# <sup>2</sup> Development of a time correction algorithm for a

# <sup>3</sup> precise synchronization of a free-running Rubidium

atomic clock with the GPS Time

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13 ABSTRACT: We present results of our study devoted to the development of a time correction algo-

14 rithm needed to precisely synchronize a free-running Rubidium atomic clock with the Coordinated

<sup>15</sup> Universal Time (UTC). This R&D is performed in view of the Hyper-Kamiokande (HK) experiment

<sup>16</sup> currently under construction in Japan, which requires a synchronization with UTC and between its

<sup>17</sup> different experimental sites with a precision better than 100 ns. We use a Global Navigation Satel-

<sup>18</sup> lite System (GNSS) receiver to compare a PPS and a 10 MHz signal, generated by a free-running

<sup>19</sup> Rubidium clock, to the Global Positioning System (GPS) Time signal. We use these comparisons

to correct the time series (time stamps) provided by the Rubidium clock signal. We fit the difference

<sup>21</sup> between Rubidium and GPS Time with polynomial functions of time over a certain integration

<sup>22</sup> time window to extract a correction of the Rubidium time stamps in offline or online mode. In

online mode, the latest fit results are used for the correction until a new comparison to the GPS Time becomes available. We show that with an integration time window of around  $10^4$  seconds, we

 $^{24}$  Time becomes available. We show that with an integration time window of around 10<sup>4</sup> seconds, we

<sup>25</sup> can correct the time stamps drift caused by the frequency random walk noise of the free running

Rubidium clock so that the time difference with respect to the GPS Time stay within a  $\pm 5$  ns range

<sup>27</sup> in both offline or online correction mode.

28 KEYWORDS: timing detectors; precise timing; atomic clock; Rb; PHM; GPS; GNSS; UTC

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## 47 **1** Introduction

A precise synchronization with the Coordinated Universal Time (UTC) or with another signal 48 is a necessity in many applications, particularly in long-baseline physics experiments including 49 several experimental sites. A good example is long-baseline neutrino oscillation experiments, 50 like OPERA [1] (2006-2012), T2K [2] (from 2010) and NOvA [3] (from 2014), where a beam 51 of neutrinos is produced and characterized in a first experimental site and detected, after several 52 hundreds of kilometers of propagation, at another site to measure a change of the beam properties. 53 Two next generation long-baseline neutrino experiments are being built at the moment: Hyper-54 Kamiokande (HK) [4] that plans to start taking data in 2027 and DUNE [5][6] that should begin 55 sometime after 2029. These experiments require a synchronization of 100 ns or better between the 56 different experimental sites. Moreover, multi-messenger programs that plan to compare different 57 components of astrophysical events [7] (e.g.: gamma-ray bursts, gravitational waves, neutrino 58 emissions of supernovae, etc.) require a synchronization with UTC of different experiments 59 located all over the world. For instance, to enter the SuperNova Early Warning System (SNEWS) 60 network [8], a synchronization to UTC better than 100 ns is required. 61

Many long-baseline physics experiments use atomic oscillators as frequency references because 62 of their good short term stability. Among the reference oscillators available on the market, Rubidium 63 atomic clocks are generally chosen for their affordability as it was the case for the T2K [9] and 64 Super-Kamiokande [10] timing systems. However, Rubidium clocks usually drift away from a stable 65 reference because of frequency drift and random walk. For synchronization to UTC, this drift usually 66 needs to be prevented or corrected. A common solution is to discipline the average frequency of 67 the clock to the signals of an external Global Navigation Satellite System (GNSS) receiver, with an 68 integration time window chosen so that it does not deteriorate the short term stability of the clock. 69 However, it presents some drawbacks like the fact that the user has little control on the setup. In case 70 of problems (like jumps in the time comparison), it is difficult to understand where they come from 71 (GPS Time, receiver, the master clock, etc.) and to assess the uncertainty on the synchronization 72 to UTC. The R&D work presented in this paper and introduced in [11] is focused on designing and 73 characterizing an alternative method that allows more freedom to the user and a better understanding 74 of the process. It is based on known metrology techniques [12, 13]. The proposed method uses a 75 free-running atomic clock to derive a time signal and provide time stamps. In a physics experiment 76 these would be the time stamps of detected events. The time stamps are corrected in post-processing 77 using comparisons of the Rubidium clock signal to GNSS Time. In that way, we can store all the 78 information (the raw signal, the comparisons to GPS Time, the derived correction etc.) and apply the 79 correction in either online (during the data-acquisition) or offline modes. Let us note that the GNSS 80 time is a good approximation of the UTC, within a few nanoseconds, and it allows synchronization 81 to UTC via a common-view technique [14]. The common-view would be performed with a national 82 laboratory providing a local realization of UTC(k), like e.g. the NICT laboratory in Japan [15], 83 then the conversion to UTC can be performed with the help of the Circular T of the BIPM (Bureau 84 International des Poids et Mesures) [16] at the end of each month. 85

