On the nested algebraic Bethe ansatz for closed spin chains with simple g symmetry

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### On the problem of the ABA for simple g

Give me a rational, g-symmetric, "regular" R-matrix on  $V \otimes V$ . Then I can write down:

▶ a g-symmetric integrable spin chain Hamiltonian on  $M = V \otimes \cdots \otimes V$  with nearest neighbour interactions,

$$
H \propto \sum_{s \in \mathbb{Z}_L} (R_{s,s+1}(0))^{-1} R'_{s,s+1}(0);
$$

 $\blacktriangleright$  the Bethe equations of the resulting integrable system,

$$
\frac{P_i^M(v_k^{(i)} + \hbar d_i)}{P_i^M(v_k^{(i)})} = -\prod_{j=1}^r \prod_{l=1}^{m^{(j)}} \frac{v_k^{(i)} - v_l^{(j)} + \frac{\hbar}{2}(\alpha_i, \alpha_j)}{v_k^{(i)} - v_l^{(j)} - \frac{\hbar}{2}(\alpha_i, \alpha_j)};
$$

[Ogievetsky and Wiegmann 1986]

 $\triangleright$  and the generators and relations of the associated quantum group, the Yangian, in Drinfel'd's current presentation.

On the problem of the ABA for simple g

What about the Bethe eigenvector?

 $\triangleright$  Well-understood for  $\mathfrak{sl}_n$  cases; less and less is known as we deviate from these.

 $\triangleright$  No method (as far as I am aware) for the exceptional cases. Today: attempt to develop the nested algebraic Bethe ansatz for simple g.

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# Steps of the NABA

Start: monodromy matrix  $T(u) \in End(V \otimes M)$  satisfying RTT.



### Non-universality of the NABA



### Barriers to universality

The key to the algebraic Bethe ansatz is that all relations stem from the RTT relation.

$$
R(u) = \begin{pmatrix} R_{00}^{00}(u) & R_{01}^{00}(u) & \dots \\ R_{00}^{01}(u) & R_{01}^{01}(u) & \dots \\ \vdots & \vdots & \ddots \end{pmatrix}
$$

studied cases  $\longrightarrow$  The R-matrix consists of familiar P and  $P^t$  matrices

general case  $\longrightarrow$  we cannot hope for the R-matrix to be made from "familiar" matrices decomposition possible by hand but rather tedious!

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 $\rightarrow$  alternative method which does not use the blocks directly!

# **Nesting**

Decomposition of V



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# **Nesting**







## **Nesting**





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### The removed simple root



Simple roots correspond to sets of Bethe roots  $\{v^{(p)}\} \leftrightarrow \alpha_p$ . If we remove  $\alpha_p$ , schematically we will have Bethe vector

$$
\Phi(\lbrace v \rbrace) = B(v_1^{(p)}) \cdots B(v_{m_p}^{(p)}) \Phi'(\lbrace v \rbrace).
$$

 $\blacktriangleright$  It seems that any one simple root may be removed (cf. [Kosmakov and Tarasov (2024)]), but for simplicity we consider only the case where  $\ell$  is simple (so consider only roots on the ends of the Dynkin diagram).

### Removing a simple root

Example: consider the case  $g \cong s \mathfrak{o}_{12}$  with auxiliary space  $V \cong 12$ , the vector representation.





12so<sup>12</sup> <sup>∼</sup><sup>=</sup> <sup>1</sup>so<sup>10</sup> <sup>⊕</sup> <sup>10</sup>so<sup>10</sup> <sup>⊕</sup> <sup>1</sup>so<sup>10</sup> T(u) = 

$$
\textbf{12}_{\mathfrak{so}_{12}}\cong\textbf{6}_{\mathfrak{sl}_6}\oplus\overline{\textbf{6}}_{\mathfrak{sl}_6}
$$

T(u) = 

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# The  $U(1)$  charge

Removing a simple root means specifically

$$
\mathfrak{k}\cong\langle h_i|i\neq p\rangle+\left\langle e_{\pm\alpha}|(\alpha,\varpi_p^\vee)=0\right\rangle.
$$

The following basis vector of the Cartan subalgebra of g commutes with  $k$ :

$$
\left[ h_{\varpi_{\rho}^{\vee}},\mathfrak{k}\right] =0.
$$

This will be referred to as the **charge**, and it institutes a  $\mathbb{Z}$ -grading on g and its representations.

