

Constraints on EoS from study of light clusters and strange hadrons in heavy-ion collisions

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&

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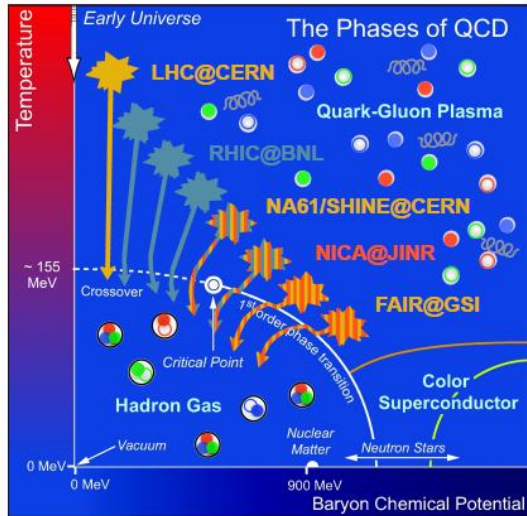


**XIIth International Symposium on Nuclear Symmetry
Energy (NUSYM 2024),
Caen, France, 9-14 September, 2024**

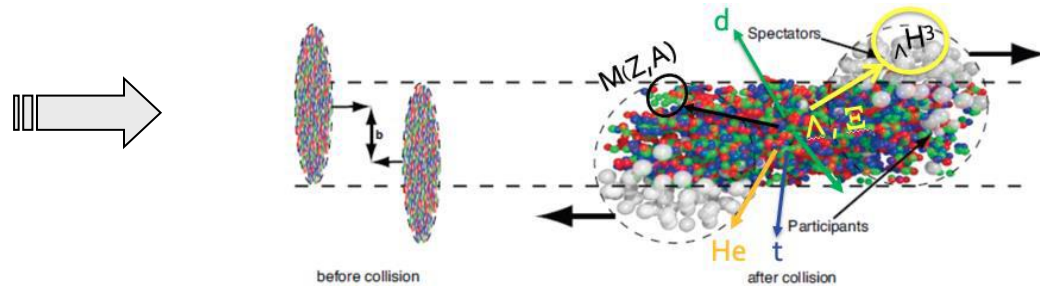


Cluster production in heavy-ion collisions

The phase diagram of QCD

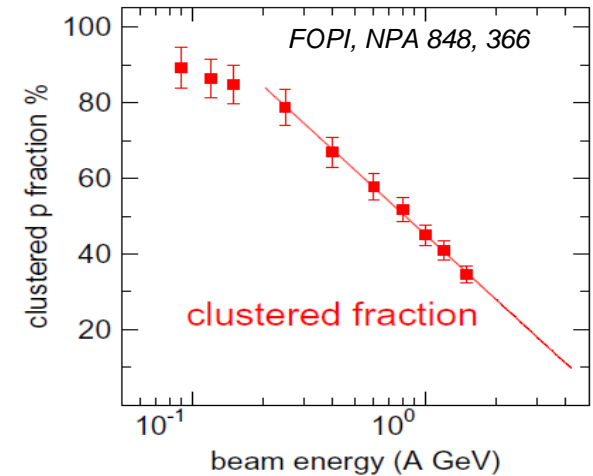


Clusters and (anti-) hypernuclei are observed experimentally at all energies

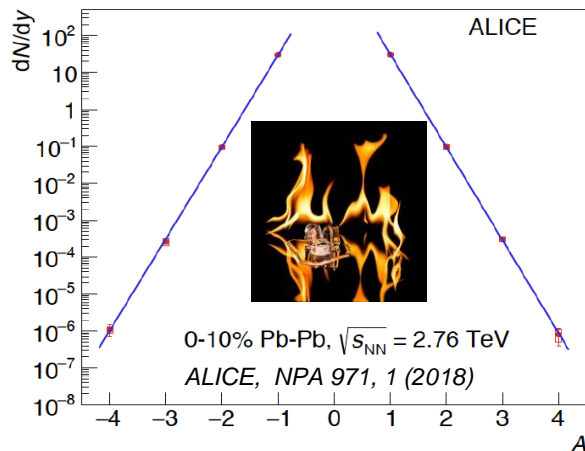


Clusters are very abundant at low energy

High energy HIC: 'Ice in a fire' puzzle: how the weakly bound objects can be formed and survive in a hot environment?!



Au+Au, central, midrapidity



Mechanisms of cluster formation in strongly interacting matter are not well understood

Modeling of cluster and hypernuclei formation

Existing models for cluster formation:

□ **statistical model:**

- assumption of thermal equilibrium

In order to understand the **microscopic origin** of cluster formation one needs a realistic model for the **dynamical time evolution** of the HIC

Dynamical Models:

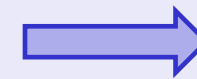
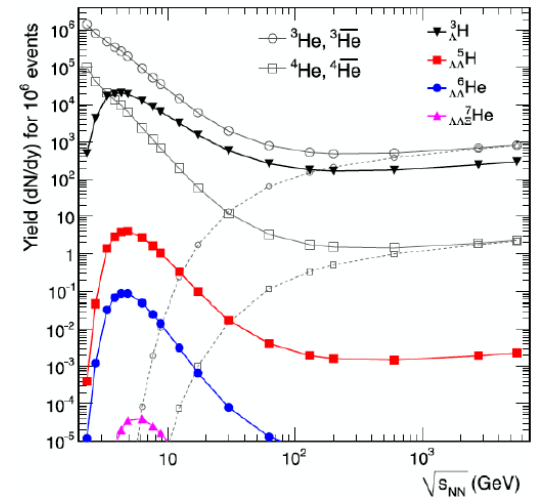
I. cluster formation by **coalescence mechanism**

at a freeze-out time by coalescence radii in coordinate and momentum space

II. **dynamical modeling of cluster formation** based on interactions within microscopic **transport models:**

- **potential' mechanism** - via potential NN (NY) interactions (applied during the whole reaction time of HIC)
- **'kinetic' mechanism** - by hadronic scattering (hadronic reactions as $NNN \rightarrow dN$; $NN\pi \rightarrow d\pi$, $NN \rightarrow d\pi$)

