

EPS-HEP 2025 Conference

6 -11 July 2025, Palais du Pharo, Marseille



Observation of the decay $K^+ \rightarrow \pi^+ v \bar{v}$ and measurement of its branching ratio by the NA62 experiment at CERN

Angela Romano, University of Birmingham

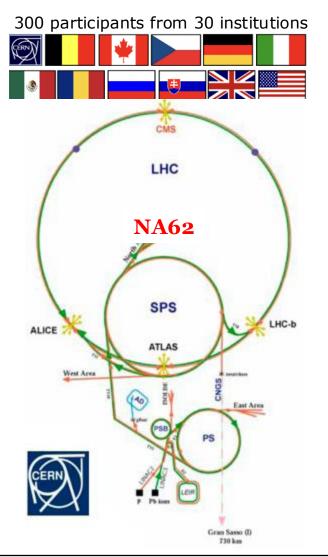
on behalf of the NA62 Collaboration







High precision fixed-target Kaon experiment at CERN SPS



NA62 Beam line & detectors



NA62 primary goal: Measure BR($K^+ \rightarrow \pi^+ \nu \overline{\nu}$)

Novel K⁺ decay-in-flight technique

Run1 results: [PLB791(2019)156, JHEP11(2020)042, JHEP06(2021)093]

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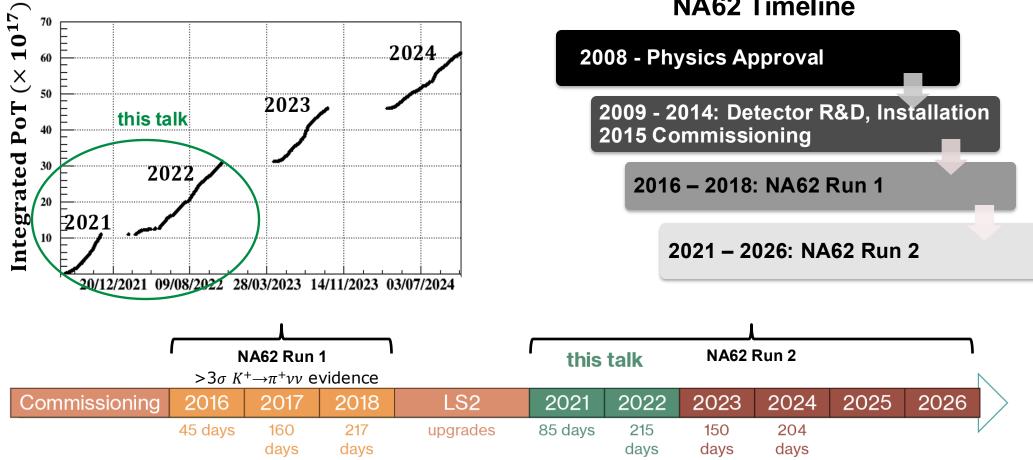


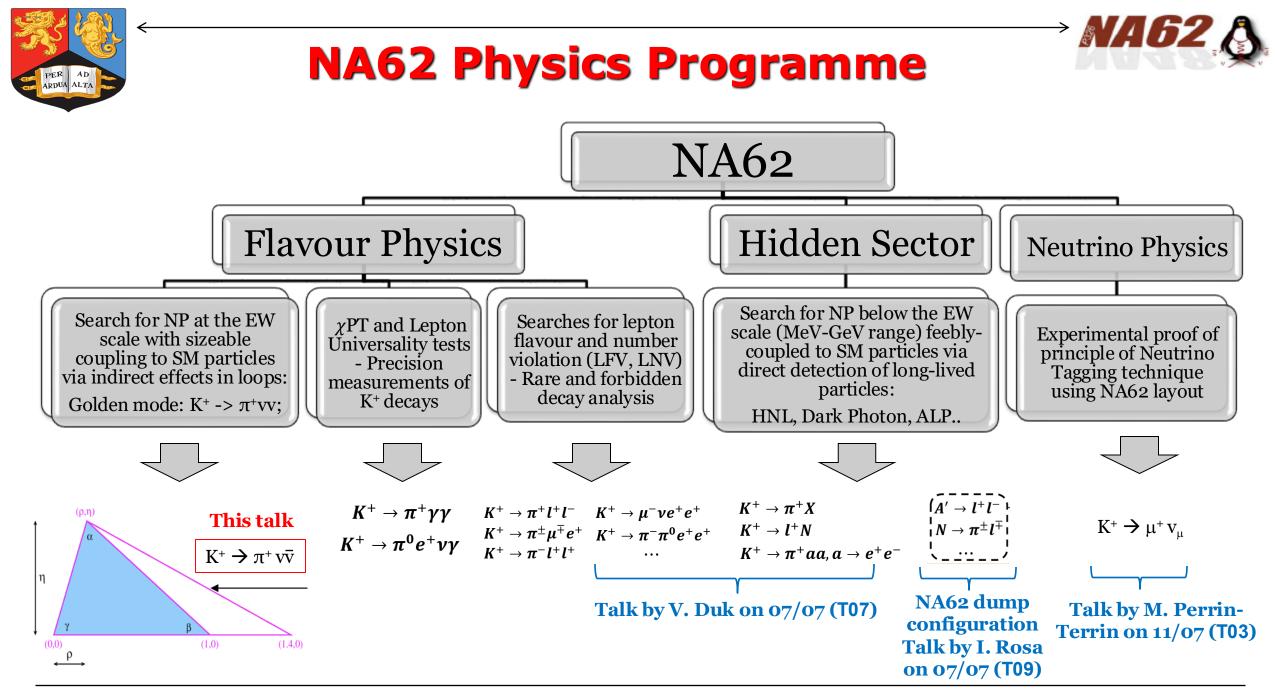


NA62 Run 1 (2016-2018): > 22 x 10¹⁷ protons on target (PoT) delivered \succ 0.9 x 10⁶ spills collected

NA62 Run 2 (2021-present): $\succ \sim 1.5 \times 10^6$ spills collected





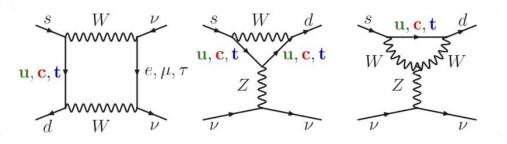


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$K{\rightarrow}\pi\nu\overline{\nu}$ in the Standard Model

Box & Penguin (one-loop) diagrams



Theoretically very clean:

- ✓ dominant short-distance contribution
- ✓ hadronic matrix element extracted from precisely measured BR(K⁺ → $\pi^0 e^+ v_e$)

•	SM predictions: differences in calculation from choices of CKM parameters, theory uncertainty few %				
		[Buras et al. EPJC 82 (2022) 7, 615]	[D'Ambrosio et al. JHEP 09 (2022) 148]		
	$K^+ \to \pi^+ \nu \bar{\nu}$	$(8.60 \pm 0.42) \times 10^{-11}$	$(7.86 \pm 0.61) \times 10^{-11}$		
	$K_L \to \pi^0 \nu \bar{\nu}$	$(2.94 \pm 0.15) \times 10^{-11}$	$(2.68 \pm 0.30) \times 10^{-11}$		
•	Experimental status:				

$$\begin{split} & K^+ \to \pi^+ \nu \bar{\nu} \quad \text{NA62:} \quad \left(10.6^{+4.1}_{-3.5} \right) \times 10^{-11} \text{ [16-18 data JHEP 06 (2021) 093];} \quad \text{THIS TALK [21-22 data+16-18]} \\ & K_L \to \pi^0 \nu \bar{\nu} \quad \text{KOTO:} \quad < 2.2 \times 10^{-9} \text{ [21 data PRL 134, 081802]} \end{split}$$

