

On correlation functions for open XXZ and XYZ spin chains

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based on joined works with G. Niccoli (XXZ and XYZ with non-diagonal b.c., $T = 0$), and K. K. Kozłowski (XXZ with diagonal b.c. $T > 0$)

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The open XXZ/XYZ chain with boundary fields

$$H_{\text{XXZ}}^{\text{open}} = \sum_{m=1}^{L-1} [\sigma_m^x \sigma_{m+1}^x + \sigma_m^y \sigma_{m+1}^y + \Delta \sigma_m^z \sigma_{m+1}^z] + \sum_{a \in \{x,y,z\}} [h_+^a \sigma_1^a + h_-^a \sigma_L^a]$$

- space of states: $\mathcal{H} = \otimes_{n=1}^L \mathcal{H}_n$ with $\mathcal{H}_n \simeq \mathbb{C}^2$
- $\sigma_m^{x,y,z} \in \text{End}(\mathcal{H}_n)$: local spin-1/2 operators (Pauli matrices) at site m
- anisotropy parameter $\Delta = \cosh \eta$
- boundary fields $h_{\pm}^{x,y,z}$ parametrised in terms of 6 boundary parameters $\varsigma_{\pm}, \kappa_{\pm}, \tau_{\pm}$, or alternatively $\varphi_{\pm}, \psi_{\pm}, T_{\pm}$:

$$h_{\pm}^x = 2\kappa_{\pm} \sinh \eta \frac{\cosh \tau_{\pm}}{\sinh \varsigma_{\pm}}, \quad h_{\pm}^y = 2i\kappa_{\pm} \sinh \eta \frac{\sinh \tau_{\pm}}{\sinh \varsigma_{\pm}}, \quad h_{\pm}^z = \sinh \eta \coth \varsigma_{\pm}$$
$$\sinh \varphi_{\pm} \cosh \psi_{\pm} = \frac{\sinh \varsigma_{\pm}}{2\kappa_{\pm}}, \quad \cosh \varphi_{\pm} \sinh \psi_{\pm} = \frac{\cosh \varsigma_{\pm}}{2\kappa_{\pm}}$$

Question: Correlation functions $\langle \prod_{j=1}^m \sigma_{i_j}^{\alpha_j} \rangle$?

Previous works: [Jimbo et al. 95] from q -vertex operators, [Kitanine et al 07] from ABA ($h_{\pm}^x = h_{\pm}^y = 0, T = 0$)

The open XXZ/XYZ chain with boundary fields

$$H_{\text{XYZ}}^{\text{open}} = \sum_{a \in \{x, y, z\}} \left[\sum_{n=1}^L J_a \sigma_n^a \sigma_{n+1}^a + h_+^a \sigma_1^a + h_-^a \sigma_L^a \right]$$

boundary fields parametrised in terms of 6 boundary parameters c_{\pm}^a , $a = x, y, z$, or alternatively α_{ℓ}^{\pm} , $\ell = 1, 2, 3$:

$$\begin{aligned} J_x &= \frac{\theta_4(\eta)}{\theta_4(0)}, & h_{\pm}^x &= c_{\pm}^x \frac{\theta_1(\eta)}{\theta_4(0)} = \frac{\theta_1(\eta)}{\theta_4(0)} \prod_{\ell=1}^3 \frac{\theta_4(\alpha_{\ell}^{\pm})}{\theta_1(\alpha_{\ell}^{\pm})}, \\ J_y &= \frac{\theta_3(\eta)}{\theta_3(0)}, & h_{\pm}^y &= i c_{\pm}^y \frac{\theta_1(\eta)}{\theta_3(0)} = -i \frac{\theta_1(\eta)}{\theta_3(0)} \prod_{\ell=1}^3 \frac{\theta_3(\alpha_{\ell}^{\pm})}{\theta_1(\alpha_{\ell}^{\pm})}, \\ J_z &= \frac{\theta_2(\eta)}{\theta_2(0)}, & h_{\pm}^z &= c_{\pm}^z \frac{\theta_1(\eta)}{\theta_2(0)} = \frac{\theta_1(\eta)}{\theta_2(0)} \prod_{\ell=1}^3 \frac{\theta_2(\alpha_{\ell}^{\pm})}{\theta_1(\alpha_{\ell}^{\pm})}. \end{aligned}$$

with $\theta_i(u) \equiv \theta_i(u|\omega)$ ($\Im(\omega) > 0$)

Question: Correlation functions $\langle \prod_{j=1}^m \sigma_j^{\alpha_j} \rangle$?

Previous works: [Hara 00] from q -vertex operators

A brief reminder of the XXZ periodic case

Correlation functions of the XXZ periodic chain at $T = 0$ can be computed (among other methods) within ABA

- numerical results [Caux et al. 05...]
- analytical derivation of the large distance asymptotic behavior at the thermodynamic limit... [Kitanine, Kozłowski, Maillet, Slavnov, VT 08, 11...]

Both approaches are based

- o on the form factor decomposition of the correlation functions:

$$\langle \psi_g | \sigma_n^\alpha \sigma_{n'}^\beta | \psi_g \rangle = \sum_{\substack{\text{eigenstates} \\ |\psi_i\rangle}} \langle \psi_g | \sigma_n^\alpha | \psi_i \rangle \cdot \langle \psi_i | \sigma_{n'}^\beta | \psi_g \rangle$$

- o on the **exact determinant representations for the form factors** $\langle \psi_i | \sigma_n^\alpha | \psi_j \rangle$ in **finite volume** [Kitanine, Maillet, VT 1999], obtained from
 - the action of local operators on Bethe states (using the **solution of the quantum inverse problem**, e.g. $\sigma_n^- = t(0)^{n-1} B(0) t(0)^{-n}$)
 - the use of **Slavnov's determinant representation** for the scalar products of Bethe states [Slavnov 89]

$$\langle \{\mu\}_{\text{off-shell}} | \{\lambda\}_{\text{on-shell}} \rangle \propto \det_{1 \leq j, k \leq n} \left[\frac{\partial \tau(\mu_j | \{\lambda\})}{\partial \lambda_k} \right]$$

where $t(\mu_j | \{\lambda\}) = \tau(\mu_j | \{\lambda\}) | \{\lambda\} \rangle$

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- o on the **exact determinant representations for the form factors** $\langle \psi_i | \sigma_n^\alpha | \psi_j \rangle$ in **finite volume** [Kitanine, Maillet, VT 1999] ,

At $T > 0$, correlation functions as sum over thermal form factors within the QTM approach ([Dugave, Göhmann, Kozlowski 12] and further works...)

