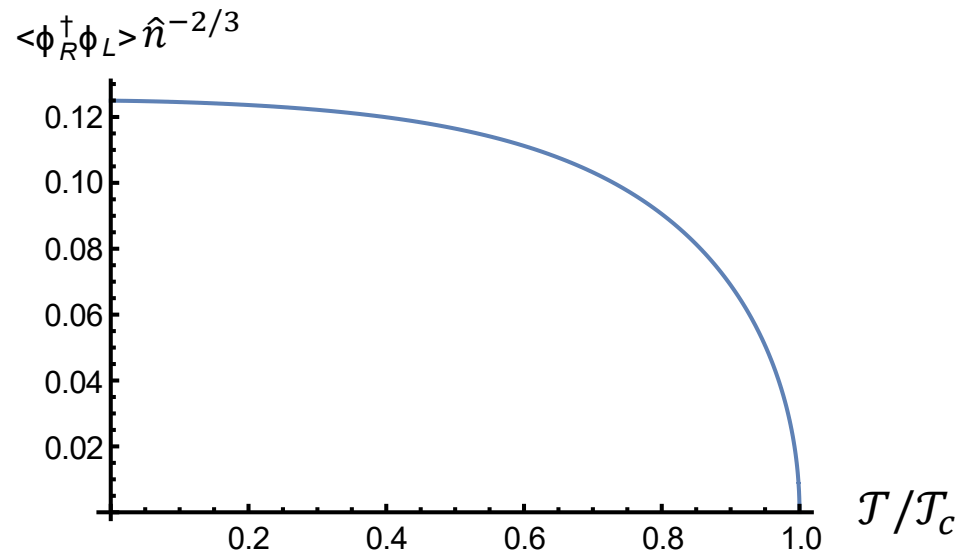
The model with **quadratic potentials** has a **second order** phase transition  
→ The **instability surface** determines the **phase diagram**



## 5. Properties of the condensed phase

The high-density phase features:

- Chiral condensate
- Finite Higgsing fraction

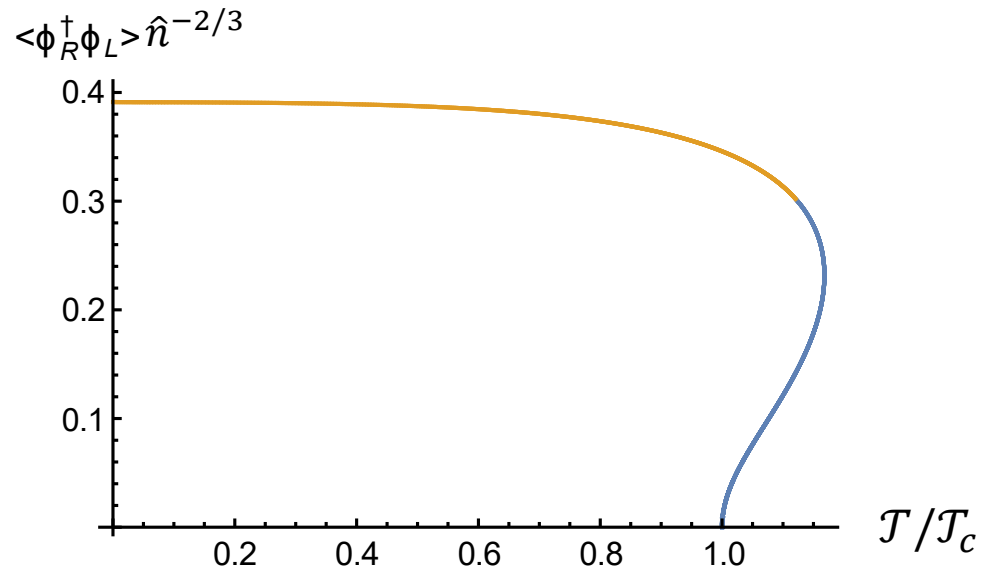


$$\mathcal{T} \equiv T/\hat{n}^{1/3}; m_{WZ}^2 = 4m_{UV}^2 \text{ and } x_f = 1$$