# 86 2 Materials and Methods

## 87 2.1 Experimental setup

The experimental setup that we used is schematized in Figure 1. It is located at the Pierre and 88 Marie Curie (Jussieu) campus of the Sorbonne University in Paris. The setup consists of two main 89 parts: one represents the timing generation and correction setup, that could be reproduced in the 90 HK experiment, and the second part is related to testing the efficiency of the correction method. 91 In the first part a Rubidium clock (Rb) in free-running mode, at the ground floor of the laboratory, 92 generates a Pulse Per Second (PPS) signal and a 10 MHz signal that are transported to the fifth 93 floor with the White Rabbit (WR) protocol. The timing signals of the slave WR switch are used 94 by a GNSS receiver as a reference for its internal clock. The receiver connected to its antenna on 95 the roof, above the fifth floor, is used to measure time comparisons between the GPS Time and 96 the Rubidium clock. This physical distance between the time generation part and the receiver was 97 done on purpose to mimic what would happen in many long-baseline physics experiments. Indeed, 98 in Hyper-Kamiokande, the Rubidium clock would be placed inside a mountain where a cavern has 99 been dug to host the detector whereas the receiver would have to be placed outside in a valley. The 100 second part of our experimental setup is contained in the experimental room at the ground floor and 101 its purpose is to validate the performance of the method, it would not be reproduced in the final 102



**Figure 1**. Experimental setup used in this work. Part of the equipment is installed at the ground floor and the other part at the fifth floor. The relevant signals generated at the ground floor are transported to the fifth floor via optical fibers with the White Rabbit (WR) protocol. This particular setup mimics what could happen in underground experiments where the clock signal would be generated underground whereas the GPS antenna and receiver would be located above-ground.

setup in Hyper-Kamiokande. It consists of a frequency counter measuring the frequency of the
 5 MHz signal generated by the Rubidium clock. The reference for the internal clock of the counter
 is an external 5 MHz signal generated by a Passive Hydrogen Maser (PHM).

## 106 2.1.1 Rubidium clock

The Rubidium atomic clock used is the FS725 Rubidium Frequency Standard sold by Stanford 107 Research Systems integrating a rubidium oscillator of the PRS10 model. It provides two 10 MHz 108 and one 5 MHz signals with low phase white noise and its stability estimated via the Allan Standard 109 Deviation (ASD) [17] at 1 s is about  $2 \times 10^{-11}$  (see Figure 2). It also provides a PPS output with a 110 jitter of less than 1 ns. Its 20 years aging was estimated to less than  $5 \times 10^{-9}$  and the Mean Time 111 Before Failure is over 200,000 hours. It can also be frequency disciplined using an external 1 PPS 112 reference, based on GPS for instance. The FS725 is installed at the ground floor of our laboratory 113 and its 10 MHz and 1 PPS output are transported to the GNSS receiver at the fifth floor. 114

## 115 2.1.2 White Rabbit switches

The White Rabbit (WR) project [18] is a collaborative effort involving CERN, the GSI Helmholtz Centre for Heavy Ion Research, and other partners from academia and industry. Its primary objective is to develop a highly deterministic Ethernet-based network capable of achieving sub-nanosecond accuracy in time transfer. Initially, this network was implemented for distributing timing signals for control and data acquisition purposes at CERN's accelerator sites. The described experimental setup



**Figure 2**. Overlapping Allan Standard Deviation of the Rb/PHM frequency ratio series (in blue), measured by the frequency counter before any correction, and of GPS Time vs UTC(OP) (in orange) measured by the Septentrio receiver. The main types of noises affecting the Rubidium clock stability are indicated where they are limiting the stability.



Figure 3. White Rabbit link model, from [19]

uses two WR switches to propagate with great precision the Rubidium clock PPS and frequency
 signals from the ground floor to the fifth floor.

The calibration of the link allows to obtain a sub-nanosecond synchronization between switches. 123 A White Rabbit link between two devices is characterized by specific hardware delays and fiber 124 propagation latencies. Each WR Master and WR Slave possesses fixed transmission and reception 125 delays ( $\Delta T_{XM}$ ,  $\Delta RXM$ ,  $\Delta T_{XS}$ ,  $\Delta RXS$ ). These delays are the cumulative result of various factors 126 such as SFP transceiver, PCB trace, electronic component delays, and internal FPGA chip delays. 127 Additionally, there is a reception delay on both ends caused by aligning the recovered clock signal 128 to the inter-symbol boundaries of the data stream, referred to as the bitslide value ( $\epsilon_M$  and  $\epsilon_S$  in 129 Figure 3). We can see the results of calibration process using a counter in Figure 4, the difference 130 of PPS signals between the WR slave and master switches changes from 165 ps to 60 ps (with a 131



Figure 4. Difference between the PPS OUT signals of the White Rabbit slave and master switches before and after calibration

<sup>132</sup> 100 m long fiber). Delays introduced by the cables were subtracted to the mean values.

<sup>133</sup> Note that the LPNHE, as a part of the T-REFIMEVE network [20, 21], has access through a

dedicated switch to the official French realization of the UTC, called UTC(OP) (for Observatoire

de Paris) [22], transported from the SYRTE laboratory via White Rabbit protocol. REFIMEVE is

a French national research infrastructure aiming at the dissemination of highly accurate and stable
time and frequency references to more than 30 research laboratories and research infrastructures
all over France. The reference signals originate from LNE-SYRTE and are mainly transported over
the optical fiber backbone of RENATER, the French National Research and Education Network.
The UTC(OP) signal was not used in the final experimental setup because we do not foresee to have
access to such a high precision signal in HK experiment. It was however used to characterize the
GPS Time signal measured by the Septentrio receiver and whose OASD is shown in Figure 2.

