



LABEX  
LIO  
UNIVERSITÉ DE LYON

# Measurement of CP-violating $Wtb$ couplings with the EFT with single top at CMS

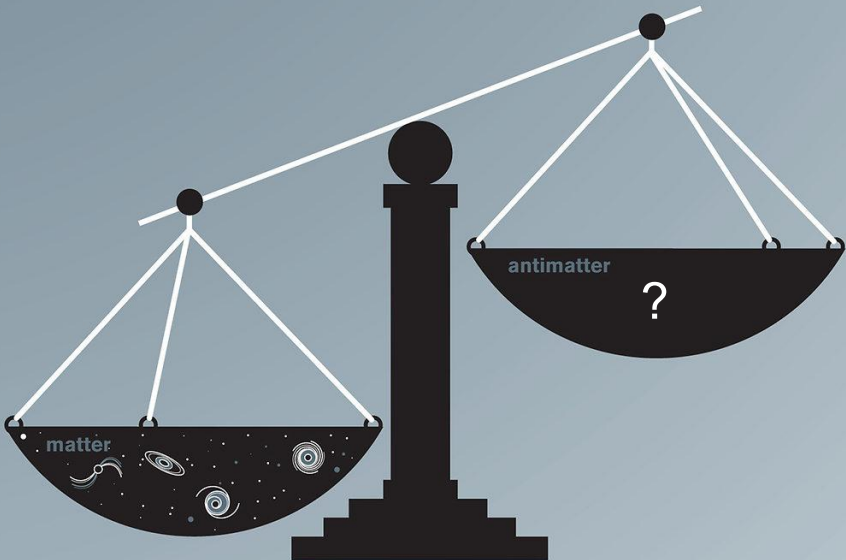
---

Top LHC France 10/04/24

**Christopher Greenberg**, Nicolas Chanon, Arnab Purohit

CMS Group at IP2I Lyon





The universe is baryon-number asymmetric

SM EW baryogenesis scenario:

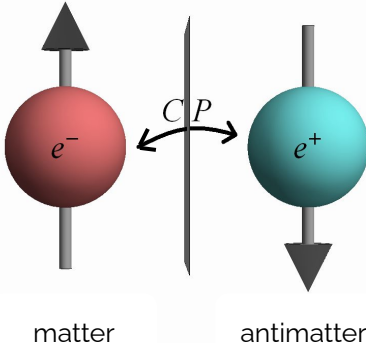
$$\eta_{SM} = \frac{n_B - n_{\bar{B}}}{n_\gamma} \propto 10^{-27}$$

Observations:

$$\eta_{obs} = \frac{n_B - n_{\bar{B}}}{n_\gamma} \propto 10^{-10}$$

$$\Rightarrow \frac{\eta_{SM}}{\eta_{obs}} \propto 10^{-17}$$

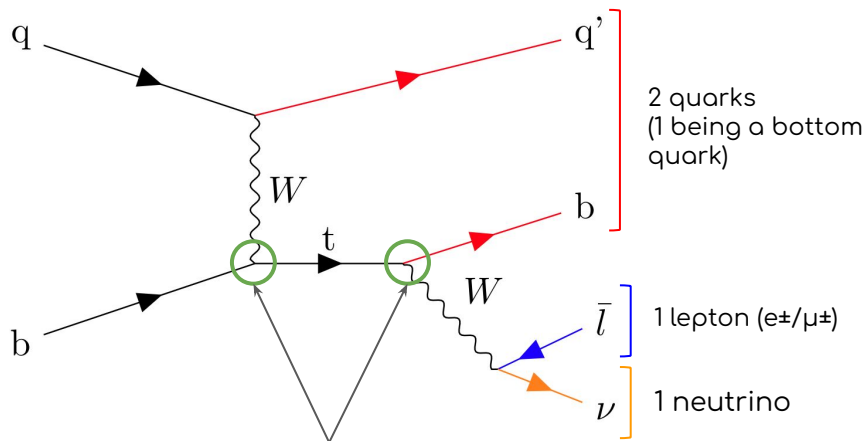
Discrepancy between the SM EW baryogenesis and observations



Searching for new CP violation sources involving top quarks Beyond the Standard Model (BSM).