↪ asymptotic behaviour at low-T

The reflection algebra for the XXZ/XYZ open spin chain

The open spin chains are solvable in the framework of the representation theory of the **reflection algebra** (or **boundary Yang-Baxter algebra**) [Sklyanin 88]

- generators $\mathcal{U}_{ij}(\lambda)$, $1 \leq i, j \leq 2$ ← elements of the **boundary monodromy matrix** $\mathcal{U}(\lambda)$
- commutation relations given by the **reflection equation**:
$$R_{12}(\lambda - \mu) \mathcal{U}_1(\lambda) R_{12}(\lambda + \mu - \eta) \mathcal{U}_2(\mu) = \mathcal{U}_2(\mu) R_{12}(\lambda + \mu - \eta) \mathcal{U}_1(\lambda) R_{12}(\lambda - \mu)$$

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↔ most general 2×2 trigonometric solution of the refl. eq [de Vega, Gonzalez-Ruiz; Ghoshal, Zamolodchikov 93] :

$$K(\lambda; \varsigma, \kappa, \tau) = \frac{1}{\sinh \varsigma} \begin{pmatrix} \sinh(\lambda - \frac{\eta}{2} + \varsigma) & \kappa e^{\tau} \sinh(2\lambda - \eta) \\ \kappa e^{-\tau} \sinh(2\lambda - \eta) & \sinh(\varsigma - \lambda + \frac{\eta}{2}) \end{pmatrix}$$

↔ boundary matrices $K^+(\lambda) \equiv K(\lambda + \eta/2; \varsigma_+, \kappa_+, \tau_+)$ and $K^-(\lambda) \equiv K(\lambda - \eta/2; \varsigma_-, \kappa_-, \tau_-)$ describing left/right boundary fields:

$$h_{\pm}^x = 2\kappa_{\pm} \sinh \eta \frac{\cosh \tau_{\pm}}{\sinh \varsigma_{\pm}}, \quad h_{\pm}^y = 2i\kappa_{\pm} \sinh \eta \frac{\sinh \tau_{\pm}}{\sinh \varsigma_{\pm}}, \quad h_{\pm}^z = \sinh \eta \coth \varsigma_{\pm}$$

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$$R_{12}(\lambda - \mu) U_1(\lambda) R_{12}(\lambda + \mu - \eta) U_2(\mu) = U_2(\mu) R_{12}(\lambda + \mu - \eta) U_1(\lambda) R_{12}(\lambda - \mu)$$

↪ most general 2×2 elliptic solution of the refl. eq [Inami, Konno 94; Hou, Shi, Fan, Yang 95] :

$$K(\lambda) \equiv K(\lambda; \alpha_1, \alpha_2, \alpha_3) = \frac{\theta_1(2\lambda - \eta)}{2\theta_1(\lambda - \frac{\eta}{2})} \left[\mathbb{I} + c^x \frac{\theta_1(\lambda - \frac{\eta}{2})}{\theta_4(\lambda - \frac{\eta}{2})} \sigma^x + ic^y \frac{\theta_1(\lambda - \frac{\eta}{2})}{\theta_3(\lambda - \frac{\eta}{2})} \sigma^y + c^z \frac{\theta_1(\lambda - \frac{\eta}{2})}{\theta_2(\lambda - \frac{\eta}{2})} \sigma^z \right],$$

with coefficients c^x, c^y, c^z given in terms of three boundary parameters $\alpha_1, \alpha_2, \alpha_3$ as

$$c^x = \prod_{\ell=1}^3 \frac{\theta_4(\alpha_\ell)}{\theta_1(\alpha_\ell)}, \quad c^y = -\prod_{\ell=1}^3 \frac{\theta_3(\alpha_\ell)}{\theta_1(\alpha_\ell)}, \quad c^z = \prod_{\ell=1}^3 \frac{\theta_2(\alpha_\ell)}{\theta_1(\alpha_\ell)}.$$

↪ boundary matrices $K^+(\lambda) \equiv K(\lambda + \eta; \{\alpha_\ell^+\})$ and $K^-(\lambda) \equiv K(\lambda; \{\alpha_\ell^-\})$ describing left/right boundary fields:

$$h_\pm^x = \frac{\theta_1(\eta)}{\theta_4(0)} \prod_{\ell=1}^3 \frac{\theta_4(\alpha_\ell^\pm)}{\theta_1(\alpha_\ell^\pm)}, \quad h_\pm^y = -i \frac{\theta_1(\eta)}{\theta_3(0)} \prod_{\ell=1}^3 \frac{\theta_3(\alpha_\ell^\pm)}{\theta_1(\alpha_\ell^\pm)}, \quad h_\pm^z = \frac{\theta_1(\eta)}{\theta_2(0)} \prod_{\ell=1}^3 \frac{\theta_2(\alpha_\ell^\pm)}{\theta_1(\alpha_\ell^\pm)}$$

The reflection algebra for the XXZ/XYZ open spin chain

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◦ generators $\mathcal{U}_{ij}(\lambda)$, $1 \leq i, j \leq 2$ ← elements of the **boundary monodromy matrix** $\mathcal{U}(\lambda)$

◦ commutation relations given by the **reflection equation**:

$$R_{12}(\lambda - \mu) \mathcal{U}_1(\lambda) R_{12}(\lambda + \mu - \eta) \mathcal{U}_2(\mu) = \mathcal{U}_2(\mu) R_{12}(\lambda + \mu - \eta) \mathcal{U}_1(\lambda) R_{12}(\lambda - \mu)$$

$$\rightsquigarrow \mathcal{U}(\lambda) = T(\lambda) K^-(\lambda) \hat{T}(\lambda) = \begin{pmatrix} \mathcal{A}(\lambda) & \mathcal{B}(\lambda) \\ \mathcal{C}(\lambda) & \mathcal{D}(\lambda) \end{pmatrix} \quad \text{with } \hat{T}(\lambda) \propto \sigma^y T^t(-\lambda) \sigma^y$$

$$\rightsquigarrow \text{transfer matrix: } t(\lambda) = \text{tr}\{K^+(\lambda)\mathcal{U}(\lambda)\} \quad [t(\lambda), t(\mu)] = 0$$
$$H^{\text{open}} \propto \frac{d}{d\lambda} \log t(\lambda) \Big|_{\lambda=\eta/2}$$

Solution by ABA in the XXZ diagonal case

When both boundary matrices K^\pm are **diagonal** ($\kappa_\pm = 0$, i.e. boundary fields along σ_1^z and σ_N^z only):

- the bulk reference state $|0\rangle = |\uparrow\uparrow\dots\uparrow\rangle$ can still be used to construct the eigenstates as Bethe states in the ABA framework [Sklyanin 88]

$$|\{\lambda\}\rangle = \prod_{k=1}^n \mathcal{B}(\lambda_k) |0\rangle \in \mathcal{H}, \quad \langle\{\lambda\}| = \langle 0| \prod_{k=1}^n \mathcal{C}(\lambda_k) \in \mathcal{H}^*$$

- \exists **generalization of Slavnov's determinant representation** for the scalar products of Bethe states $\langle\{\mu\}_{\text{off-shell}}|\{\lambda\}_{\text{on-shell}}\rangle$ [Tsuchiya 98; Wang 02]
- but a simple generalization of the **quantum inverse problem** to the boundary case (i.e. expressions of σ_n^α in terms of elements of the boundary monodromy matrix) is missing (except at site 1)
 - \rightsquigarrow no simple closed formula for the form factors $\langle\{\mu\}|\sigma_m^\alpha|\{\lambda\}\rangle$
- correlation functions in the ABA framework ? [Kitanine et al. 07]
 - decompose boundary Bethe states into bulk Bethe states
 - use the bulk inverse problem to compute the action of local operators
 - reconstruct the result in terms of boundary Bethe states
 - \rightsquigarrow multiple sums over scalar products
 - \rightsquigarrow **multiple integrals** in the half-infinite chain limit (recovering the results of [Jimbo et al. 95] from q -vertex operators)

Questions

- more explicit representations for correlation functions at $T = 0$?
↳ magnetization at distance m from the boundary (explicit dependence on m) ?
- temperature case ? (with K. Kozłowski)
- case of non-longitudinal boundary fields (non-diagonal K matrices) ? (with G. Niccoli)
- XYZ case ? (in progress with G. Niccoli)

The temperature case ? [Kozłowski, V.T. 23]

