

### **Neutrino Oscillations**

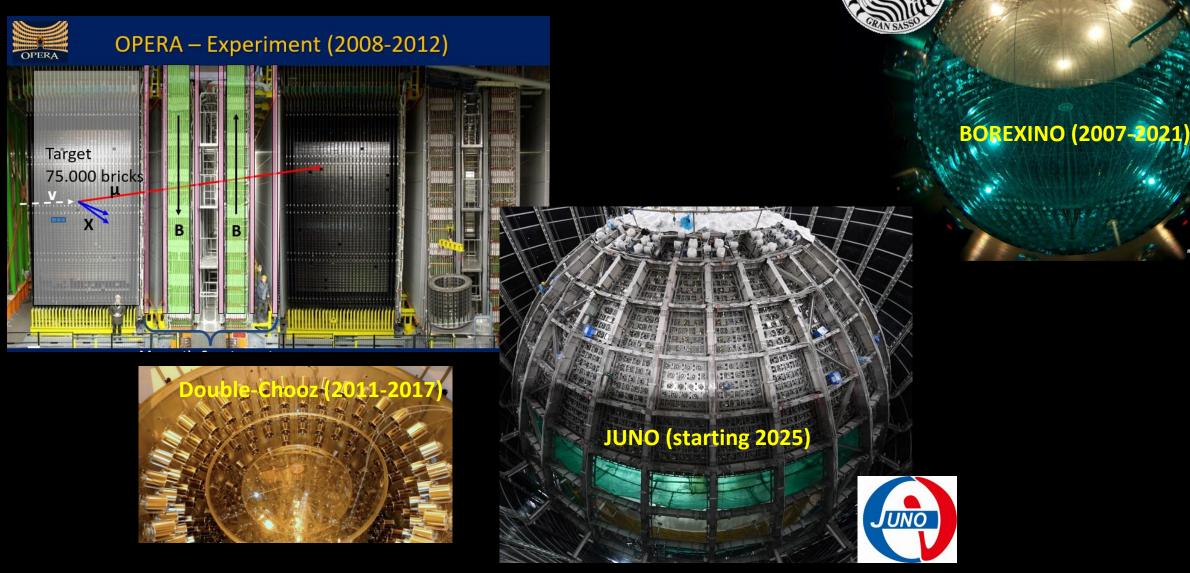
Caren Hagner Universität Hamburg

Strasbourg Summer School, 1st July 2025



#### my personal neutrino experience

(Some experiments I participated)



## **Todays Topics:**

- Some Basic Facts on Neutrinos
- 2 Basic Oscillation Mechanism
- **3** The Pioneers & Discovery
- 4 Why Matter matters, even for Neutrinos
- **5** The third Mixing Angle towards Precision
- 6 Into the Unknown
  - Summary and Outlook





## **Neutrino Basics**



## **Neutrinos in the Standard Model**

 $\begin{pmatrix} \alpha \\ \beta \end{pmatrix} \begin{pmatrix} \zeta \\ S \end{pmatrix} \begin{pmatrix} \zeta \\ b \end{pmatrix}$ 

Quarks

 $\begin{pmatrix} v_e \\ e^- \end{pmatrix} \begin{pmatrix} v_\mu \\ \mu^- \end{pmatrix} \begin{pmatrix} v_z \\ \tau^- \end{pmatrix}$  3 active Neutrinos Leptous

Neutrinos interact only via weak interaction

#### **SM:** Neutrinos are massless

Neutrinos are left handed, Antineutrinos are right handed, Neutrinos are stable

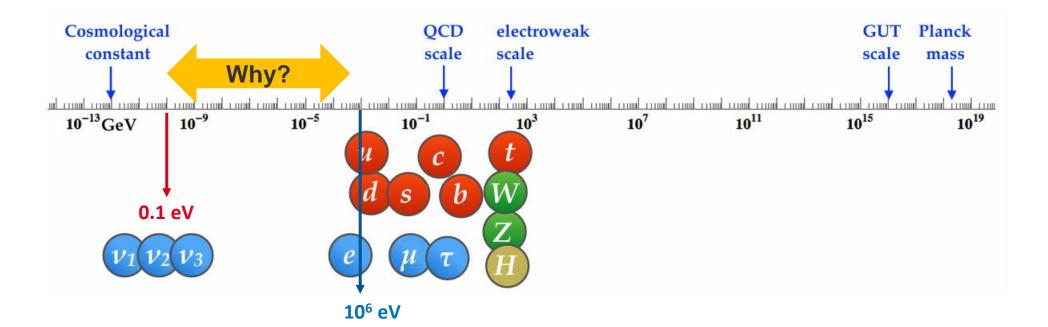
#### **Neutrino Oscillations**

#### **Extension of SM: Neutrinos have masses**

Do right handed neutrinos exist? Majorana neutrinos:  $\gamma \equiv \overline{\gamma}$ ? Neutrinos can decay



## Why are neutrino masses so much smaller?

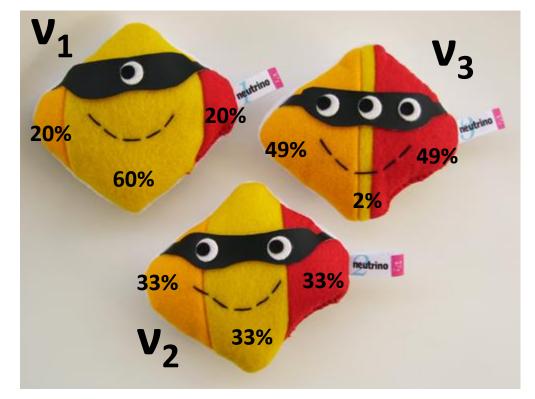


#### KATRIN: m < 0.45 eV Neutrino Oscillations: m(heaviest neutrino) > 0.05 eV



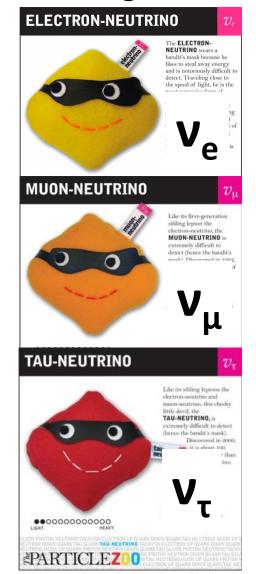
# **Neutrino Mixing**

#### **Mass-Eigenstates**

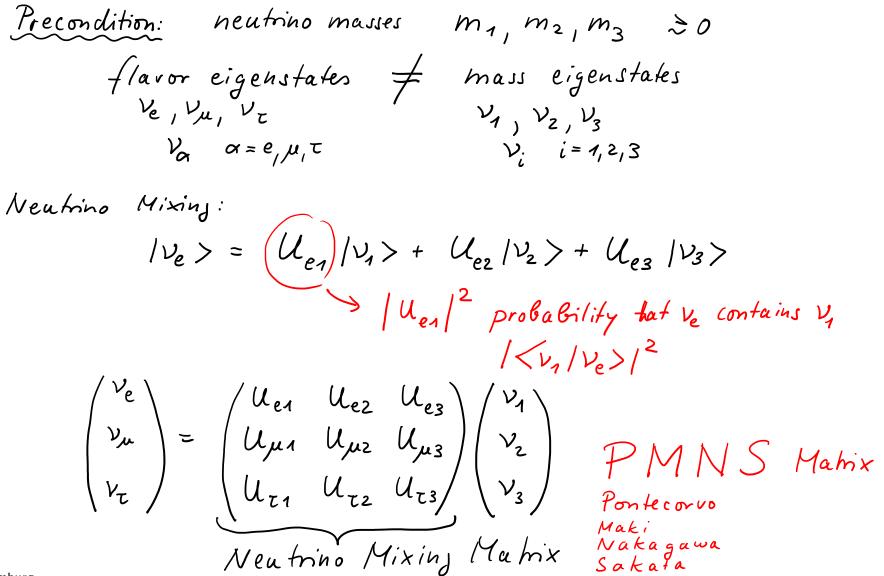




#### **Flavor-Eigenstates**



#### **Neutrino Mass and Mixing**



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$$U_{PMNS} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

UH

9 complex elements:

+ conditions for unitarity of U

+ if neutrinos are Dirac: can rotate away 2 global phases

4 parameters to describe U (Dirac case)

Most popular parametrization: 3 mixing angles  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$  and 1 phase  $\delta$ 

$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{+i\delta} & 0 & \cos\theta_{13} \end{pmatrix} \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
  
$$\theta_{23} = 48.5^{+0.7}_{-0.023} \circ (\text{between 41}^\circ \text{ and 50}^\circ) \\ |\Delta m_{32}^2| = (2.534^{+0.025}_{-0.023}) \times 10^{-3} \text{eV}^2 \end{pmatrix}$$
  
What is  $\delta$ ?  
Mass ordering?  
$$Octant problem: \text{Is } \theta_{23} < 45^\circ \text{ or } > 45^\circ \text{?}$$

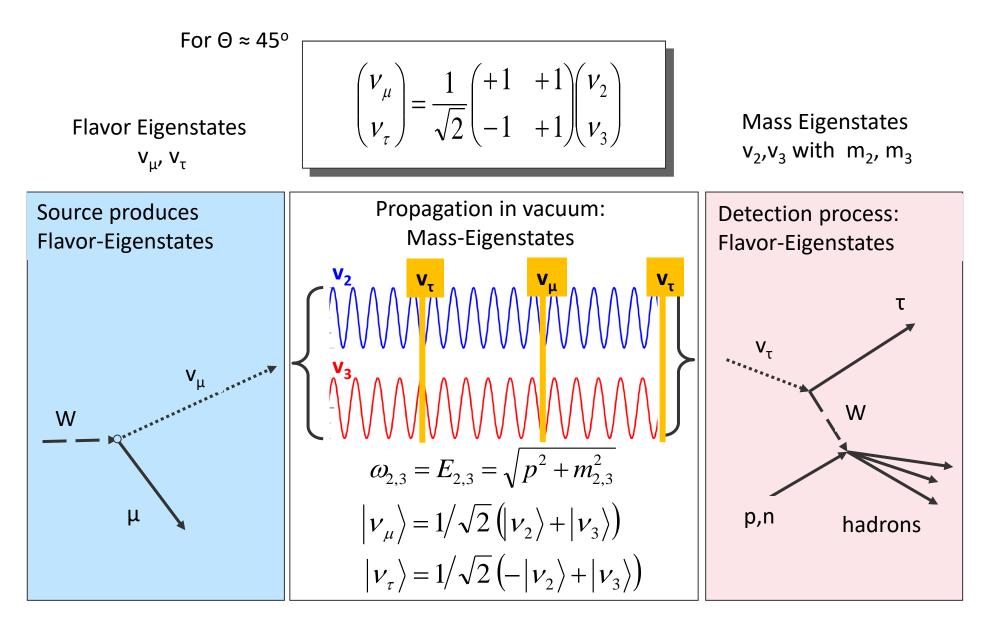


# Basic Oscillation Mechanism



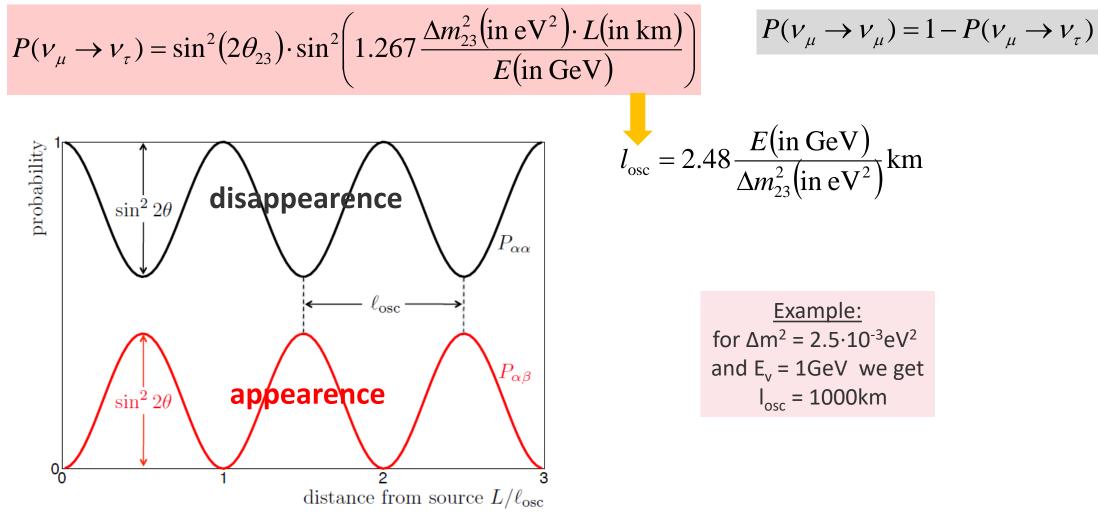
Wonderful example of flavour oscillations, served today at lunch at Neutrino Summer School

## **Neutrino Oscillations (simplified)**





## **Neutrino Oscillations (2 Flavors)**



## **Theoretical Prediction of Neutrino Oscillations:**



Bruno Pontecorvo (1913 – 1993)

#### 1957-58: Pontecorvo:

states for first time possibility of **Neutrino Oscillations** (But: at that time only v<sub>e</sub> were known, so he was thinking of Neutrino ↔ Anti-Neutrino oscillations) B. Pontecorvo, J.Exptl. Theoret. Phys.34(1958) 247 [Sov. Phys. JETP7(1958) 172]

 1962 Maki, Nakagawa, Sakata: describe mixing of 2 flavors and discuss transitions between neutrino flavors.

#### • 1967 Pontecorvo :

thorough discussion of 2 flavor mixing, oscillations of solar-neutrinos and possible existence of sterile neutrinos. Also possibility of Cl-Ar experiments



Very nice overview on history: **Samoil M. Bilenky** "Neutrino oscillations: brief history and present status" arXiv:1408.2864v1 [hep-ph] 12 Aug 2014



One of last great unsolved cold-war science mysteries

S. Turchetti, The Pontecorvo Affair. A Cold War Defection and Nuclear Physics, Univ. of Chicago Press, Chicago (2012)

#### **Die Affäre Pontecorvo**

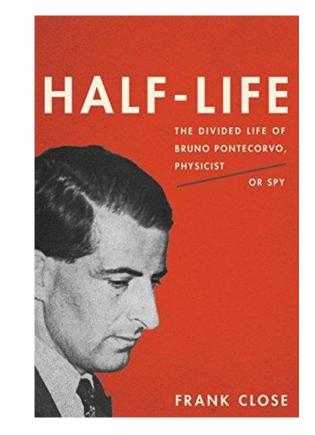
Die ungewöhnliche Karriere des italienischen Kernphysiker Simone Turchetti



Bruno Pontecorvo (links) im Jahr 1949, ein Jahr vor seinem rätselhaften Verschwinden zusammen mit Enrico Fermi (2. von

rechts) bei der Besichtigung einer Fabrik von Olivetti, dem italienischen Hersteller für Büro- und Rechenmaschinen.

