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BSM Sensitivity of Rare Kaon Decays

Siavash Neshatpour, iP2i, Lyon University

Based on 2206.14748, 2311.04878, 2404.03643, 2409.06545 In collaboration with G. D'Ambrosio, A. Iyer and F. Mahmoudi

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AIN

Rare kaon decays

Rare Kaon decays take place via $s \rightarrow d$ Flavour Changing Neutral Current (FCNC) processes which are strongly suppressed in the SM

- Historical tools to study FCNC
- Interesting probe of New Physics (NP)
 - \rightarrow Requires reliable prediction in the SM

Weak effective Hamiltonian:
$$\mathcal{H}_{eff} = -\frac{4G_F}{\sqrt{2}}V_{ts}^*V_{td}\frac{\alpha_e}{4\pi}\sum_k C_k^\ell O_k^\ell$$

$$O_L^{\ell} = (\bar{s}\gamma_{\mu}P_Ld)(\bar{\nu}_{\ell}\gamma^{\mu}(1-\gamma_5)\nu_{\ell}), \quad O_9^{\ell} = (\bar{s}\gamma_{\mu}P_Ld)(\bar{\ell}\gamma^{\mu}\ell), \quad O_{10}^{\ell} = (\bar{s}\gamma_{\mu}P_Ld)(\bar{\ell}\gamma^{\mu}\gamma_5\ell) + \text{other operators}$$

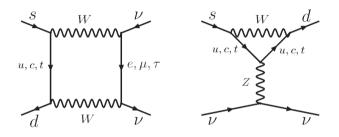
New Physics contributions: $C_k \rightarrow C_k^{SM} + \delta C_k$



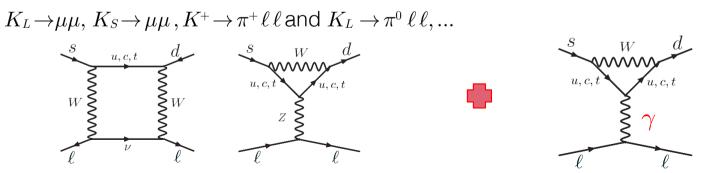
Rare kaon decays

• Short-Distance dominated

 $K^+ \rightarrow \pi^+ \nu \nu$ and $K_L \rightarrow \pi^0 \nu \nu$ (golden channels)



• Long-Distance dominated



SD-dominated

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$$K^+ \rightarrow \pi^+ \nu \nu$$

$$\operatorname{BR}(K^+ \to \pi^+ \nu \bar{\nu}) = \frac{\kappa_+ (1 + \Delta_{\operatorname{EM}})}{\lambda^{10}} \frac{1}{3} s_W^4 \sum_{\ell} \left[\operatorname{Im}^2 \left(\lambda_t C_L^\ell \right) + \operatorname{Re}^2 \left(-\frac{\lambda_c X_c}{s_w^2} + \lambda_t C_L^\ell \right) \right] \quad (\lambda_i = V_{is} V_{id})$$

• top loop: $C_{L,SM}^{\ell} = -X_{SM}(x_t)/s_W^2$ NNLO QCD and 2-loop EW

[Buchalla, Buras,'99; Misiak, Urban '99, Broad et al. '10]

- charm contribution: $X_c = \lambda^4 [P_c^{SD} + \delta P_{c,u}^{LD}]$ SD: NNLO QCD and NLO EW; LD: ChPT
- O_L matrix elements known from $K_{3\ell}$ branching ratios \rightarrow included in κ_+
- $\Gamma_{\rm SD}/\Gamma \!>\! 90\%$
- Sources of uncertainty: SD ~ 2%, LD ~ 3%, Parametric ~ 7%
- Sum over the three neutrino flavours

 $BR(K^+ \to \pi^+ \nu \bar{\nu})_{SM} = (7.86 \pm 0.61) \times 10^{-11}$

[D'Ambrosio, Iyer, Mahmoudi, SN '22]

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SD:[Buras et al. '05; Brod et al. '08] LD:[Isidori et al.'05]

[Mescia, Smith '07]

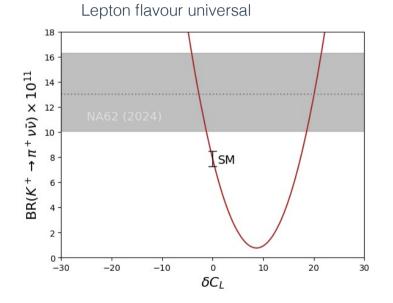
$$BR(K^+ \to \pi^+ \nu \bar{\nu})_{NA62} = (13.0^{+3.3}_{-3.0}) \times 10^{-11}$$

[NA62, Cortinal Gil et al. '24]

$$K^+ \rightarrow \pi^+ \nu \nu$$

$$\mathrm{BR}(K^+ \to \pi^+ \nu \bar{\nu}) = \frac{\kappa_+ (1 + \Delta_{\mathrm{EM}})}{\lambda^{10}} \frac{1}{3} s_W^4 \sum_{\ell} \left[\mathrm{Im}^2 \left(\lambda_t \underline{C_L^\ell} \right) + \mathrm{Re}^2 \left(-\frac{\lambda_c X_c}{s_w^2} + \lambda_t \underline{C_L^\ell} \right) \right] \quad (\lambda_i = V_{is} V_{id})$$

New Physics effects:



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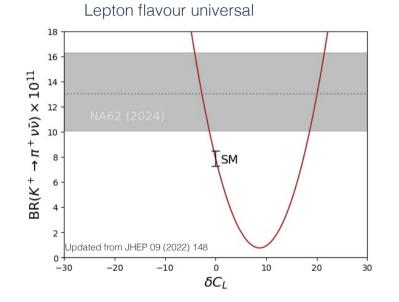
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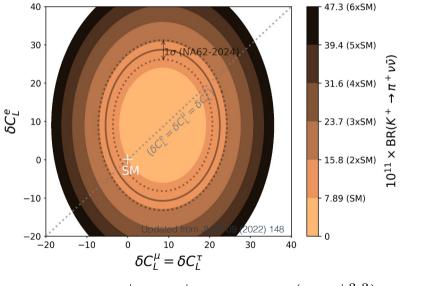
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New Physics effects:



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Lepton flavour universality violation



BR $(K^+ \to \pi^+ \nu \bar{\nu})_{\text{NA62}} = (13.0^{+3.3}_{-3.0}) \times 10^{-11}$

[D'Ambrosio, Iyer, Mahmoudi, SN '22]

[NA62, Cortinal Gil et al. '24]

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 $K_L \rightarrow \pi^0 \nu \nu$

$$BR(K_L \to \pi^0 \nu \bar{\nu}) = \frac{\kappa_L}{\lambda^{10}} \frac{1}{3} s_w^4 \sum_{\ell} Im^2 \left(\lambda_t C_L^{\ell} \right)$$

- $C_{L,SM}$ same as for $K^+ \rightarrow \pi^+ \nu \nu$
- Charm contributions below 1%
- 99% SD distance
- $\Gamma_{\rm SD}/\Gamma\!>\!99\%$
- Sources of uncertainty: SD ~ 2%, LD ~ 1%, Parametric ~ 11%
- Sum over the three neutrino flavours

 $BR(K_L \to \pi^0 \nu \nu)_{SM} = (2.68 \pm 0.30) \times 10^{-11}$

[D'Ambrosio, Iyer, Mahmoudi, SN '22]

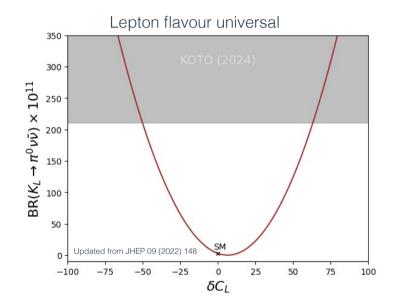
 $BR(K_L \to \pi^0 \nu \nu)_{KOTO} < 2.2 \times 10^{-9} \text{ at } 90\% CL$ [KOTO, Ahm et al. '24]

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 $K_L \rightarrow \pi^0 \nu \nu$

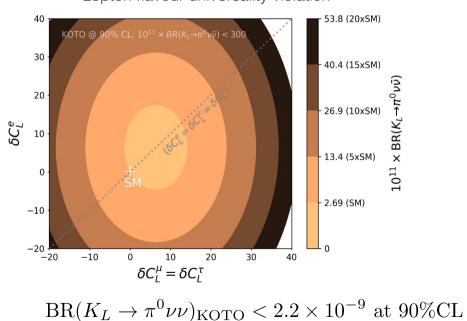
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Lepton flavour universality violation

[KOTO, Ahm et al. '24]

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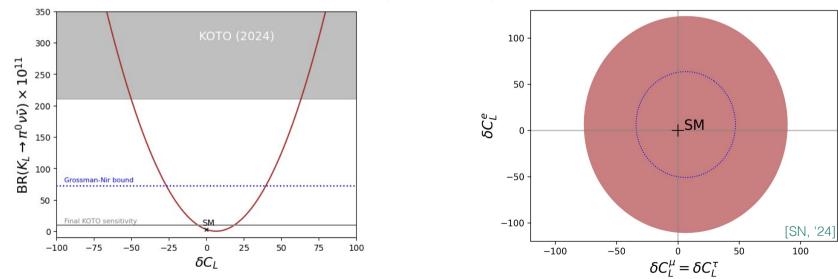
$K_L \rightarrow \pi^0 \nu \nu$

Matrix elements of $K_L \rightarrow \pi^0 \nu \nu$ and $K^+ \rightarrow \pi^+ \nu \nu$ are related via isospin resulting in the Grossman-Nir bound [Grossman, Nir '97]

$$BR(K_L \to \pi^0 \nu \nu) \le 4.3 \times BR(K^+ \to \pi^+ \nu \nu)$$

valid in the presence of most NP models

Considering the 2024 results of NA62 for $BR(K^+ \rightarrow \pi^+ \nu \nu)$



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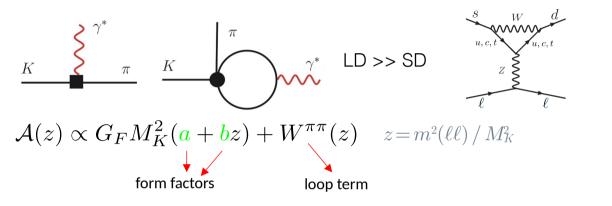
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LD-dominated

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LFUV in $K^+ \rightarrow \pi^+ \ell \ell$

 $K^+ \rightarrow \pi^+ \ell \ell$ is long distance dominated, mediated by single photon exchange $K^+ \rightarrow \pi^+ \gamma^*$



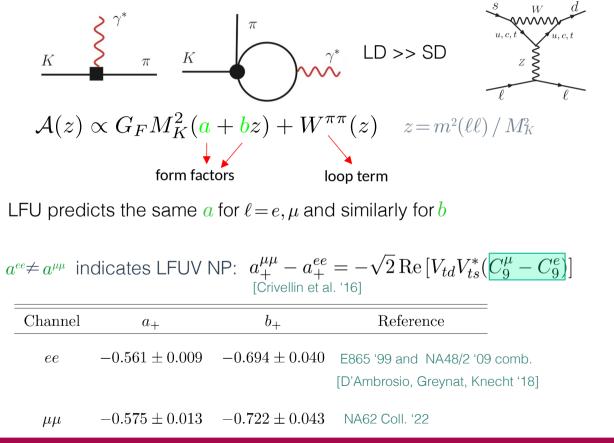
precise SM prediction not yet possible

LFU predicts the same a for $\ell = e, \mu$ and similarly for b

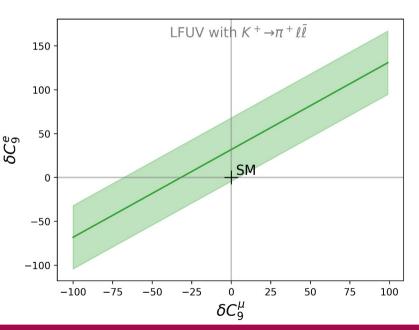
 $a^{ee} \neq a^{\mu\mu}$ indicates LFUV NP: $a^{\mu\mu}_{+} - a^{ee}_{+} = -\sqrt{2} \operatorname{Re} \left[V_{td} V^*_{ts} (C^{\mu}_{9} - C^{e}_{9}) \right]$ [Crivellin et al. '16]