Consider the XXZ chain with longitudinal boundary fields in a uniform external magnetic field h :

$$H_h = H - \frac{h}{2} \sum_{k=1}^L \sigma_k^z$$

with

$$H = \sum_{m=1}^{L-1} \{ \sigma_m^x \sigma_{m+1}^x + \sigma_m^y \sigma_{m+1}^y + \Delta \sigma_m^z \sigma_{m+1}^z \} + h_-^z \sigma_1^z + h_+^z \sigma_L^z$$

$$\Delta = \cos \zeta \quad h_{\pm}^z = \sinh(-i\zeta) \coth \xi_{\pm}$$

Given r local operators $\mathcal{O}_{m_1+1}^{(1)}, \dots, \mathcal{O}_{m_r+1}^{(r)}$ acting on sites $m_1 + 1, \dots, m_r + 1$, we want to compute the thermal average

$$\mathbb{E}_{L;T} \left[\mathcal{O}_{m_1+1}^{(1)} \cdots \mathcal{O}_{m_r+1}^{(r)} \right] = \frac{\text{tr}_{1,\dots,L} \left[\mathcal{O}_{m_1+1}^{(1)} \cdots \mathcal{O}_{m_r+1}^{(r)} e^{-\frac{H_h}{T}} \right]}{\text{tr}_{1,\dots,L} \left[e^{-\frac{H_h}{T}} \right]}$$

and its thermodynamic limit:

$$\langle \mathcal{O}_{m_1+1}^{(1)} \cdots \mathcal{O}_{m_r+1}^{(r)} \rangle_T = \lim_{L \rightarrow +\infty} \mathbb{E}_{L;T} \left[\mathcal{O}_{m_1+1}^{(1)} \cdots \mathcal{O}_{m_r+1}^{(r)} \right]$$

→ use of the Quantum Transfer Matrix approach (cf Wuppertal group works...)

The QTM approach for the open spin chain

Adaptation of the method to the open case to compute the **surface free energy** of the XXZ chain

- Gohmann, Bortz and Frahm (2005) : expression of the surface free energy for the XXZ chain in the thermodynamic limit as a Trotter limit of the expectation value, in the dominant eigenstate of the quantum transfer matrix, of a certain (non-local) **'finite temperature boundary operator'**
- Kozłowski, Pozsgay (2012) : interpret the above mean value as a product of two specific cases of partition functions of the six-vertex model with reflecting ends
 - expression in terms of Tsuchiya's determinant representation
 - possibility to take the Trotter limit in the formula
 - simple integral representation for the boundary magnetization
 - possibility to study the low-T limit
- Pozsgay, Rakos (2018) : generalisation to arbitrary boundary conditions ($h = 0$)

Correlation functions ?

A Trotter approximant for multi-point functions

Using

$$\left(t\left(-\frac{\beta}{N}\right) \cdot t^{-1}(0) \right)^N = e^{-\frac{H}{T}} \cdot (1 + O(N^{-1}))$$

with

$$\beta = \frac{\sinh(-i\zeta)}{T}, \quad \Delta = \cos \zeta$$

we have

$$\begin{aligned} & \mathbb{E}_{L;T} \left[\mathcal{O}_{m_1+1}^{(1)} \cdots \mathcal{O}_{m_r+1}^{(r)} \right] \\ &= \lim_{N \rightarrow +\infty} \frac{\text{tr}_{1,\dots,L} \left[\mathcal{O}_{m_1+1}^{(1)} \cdots \mathcal{O}_{m_r+1}^{(r)} \cdot t^N\left(-\frac{\beta}{N}\right) \cdot t^{-N}(0) \cdot \prod_{n=1}^L e^{\frac{h}{2T} \sigma_n^z} \right]}{\text{tr}_{1,\dots,L} \left[t^N\left(-\frac{\beta}{N}\right) \cdot t^{-N}(0) \cdot \prod_{n=1}^L e^{\frac{h}{2T} \sigma_n^z} \right]} \end{aligned}$$

Noticing that

$$t(\lambda) = \text{tr}_{a,b} \left[P_{a,b}(\lambda) T_b^{t_b}(\lambda) \hat{T}_a(\lambda) \right]$$

where $P_{a,b}$ is a one-dimensional projector:

$$\begin{aligned} P_{a,b}(\lambda) &= K_a^+(\lambda) P_{ab}^{t_a} K_a^-(\lambda) \\ &= K_a^+(\lambda) (|+\rangle_a |+\rangle_b + |-\rangle_a |-\rangle_b) (\langle +|_a \langle +|_b + \langle -|_a \langle -|_b) K_a^-(\lambda), \end{aligned}$$

Göhmann, Bortz and Frahm have rewritten $t^N(-\frac{\beta}{N})$ in terms of the quantum monodromy matrix $T_{qj}(\lambda)$ with 'quantum space' $q \equiv a_1, \dots, a_{2N}$ and 'auxiliary space' j :

$$t^N(-\frac{\beta}{N}) \prod_{n=1}^L e^{\frac{\hbar}{2T} \sigma_n^z} = \text{tr}_q \left[\Pi_q(-\frac{\beta}{N}) T_{q;1}(0) \dots T_{q;L}(0) \right], \quad q \equiv a_1 \dots a_{2N},$$

with

$$\Pi_q(\varsigma) = P_{a_1 a_2}(\varsigma) P_{a_3 a_4}(\varsigma) \dots P_{a_{2N-1} a_{2N}}(\varsigma)$$

$$\begin{aligned} T_{qj}(\lambda) &= R_{a_{2N} j}^{t_{a_{2N}}}(-\frac{\beta}{N} - \lambda) R_{j a_{2N-1}}(\lambda - \frac{\beta}{N}) \dots R_{a_{2j}}^{t_{a_{2j}}}(-\frac{\beta}{N} - \lambda) R_{j a_1}(\lambda - \frac{\beta}{N}) e^{\frac{\hbar}{2T} \sigma_j^z} \\ &= \begin{pmatrix} A_q(\lambda) & B_q(\lambda) \\ C_q(\lambda) & D_q(\lambda) \end{pmatrix}_{[j]} \end{aligned}$$

Finite-size multi-point function:

$$\begin{aligned}
 \mathbb{E}_{L;T} & \left[\mathcal{O}_{m_1+1}^{(1)} \cdots \mathcal{O}_{m_r+1}^{(r)} \right] \\
 &= \lim_{N \rightarrow \infty} \text{tr}_{1, \dots, L} \text{tr}_q \left\{ \Pi_q \left(-\frac{\beta}{N} \right) T_{q;1}(0) \cdots T_{q;L}(0) \mathcal{O}_{m_1+1}^{(1)} \cdots \mathcal{O}_{m_r+1}^{(r)} \right\} / Z_{N,L} \\
 &= \lim_{N \rightarrow \infty} \text{tr}_q \left\{ \Pi_q \left(-\frac{\beta}{N} \right) \cdot [t_q(0)]^{m_1} \cdot \text{tr}[T_q(0) \mathcal{O}^{(1)}] \cdot [t_q(0)]^{m_2 - m_1 - 1} \right. \\
 &\quad \left. \times \text{tr}[T_q(0) \mathcal{O}^{(2)}] \cdot [t_q(0)]^{m_3 - m_2 - 1} \cdots \text{tr}[T_q(0) \mathcal{O}^{(r)}] [t_q(0)]^{L - m_r - 1} \right\} / Z_{N,L}
 \end{aligned}$$

where

$$\begin{aligned}
 Z_{N,L} &= \text{tr}_{1, \dots, L} \text{tr}_q \left\{ \Pi_q \left(-\frac{\beta}{N} \right) T_{q;1}(0) \cdots T_{q;L}(0) \right\} \\
 &= \text{tr}_q \left\{ \Pi_q \left(-\frac{\beta}{N} \right) \cdot [t_q(0)]^L \right\}
 \end{aligned}$$

