

# Fundamental physics with gravitational waves

Chris Van Den Broeck

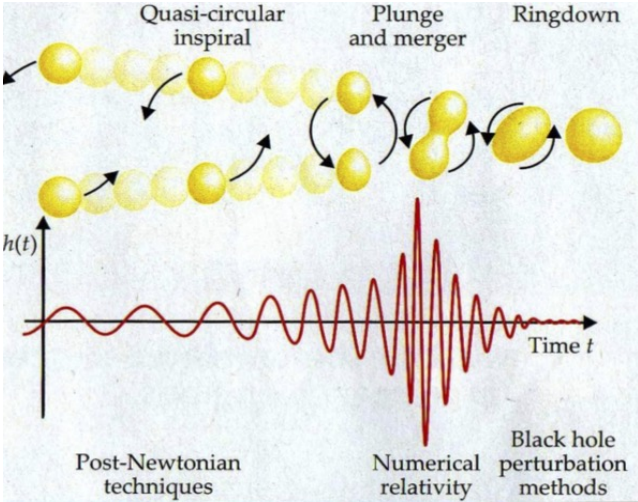


Utrecht University

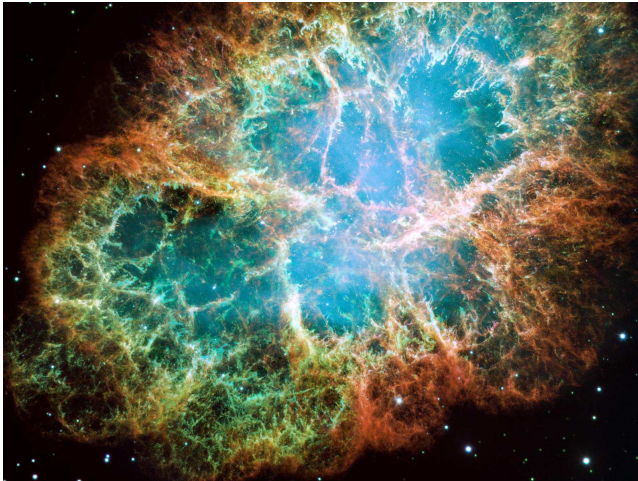


# Detectable sources of gravitational waves

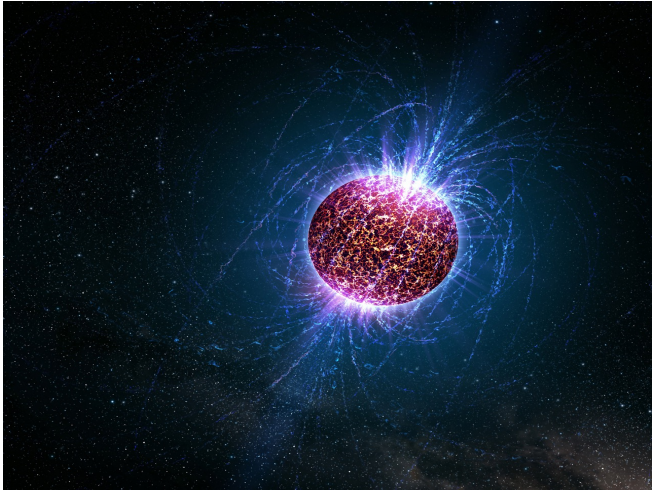
Merging neutron stars, black holes



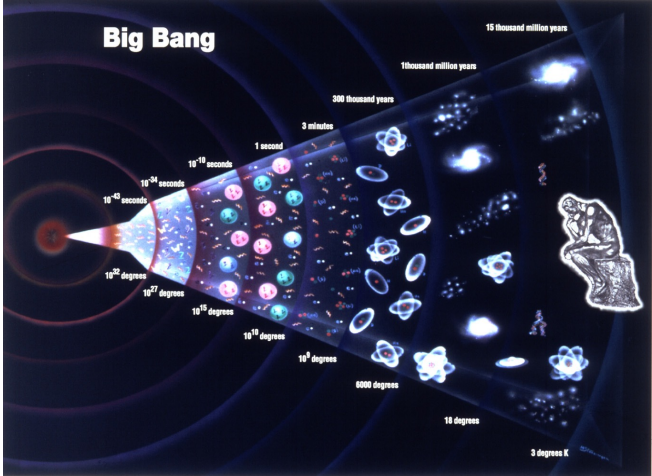
“Burst” sources



Fast-spinning neutron stars

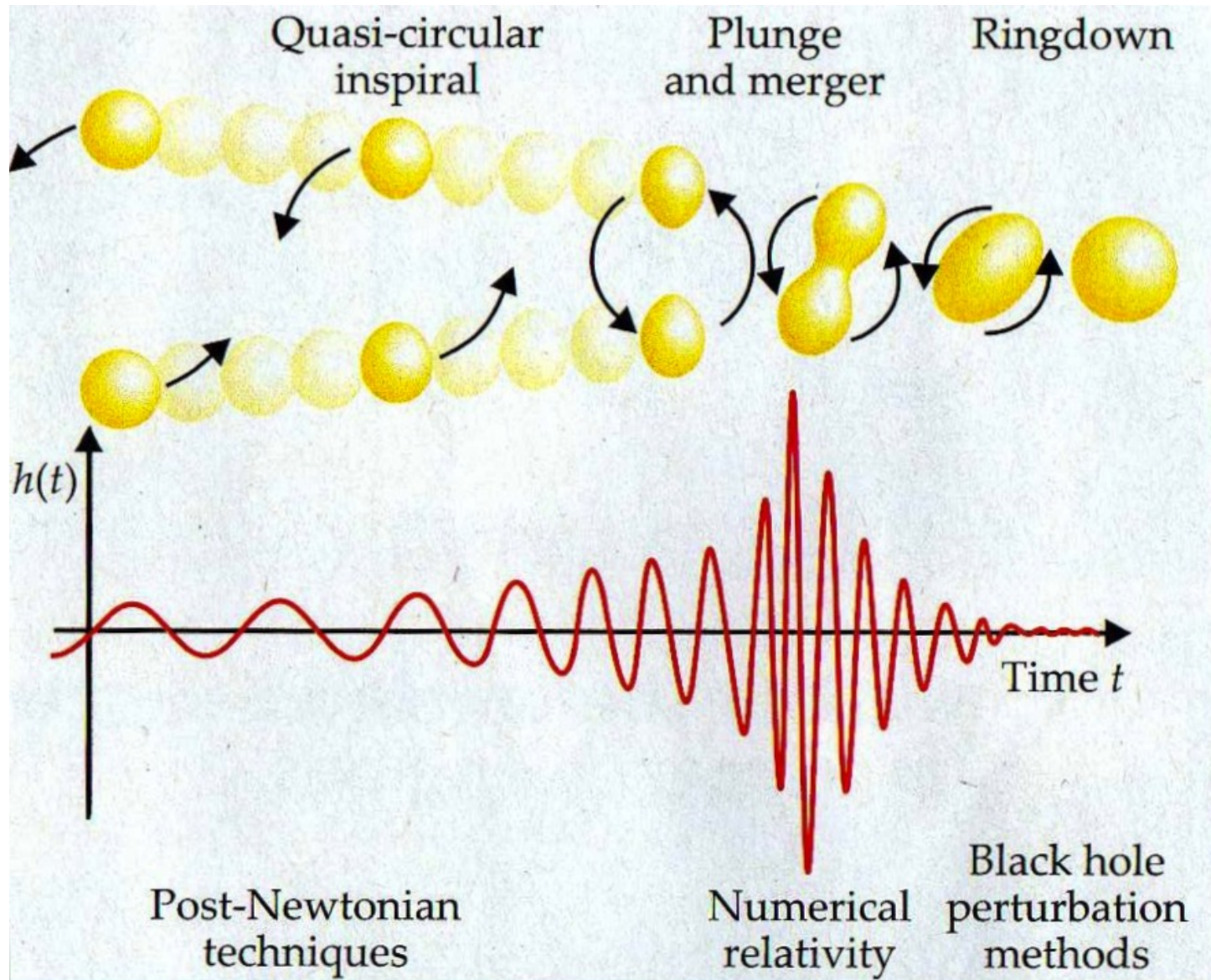


Stochastic gravitational waves

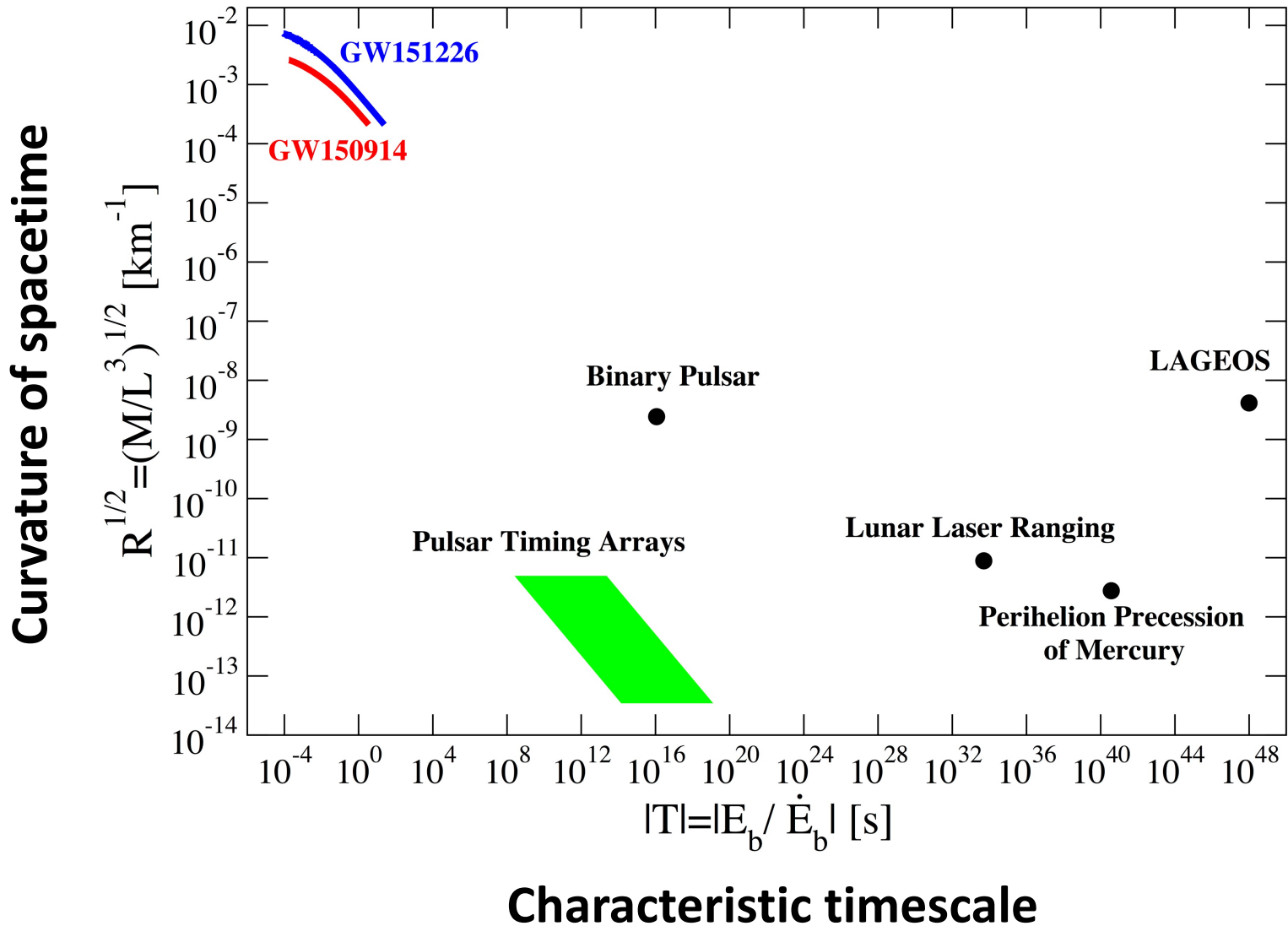




# Inspiral-merger-ringdown



# Access to strongly curved, dynamical spacetime



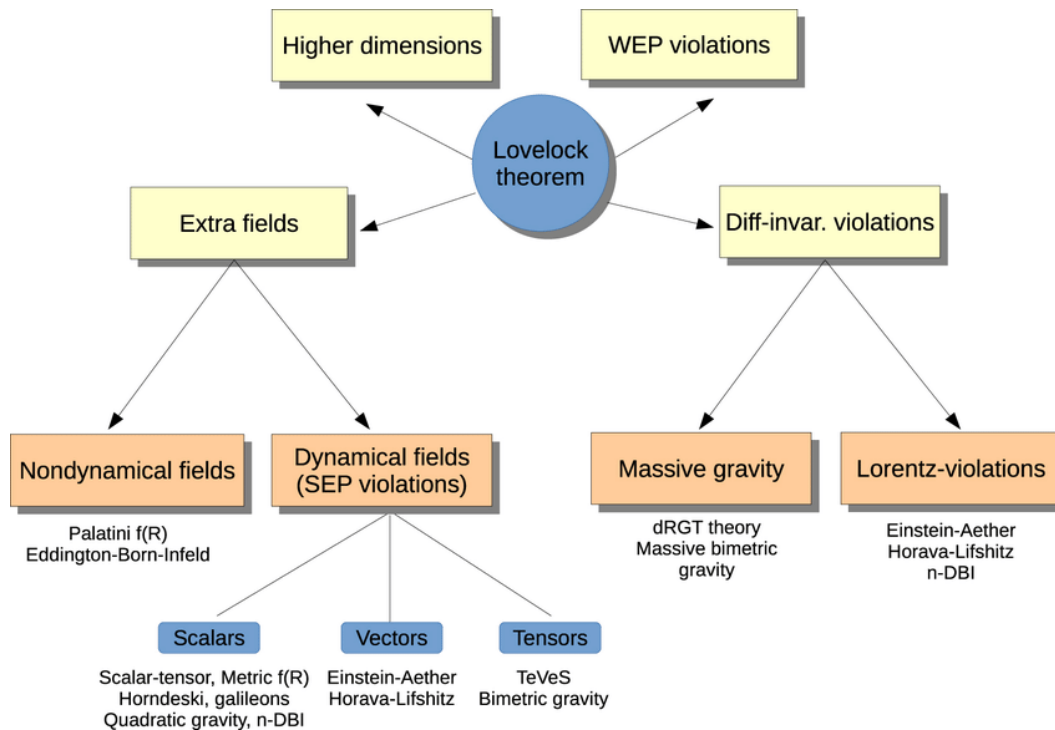


# The nature of gravity

➤ Lovelock's theorem:

*"In four spacetime dimensions the only divergence-free symmetric rank-2 tensor constructed solely from the metric  $g_{\mu\nu}$  and its derivatives up to second differential order, and preserving diffeomorphism invariance, is the Einstein tensor plus a cosmological term."*

➤ Relaxing one or more of the assumptions allows for a plethora of alternative theories:



Berti et al., CQG **32**, 243001 (2015)

➤ Most alternative theories: no full inspiral-merger-ringdown waveforms known

- Most current tests are **model-independent**

# Testing general relativity and the nature of black holes

## 1. The strong-field dynamics of spacetime

- Is the inspiral-merger-ringdown process consistent with the predictions of GR?

## 2. The propagation of gravitational waves

- Evidence for dispersion?

## 3. What is the nature of compact objects?

*Are the observed massive objects the “standard” black holes of classical general relativity?*