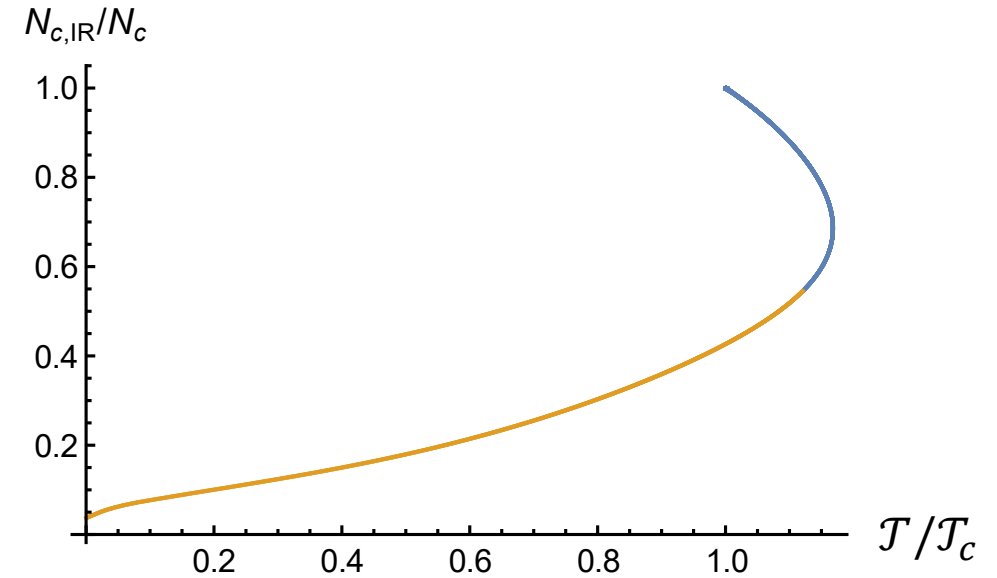
- Non-standard behavior: **Higgsing is continuous** at the transition, and **decreases** towards low temperatures

# A first-order example

$$V_{WZ}(\chi) = \frac{1}{2} m_{WZ}^2 \chi^2 (1 + \alpha \chi^2), \quad (\alpha > 5)$$



$$\alpha = 8$$



## 6. Summary

- Taking inspiration from a **D3/D7 setup**, we built a **bottom-up model** with a **CSC-like phase at high density**. The latter features:
  - **Chiral symmetry breaking**
  - Partial **Higgsing** of the color group
- **Main particularity**: new type of **WZ term**
$$S_{WZ} \propto \int C_4 \wedge dV_{WZ}(\chi)$$
- Depending on the choice of **potentials**, the model can accomodate both **2nd-order and 1st-order** phase transitions

# Outlook

- **Transport** properties (mostly determined by  $\chi_{SB}$ )
- Extend to **V-QCD** by including the **dilaton**
- Dynamics of the NSNS and RR **2-forms** ( $B$  and  $C_2$ ) [Chen, Hashimoto, Matsuura'09]  
→ Become **light** in the IR for **strong Higgsing**
- Explore the **dynamics of  $C_4$**  in other bottom-up constructions  
→ Even with a **standard WZ term** in 5d  $\supset C_{-1} \wedge CS_5(A)$   
→ **Baryons** carry **magnetic  $D3$  charge**

# Thank you !

[arXiv:2501.16472]

