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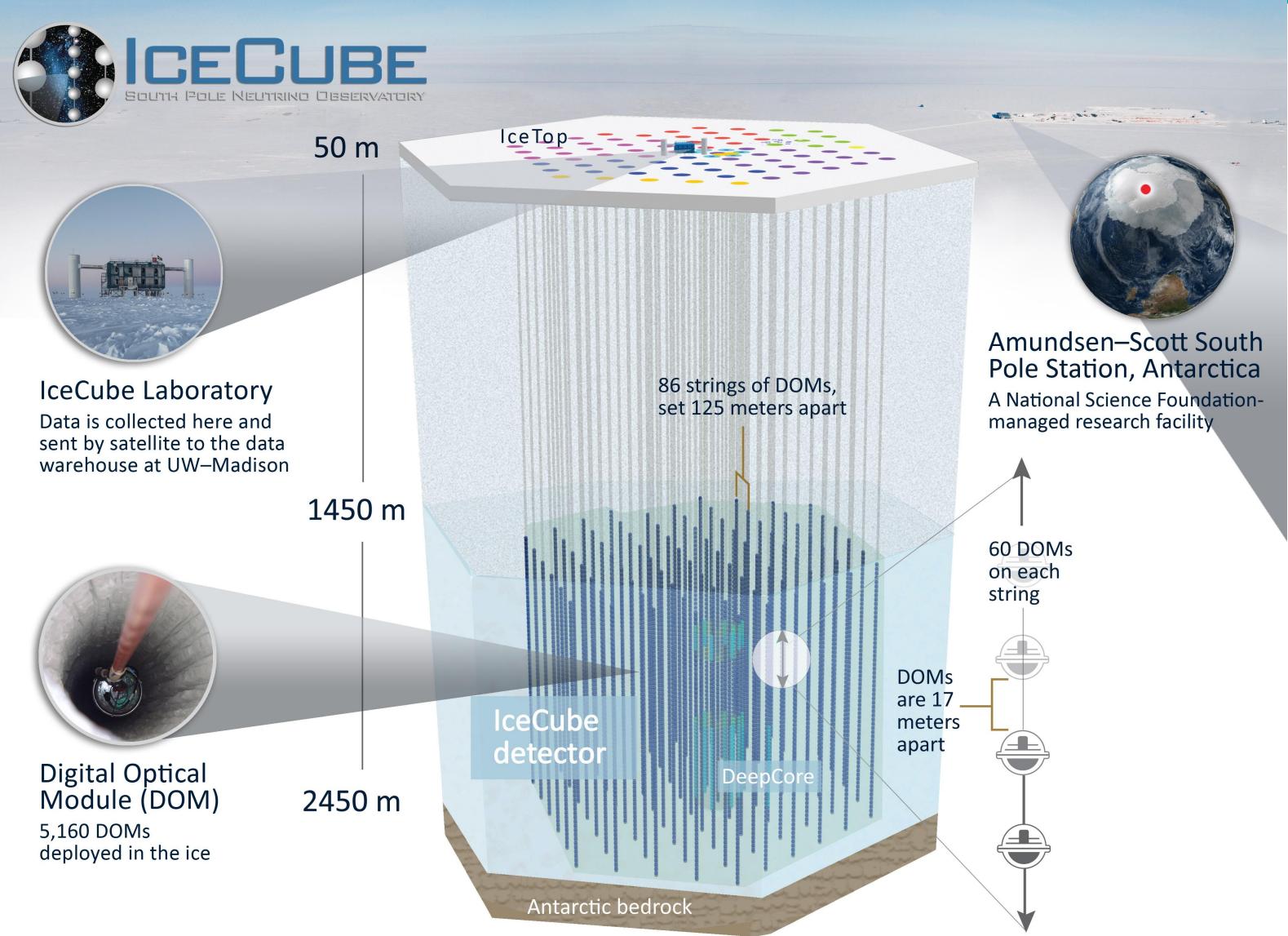
#### INTERACTIONS

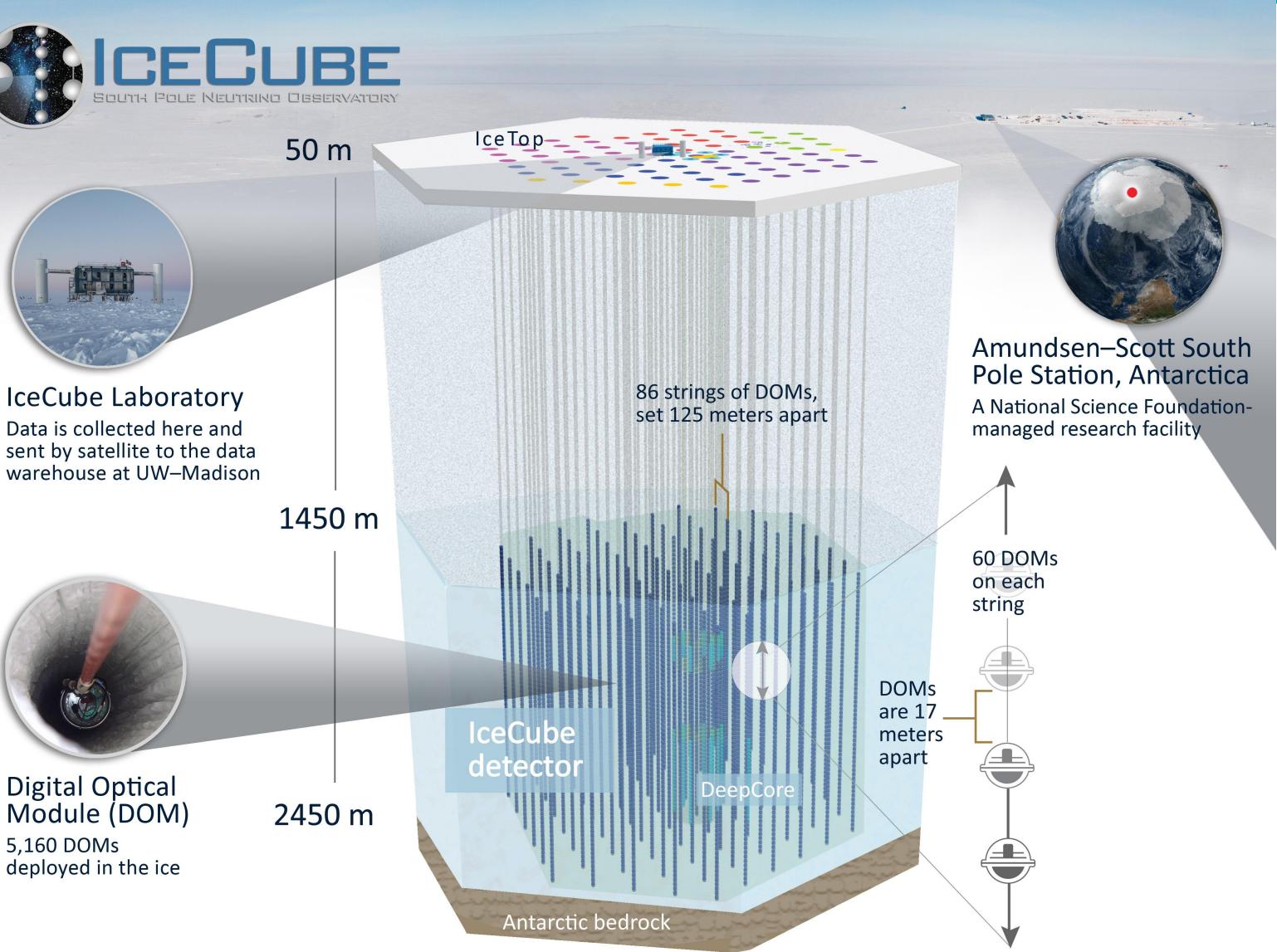
# **PolarBERT: A Foundation Model for IceCube**