in german: Physik Journal 12 (2013) Nr.10



...and here a talk by F. Close https://youtu.be/d4rCjoWiOrw

And a discussion of this book in Nature https://www.nature.com/articles/518032a

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UH



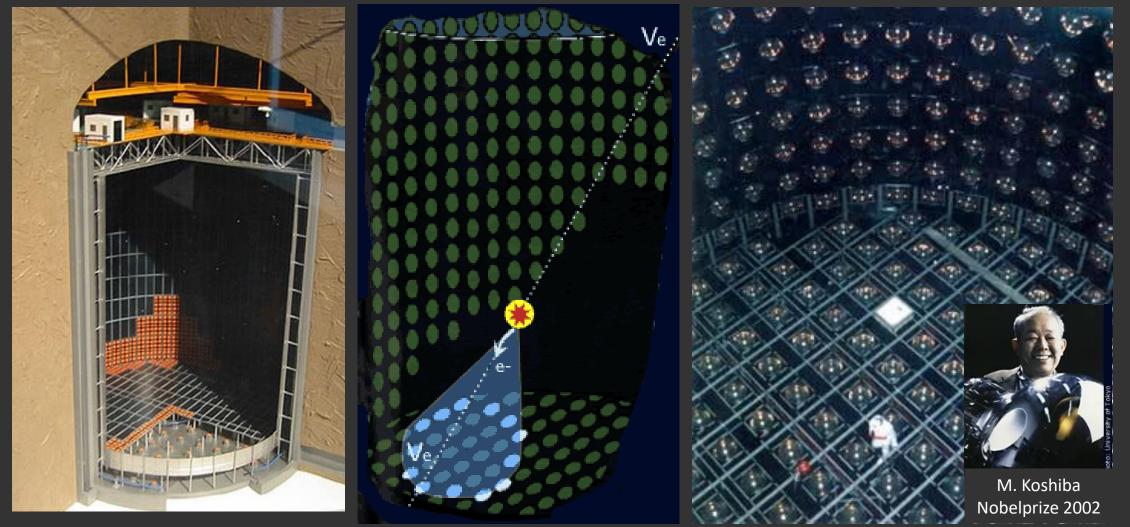
## **The Pioneers & Discovery**



## KamiokaNDE

3 kt Water Cerenkov detector, build for searching proton decay

Nucleon Decay Experiment



"for pioneering contributions to astrophysics, in particular **for the detection of cosmic neutrinos**"

Possible, mode of proton decoy:  
GUT theories  

$$p \rightarrow e^{+} + \pi^{\circ}$$
  
Energy threshold for  
Cherenkov light  
 $positron$   
 $posit$ 

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## **Proton Decay Experiments in the 1980s**

- KamiokaNDE (water cerenkov, FV = 1000t)
- IMB (water cerenkov, FV = 3300t)
- NUSEX (iron tracking calorimeter, FV = 130t)
- Frejus (iron tracking calorimeter, FV = 700t)

Atmospheric neutrinos had been discovered in 1965 and were considered as a background





After muon neutrino was discovered in 1962 (in accelerator experiments)

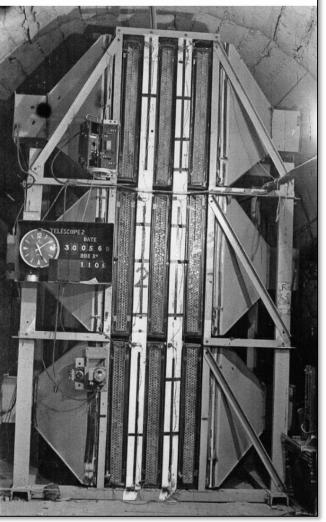
## Discovery of atmospheric neutrinos (1965)



In 1965, atmospheric neutrinos were observed for the first time by detectors located very deep underground.

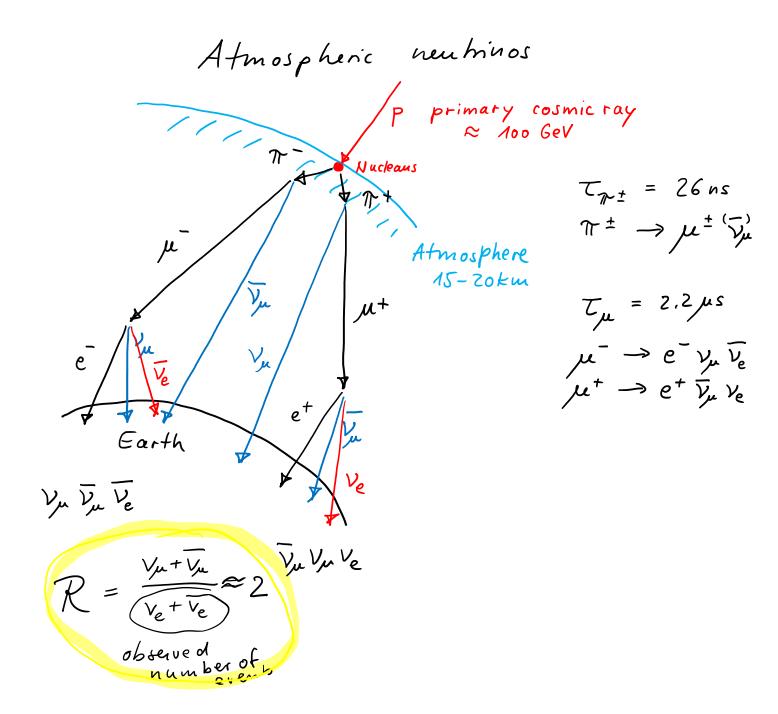
←In South Africa F. Reines et al., PRL 15, 429 (1965)

> → In India C.V. Achar et al., PL 18, 196 (1965)



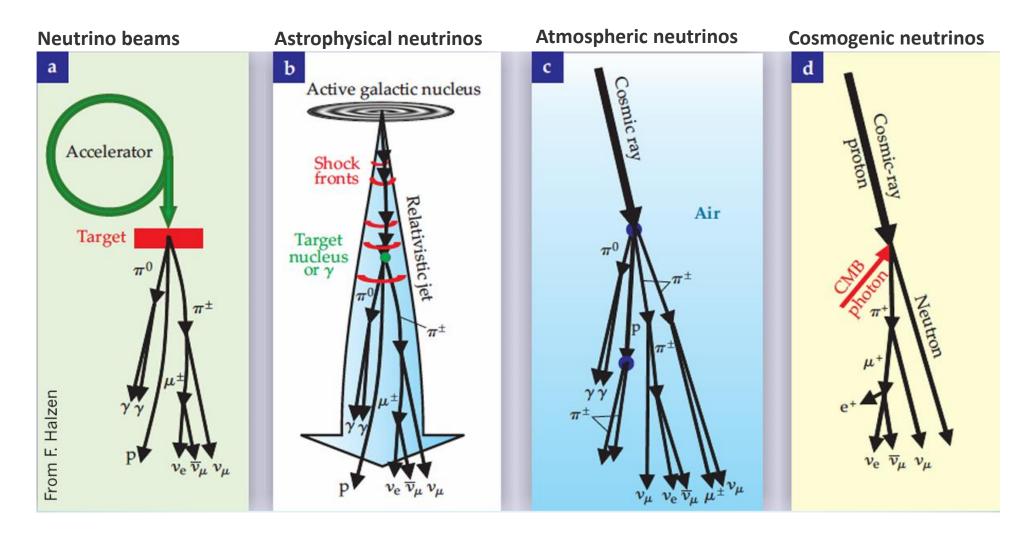
Also interesting: "PERSPECTIVES OF EXPERIMENTAL NEUTRINO PHYSICS IN INDIA", V.S.Narasimham Proc Indian Natn Sci Acad, 70, A, No.1, January 2004, pp.11–25 19

Slide by T.Kajita





## Neutrino production by protons hitting a target

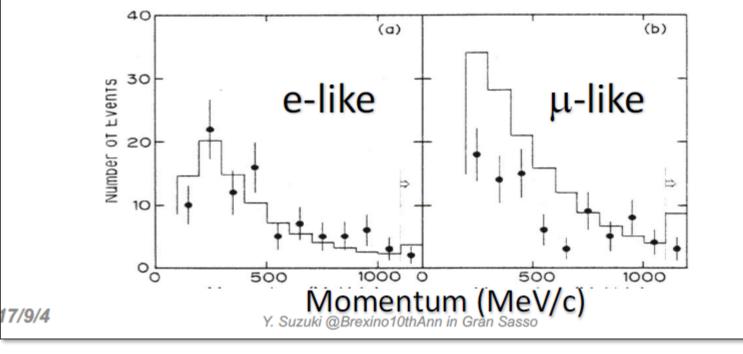




## But then: Kamiokande sees something strange in 1988...

## 1988: atmospheric neutrino anomaly

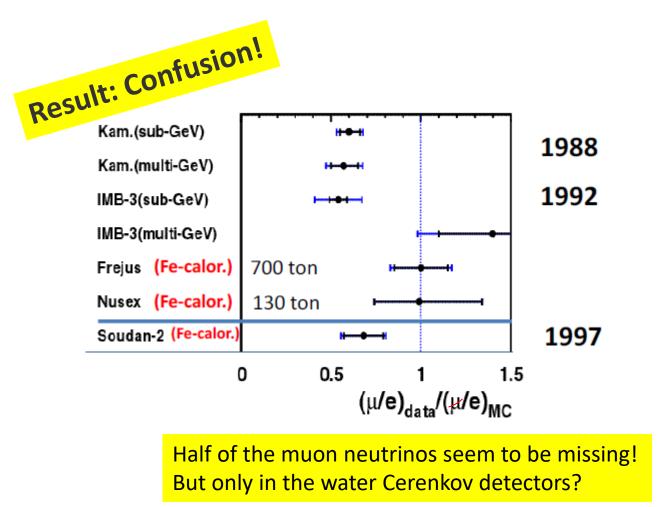
– Kamiokande Observed fewer  $\mu$ -like events in atmospheric  $\nu$  interactions than expected



R= (Obs./MC)μ-like = 59±7% (stat.)



#### Other experiments start looking at this so called atmospheric neutrino anomaly...

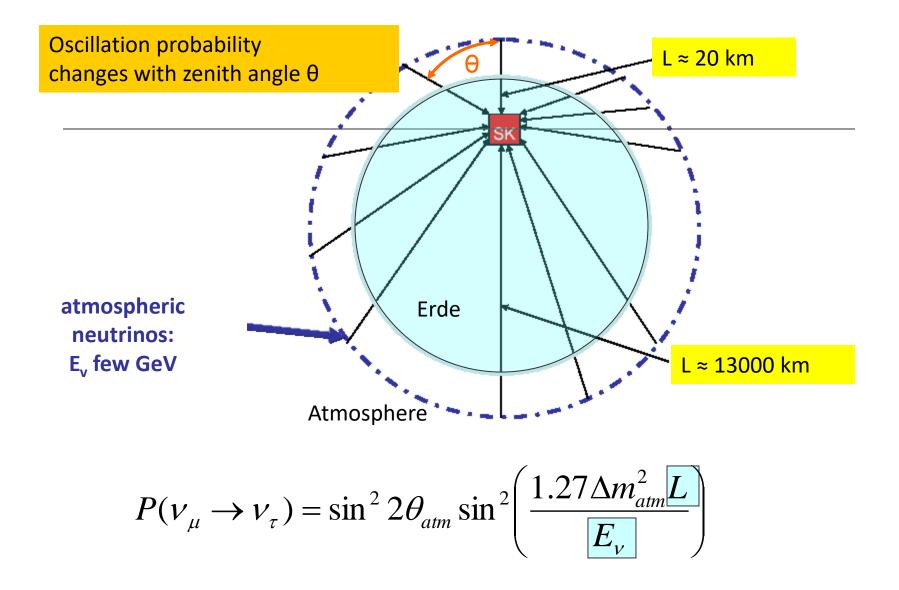


Conclusion: Need bigger and better detector (SuperKamiokande) to solve the puzzle

# Super-Kamiokande

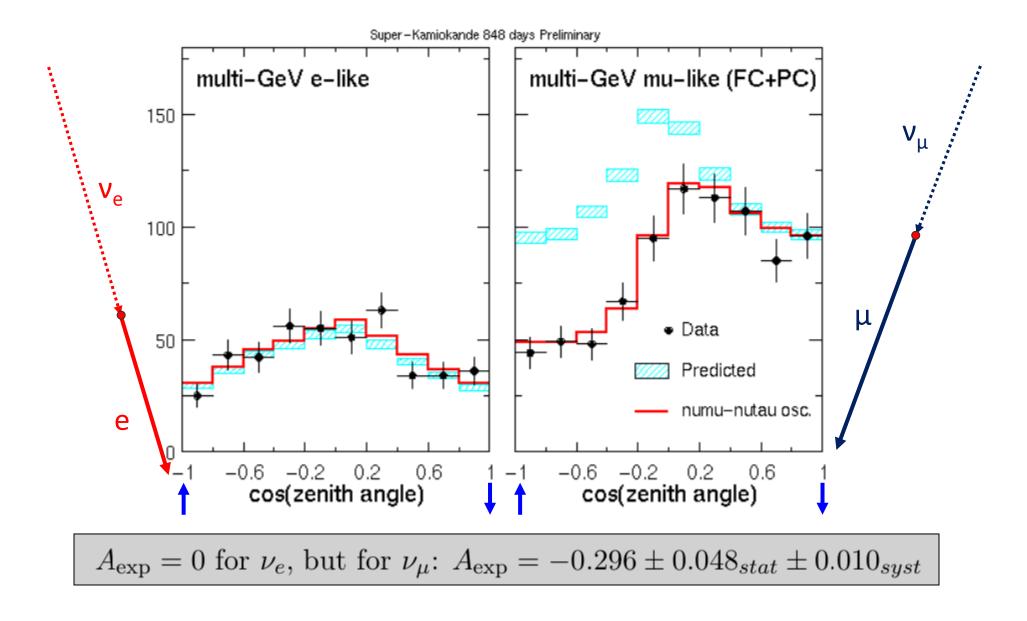


## Zenith angle distribution





#### **SuperK – First Evidence for Neutrino Oscillations 1998**



#### Evidence for Oscillation of Atmospheric Neutrinos

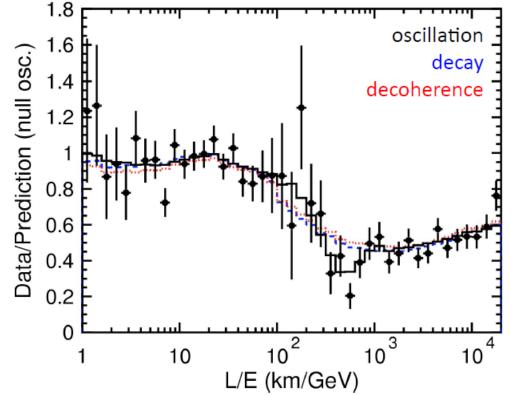
Y. Fukuda,<sup>1</sup> T. Hayakawa,<sup>1</sup> E. Ichihara,<sup>1</sup> K. Inoue,<sup>1</sup> K. Ishihara,<sup>1</sup> H. Ishino,<sup>1</sup> Y. Itow,<sup>1</sup> T. Kajita,<sup>1</sup> J. Kameda,<sup>1</sup> S. Kasuga,<sup>1</sup> K. Kobayashi,<sup>1</sup> Y. Kobayashi,<sup>1</sup> Y. Koshio,<sup>1</sup> M. Miura,<sup>1</sup> M. Nakahata,<sup>1</sup> S. Nakayama,<sup>1</sup> A. Okada,<sup>1</sup> K. Okumura,<sup>1</sup> N. Sakurai,<sup>1</sup> M. Shiozawa,<sup>1</sup> Y. Suzuki,<sup>1</sup> Y. Takeuchi,<sup>1</sup> Y. Totsuka,<sup>1</sup> S. Yamada,<sup>1</sup> M. Earl,<sup>2</sup> A. Habig,<sup>2</sup> E. Kearns,<sup>2</sup> M. D. Messier,<sup>2</sup> K. Scholberg,<sup>2</sup> J. L. Stone,<sup>2</sup> L. R. Sulak,<sup>2</sup> C. W. Walter,<sup>2</sup> M. Goldhaber,<sup>3</sup> T. Barszczxak,<sup>4</sup> D. Casper,<sup>4</sup> W. Gajewski,<sup>4</sup> P. G. Halverson,<sup>4,\*</sup> J. Hsu,<sup>4</sup> W. R. Kropp,<sup>4</sup> L. R. Price,<sup>4</sup> F. Reines,<sup>4</sup> M. Smy,<sup>4</sup> H. W. Sobel,<sup>4</sup> M. R. Vagins,<sup>4</sup> K. S. Ganezer,<sup>5</sup> W. E. Keig,<sup>5</sup> R. W. Ellsworth,<sup>6</sup> S. Tasaka,<sup>7</sup> J. W. Flanagan,<sup>8,†</sup> A. Kibayashi,<sup>8</sup> J. G. Learned,<sup>8</sup> S. Matsuno,<sup>8</sup> V. J. Stenger,<sup>8</sup> D. Takemori,<sup>8</sup> T. Ishii,<sup>9</sup> J. Kanzaki,<sup>9</sup> T. Kobayashi,<sup>9</sup> S. Mine,<sup>9</sup> K. Nakamura,<sup>9</sup> K. Nishikawa,<sup>9</sup> Y. Oyama,<sup>9</sup> A. Sakai,<sup>9</sup> M. Sakuda,<sup>9</sup> O. Sasaki,<sup>9</sup> S. Echigo,<sup>10</sup> M. Kohama,<sup>10</sup> A. T. Suzuki,<sup>10</sup> T. J. Haines,<sup>11,4</sup> E. Blaufuss,<sup>12</sup> B. K. Kim,<sup>12</sup> R. Sanford,<sup>12</sup> R. Svoboda,<sup>12</sup> M. L. Chen,<sup>13</sup> Z. Conner,<sup>13,‡</sup> J. A. Goodman,<sup>13</sup> G. W. Sullivan,<sup>13</sup> J. Hill,<sup>14</sup> C. K. Jung,<sup>14</sup> K. Martens,<sup>14</sup> C. Mauger,<sup>14</sup> C. McGrew,<sup>14</sup> E. Sharkey,<sup>14</sup> B. Viren,<sup>14</sup> C. Yanagisawa,<sup>14</sup> W. Doki,<sup>15</sup> K. Miyano,<sup>15</sup> H. Okazawa,<sup>15</sup> C. Saji,<sup>15</sup> M. Takahata,<sup>15</sup> Y. Nagashima,<sup>16</sup> M. Takita,<sup>16</sup> T. Yamaguchi,<sup>16</sup> M. Yoshida,<sup>16</sup> S. B. Kim,<sup>17</sup> M. Etoh,<sup>18</sup> K. Fujita,<sup>18</sup> A. Hasegawa,<sup>18</sup> T. Hasegawa,<sup>18</sup> S. Hatakeyama,<sup>18</sup> T. Iwamoto,<sup>18</sup> M. Koga,<sup>18</sup> T. Maruyama,<sup>18</sup> H. Ogawa,<sup>18</sup> J. Shirai,<sup>18</sup> A. Suzuki,<sup>18</sup> F. Tsushima,<sup>18</sup> M. Koshiba,<sup>19</sup> M. Nemoto,<sup>20</sup> K. Nishijima,<sup>20</sup> T. Futagami,<sup>21</sup> Y. Hayato,<sup>21,§</sup> Y. Kanaya,<sup>21</sup> K. Kaneyuki,<sup>21</sup> Y. Watanabe,<sup>21</sup> D. Kielczewska,<sup>22,4</sup> R. A. Doyle,<sup>23</sup> J. S. George,<sup>23</sup> A. L. Stachyra,<sup>23</sup> L. L. Wai,<sup>23,||</sup> R. J. Wilkes,23 and K. K. Young23 (Super-Kamiokande Collaboration)



