

#### **Determination of the Equation of State from Nuclear Experiments and Neutron Star Observations**

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Based on Nature Astronomy volume 8, 328–336 (2024)





This material is based upon work supported by the U.S. Department of Energy Office of Science under Cooperative Agreement DE-SC0000661, the State of Michigan and Michigan State University. Michigan State University designs and establishes FRIB as a DOE Office of Science National User Facility in support of the mission of the Office of Nuclear Physics.

### **What is Neutron star (NS)?**

■ A dense compact star after a star "die".

- **Density increase with depth of NS.** 
	- NS matter undergoes phase change.
- $\blacksquare$  Material in outer core  $\sim$  core of atomic nucleus. • Both are (more or less) homogeneous nuclear matter.
- **Goal: Use understanding of nucleus from heavy-ion collision to complement astronomical observation for a comprehensive understanding of NS.**

**EXA)** Need nuclear matter EoS to achieve that goal.





Credit: NASA's Goddard Space Flight Center/Conceptual Image Lab

## **What is Equation of state (EoS)**

- Thermodynamic equation that relates state variables. (e.g. Pressure, Volume, etc.)
- EoS tells us properties of the matter. (Incompressibility, heat capacity, etc.)



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#### **What was done before**

#### ▪Phys. Rev. Lett. 121, 161101 (2018)

Gravitational wave observations of NS merger.



#### I Legred, et al, Phys. Rev. D 104, 063003

X-ray observations of pulsers.





#### **But nuclear EoS also describe nucleus**



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#### **What was done before (cont.)**

▪ Huth, Pang et al., Nature 606, 279 (2022)

(D) HIC and Astro combined:



Chiral effective field up to  $1.5n<sub>0</sub>$ 

Heavy-ion collisions

Astronomical observables.

- Rely on theory at low density.
- A more data driven method? Constraints  $< 1.5n<sub>0</sub>$  from terrestrial experiments are readily available.



#### **Overview of constraints**

- **Exercistrial experiments mostly probe low** density region. i.e.  $n < 2n_0$ .
- Astronomical observations are sensitive to higher density region.
- Not shown in 2D plot: Neutron fraction.
	- Atomic nucleus => neutron and proton numbers.
	- NS => mostly neutrons.





### **Extrapolate with respect to neutron fraction**

- **Symmetric nuclear matter (SNM): equal density of proton and neutron**  $(E_{sym}(n))$ **.**
- Neutron matter: Only neutrons.
- **E** Difference between the two: **symmetry energy term**  $S(n)$ .

• 
$$
\Delta E_{neutron excess} = S(n)\delta^2
$$
,  $\delta = \frac{N-Z}{A}$  called **asymmetry.**

**• Terrestrial experiments: low**  $\delta$ **.** 



Meixner, M. et al. arXiv:1303.0064v1 [astro-ph.HE] (2013)

- **Compare results from systems of different**  $\delta$  **to constrain S(n).**
- Cutting edge rare isotope beams => isotopes with more neutrons.



#### **Short summary**

- 3 pieces of information:
	- 1. Terrestrial constraints on symmetric matter.
	- 2. Terrestrial constraints on symmetry energy term.
	- 3. Astronomical observations.



#### **(Some) Terrestrial constraints on symmetric matter.**

Note:  $\rho$  is used to denote baryon density in nuclear physics instead of  $n$ .





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#### **Giant Monopole Resonance (GMR) \*Not shown on the illustration**



$$
\blacksquare \text{Energy loss to GMR} \qquad K_{sat} = 9n_0 \frac{d^2 E_{sat}(n)}{dn^2}
$$

• Ref. [1] shows 
$$
K_{sat} = 231 \pm 5
$$
 MeV

**• Updated analysis from Ref.** [2] shows  $K_{sat} = 230 \pm 40$  MeV

[1]: Youngblood et al., Phys. Rev. Lett. 82, 691 (1999) [2]: Phys. Rev. C 88, 034319



HIC(FOPI), HIC(DLL)

 $\Lambda$ (GW)  $R(1.4M_{\odot})$ 

 $(MeV/fm<sup>3</sup>)$ 

#### **(Some) Terrestrial constraints on symmetry energy term.**



# **Electric dipole polarizability (α<sub>B</sub>)**



- **Experiment: Polarized proton** inelastic scattering at forward angle by RCNP. [1]
- Symmetry force is the restoring force of displaced neutron.
- Constrained  $\alpha_B = 20.1 \pm 6 \ (fm^3/e^2)$ .
- Ref. [2] found correlation  $\alpha_R \Rightarrow S(\rho)$ .
- $\blacksquare$   $S(n = 0.31n_0) = 15.91 \pm 0.99$  MeV.