Remark. $t_q = \text{tr } T_q$ is the same QTM as in the periodic case \rightarrow use the results from the study of the periodic case (from the Wuppertal's group works)

Assuming

- that one can exchange the Trotter limit $N \rightarrow +\infty$ and thermodynamic limit $L \rightarrow +\infty$,
- that the QTM admits a non-degenerate, real and positive maximal eigenvalue $\hat{\Lambda}_0$ with corresponding eigenstate $|\Psi_0\rangle$

one obtains

$$\begin{aligned} & \langle \mathcal{O}_{m_1+1}^{(1)} \cdots \mathcal{O}_{m_r+1}^{(r)} \rangle_T \\ &= \lim_{N \rightarrow +\infty} \frac{\langle \Psi_0 | \Pi_q(-\frac{\beta}{N}) \cdot [t_q(0)]^{m_1} \cdot \Xi^{(1)} \cdot [t_q(0)]^{m_2-m_1-1} \cdot \Xi^{(2)} \cdots \Xi^{(r)} | \Psi_0 \rangle}{\langle \Psi_0 | \Pi_q(-\frac{\beta}{N}) | \Psi_0 \rangle \cdot \hat{\Lambda}_0^{m_r+1}} \end{aligned}$$

in which

$$\Xi^{(i)} = \text{tr}[T_q(0) \mathcal{O}^{(i)}]$$

Thermal form factor expansion at finite Trotter number

Supposing that the quantum transfer matrix $t_q(0)$ is diagonalizable with eigenvectors $|\Psi_n\rangle$ and associated eigenvalues $\hat{\Lambda}_n$:

$$\langle \mathcal{O}_{m_1+1}^{(1)} \cdots \mathcal{O}_{m_r+1}^{(r)} \rangle_T = \lim_{N \rightarrow +\infty} \sum_{k_1, \dots, k_r} \frac{\hat{\Lambda}_{k_1}^{m_1} \prod_{i=2}^r \hat{\Lambda}_{k_i}^{m_i - m_{i-1} - 1}}{\hat{\Lambda}_0^{m_r+1}} \\ \times \underbrace{\frac{\langle \Psi_0 | \Pi_q(-\frac{\beta}{N}) | \Psi_{k_1} \rangle}{\langle \Psi_0 | \Pi_q(-\frac{\beta}{N}) | \Psi_0 \rangle}}_{\text{Boundary factor}} \cdot \prod_{i=1}^r \underbrace{\frac{\langle \Psi_{k_i} | \Xi^{(i)} | \Psi_{k_{i+1}} \rangle}{\langle \Psi_{k_i} | \Psi_{k_i} \rangle}}_{\text{QTM form factors}}$$

- the QTM eigenstates for finite N can be constructed by Bethe ansatz and are described by solutions of Bethe equations
- the above sum runs over the same normalised QTM matrix elements as in the bulk case (given as ratios of Slavnov/Gaudin determinants)
→ we can directly use the study of [Dugave, Göhmann, Kozłowski 12] and further works...
- the whole dependence on the boundary is contained in the boundary factor, which can be reformulated, following [Kozłowski, Pozsgay 12] as a ratio of partition functions of the six-vertex model with reflecting ends (→ ratio of Tsuchiya's determinants)

The boundary factor

Let $|\Psi_0\rangle \equiv |\Psi(\{\lambda_j\}_1^N)\rangle$ and $|\Psi_{k_1}\rangle \equiv |\Psi(\{\mu_j\}_1^M)\rangle$

Then, following [Kozłowski, Pozsgay 12]:

$$\langle \Psi_0 | \Pi_q(-\frac{\beta}{N}) | \Psi_{k_1} \rangle = \delta_{N,M} \mathcal{F}^{(+)}(\{\lambda_j\}_1^N) \cdot \mathcal{F}^{(-)}(\{\mu_j\}_1^M)$$

in which

$$\mathcal{F}^{(-)}(\{\mu_j\}_1^M) = e^{-\frac{N\hbar}{2T}} \mathcal{Z}_N(\{-\frac{\beta}{N}\}_1^N; \{\mu_j\}_1^M; \xi_-)$$

where $\mathcal{Z}_N(\{\xi_a\}_1^N; \{\mu_j\}_1^M; \xi_-)$ is the partition function of the six-vertex model with reflecting ends (given by a Tsuchiya determinant):

$$\begin{aligned} \mathcal{Z}_N(\{\xi_a\}_1^N; \{\mu_a\}_1^M; \xi_-) &= \frac{\prod_{a,b=1}^N \prod_{\epsilon=\pm} \left\{ \sinh(\xi_a + \epsilon\mu_b) \sinh(\xi_a - i\zeta + \epsilon\mu_b) \right\}}{\prod_{a < b}^N \left\{ \sinh(\xi_a - \xi_b) \sinh(\xi_a + \xi_b - i\zeta) \prod_{\epsilon=\pm} \sinh(\mu_b + \epsilon\mu_a) \right\}} \\ &\quad \times \det_N \left[\frac{\sinh(-i\zeta) \sinh(\xi_- + \mu_b) \sinh(2\xi_a)}{\prod_{\epsilon=\pm} \sinh(\xi_a - i\zeta + \epsilon\mu_b) \sinh(\xi_a + \epsilon\mu_b)} \right] \end{aligned}$$

so that

$$\frac{\langle \Psi_0 | \Pi_q(-\frac{\beta}{N}) | \Psi_{k_1} \rangle}{\langle \Psi_0 | \Pi_q(-\frac{\beta}{N}) | \Psi_0 \rangle} = \delta_{N,M} \frac{\mathcal{F}^{(-)}(\{\mu_j\}_1^M)}{\mathcal{F}^{(-)}(\{\lambda_j\}_1^N)}$$

Remark: depends only on ξ_- (and not on ξ_+)

Taking the Trotter limit

Can be done as usual:

- for a given solution $\{\mu_a\}_1^M$ of the Bethe equations, introduce the counting function

$$\hat{a}(\xi|\{\mu_a\}_1^M) = e^{-\frac{\hbar}{T}} (-1)^s \prod_{k=1}^M \frac{\sinh(i\zeta - \xi + \mu_k)}{\sinh(i\zeta + \xi - \mu_k)} \left[\frac{\sinh(\xi - \frac{\beta}{N}) \sinh(i\zeta + \xi + \frac{\beta}{N})}{\sinh(\xi + \frac{\beta}{N}) \sinh(i\zeta - \xi + \frac{\beta}{N})} \right]^N$$

with $s = N - M$, such that $\hat{a}(\mu_j|\{\mu_a\}_1^M) = -1$, $j = 1, \dots, M$.