Nobelprize 2015 for T. Kajita



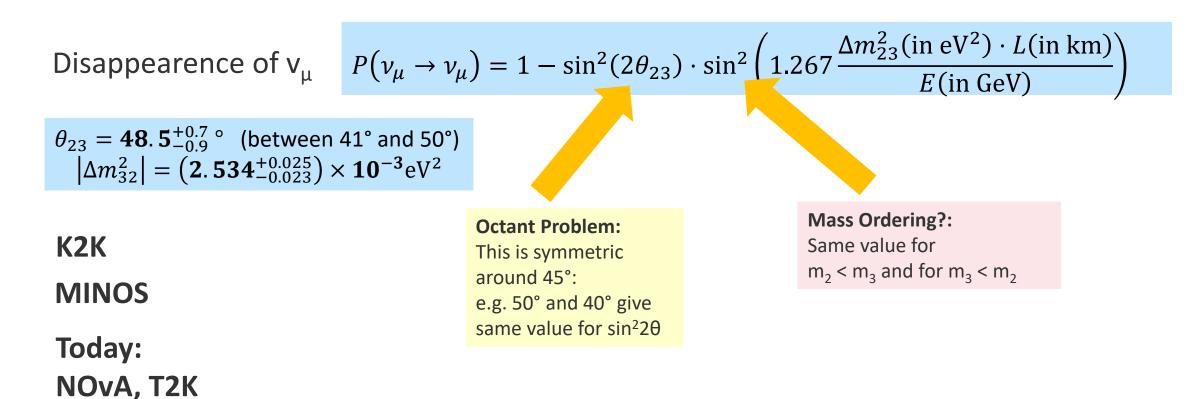
# Super-K L/E Analysis (2005): alternative mechanisms disfavoured



 $\rightarrow$  Oscillation scenario preferred at >3 $\sigma$  level.



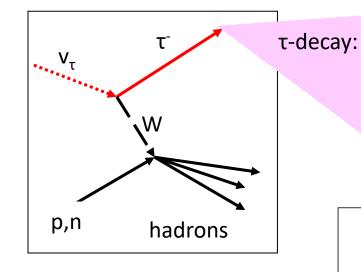
## This was confirmed by neutrino beam experiments



...further confirmed by atmospheric neutrinos in SK and Icecube







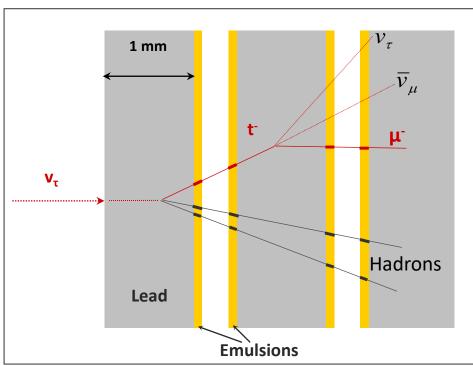
$$\tau^{-} \rightarrow \mu^{-} + \overline{v}_{\mu} + v_{\tau} \qquad 18\%$$

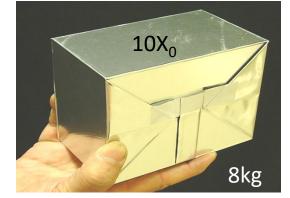
$$\tau^{-} \rightarrow e^{-} + \overline{v}_{e} + v_{\tau} \qquad 18\%$$

$$\tau^{-} \rightarrow \pi^{-}(n\pi^{0}) + v_{\tau} \qquad 48\%$$

$$\tau^{-} \rightarrow \pi^{-}\pi^{-}\pi^{+}(n\pi^{0}) + v_{\tau} \qquad 15\%$$

Typical topology of τ-decay: **"Kink"** within 1mm from vertex





lead-emulsion-brick (total ≈ 150.000)

target mass: ≈ 1,35 kton



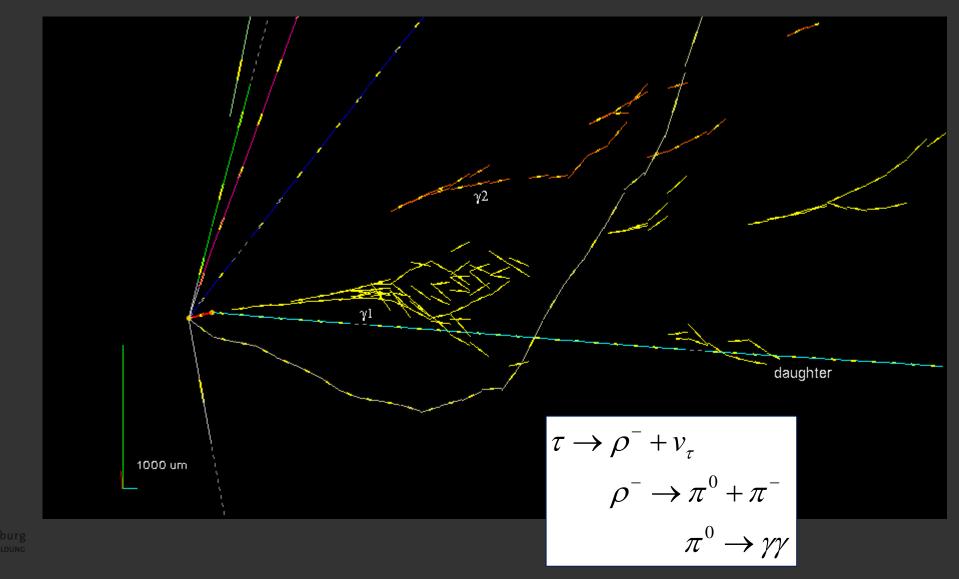


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## First v<sub>Tau</sub> Candidate Event (22/08/2009)

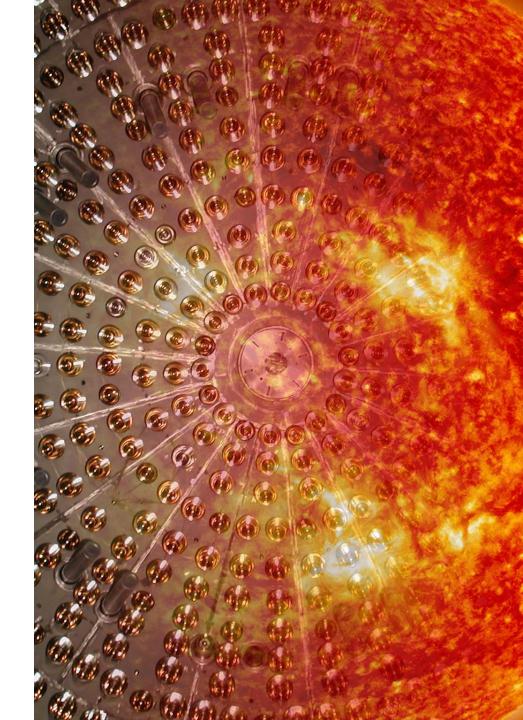
Opera Coll., "Search for nu-mu - nu-tau oscillation with the OPERA experiment in the CNGS beam", New J. Phys. 14 (2012) 033017



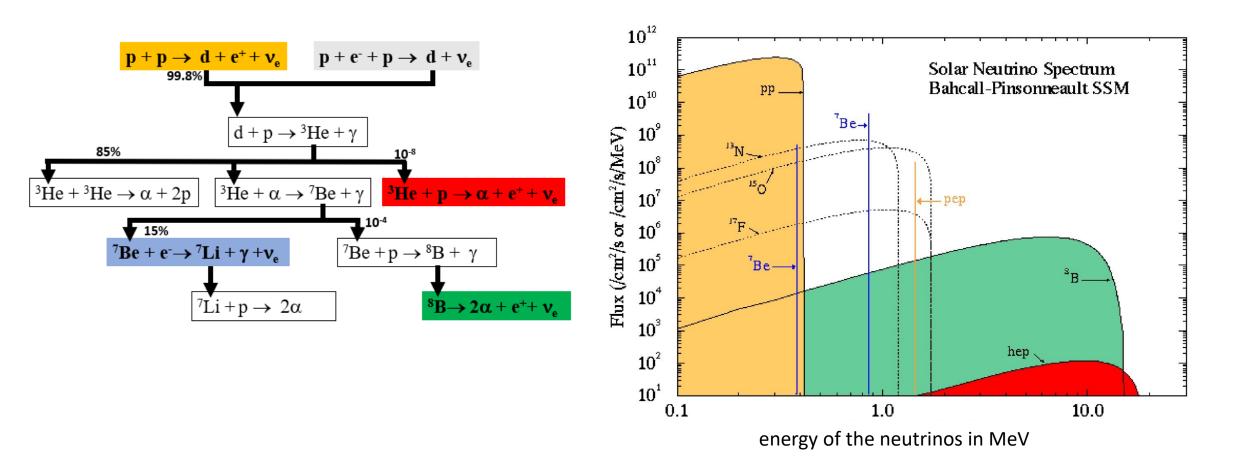


# Why Matter matters, even for neutrinos

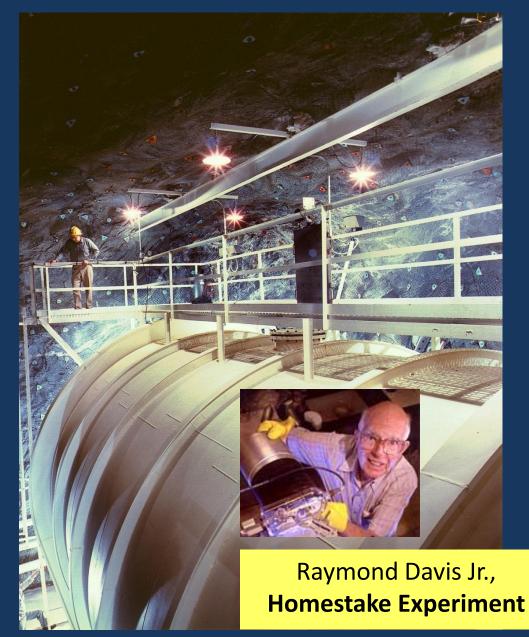




## Standard Solar Model (SSM) predicted solar neutrino fluxes



#### **Solar Neutrinos: First Detection**

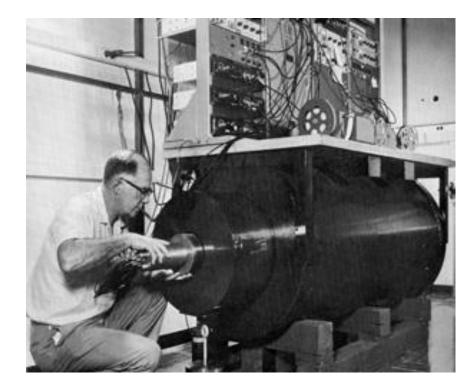


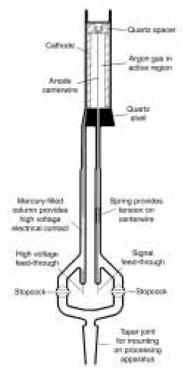
1970 - 1994  $\nu_e$  + <sup>37</sup>Cl  $E_v$  > 814 keV (<sup>8</sup>B, <sup>7</sup>Be Neutrinos)

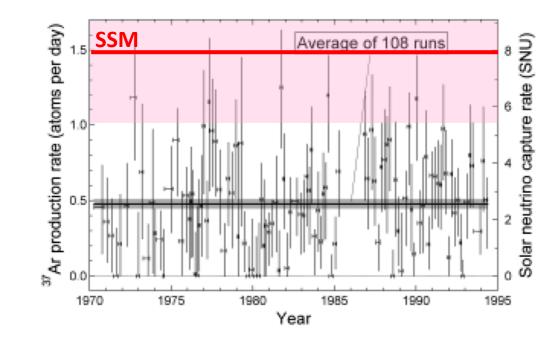
radio chemical experiments  $R = N_{Target} \cdot \int (f(E) \cdot f(E)) dE$ To produce 1 Atom per day (here <sup>37</sup>Ar)  $\phi \sim 10^{10} \frac{1}{cm^2 s} \qquad heed \ 10^{30} + arget$   $f \sim 10^{-45} cm^2 \qquad toms$   $6 \cdot 10^{23} \text{ Atom in } 1 \text{ mol } \implies few \ 1000 \text{ tons}$ Here: 4 500 m<sup>3</sup> of Per chlor ethylene

# How to count single <sup>37</sup>Ar atoms

Electron capture:  $e^{-} + {}^{37}Ar \longrightarrow {}^{37}Ol + V_e$ + X - rays







First detection of solar neutrinos BUT:  $R_{exp} = 0.34 \times R_{SSM}$ 





Бруно Понтекоры

#### ОБЪЕДИНЕННЫЙ ИНСТИТУТ ЯДЕРНЫХ ИССЛЕДОВАНИЙ

#### JOINT INSTITUTE FOR NUCLEAR RESEARCH

Москва, Главный почтамт п/я 79.

Head Post Office, P.O. Box 79, Moscow, USSR

No 994/31

April 6, 19 72

Prof. J.N.Bahcall The Institute for Advanced Study School of Natural Science Princeton, New Jersey 08540, USA

Dear Prof. Bahcall,

Thank you very much for your letter and the abstract of the new Davis investigation the numerical results of which I did not know. It starts to be really interesting! It would be nice if all this will end with something unexpected from the point of view of particle physics. Unfortunately, it will not be easy to demonstrate this, even if nature works that way.