LFUV in $K^+ \rightarrow \pi^+ \ell \ell$

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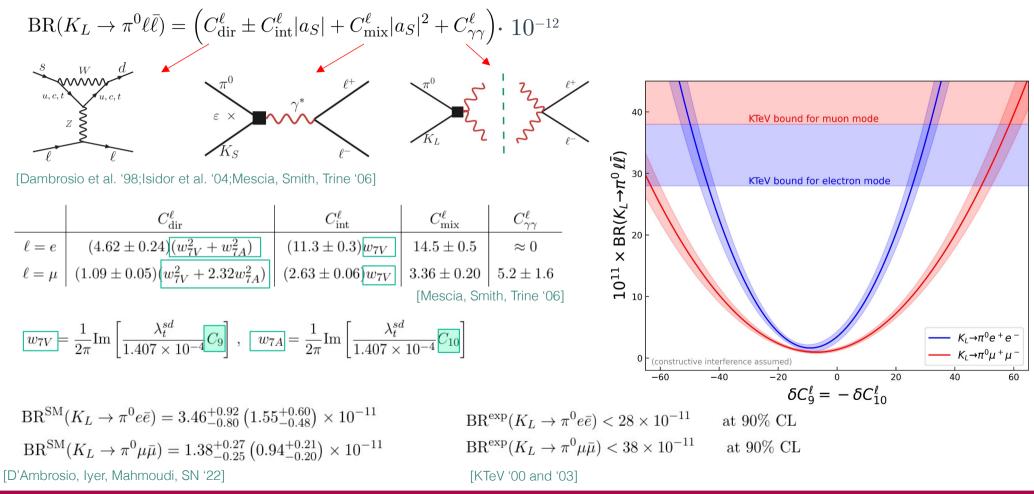


precise SM prediction not yet possible



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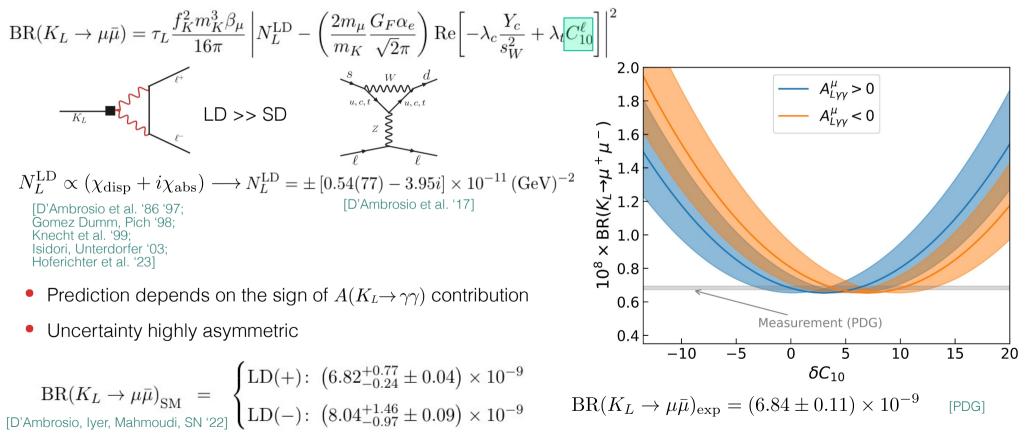
$K_L \rightarrow \pi^0 \ell \ell$



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$$K_L \rightarrow \mu \mu$$

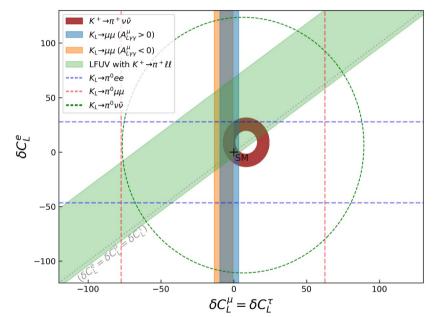
 $K_L \rightarrow \mu \mu$ is long distance dominated, mediated by two photons via $K_L \rightarrow \gamma^* \gamma^*$



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All observables

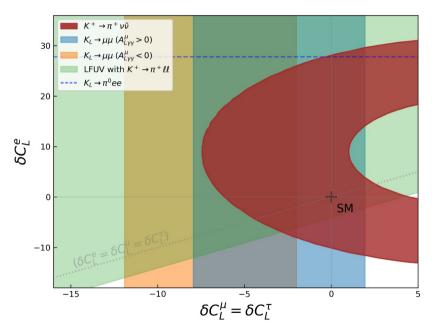
Rare kaon observables



We assume NP contributions of the charged and neutral leptons related to each other by the $SU(2)_L$ gauge symmetry and we work in the chiral basis

$$\delta C_L^\ell \equiv \delta C_9^\ell = -\delta C_{10}^\ell$$

$$\delta C_L^e \neq \delta C_L^\mu = \delta C_L^\tau$$

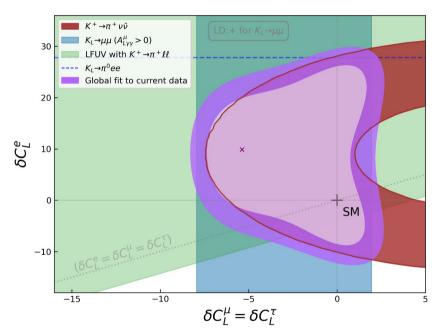


Bounds from individual observables:

Coloured regions: 68% CL measurements Dashed lines: 90% upper limits

All observables / Global fit

Global fit (with SuperIso public program) for positive LD contributions to $K_L \rightarrow \mu \mu$



Lighter / darker purple region: 68% / 95% CL of global fit

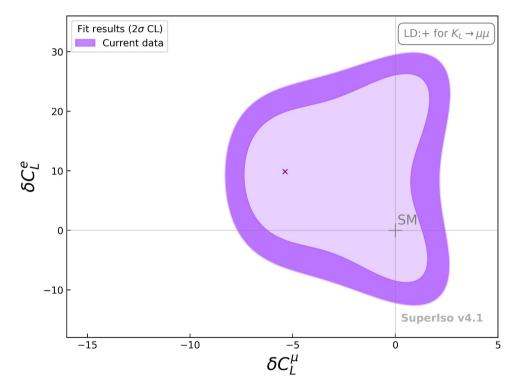
Main constraining observables $BR(K^+ \rightarrow \pi^+ \nu \nu)$ followed by $BR(K_L \rightarrow \mu \mu)$

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Prospects for future measurements

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Prospects for NA62 & KOTO-II



Current situation

Projection A

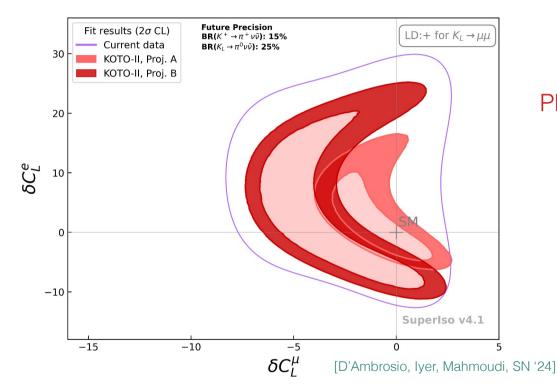
Observables already measured are kept, others assumed to match SM, with target precision of future NA62 & KOTO-II

Projection B

All measurements give current best-fit point with target precision of future NA62 & KOTO-II

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Prospects for NA62 & KOTO-II



Current situation

Phase 1

- NA62 final precision for $K^+ \rightarrow \pi^+ \nu \nu$ (15%)
- KOTO-II final precision for $K_L \rightarrow \pi^0 \nu \nu$ (25%)

[KOTO, Ahn et al. '25, KOTO & KOTO II Ahn et al. '25]

Projection A

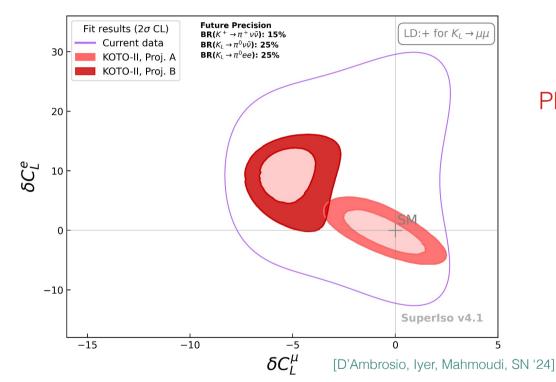
Observables already measured are kept, others assumed to match SM, with target precision of future NA62 & KOTO-II

Projection B

All measurements give current best-fit point with target precision of future NA62 & KOTO-II

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Prospects for NA62 & KOTO-II



Current situation

Phase 2

- NA62 final precision for $K^+ \rightarrow \pi^+ \nu \nu$ (15%)
- KOTO-II final precision for $K_L \rightarrow \pi^0 \nu \nu$ (25%)
- KOTO-II measurement of $K_L \rightarrow \pi^0 e^+ e^-$ (25%)

[KOTO, Ahn et al. '25, KOTO & KOTO II Ahn et al. '25]

Projection A

Observables already measured are kept, others assumed to match SM, with target precision of future NA62 & KOTO-II

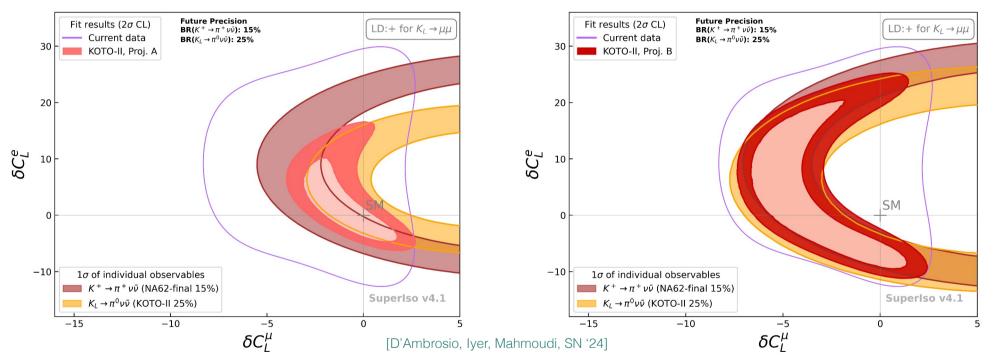
Projection B

All measurements give current best-fit point with target precision of future NA62 & KOTO-II

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Impact of projected measurements

Phase 1



Projection A

Observables already measured are kept, others assumed to match SM, with target precision of future NA62 & KOTO-II