# CP-violation at $Wtb$ vertex within the Effective Field Theory (EFT)

Single top t-channel with leptonic decay (signal)



$W_{tb}$  vertex at top production and decay.

→ This vertex can be modified by CP-violation.

$$\mathcal{L}_{eff}^{(6)} = \mathcal{L}_{SM} + \sum_i \frac{C_i^{(6)}}{\Lambda_i^2} O_i^{(6)} + h.c.$$

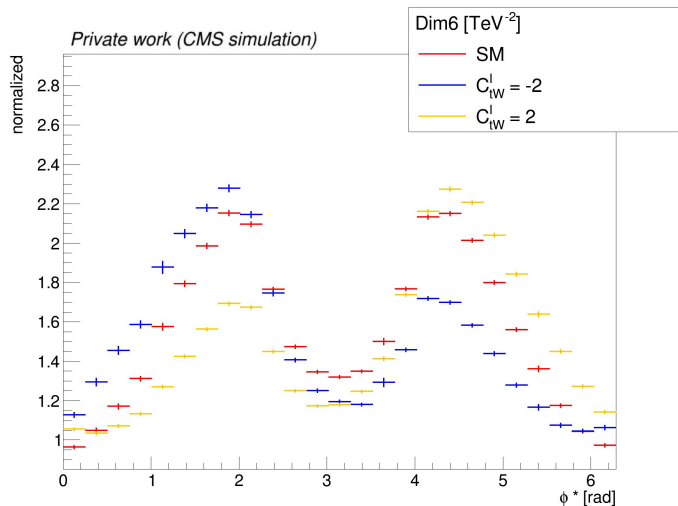
We produce a sample with the following EFT variations at top production and decay using a reweighting method.

$$\begin{aligned} \{C_{tW}, C_{tW}^I\} &= \{-2, 0, 2\} \\ \{C_{bW}, C_{bW}^I\} &= \{-2, 0, 2\} \\ \{C_{\varphi tb}, C_{\varphi tb}^I\} &= \{-5, 0, 5\} \end{aligned}$$

Our 6 axes of the parameter space      Different combination values

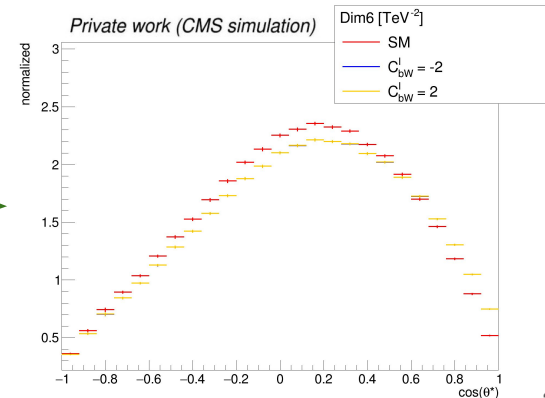
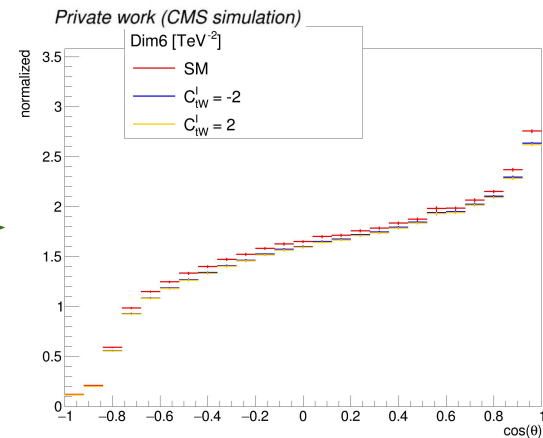
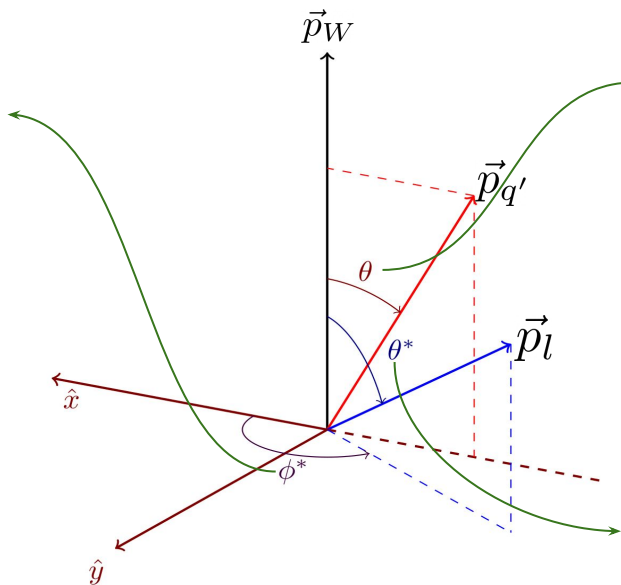
CP violation = Non-zero value of the imaginary part of these EFTs coefficients