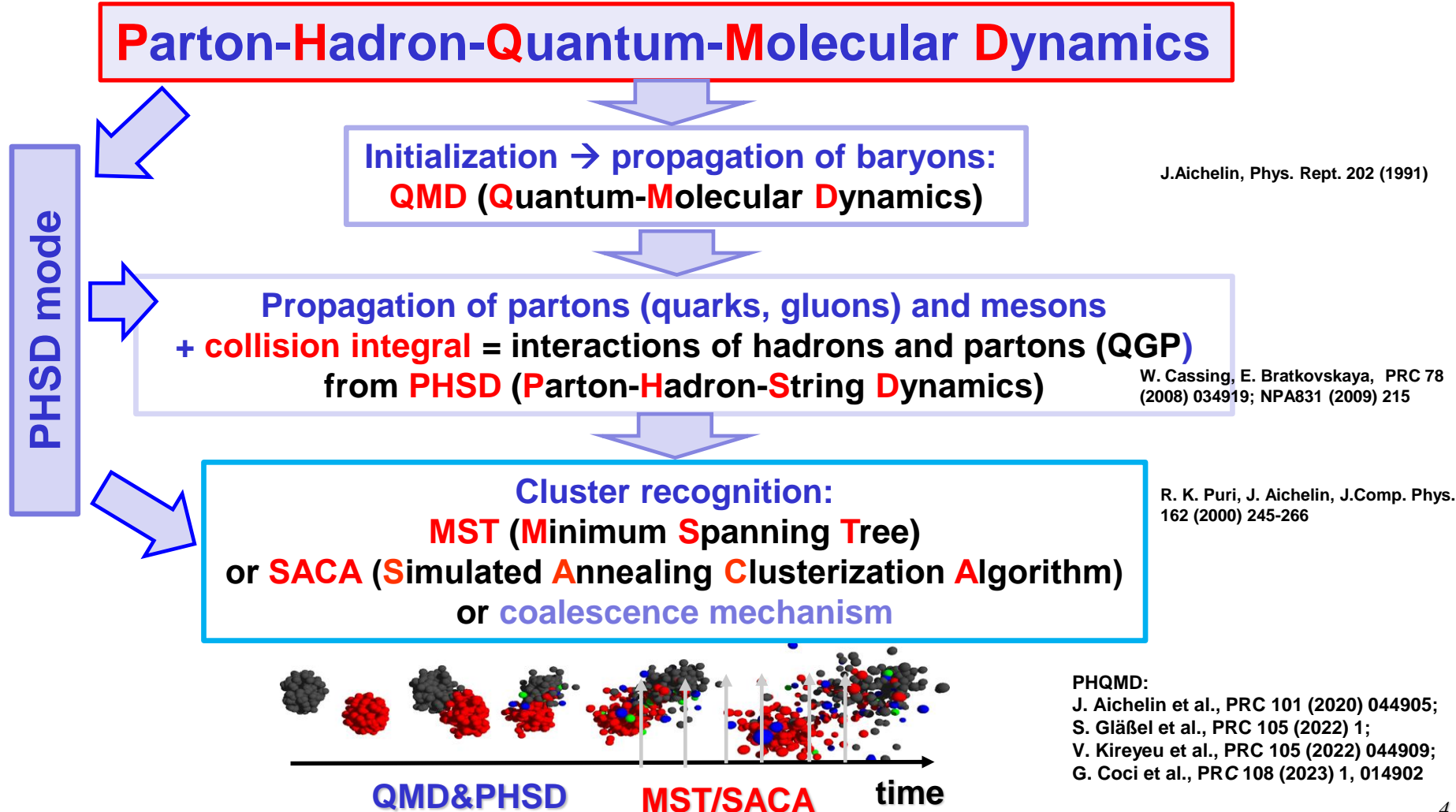
A. Andronic et al., PLB 697, 203 (2011)





PHQMD: a unified **n-body microscopic transport approach** for the description of heavy-ion collisions and **dynamical cluster formation** from low to ultra-relativistic energies

Realization: combined model **PHQMD = (PHSD & QMD) + (MST/SACA)**



QMD propagation (EoM)

□ **Generalized Ritz variational principle:** $\delta \int_{t_1}^{t_2} dt \langle \psi(t) | i \frac{d}{dt} - H | \psi(t) \rangle = 0.$

Many-body wave function:

$$\psi(t) = \prod_{i=1}^N \psi(\mathbf{r}_i, \mathbf{r}_{i0}, \mathbf{p}_{i0}, t)$$

Ansatz:

Gaussian trial wave function (with width L) centered at r_{i0}, p_{i0}

$$\psi(\mathbf{r}_i, \mathbf{r}_{i0}, \mathbf{p}_{i0}, t) = C e^{-\frac{1}{4L} \left(\mathbf{r}_i - \mathbf{r}_{i0}(t) - \frac{\mathbf{p}_{i0}(t)}{m} t \right)^2} \cdot e^{i \mathbf{p}_{i0}(t) (\mathbf{r}_i - \mathbf{r}_{i0}(t))} \cdot e^{-i \frac{\mathbf{p}_{i0}^2(t)}{2m} t}$$

$L=4.33 \text{ fm}^2$

□ **Equations-of-motion (EoM) for Gaussian centers in coordinate and momentum space:**

$$\dot{r}_{i0} = \frac{\partial \langle H \rangle}{\partial p_{i0}} \quad \dot{p}_{i0} = - \frac{\partial \langle H \rangle}{\partial r_{i0}}$$

Many-body

Hamiltonian: $H = \sum_i H_i = \sum_i (T_i + V_i) = \sum_i (T_i + \sum_{j \neq i} V_{i,j})$

[Aichelin, Phys. Rept. 202 (1991)]

□ **Nucleon-nucleon local two-body potential:**

$$V_{ij} = V(\mathbf{r}_i, \mathbf{r}_j, \mathbf{r}_{i0}, \mathbf{r}_{j0}, \mathbf{p}_{i0}, \mathbf{p}_{j0}, t) = V_{\text{Skyrme loc}} + \boxed{V_{\text{mom}}} + V_{\text{Coul}}$$

momentum dependent potential

➔ **Single-particle potential $\langle V \rangle$:**

1) Skyrme potential ('static') :

$$\langle V_{\text{Skyrme}}(\mathbf{r}_{i0}, t) \rangle = \alpha \left(\frac{\rho_{\text{int}}(\mathbf{r}_{i0}, t)}{\rho_0} \right) + \beta \left(\frac{\rho_{\text{int}}(\mathbf{r}_{i0}, t)}{\rho_0} \right)^\gamma$$

with relativistic extended interaction density:

$$\rho_{\text{int}}(\mathbf{r}_{i0}, t) \rightarrow C \sum_j \left(\frac{4}{\pi L} \right)^{3/2} e^{-\frac{4}{L} (\mathbf{r}_{i0}^T(t) - \mathbf{r}_{j0}^T(t))^2} \times e^{-\frac{4\gamma^2}{L} (\mathbf{r}_{i0}^L(t) - \mathbf{r}_{j0}^L(t))^2}$$

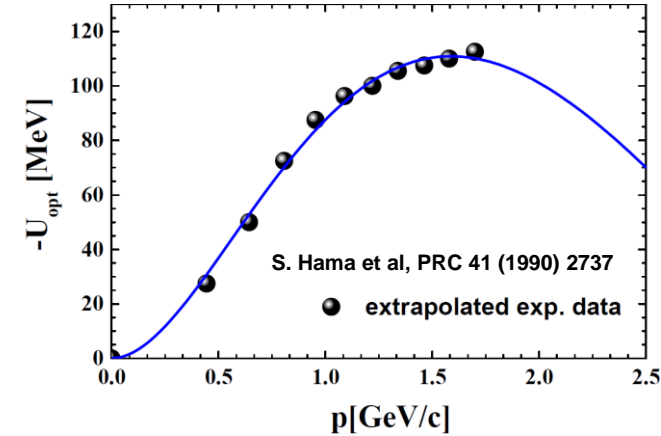
2) Momentum dependent potential :

$$V(\mathbf{r}_1, \mathbf{r}_2, \mathbf{p}_{01}, \mathbf{p}_{02}) = (a\Delta p + b\Delta p^2) \exp[-c\sqrt{\Delta p}] \delta(\mathbf{r}_1 - \mathbf{r}_2)$$

$$\Delta p = \sqrt{(\mathbf{p}_{01} - \mathbf{p}_{02})^2}$$

Parameters **a, b, c** are fitted to the "optical" potential (Schrödinger equivalent potential U_{SEP})

extracted from elastic scattering data in pA: $U_{SEQ}(p) = \frac{\int^{p_F} V(\mathbf{p} - \mathbf{p}_1) d^3p_1}{\frac{4}{3}\pi p_F^3}$