## 143 2.1.3 Septentrio GPS antenna and receiver

We use the Septentrio PolaNt Choke ring GNSS antenna that supports GNSS signals from many 144 satellite constellations including GPS, GLONASS, Galileo and BeiDou. In this work, we restrict 145 the analysis to GPS but it can easily be generalized to any subset of constellations. The antenna 146 position has been previously measured to a precision better than 6 mm by trilateration with the help 147 of a web-based service provided by Canadian government [23]. We use a Septentrio PolaRx5 GNSS 148 reference receiver as a timing receiver to compare GPS Time to the Rubidium clock. The receiver 149 performs measurements based on the 10 MHz reference signal coming via White Rabbit from the 150 Rubidium clock. The Rubidium clock 1 PPS signal is also transported to the receiver via White 151 Rabbit to allow, at initialization, to identify the 10 MHz cycle. Note that this 1 PPS input is kept 152 during the whole data-taking to avoid possible phase jumps due to perturbations. The Septentrio 153 receiver provides one measurement every 16 min which is the middle point of the linear function 154 fitted from the 13 min of data from the beginning of this 16 min time window. The results of the 155 measurements are registered using the CGGTTS file format [24]. 156

Before taking measurements, the whole system has been calibrated against official reference signals from the SYRTE laboratory. As it can be seen in Figure 5, the following delays need to be measured and taken into account during operation [25]. The calibration procedure [26] consists in measuring these:

• X<sub>S</sub>: internal delay inside the antenna, frequency dependent

• X<sub>C</sub>: delay caused by the antenna cable

•  $X_R$ : internal delay of the receiver for the antenna signal, frequency dependent

• X<sub>P</sub>: in case an external signal is given in input, connection cable delay

• X<sub>O</sub>: in case an external signal is given in input, internal receiver delay between external 166 1 PPS and internal clock

 $X_S$  and  $X_R$  depend on the GNSS carrier frequency that is being tracked, meaning it is specific to each frequency of each GNSS constellation. The calibration was performed for both GPS and Galileo constellations, each having two available carrier frequencies. The cable delays  $X_C$  and  $X_P$ were evaluated with an oscilloscope by sending a pulse in the cable and measuring the timing of the reflection. To reproduce the experimental conditions of underground experiments like HK or DUNE where the GPS antenna is outside, away from the detector, a 100 m cable was used and calibrated. The total cable delay was measured to be 505 ns. The internal delays of the antenna



Figure 5. Delays to consider for the selected GNSS receiver+antenna pair, from [27]

and receiver can only be measured together (for each frequency) as INTDLY =  $X_S + X_R$ . This was

done through a comparison with OP73, one of the calibrated GNSS stations of SYRTE, and with

<sup>176</sup> UTC(OP), the French realization of UTC, as an input to the two receivers. The values of INTDLY

found for the two most widely available carrier frequencies of the GPS constellation (L1 and L2)

and the Galileo constellation (E1 and E5a) are given in Table 1.

**Table 1**. Values of INTDLY in ns found for the first antenna+receiver system calibrated at the SYRTE lab against the OP73 station

GPS L1	GPS L2	Galileo E1	Galileo E5a
25.832	22.871	28.242	25.431

179

The delays  $X_C$ , INTDLY, and REFDLY can then be given as parameters of the receiver so that they are automatically handled in any further use of the receiver. Uncertainties on the measured delays were evaluated to 4 ns according to estimations fixed for the employed method. The calibration needs to be re-done for any new antenna+receiver+antenna cable combination.

# 184 2.1.4 Passive Hydrogen Maser

A Passive Hydrogen Maser (PHM) from T4 Science was also acquired. Note that this instrument is not available anymore. This atomic clock is approximately 10 times more expensive than a Rubidium clock but is also much more stable. Indeed, the Allan Standard Deviation (ASD), measured with our PHM in April 2022, was only of  $\sim 3 \times 10^{-13}$  at 1 s and of  $1.5 \times 10^{-15}$  at 1 day. The PHM provides a 1 PPS signal as well as two outputs of 5 MHz, two outputs of 10 MHz, one output of 100 MHz and a sine output of 1 MHz as well as a 2.048 MHz square signal. Here, we use the PHM to generate a "perfect signal" to compare our Rubidium clock to.

# 192 2.1.5 Frequency counter

The frequency counter is the 53220A model from Keysight Technologies. It has two input channels and an input for an external frequency to use as a reference for its internal oscillator. The instrument can be used to measure the frequency of a signal input at any of the two channels. The instrument either uses directly its internal oscillator or, if specified by the user, the internal oscillator can be tuned to the external reference frequency. The external reference must be a sine wave with a frequency of 1, 5 or 10 MHz. The measurement resolution depends on the gate time corresponding to the integration time window: the longer the gate time, the better the resolution. The default resolution corresponds to a 0.1 s gate time.

The frequency counter was used in continuous mode to measure the Rubidium clock 5 MHz signal frequency simultaneously to the measurements performed by the Septentrio receiver. The external frequency reference was set to be the 5 MHz signal of the PHM and the resolution was set to 0.01 mHz which corresponds to a relative resolution of  $2 \times 10^{-11}$ . This resolution is good enough to measure the ASD of the Rubidium clock at low averaging times.

206 2.2 Corrections methods

#### 207 2.2.1 General principle

To synchronize the Rubidium time stamps to UTC, we apply a time-dependent correction (quadratic or linear) to the time series generated by the free-running Rubidium clock  $\phi_{Rb}(t)$ . We model the  $k^{\text{th}}$  portion of the time series ( $dt_{Rb,GPS}$ ), defined as the difference between the free-running Rb clock and the GPS Time, as a (one or two degrees) polynomial of time

$$\forall t \in [t_{k-1}, t_k], dt_{Rb, GPS}(t) = a_k \cdot t^2 + b_k \cdot t + c_k.$$
(2.1)

The coefficients  $a_k$  ( $a_k = 0$  in case of linear fit),  $b_k$  and  $c_k$  of the polynomials are extracted from least square polynomial fits of the time difference distributions. The fits of these differences, obtained from the Septentrio receiver, are performed for every  $k^{\text{th}}$  time window of length  $\Delta t$ . In other words, we model the Septentrio measurements with a piece-wise polynomial function of time. For the  $k^{\text{th}}$  time window (between  $t_k$  and  $t_{k+1}$ ), we get the corrected time stamps

$$\forall t \in [t_k, t_{k+1}], \ \phi_{Rb,corr}(t) = \phi_{Rb}(t) - a_k \times t^2 - b_k \times t - c_k.$$
(2.2)

The time-length  $\Delta t$  of the pieces (time windows) has to be chosen carefully. In particular, it should be short enough in order to correct for the effect of the frequency random walk of the Rubidium clock.

