

School of Statistics 2024, Carry-le-Rouet

David Rousseau, IJCLab



# ML for Higgs physics tutorial

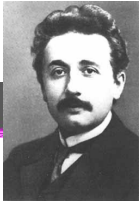


Using ML to see the Higgs Boson  
Using Boosted Decision Tree  
Introduction to tutorial

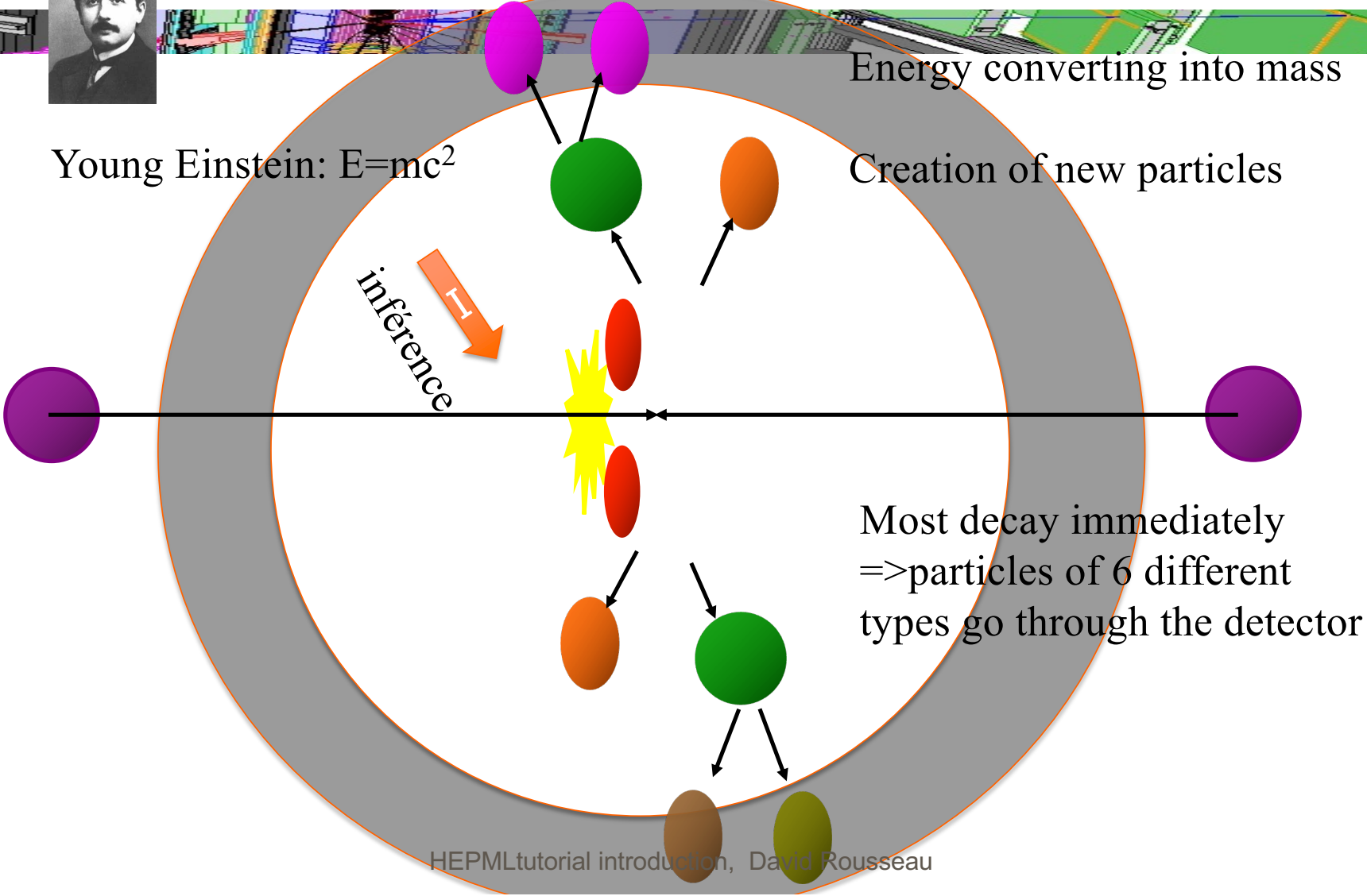
# Seeing the Higgs boson



# Proton collisions



Young Einstein:  $E=mc^2$




Energy converting into mass

Creation of new particles

Most decay immediately  
=> particles of 6 different  
types go through the detector

# Two fundamental entities

- 
- « Events » :
    - All measurements from one proton collision
    - List of particles with their properties
    - Derived quantities
    - →ML to help select interesting events « Signal » with respect to « Background »
  - « Particles »:
    - Extracted from an event
    - Jet, lepton, photon Missing ET
    - →ML to help identifying particles, regressing properties