- fix a domain \mathcal{D} with $\mathcal{C} = \partial\mathcal{D}$
 - which contains a neighbourhood of the origin ($\rightsquigarrow \pm \frac{\beta}{N} \in \mathcal{D}$)
 - which contains all the Bethe roots $\{\lambda_a\}_1^N$ of the dominant state but no other roots of $1 + \hat{a}(\xi|\{\lambda_a\}_1^N)$
 - characterize a sub-dominant eigenstate by
 - the set $\hat{\mathcal{Y}} = \{\hat{y}_j\}$ of particle roots (Bethe roots outside of \mathcal{D}),
 - and the set $\hat{\mathcal{X}} = \{\hat{x}_j\}$ of holes (solutions of $\hat{a}(\xi|\{\mu_a\}_1^M) = -1$ which are not Bethe roots) inside \mathcal{D}
- \rightsquigarrow shortcut notation $\hat{a}_{\mathbb{Y}}$ for the counting function of a state with a given configuration $\mathbb{Y} = (\hat{\mathcal{X}}, \hat{\mathcal{Y}})$ of particles and holes

- rewrite the QTM spectrum in terms of non-linear integral equations [Klümper 92; Destri, de Vega. 92] satisfied by $\hat{a}_Y(\xi) = e^{\hat{\mathfrak{A}}_Y(\xi)}$:

$$\hat{\mathfrak{A}}_Y(\xi) = -\frac{h}{T} + \mathfrak{w}_N(\xi) - i\pi s + i \sum_{y \in \hat{Y}} \theta(\xi - y) + \oint_{\mathcal{C}} K(\xi - u) \mathcal{L}_N \left[1 + e^{\hat{\mathfrak{A}}_Y} \right] (u) du$$

with

$$\mathfrak{w}_N(\xi) = N \ln \left(\frac{\sinh(\xi - \frac{\beta}{N}) \sinh(\xi + \frac{\beta}{N} - i\zeta)}{\sinh(\xi + \frac{\beta}{N}) \sinh(\xi - \frac{\beta}{N} - i\zeta)} \right)$$

$$\theta(\lambda) = i \ln \frac{\sinh(i\zeta + \lambda)}{\sinh(i\zeta - \lambda)} \quad K(\lambda) = \frac{\theta'(\lambda)}{2\pi}$$

- rewrite the QTM form factors and boundary factors in terms of particles, holes, and appropriate contour integrals over \mathcal{C} involving the counting function $\hat{a}_Y(\xi)$
- assuming that $\hat{\mathfrak{A}}_Y \xrightarrow{N \rightarrow +\infty} \mathfrak{A}_Y$ pointwise on \mathcal{C} , and the existence of the limit x_j and y_j of the particle and hole roots \hat{x}_j and \hat{y}_j (see [Göhhmann, Goomanee, Kozłowski, Suzuki 20]), one obtains an integral equation for \mathfrak{A}_Y , and one can express the Trotter limit of the TQM form factors and boundary factors in terms of \mathfrak{A}_Y and $\{x_j\}$ and $\{y_j\}$

Result for the one-point function

$$\langle \sigma_{m+1}^z \rangle_T = \lim_{N \rightarrow \infty} [2T \partial_{h'} D_m Q_N(h', m)]_{h'=h}$$

with

$$D_m = u_{m+1} - u_m$$

$$Q_N(h', m) = \sum_{\{\mu_a(h')\}_1^N} e^{\frac{N(h'-h)}{2T}} \left(\frac{\tau_{h'}(0 | \{\mu_a(h')\}_1^N)}{\tau_h(0 | \{\lambda_a(h)\}_1^N)} \right)^m \\ \times \frac{\mathcal{F}^{(-)}(\{\mu_a(h')\}_1^N)}{\mathcal{F}^{(-)}(\{\lambda_a(h)\}_1^N)} \cdot \frac{\langle \Psi(\{\mu_a(h')\}_1^N) | \Psi(\{\lambda_a(h)\}_1^N) \rangle}{\langle \Psi(\{\mu_a(h')\}_1^N) | \Psi(\{\mu_a(h')\}_1^N) \rangle}$$

leads to the thermal form-factor expansion:

$$\langle \sigma_{m+1}^z \rangle_T = 2T \partial_{h'} D_m Q(h', m) \Big|_{h'=h} \quad \text{with} \quad Q(h', m) = \sum_{\substack{\text{particle/hole} \\ \text{configurations } \mathbb{Y}}} \left(\frac{\tau_{\mathbb{Y}}(0)}{\tau_{\emptyset}(0)} \right)^m \mathcal{A}_{h,h'}^{(z)}(\mathbb{Y})$$

and $\mathcal{A}_{h,h'}^{(z)}(\mathbb{Y})$ can be decomposed into

- a regular part (quite complicated, should have finite limit when $T \rightarrow 0^+$)
- a singular part (should give power law behaviour when $T \rightarrow 0^+$)

To do: study the low temperature limit

The non-diagonal case at $T=0$?

Description of the spectrum:

- It is possible to generalize usual Bethe ansatz equations to the open XXZ chain with non-longitudinal boundary fields with one **constraint on the boundary parameters** $\varphi_{\pm}, \psi_{\pm}, \tau_{\pm}$ [Nepomechie 03] :

$$\begin{aligned} & \cosh(\tau_+ - \tau_-) \\ & = \epsilon_{\varphi_+} \epsilon_{\varphi_-} \cosh(\epsilon_{\varphi_+} \varphi_+ + \epsilon_{\varphi_-} \varphi_- + \epsilon_{\varphi_+} \psi_+ - \epsilon_{\varphi_-} \psi_- + (L - 2M - 1)\eta) \end{aligned}$$

with $M \in \mathbb{N}$ (numbers of Bethe roots), $\epsilon_{\varphi_{\pm}} \in \{+, -\}$

↪ **incomplete** in general (except for $M = L$)

↪ **Conjectures** [Nepomechie, Ravanini 03] :

- the Bethe equations yield the ground state for $M = \lfloor L/2 \rfloor$
 - the solutions for $(M, \epsilon_{\varphi_+}, \epsilon_{\varphi_-})$ together with the solutions for $(M' = L - M - 1, -\epsilon_{\varphi_+}, -\epsilon_{\varphi_-})$ produce the complete spectrum
- Similar kind of constraint also appear in the XYZ case [Yang, Zhang 06]
 - most general boundaries ?
 \exists description in terms of inhomogeneity parameters/discrete T-Q equations (for inhomogeneous models) but no known description in terms of usual Bethe equations

Alternative proposals: Bethe equations with an additional term (Off-diagonal Bethe Ansatz...) [Cao et al 13...] or use transfer matrix

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- Similar kind of constraint also appear in the XYZ case [Yang, Zhang 06] :

$$\sum_{\sigma=\pm} \sum_{i=1}^3 \epsilon_i^{\sigma} \alpha_i^{\sigma} = (L - 2M - 1)\eta,$$

- most general boundaries ?

\exists description in terms of inhomogeneity parameters/discrete T-Q

equations (for inhomogeneous models) but no downward description in terms

The non-diagonal case at $T=0$?

Description of the spectrum:

- It is possible to generalize usual Bethe ansatz equations to the open XXZ chain with non-longitudinal boundary fields with one **constraint on the boundary parameters** $\varphi_{\pm}, \psi_{\pm}, \tau_{\pm}$ [Nepomechie 03]
 - Similar kind of constraint also appear in the XYZ case [Yang, Zhang 06]
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 - ∃ description in terms of inhomogeneity parameters/discrete T-Q equations (for inhomogeneous models) but no known description in terms of usual Bethe equations
- Alternative proposals: Bethe equations with an additional term (Off-diagonal Bethe Ansatz. . .) [Cao et al 13. . .] or use transfer matrix roots [Qiao et al 21. . .]

The non-diagonal case at $T=0$?

Construction of the transfer matrix eigenstates ?