In the following, we consider two types of correction: the offline and the online corrections. The difference between the two methods is illustrated in Figure 6. The offline correction consists in using the Septentrio data from the same time-window as the Rubidium signal to extract the  $a_k$ ,  $b_k$  and  $c_k$  coefficients. This correction is called offline because it requires the Septentrio data from up to  $t_k + \Delta t = t_{k+1}$  to correct all the time stamps between  $t_k$  and  $t_{k+1}$  so it cannot be performed in real-time (one would need to wait a time  $\Delta t$  to extract the correction coefficients for the  $t_k$  time stamp).

The online correction consists in correcting the Rubidium time stamps between  $t_k$  and  $t_{k+1}$ using Septentrio data collected before  $t_k$ . One example of online correction is illustrated in Figure 6 where overlapping windows are used. This method is called online because it can be applied in real time. In the following, we will consider the most frequent possible update of the  $a_k$ ,  $b_k$  and  $c_k$  coefficients: they will be updated every time we receive a new data point from the Septentrio



**Figure 6**. Schematic representation of the offline (left) and online (right) corrections. In the offline correction, we extract the correction coefficients using Rubidium - GPS Time comparison from the same time-window as the data we want to correct. In the online correction, we use Rubidium - GPS Time comparison from the previous time-window with respect to the data interval we want to correct. Only the second correction can be applied in real time as it only requires comparisons with GPS Time from previous measurements.

receiver (every  $\delta t \approx 16$  minutes in our case). This means that we have  $t_{k+1} = t_k + \delta t$  so that the  $a_k$ ,  $b_k$  and  $c_k$  coefficients are extracted using Septentrio data between  $t_k - \Delta t$  and  $t_k$  and are used to correct the time stamps between  $t_k$  and  $t_k + \delta t$ . In that particular case every Septentrio data point will have been used in multiple fits, the number depending on the length of the fit time window  $\Delta t$ . The performance of the correction is evaluated in two ways. First, we look at the stability of the corrected time series estimated with the Overlapping Allan Standard Deviation (OASD). Then, we also look at the time difference against GPS signal after correction.

# 239 2.2.2 Validation of the method with simulations

Before evaluating the performance of our timing system when integrating the correction algorithm,
the method was validated on simulated signals [27] in order to isolate the effect and performance
of the correction from any measurement effect.

Simulation details Three types of signals were considered: a perfect clock to be used as a reference to evaluate the performance, a free-running Rubidium clock and a GPS time signal, as measured by the Septentrio receiver. The quadratic drift was not included because it is deterministic and therefore does not require further study for being corrected. At first order, the clock signal can be modeled by white noise (WN) in both phase and frequency as well as a random walk (RW) noise in frequency. Based on the characterization of the Rb clock, the phase and frequency flicker noises can be neglected for this purpose. Indeed, the characterization of our Rubidium clock in Figure 2 showed that the frequency flicker noise had a negligible impact on the OASD. Furthermore, the phase white and flicker noises have a similar impact on the standard OASD and cannot be distinguished here. We chose to ignore the phase flicker noise as it is less straightforward to simulate and it should not impact the long term random walk that we want to correct. The GPS Time can be modeled as pure phase white noise. The corresponding OASD as a function of the averaging time  $\tau$  can be modeled [28–30] by:

$$OASD(\tau) \cong A_{WNp} \times \tau^{-1} + A_{WNf} \times \tau^{-1/2} + A_{RWf} \times \tau^{+1/2}.$$
(2.3)

The amplitudes *A* of these main frequency and phase noises were determined through fitting this model (Eq. 2.3) to the OASD of the data when characterizing our equipment (see Figure 2) and found to be:

$$A_{WNf} = 7 \times 10^{-12} s^{1/2},$$

$$A_{RWf} = 1 \times 10^{-15} s^{-1/2},$$

$$A_{WNp} = 5 \times 10^{-11} s,$$
(2.4)

<sup>259</sup> for the free-running Rb clock and for the GPS Time:

$$A_{WNf} = 0 s^{1/2},$$

$$A_{RWf} = 0 s^{-1/2},$$

$$A_{WNp} = 2 \times 10^{-9} s,$$
(2.5)

with indices f and p for frequency and phase respectively. Using random numbers generation and a model with these types of noise discussed just above, time series were simulated.

The equivalent of  $10^6$  s of data was simulated. To mimic the output of the GNSS receiver, time differences between the simulated Rubidium clock and the simulated GPS Time  $(\Delta t^i_{Rb-ref})$ are computed every 16 mn.

