

EVENT SHAPE VARIABLES IN PP COLLISIONS IN CMS

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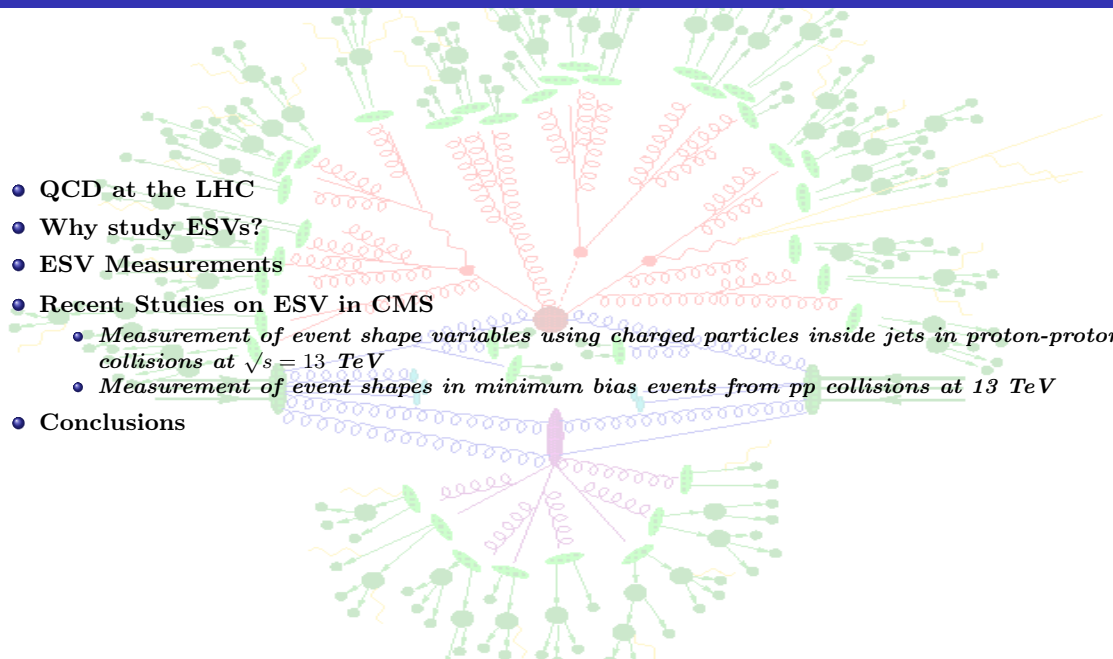
VISVA-BHARATI, INDIA

ON BEHALF OF THE CMS COLLABORATION



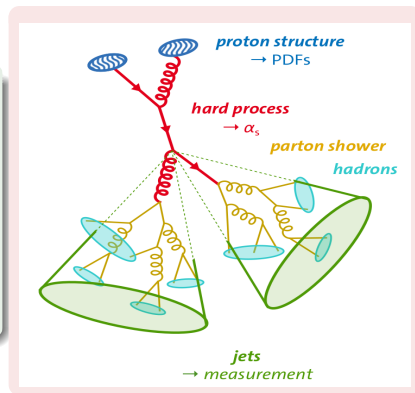
**EPS-HEP CONFERENCE
MARSEILLE, FRANCE**

JULY 9, 2025

- 
- QCD at the LHC
 - Why study ESVs?
 - ESV Measurements
 - Recent Studies on ESV in CMS
 - *Measurement of event shape variables using charged particles inside jets in proton-proton collisions at $\sqrt{s} = 13$ TeV*
 - *Measurement of event shapes in minimum bias events from pp collisions at 13 TeV*
 - Conclusions

QCD at the LHC

- **Hard and Soft QCD** → dominant production methods at the LHC
- Crucial for understanding → **proton structure & strong interaction**
- All LHC observations → depend on the **modelling of the QCD**
- Provides essential experimental input for improving theoretical models → **parton showers, hadronization etc.**



- Several Jet measurements in CMS, studied for understanding QCD –
 - **Event Shape Variables (ESV)**
 - **Differential Jet Cross Sections**
 - **Primary Lund Jet Plane Density**
 - **Energy Correlators**
 - **Jet Charge**
 - . . .