- Are there unexpected effects during inspiral?
- Is the remnant object consistent with the no-hair conjecture?  
Is it consistent with Hawking’s area increase theorem?
- Searching for gravitational wave echoes

# The strong-field dynamics of spacetime

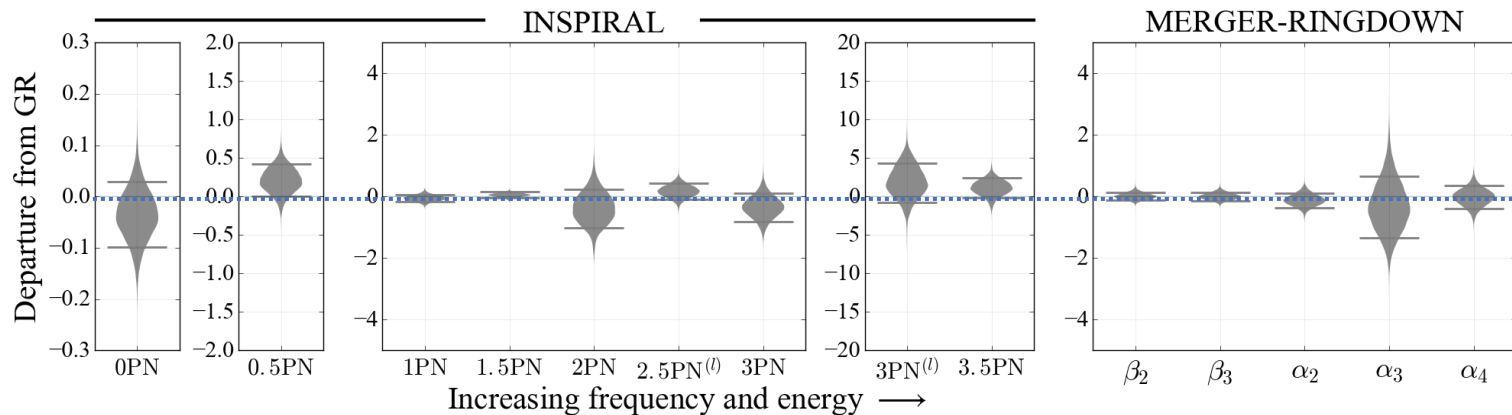
## ➤ Inspiral-merger-ringdown process

- Post-Newtonian description of inspiral phase

$$\Phi(v) = \left(\frac{v}{c}\right)^{-5} \left[ \varphi_{0\text{PN}} + \varphi_{0.5\text{PN}} \left(\frac{v}{c}\right) + \varphi_{1\text{PN}} \left(\frac{v}{c}\right)^2 + \dots + \varphi_{2.5\text{PN}^{(l)}} \log\left(\frac{v}{c}\right) \left(\frac{v}{c}\right)^5 + \dots + \varphi_{3.5\text{PN}} \left(\frac{v}{c}\right)^7 \right]$$

- Merger-ringdown governed by additional parameters  $\beta_n, \alpha_n$

## ➤ Place bounds on deviations in these parameters:



LIGO + Virgo, PRL **118**, 221101 (2017)

## ➤ Rich physics:

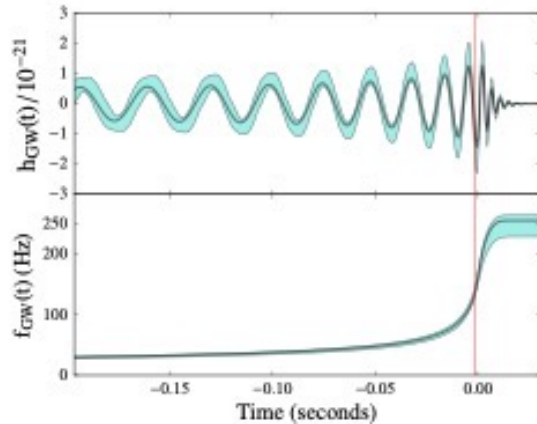
Dynamical self-interaction of spacetime, spin-orbit and spin-spin interactions

## ➤ Can combine information from multiple detections

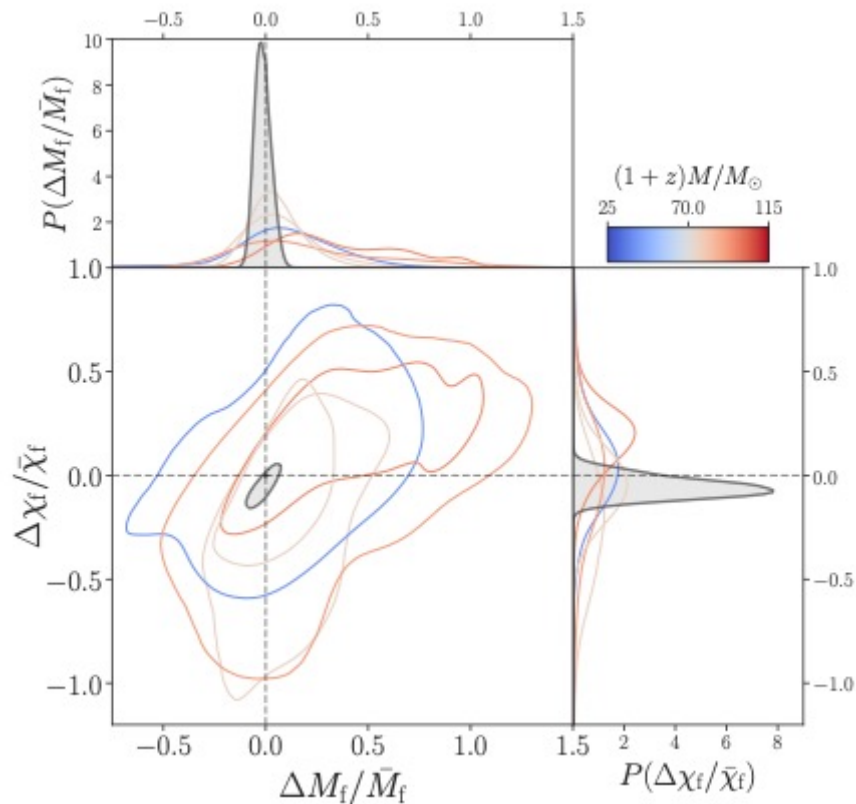
- Bounds will get tighter roughly as  $1/\sqrt{N_{\text{det}}}$



# Consistency of inspiral and post-inspiral



- Inspiral-merger-ringdown signal
  - At an appropriately chosen frequency, split into **inspiral** and **post-inspiral**
  - From the two parts, estimate component masses and spins
  - Compute from these the mass and spin of remnant black hole
    - **Do they agree?**



# The propagation of gravitational waves

## ➤ Dispersion of gravitational waves?

E.g. as a result of **non-zero graviton mass**:

- Dispersion relation:

$$E^2 = p^2 c^2 + m_g^2 c^4$$

- Graviton speed:

$$v_g/c = 1 - m_g^2 c^4 / 2E^2$$

- Modification to gravitational wave phase:

$$\delta\Psi = -\pi Dc / [\lambda_g^2 (1+z) f]$$

$$\lambda_g = h / (m_g c)$$

## ➤ Bound on graviton mass:

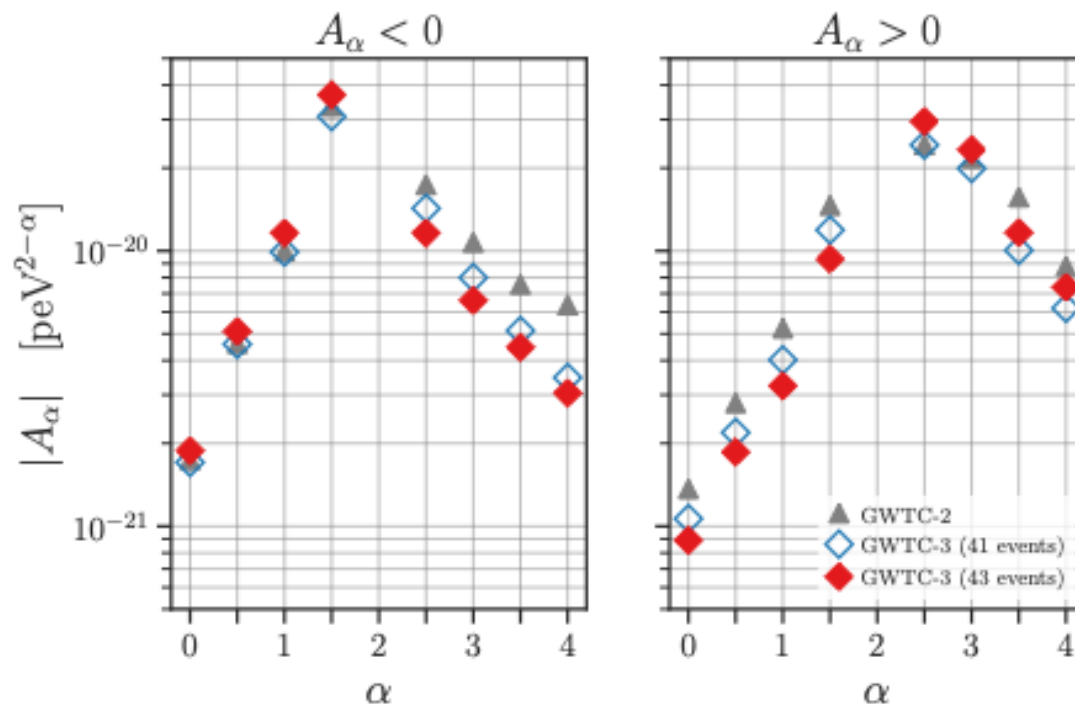
$$m_g \leq 1.76 \times 10^{-23} \text{ eV}/c^2$$

# The propagation of gravitational waves

## ➤ More general forms of dispersion:

$$E^2 = p^2 c^2 + A p^\alpha c^\alpha$$

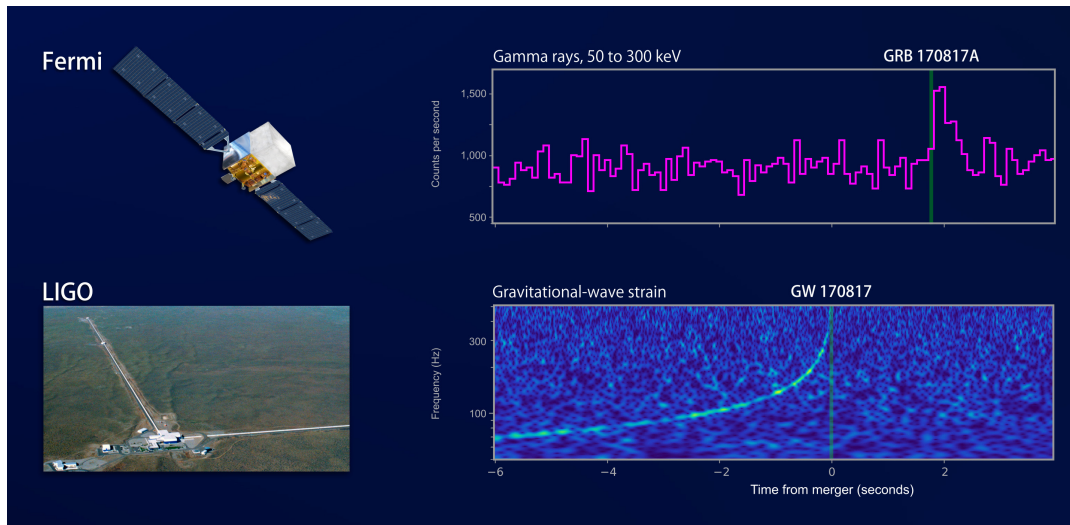
- $\alpha \neq 0$  corresponds to violation of local Lorentz invariance
- $\alpha = 2.5$  multi-fractal spacetime
- $\alpha = 3$  doubly special relativity
- $\alpha = 4$  higher-dimensional theories





# The propagation of gravitational waves

- Does the speed of gravity equal the speed of light?
- The binary neutron star coalescence GW170817 came with gamma ray burst, **1.74 seconds afterwards**

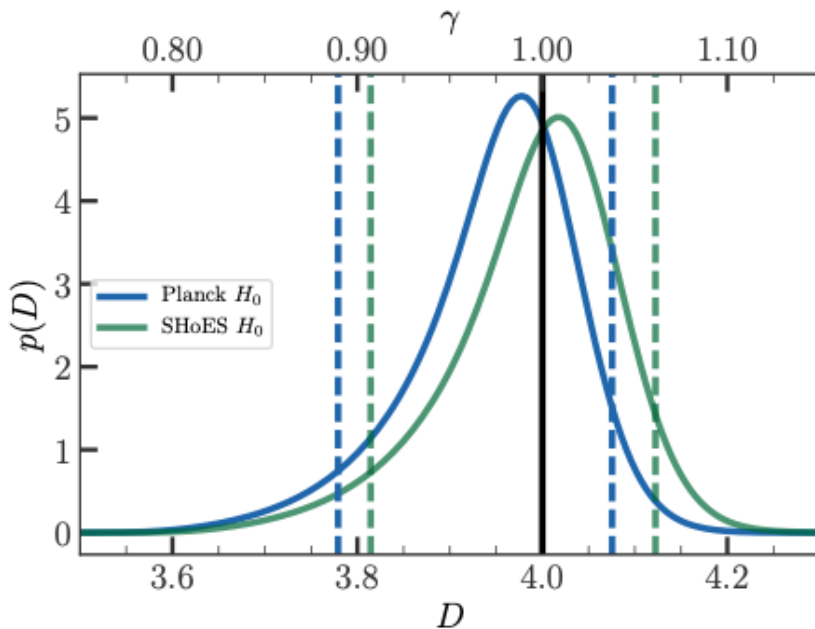
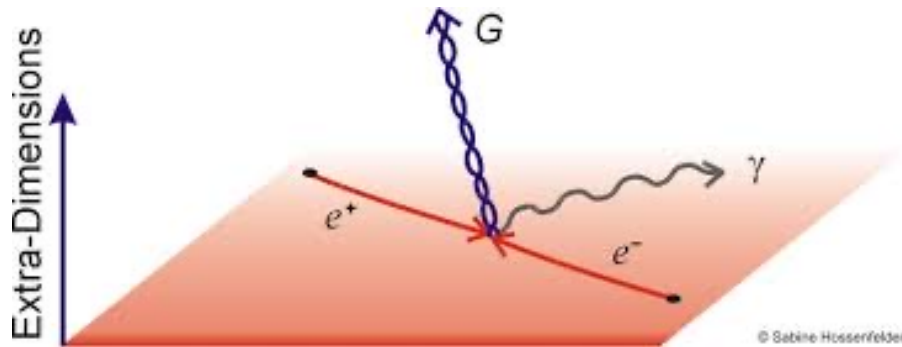


- With a conservative lower bound on the distance to the source:

$$-3 \times 10^{-15} < (v_{\text{GW}} - v_{\text{EM}})/v_{\text{EM}} < +7 \times 10^{-16}$$

- Excluded certain alternative theories of gravity designed to explain dark matter or dark energy in a dynamical way

# The propagation of gravitational waves



- How many spacetime dimensions are there?
- E.g. “braneworld” models:
  - Standard model particles confined to 3D “membrane”
  - Gravity has access to extra dimensions
- If gravitational waves “leak” into large extra dimensions:

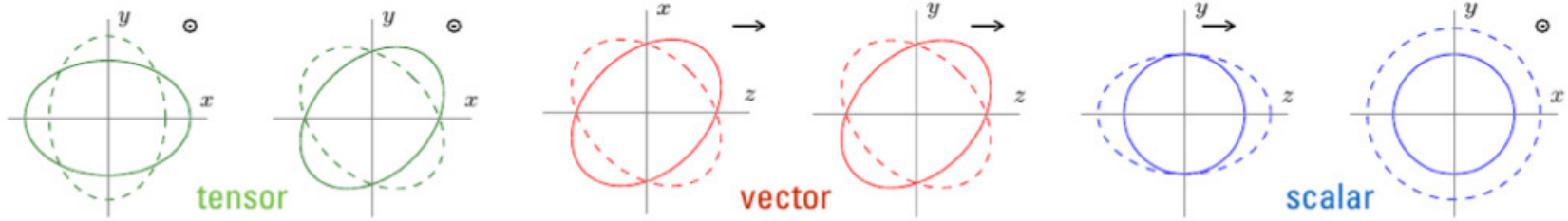
$$h \propto \frac{1}{d_L^{(D-2)/2}}$$

$d_L$  luminosity distance,

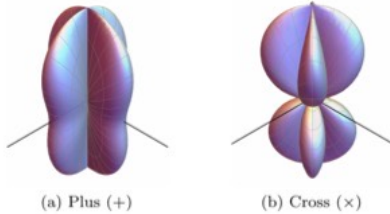
$D$  number of spacetime dimensions

- GW170817: redshift  $z$  known because of host galaxy identification
  - Translate into distance using Hubble’s law,  $cz = H_0 d_L$ , with  $H_0$  from EM measurements
- More applications of GW propagation: Mastrogiovanni et al., JCAP **02**, 043 (2021)

# Alternative polarizations

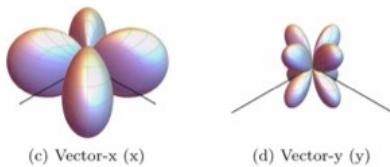


- Metric theories of gravity allow up to 6 polarizations
- Distinct antenna patterns:



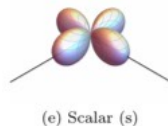
$$F_+ = \frac{1}{2}(1 + \cos^2 \theta) \cos 2\phi \cos 2\psi - \cos \theta \sin 2\phi \sin 2\psi$$

$$F_\times = \frac{1}{2}(1 + \cos^2 \theta) \cos 2\phi \sin 2\psi + \cos \theta \sin 2\phi \cos 2\psi$$



$$F_X = -\sin \theta (\cos \theta \cos 2\phi \cos \psi - \sin 2\phi \sin \psi)$$

$$F_Y = -\sin \theta (\cos \theta \cos 2\phi \sin \psi + \sin 2\phi \cos \psi)$$



$$F_B = -\frac{1}{2} \sin^2 \theta \cos 2\phi$$

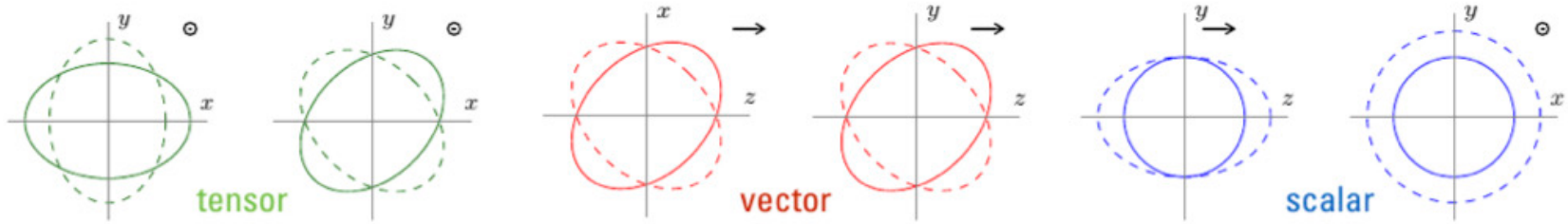
$$F_L = \frac{1}{2} \sin^2 \theta \cos 2\phi$$