Before observation, all was known about the Higgs boson, except its mass

**Probabilités de désintégration  
prédites pour une masse de 125 GeV**

**H → bb                      58%**

**H → WW\*                    21%**

**H → τ+τ-                    6.4%**

**H → ZZ\*                     2.7%**

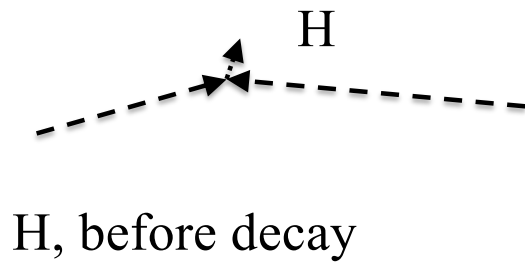
**H → γγ                      0.2%**

$$E=mc^2$$

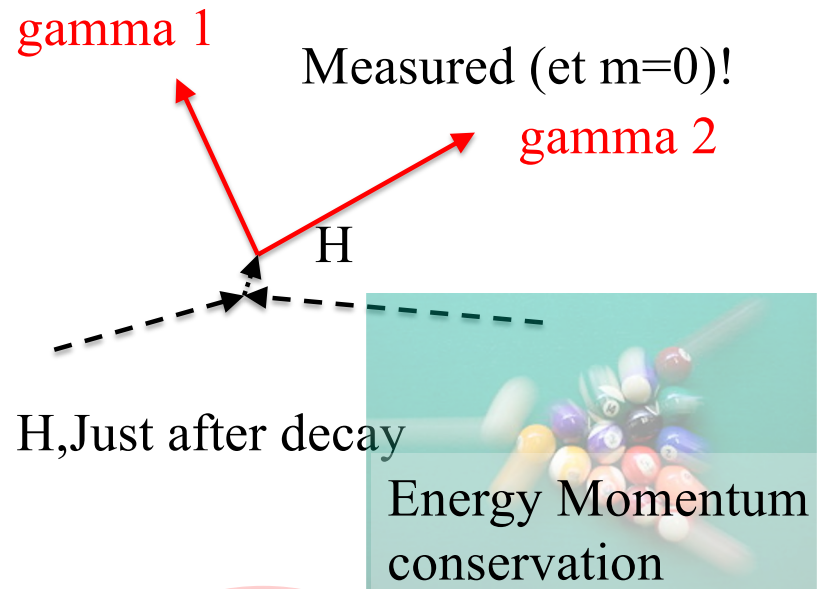


Einstein en 1905

$$E^2=p^2+m^2$$



$$m_H^2 = E_H^2 - p_H^2$$



$$\begin{aligned} E_H &= E_{g1} + E_{g2} \\ \vec{p}_H &= \vec{p}_{g1} + \vec{p}_{g2} \end{aligned} \Rightarrow \text{we get } m_H!$$