- ✓ FCNC process forbidden at tree level
- ✓ Highly CKM suppressed (BR ~ $|V_{ts}*V_{td}|^2$)
- ✓ Extraction of V_{td} with minimal (few %) non-parametric uncertainty

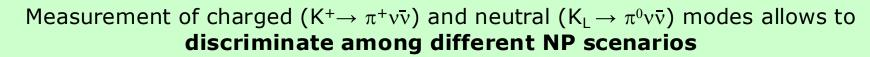


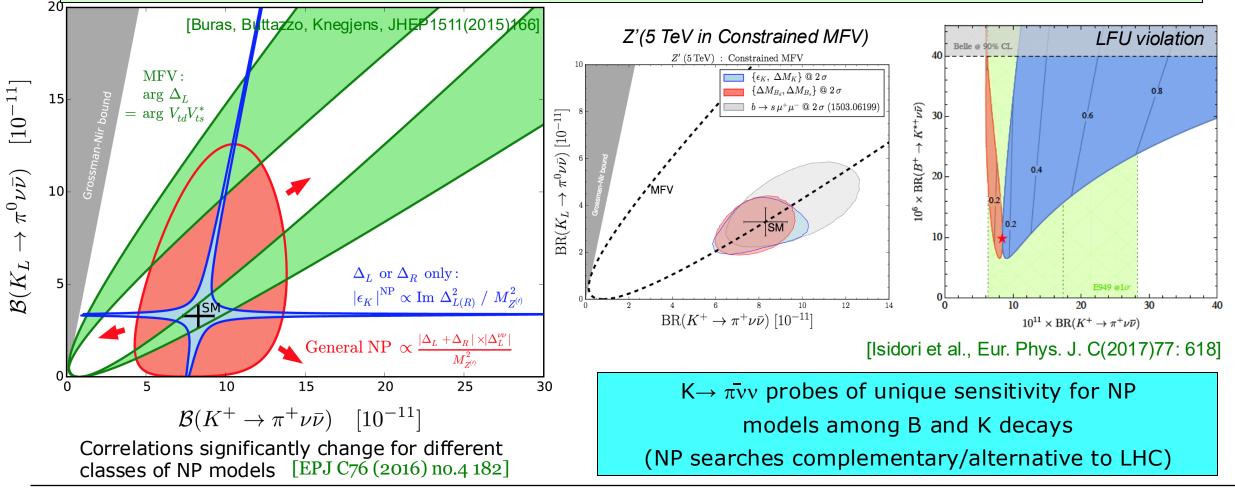




$K \rightarrow \pi v \overline{v}$ and New Physics

Indirect searches of NP with high precision studies of rare K decays





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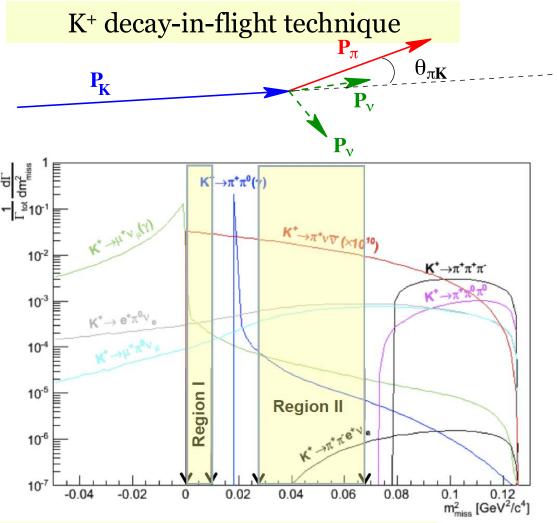
 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ signature: Kaon track + Pion track + nothing else

 $m_{miss}^2 \approx m_K^2 \left(1 - \frac{|p_\pi|}{|p_K|} \right) + m_\pi^2 \left(1 - \frac{|p_K|}{|p_\pi|} \right) - |p_K| |p_\pi| \theta_{\pi K}^2$

Main kaon decay backgrounds

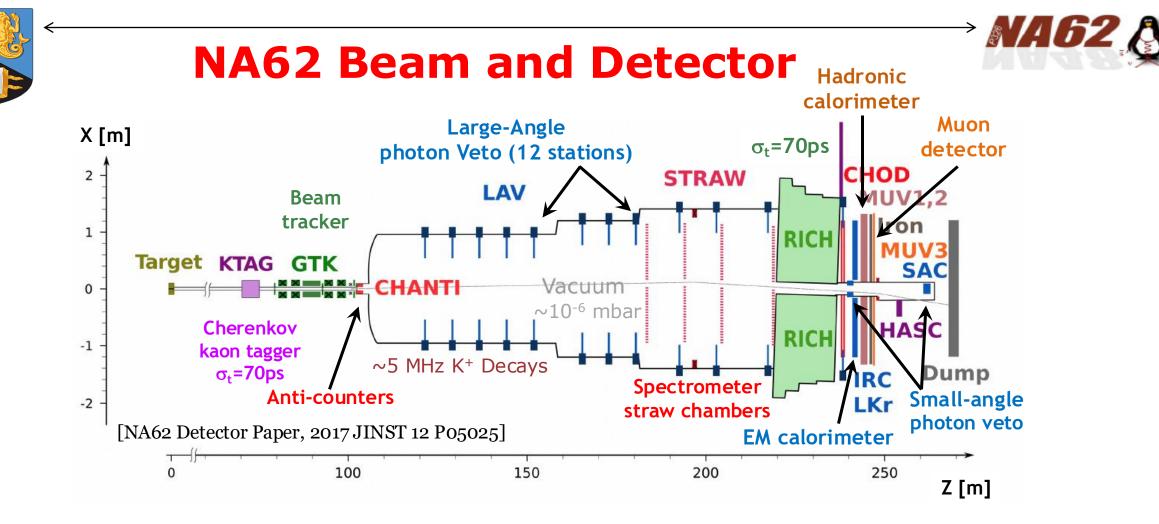
Process	Branching ratio
$K^+ \rightarrow \mu^+ \nu_{\mu}(\gamma)$	63.6%
$K^+ \rightarrow \pi^+ \pi^0(\gamma)$	20.7%
$K^+ \longrightarrow \pi^+ \pi^+ \pi^-$	5.6%
$K^+ \rightarrow \pi^+ \pi^- e^+ \nu_e$	4.2×10^{-5}

Sign & Bkg control regions kept blind throughout the analysis



Background rejection relies on **Kinematics** (15GeV/c < P_p < 35GeV/c ; m_{miss}^2) used in conjunction with **Particle ID**, **Veto systems** and **sub-ns timing**

NAGZ 🖉



- > SPS protons on Be target (PoT): 400 GeV/c, ~10¹² PoT/sec , ~3 sec/spill
- > Un-separated hadron beam: $\pi^+(70\%)/K^+(6\%)/p(24\%)$
- ≻ K⁺: 75GeV/c (±1%), beam spread < 100µrad, (60 x 30) mm² transverse size
- > 600MHz beam rate @GTK (~5MHz K⁺ decays in 60 m fiducial volume)