Isi & Weinstein, PRD **96**, 042001 (2017)

- In the case of GW170817, sky position was known from EM counterpart
  - Pure tensor / pure vector =  $10^{21}$  / 1
  - Pure tensor / pure scalar =  $10^{23}$  / 1



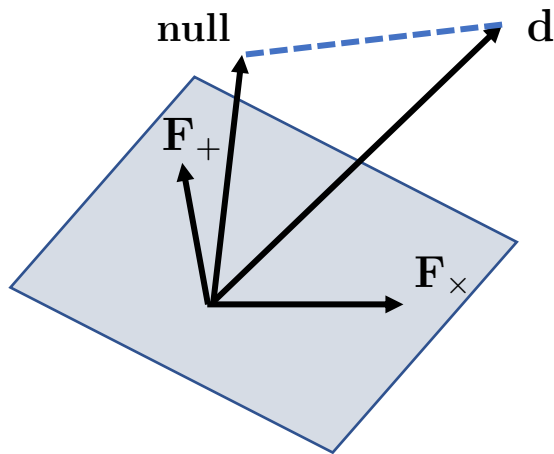
# Alternative polarizations: null stream



- Using a **null stream**: can look for non-tensorial polarizations (without necessarily being able to tell which ones are present)

- Data from  $D$  detectors:

$$\mathbf{d} = \begin{pmatrix} d_0 \\ \vdots \\ d_{D-1} \end{pmatrix}$$

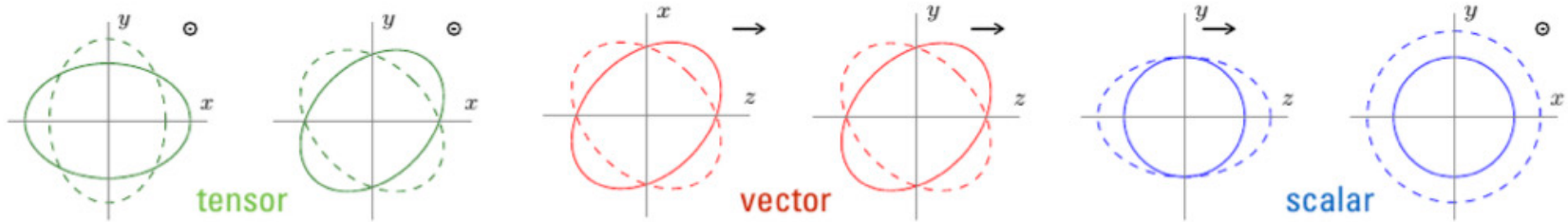


- Antenna pattern functions, known sky location:

$$\mathbf{F} = (\mathbf{F}_+ \quad \mathbf{F}_\times) = \begin{pmatrix} F_{+,0} & F_{\times,0} \\ \vdots & \vdots \\ F_{+,D-1} & F_{\times,D-1} \end{pmatrix}.$$

- Null stream projects out tensorial content
  - What remains can only contain (mixture of) vector and scalar modes
- No evidence for alternative polarizations in GW170817

# Alternative polarizations in pulsar signals



- **Continuous waves from known pulsars:** sky position  $(\alpha, \delta)$  also known
- Consider hypotheses  $\mathcal{H}_m$  that detector output is

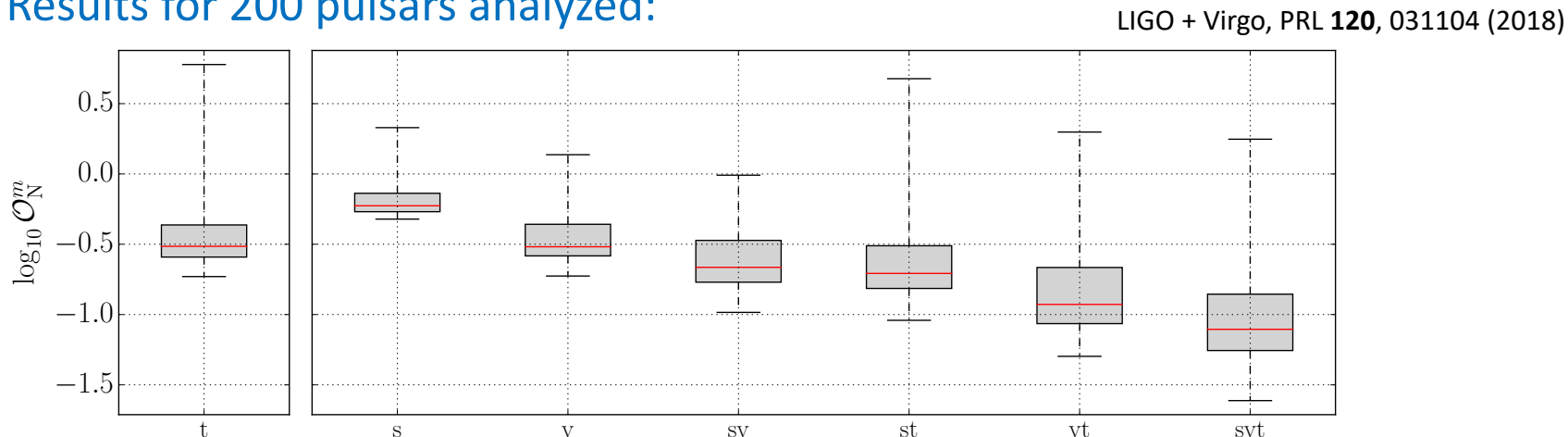
$$h_m(t) = \sum_{p \in m} F_p(\alpha, \delta; t) h_p(t)$$

where  $m$  is any subset of  $\{+, \times, v_X, v_Y, s\}$

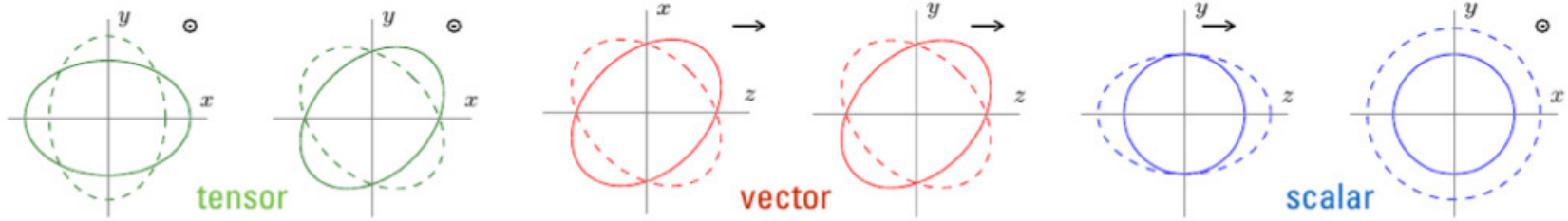
- Calculate odds ratios

$$\mathcal{O}_N^m = \frac{\text{Prob}(\mathcal{H}_m|d)}{\text{Prob}(\mathcal{H}_N|d)} \quad \text{where } \mathcal{H}_N \text{ is the noise-only hypothesis}$$

- Results for 200 pulsars analyzed:



# Alternative polarizations in stochastic backgrounds



- Search for stochastic backgrounds through cross-correlations of detector outputs:

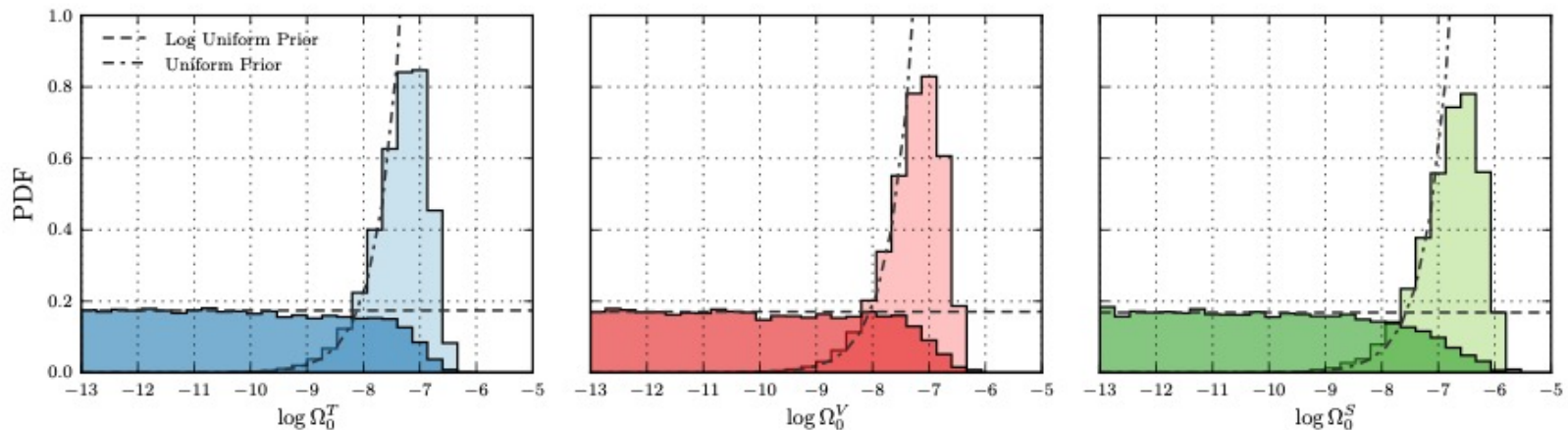
$$Y = \sum_p \int \tilde{s}^*(f) \tilde{Q}_p(f) \tilde{s}_2(f) df \quad \text{with optimal filter} \quad \tilde{Q}_p(f) \propto \frac{\gamma_p(f) \Omega_p(f)}{f^3 S_1(f) S_2(f)}$$

where  $\gamma_p(f)$  the overlap reduction function for polarization  $p$  and the energy densities  $\Omega_p(f)$  are contributions to

$$\Omega(f) = \Omega_0^T \left( \frac{f}{f_0} \right)^{\alpha_T} + \Omega_0^V \left( \frac{f}{f_0} \right)^{\alpha_V} + \Omega_0^S \left( \frac{f}{f_0} \right)^{\alpha_S}$$

- Parameter estimation on  $\Omega_0^T, \Omega_0^V, \Omega_0^S$ :

LIGO + Virgo, PRL **120**, 201102 (2018)



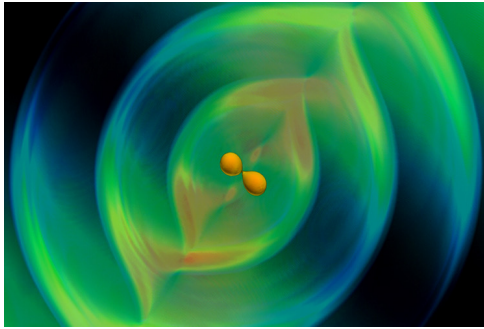


# What is the nature of compact objects?

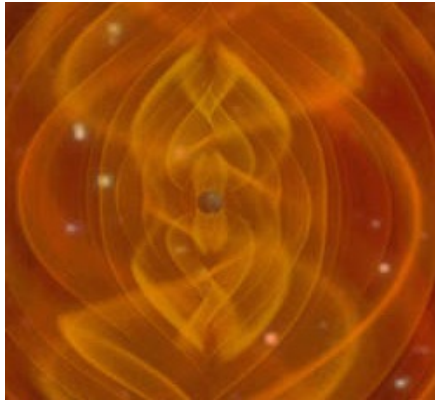
## ➤ Black holes, or still more exotic objects?