Inar Timiryasov, Jean-Loup Tastet, Oleg Ruchayskiy Niels Bohr Institute and DIKU, University of Copenhagen

Heterogeneous Data and Large Representation Models in Science 2024-09-30, Toulouse







- Neutrino telescope
- Located at the South Pole lacksquare
- Detector volume: 1 cubic kilometer
- Oftentimes observes through Earth
- Public dataset from <u>Kaggle</u> **Competition 130 million events**



### IceCube event

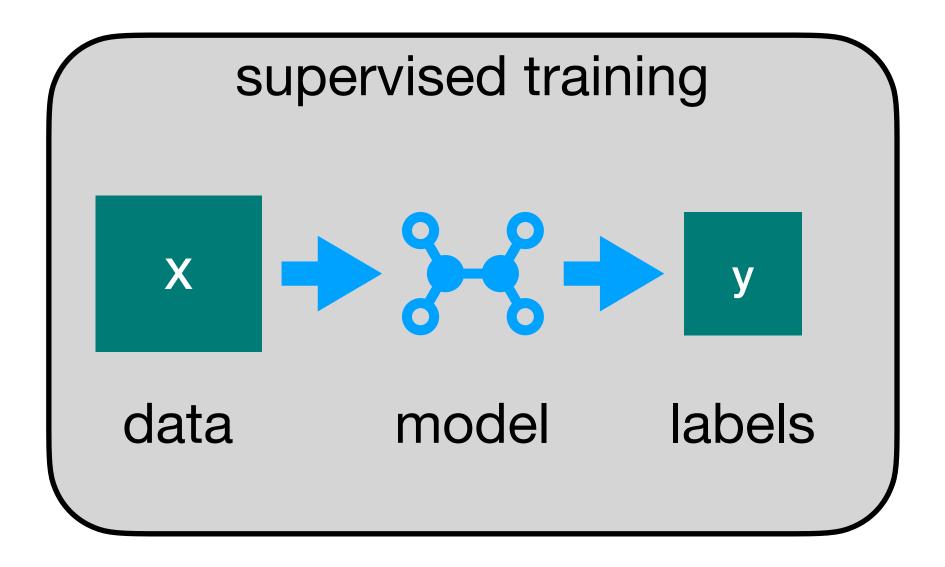
https://youtu.be/OXSqiPLn9CM?si=nnvKH0WpJgE\



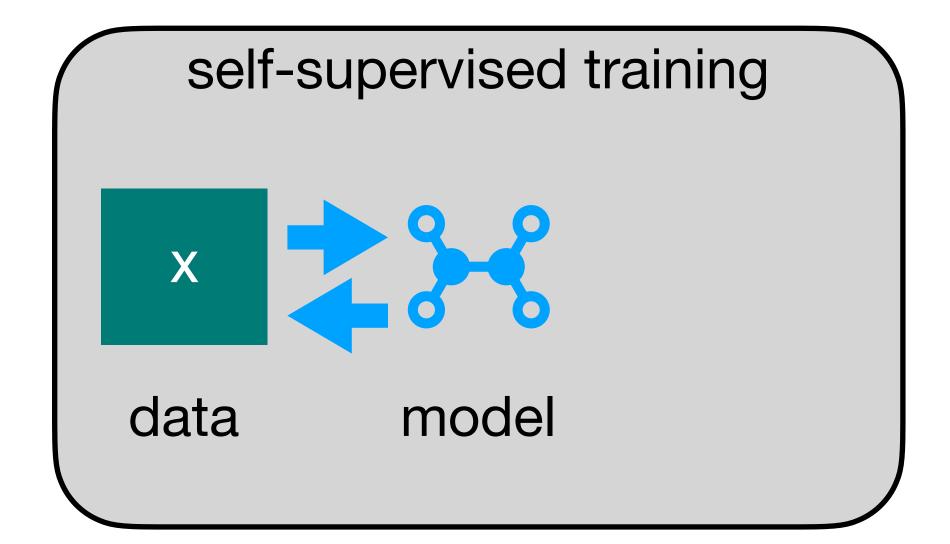
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## What do we mean by "foundation models"?

- Initially, the term has been coined for models like BERT and GPT-3 lacksquare2108.07258 "On the Opportunities and Risks of Foundation Models"
- can be fine-tuned for downstream tasks.



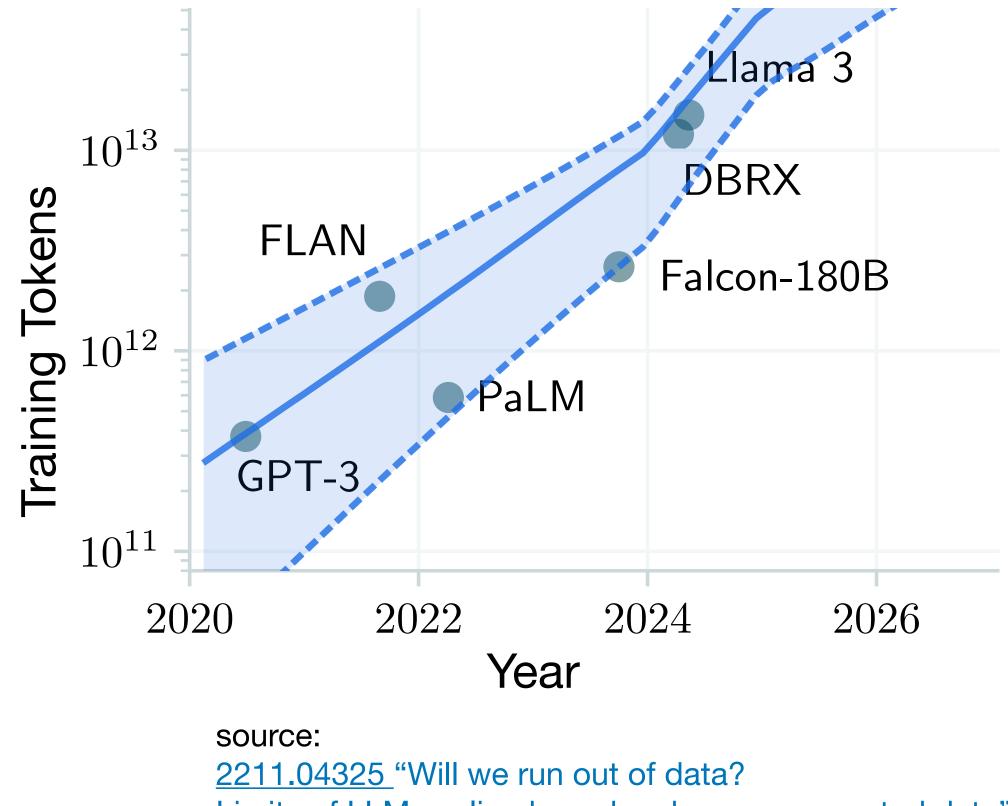
• Here, by foundational models we mean the models that are pretrained in a self-supervised way and