For example, the adjoint representation

$$
\mathfrak{g}\cong\mathfrak{g}^{(-n_{p})}\oplus\cdots\oplus\left(\mathfrak{k}\oplus\mathbb{C}\mathit{h}_{\varpi_{p}^{\vee}}\right)\oplus\cdots\oplus\mathfrak{g}^{(n_{p})}
$$

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### Charge decomposition

We have decomposition of the auxiliary space into charge eigenspaces, which are also  $\ell$ -reps:

$$
V\cong V^0\oplus V^1\oplus\cdots\oplus V^N.
$$

The tensor product representation may be similarly partitioned according to total charge



The R matrix preserves the *total charge*, giving it a block diagonal form.

### The R-matrix and the block relations

The R matrix preserves the total charge, giving it a block diagonal form.

$$
R(u) = \left(\begin{array}{cc|cc} R_{00}^{00}(u) & & & \\ \hline & R_{01}^{01}(u) & R_{10}^{01}(u) \\ & R_{01}^{10}(u) & R_{10}^{10}(u) \\ \hline & & & & \ddots \end{array}\right)
$$

So now we can write down the block relations, right?

$$
R_{ab}(u-v)T_a(u)T_b(v)=T_b(v)T_a(u)R_{ab}(u-v)
$$

$$
\mathcal{T}(u) = \begin{pmatrix} A_0^0(u) & B_1^0(u) & \cdots & B_N^0(u) \\ C_0^1(u) & A_1^1(u) & \cdots & B_N^1(u) \\ \vdots & \vdots & \ddots & \vdots \\ C_0^N(u) & C_1^N(u) & \cdots & A_N^N(u) \end{pmatrix}
$$

