

# Angular analyses of $B \rightarrow D^* e \nu_e$ and $B \rightarrow D^* \mu \nu_\mu$ at the LHCb detector

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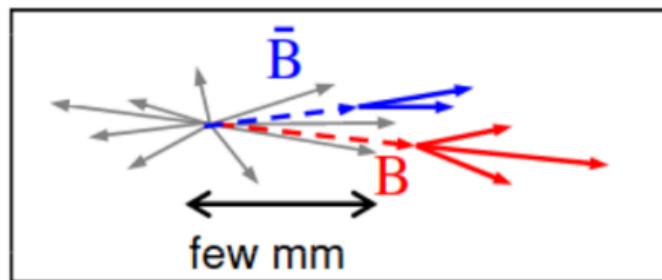
4 November, 2024



# LHCb detector

Single-arm **forward** spectrometer:

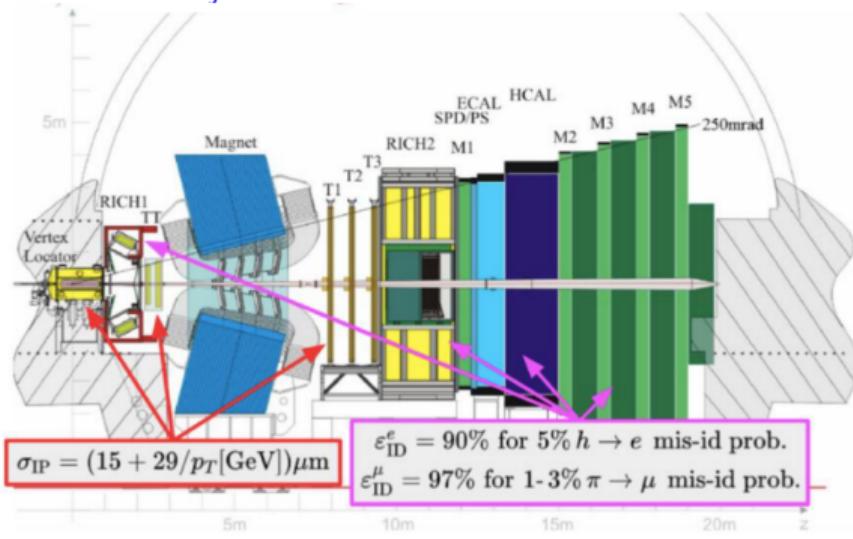
- Forward angular coverage, designed for the heavy flavor physics with  $2 < \eta < 5$  ( $\sim 25\%$  of  $b\bar{b}$  pairs in detector acceptance)
- Efficient trigger, excellent performance of tracking and vertexing, powerful particle identification, allow to perform **high precision measurement of B decays**



B mesons have displaced vertices. Fly a few mm before decaying in inner tracking detector - Vertex Locator.

Vertexing resolution :

- xy-plane:  $10 - 40 \mu m$
- z-axis:  $50 - 300 \mu m$

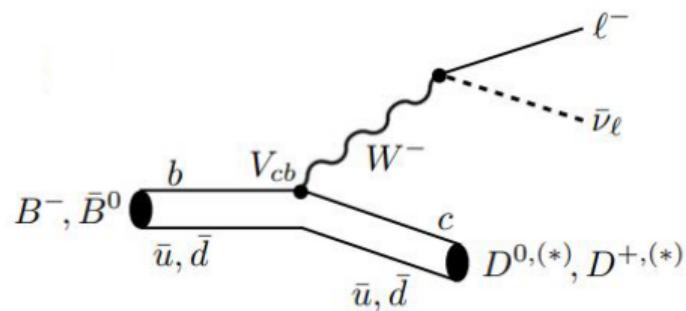


# Introduction - LFU

- Semileptonic b-hadron decays provide powerful probes for testing the

## Lepton Flavor Universality,

which states that the interactions of the electroweak bosons with the leptons are independent of the lepton flavor ( $e, \mu, \tau$ )

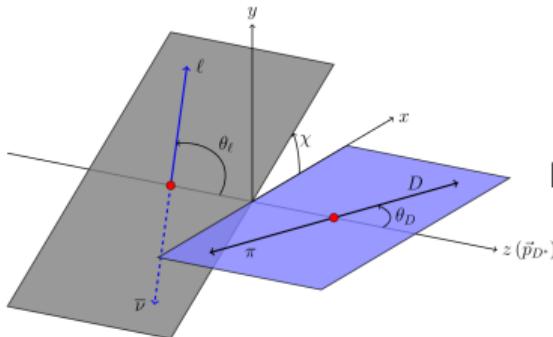


- LFU can be tested with ratios of branching fractions to final states with different lepton flavors ( $\ell = e, \mu$ ):
- $$R(D^*)_{\tau/\ell} = \frac{Br(B^0 \rightarrow D^* \tau \nu_\tau)}{Br(B^0 \rightarrow D^* \ell \nu_\ell)},$$
- New Physics (NP) can be detected in angular coefficients even if  $R(D^*)_{\tau/\ell}$  is compatible with SM

# Other LFU measurements - angular analysis

- The NP can be characterized in the angular analysis
- Full angular differential decay rate for  $\bar{B} \rightarrow D^*(\rightarrow D\pi)\ell\nu_\ell$  using  $\cos\theta_\ell$ ,  $\cos\theta_D$  and  $\chi$ :

$$\frac{d^4\Gamma^{(\ell)}}{dq^2 d\cos(\theta_\ell) d\cos(\theta_D) d\chi} = \frac{3}{8\pi} \sum_i J_i^{(\ell)}(q^2) f_i(\cos(\theta_\ell), \cos(\theta_D), \chi)$$



Decay intrinsic structure can be fully characterised by 12 angular coefficients:

- Forward backward asymmetry :  $A_{FB}(q^2) = \frac{3}{8} \frac{(J_{6c} + 2J_{6s})}{\frac{d\Gamma}{dq^2}}$
- $D^*$  – longitudinal polarisation :  $\frac{d^2\Gamma}{dq^2 d\cos\theta_D} = a_{\theta_D}(q^2) + c_{\theta_D}(q^2) \cos^2\theta_D$

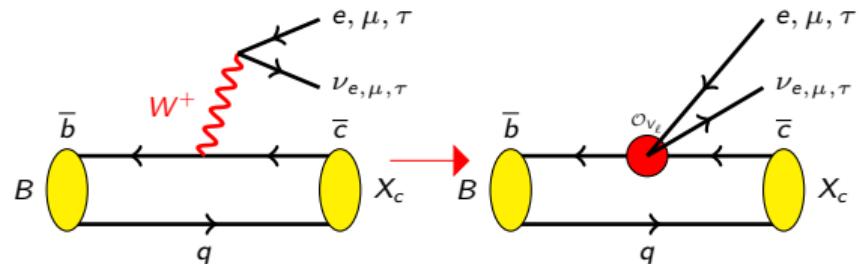
$$F_L^{D^*}(q^2) = \frac{a_{\theta_D}(q^2) + c_{\theta_D}(q^2)}{3a_{\theta_D}(q^2) + c_{\theta_D}(q^2)} = \frac{3J_{1c} - J_{2c}}{3J_{1c} - J_{2c} + 6J_{1s} - 2J_{2s}}$$

# Effective field theory

- The low-energy effective theory based on the assumption of three light lefthanded neutrino flavours below the electroweak scale for  $B^0 \rightarrow D^* \ell \nu_\ell$  at dimension six can be written as

$$\mathcal{H}_{\text{eff}}(b \rightarrow c \ell) = \frac{4G_F}{\sqrt{2}} V_{cb} \sum_i (\mathcal{O}_{SM} + C_i \mathcal{O}_i)$$

- Dominant SM. NP corrections parametrized by adding new operators and coefficients
- Wilson coefficients**
- Wilson operators**



$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{cb} \{ [ (1 + C_{V_L}) P_L + C_{V_R} P_R ] \gamma_\mu P_L + [ C_S + C_P \gamma^5 ] P_L + C_T \sigma^{\mu\nu} P_L \sigma_{\mu\nu} P_L + h.c. \}$$

- $C_L, C_R, C_S, C_P, C_T$  are complex NP couplings ( $\equiv 0$  in SM)
- Different NP models ( $W'$ ,  $H^+$ ,  $LQ$ , ...)  $\Rightarrow$  different combinations of couplings

# New Physics contributions

The dependence of angular observables from **Wilson Coefficients**

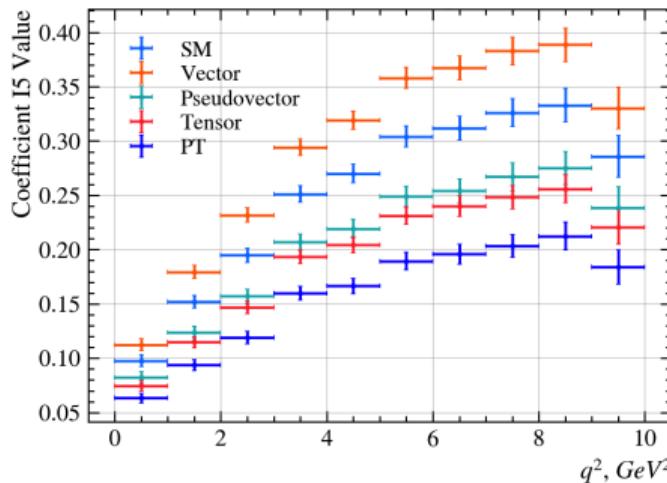
$$C_V^{\ell\ell'} = C_{V_R}^{\ell\ell'} + C_{V_L}^{\ell\ell'}, \quad C_A^{\ell\ell'} = C_{V_R}^{\ell\ell'} - C_{V_L}^{\ell\ell'}, \quad C_P^{\ell\ell'} = C_{S_R}^{\ell\ell'} - C_{S_L}^{\ell\ell'}$$

Observable	$ C_A ^2$	$ C_V ^2$	$ C_P ^2$	$ C_T ^2$	$\text{Re}(C_A C_V^*)$	$\text{Re}(C_A C_P^*)$	$\text{Re}(C_A C_T^*)$	$\text{Re}(C_V C_P^*)$	$\text{Re}(C_V C_T^*)$	$\text{Re}(C_P C_T^*)$
$d\Gamma/dq^2$	✓	✓	✓	✓	(m)	(m)	(m)	(m)	(m)	(m)
$\text{num}(A_{FB})$		✓		$(m^2)$	✓	(m)	(m)		(m)	(m)
$\text{num}(F_L)$		✓				(m)	(m)		(m)	(m)
$\text{num}(F_L - 1/3)$		✓				(m)	(m)		(m)	(m)
$\text{num}(\tilde{F}_L)$		$(m^2)$				(m)	(m)		(m)	(m)
$\text{num}(\tilde{F}_L - 1/3)$		✓				(m)	(m)		(m)	(m)
$\text{num}(S_3)$		✓				(m)	(m)		(m)	✓

Terms suppressed by lepton mass shown as (m) or  $(m^2)$ . The table is taken from [C. Bobeth, et al](#)

# Effective field theory - The $q^2$ dependence

- Different New Physics currents have different dependence on the  $q^2$  value
- Ideally the measured values of angular coefficients should be splitted in  $q^2$  bins



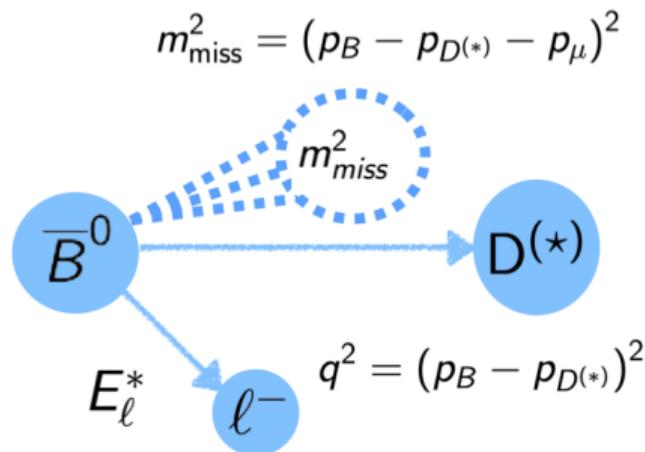
Dependence of  $J_5^{(\ell)}(q^2)$  from  $q^2$  for SM, vector, pseudovector, tensor, and pseudoscalar-tensor (PT) NP contributions of 10% amplitudes relative to the SM

# Motivation : Current experimental situation

- There were hints of LFU violation in untagged measurements of data from Belle detector [arXiv:2104.02094](#),[arXiv:2310.20286](#) in  $\langle A_{FB}^\mu \rangle - \langle A_{FB}^e \rangle$  (the QCD uncertainties cancel out in difference)
- Idea: Make the model-independent template fit of full-differential distributions and measure 12 angular coefficients per lepton for  $B \rightarrow D^* e \nu_e$  and  $B \rightarrow D^* \mu \nu_\mu$  based on LHCb 2016-2018 Data. Cross-check Belle results on the hadron collider

# Methodology - Background templates

To fit the kinematic distribution of the decay in the presence of backgrounds a template fit can be performed:



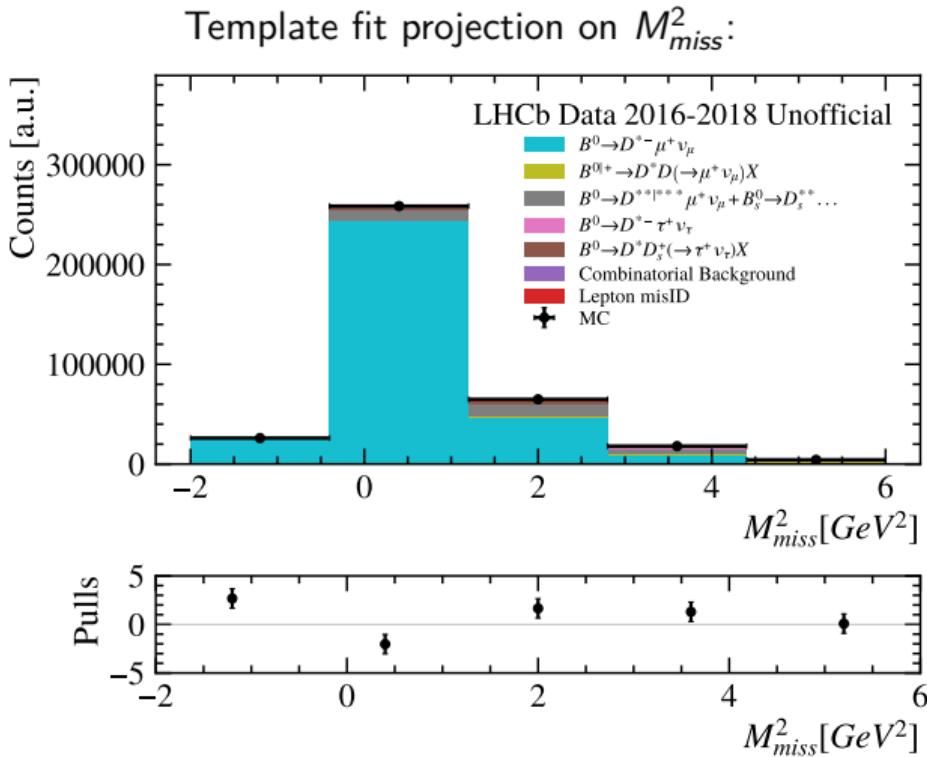
Three variables of interest can efficiently separate signal from background:

- Lepton energy in  $B^0$  frame  $E_\ell^{B^0}$  — cannot be used due to correlation with  $\cos\theta_\ell$
- Momentum transfer  $q^2 = (p_{B^0} - p_{D^*})^2$  — cannot be used due to  $q^2$  bin splitting
- Squared missing mass (5 bins)  
 $M_{\text{missing}}^2 = (p_{B^0} - p_{D^*} - p_\ell)^2 \in [-2,6] \text{ GeV}^2$
- For each background 4D templates are created in variables  $\cos\theta_\ell$ ,  $\cos\theta_D$ ,  $\chi$  and  $M_{\text{missing}}^2$

# Methodology - Background templates

## Main background decay

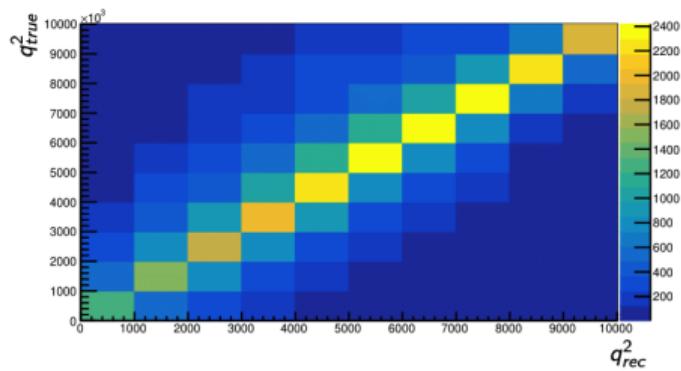
- ① Semileptonic decays of  $B^0$  and  $B^+$  to higher excited  $D^*$  states
- ②  $B^{+0} \rightarrow D^{*-} D_s^+ \left( \rightarrow (\tau^+ \rightarrow \ell^+ \nu \bar{\nu}) \nu_\tau \right) X$
- ③ Double-charm decays  
 $B^{0|+} \rightarrow D^* D \left( \rightarrow \ell^+ \nu_\ell \right) X$
- ④ Lepton misidentification



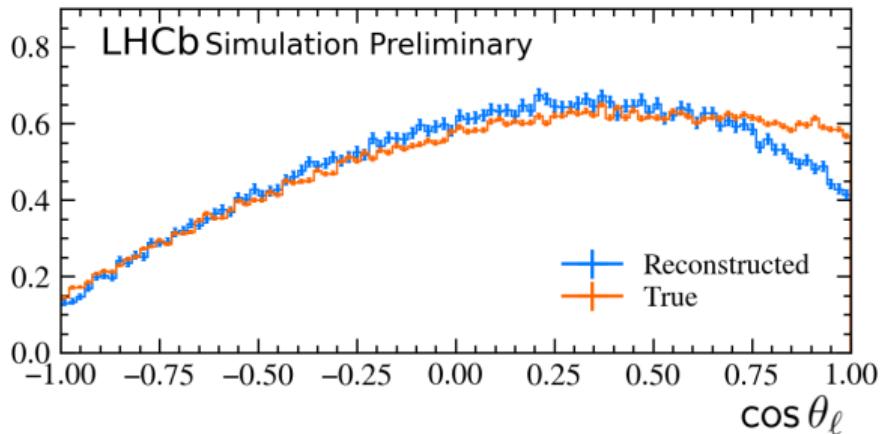
# Methodology - $B \rightarrow D^* \ell \nu_\ell$

The following effects have a significant impact on the kinematic distributions and must be accounted for in the signal templates:

- Kinematic-dependent detector efficiencies
- Neutrino reconstruction procedure: approximation using the velocity of the reconstructed charm-lepton system,  $\mu H_c$  along the beam axis z:  $\frac{(p_B)_z}{m_B} = \frac{(p_{\mu H_c})_z}{m_{\mu H_c}}$



2D histogram of reconstructed  $q_{rec}^2$   
versus true  $q_{true}^2$  distribution



# Template fit procedure

- To resolve this issue model-independent template fit approach is implemented [Hill D. et al.](#)
- The decay density is a linear sum of 12 angular terms. Effects of background, efficiency, and resolution are also linear → 4D unbinned density = binned 4D templates
- The goal is to incorporate efficiency and resolution effects into binned angular terms — templates (using true angular coefficients from MC)

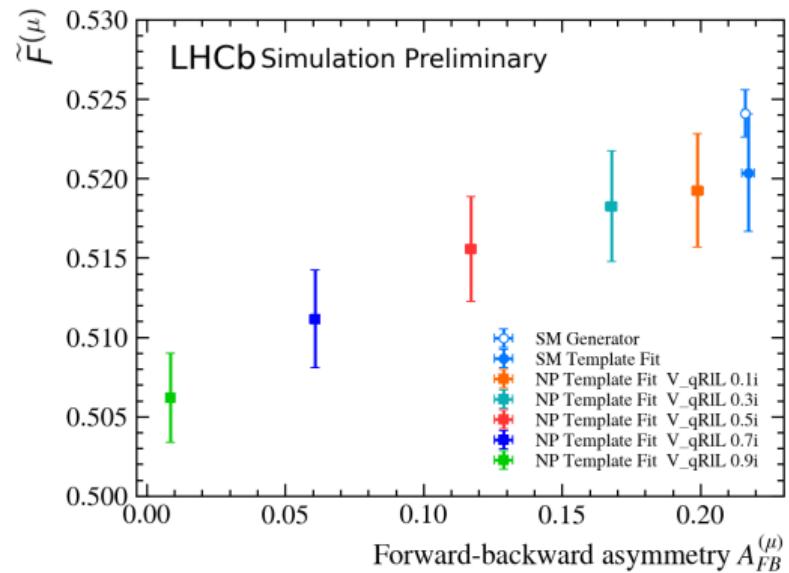
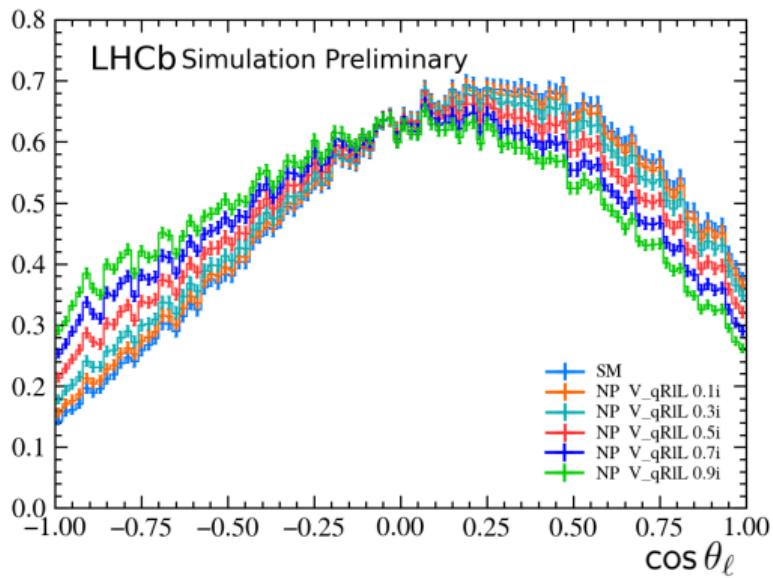
$$\frac{d^4\Gamma^{(\ell)}}{dq^2 d \cos(\theta_\ell) d \cos(\theta_D) d\chi} = \frac{3}{8\pi} \sum_i J_i^{(\ell)}(q^2) f_i(\cos(\theta_\ell), \cos(\theta_D), \chi) =$$

**Unbinned angular functions**  
|  
**Binned angular templates**  
|

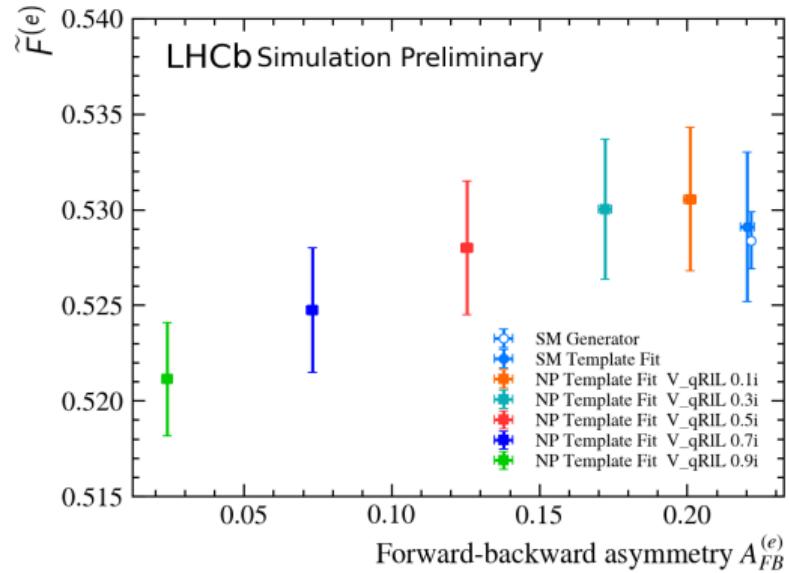
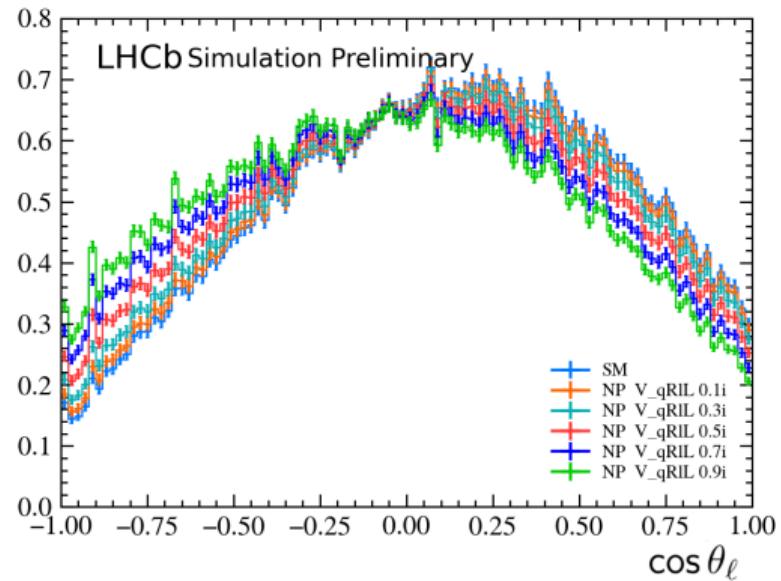
$$\frac{3}{8\pi} \sum_i J_i^{(\ell)}(q^2) h_i(\cos(\theta_\ell), \cos(\theta_D), \chi)$$

# NP template fit $\cos \theta_\ell$ , $B \rightarrow D^* \mu \nu_\mu$

- Example of sensitivity to NP of angular observable -  $\langle A_{FB}^\mu \rangle$  for vector contribution with right helicity of b quark  $V_{qRIL}$  with different amplitudes (relative to the SM):



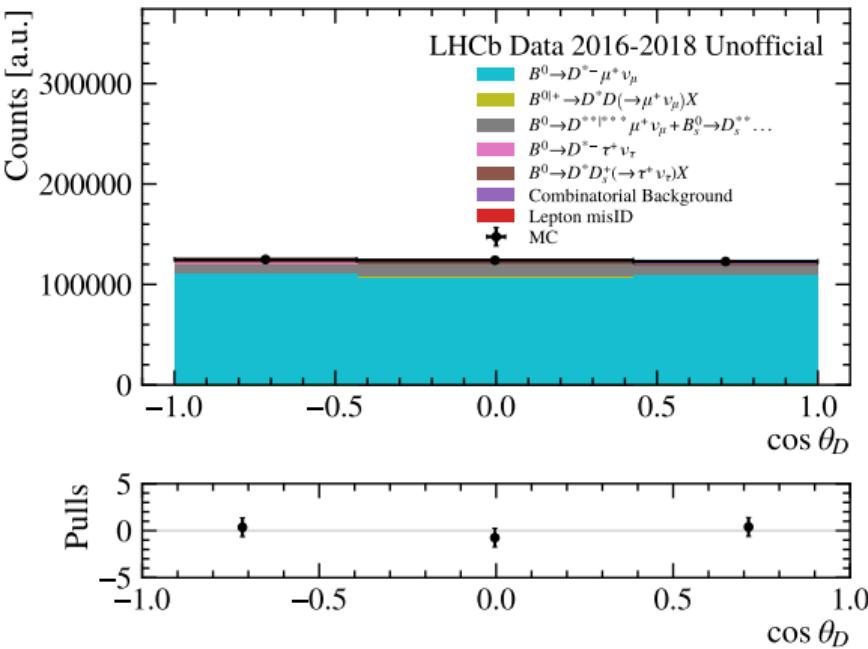
# NP template fit $\cos \theta_\ell$ , $B \rightarrow D^* e \nu_e$