Measurement Strategy

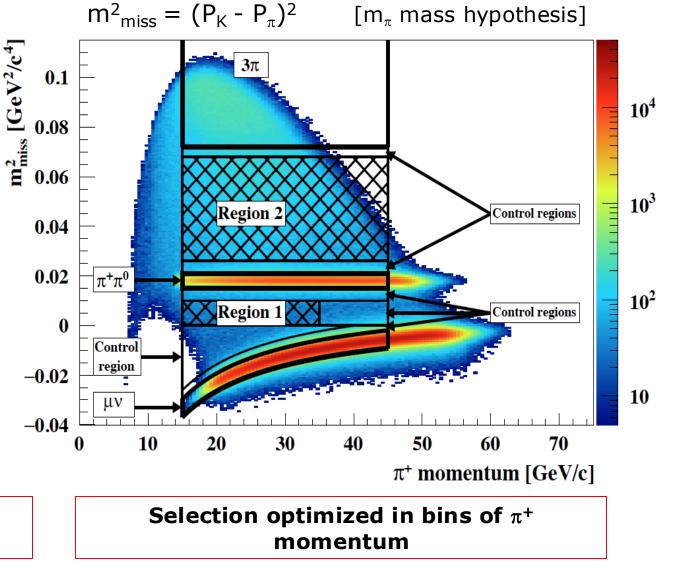
NA62 Performance keystones:

- O(100ps) Timing
- \geq 10³ Kinematic bkg rejection
- ≥ 10⁸ Muon suppression(from K⁺→ μ⁺ν)
- > $\geq 10^8 \pi^0 \rightarrow \gamma \gamma$ suppression (from K⁺ $\rightarrow \pi^+ \pi^0$)

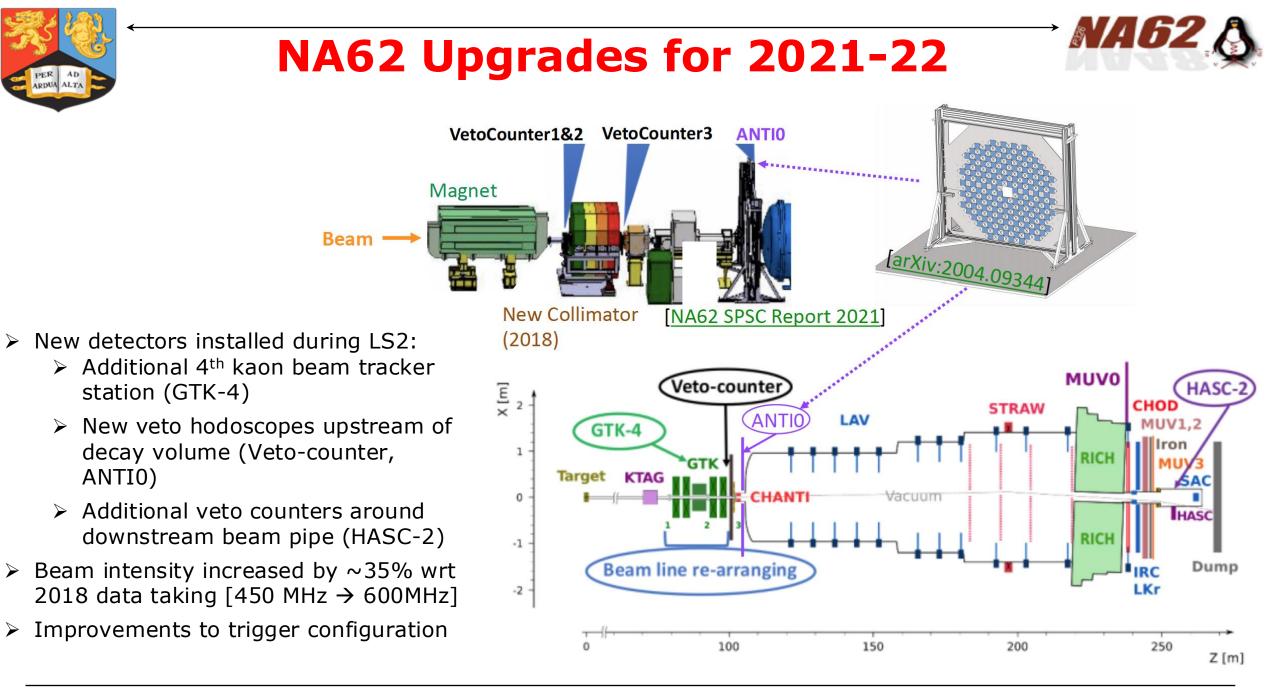
Signal selection:

- \succ K⁺ decays with 1 track in final state
- Definition of Region 1, Region 2
- PID, photon and multi-track rejections

Signal and Control kinematic regions blinded during the analysis



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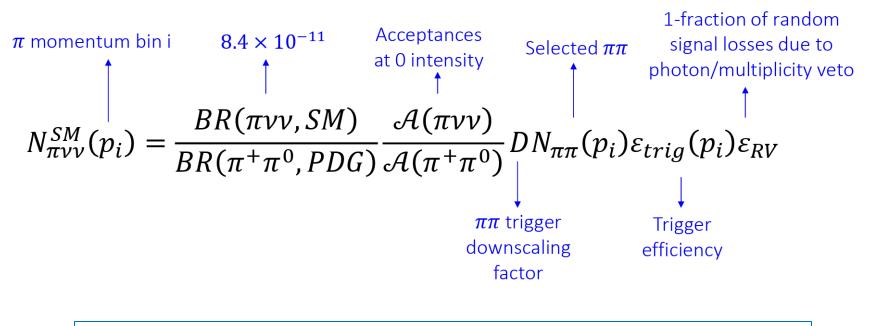


Single Event Sensitivity

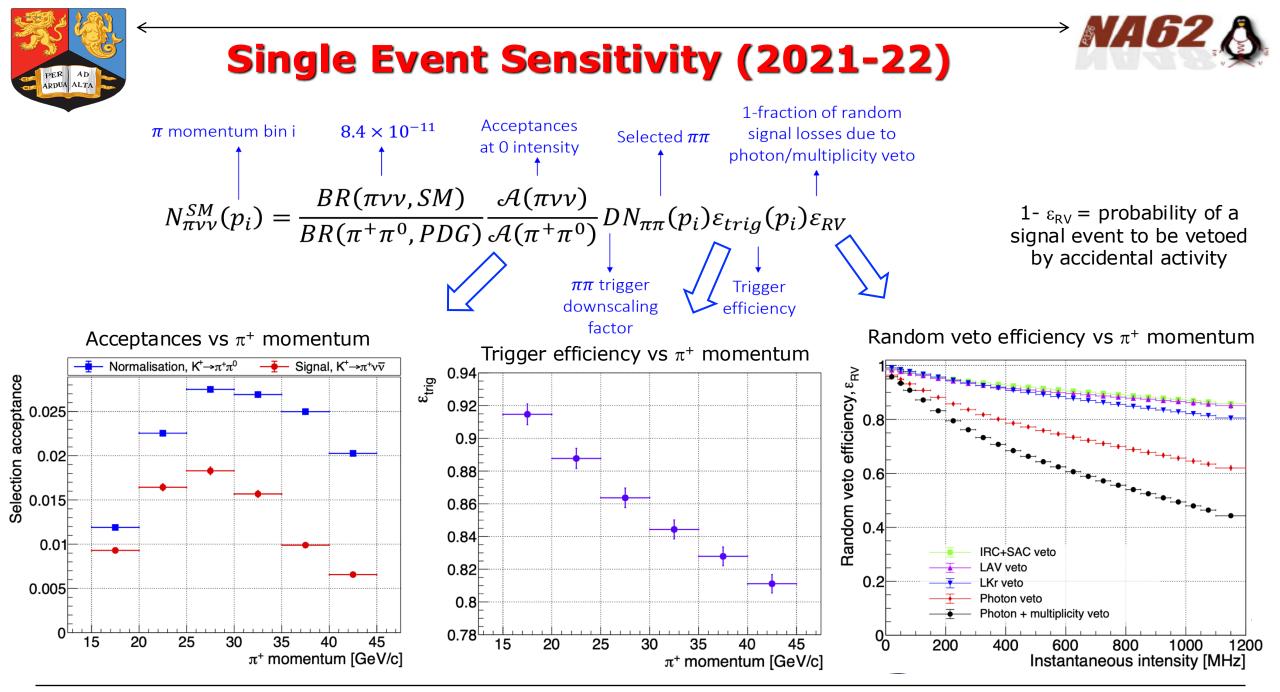


Normalisation channel: $K^+ \rightarrow \pi^+ \pi^0$

- > Online trigger and offline selection in common for signal and normalization
- > Different kinematic selection and rejection of activity additional to π^+ for signal