# EFT impact on Kinematic Variables at parton level



Reference frame used in ATLAS 8 TeV  
[\[JHEP12\(2017\)017\]](#)

$\hat{z}$  direction is defined as that of the W boson momentum

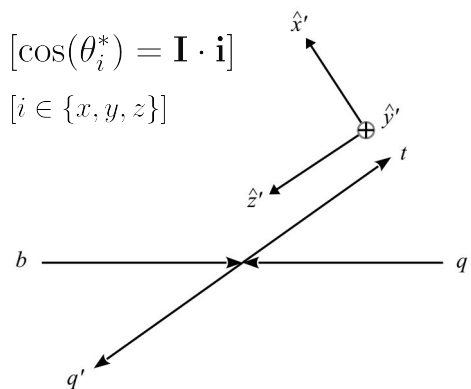


The shape of the angular distributions varies as a function of the value of the EFT coefficient.

The amount of CP violation can be extracted using these angular distributions.

# EFT impact on Kinematic Variables at parton level

Reference frame used in ATLAS 13 TeV  
[\[JHEP11\(2022\)040\]](#)



$$\cos(\theta_i^*) = \mathbf{I} \cdot \mathbf{i}$$

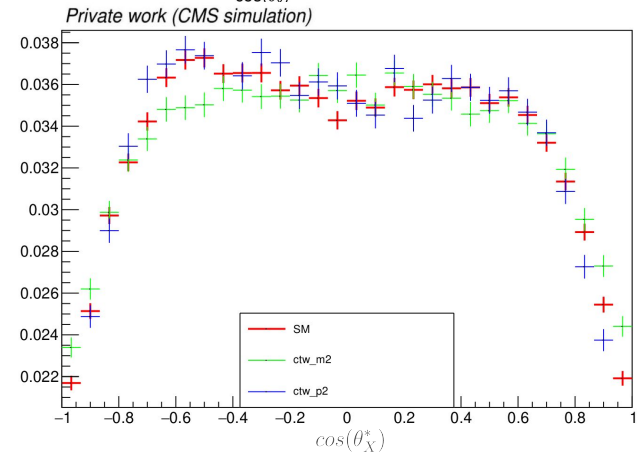
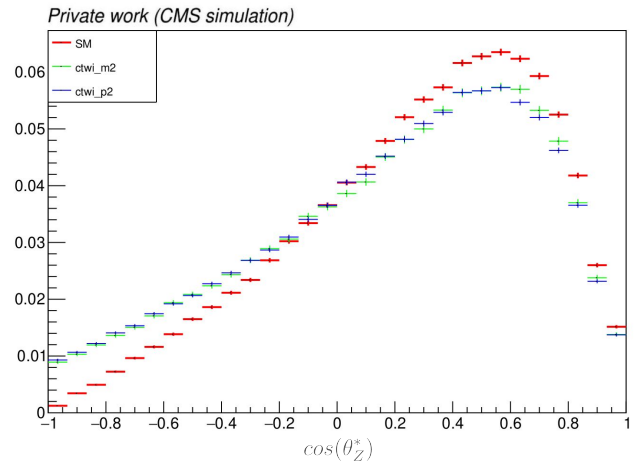
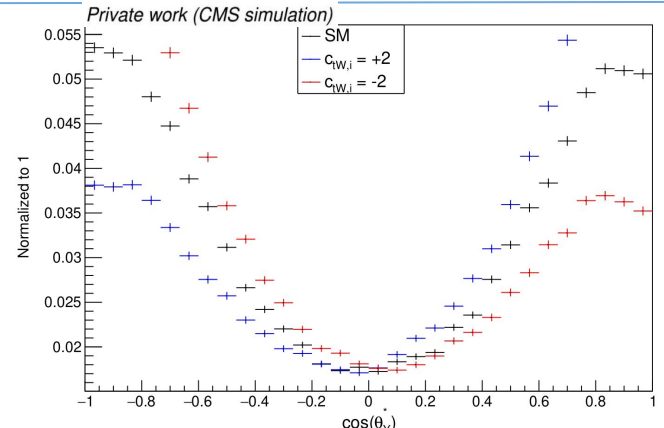
$$[i \in \{x, y, z\}]$$