Why study ESVs ?

Event shape variables (ESVs) → defined as **ratios of momenta of the final state objects** (particles, jets ...)

Sensitive to various aspects of QCD –

- **Hard scattering part** – analytically calculable with good accuracy

- *Complement of Transverse Thrust* ($\tau_{\perp}$)
- *Third-Jet Resolution Parameter* ($Y_{2,3}$)
- *Sphericity* (S), *Transverse Sphericity* ($S_{\perp}$)

- **Hadronization part** – described by phenomenological models

- *Jet broadening* (B_T)
- *Total jet mass* (ρ_{Tot}), *Total transverse jet mass* (ρ_{Tot}^T)
- *Particle multiplicity and p_T in event, jets*

- Sensitive → **geometrical properties of energy flow**

- **collinear and infrared divergences** → robust and safe

- Discriminate → **SM** & **New Physics**

- Tests → **parton shower** & **hadronization models**

- **Tune** → MC event generators

ESV Measurements

Before LHC

- Determination of the α_s : In e^+e^- **LEP experiments** [**J. Phys. G** 30 (2004)]
- Studied in **Deep Inelastic** (DIS) ep collisions at **HERA** [**Eur.Phys.J.C** 46, 343-456 (2006)]
- Measurement in $p\bar{p}$ collisions at $\sqrt{s} = 1.96$ TeV [**Phys. Rev. D** 83, 112007]

ESVs at LHC

- **CMS** made the first study of the ESVs at the LHC with **jets as inputs** – [**Phys. Lett. B** 699 (2011)]
- Several studies of different ESVs have been done at **CMS** and **ATLAS** with jets as inputs at $\sqrt{s} = 7$ TeV and 13 TeV – [**JHEP**12(2018)117], [**JHEP**01(2021)188]
- **ATLAS** and **ALICE**, have also examined ESVs with **charged particles** – [**PhysRevD.88.032004**], [**Eur.Phys.J.C** 72 (2012)]

Recent study of ESVs in CMS → This Talk

- *Measurement of event shape variables using charged particles inside jets in proton-proton collisions at $\sqrt{s} = 13$ TeV* [**CMS-PAS SMP-22-004**]
- *Measurement of event shapes in minimum bias events from pp collisions at 13 TeV* [**CMS-PAS SMP-23-008**]

Measurement of event shape variables using charged particles inside jets in proton-proton collisions at $\sqrt{s} = 13$ TeV

CMS-PAS SMP-22-004

Introduction & Motivation

- Five ESVs are calculated – *Complement of Transverse Thrust* ($\tau_{\perp}$), *Third-jet Resolution Parameter* ($Y_{2,3}$), *Total Jet Broadening* (B_T), *Total Jet Mass* (ρ_{Tot}), *Total Transverse Jet Mass* (ρ_{Tot}^T)
- Compared with MC predictions → **PYTHIA8**, **HERWIG7**, **MADGRAPH5_AMC@NLO**
- Finding the scope for → **MC tuning** & **theoretical understanding**

Charged particles as inputs

- Improved **event reconstruction** and **particle identification(PF)** → possible to have **particle information in jets with good amount of details**
- Reduces **globalness** issues, offers a finer view of **shower development** and **energy flow**
- ESVs are safe from → **PU** and **UE** effect

Data & Event Selection

- **Data** : **Full Run-2** – $\mathcal{L} = 138 fb^{-1}$
- **Events Selection** –
 - $N_{Jets} \geq 2$
 - **Jets** → $p_T > 30 \text{ GeV}$ & $|\eta| < 2.1$
 - **Charged particles** → $|\eta| < 2.5$
- Data sample divided into **8 $H_{T,2}$** ranges based on **trigger efficiency**
$$H_{T,2} = \frac{1}{2}(p_{T,jet1} + p_{T,jet2})$$