$10^{14}$  collisions / year

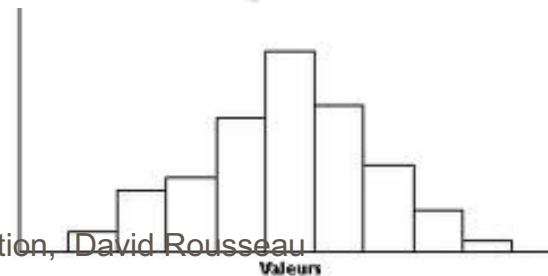
Trigger: fast rough selection

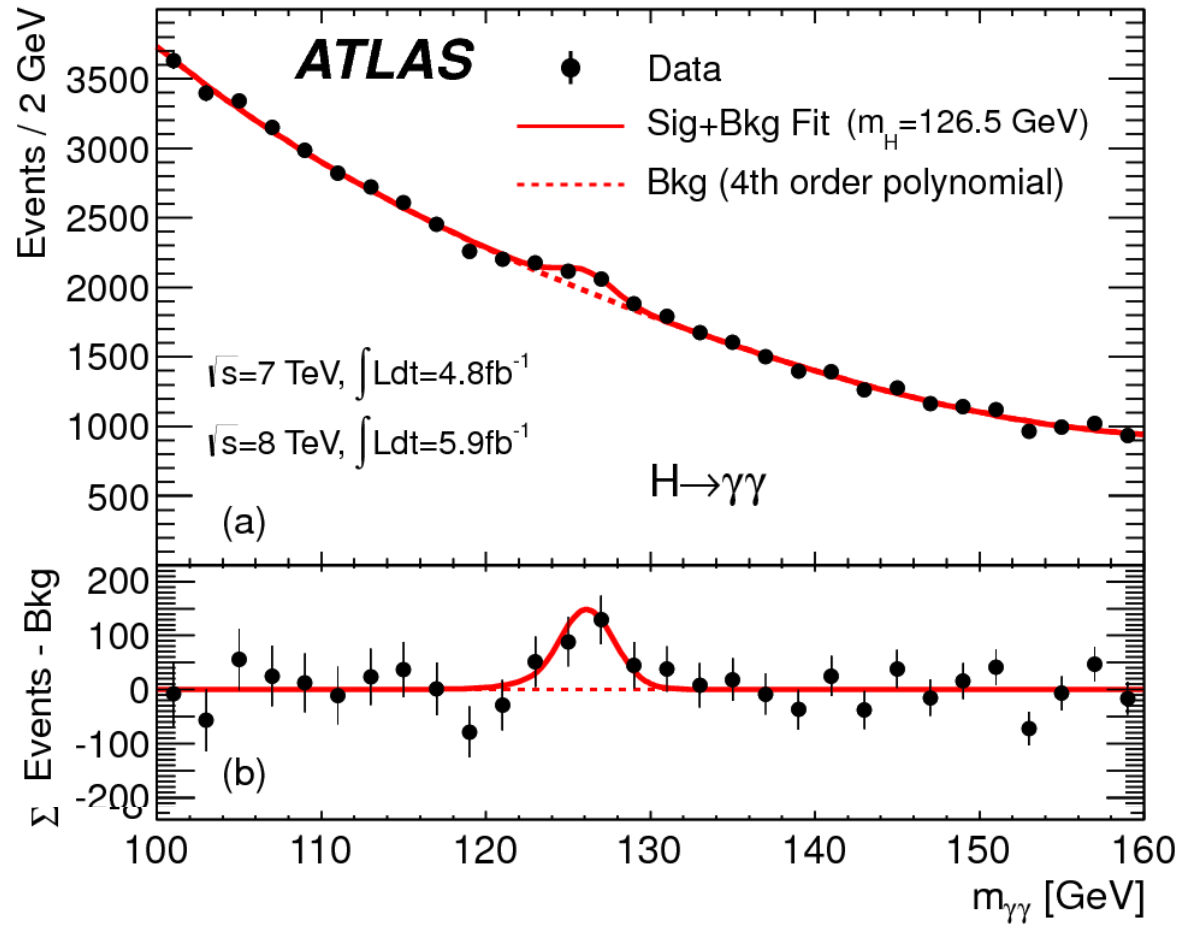
$10^9$  events on disk

Offline selection

$10^5$  events with 2 photons

Mass calculation  
→ histogram



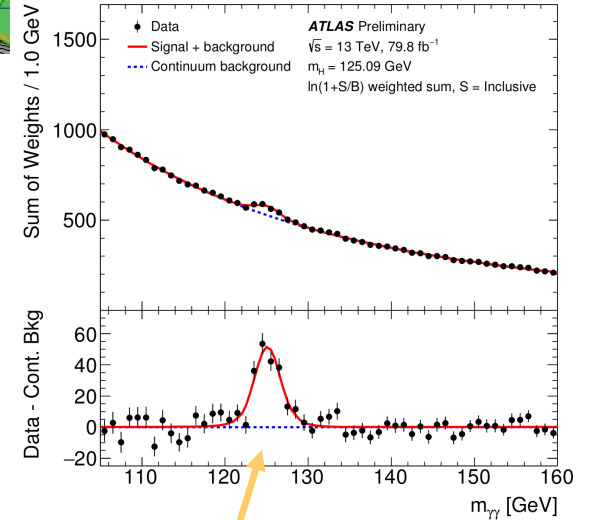
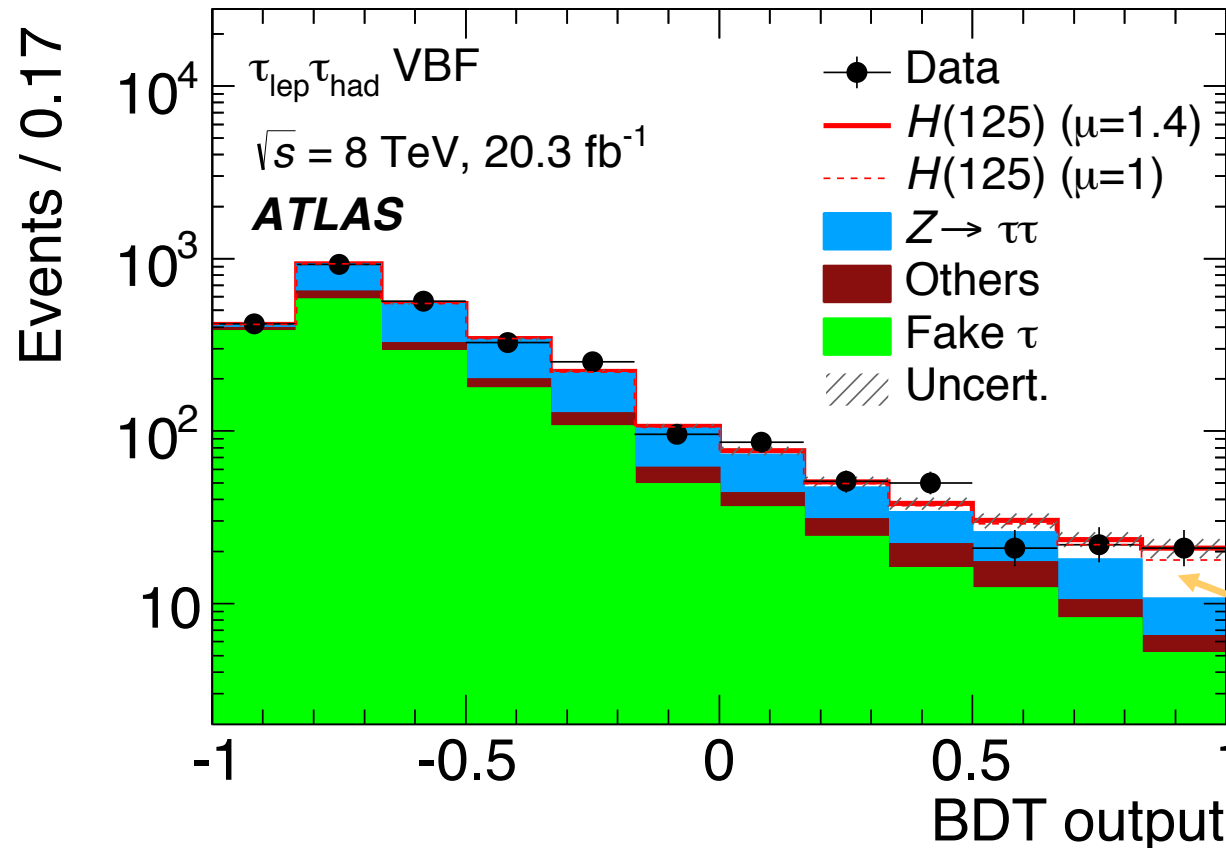