**Offline corrections** First, the offline corrections were tested on the simulated data. In Figure 7, 265 the uncorrected simulated signals of the GPS and the clock are reported in dotted symbols for 266 comparison. The increase of the clock's OASD after  $\tau = 10^4$  s due to the random walk is clearly 267 visible. One can see that the OASD of the corrected signals (starred symbols) do eliminate the 268 random walk at longer terms which indicates a success of the correction method (quadratic). 269 Moreover, one can determine that the ideal length  $\Delta t$  of the correction time windows lies around 270  $3 \times 10^4$  s which corresponds logically to the intersection of the free-running Rb clock and GPS Time 271 OASD curves. Indeed, the red curve with a time window of 28800 s shows an ideal combination 272 of the short-term stability of the clock and the absence of random walk at longer scales. On 273 the opposite, the yellow (shorter time window) and light blue (longer time window) curves show 274 respectively a degradation of the short term performance and a remaining random walk component 275 in the region between  $\tau = 10^4$  s and the time window length (here 240000 s). 276

**Online corrections** The online (linear) correction method was then applied to the simulated data using time series directly and a correction window length of  $\Delta t = 3 \times 10^4$  s. The results are shown in Figure 8 in red and prove to be just as efficient as the offline correction method to remove the random walk at longer time scales which is the main goal. The overall precision on the long term region (after  $\approx 10^3$  s) is as expected slightly degraded compared to the offline correction.



Figure 7. Comparison of overlapping ASD for corrected signals, with offline correction, with different time windows



Figure 8. After online corrections at  $3 \times 10^4$  s: Overlapping ASD with respect to perfect signal

**Conclusion on simulation** As a conclusion, it can be said that the application of the correction algorithms to the simulated signals allowed us to validate the chosen correction methods, both the offline and online ones. Indeed, looking at the residuals after correction in Figure 9, one can see that the remaining variations for both methods are well within the experimental requirements as they stay within a few ns. Seven different simulations were produced to take into account statistical fluctuations and the remaining time variations were found to be for offline and online corrections respectively  $\sigma_{Off} = 0.64 \pm 0.06$  ns and  $\sigma_{On} = 1.15 \pm 0.07$  ns.

Finally, it is important to note that although this validates the methods for application on data, those are simplified simulations, in particular because only the main noise types are taken into account. As a result, we do expect differences of performance of the correction on real data. It is also possible that the optimal time window for the correction is slightly different for real data because the simulations are not exact representation of data. Two main differences can be noted: the absence of frequency drift and flicker noises in the simulated Rubidium signal and the fact that we assume a perfect signal to compare the Rubidium signal to when evaluating the OASD.



**Figure 9**. Comparison of time variations for simulated signals corrected with the offline method (blue) or with the sliding interval online method (pink)

### 296 2.2.3 Implementation on data

To check the impact of the correction we compare the Rubidium clock signal to that of another more stable clock, like a Passive Hydrogen Maser. The PHM signal plays the role of the perfect signal used for the simulations, while obviously not being perfect. This first difference is to take into account while comparing performances on simulated data to performance on experimental data. In the following, we will also quantify the stability of the Rubidium signal using the OASD of a series of frequency ratios (according to equation (10) of [31]) between this signal and the 5 MHz generated by the PHM. Measuring this ratio frequently, once per second for instance, would allow

to also evaluate the very short term stability of the corrected signal which is not possible with the 304 Septentrio measurements that are integrated over 16 minutes. We use the frequency counter to 305 provide a measurement per second of the Rubidium clock 5 MHz frequency  $f_{Rb}^{i}$  taking the PHM 306 5 MHz generated signal as a frequency reference  $f_{ref}$ . We then perform a simultaneous correction 307 of the Rubidium - GPS Time, as measured by the Septentrio receiver, and of this frequency ratio 308  $f^i = f_{Rb}/f_{ref}$  series. Comparing the OASD of the corrected frequency series to the uncorrected 309 one, one can quantify the short term stability (below 16 minutes) after correction while making 310 sure that the random walk was corrected. We can also use this comparison to optimize the value of 311  $\Delta t$  in order to achieve the lowest Allan Standard Deviation possible at all averaging time windows. 312

## 313 **3 Results**

In this Section, we present the results of the correction of the Rubidium clock time stamps obtained 314 for simultaneous measurements of  $\sim 50$  days with the Septentrio receiver and the frequency counter 315 with PHM 5 MHz signal as a frequency reference. The frequency measurements are divided by the 316 expected value to obtain a series of Rb/PHM frequency ratios. The OASD of such a frequency series 317 is shown in Figure 2. Note that the statistical uncertainty on the estimated OASD, due to the limited 318 number of samples per averaging time, are included as error bars for both curves (Rb and GPS) but 319 they are too small to be visible. Indeed for the Rb vs PHM OASD, the statistical uncertainty is at 320 the permil level. Up to an averaging time of around  $10^4$  s, the stability is limited only by the phase 321 white noise and then by the frequency white noise. After that, the OASD first increases as  $\tau^{1/2}$ 322 which is characteristic of the frequency random walk. From  $\tau \approx 5 \times 10^5$  s, the OASD increases 323 proportionally to  $\tau$ . This is characteristic of a deterministic frequency drift which can be easily 324 characterized and corrected for contrary to the frequency random walk. In comparison, the OASD 325 of the difference between GPS Time and UTC(OP) that we receive from the SYRTE laboratory 326 via White Rabbit network, is only limited by a phase white noise at least up to an averaging time 327 of  $5 \times 10^5$  s: the OASD keeps decreasing with the averaging time. At low averaging times, the 328 GPS stability is worse than that of the Rb because of this phase white noise: the GPS OASD is of 329 around  $3 \times 10^{-12}$  at 960 s compared to around  $7 \times 10^{-13}$  OASD for the Rubidium clock. However, 330 at around  $10^4$  s, the stability of the Rb signal becomes worse compared to GPS Time because of the 33 frequency random walk and drift of the Rubidium clock. 332