ESV Definitions : $\tau_{\perp}$ & $Y_{2,3}$

- **Complement of transverse thrust ($\tau_{\perp}$)** – measure of how highly collimated the momenta in an event along one particular axis –

$$\tau_{\perp} \equiv 1 - T_{\perp}$$

Where, thrust in the transverse plane –

$$T_{\perp} = \max_{\hat{n}_T} \left(\frac{\sum_i |\vec{p}_{T,i} \cdot \hat{n}_T|}{\sum_i |\vec{p}_{T,i}|} \right), 0 \leq \tau_{\perp} \leq 1 - 2/\pi$$

- $\vec{p}_{T,i} \rightarrow$ transverse momentum of the i^{th} final state object
- $\hat{n}_T \rightarrow$ thrust direction

- **Third-Jet Resolution parameter ($Y_{2,3}$)** –

$$Y_{2,3} = \frac{\max \left(p_{T,3}^2, \left\{ \min(p_{T,i}, p_{T,j})^2 \cdot \frac{(\Delta R_{ij})^2}{R^2} \right\} \right)}{P_{12}^2}$$

- $(\Delta R_{ij})^2 = (\eta_i - \eta_j)^2 + (\phi_i - \phi_j)^2$
- $p_{T,3} \rightarrow$ transverse momentum of the third object
- $P_{12} \rightarrow$ scalar sum of the transverse momentum of the two remaining object

ESV Definitions : B_T , ρ_{Tot} & ρ_{Tot}^T

- **Total Jet Broadening (B_T)** – measure of the fraction of energy perpendicular to the thrust axis –

$$B_T \equiv B_U + B_L, \text{ where}$$

$$B_X = \frac{1}{2P_T} \sum_{i \in C_X} p_{T,i} \sqrt{(\eta_i - \eta_X)^2 + (\phi_i - \phi_X)^2},$$

$$\eta_X = \frac{\sum_{i \in C_X} p_{T,i} \eta_i}{\sum_{i \in C_X} p_{T,i}}, \quad \phi_X = \frac{\sum_{i \in C_X} p_{T,i} \phi_i}{\sum_{i \in C_X} p_{T,i}}$$

- Each event can be divided into two parts –
Upper part (U): $\vec{p}_T \cdot \vec{n}_T > 0$
Lower part (L): $\vec{p}_T \cdot \vec{n}_T < 0$
- $P_T \rightarrow$ scalar sum of the transverse momentum of all the input objects

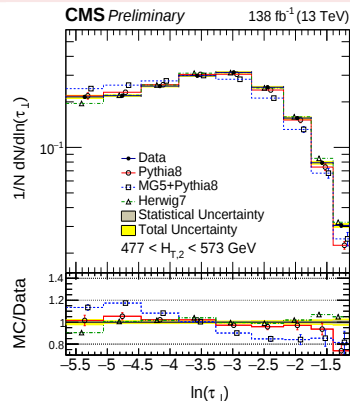
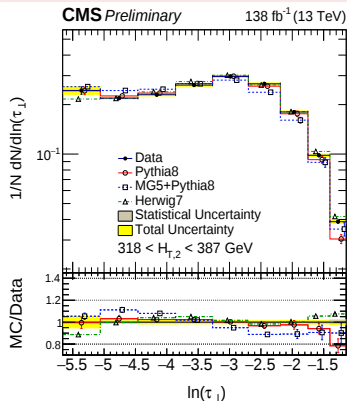
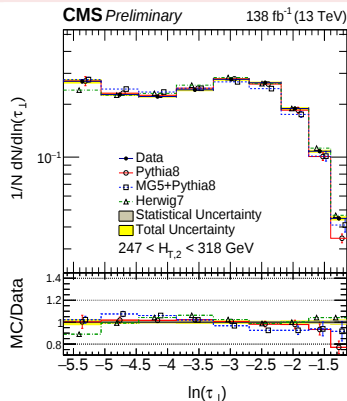
- **Total Jet Mass (ρ_{Tot})** –

$$\rho_{Tot} \equiv \rho_U + \rho_L$$

- **Total Transverse jet mass (ρ_{Tot}^T)** $\rightarrow$ Transverse component of the ρ_{Tot}