❖ In infinite matter a potential corresponds to the EoS:

$$E/A(\rho) = \frac{3}{5}E_F + V_{Skyrme\ stat}(\rho) + V_{mom}(\rho)$$

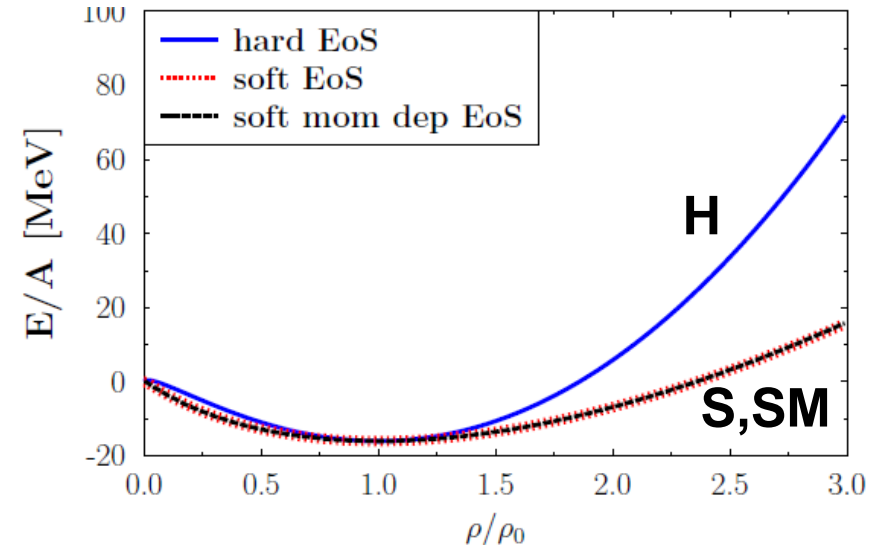
$$V_{Skyrme} = \alpha \frac{\rho}{\rho_0} + \beta \frac{\rho^\gamma}{\rho_0}$$

compression modulus **K** of nuclear matter:

$$K = -V \frac{dP}{dV} = 9\rho^2 \frac{\partial^2(E/A(\rho))}{(\partial\rho)^2} \Big|_{\rho=\rho_0}$$

E.o.S.	α [MeV]	β [MeV]	γ	K [MeV]
S	-383.5	329.5	1.15	200
H	-125.3	71.0	2.0	380
SM	-478.87	413.76	1.10	200
a [MeV ⁻¹] b [MeV ⁻²] c [MeV ⁻¹]				
236.326	-20.73	0.901		

EoS for infinite cold nuclear matter at rest



Mechanisms for cluster production in PHQMD:

**I. potential interactions
(recongized by MST)**

&

II. kinetic reactions

III. Coalescence (to compare with I+II)



I. Cluster recognition: Minimum Spanning Tree (MST)

R. K. Puri, J. Aichelin, J.Comp. Phys. 162 (2000) 245-266

The **Minimum Spanning Tree (MST)** is a **cluster recognition** method applicable for the (asymptotic) **final states** where coordinate space correlations may only survive for bound states.

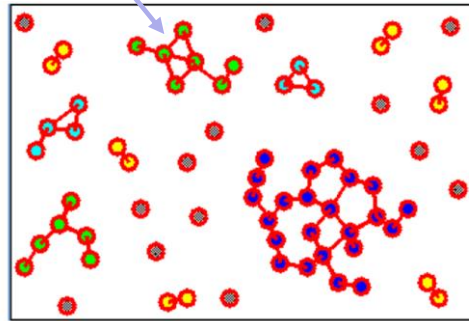
The MST algorithm searches for **accumulations of particles in coordinate space**:

1. Two particles are 'bound' if their **distance in the cluster rest frame** fulfills

$$|\vec{r}_i - \vec{r}_j| \leq 4 \text{ fm} \quad (\text{range of NN potential})$$

2. Particle is **bound to a cluster** if it binds with **at least one particle of the cluster**

* Remark: inclusion of an additional momentum cut (coalescence) leads to small changes: particles with large relative momentum are almost never at the same position (V. Kireyeu, Phys.Rev.C 103 (2021) 5)



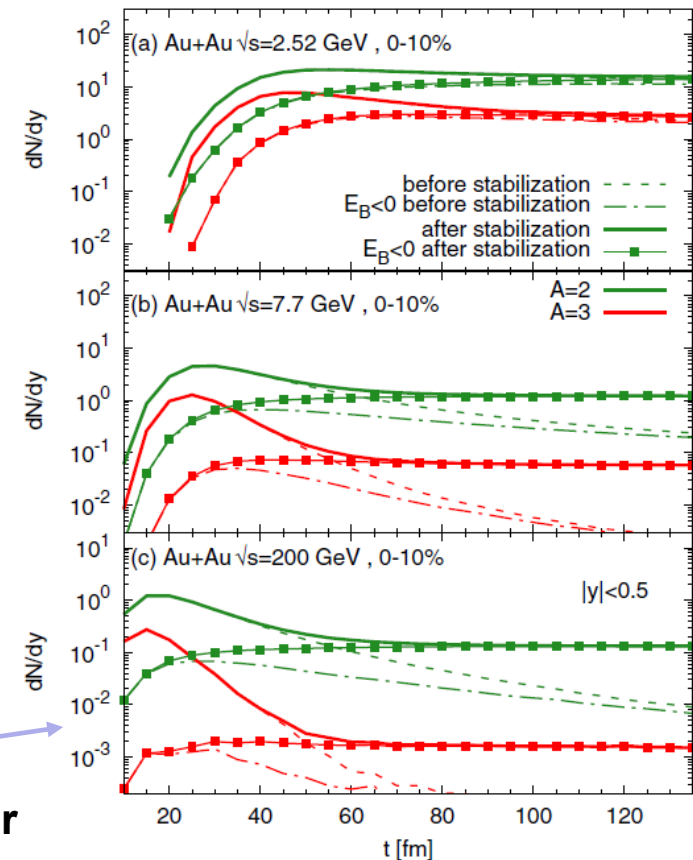
Advanced MST (aMST)

❑ **MST + extra condition: $E_B < 0$**

negative binding energy for identified clusters

❑ **Stabilization procedure** – to correct artifacts of the semi-classical QMD:

recombine the final “lost” nucleons back into cluster if they left the cluster without rescattering



II. Deuteron production by hadronic reactions

“Kinetic mechanism”

- 1) hadronic inelastic reactions $NN \leftrightarrow d\pi$, $\pi NN \leftrightarrow d\pi$, $NNN \leftrightarrow dN$
- 2) hadronic elastic $\pi+d$, $N+d$ reactions

SMASH: D. Oliinychenko et al., PRC 99 (2019) 044907;
 J. Staudenmaier et al., PRC 104 (2021) 034908
 AMPT: R.Q. Wang et al. PRC 108 (2023) 3

- Collision rate for hadron “i” is the number of reactions in the covariant volume $d^4x = dt*dV$
- With test particle ansatz the transition rate for $3 \rightarrow 2$ reactions:

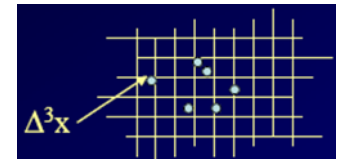
W. Cassing, NPA 700 (2002) 618

$$\frac{\Delta N_{coll}[3 + 4 + 5 \rightarrow 1(d) + 2]}{\Delta N_3 \Delta N_4 \Delta N_5} = P_{3,2}(\sqrt{s})$$

$$P_{3,2}(\sqrt{s}) = F_{spin} F_{iso} P_{2,3}(\sqrt{s}) \frac{E_1^f E_2^f}{2E_3 E_4 E_5} \frac{R_2(\sqrt{s}, m_1, m_2)}{R_3(\sqrt{s}, m_3, m_4, m_5)} \frac{1}{\Delta V_{cell}}$$