- Under the constraint, construction of some Bethe states by means of a **Vertex-IRF transformation** [Fan et al. 96; Cao et al 03; Yang, Zhang 07; Filali, Kitanine 11] but problems in the ABA construction of "compatible" sets of Bethe states in \mathcal{H} and \mathcal{H}^*
 - ↪ scalar products and correlation functions could not be computed
- Alternative methods of construction for general boundaries:
 - Modified Bethe Ansatz [Belliard et al 13...]
 - **Separation of Variables** [Frahm et al 10, Niccoli 12, Faldella et al 13...]
In particular : connexion to generalized Bethe Ansatz (states and T-Q/Bethe equations) under the constraint
+ computation of the scalar products

Solution by SoV in the general case

Goal: identify a basis $\{|\mathbf{h}\rangle\}_{\mathbf{h}\in\{0,1\}^L}$ of \mathcal{H} and $\{\langle\mathbf{h}|\}_{\mathbf{h}\in\{0,1\}^L}$ of \mathcal{H}^* , with

$$\langle\mathbf{h}|\mathbf{k}\rangle \propto \frac{\delta_{\mathbf{h},\mathbf{k}}}{V_{\mathbf{h}}(\xi)}$$

which "separates the variables" for the transfer matrix spectral problem:

$$t(\lambda)|\Psi_\tau\rangle = \tau(\lambda)|\Psi_\tau\rangle \quad \text{with} \quad |\Psi_\tau\rangle = \sum_{\mathbf{h}\in\{0,1\}^L} \psi_\tau(\mathbf{h})|\mathbf{h}\rangle,$$

is solved by $\psi_\tau(\mathbf{h}) = \prod_{n=1}^L Q_\tau(\xi_n^{(h_n)}) \cdot V_{\mathbf{h}}(\xi)$

where Q_τ and τ are solution of a **discrete version of Baxter's T-Q equation**:

$$\tau(x) Q_\tau(x) = \mathbf{A}(x) Q(x + \eta) + \mathbf{A}(-x) Q_\tau(x - \eta), \quad x \in \cup_{n=1}^L \{\xi_n^{(0)}, \xi_n^{(1)}\}$$

- can be constructed by a generalisation of Sklyanin's method [Sklyanin 85,90], see [Niccoli 12...], using Baxter's vertex-IRF transformation (or by some new more general approach [Maillet, Niccoli 19])
- works only on an **inhomogeneous deformation** of the model:

$$T(\lambda) \longrightarrow T(\lambda; \xi_1, \dots, \xi_L)$$

such that the shifted inhomogeneity parameters $\xi_n^{(h_n)} = \xi_n + \eta/2 - h_n\eta$, $1 \leq n \leq L$, $h_n \in \{0, 1\}$, are all pairwise distincts

- completeness/works for any K -matrices (not both proportional to identity)

Solution by Sklyanin's SoV approach: more details

- 1 **simplify the expression of $t(\lambda) = \text{tr}\{K^+(\lambda)U(\lambda)\}$** : use (a trigonometric version of) Baxter's Vertex-IRF transformation to **pseudo-diagonalize K^+**

$$R_{12}(\lambda-\mu) S_1(\lambda|\alpha, \beta) S_2(\mu|\alpha, \beta+\sigma_1^z) = S_2(\mu|\alpha, \beta) S_1(\lambda|\alpha, \beta+\sigma_2^z) R_{12}^{\text{SOS}}(\lambda-\mu|\beta)$$

with

$$S(\lambda|\alpha, \beta) = \begin{cases} \begin{pmatrix} e^{\lambda-\eta(\beta+\alpha)} & e^{\lambda+\eta(\beta-\alpha)} \\ 1 & 1 \end{pmatrix} & \text{(XXZ case)} \\ \begin{pmatrix} \vartheta_2(\lambda - (\alpha + \beta)\eta) & \vartheta_2(\lambda - (\alpha - \beta)\eta) \\ \vartheta_3(\lambda - (\alpha + \beta)\eta) & \vartheta_3(\lambda - (\alpha - \beta)\eta) \end{pmatrix} & \text{(XYZ case) } \vartheta_i(\lambda) = \theta_i(\lambda|2\omega) \end{cases}$$

↪ gauged transformed boundary monodromy matrix:

$$\begin{aligned} \mathcal{U}(\lambda|\alpha, \beta) &= S^{-1}(\eta/2 - \lambda|\alpha, \beta) U(\lambda) S(\lambda - \eta/2|\alpha, \beta) \\ &= \begin{pmatrix} \mathcal{A}(\lambda|\alpha, \beta) & \mathcal{B}(\lambda|\alpha, \beta) \\ \mathcal{C}(\lambda|\alpha, \beta) & \mathcal{D}(\lambda|\alpha, \beta) \end{pmatrix} \quad \begin{cases} \beta : \text{dynamical parameter} \\ \alpha : \text{arbitrary shift} \end{cases} \end{aligned}$$

↪ fix α, β in terms of the '+'-boundary parameters ($\tau_+, \varphi_+, \psi_+$ or α_ℓ^+ , $\ell = 1, 2, 3$) (up to some signs/periodicity) such that

$$t(\lambda) = \bar{a}_+(\lambda) \mathcal{A}(\lambda|\alpha, \beta - 1) + \bar{d}_+(\lambda) \mathcal{D}(\lambda|\alpha, \beta + 1)$$

2 construct a SoV basis which pseudo-diagonalises $\mathcal{B}(\lambda|\alpha, \beta)$:

$$|\mathbf{h}\rangle \equiv |\mathbf{h}, \alpha, \beta + 1\rangle_{\text{Sk}} \text{ and } \langle \mathbf{h}| \equiv {}_{\text{Sk}}\langle \alpha, \beta - 1, \mathbf{h}|,$$

for $\mathbf{h} \equiv (h_1, \dots, h_L) \in \{0, 1\}^L$, such that

$$\begin{aligned} \mathcal{B}(\lambda|\alpha, \beta - 1) |\mathbf{h}, \alpha, \beta - 1\rangle_{\text{Sk}} &= \mathbf{b}_R(\lambda|\alpha, \beta) \mathbf{a}_{\mathbf{h}}(\lambda) \mathbf{a}_{\mathbf{h}}(-\lambda) |\mathbf{h}, \alpha, \beta + 1\rangle_{\text{Sk}}, \\ {}_{\text{Sk}}\langle \alpha, \beta + 1, \mathbf{h}| \mathcal{B}(\lambda|\alpha, \beta + 1) &= \mathbf{b}_L(\lambda|\alpha, \beta) \mathbf{a}_{\mathbf{h}}(\lambda) \mathbf{a}_{\mathbf{h}}(-\lambda) {}_{\text{Sk}}\langle \alpha, \beta - 1, \mathbf{h}|, \end{aligned}$$

where $\mathbf{a}_{\mathbf{h}}(\lambda) = \prod_{n=1}^L \phi(\lambda - \xi_n^{(h_n)})$ $\phi(\lambda) = \begin{cases} \sinh(\lambda) & (\text{XXZ case}) \\ \theta_1(\lambda) & (\text{XYZ case}) \end{cases}$

with $\xi_n^{(h_n)} = \xi_n + \eta/2 - h_n\eta$,

+ orthogonality condition:

$${}_{\text{Sk}}\langle \alpha, \beta - 1, \mathbf{h}| \mathbf{k}, \alpha, \beta + 1\rangle_{\text{Sk}} \propto \frac{\delta_{\mathbf{h}, \mathbf{k}}}{V_{\mathbf{h}}(\boldsymbol{\xi})}$$

with $V_{\mathbf{h}}(\boldsymbol{\xi}) = V(\xi_1^{(h_1)}, \dots, \xi_L^{(h_L)}) = \prod_{1 \leq i, j \leq L} \phi(\xi_i^{(h_i)} - \xi_j^{(h_j)}) \phi(\xi_i^{(h_i)} + \xi_j^{(h_j)})$

