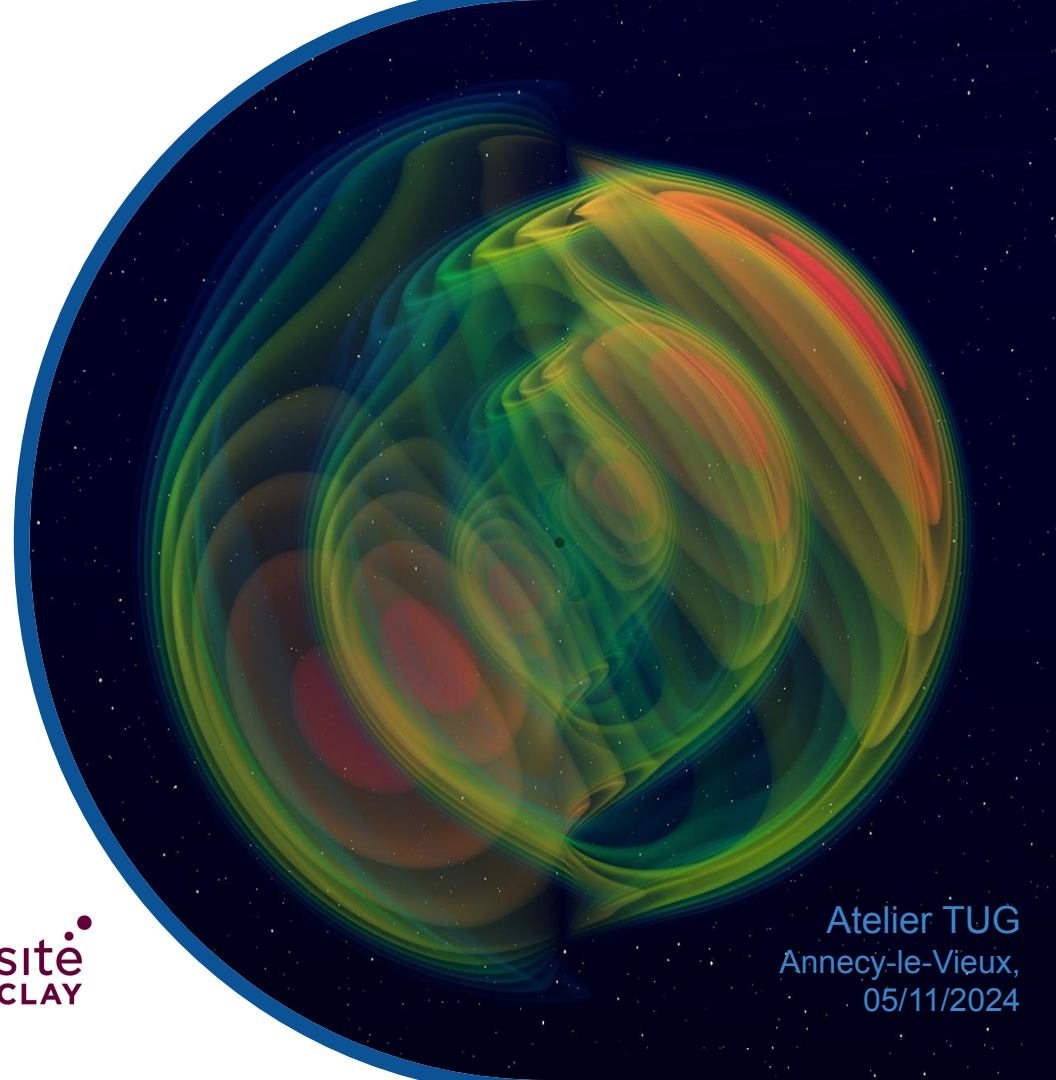


Black Holes beyond General Relativity

Jacopo Mazza

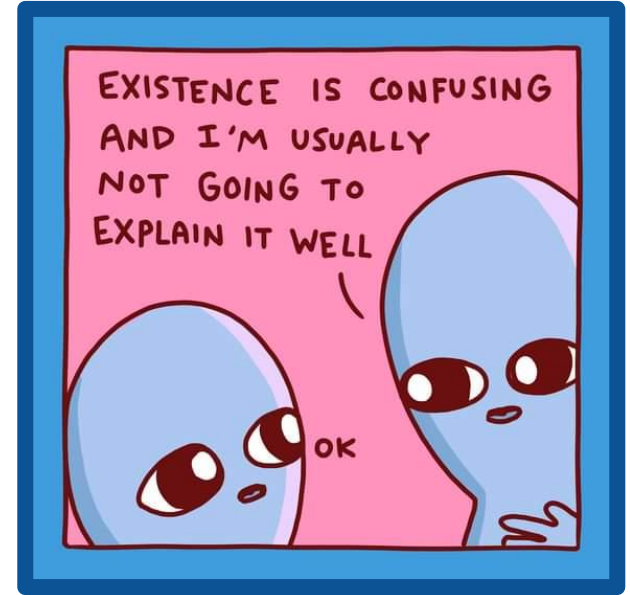
*Université Paris-Saclay,
CNRS/IN2P3, IJCLab*



université
PARIS-SACLAY

Atelier TUG
Annecy-le-Vieux,
05/11/2024

How different can black holes be in theories beyond general relativity?



