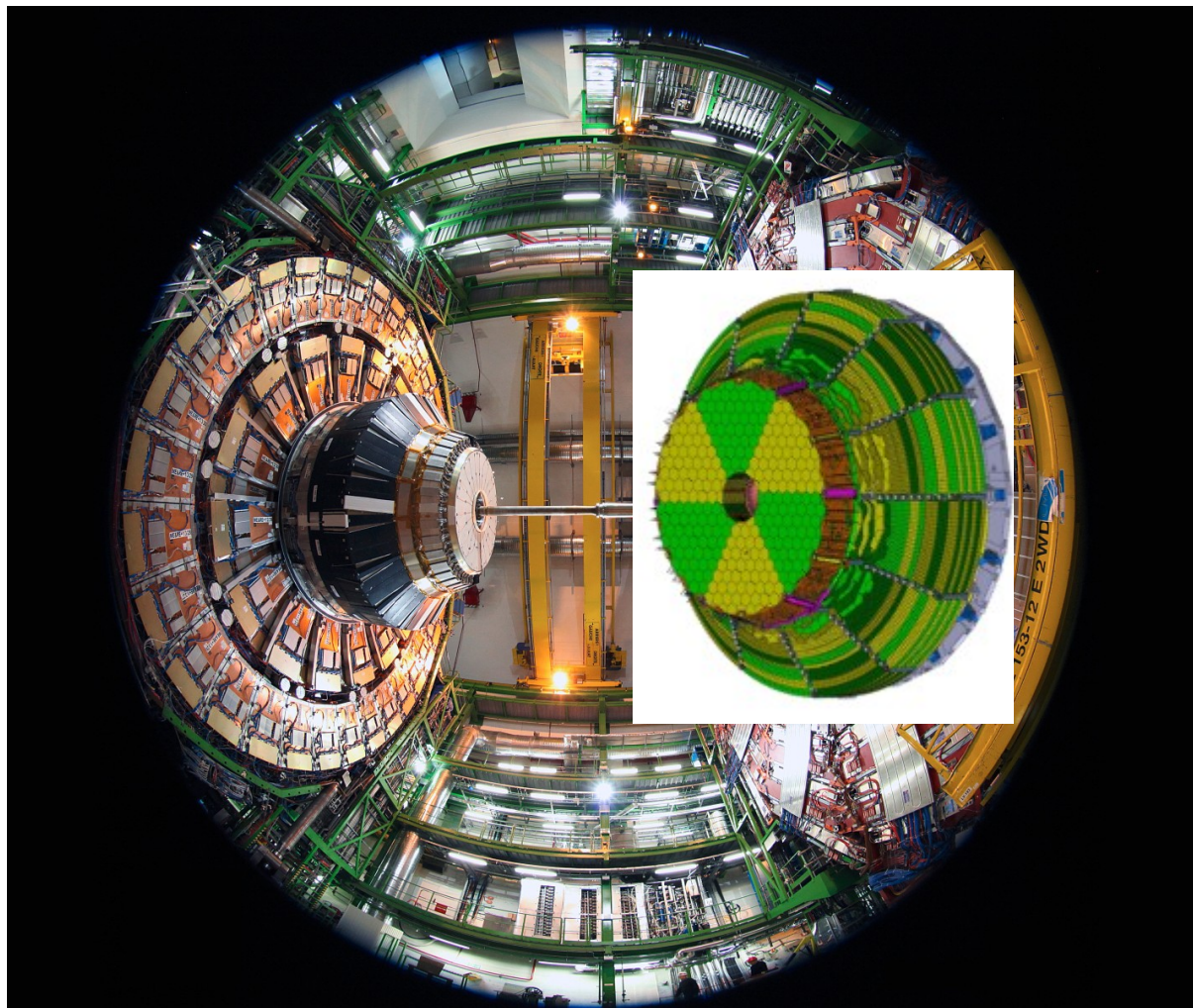
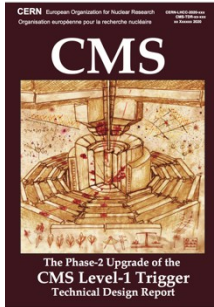


# An update on the CMS High Granularity Calorimeter



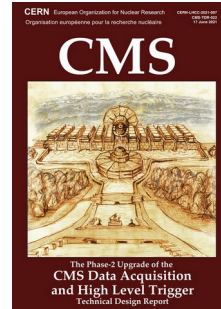
# CMS Phase II Upgrades



## L1-Trigger

<https://cds.cern.ch/record/2714892>

- Tracks in L1-Trigger at 40 MHz
- Particle Flow selection
- 750 kHz L1 output
- 40 MHz data scouting



## DAQ & High-Level Trigger

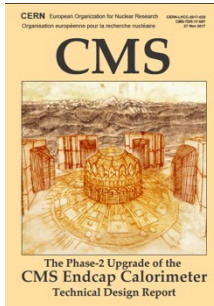
<https://cds.cern.ch/record/2759072>

- Full optical readout
- Heterogenous architecture
- 60 TB/s event network
- 7.5 kHz HLT output

## Barrel Calorimeters

<https://cds.cern.ch/record/2283187>

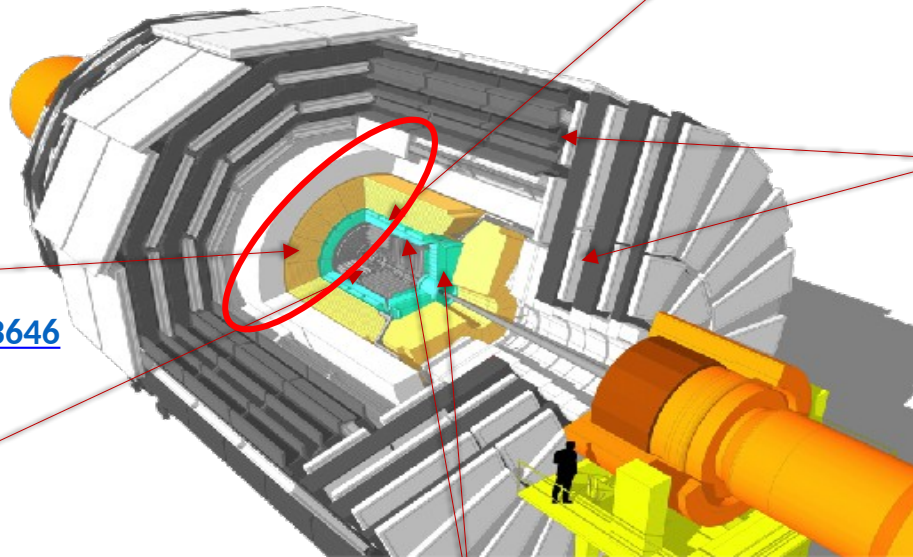
- ECAL crystal granularity readout at 40 MHz with precise timing for e/γ at 30 GeV
- ECAL and HCAL new Back-End boards



## Calorimeter Endcap

<https://cds.cern.ch/record/2293646>

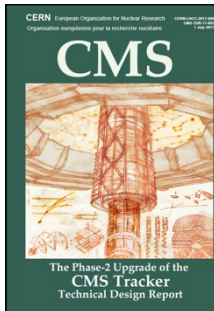
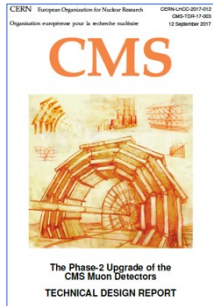
- 3D showers and precise timing
- Si, Scint+SiPM in Pb/W-SS



## Muon systems

<https://cds.cern.ch/record/2283189>

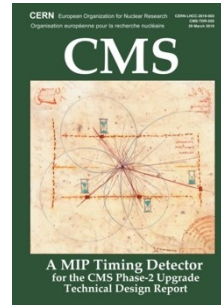
- DT & CSC new FE/BE readout
- RPC back-end electronics
- New GEM/RPC  $1.6 < \eta < 2.4$
- Extended coverage to  $\eta \approx 3$



## Tracker

<https://cds.cern.ch/record/2272264>

- Si-Strip and Pixels increased granularity
- Design for tracking in L1-Trigger
- Extended coverage to  $\eta \approx 3.8$



## MIP Timing Detector

<https://cds.cern.ch/record/2667167>

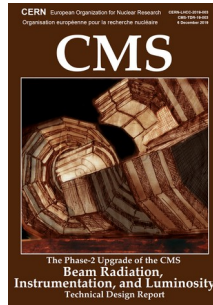
Precision timing with:

- Barrel layer: Crystals + SiPMs
- Endcap layer: Low Gain Avalanche Diodes

## Beam Radiation Instr. and Luminosity

<http://cds.cern.ch/record/2759074>

- Beam abort & timing
- Beam-induced background
- Bunch-by-bunch luminosity: 1% offline, 2% online
- Neutron and mixed-field radiation monitors

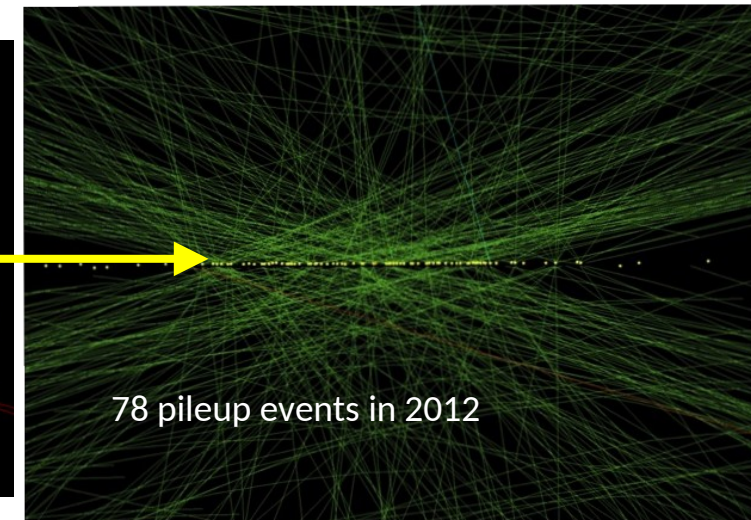
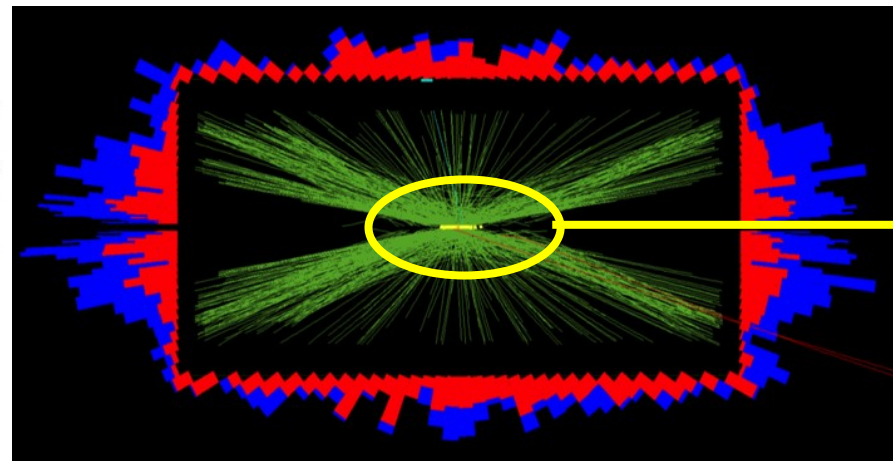
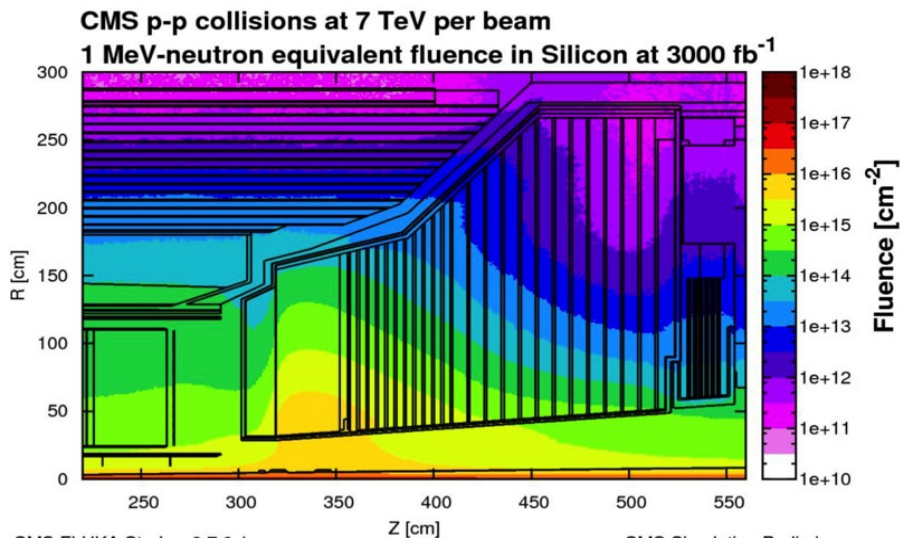




