

HOW TO FORM MBHs

(1807.11489)
(2510.04599)

REES 1978 → START FROM A GAS CLOUD AND THE COLLAPSE VIA DIFFERENT ASTROPHYSICAL PROCESSES

JEANS MASS

DESCRIBE THE CONDITION FOR COLLAPSE ASSUMING THE BALANCE BETWEEN PRESSURE & GRAVITY FROM VIRIAL THEOREM:

$$2K + U = 0 \quad \text{FOR A STABLE & GRAVITATIONALLY BOUND SYSTEM}$$

FOR COLLAPSE: $|U| > 2K$

FOR A SELF-GRAVITATING SPHERE OF RADIUS R

$$U_{\text{GRAV}} = - \int_0^R \frac{GM}{r} 4\pi r^2 \rho dr = - \frac{16\pi^2 G \rho^2 R^5}{15} \quad \left(M = \frac{4}{3} \pi R^3 \rho \right)$$

$$E_{\text{KIN}} = \frac{3}{2} N k_B T = \quad (N: \text{TOTAL NUMBER OF GAS PARTICLES})$$

$$= \frac{3}{2} \frac{M}{\mu m_H} k_B T \quad \left(\begin{array}{l} \mu: \text{MEAN MOLECULAR WEIGHT} \\ \mu=1 \text{ FOR ATOMIC HYDROGEN} \end{array} \right)$$

COMPARE THE TWO USING THAT $R = \left(\frac{3M}{4\pi\rho} \right)^{1/3}$

$$\Rightarrow M > \left[\left(\frac{5 k_B T}{G \mu m_H} \right)^{3/2} \left(\frac{3}{4\pi\rho} \right)^{1/2} \right] = M_J \quad (\rho = \mu m_H n_{\text{GAS}})$$

$$M_J = 500 \left(\frac{T}{200 \text{ K}} \right)^{3/2} \left(\frac{n_{\text{GAS}}}{10^4 \text{ cm}^{-3}} \right)^{1/2} M_\odot$$

PROPER DERIVATION
SEC. 26.1.1 OF "STELLAR
STRUCTURE & EVOLUTION"
KIPPELHIAN
EULER + CONTINUITY + POISSON

THREE MAIN FORMATION CHANNELS

- POP III STARS: ABSENCE OF METAL DISFAVOUR FRAGMENTATION
(LIGHT SEEDS) $\Rightarrow$ STARS OF $\sim 10^3 M_\odot$ CAN FORM

$\sim 10 - 10^3 M_\odot$ AT LOW METALLICITY, THERE IS A LINEAR RELATION BETWEEN MASS OF THE STAR & MASS OF THE REMNANT

TWO CONSIDERATIONS:

1) $T_{\text{GAS}} \sim 200$ IN MINIMALS ($10^5 - 10^6$) $\Rightarrow$ LARGE $M_J \Rightarrow$ LARGE INITIAL MASS
(AT $z=0$, HYDROGEN IN STAR-FORMING REGION HAS $T_{\text{GAS}} \sim 10 - 20 \text{ K}$)

2) LOW METALLICITY $\Rightarrow$ WEAK PULSATIONS / WINDS $\Rightarrow$ LESS MASS-LOSS FOR THE STAR

PROBLEMS:

- 1) FRAGMENTATION: MULTIPLE PROTOSTARS FORM
 $\Rightarrow$ INDIVIDUAL MASS IS REDUCED
- 2) WANDERING BHs: $\sim 100 M_{\odot}$ NOT MASSIVE ENOUGH TO SET
AT THE CENTRE OF GALAXY

RUNAWAY CLUSTER MERGERS: MERGERS IN STAR CLUSTERS

(MEDIUM-WEIGHT SEEDS)

$$\sim 10^3 - 10^4 M_{\odot}$$

MASS SEGREGATION:

LIGHT STARS ARE EJECTED



LESS KINETIC ENERGY



THE CLUSTER CONTRACTS AND BECOMES DENSER



MORE STELLAR INTERACTIONS

DIRECT COLLAPSE: BROAD CLASS. MAIN IDEA: GAS IS
(HEAVY SEEDS) SUPPLIED AT THE CENTRE OF AN HALO WITH

$$\sim 10^4 - 10^6 M_{\odot}$$

$$\dot{M} \sim 0.01 - 1 M_{\odot}/yr$$

$$\sim 10^7 - 10^8 M_{\odot}$$

- 2 WAYS TO CREATE PROTOSTARS SO MASSIVE:

- 1) STRONG UV RADIATION IN LYMAN-WERNER BAND TO PREVENT
THE FORMATION OF H_2

BACKGROUND UV IS NOT ENOUGH $\Rightarrow$ NEED NEARBY UV SOURCES



"SYNCHRONIZED HALOS"

- 2) DYNAMICAL HEATING: HALO GROWS RAPIDLY IN MASS



TEMPERATURE INCREASES



STAR FORMATION IS PREVENTED

- DYNAMICAL INSTABILITIES: GALAXIES MERGERS, BARS (LESS LIKELY)

(PROTOSTAR $::$ EARLY STAGE OF A STAR WITH $T_{core} \sim 10^3 - 10^4 K$)
 $\Rightarrow$ NOT HOT ENOUGH FOR FUSION

(LYMAN-WERNER $::$ UV PHOTONS WITH $11.2 < \frac{h\nu}{eV} < 13.6$)
THEY EXCITE MOLECULAR H_2 HYDROGEN $\rightarrow$ GO BACK TO GROUND STATE
 $\rightarrow$ PHOTO-DISSOCIATION ($H+H$)

PRIMORDIAL BHs / COSMIC STRINGS:

$$PBHs: 10^{-5} - 10^5 M_{\odot}$$

CONSTRAINTS FROM CMB / GLOBULAR CLUSTERS / X-RAY BACKGROUND

STRINGS: ??? STRINGS CAN ACCRETE MATTER & COLLAPSE IN A BH
PREDICTION OF STRING THEORY

NATURE IS (LIKELY) CONTINUOUS

BLACK HOLES GROW WITH 3 CHANNELS:

- 1) GAS $\rightarrow$ AGN
- 2) MERGE2 $\rightarrow$ GWD
- 3) STARS $\rightarrow$ TIDE

EDDINGTON LIMIT

DESCRIBE THE BALANCE BETWEEN GRAVIT. FORCE (INWARDS) AND RADIATION FORCE (OUTWARDS)

SPHERICALLY DISTRIBUTED CLOUD OF FULLY IONIZED HYDROGEN

$$F = \frac{L}{4\pi r^2} \quad r: \text{RADIAL DISTANCE}$$

INTERACTION VIA THOMSON SCATTERING
 $\sigma_T \ll m_e c^2$

$$L = \frac{dE}{dt} \Rightarrow F \text{ IS THE ENERGY FLUX}$$

FOR A PHOTON, THE MOMENTUM $p = \frac{E}{c}$

$\Rightarrow$ THE MOMENTUM FLUX

$$P_{\text{FLUX}} = \frac{F}{c} = \frac{L/c}{4\pi r^2}$$

THE FORCE PRODUCED ON A SINGLE ELECTRON IS

$$F_{\text{RAD}} = P_{\text{FLUX}} \sigma_T = \frac{L/c}{4\pi r^2} \sigma_T \quad \sigma_T = \frac{8\pi}{3} \left(\frac{e^2}{m_e c^2} \right)^2 = 6.62 \times 10^{-25} \text{ cm}^2$$

$\hookrightarrow$ (MASS OF THE PROTON WOULD GIVE A $\sigma \sim 10^{-6}$ SMALLER)

THE GRAVITATIONAL FORCE IS

$$F_{\text{GRAV}} = \frac{GM}{r^2} (m_e + m_p) \approx \frac{GM m_p}{r^2}$$

$\downarrow$
TOTAL MASS IN r

EQUATING $F_{\text{RAD}} = F_{\text{GRAV}} \Rightarrow$

$$L_{\text{EDD}} = \frac{4\pi G m_p c}{\sigma_T} M = 1.26 \cdot 10^{38} \left(\frac{M}{M_\odot} \right) \frac{\text{erg}}{\text{s}}$$

$$\text{IF } M_{\text{BH}} \sim 10^8 M_\odot \Rightarrow L_{\text{EDD}} \sim 10^{46} \text{ erg/s}$$

IF WE DEFINE THE MASS ACCRETION RATE $\dot{M}_{\text{ACC}} = \frac{dM}{dt} \Rightarrow$