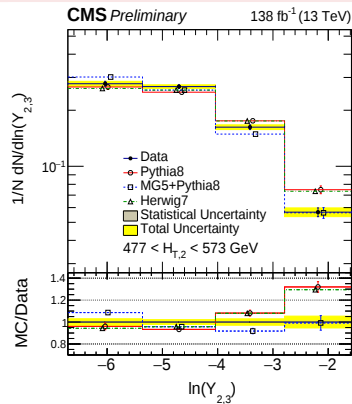
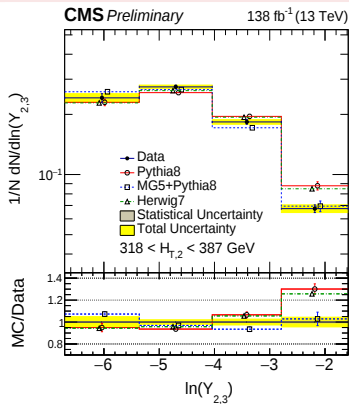
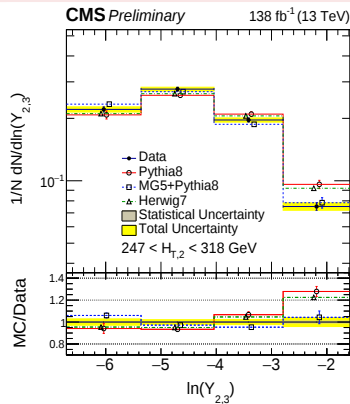
- $\rho_x \equiv \frac{M_x^2}{P^2}$
- $M_x \rightarrow$ Invariant mass of the constituents of the jets in X (X = U, L)
- $P \rightarrow$ scalar sum of $\vec{p}$ of all constituents

Results : $\tau_{\perp}$



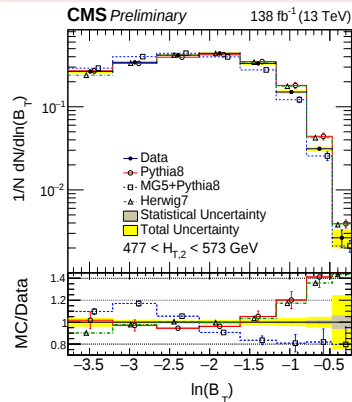
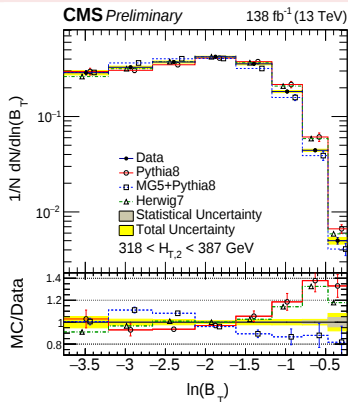
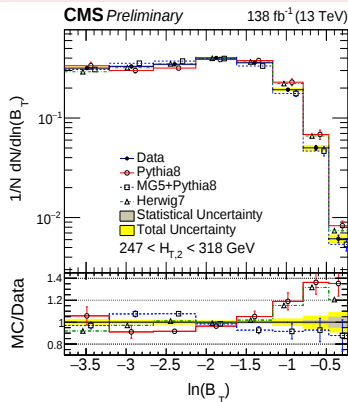
- **PYTHIA8** – Good agreement with data for both **spherical** and **back-to-back** jets regions
- **HERWIG7** – Overestimates the **multi-jet**, **spherical** events
- **MG5+PYTHIA8** – Good agreement for lower $H_{T,2}$, underestimates the **multi-jet**, **spherical** events, for higher $H_{T,2}$