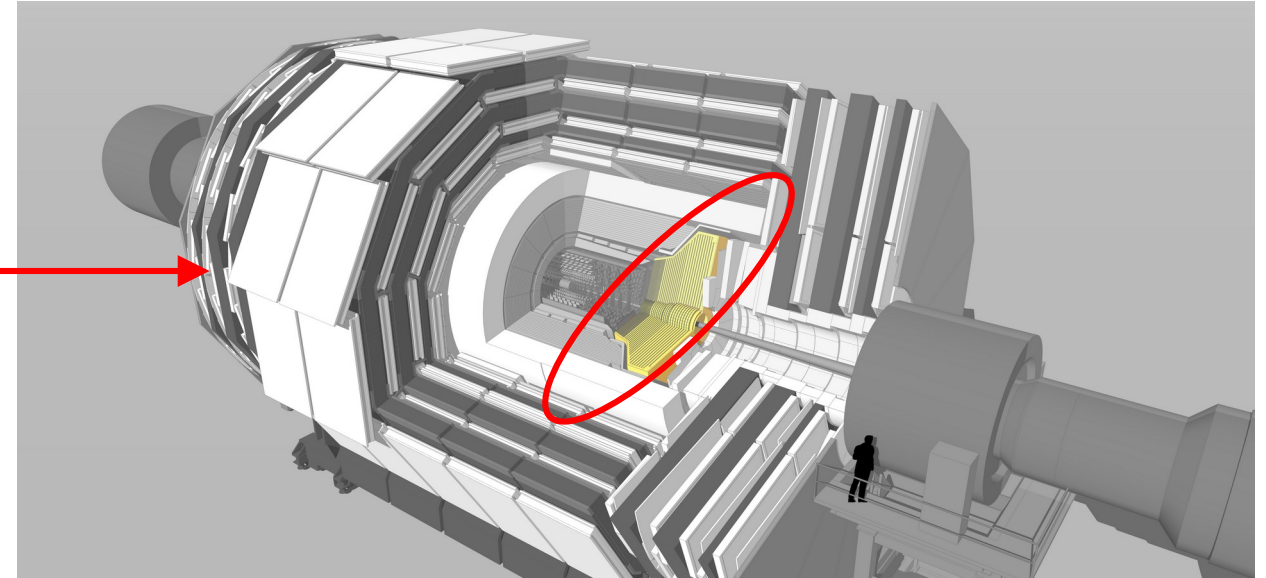
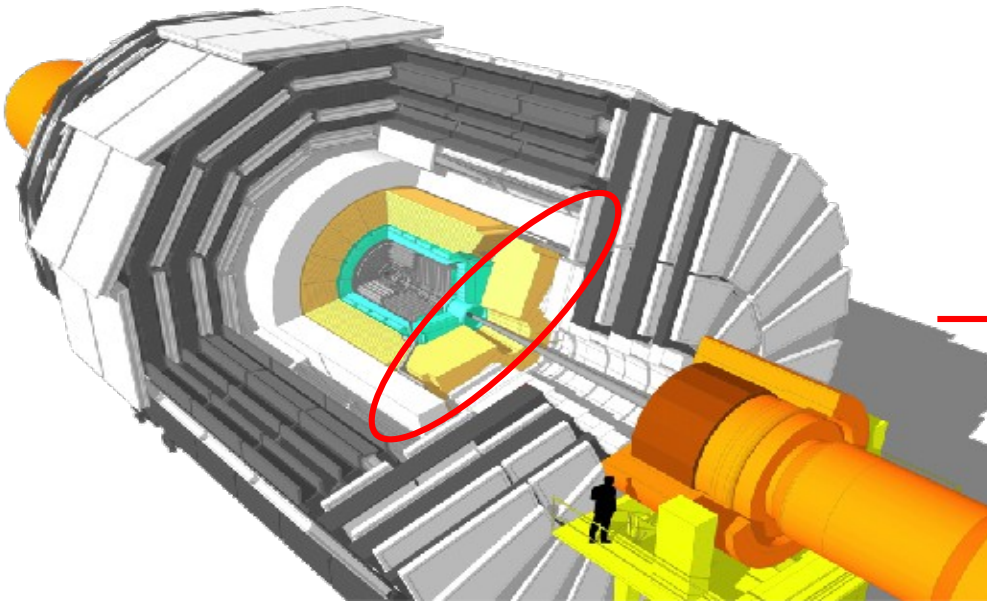
# HL-LHC and Challenges

- LS3 starts in 2026
- Lumi  $7.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ ,
- $3000 \text{ fb}^{-1}$  in forward calo.
- up to **200 pileup events**
  - $\sim 10^{16} \text{ 1MeV n}_{\text{eq}} \text{ cm}^{-2}$
  - up to 2 M Gy absorbed dose

- Need to replace totally the EM and HAD calorimeter endcaps
- By a sampling calorimeter (multi layers)
- Measuring precisely:
  - Energy
  - Time
  - 3D spacial position



# CMS Calorimeters-Endcaps Upgrade

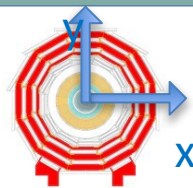


- Long time to decide what type of calorimeter
- Mitigate PU with new electronics rate, high granularity
- Able to survive the radiation, perform E and timing resolution
- Buildable and affordable too

Granularity:  
Longitudinal, transverse and timing

During that process we looked at one of the key process

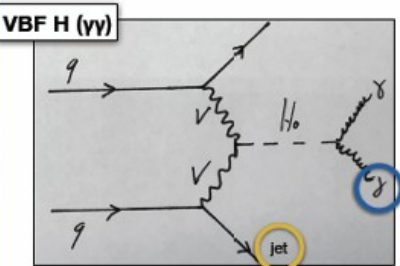




# The VBF example – forward jet signature

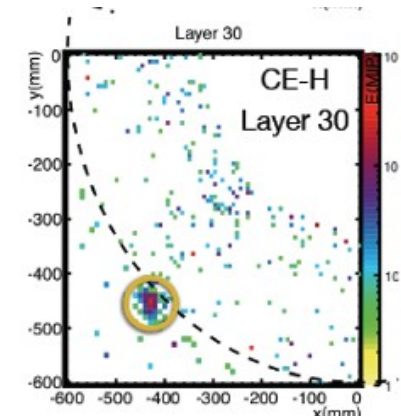
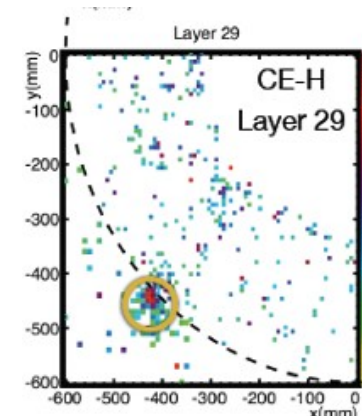
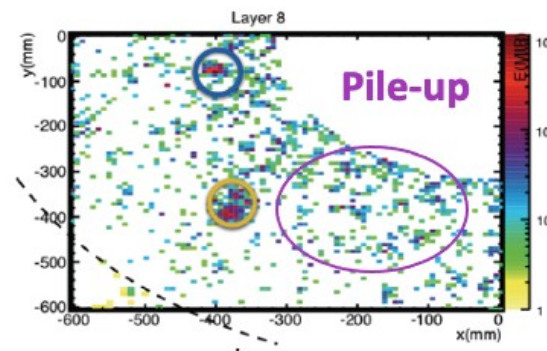
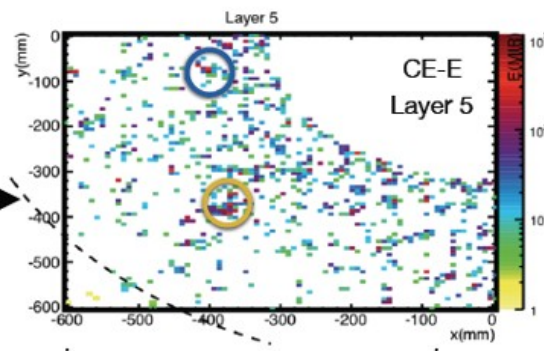
## HL-LHC and challenges

High granularity



200 PU

200 PU in upgrade calo

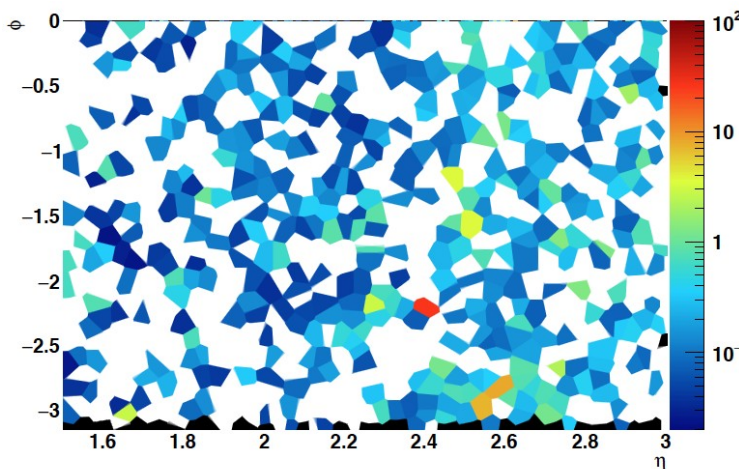


Electromagnetic Calo Endcap Layers

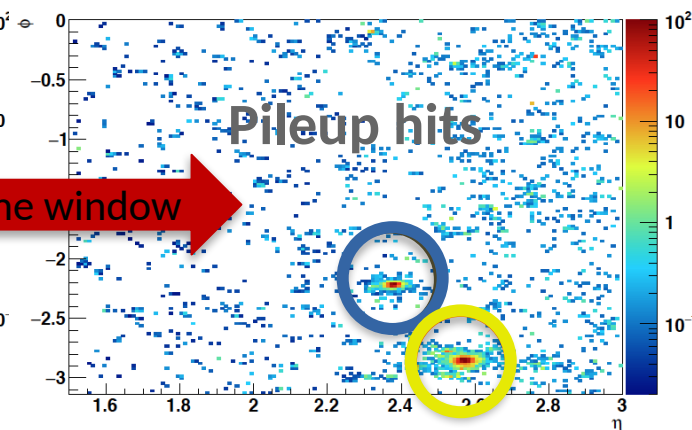
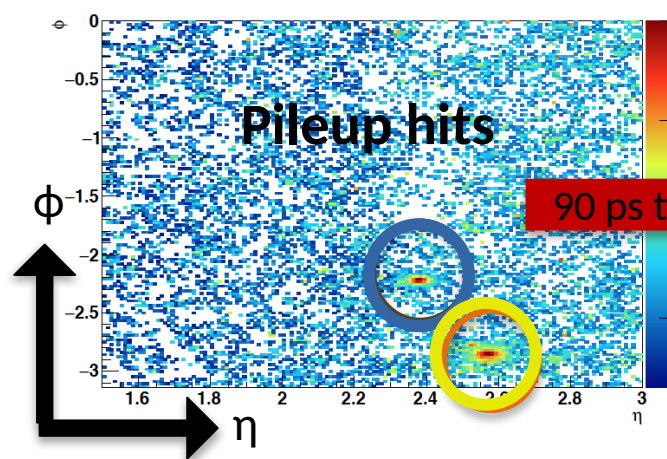
Hadronic Calo Endcap Layers