$\Rightarrow$ THE LUMINOSITY IS DEFINED AS

$$L = \eta \dot{M}_{\text{ACC}} c^2$$

η : "RADIATIVE EFFICIENCY": FRACTION OF THE ACCRETED MATTER THAT IS RADIATED AWAY

QUANTIFY η

GAS ELEMENT IN WIDE INITIAL ORBIT $\Rightarrow E_i = 0$

AT THE INNER EDGE OF THE CIRCUMINARY DISK (CIRCULAR) $\Rightarrow E_{\text{FIN}} = -\frac{GmM}{2r_{\text{EDGE}}}$

$$\frac{1}{2}mv^2 - \frac{GmM}{r_{\text{EDGE}}} = \frac{1}{2}m \frac{GM}{r_{\text{EDGE}}} - \frac{GmM}{r_{\text{EDGE}}} = -\frac{GmM}{2r_{\text{EDGE}}}$$

$$\Delta E \equiv \frac{GmM}{2M_{\text{EDGE}}} \Rightarrow L = \frac{dE}{dt} = \frac{GmM}{2M_{\text{EDGE}}}$$

$$\kappa_{\text{EDGE}} \equiv \beta \left(2 \frac{GM}{c^2} \right)$$

$$\Rightarrow L = \frac{1}{4\beta} \dot{m} c^2 \Rightarrow \boxed{\eta = \frac{1}{4\beta}}$$

ISCO FOR $a=0$

$$\kappa_{\text{ISCO}} = \frac{6GM}{c^2} \Rightarrow \beta=3 \Rightarrow \eta = 0.08$$

(0.057)

ISCO FOR $a=1$

$$\kappa_{\text{ISCO}} = \frac{GM}{c^2} \Rightarrow \beta = \frac{1}{2} \Rightarrow \eta = 0.5$$

(0.42)

FULL GR

THE MBH MASS GROWS AS

$$\dot{M} = (1-\eta) \dot{M}_{\text{ACC}} = \frac{1-\eta}{\eta} f_{\text{EDD}} \frac{\dot{M}}{t_{\text{EDD}}}$$

$$\left(t_{\text{EDD}} = \frac{Mc^2}{L_{\text{EDD}}} = \frac{\delta_{\text{TC}}}{4\pi G m_p} = 0.45 \text{ Gyr} \right)$$

$$\Rightarrow M(t) = M_0 \exp \left(\frac{1-\eta}{\eta} f_{\text{EDD}} \frac{t-t_0}{t_{\text{EDD}}} \right)$$

M_0 : INITIAL MASS AT TIME t_0

$$\Rightarrow \text{IF } a=0, \eta = 0.057 \Rightarrow M = M_0 e^{\frac{t}{3 \times 10^7 \text{ yr}}}$$

$$\text{IF } a=1, \eta = 0.42 \Rightarrow M = M_0 e^{\frac{t}{3 \times 10^8 \text{ yr}}}$$

$$t_{\text{HUBBLE}} \sim 1.4 \times 10^{10} \text{ yr}$$

$\Rightarrow$ 50-TO-500 e-FOLDS IN MASS (EXPONENTIAL GROWTH)

PROBLEM

CURRENT QSO RECORD: $M_{\text{BH}} \sim 10^9 M_{\odot}$ $z = 7.54$

$$z = 7.54 \rightarrow t_{\text{cosmic}} \approx 0.7 \text{ Gyr}$$

$$\Rightarrow \text{IF } a=0 \quad \frac{7 \times 10^8}{3 \times 10^7} = 23 \text{ e-FOLDS} \approx 10^{10}$$

$$\Rightarrow \text{IF } a=1 \quad \frac{7 \times 10^8}{3 \times 10^8} = 2.3 \approx 10$$

REMARKS:

- 1) ACCRETION TENDS TO SPIN UP
- 2) PROBABLY NOT FROM EDDINGTON ACCRETION
- 3) CHAOTIC ACCRETION

DISK EMISSION

SLIAKUNA - SUNYAEV MODEL (1973)

(SEC. 5.6 OF "ACCRETION POWER IN ASTROPHYSICS", J. FRANK)

1) "STEADY-STATE": DISK'S PROPERTIES DO NOT CHANGE IN TIME
(I.E. IN EQUILIBRIUM)

2) "GEOMETRICALLY THIN": $h \ll R$
(DISK IS FLATTENED DUE TO ROTATION)

3) "OPTICALLY THICK": PHOTONS ARE ABSORBED & RE-EMITTED MANY TIMES BEFORE ESCAPING
(RADIATION & MATTER ARE IN EQUILIBRIUM
↓
BLACKBODY SPECTRUM)

$$T \approx 2 \times 10^7 \left(\frac{M}{M_\odot} \right)^{-1/4} \left(\frac{r}{r_s} \right)^{-3/4} \text{ K} \quad \text{with } r_s = \frac{2GM}{c^2}$$