'Need' to go beyond GR

[dark sector, quantisation, singularities...]

add **degrees of freedom**
and/or
add derivatives

less/more
symmetry

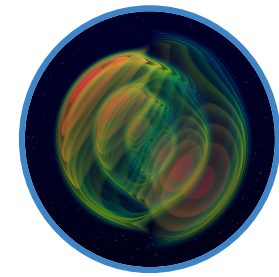
...

extra
dimensions

Expectation:

deviations from GR more evident in strong gravity

black holes



BH solutions
in alternative theories
generically different

sometimes similar, sometimes very different
(sometimes identical)

e.g.
stationary & axisymmetric
vacuum solutions
generically not Kerr

exactly Kerr
stealth solutions

parametrically
close

quantitatively
very different

(typically, differences
at perturbation level)

interested in
qualitative, conceptual differences

[not quantitative, not observational]

Example: Einstein-æther

Focus on one example:

Einstein-æther Theory (æ-theory)

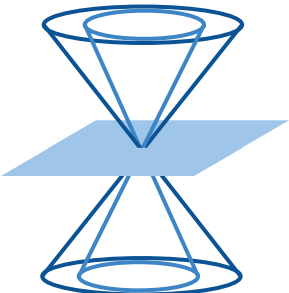
vector-tensor
alternative to GR

[Jacobson, Mattingly
PRD **64**, 024028
[gr-qc/0007031]]

written in terms of

$g_{\alpha\beta}$
metric

u_{μ}
æther



specific, but

- ↳ motivated
- ↳ representative

Æ-theory: Lagrangian

$$\mathcal{L} = R - \left[\frac{1}{3} c_\vartheta \vartheta^2 + c_\sigma \sigma^2 + c_\omega \omega^2 - c_a a^2 \right]$$

$$\left(\begin{array}{l} p_{\mu\nu} = g_{\mu\nu} + u_\mu u_\nu \\ \left\{ \begin{array}{ll} a_\mu = u^\alpha \nabla_\alpha u_\mu & \text{(acceleration)} \\ \vartheta = \nabla_\mu u^\mu & \text{(expansion)} \end{array} \right. & \left\{ \begin{array}{ll} \sigma_{\mu\nu} = \nabla_{(\mu} u_{\nu)} + u_{(\mu} a_{\nu)} - \frac{\vartheta}{3} p_{\mu\nu} & \text{(shear)} \\ \omega_{\mu\nu} = \nabla_{[\mu} u_{\nu]} + u_{[\mu} a_{\nu]} & \text{(twist)} \end{array} \right. \end{array} \right)$$

+ constraint

$$\mathcal{L} + \zeta(u^\mu u_\mu + 1)$$

unit-norm
constraint

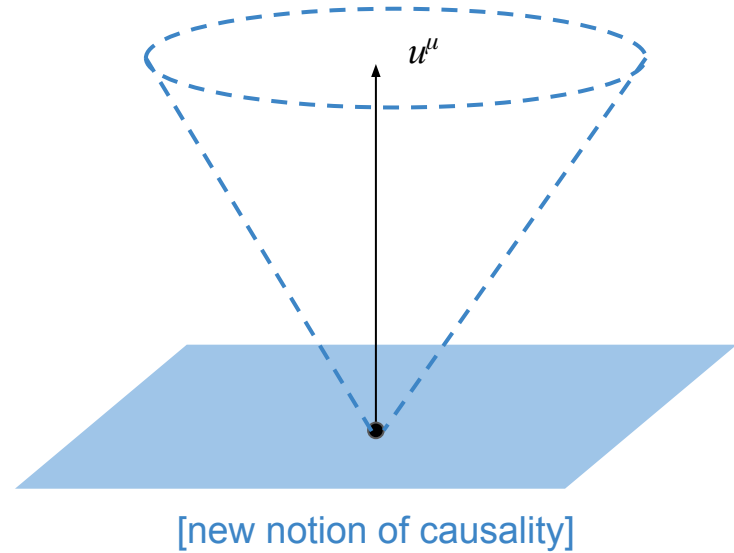
Lorentz Invariance Violations

$u_\mu(x^\alpha)$ everywhere timelike,
gives preferred time direction

(has to be dynamical to ensure
general covariance)



Breaks boost invariance:
Lorentz Invariance Violations
(LIV)



Why LIV? Why \ae -theory?

LIV sounds bad... but

several quantum gravity
scenarios point to UV
violations/deformations of LI

LIV can help build
QFTs of quantum gravity

\ae -theory is
EFT for LIV
in gravity



THE FIRST FEW TIMES EINSTEIN
IMAGINED FLYING ALONGSIDE A
BEAM OF LIGHT, HE DIDN'T HAVE
ANY PARTICULAR INSIGHTS.

Why LIV? Why æ-theory?

LIV sounds bad... but

several quantum gravity scenarios point to UV violations/deformations of LI

LIV can help build QFTs of quantum gravity

moreover, if

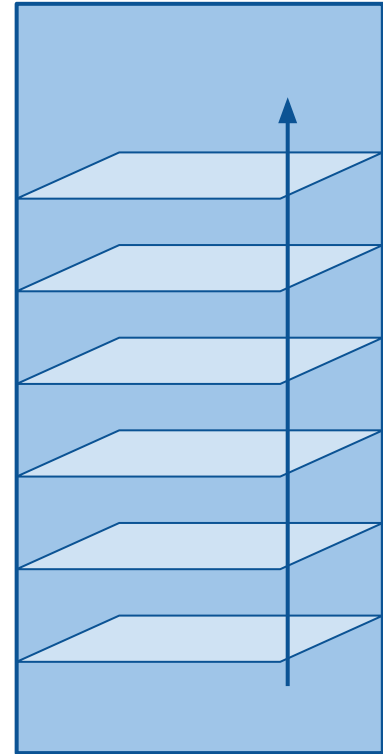
$$u_\mu = \frac{\nabla_\mu T}{\sqrt{-\nabla_\alpha T \nabla^\alpha T}}$$

æ-theory → scalar-tensor *khronometric theory*
(∈ UDHOST)

low energy limit of non-projectable Hořava gravity

[renormalisable QFT of gravity]

can be completed to full Hořava



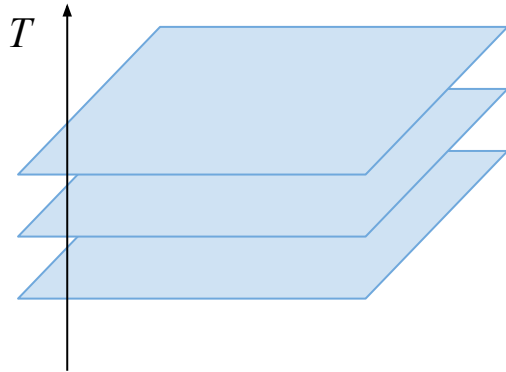
Why LIV? Why æ-theory?