Precision Timing

200 PU in **current** calo (imagining it would not die!):



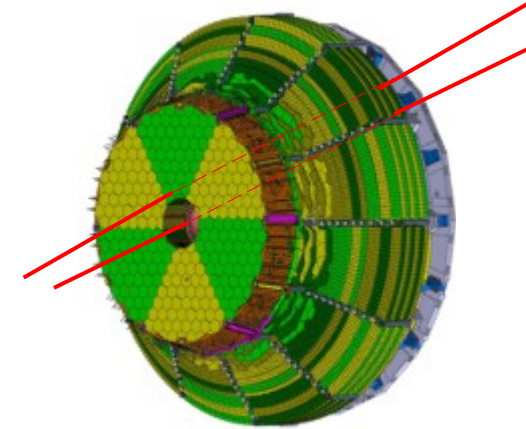
200 PU in **upgraded** calo



# HGCAL's solutions and challenges



- Transverse and longitudinal granularity
  - Timing precision
  - Pile up mitigation
  - Particle Flow calorimetry for fine structure of showers
- Tracking in calorimeter



## • Key parameters

- $1.5 < |\eta| < 3.0$
- 215 tones/endcap, -30 °C
- 620 m<sup>2</sup> Si sensors – 26K modules, 6M channels
- 370 m<sup>2</sup> SiPM-on-tile – 4K boards, 240K channels
- Enables 5D reconstruction of particle showers

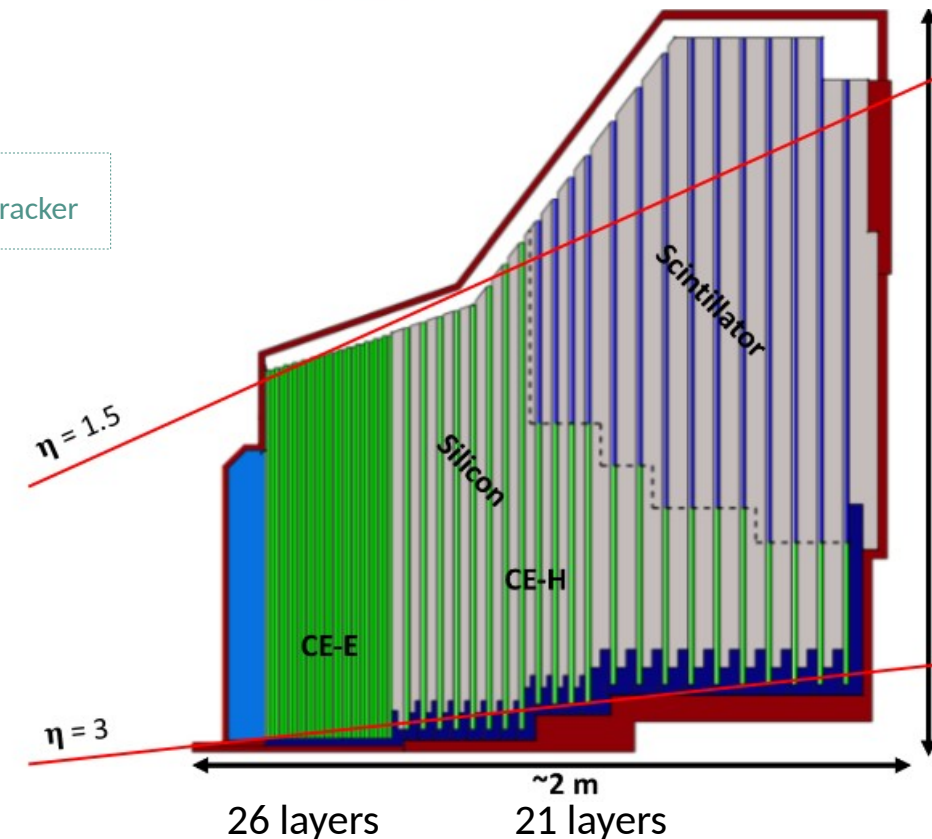
radiation hard  
3 times as much silicon as in the present tracker

## • Active elements

- Hexagonal Si modules in CE-E and CE-H high radiation regions
- Scintillating tiles with on-tile SiPM readout in low radiation regions of CE-H

## • Detector configuration

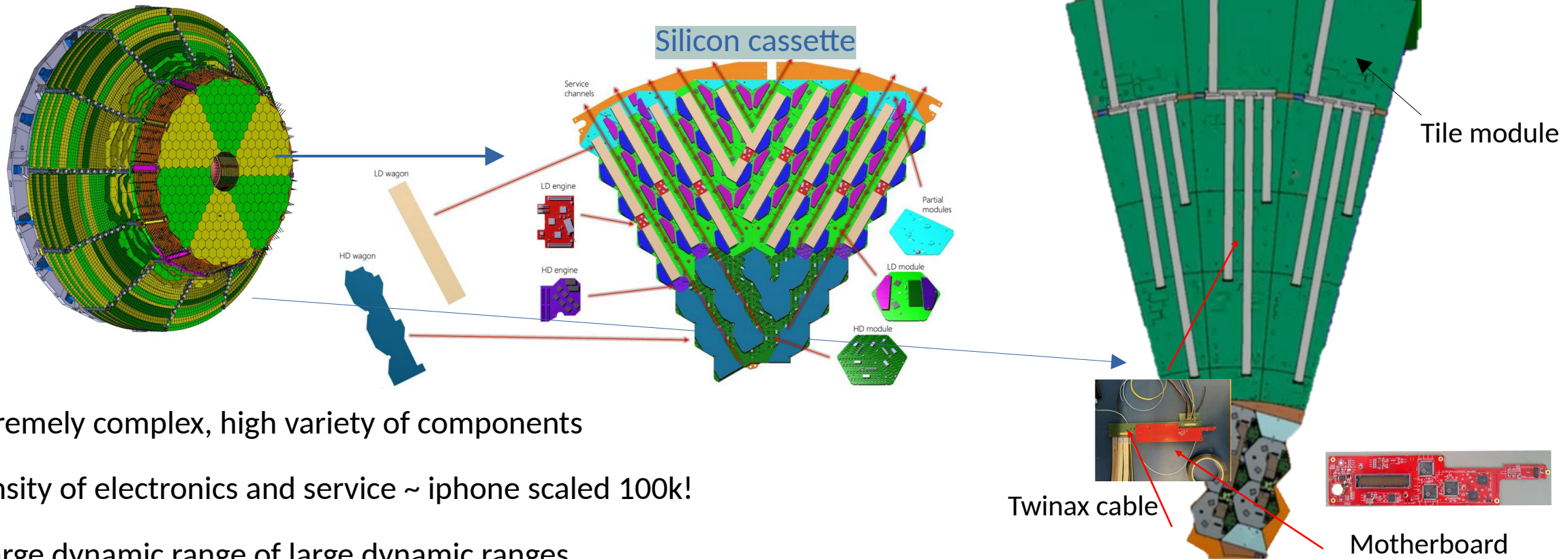
- Electromagnetic part (CE-E): Si, Cu & CuW & Pb absorbers. 26 layers,  $\sim 25X_0$ ,  $\sim 1.3 \lambda$
- Hadronic part (CE-H): Si & SiPM-on-tile, steel absorber. 21 layers,  $\sim 8.5 \lambda$





# HGCAL's solutions and challenges

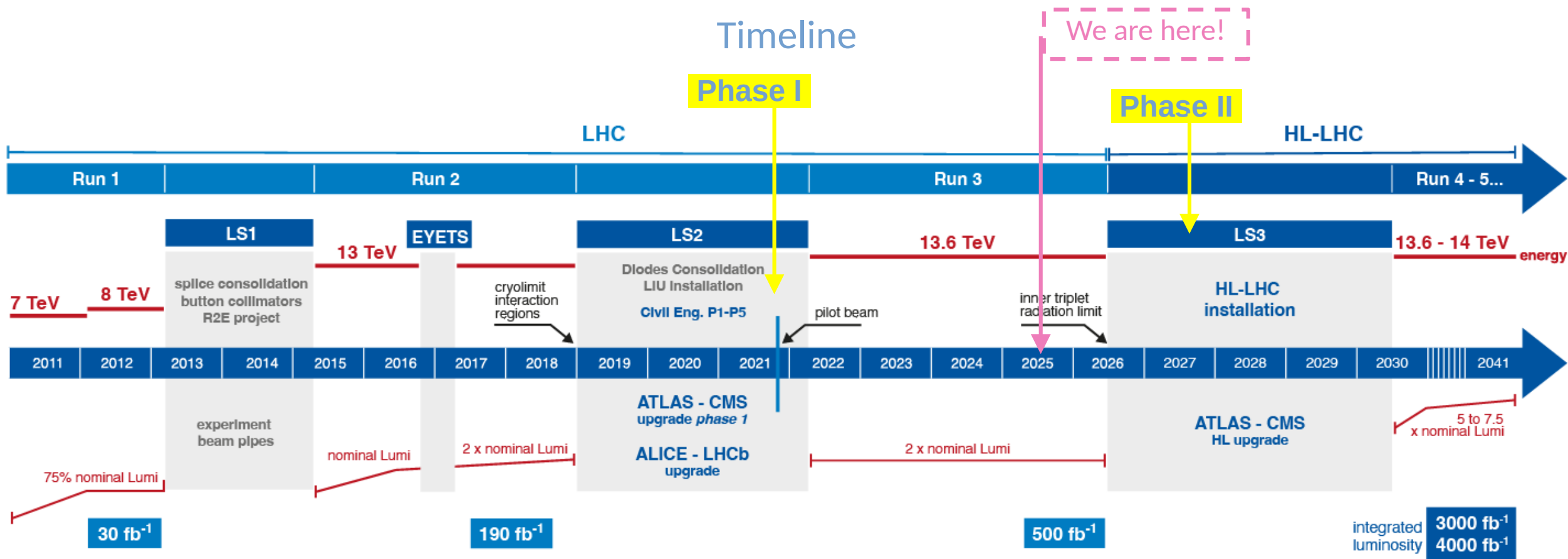
Mixed cassette Si. and Sc.



- Extremely complex, high variety of components
- Density of electronics and service ~ iphone scaled 100k!
- A large dynamic range of large dynamic ranges

| Calor. energy measurement   | Imaging spatial granularity   | Precise timing for showers  | Community backgrounds  | Detector module variants   | Control readout and powering boards variants   | Radiation and data rates   |
|---|---|---|--|--|--|--|
| <ul style="list-style-type: none"> <li>• Calibrate on <b>single</b> MIP</li> <li>• <math>O(10^4)</math> MIP in particle showers</li> <li>• 3000 MIP/cell</li> </ul> | <ul style="list-style-type: none"> <li>• <b>6M</b> channels</li> <li>• in <math>40 \text{ m}^3</math></li> <li>• 10 channels per cell phone</li> <li>• Cell sizes from <math>0.13</math> to <math>30 \text{ cm}^2</math></li> </ul> | <ul style="list-style-type: none"> <li>• <math>O(25\text{ps})</math> per channel</li> <li>• Energy <math>&gt; 10\text{MIPs}</math></li> </ul> | <ul style="list-style-type: none"> <li>• ECAL</li> <li>• HCAL</li> <li>• Tracker</li> <li>• Linear Collider</li> </ul> | <ul style="list-style-type: none"> <li>• 11 Silicon sensor geo.</li> <li>• 12 SiPM on tile geo.</li> </ul> | <ul style="list-style-type: none"> <li>• 4 engines variants</li> <li>• 62 wagons</li> <li>• 10 DCDC mezz.</li> <li>• 59 busbars</li> </ul> | <ul style="list-style-type: none"> <li>* EM shower max.: <math>&gt; 10^{15} \text{ n/cm}^2</math></li> <li><math>&gt; 25 \text{ GB/s}</math></li> <li>* HAD back: <math>&lt; 10^{11} \text{ n/cm}^2</math></li> <li><math>1 \text{ GB/s}</math></li> </ul> |

# Timeline



The HGCal project is leading in parallel:

- Parts in production range from the big (200 tons) to the smalls (5mm)
- Parts going into production
- Parts' validation of final design
- Pre-series cassette assembly exercise at the 2 CAFs (Cassettes Assembly Facilities) FNAL and CERN



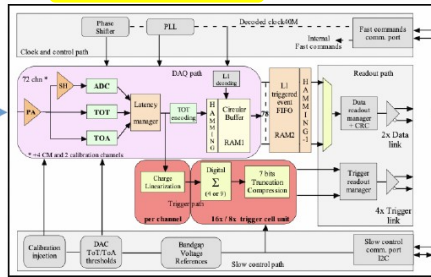
# Electronic Chain

- Large dynamic Range:  $\sim 0.2$  fC to 10 pC
- 10bit ADC below  $\sim 50$  fC
- 12bit ToT above
- Timing information (ToA) down to 25ps