I will attend the Balaton meeting on neutrinos and looking forward to see you there.

Yours sincerely,

BDonbecon



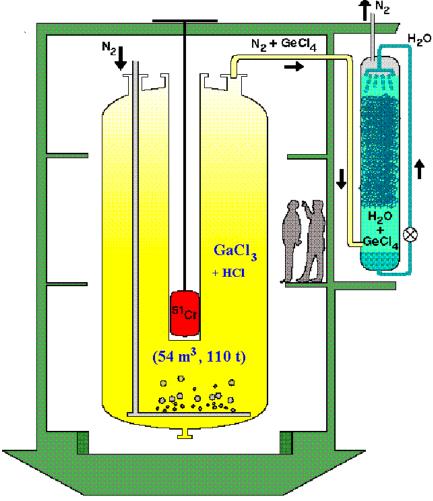


Davis & Bahcall (1964)



## GALLEX/GNO Experiment at LNGS (SAGE at Baksan) (1992-2004)

 $v_{\rho} + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^{-} \qquad E_{v} > 233 \text{ keV}, \text{ pp-neutrinos!}$ 



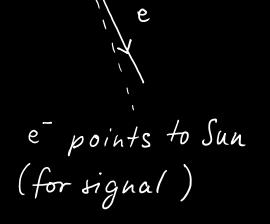


pp - neutrinos detected

BUT:  $R_{exp} = 0.6 \times R_{SSM}$ 



The Sun shines in neutrino light as seen by Super-Kamiokande



 $\mathcal{V}_{e} + e \rightarrow \mathcal{V}_{e} + e^{-1}$ 

 $\mathcal{V}_{e}$ 

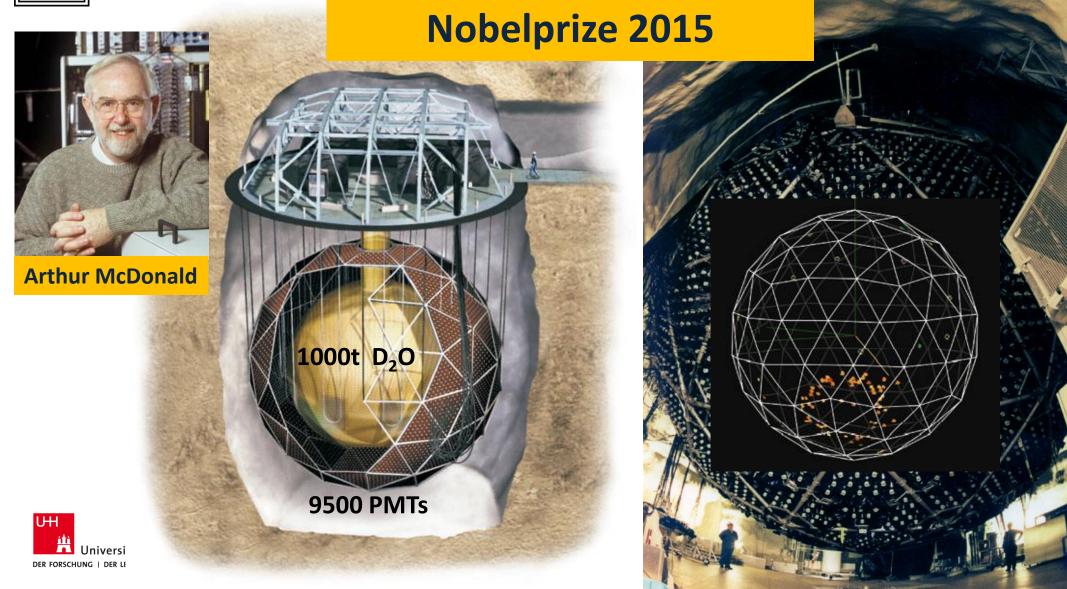
# The solar neutrino puzzle (around 1995)

neutrino energy < 1 MeV: 60% of v<sub>e</sub> observed

neutrino energy > 1 MeV: 30% of v<sub>e</sub> observed



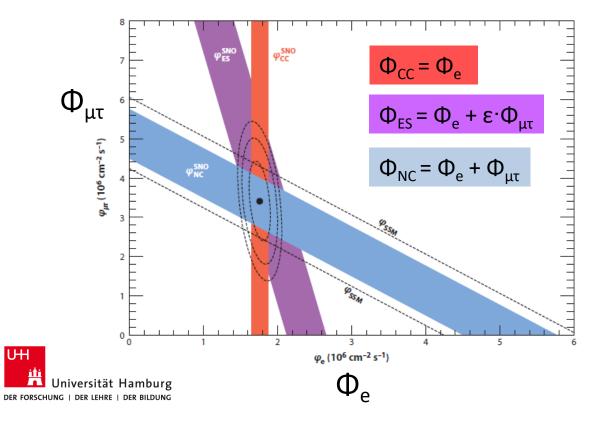
# **SNO: The Solution of the Solar Neutrino Puzzle**





#### Only <sup>8</sup>B Neutrinos:

$$\begin{array}{ccc} & \mathbf{V}_{\mathbf{e}} + d \rightarrow p + p + e^{-} \\ & \mathbf{ES} & \mathbf{V}_{\mathbf{e}(\mu\tau)} + e^{-} \rightarrow \mathbf{v} + e^{-} \\ & \mathbf{NC} & \mathbf{V}_{\mathbf{e}\mu\tau} + d \rightarrow p + n + \mathbf{v} \end{array}$$

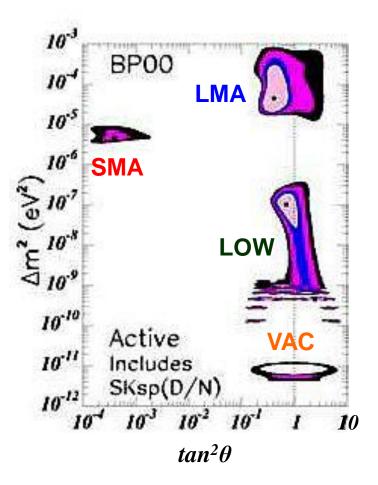


SNO Result (salt-phase, PRL 92, 181301, 2004): The total number of neutrinos agrees with SSM  $\phi(^{8}B)_{meas} = (0.88 \pm 0.04 \text{ (exp)} \pm 0.23 \text{ (th)}) \phi(^{8}B)_{SSM}$ 

#### And:

- 1/3 of v<sub>e</sub> arrive on Earth as v<sub>e</sub>.
- 2/3 of  $v_e$  have transformed into  $v_{\mu}$  or  $v_{\tau}$ .

#### Allowed values for neutrino parameters to explain solar neutrino observations Spring 2002

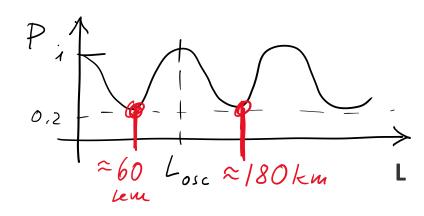


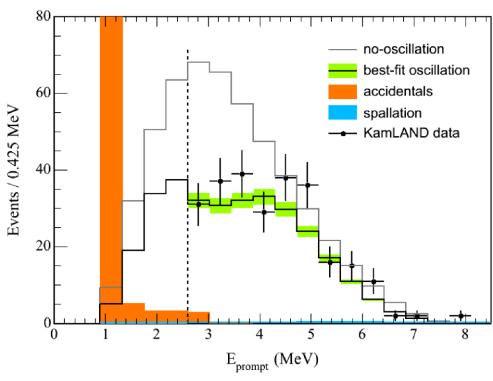


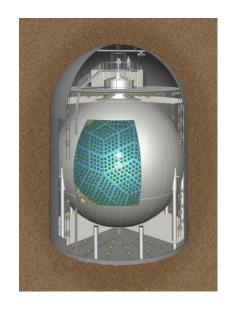
# KamLAND result 2004

Average distance of japanese nuclear power plants to KamLAND: 175km

Oscillation length for 125km







Best Fit :  $\Delta m_{12}^2 = 8.3 \times 10^{-5} \text{ eV}^2$  $\sin^2 2\theta_{12} = 0.83$ 

"First evidence of deformation in energy spectrum for solar/reactor neutrinos"



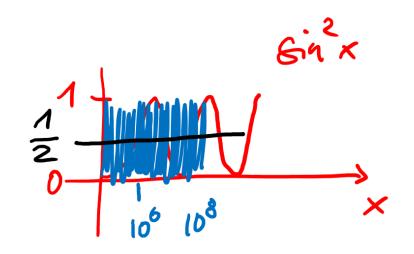
# Is this the explanation for all solar neutrino results?

Let us assume:  $\Delta m_{12}^2 = 8 \times 10^{-5} \text{eV}^2$ ,  $\theta_{12} = 33^\circ$ 

2 Flavour Oscillation in Vacuum:

$$P(v_e \to v_{\mu}) = \sin^2(2\theta_{12}) \cdot \sin^2\left(1.27 \cdot \frac{\Delta m_{12}^2 L}{E}\right)$$
  
0.1-10 MeV

$$\delta = 1.27 \cdot \frac{8 \cdot 10^{-5} \cdot 1.5 \cdot 10^{11}}{10^{-1} - 10^{1}} \approx 10^{6} - 10^{6}$$



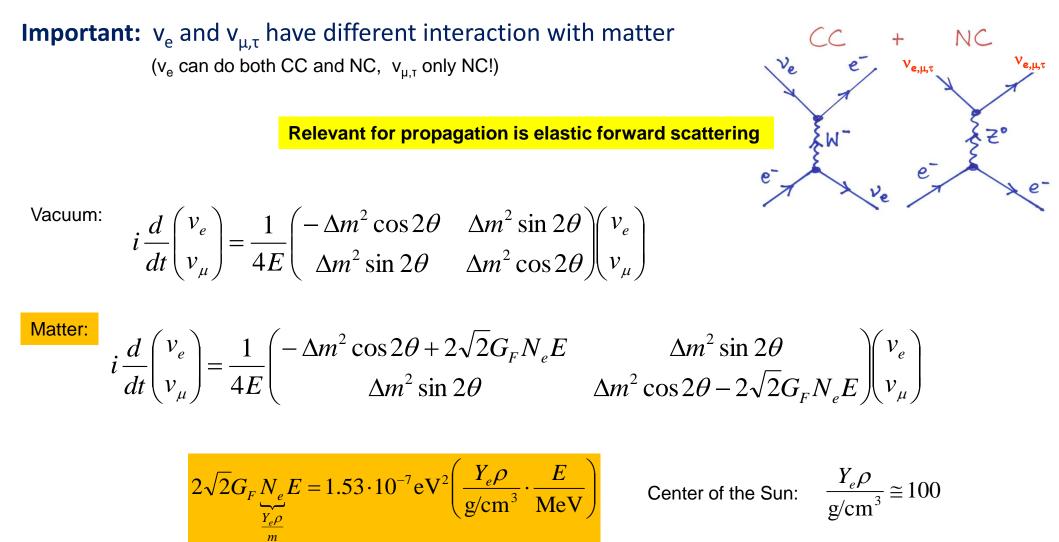
Therefore the sin<sup>2</sup> averages out to  $\frac{1}{2}$  (incoherent mixture) and we loose the energy/distance dependence, the survival probability for v<sub>e</sub> is then:

$$P(v_e \rightarrow v_e) = 1 - \frac{1}{2} \sin^2(2\theta_{12}) = 0.6$$

Explains the low energy (E < 1MeV) part of solar neutrino experiments (Gallex/GNO,Sage) Need other mechanism for E > 1 MeV



#### **Neutrino Propagation in Matter (Overview)**





$$\Delta m_m^2 = \sqrt{\left(\Delta m^2 \cos 2\theta - 2\sqrt{2}G_F N_e E\right)^2 + \left(\Delta m^2 \sin 2\theta\right)^2}$$
$$\sin 2\theta_m = \frac{\sin 2\theta}{\sqrt{\left(\frac{2\sqrt{2}G_F N_e E}{\Delta m^2} - \cos 2\theta\right)^2 + \left(\sin 2\theta\right)^2}}$$

$$2 \sqrt{2} G_F N_e E \approx 1.53 \cdot 10^{-7} eV^2 \left(\frac{Y_{eg}}{g/_{cm}^3}, \frac{E}{Mev}\right)$$
  
 $\approx 100$   
in the Sun

Quasi Vacuum  $2\sqrt{2}G_{E}N_{e}E \ll \Delta m^{2}cos2\theta$  $\Delta m_m^2 \simeq \Delta m^2$ Qm ~ Q pp-neutrinos, <sup>7</sup>Be-neutrinos

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Resonance  $2\sqrt{z}G_{F}N_{e}E = \Delta m^{2}\cos 2\theta$ for  $\Delta m^2 = 8 \cdot 10^{-5} eV^2$ ,  $\theta = 33^\circ$  $E \approx 1-2 \text{ MeV}$  $\Delta m_m^2 = \Delta m^2 \sin 2\theta$  $\theta_m = \frac{\pi}{4}$ In these equations the sign of Δm<sup>2</sup> matters!

Matter dominated 2VZG\_N\_E>> Am<sup>2</sup>cos20  $\Delta m^2 \rightarrow 2\sqrt{2}G_F N_e E$  $\Theta_m \rightarrow \frac{\pi}{2} (90^\circ)$ In the Sun, for Ey= 5 MeV With Am2 = 8.10-5 eV2, 0=33° Yee = 909/m3  $\mathcal{G}_{\mu} \approx 73^{\circ}$ 

<sup>8</sup>B-neutrinos

For <sup>8</sup>B Neutrinos at center of the Sun:

$$\begin{pmatrix} v_e \\ v_\mu \end{pmatrix} = \begin{pmatrix} \cos 73^\circ & \sin 73^\circ \\ -\sin 73^\circ & \cos 73^\circ \end{pmatrix} \begin{pmatrix} v_{1m} \\ v_{2m} \end{pmatrix}$$

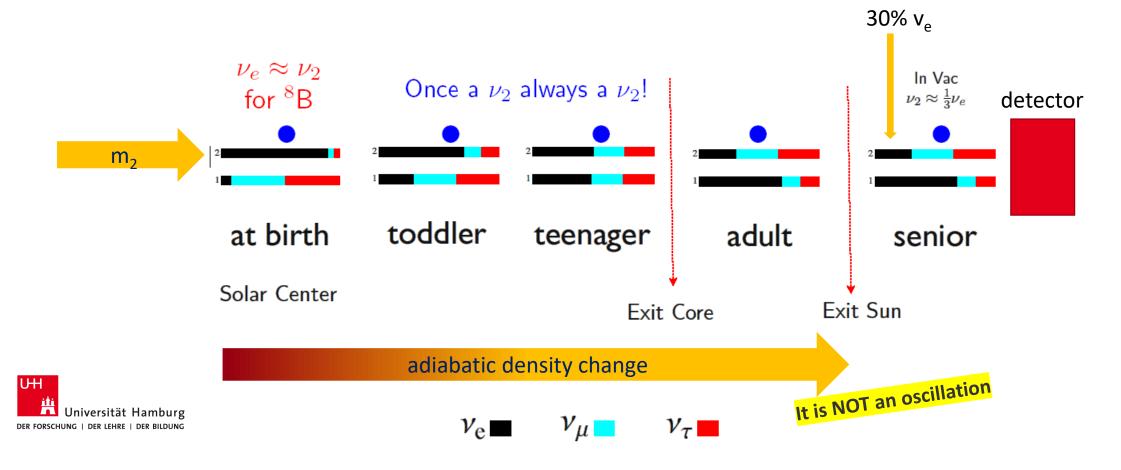
This means, the probability that  $v_e$  has mass  $m_2$  is  $sin^2(73^\circ) = 91\%$ 

For <sup>8</sup>B Neutrinos in vacuum (at earth):

$$\begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix} = \begin{pmatrix} \cos \theta_{12} & -\sin \theta_{12} \\ \sin \theta_{12} & \cos \theta_{12} \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

The probability that  $v_2$  is a  $v_e$  is sin<sup>2</sup> $\theta_{12}$ 

Life of a Boron-8 Solar Neutrino:



#### **Neutrino Propagation in Matter: MSW mechanism**



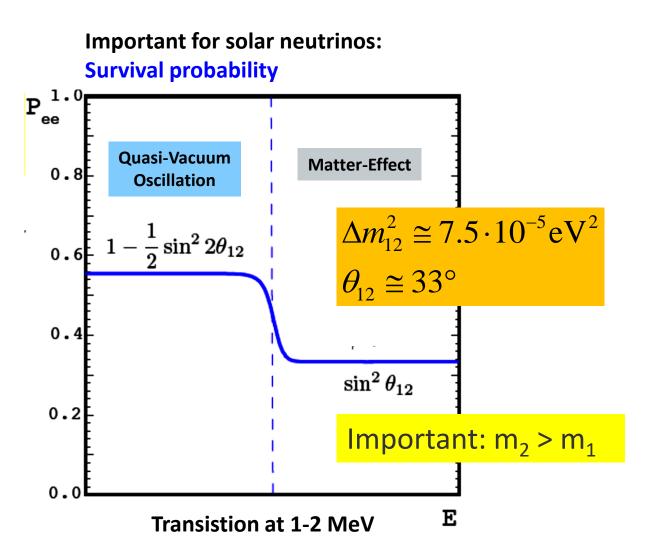
Stanislav Mikheev Alexei Smirnov (1940-2011)

 Lincoln Wolfenstein (1923-2015)

L. Wolfenstein, Phys. Rev. D17 (1978) 2369 S. P. Mikheev and A. Yu. Smirnov, Nuovo Cim.C9 (1986)17

Interaction of  $\boldsymbol{v}_{e}$  and  $\boldsymbol{v}_{\mu,\tau}$  with electrons different.