Energy and momentum of final particles

2,3-body phase space integrals
 [Byckling, Kajantie]



$$P_{2,3}(\sqrt{s}) = \sigma_{tot}^{2,3}(\sqrt{s}) v_{rel} \frac{\Delta t}{\Delta V_{cell}}$$

→ solved by stochastic method

- Numerically tested in “static” box: PHQMD provides a good agreement with analytic solutions from rate equations and with SMASH for the same selection of reactions
- New in PHQMD: $\pi+N+N \leftrightarrow d+\pi$ inclusion of all possible isospin channels allowed by total isospin T conservation → enhancement of the d production

- $\pi^{\pm,0} + p + n \leftrightarrow \pi^{\pm,0} + d$
- $\pi^- + p + p \leftrightarrow \pi^0 + d$
- $\pi^+ + n + n \leftrightarrow \pi^0 + d$
- $\pi^0 + p + p \leftrightarrow \pi^+ + d$
- $\pi^0 + n + n \leftrightarrow \pi^- + d$

How to account for the **quantum nature of deuteron**, i.e. for

- 1) the **finite-size of d in coordinate space** (d is not a point-like particle) – for in-medium d production
- 2) the **momentum correlations of p and n inside d**

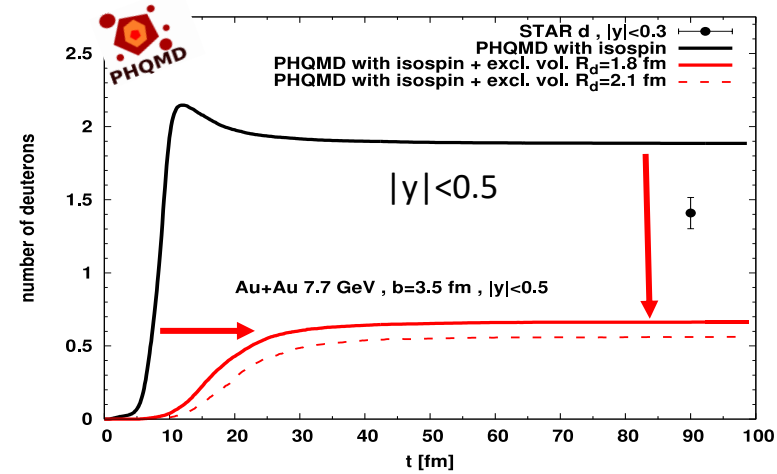
Realization:

1) assume that a deuteron can not be formed in a high density region, i.e. if there are other particles (hadrons or partons) inside the ‘excluded volume’:

Excluded-Volume Condition:

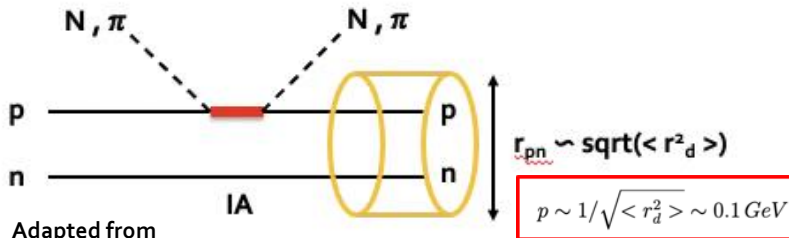
$$|\vec{r}(i)^* - \vec{r}(d)^*| < R_d$$

- ❑ **Strong reduction of d production**
- ❑ **p_T slope is not affected by excluded volume condition**

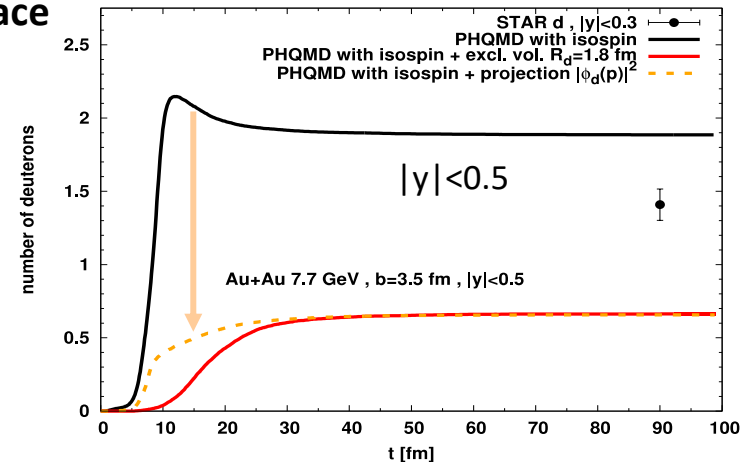


2) QM properties of deuteron must be also in momentum space

→ **momentum correlations of pn -pair**



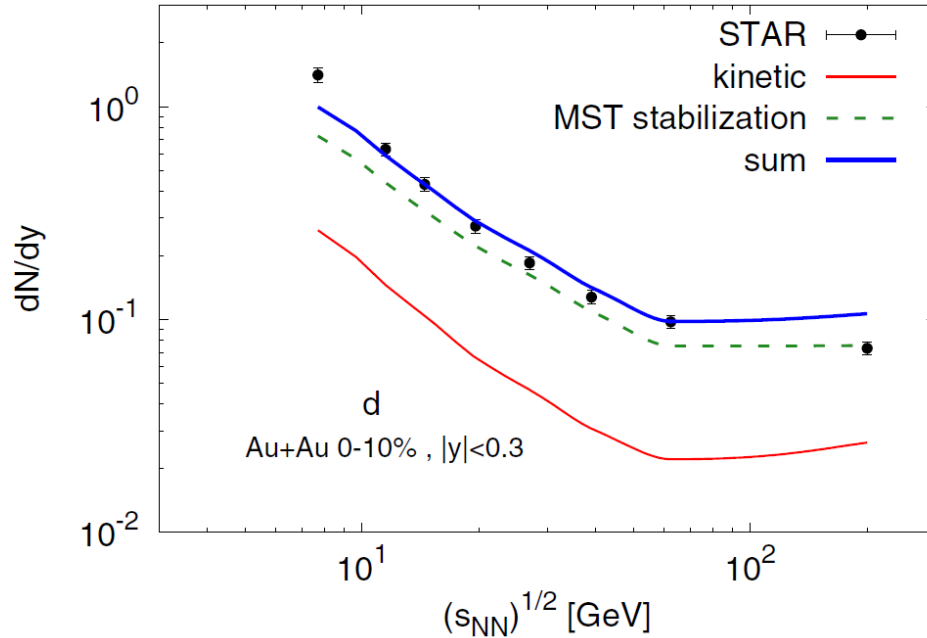
Adapted from
 [Haidelbauer, Uzikov PLB 562(2003)]
 [Hoftiezer et al. PRC23 (1981)]
 Same spirit as AMPT [K.-J. Sun, R. Wang, C.-M. Ko et al., 2106.12742]