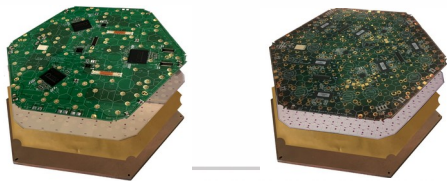
## Hexaboards



HGCROC



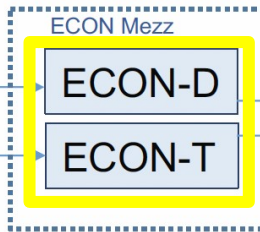
LD: 3 HGCROCs / Hexaboard  
HD: 6 HGCROCs / Hexaboard



1.28Gbps e-links

Data Path

Trigger Path



Rafael

LDO bPOL12

## Engines & Wagons

Engine boards collect data from Wagons and send it to BE

1.28Gbps e-links



IpGBT

VTRx+

Transmission to BE  
Control & Timing  
TPG & DAQ

Fast Commands + clock (320MHz)

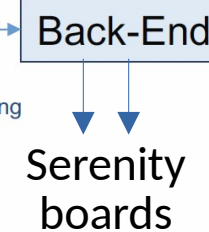
LD Engine: 3 IpGBT + 1 VTRx+  
HD Engine: 6 IpGBT + 2 VTRx+

LD wagons: 80? variants  
HD wagons: 45 variants with RAFAEL

linPOL12

## Off Detector

10.24 Gbps optical links



DTH

Tried to use as much CERN generic developments as possible

- But had to design
- our readout and FE ASICs
  - clock distribution chip
  - low drop out regulator

## In production:

- 100k of ASICs (some already fully in hands)
- Control readout and powering PCB boards (Engines and Wagons)

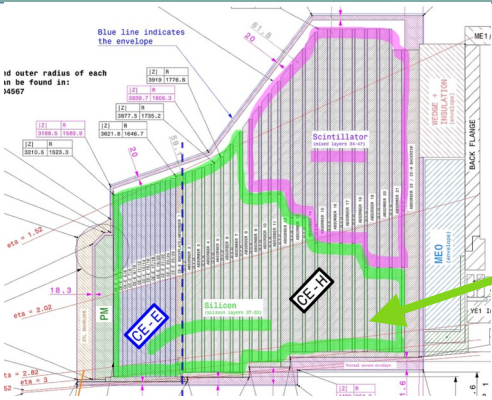
## Going in production:

- Engines and Wagons assembly, ECONs Mezzanine, DCDC converters, Busbars, Serenity boards

## Pre-series:

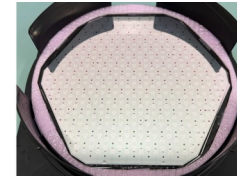
- partial concentrator mezzanine

Silicon Region  
Scintillator region: SiPM HGCROCs  
GBT\_SCA for SC  
ALDO

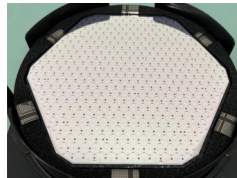


## Silicon modules

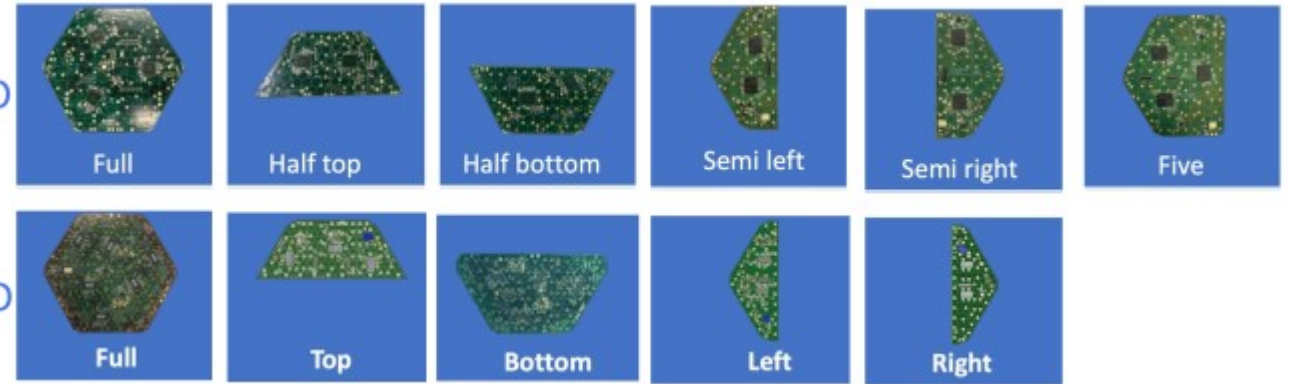
20.3 cm



LD



HD



### Completed

- 95% of silicon sensors delivered (consistent excellent quality)
- 5 modules assembly center (MACs) qualified + 1 being qualified
- All variant types of modules have been exercised and used to build systems

### In production:

- Assembly of all hexaboards variants with electrical components
- 27k of hexaboards
- 27k of baseplates Ti for CE-H and CuW for CE-E

### Going in production:

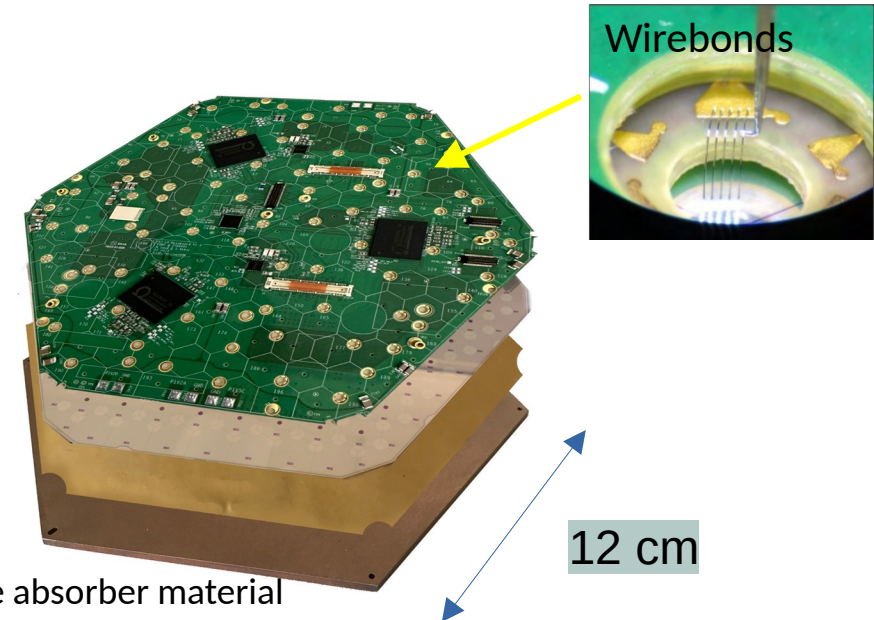
- Mass assembly at MACs

Hexaboard PCB  
Hosting the readout chips

Silicon Sensor

Metalized Kapton Sheet  
CuW or Ti BasePlate

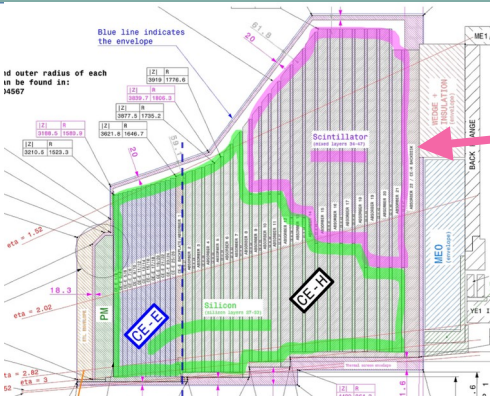
Rigidity, contributes to the absorber material



Wirebonds

12 cm



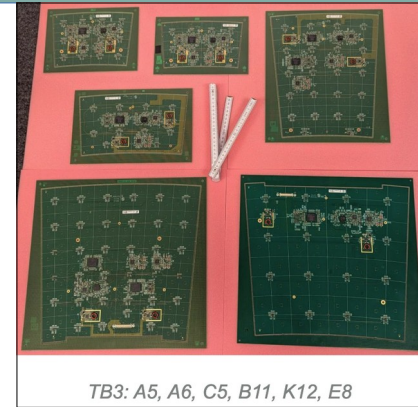


## Scintillator modules

Cell sizes from 4 to 30 cm<sup>2</sup>

Tileboard PCB

Readout chips on the back



### Completed:

- 100% of SiPM delivered (consistent excellent quality)
- 2 Tiles Manufacturing facilities: Cast in NIU and Molded at FNAL (US)
- 2 Tiles Assembly Facilities Qualified: DESY (DE) and FNAL (US)

### In production:

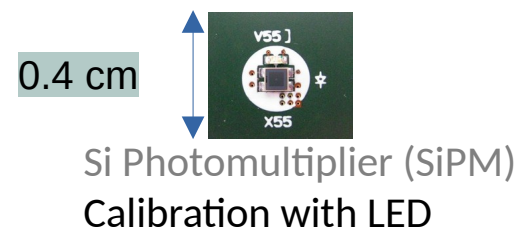
- Molded tiles
- Cast tiles machining
- Bare tileboards
- Tile wrapping DESY (including all special tiles)

### Going in production:

- Tile wrapping NIU
- Tileboards assembly with HGCROC
- Tile modules assembly
- Wing-boards and Twinax cables



Wrapped scintillating tile  
Reflective foil



Scintillator tiles

# Mechanics and Engineering

## Completed

First endcap CE-H1 absorber! In PAEC/HMC3

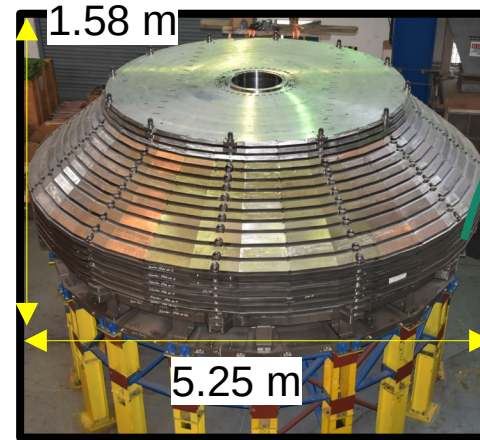
- absorber disks, support cylinders, split rings, wedges assembly
- Swivel test done ! Equivalent to swivel a 200 tons iphone!
- then partial disassembly and shipping to CERN

## In production:

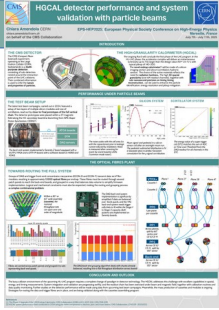
- CE-H endcap 2 absorber machining
- CE-E back plates machining
- Thermal screen
- On-detector CO<sub>2</sub> manifold
- Tooling for CE-E assembly

## Going in production:

- CE-E lead/stainless steel absorbers
- YE1 CO<sub>2</sub> Cooling distribution manifolds