Single Event Sensitivity: BR($K^+ \rightarrow \pi^+ \nu \bar{\nu}$) for which the total number of expected events is 1



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$N_{\pi\pi}^{\text{eff}}$	Effective number of normalisation events	$(1.953 \pm 0.005) \times 10^8$		
$A_{\pi\pi}$	Normalisation acceptance $N_{\pi\pi}D_0$	$(13.410 \pm 0.005)\%$	\rightarrow +15% wrt Run1 (2016-18)	
N_K	Normalisation acceptance Effective number of K^+ decays $N_K = \frac{N_{\pi\pi}D_0}{\mathcal{B}_{\pi\pi}A_{\pi\pi}}$	$(2.85 \pm 0.01) \times 10^{12}$		
$A_{\pi u ar{ u}}$	Signal acceptance	$(7.62 \pm 0.22)\%$	→ +20% wrt Run1 (2016-18)	
$\varepsilon_{\mathrm{trig}}$	Trigger efficiency ratio	$(85.9 \pm 1.4)\%$	\rightarrow x3 better precision wrt Run1	
$\varepsilon_{\rm RV}$	Random veto efficiency	$(63.2 \pm 0.6)\%$	\rightarrow comparable value to Run1	
$\mathcal{B}_{\mathrm{SES}}$	Single event sensitivity	$(8.48 \pm 0.29) \times 10^{-12}$	integrated in pion momentum 15-45 GeV/c, 2021+22 data	
$N_{\pi u \overline{ u}}^{\mathrm{SM}}$	Number of expected SM $K^+ \to \pi^+ \nu \bar{\nu}$ events	9.91 ± 0.34	15-45 GeV/c, 2021+22 data	

Improvements wrt Run1 (2016-18) analysis:

- retuned selection and reconstruction
- new trigger configuration (common conditions lead to cancellation of systematics)
- ⇒ signal yield per SPS spill increased by 50% ⇒ ×2 better SES relative uncertainty
- $N_{\pi\nu\overline{\nu}}^{SM}$ per SPS burst: 2.5×10^{-5} in 2022

• c.f. 1.7×10^{-5} in 2018

$$\mathcal{B}_{SES} = \frac{1}{N_K \varepsilon_{RV} \varepsilon_{trig} A_{\pi \nu \overline{\nu}}}$$

 $N_{\pi\nu\overline{\nu}}^{exp} = \frac{\mathcal{B}_{\pi\nu\overline{\nu}}^{SM}}{\mathcal{B}_{SES}}$

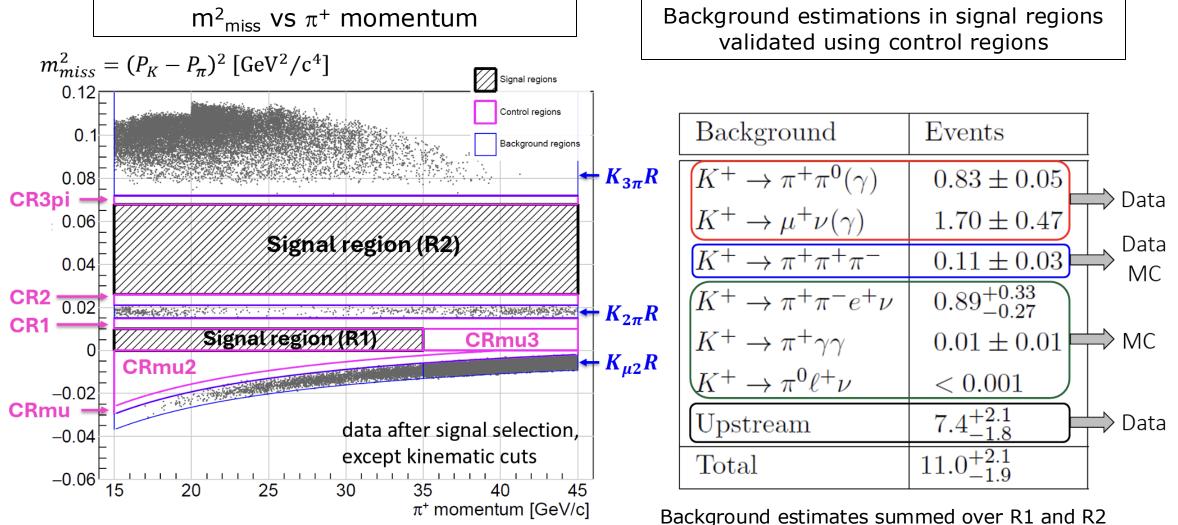
NAGZ I

Assuming $\mathcal{B}_{\pi\nu\overline{\nu}}^{SM} = 8.4 \times 10^{-11}$: 2021-22: $N_{\pi\nu\overline{\nu}} = 9.91 \pm 0.34$ c.f. 2016–18 : $N_{\pi\nu\overline{\nu}} = 10.01 \pm 0.42$

Double expected signal by including 2021-22 data



Background to K⁺ $\rightarrow \pi^+ \nu \bar{\nu}$



2021-2022 Data: Background regions, Control regions, Signal regions

Signal Regions are blinded!

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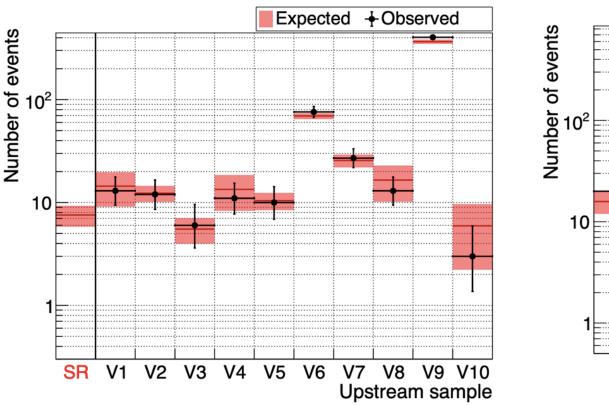


Background Validation



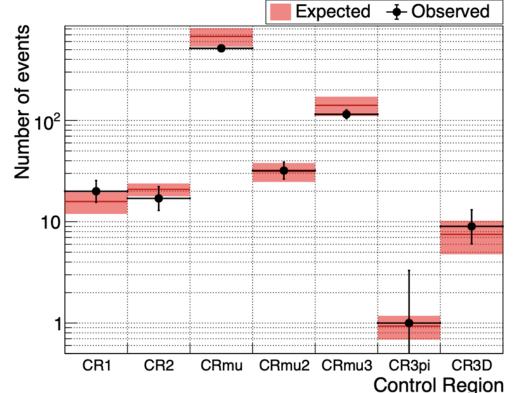
Upstream background validation

Sample accidental-enriched: "V3,4,5,6,9,10" Sample interaction-enriched: "V1,2,7,8" Signal region, masked: first bin "SR"