Adding derivatives while avoiding ghosts:

$$\nabla_\mu = -u_\mu (u^\alpha \nabla_\alpha) + p_\mu^\alpha \nabla_\alpha$$

‘temporal – spatial’
splitting

✗ $u_\mu (u^\alpha \nabla_\alpha)$, $p_\mu^\alpha \nabla_\alpha$ ✓



kronometric
theory

$$u_\mu = \frac{\nabla_\mu T}{\sqrt{-\nabla_\alpha T \nabla^\alpha T}}$$

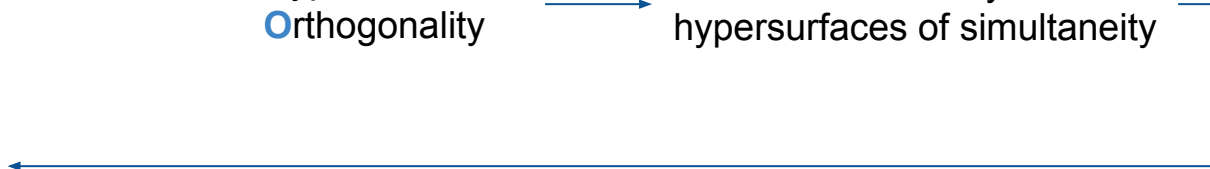


$$\omega_{\mu\nu} = 0$$

twist vanishes
(Frobenius)

Hypersurface
Orthogonality

foliation by
hypersurfaces of simultaneity



Consequences of LIV

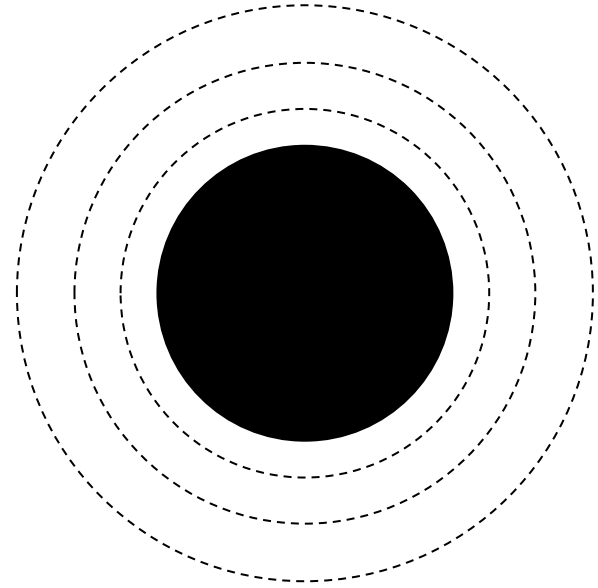
Massless modes not bound to
(metric) light cone



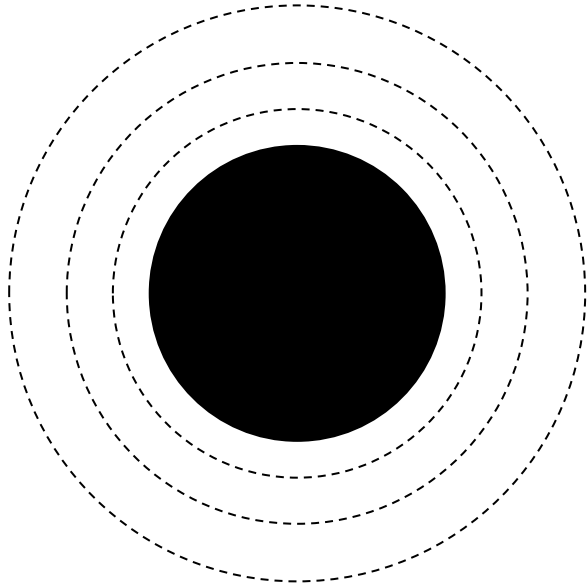
different
propagation speeds



multiple
nested horizons



Consequences of LIV



Not a quirk!

Similar behaviour e.g. in

- scalar-tensor
- multi-metric
- ...

LI broken 'spontaneously'

Note: equivalence principle(s) also violated

$$\left[\text{SEP} = \text{GWEP} + \text{LLI} + \text{LPI} \right]$$

Consequences of LIV—cont.