# Appendix

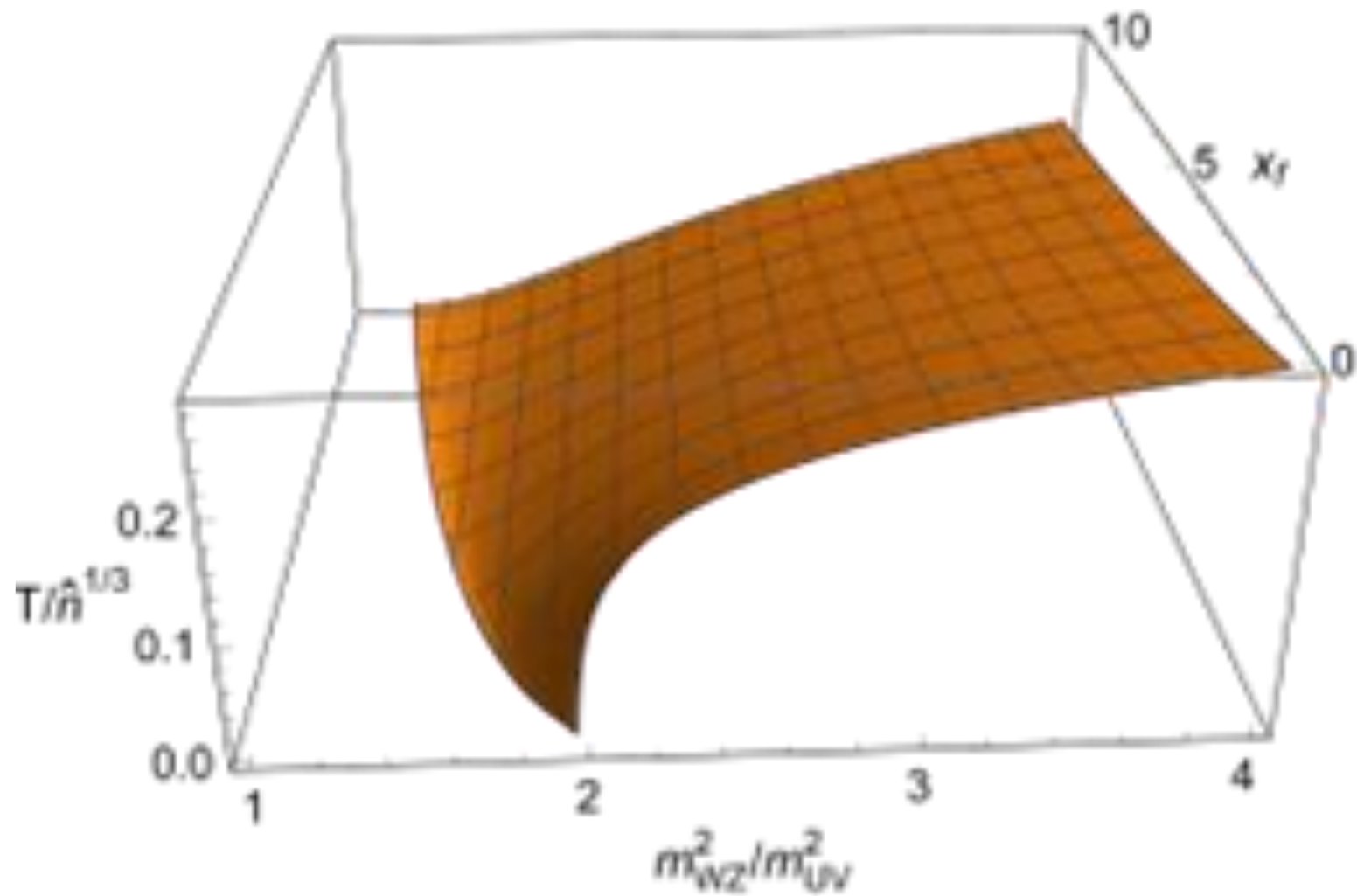
# Definition of $N_{c,eff}(r)$

From the 5-form field strength:

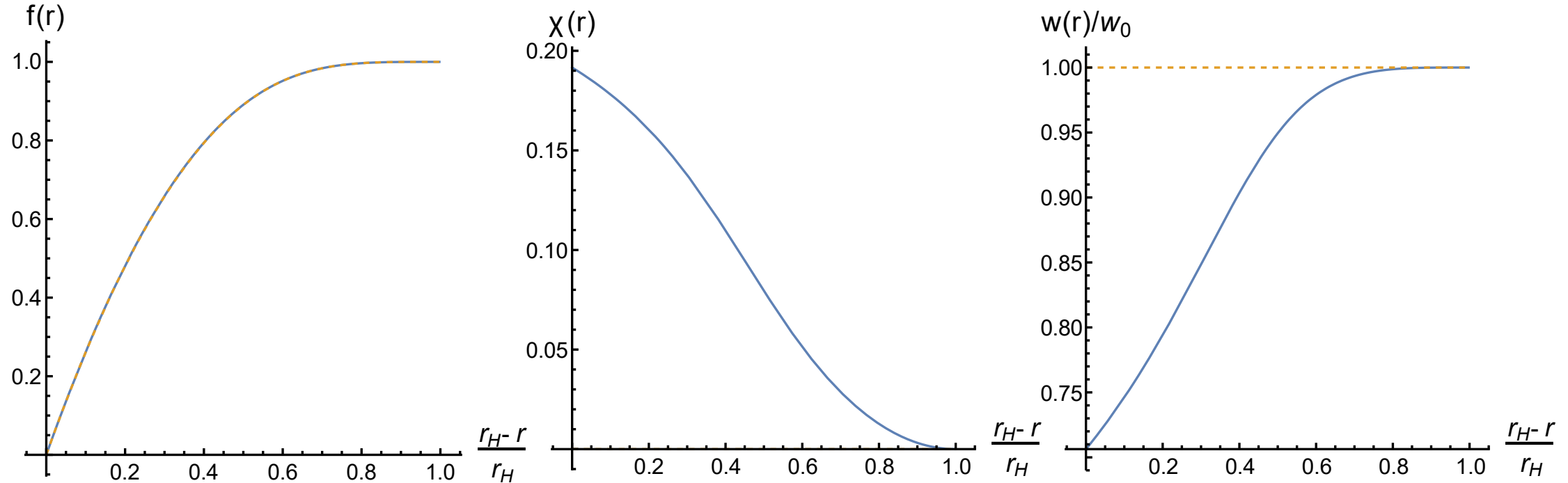
$$F_5 = \sqrt{-g} \frac{w(r)}{\ell} dt \wedge dr \wedge dx^1 \wedge dx^2 \wedge dx^3$$