"K-decay" background validation

CR1, CR2: $K^+ \rightarrow \pi^+ \pi^0(\gamma)$ CRmu, CRmu2, CRmu3: $K^+ \rightarrow \mu^+ \nu(\gamma)$ CR3pi: $K^+ \rightarrow \pi^+ \pi^-$ CR3D: orthogonal to π^+ momentum, m^2_{miss} plane



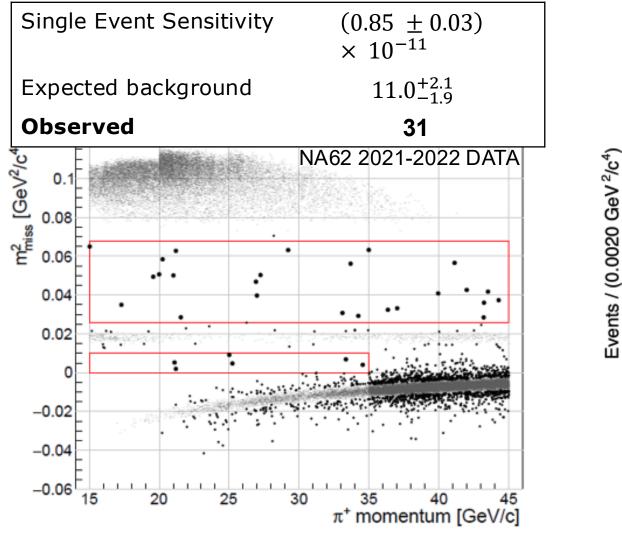
Upstream Validation: 10 independent samples enriched with different mechanisms

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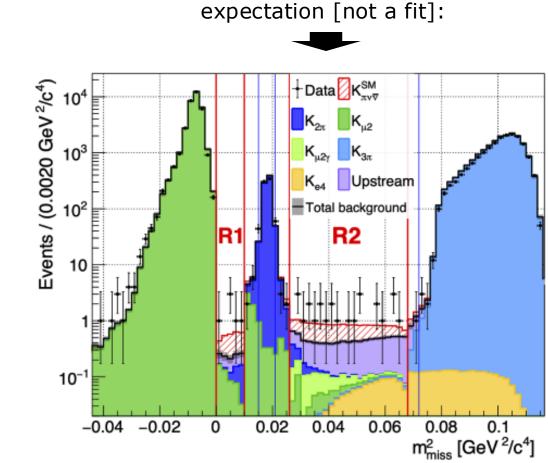
Expected SM signal: $N_{\pi\nu\bar{\nu}}^{SM} \approx 10$



[JHEP02 (2025) 191]

1D projection with differential

background predictions & SM signal



* **NA62** 🐴

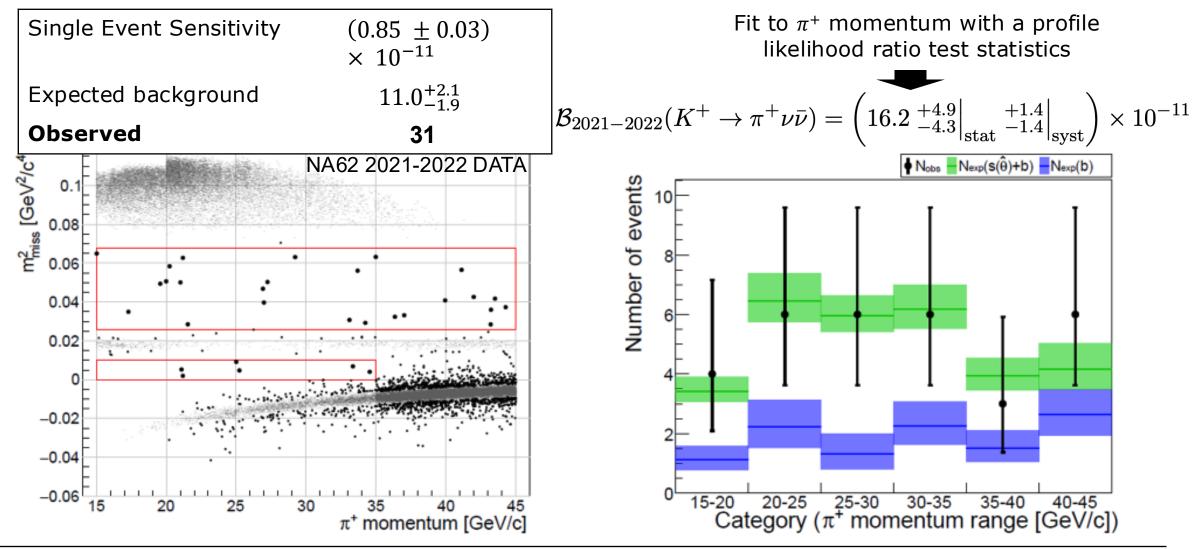






Expected SM signal: $N_{\pi\nu\bar{\nu}}^{SM} \approx 10$

[JHEP02 (2025) 191]

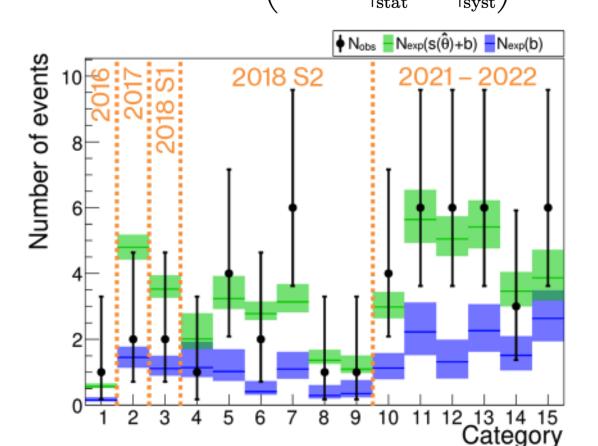




* NA62 A $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ Results: combined 2016-2022 Expected SM signal: $N_{\pi\nu\bar{\nu}}^{SM} \approx 20$ Background-only hypothesis p-value = 2×10^{-7} \Rightarrow significance Z > 5Expected background: $N_{ba} = 18^{+3}_{-2}$

 $\mathcal{B}_{2016-2022}(K^+ \to \pi^+ \nu \bar{\nu}) = \left(13.0 \left. \begin{array}{c} +3.0 \\ -2.7 \end{array} \right|_{\text{stat}} \left. \begin{array}{c} +1.3 \\ -1.3 \end{array} \right|_{\text{syst}} \right) \times 10^{-11}$ Observed: $N_{obs} = 51$ Combination 2016-18 + 2021-22 data $10_{\rm F}$ **3**σ Test statistic, $q(\theta) = -2\ln(L(\theta, \hat{v})/L(\hat{\theta}, \hat{v}))$ Number of events 9 8 7 6 SM [JHEP 09 (2022) 148] SM [EPJC 82 (2022) 615] NA62: 2016-2022 5 **2**σ **1**σ 10 15 20 25 30 35