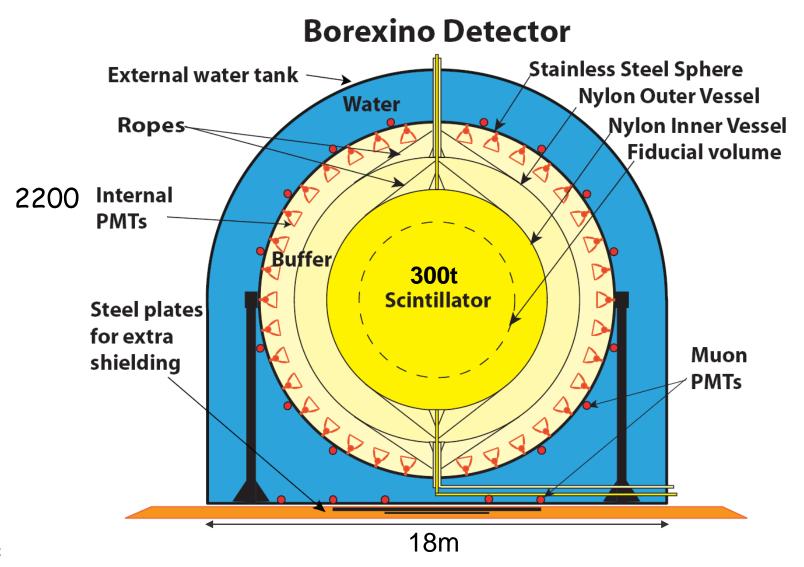
 $\rightarrow$  Effective masses, effective mixing angles depending on electron density  $\rm N_e$  and energy of neutrino



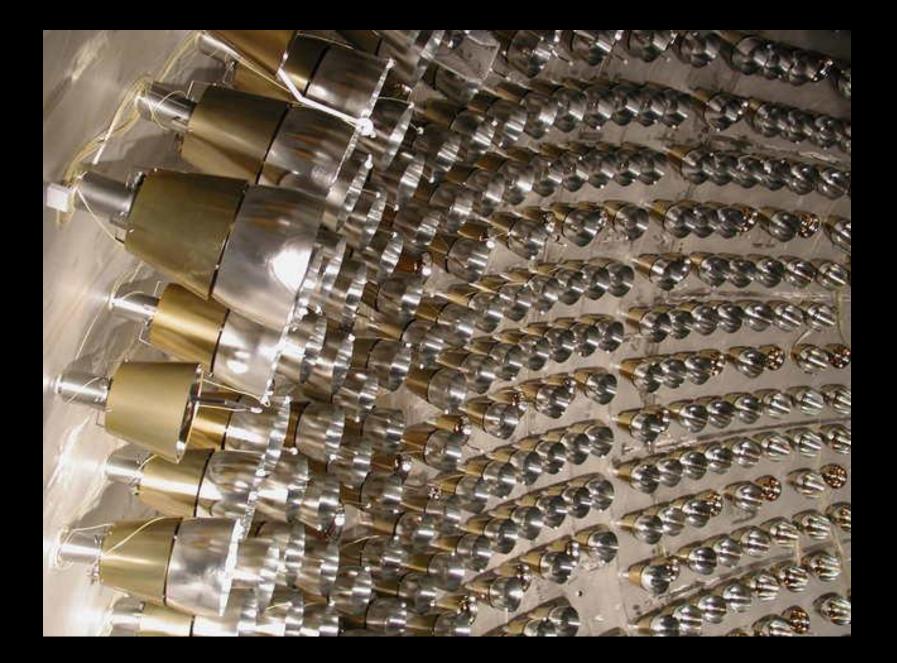




# **BOREXINO at LNGS**

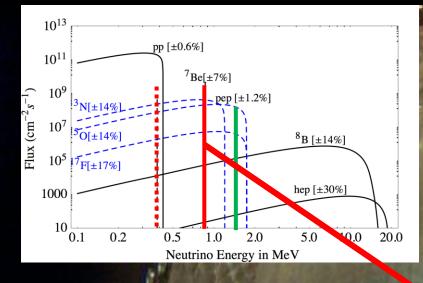




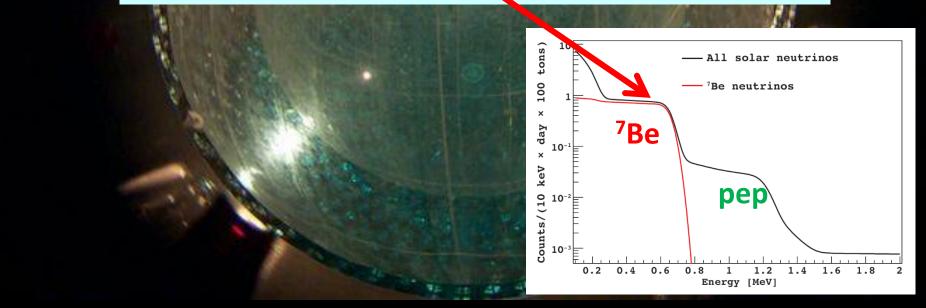


#### Photomultipliers and light concentrators in Borexino

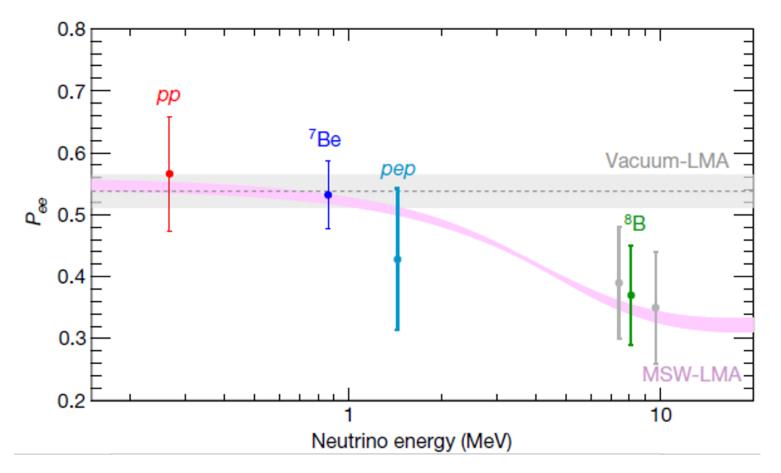




elastic scattering of neutrinos on electrons: neutrino "lines"  $\rightarrow$  Compton-like edge in spectrum of recoil electrons



#### **BX Analysis 2018: Flavor Transition in Matter**



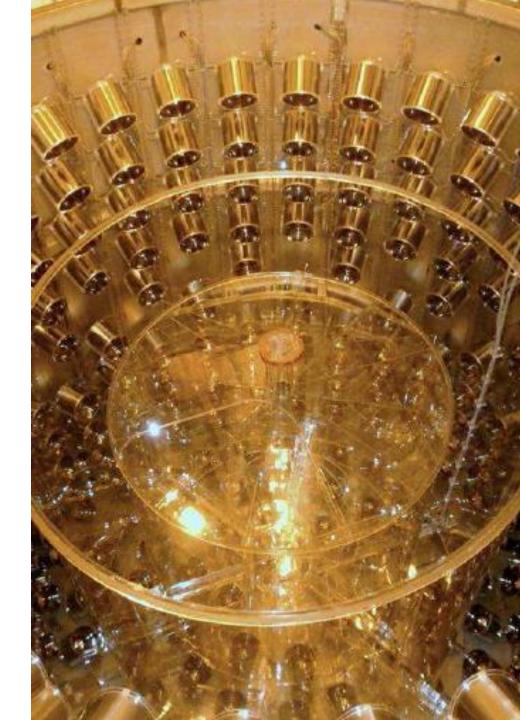
Borexino Coll. "Comprehensive measurement of pp-chain solar neutrinos", Nature Vol562, pages505–510 (2018)



# The third mixing angle: towards precision



5



$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{+i\delta} & 0 & \cos\theta_{13} \end{pmatrix} \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
  
$$\theta_{23} = 48.5^{+0.7}_{-0.9} \circ \text{ (between 41° and 50°)} \\ |\Delta m_{32}^2| = (2.534^{+0.025}_{-0.023}) \times 10^{-3} \text{eV}^2$$
  
$$\Theta_{13}?$$

Around 2010 many experiments started to measure it: It was assumed, that likely it would be very small . Two methods were used:

- Appearence of  $v_e$  in  $v_{\mu}$  beams
- Disappearence of anti-v<sub>e</sub> from nuclear power plants



#### Neutrino Mixing and Oscillations (3 flavours)

$$\begin{pmatrix} v_e \\ v_\mu \\ v_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e1} & U_{e1} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}$$

 $c_{12}$  stands for  $cos\vartheta_{12}$ ,  $s_{12}$  stands for  $sin\vartheta_{12}$  etc.,  $\delta$  is the Dirac CP-phase

Probability for neutrino oscillation (neutrino with energy E, after distance L) from flavour  $\alpha$  to flavour  $\beta$ 

$$\mathcal{P}(v_{\alpha} \rightarrow v_{\beta}) = \sum_{i,j} \mathcal{U}_{\alpha i} \mathcal{U}_{\beta i}^{*} \mathcal{U}_{\alpha j}^{*} \mathcal{U}_{\beta j} e^{-i2\Delta_{ij}}$$
with:  $\Delta_{ij} = \frac{\Delta m_{ij}^{2} L}{4E} = 1.27 \frac{\Delta m_{ij}^{2}}{ev^{2}} \cdot \frac{L}{km} \cdot \frac{Gev}{E}$ 

$$\mathcal{P}(v_{\alpha} \rightarrow v_{\beta}) = \int_{\alpha_{\beta}} -4\sum_{k>j} \operatorname{Re}(\mathcal{U}_{\alpha k} \mathcal{U}_{\beta k}^{*} \mathcal{U}_{\alpha j}^{*} \mathcal{U}_{\beta j}) \delta_{in} \Delta_{jk} - 2\sum_{k>j} \operatorname{Im}(\mathcal{U}_{\alpha k} \mathcal{U}_{\beta k}^{*} \mathcal{U}_{\alpha j}^{*} \mathcal{U}_{\beta j}) \delta_{in} (2\Delta_{jk})$$

$$Iah \qquad CP \quad contenving \qquad CP \quad violating \quad if \quad \alpha = \beta, \text{ this is } 0$$

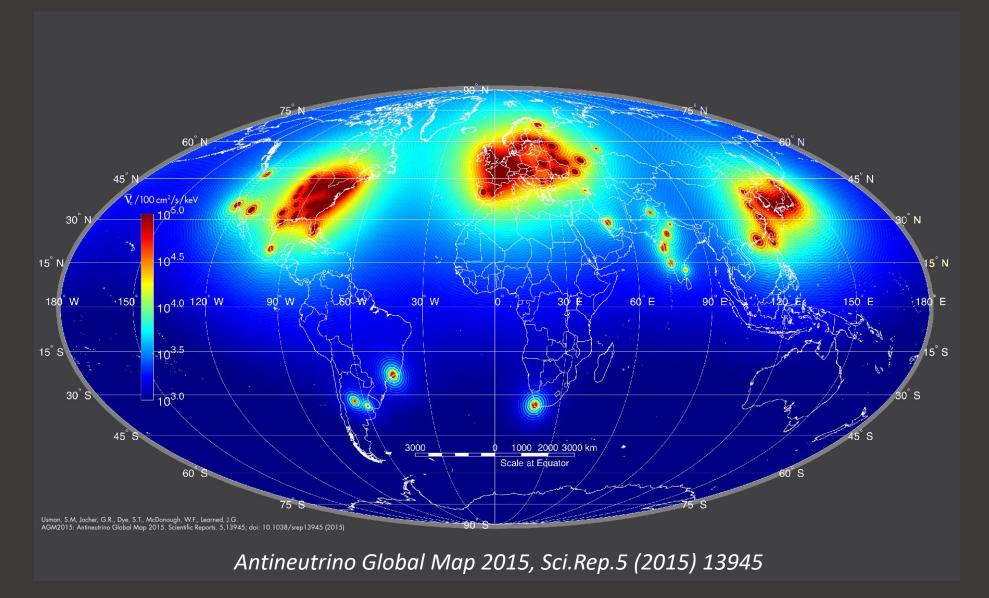


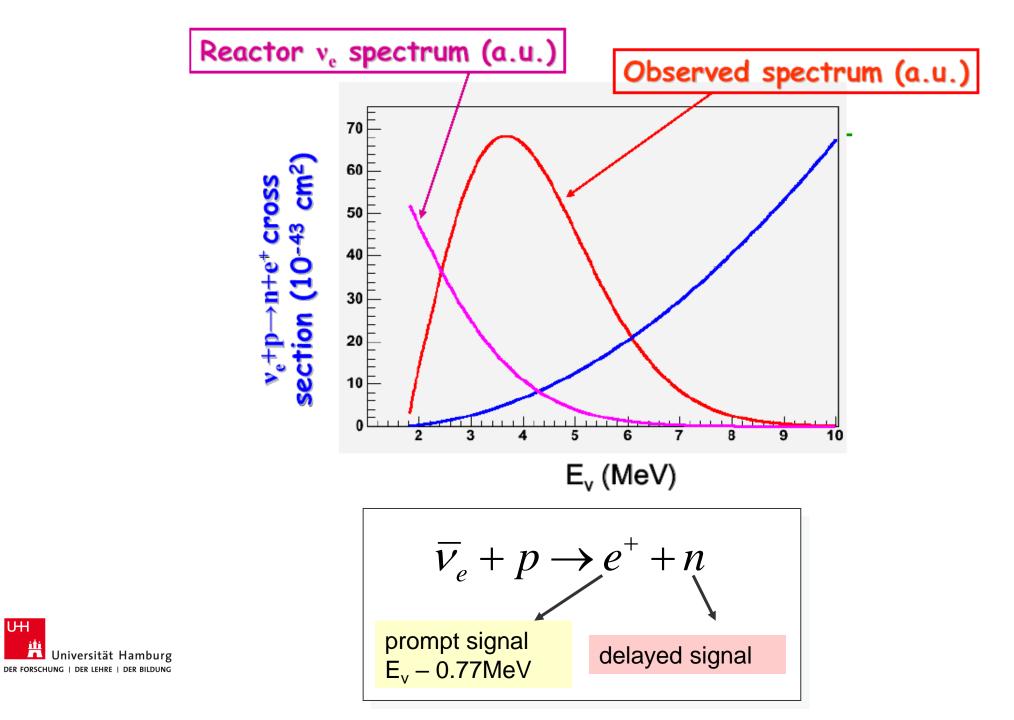
# Survival of $v_e$ from nuclear power plants: $\theta_{13}$