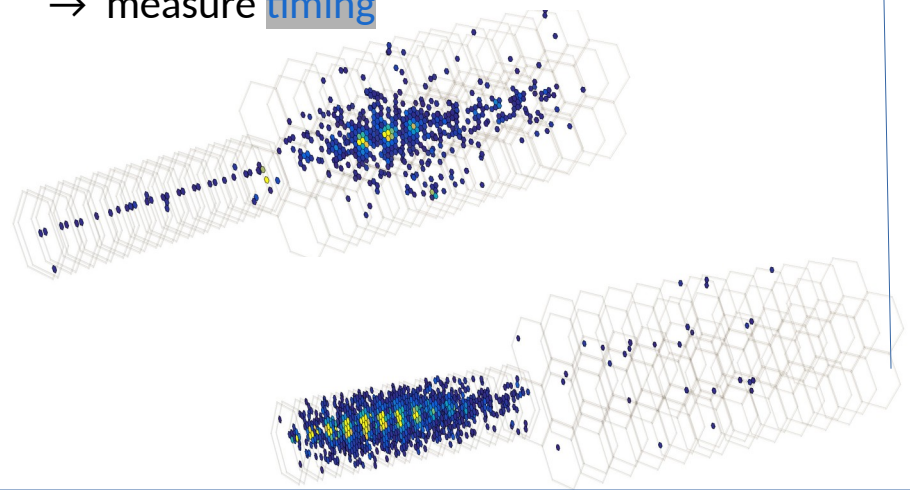




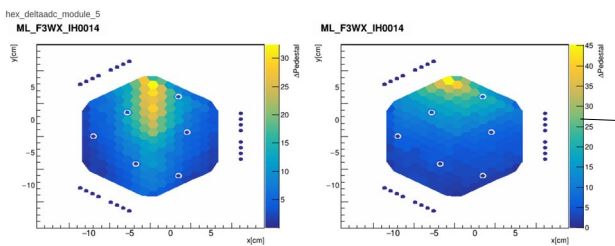
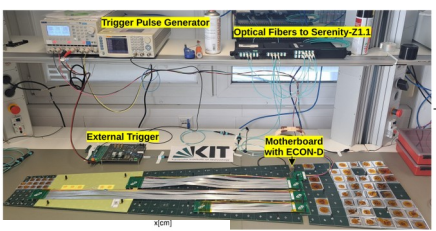
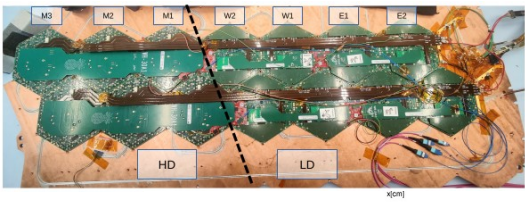
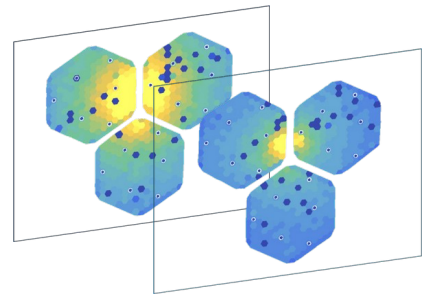
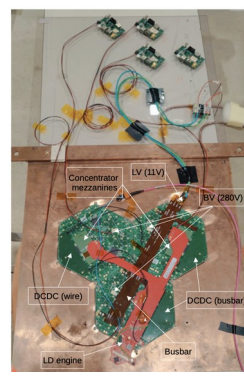


# System testing: beam test and others

- 2016-2018 : 100 modules calorimeter
  - measure E and resolution **EM** and **HAD**
  - measure **timing**



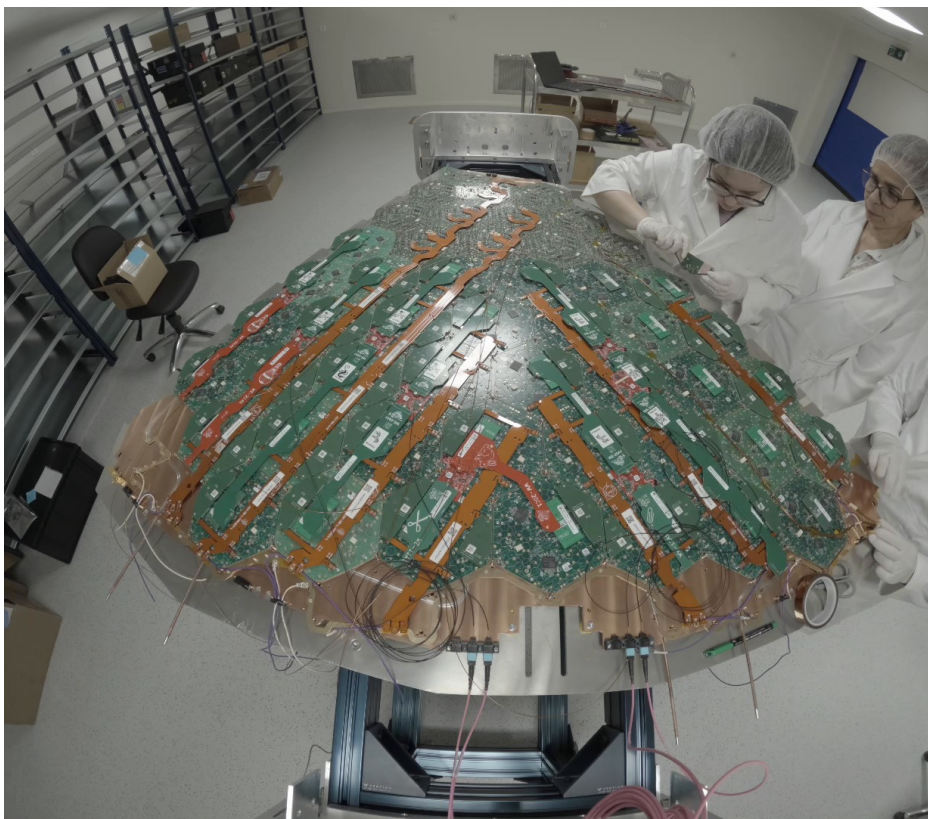
- Since 2021 **electronics closer and closer to final** electronics
- 2023 1<sup>st</sup> test with full electronic chain
- 2024 scaling up



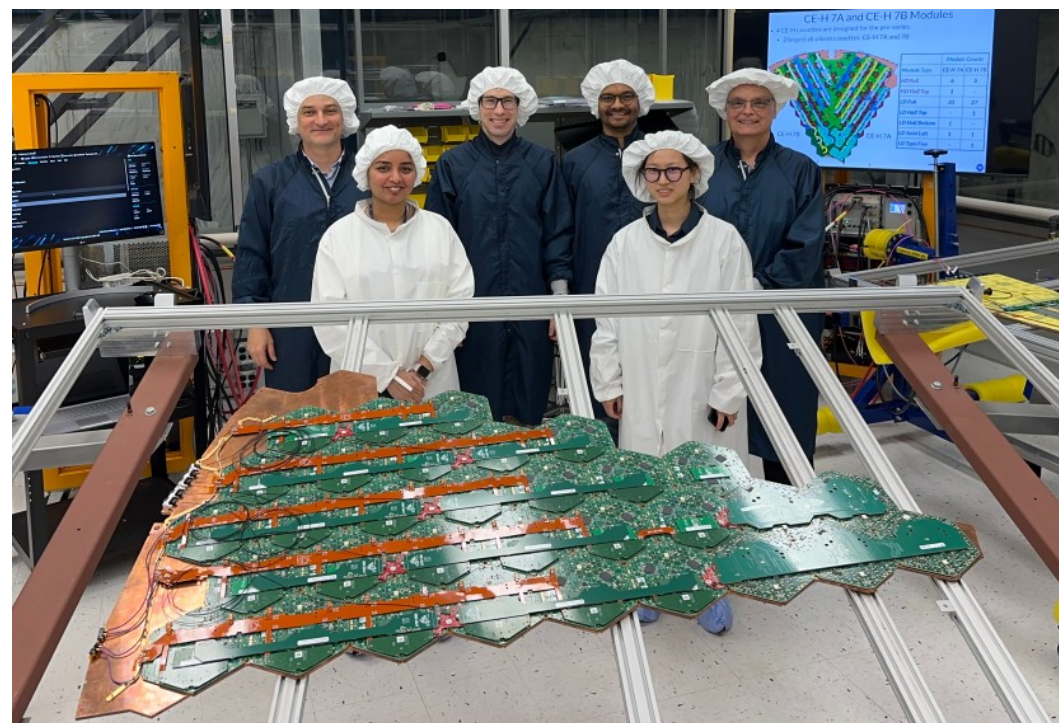
|  |  |
|--|--|
| Validation of with "ESR2" silicon system | Basic functionalities<br>Clock distribution<br>Noise measurement<br>Trimming and Calibration will V3 system<br>High rate tests |
| Validation with single train systems     | Cold tests<br>With partial hexaboard   |
| Beam test with magnetic field            | MIP and S/N<br>Timing performance<br>Comparison of DAQ and TPG data  |



## CEE and CEH cassette assembly



CE-E Layer 25 at CERN

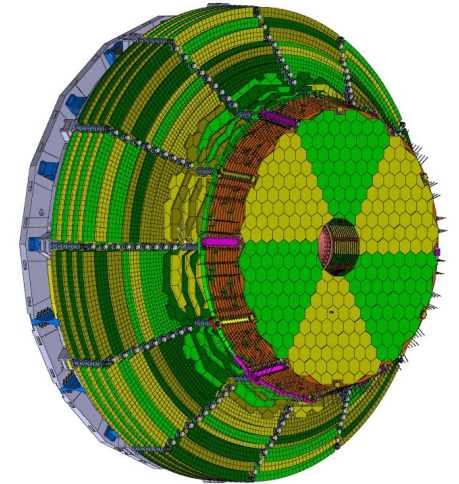
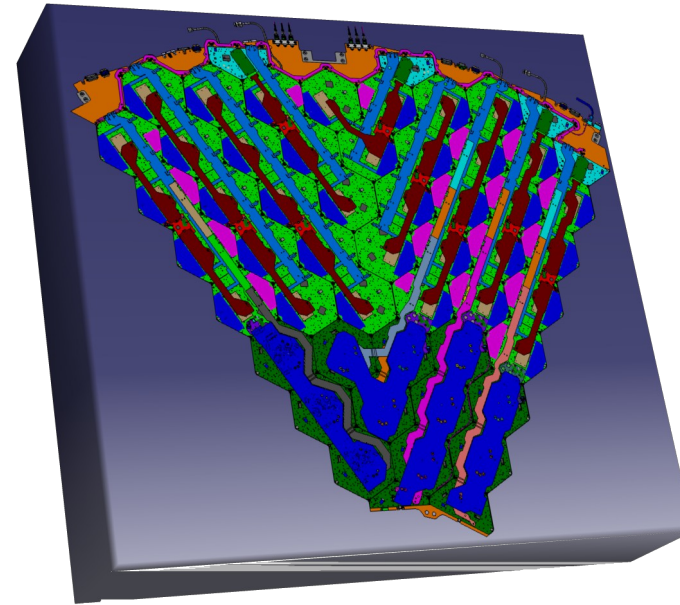
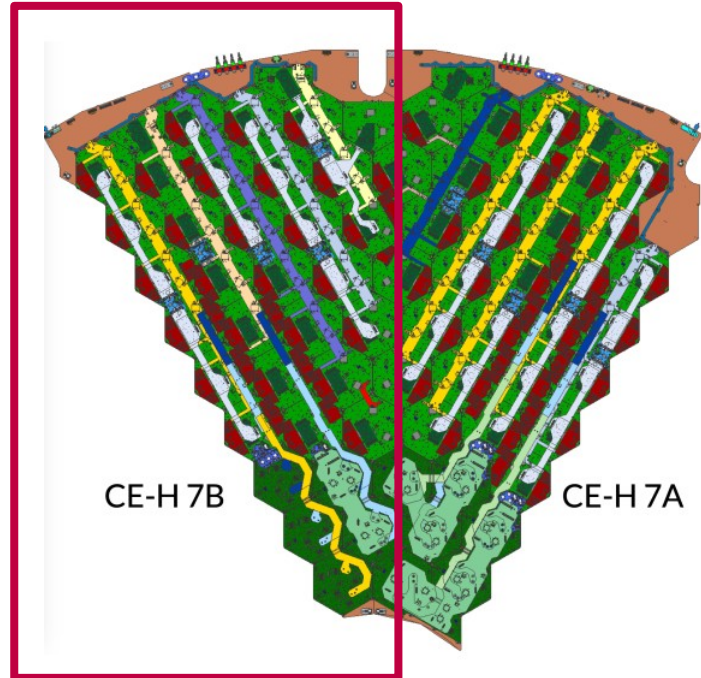


CE-H 7B at FNAL

- Assembly in clean rooms at CAFs
- Majority of components
- Integration check , powering check