Fig. from Ref. [2]

[1]: Phys. Rev. Lett. 107, 062502 (2011) [2]: Phys. Rev. C 92, 031301(R) (2015)



NuSym 2024, Slide 15

HIC(FOPI).HIC(DLL)

 $\Lambda$ (GW)  $R(1.4M_{\odot})$  $R(2M_{\odot})$ 

# **Lead Radius Experiment (PREX-II)**



■ Neutron weak charge >> proton weak charge => Neutron radius is measured.

- **Compare to proton radius with EM probe => Neutron skin thickness**  $R_{\text{skin}}$
- Ref. [1] shows  $R_{skin} = 0.283 \pm 0.071$  fm.
- **Ref.** [2] shows correlation  $R_{skin} \Rightarrow P_{sym}(n) = \frac{dS(n)}{dn}$  $d<sub>n</sub>$
- $P_{sym}(2n_0/3) = 2.38 \pm 0.75$  MeV /fm<sup>3</sup>



[1]: Phys. Rev. Lett. 126, 172502 (2021) [2]: Phys. Rev. Lett. 126, 172503 (2021)

ure (MeV/fm<sup>3</sup>)<br>c)<br>c)

HIC(FOPI).HIC(DLL

 $\Lambda$ (GW)<br>R(1.4M<sub>o</sub>)  $R(2M_{\odot})$ 

# **Pion ratio (HIC(π))**



- **RIKEN RIBF produce short-lived\* neutron rich-(poor-)Tin isotopes.**
- $\pi^{+(-)}$  are created indirectly from p-p(n-n) collisions.
- $\pi^{+}/\pi^{-}$  from heavy-ion collision  $\left\langle \text{dcdAD model} \right\rangle$  EoS parameters.
- $\blacksquare$  Ref. [1] shows:  $S(1.45n_0) = 52 \pm 13$  MeV and  $P_{sym}(1.45n_0) = 10.9 \pm 8.7$  MeV/fm<sup>3</sup>.



[1]: Phys. Rev. Lett. 126, 162701 (2021)

HIC(FOPI).HIC(DLL)

Pions detected by SπRIT TPC particle detector

# **Neutron to proton flow ratios (HIC(n/p flow))**

- Reference: Phys. Lett. B 697, 471 (2011), Phys. Rev. C 94, 034608 (2016), Eur. Phys. J. A 54, 40 (2018)
- Experiment: Au + Au at 400 MeV/nucleon.
- **Elliptical flow ratios measured by FOPI-LAND and ASYEOS** is compared to predictions from a QMD model with MDI2 potential.
- $P_{sym}(1.5n_0) = 12.1 \pm 8.4 \text{ MeV/fm}^3.$







# Nucleus have been probed in various ways by nuclear physicists.

# Should make use of them more.



#### Astronomical observations



# **Gravitational wave from neutron star merger.**

- Reference: Phys. Rev. Lett. 119, 161101 (2017), Phys. Rev. Lett. 121, 161101 (2018)
- GW170817:
	- LIGO-VIRGO gravitational wave interferometer detects gravitational-wave signal from merger of two neutron stars.
- Mechanical properties of NS can be inferred.
	- 1. NS deformed due to tidal force.
	- 2. Deformation energy is "stolen" from orbital energy.
	- 3. Orbital period differs from point mass calculation.
- "Toughness" of NS is quantified as dimensionless Tidal deformability Λ
- $\bullet \Lambda(1.4M_{\odot}) = 190^{+390}_{-120}$









U.S. Department of Energy Office of Science **National Science Foundation** Michigan State University

Credit: ESO/L. Calçada. https://www.esa.int/ESA\_Multimedia/Videos/2017/10/Neutron\_star\_merger

# **Pulsars observed with NICER and XMM-Newton**

- Reference: Riley et al. (2019), AJL 887(1), L21. Riley et al. (2021), AJL 918(2), L27. Miller et al. (2019), AJL 887(1), L24. Miller et al. (2021), AJL 918(2), L28.
- Observation: X-ray waveform of pulsar PSR J0030+0451 and PSR J07040+6620 observed using the NICER (and in conjunction with XMM-Newton for the latter).
- X-ray from hot-spots of pulsers inform us of their spacetime parameters such as mass and radius.