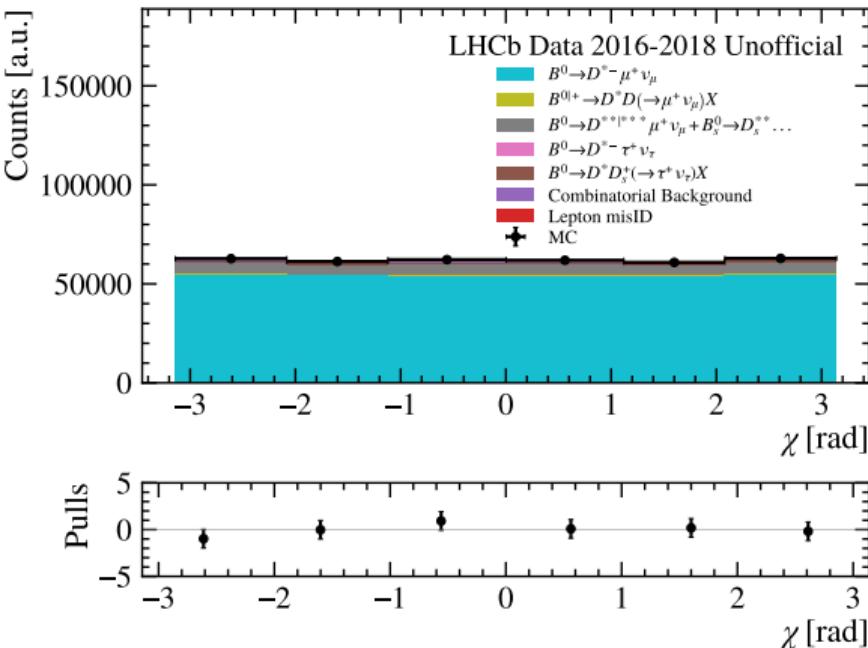
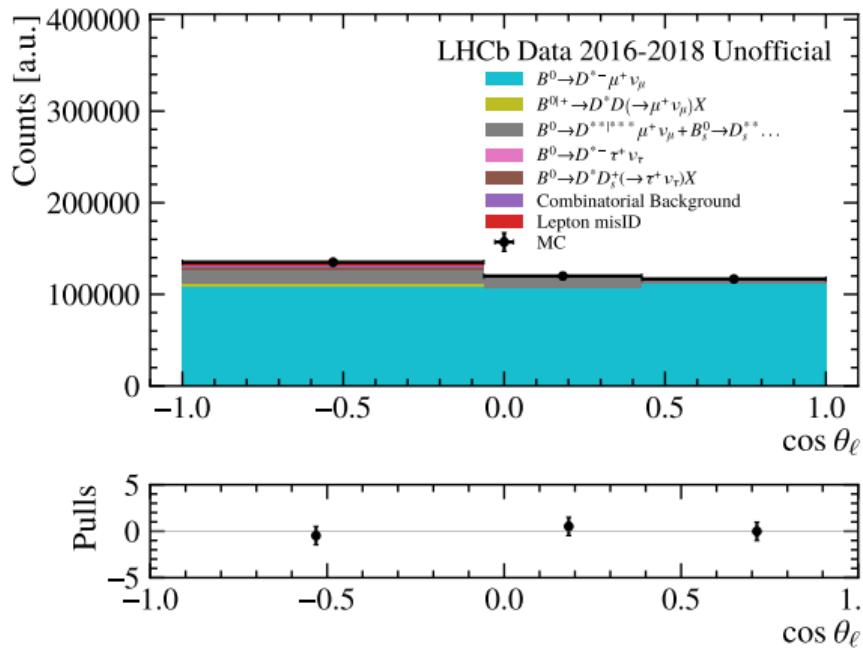
# 4D Data template fit results, projections, $B \rightarrow D^* \mu \nu_\mu$

- Linear combination of MC templates from signal and background are used to fit the data
- Angular coefficients and background fractions are free parameters of the template fit
- Binning scheme for data is  $[\cos \theta_D, \cos \theta_\ell, \chi, M_{miss}^2] = [3, 3, 6, 5]$
- Binning edges are placed to have approximately the same statistic in each bin for angles
- Missing mass bin edges are evenly spaced

Template fit of data 2016-2018. Fit projection:

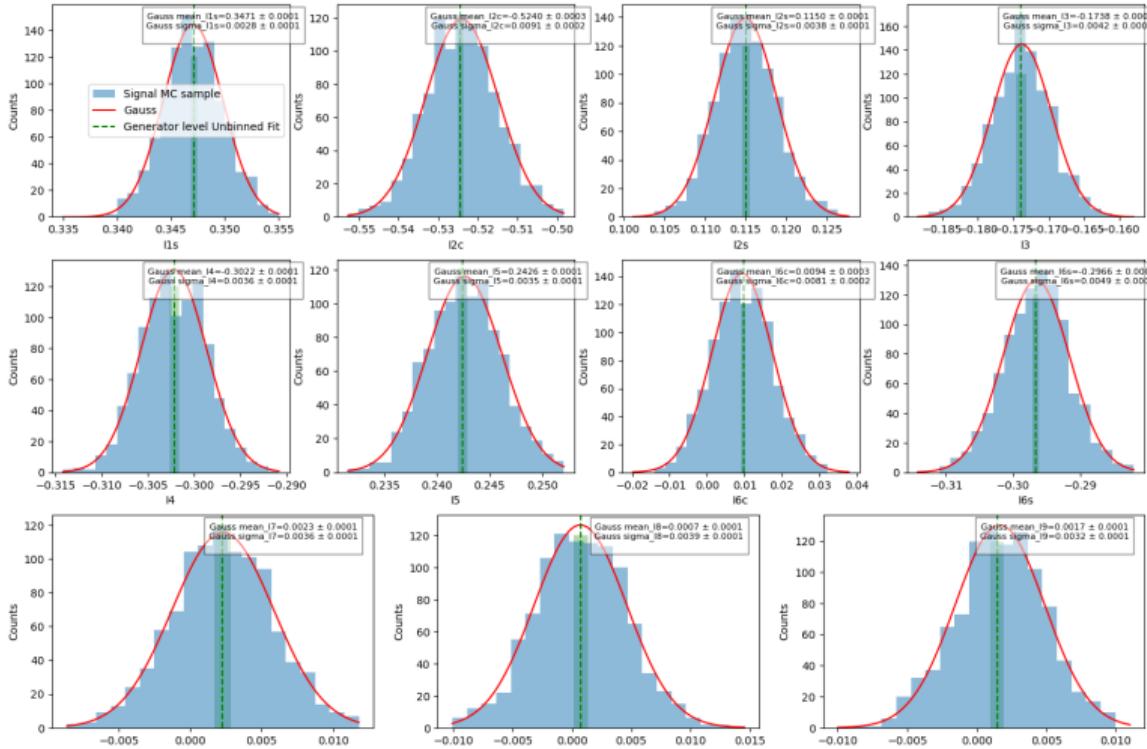


# 4D Data template fit results, projections, $B \rightarrow D^* \mu \nu_\mu$



# Fit validation with bootstrapping, $B \rightarrow D^* \mu\nu_\mu$

- To validate the fit and estimate the limited template statistics uncertainty, bootstrapping is performed on the MC signal
- Iteration of 1000 template fits reproduce generator level angles reliably
- Green vertical line - results of generator level unbinned fit



# 4D Data template fit results, blinded coefficients

- Blinded template fit result on the combined 2016+2017+2018 dataset for  $B \rightarrow D^* \mu \nu_\mu$
- The values of the angular coefficient are shifted and multiplied by a random factor.
- Systematic uncertainty from limited MC template statistics are estimated from bootstrapping

Fit Parameter	Value
I1s	$0.0611 \pm 0.0025 \pm 0.0027$ (MC syst.)
I2c	$-0.0836 \pm 0.0100 \pm 0.0118$ (MC syst.)
I2s	$0.0228 \pm 0.0055 \pm 0.0059$ (MC syst.)
I6c	$0.0008 \pm 0.0073 \pm 0.0085$ (MC syst.)
I6s	$-0.0496 \pm 0.0039 \pm 0.0043$ (MC syst.)
I3	$-0.0288 \pm 0.0045 \pm 0.0050$ (MC syst.)
I4	$-0.0513 \pm 0.0045 \pm 0.0052$ (MC syst.)
I5	$0.041 \pm 0.0035 \pm 0.0040$ (MC syst.)
I7	$0.0006 \pm 0.0037 \pm 0.0042$ (MC syst.)
I8	$-0.0007 \pm 0.0047 \pm 0.0055$ (MC syst.)
I9	$-0.001 \pm 0.0046 \pm 0.0044$ (MC syst.)
Signal fraction	$0.8709 \pm 0.0059$
$D^*$ excited states fraction	$0.0918 \pm 0.0048$
$D^* \tau$ fraction	$0.0036 \pm 0.0028$
$D^* D_s(\rightarrow \tau \dots)$ fraction	$0.0172 \pm 0.0044$
Combinatorial fraction	$0.0054 \pm 0.0019$
Fake mu fraction	$0.0196 \pm 0.0044$

# Analysis status and outlook

- Model-independent template fit of  $B \rightarrow D^* \mu \nu_\mu$  and  $B \rightarrow D^* e \nu_e$  procedure allow to extract all 12 angular coefficients from the 4D fit
- Angular coefficient measurements at LHCb can achieve statistically competitive Belle II precision (some systematic contributions still have to be evaluated)
- Sensitivity of the fit to NP is tested
- Toy studies conducted:
  - Systematic uncertainty of the limited MC statistic is evaluated
  - Toy validated in different  $q^2$  regions

Plans:

- Finalise systematics :
  - Estimate the model-dependant uncertainty for the main background cocktail - D\*\*
  - Obtain final fit results for electron

**Thank you for your attention!**

## Backup Slides

# Backup Slides

# Reconstruction procedures

## Rest Frame Approximation (RFA)

Procedure assumes that the proper velocity of the  $H_b$  hadron along the z-axis the beam axis is the same for as for the reconstructed charm-muon system,  $\mu H_c$ :

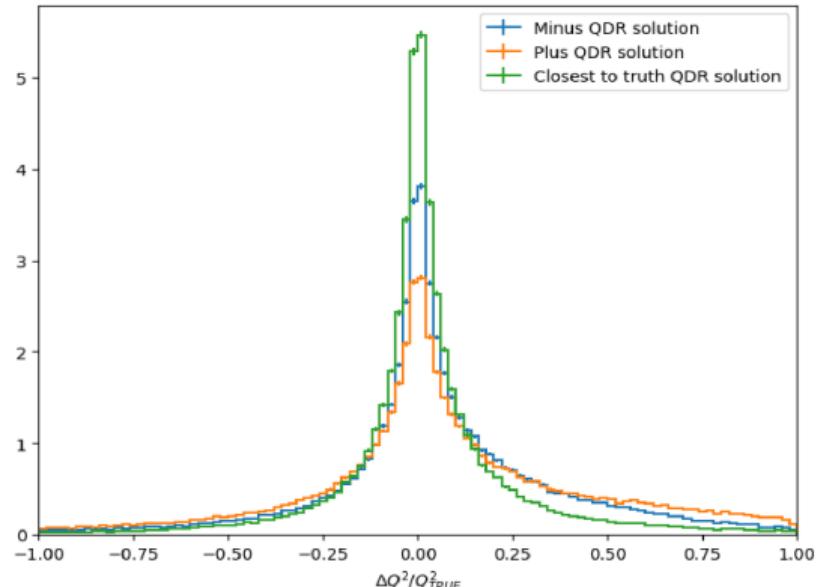
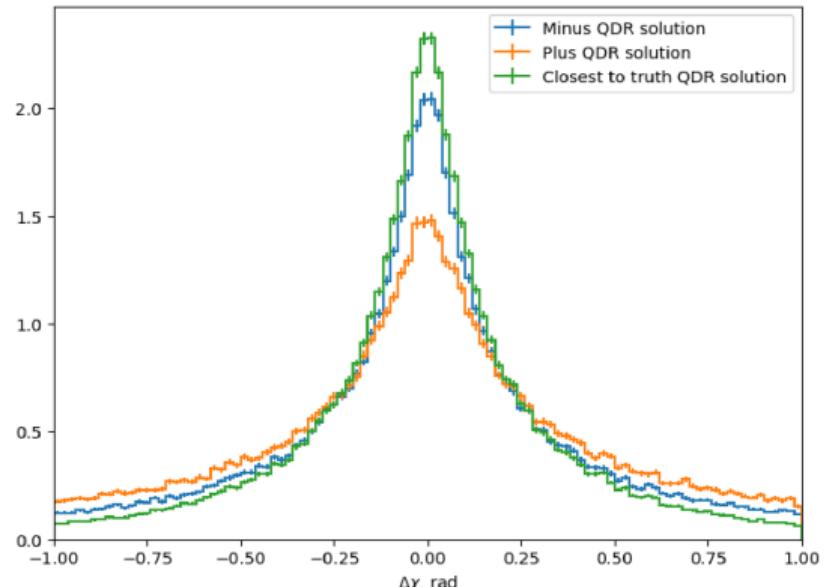
$$|p_{H_b}| = \frac{m_{H_b}}{m_{\mu H_c}} (p_{\mu H_c})_z \sqrt{1 + \tan^2 \alpha}$$

$$|\vec{p}_{B^0}| = \frac{(m_Y^2 + m_{B^0}^2) |\vec{p}_Y| \cos \theta_{B^0, Y} \pm E_Y \sqrt{(m_{B^0}^2 - m_Y^2)^2 - 4m_{B^0}^2 |\vec{p}_Y|^2 \sin^2 \theta_{B^0, Y}}}{2(E_Y^2 - |\vec{p}_Y|^2 \cos^2 \theta_{B^0, Y})}$$

## Solution of quadratic equation (QDR)

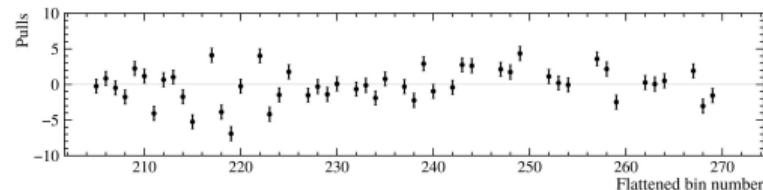
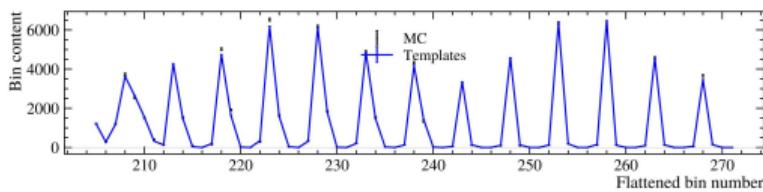
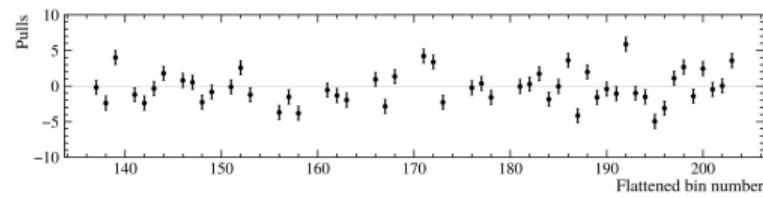
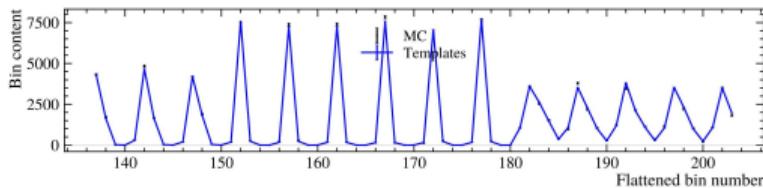
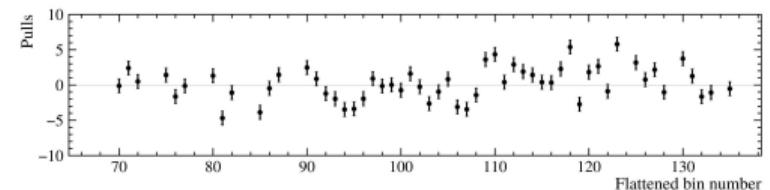
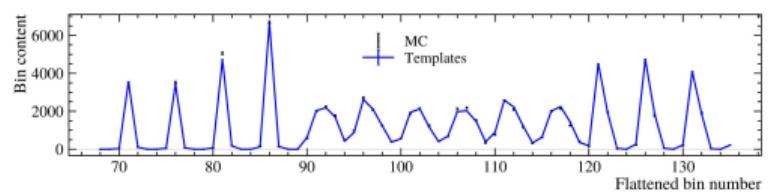
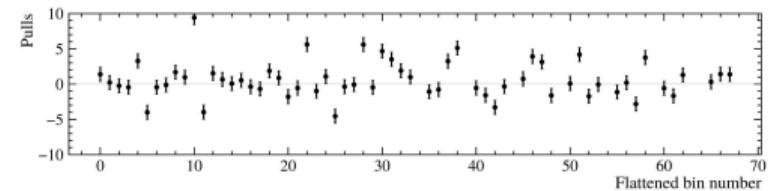
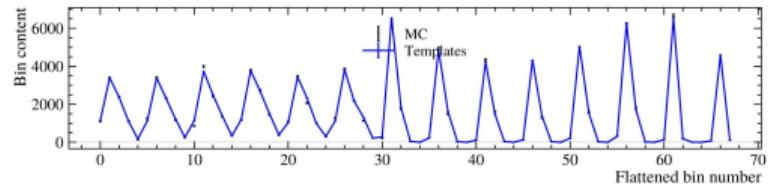
As the  $B^0$  mass is well known, its momentum can be estimated up to a two-fold ambiguity from its line of flight between the reconstructed primary and  $B^0$  vertices:

## QDR

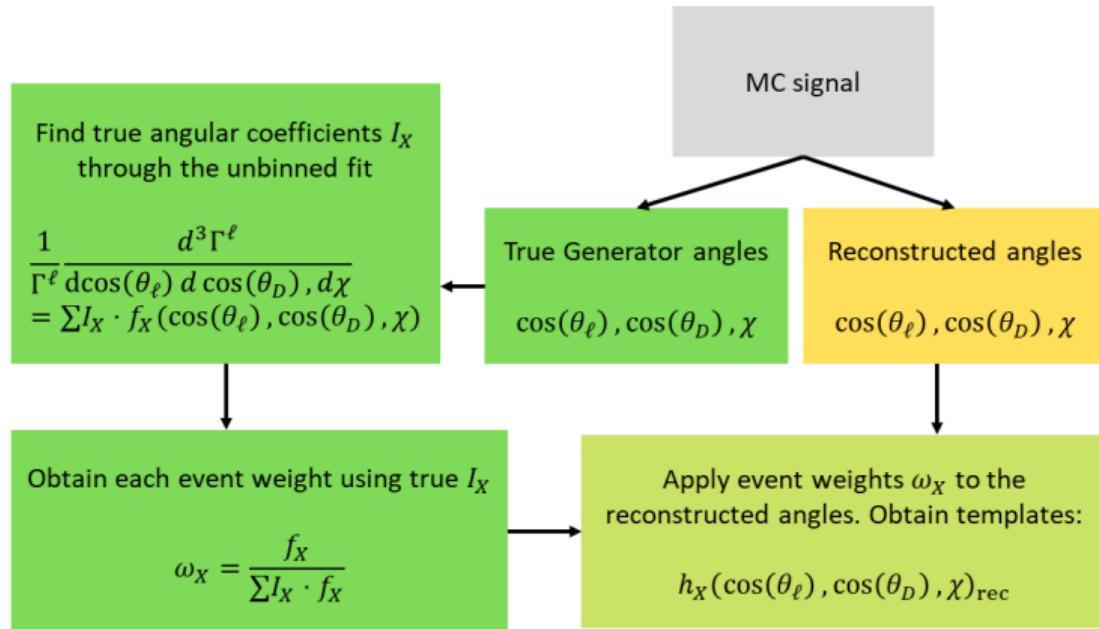


Reconstruction resolution for two solutions of QDR method in  $B \rightarrow D^* \mu\nu_\mu$

# 4D Data template fit results, flattened pulls

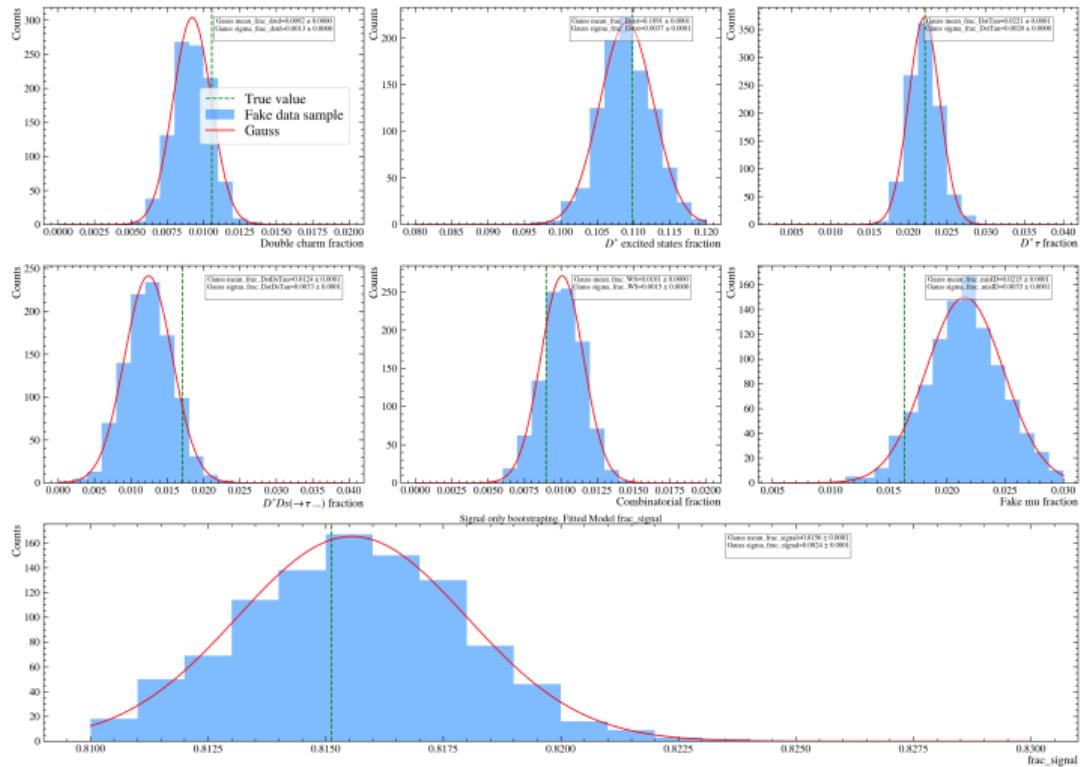


# Template fit procedure



# Toy studies. Bootstrapping of signal and background. Fractions

- Fractions of signal and background are also reliably retrieved from the template fit



# Methodology - Model independent template fit procedure

- To resolve these discrepancies between reconstructed and true angles the angular fit procedure described in [JHEP 11, \(2019\) 133](#) can be used
- 

$$\frac{1}{\hat{\Gamma}^{(\ell)}} \frac{d\hat{\Gamma}^{(\ell)}}{d \cos \theta_\ell} = \frac{1}{2} \cdot [1 + \langle A_{FB}^{(\ell)} \rangle \cos \theta_\ell] + \frac{1}{4}(1 - 3\langle \tilde{F}_L^{(\ell)} \rangle) \frac{3 \cos^2 \theta_\ell - 1}{2}$$

$$\frac{1}{\hat{\Gamma}^{(\ell)}} \frac{d\hat{\Gamma}^{(\ell)}}{d \cos \theta_D} = \frac{3}{4}(1 - \langle F_L^{(\ell)} \rangle) \sin^2 \theta_D + \frac{3}{2}\langle F_L^{(\ell)} \rangle \cos^2 \theta_D$$

$$\frac{1}{\hat{\Gamma}^{(\ell)}} \frac{d\hat{\Gamma}^{(\ell)}}{d \chi} = \frac{1}{2\pi} \cdot [1 + \frac{2}{3\pi} \langle S_3^{(\ell)} \rangle \cos 2\chi + \frac{2}{3\pi} \langle S_9^{(\ell)} \rangle \sin 2\chi]$$



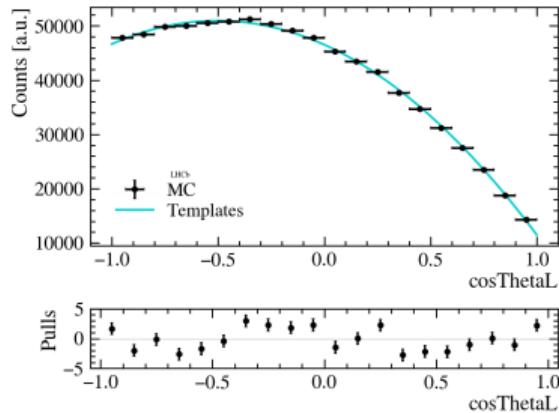
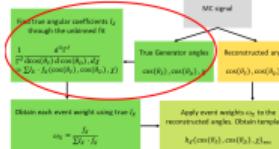
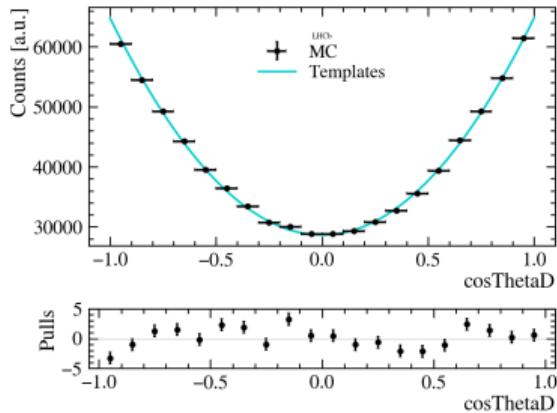
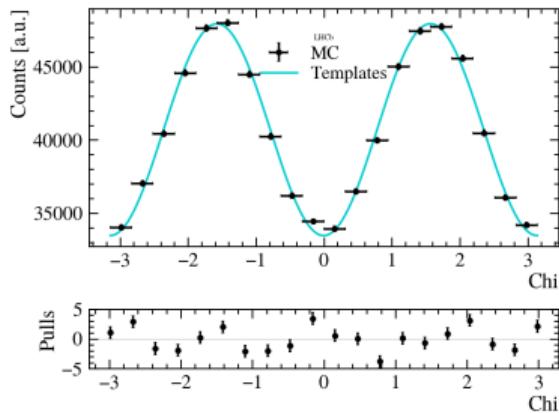
$$\frac{1}{\hat{\Gamma}^{(\ell)}} \frac{d\hat{\Gamma}^{(\ell)}}{d \cos \theta_\ell} = (\frac{1}{2} - \frac{1}{8}(1 - 3)\langle \tilde{F}_L^{(\ell)} \rangle) h_{const, \theta_\ell} + \langle A_{FB}^{(\ell)} \rangle h_{\cos \theta_\ell} + \frac{3}{8}(1 - 3\langle \tilde{F}_L^{(\ell)} \rangle) h_{\cos^2 \theta_\ell}$$

$$\frac{1}{\hat{\Gamma}^{(\ell)}} \frac{d\hat{\Gamma}^{(\ell)}}{d \cos \theta_D} = \frac{3}{4}((1 - \langle F_L^{(\ell)} \rangle) h_{const, \theta_D} + (3\langle F_L^{(\ell)} \rangle - 1) h_{\cos^2 \theta_D}$$

$$\frac{1}{\hat{\Gamma}^{(\ell)}} \frac{d\hat{\Gamma}^{(\ell)}}{d \chi} = (\frac{1}{2\pi} - \frac{2}{3\pi} \langle S_3^{(\ell)} \rangle) h_{const, \chi} + \frac{2}{3\pi} \langle S_3^{(\ell)} \rangle h_{(1+\cos 2\chi)}$$

# True MC distributions $B \rightarrow D^* \mu \nu_\mu, \cos \theta_D, \cos \theta_\ell, \chi$

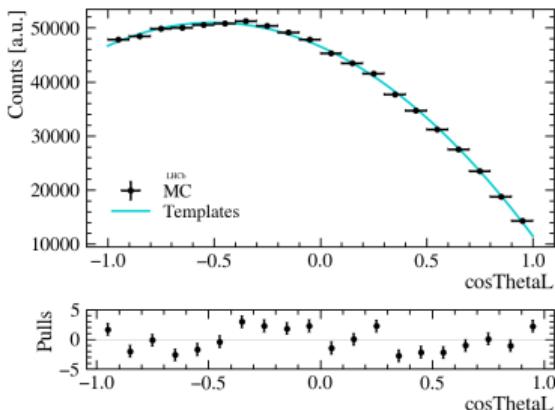
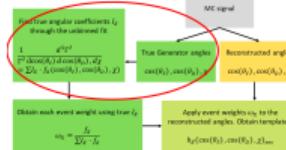
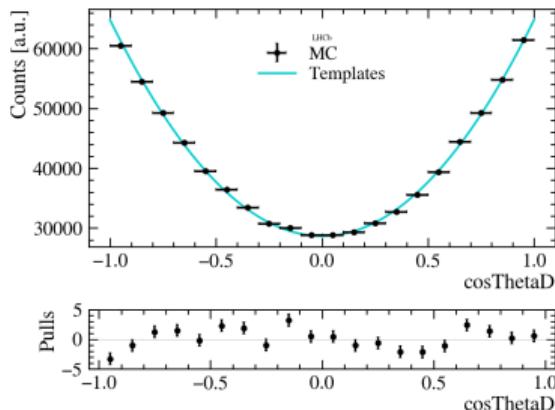
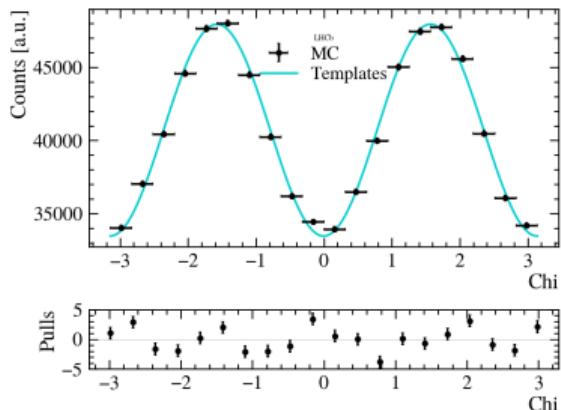
## Generator SM:

(a)  $\cos \theta_\ell$ (b)  $\cos \theta_D$ (c)  $\chi$ 

## Fit of True MC distributions

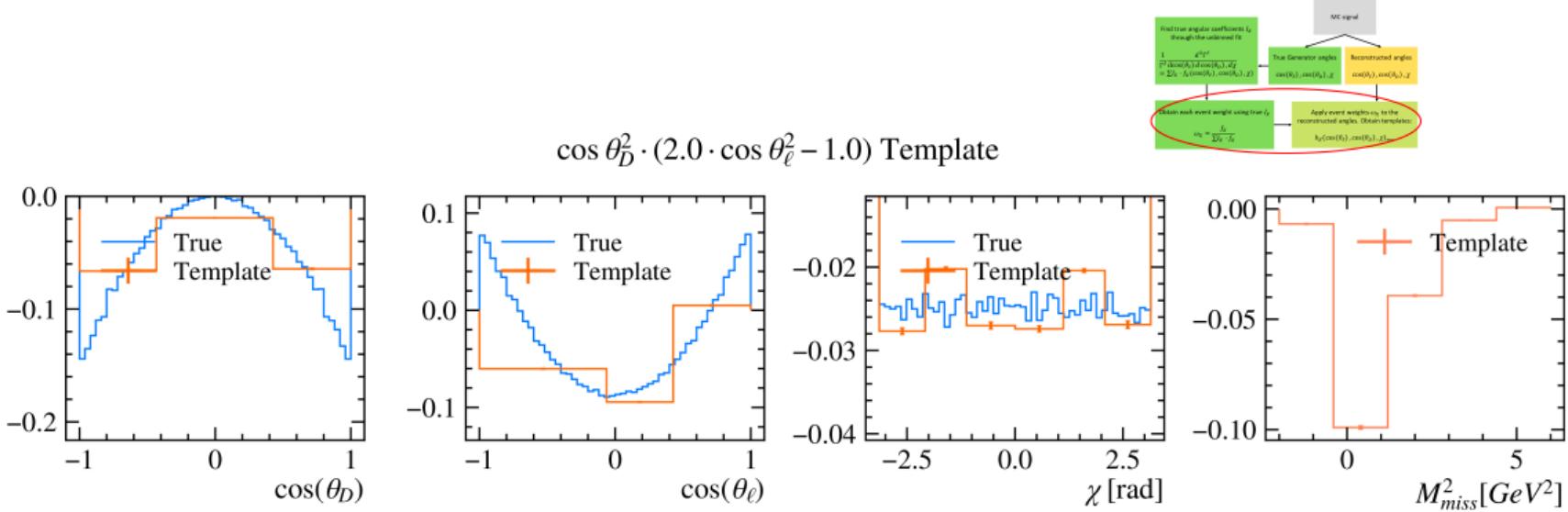
# True MC distributions $B \rightarrow D^* \mu \nu_\mu, \cos \theta_D, \cos \theta_\ell, \chi$

- The unbinned fit on generator-level angles is performed to determine the true angular coefficients

(a)  $\cos \theta_\ell$ (b)  $\cos \theta_D$ (c)  $\chi$ 

3D Unbinned fit projections of true MC sample

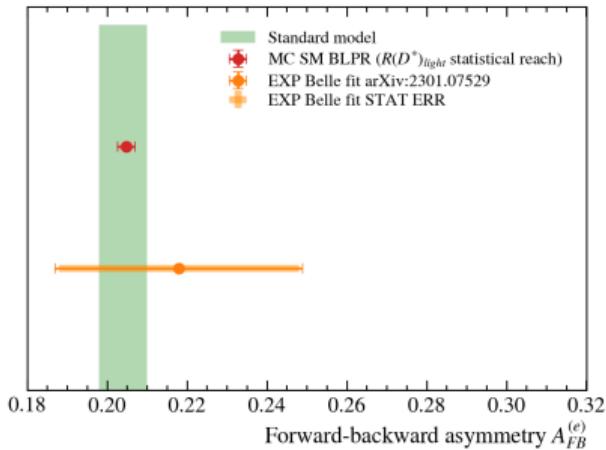
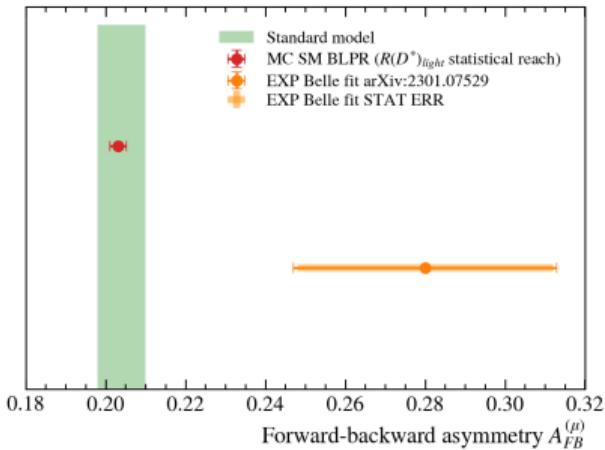
# 4D Signal templates with Reconstruction and Resolution Effects



Projections for 4D template of reconstructed quantities of one out of 12 angular terms. The same templates are produced for each angular function. Binning scheme is  $[\cos \theta_D, \cos \theta_\ell, \chi, M_{miss}^2] = [3,3,6,5]$ . Angles binning edges are placed to have approximately the same statistic in each bin. Missing mass bin edges are evenly spaced

# Results on MC - 1D fit

- Expected statistical uncertainty is estimated using the same selection criteria as in the ongoing analysis for  $R(D^*)_e/\mu$ , which is currently in the advanced state
- All of the results obtained on the [simulation](#)



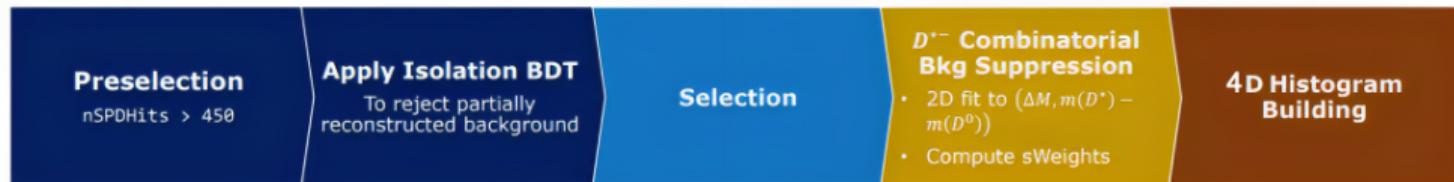
- Even though we had enough statistics in MC for 1D fit, the results were dominated by systematic uncertainties from a limited MC sample
- In total 300 Million events requested and processed for  $B \rightarrow D^* e \nu_e$  and  $B \rightarrow D^* \mu \nu_\mu$

# Processing pipelines

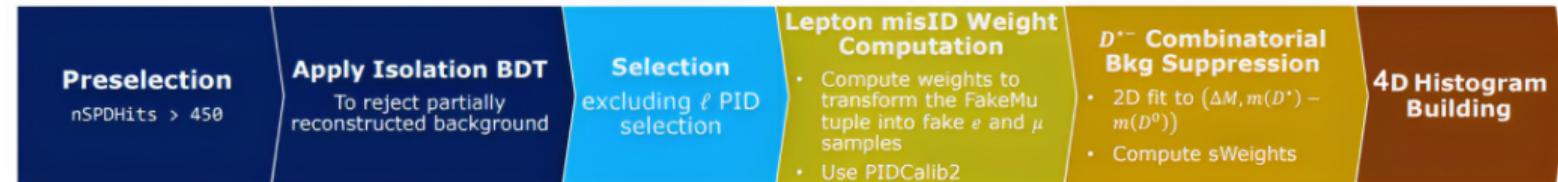
- Signal and Background MC Tuple → Signal and Background Template



- Data Tuple and  $D^*\ell$  WS Data Tuple → Data Histogram and Combinatorial  $D^*\ell$  template

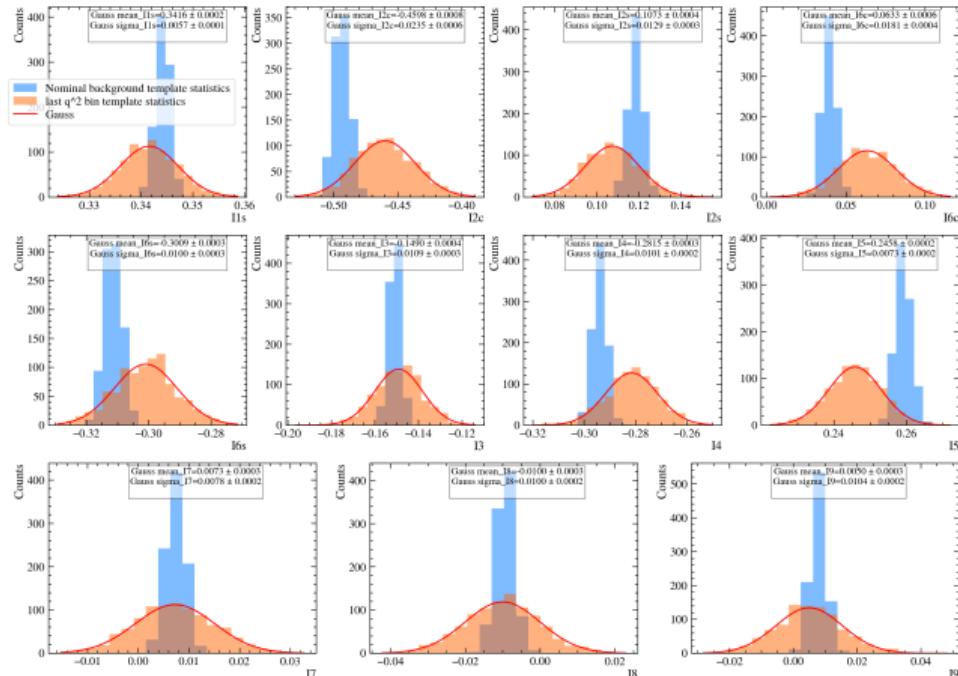


- FakeMu Tuple → Fake  $\mu$  template and Fake  $e$  Template



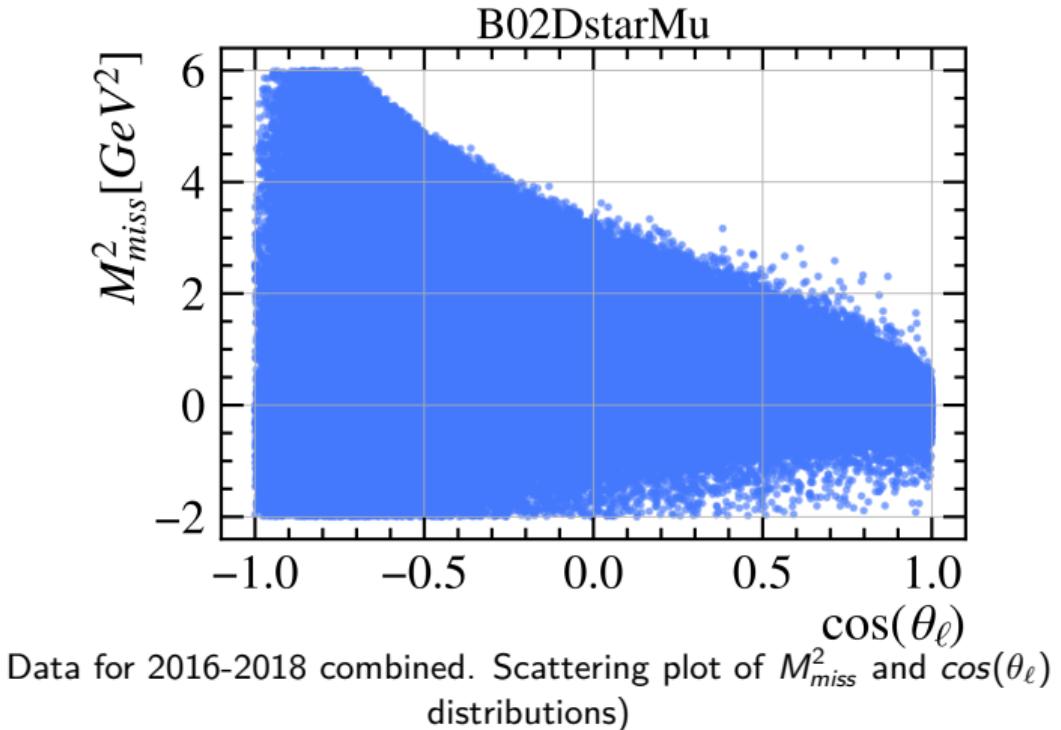
# Toy studies. Toy study to assess empty bin effect. Q2 splitting. Angular coefficients

- Momentum transfer (5 bins)  $q^2 = (p_{B^0} - p_{D^*})^2 \in [0,10] \text{ GeV}^2$
- To test the effect of limited statistics, a toy study was done on the last bin of  $q^2$  from 7.5 to 10  $\text{GeV}^2$



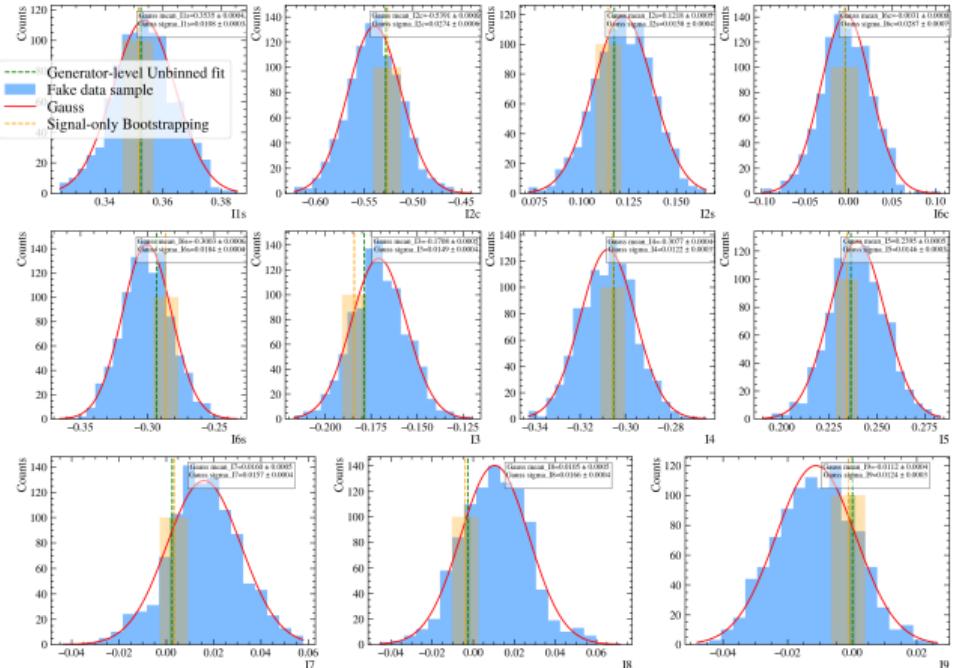
# Data 2D projection. Restricted phase space region

