



# AdS3/CFT2 @ the free point and beyond

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Based on work with **Rajesh Gopakumar** and **Beat Nairz**



# An exact AdS/CFT duality

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Tensionless string theory on  $\text{AdS}_3 \times S^3 \times \mathbb{T}^4$  with  
1 unit of NS-NS flux is exactly dual to symmetric orbifold:

$$\text{AdS}_3 \times S^3 \times \mathbb{T}^4 = \text{Sym}_N(\mathbb{T}^4)$$

1 unit of NS-NS flux

solvable worldsheet theory  
(almost free)

almost free 2d CFT

In particular, the **spectrum** agrees precisely, and the structure of the symmetric orbifold **correlators** is reproduced from the worldsheet.



# AdS3

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This is the description with **pure NS-NS flux**, but in order to generalise this to **N=4 SYM** it is important to understand how **R-R flux** affects this picture.

In the context of the symmetric orbifold, switching on R-R flux corresponds to **perturbing the theory by the 2-cycle twisted sector operator**.



can study and understand this in quite some detail....

cf. [Gava, Narain, '02], [Gomis, Motl, Strominger, '02],  
[David, Sahoo, '08], [Pakman, Rastelli, Razamat, '09]



# Symmetric orbifold basics

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Recall basic structure of symmetric orbifold

$$(\mathbb{T}^4)^N / S_N$$

**untwisted sector:** permutation invariant combinations

**twisted sectors:** associated to conjugacy classes of  $S_N$

labelled by cycle shapes, i.e. partitions of  $N$

concentrate on **single cycle sectors**  $\longleftrightarrow$

analogue of  
single trace



# Magnons

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In each  $w$ -cycle twisted sector consider reference BPS state  $|\text{BPS}\rangle_w$  with

$$h = \tilde{h} = j = \tilde{j} = \frac{w + 1}{2} .$$

The single-particle states of the twisted sector are generated by the action of the **magnon excitations**

$$\begin{aligned} \psi_{-\frac{1}{2} + \frac{n}{w}}^- , \quad \psi_{-\frac{3}{2} + \frac{n}{w}}^+ , \quad \alpha_{-1 + \frac{n}{w}}^i \\ \tilde{\psi}_{-\frac{1}{2} + \frac{n}{w}}^- , \quad \tilde{\psi}_{-\frac{3}{2} + \frac{n}{w}}^+ , \quad \tilde{\alpha}_{-1 + \frac{n}{w}}^i \end{aligned} \quad (i=1,2) + \text{c.c.}$$

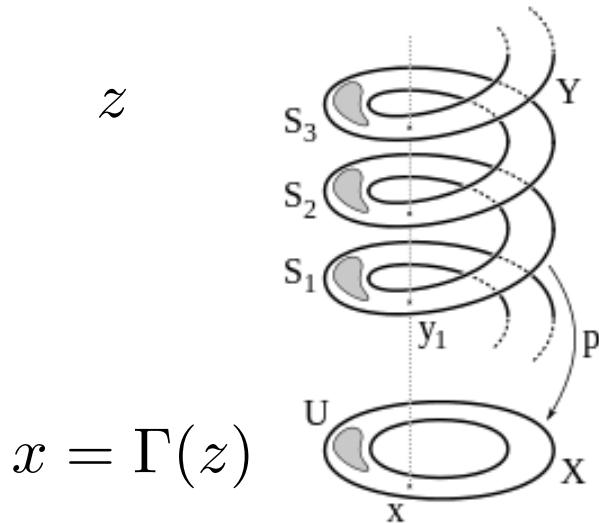
subject to the **orbifold invariance** (momentum conservation).

cf. [Gava, Narain, '02]

# Correlators in sym orbifold

Symmetric orbifold correlators can be calculated by lifting to covering surface

[Lunin, Mathur '00]  
[Pakman, Rastelli, Razamat '09]