Miller et al. (2019), AJL 887(1), L24



# Put everything together.



#### **Impose all constrains on EoS**

- Flexible family of nuclear EoS models: Meta-modelling EoSs.
- Straightforward comparison to terrestrial constraints.
- **Expected astronomical observables (Λ and R) of each EoS are calculated with TOV equation.**





#### **Impose all constrains on EoS (cont.)**





#### **Comparison to other studies**



Huth, Pang et al., Nature 606, 279 (2022)

- Chiral effective field up to  $1.5n_0$
- Heavy-ion collisions
- Astronomical observables.



### **NS cooling by direct URCA process**





#### **Compare to χEFT**





U.S. Department of Energy Office of Science **National Science Foundation** Michigan State University

#### References:

Phys. Rev. Lett. 122, 042501 (2019). URL [https://link.aps.org/doi/10. 1103/PhysRevLett.122.042501.](https://link.aps.org/doi/10.%201103/PhysRevLett.122.042501) Phys. Rev. Lett. 125, 202702 (2020). URL https://link.aps.org/doi/10.1103/PhysRevLett.125.202702. Phys. Rev. C 102, 054315 (2020). URL https://link.aps.org/ doi/10.1103/PhysRevC.102.054315

### **Summary**





### **Future**

- Need constraint on high density part of symmetry energy term.
- More astronomical observation.
- **Even more flexible EoS models.** 
	- Non-parametrize curves (e.g. Gaussian process)?
	- Astrophysicists are already doing it for neutron star EoS (see right).
	- Separate into symmetry energy term and symmetric term?

Replace with two independent GP?





P. Landry and R. Essick, Phys. Rev. D 99, 084049 (2019)



#### **Backup slides**



#### **Other constraints**



# **Heavy-ion collisions (HIC (DLL))**

- Reference: Danielewicz, Lacey, Lynch, Science 298, 1592 (2002).
- Experiment: transverse and elliptical flow from Au + Au between 0.15 10 GeV/nucleon.
- Compare to predictions from transport models for the most probable nuclear EoS.
- $P_{SNM}(2n_0) = 10.1 \pm 3$  MeV/fm<sup>3</sup>.







# **Pion ratio (HIC(π))**

- Reference: Phys. Rev. Lett. 126, 162701 (2021)
- **Experiment: Neutron-rich rare isotopes of Sn on Sn at 270** MeV/nucleons.
- Compare  $\pi^+/\pi^-$  predictions from dcQMD to data.
- $\bullet \pi^{+(-)}$  are created indirectly with p-p(n-n) collisions. Ratios singles out neutron contribution.
- $S(1.45n_0) = 52 \pm 13$  MeV.
- $P_{sym}(1.45n_0) = 10.9 \pm 8.7$  MeV/fm<sup>3</sup>.









# **Heavy-ion collisions (HIC (FOPI))**

- Reference: Le Fevre, Leifels, Reisdorf, Aichelin, Hartnack, Nuclear Physics A 945, 112 (2016)
- Experiment: Au + Au at 0.4 1.5 GeV/nucleon from FOPI.
- Compare observed elliptical flow to IQMD predictions.
- $P_{SNM}(2n_0) = 10.3 \pm 2.8$  MeV.







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**IIC(FOPI)** HIC(DLL)



HIC(FOPI).HIC(DLL)



## **Neutron to proton ratios (HIC(n/p))**

 $1.6$ 

 $1.2$ 

20

40

 $E_{c.m.}$  (MeV)

60

80

- Reference: Phys. Lett. B 799, 135045 (2019)
- Experiment: Neutron to proton yield from 112Sn+112Sn and  $124\text{Sn} + 124\text{Sn}$  collisions at 120 MeV/nucleon.
- Compared to predictions from ImQMD-Sky with Bayesian analysis.
- $\blacksquare S((0.43 \pm 0.05)n_0) = 16.8 \pm 1.2 \text{ MeV/fm}^3.$



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 $112$ Sn+ $112$ Sn





#### ▪ Reference: Phys. Rev. C 102, 122701 (2009), Phys. Lett. B 830,137098 (2022) ■ Experiment: Neutron to proton yield from <sup>112</sup>Sn+<sup>112</sup>Sn and

**Isospin diffusion (HIC(isospin))**

- $124$ Sn +  $124$ Sn and mixed collisions at 50 MeV/nucleon.
- **Example 15 Isospin diffusion:**

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$$
R_i(X) = 2\frac{X - (X_{A+A} + X_{B+B})/2}{X_{A+A} - X_{B+B}},
$$
 (3)

- **...** i.e. How close mixed collision is to symmetric collision.
- X is  $\ln(Y(^7Li)/Y(^7Be)$ ) in this case.
- $\blacksquare S((0.22 \pm 0.07)n_0) = 10.3 \pm 1.0$  MeV/fm<sup>3</sup>.