### The block relations

# Sure, we can write down the block relations.<br>(((rtt // blockRels3) // Flatten // TableForm) // ddist)

#### able Form=

 $- (a [0, 0]_2 \cdot a [0, 0]_1 \cdot r0000 [u]_{1,2}) + r0000 [u]_{1,2} \cdot a [0, 0]_1 \cdot a [0, 0]_2$  $- (a[0, 0]_2 \cdot b[0, 1]_1 \cdot r1001[u]_{1,2}) - b[0, 1]_2 \cdot a[0, 0]_1 \cdot r0101[u]_{1,2} + r0000[u]_{1,2} \cdot a[0, 0]_1 \cdot b[0, 1]_2$  $- (a \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, b \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}, r1010 \begin{bmatrix} u \\ u \end{bmatrix}, r) - b \begin{bmatrix} 0 \\ 1 \\ 2 \end{bmatrix}, a \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, r0110 \begin{bmatrix} u \\ u \end{bmatrix}, r10000 \begin{bmatrix} u \\ u \end{bmatrix}, r1010 \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}, r20000 \begin{bmatrix} u \\ u \end{bmatrix}, r30000 \begin{bmatrix} 0 \\ 1 \\ 1 \end{b$  $-[a[0, 0], [b, 2], [c, 2, 2]$  =  $+[0, 1], [c, 1], [c, 1], [c, 1], [c, 1], [c, 1], [c, 2], [c, 2], [c, 0], [c, 0], [c, 2]$  $-\left(a\left[0, 0\right], \cdot b\left[0, 2\right], \cdot\right)$   $\left(2011\left[1\right], \cdot\right)$   $- b\left[0, 1\right], \cdot\left(b\left[0, 1\right], \cdot\right)$   $\left(111\left[1\right], \cdot\right)$   $- b\left[0, 2\right], \cdot\left(a\left[0, 0\right], \cdot\right)$   $\left(0211\left[1\right].$  $-\left(a\begin{bmatrix}0, 0\end{bmatrix}, b\begin{bmatrix}0, 2\end{bmatrix}, r2020\begin{bmatrix}u\end{bmatrix}, r\right) - b\begin{bmatrix}0, 1\end{bmatrix}, b\begin{bmatrix}0, 1\end{bmatrix}, r1120\begin{bmatrix}u\end{bmatrix}, r60, 2\end{bmatrix}, a\begin{bmatrix}0, 0\end{bmatrix}, u0220\begin{bmatrix}u\end{bmatrix}$  $-[b[0, 1], b[0, 2], -2112[u]_{1,2}] - [0, 2], b[0, 1], -1212[u]_{1,2} + r0000[u]_{1,2} + b[0, 1], b[0, 2],$  $-[b[0, 1], [b, 2], [b, 2], [c, 2, 1], [d, 2], -b[0, 2], -b[0, 1], -d[22][u], -b[0, 0, 2], -b[0, 2], -b[0, 1], -b[0,$  $-[b[0, 2]_2 \tcdot b[0, 2]_1 \tcdot r2222[u]_{1,2}] + r0000[u]_{1,2} \tcdot b[0, 2]_1 \tcdot b[0, 2]_2$  $- (c(1, 0)_2 \cdot a[0, 0]_1 \cdot r0000 [u]_{1,2}) + r0101 [u]_{1,2} \cdot a[0, 0]_1 \cdot c[1, 0]_2 + r0110 [u]_{1,2} \cdot c[1, 0]_1 \cdot a[0, 0]_2$  $-\left(a\left[0, 0\right], c\left[1, 0\right], r\right)$  +  $\left(000\left[1\right], 0\right)$  +  $r1001\left[1\right], 0.9\left[0, 0\right], c\left[1, 0\right]$  +  $r1010\left[1\right], 0.0\left[1, 0\right], r\left[1, 0\right]$  $- (a(1, 1), a(0, 0), \cdot)$  (0.0  $(a(1, 1), a(0, 1))$  +  $c(1, 0), b(0, 1), \cdot)$  (0.1  $(a(1, 1), a(0, 0), a(1, 1))$ 

But they're not really useful in this form, because we don't know what  $R^{ij}_{kl}(u)$  are in general.

**Hint**: if we consider  $R_{00}^{00}(u)$ , it satisfies the Yang-Baxter equation (see [Chari-Pressley 1991]). This is easy to deduce from:

$$
(V\otimes V\otimes V)^0=V^0\otimes V^0\otimes V^0,
$$

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with the YBE for  $R(u)$ . So, we can use the uniqueness of *R*-matrices to work out  $R_{00}^{00}(u)$ .

We can use a similar idea to get some more info about  $R(u)$ .

### The block Gauss decomposition

Consider the block Gauss decomposition of the R-matrix:

 $R(u) = U(u)D(u)L(u),$ 

where



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### The block Gauss decomposition

Consider the block Gauss decomposition of the R-matrix:

$$
R(u) = U(u)D(u)L(u),
$$

where



#### Proposition

The  $D_{IJ}^{IJ}(u)$  satisfy the Yang-Baxter equations:

$$
D_{IJ}^{IJ}(u-v)D_{IK}^{IK}(u-w)D_{JK}^{JK}(v-w)=D_{JK}^{JK}(v-w)D_{IK}^{IK}(u-w)D_{IJ}^{IJ}(u-v).
$$

# Identifying the D-matrices

So the matrix  $D_{IJ}^{IJ}(u) \in \mathsf{End}(V^I \otimes V^J)$  is an  $R$ -matrix, which is something we know a lot about.

If we assume that each  $V'$  is an irreducible representation of the Yangian (quite restrictive, but still includes all as-yet studied cases, as well as many more), then we have, by uniqueness:

$$
D_{IJ}^{IJ}(u) = d^{IJ}(u)R^{V^{\prime}V^J}(u - w_{IJ}).
$$

Actually, from the Yang-Baxter equation we have  $w_{11} = w_1 - w_1$ for each I,J.