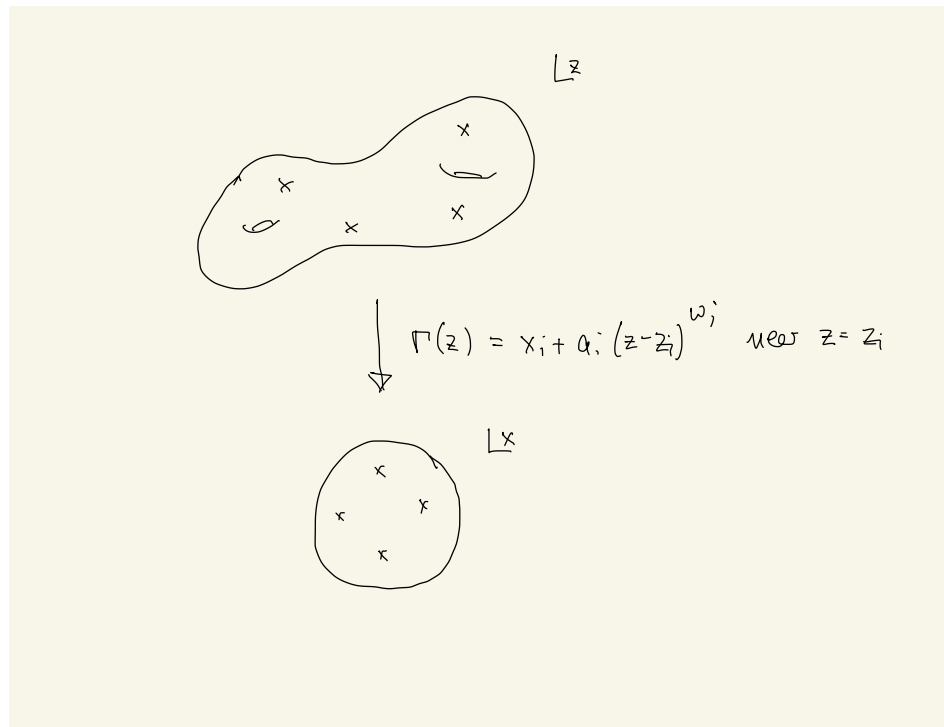


**Locally**, the effect of a **w-cycle twist field** is to introduce a **w-fold covering**.

$$z \mapsto \Gamma(z) = x_0 + a(z - z_0)^w + \dots$$

# Correlators in sym orbifold

To describe the full correlator combine these local coverings into a **global covering surface**.



The covering surface has, in general, a **non-trivial genus**. The **genus** captures the **1/N corrections** of the symmetric orbifold correlators.

[Lunin, Mathur '00]

[Pakman, Rastelli, Razamat '09]







# Perturbation

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From perspective of symmetric orbifold, **R-R deformation** comes from **2-cycle twisted sector** perturbation.

The perturbing field is an  $su(2)$  singlet, but since it comes from the 2-cycle twisted sector, it changes the twisted sectors

$$\mathcal{Z}_{\pm} |\text{BPS}\rangle_w = |\text{BPS}\rangle_{w\pm 1}$$

Study effect of **perturbation** by analysing the **modified action of supercharges** on the magnon descendants.



# Perturbation

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To simplify the exposition, let us concentrate on the subalgebra

$$Q_1 \equiv G_{+\frac{1}{2}}^- , \quad S_1 \equiv G_{-\frac{1}{2}}^+ , \quad \tilde{Q}_2 \equiv \tilde{G}'_{+\frac{1}{2}}^- , \quad \tilde{S}_2 \equiv \tilde{G}'_{-\frac{1}{2}}^+$$

that annihilate the BPS states and satisfy

$$\begin{aligned} \{Q_1, S_1\} &\equiv \mathcal{C} = (L_0 - K_0^3) , & \{\tilde{Q}_2, \tilde{S}_2\} &\equiv \tilde{\mathcal{C}} = (\tilde{L}_0 - \tilde{K}_0^3) , \\ \{Q_1, Q_1\} &= \{S_1, S_1\} = 0 , & \{\tilde{Q}_2, \tilde{Q}_2\} &= \{\tilde{S}_2, \tilde{S}_2\} = 0 , \\ \{Q_1, \tilde{Q}_2\} &= \{Q_1, \tilde{S}_2\} = 0 , & \{S_1, \tilde{Q}_2\} &= \{S_1, \tilde{S}_2\} = 0 . \end{aligned}$$

[David, Sahoo, '08], [Hoare, Tseytlin, '13]  
[Borsato, Ohlsson Sax, Sfondrini, Stefanski, '14]