 $B(K^+ \rightarrow \pi^+ \nu \overline{\nu}) \times 10^{11}$



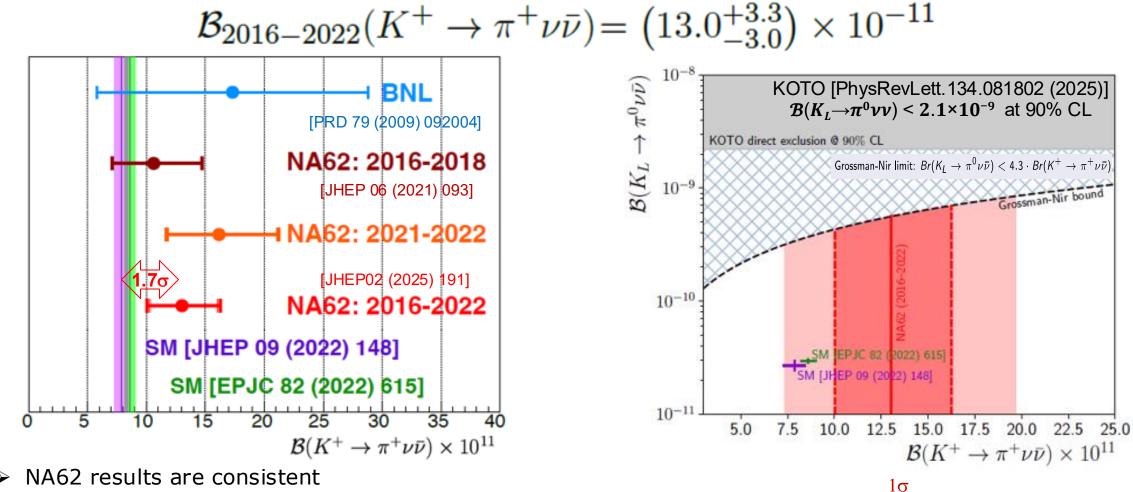
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First observation of $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ at 5 σ [JHEP02 (2025) 191]





Result in Global Perspective



- > Central value moved up (now 1.5-1.7 σ above SM)
- > Fractional uncertainty decreased: $40\% \rightarrow 25\%$
- > Background-only hypothesis rejected with significance Z > 5

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 2σ



Conclusions

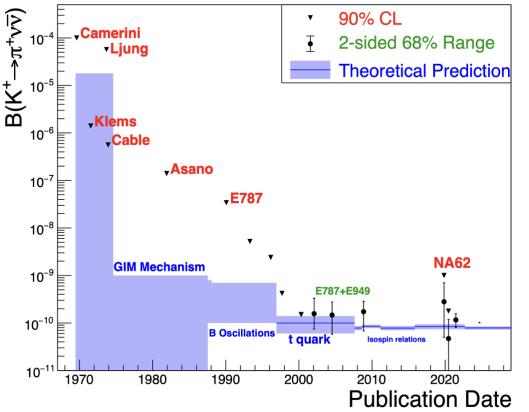


NA62 result on $K^+ \rightarrow \pi^+ \nu \nu$ decay using 2021-22 dataset, combined with 2016-18

First observation of $K^+ \rightarrow \pi^+ \nu \nu$ decay with 5σ rejection of background-only hypothesis

$BR_{2016-22} = 13.0^{+3.3}_{-3.0} \times 10^{-11}$ [JHEP 02 (2025) 191]

BR consistent with SM prediction within 1.7σ



- > The long quest for $K^+ \rightarrow \pi^+ \nu \nu$ has reached a very important step: the observation of the decay!
- The rarest particle decay ever observed at
 5 sigma level
- NA62 2023-24 dataset of comparable size to 2016-22 one
- Analysis is ongoing
- NA62 will take data until LS3 (summer 2026)
- Stay tuned!



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SPARES

Angela Romano, University of Birmingham on behalf of the NA62 Collaboration



WIP: 2023-24 $K^+ \rightarrow \pi^+ \nu \nu$ **Data Analysis**

- 2024 data-taking conditions lead to a slightly higher signal yield per spill:
 - lower signal loss due to random activity in veto detectors that compensates the lower number of normalization events
- increase of the overall expected signal yield, given the smoother and therefore more efficient collection of SPS spills

[2025 NA62 SPSC Report]

Dataset	2022	2023	2024
Number of spills $[10^3]$	326	363	519
$\langle \text{Beam intensity} > [\text{GHz}] \rangle$	0.57	0.48	0.41
$< N_{\pi\pi}/\text{spill} > [10^2]$ ogres	4.9	4.7	4.4
< Beam Intensity > [GH2] $< N_{\pi\pi}/\text{spill} > [10^2]$ $N_K [10^{12}]$ ε_{RV} $N_{\pi\nu\nu}$ NA62	2.3	2.5	3.3
$\varepsilon_{\rm RV}$ $\varepsilon_{\rm RV}$	0.63	0.68	0.73
	8	9	13
$N_{\pi\nu\nu}$ /spill [10 ⁻⁵]	2.5	2.5	2.6
$B_{\rm total}/N_{\pi\nu\nu}$	1.1	1.1	1.0

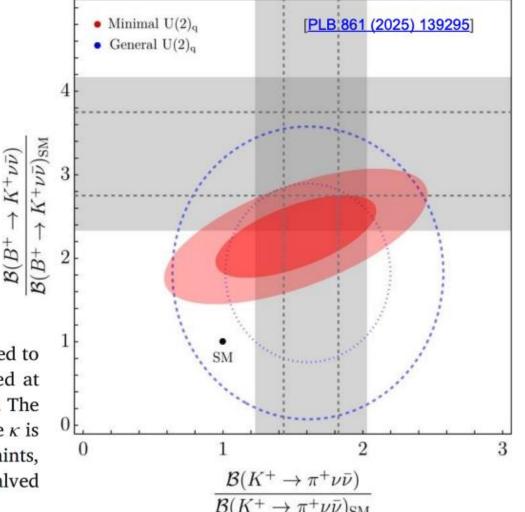
The addition of the 2023-2024 dataset is expected at least to double the signal yield of the already published 2016-2022 dataset, with the same level of relative background

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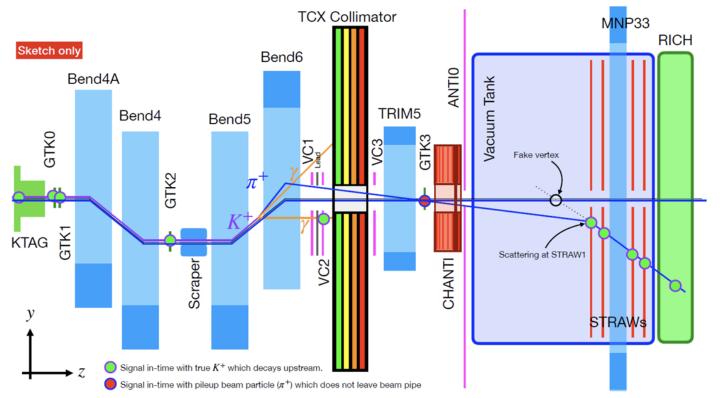
Correlations with other meson decays

- New study of $K^+ \rightarrow \pi^+ \nu \overline{\nu}$ decay using NA62 2021–22 dataset, combined with 2016–18: [JHEP 02 (2025) 191]
- $\mathcal{B}_{16-22}(K^+ \to \pi^+ \nu \overline{\nu}) = (13.0^{+3.3}_{-3.0}) \times 10^{-11}$
- BR consistent with SM prediction within 1.7 σ
- Need full NA62 data-set to clarify SM agreement or tension, considering also correlations with other meson decay channels

Fig. 6. Correlation between $\mathcal{B}(B^+ \to K^+ \nu \bar{\nu})$ and $\mathcal{B}(K^+ \to \pi^+ \nu \bar{\nu})$, normalized to their SM predictions. The red areas denote the parameter regions favored at 1σ and 2σ from a global fit in the limit of minimal $U(2)_q$ breaking ($\kappa = 1$). The dashed and dotted blue curves are 1σ and 2σ regions from a global fit where κ is a free parameter. The gray bands indicate the current experimental constraints, while the dashed gray lines highlight near-future projections assuming halved experimental uncertainties.