- ❑ **Strong reduction of d production by projection on DWF $|\phi_d(p)|^2$**

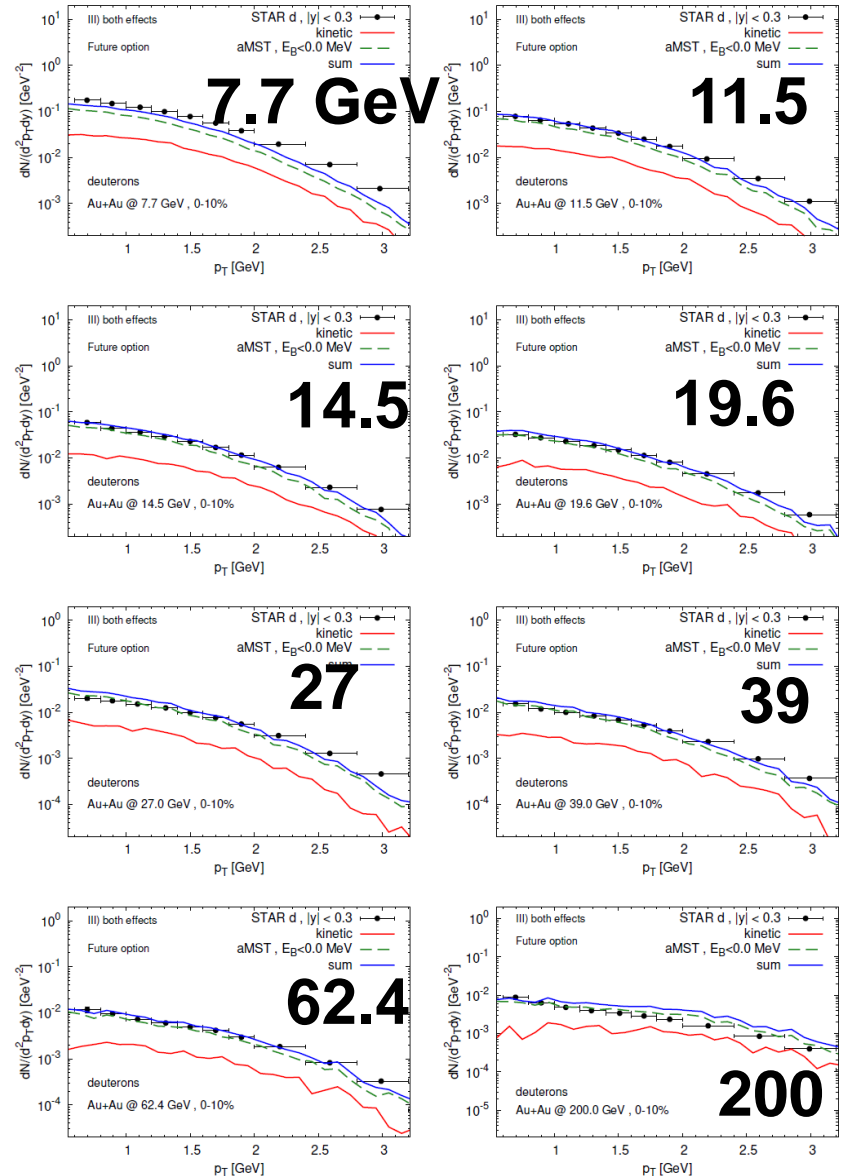
Kinetic vs. potential deuteron production

Excitation function dN/dy of deuterons at midrapidity

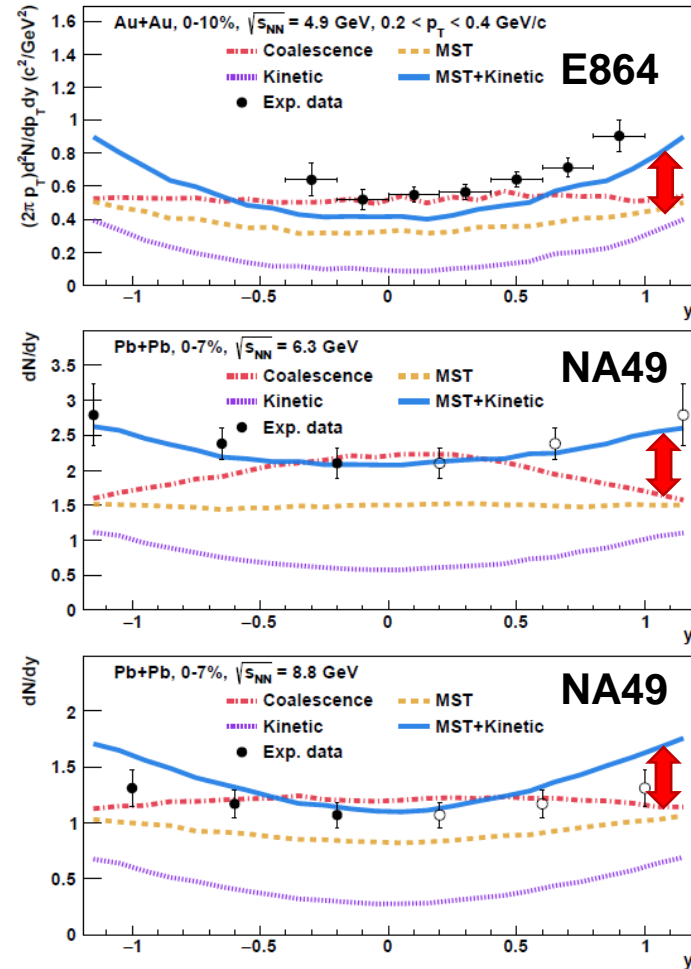


- PHQMD provides a good description of STAR data
- Functional forms of y - and p_T -spectra are slightly different for kinetic and potential deuterons
- The potential mechanism is dominant for d production at all energies!**

p_T – spectra (BES RHIC)

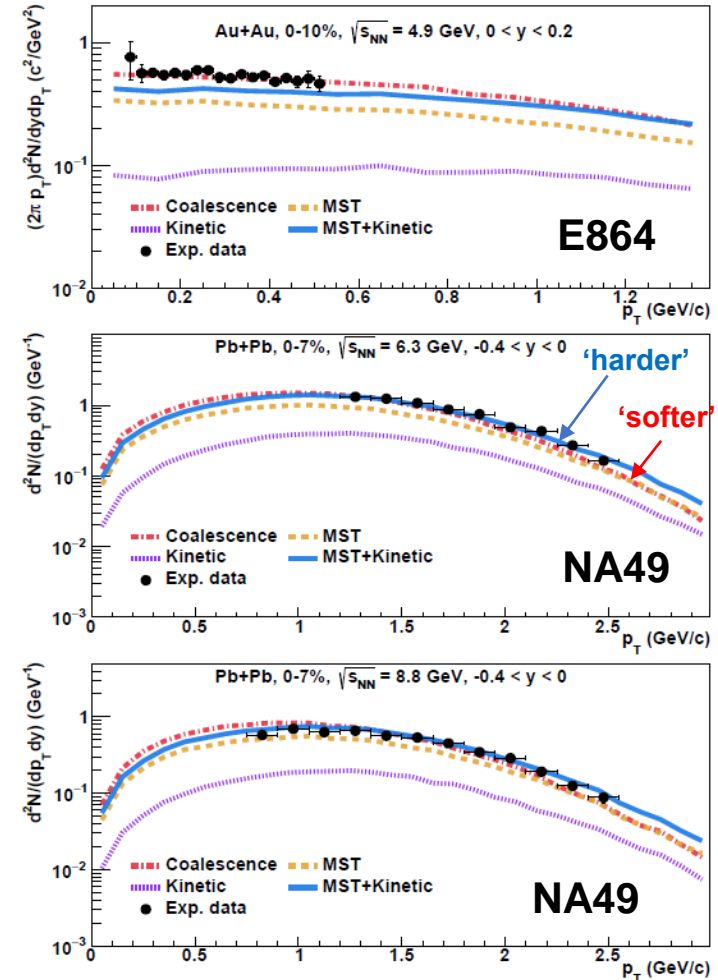


Mechanism for deuteron production: coalescence and MST+kinetic ↔ experimental data