Then can also restrict to magnons associated to

$$\psi^- , \alpha^2 , \tilde{\psi}^- , \tilde{\alpha}^1 .$$



# Perturbation

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In the free theory, the single magnon excitations transform under these generators as

$$\begin{aligned} Q_1 \alpha_{-1+\frac{n}{w}}^2 |\text{BPS}\rangle_w &= (1 - \frac{n}{w}) \psi_{-\frac{1}{2}+\frac{n}{w}}^- |\text{BPS}\rangle_w, & \tilde{Q}_2 \alpha_{-1+\frac{n}{w}}^2 |\text{BPS}\rangle_w &= 0 \\ Q_1 \psi_{-\frac{1}{2}+\frac{n}{w}}^- |\text{BPS}\rangle_w &= 0, & \tilde{Q}_2 \psi_{-\frac{1}{2}+\frac{n}{w}}^- |\text{BPS}\rangle_w &= 0 \\ S_1 \alpha_{-1+\frac{n}{w}}^2 |\text{BPS}\rangle_w &= 0, & \tilde{S}_2 \alpha_{-1+\frac{n}{w}}^2 |\text{BPS}\rangle_w &= 0 \\ S_1 \psi_{-\frac{1}{2}+\frac{n}{w}}^- |\text{BPS}\rangle_w &= \alpha_{-1+\frac{n}{w}}^2 |\text{BPS}\rangle_w, & \tilde{S}_2 \psi_{-\frac{1}{2}+\frac{n}{w}}^- |\text{BPS}\rangle_w &= 0. \end{aligned}$$

What happens under the perturbation?

Can use  $\text{su}(2)$  + conformal symmetry to make the most general ansatz....



# Perturbation

[MRG, Gopakumar, Nairz, '23]

Under the perturbation we expect

$$\begin{aligned}
 Q_1 \alpha_{-1+\frac{n}{w}}^2 |\text{BPS}\rangle_w &= a \psi_{-\frac{1}{2}+\frac{n}{w}}^- |\text{BPS}\rangle_w, & \tilde{Q}_2 \alpha_{-1+\frac{n}{w}}^2 |\text{BPS}\rangle_w &= 0 \\
 Q_1 \psi_{-\frac{1}{2}+\frac{n}{w}}^- |\text{BPS}\rangle_w &= 0, & \tilde{Q}_2 \psi_{-\frac{1}{2}+\frac{n}{w}}^- |\text{BPS}\rangle_w &= b_{m,n} \alpha_{-1+\frac{m}{w-1}}^2 \mathcal{Z}_- |\text{BPS}\rangle_w \\
 S_1 \alpha_{-1+\frac{n}{w}}^2 |\text{BPS}\rangle_w &= 0, & \tilde{S}_2 \alpha_{-1+\frac{n}{w}}^2 |\text{BPS}\rangle_w &= c_{m,n} \psi_{-\frac{1}{2}+\frac{m}{w+1}}^- \mathcal{Z}_+ |\text{BPS}\rangle_w \\
 S_1 \psi_{-\frac{1}{2}+\frac{n}{w}}^- |\text{BPS}\rangle_w &= d \alpha_{-1+\frac{n}{w}}^2 |\text{BPS}\rangle_w, & \tilde{S}_2 \psi_{-\frac{1}{2}+\frac{n}{w}}^- |\text{BPS}\rangle_w &= 0.
 \end{aligned}$$

E.g.  $\tilde{S}_2 = \tilde{G}'_{-\frac{1}{2}}^+$  :  $\delta K_0^3 = \delta L_0 = 0$  ,  $\underline{\delta \tilde{K}_0^3 = \delta \tilde{L}_0 = +\frac{1}{2}}$

$\mathcal{Z}_+$  :  $\delta K_0^3 = \delta L_0 = \underline{\delta \tilde{K}_0^3 = \delta \tilde{L}_0 = +\frac{1}{2}}$

cf. [Gava, Narain, '02], [David, Sahoo, '08]



# Perturbation

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Can actually calculate the coefficient explicitly to first order in the deformation