## Wrap-up and future

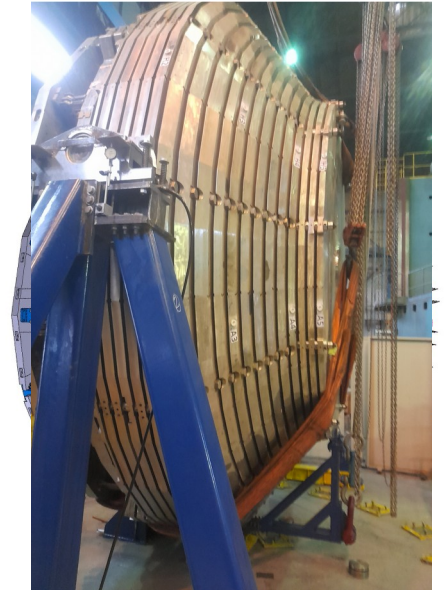
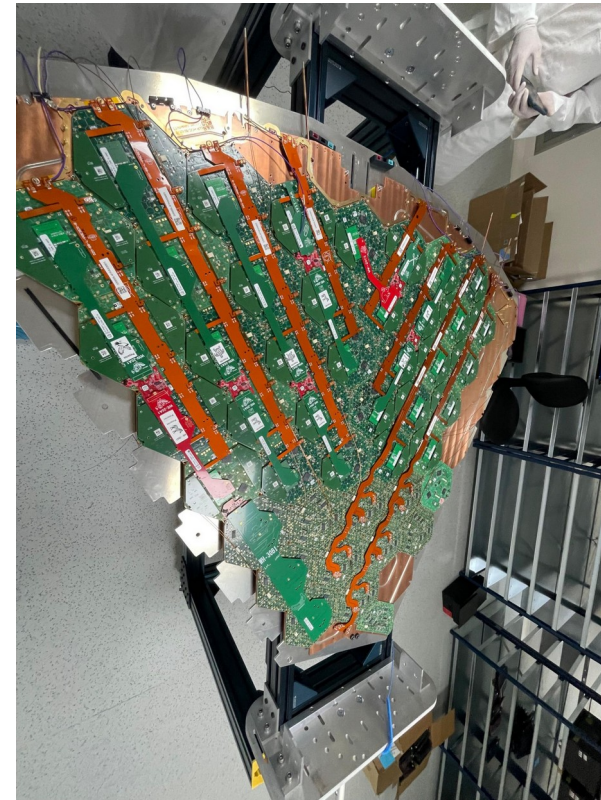
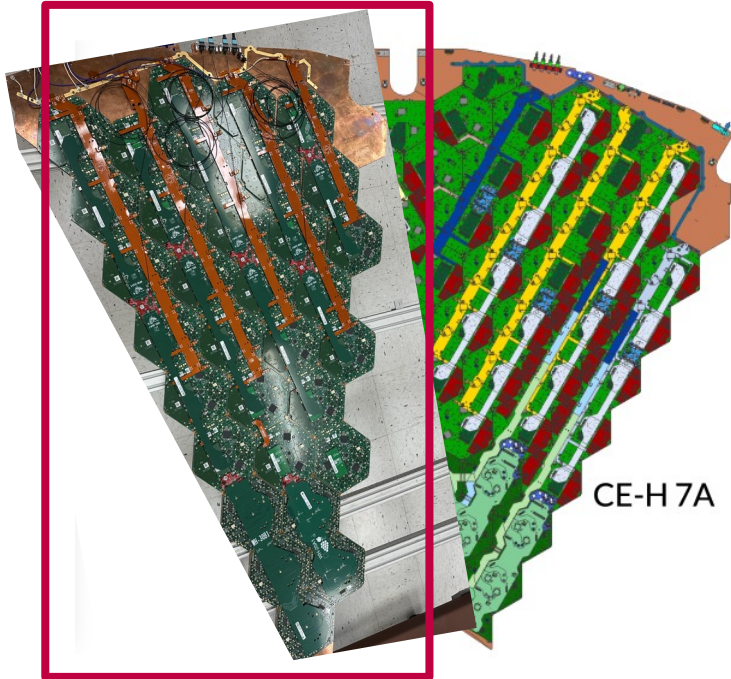
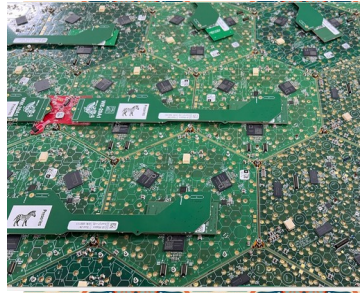
From concept





## Wrap-up and future

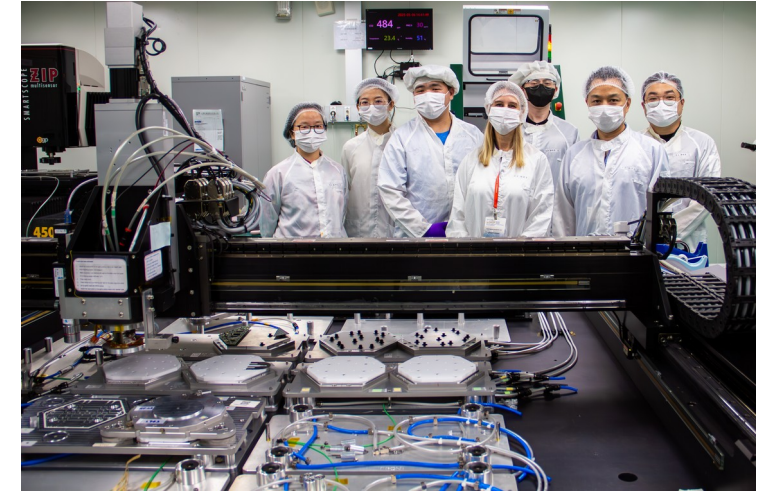
To reality



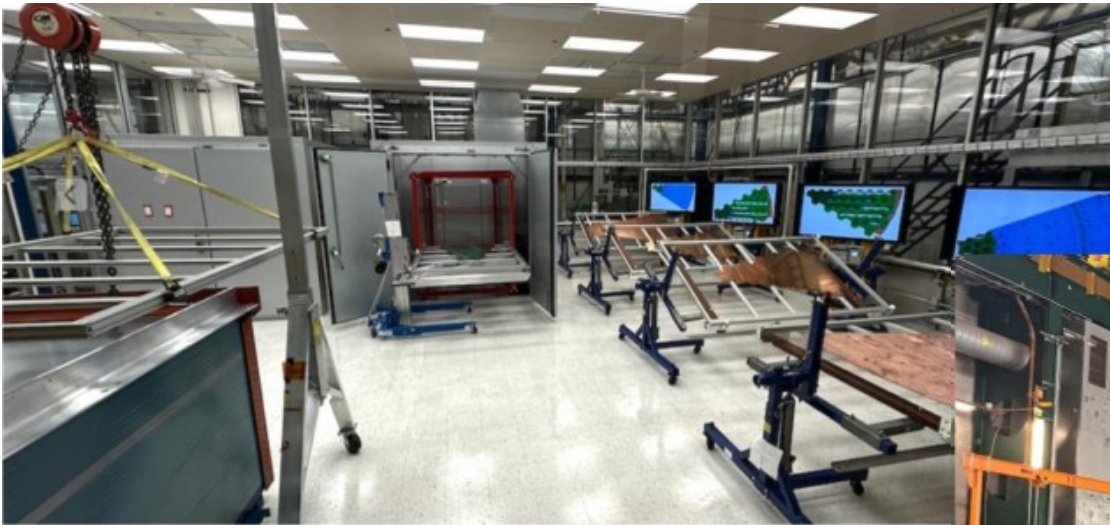


## Wrap-up and future #LifeWithHexagons

- We are in production of an unprecedented detector kind
- We are learning to build and operate it
- Exciting times ahead!



*Module Assembly Center NTU*



*Clean room FNAL*



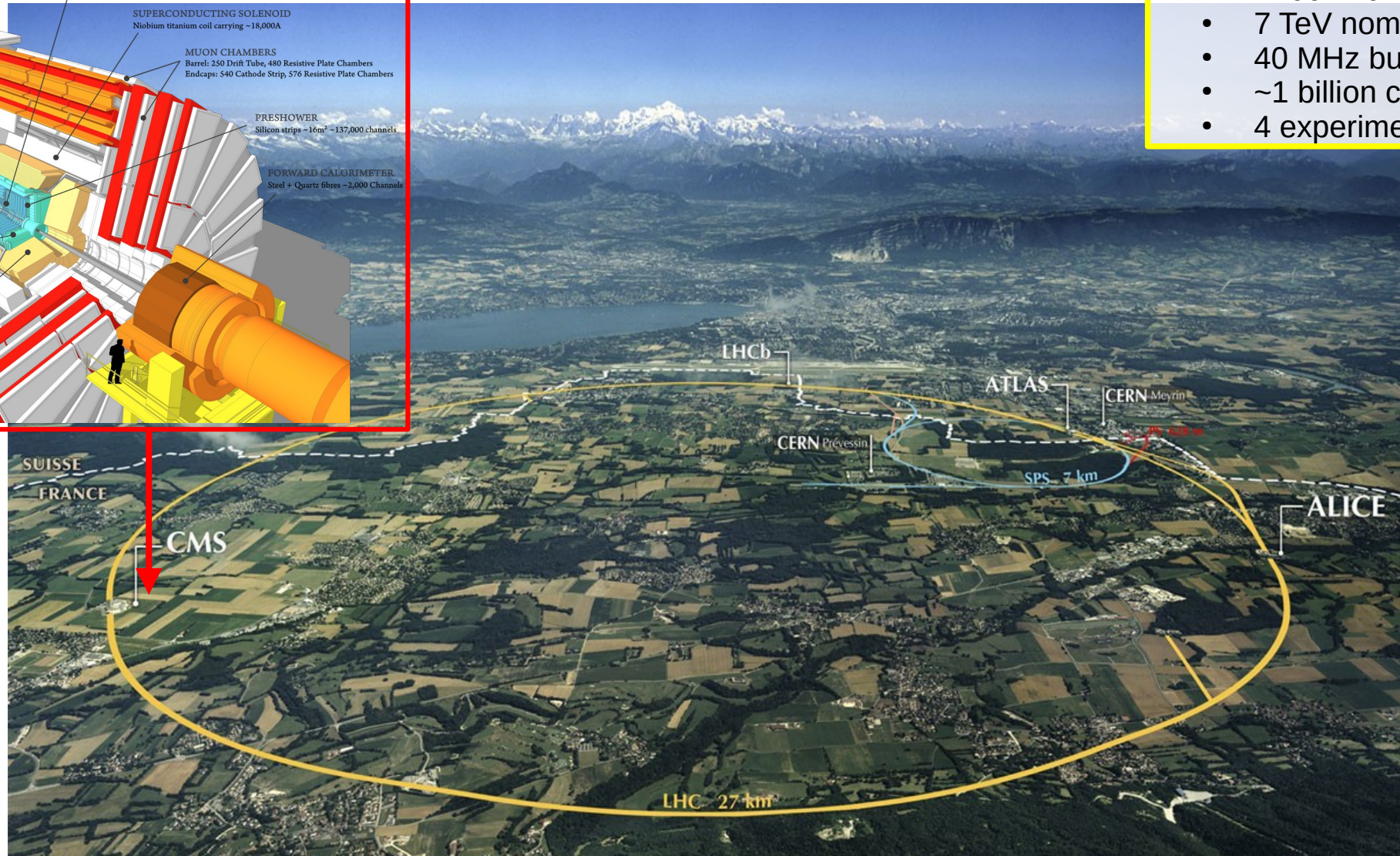
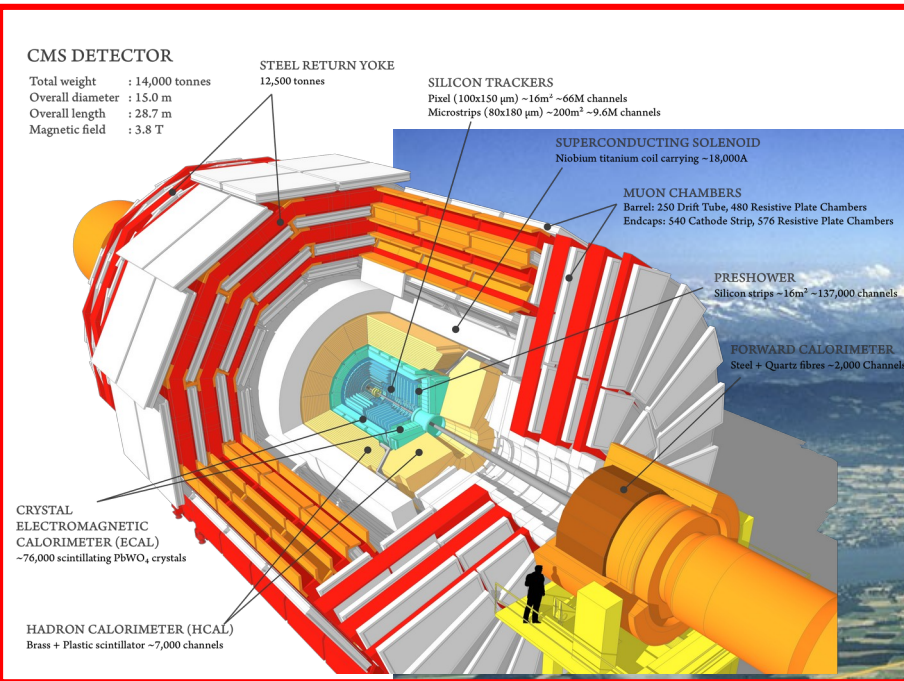
*Assembly Hall  
CERN*