# Classifier in Higgs Physics

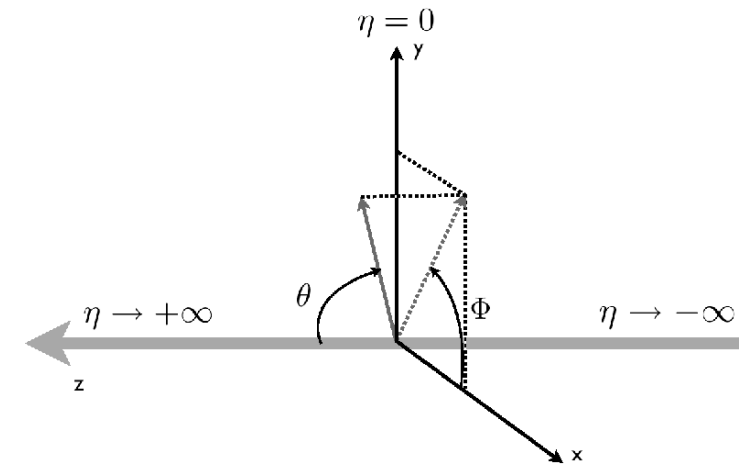
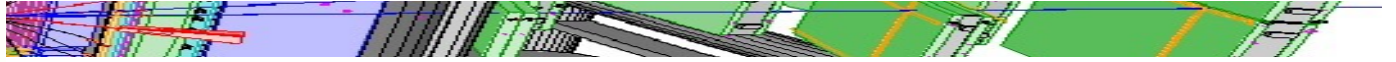
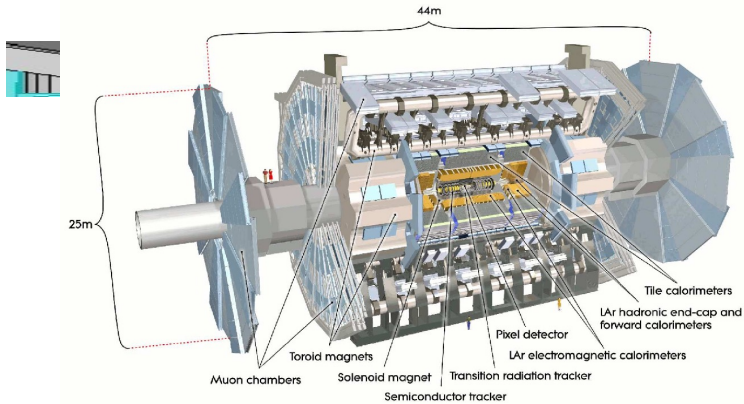
JHEP 04, 117 (2015) 1501.04943

BDT using ~dozen of high level variables



Higgs evidence

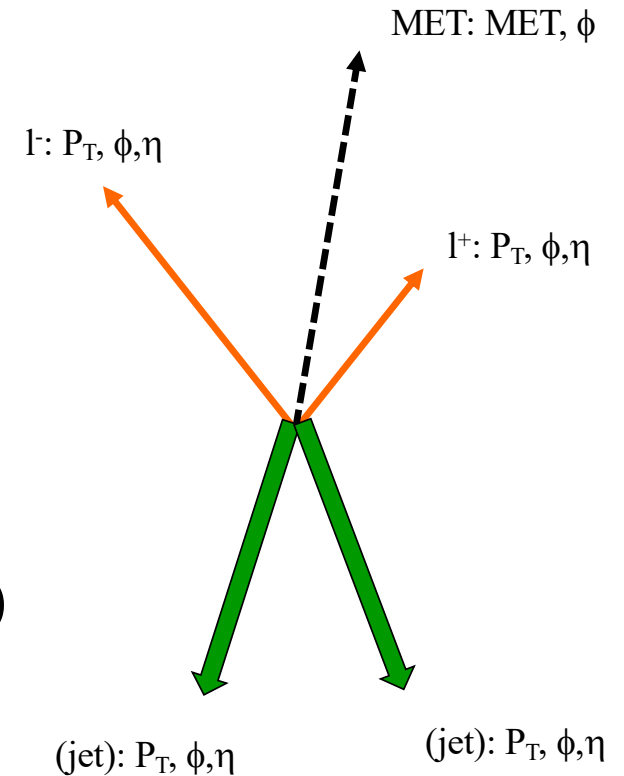
# Coordinates



- ❑  $P$  : momentum
- ❑  $E$  : energy  $= \sqrt{P^2 + M^2} \sim P$  because  $P \gg M$
- ❑ Angles (cylindrical)
  - $\phi$  : azimuth angle  $]-\pi, +\pi]$
  - $\theta$  : dip angle  $[0, +\pi]$
  - $\eta$  : eta, pseudo-rapidity  $= -\log(\tan(\theta/2))$ ,  $\sim [-5, 5]$
- ❑  $P_T$  :  $= P \sin(\theta)$  : transverse momentum
- ❑  $ME_T$  : Missing Transverse Energy  $= -\sum_{\text{all particles}} P_T$  : estimator of transverse momentum of neutrinos

# Tutorial dataset $H \rightarrow WW$

- ❑ One of the Higgs Discovery channel
- ❑  $H \rightarrow W^+(\rightarrow l^+ \nu) W^+(\rightarrow l^- \nu)$ 
  - $\rightarrow$  2 leptons of opposite charge
  - Neutrinos undetected !  $\Rightarrow$  Missing Transverse Energy
  - No invariant mass peak!
- ❑ Background :
  - Other processes leading to  $W^+(\rightarrow l^+ \nu) W^+(\rightarrow l^- \nu)$



