Development of a time correction algorithm for a precise synchronization of a free-running Rubidium atomic clock with the GPS Time

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Abstract

We present results of our study devoted to the development of a time correction algorithm needed to precisely synchronize a free-running Rubidium atomic clock with the Coordinated Universal Time (UTC). This R&D is performed in view of the Hyper-Kamiokande (HK) experiment currently under construction in Japan, which requires a synchronization with UTC and between its different experimental sites with a precision better than 100 ns. We use a Global Navigation Satellite System (GNSS) receiver to compare a PPS and a 10 MHz signal, generated by a free-running Rubidium clock, to the Global Positioning System (GPS) Time signal. We use these comparisons to correct the time series (time stamps) provided by the Rubidium clock signal. We fit the difference between Rubidium and GPS Time with polynomial functions of time over a certain integration time window to extract a correction of the Rubidium time stamps in offline or online mode. In online mode, the latest fit results are used for the correction until a new comparison to the GPS Time becomes available. We show that with an integration time window of around 10^4 seconds, we can correct the time stamps drift caused

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by the frequency random walk noise and the deterministic frequency drift of the free running Rubidium clock so that the time difference with respect to the GPS Time stays within a ± 5 ns range in both offline or online correction mode.

Keywords: timing detectors, precise timing, atomic clock, GPS, UTC *PACS:* 06.30. -k, 06.30.Ft, 07.05.Fb 2000 *MSC:* 00A79, 85-05, 85-08

1 1. Introduction

A precise synchronization with the Coordinated Universal Time (UTC) or 2 with another signal is a necessity in many applications, particularly in long-3 baseline physics experiments including several experimental sites. A good 4 example is long-baseline neutrino oscillation experiments, like OPERA [1] 5 (2006-2012), T2K [2] (from 2010) and NOvA [3] (from 2014), where a beam 6 of neutrinos is produced and characterized in a first experimental site and de-7 tected, after several hundreds of kilometers of propagation, at another site to 8 measure a change of the beam properties. Two next generation long-baseline 9 neutrino experiments are being built at the moment: Hyper-Kamiokande 10 (HK) [4] that plans to start taking data in 2027 and DUNE [5][6] that should 11 begin sometime after 2029. These experiments require a synchronization of 12 100 ns or better between the different experimental sites. Moreover, multi-13 messenger programs that plan to compare different components of astro-14 physical events [7] (e.g.: gamma-ray bursts, gravitational waves, neutrino 15 emissions of supernovae, etc.) require a synchronization with UTC of dif-16 ferent experiments located all over the world. For instance, to enter the 17 SuperNova Early Warning System (SNEWS) network [8], a synchronization 18 to UTC better than 100 ns is required. 19

Many long-baseline physics experiments use atomic oscillators as fre-20 quency references because of their good short term stability. Among the 21 reference oscillators available on the market, Rubidium atomic clocks are 22 generally chosen for their affordability as it was the case for the T2K [9] and 23 Super-Kamiokande [10] timing systems. However, Rubidium clocks usually 24 drift away from a stable reference because of frequency drift and random 25 walk. For synchronization to UTC, this drift usually needs to be prevented 26 or corrected. A common solution is to discipline the average frequency of 27 the clock to the signals of an external Global Navigation Satellite System 28

(GNSS) receiver, with an integration time window chosen so that it does not 29 deteriorate the short term stability of the clock. However, it presents some 30 drawbacks like the fact that the user has little control on the setup. In case 31 of problems (like jumps in the time comparison), it is difficult to understand 32 where they come from (GPS Time, receiver, the master clock, etc.) and 33 to assess the uncertainty on the synchronization to UTC. The R&D work 34 presented in this paper and introduced in [11] is focused on designing and 35 characterizing an alternative method that allows more freedom to the user 36 and a better understanding of the process. It is based on known metrology 37 techniques [12, 13]. The proposed method uses a free-running atomic clock 38 to derive a time signal and provide time stamps. In a physics experiment 30 these would be the time stamps of detected events. The time stamps are 40 corrected in post-processing using comparisons of the Rubidium clock signal 41 to GNSS Time. In that way, we can store all the information (the raw signal, 42 the comparisons to GPS Time, the derived correction etc.) and apply the 43 correction in either online (during the data-acquisition) or offline modes. Let 44 us note that the GNSS time is a good approximation of the UTC, within a 45 few nanoseconds, and it allows synchronization to UTC via a common-view 46 technique [14]. The common-view would be performed with a national labo-47 ratory providing a local realization of UTC(k), like e.g. the NICT laboratory 48 in Japan [15], then the conversion to UTC can be performed with the help of 49 the Circular T of the BIPM (Bureau International des Poids et Mesures) [16] 50 at the end of each month. 51

⁵² 2. Materials and Methods

53 2.1. Experimental setup

The experimental setup that we used is schematized in Figure 1. It is 54 located at the Pierre and Marie Curie (Jussieu) campus of the Sorbonne 55 University in Paris. The setup consists of two main parts: one represents 56 the timing generation and correction setup, that could be reproduced in the 57 HK experiment, and the second part is related to testing the efficiency of the 58 correction method. In the first part a Rubidium clock (Rb) in free-running 50 mode, at the ground floor of the laboratory, generates a Pulse Per Second 60 (PPS) signal and a 10 MHz signal that are transported to the fifth floor 61 with the White Rabbit (WR) protocol. The timing signals of the slave WR 62 switch are used by a GNSS receiver as a reference for its internal clock. The 63 receiver connected to its antenna on the roof, above the fifth floor, is used to 64

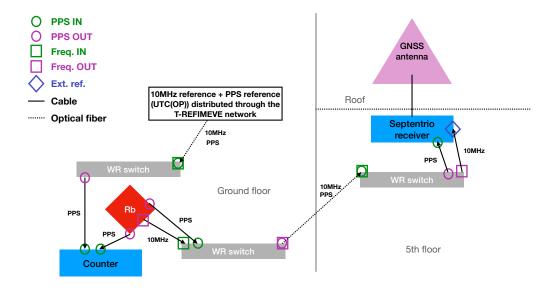


Figure 1: Experimental setup used in this work. Part of the equipment is installed at the ground floor and the other part at the fifth floor. The relevant signals generated at the ground floor are transported to the fifth floor via optical fibers with the White Rabbit (WR) protocol. This particular setup mimics what could happen in underground experiments where the clock signal would be generated underground whereas the GNSS antenna and receiver would be located above-ground.