 $N_{bg} = f_{cda} \sum_{i} N_i \mathcal{P}_i^{match}$

i	Bin in ($\Delta t, N_{GTK}$) plane
Ν	Upstream sample (inverted CDA cut)
f _{cda}	Scaling factor "bad-to-good" cda
\mathcal{P}^{match}	$K^+-\pi^+$ mismatching probability

 $N_{bg}(Upstream) = 7.4^{+2.1}_{-1.8}$

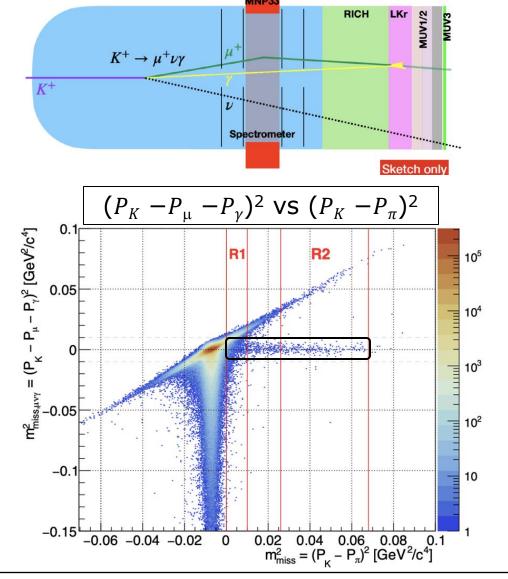
- Suppression: Δt (K⁺, π^+), upstream vetoes (VC, CHANTI, ANTIO), BDT using spatial infos of K⁺, π^+
- Estimation: Fully data-driven, "Upstream Reference Sample" contains all known generation mechanisms, bkg-to-signal probability estimated with data driven technique
- Validation: 10 independent samples enriched with different mechanisms

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Data Driven estimation



Not included in $K^+ \rightarrow \mu^+ \nu$ tail if γ overlaps with μ at LKr $(\mu^+ \gamma \text{ cluster mis-ID as } \pi^+)$

- Suppression: specific cuts on $(P_K P_\mu P_\gamma)^2$ and E_γ
- Estimation: control sample of events with signal in MUV3
- Validation: control sample with PID between μ and π

High momentum background (>35 GeV/c), relevant in 21-22 data (Calorimetric PID degraded at higher intensities)

Expected: $N_{bg}(K^+ \rightarrow \mu^+ \nu \gamma) = 0.82 \pm 0.43$

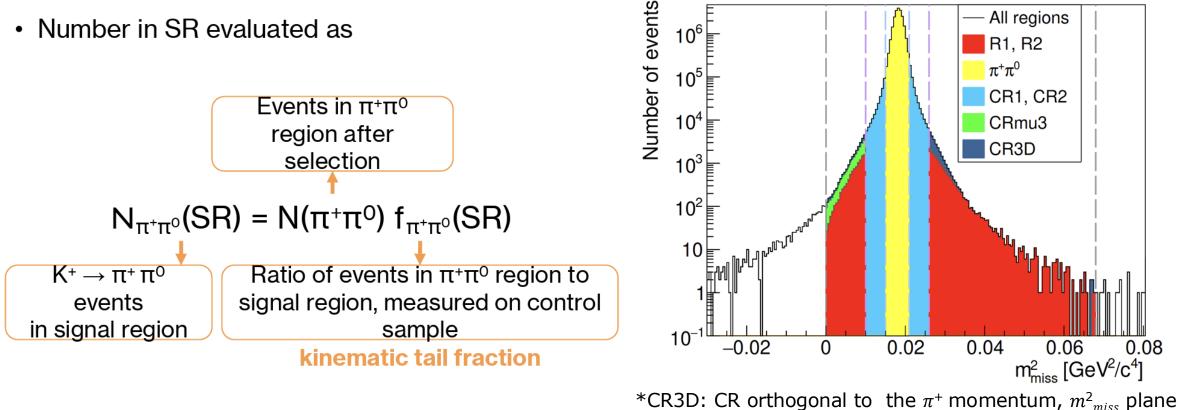
- Before $K^+ \rightarrow \mu^+ \nu \gamma$ veto: found excess of events at $p > 35 \, GeV/c$ in Region 2 relative to 2016–18 data.
- Additional background identified and studied in data control samples & MC.
- $K^+ \rightarrow \mu^+ \nu \gamma$ veto added to selection criteria for final analysis.





Data Driven estimation

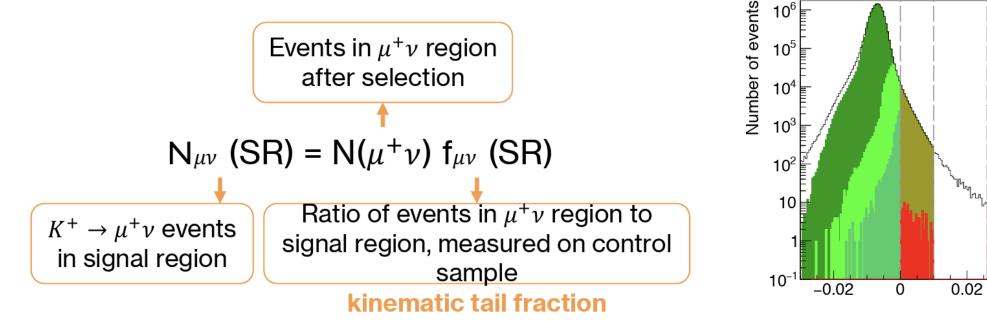
- Background suppression based on kinematics and photon vetoes
- Fraction of kinematic tails in SR region estimated on data on a sample selected tagging positively the π^0 via photons detected in the calorimeter