# Event weighting



# Absolute normalisation

- ❑ Say you are doing an experiment at the LHC
- ❑ You are looking for a particular type of event
- ❑ How many do you expect ?
- ❑  $N^{\text{prod}} = L * \sigma(\theta)$ 
  - $N^{\text{prod}}$  = number of produced events (before detector effect)
  - $L$  « integrated luminosity » : for example  $138 \text{ fb}^{-1}$  for LHC data taking at 13TeV center of mass energy in 2015-2018 prop number of proton collisions
    - 1 barn is  $10^{-28} \text{ m}^2$
    - proportional to the total number of proton collision
  - $\sigma(\theta)$  : cross-section (in barn), can be calculated from first principles and  $\theta$  parameters from nature (electric charge, higgs boson mass etc...)
- ❑  $N^{\text{exp}} = L * \sigma(\theta) * \varepsilon$ 
  - $N^{\text{exp}}$  = number of expected events (actually counted in the detector).  $N^{\text{exp}}$  is a real number. The actual number of observed event will follow Poisson ( $N^{\text{exp}}$ )
  - $\varepsilon$  : efficiency, probability to detect a produced event (1. if perfect detector).
    - Measured on simulation (calibrated on data)
    - Can be product of many terms like:  $\varepsilon_{\text{trigger}} * \varepsilon_{\text{acceptance}} * \varepsilon_{\text{lepton}} * \dots$

# Simple Event Counting Experiment

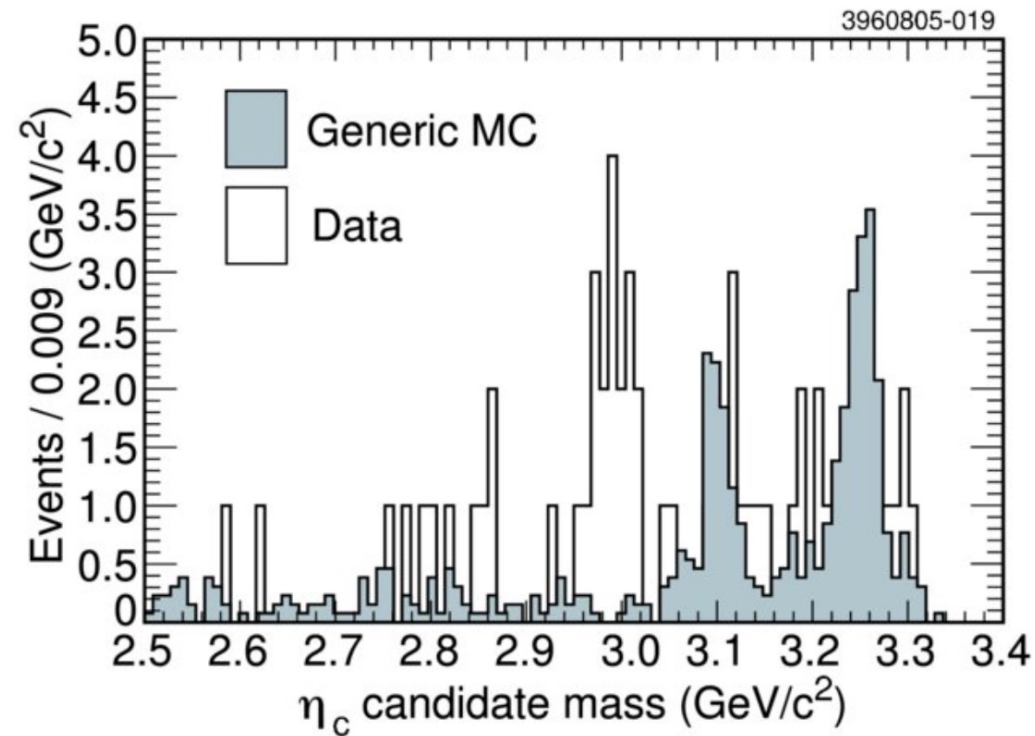
- ❑ One signal, we have some estimate of  $\sigma_{\text{sig}}(\theta)$  but we actually want to assess its existence (exp==expected)
  - $N_{\text{sig}}^{\text{exp}} = s = L * \sigma_{\text{sig}} * \epsilon_{\text{sig}}$
- ❑ one well-known background :
  - $N_{\text{bkg}}^{\text{exp}} = b = L * \sigma_{\text{bkg}} * \epsilon_{\text{bkg}}$
- ❑  $N^{\text{exp}} = s + b$
- ❑ We do the experiment and count  $N^{\text{obs}}$  events
- ❑ Hence we measure:
  - $\sigma_{\text{sig}} = (N^{\text{obs}} - b) / (L * \epsilon_{\text{sig}})$
  - $\sigma_{\text{sig}} = (N^{\text{obs}} - L * \sigma_{\text{bkg}} * \epsilon_{\text{bkg}}) / (L * \epsilon_{\text{sig}})$
- ❑ Key inputs :  $\epsilon_{\text{sig}}$   $\epsilon_{\text{bkg}}$  determined from simulated datasets

# Weights for overall normalisation

- $b = L * \sigma_{\text{bkg}} * \epsilon_{\text{bkg}}$
- We measure on simulation :  $\epsilon_{\text{bkg}} = N_{\text{bkg pass}} / N_{\text{bkg total}}$ 
  - with  $N_{\text{bkg pass}}$ , number of events passing some criteria e.g. momentum of the two photons greater than 25 GeV, BDT score above 0.8 etc...
  - So  $b = L * \sigma_{\text{bkg}} * N_{\text{bkg pass}} / N_{\text{bkg total}}$
- We can define an event weight :  $w_i = L * \sigma_{\text{bkg}} / N_{\text{bkg total}}$
- And then simply:  $b = \sum_{\text{pass}} w_i$
- **Beware** : if I take an unbiased subset of  $x\%$  of dataset, I need to scale the weights by  $1/x$ , so that
- $b^{\text{subset}} = \sum_{\text{pass}}^{\text{subset}} w_i^{\text{subset}} = (1/x) * \sum_{\text{pass}}^{\text{subset}} w_i \sim b$