measure time comparisons between the GPS Time and the Rubidium clock. 65 This physical distance between the time generation part and the receiver was 66 done on purpose to mimic what would happen in many long-baseline physics 67 experiments. Indeed, in Hyper-Kamiokande, the Rubidium clock would be 68 placed inside a mountain, where a cavern has been dug to host the detector, 69 whereas the receiver would have to be placed outside in a valley. The second 70 part of our experimental setup is contained in the experimental room at the 71 ground floor and its purpose is to validate the performance of the method 72 and would thus not be reproduced in the final setup in Hyper-Kamiokande. 73 It consists of a counter measuring the time difference between the Rubidium 74 clock PPS signal and the French realization of UTC (called UTC(OP) for 75 Observatoire de Paris). The UTC(OP), as well as a 10 MHz reference signal, 76 are available at the laboratory, as part of the T-REFIMEVE network, via a 77 third White Rabbit switch. 78

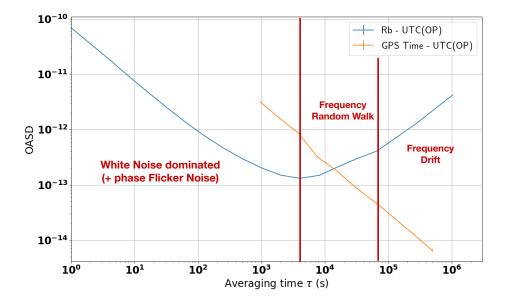


Figure 2: Overlapping Allan Standard Deviation of the Rb vs UTC(OP) time difference (in blue), measured by the counter, before any correction, and of GPS Time vs UTC(OP) (in orange) measured by the Septentrio receiver. The main types of noises affecting the Rubidium clock stability are indicated where they are limiting the stability.

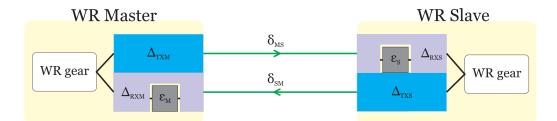


Figure 3: White Rabbit link model, from [19]

79 2.1.1. Rubidium clock

The Rubidium atomic clock used is the FS725 Rubidium Frequency Stan-80 dard sold by Stanford Research Systems integrating a rubidium oscillator of 81 the PRS10 model. It provides two 10 MHz and one 5 MHz signals with low 82 phase white noise and its stability estimated via the Allan Standard Devi-83 ation (ASD) [17] at 1 s is about 2×10^{-11} . It also provides a PPS output 84 with a jitter of less than 1 ns. Its 20 years aging was estimated to less than 85 5×10^{-9} and the Mean Time Before Failure is over 200,000 hours. It can also 86 be frequency disciplined using an external 1 PPS reference, based on GPS 87 for instance. The FS725 is installed at the ground floor of our laboratory 88 and its 10 MHz and 1 PPS output are transported to the GNSS receiver at 89 the fifth floor. 90

91 2.1.2. White Rabbit switches

The White Rabbit (WR) project [18] is a collaborative effort involving 92 CERN, the GSI Helmholtz Centre for Heavy Ion Research, and other part-93 ners from academia and industry. Its primary objective is to develop a highly 94 deterministic Ethernet-based network capable of achieving sub-nanosecond 95 accuracy in time transfer. Initially, this network was implemented for dis-96 tributing timing signals for control and data acquisition purposes at CERN's 97 accelerator sites. The described experimental setup uses two WR switches 98 to propagate with great precision the Rubidium clock PPS and frequency 99 signals from the ground floor to the fifth floor. 100

The calibration of the link allows to obtain a sub-nanosecond synchronization between switches. A White Rabbit link between two devices is characterized by specific hardware delays and fiber propagation latencies. Each WR Master and WR Slave possesses fixed transmission and reception delays $(\Delta T_{XM}, \Delta RXM, \Delta T_{XS}, \Delta RXS)$. These delays are the cumulative result

of various factors such as SFP transceiver, PCB trace, electronic component 106 delays, and internal FPGA chip delays. Additionally, there is a reception 107 delay on both ends caused by aligning the recovered clock signal to the inter-108 symbol boundaries of the data stream, referred to as the bitslide value (ϵ_M 109 and ϵ_S in Figure 3). We can see the results of calibration process using a 110 counter in Figure 4, the difference of PPS signals between the WR slave and 111 master switches changes from 165 ps to 60 ps (with a 100 m long fiber). 112 Delays introduced by the cables were subtracted to the mean values. 113

As a part of the T-REFIMEVE network [20, 21], the LPNHE has ac-114 cess through a dedicated switch to the official French realization of the 115 UTC, called UTC(OP) (for Observatoire de Paris) [22], transported from 116 the SYRTE laboratory via White Rabbit protocol. REFIMEVE is a French 117 national research infrastructure aiming at the dissemination of highly ac-118 curate and stable time and frequency references to more than 30 research 119 laboratories and research infrastructures all over France. The reference sig-120 nals originate from LNE-SYRTE and are mainly transported over the optical 121 fiber backbone of RENATER, the French National Research and Education 122 Network. The UTC(OP) signal was not used in the final experimental setup 123 because we do not foresee to have access to such a high precision signal in 124 HK experiment. It was however used to characterize the GPS Time signal 125 measured by the Septentrio receiver and whose OASD is shown in Figure 2. 126

127 2.1.3. Counter

The counter is the 53220A model from Keysight Technologies. here it was used to measure the time interval between the two PPS signals: the UTC(OP) PPS reference and the one generated by the free-running Rubidium. The input channel(s) are (default) configured for auto-leveling at 50% with a positive slope.