Results : $Y_{2,3}$



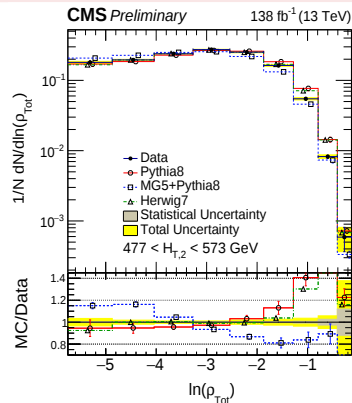
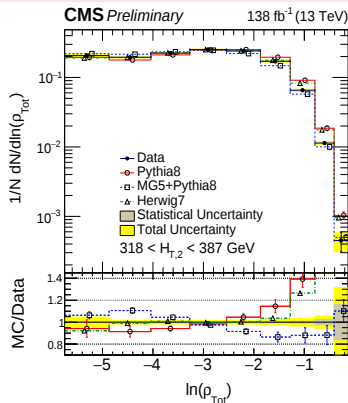
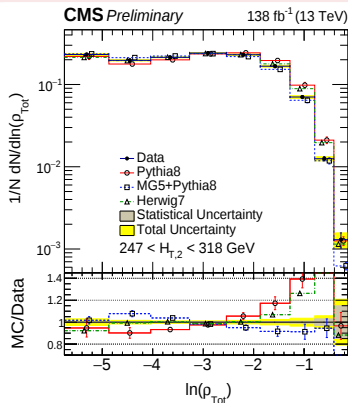
- **PYTHIA8** – Overestimates the multi-jet regions
- **HERWIG7** – Overestimates the multi-jet regions
- **MG5+PYTHIA8** – Smaller disagreement, reduces for higher $H_{T,2}$

Results : B_T



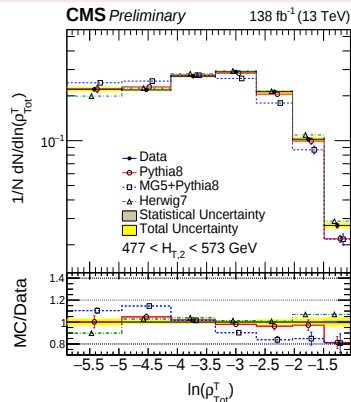
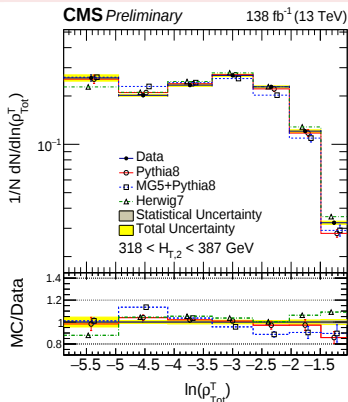
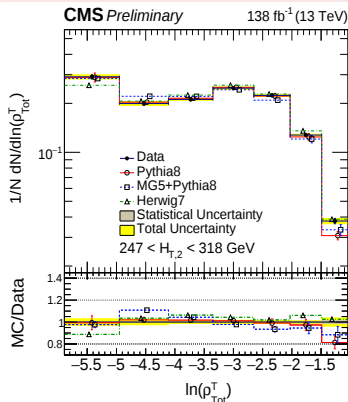
- **PYTHIA8** – Overestimates the multi-jet regions
- **HERWIG7** – Overestimates the multi-jet regions
- **MG5+PYTHIA8** – Good agreement for the low $H_{T,2}$, underestimates spherical events for higher $H_{T,2}$

Results : ρ_{Tot}



- **PYTHIA8** – Overestimates the spherical events
- **HERWIG7** – Overestimates the spherical events
- **MG5+PYTHIA8** – Underestimates back-to-back two-jet events for lower $H_{T,2}$ ranges but overestimates for higher $H_{T,2}$

Results : ρ_{Tot}^T



- **PYTHIA8** – Good agreement with data
- **HERWIG7** – Slightly overestimates the multi-jet region
- **MG5+PYTHIA8** – Underestimates spherical events, agreement deteriorates for higher $H_{T,2}$