### Success of self-supervise training

# Outside physics:

- Labeled data is limited  $\bullet$
- Unlabeled data is abundant  $\bullet$ (text, image, video)
- Led to genAl revolution lacksquare



Limits of LLM scaling based on human-generated data"

#### BERT - 3.3B tokens

1810.04805 "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding"

### Success of self-supervise training

#### • No signs of stopping!

#### Power

Probably the single biggest constraint on the supply-side will be power. Already, at nearer-term scales (1GW/2026 and especially 10GW/2028), power has become the binding constraint: there simply isn't much spare capacity, and power contracts are usually long-term locked-in. And building, say, a new gigawatt-class nuclear power plant takes a decade. (I'll wonder when we'll start seeing things like tech companies buying aluminum smelting companies for their gigawatt-class power contracts.<sup>57</sup>)

https://situational-awareness.ai/ Leopold Aschenbrenner, June 2024

#### MICROSOFT / TECH / SCIENCE

#### Microsoft wants Three Mile Island to fuel its AI power needs



/ Microsoft has signed a 20-year deal to exclusively access 835 megawatts of energy from a nuclear plant.

By Tom Warren, a senior editor and author of Notepad, who has been covering all things Microsoft, PC, and tech for over 20 years. Sep 20, 2024 at 2:23 PM GMT+2

69 Comments (69 New)

Photo by Andrew Caballero-Reynolds / AFP via Getty Images



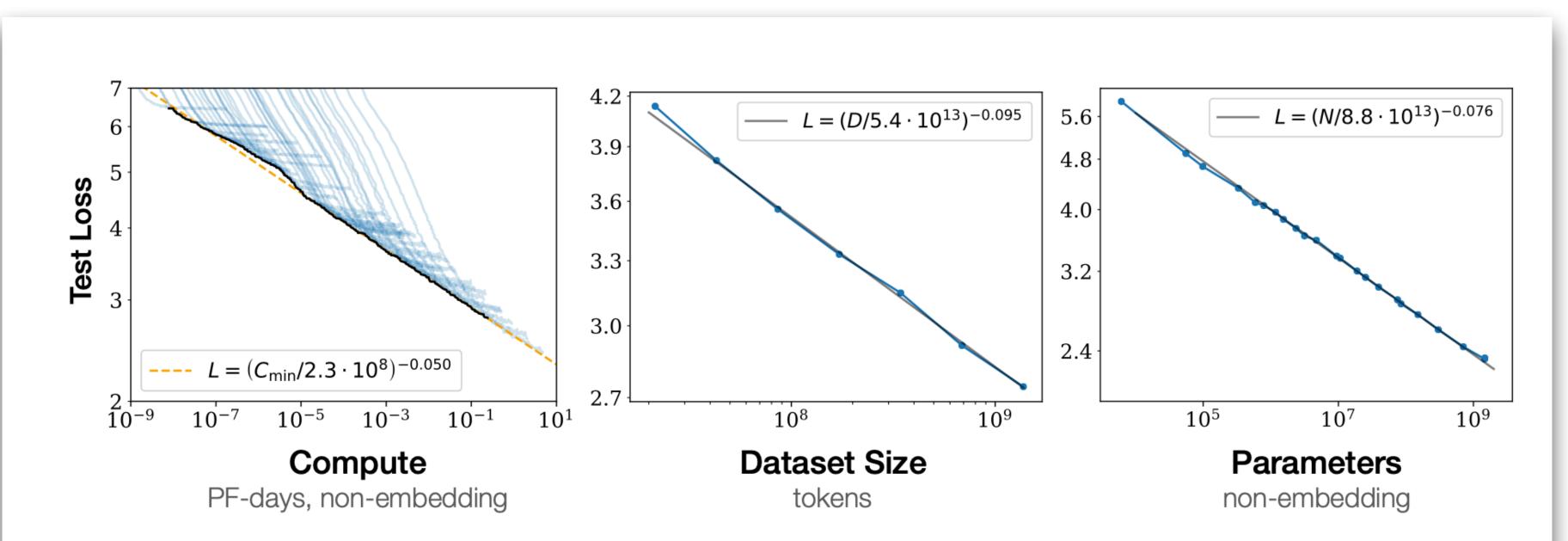
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# Self-supervise training: Scaling Laws

#### Performance predictably improves with scale



bottlenecked by the other two.

**Figure 1** Language modeling performance improves smoothly as we increase the model size, datasetset size, and amount of compute<sup>2</sup> used for training. For optimal performance all three factors must be scaled up in tandem. Empirical performance has a power-law relationship with each individual factor when not

> https://arxiv.org/pdf/2001.08361 Scaling Laws for Neural Language Models



## Self-supervised learning in physics

- High quality synthetic data
- Real data is extremely abundant
- Scaling has not been tested yet

#### An exabyte of disk storage at CERN

CERN disk storage capacity passes the threshold of one million terabytes of disk space

29 SEPTEMBER, 2023 | By Tim Smith



A fraction of the 111 000 devices that form CERN's data storage capacity. (Image: CERN

#### source: https://home.cern/news/news/computing/exabyte-disk-storage-cern



### Foundation models in particle physics

- Pre-training strategy using real particle collision data for event classification in collider physics https://arxiv.org/abs/2312.06909 Tomoe Kishimoto, Masahiro Morinaga, Masahiko Saito, Junichi Tanaka
- **Finetuning Foundation Models for Joint Analysis Optimization** https://arxiv.org/abs/2401.13536 Matthias Vigl, Nicole Hartman, Lukas Heinrich
- Masked Particle Modeling on Sets: Towards Self-Supervised High Energy Physics Foundation Models https://arxiv.org/abs/2401.13537 Lukas Heinrich, Tobias Golling, Michael Kagan, Samuel Klein, Matthew Leigh, Margarita Osadchy, John Andrew Raine
- A Language Model for Particle Tracking https://arxiv.org/abs/2402.10239 Andris Huang, Yash Melkani, Paolo Calafiura, Alina Lazar, Daniel Thomas Murnane, Minh-Tuan Pham, Xiangyang Ju
- **OmniJet-a:** The first cross-task foundation model for particle physics https://arxiv.org/abs/2403.05618 Joschka Birk, Anna Hallin, Gregor Kasieczka
- **Re-Simulation-based Self-Supervised Learning for Pre-Training Foundation Models** https://arxiv.org/abs/2403.07066 Philip Harris, Michael Kagan, Jeffrey Krupa, Benedikt Maier, Nathaniel Woodward
- **OmniLearn: A Method to Simultaneously Facilitate All Jet Physics Tasks** https://arxiv.org/abs/2404.16091 Vinicius Mikuni, Benjamin Nachman