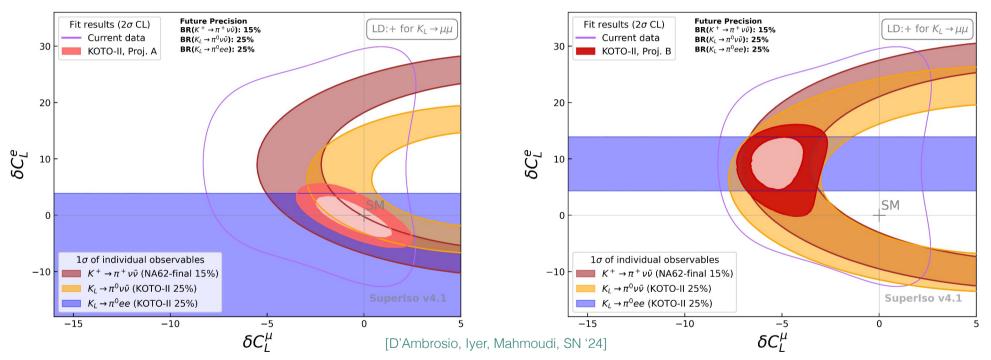
Projection B

All measurements give current best-fit point with target precision of future NA62 & KOTO-II

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Impact of projected measurements

Phase 2



Projection A

Observables already measured are kept, others assumed to match SM, with target precision of future NA62 & KOTO-II

Projection B

All measurements give current best-fit point with target precision of future NA62 & KOTO-II

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- Rare kaon decays offer interesting information on short distance physics, even those which are long-distance dominated
- Global analysis gives a stronger and more coherent bound on NP
- Measurement of $K_L \rightarrow \pi^0 \ell \ell$, although long-distance dominated, especially in the electron sector gives a very effective probe of new physics
- Future improvements of kaon measurements are crucial



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Backup

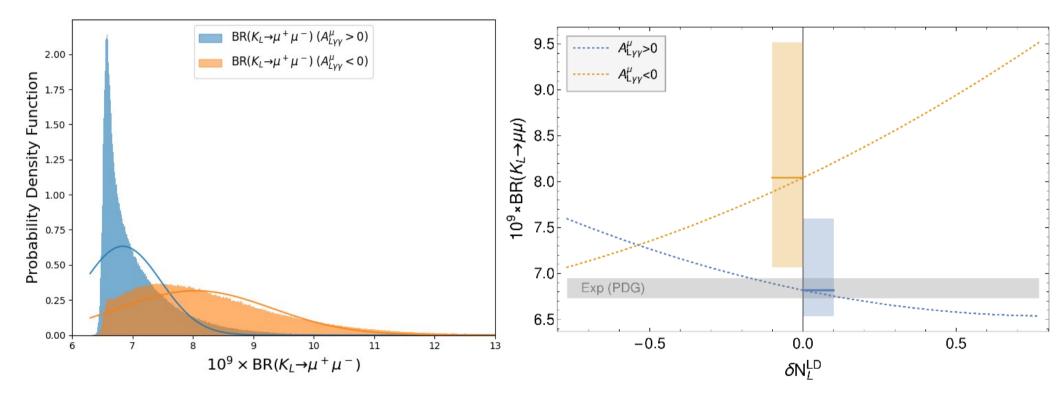
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Theory and experimental results used in the fit

Observable	SM prediction	Experimental results	Reference	Precision for projections	
${\rm BR}(K^+\to\pi^+\nu\bar\nu)$	$(7.86\pm0.61)\times10^{-11}$	$(10.6^{+4.0}_{-3.5}\pm0.9)\times10^{-11}$	[1]	15% 17	
${\rm BR}(K^0_L\to\pi^0\nu\bar\nu)$	$(2.68\pm0.30)\times10^{-11}$	$< 1.99 \times 10^{-9}$ @90% CL	[46]	25% 17	
$LFUV(a_+^{\mu\mu} - a_+^{ee})$	0	-0.014 ± 0.016	4.19]	Current	
${ m BR}(K_L o \mu \bar{\mu}) \ (+)$	$(6.82^{+0.77}_{-0.29}) \times 10^{-9}$	$(6.84 \pm 0.11) \times 10^{-9}$	47]	Current	
$BR(K_L \to \mu \bar{\mu}) \ (-)$	$(8.04^{+1.47}_{-0.98}) \times 10^{-9}$	(0.01 ± 0.11) / 10	<u> </u>	Guirons	
$BR(K_S \to \mu \bar{\mu})$	$(5.15 \pm 1.50) \times 10^{-12}$	$< 2.1(2.4) \times 10^{-10}$ @90(95)% CL $(0.9^{+0.7}_{-0.6} \times 10^{-10})$	5]	$< 6.4 \times 10^{-12}$ @95% CL (LHCb@300 fb ⁻¹ 32.33)	
$BR(K_L \to \pi^0 e\bar{e})(+)$	$(3.46^{+0.92}_{-0.80}) \times 10^{-11}$	$< 28 \times 10^{-11}$ @90% CL	11]	25% [17]	
${ m BR}(K_L o \pi^0 e ar e)(-)$	$(1.55^{+0.60}_{-0.48}) \times 10^{-11}$	20×10 000001		2070 111	
$BR(K_L \to \pi^0 \mu \bar{\mu})(+)$	$(1.38^{+0.27}_{-0.25}) \times 10^{-11}$	$< 38 \times 10^{-11}$ @90% CL	12]	25% 17	
$BR(K_L \to \pi^0 \mu \bar{\mu})(-)$	$(0.94^{+0.21}_{-0.20}) \times 10^{-11}$				