**Bonus:** we can determine the shift  $w_1$  associated to each space  $V<sup>J</sup>$  using the Baxter polynomials of the representation V.

### What does this give us?

We are ready to state the block relations:

$$
\mathcal{T}(u) = \begin{pmatrix} A_0^0(u) & B_1^0(u) & \cdots & B_N^0(u) \\ C_0^1(u) & A_1^1(u) & \cdots & B_N^1(u) \\ \vdots & \vdots & \ddots & \vdots \\ C_0^N(u) & C_1^N(u) & \cdots & A_N^N(u) \end{pmatrix}
$$

 $C_J^I(u)$  for each I, J are annihilation operators, so will consider terms mod C, where C consists of terms ending in matrix elements of annihilation operators.

The RTT relation is (we omit the spectral parameter for clarity)

$$
[UDL]\mathcal{T}_a\mathcal{T}_b=\mathcal{T}_b\mathcal{T}_a[UDL].
$$

Now we can do some trickery:

$$
\underbrace{DLT_aT_bL^{-1}}_{\text{lower triangular mod } C} = \underbrace{U^{-1}T_bT_aUD}_{\text{upper triangular mod } C}.
$$

### Result I: RAA relations



Looking at the diagonal blocks of this relation, we obtain

$$
D^{IJ}(u-v) A^I_l(u)_a A^J_l(v)_b = A^J_l(v)_b A^I_l(u)_a D^{IJ}(u-v) \mod C
$$

This is the RTT relation for the diagonal blocks of the monodromy matrix!

- $\blacktriangleright$  It confirms that the nested system will have a Yangian underlying algebra.
- $\blacktriangleright$  It also confirms that each of the nested transfer matrices commute.

### Result II: The AB relations

We can use the same technique to show:

$$
(A'_{l})_{a}(B'_{J+1})_{b} = (D^{J,J})^{-1}(B'_{J+1})_{b}(A'_{l})_{a}D^{J,J+1}
$$

$$
- L^{J,J}_{l-1,J+1}(B'^{J-1}_{l})_{a}(A'^{J+1}_{J+1})_{b}
$$

$$
+ (B'_{l+1})_{a}(A'^{J}_{J})_{b}L^{J+1,J}_{l,J+1} \mod C
$$

Practitioners of the Bethe ansatz will recognise the "wanted" and "unwanted" terms.

Very important caveat: This technique works for the first excitation only. After the first one, we can't count on C terms vanishing. This means that, in general, the higher level terms need to be generated from a relation like  $RRRTTT = TTTRRR$ .

### The nested Bethe vector

Recall the schematic  $(M$  is the spin chain state space):

$$
\Phi(\lbrace v \rbrace) = B(v_1^{(p)}) \cdots B(v_{m_p}^{(p)}) \Phi'(\lbrace v \rbrace) \in M.
$$

What exactly are  $\Phi'\left(\{\nu\}\right)$  and  $B(\nu)$  here?

 $\blacktriangleright$  We should have some *auxiliary site V<sup>aux</sup>* for each excitation:

$$
B(v) \in \text{Hom}(V^{aux}, \mathbb{C}) \otimes \text{End}(M).
$$

 $\triangleright$  We also need, in order to use the previous results,

$$
C\cdot\Phi'\left(\left\{v\right\}\right)=0;
$$

this can be achieved with

$$
\Phi'\left(\left\{v\right\}\right)\in(V^{aux})^{\otimes m_p}\otimes M^0
$$

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### The B operator

Let us focus on just one excitation.

We have multiple *different* creation operators for one excitation:

$$
B_1^0(v)
$$
 or  $B_2^1(v)$  or  $B_3^2(v)$ ,...