- The study was done to check if we have empty bins in the templates and what binning we should use
- Both in Data and MC there is a phase space restricted region on 2D distribution of  $M_{miss}^2$  and  $\cos(\theta_\ell)$
- This region was excluded from the fit



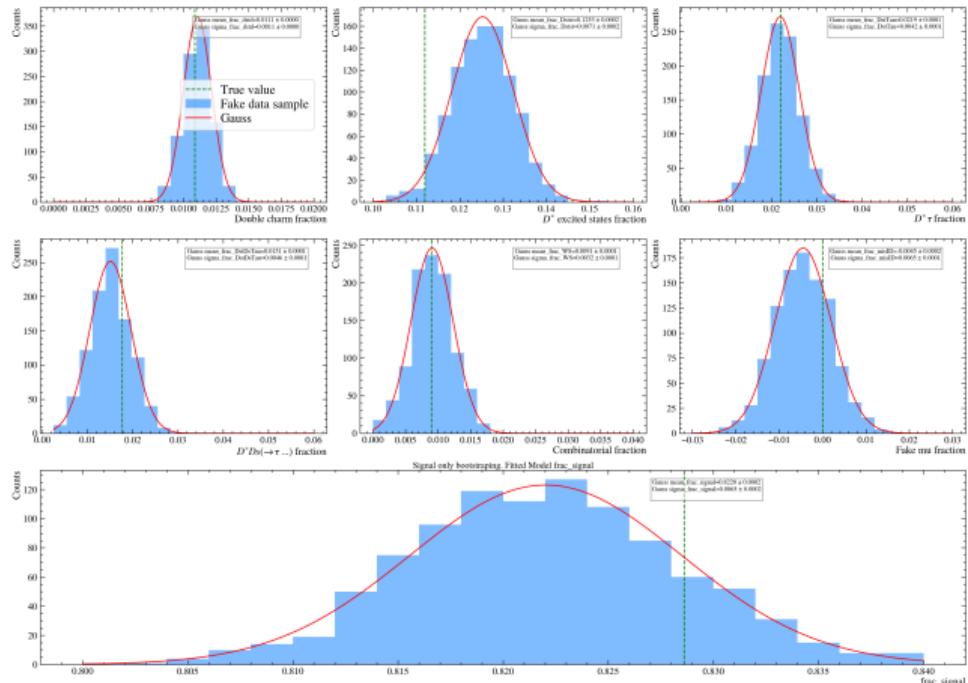
# Toy studies. Bootstrapping of signal and background. Q<sup>2</sup> splitting. Angular coefficients

- Momentum transfer (5 bins)  $q^2 = (p_{B^0} - p_{D^*})^2 \in [0,10] \text{ GeV}^2$
- To test the effect of limited statistics, a toy study was done on the last bin of  $q^2$  from 7.5 to 10  $\text{GeV}^2$



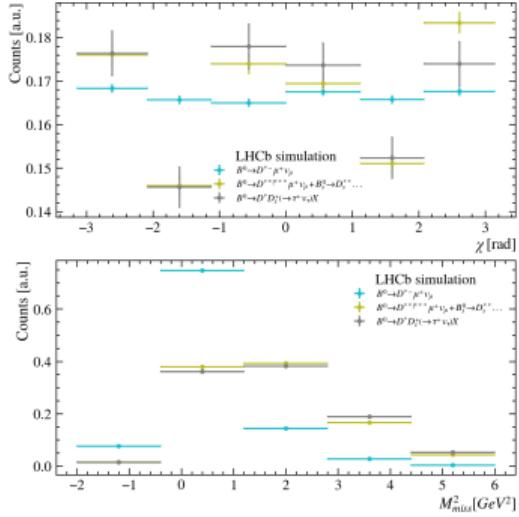
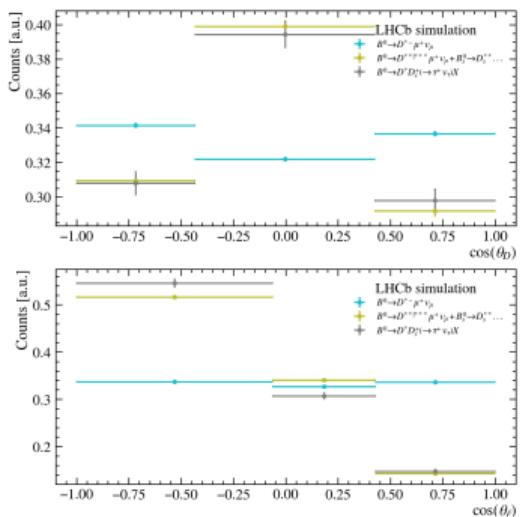
# Toy studies. Bootstrapping of signal and background. Q2 splitting. Fractions

- The decrease in statistics results in a wider distribution. Nonetheless, this does not introduce a systematic bias into the measurement

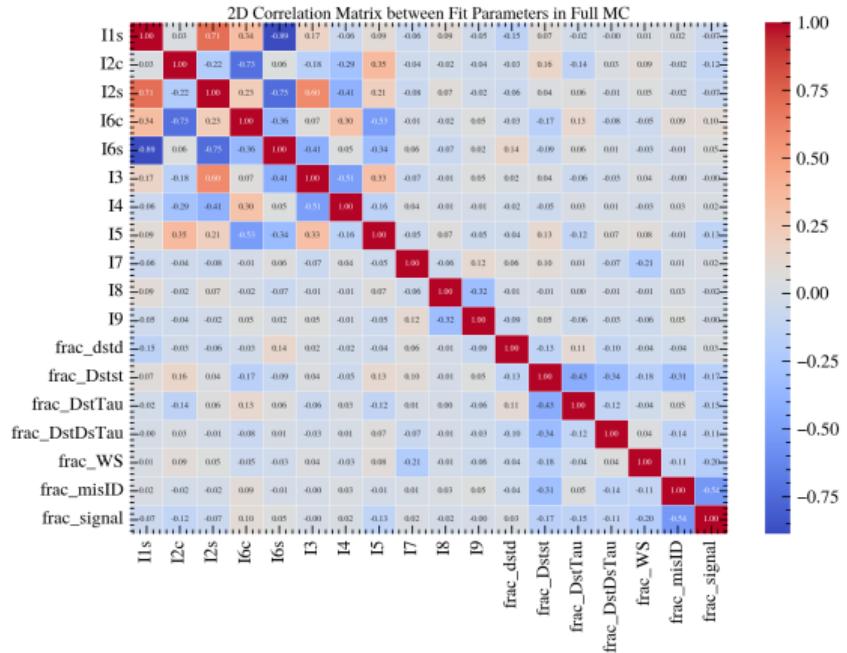


# Background Templates

- Expected number of events for each background was estimated using branching ratios from PDG, trigger, stripping, and selection efficiencies
- Background modes MC simulation was requested, processed and combined with already existed samples
- Have enough statistics in the templates now to separate main background modes
- $B_s^0 \rightarrow D_s^{**} \ell^+ \nu_\ell$ ,  $B^0 \rightarrow D^{**} \ell^+ \nu_\ell$ , and  $B^+ \rightarrow D^{**} \ell^+ \nu_\ell$  distributions are similar, they were combined, relative ratio is constrained
- Double charm  $B^0 \rightarrow D^* D$  and  $B^+ \rightarrow D^* D$  are combined as well



# Toy studies on Full MC. Q2 splitting. Correlation matrix



# Resolve correlations

- One possible way to resolve high correlation is to transition to an orthogonal angular function
- Might be useful for the calculation of Forward-backward asymmetry or  $D^*$  polarisation

$$\begin{aligned}
 e_0 &= \frac{\sqrt{2}}{4\sqrt{\pi}} \\
 e_1 &= \frac{\sqrt{10} \cdot (3 \sin^2 \theta - 2)}{8\sqrt{\pi}} \\
 e_2 &= \frac{\sqrt{30} \cos^2 \theta \cos \theta_L}{4\sqrt{\pi}} \\
 e_3 &= \frac{\sqrt{6} \cdot (5 \sin^2 \theta - 2) \cos \theta_L}{8\sqrt{\pi}} \\
 e_4 &= \frac{5\sqrt{2} \cdot \cos^2 \theta (3 \cos^2 \theta_L - 1)}{8\sqrt{\pi}} \\
 e_5 &= \frac{\sqrt{10} (-15 \sin^2 \theta \sin^2 \theta_L + 10 \sin^2 \theta + 6 \sin^2 \theta_L - 4)}{16\sqrt{\pi}}
 \end{aligned} \tag{1}$$

$$\begin{aligned}
 e_6 &= \frac{15 \sin^2 \theta \sin^2 \theta_L \cos 2\chi}{16\sqrt{\pi}} \\
 e_7 &= \frac{15 \sin^2 \theta \sin^2 \theta_L \sin 2\chi}{16\sqrt{\pi}} \\
 e_8 &= \frac{15 \sin 2\theta \sin 2\theta_L \cos \chi}{16\sqrt{\pi}} \\
 e_9 &= \frac{15 \sin 2\theta \sin 2\theta_L \sin \chi}{16\sqrt{\pi}} \\
 e_{10} &= \frac{3\sqrt{5} \sin 2\theta \sin \theta_L \cos \chi}{8\sqrt{\pi}} \\
 e_{11} &= \frac{3\sqrt{5} \sin 2\theta \sin \theta_L \sin \chi}{8\sqrt{\pi}}
 \end{aligned} \tag{2}$$

# Methodology - Background templates

To suppress background contributions a template fit can be performed:

Background decays

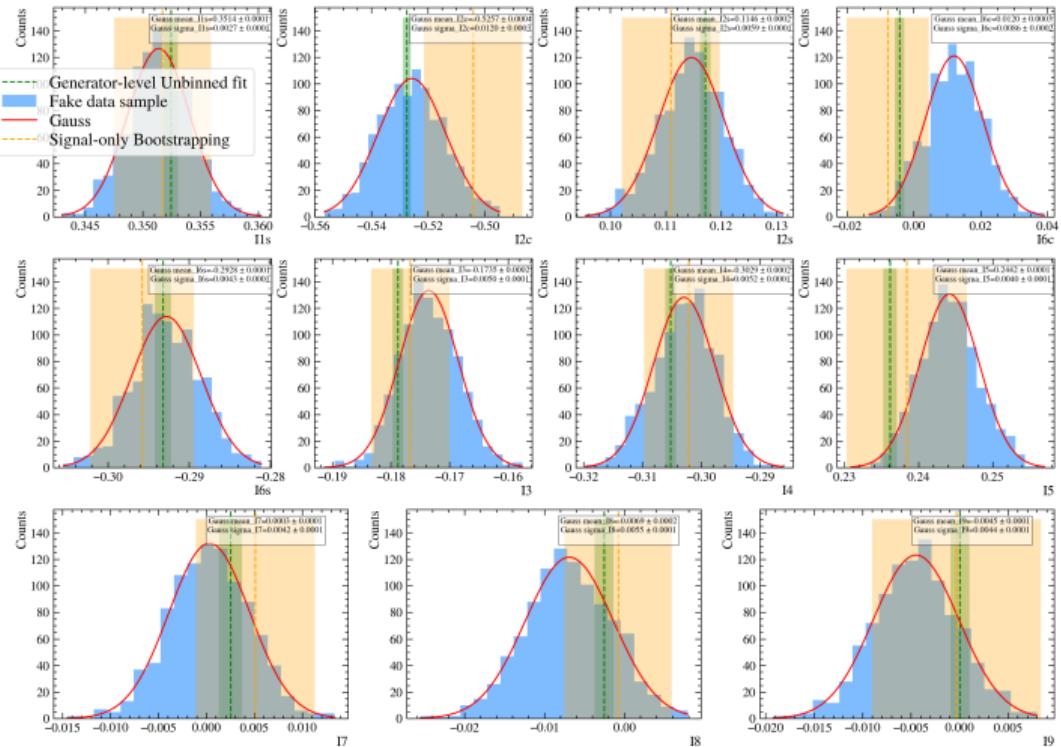
- ①  $B^0 \rightarrow D^{**} \ell^+ \nu_\ell$
- ②  $B^0 \rightarrow D^{***} \ell^+ \nu_\ell$
- ③  $B^0 \rightarrow D^* D (\rightarrow \ell^+ \nu_\ell) X$
- ④  $B^0 \rightarrow D^{*-} (\tau^+ \rightarrow \ell^+ \nu_\tau \bar{\nu}_e) \nu_\tau$
- ⑤  $B^0 \rightarrow D^* D_s^+ (\rightarrow (\ell^+ \rightarrow \ell^+ \nu_\tau \bar{\nu}_e) \nu_\tau) X$
- ⑥  $B^+ \rightarrow D^{**} \ell^+ \nu_\ell$
- ⑦  $B^+ \rightarrow D^{***} \ell^+ \nu_\ell$
- ⑧  $B^+ \rightarrow D^* D (\rightarrow \ell^+ \nu_\ell) X$
- ⑨  $B^+ \rightarrow D^* D_s^+ (\rightarrow (\tau^+ \rightarrow \ell^+ \nu_\ell \bar{\nu}_\ell) \nu_\tau) X$
- ⑩  $B_s^0 \rightarrow D_s^{**} \ell^+ \nu_\ell$

Three variables of interest can efficiently separate signal from background:

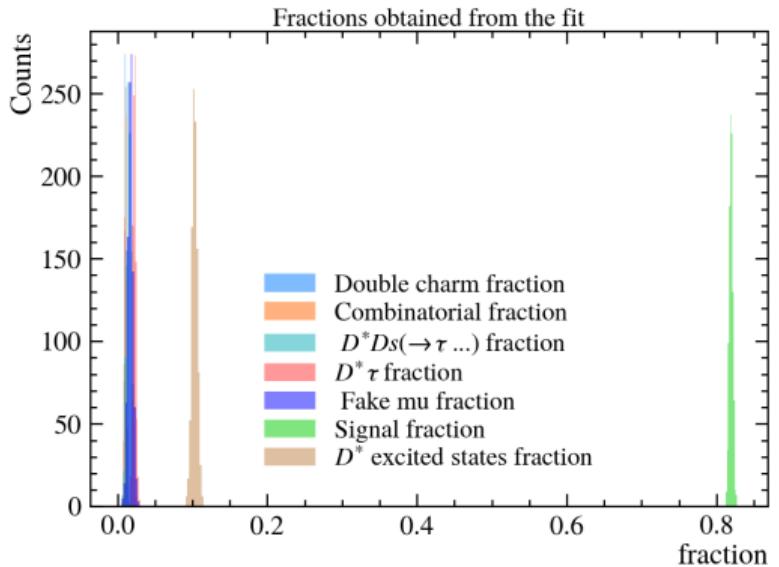
- Lepton energy in  $B^0$  frame (10 bins)  
 $E_\ell^{B^0} \in [100, 2500] \text{ MeV}^2$  —  
 cannot be used due to correlation with  $\cos \theta_\ell$
- Momentum transfer (2 bins)  $q^2 = (p_{B^0} - p_{D^*})^2 \in [0, 4, 8.5] \text{ GeV}^2$  —  
 cannot be used due to  $q^2$  bin splitting
- Squared missing mass (5 bins)  
 $M_{missing}^2 = (p_{B^0} - p_{D^*} - p_\ell)^2 \in [-2, 6] \text{ GeV}^2$
- For each background 4D templates are created in variables  $\cos \theta_\ell$ ,  $\cos \theta_D$ ,  $\chi$  and  $M_{missing}^2$