133 2.1.4. Septentrio GPS antenna and receiver

We use the Septentrio PolaNt Choke ring GNSS antenna that supports 134 GNSS signals from many satellite constellations including GPS, GLONASS, 135 Galileo and BeiDou. In this work, we restrict the analysis to GPS but it can 136 easily be generalized to any subset of constellations. The antenna position 137 has been previously measured to a precision better than 6 mm by trilateration 138 with the help of a web-based service provided by Canadian government [23]. 139 We use a Septentrio PolaRx5 GNSS reference receiver as a timing receiver to 140 compare GPS Time to the Rubidium clock. The receiver performs measure-141

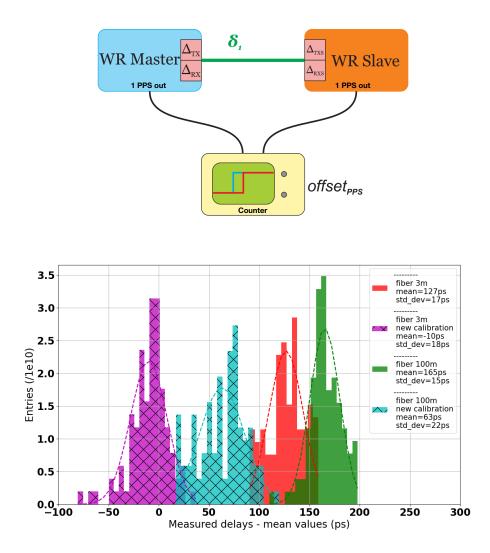


Figure 4: Difference between the PPS OUT signals of the White Rabbit slave and master switches before and after calibration

ments based on the 10 MHz reference signal coming via White Rabbit from 142 the Rubidium clock. The Rubidium clock 1 PPS signal is also transported 143 to the receiver via White Rabbit to allow, at initialization, to identify the 144 10 MHz cycle. Note that this 1 PPS input is kept during the whole data-145 taking to avoid possible phase jumps due to perturbations. The Septentrio 146 receiver provides one measurement every 16 min which is the middle point of 147 the linear function fitted from the 13 min of data from the beginning of this 148 16 min time window. The results of the measurements are registered using 149 the CGGTTS file format [24]. 150

Before taking measurements, the whole system has been calibrated against official reference signals from the SYRTE laboratory. As it can be seen in Figure 5, the following delays need to be measured and taken into account during operation [25]. The calibration procedure [26] consists in measuring these:

• $X_{\rm S}$: internal delay inside the antenna, frequency dependent

- X_C: delay caused by the antenna cable
- X_R: internal delay of the receiver for the antenna signal, frequency dependent
- 160

• X_P : in case an external signal is given in input, connection cable delay

• X_O: in case an external signal is given in input, internal receiver delay between external 1 PPS and internal clock

 $X_{\rm S}$ and $X_{\rm R}$ depend on the GNSS carrier frequency that is being tracked, 163 meaning it is specific to each frequency of each GNSS constellation. The cal-164 ibration was performed for both GPS and Galileo constellations, each having 165 two available carrier frequencies. The cable delays X_C and X_P were evaluated 166 with an oscilloscope by sending a pulse in the cable and measuring the timing 167 of the reflection. To reproduce the experimental conditions of underground 168 experiments like HK or DUNE where the GPS antenna is outside, away from 169 the detector, a 100 m cable was used and calibrated. The total cable delay 170 was measured to be 505 ns. The internal delays of the antenna and receiver 171 can only be measured together (for each frequency) as $INTDLY = X_S + X_R$. 172 This was done through a comparison with OP73, one of the calibrated GNSS 173 stations of SYRTE, and with UTC(OP), the French realization of UTC, as 174 an input to the two receivers. The values of INTDLY found for the two most 175

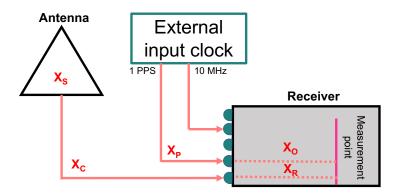


Figure 5: Delays to consider for the selected GNSS receiver+antenna pair, from [27]

- ¹⁷⁶ widely available carrier frequencies of the GPS constellation (L1 and L2) and
- the Galileo constellation (E1 and E5a) are given in Table 1.

Table 1: Values of INTDLY in ns found for the first antenna+receiver system calibrated at the SYRTE laboratory against the OP73 station

GPS L1	GPS L2	Galileo E1	Galileo E5a
25.832	22.871	28.242	25.431

178

The delays $X_{\rm C}$, INTDLY, and REFDLY can then be given as parameters of the receiver so that they are automatically handled in any further use of the receiver. Uncertainties on the measured delays were evaluated to 4 ns according to estimations fixed for the employed method. The calibration needs to be re-done for any new antenna+receiver+antenna cable combination.

184 2.2. Corrections methods

185 2.2.1. General principle

To synchronize the Rubidium time stamps to UTC, we apply a timedependent correction (quadratic or linear) to the time series generated by the free-running Rubidium clock $\phi_{Rb}(t)$. We model the k^{th} portion of the time series ($dt_{Rb,GPS}$), defined as the difference between the free-running Rb clock and the GPS Time, as a (one or two degrees) polynomial of time

$$\forall t \in [t_{k-1}, t_k], dt_{Rb,GPS}(t) = a_k \cdot t^2 + b_k \cdot t + c_k. \tag{1}$$

The coefficients a_k ($a_k = 0$ in case of linear fit), b_k and c_k of the polynomials are extracted from least square polynomial fits of the time difference distributions. The fits of these differences, obtained from the Septentrio receiver, are performed for every k^{th} time window of length Δt . In other words, we model the Septentrio measurements with a piece-wise polynomial function of time. For the k^{th} time window (between t_k and t_{k+1}), we get the corrected time stamps

$$\forall t \in [t_k, t_{k+1}], \ \phi_{Rb,corr}(t) = \phi_{Rb}(t) - a_k \times t^2 - b_k \times t - c_k.$$

$$\tag{2}$$

The time-length Δt of the pieces (time windows) has to be chosen carefully. In particular, it should be short enough in order to correct for the effect of the frequency random walk of the Rubidium clock.