In this paper, we used only the GPS satellites with an elevation angle (angle between line of 333 sight and horizontal direction) larger than 15° to extract the Rubidium time residuals distribution. 334 During the whole data-taking period, for each data point, the Septentrio receiver was able to track an 335 average of 6.5 GPS satellites and at least 4 GPS satellites for each data point. To obtain the Rubidium 336 vs GPS Time difference, we take the mean value of the differences between the Rubidium clock 337 and each GPS satellite tracked in the same integration time window of the Septentrio receiver. The 338 obtained time difference is shown in Figure 10. The time difference shown here have already been 339 corrected for the deterministic drift discussed before as this can be easily monitored and corrected 340 for contrarily to the random walk. To correct for both this frequency drift, we performed a quadratic 341 fit of the first few days of Septentrio measurements and subtracted the results to the Septentrio data. 342 The frequency drift was measured at around  $10^{-18} s^{-1}$  which would induce a drift of 100 ns after 343 less than four days. Note that the correction of the frequency deterministic drift could be actualized 344

regularly with new fits of the time difference over a long enough period (a few days of data) but it was not found to be necessary for our example with 50 days of data-taking. In the following, the same initial correction of the frequency drift was applied to the Rb vs PHM data. The correction coefficients will be extracted from the residual time difference. Before correction, we see that after a few days of data-taking, the Rb clock can drift away from the GPS Time by more than a hundred nanoseconds because of the random walk noise.



**Figure 10**. Time difference between the Rubidium clock and GPS Time as measured by the Septentrio receiver. The data are already corrected for the deterministic drift of the Rubidium clock. The large variations are caused by the frequency random walk of the Rubidium clock.

## **351 3.1 Offline correction**

Figure 11 shows the Allan Standard Deviation of the Rubidium vs PHM data. Note that a relative 352 resolution of  $10^{-11}$  was chosen for the frequency measurement with the frequency counter. This 353 is lower than the Phase White Noise of the free-running Rubidium clock so it does not impact 354 significantly the Allan Standard Deviation. The blue curve shows the result for series corrected 355 only for the deterministic drift of the Rubidium clock, by subtracting the expected time distribution 356 of the series caused by this drift. Note that by correcting the deterministic drift, we also partially 357 correct for the frequency random walk such that the OASD decreases with the averaging times for 358  $\tau > 10^6$  s. The other colored curves show the results for the series corrected offline, with different 359 width of the correction time window. Here, we use quadratic fits of the Septentrio data (so  $a_k \neq 0$ 360 a priori). The frequency series were integrated to obtain time series to which we subtracted the fit 361 results. The shortest time window (2880 s) corresponds to approximately 3 Septentrio 16 minutes 362 epochs. The medium (10560 s) and largest (240,000 s) correspond respectively to 11 and 250 363 Septentrio data points. 364



**Figure 11**. Overlapping Allan Standard Deviation of the Rb/PHM frequency ratios series after the deterministic drift correction (in blue) and after the correction with a correction time window of 2880 s (orange), 10560 s (green) and 240,000 s (red). The best stability at both short and long averaging times is obtained for the medium time window (10560 s $\approx$  3 hours).

One sees that with the medium time window compared to the two others, we obtain the best 365 stability at all averaging times. At lower averaging times, the performance is very similar to the 366 uncorrected time series. At higher averaging times, the Allan Standard Deviation is much better 367 than the uncorrected series and is comparable to the one obtained for the shortest correction time 368 window. This illustrates the fact that both the 2880 s and 10560 s windows are able to correct 369 very well the frequency random walk ( $\tau^{1/2}$  component of the ASD) of the uncorrected time series. 370 However, with the shortest correction time window, the short term stability of the time series is 371 degraded compared to the uncorrected series: the value of the ASD at 1 s increases by a factor 372  $\sim$  1.5. In this scenario, the corrected Rubidium time signal gets very close to GPS Time which is 373 known to have a higher phase White Noise. Finally, the longest correction time window leads to a 374 similar stability as the shortest one at long term, and even poorer stability at  $\tau \in [10^4, 10^5]$  s. 375

Figure 12 shows the Rubidium vs GPS Time difference after the offline correction. The shorter 376 the correction time window, the better. However, with the medium length time window, we still 377 get time residuals lower than 5 ns over the whole data-taking period, which is well below the 378 requirements of HK. With the longest correction time window, jumps of a few tens of nanoseconds 379 are introduced in the time residuals. This explains the overall higher ASD: the stability of the signal 380 is limited by those jumps. These jumps can be understood by looking at the fit of the Septentrio 381 data in this scenario in Figure 13. The time scale of the variations in the data to fit is too small 382 compared to the 240,000 s time window. In consequence, the fitted tendency from one piece to 383 another is very different, and the fitted piece-wise polynomial is not continuous. 384



**Figure 12**. Time difference between the Rubidium clock and GPS Time after the offline correction. Three different correction time windows have been tested: 2800 s (orange), 10560 s (green) and 240,000 s (red). These residuals can be compared to the residuals before correction that were shown in Figure 10.



**Figure 13**. Time difference between the Rubidium clock and GPS Time. The red portions show the results of the polynomial fit over consecutive time windows of 240,000 s. The fit sometimes fail to represent the shorter time scales variations of the measured data. The poor fit quality can then lead to introducing jumps in the corrected time signal.

With the offline version of the corrections, we thus obtain a very good synchronization to GPS Time at the level of a few nanoseconds with the 10560 s time window. However, this version of the correction cannot be applied in real time. In the following paragraphs, we show the results for the online version of the correction that can be applied in real time to correct the time stamps of events in physics experiments.