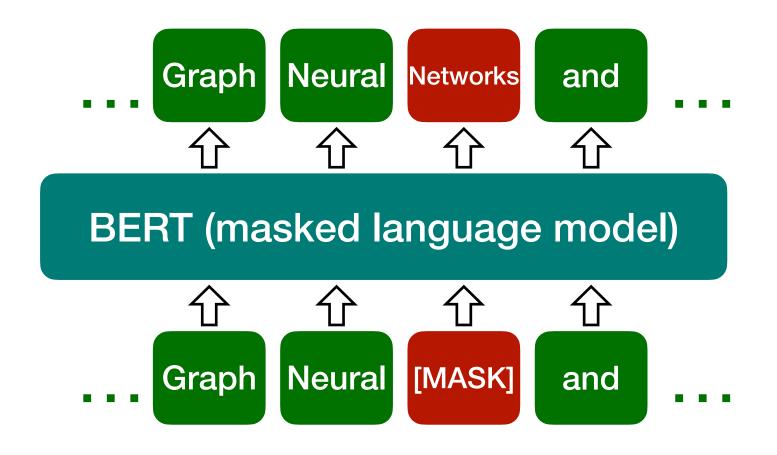
#### (a very incomplete list)



## Challenges of self-supervise learning in particle physics

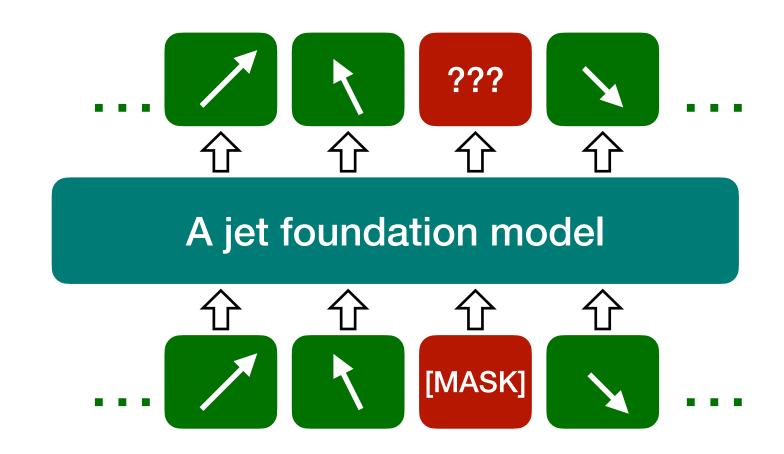
## **BERT** (Bidirectional Encoder Representations from Transformers)

predict the distribution of a token from a discrete set



A jet foundation model

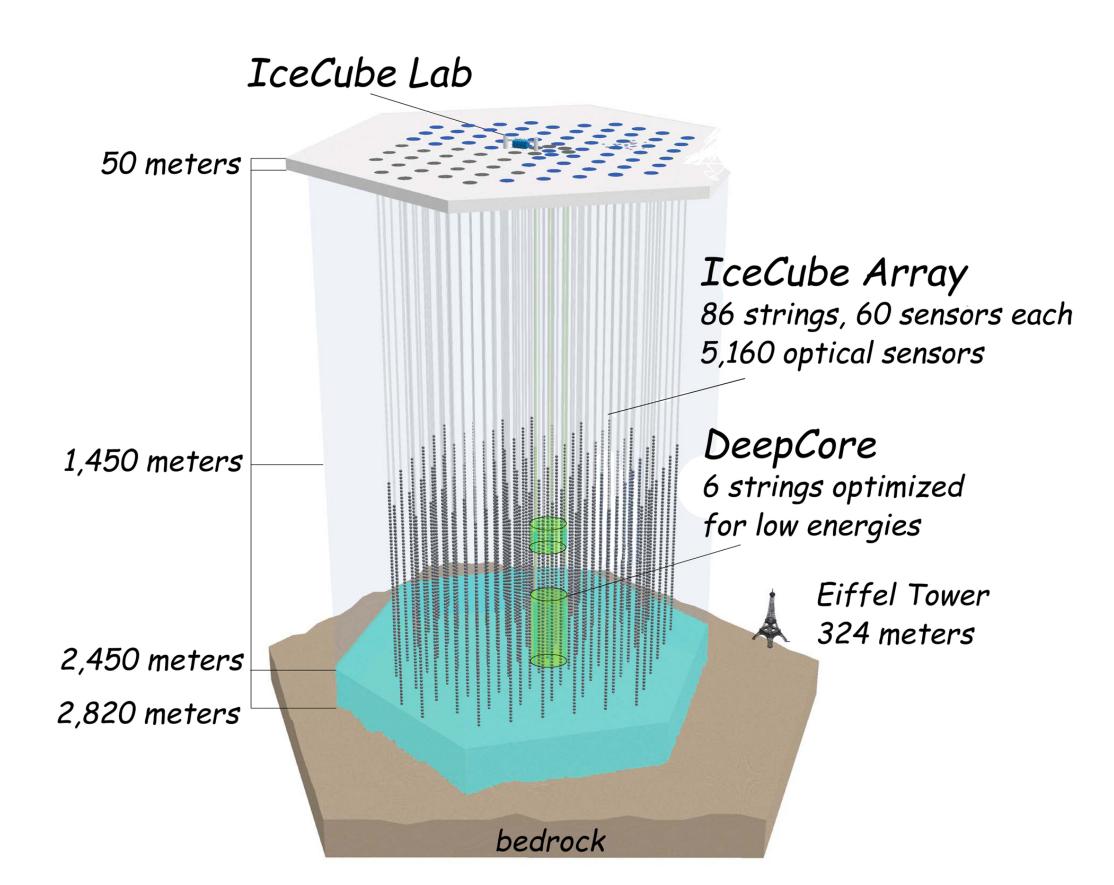
How to predict a continuous 4-vector?



Usually lossy discretization: - VQ-VAE (2401.13537, 2403.05618) - pixelization (2402.10239)

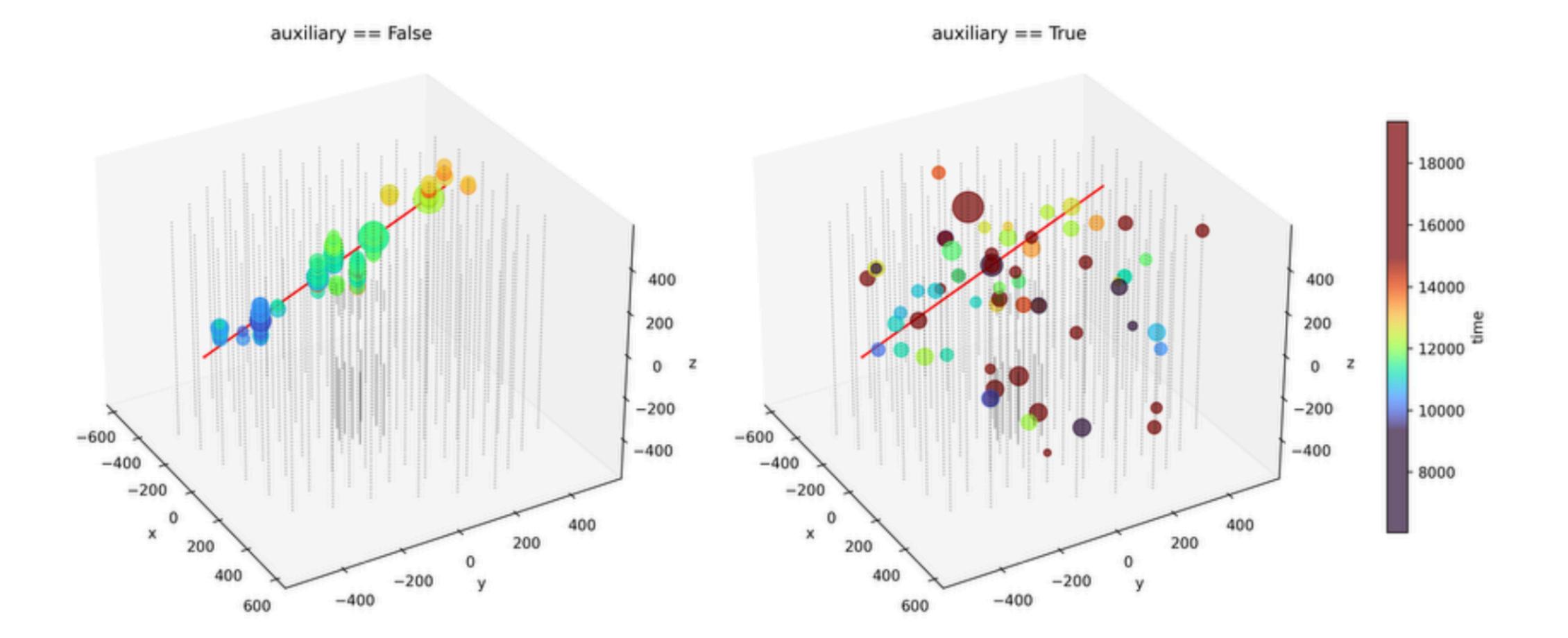
## Challenges of self-supervise learning in particle physics