In the following, we consider two types of correction: the offline and the 201 online corrections. The difference between the two methods is illustrated in 202 Figure 6. The offline correction consists in using the Septentrio data from 203 the same time-window as the Rubidium signal to extract the a_k , b_k and c_k 204 coefficients. This correction is called offline because it requires the Septentrio 205 data from up to $t_k + \Delta t = t_{k+1}$ to correct all the time stamps between t_k and 206 t_{k+1} so it cannot be performed in real-time (one would need to wait a time 207 Δt to extract the correction coefficients for the t_k time stamp). 208

The online correction consists in correcting the Rubidium time stamps 209 between t_k and t_{k+1} using Septentrio data collected before t_k . One example 210 of online correction is illustrated in Figure 6 where overlapping windows are 211 used. This method is called online because it can be applied in real time. 212 In the following, we will consider the most frequent possible update of the 213 a_k , b_k and c_k coefficients: they will be updated every time we receive a new 214 data point from the Septentrio receiver (every $\delta t \approx 16$ minutes in our case). 215 This means that we have $t_{k+1} = t_k + \delta t$ so that the a_k , b_k and c_k coefficients 216 are extracted using Septentrio data between $t_k - \Delta t$ and t_k and are used 217 to correct the time stamps between t_k and $t_k + \delta t$. In that particular case 218 every Septentrio data point will have been used in multiple fits, the number 219 depending on the length of the fit time window Δt . 220

The performance of the correction is evaluated in two ways. First, we look at the stability of the corrected time series estimated with the Overlapping Allan Standard Deviation (OASD). Then, we also look at the time difference against GPS signal after correction.

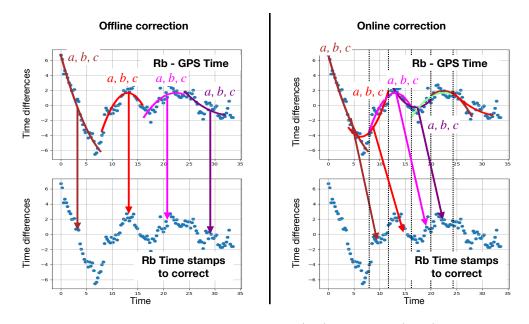


Figure 6: Schematic representation of the offline (left) and online (right) corrections. In the offline correction, we extract the correction coefficients using Rubidium - GPS Time comparison from the same time-window as the data we want to correct. In the online correction, we use Rubidium - GPS Time comparison from the previous time-window with respect to the data interval we want to correct. Only the second correction can be applied in real time as it only requires comparisons with GPS Time from previous measurements.

225 2.2.2. Validation of the method with simulations

Before evaluating the performance of our timing system when integrating the correction algorithm, the method was validated on simulated signals [27] in order to isolate the effect and performance of the correction from any measurement effect.

Simulation details. Three types of signals were considered: a perfect clock 230 to be used as a reference to evaluate the performance, a free-running Rubid-231 ium clock and a GPS time signal, as measured by the Septentrio receiver. 232 The quadratic drift was not included because it is deterministic and therefore 233 does not require further study for being corrected. At first order, the clock 234 signal can be modeled by white noise (WN) in both phase and frequency as 235 well as a random walk (RW) noise in frequency. Based on the characteriza-236 tion of the Rb clock, the phase and frequency flicker noises can be neglected 237 for this purpose. Indeed, the characterization of our Rubidium clock in Fig-238 ure 2 showed that the frequency flicker noise had a negligible impact on the 230 OASD. Furthermore, the phase white and flicker noises have a similar impact 240 on the standard OASD and cannot be distinguished here. We chose to ignore 241 the phase flicker noise as it is less straightforward to simulate and it should 242 not impact the long term random walk that we want to correct. The GPS 243 Time can be modeled as pure phase white noise. The corresponding OASD 244 as a function of the averaging time τ can be modeled [28, 29, 30] by: 245

$$OASD(\tau) \cong A_{WNp} \times \tau^{-1} + A_{WNf} \times \tau^{-1/2} + A_{RWf} \times \tau^{+1/2}.$$
 (3)

The amplitudes A of these main frequency and phase noises were determined through fitting this model (Eq. 3) to the OASD of the data when characterizing our equipment (see Figure 2) and found to be:

$$A_{WNf} = 7 \times 10^{-12} s^{1/2}, \qquad (4)$$

$$A_{RWf} = 1 \times 10^{-15} s^{-1/2}, \qquad (4)$$

$$A_{WNp} = 5 \times 10^{-11} s,$$

²⁴⁹ for the free-running Rb clock and for the GPS Time:

$$A_{WNf} = 0 s^{1/2},$$

$$A_{RWf} = 0 s^{-1/2},$$

$$A_{WNp} = 2 \times 10^{-9} s,$$
(5)

with indices f and p for frequency and phase respectively. Using random numbers generation and a model with these types of noise discussed just above, time series were simulated.

The equivalent of 10^6 s of data was simulated. To mimic the output of the GNSS receiver, time differences between the simulated Rubidium clock and the simulated GPS Time (Δt^i_{Rb-ref}) are computed every 16 mn.

²⁵⁶ Offline corrections. First, the offline corrections were tested on the simulated data. In Figure 7, the uncorrected simulated signals of the GPS and

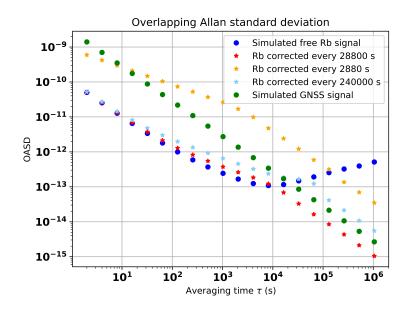


Figure 7: Comparison of overlapping ASD for corrected signals, with offline correction, with different time windows

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the clock are reported in dotted symbols for comparison. The increase of 258 the clock's OASD after $\tau = 10^4$ s due to the random walk is clearly visible. 259 One can see that the OASD of the corrected signals (starred symbols) do 260 eliminate the random walk at longer terms which indicates a success of the 261 correction method (quadratic). Moreover, one can determine that the ideal 262 length Δt of the correction time windows lies around 3×10^4 s which cor-263 responds logically to the intersection of the free-running Rb clock and GPS 264 Time OASD curves. Indeed, the red curve with a time window of 28800 s 265 shows an ideal combination of the short-term stability of the clock and the 266

absence of random walk at longer scales. On the opposite, the yellow (shorter time window) and light blue (longer time window) curves show respectively a degradation of the short term performance and a remaining random walk component in the region between $\tau = 10^4$ s and the time window length (here 240000 s).