Adding higher derivatives



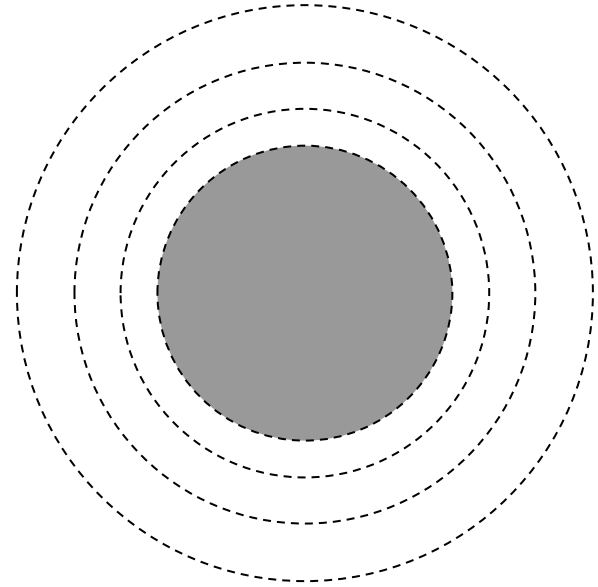
non-linear dispersion relations:

$$\omega^2(\vec{k}) = |\vec{k}|^2 + \frac{|\vec{k}|^4}{\Lambda^2} + \dots$$

[unbounded propagation speeds]

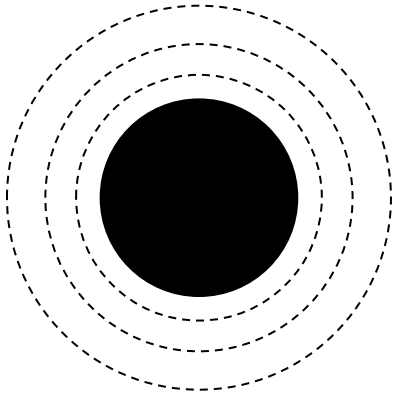


metric horizons
are permeable

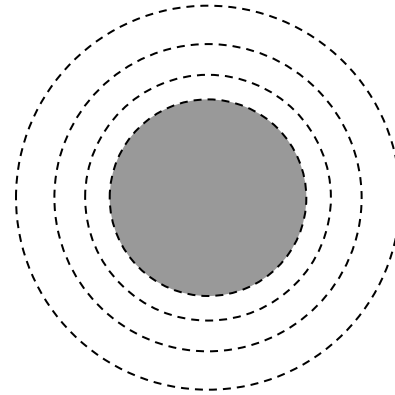


Black holes (?)

It appears BHs are
low-energy phenomenon...



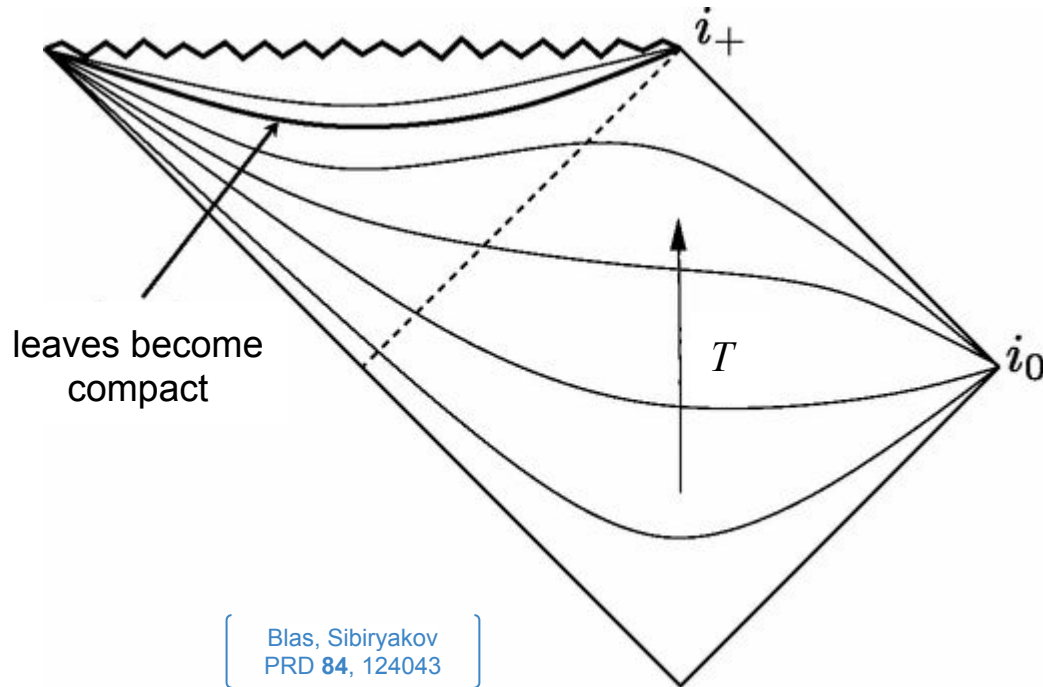
... except



Black Holes (!)

A spherically symmetric surprise!

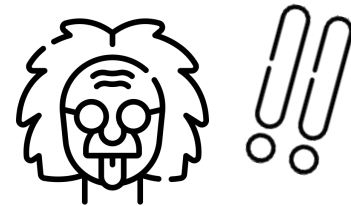
[sph. sym. \Rightarrow h.o.]



[Blas, Sibiryakov
PRD **84**, 124043]

Plot leaves of constant
(preferred) time
on top of Penrose diagram:

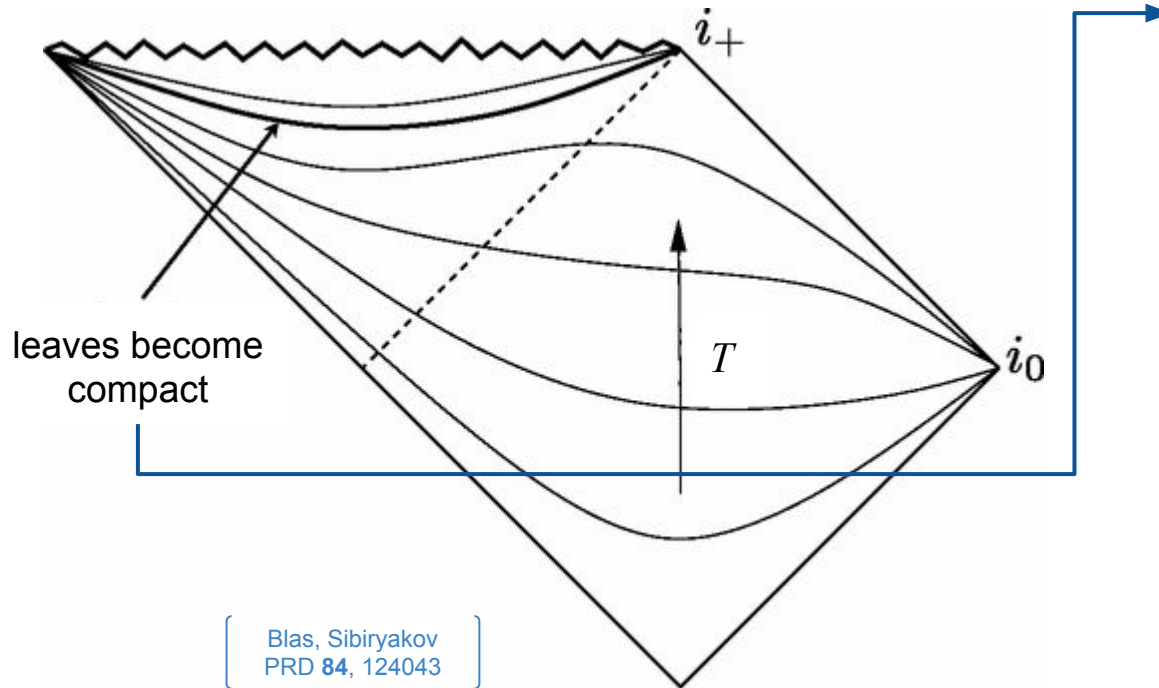
they change topology!



Black Holes (!)

A spherically symmetric surprise!

[sph. sym. \Rightarrow h.o.]



[Blas, Sibiryakov
PRD **84**, 124043]

universal horizon
(UH)

traps all future-directed
causal curves

similar to GR horizons:

- quasi-local characterisation
$$u_\mu \chi^\mu|_{\text{UH}} = 0$$
- mechanics
- Hawking radiation

How general is this? ?

Introducing rotation

In h.o. case, UHs exist
(though no example apart from
spherical symmetry)

Bhattacharyya, Colombo, Sotiriou,
Class. Quantum Grav. 33, 235003
(2016) [1509.01558]

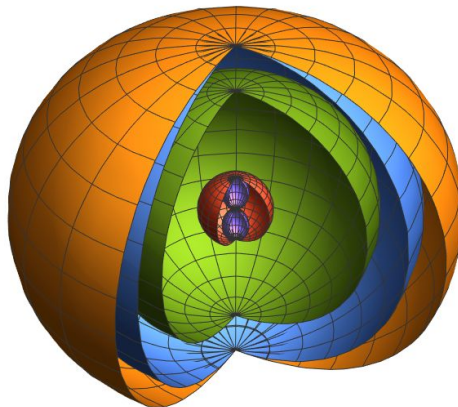
What about non-h.o. case?

We found:
analytical solution
in corner of parameter space

Franzin, Liberati, **JM**
PRD **109**, 084028
[2312.06891]

stealth
solution:
 $g_{\mu\nu} = \text{Kerr}, u_{\mu} = \dots$

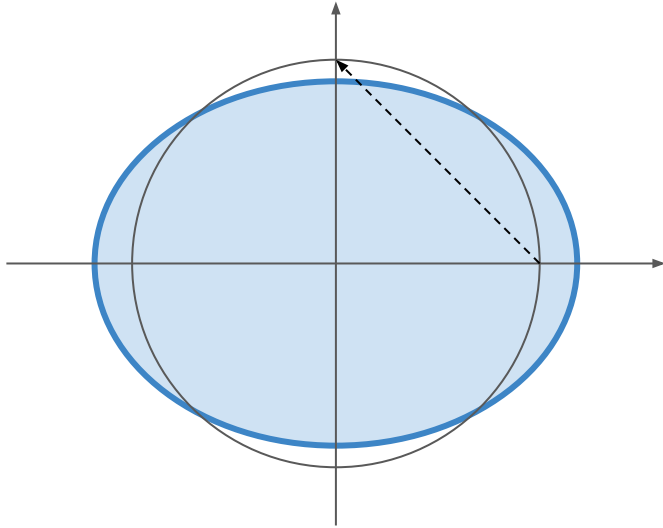
æther has
twist



has 'candidate' UH
(we called it
quasi-UH)