- How to predict a continuous 4-vector?
- Usually lossy discretization:
   VQ-VAE (2401.13537, 2403.05618)
   pixelization (2402.10239)
- How to sort 4-vectors?
- IceCube
  - 5160 DOMs natural "tokenization"
  - Pulses have timestamps

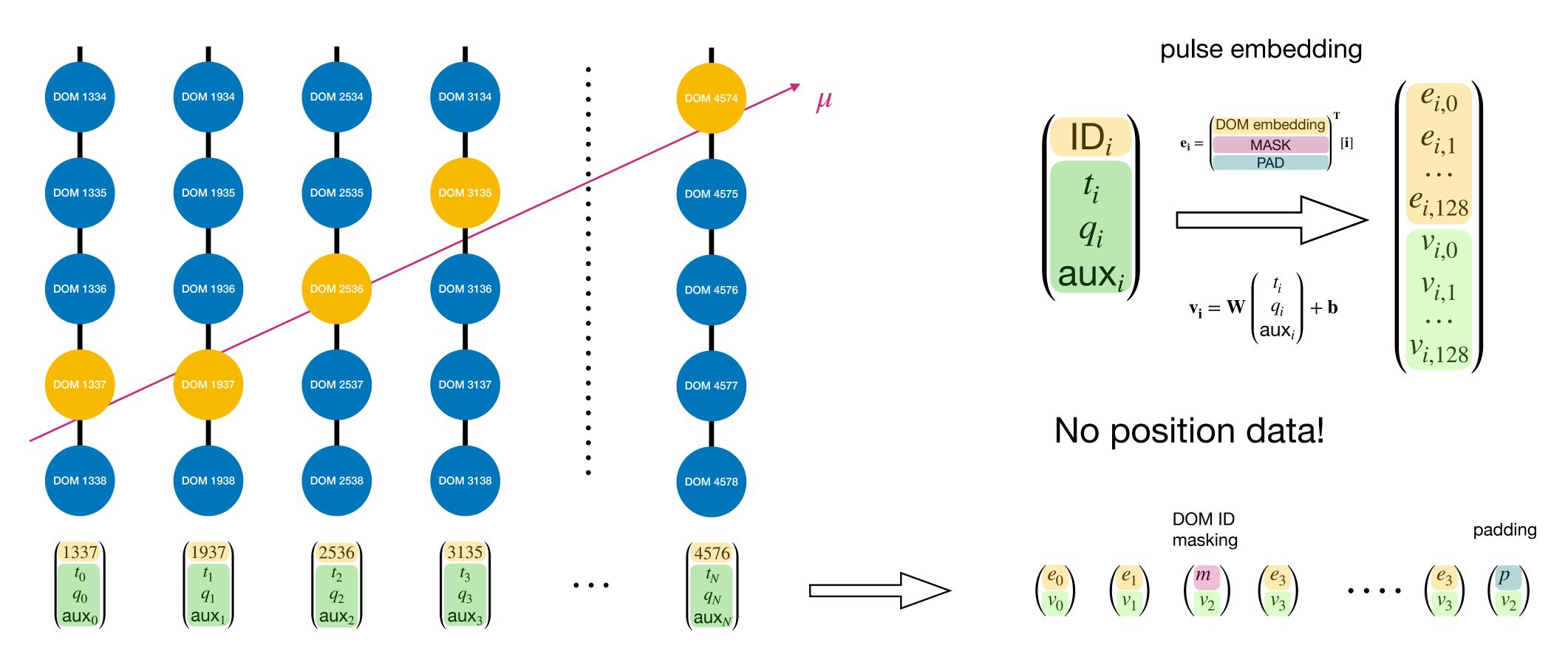


#### IceCube event

Example event from the dataset: (azimuth = 4.86 rad, zenith = 1.96 rad)