Online corrections. The online (linear) correction method was then applied to the simulated data using time series directly and a correction window length of $\Delta t = 3 \times 10^4$ s. The results are shown in Figure 8 in red and prove to be just as efficient as the offline correction method to remove the random walk at longer time scales which is the main goal. The overall precision on the long term region (after $\approx 10^3$ s) is as expected slightly degraded compared to the offline correction.

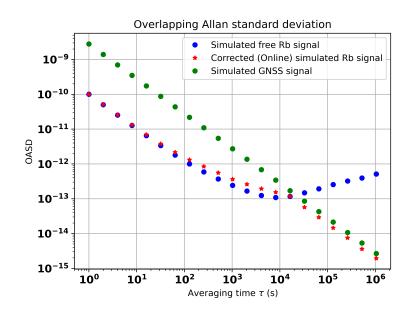


Figure 8: After online corrections at 3×10^4 s: Overlapping ASD with respect to perfect signal

Conclusion on simulation. As a conclusion, it can be said that the application of the correction algorithms to the simulated signals allowed us to
validate the chosen correction methods, both the offline and online ones. Indeed, looking at the residuals after correction in Figure 9, one can see that

the remaining variations for both methods are well within the experimental requirements as they stay within a few ns. Seven different simulations were produced to take into account statistical fluctuations and the remaining time variations were found to be for offline and online corrections respectively $\sigma_{Off} = 0.64 \pm 0.06$ ns and $\sigma_{On} = 1.15 \pm 0.07$ ns.

Finally, it is important to note that although this validates the methods for 288 application on data, those are simplified simulations, in particular because 289 only the main noise types are taken into account. As a result, we do expect 290 differences of performance of the correction on real data. It is also possible 291 that the optimal time window for the correction is slightly different for real 292 data because the simulations are not exact representation of data. Two main 293 differences can be noted: the absence of frequency drift and flicker noises in 294 the simulated Rubidium signal and the fact that we assume a perfect signal 295 to compare the Rubidium signal to when evaluating the OASD. 296

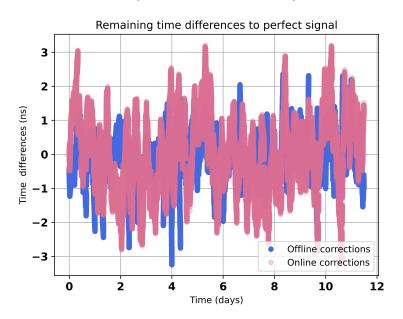


Figure 9: Comparison of time variations for simulated signals corrected with the offline method (blue) or with the sliding interval online method (pink)

297 2.2.3. Implementation on data

To check the impact of the correction we compare the Rubidium clock signal to the UTC(OP) that we receive at the laboratory via the Refineve

network. The UTC(OP) time signal plays the role of the perfect signal used 300 for the simulations, while obviously not being perfect. This first difference 301 is to take into account while comparing performances on simulated data to 302 performance on experimental data. In the following, we will also quantify the 303 stability of the Rubidium signal using the OASD of a time series (according to 304 equation (10) of [31] consisting of time differences between this signal and 305 the UTC(OP). Measuring this time difference frequently, once per second 306 for instance, would allow to also evaluate the very short term stability of 307 the corrected signal which is not possible with the Septentrio measurements 308 that are integrated over 16 minutes. We use the counter to provide such a 309 measurement every 1 second approximately. We then perform a simultaneous 310 correction of the Rubidium - GPS Time, as measured by the Septentrio 311 receiver, and of this measured time series. Comparing the OASD of the 312 corrected time series to the uncorrected one, one can quantify the short 313 term stability (below 16 minutes) after correction while making sure that the 314 random walk was corrected. We can also use this comparison to optimize the 315 value of Δt in order to achieve the lowest Allan Standard Deviation possible 316 at all averaging time windows. 317

318 3. Results

In this Section, we present the results of the correction of the Rubidium 319 clock time stamps obtained for simultaneous measurements of ~ 35 days 320 with the Septentrio receiver and the counter. The OASD of the time series 321 measured by the counter is shown in Figure 2. Note that the statistical 322 uncertainty on the estimated OASD, due to the limited number of samples 323 per averaging time, are included as error bars for both curves (Rb and GPS) 324 but they are too small to be visible. Indeed for the Rb vs UTC(OP) OASD, 325 the statistical uncertainty is at the permil level. Up to an averaging time 326 of around $4 \cdot 10^3$ s, the stability is limited only by the phase white noise 327 and then by the frequency white noise. After that, the OASD first increases 328 as $\tau^{1/2}$ which is characteristic of the frequency random walk. From $\tau \approx$ 329 7×10^4 s, the OASD increases proportionally to τ . This is characteristic of a 330 deterministic frequency drift which can be easily characterized and corrected 331 for contrary to the frequency random walk. In comparison, the OASD of the 332 difference between GPS Time and UTC(OP) that we receive from the SYRTE 333 laboratory via White Rabbit network, is only limited by a phase white noise 334 at least up to an averaging time of 5×10^5 s: the OASD keeps decreasing with 335

the averaging time. At low averaging times, the GPS stability is worse than that of the Rb because of this phase white noise: the GPS OASD is of around 3×10^{-12} at 960 s compared to around 2×10^{-13} OASD for the Rubidium clock. However, at around 10^4 s, the stability of the Rb signal becomes worse compared to GPS Time because of the frequency random walk and drift of the Rubidium clock.