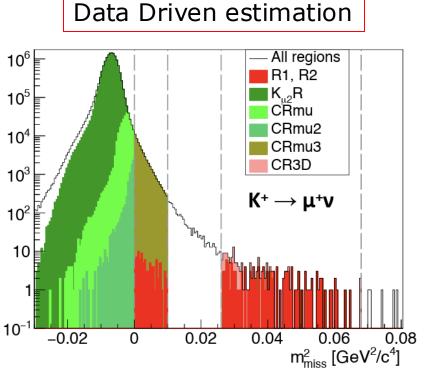


MADZ

Background from K⁺→µ⁺v

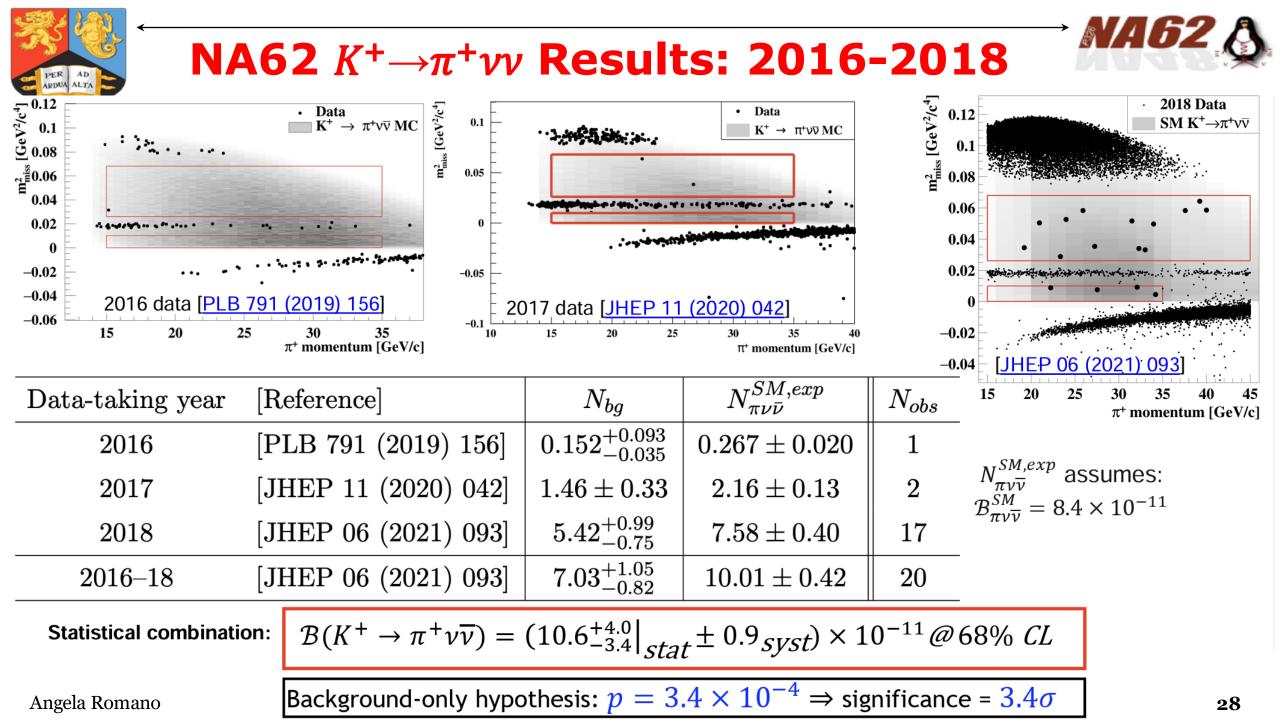
- Background suppression based on kinematics and pion identification (RICH & Calo)
- Fraction of kinematic tails in SR region estimated on data on a sample selected tagging positively muons via Calorimetric ID.
- These muons must fake a pion: RICH π^+ selection applied to CS
- Number in SR evaluated as







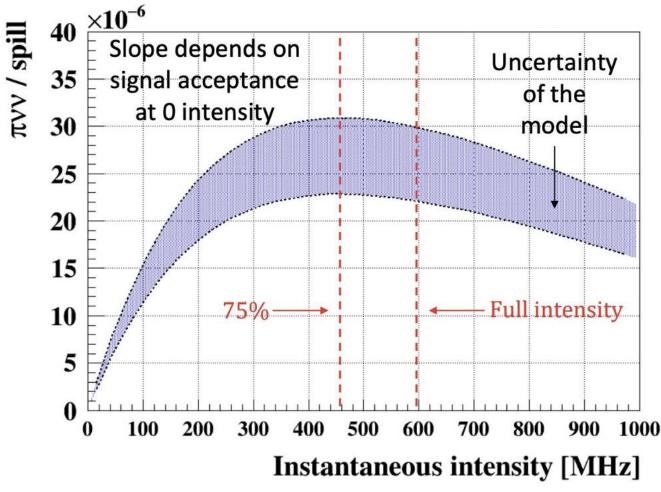






Optimum NA62 Intensity

Selected signal yield vs intensity



- Saturation of expected signal yield with intensity. Mainly due to:
 - Paralyzable effects from TDAQ dead time and trigger veto windows.

NA DZ

- Offline selection, due to veto conditions.
- Main sources of uncertainty for model:
 - Online time-dependent mis-calibrations.
 - Fit uncertainty.
- From August 2023 operate at optimal intensity (~75% of full) to maximise πννsensitivity
 - Maximise signal yield
 - lower expected background
 - Higher DAQ efficiency

Studies of **2021—22 data** at high intensity **were crucial** to establish optimal intensity



NA62 Run 2 Data Taking



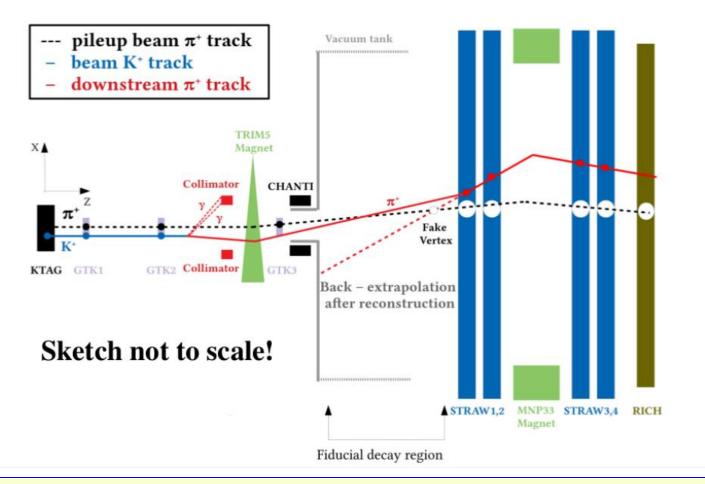


New detectors installed for NA62 Run2 (2021 – 2026)

- > The kaon decay-in-flight technique is firmly established
- Improved trigger: beam intensity increased by ~35% wrt Run 1
- \succ $K^+ \square \square^+ / / Measurement in a low-background, high-acceptance regime$
- Analysis of 2021-2022 combined datasets presented here

Expect to measure BR($K^+ \Box \Box^+$) at O(15%) precision by LS3





Kaon decays upstream the FV

 \rightarrow only π^+ enters FV and scatters in first STRAW chamber

In-time pileup beam particle (in GTK) generates a fake decay vertex inside the FV

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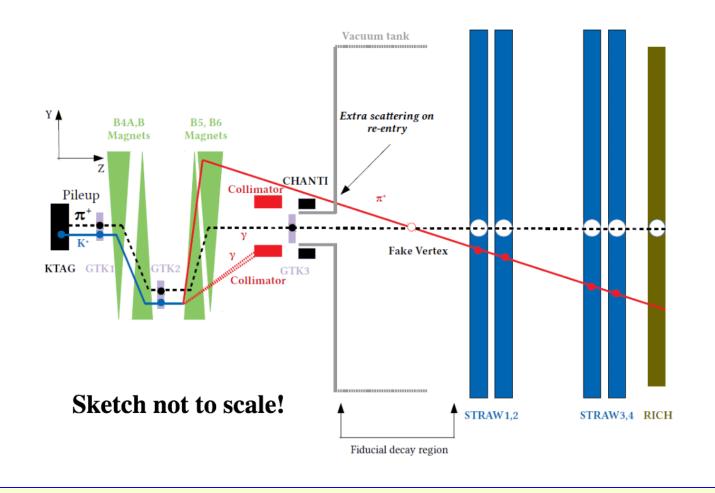




Replacement of the final collimator against Upstream events (June 2018)



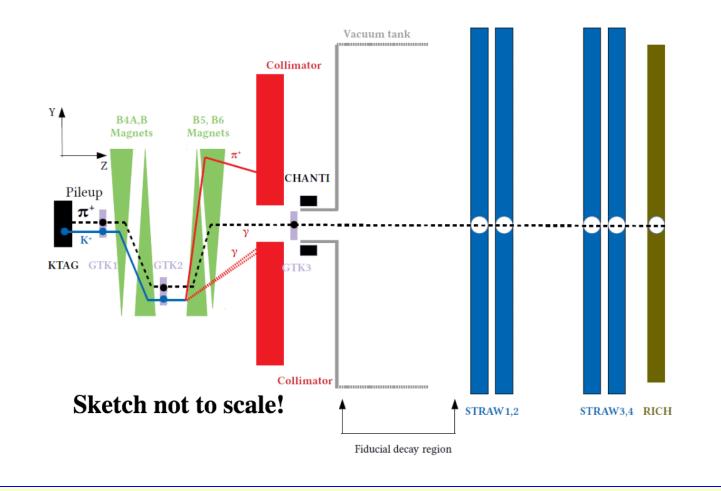




A particular upstream event in the OLD COL configuration

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The same upstream event in the NEW COL configuration

NAGZ