Quasi UH

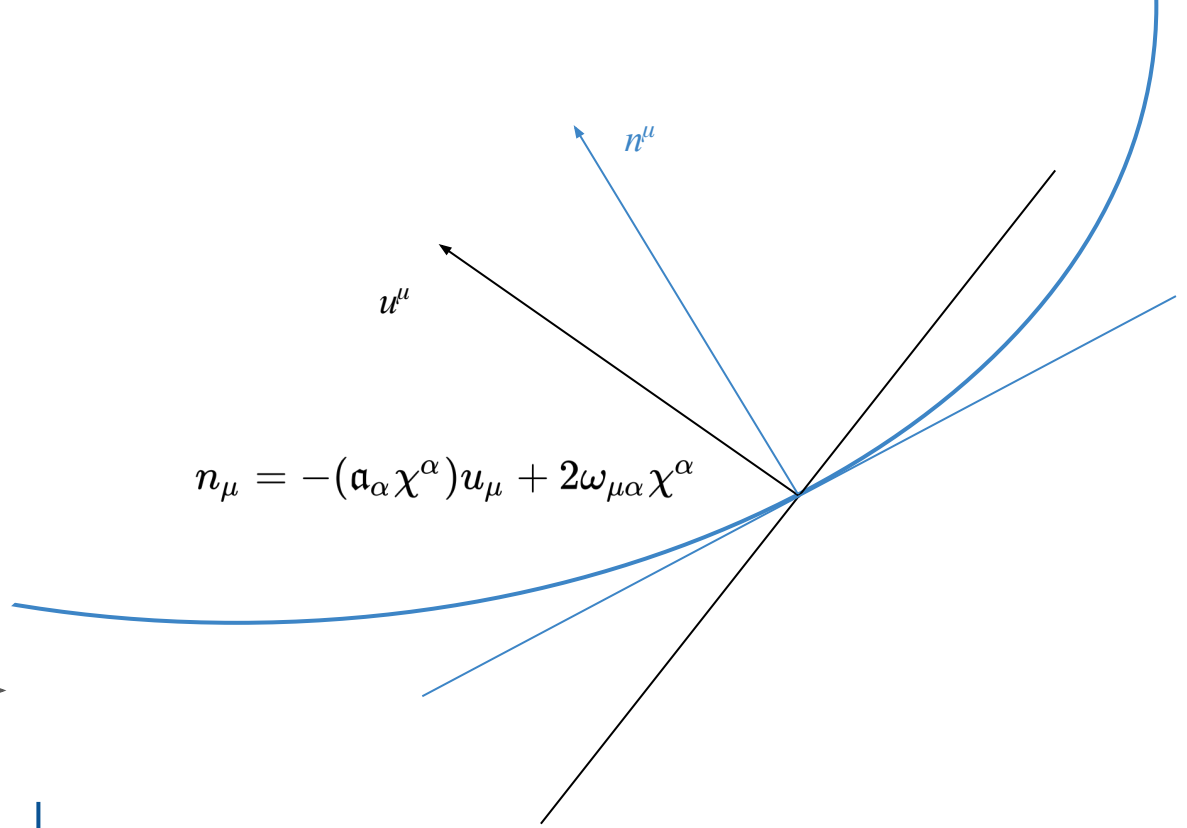
QUH not a
causal boundary



$$n_\mu = -(\alpha_\alpha \chi^\alpha) u_\mu + 2\omega_{\mu\alpha} \chi^\alpha$$

QUH not orthogonal to u_μ

it can be escaped following a
causal curve



Quasi UH

Still...

- 1 Better understanding of UHs in non-h.o. setting:

they can exist, but 'fragile'



[Nathan W. Pyle]

- 2 QUHs might still be interesting phenomenologically

escaping is 'difficult':
need high (group) velocity in a particular direction

momentum always directed 'inwards', but not tangent to trajectories

great example of differences between phase/group/front velocity

work in progress...

[Del Porro, Liberati, **JM**]
???

Upshot

BHs beyond GR
can be deceiving...

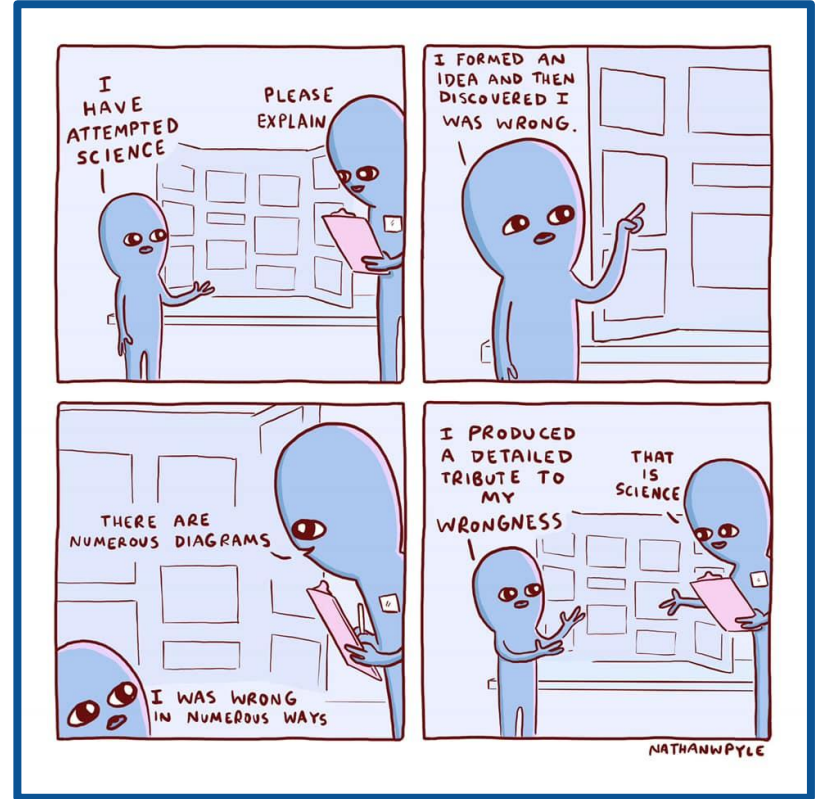
sometimes, different
notion of causality