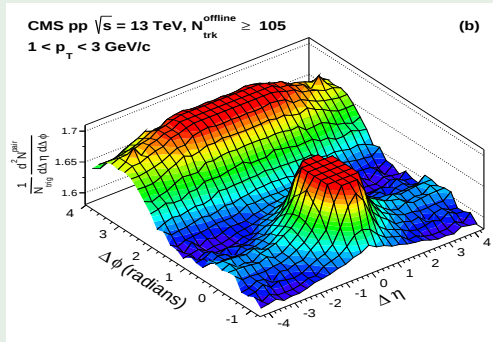
Summary : SMP-22-004

- **Unfolded results** are compared with MC predictions → **PYTHIA8**, **HERWIG7**, **MADGRAPH5_AMC@NLO**
- **Total uncertainty** → 5-10%
- Major source of uncertainty → **JES** and **MC Models**
- **PYTHIA8** : Overall good agreement with data – $\tau_{\perp}$ and ρ_{Tot}^T . Slightly overestimates the multijet region for Y_{23} , ρ_{Tot} and B_T
- **HERWIG7** : Overall good agreement with data for the all ESVs. Agreements are similar to **PYTHIA8**
- **MADGRAPH5_AMC@NLO** : Overall good Agreement with data, slightly better compared to **PYTHIA8** & **HERWIG7**. Disagreements observed for multijet events for Y_{23} , ρ_{Tot} and B_T opposite compared to **PYTHIA8** & **HERWIG7**
- **Unfolded results** can be used for **MC generator tuning**

Measurement of event shapes in minimum bias events from pp collisions at 13 TeV

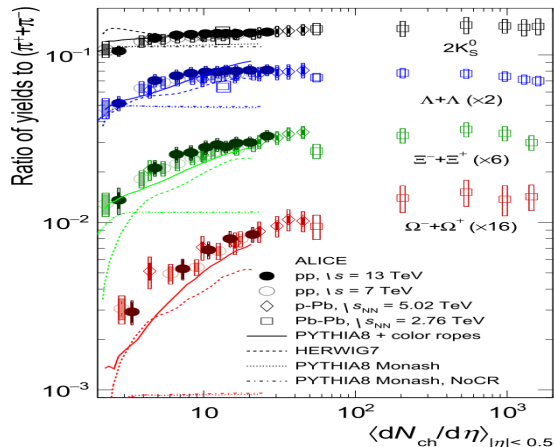
CMS-PAS SMP-23-008

Introduction & Motivation



Phys. Rev. Lett. 116 (2016) 172302

- Unexpected **particle production** across η , with $\Delta\phi \sim 0 \rightarrow$ in **high multiplicity jets**
- Mismodelling of **strangeness production** in pp collisions
- Increase in **strange particle** as a function of **particle multiplicity** $\rightarrow$ not predicted by MC



Eur. Phys. J. C 80, 693 (2020)

- Affects the **detector response** $\rightarrow$ effects **ESVs**
- Indicates mismodelling in **hadronization** & potential **quark-gluon** plasma effects

Introduction & Motivation

Event shapes as functions of charged particle momentum

- Event shape observables:
 - Variables describing the “**shape**” of the events
→ Functions of the **momentum of the final state particles**
- Eight ESVs are calculated – *Charged particle multiplicity (N)*, *Invariant mass of charged particles ($\sqrt{s}$)*, *Sphericity (S)*, *Transverse Sphericity (S_T)*, *Broadening (B)*, *Thrust (T)*, *Transverse Thrust (T_T)*, *Isotropy*
- Unfolded results are compared with **PYTHIA8**, **HERWIG7**, **EPOS-LHC** MC models

Data & Event Selection

- **Data** : **2018 low pileup run** $\rightarrow \mathcal{L} \sim 64 \mu\text{b}^{-1}$
- **Charged particles** $\rightarrow N_{trk} \geq 2$, $p_T > 0.5 \text{ GeV}$ & $|\eta| < 2.4$
- No clustering

ESV Definitions : S , S_T & B

- **Sphericity** ($S^{\alpha\beta}$) – measure of how isotropically the momenta are distributed

$$S^{\alpha\beta} = \frac{\sum_i p_i^\alpha p_i^\beta}{\sum_i |\vec{p}_i|^2}$$

Sphericity constructed from two smallest eigenvalues λ_2 & λ_3 ; $S = \frac{3}{2}(\lambda_2 + \lambda_3)$

- **Transverse Sphericity** (S_T) – Sphericity in the transverse plane

- $\alpha, \beta \in x, y, z \rightarrow$ cartesian coordinates

- **Broadening** (B) – measure of the fraction of energy perpendicular to the thrust axis –

$$B \equiv B_L + B_R, \text{ where}$$

$$B_L = \sum_{i \in L} \frac{|\vec{p}_i \times \vec{n}|}{\sum_i |\vec{p}_i|}, \quad B_R = \sum_{i \in R} \frac{|\vec{p}_i \times \vec{n}|}{\sum_i |\vec{p}_i|}$$