It is expected that they all produce equivalent excitations (see e.g. [Melo and Martins (2009)]). But in our case, they all have a different shape

$$
B_{l+1}^I(v) \in \mathsf{Hom}(V^{l+1},V^l) \otimes \mathsf{End}(M)
$$

In fact we will use

$$
B_{I+1,\overline{I}}(v) \in \text{Hom}(V^{I+1}\otimes \overline{V^{I}},\mathbb{C})\otimes \text{End}(M)
$$

How can we square this with  $B(v) \in \text{Hom}(V^{aux}, \mathbb{C}) \otimes \text{End}(M)?$ 

### The auxiliary site

**Assertion**: The auxiliary site  $V^{aux}$  must be isomorphic to the rep  $\mathfrak{g}^{(1)}.$  That is, the vector space spanned by generators  $\big\langle \text{e}_{-\alpha} | (\alpha, \omega_{\boldsymbol{\rho}}^{\vee}) = 1 \big\rangle$  .

**Evidence I:** For each *I*, there is an intertwiner of  $\ell$  representations

$$
\Gamma^{I+1,\bar{I}}: \mathfrak{g}^{(1)} \hookrightarrow V^{I+1}\otimes \overline{V^I}.
$$

Indeed,  $\mathfrak{g}^{(1)}\otimes V^I\to V^{I+1}$ , simply from restricting the action of  $\mathfrak g$ on V.

This means that we can act with any B operator on the nested Bethe vector.

$$
\Phi'(\{v\}) \to \Gamma^{I+1,\bar{I}} \cdot \Phi'(\{v\}) \to B_{I+1,\bar{I}}(v) \cdot \Gamma^{I+1,\bar{I}} \cdot \Phi'(\{v\})
$$

# The auxiliary site

**Assertion**: The auxiliary site  $V^{aux}$  must be isomorphic to the rep  $\mathfrak{g}^{(1)}.$  That is, the vector space spanned by generators  $\big\langle \textit{e}_{-\alpha} | (\alpha, \omega_{\boldsymbol{\rho}}^{\vee}) = 1 \big\rangle.$ 

**Evidence II:** There is a way we could have predicted this from the Bethe equations. Assuming the NABA is successful, we must have the following equivalence:



This is consistent if the highest weight of  $V^{aux}$  is  $\pi(-\alpha_p)$ , where  $\pi$ is the projector from the weight lattice of  $g$  to that of  $\ell$ .

### The one-excitation state

Finally, this puts us in a position to define the one-excitation state. Choose  $B_{1,\bar{0}}(\nu)$  as the creation operator

$$
\Phi(\lbrace v \rbrace) = B_{1,\bar{0}}(v) \cdot \Gamma^{1,\bar{0}} \cdot \Phi'(\lbrace v \rbrace).
$$

Now act with the transfer matrix  $t(u) = \text{tr}_a T_a(u) = \sum_l \text{tr}_l A_l^l(u)$ :

$$
t(u)\Phi(\lbrace v \rbrace) = \sum_{l} \operatorname{tr}(A'_l(u))B_{1,\overline{0}}(v) \cdot \Gamma^{1,\overline{0}} \cdot \Phi'(\lbrace v \rbrace).
$$

$$
= B_{1,\overline{0}}(v) \sum_{I} \underbrace{\mathrm{tr}\left[D^{I,1}(u-v)((D^{I,0}(u-v))^{-1})^{t_0} A_I'(u)\right]}_{\text{nested transfer matrix on } V^{aux}\otimes M^0} \cdot \Gamma^{1,\overline{0}} \cdot \Phi'(\{v\}).
$$

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We have omitted unwanted terms.

### Fusion

Expected nested transfer matrix expression:

$$
\mathop{\rm tr}\limits_{I}\Big[R^{I,V_{\mathsf{aux}}}(u-v)A_{I}'(u)\Big]\text{ acting on }V^{\mathsf{aux}}\otimes M^0
$$

But what we got was:

$$
\mathop{\rm tr}\limits_{I} \left[D^{I,1}(u-v)((D^{I,0}(u-v))^{-1})^{t_0} A^I_l(u)\right]
$$

The missing piece of the puzzle must be fusion! This occurs if the above pair of  $D$  matrices are at the fusion point.