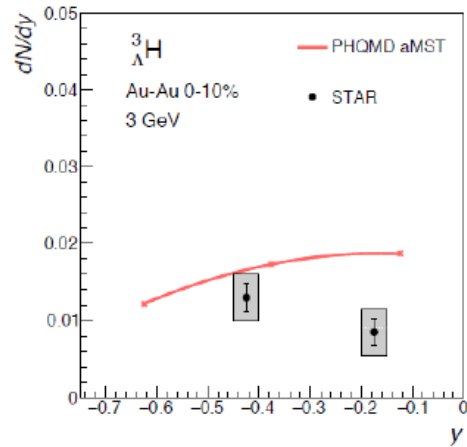
p_T -distributions have a different slope for coalescence/MST+kinetic mechanisms

y -distributions show differences



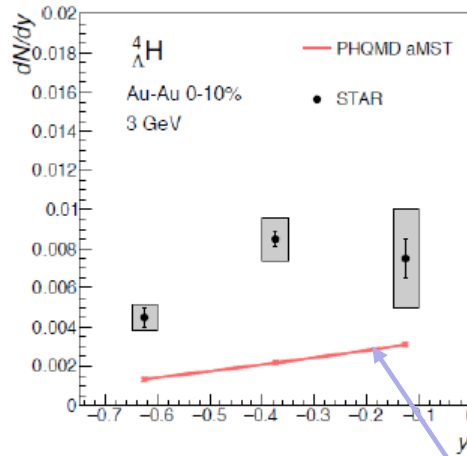
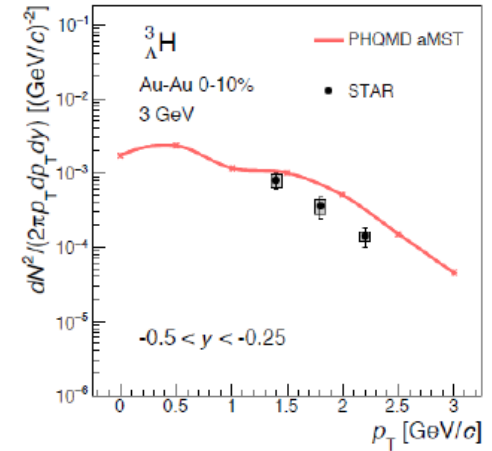
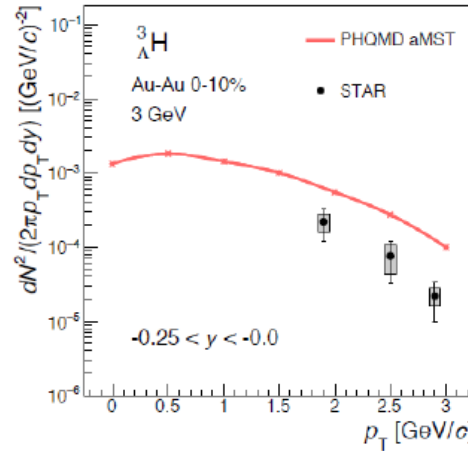
➔ The analysis of the presently available data **points tentatively to the MST + kinetic scenario** but further experimental data are necessary to establish the cluster production mechanism

y – spectra (extrapolated)

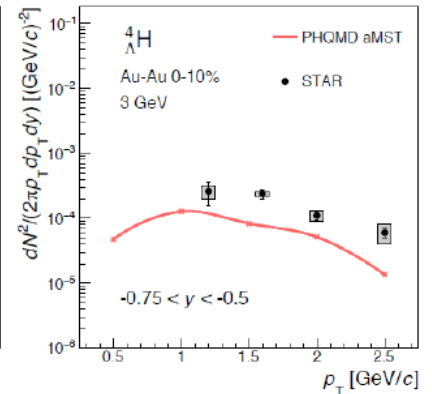
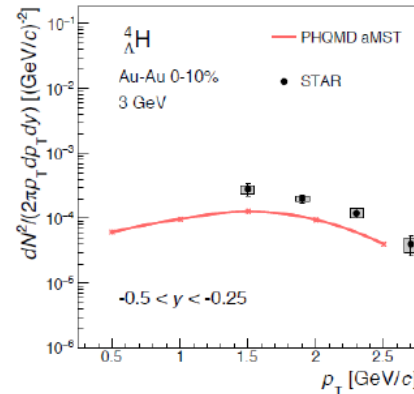
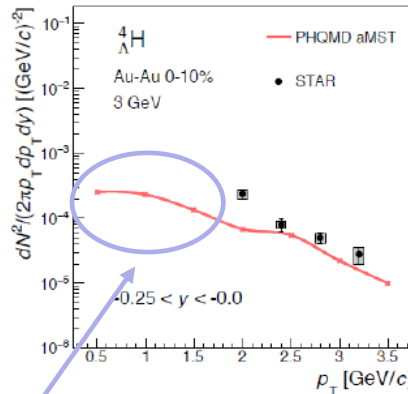


${}^3_{\Lambda}\text{H}$

p_T – spectra (measured)



${}^4_{\Lambda}\text{H}$



➔ Low p_T – exp. data are needed for reliable estimation of y -spectra

➔ Hypernuclei production is sensitive to the hyperon dynamics

How to learn about EoS from clusters:

→ spectra and v_1 , v_2 of light clusters
with different EoS in PHQMD:

hard, soft, momentum dependent potential



EoS dependence of $v_1(y)$, $v_2(y)$, $v_2(p_T)$ at SIS energies: p,d

$v_1(y)$

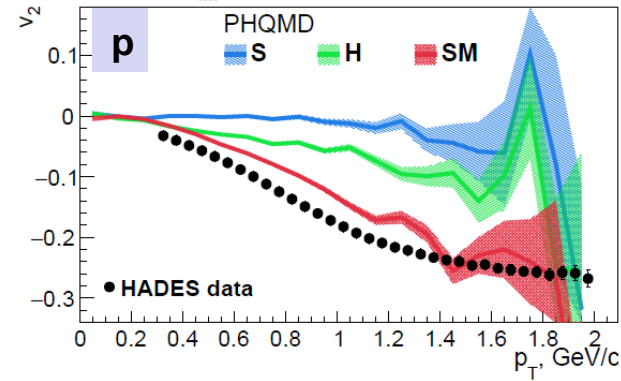
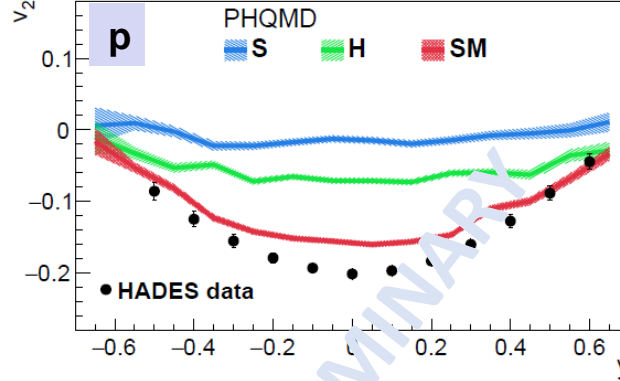
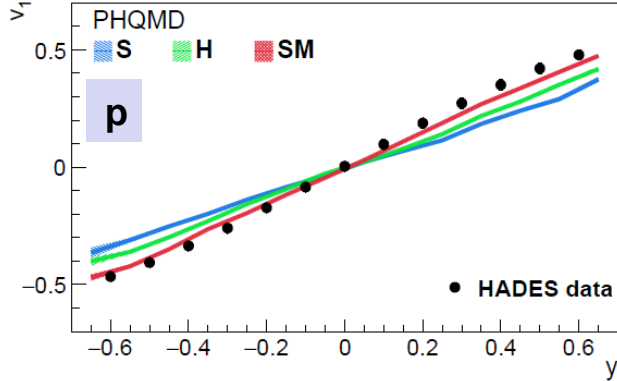
$v_2(y)$