Uncertainties in $K_L \rightarrow \ell \ell$

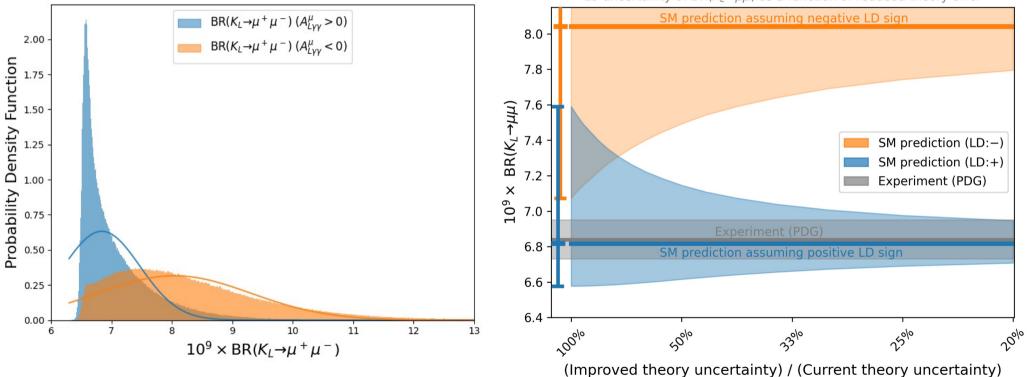
Asymmetric theoretical uncertainty of $K_L \rightarrow \mu \mu$



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Uncertainties in $K_L \rightarrow \ell \ell$

Asymmetric theoretical uncertainty of $K_L \rightarrow \mu \mu$

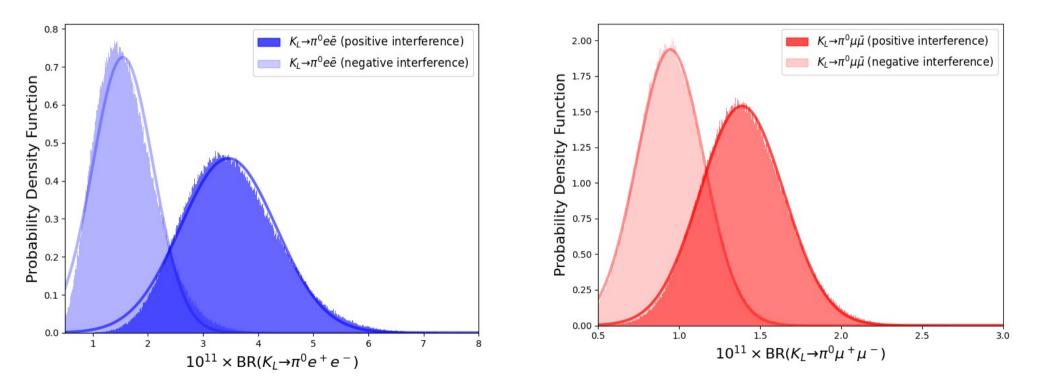


 1σ uncertainty of BR($K_L \rightarrow \mu\mu$) as a function of reduced theory error

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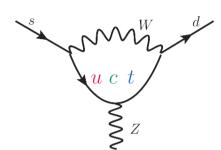
Uncertainties in $K_L \rightarrow \pi^0 \ell \ell$

Asymmetric theoretical uncertainty of $K_L \rightarrow \pi^0 \ell \ell$



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FCNC



CKM unitarity

$$\sum_{k} V_{ik} V_{jk}^{*} = 0 \qquad \frac{\lambda_{u}}{\lambda_{u}} + \lambda_{c} + \frac{\lambda_{t}}{\lambda_{t}} = 0$$

Amplitude = sum over all internal up-quarks:

$$\lambda_q \equiv V_{qd} V_{qs}^*$$
$$x_q \equiv m_q^2 / M_W^2$$

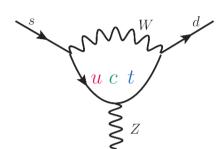
$$\Sigma_{q=u,c,t} \ \lambda_q F(x_q) = \frac{\lambda_u}{\lambda_u} F(x_u) + \lambda_c F(x_c) + \frac{\lambda_t}{\lambda_t} F(x_t)$$

CKM factor for B-mesons

 $\begin{aligned} Re(\lambda_{u}) \sim \lambda^{4}, & Re(\lambda_{c}) \sim \lambda^{3}, & Re(\lambda_{t}) \sim \lambda^{2} \\ Im(\lambda_{u}) = \lambda^{4}, & Im(\lambda_{c}) \sim \lambda^{8}, & Im(\lambda_{t}) \sim \lambda^{4} \end{aligned}$

$$s$$
 $w c t$ $z \gamma$

FCNC



CKM unitarity

$$\sum_{k} V_{ik} V_{jk}^{*} = 0 \qquad \frac{\lambda_{u}}{\lambda_{u}} + \lambda_{c} + \lambda_{t} = 0$$

Amplitude = sum over all internal up-quarks:

$$\lambda_q \equiv V_{qd} V_{qs}^*$$
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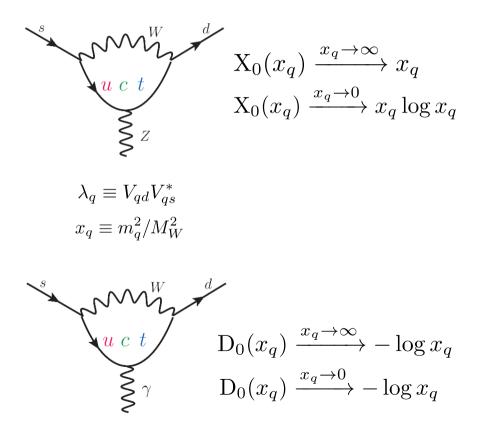
$$\Sigma_{q=u,c,t} \ \lambda_q F(x_q) = \frac{\lambda_u}{\lambda_u} F(x_u) + \lambda_c F(x_c) + \frac{\lambda_t}{\lambda_t} F(x_t)$$