In this paper, we used only the GPS satellites with an elevation angle (an-342 gle between line of sight and horizontal direction) larger than 15° to extract 343 the Rubidium time residuals distribution. During the whole data-taking pe-344 riod, for each data point, the Septentrio receiver was able to track an average 345 of 6.5 GPS satellites and at least 4 GPS satellites for each data point. To 346 obtain the Rubidium vs GPS Time difference, we take the mean value of the 347 differences between the Rubidium clock and each GPS satellite tracked in 348 the same integration time window of the Septentrio receiver. The obtained 340 time difference is shown in Figure 10. It shows that the Rubidium clock time 350 signal drifts away from the PS Time in a quadratic function of time because 351 of the frequency linear drift. After around 35 days, the difference surpasses 352 25 μ s. A zoom on the first five days of data also shows some shorter term 353 fluctuations characteristic of the frequency random walk. Because of those 354 two sources of frequency drift, we see that after a few days of data-taking, 355 the Rubidium clock time signal can drift away from the GPS Time by more 356 than a hundred nanoseconds. 357

358 3.1. Offline correction

Figure 11 shows the Allan Standard Deviation of the Rubidium-UTC(OP) 359 data. Note that the measurement rate of the counter was of around 0.995 360 measurement per second. The blue curve shows the result for the raw series, 361 before any correction. The other colored curves show the results for the 362 series corrected offline, with different width of the correction time window. 363 Here, we use quadratic fits of the Septentrio data (so $a_k \neq 0$ a priori). The 364 shortest time window (2880 s) corresponds to approximately 3 Septentrio 16 365 minutes epochs. The medium (10560 s) and largest (240,000 s) correspond 366 respectively to 11 and 250 Septentrio data points. 367

One sees that with the medium time window compared to the two others, we obtain the best stability at all averaging times. At lower averaging times, the performance is very similar to the uncorrected time series. At higher averaging times, the Allan Standard Deviation is much better than the uncorrected series as it keeps decreasing with increasing τ . This is also

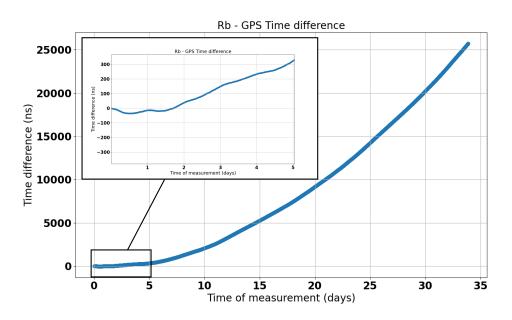


Figure 10: Time difference between the Rubidium clock and GPS Time as measured by the Septentrio receiver. The long term quadratic drift is due to the linear frequency drift of the clock. The zoom on the first five days of data also shows shorter term fluctuations caused by the frequency random walk of the Rubidium clock.

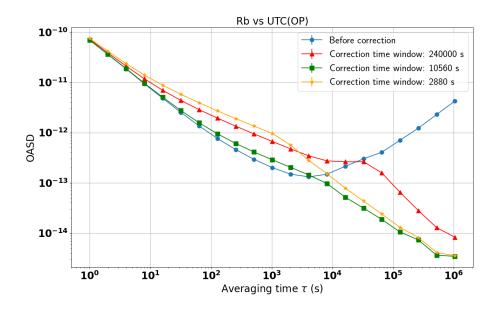


Figure 11: Overlapping Allan Standard Deviation of the Rb/PHM frequency ratios series after the deterministic drift correction (in blue) and after the correction with a correction time window of 2880 s (orange), 10560 s (green) and 240,000 s (red). The best stability at both short and long averaging times is obtained for the medium time window (10560 s \approx 3 hours).

the case for correction with the shortest time window. This illustrates the 373 fact that both the 2880 s and 10560 s windows are able to correct very well 374 the frequency random walk and linear drift of the uncorrected time series. 375 However, with the shortest correction time window, the short term stability 376 of the time series is degraded compared to the uncorrected series: the value 377 of the ASD at 100 s increases by a factor ~ 3 . In this scenario, the corrected 378 Rubidium time signal gets very close to GPS Time which is known to have a 379 higher phase White Noise. Finally, the longest correction time window leads 380 to a similar stability as the shortest one for a small τ , and poorer stability 381 at large τ (above $5 \cdot 10^3$ s). 382

Figure 12 shows the Rubidium vs GPS Time difference after the offline 383 correction. The shorter the correction time window, the better. However, 384 with the medium length time window, we still get time residuals lower than 385 3 ns over the whole data-taking period, which is well below the requirements 386 of HK. With the longest correction time window, jumps of a few tens of 387 nanoseconds are introduced in the time residuals. This explains the overall 388 higher ASD: the stability of the signal is limited by those jumps. Later, 380 if necessary, add plot with fitted time differences. The time scale 390 of the variations in the data to fit is too small compared to the 240,000 s 391 time window. In consequence, the fitted tendency from one piece to another 392 is very different, and the fitted piece-wise polynomial is not continuous. It 393 is also interesting, as a cross-check, to have a look at the fluctuations in 394 the time difference between the Rubidium clock and the UTC(OP) after 395 correction. This is summarized in the first line of Table 2 that gives the 396 standard deviation of the time series after correction. The deviations with 397 the two shorter correction time windows are indeed very small (below 2 ns) 398 confirming that this method can be used for synchronization to UTC. 399

With the offline version of the corrections, we thus obtain a very good synchronization to GPS Time at the level of a few nanoseconds with the 10560 s time window. However, this version of the correction cannot be applied in real time. In the following, we show the results for the online version of the correction that can be applied in real time to correct the time stamps of events in physics experiments.

406 3.2. Online correction

Figure 13 shows the Allan Standard Deviation of the uncorrected (blue) and online corrected (other colors) Rubidium - UTC(OP) times series. The

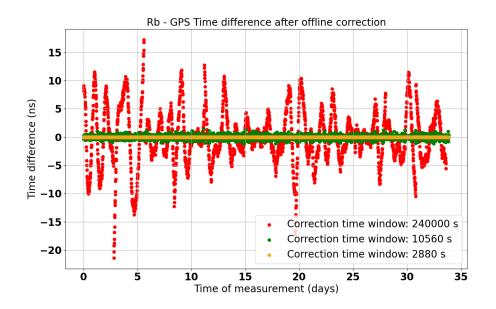


Figure 12: Time difference between the Rubidium clock and GPS Time after the offline correction. Three different correction time windows have been tested: 2800 s (orange), 10560 s (green) and 240,000 s (red). These residuals can be compared to the residuals before correction that were shown in Figure 10.

same three time windows intervals as in the offline correction scenario are considered. The top panel shows the results using quadratic fits of the Septentrio data and the bottom panel shows the results with linear fits. For the shortest and medium correction time windows, the linear fits lead to better performance with a lower OASD at low averaging times. At 1000 s, the OASD with the shortest (medium) correction time window is reduced by a factor 2 to 3 (resp. ~ 1.5).