[MRG, Gopakumar, Nairz, '23]

$$c_{m,n} \sim \int d^2 z \, w_{+1} \langle \text{BPS} | \bar{\psi}_{\frac{1}{2} - \frac{m}{w+1}}^+ \tilde{G}'_{-\frac{1}{2}}^+ \Phi_2(z, \bar{z}) \alpha_{-1 + \frac{n}{w}}^2 | \text{BPS} \rangle_w$$

where perturbing field is  $\Phi_2 = G_{-\frac{1}{2}}^- \tilde{G}'_{-\frac{1}{2}}^- \sigma_{+\frac{1}{2}, +\frac{1}{2}}^{(2)}$

$\uparrow$   
 $G^- \sim \bar{\alpha}^1 \psi^-$

# Perturbation

Can actually calculate the coefficient explicitly to first order in the deformation

[MRG, Gopakumar, Nairz, '23]

$$c_{m,n} \sim \int d^2 z \, w_{+1} \langle \text{BPS} | \bar{\psi}_{\frac{1}{2}}^+ \left( \frac{m}{w+1} \tilde{G}'_{-\frac{1}{2}} \right) \Phi_2(z, \bar{z}) \alpha_{-1+\frac{n}{w}}^2 | \text{BPS} \rangle_w$$

where perturbing field is

$$\Phi_2 = G_{-\frac{1}{2}}^- \tilde{G}'_{-\frac{1}{2}} \sigma_{+\frac{1}{2}, +\frac{1}{2}}^{(2)}$$

$$G^- \sim \bar{\alpha}^1 \psi^-$$

This is most easily done upon lifting to covering surface, i.e. effectively on the worldsheet...



# Finite w

[MRG, Gopakumar, Nairz, '23]

The exact finite w answer turns out to be

$$c_{m,n} = \left(\frac{w}{w+1}\right)^{m-n+1} \frac{(w+1)^{\frac{n}{w}}}{w^{\frac{m}{w+1}}} \frac{\Gamma(w - m \frac{w}{w+1}) \Gamma(w + 1 - n \frac{w+1}{w})}{\Gamma(w - m) \Gamma(w + 1 - n)}$$
$$\times \frac{\sqrt{1 - \frac{n}{w}}}{1 - \frac{m}{w}} \Gamma(1 - \frac{m}{w+1}) \Gamma(\frac{n}{w}) \sin(\pi \frac{n}{w}) \sin(\pi \frac{m}{w+1})$$
$$\times \delta(\text{momentum conservation from integral over } \Phi(z, \bar{z}))$$

In the large w limit this becomes

$$c_{q,p} \cong \frac{\sin(\pi p)}{\sqrt{1-p}} \times \delta(p - q) \quad (p = \frac{n}{w}, q = \frac{m}{w+1})$$



# Multi-magnons

[MRG, Gopakumar, Nairz, '23]

To generalise the calculation to multi-magnon states need essentially only one additional ingredient:

$$\begin{aligned}
 d_{m,n} &= {}_{w+1}\langle \text{BPS} | \bar{\alpha}_{1-\frac{m}{w+1}}^1 \sigma^{(2)}(1,1) \alpha_{-1+\frac{n}{w}}^2 | \text{BPS} \rangle_w \\
 &= \left(\frac{w}{w+1}\right)^{m-n-1} \frac{(w+1)^{\frac{n}{w}}}{w^{\frac{m}{w+1}}} \frac{\Gamma\left(w - \frac{n(w+1)}{w}\right) \Gamma\left(w - \frac{mw}{w+1}\right)}{\Gamma(w-n) \Gamma(w-m)} \\
 &\quad \times \left[ (-1)^{m-n} \frac{1}{\left(\frac{m}{w+1} - \frac{n}{w}\right)} \sqrt{\frac{1 - \frac{n}{w}}{1 - \frac{m}{w+1}}} \sin\left(\pi \frac{mw}{w+1}\right) \sin\left(\pi \frac{n(w+1)}{w}\right) \Gamma\left(\frac{n}{w}\right) \Gamma\left(1 - \frac{m}{w+1}\right) \right].
 \end{aligned}$$

and similar formula for fermions  $\hat{d}_{m,n}$ . In the large  $w$  limit this leads to

$$d_{q,p} = \hat{d}_{q,p} = e^{\pm i\pi p} \delta(p - q) \quad \left(p = \frac{n}{w}, \quad q = \frac{m}{w+1}\right)$$





# General action

[MRG, Gopakumar, Nairz, '23]