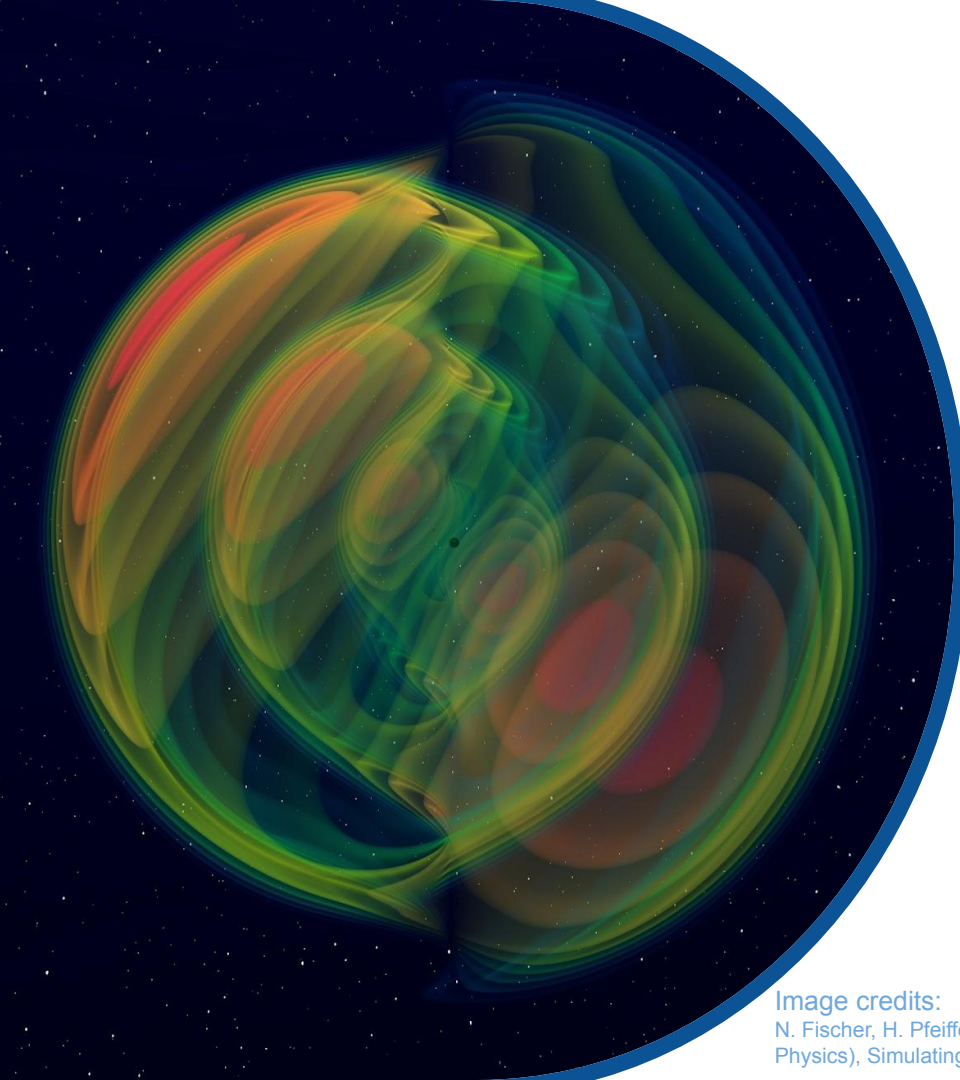
BHs dark, but
not 'black'

new causal
boundaries
(UHs)

Stability?
Phenomenology?

Be careful, don't take
anything for granted!





Thanks!

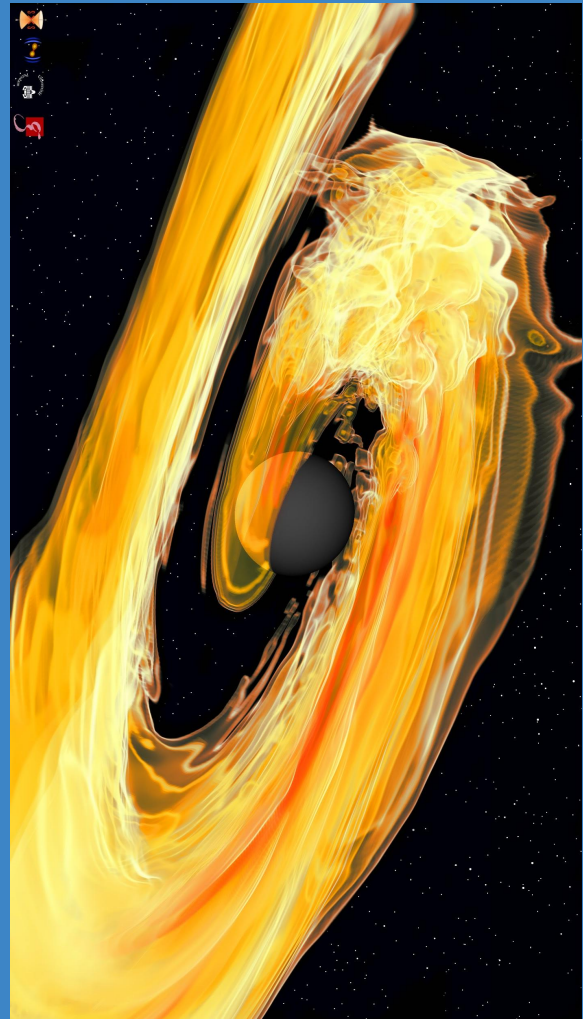
Get in touch

`jacopo.mazza@ijclab.in2p3.fr`

Image credits:

N. Fischer, H. Pfeiffer, A. Buonanno (Max Planck Institute for Gravitational Physics), Simulating eXtreme Spacetimes (SXS) Collaboration

Backup Slides



Stealth solution

$$c_\sigma = c_\omega = c_\alpha = 0$$

'minimal æ-theory'

$$\sqrt{-g}\mathcal{L} = \sqrt{-g} \left\{ R - \left[\frac{1}{3} c_\vartheta \vartheta^2 + c_\sigma \sigma^2 + c_\omega \omega^2 - c_\alpha \alpha^2 \right] \right\}$$

choose Kerr
(Ricci flat)

solve

$$\begin{cases} \nabla_\mu u^\mu = 0 \\ u_\mu u^\mu = -1 \end{cases}$$

underdetermined problem

solution contains some
arbitrariness

N.B.