# Fit validation with bootstrapping

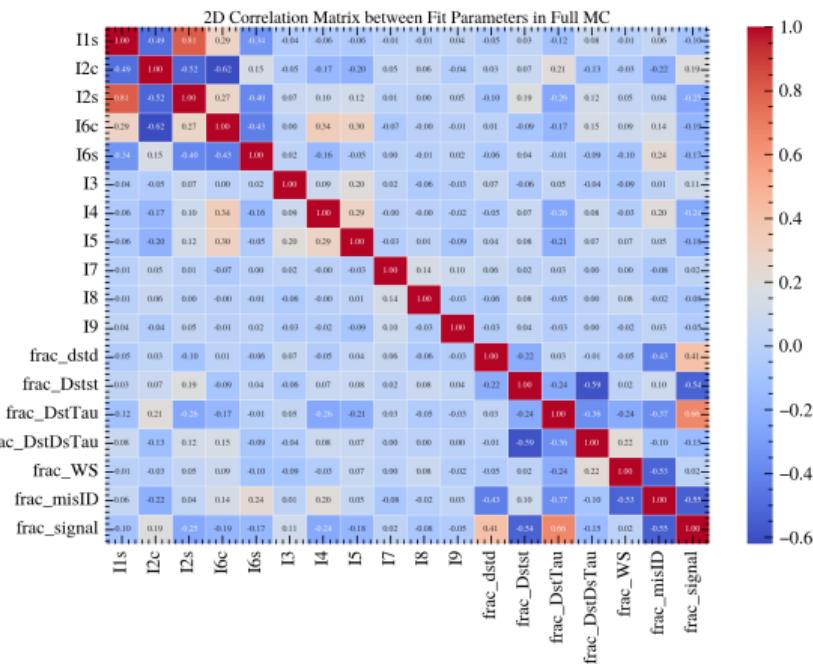
- To validate the fit and estimate the limited template statistics uncertainty, bootstrapping is performed on the MC sample of signal + background
- Iteration of 1000 fits reproduce generator level angles reliably
- Green vertical line - results of generator level unbinned fit
- Orange vertical line - results of signal only fit with bootstrapping



# Fit validation with bootstrapping. Correlation matrix

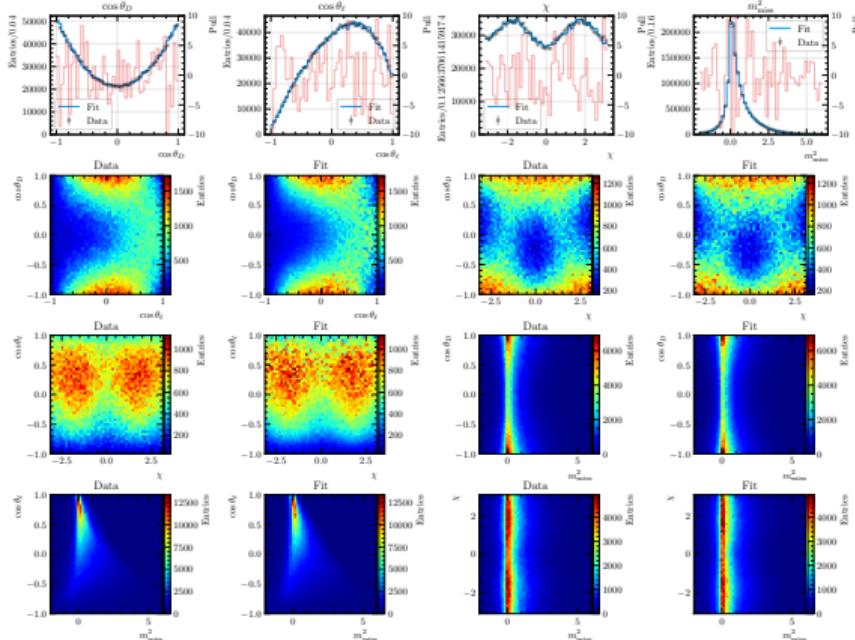


Fractions obtained from template fits with  
bootstrapping on Full MC

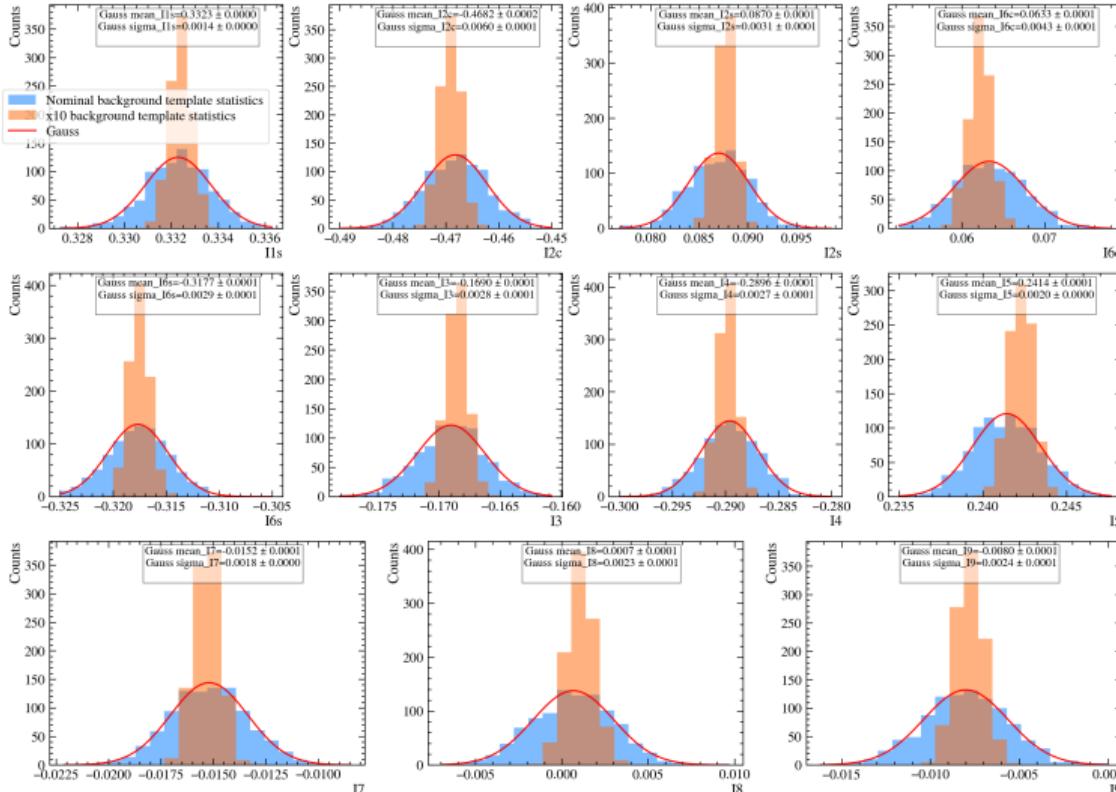


# Toy studies. Toy study to assess empty bin effect

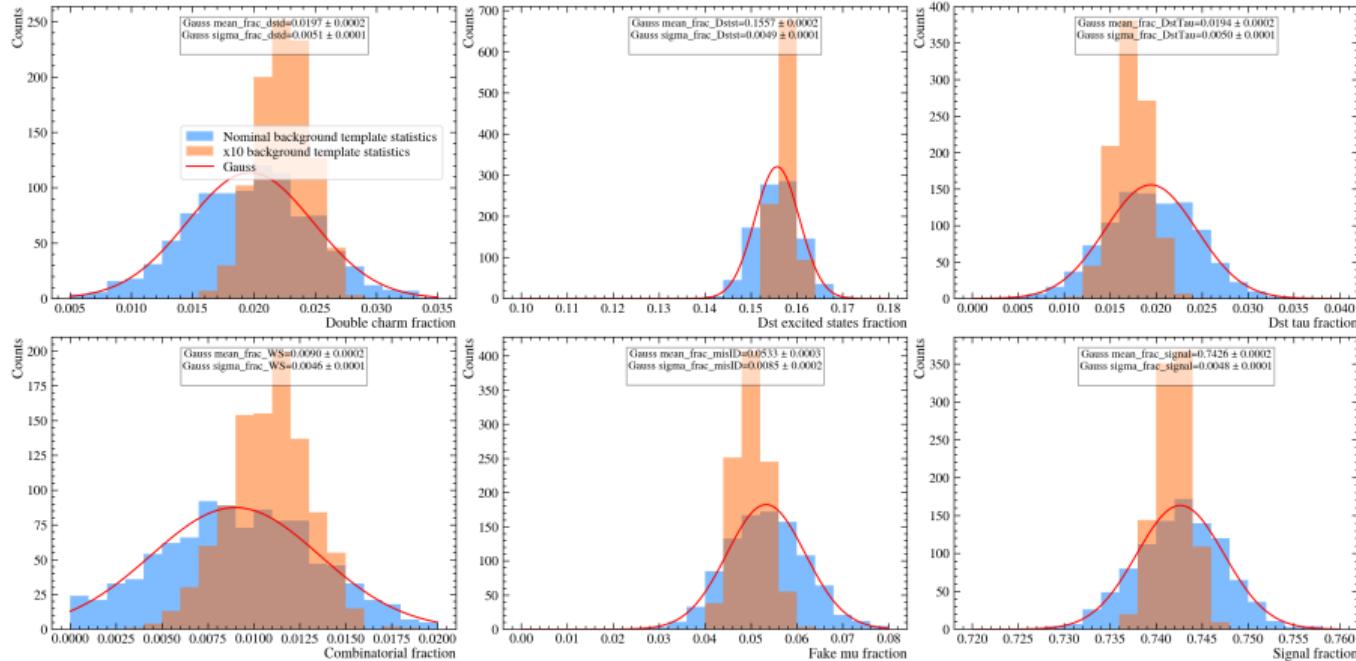
- Some of the background templates have empty bins outside of phase space restricted region
- To test the influence of background template statistics we can use the smooth density function unbinned fitting procedure that is described here: [arXiv:1902.01452](https://arxiv.org/abs/1902.01452)
- Full MC signal and density estimated background template fit of the signal and background sample
- Sampling of background templates



# Toy studies. Toy study to assess empty bin effect. Angular coefficients



# Toy studies. Toy study to assess empty bin effect. Fractions



- Background template statistics / empty bins don't significantly affect the result of the fit. Fluctuations are well described by normal distribution.

# Orthogonal basis

Another advantage is the possibility to obtain angular coefficients directly from orthogonality, without the fit. The example with spherical harmonics:

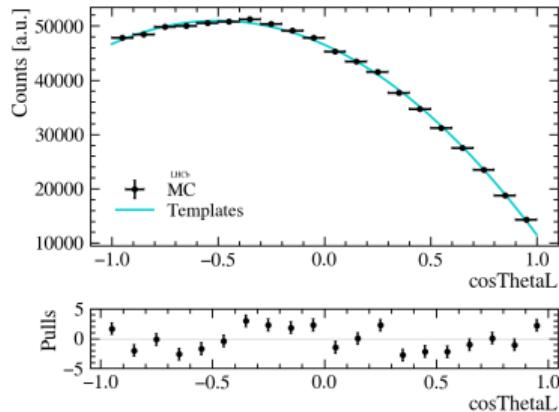
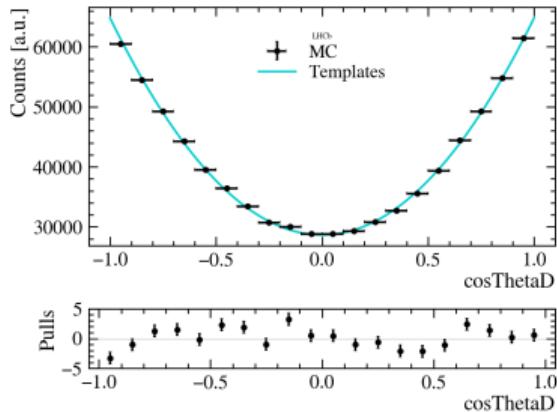
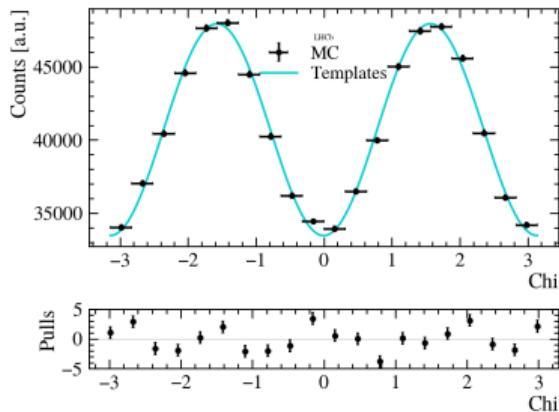
$$\int Y_{lm}(\theta, \phi) Y_{l'm'}(\theta, \phi) \sin \theta \, d\theta \, d\phi = \delta_{ll'} \delta_{mm'} \quad (3)$$

$$\begin{aligned} p(\theta_D, \theta_\ell, \chi) = \frac{d^3\Gamma}{d \cos \theta_D \, d \cos \theta_\ell \, d\chi} \propto & I_{1c} \cos^2 \theta_D + I_{1s} \sin^2 \theta_D \\ & + [I_{2c} \cos^2 \theta_D + I_{2s} \sin^2 \theta_D] \cos 2\theta_\ell \\ & + [I_{6c} \cos^2 \theta_D + I_{6s} \sin^2 \theta_D] \cos \theta_\ell \\ & + [I_3 \cos 2\chi + I_9 \sin 2\chi] \sin^2 \theta_\ell \sin^2 \theta_D \\ & + [I_4 \cos \chi + I_8 \sin \chi] \sin 2\theta_\ell \sin 2\theta_D \\ & + [I_5 \cos \chi + I_7 \sin \chi] \sin \theta_\ell \sin 2\theta_D \end{aligned} \quad (4)$$

$$H_{l,m,n} = \int p(\theta_D, \theta_\ell, \chi) e_{l,m,n}(\theta_D, \theta_\ell, \chi) \sin \theta_D \, d\theta_D \sin \theta_\ell \, d\theta_\ell \, d\chi. \quad (5)$$