## **390 3.2 Online correction**

Figure 14 shows the Allan Standard Deviation of the uncorrected (blue) and online corrected (other colors) Rubidium vs PHM frequency times series. The same three time window intervals as in the offline correction scenario are considered. The top panel shows the results using quadratic fits of the Septentrio data and the bottom panel shows the results with linear fits. For the shortest and medium correction time windows, the linear fits lead to better performance with a lower OASD at low averaging times. At 1 s, the OASD with the shortest (medium) correction time window is reduced by a factor 4 (resp.  $\sim 1.5$ ).

This behavior is very understandable looking at the number of degrees of freedom (number of 398 data points - number of free parameters) in our fits. For the shortest time windows, the number of 399 degrees of freedom is relatively low (0 and 8) in case of quadratic fits so we risk over-fitting to the 400 past data in order to correct the present data. This number of degrees of freedom is less relevant in 401 the offline correction as the fit is performed on the same data as the correction (the over-fitting is not 402 a problem here). Lowering the number of free parameters is one way of increasing the degrees of 403 freedom hence allowing the fit to better generalize to the present data. Another way to increase the 404 number of degrees of freedom is to increase the number of data points in the fit. For the longest time 405 window, there are 247 degrees of freedom in the quadratic fit so we lower the risk of over-fitting. 406 On the contrary, in that case, quadratic fits lead to a slightly better correction of the random walk 407 that limits the stability only up to  $\tau \approx 8 \times 10^4$  s whereas with linear fits, it limits the stability up to 408  $\approx 2 \times 10^5$  s. Here, Figure 14 shows a clear degradation of the stability after correction for averaging 409 times lower than the correction window's length. This is a known effect from linear servo loop 410 theories and periodic perturbations of oscillators [32] and it could be attenuated by scaling down 411 the correction: instead of subtracting the result of the fit, we could subtract only a fraction of it. 412 Additionally, degradation of short term stability because of over-fitting on data from the past is, 413 also visible in the OASD of the Rb vs GPS time difference after correction shown in Figure 15. 414 This plot also illustrates that the corrected series stability, compared to GPS Time, is not limited by 415 any frequency random walk at least up to an averaging time of  $2 \times 10^6$  s and with a correction time 416 window short enough. Indeed, in that case, the OASD keeps decreasing with increasing averaging 417 time. 418

Regarding the stability of the corrected Rubidium clock, using linear fits, the conclusions are 419 the same as for the offline correction. The lowest Allan Standard Deviation, for all averaging times, 420 is achieved with the medium width correction time window. With the shortest time window, the 421 short term stability is degraded, whereas it is the long term stability that is degraded (compared to 422 the other corrected scenarios) with the longest correction time window. Note that, contrary to the 423 offline correction, the online correction with very long time windows does not deteriorate the short 424 term stability. This is due to the use of "overlapping" windows of Rb vs GPS data. Between two 425 consecutive fits, there is only one data point out of the 250 used that changes (the oldest one from 426



**Figure 14**. Overlapping Allan Standard Deviation of the Rb/PHM frequency ratios series after the deterministic drift correction (in blue) and after the online correction with a correction time window of 2880 s (orange), 10560 s (green) and 240,000 s (red). The data were fitted with quadratic (top) or linear (bottom) functions of time. A better stability, similar to the offline correction, can be obtained using linear fits.



**Figure 15**. Overlapping Allan Standard Deviation of the Rb vs GPS Time residuals series after the deterministic drift correction (in blue) and after the online correction with a correction time window of 2880 s (orange), 10560 s (green) and 240,000 s (red). Note that before the deterministic drift correction, the OASD of this signal is the combination of the GPS Time OASD at low  $\tau$  and the Rubidium OASD at high  $\tau$ , i.e. the combination of the blue and orange curves of Figure 2. The deterministic drift correction slightly smooths the residuals so that the OASD becomes generally lower and the frequency drift and random walk at high  $\tau$  disappears, hence the decreasing OASD in the blue curve at very high  $\tau$ . The time residuals were fitted with quadratic functions of time. The increase of OASD at 1 s averaging time with decreasing correction time window is consistent with what is observed in the Rb/PHM frequency ratios series after online correction. The less degrees of freedom in the fit, the more we risk over-fitting on past data and lowering the short term stability of the signal.

the previous fit is replaced by the newest point). The fit parameters cannot change too abruptly from
 one fit to another so the resulting distributions are smooth.

If the correction time window is too wide, we cannot correct as well the frequency random 429 walk of the free-running Rubidium: the risk is that the Rubidium time signal locally drifts too far 430 away from the GPS Time. This can be observed in the corrected Rubidium against GPS Time in 431 Figure 16 where the maximum difference reaches  $\sim 60$  ns (or  $\sim 25$  ns with quadratic fits) with the 432 240,000 s correction time window. With the 10560 s correction time window, the differences stay 433 in the  $\pm 5$  ns range. Once again, one can see the reduction of the white noise when using linear 434 instead of quadratic fits for the 2880 s correction time window scenario: the residuals are contained 435 in a  $\pm 5$  ns range with linear fits instead of  $\pm 12$  ns with quadratic fits. Before correction, as the reader 436 saw in Figure 10, the free-running Rubidium clock can drift by around 100 ns in 10 days which 437 means that HK's requirement for the synchronization with UTC is not met. After online correction 438 with the longest time window tested, the corrected Rubidium time stamps drift by around 60 ns in 439



**Figure 16**. Time difference between the Rubidium clock and GPS Time after the online correction. Each point is corrected using a quadratic (top) or linear (bottom) fit of the 2800 s (orange) or 10560 s (green) or 240,000 s (red) of data points prior to this point. Using linear fits leads to smaller residuals for the shortest time window and bigger ones for the longest time window.

a few days because of remaining random walk noise. Even though during the 50 days data-taking
period the time residuals with respect to GPS Time does not exceed 100 ns, it is not possible to
safely claim that the Rubidium clock drift will not exceed HK's requirement of 100 ns if we use the
240,000 s correction time window. With shorter time windows, this drift seems to be dominated
by white noise and is thus contained in a range of a few nanoseconds.