**Conjecture:** The matrix  $[(D^{10}(0))^{-1}]^{t_0}$  is a projector to  $V^{aux} \subset V^1 \otimes \overline{V^0}.$ Note: this condition appears in Reshetikhin (1988), which considers the case  $N = 2$ .

### Conclusions

Here's a quick recap.

- ▶ The decomposition of the auxiliary space is induced from the removal of a single simple root.
- $\blacktriangleright$  The subsequent block Gauss decomposition of the  $R$ -matrix reveals D-matrices which satisfy the Yang-Baxter equation; this is enough to prove the RTT relation for the nested system, as well as the wanted term.
- $\blacktriangleright$  The auxiliary site appearing in the nested system has representation  $g^{(1)}$ , determined from the nesting. We conjecture that a particular D-matrix evaluated at 0 gives a projector to this representation.

This is just about enough to construct the Bethe vector for one excitation.

### **Discussion**

There is a lot that has not been completed yet.

- $\blacktriangleright$  We need to prove the conjecture.
- $\triangleright$  We need to show that the unwanted terms disappear if the Bethe equations are satisfied – need to understand the properties of  $U(u)$  and  $L(u)$  from the block Gauss decomposition.
- $\triangleright$  We need to generalise to multiple excitations simple for  $N = 2$ , but difficult in general due to existence of  $B_2^0(v)$  etc.

# Thank you

Let's work together! Feel free to contact me on researchgate etc.

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## Decomposition of some reps



#### The nested Bethe equations

Explicitly, the Bethe equations are, for  $1 \le i \le r$ ,

$$
\frac{P_i(v_k^{(i)} + \hbar d_i)}{P_i(v_k^{(i)})} = -\prod_{j=1}^r \prod_{l=1}^{m^{(j)}} \frac{v_k^{(i)} - v_l^{(j)} + \frac{\hbar}{2}(\alpha_i, \alpha_j)}{v_k^{(i)} - v_l^{(j)} - \frac{\hbar}{2}(\alpha_i, \alpha_j)}.
$$

Suppose  $\alpha_p$  is removed. The Bethe equations, for  $i \neq p$  are:

$$
\frac{P_i(v_k^{(i)} + \hbar d_i)}{P_i(v_k^{(i)})} = -\prod_{l=1}^{m^{(p)}} \frac{v_k^{(i)} - v_l^{(p)} + \frac{\hbar}{2}(\alpha_i, \alpha_p)}{v_k^{(i)} - v_l^{(p)} - \frac{\hbar}{2}(\alpha_i, \alpha_p)} \prod_{\substack{j=1 \ j \neq p}}^{r} \prod_{l=1}^{m^{(j)}} \frac{v_k^{(i)} - v_l^{(j)} + \frac{\hbar}{2}(\alpha_i, \alpha_j)}{v_k^{(i)} - v_l^{(j)} - \frac{\hbar}{2}(\alpha_i, \alpha_j)}.
$$

These must be equivalent to the full Bethe equations for the nested system. That is:

$$
\frac{P_i(v_k^{(i)} + \hbar d_i)}{P_i(v_k^{(i)})} \prod_{\substack{l=1 \ \text{prime}}^{m^{(p)}}}^{m^{(p)}} \frac{v_k^{(i)} - v_l^{(p)} - \frac{\hbar}{2}(\alpha_i, \alpha_p)}{v_k^{(i)} - v_l^{(p)} + \frac{\hbar}{2}(\alpha_i, \alpha_p)} = - \prod_{\substack{j=1 \ l \neq p}}^{r} \prod_{l=1 \ \text{prime}}^{m^{(j)}} \frac{v_k^{(i)} - v_l^{(j)} + \frac{\hbar}{2}(\alpha_i, \alpha_j)}{v_k^{(i)} - v_l^{(j)} - \frac{\hbar}{2}(\alpha_i, \alpha_j)}.
$$
Drinfeld' d polys for aux. sites!

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