Remark: This construction needs $[K^-(\lambda|\alpha, \beta)]_{12} \neq 0$

Spectrum and eigenstates by SoV

- Eigenstates are special cases of separate states:

$$t(\lambda) |\Psi_\tau\rangle = \tau(\lambda) |\Psi_\tau\rangle \quad \text{with} \quad |\Psi_\tau\rangle = \sum_{\mathbf{h} \in \{0,1\}^L} \prod_{n=1}^L Q_\tau(\xi_n^{(h_n)}) V_{\mathbf{h}}(\xi) |\mathbf{h}\rangle,$$

where Q_τ and τ are solution of a **discrete T-Q equation**:

$$\tau(x) Q_\tau(x) = \mathbf{A}(x) Q_\tau(x + \eta) + \mathbf{A}(-x) Q_\tau(x - \eta), \quad x \in \cup_{n=1}^L \{\xi_n^{(0)}, \xi_n^{(1)}\}$$

- can always be rewritten in terms of solutions of the form

$$Q(\lambda) = \prod_{j=1}^L \phi(\lambda - \lambda_j) \phi(\lambda + \lambda_j) \quad \phi(\lambda) = \begin{cases} \sinh(\lambda) & \text{(XXZ case)} \\ \theta_1(\lambda) & \text{(XYZ case)} \end{cases}$$

of a continuous T-Q equation with additional term (cf. off-diagonal BA):

$$\tau(\lambda) Q(\lambda) = \mathbf{A}(\lambda) Q(\lambda - \eta) + \mathbf{A}(-\lambda) Q(\lambda + \eta) + \mathbf{F}(\lambda),$$

with $\mathbf{F}(\xi_n^{(0)}) = \mathbf{F}(\xi_n^{(1)}) = 0$, $n = 1, \dots, N$ (\rightarrow **completeness**)

- under the constraint (for a given $M \leq L$ & given signs), part of the spectrum/eigenstates can be rewritten in terms of solutions

$$Q(\lambda) = \prod_{j=1}^M \phi(\lambda - \lambda_j) \phi(\lambda + \lambda_j) \quad \phi(\lambda) = \begin{cases} \sinh(\lambda) & \text{(XXZ case)} \\ \theta_1(\lambda) & \text{(XYZ case)} \end{cases}$$

of the usual (i.e. continuous, without additional term) T-Q equation

\rightsquigarrow in terms of usual Bethe equations

Computation of scalar products

- Eigenstates are special cases of **separate states** :

$$\langle P | = \sum_{\mathbf{h}} \prod_{n=1}^L [f(\xi_n)^{h_n} P(\xi_n^{(h_n)})] V_{1-\mathbf{h}}(\xi) \langle \mathbf{h} |, \quad | Q \rangle = \sum_{\mathbf{h}} \prod_{n=1}^L Q(\xi_n^{(h_n)}) V_{\mathbf{h}}(\xi) | \mathbf{h} \rangle$$

where P and Q are arbitrary functions

- The scalar products of separate states can be expressed (by construction) as determinants:

$$\langle \mathbf{h} | \mathbf{k} \rangle \propto \frac{\delta_{\mathbf{h},\mathbf{k}}}{V_{\mathbf{h}}(\xi)} \quad \text{with}$$

$$V_{\mathbf{h}}(\xi) = \prod_{1 \leq i, j \leq L} \phi(\xi_i^{(h_i)} - \xi_j^{(h_j)}) \phi(\xi_i^{(h_i)} + \xi_j^{(h_j)}) = \det_L [\tilde{\phi}^{(j)}(\xi_i^{(h_i)})]$$

$$\rightsquigarrow \langle P | Q \rangle \propto \det_{1 \leq i, j \leq L} \left[\sum_{h \in \{0,1\}} f(\xi_i^{(h)}) P(\xi_i^{(h)}) Q(\xi_i^{(h)}) \sinh^{2(j-1)}(\xi_i^{(1-h)}) \right]$$

However non directly usable for the consideration of the homogeneous/thermodynamic limit...

- For P and Q of the form $\prod_{j=1}^M \phi(\lambda - \lambda_j) \phi(\lambda + \lambda_j)$, and **under the constraint**, these determinants can be transformed into more usual **Slavnov-type determinants** both in the open XXZ [Kitanine, Maillet, Niccoli, VT 18] or open XYZ case [Niccoli, VT 24]

Eigenstates as generalised Bethe states

- In the range of Sklyanin's approach, separate states can be reformulated as **generalised Bethe states**:

$$|Q\rangle_{\text{Sk}} \propto \prod_{j=1 \rightarrow M} \mathcal{B}(\lambda_j | \alpha, \beta - 2j + 1) | \Omega_{\alpha, \beta + 1 - 2M} \rangle_{\text{Sk}}$$

$${}_{\text{Sk}}\langle Q | \propto {}_{\text{Sk}}\langle \Omega_{\alpha, \beta - 1 + 2M} | \prod_{j=1 \rightarrow M} \mathcal{B}(\lambda_j | \alpha, \beta + 2M - 2j + 1)$$

for any $Q(\lambda) = \prod_{j=1}^M \phi(\lambda - \lambda_j) \phi(\lambda + \lambda_j)$

with $| \Omega_{\alpha, \beta + 1 - 2M} \rangle_{\text{Sk}}$ and ${}_{\text{Sk}}\langle \Omega_{\alpha, \beta - 1 + 2M} |$ special separate states

- With the special choice of α, β diagonalising K^+ , and **under the constraint**, the reference state $| \Omega_{\alpha, \beta + 1 - 2M} \rangle$ can be identified with the reference state of the generalized ABA construction of [Fan et al 96; Cao et al 03]:

$$| \eta, \alpha + \beta + L - 1 - 2M \rangle \equiv \prod_{n=1}^L S_n(-\xi_n | \alpha, \beta + n - 1 - 2M) | 0 \rangle$$

up to a proportionality coefficient which only depends on M

Computation of correlation functions: general strategy

Compute $\langle O_{1 \rightarrow m} \rangle \equiv \frac{\langle Q | O_{1 \rightarrow m} | Q \rangle}{\langle Q | Q \rangle}$ for $|Q\rangle =$ eigenstate described by homogeneous TQ-equation and $O_{1 \rightarrow m} \in \text{End}(\otimes_{n=1}^m \mathcal{H}_n)$ acts on sites 1 to m ?

- 1 rewrite $|Q\rangle$ as a generalized Bethe state

$$\prod_{j=1 \rightarrow M} \mathcal{B}(\lambda_j | \alpha, \beta - 2j + 1 | \eta, \alpha + \beta + L - 1 - 2M \rangle$$

- 2 use a similar strategy as in the diagonal case [Kitanine et al. 07] to act with $O_{1 \rightarrow m}$ on this Bethe state, i.e.
 - decompose the boundary Bethe state as a sum of bulk Bethe states
 - use the solution of the bulk inverse problem to act with local operators on bulk Bethe states
 - reconstruct the result of this action as sums over boundary Bethe states, and hence as a sum over separate states
- 3 compute the resulting scalar products using the determinant representation for the scalar products of separate states issued from SOV

but **difficulties** due to the use in all the steps of 2 of a gauged transformed boundary/bulk YB algebra !