$$N_{c,eff}(r) = N_c w(r) \text{ (Gauss's law)}$$

# 3D phase diagram



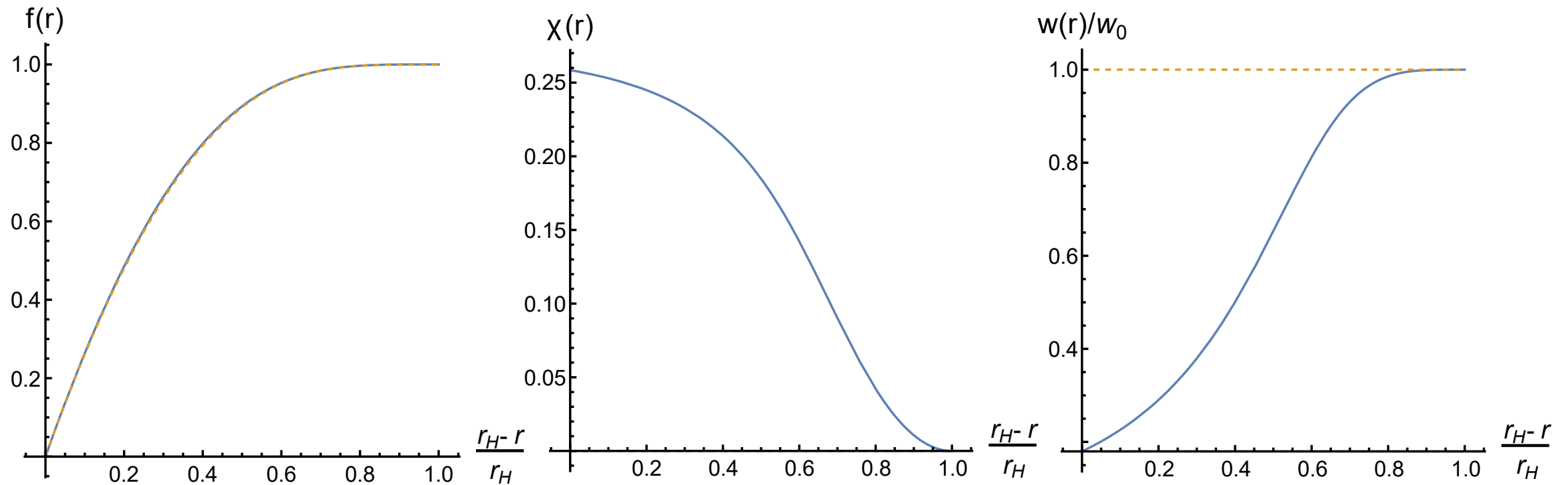
# Background – 2<sup>nd</sup> order



----- Uncondensed DBI black brane