Simplification for 
$$E_{v} \approx 3 \text{ MeV}$$
  
and  $L = 1 \text{ km}$   
 $\Delta m_{12}^{2} = 8 \cdot 10^{-5} \text{ eV}^{2}$ ,  $\Delta m_{13}^{2} \approx \Delta m_{23}^{2} = 2.5 \cdot 10^{-3} \text{ eV}^{2}$   
 $\Rightarrow P = 1 - 4 \sin^{2}\theta_{13}\cos^{2}\theta_{13}\sin^{2}\Delta_{13} - 4$  .....  $\sin^{2}\Delta_{12}$   
 $P = 1 - \frac{\sin^{2}(2\theta_{13})}{\sqrt{2}}\sin^{2}\left(\frac{\Delta m_{13}^{2}L}{4E}\right)$   
 $V_{ery small} \ll 1$   
 $V_{er$ 



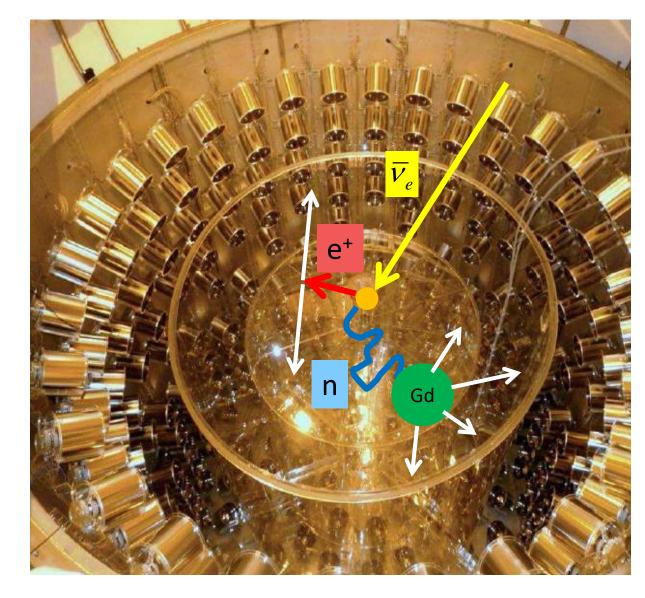
## $\overline{v}_e$ -flux from nuclear power plants





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#### **Antineutrino Detection in Reactor Experiments**



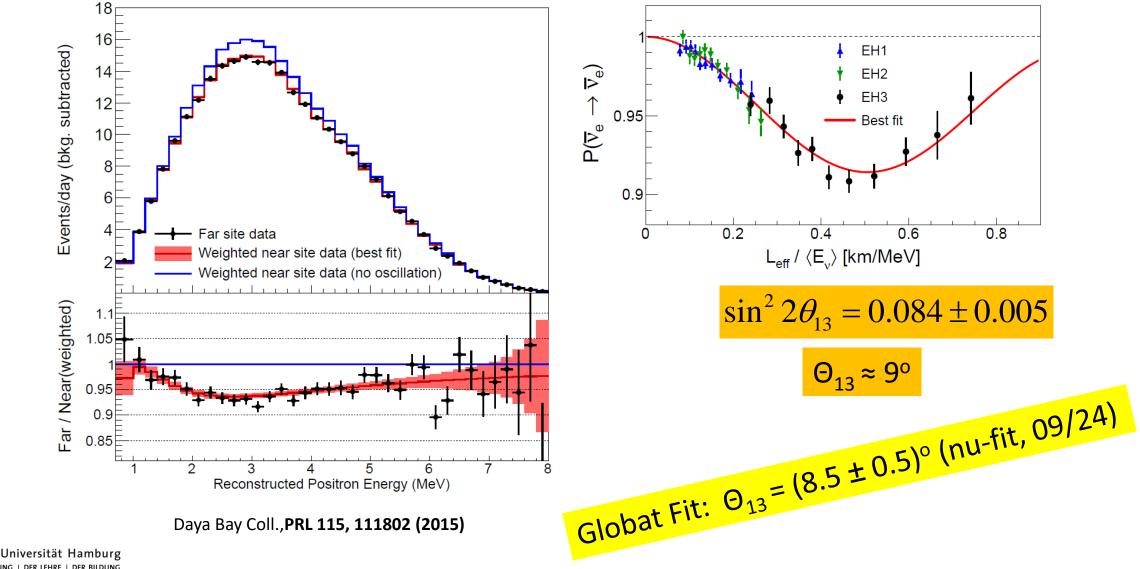
Inverse Beta Decay:  $\overline{v}_e + p \rightarrow n + e^+$ 

Prompt Event: e<sup>+</sup> gives neutrino energy  $E_v$  $E_v = E_{vis} + 1.8 \text{MeV} - 2m_e$ 

Delayed Event: n capture on Gd (8MeV γ-emmission) Delay: ca. 30μs

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## Daya Bay Result: precision measurement of $\Theta_{13}$



DER FORSCHUNG | DER LEHRE | DER BILDUNG

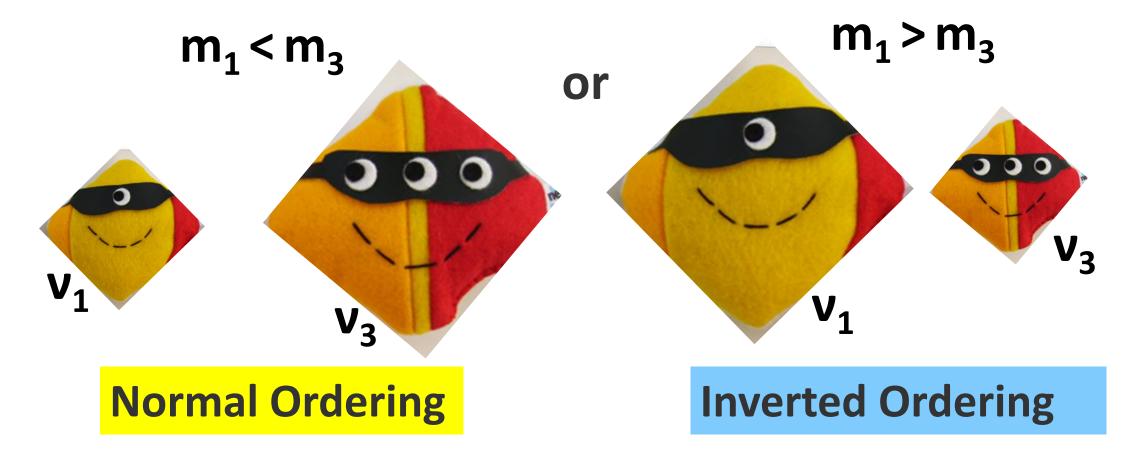
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# Into the Unknown

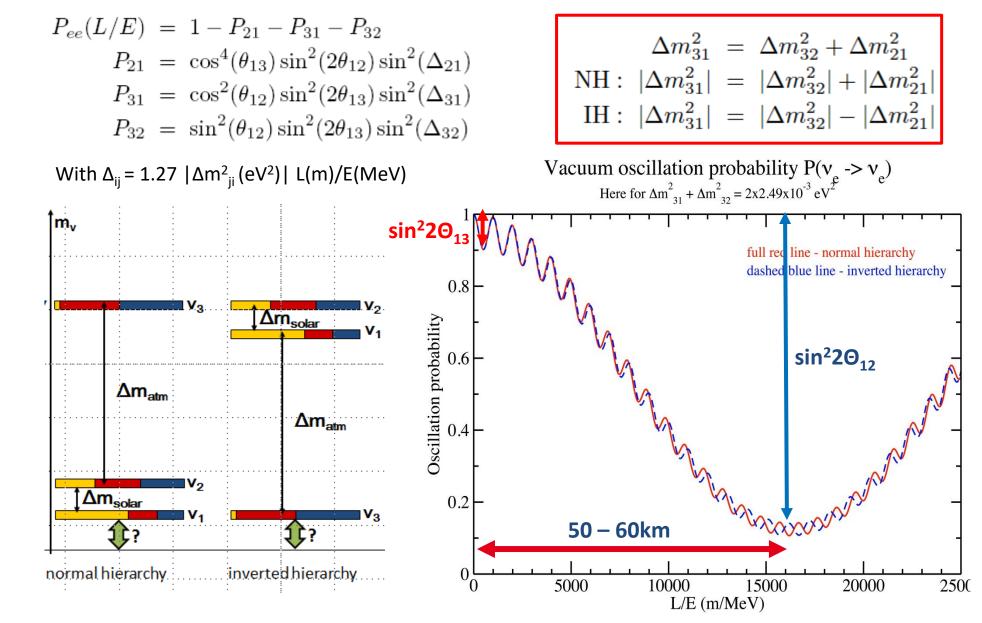


# What is the Mass Ordering of Neutrinos?



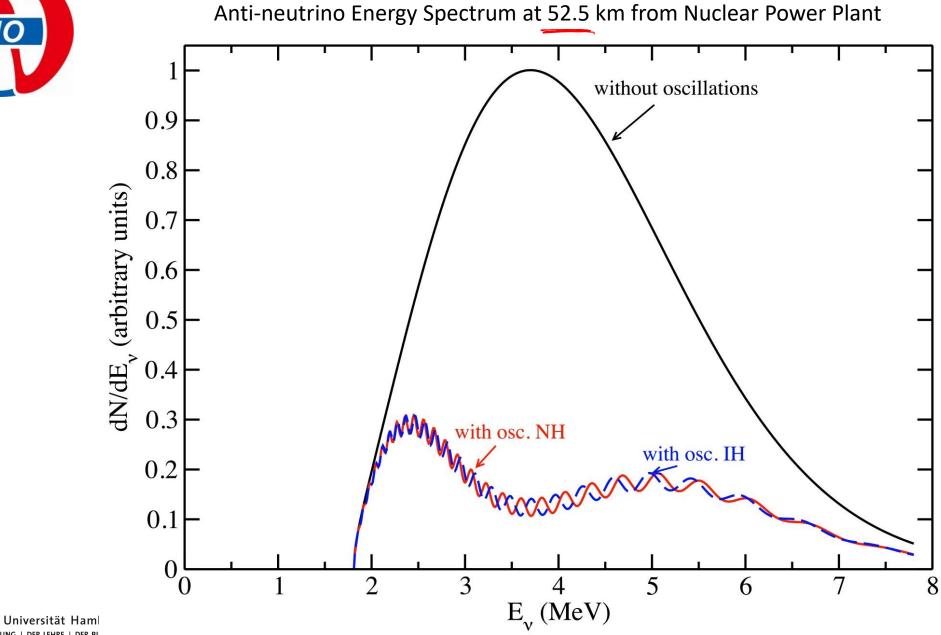


### **Determination of MO in a Reactor Neutrino Experiment**



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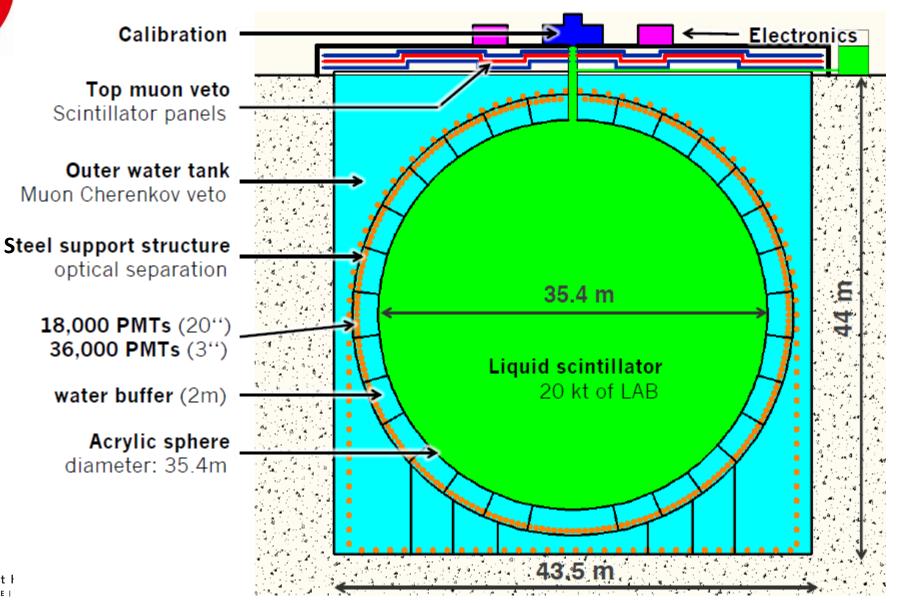


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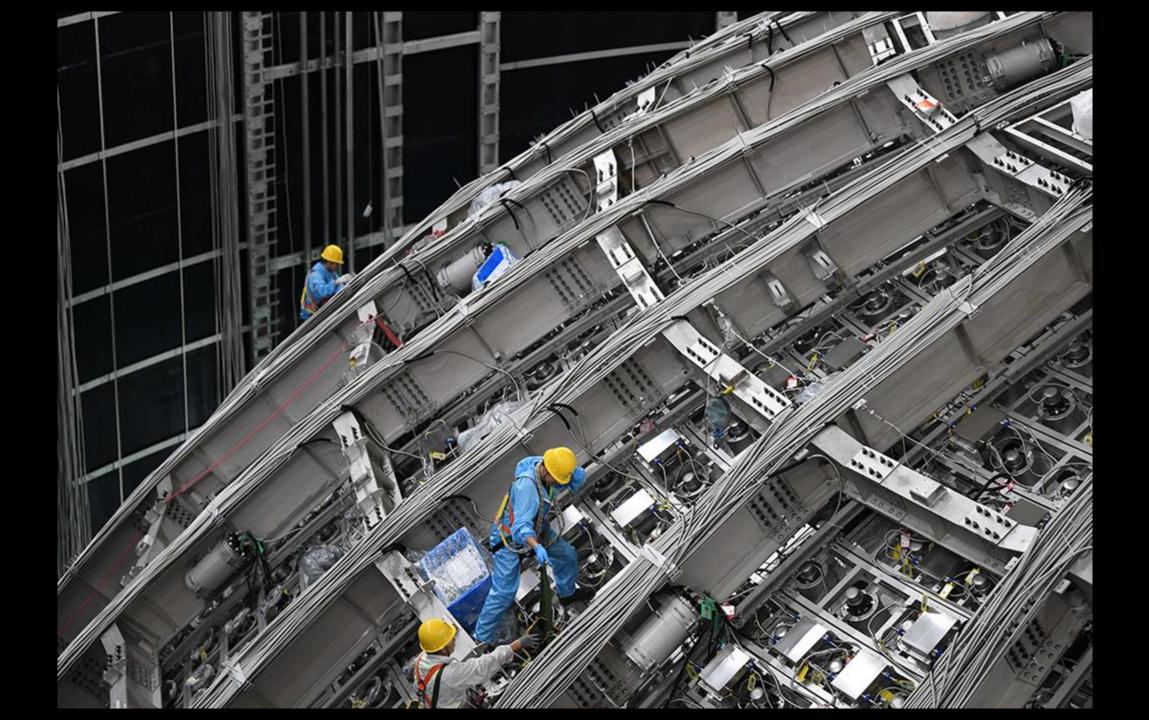