CKM factor for Kaons

 $\begin{aligned} Re(\lambda_u) &\sim \lambda, & Re(\lambda_c) &\sim \lambda, & Re(\lambda_t) &\sim \lambda^5 \\ Im(\lambda_u) &= 0, & Im(\lambda_c) &\sim \lambda^5, & Im(\lambda_t) &\sim \lambda^5 \end{aligned}$

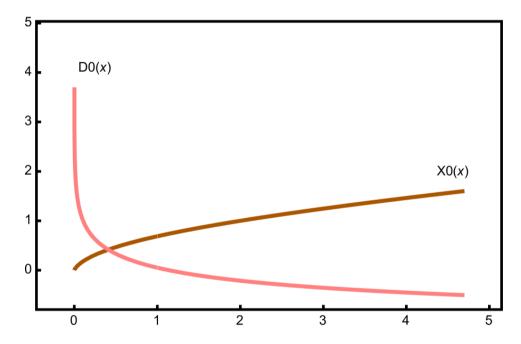
$$\sum_{i=1}^{\gamma}$$
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FCNC



Inami-Lim functions:

[Inami, Lim '81, Buchalla, Buras, Harlander '91]



No large suppression for u- and c-quark (unlike B-physics) ⇔large contribution of low-energy physics

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$K_S \rightarrow \mu \mu$

$$\operatorname{BR}(K_S \to \mu\bar{\mu}) = \tau_S \frac{f_K^2 m_K^3 \beta_\mu}{16\pi} \left\{ \beta_\mu^2 \left| N_S^{\mathrm{LD}} \right|^2 + \left(\frac{2m_\mu}{m_K} \frac{G_F \alpha_e}{\sqrt{2}\pi} \right)^2 \operatorname{Im}^2 \left[-\lambda_c \frac{Y_c}{s_W^2} + \lambda_t C_{10}^\ell \right] \right\}$$

The long-distance contribution is cleaner, as the leading $O(p^4)$ chiral contribution of $K_S \rightarrow \pi^+ \pi^- \rightarrow \gamma \gamma \rightarrow \mu^+ \mu^$ is theoretically under better control [Ecker, Pich '91]

LHCb bound @90% CL $BR(K_S \to \mu \bar{\mu})^{SM} = (5.15 \pm 1.50) \times 10^{-12}$ [D'Ambrosio, Iyer, Mahmoudi, SN '22] $BR(K_{5} \rightarrow \mu^{+} \mu^{-10})$ Prospect of LHCb limit @95% CL with 300 fb⁻¹ data $BR(K_S \to \mu \bar{\mu})^{LHCb} < 2.1(2.4) \times 10^{-10} \ @90(95)$ [LHCb '20] • $K_S \rightarrow \mu \mu$ not very sensitive to axial currents 10^{-11} Sensitive to new physics scenarios involving scalar and SM pseudoscalar contributions -20-4020 40 0

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 δC^{μ}_{10}

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Scalar and pseudoscalar contributions in $K_S ightarrow \mu \mu$

Adding scalar contributions

$$\mathcal{H}_{\text{eff}}^{\text{scalar}} = -\frac{4G_F}{\sqrt{2}} V_{ts}^* V_{td} \frac{\alpha_e}{4\pi} \left[C_S^\ell O_S^\ell + C_P^\ell O_P^\ell \right]$$
$$O_S^\ell = (\bar{s}P_R d)(\bar{\ell}\ell), \quad O_P^\ell = (\bar{s}P_R d)(\bar{\ell}\gamma_5\ell)$$

$$BR(K_S \to \mu\bar{\mu}) = \tau_S \frac{f_K^2 m_K^3 \beta_\mu}{16\pi} \left\{ \beta_\mu^2 \left| N_S^{\text{LD}} - m_K \frac{G_F \alpha_e}{\sqrt{2}\pi} \text{Re} \left[\frac{\lambda_t C_S}{m_s + m_d} \right] \right|^2 + \left(\frac{G_F \alpha_e}{\sqrt{2}\pi} \right)^2 \left| \frac{2m_\mu}{m_K} \text{Im} \left[-\lambda_c \frac{Y_c}{s_W^2} + \lambda_t C_{10} \right] + \frac{M_K}{m_s + m_d} \text{Im} \left[\lambda_t C_P \right] \right|^2 \right\}$$
(Chobanova et al. '17)

- $K_S \rightarrow \mu \mu$ measurement currently two orders of magnitude above SM
- What can we say with current data about scalar and pseudoscalar contributions?

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Looking again into $K^+ \rightarrow \pi^+ \ell^+ \ell^-$ in the presence of scalar contributions

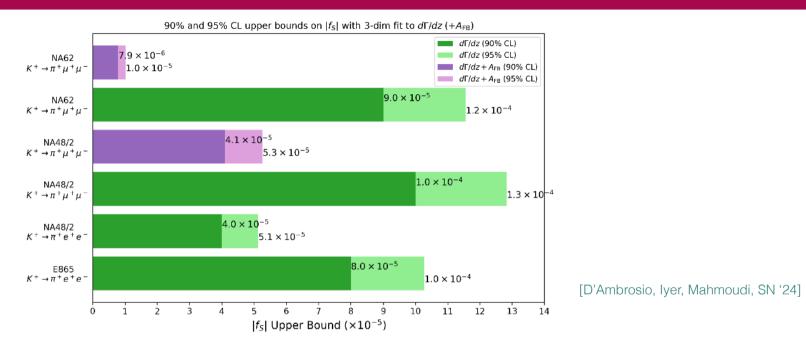
$$\mathcal{M} = \frac{\alpha G_F}{4\pi} f_V(z) (p_K + p_\pi)^{\mu} \bar{\ell} \gamma_{\mu} \ell + G_F m_K f_S \bar{\ell} \ell$$