# BACK UP

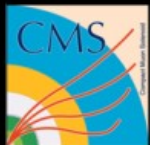


# The LHC and CMS

- 27 km circumference
- ~100 m underground
- 7 TeV nominal beam energy
- 40 MHz bunch crossing rate
- ~1 billion collisions / s
- 4 experiments







CMS Experiment at the LHC, CERN

Data recorded: 2012-May-13 20:08:14.621490 GMT

Run/Event: 194108 / 564224000

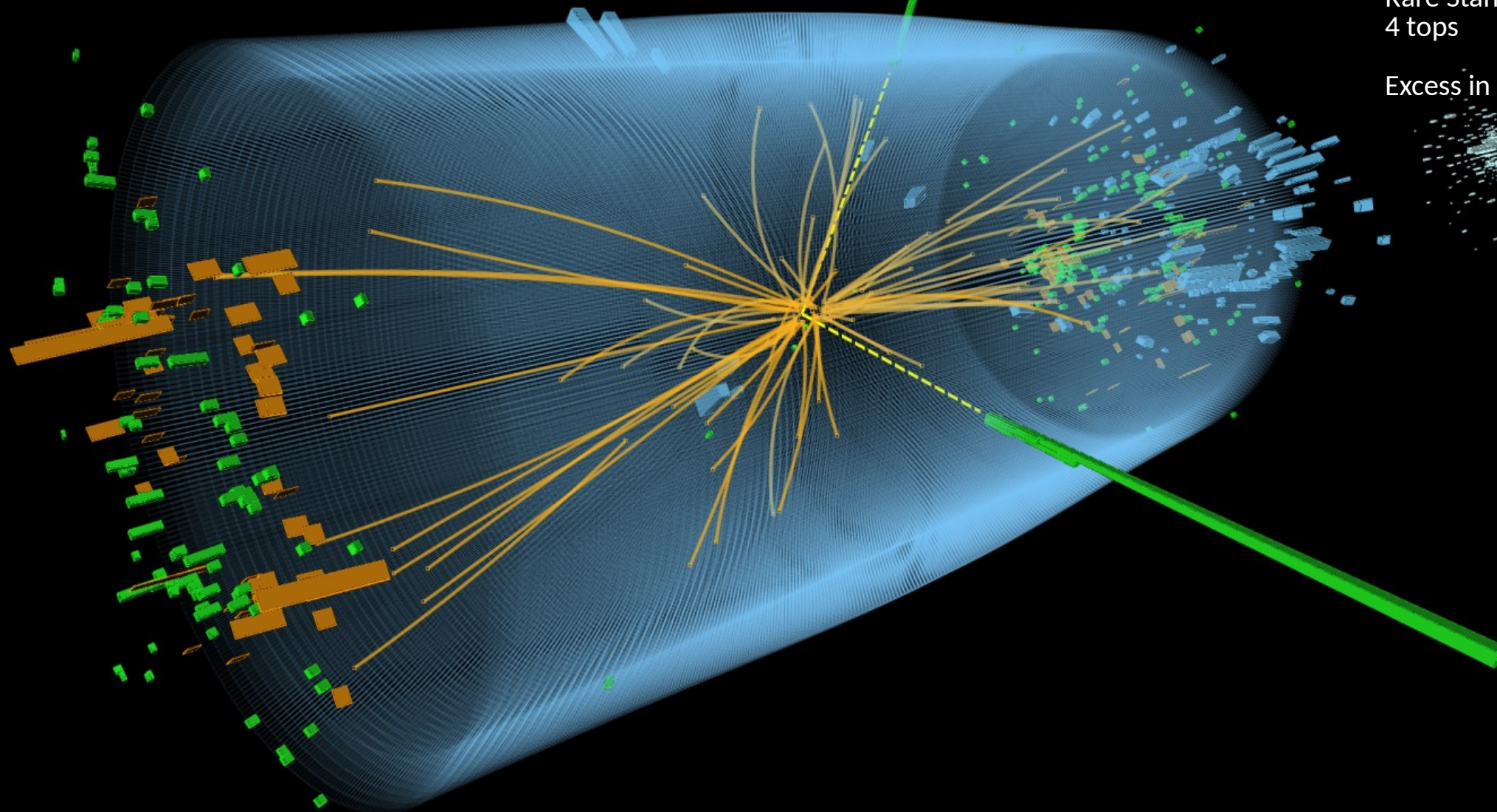
## Quite a few interesting results

A boson called Higgs

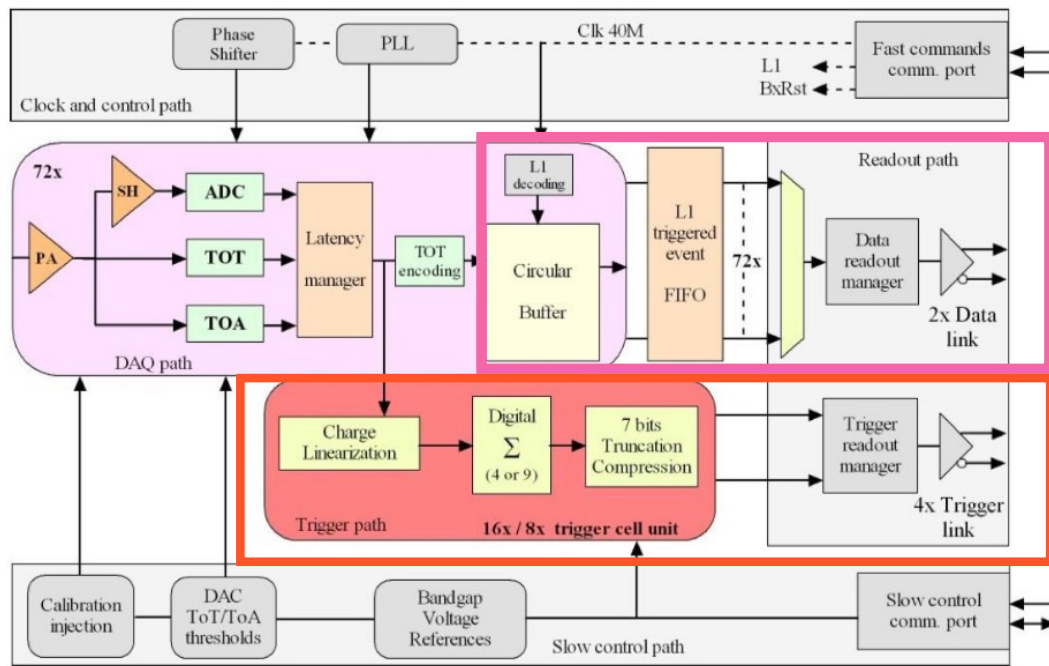
W mass measurement

Rare Standard Model processes:  
4 tops

Excess in  $t\bar{t}$  (toponium)



# Electronic Chain



Synchronous fast control @ 320 MHz

DAQ path  
Data packets after LV1A

Trigger path  
Trigger primitives @40 MHz

Asynchronous slow control I2C



# HGCROC versions details

## HGCROC



3b packaged devices @ Omega Feb 2024

HGCROC3b used in system tests, test beams and performance studies.

- Some 3b Issues related to the TDC
- 1, TOT Hole
  - 2, TOA & TOT Outliers
  - 3, SEE – Digital I pulses (FIFO Tri-state driver conflicts)
  - 4, SEE – Analog I pulses (TDC not working)
  - 5, SEE – TOA Gray Encoder errors
- I2C  
ESD

Deep Dive with CEA/Omega & ESE/Chips

Goal: To examine each item in the list together with their possible design solutions. Make suggestions where appropriate on simulation techniques or additional tests that would be useful.

Summary documentation on the HGCROC Deep Dive.  
<https://indico.cern.ch/event/1429271/>

HGCROC3c submitted in July/August 2024  
Metal fix only changes

*The review involved Jorgen, Kostas, Jan and Xavi*



3c packaged devices @ Omega Nov 2024  
Function and SEE tests in Dec. 2024  
The 3 most serious 3b issues were solved in 3c (TOT hole, Outliers and I2C).  
In fact all issues solved except bug 5.

Bug 5 now affects both TOA and TOT  
whereas in HGCROC3b it only affected TOA.

HGCROC3d & HGCROC3e designs

HGCROC3d > Quick metal fix to keep all successful changes from 3b but to restore bug 5 to the 3b condition.

HGCROC3e > Full engineering run to replace the asynchronous counter with a synchronous counter with TMRG.

*HGCROC3d could be submitted quickly but HGCROC3e would need more time and not be available for the initial stages of module production*

HGCAL HGCROC Operations and Physics Impact Task Force

Goal: To understand the physics impact and operational impact at system level of HGCROC3c and HGCROC3d

# HGCROC Task Force - Summary & Conclusions

## Summary:

- HGCROC3x is a series (a,b,c,d,e) implementing the full HGCAL TDR architecture
- The current version in our hands and in our test systems is HGCROC3c.
- HGCROC3c works well but suffers from SEU induced errors on both TOA and TOT.
- 2 additional versions are in the design flow.
- HGCROC3d ..... Designed to be robust against SEU errors on TOT but they will remain for TOA (6.5ns jumps)
- HGCROC3e ..... Designed to be robust against SEU induced errors on TOA and TOT.
- HGCROC Task Force .... Set up to examine the operational and performance impact of using HGCROC3c in the back of CEE and CEH.
- This is to allow Hexaboard and module assembly to begin and not to have an impact on our module assembly schedule.
- The HGCROC3c behaviour can be summarised by a state diagram with 3 states (S0, S1 & S2).
- Transition between the states can be induced by SEUs.
- S0 & S1 have no errors for TOA & TOT. S2 is a problematic state which can produce errors on TOA & TOT.
- The probability to reach S2 can be greatly reduced by I2C procedures we call "inoculation".
- If a chip reaches S2 it can be reset to S0 via a power cycle during the "inter-fill" gaps.
- Inoculation and power cycling can reduce the number of chips in the problematic S2 state to negligible numbers
- The impact on Physics & Trigger Performance has been studied in worse case conditions, assuming no inoculation or power cycling:
- The errors are manageable and the impact on HGCAL performance considered as "mild".