# Data / MC histo comparison

- Then one can histogram directly any quantity (using the weights) and it is normalised correctly to the real data
- By convention, real data is almost never weighted





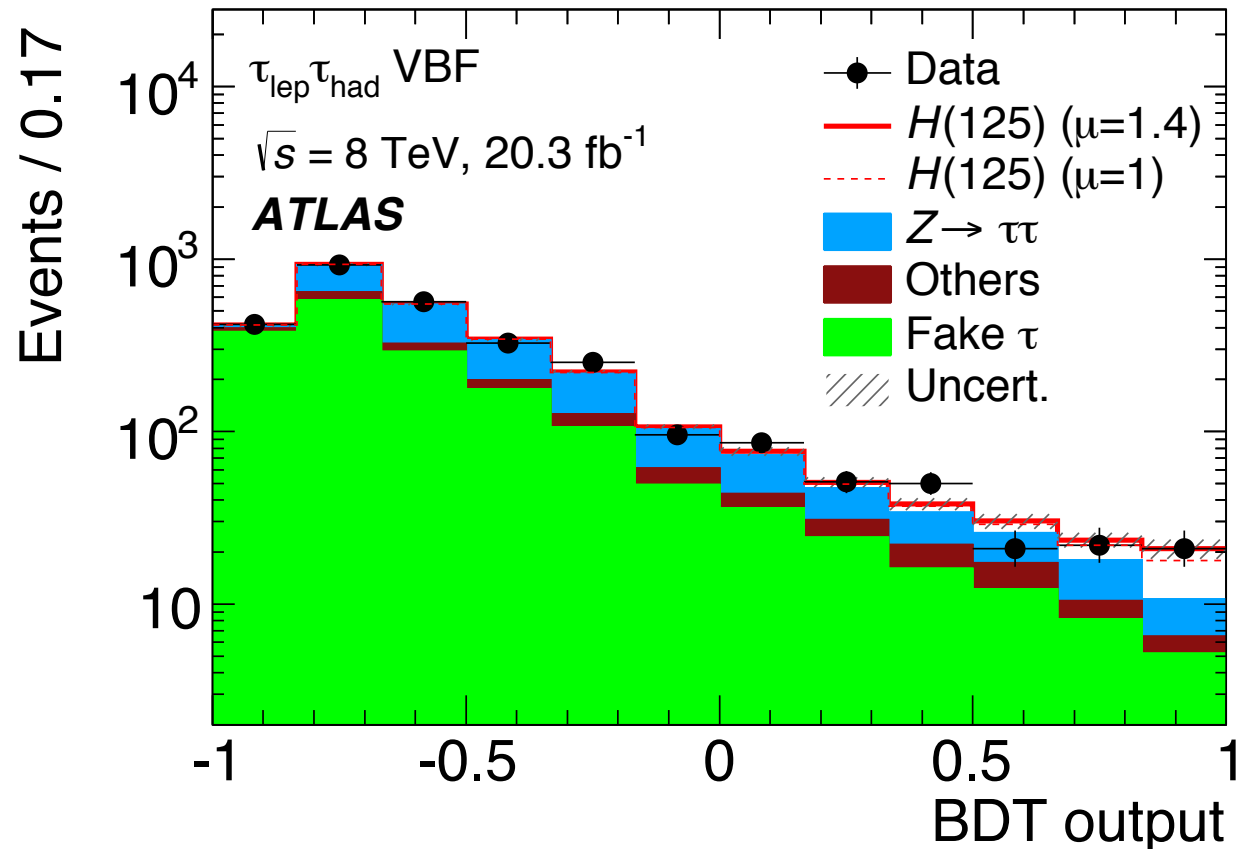
# Case of multiple backgrounds



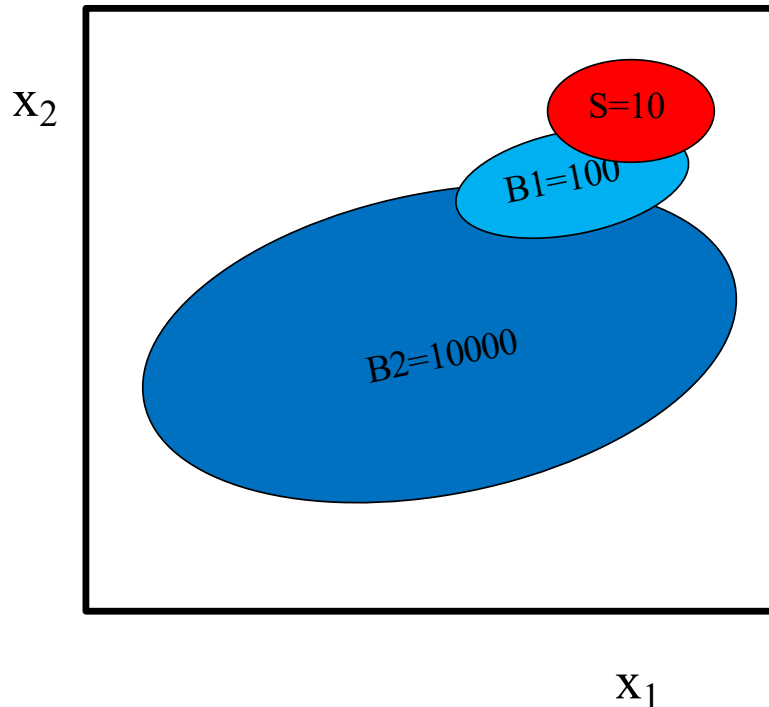
- Now suppose we have two different backgrounds:
- $b = b_1 + b_2 = L * \sigma_{\text{bkg1}} * \epsilon_{\text{bkg1}} + L * \sigma_{\text{bkg2}} * \epsilon_{\text{bkg2}}$
- $b = b_1 + b_2 = L * \sigma_{\text{bkg1}} * N_{\text{pass1}} / N_{\text{total1}} + L * \sigma_{\text{bkg2}} * N_{\text{pass2}} / N_{\text{total2}}$
- If I define the event weight
  - For dataset bkg 1 :  $w_i = L * \sigma_{\text{bkg1}} / N_{\text{total1}}$
  - For dataset bkg 2 :  $w_i = L * \sigma_{\text{bkg2}} / N_{\text{total2}}$
- And then :  $b = \sum_{\text{pass1}} w_i + \sum_{\text{pass2}} w_i$
- So I can merge both datasets and ...
- $b = \sum_{\text{pass 1 and 2}} w_i$
- ditto for many backgrounds... (effective for collaborative work)