The action of the generators can then be described in terms of successive commutators

$$\begin{aligned} [Q_1, \alpha^2(p)] &= a(p) \psi^-(p) , & [\tilde{Q}_2, \alpha^2(p)] &= 0 , \\ \{Q_1, \psi^-(p)\} &= 0 , & \{\tilde{Q}_2, \psi^-(p)\} &= b(p) \alpha^2(p) \mathcal{Z}_- , \\ [S_1, \alpha^2(p)] &= 0 , & [\tilde{S}_2, \alpha^2(p)] &= c(p) \psi^-(p) \mathcal{Z}_+ , \\ \{S_1, \psi^-(p)\} &= d(p) \alpha^2(p) , & \{\tilde{S}_2, \psi^-(p)\} &= 0 , \end{aligned}$$

where

$$a(p)b(p) = c(p)d(p) = g \sin(\pi p) + \mathcal{O}(g^2)$$

$$\left[ \begin{array}{l} \alpha^2(p) = \frac{1}{\sqrt{1-p}} \alpha^2_{-1+p} \\ \chi^-(p) = \chi^-_{-\frac{1}{2}+p} \end{array} \right]$$



# Central extension

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On physical (orbifold invariant) states, the left-right anti-commutator must vanish:

$$\{S_1, \tilde{S}_2\} = 0 = \{Q_1, \tilde{Q}_2\} .$$

**For finite  $w$ , this is automatically the case**, but in the large  $w$  limit, it only remains true provided we choose the correct phases in

$$d_{q,p} = \hat{d}_{q,p} = e^{\pm i\pi p} \delta(p - q) \quad \left( p = \frac{n}{w} , q = \frac{m}{w+1} \right)$$

This requires that

$$\mathcal{Z}_{\pm} \mathcal{O}(p) = e^{\mp 2\pi i p} \mathcal{O}(p) \mathcal{Z}_{\pm}$$



# Anomalous dimension

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Can then use the usual integrability arguments to conclude that each magnon contributes

$$\mathcal{C}(p) + \tilde{\mathcal{C}}(p) = \sqrt{(1-p)^2 + 4g^2 \sin^2(\pi p)}$$

to anomalous conformal dimension.

cf. [Berenstein, Maldacena, Nastase, '02], [Hoare, Stepanchuk, Tseytlin, '14]

Furthermore, the problem is integrable: can read off **S-matrix** from above action — satisfies the **Yang-Baxter equation**.

cf. [Babichenko, Stefanski, Zarembo, '09], [Lloyd, Ohlson Sax, Sfondrini, Stefanski, '13]



# Conclusions and Outlook

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Studied the perturbation of duality involving **tensionless string theory** on  $\text{AdS}_3 \times \text{S}^3 \times \text{T}^4$  and **symmetric orbifold**

$$\text{AdS}_3 \times \text{S}^3 \times \text{T}^4 = \text{Sym}_N(\text{T}^4)$$

1 unit of NS-NS flux

almost free 2d CFT

under the perturbation that **switches on RR flux**, thereby also giving tension to the string.



# Conclusions and Outlook

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Performed the perturbation analysis in symmetric orbifold, but given that the calculation was done on **covering surface = worksheet calculation**.

We have solved the perturbed symmetric orbifold spectrum, using effectively a **dynamical spin chain approach** — very similar to N=4 SYM.

cf. [Minahan, Zarembo, '02]  
[Beisert '05]

However, unlike the case for N=4, we can actually determine the **structure constants at finite  $w$** , and obtain asymptotic answer by taking large  $w$  limit.



# Conclusions and Outlook

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The deformed theory possesses an S-matrix, satisfying the Yang-Baxter-equation  $\longrightarrow$  **integrable**.

One feature of the deformation is that the **left- and right-moving degrees of freedom** start to mix once the R-R flux is switched on.

cf. [Gava, Narain, '02]

This is likely to be an **important ingredient** in further understanding our proposal for the duality relating **free N=4 SYM in D=4** to the tensionless limit of strings on  $\text{AdS}_5 \times S^5$ .

[MRG, Gopakumar, '21]

# Costas @ETH



During 2003-2006 Costas taught courses at ETH each summer.

Much appreciated help in getting the group at ETH off the ground.

**Thank you very much, Costas**

**— and all the very best for the years ahead!**