FOR $M = 1 M_\odot \Rightarrow T \approx 2 \times 10^7 \text{ K} \Rightarrow \text{X-RAY}$

FOR $M = 10^8 M_\odot \Rightarrow T \approx 3 \times 10^5 \text{ K} \Rightarrow \text{UV-BAND}$

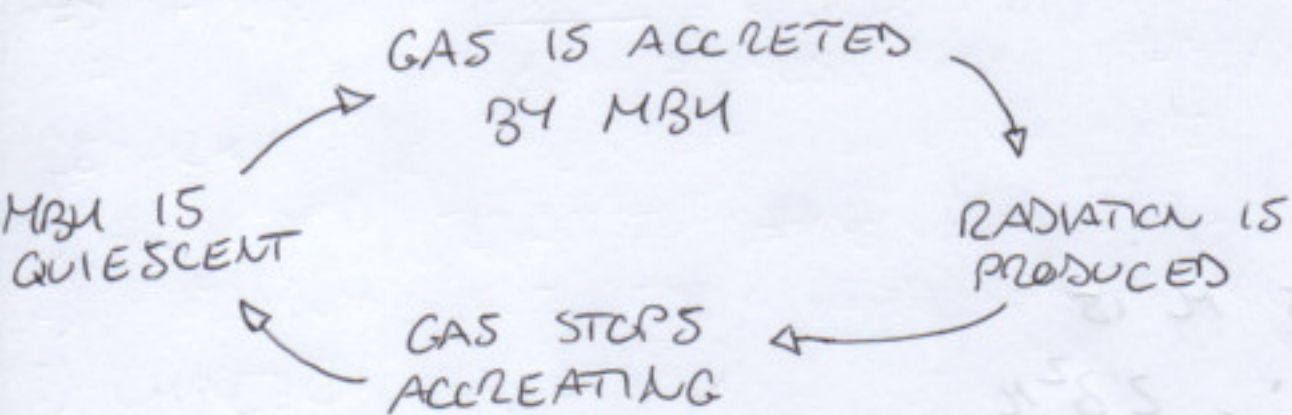
REMARKS:

1) FROM THE BH CORONA, LOW PHOTONS ARE INVERSE COMPTON SCATTERED
AGAINST FREE ELECTRONS TO PRODUCE X-RAYS
HIGH-ENERGY ELECTRONS
LOW-ENERGY PHOTONS

2) DUST TORUS RE-PROCESS PHOTONS TO INFRARED

FEEDBACK MECHANISM

FEEDBACK WAS INTRODUCED IN STELLAR PHYSICS $\Rightarrow$ THE SNR HEATS THE GAS PREVENTING STAR FORMATION



M- σ RELATION

MBH ACCRETION AFFECTS THE HOST GALAXY. ENERGETIC ARGUMENT:

$$L = \eta \dot{M}_{\text{acc}} c^2 \quad \text{TOTAL MASS ACCUMULATED}$$

$$\Rightarrow \dot{M}_* = (1 - \eta) \dot{M}_{\text{acc}} \Rightarrow \frac{M_*}{T} = (1 - \eta) \dot{M}_{\text{acc}} \quad \text{TOTAL ACCRETION TIME}$$

THE BINDING ENERGY OF THE WHOLE GALAXY IS $E_G \approx \frac{1}{2} M \sigma^2$
 IF WE TAKE THE RATIO

$$\frac{E}{E_G} = \frac{1}{1-2} \frac{M_\bullet}{M} \left(\frac{\sigma_\bullet}{c} \right)^{-2} \approx 250 \left(\frac{\sigma_\bullet}{200 \text{ km/s}} \right)^2 \left(\frac{M_\bullet}{10^{-3} M} \right)$$

$\Rightarrow$ MBH EJECTS PLENTY OF ENERGY

SINGULAR ISOTHERMAL SPHERE

TO DESCRIBE SELF-GRAVITATING SYSTEMS WITH CONSTANT VELOCITY DISPERSION (BOTH STARS & GAS) $\Rightarrow \sigma^2 = \text{const.}$

PHASE SPACE DISTRIBUTION FUNCTION IS GAUSSIAN AS

$$\rho(v, \sigma) = \frac{1}{(2\pi\sigma^2)^{3/2}} e^{-\left(\frac{v^2}{2} + \phi\right) \sigma^2}$$