$v_2(p_T)$

Au+Au, $E_{kin} = 1.23$ A.GeV, 20-30%, $1.0 < p_T < 1.5$

Au+Au, $E_{kin} = 1.23$ A.GeV, 20-30%, $1.0 < p_T < 1.5$

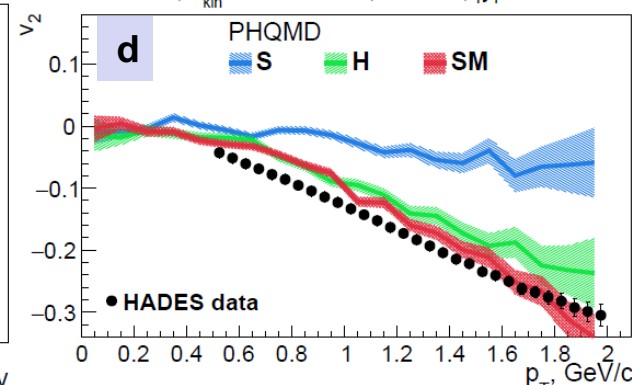
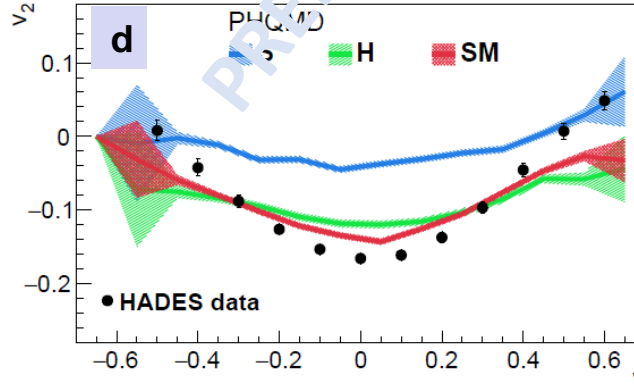
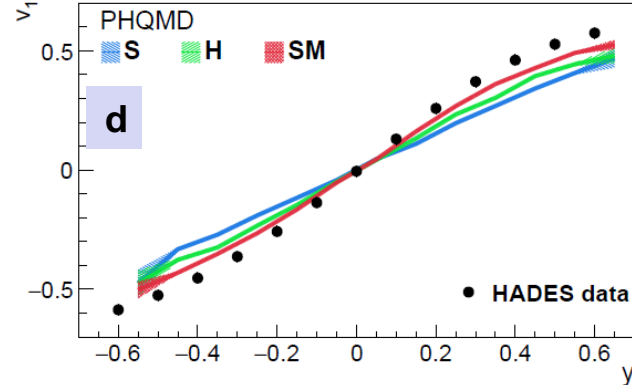
Au+Au, $E_{kin} = 1.23$ A.GeV, 20-30%, $|y| < 0.05$



Au+Au, $E_{kin} = 1.23$ A.GeV, 20-30%, $1.0 < p_T < 1.5$

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Au+Au, $E_{kin} = 1.23$ A.GeV, 20-30%, $|y| < 0.05$



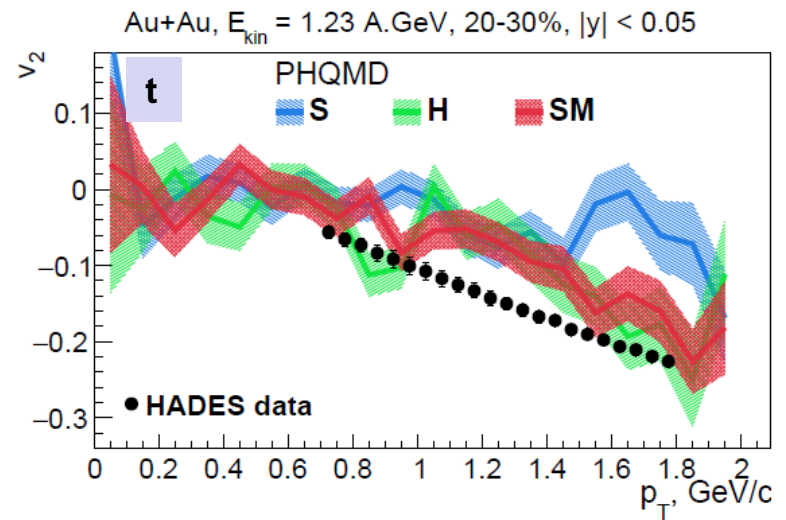
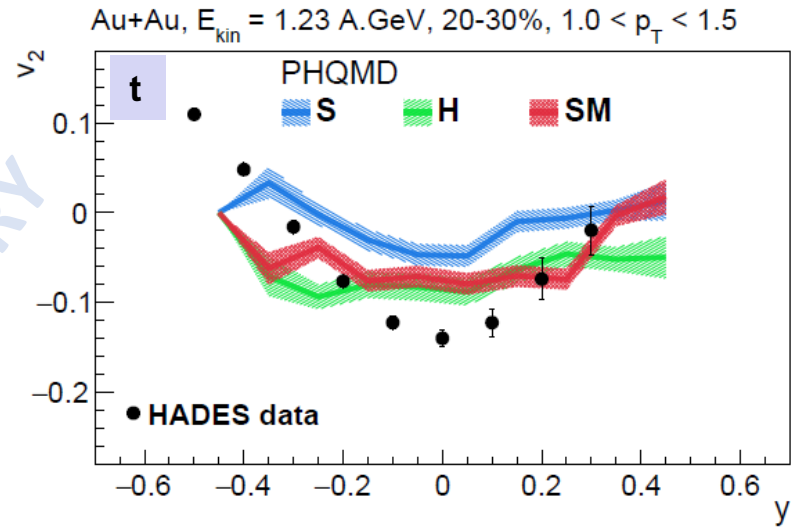
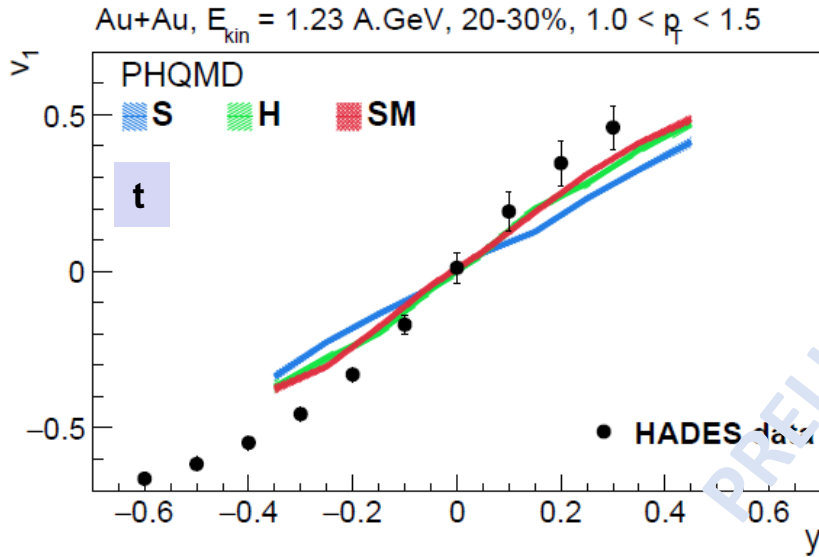
PHQMD5.2W: S= soft EoS, H=hard EoS, MS = soft momentum dependent EoS