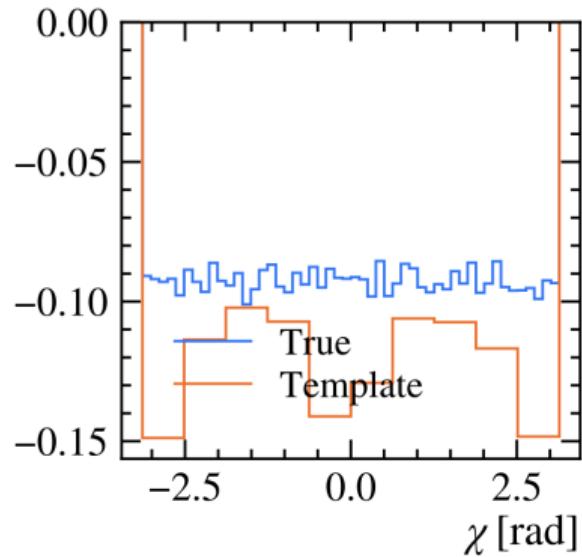
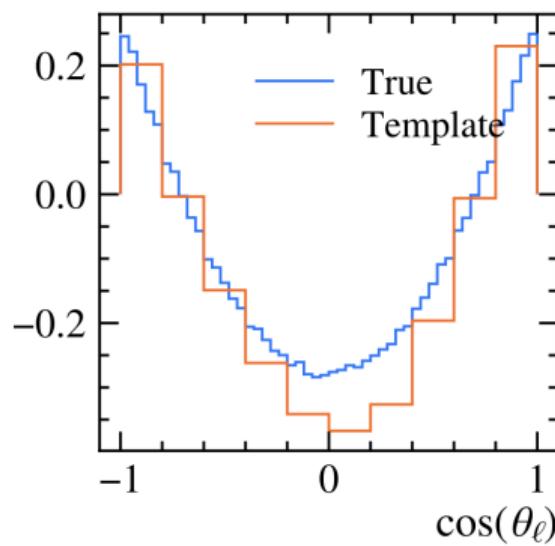
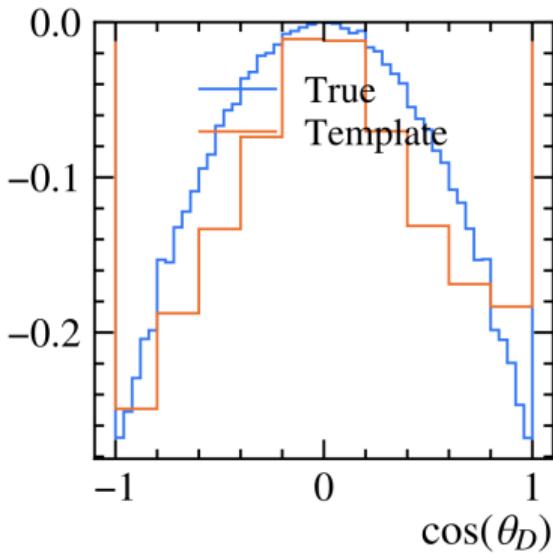
# True MC distributions $B \rightarrow D^* \mu \nu_\mu, \cos \theta_D, \cos \theta_\ell, \chi$

## Generator SM:

(a)  $\cos \theta_\ell$ (b)  $\cos \theta_D$ (c)  $\chi$ 

Unbinned fit of True MC distributions

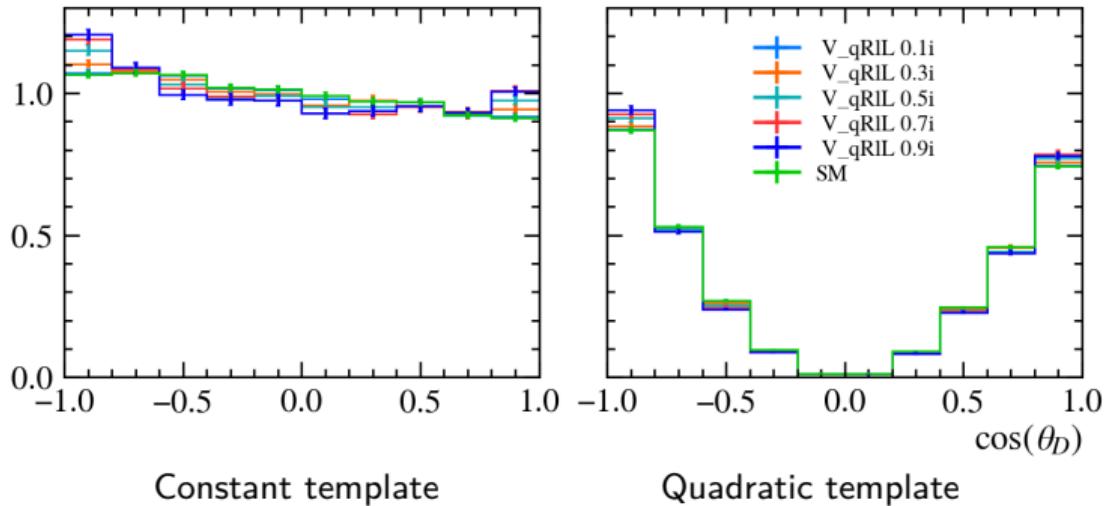
# Templates



Projections for 3D template of  $J_{2c}^\mu = \cos(\theta_D)^2 * (2.0 * \cos(\theta_L)^2 - 1.0)$  angular function

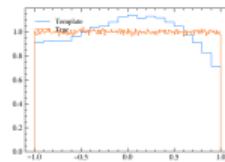
# Template fit model independence

- The simplest way to prove the model Independence of 1D template fit is to remake templates with different NP contributions, i.e. to reweigh reconstructed and generator angles. If the approach is indeed model-independent, all templates are supposed to be the same within statistical uncertainty

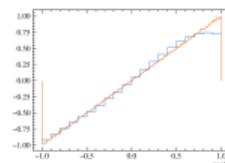


# Template fit $\cos \theta_L$

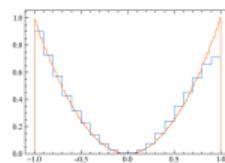
Template for a constant



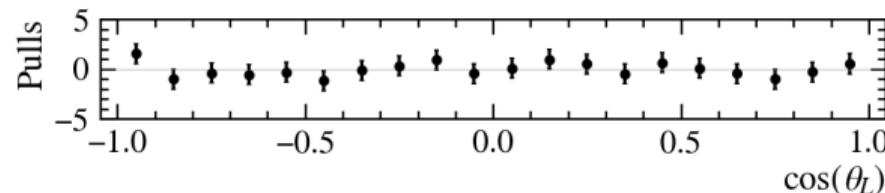
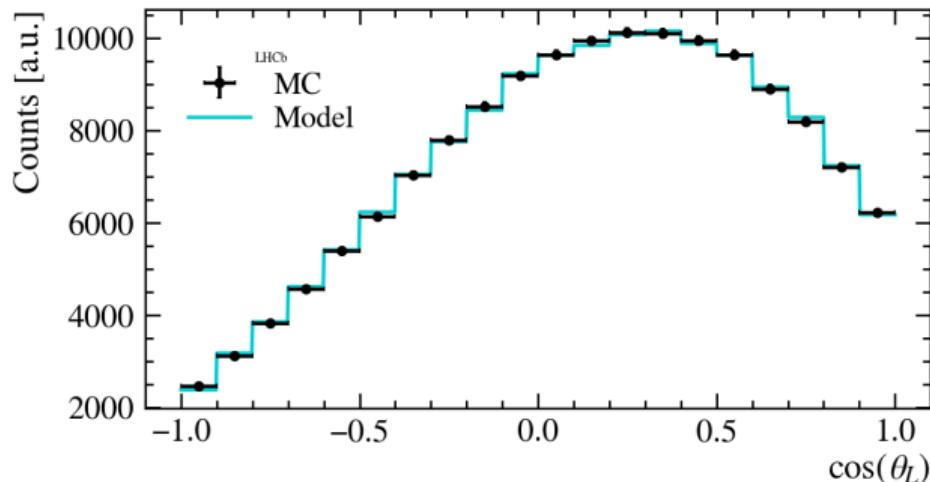
Template for a  $\cos \theta_L$



Template for a  $\cos^2 \theta_L$

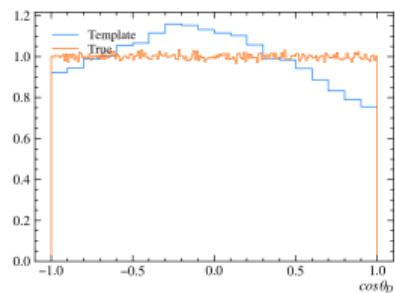


Template fit of MC with BLPR SM formfactor parametrization

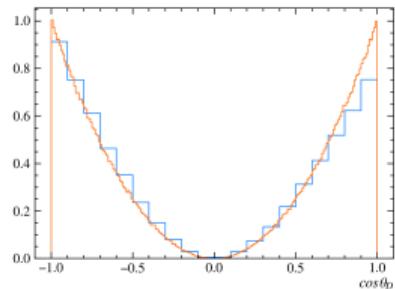


# Template fit $\cos \theta_D$

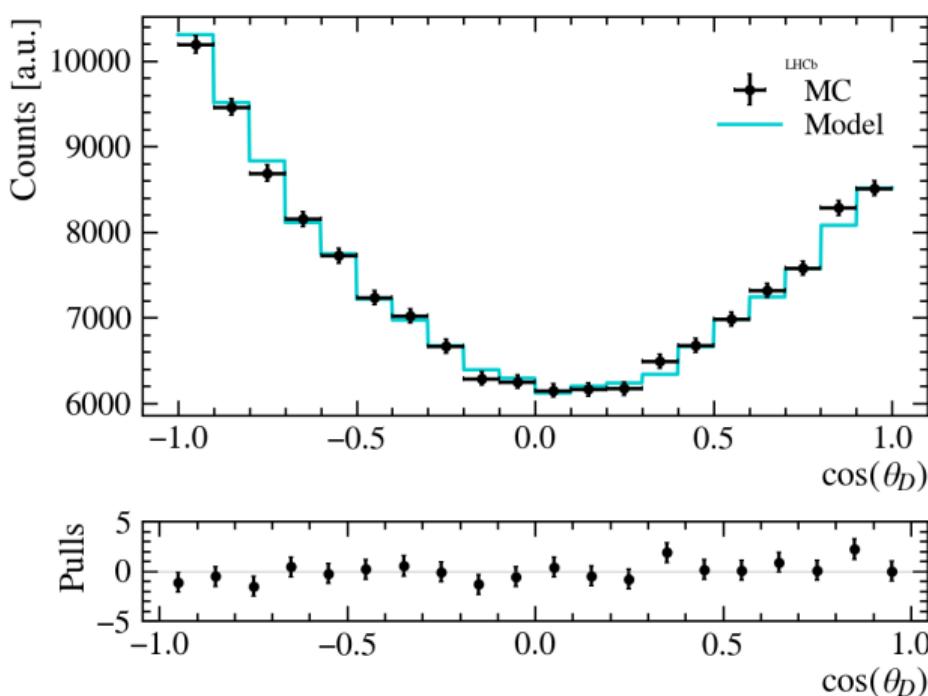
Template for a constant



Template for a  $\cos^2 \theta_D$

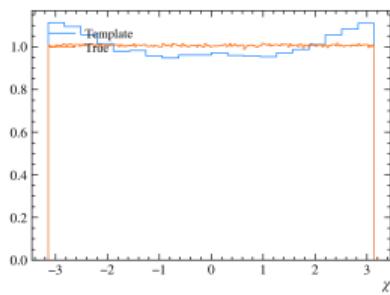


Template fit of MC with BLPR SM formfactor parametrization

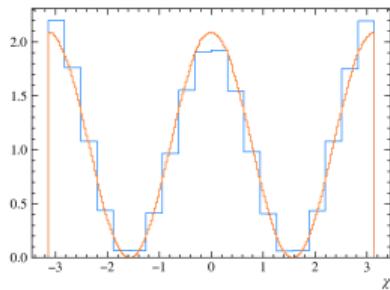


# Template fit $\cos \chi$

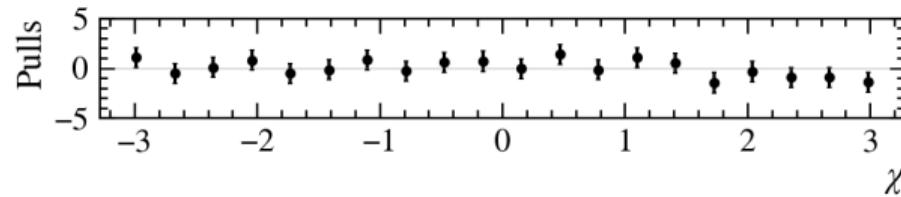
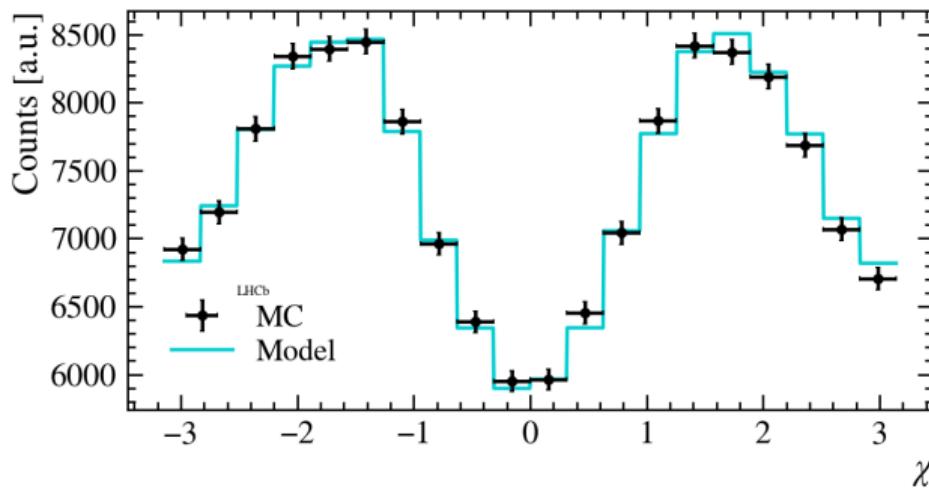
Template for a constant



Template for a  $1 + \cos(2\chi)$



Template fit of MC with BLPR SM formfactor parametrization



# Smooth density function unbinned fitting procedure. Results