# **Mass(Skyrme), Mass(DFT)**



#### **Mass(Skyrme)**

- Reference: Phys. Rev. Lett. 111, 232502 (2013), Phys. Rev. C 89, 011307(R) (2014)
- Data: Binding energies, charge radii, singleparticle energies of doubly magic nuclei.
- Compare data with predictions of 18 Skyrme energy density functionals.
- $\mathcal{S}((0.63 \pm 0.03)n_0) = 24.7 \pm 0.8$  MeV.

#### **Mass(DFT)**

- Reference: Phys. Rev. C 82, 024313 (2010), Phys. Rev. C 85, 024304 (2012)
- Data: Masses of nuclei at  $40 \le A \le 264$ .
- **Predictions from density functional theory** (DFT) are fitted to data.
- $\bullet S((0.72 \pm 0.01)n_0) = 25.4 \pm 1.1$  MeV.

TABLE II. Optimized parameter set UNEDF1. Listed are bounds used in the optimization, final optimized parameter values, standard deviations, and 95% confidence intervals.





#### **Isobaric analogue state (ISA), \*Not shown on the illustration**

#### **Isobaric analogue state**



- Reference: Nucl. Phys. A 958, 147 (2017)
- Experiment: Quasielastic change exchange (p,n) reactions, proton and neutron elastic scattering on Ca, Zr, Sn and Pb at 10 – 50 MeV.
- $E_{sym}((0.66 \pm 0.04)n_0) = 25.4 \pm 1.1$  MeV.



### **Collective flow**

- Collective flow is the degree of asymmetry in the azimuth distribution of reaction fragments with respect to reaction plane, the plane that the perpendicular displacement vector between target and projectile and beam axis span.
- Collisions are rarely head-on, so spectator nucleons often blocks the emission of participant nucleons along reaction plane.
- **If mean field is very repulsive, particles are** promptly ejected. Spectators don't have time to clear the path of emission, resulting in strong asymmetry, vice versa.
- **Flow is quantified with**  $v_1$  **(directed flow) and**  $v<sub>2</sub>$  (elliptical flow) defined as,
	- $\cdot \frac{dN}{d\Phi}$  $\frac{du}{d\Phi} \propto 1 + 2v_1 cos\phi + 2v_2 cos2\phi + \cdots$
- Higher order terms are not constructed due to statistics





#### **Basics of transport models**

• Consider phase space of a single nucleon f(t, r, p). Without collisions, phase space volume won't change. This give rise to the Vlasov equation,

$$
\frac{\partial f}{\partial t} + \frac{dr}{dt} \cdot \frac{\partial f}{\partial r} + \frac{dp}{dt} \cdot \frac{\partial f}{\partial p} = 0
$$

- **EXT** Heuristically, Boltzmann transport equation can be thought of as Vlasov equation with Hamiltonian equation of motion (dr/dt =  $dH/dp$  and  $dp/dt = -dH/dr$ ) and collision terms,  $\partial f$  $\frac{\partial f}{\partial t} +$  $dH$  $\left\langle dp\right\rangle$ ∙  $\partial f$  $\frac{\partial}{\partial r}$  –  $\ddot{d}H$  $\,dr$ ∙  $\partial f$  $\partial p$  $= I_{coll}(f,$  $d\sigma$  $d\Omega$ )
- EoS is embedded in the potential energy part of the Hamiltonian.
- $\bullet$   $d\sigma/d\Omega$  is the in-medium nucleon-nucleon cross-section.
- Solve this equation with Monte Carlo simulation of test particles. Pauli blocking is considered at each time-step.
- An approach to solving it is through Quantum Molecular Dynamics (QMD) simulation.



### **Two implementations of QMD models**

- ImQMD-Sky model by Yingxun Zhang in 2003 (Y. Zhang, M. Tsang, Z. Li, and H. Liu, Physics Letters B **732**, 186 (2014)).
	- The mean-field takes the form of Skyrme interaction, a family of EoS that is commonly used in nuclear physics.
	- The in-medium cross section is formulated as  $\sigma^{med} = \left(1 \frac{\eta \rho}{\sigma}\right)$  $\rho_0$  $\sigma^{free}$ , where η is a free parameter.
	- This code does not produce pions.
- dcQMD model by Dan Cozma in 2019 (M. Cozma, Physics Letters B **700**, 139 (2011)).
	- Allows the isospin-dependent potential of nucleons to be different from that of  $\Delta(1231)$  resonance. This is important for pion calculation as it was shown that total pion yield is only accurately reproduced if the potential of Δ(1231) resonance is varied.
	- Also considers total energy balance due to in-medium potential in a collision, which has a pronounced effect in its sensitivity to pion observables.