Difficulties due to use of the gauged algebra

- the action of the usual basis of local operators given by $E_n^{i,j} \in \text{End}(\mathcal{H}_n)$ (such that $(E^{i,j})_{k,\ell} = \delta_{i,k} \delta_{j,\ell}$) is very intricate on the gauged bulk Bethe states

↪ identification of a basis of $\text{End}(\otimes_{n=1}^m \mathcal{H}_n)$ whose action is simpler to compute:

$$\mathbb{E}_m(\alpha, \beta) = \left\{ \prod_{n=1}^m E_n^{\epsilon'_n, \epsilon_n}(\xi_n | (a_n, b_n), (\bar{a}_n, \bar{b}_n)) \mid \epsilon, \epsilon' \in \{1, 2\}^m \right\},$$

where $E_n^{\epsilon'_n, \epsilon_n}(\lambda | (a_n, b_n), (\bar{a}_n, \bar{b}_n)) = S_n(-\lambda | \bar{a}_n, \bar{b}_n) E_n^{\epsilon'_n, \epsilon_n} S_n^{-1}(-\lambda | a_n, b_n)$ and the gauge parameters $a_n, \bar{a}_n, b_n, \bar{b}_n$, $1 \leq n \leq m$, are fixed in terms of α, β and of the m -tuples $\epsilon \equiv (\epsilon_1, \dots, \epsilon_m)$ and $\epsilon' \equiv (\epsilon'_1, \dots, \epsilon'_m)$ as

$$a_n = \alpha + 1, \quad b_n = \beta - \sum_{r=1}^n (-1)^{\epsilon_r},$$

$$\bar{a}_n = \alpha - 1, \quad \bar{b}_n = \beta + \sum_{r=n+1}^m (-1)^{\epsilon'_r} - \sum_{r=1}^m (-1)^{\epsilon_r} = b_n + 2\tilde{m}_{n+1},$$

with $\tilde{m}_n = \sum_{r=n}^m (\epsilon'_r - \epsilon_r)$.

↪ compute "elementary building blocks" $\langle \prod_{n=1}^m E_n^{\epsilon'_n, \epsilon_n}(\xi_n | (a_n, b_n), (\bar{a}_n, \bar{b}_n)) \rangle$

- the action of $\prod_{n=1}^m E_n^{\epsilon'_n, \epsilon_n}(\xi_n | (a_n, b_n), (\bar{a}_n, \bar{b}_n))$ for

$$\sum_{r=1}^m (\epsilon'_r - \epsilon_r) \neq 0$$

on the Bethe state

$$\prod_{j=1 \rightarrow M} \mathcal{B}(\lambda_j | \alpha, \beta - 2j + 1) | \eta, \alpha + \beta + N - 1 - 2M \rangle$$

produces a Bethe state with different number of B-operators and shifted gauge parameter β

- ↪ we don't know how to express it simply in terms of separate states
- ↪ the expression of the resulting scalar product is not known in that case
- ↪ we had to restrict our study to the computation of "elementary blocks" $\langle \prod_{n=1}^m E_n^{\epsilon'_n, \epsilon_n}(\xi_n | (a_n, b_n), (\bar{a}_n, \bar{b}_n)) \rangle$ for which

$$\sum_{r=1}^m (\epsilon'_r - \epsilon_r) = 0$$

Hypothesis on the ground state

Based on Nepomechie-Ravanini's conjecture, we suppose that we are in a configuration of boundary fields such that the homogeneous TQ-equation yields the ground state close to half-filling

↪ the constraint can be maintained when taking the limit $L \rightarrow \infty$

↪ the Bethe equations are very similar to the diagonal case:

$$\frac{a(-\lambda_j) d(\lambda_j)}{a(\lambda_j) d(-\lambda_j)} \prod_{\substack{\sigma=\pm \\ i \in \{1,2\}}} \frac{\sinh(\lambda_j + \check{\lambda}_{\sigma,i}^{(0)})}{\sinh(\lambda_j - \check{\lambda}_{\sigma,i}^{(0)})} \prod_{k=1}^M \prod_{\substack{\sigma=\pm \\ k \neq j}} \frac{\sinh(\lambda_j - \sigma \lambda_k + \eta)}{\sinh(\lambda_j - \sigma \lambda_k - \eta)} = 1, \quad j = 1, \dots, M$$

except for the boundary factor \rightarrow **4 boundary parameters instead of 2:**

$$\check{\lambda}_{\sigma,1}^{(0)} = \eta/2 - \epsilon_{\varphi\sigma} \varphi_{\sigma}, \quad \check{\lambda}_{\sigma,2}^{(0)} = \eta/2 - \sigma \epsilon_{\varphi\sigma} \psi_{\sigma} + i \frac{\pi}{2}, \quad \sigma = \pm$$

↪ G.S. described when $L \rightarrow \infty$ by the same density $\rho(\lambda)$ of "real" Bethe roots over the same Fermi zone $[-\Lambda, \Lambda]$ as in the diagonal case
+ possibly isolated "complex" roots (**boundary roots**) of the form

$$\check{\lambda}_{\sigma,i} = \check{\lambda}_{\sigma,i}^{(0)} + \varepsilon_{\sigma,i}, \quad \sigma = \pm, \quad i \in \{1,2\}, \quad \varepsilon_{\sigma,i} = O(L^{-\infty})$$

\rightarrow **4 possible boundary roots instead of 2**

"Elementary building blocks" in the ground state

As in the diagonal case, the result is given as a multiple sum over scalar products, which turn in the half-infinite chain limit into multiple integrals over the Fermi zone $[-\Lambda, \Lambda]$ on which the Bethe roots condensate with density $\rho(\lambda)$ + possible contribution of the two (instead of one in the diagonal case) boundary roots $\check{\lambda}_{-,i}$, $i = 1, 2$ corresponding to the 2 boundary parameters at site 1

$$\begin{aligned} \langle \prod_{n=1}^m E_n^{\epsilon'_n, \epsilon_n}(\xi_n | (a_n, b_n), (\bar{a}_n, \bar{b}_n)) \rangle &= \prod_{n=1}^m \frac{e^{\eta}}{\sinh(\eta b_n)} \frac{(-1)^s}{\prod_{j < i} \sinh(\xi_i - \xi_j) \prod_{i \leq j} \sinh(\xi_i + \xi_j)} \\ &\times \int_{\mathcal{C}} \prod_{j=1}^s d\lambda_j \int_{\mathcal{C}_\xi} \prod_{j=s+1}^m d\lambda_j \underbrace{H_m(\{\lambda_j\}_{j=1}^M; \{\xi_k\}_{k=1}^m)}_{\substack{\text{similar to the diagonal case} \\ \text{except that it has poles} \\ \text{in both parameters } \check{\lambda}_{-,i}, i = 1, 2}} \underbrace{\det_{1 \leq j, k \leq m} [\Phi(\lambda_j, \xi_k)]}_{\text{determinant of densities}}, \end{aligned}$$

The contours \mathcal{C} and \mathcal{C}_ξ are defined as

$$\mathcal{C} = [-\Lambda, \Lambda] \cup \Gamma_{BR}, \quad \mathcal{C}_\xi = \mathcal{C} \cup \Gamma(\{\xi_k^{(1)}\}_{k=1}^m)$$

where Γ_{BR} surrounds with index 1 the point(s) $\check{\lambda}_{-,i}^{(0)}$ iff the set of Bethe roots for the GS contains the boundary root(s) $\check{\lambda}_{-,i}$, and $\Gamma(\{\xi_k^{(1)}\}_{k=1}^m)$ the points $\xi_1^{(1)}, \dots, \xi_m^{(1)}$, all other poles being outside.

Conclusion, perspectives and open problems

- 1 thermal form factor expansion of finite-temperature correlation functions
 - to be done : the low temperature limit (difficulties : complicated representation of (part of) the boundary factor, can it be simplified ?)
 - ↪ explicit dependence on m of the magnetization at distance m from the boundary at $T = 0$?
- 2 multiple integral representation for some matrix elements of the open XXZ chain with non-longitudinal boundary fields (case with a constraint)
 - compute more general matrix elements with $\sum_{r=1}^m (\epsilon'_r - \epsilon_r) \neq 0$?
 - the action modifies the number of B-operators in the Bethe states and shifts the dynamical parameter β
 - no simple known expression of the resulting state in terms of separate states
 - no known formula for the resulting scalar product
 - case without constraint ?