# Multiple backgrounds

Such plots can be made directly



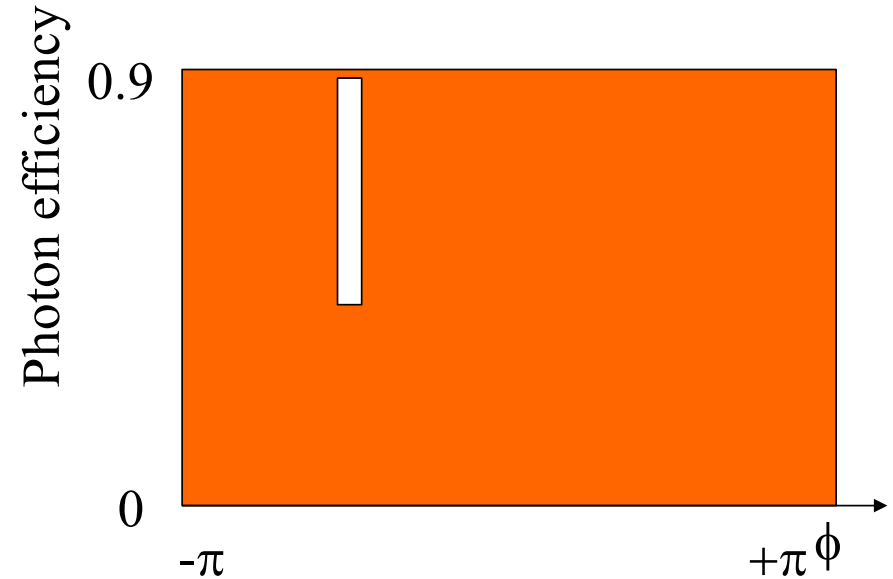
# ML Application



- ❑  $B_1$  is the more annoying background : smaller but more similar to Signal
- ❑ One can increase  $B_1$  dataset size and not  $B_2$ , use weights for proper relative normalisation

# Efficiency correction

- ❑ Suppose, a detector malfunction causes photon efficiency to be halved in a small region of the detector
- ❑ → resimulate everything taking into account this effect ? (== billion of compute hours )

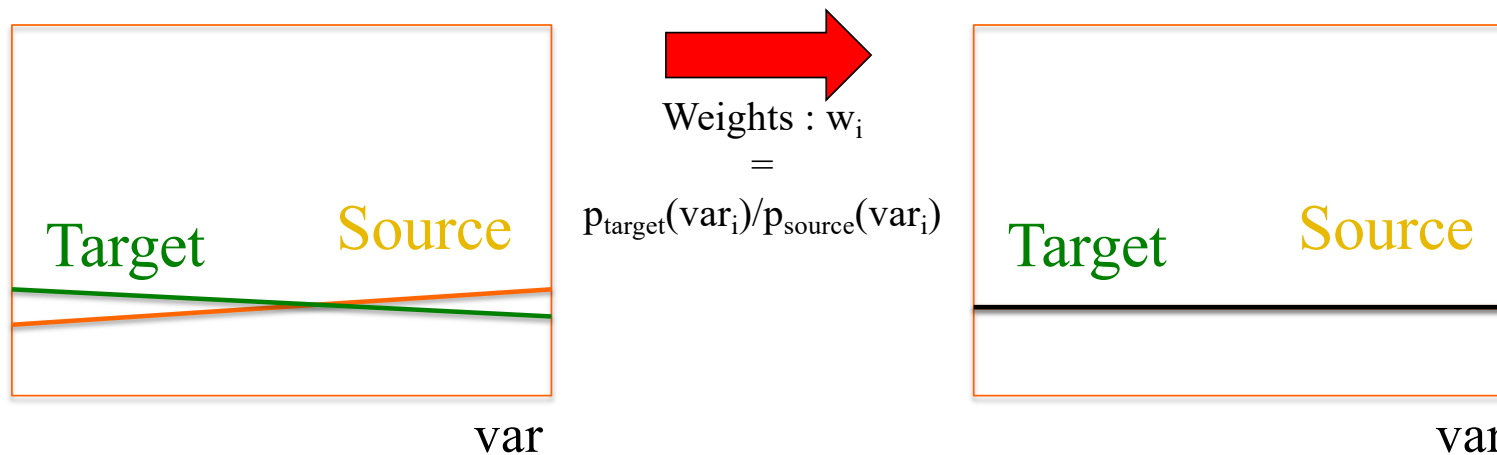


- ❑ No, simply define a new weight:
  - $w_i^{\text{photon}}=0.5$  if one photon in that region, 1 elsewhere
- ❑ Then  $w_i^* = w_i^{\text{photon}}$  ← weights of different sources can be multiplied
- ❑ And voilà, all event counting, all distributions are automagically corrected
- ❑ Particularly handy in large collaborations where many teams work on different aspect of event detection.
  - Each team comes up with its own weight
  - Physicists doing analysis can use (almost) blindly the weights they are given

