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♀ Chania, Crete

A Comparative Analysis of Deep Learning Methods for Spectroscopic Redshift Estimation

Greg Tsagkatakis

Institute of Computer Science, FORTH Computer Science Department, University of Crete















Spectroscopic Red-Shift Estimation



R. Stivaktakis, G. Tsagkatakis, B. Moraes, F. Abdalla, J-L Starck, P. Tsakalides, "Convolutional Neural Networks for Spectroscopic Redshift Estimation on Euclid Data", IEEE Transactions on Big Data (Special Issue on Big Data From Space), 2020





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Convolutional Neural Networks

- Inspired by the concept of "visual receptive fields"
- Automated feature extractors
- Exhibit spatial correlations of the given input
 - less prone to overfitting
 - local-connectivity property & weight-sharing lead to a dramatic decrease in total parameters
 - effective utilization of Big Data results in a high-generalization capacity
 - Dropout & Batch Normalization















Applications of Deep Learning methods

Galaxy









(a) Input (5 bands \times 44 \times 44)

(b) Laver 1 (32 maps $\times 40 \times 40$) (c) Laver 3 (64 maps $\times 20 \times 20$) (d) Layer 6 (128 maps×10×10)

type	filters	filter size	padding	non-linearity	initial weights	initial biases
convolutional	32	5×5	-	leaky ReLU	orthogonal	0.1
convolutional	32	3×3	1	leaky ReLU	orthogonal	0.1
pooling	-	2×2	-	-	-	-
convolutional	64	3×3	1	leaky ReLU	orthogonal	0.1
convolutional	64	3×3	1	leaky ReLU	orthogonal	0.1
convolutional	64	3×3	1	leaky ReLU	orthogonal	0.1
pooling	-	2×2	-	-	_	-
convolutional	128	3×3	1	leaky ReLU	orthogonal	0.1
convolutional	128	3×3	1	leaky ReLU	orthogonal	0.1
convolutional	128	3×3	1	leaky ReLU	orthogonal	0.1
pooling	-	2×2	-	-	-	-
fully-connected	2048	-	-	leaky ReLU	orthogonal	0.01
fully-connected	2048	-	-	leaky ReLU	orthogonal	0.01
fully-connected	2	-	-	softmax	orthogonal	0.01

Kim, Edward J., and Robert J. Brunner. "Star-galaxy classification using deep convolutional neural networks." Monthly Notices of the Royal Astronomical Society (2016): stw2672.







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(a) Input (5 bands \times 44 \times 44)

(c) Layer 3 (64 maps×20×20)

(d) Layer 6 (128 maps×10×10)

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Predictive model

Redshift Estimation



1-Dimensional CNN - Regression

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1-Dimensional CNN - Regression

Consider a regression model where the predicted value \hat{y}_i is the output of a function f, parameterized by weights \mathbf{w} , for the input x_i :

$$\hat{y}_i = f(x_i; \mathbf{w})$$

The mean squared error (MSE) between the predicted values \hat{y}_i and the actual values y_i over n observations is defined as:

$$MSE = \frac{1}{n} \sum_{i=1}^{n} (f(x_i; \mathbf{w}) - y_i)^2$$

where:

- $f(x_i; \mathbf{w})$ is the prediction from the regression function for the *i*-th observation,
- y_i is the actual value for the *i*-th observation,
- n is the number of observations.









1-Dimensional CNN - Classification



Classification specifics

1. **Discretization:** Divide the range of input values $\{x_1, x_2, \ldots, x_n\}$ into k equal-sized bins. Each value x_i is mapped to a discrete bin b_j such that:

$$b_j = \left\lfloor \frac{x_i - \min(\mathbf{x})}{\max(\mathbf{x}) - \min(\mathbf{x})} \cdot k \right\rfloor$$

where $\mathbf{x} = \{x_1, x_2, \dots, x_n\}$, and $\lfloor \cdot \rfloor$ denotes the floor function.

- 2. Feature Encoding: Convert the discrete bins b_j into a one-hot encoded vector \mathbf{v}_i , which serves as the input to the neural network.
- 3. Neural Network Classification: Apply a neural network f parameterized by weights \mathbf{w} to the encoded vectors \mathbf{v}_i :

$$\hat{y}_i = f(\mathbf{v}_i; \mathbf{w})$$

where \hat{y}_i is the predicted class label for the input x_i .



Classification specifics

The categorical cross-entropy loss between a true distribution p and a predicted distribution q over C classes is defined as:

$$H(p,q) = -\sum_{c=1}^{C} p(c) \log q(c)$$

where:

- p(c) is the true probability of class c,
- q(c) is the predicted probability of class c.













DATASET & METHODOLOGY

- 10K initial clean rest-frame spectral profiles (SEDs)
- Generation of randomly redshifted examples
 - z = [1, 1.8), similar to Euclid's specification 0
 - $\log(1+z) = \log(\lambda_{observed}) \log(\lambda_{emit}) \leftrightarrow 1 + z = \frac{\lambda_{observed}}{\lambda_{emit}}$ 0
 - optional addition of white Gaussian noise (idealistic vs. realistic) 0
- Quantization of the utilized redshift range
 - split into 800 discrete classes, which implies a resolution of 0.001 0













Regression



















Regression



MSE0.03811MAE0.16469R²0.28188







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Classification: 800 classes



MSE 0.00791 MAE 0.02325 \mathbf{R}^2 0.85082













Classification: 80 classes



MSE 0.00364 MAE 0.00968 \mathbf{R}^2 0.93128







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Impact of network architecture









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Impact of training set size



Gravitational Lensing















Modeling with uncertain labels



Singular Isothermal Ellipsoid parametric model

Realization of Gaussian Random Field perturbations



Perturbed lens potential

G. Vernardos, G. Tsagkatakis, and Y. Pantazis. "Quantifying the structure of strong gravitational lens potentials with uncertainty-aware deep neural networks." MNRAS. 2020.





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Label uncertainty

















Modeling with uncertain labels





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Modeling with uncertain labels

Given the predicted distribution P and the target distribution Q, the Jensen-Shannon divergence is defined by:

$$JS(Q, P) = \frac{1}{2}KL(P||M) + \frac{1}{2}KL(Q||M),$$

where $M = \frac{1}{2}(P+Q)$.

Formally, the entropy of the predicted distribution H(P) is given by:

$$H(P) = -\sum_{x \in \mathcal{X}} P(x) \log(P(x)).$$

Entropy-regularized version of the JS divergence and is given by:

 $\mathcal{L}(P,Q) = \lambda_1 J S(P,Q) + \lambda_2 H(P),$

where λ_1 and λ_2 control the impact of the two terms.

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"Label-super-resolution"

















Discussion - Uncertainty



alaskan malamute

siberian husky



siberian husky

Epistemic Uncertainty:

- Due to lack of knowledge about a system or process.
- Can be reduced as more knowledge is gained. \geq







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Discussion - Uncertainty









cat



Aleatoric Uncertainty:

- inherent randomness in a system or process (flipping) a coin
- cannot be reduced with more information or \geq knowledge about the system.

dog







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Discussion – Vector databases

























Thank you