$$\mathcal{T} = \mathcal{T}_c/2; m_{WZ}^2 = 4m_{UV}^2 \text{ and } x_f = 1$$

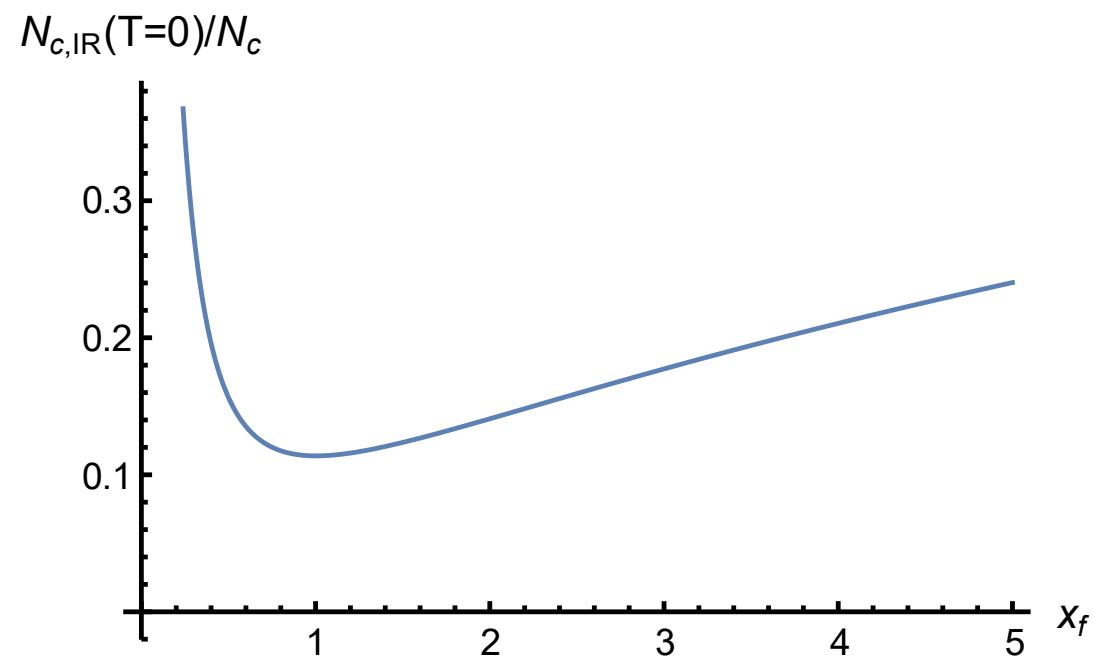
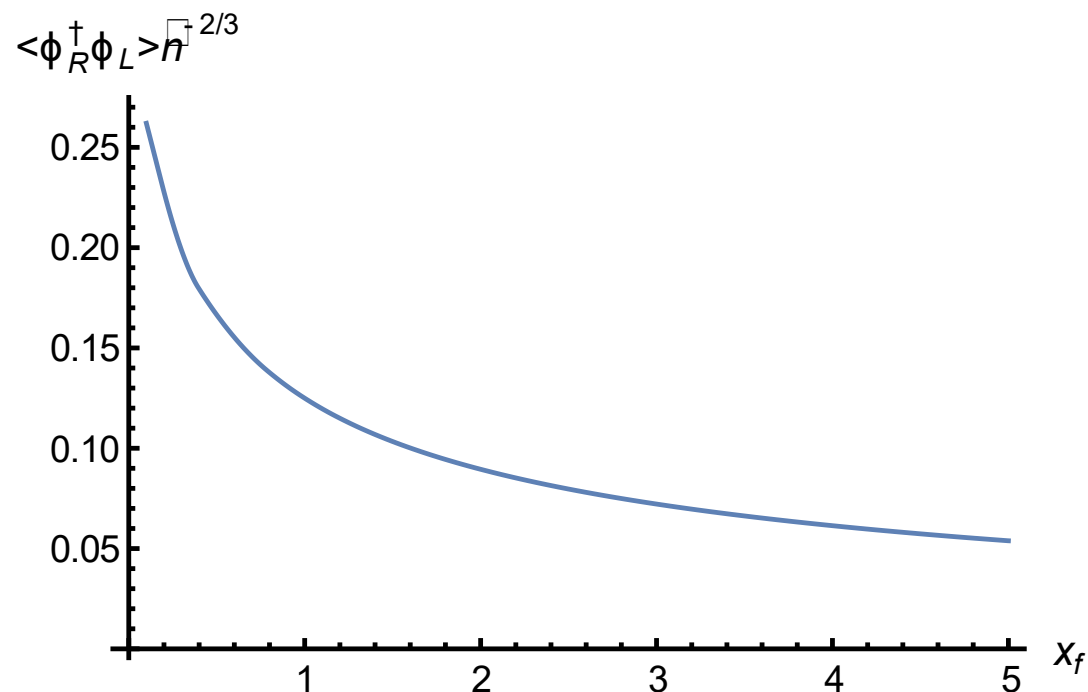
# Background – 1<sup>st</sup> order