#### **Coalescence**

- Transport models can only propagate nucleons. Most do not simulate how nucleons merge to form isotopes before final emission.
- *Coalescence*, or the after burner, takes the final nucleon distribution from QMD models and group nucleons into isotopes. Usually nucleons that are within some threshold distances in phase space from each other are grouped into isotopes.
- This is *unreliable* as physical coalescence involves multi-body correlations that are not well understood. *Difficult to calculate it right.*
- **Therefore the predicted spectra for light fragments are not reliable. To circumvent this difficulty,** we construct observables that are less sensitive to coalescence process,
	- 1. Examine particles that do not form isotopes (e.g. pions).
	- 2. Take ratios of similar observables or same observable from different reactions such that effects of coalescence cancels out.
	- 3. Sum up all constituent protons from all the emitted fragments to recover the final nucleon distribution before coalescence.



## **Terminology in this presentation**

- Impact parameter **b** is the perpendicular distance between projectile and target.
- $b_{\text{max}} = 7.5$  fm

url:https://upload.wiki media.org/wikipedia/c ommons/0/0c/Impctpr mtr.png

- EoSs are expanded around  $x = (\rho \rho_0)/3\rho_0$ in a Taylor series,  $(\rho_0=$ saturation density)
	- E(symmetric matter) =  $E_{sat} + K_{sat}x^2 + Q_{sat}x^3 + Z_{zat}x^4 +$ …
	- $S(\rho) = S_0 + Lx + K_{sym}x^2 + Q_{sym}x^3 + Z_{sym}x^4 + ...$
	- Subscript of "sat" refers to E(symmetric matter).
	- Subscript of "sym" refers to symmetry energy term.
- **E**ffective-mass splitting,

$$
\Delta m_{np}^* = \frac{m_n^* - m_p^*}{m_N}
$$



 $\mathsf b$ 

J. Estee, et al., Phys. Rev. Lett. 126, 162701 (2021).

# **Pion spectra ratio**



- Effects that acts similarly on different pions are cancelled out to eliminate sensitivity on effects that are not being considered here.
- Symmetry energy effects are magnified due to symmetry forces acting on  $\pi$ . and  $\pi$ <sup>+</sup> with opposite sign.
- Systematic uncertainties due to detector errors (if any) are cancelled out in the division.
- $**5**$  **= 2.1 fm, pions are generated mostly from central collisions.**

#### ▪ Configuration of dcQMD:

- Best fitted pion and delta potential are used.
- Only L and  $\Delta m_{np}/\delta$  are allowed to vary. Other parameters are fixed to values from previous studies.
- K<sub>sat</sub> = 250 MeV, Q<sub>sat</sub> = -350 MeV, K<sub>svm</sub> = -488 + 6.728L and  $S(0.67\rho_0)$  = 25.5 MeV
- L is 1<sup>st</sup> derivative, K is 2<sup>nd</sup> derivative, Q is 3<sup>rd</sup> derivative. "Sat" for symmetric matter and "sym" for symmetry energy term.





### **Results of the comparison**

- Only spectra at  $p_T > 200$  MeV/c are compared as low energy effects, such as Coulomb interaction, diminish at high momentum. The cut isolates the effect of symmetry energy.
- Chi-square analysis is performed to constraint nuclear EoS parameters.
- Without constraint on  $\Delta m_{np}^*/\delta$ , we have L = 79.9 +/- 37.6 MeV and  $S_0 = 35.5 + (-2.9 \text{ MeV})$ .
- The result is a correlation between L and Δ $m_{np}^*/\delta$ . If constraint on Δ $m_{np}^*/\delta$  is improved, L will be better constrained.
- Pion results are the focus of Justin Estee's thesis. My work tighten his constraint by incorporating light fragment observables.





### **Construction of EoSs**

- Outer crust: Crustal EoS from (G. Baym, 1971)
- **. Inner crust: Spline connection between outer and** inner crust.
- Outer core: Beta-equilibrated meta-modelling EoS.
- **. Inner core: Exist only if speed of sound of outer**  $core = c$ . At that density, switch to EoS with constant speed of sound  $= c$ .



#### Credit: NASA's Goddard Space Flight Center/Conceptual Image Lab



#### **All experimental/observational constraints**



TABLE I. List of Constraints used in Bayesian Analysis. The NICER constraints marked with \* are given half weight



#### **Posterior**







#### **Implications on neutron stars**