HADES data: of v_1 , v_2 at **high** p_T : $1.0 < p_T < 1.5$ GeV/c

[HADES: Eur. Phys. J. A59 (2023) 80]

- Strong EoS dependence** of $v_1(y)$, $v_2(y)$ and $v_2(p_T)$ of **protons and deuterons**
- HADES data favor a soft momentum dependent potential (MS)**

EoS dependence of $v_1(y)$, $v_2(y)$, $v_2(p_T)$ at SIS energies: triton



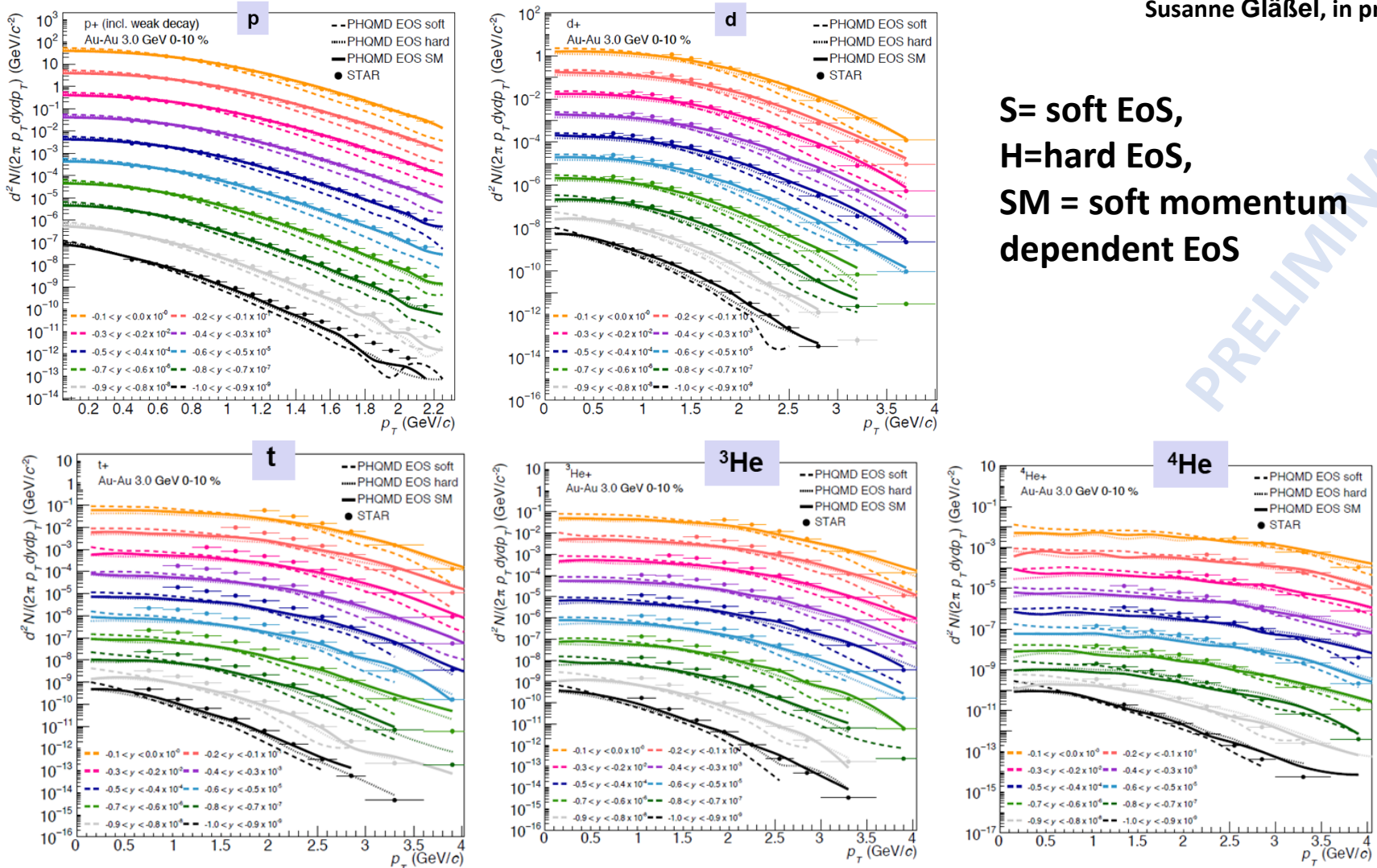
PHQMD5.2W: S= soft EoS, H=hard EoS,
 MS = soft momentum dependent EoS

HADES data: of v_1 , v_2 at **high p_T** :

$1.0 < p_T < 1.5$ GeV/c [HADES: Eur. Phys. J. A59 (2023) 80]

- Strong EoS dependence** of $v_1(y)$, $v_2(y)$ and $v_2(p_T)$ of **tritons**
- HADES data favor a soft momentum dependent potential (MS)**

Susanne Gläsel, in progress



S = soft EoS,
H = hard EoS,
SM = soft momentum
dependent EoS

PRELIMINARY

- ☐ Visible dependence of p_T spectra of p, d, t, ^3He , ^4He on EoS
- ☐ STAR p_T data favor a hard or soft-momentum dependent potential (H/SM)

Summary

The **PHQMD** is a **microscopic n-body transport approach** for the description of heavy-ion dynamics and cluster and hypernuclei formation identified by **Minimum Spanning Tree** model

combined model **PHQMD** = (PHSD & QMD) & (MST | SACA)

Clusters are formed **dynamically**

1) by **potential interactions** among nucleons and hyperons

Novel development: momentum dependent potential with soft EoS

2) by **kinetic mechanism** for d : hadronic inelastic reactions $NN \leftrightarrow d\pi$, $\pi NN \leftrightarrow d\pi$, $NNN \leftrightarrow dN$

with inclusion of **all possible isospin channels** which enhance d production

+ accounting of **quantum properties of d**, modelled by the finite-size excluded volume effect in coordinate space and projection of relative momentum of p+n pair on d wave-function in momentum space which leads to a **strong reduction** of d production



- ❑ The PHQMD reproduces cluster and hypernuclei data on dN/dy and dN/dp_T as well as **ratios d/p** and \bar{d}/\bar{p} for heavy-ion collisions from SIS to top RHIC energies.
- ❑ Measurement of **dN/dy** beyond mid-rapidity will allow to **distinguish the mechanisms for cluster production: coalescence versus dynamical cluster production** recognized by MST + kinetic mechanism for deuterons
- ❑ **Strong dependence of γ - and p_T -spectra and v_1, v_2 on EoS** - soft, hard, soft-mom. dependent - at SIS energies
- ❑ The influence of $U(p)$ decreases with increasing collision energy since the modelled $U_{SEP}(p)$ has a maximum at energy 1.5 GeV and decreases for large $p \leftarrow$ no exp. data for extrapolation of $U_{SEP}(p)$ to large p !
- ❑ HADES data data on v_1, v_2 favour **a soft momentum dependent potential (SM)**
- ❑ STAR data at 3 GeV favour a hard EoS or SM
- ❑ Stable **clusters are formed** shortly after elastic and inelastic collisions have ceased and behind the front of the expanding energetic hadrons (similar results within PHQMD and UrQMD)
 - ➔ since the 'fire' is not at the same place as the 'ice', cluster can survive