# **JUNO: Detector Concept**





17612 Large PMTs (20 inch) 25600 Small PMTs (3 inch)



# Just starting!

10 10 1E

10 0 0

0 0

10 10 10

Can Fa Bar

01010

2.62 12 1

6.5

10

01

10 15 19

12,1315

1218

## How to search for CP-phase: Appearance of $v_e$ in a beam of $v_\mu$

Oscillation probability  $P(v_{\mu} \rightarrow v_{e})$  is approximately given by (expansion by order of small parameter  $\alpha$ ):

$$P(\nu_{\mu} \rightarrow \nu_{e}) \approx \frac{\sin^{2} \theta_{23}}{(A-1)^{2}} \frac{\sin^{2}((\hat{A}-1)\Delta)}{(A-1)^{2}}$$

$$+\alpha \frac{\sin \delta_{CP} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23}}{\hat{A}(1-\hat{A})} \sin(\Delta) \sin((\hat{A}\Delta) \sin((1-\hat{A})\Delta)$$

$$+\alpha \frac{\cos \delta_{CP} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23}}{(A-1)^{2}} \cos(\Delta) \sin(\hat{A}\Delta) \sin((1-\hat{A})\Delta)$$

$$= \frac{P(\nu_{\mu} \rightarrow \nu_{e})}{\hat{A}^{2}} \operatorname{and} \frac{P(\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e})}{\hat{A}^{2}} \sin^{2}(\hat{A}\Delta)$$
with:  $\alpha = \Delta m_{2}^{2}/\Delta m_{2}^{2} \ll 1$ 

with: 
$$\alpha = \Delta m_{21}^2 / \Delta m_{31}^2 << 1$$
  
 $\Delta = \Delta m_{31}^2 L / 4E$   
matter dependent quantities :

 $\hat{A} = 2VE \,/\, \Delta m_{31}^2$ 

 $V = \sqrt{2}G_F n_e$ , with electron density  $n_e$ 

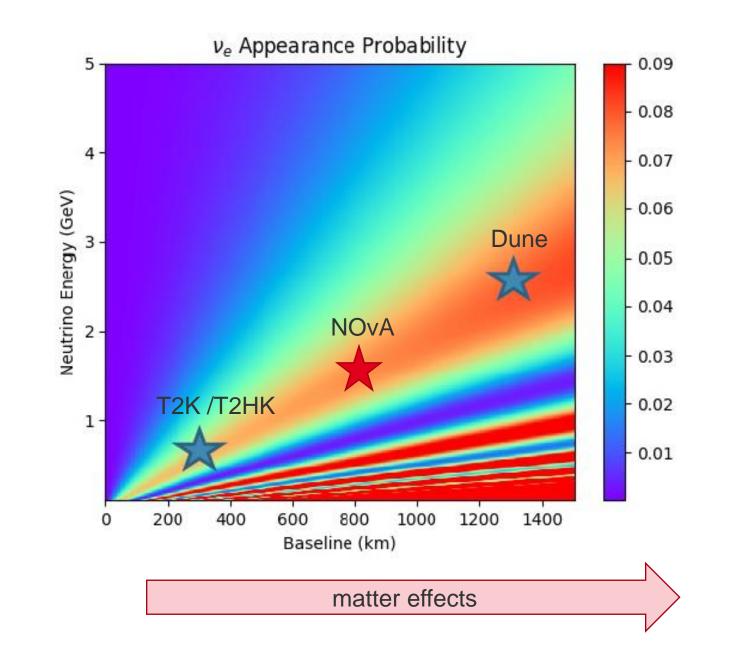
- Leading term ~ O<sub>13</sub>
- Octant of O<sub>23</sub>
- Matter effects enhance  $v_e(NH)$ ,  $\overline{v}_e$  (IH)
- Sign change for anti-neutrinos (measurement of δ<sub>CP</sub>)



#### Long-baseline Experiments (Selected Experiments)

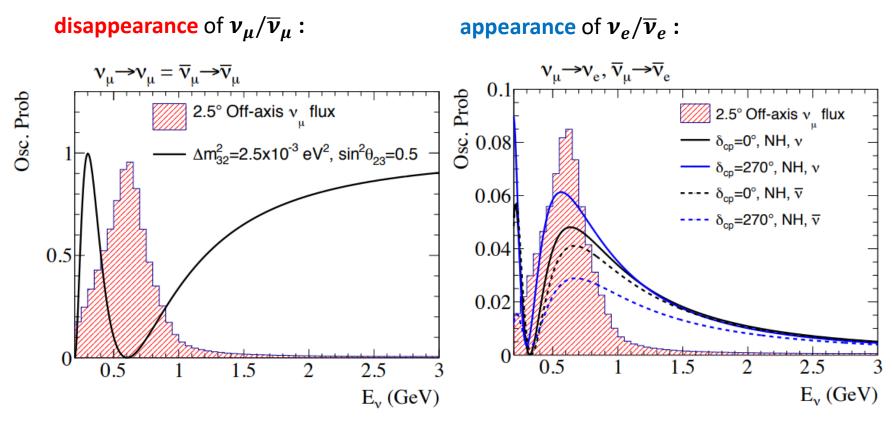
_		1 <sup>st</sup> generation	2 <sup>nd</sup> generation	2 <sup>nd</sup> generation	3 <sup>rd</sup> generation	3 <sup>rd</sup> generation	4 <sup>th</sup> generation
	Detecting echnique	Steel-scintillator	Water Cherenkov	Liquid scintillator	Water Cherenkov	Time Projection Chamber	Water Cherenkov
	xperiment xample	MIONS/MINOS+	т2К	NOvA	Т2НК	DUNE	ESS <i>v</i> SB
	Operating Deriod	2005 - 2016	2010 – to date	2014 – to date	Next-generation	Next-generation	N-n-generation
P	eak <i>E<sub>v</sub></i> [GeV]	3	0.7	2	0.6	2.6	0.3
B	aseline [km]	735	295	810	295	1300	540
c	On/Off axis	On-axis	Off-axis	Off-axis	Off-axis	On-(Off- for ND)axis	On-axis
	by Tamer Tolba	https://www.bril.gov/bewstoom/n ews.phpar.tzt302	T2K	NOCA https://ca.trouries.com/arrouset.akes https://ca.trouries.com/arrouset.akes	Australia T2HK	the sector as a se	the contract of the second sec

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### How to measure oscillation parameters with $v_{\mu}/\overline{v}_{\mu}$ beams



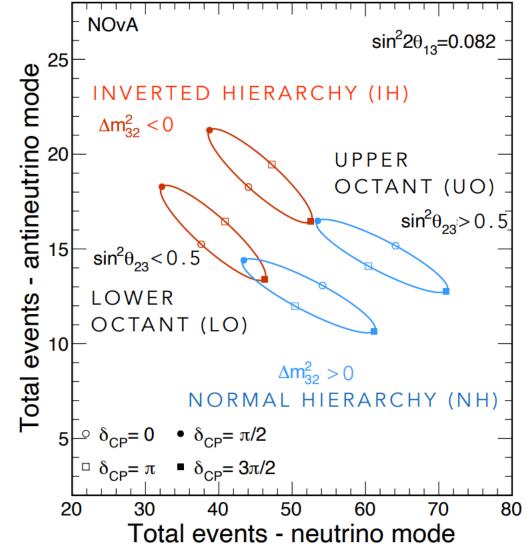
• leading order dependence on  $\sin^2 2\theta_{23}$ little power to distinguish whether  $\theta_{23} < 45^\circ$ ,  $\theta_{23} = 45^\circ$  or  $\theta_{23} > 45^\circ$ .



leading order dependence on Δm<sup>2</sup><sub>23</sub>.
 <sup>rg</sup> Does not depend on mass ordering.

- leading order dependence on  $\sin^2 2\theta_{13}$  and  $\sin^2 \theta_{23}$ . **Can separate octant**, meaning whether  $\theta_{23} < 45^\circ$ ,  $\theta_{23} = 45^\circ$  or  $\theta_{23} > 45^\circ$ .
- sub-leading order dependence on sin<sup>2</sup>2θ<sub>13</sub> and sin<sup>2</sup>2θ<sub>23</sub>.
   Can detect CP-violation.
- sub-leading order dependence on |Δm<sup>2</sup><sub>23</sub>| through matter effect. Can measure mass ordering.

### How to measure CPV by comparing appearance of $v_e/\bar{v}_e$ in $v_{\mu}/\bar{v}_{\mu}$ beams



Difficult to disentangle **degeneracies** between parameters in one experiment alone

Can be solved by **combining** results from **different experiments** with different baselines

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## Running since >10 years: NOvA and T2K

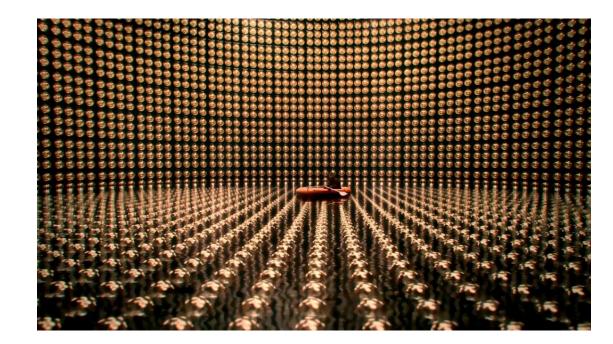
#### NOvA:

Distance ND – FD: **810 km** both detectors 14mrad off-axis neutrino flux peak at **1.8 GeV**, typical **beam power 900 kW FD: 14kton Liquid Scintillator in cells** 

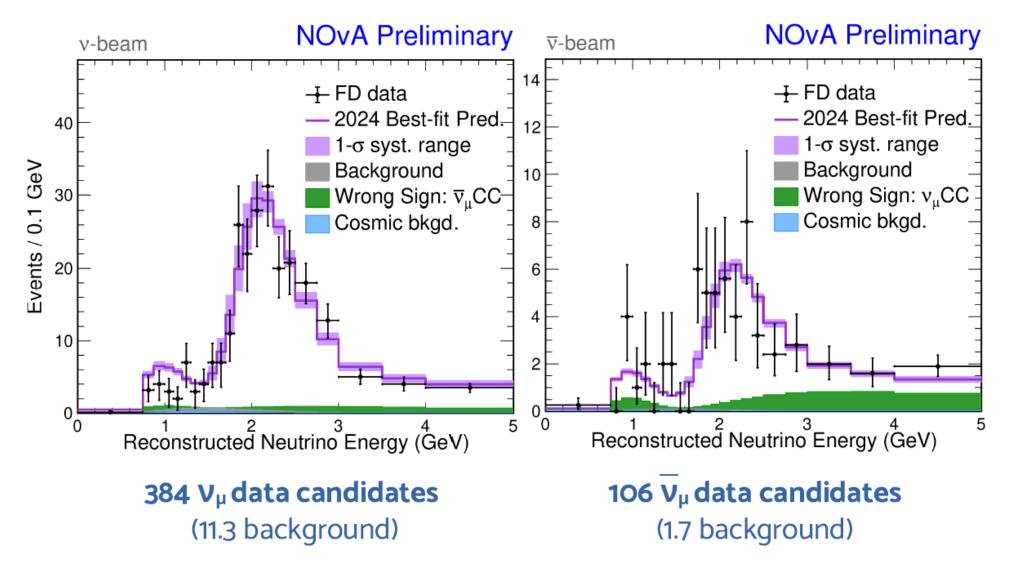


T2K:

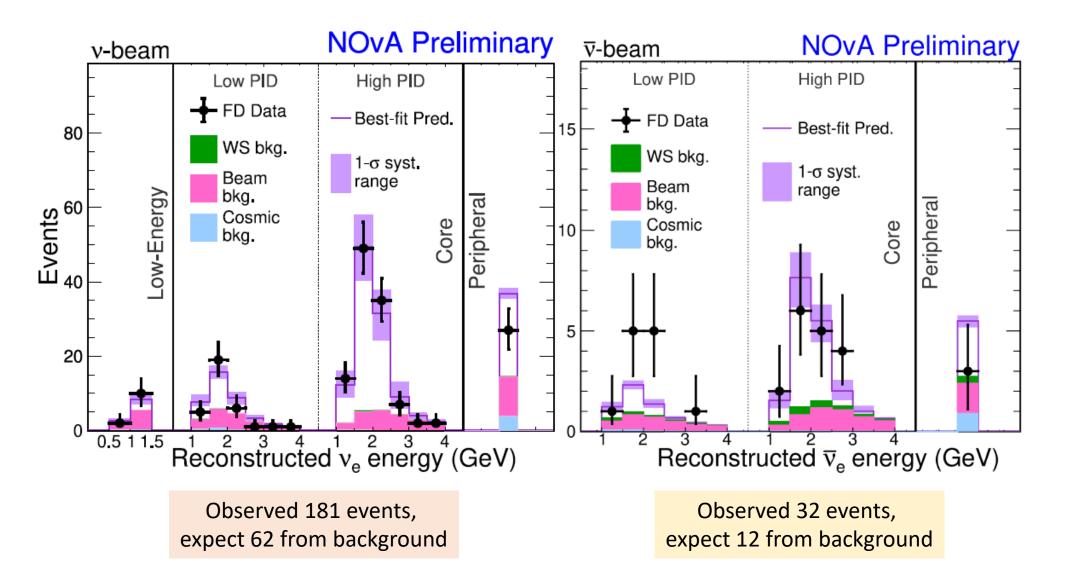
Distance ND – FD: **295 km** 2.5° off-axis neutrino flux peak at **0.6 GeV**, Plan to increase **beam power 1300 kW (2027) FD: SK 50kton Water Cerenkov, ND different** 



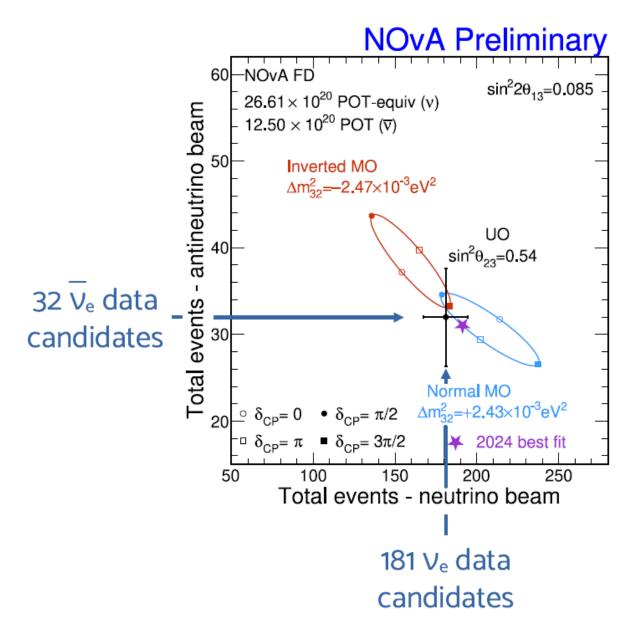
## NOvA result on disappearance of $\nu_{\mu}/\bar{\nu}_{\mu}$ (26.6x10<sup>20</sup>pot)



## NOvA result on appearance of $v_e/\bar{v}_e$ (26.6x10<sup>20</sup>pot)



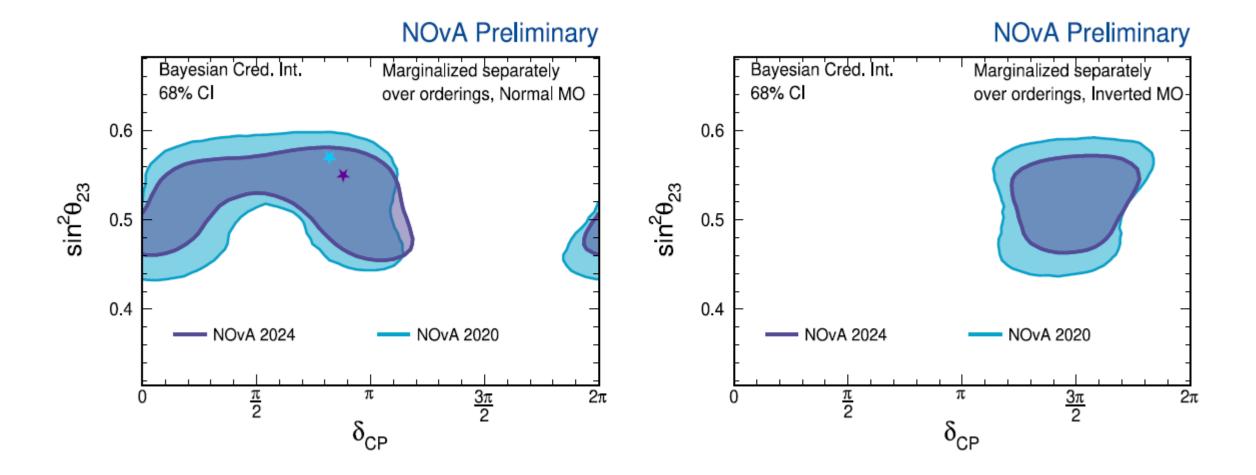
## NOvA analysis of appearance of $v_e/\bar{v}_e$ (26.6x10<sup>20</sup>pot)