$$\frac{d^2 \Gamma}{dz d \cos \theta} = \frac{G_F^2 m_K^5}{2^8 \pi^3} \beta_\ell \lambda^{1/2}(z) \times \left\{ |f_V|^2 \frac{\alpha_e^2}{16\pi^2} \lambda(z) (1 - \beta_\ell^2 \cos^2 \theta) \\ + |f_S|^2 z \beta_\ell^2 + \operatorname{Re}[f_V^* f_S] \frac{\alpha_e r_\ell}{\pi} \beta_\ell \lambda^{1/2}(z) \cos \theta \right\} \begin{array}{l} \lambda(z) = 1 + z^2 + r_\pi^4 - 2(z + r_\pi^2 + zr_\pi) \\ \lambda(z) = 1 + z^2 + r_\pi^4 + zr_\pi + zr_\pi)$$

$$A_{\rm FB}(z) = \frac{\int_0^1 \left(\frac{d\Gamma}{dzd\cos\theta}\right) d\cos\theta - \int_{-1}^0 \left(\frac{d\Gamma}{dzd\cos\theta}\right) d\cos\theta}{\int_0^1 \left(\frac{d\Gamma}{dzd\cos\theta}\right) d\cos\theta + \int_{-1}^0 \left(\frac{d\Gamma}{dzd\cos\theta}\right) d\cos\theta} \longrightarrow A_{\rm FB}(z) = \frac{\alpha_e G_F^2 m_K^5}{2^8 \pi^4} r_\ell \beta_\ell^2 \lambda(z) {\rm Re}[f_V^* f_S] / \left(\frac{d\Gamma(z)}{dz}\right)$$

- If assumed SM-like only f_V contributes
- $A_{\rm FB}$ only non-zero in case $f_S \neq 0$
- In the case of electron mode suppressed by electron mass

Scalar contributions in $K^+ \rightarrow \pi^+ \ell \ell$



- Only bound on f_s so far via study of BR $(K^+ \rightarrow \pi^+ e^+ e^-)$ from E865 data $f_s < 6.6 \times 10^{-5}$ at 90% CL
- In the muon mode also $A_{\rm FB}$ can be considered
- ~one order of magnitude stronger bound by analyzing simultaneously BR and $d\Gamma/dz$ with $f_S < 7.9 \times 10^{-6}$ at 90% CL

$K^{\!+}\!\to\!\pi^{\!+}\,\ell\,\ell$

$$\frac{d\Gamma}{dz} = \frac{\alpha^2 M_K}{12\pi (4\pi)^4} \lambda^{3/2} (1, z, r_\pi^2) \beta_\ell \left(1 + 2\frac{r_\ell^2}{z}\right) |W(z)|^2$$

$$W(z) = a \frac{r_V^2}{r_V^2 - z} \qquad \qquad r_V = \frac{M_V}{M_K}$$

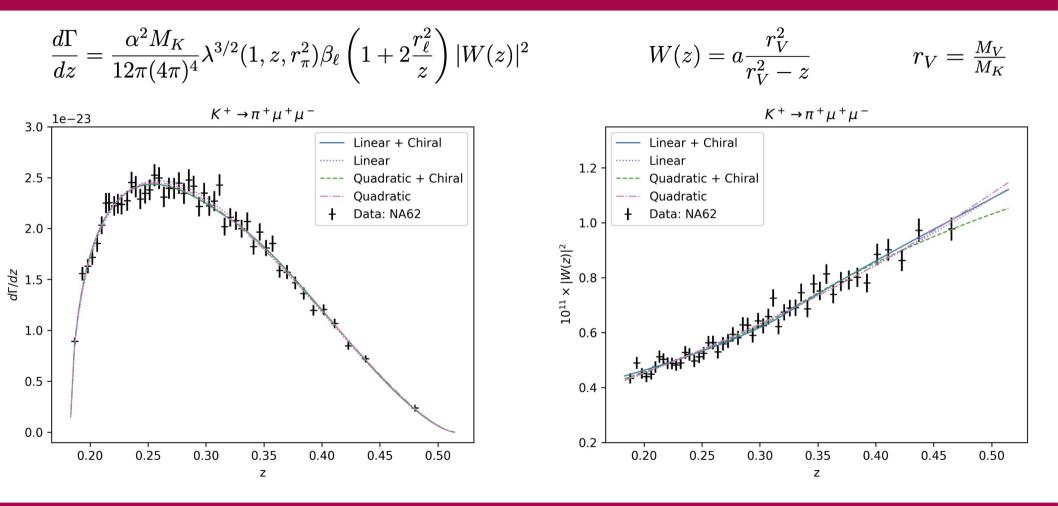
$W = G_F m_K^2 f_0 (1 + \delta z)$			$W = G_F m_K^2(a+bz) + W^{\pi\pi}(z)$		
	This paper $(d\Gamma/dz)$			This paper $(d\Gamma/dz)$	
f_0	0.486 ± 0.012		a	-0.589 ± 0.012	
δ	2.826 ± 0.150		b	-0.716 ± 0.040	
$ ho(f_0,\delta)$	-0.992		ho(a,b)	-0.973	
$\chi^2/{ m dof}$	49.9/48		$\chi^2/{ m dof}$	47.3/48	
$BR \times 10^8$	9.165 ± 0.059		$BR \times 10^8$	9.161 ± 0.056	

$W = G_F m_K^2 f_0 (1 + \delta z + \delta' z^2)$			$W = G_F m_K^2 (a + bz + cz^2) + W^{\pi\pi}(z)$		
		This paper $(d\Gamma/dz)$			This paper $(d\Gamma/dz)$
f_0		0.589 ± 0.048	a		-0.595 ± 0.047
δ		1.113 ± 0.643	b		-0.677 ± 0.322
δ'		1.998 ± 0.743	С		-0.065 ± 0.526
$\chi^2/{ m dof}$		45.0/47	$\chi^2/{ m dof}$		47.3/47
$BR \times 10^7$		9.165 ± 0.178	$BR \times 10^8$		9.161 ± 0.057

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