## Conclusion:

- The inoculation & power cycling procedures are very powerful, they can reduce the number of chips in the problematic S2 state to negligible numbers hence negligible impact on HGCAL.
- Even without inoculation, the physics performance impact on HGCAL is considered "mild" providing 3c only used in the CEE and CEH back layers.
- The HGCROC Task Force (whilst still on-going) has verified our strategy of proceeding with hexaboard and module production using HGCROC3c and their deployment in the back layers of CEE and CEH. HGCROC3d and 3e designs continue in parallel and will be inserted into the production flow when verified and available.
- This strategy avoids negative impact on the HGCAL module production schedule



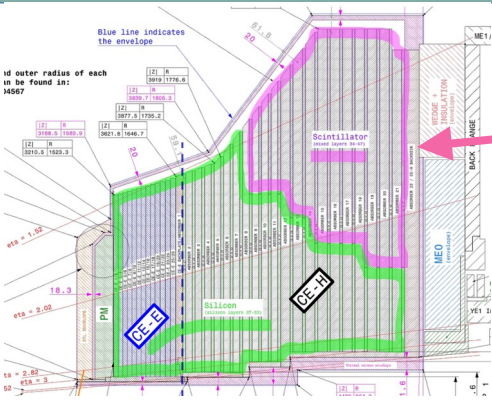
## ECON D

S-RAM error particularly BIST (Built in Self Tests) which fails in some fraction of chips at a higher supply voltage than observed on prototype batch

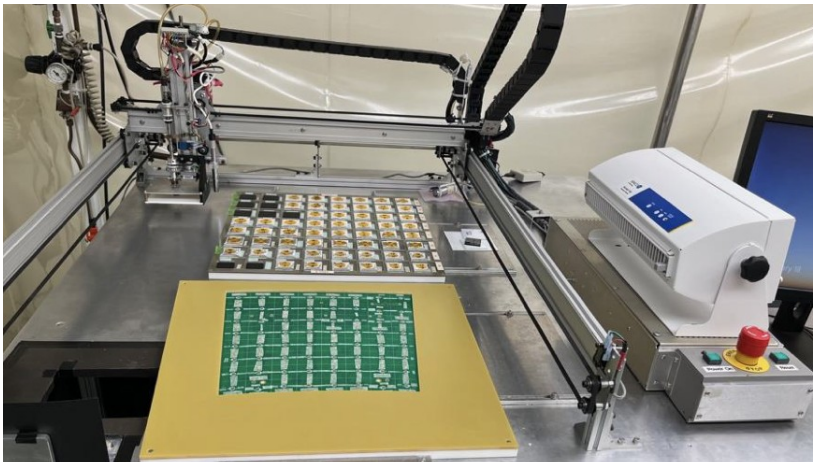
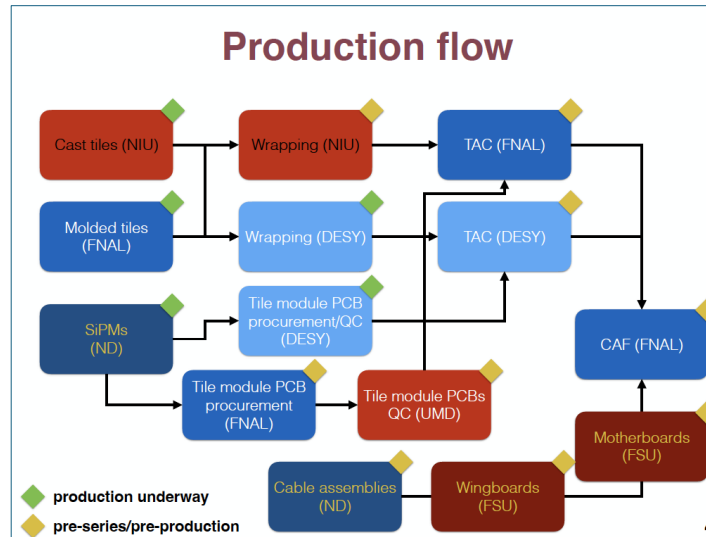
This can be considered a yield issue = many spares should be available

Critical voltage T and D dependent so need to carefully define the margin for acceptance

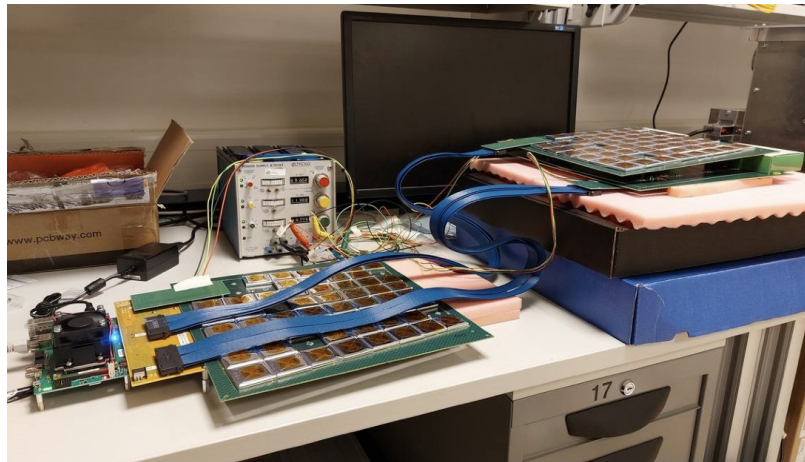
TID test up to 660 Mrad



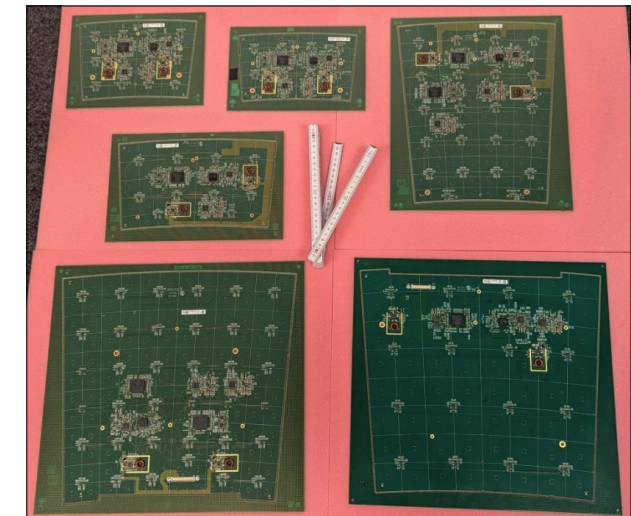
## Scintillator modules



FNAL TAC pick-and-place machine for tile-module assembly



Tile-module test stand at DESY



TB3: A5, A6, C5, B11, K12, E8



## System testing: others

ESR2 system : integration test, clock quality, noise  
Similar syst cold test  
Calibration and operation

SiPM on tile system chain with Serenity BE  
operating at KIT

Multi-train si module system test cat CERN with  
HGCROCs<sub>v3C</sub>, LD and HD  
(14 module son pre-serie cu plate) silicon full  
electronic chain

Magnet test up to 3T: to test HD trains → results

Sep/Oct: high purity electron beam with small  
calorimeter stacks

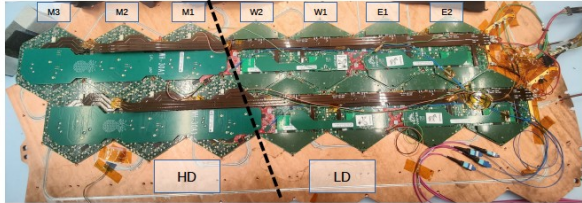
EM shower with trim and calib in situ + synchronised  
readout of Si and SiPM with latest BE boards

Testing system boards for all  
variants of si modules



**ESR2 system: 14 modules**

- 4248 silicon cells, 4680 readout channels
- Started in January with HGCROCs<sub>v3A</sub>, replaced with HGCROCs<sub>v3C</sub> since mid-May



- 2 HD trains
  - ▶ 1 HD pre-production engine currently using only 1 VTRX+<sup>a</sup>
  - ▶ 1 HD wagon: WH30A1 (pineapple) with RAFAEL and ECONs
  - ▶ 3 HD full modules
- 2 LD trains
  - ▶ 1 pre-production LD engine
  - ▶ 2 LD wagons: 1 WE20A1 (zebra) and 1 WW20A1 (bird)
  - ▶ 4 LD modules and CM with production ECONs
  - ▶ LD modules equipped with local DCDCs and remote DCDCs to power HD modules and HD engines

<sup>a</sup>Mapping associated to 2nd VTRX+ IpGBTs has been checked

Arnaud Steen, CERN      CMS HGCAL ESR2      May 28, 2025      7 / 29

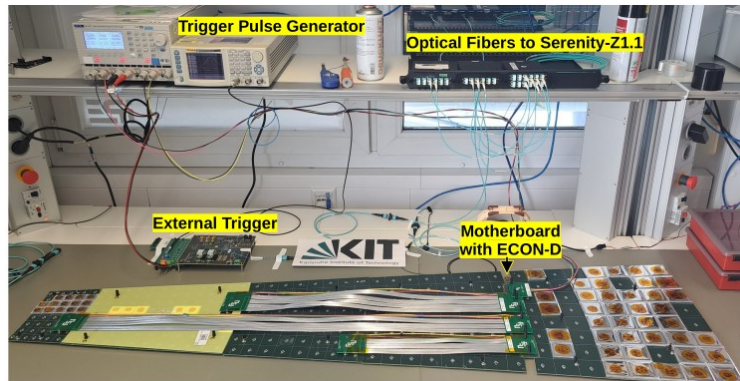
## Wrap-up and future



### Next steps in validation of the SiPM-on-Tile system

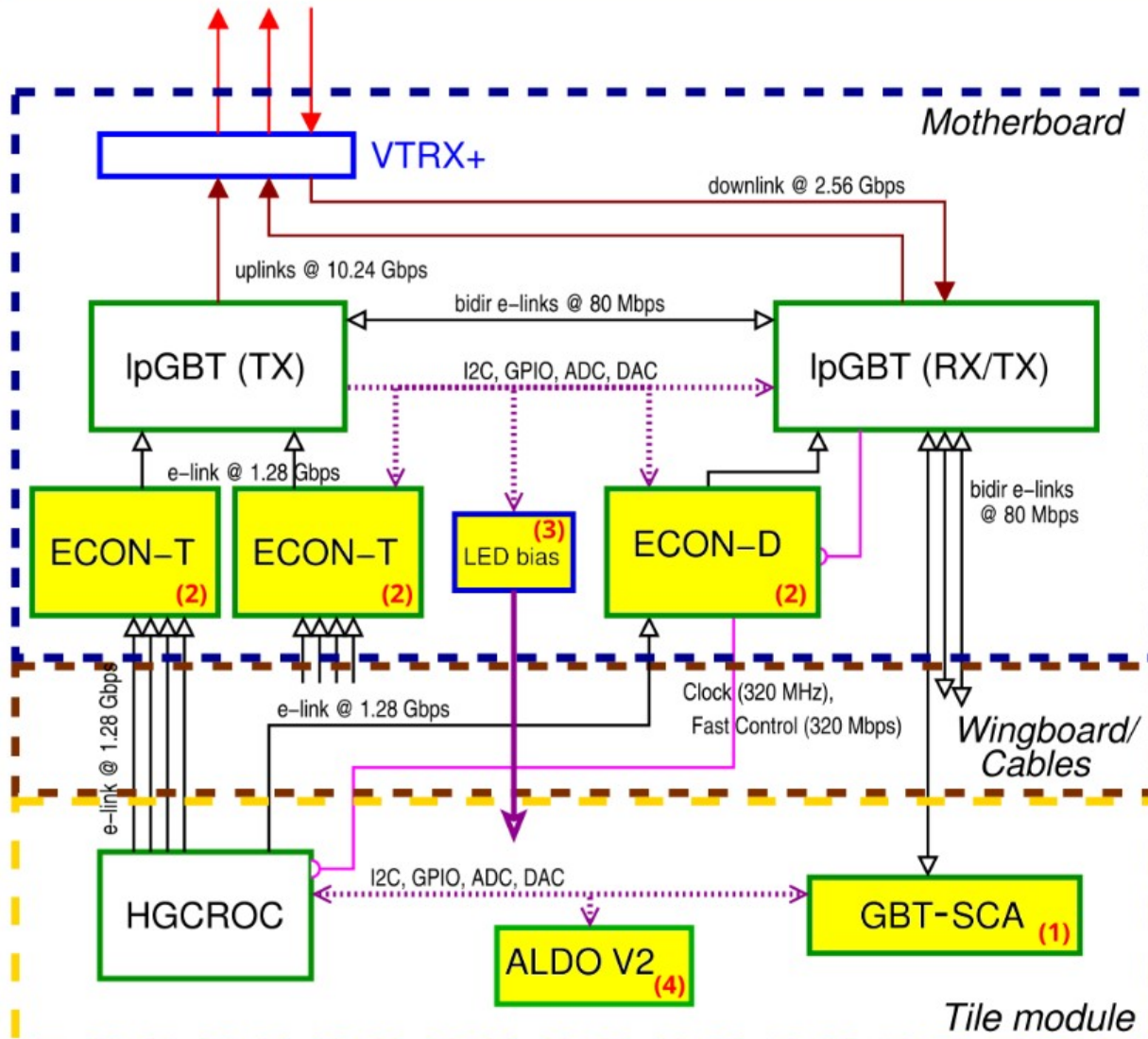


- Tests with realistic power supplies, cable lengths, grounding scheme (KIT, CERN)
  - Copper plate for 10° sector being manufactured right now
  - Once setup is at CERN: clock jitter, eye diagrams of links
- New readout system with Serenity-S and DTH (KIT, CERN)
  - Repeat some tests mentioned above with higher rates or more events
  - Utilize DPG tools (DQM)
- Cassette integration tests (FermiLab)
  - Tests with silicon modules and tile modules on one plate
  - Operation in cold environment (-35°C)





# Scintillator front-end (and where it's different)



1. GBT-SCA on the tile modules  
→ tile modules were part of ESR1
2. ECON's are on the motherboards
3. LED system to calibrate the SiPMs
4. ALDO to adjust SiPM voltage  
→ ALDO was part of ESR1

## Cassettes assembly at FNAL