$\underbrace{\left(\frac{v^2}{2} + \phi\right)}_{\text{TOTAL ENERGY PER UNIT MASS}} \sigma^2$
(ϕ : POTENT. ENERGY PER UNIT MASS)

MASS DENSITY OF THE DISTRIBUTION

$$\rho_{\text{mass}} = \int_0^\infty \int_0^{2\pi} \int_0^\pi \rho(v, \sigma) v^2 \sin(\theta) dv d\theta d\phi = e^{-\phi/\sigma^2}$$

FROM POISSON EQUATION

$$\nabla^2 \phi = 4\pi G \rho_{\text{mass}}$$

IN SPHERICAL COORDINATES

$$\frac{1}{r^2} \frac{d}{dr} \left(r^2 \frac{d\phi}{dr} \right) = 4\pi G \rho_{\text{mass}}$$

$$\frac{1}{r^2} \frac{d}{dr} \left(r^2 \frac{d \ln \rho_{\text{mass}}}{dr} \right) = 4\pi G \rho_{\text{mass}}$$

ASSUME $\rho_{\text{mass}} \sim \frac{1}{r^2}$

$$\Rightarrow \boxed{\rho_{\text{mass}}(r) = \frac{1}{2\pi G} \frac{\sigma^2}{r^2}}$$

THE TOTAL MASS IN A RADIUS r IS

$$M(r) = 4\pi \int_0^r \rho(r') r'^2 dr' = \frac{2\sigma^2 r}{G}$$

- 1) DIVERGENT FOR $r \rightarrow \infty$ (FINITE-DENSITY CORE)
- 2) INFINITE MASS FOR $r \rightarrow \infty$ (CUTOFF AT LARGE RADII)

FOR MW BULGE $\Rightarrow \rho(r) \sim r^{-1.8}$

DERIVATION OF $M-\delta$

THE RADIATION TRANSFERS MOMENTUM TO THE FLUID. ASSUMING A CONSTANT OUTFLOW VELOCITY, THE MOMENTUM OF THE OUTFLOW CAN BE WRITTEN AS

$$M_{\text{out}}(r) v_{\text{out}} = \frac{L_{\text{Edd}}}{c} t$$

$$M_{\text{out}} = f_g \underbrace{M(r)}_{\text{TOTAL MASS IN RADIUS } r}$$

$$f_g \frac{2\delta^2}{G} r v_{\text{out}} = \frac{L_{\text{Edd}}}{c} t$$

$$\text{IF } \frac{r}{t} \approx v_{\text{out}}$$

$$\Rightarrow v_{\text{out}}^2 = \frac{G L_{\text{Edd}}}{2c} \frac{1}{f_g \delta^2}$$

IF THE OUTFLOW IS NOT BOUND TO MBH $\Rightarrow$ IT CAN ESCAPE (I.E. RADIATION HAS TRANSFERRED ENOUGH MOMENTUM TO THE GAS TO MAKE IT ESCAPE THE MBH)

THIS HAPPEN WHEN $v_{\text{out}} = \delta$

$$\Rightarrow M_{\text{BH}} = \left(\frac{\delta^2 / m_p}{2\pi G^2} \right) f_g \delta^4 \approx 1.8 \times 10^8 \left(\frac{\delta}{200 \text{ km/s}} \right)^4 M_{\odot}$$

- IN AGREEMENT WITH OBSERVATIONS

- NOTE THAT THE BULGE IS MADE MOSTLY OF STAR

SOLTAN'S ARGUMENT

THE MASS DENSITY OF MBH IS COMPARABLE TO THE ACCRETED MASS DENSITY INFERRED FROM AGN LUMINOSITIES

THE BH MASS DENSITY ACCRETED DURING AGN PHASE IS

$$\rho_{\text{AGN}}(z) = \int_z^{\infty} \frac{dL}{dz} dz \int_0^{\infty} \frac{(1-z)L}{2c^2} \phi(L, z) dL$$

$$\phi(L, z) = \frac{\# \text{ AGN}}{dL dz}$$

$$\rho_{\text{AGN}} \approx 4.5 \times 10^5 \left(\frac{0.1}{z} \right) \frac{M_{\odot}}{\text{Mpc}^3}$$

THE MASS DENSITY OF MBH CAN BE ESTIMATED BY $M-M_*$ RELATION

$$\rho_{\text{BH}} \approx 3-5 \times 10^5 \frac{M_{\odot}}{\text{Mpc}^3} \Rightarrow \boxed{\text{MBH GROWS MOSTLY VIA ACCRETION}}$$