- Thrust axis defines left(L) and right(R) hemisphere of the event

ESV Definitions : T , T_T & Isotropy

- **Thrust (T)** – measure of how highly collimated the momenta in an event along one particular axis

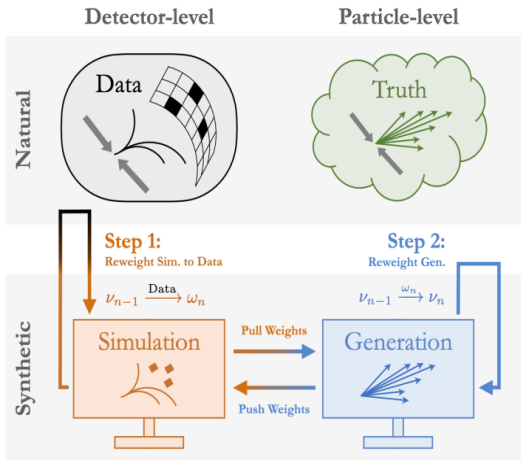
$$T = 1 - \max_{\hat{n}} \left(\frac{\sum_i |\vec{p}_i \cdot \hat{n}|}{\sum_i |\vec{p}_i|} \right)$$

- **Transverse Thrust (T_T)** – Thrust in the transverse plane

- $\vec{p}_i \rightarrow$ momentum of the i^{th} final state object
- $\hat{n} \rightarrow$ thrust direction

- **Isotropy** – Measure of the how isotropically energy is distributed in an event

ML-based Unfolding

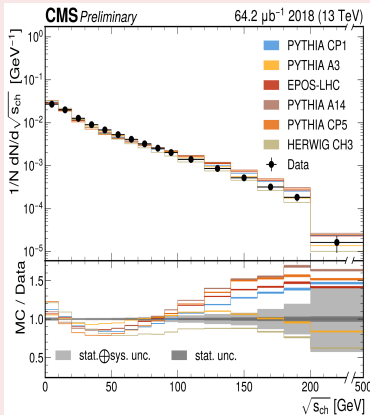
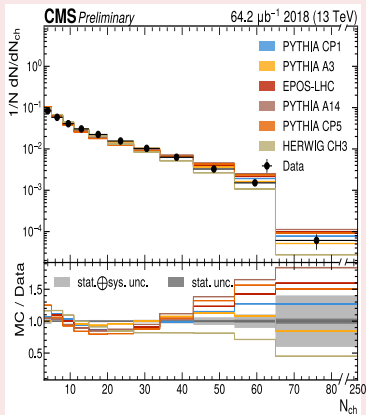


arXiv:1911.09107

arXiv:2105.04448

- **Unfolding** → **Machine Learning** based
- **Multi fold:**
 - **Input** → values of 8 observables for every event in simulation and data
 - **Output** → reweighted simulated events approximating data
 - Results are unbinned weighted events
- **Two steps of unbinned reweighting:**
 - Weight MC → data at detector level
 - Weight original MC → reweighted MC at generator level
 - Extra 2 steps added → deal with the selection efficiency and signal acceptance → Repeat in iterations

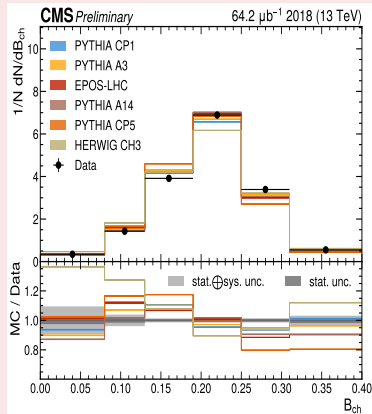
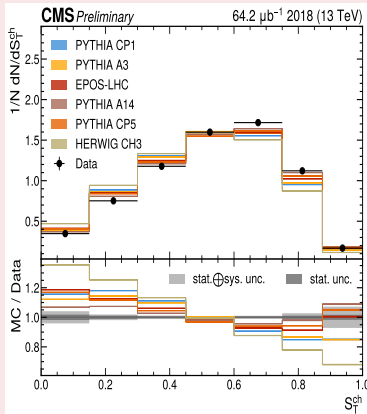
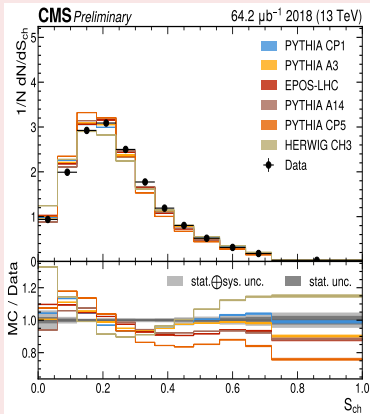
Results : N_{ch} & $\sqrt{s_{ch}}$