----- Uncondensed DBI black brane

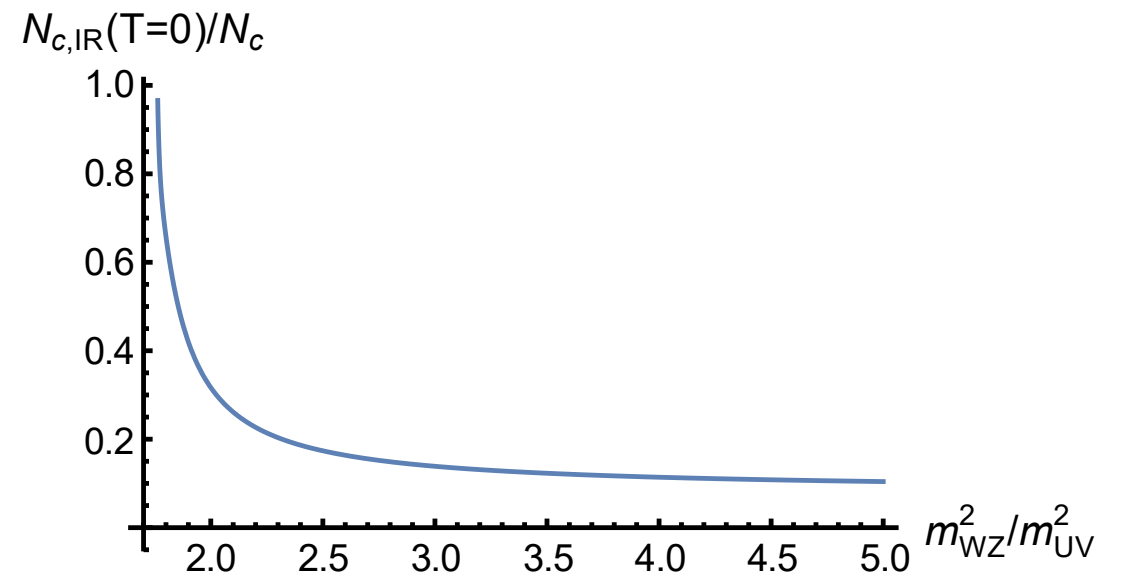
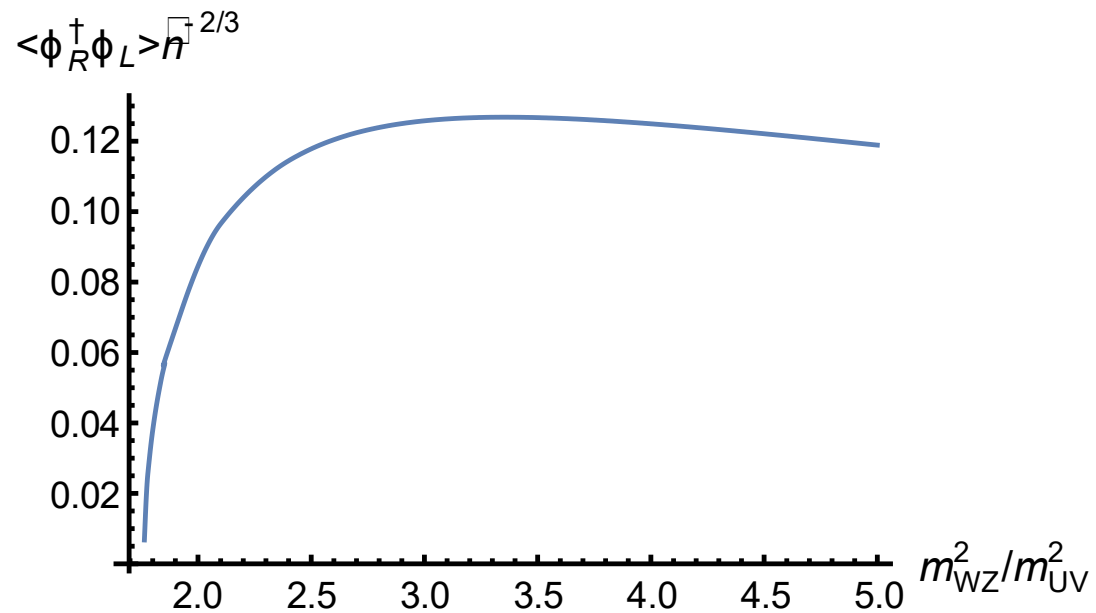
$$\mathcal{T} = \mathcal{T}_c/2; m_{WZ}^2 = 4m_{UV}^2 \text{ and } x_f = 1$$

# Parameter dependence - $x_f$



$$\mathcal{T} = 0; m_{WZ}^2 = 4m_{UV}^2$$

# Parameter dependence - $m_{WZ}^2$



$$\mathcal{T} = 0; x_f = 1$$