- Boson stars
- Dark matter stars
- Firewalls, fuzzballs
- Gravastars
- Wormholes
- ...
- *The unknown*

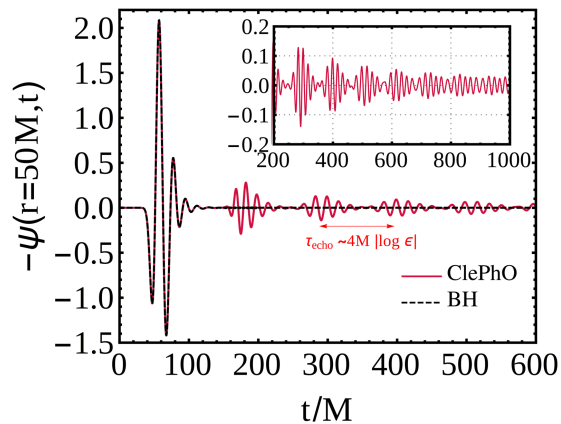
# What is the nature of compact objects?



Anomalous effects during inspiral

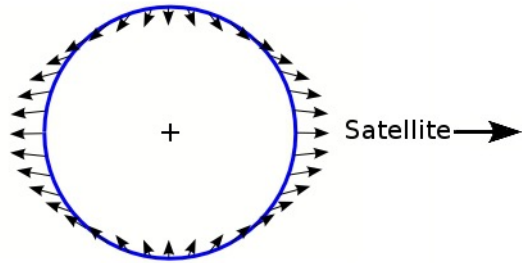


Ringdown of newly formed object



Gravitational wave echoes

# Anomalous effects during inspiral: tidal deformation

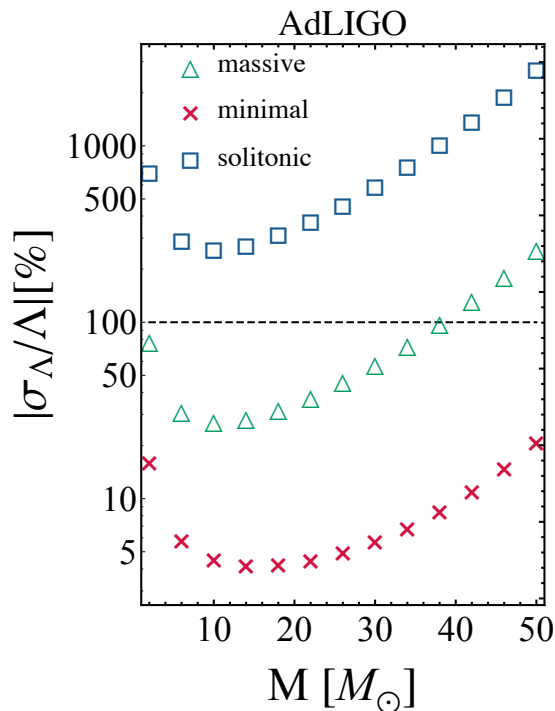


- Tidal field of one body causes quadrupole deformation in the other:

$$Q_{ij} = -\lambda(\text{EOS}; m) \mathcal{E}_{ij}$$

where  $\lambda(\text{EOS}; m)$  depends on internal structure (equation of state)

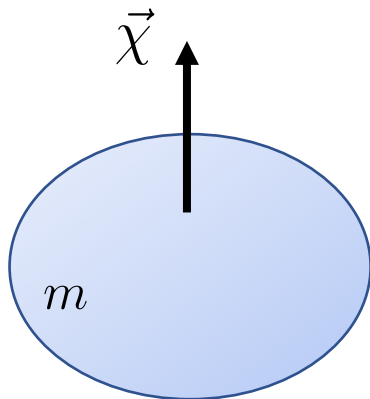
- Black holes:  $\lambda \equiv 0$
- Boson stars, dark matter stars:  $\lambda > 0$
- Gravastars:  $\lambda < 0$



- Enters inspiral phase at 5PN order, through  $\lambda(m)/m^5 \propto (R/m)^5$

- $O(10^2 - 10^4)$  for neutron stars
- Can also be measurable for black hole mimickers, e.g. boson stars

# Anomalous effects during inspiral: spin effects



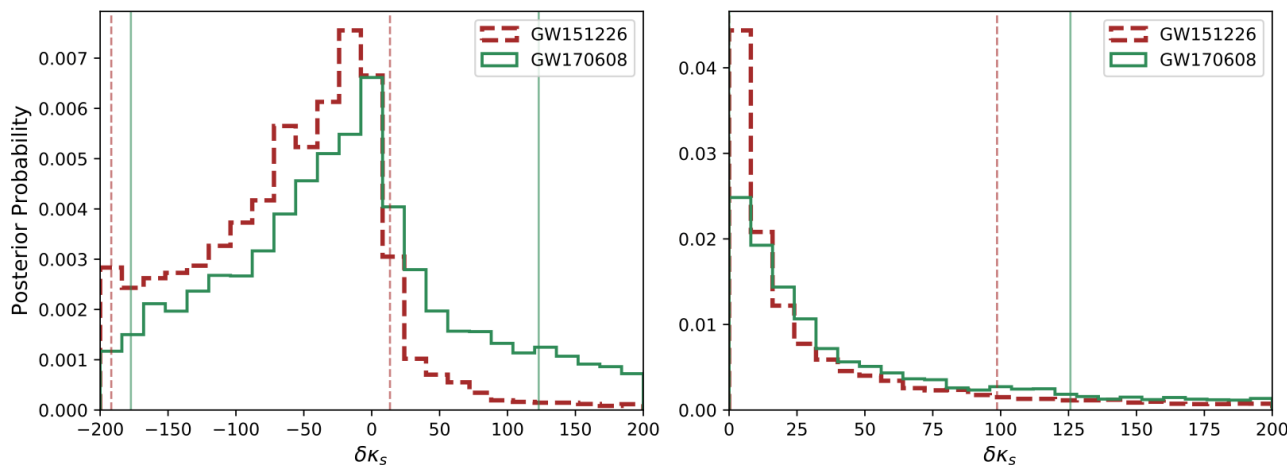
- Spin of an individual compact object also induces a quadrupole moment:

$$Q = -\kappa \chi^2 m^3$$

- Black holes:  $\kappa = 1$
- Boson stars, dark matter stars:  $\kappa > 1$
- Gravastars:  $\kappa < 1$

- Allow for deviations from Kerr value:

$$Q = -(1 + \delta\kappa) \chi^2 m^3$$



Possible theoretical values for boson stars:

$$\kappa \sim 10 - 150$$

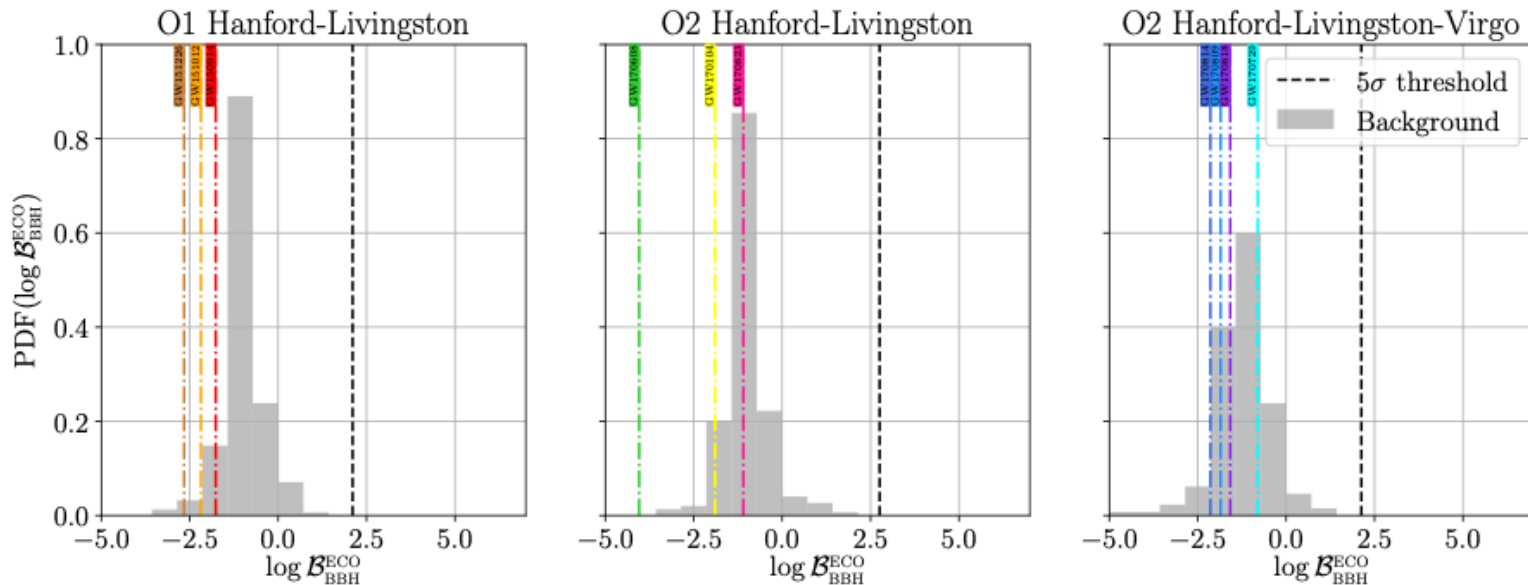
... hence constraints are already of interest!

# Anomalous effects during inspiral: resonant excitations

- Exotic compact objects (e.g. boson stars) can undergo **resonant excitations**:
  - When the (monotonically increasing) GW frequency becomes equal to an internal resonance frequency, the object gets excited
  - Leads to dissipation of orbital energy
  - Sudden speed-up of the orbital phase:

$$\Phi(t) = \begin{cases} \Phi_{\text{pp}}(t) & \text{if } t < t_0 \\ \Phi_{\text{pp}}(t + \Delta t) - \Delta\Phi & \text{if } t \geq t_0 \end{cases}$$

- Hypothesis  $\mathcal{H}_{\text{ECO}}$  : exotic compact object(s), underwent excitation
- Hypothesis  $\mathcal{H}_{\text{BBH}}$  : ordinary binary black hole
- Bayes factor:  $\mathcal{B}_{\text{BBH}}^{\text{ECO}} = \text{Prob}(d|\mathcal{H}_{\text{ECO}})/\text{Prob}(d|\mathcal{H}_{\text{BBH}})$



# Ringdown of newly formed black hole

## ➤ Ringdown regime: Kerr metric + linear perturbations

- Ringdown signal is a superposition of quasi-normal modes

$$h(t) = \sum_{lmn} \mathcal{A}_{lmn} e^{-t/\tau_{lmn}} \cos(\omega_{lmn} t + \phi_{lmn})$$

- Characteristic frequencies  $\omega_{lmn}$  and damping times  $\tau_{lmn}$

## ➤ No-hair conjecture: stationary, electrically neutral black hole completely characterized by mass $M_f$ , spin $a_f$

- Linearized Einstein equations around Kerr background enforce specific dependences:

$$\omega_{lmn} = \omega_{lmn}(M_f, a_f)$$

$$\tau_{lmn} = \tau_{lmn}(M_f, a_f)$$

Berti et al., PRD **73**, 064030 (2006)

- Look for deviations from the expressions for frequencies, damping times:

$$\omega_{lmn}(M_f, a_f) \rightarrow (1 + \delta\hat{\omega}_{lmn}) \omega_{lmn}(M_f, a_f)$$

$$\tau_{lmn}(M_f, a_f) \rightarrow (1 + \delta\hat{\tau}_{lmn}) \tau_{lmn}(M_f, a_f)$$

Carullo et al., PRD **98**, 104020 (2018)