This behavior can be understood by looking at the number of degrees of 416 freedom (number of data points - number of free parameters) in our fits. For 417 the shortest time windows, the number of degrees of freedom is relatively 418 low (0 and 8) in case of quadratic fits so we risk over-fitting to the past 419 data in order to correct the present data. This number of degrees of freedom 420 is less relevant in the offline correction as the fit is performed on the same 421 data as the correction (the over-fitting is not a problem here). Lowering the 422 number of free parameters is one way of increasing the degrees of freedom 423 hence allowing the fit to better generalize to the present data. Another way 424 to increase the number of degrees of freedom is to increase the number of 425 data points in the fit. For the longest time window, there are 247 degrees 426 of freedom in the quadratic fit so we lower the risk of over-fitting. On the 427 contrary, in that case, quadratic fits lead to a slightly better correction of 428 the random walk that limits the stability only up to $\tau \approx 3 \times 10^4$ s whereas 429 with linear fits, it limits the stability up to $\approx \times 10^5$ s. Note that, especillar 430 for the shortest correction time window we see a clear degradation of the 431 stability for averaging times lower than the correction window's length. This 432 is a known effect from linear servo loop theories and periodic perturbations 433 of oscillators [32] and it could be attenuated by scaling down the correction: 434 instead of subtracting the result of the fit, we could subtract only a fraction 435 of it. 436

Removed the Rb-GPS OASD because not sure it is necessary. Could be added in annex.

Regarding the stability of the corrected Rubidium clock, using linear fits, the conclusions are the same as for the offline correction. The lowest Allan Standard Deviation, for all averaging times, is achieved with the medium width correction time window. With the shortest time window, the short term stability is degraded, and the long term stability is also degraded (compared to the other corrected scenarios) with the longest correction time window.

If the correction time window is too wide, we cannot correct as well the

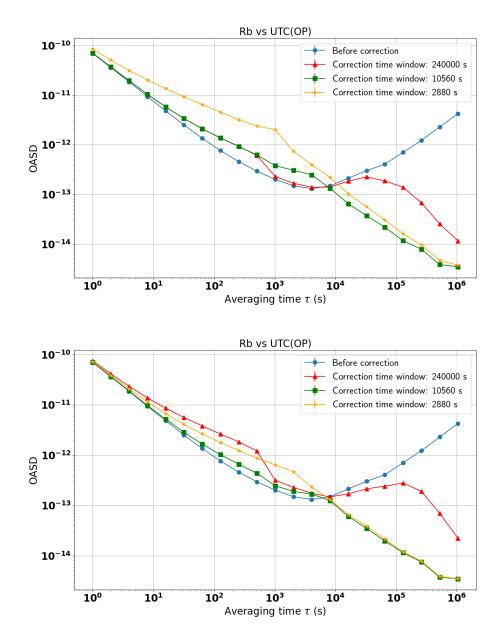


Figure 13: Overlapping Allan Standard Deviation of the Rb/PHM frequency ratios series after the deterministic drift correction (in blue) and after the online correction with a correction time window of 2880 s (orange), 10560 s (green) and 240,000 s (red). The data were fitted with quadratic (top) or linear (bottom) functions of time. A better stability, similar to the offline correction, can be obtained using linear fits.

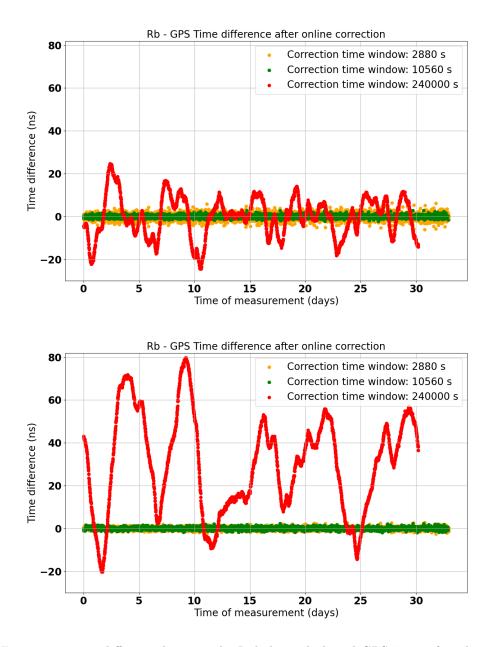


Figure 14: Time difference between the Rubidium clock and GPS Time after the online correction. Each point is corrected using a quadratic (top) or linear (bottom) fit of the 2800 s (orange) or 10560 s (green) or 240,000 s (red) of data points prior to this point. Using linear fits leads to smaller residuals for the shortest time window and bigger ones for the longest time window.

correction time window	$2880~{\rm s}$	$10560~{\rm s}$	$240000~{\rm s}$
offline correction	1.87 ns	$1.79 \mathrm{~ns}$	5.13 ns
online correction (quadratic fits)	2.01 ns	$1.83 \mathrm{~ns}$	$9.35 \ \mathrm{ns}$
online correction (linear fits)	1.84 ns	$1.81~\mathrm{ns}$	$22.66~\mathrm{ns}$

Table 2: Standard deviation of the time difference between the Rubidium clock PPS signal and the UTC(OP) after correction.