Other 'minimal' choices
possible,
this is the simplest one that is
non trivial

Metric

Kerr in Boyer–Lindquist coordinates

$$ds^2 = - \left(1 - \frac{2Mr}{\Sigma} \right) dt^2 + \frac{\Sigma}{\Delta} dr^2 + \Sigma d\theta^2 - \frac{4Mra \sin^2 \theta}{\Sigma} dt d\varphi + \frac{A \sin^2 \theta}{\Sigma} d\varphi^2$$

$$\Sigma = r^2 + a^2 \cos^2 \theta$$

$$\Delta = r^2 - 2Mr + a^2$$

$$A = (r^2 + a^2)^2 - \Delta a^2 \sin^2 \theta$$

Æther

Lie-dragged along Killing vectors

$$u_\mu(x^\alpha) = u_\mu(r, \theta)$$

One simple solution is

$$u_\mu = \left\{ \mp \sqrt{\frac{\Sigma\Delta + M^4\Theta^2}{A}}, -\frac{M^2\Theta}{\Delta}, 0, 0 \right\}_\mu$$

$$u^\mu = \left\{ \pm \frac{A}{\Delta\Sigma} \sqrt{\frac{\Sigma\Delta + M^4\Theta^2}{A}}, -\frac{M^2\Theta}{\Sigma}, 0, \pm \frac{2Mar}{\Delta\Sigma} \sqrt{\frac{\Sigma\Delta + M^4\Theta^2}{A}} \right\}^\mu$$

$$u_\theta = 0$$

$$u_\varphi = 0$$

$$\omega_{\mu\nu} \neq 0, \sigma_{\mu\nu} \neq 0, a_\mu \neq 0$$

'ZAMO' solution
more or less required

$$\Theta(\theta) \left[\begin{array}{c} \text{free function} \\ \text{of angle} \end{array} \right]$$

Fixing Θ

Many possibilities...

One interesting option:

$$\left. \begin{array}{l} \text{define} \\ r_{\text{QUH}}(\theta) : \quad \partial_r (\Delta\Sigma)|_{r=r_{\text{QUH}}} = 0 \\ \\ \text{then} \\ M^4 \Theta^2 = -\Delta\Sigma|_{r=r_{\text{QUH}}} \end{array} \right\} \begin{array}{l} \text{so that} \\ (u_\mu \chi^\mu)|_{r=r_{\text{QUH}}} = 0 \\ \\ \text{(also, pick the } \pm \text{ so that it} \\ \text{changes sign)} \end{array}$$

r_{QUH} is a perfect candidate for a UH
(‘Q’ stands for ‘quasi’)

Probing the QUH

Use toy model of matter with non-linear DRs

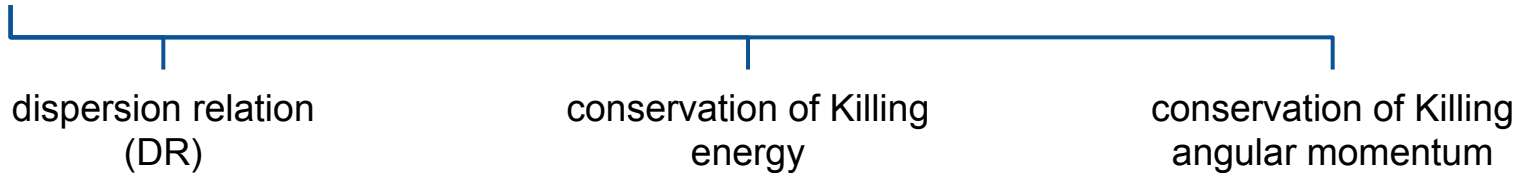
test scalar, with modified KG eq.

$$g^{\mu\nu} \nabla_\mu \nabla_\nu \phi + \frac{1}{\Lambda^2} (p^{\mu\nu} \nabla_\mu \nabla_\nu)^2 \phi = 0$$

WKB approximation

$$\phi(x^\alpha) = A(x^\alpha) e^{iS(x^\alpha)}$$
$$\nabla_\mu A, \nabla_\mu \nabla_\nu S, \dots \ll \partial_\mu S =: k_\mu$$

Three (?) equations



$$k_\mu k^\mu + \frac{1}{\Lambda^2} (p^{\mu\nu} k_\mu k_\nu)^2 = 0$$

$$k_\mu \chi^\mu = -\Omega$$

$$k_\mu \psi^\mu = m$$

Disformal transformations

The field redefinition

$$\tilde{g}_{\mu\nu} = g_{\mu\nu} - D u_\mu u_\nu$$
$$\tilde{u}_\mu = \frac{u_\mu}{\sqrt{1 + D}}$$

is an internal map of æ-theory
(and T-theory)

$$\tilde{c}_\vartheta = (1 + D)c_\vartheta - 2D$$
$$\tilde{c}_\sigma = 1 + (1 + D)(c_\sigma - 1)$$
$$\tilde{c}_\omega = 1 + \frac{c_\omega - 1}{1 + D}$$
$$\tilde{c}_\alpha = c_\alpha$$

Disforming
stealth Kerr,

we get new solutions
(of ‘different’ æ-theories)

resulting metric

- depends on D (3-param family)
- is non-stealth
- is non-circular
- its (metric) horizons not Killing

...

Abstract

Black holes beyond general relativity can be different from their general-relativistic counterparts—but in what ways and to what extent? I will explore this question discussing the particular example of Einstein–aether theory, an alternative to general relativity that displays a rich phenomenology and admits, among other things, faster-than-light signals. I will explain how the existence of such signals puts the very notion of black hole into question, but also describe how the serendipitous discovery of the so-called ‘universal horizons’ could, perhaps, salvage black holes from certain demise.