Brito et al., PRD **98**, 084038 (2018)



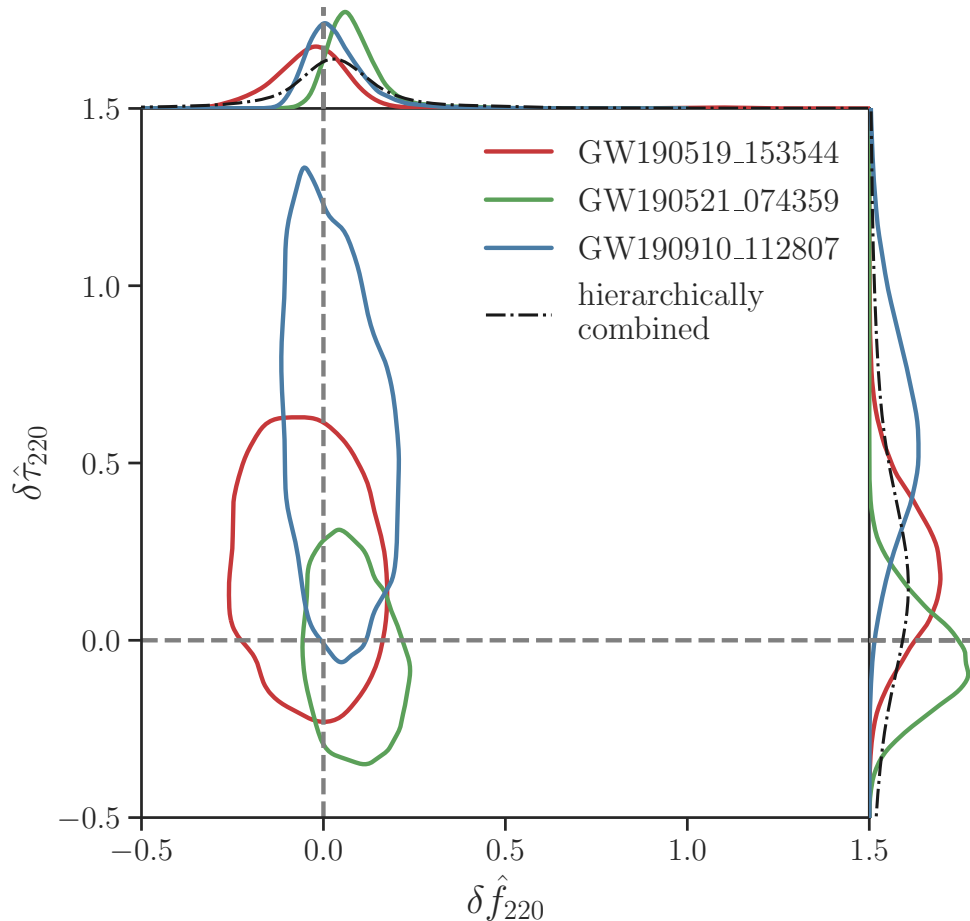
# Ringdown of newly formed black hole

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$$\omega_{lmn}(M_f, a_f) \rightarrow (1 + \delta\hat{\omega}_{lmn}) \omega_{lmn}(M_f, a_f)$$

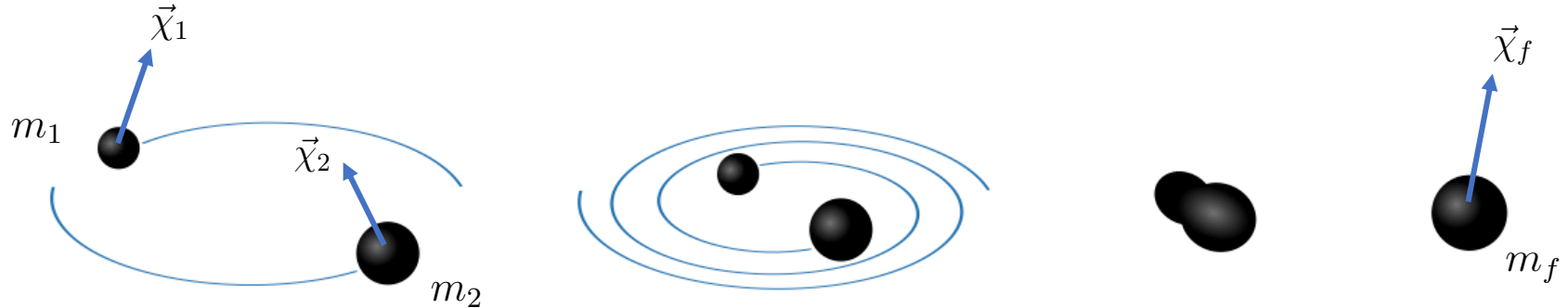
$$\tau_{lmn}(M_f, a_f) \rightarrow (1 + \delta\hat{\tau}_{lmn}) \tau_{lmn}(M_f, a_f)$$

- First measurements:



# First tests of Hawking's area increase theorem

- During binary black hole merger, horizon area should not decrease



- “Ingoing” black holes considered Kerr

- Measure masses  $m_1$ ,  $m_2$  and initial spins  $\chi_1$ ,  $\chi_2$  from inspiral signal
- Total initial horizon area:

$$\mathcal{A}_0 = \mathcal{A}(m_1, \chi_1) + \mathcal{A}(m_2, \chi_2) \quad \text{where} \quad \mathcal{A}(m, \chi) = 8\pi m^2 (1 + \sqrt{1 - \chi^2})$$

- Final black hole also Kerr

- Obtain mass  $m_f$  and spin  $\chi_f$  from ringdown frequencies and damping times
- Final horizon area:

$$\mathcal{A}_f = \mathcal{A}(m_f, \chi_f)$$

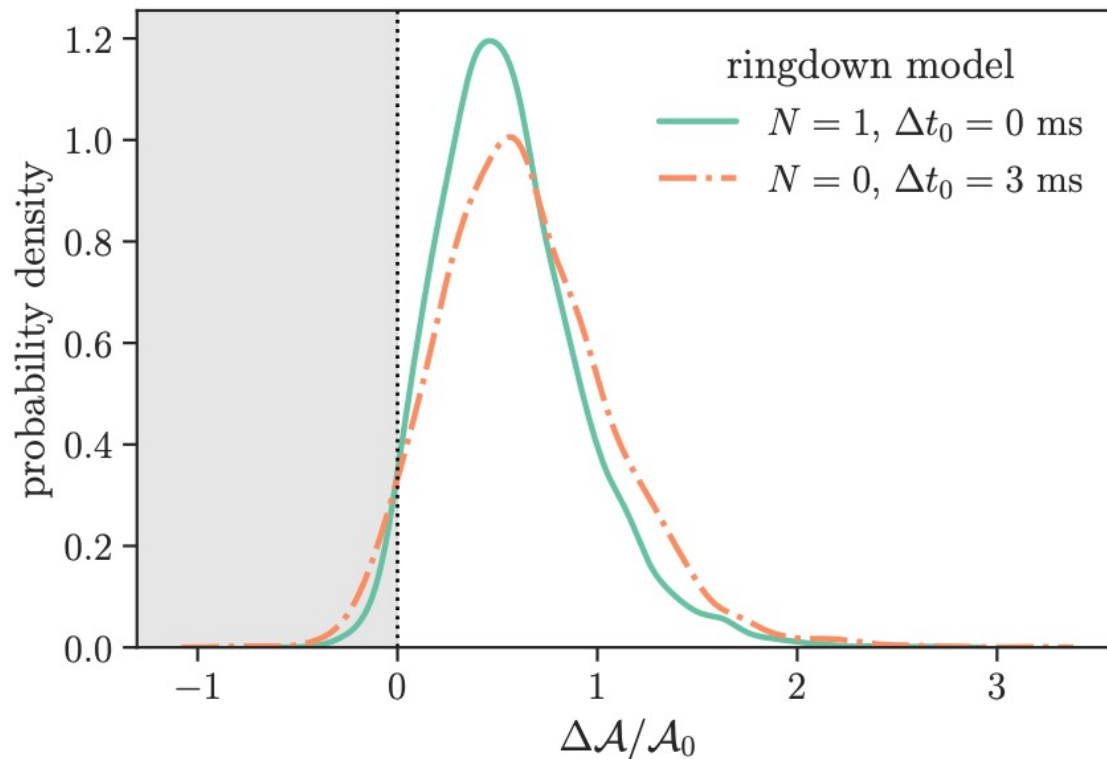
- According to the theorem:  $\Delta\mathcal{A}/\mathcal{A}_0 = (\mathcal{A}_f - \mathcal{A}_0)/\mathcal{A}_0 \geq 0$

# First tests of Hawking's area increase theorem

- According to the theorem:

$$\Delta\mathcal{A}/\mathcal{A}_0 = (\mathcal{A}_f - \mathcal{A}_0)/\mathcal{A}_0 \geq 0$$

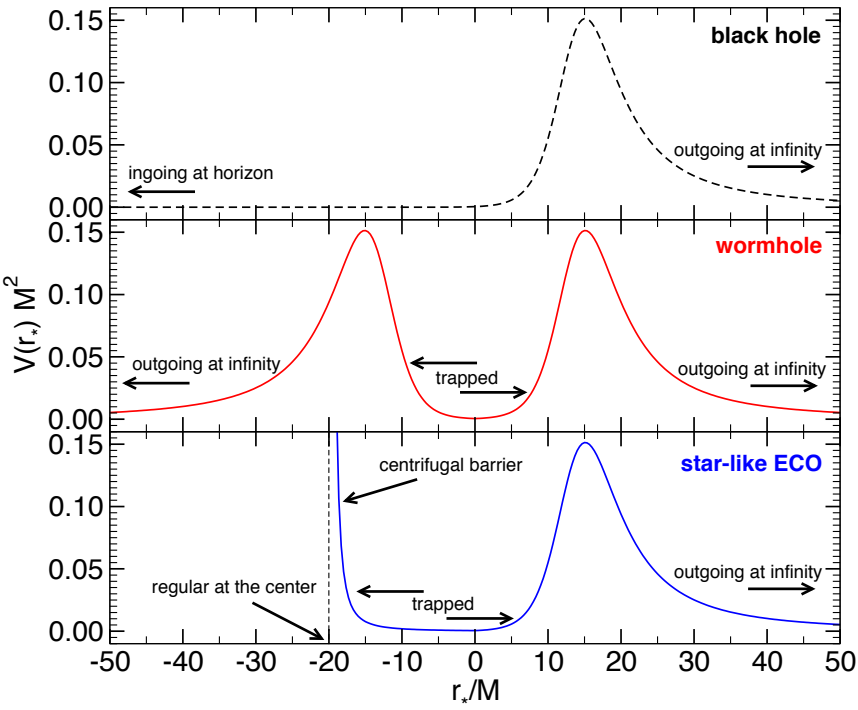
- Measurement on GW150914:



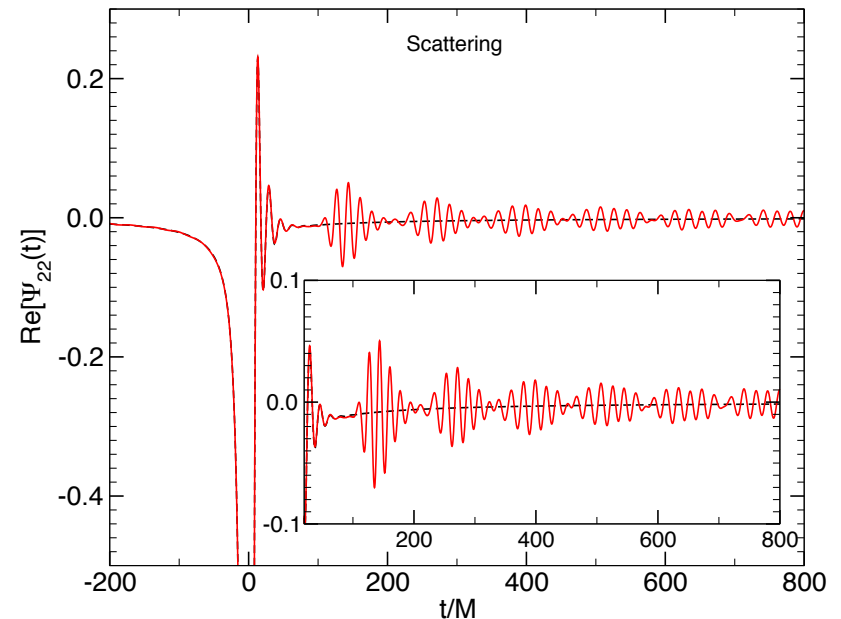
Isi et al., arXiv:2012.04486

- Agreement at > 95% probability

# Gravitational wave echoes



- Exotic objects without horizon: Ingoing gravitational waves bounce between inner/outer potential barriers
- After formation/ringdown: continuing bursts of radiation called *echoes*
- Typical time between echoes  $O(100)$  ms for stellar mass objects

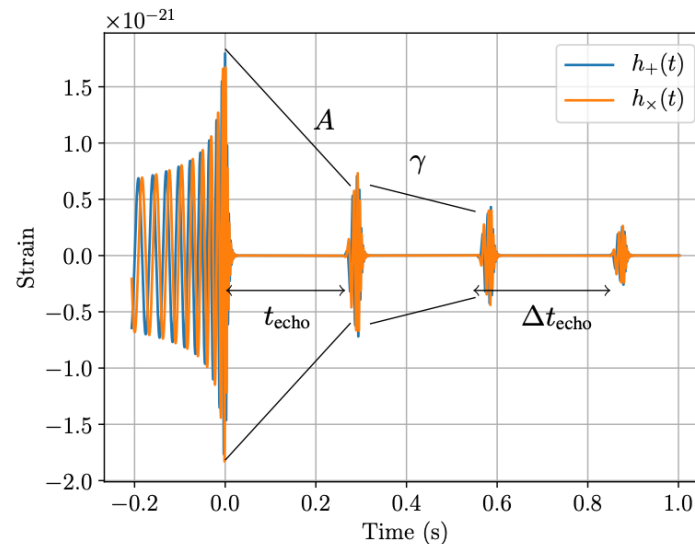
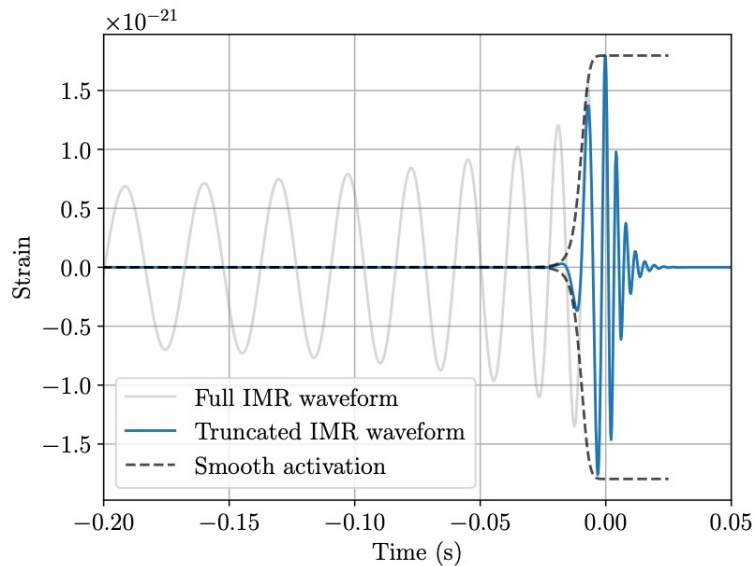


Cardoso et al., PRL **116**, 171101 (2016)

Cardoso et al., PRD **94**, 084031 (2016)

# Gravitational wave echoes

- Theoretical predictions still in early stages
- Numerical waveforms for *specific* black hole mimickers + smaller object:
  - “Straw man” exotic object
  - Much higher mass ratio than the systems we currently see with LIGO/Virgo
- When searching for echoes, in practice one often assumes that echoes will be damped and widened copies of (part of) the merger/ringdown signal



Abedi et al., PRD **96**, 082004 (2017)

Westerweck et al., PRD **97**, 124037 (2018)

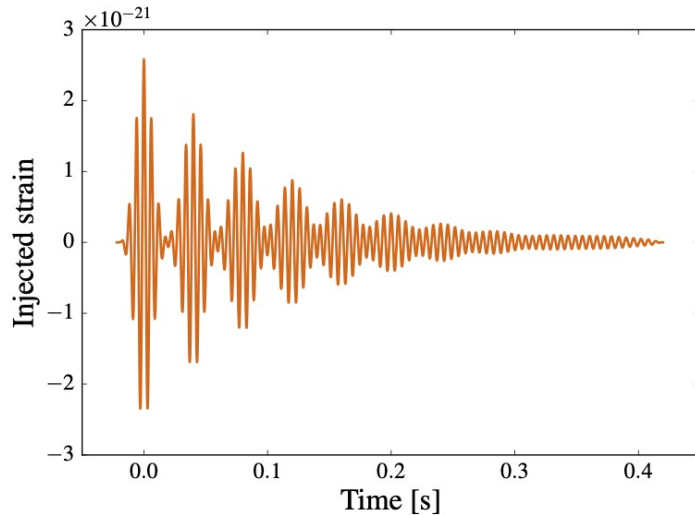
Lo et al., PRD **99**, 084052 (2019)

- Alternatively: *morphology-independent* search for echoes

# Gravitational wave echoes

## ➤ Morphology-independent search for echoes:

- Decompose data into *generalized wavelets*: succession of sine-Gaussians



Characterized by 9 intrinsic parameters:

$A$  overall amplitude

$\Delta t$  time between sine-Gaussians

$\gamma$  damping factor

$\Delta\phi$  phase difference

$w$  widening factor

$t_0$  time of first echo

$f_0$  central frequency

$\phi_0$  reference phase

- Compare 3 hypotheses for data from a **network** of detectors:

$\mathcal{H}_{\text{signal}}$  : data consists of signal + noise

$\mathcal{H}_{\text{glitch}}$  : data consists of instrumental glitches + noise

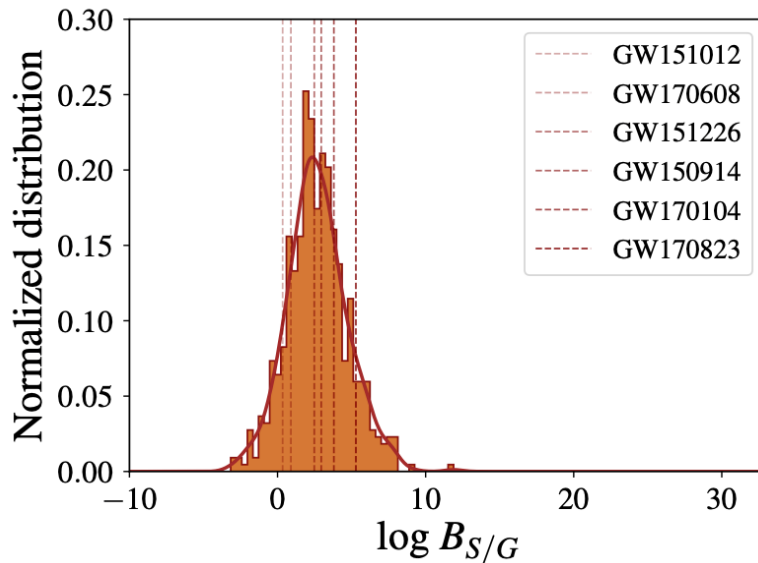
$\mathcal{H}_{\text{noise}}$  : data consists only of noise

- A signal is by definition coherent between detectors, and consistent with a particular sky position and source orientation
  - If a signal is present,  $\mathcal{H}_{\text{signal}}$  has less degrees of freedom than  $\mathcal{H}_{\text{glitch}}$
  - Bayesian analysis will then favor  $\mathcal{H}_{\text{signal}}$  over  $\mathcal{H}_{\text{glitch}}$

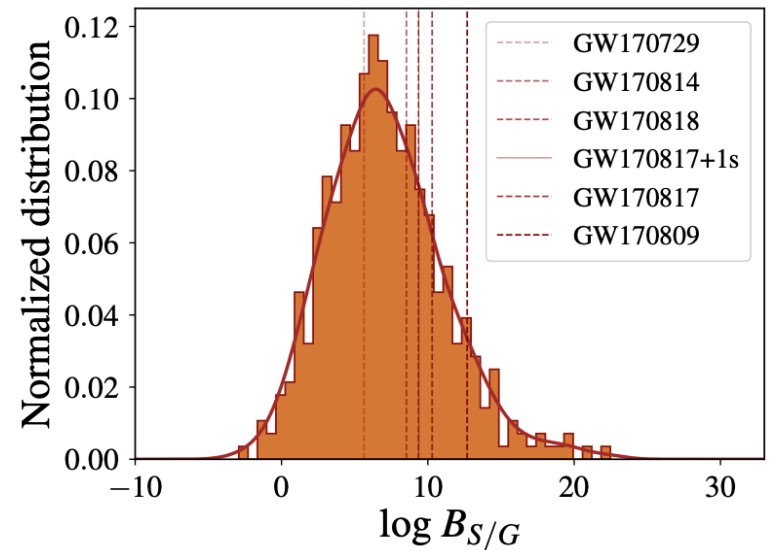


# Gravitational wave echoes

- Ratio of evidences for signal versus glitch: Bayes factor  $B_{S/G} = \frac{\text{Prob}(\mathbf{d}|\mathcal{H}_{\text{signal}})}{\text{Prob}(\mathbf{d}|\mathcal{H}_{\text{glitch}})}$
- Analysis of data following the detections of binary coalescences in the 1<sup>st</sup> and 2<sup>nd</sup> observing runs of Advanced LIGO/Virgo:



**2-detector events**

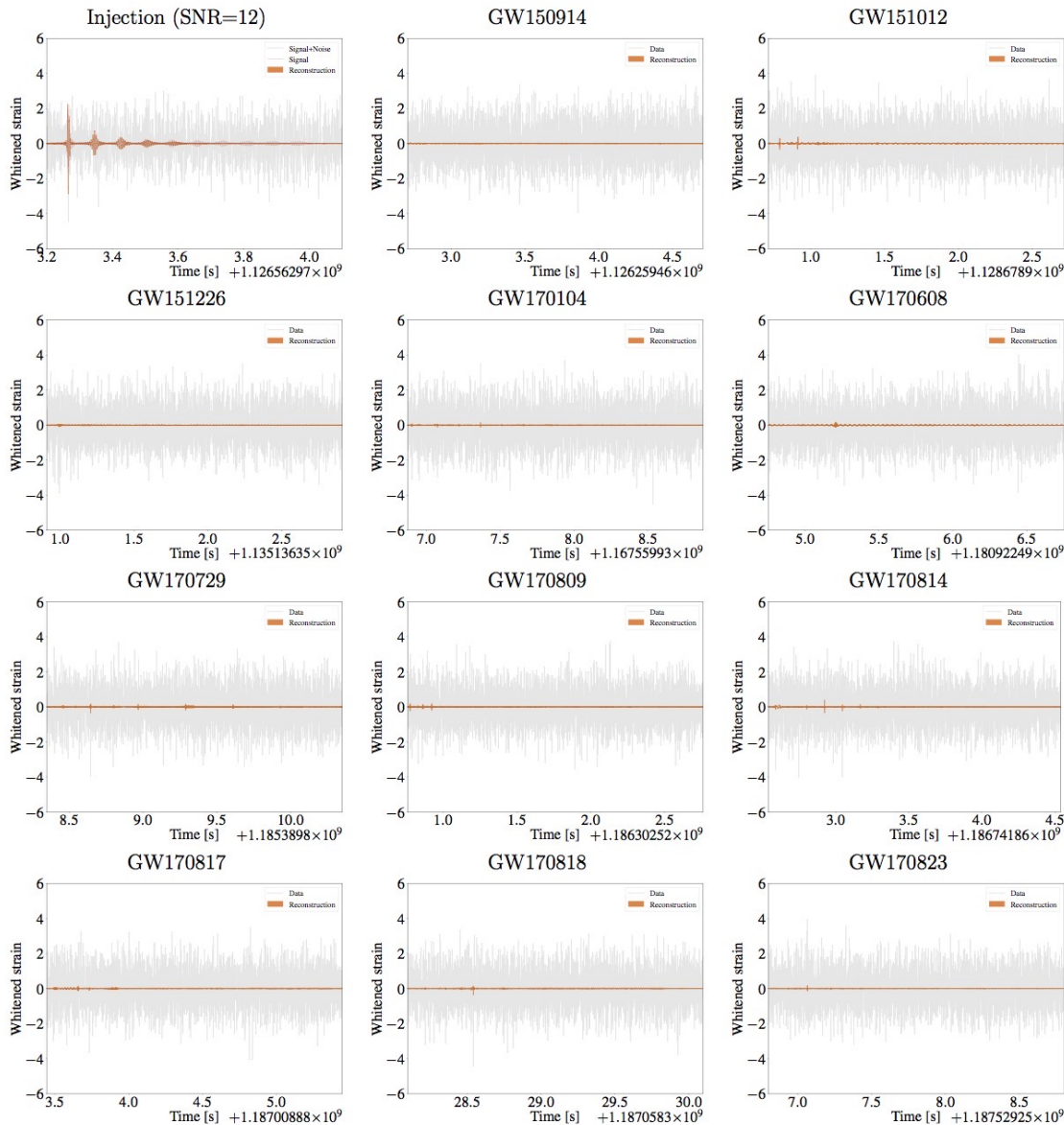


**3-detector events**

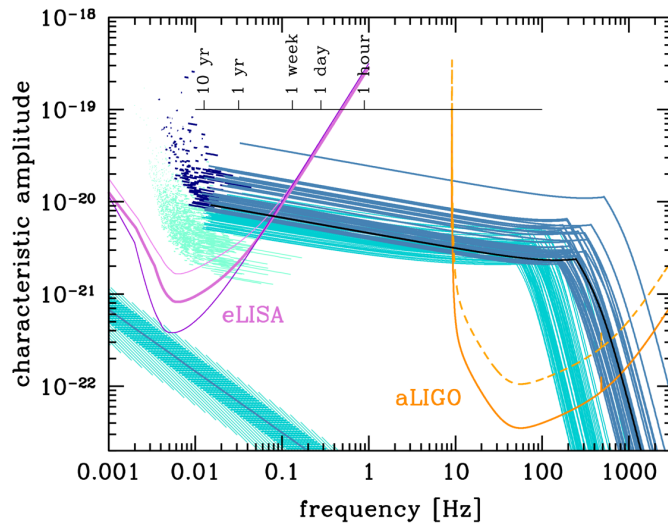
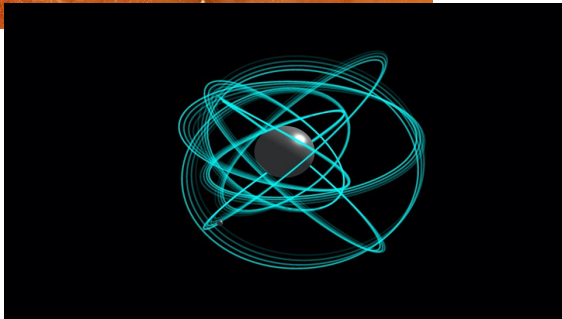
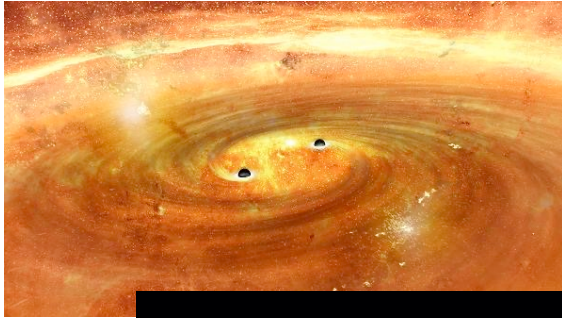
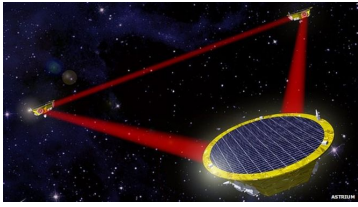
- Similarly for Bayes factor signal versus noise,  $B_{S/N} = \frac{\text{Prob}(\mathbf{d}|\mathcal{H}_{\text{signal}})}{\text{Prob}(\mathbf{d}|\mathcal{H}_{\text{noise}})}$
- No statistically significant evidence for echoes following these events

# Gravitational wave echoes

- Signal reconstructions:

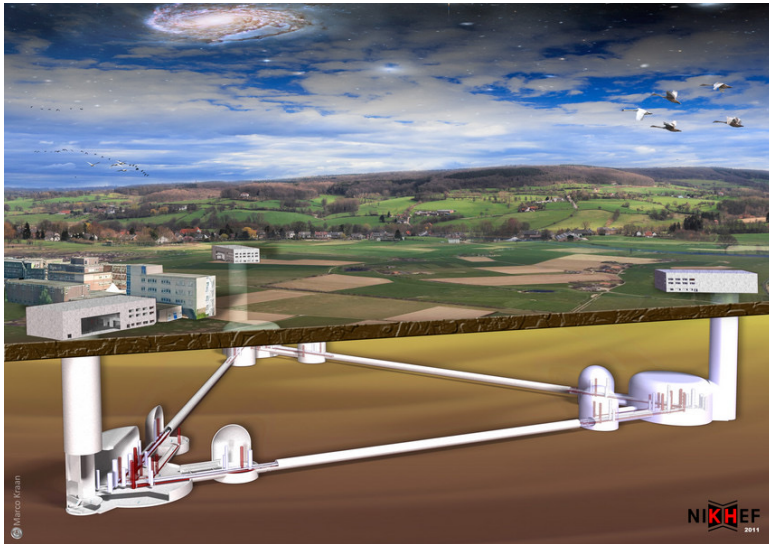


# Fundamental physics with LISA

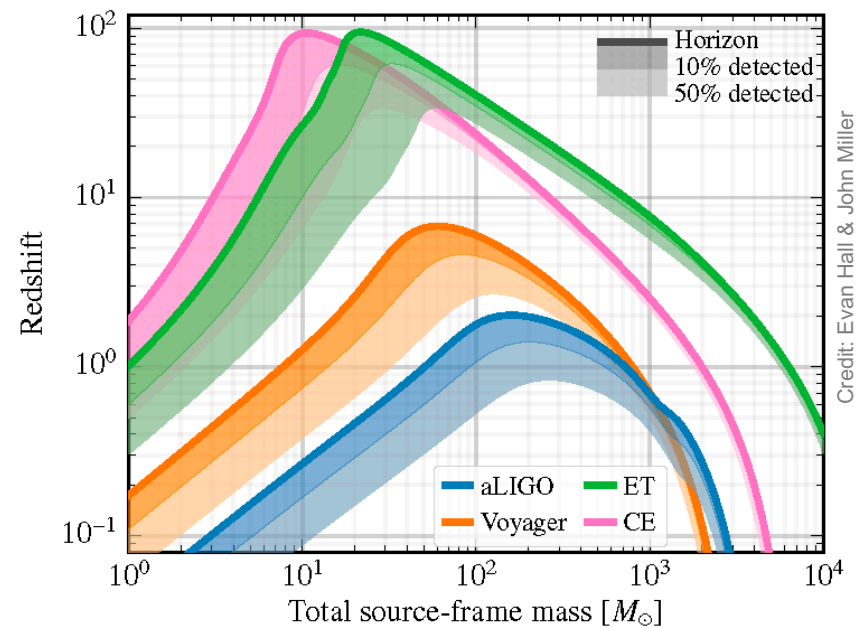
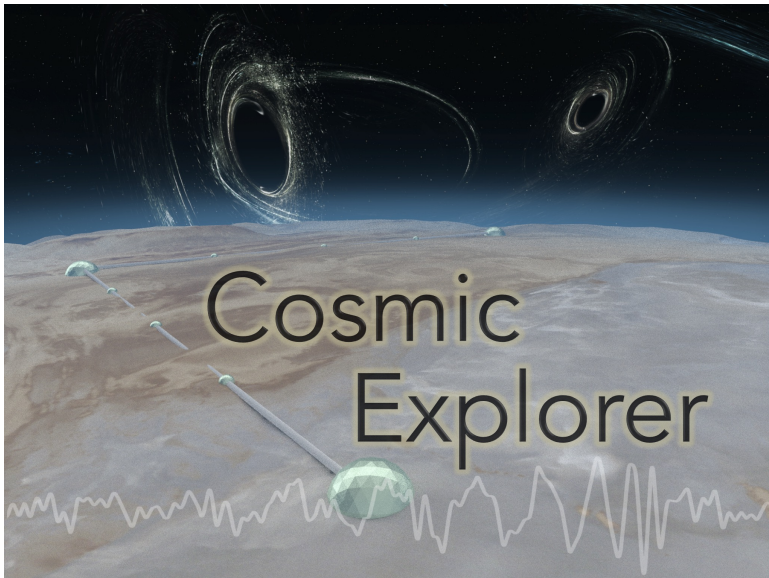


- Merging *supermassive* binary black holes
  - In general relativity, signals scale trivially with total mass
    - Does this hold in reality?
- Extreme mass ratio inspirals
  - Physically rich inspiral process
- Intermediate mass binaries
  - Visible in the LISA and ground-based frequency bands
  - Comparing the two links low-frequency to high-frequency regimes

# Einstein Telescope and Cosmic Explorer (2035?)



- Next-generation ground-based facilities
  - $O(10^5)$  detections per year!
    - Combine information across sources
  - Covers the entire visible Universe
    - Exquisitely accurate propagation tests
  - Nearby sources can be studied with extreme accuracy



# Summary

- The first direct detection of gravitational waves has enabled unprecedented tests of general relativity:
  - First access to genuinely strong-field dynamics of vacuum spacetime
  - Propagation of gravitational waves over large distances
  - Probing the nature of compact objects
- Some highlights:
  - Higher post-Newtonian coefficients constrained at ~10% level
  - Graviton mass  $m_g < 1.76 \times 10^{-23} \text{ eV}/c^2$
  - Speed of gravity = speed of light to 1 part in  $10^{15}$
  - Spin-induced quadrupole moment during inspiral:  
Access to expected values for boson stars
  - No-hair test consistent with no deviations at ~25% level
  - Area increase theorem passes at > 95% confidence
- Ultra-high precision tests with next-generation observatories: LISA, Einstein Telescope, Cosmic Explorer
  - Higher accuracy
  - Larger number of sources
  - Propagation of gravitational waves over cosmological distances
  - *Primordial backgrounds?*