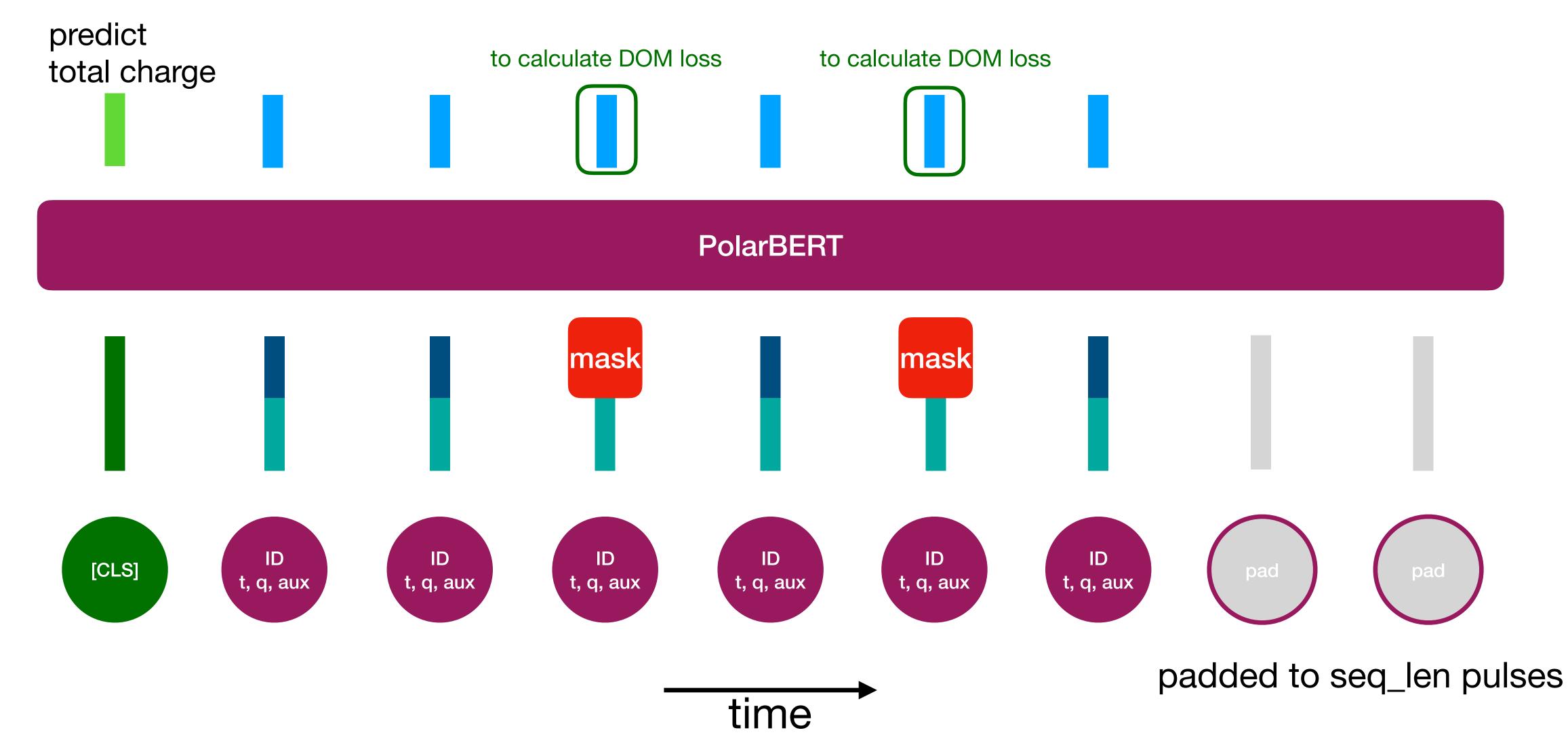
## IceCube Embedding



pulses (arranged by time)

time-series (padded to fixed length)

## Pretraining





## Pretraining: DOM loss

- The detection process is inherently stochastic ullet
- We cannot predict the next DOM with certainty lacksquare
- Similarly to LLMs, we use cross-entropy ullet(but other option are possible: Earth Mover's Distance, Chamfer distance)

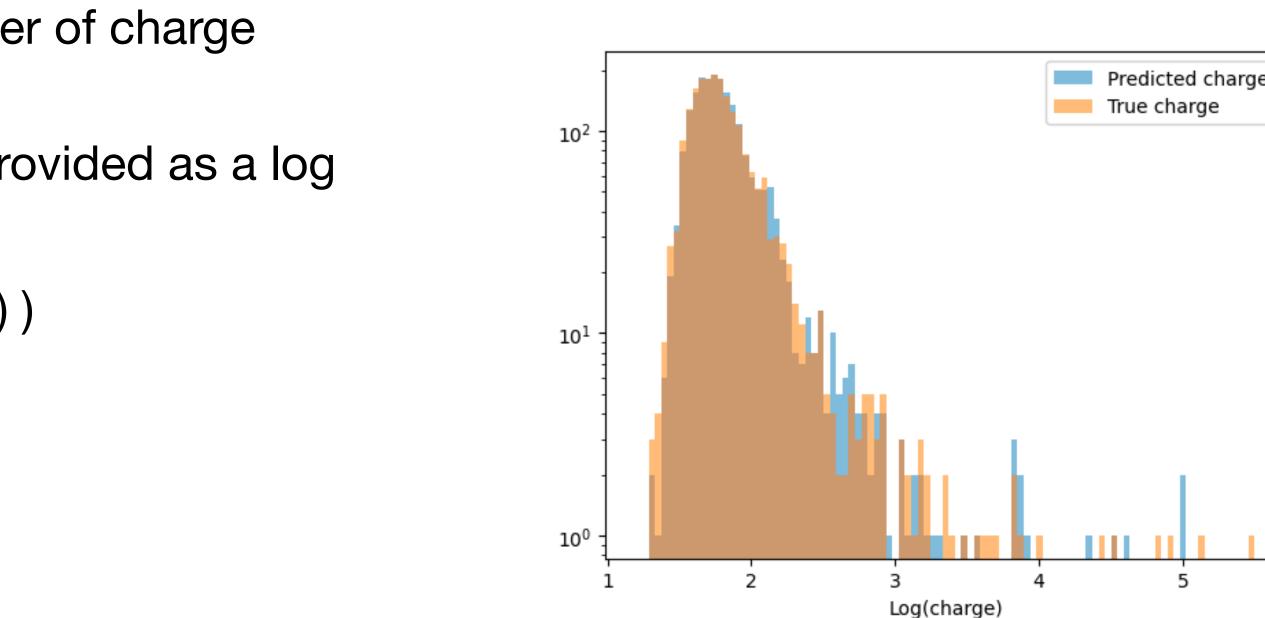
• DOM-loss: 
$$L_{CE} = -\frac{1}{N} \sum_{i=1}^{N} \log(p_i)$$
, the sum of

Use only aux=false (HLC) pulses! aux=true pulses are impossible to predict. lacksquare

over N masked doms

- The model has to learn how to collect useful information in [CLS] embedding for the future use on downstream tasks.
- We need some feature that is not directly accessible to the model, but can be obtained from the data (no labels)
- Candidates: the total charge of the event, center of charge
- We subsample the events, and the charge is provided as a log
- Charge prediction loss: MSE(log(total charge))

#### Pretraining: regression loss

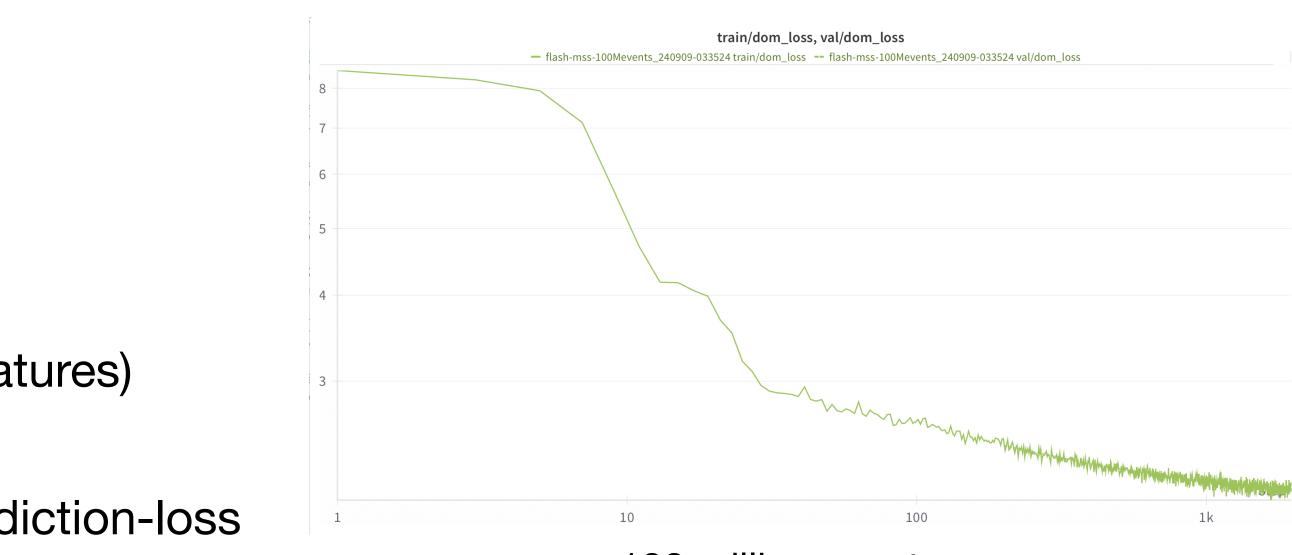




## **PolarBERT: Foundation Model For IceCube**

- Backbone: transformer (could be GRU, Mamba)
- Pretraining:
  - Subsample events to seq\_len (currently 128)
  - input: (DOM embedding)  $\bigoplus$  (projection of features)
  - loss function = DOM-loss +  $\lambda \times$  charge-prediction-loss
- Fine-tuning for downstream tasks
- <u>IceCube kaggle MC data for both pretraining and finetuning</u> (studies using real data can be only published by the collaboration)