frequency random walk of the free-running Rubidium: the risk is that the 447 Rubidium time signal locally drifts too far away from the GPS Time. This 448 can be observed in the corrected Rubidium against GPS Time in Figure 14 440 where the maximum difference reaches ~ 80 ns (or ~ 25 ns with quadratic 450 fits) with the 240,000 s correction time window. With the 10560 s correc-451 tion time window, the differences stay in the ± 5 ns range. The standard 452 deviation of the time difference with the UTC(OP) is also chosn in Table 453 2 for both online corrections. Once again, one can see the reduction of the 454 white noise when using linear instead of quadratic fits. Before correction, 455 as the reader saw in Figure 10, the free-running Rubidium clock can drift 456 away from the GPS Time by around 100 ns in less than 3 days which means 457 that HK's requirement for the synchronization with UTC is not met. After 458 online correction with the longest time window tested, the corrected Rubid-459 ium time stamps drift by around 60 ns in a few days because of remaining 460 random walk noise. Even though during the 35 days data-taking period the 461 time residuals with respect to GPS Time does not exceed 100 ns, it is not 462 possible to safely claim that the Rubidium clock drift will not exceed HK's 463 requirement of 100 ns if we use the 240,000 s correction time window. With 464 shorter time windows, this drift seems to be dominated by white noise and 465 is thus contained in a range of a few nanoseconds. 466

467 4. Discussion

As advertised before, the advantage of the so-called online correction is that it could be performed in real-time. This is an important feature for applications that necessitate a real-time synchronization with UTC or with another site (like the future HK or DUNE experiments). If a reference clock signal is generated with an atomic clock (like the Rubidium clock used here) and sent to a data acquisition system to be propagated to detectors and provide time stamps, one could continuously compare this signal to GPS Time using a Septentrio receiver. The correction coefficients a, b and c calculated from the Septentrio data would need to be sent to the data acquisition system so that it could correct the time stamps in real-time.

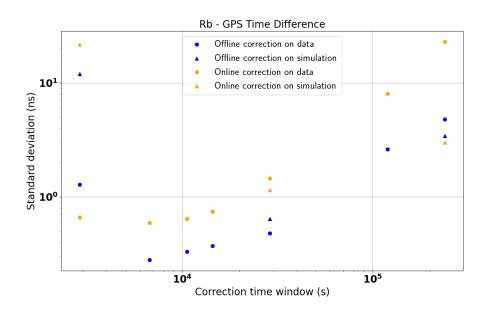


Figure 15: Standard deviation of the residuals distributions between the Rb and the GPS Time after the offline (blue) or online (orange) correction as a function of the correction time window. Quadratic fits of the Septentrio data are used for the offline correction whereas linear fits are used for the online correction. The performance on simulated data is also shown for the three usual correction time windows.

Figure 15 shows the standard deviation of the Rb vs GPS Time differ-478 ence after correction as a function of the correction time window's width. The 479 performance of the offline and online corrections on experimental data (col-480 ored dots) are compared to the performance we had obtained on simulated 481 data (colored triangles) with a correction time window of 2880 s, 28800 s 482 and 240000 s. Note that these simulated data were only taking into account 483 phase white noise, frequency white noise and frequency random walk com-484 ponents. In particular, the measured data also contains a linear frequency 485 drifts and this main difference alone could explain the difference of perfor-486 mances between data and simulation. Also, no additional uncertainties were 487 added to take into account other types of noise (e.g. flicker noise) or experi-488 mental conditions (e.g. imperfect calibrations, imperfect PHM time signal). 489

For both corrections, very similar performance of synchronization with GPS 490 Time are obtained for correction time windows below 30,000 s so there is no 491 need to have much shorter windows. This result is consistent with the fact 492 that, as seen in Figure 2, the stability of the Rubidium signal becomes worse 493 than that of the GPS around 10^4 s. The offline correction seems to provide 494 a slightly better synchronization to GPS Time (down to ~ 0.3 ns update) 495 but the precision achievable with the online correction is already more than 496 satisfying (better than 5 ns for correction time windows below 100,000 s) for 497 synchronization between several experimental sites. Indeed, the needed level 498 of synchronization is usually of the order of 100 ns for those applications. 499

500 5. Conclusions

In this paper, we presented a simple way to use time comparisons to 501 GPS Time to synchronize the time stamps, generated using a free-running 502 Rubidium clock, close to UTC while preserving its short term stability and 503 correcting the long term frequency random walk and deterministic drift. This 504 method has the advantage of using relatively cheap instruments and to be ap-505 plicable online for a real-time synchronization as well as to be robust against 506 GPS signal reception failures. The online method could be applied for the 507 real-time synchronization between several experimental sites in long-baseline 508 neutrino physics experiments. 509

This method consists in fitting the GPS Time vs Rubidium measured by a 510 GNSS receiver with a piece-wise polynomial function of time and in subtract-511 ing the result to the generated time stamps. The method was first designed 512 and validated with simulated signals before assessing its performance on real 513 data. We evaluated the performance of this correction by quantifying the sta-514 bility of the clock signal before and after the correction using the Overlapping 515 Allan Standard Deviation. We showed that the optimal length of the time 516 window for the fit of the GPS Time vs Rubidium seats around 10,000 sec-517 onds, corresponding to ~ 10 data points from the receiver. This time window 518 allowed to maintain the best possible short term stability while correcting ef-519 ficiently the frequency random walk. After correction with this time window, 520 the difference to GPS Time stays within a window of ± 5 ns for both offline 521 and online corrections during the whole period of ~ 35 days of measurement. 522 This performance largely meets the usual requirements for long-baseline neu-523 trino physics experiments, like Hyper-Kamiokande and DUNE. Note that we 524 do not expect the performance of the correction to be heavily degraded by 525

isolated missing or outlier measurements from the receiver. However, this
correction requires a constant monitoring of the Rubidium time signal with
a GNSS receiver (or other reference that can be linked to UTC). One should
thus make sure that such a reference is available in the long term and that
there is no possibility to loose it for long periods (e.g.: several hours).

Fundings:. This research was funded by IN2P3/CNRS, the French "Agence nationale pour la recherche" under grant number ANR-21-CE31-0008, the
"IdEx Sorbonne Université" and the 2019 "Sorbonne Université Émergences:
MULTIPLY" grant.

The White Rabbit network and the access of associated optical fibers to the Pierre and Marie Curie campus: T-REFIMEVE, FIRST TF and LNE: "Agence Nationale de la Recherche" (ANR-21-ESRE-0029 / ESR/Equipex T-REFIMEVE, ANR-10-LABX48-01 / Labex First-TF); Laboratoire National d'Essai (LNE), project TORTUE.

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