## 445 **4 Discussion**

As advertised before, the advantage of the so-called online correction is that it could be performed in 446 real-time. This is an important feature for applications that necessitate a real-time synchronization 447 with UTC or with another site (like the future HK or DUNE experiments). If a reference clock 448 signal is generated with an atomic clock (like the Rubidium clock used here) and sent to a data 449 acquisition system to be propagated to detectors and provide time stamps, one could continuously 450 compare this signal to GPS Time using a Septentrio receiver. The correction coefficients a, b and 451 c calculated from the Septentrio data would need to be sent to the data acquisition system so that it 452 could correct the time stamps in real-time. 453



**Figure 17**. Standard deviation of the residuals distributions between the Rb and the GPS Time after the offline (blue) or online (orange) correction as a function of the correction time window. Quadratic fits of the Septentrio data are used for the offline correction whereas linear fits are used for the online correction. The performance on simulated data is also shown for the 10560 s time window with triangle markers.

Figure 17 shows the standard deviation of the Rb vs GPS Time difference after correction as a function of the correction time window's width. The performance of the offline and online corrections on experimental data (colored dots) are compared to the performance we had obtained on simulated data (colored triangles) with a correction time window of 2880 s, 28800 s and

240000 s. Note that these simulated data were only taking into account phase white noise, frequency 458 white noise and frequency random walk components. No additional uncertainties were added 459 to take into account other types of noise (e.g. flicker noise) or experimental conditions (e.g. 460 imperfect calibrations, imperfect PHM time signal). These differences can explain the slightly 461 better achievable performance obtained on simulated data (0.64-1.15 ns at 28,800 s) compared 462 to experimental data (0.81-1.67 ns at 28,800 s) and the fact that the residuals are minimal with 463 a time window of 28,800 s with simulated data and 10,560 s with experimental data. For both 464 corrections, very similar performance of synchronization with GPS Time are obtained for correction 465 time windows below 30,000 s so there is no need to have much shorter windows. This result is 466 consistent with the fact that, as seen in Figure 2, the stability of the Rubidium signal becomes 467 limited by the frequency random walk for averaging times around  $10^4$  s. It is also for similar 468 averaging time windows that the Rubidium clock stability becomes worse than that of GPS Time. 469 It was thus expected to find that similar correction time windows or shorter ones would be needed 470 to efficiently correct for the random walk. The offline correction seems to provide a slightly 471 better synchronization to GPS Time (down to  $\sim 1$  ns) but the precision achievable with the online 472 correction is already more than satisfying (better than 5 ns for correction time windows below 473 100,000 s) for synchronization between several experimental sites. Indeed, the needed level of 474 synchronization is usually of the order of 100 ns for those applications. 475

Note that the algorithms presented here were optimized to correct time stamps, provided by a 476 free-running Rubidium clock, that were already corrected to eliminate the impact of the Rubidium 477 clock frequency linear drift. This initial correction was done here once and for all by fitting the 478 first few days of the time difference with respect to the GPS Time. This was found to be enough 479 for a data-taking of around 50 days. However, for a longer data-taking, one could regularly update 480 this preliminary correction by fitting again the last few days of Septentrio data and subtracting the 481 fit result before moving to the fit with shorter time windows (typically 10, 560 s) dedicated to the 482 random walk correction. 483

# 484 **5** Conclusions

In this paper, we presented a simple way to use time comparisons to GPS Time to synchronize the time stamps, generated using a free-running Rubidium clock, close to UTC while preserving its short term stability and correcting the long term frequency random walk. This method has the advantage of using relatively cheap instruments and to be applicable online for a real-time synchronization as well as to be robust against GPS signal reception failures. The online method could be applied for the real-time synchronization between several experimental sites in long-baseline neutrino physics experiments.

This method consists in fitting the GPS Time vs Rb measured by a GNSS receiver with a piece-wise polynomial function of time and in subtracting the result to the generated time stamps. The method was first designed and validated with simulated signals before assessing its performance on real data. We evaluated the performance of this correction by quantifying the stability of the clock signal before and after the correction using the Overlapping Allan Standard Deviation. We showed that the optimal length of the time window for the fit of the GPS Time vs Rb seats around 10,000 seconds, corresponding to 11 data points from the receiver. This time window allowed to

maintain the best possible short term stability while correcting efficiently the frequency random 499 walk. After correction with this time window, the difference to GPS Time stays within a window 500 of  $\pm 3.5$  ns ( $\pm 5$  ns) for the offline (resp. online) correction during the whole period of  $\sim 50$ 501 days of measurement. This performance largely meets the usual requirements for long-baseline 502 neutrino physics experiments, like Hyper-Kamiokande and DUNE. Note that we do not expect the 503 performance of the correction to be heavily degraded by isolated missing or outlier measurements 504 from the receiver. However, this correction requires a constant monitoring of the Rubidium time 505 signal with a GNSS receiver (or other reference that can be linked to UTC). One should thus make 506 sure that such a reference is available in the long term and that there is no possibility to loose it for 507 long periods (e.g.: several hours). 508

509

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