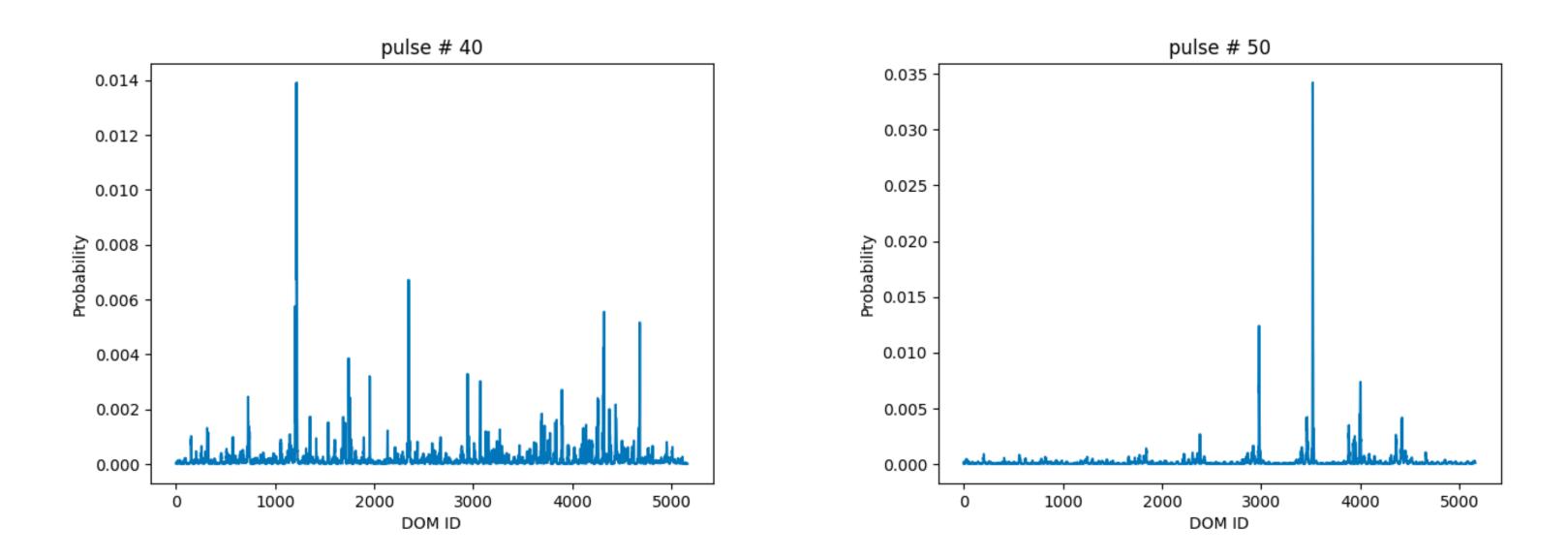


100 million events

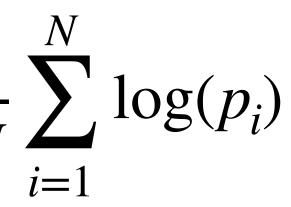
BERT: 3,300M tokens PolarBERT: 127,000M "tokens" (100M events x 127 pulses)