HEPMLtutorial introduction, David Rousseau

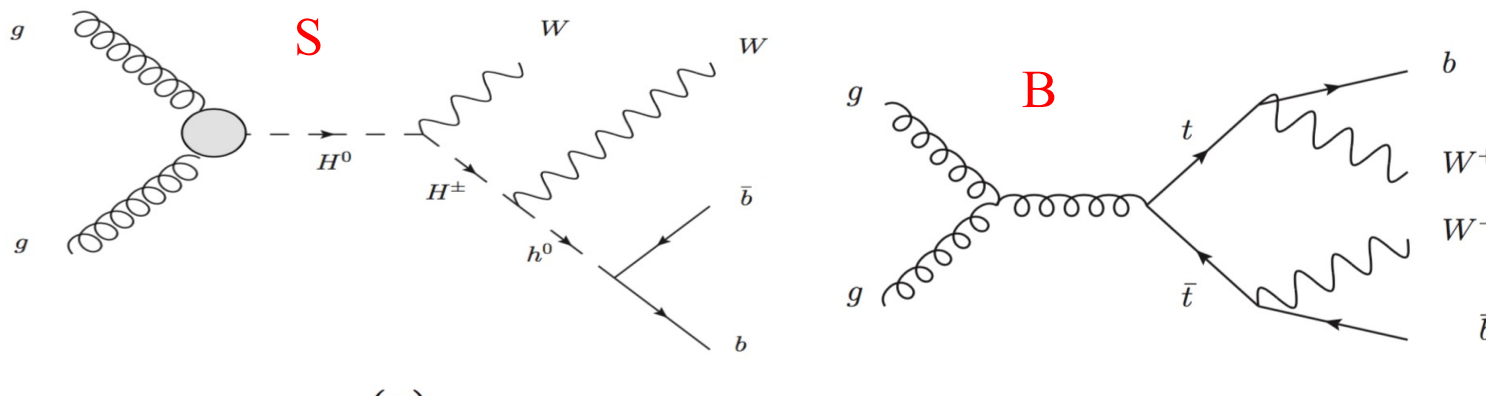
# General Re-weighting

- Suppose a feature distribution is slightly different between a Source (e.g. Monte Carlo) and a Target (e.g. real data)
  - →reweight! ...then use reweighted events



# Event Generator weight

- Generators are software which creates event with multi particle final states with very precise correlation
- Very complex calculations
- → weighted events (weights can even be negative!)



# Uncertainty

## □ When counting unweighted events uncertainty (Poisson case):

- $N_{\text{pass}} = \sum_{\text{pass}} 1$
- $\sigma N_{\text{pass}} = \sqrt{N_{\text{pass}}} = \sqrt{\sum_{\text{pass}} 1}$
- $\sigma N_{\text{pass}} / N_{\text{pass}} = 1 / \sqrt{N_{\text{pass}}}$

## □ For weighted events (Poisson, binomial more involved):

- $N_{\text{pass}} = \sum_{\text{pass}} w_i$
- $\sigma N_{\text{pass}} = \sqrt{\sum_{\text{pass}} w_i^2}$
- $\sigma N_{\text{pass}} / N_{\text{pass}} = \sqrt{\sum_{\text{pass}} w_i^2} / \sum_{\text{pass}} w_i$
- Note : if  $w_i = 1 \rightarrow$  like unweighted
- Note : if I scale all weights by  $a$  :  $\sigma N_{\text{pass}} / N_{\text{pass}}$  is unchanged (as expected)

*power 2!!!*

# Effective number of events

- ❑ Suppose I have 2, and I add 1 ( 50%) in quadrature? What is the percentage increase ? (5 seconds)
- ❑ 12% !  $\sqrt{(2^2+1^2)}/2 = \sqrt{5}/2 = 1.118$
- ❑ Meaning : quadratic sum is dominated by the largest values
- ❑ → having large weights destroy the statistical sensitivity
- ❑ Effective number of events of a sample == number of events of an equivalent weightless sample bringing the same precision
  - $N_{\text{eff}} = \Sigma^2 w_i / \Sigma w_i^2$
  - $N_{\text{eff}}/N = 1/(1 + \text{Var}(x)/\langle x \rangle^2) < 1$
  - The larger the distribution of weights the larger the loss of sensitivity



# Caveats

- ❑ Reweighting applicable for small-ish corrections (otherwise variance of weight too large → loss of sensitivity)
- ❑ Of course cannot “invent” events
- ❑ Not really suitable to rescale variables (if says Energy of a particle is wrong by 2%, better rescale energy directly)
- ❑ Also weights are ~easy to compute if uncorrelated
- ❑ If correlated, can do 2-dimension reweighting more difficult (curse of dimensionality)
- ❑ **Beware** : not all software tools handle weights correctly, most tools do not handle negative weights correctly



var

$$\begin{aligned} \text{Weights : } w_i &= \\ &= \\ &= p_{\text{target}}(\text{var}_i) / p_{\text{source}}(\text{var}_i) \end{aligned}$$