#### Strong synergy with reactor exp:

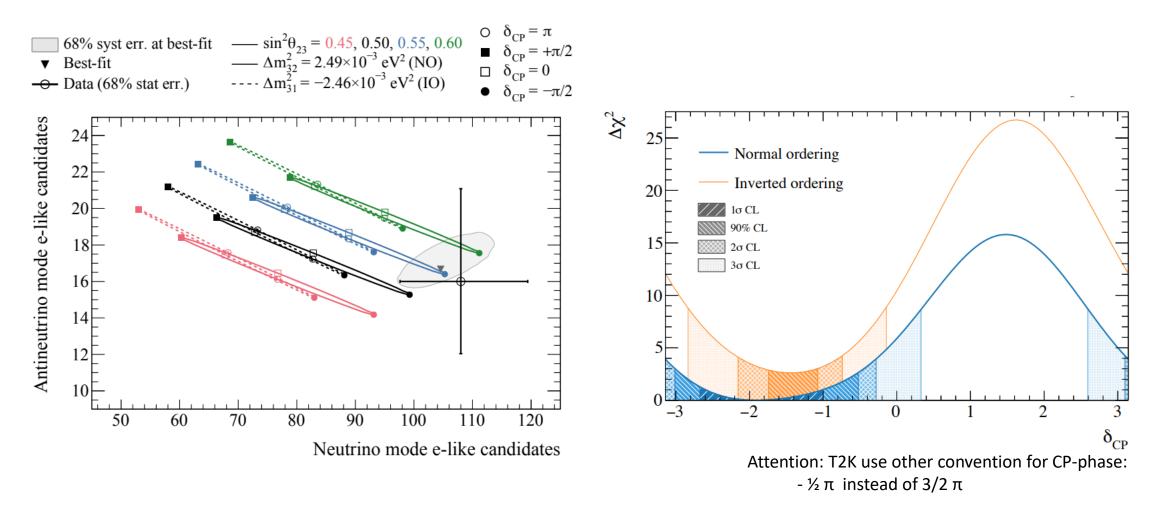
- Constraint on  $\theta_{13}$  enhances Upper Octant preference
- Constraint on Δm<sup>2</sup><sub>32</sub> enhances
   Normal Ordering preference

## NOvA analysis of mass ordering and CPV (26.6x10<sup>20</sup>pot)

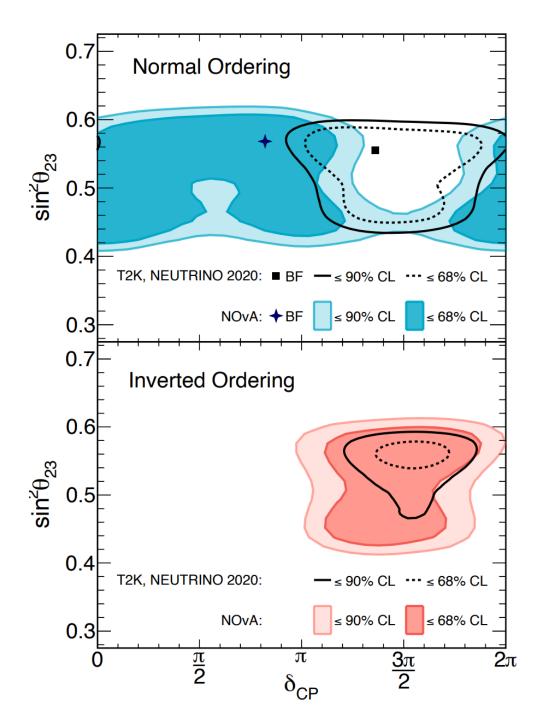


## T2K result on appearance of $\nu_e/\overline{\nu}_e$ (2023)

In contrast to NOvA they see a strong asymmetry between electron neutrino and antineutrino event numbers



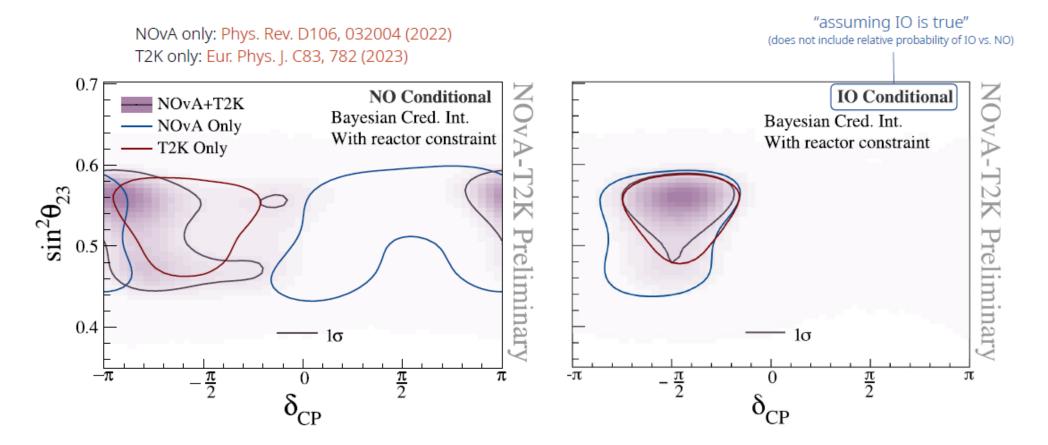
- Values that maximize asymmetry are favoured
- Normal ordering and upper octant are favoured, with nearly maximally CP-violating phase



#### Combining NOvA and T2K results:

- Still compatible at > 90%
- However, favoured regions of CP-phase are opposite in NO

# NOvA-T2K joint fit: PMNS parameters



#### NOvA & T2K's first joint results:

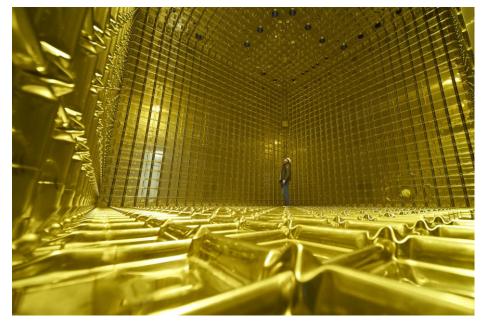
- <sup>–</sup> Yield strong constraint on  $\Delta m_{32}^2$
- Weakly prefer IO or NO depending on which reactor constraint is applied
- Strongly favor CP violation in Inverted Ordering

(slides by Jeremy Wolcott, Neutrino24)

## The Future: DUNE & Hyper-K, ESSvsb

#### **DUNE:**

Distance ND – FD: **1300 km** both detectors 14mrad off-axis neutrino flux peak at **1.8 GeV**, typical **beam power > 2000 kW FD: 40kton Liquid Argon TPC** 



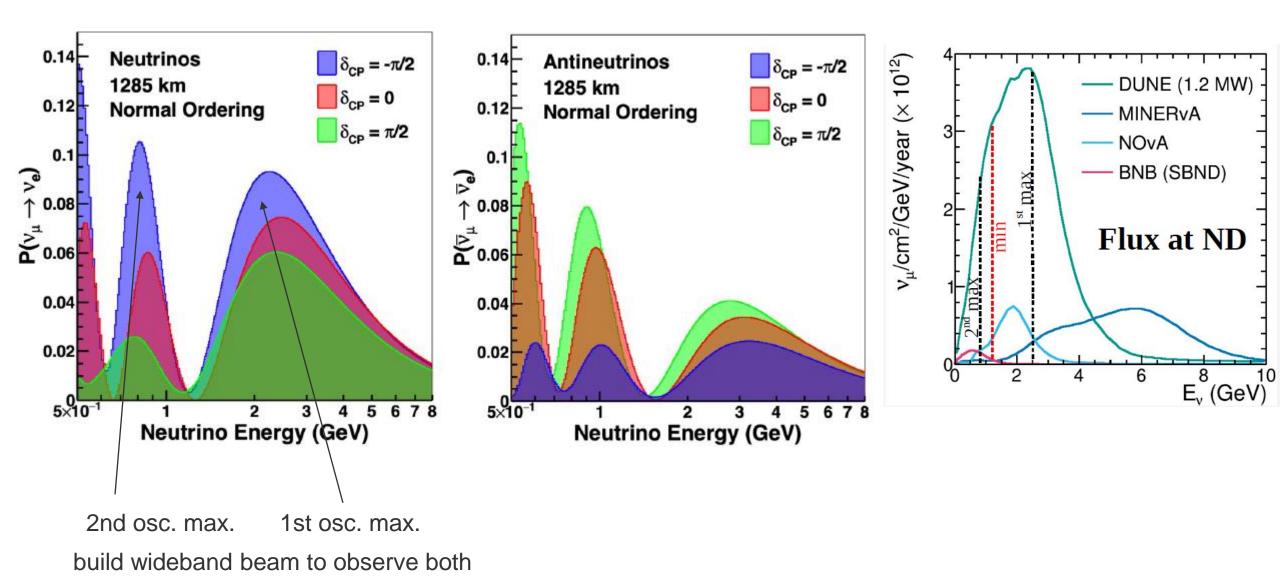
#### Hyper-K:

Distance ND – FD: 295 km 2.5° off-axis neutrino flux peak at 0.6 GeV, Plan to increase beam power 1300 kW (2027) FD: 5 x SK 50kton Water Cerenkov

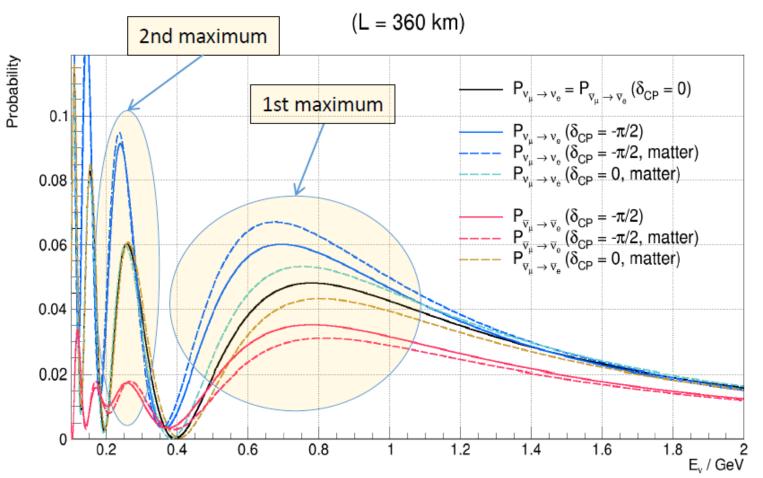


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### **CP-violation measurement in DUNE**



**The European Spallation Source** (ESS, under construction in Lund, Sweden) with ESSnuSB (ESS neutrino super beam) beam power: 5MW







## **Summary & Outlook**





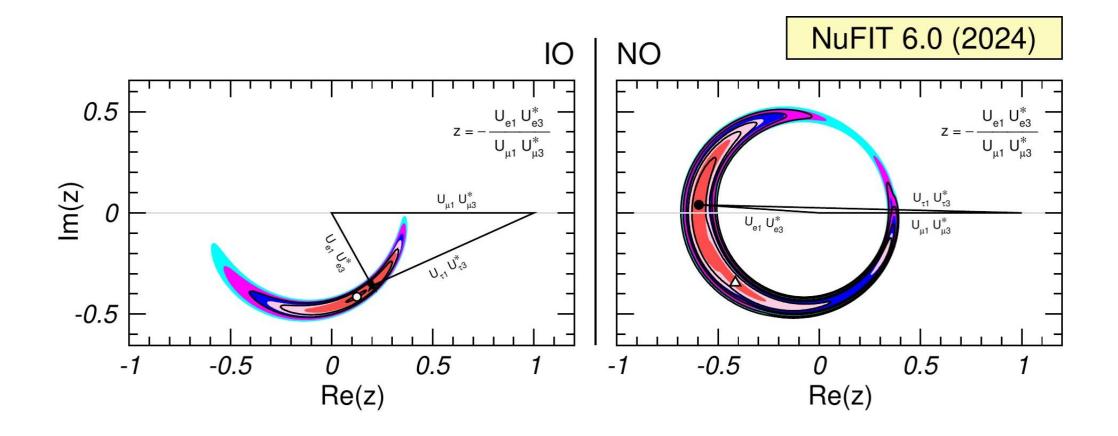
## **Global Fit on (almost) all experimental data**

#### Here from: www.nu-fit.org

NuFIT 6.0 (2024)

		Normal Ordering (best fit)		Inverted Ordering $(\Delta \chi^2 = 6.1)$	
		bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range
IC24 with SK atmospheric data	$\sin^2  heta_{12}$	$0.308\substack{+0.012\\-0.011}$	$0.275 \rightarrow 0.345$	$0.308\substack{+0.012\\-0.011}$	$0.275 \rightarrow 0.345$
	$ heta_{12}/^{\circ}$	$33.68^{+0.73}_{-0.70}$	$31.63 \rightarrow 35.95$	$33.68^{+0.73}_{-0.70}$	$31.63 \rightarrow 35.95$
	$\sin^2 heta_{23}$	$0.470\substack{+0.017\\-0.013}$	$0.435 \rightarrow 0.585$	$0.550\substack{+0.012\\-0.015}$	$0.440 \rightarrow 0.584$
	$ heta_{23}/^{\circ}$	$43.3^{+1.0}_{-0.8}$	$41.3 \rightarrow 49.9$	$47.9^{+0.7}_{-0.9}$	$41.5 \rightarrow 49.8$
	$\sin^2  heta_{13}$	$0.02215\substack{+0.00056\\-0.00058}$	$0.02030 \rightarrow 0.02388$	$0.02231\substack{+0.00056\\-0.00056}$	$0.02060 \rightarrow 0.02409$
	$ heta_{13}/^{\circ}$	$8.56^{+0.11}_{-0.11}$	$8.19 \rightarrow 8.89$	$8.59_{-0.11}^{+0.11}$	$8.25 \rightarrow 8.93$
	$\delta_{ m CP}/^{\circ}$	$212^{+26}_{-41}$	$124 \rightarrow 364$	$274^{+22}_{-25}$	$201 \rightarrow 335$
	$\frac{\Delta m_{21}^2}{10^{-5} \ {\rm eV}^2}$	$7.49^{+0.19}_{-0.19}$	$6.92 \rightarrow 8.05$	$7.49^{+0.19}_{-0.19}$	$6.92 \rightarrow 8.05$
	$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.513^{+0.021}_{-0.019}$	$+2.451 \rightarrow +2.578$	$-2.484^{+0.020}_{-0.020}$	$-2.547 \rightarrow -2.421$
ourg					

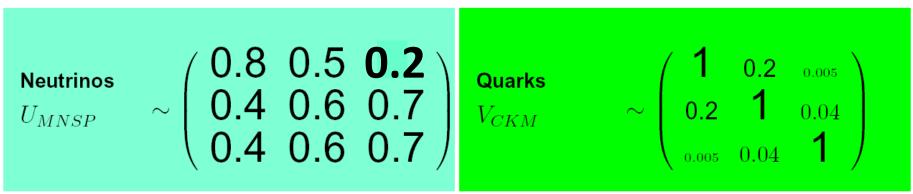
## **Precision Era: Unitary Triangle for Leptonic Sector**





### What can we learn from oscillation experiments?

- What is the neurtino mass ordering?
- Is CP-symmetry violated?
- What is behind neutrino flavor structure?
  - Why is the structure of lepton mixing so different from quark mixing?



- What flavor symmetry can produce this pattern of mixing and how is it broken?
- Is  $v_{\mu} \leftrightarrow v_{\tau}$  mixing symmetric (octant)? If so, why possible new symmetry?
- Is the neutrino mixing matrix unitary? Are there BSM effects impacting neutrino oscillation?
- **Precision measurements** allow model discrimination



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Neutrino Physics Bey

**European Summer School** 



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