Uncertainty source	Relative uncertainty
Bias from regularization	1.2%
Mismodelling of the migration	1.6%
Track reconstruction efficiency	1.7%
MC statistics	0.26%
Data statistics	0.26%

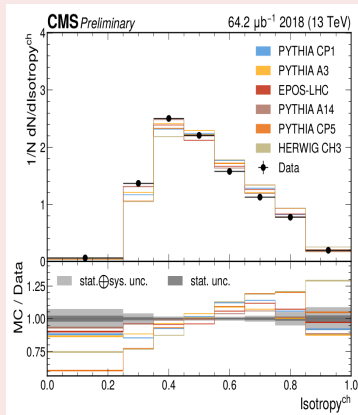
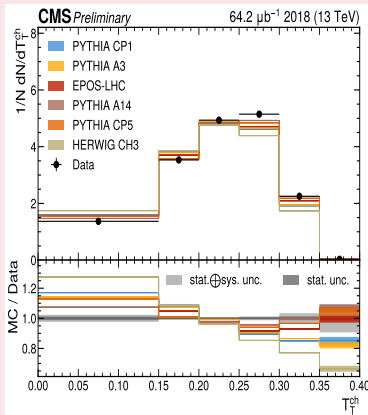
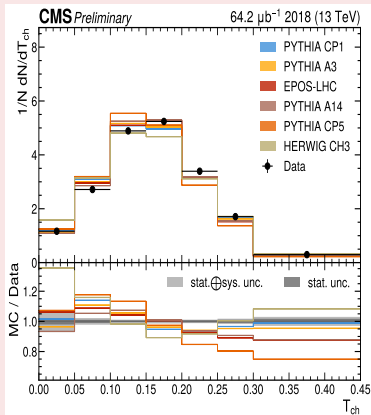
- Unfolded N_{ch} & $\sqrt{s_{ch}}$ are compared with different MC predictions
- Data is **more accumulated** in the **middle N_{ch} region** (~ 20)

Results : S_{ch} , S_T^{ch} & B_{ch}



- Unfolded S_{ch} , S_T^{ch} & B_{ch} are compared with different MC predictions
- Mismodelling observed for all ESVs

Results : T_{ch} , T_T^{ch} & $Isotropy^{ch}$



- Data-MC **discrepancy is largest** in the **middle N_{ch}** region
- For $N_{ch} \lesssim 10$ and **low** $\sqrt{S_{ch}} \rightarrow$ all generators **overestimate** the fraction of events
- For **high N_{ch}** and **high** $\sqrt{S_{ch}} \rightarrow$ predictions of the MC generators **diverge**

- **Minimum bias** collisions may be the home of **interesting physics at the LHC**
- **Eight different ESVs** are **unfolded** and compared with **MC predictions**
- **Mismodelling** is observed for **all ESVs**
- **Unfolded results** can be used for **further investigations**

Conclusions

- **CMS** provided many measurements to **understand QCD** using **Run-2 data** – **event and jet shapes**, **charged particle production**, ...
- The measurements focus on different aspects of particle production in **hadron (and nuclear) collisions**
- Presented two recent **event shape measurements** –
 - *Measurement of event shape variables using charged particles inside jets in proton-proton collisions at $\sqrt{s} = 13$ TeV*
 - *Measurement of event shapes in minimum bias events from pp collisions at 13 TeV*
- **Unfolded results** can be used for further **theoretical predictions & generator tuning**
- Study with **Run-3 data** in its way
- Many more exciting results are **coming soon**