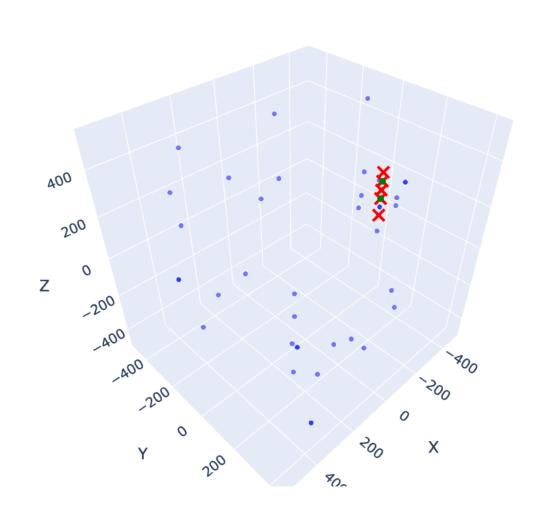
## Interpreting the DOM Loss

 $L_{CE} = -\frac{1}{N}$ 



#### some uncertainty about the string and the DOM

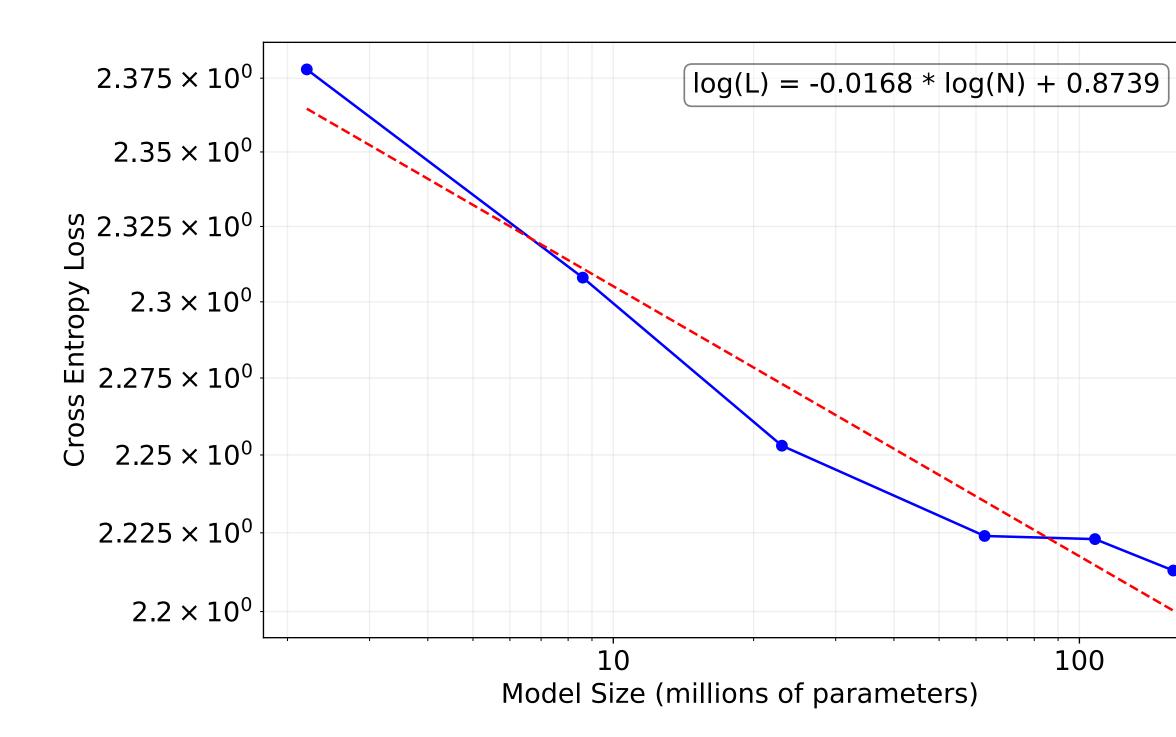




Event DOMs
Masked DOMs
Predicted DOMs

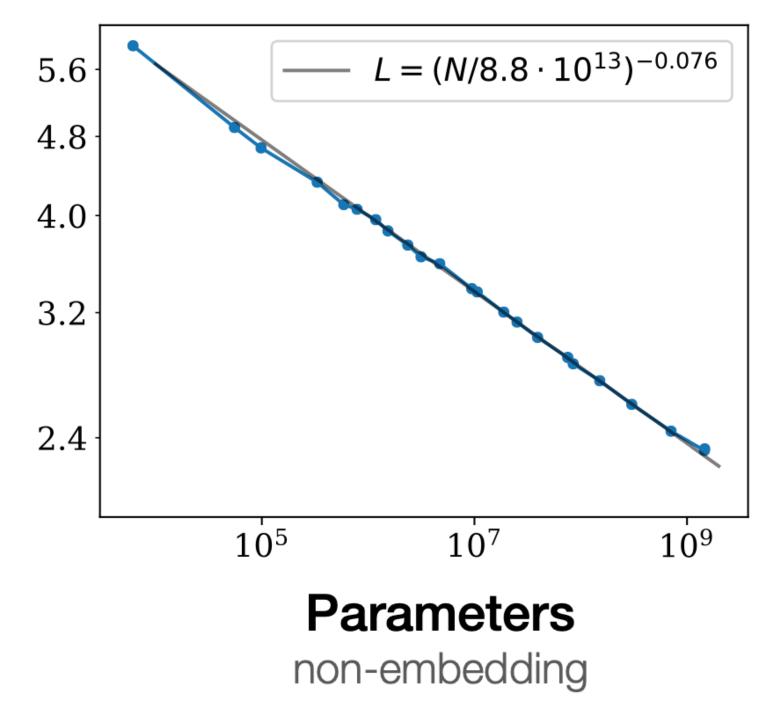
### Model Size Scaling

#### PolarBERT



Models trained on 10M neutrino events

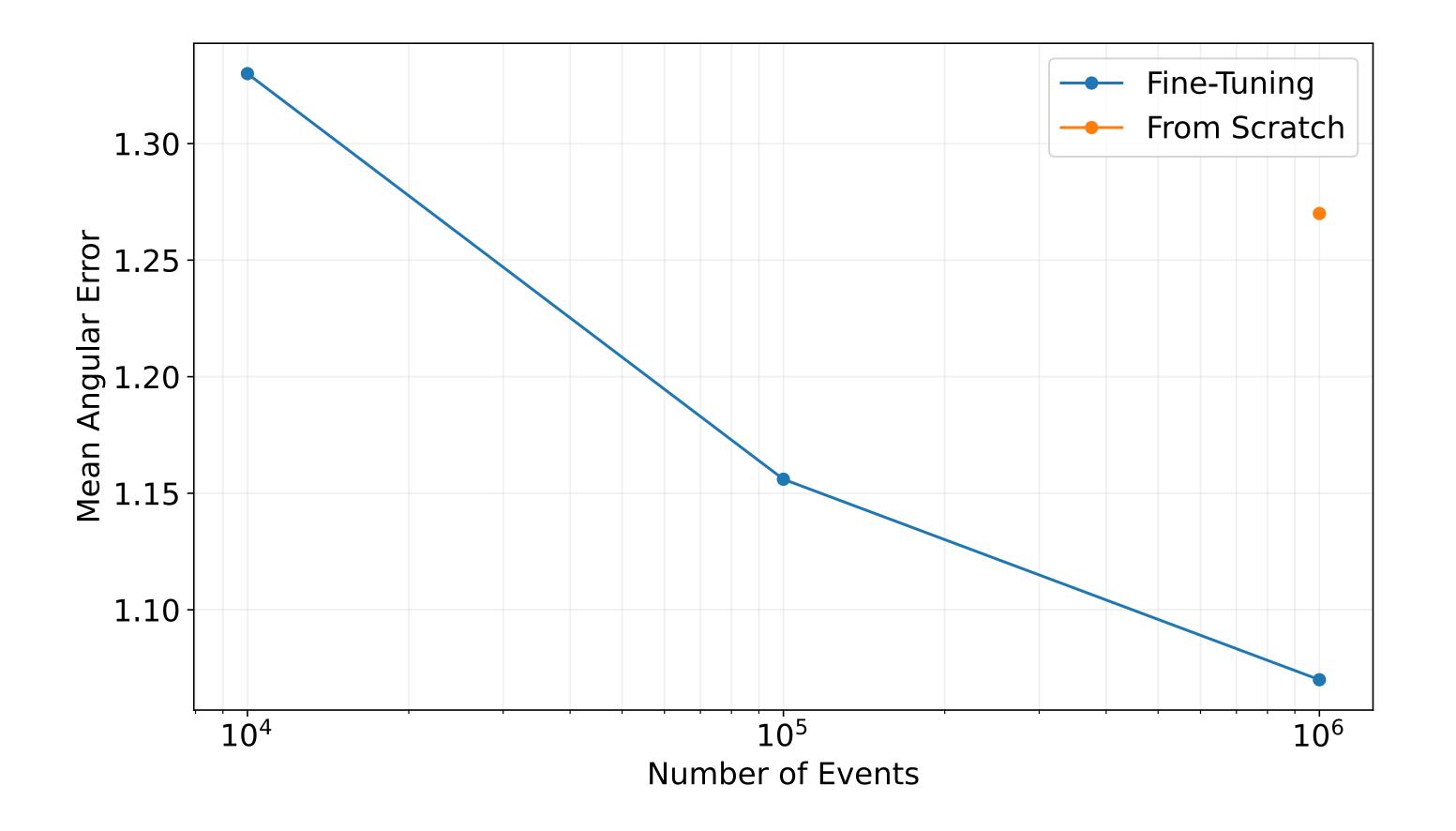




Models trained to convergence Kaplan et all, 2020



# Finetuning (Directional Reconstruction)



- Pretrained model can be lacksquaresuccessfully fine-tuned on a downstream task
- We add a "prediction head": an MLP ulletto the [CLS] embedding output
- Train resulting model with direction labels
- Fine-tuning is sample efficient ullet
- Allows to experiment with the  $\bullet$ architecture of the fine-tuned model



- Prometheus data for fine tuning (different labels)  $\bullet$ A few million events
- Dataset size scaling what are the returns from scaling in particle physics?  $\bullet$
- A more systematic study to address specific architecture choices



• Pretraining for more than one epoch (cf 2305.16264 "Scaling Data-Constrained Language Models")

- The hybrid embedding approach and masking strategy are effective in capturing relevant information from unlabeled data.
- A clear scaling law in pre-training performance, similar to that seen in large language models.  $\bullet$
- There are significant improvements in sample efficiency and performance when fine-tuning the pretrained model compared to training from scratch.