$\mathbf{I}$  is a unit vector in the charged lepton direction

$\hat{z}$  direction is defined as that of the  $q'$  quark momentum

The shape of the angular distributions varies as a function of the value of the EFT coefficient.

The amount of CP violation can be extracted using these angular distributions.



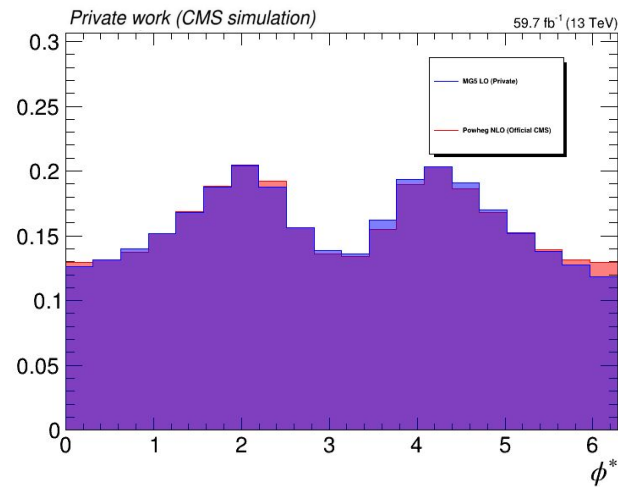
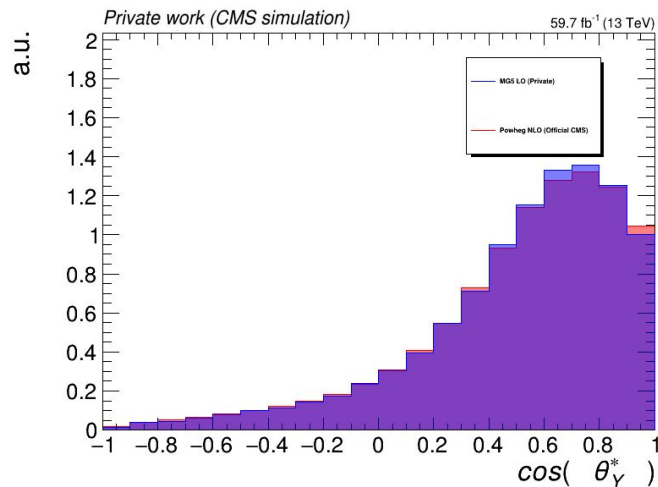
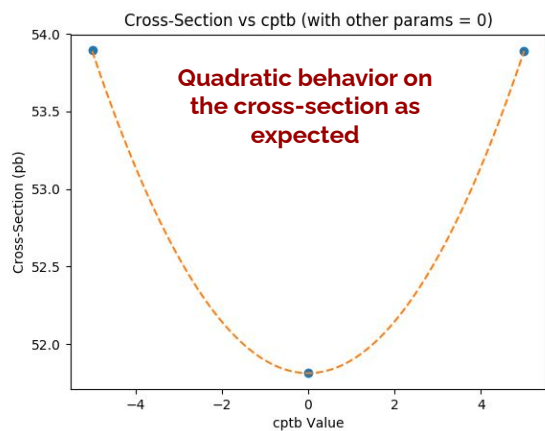
# Simulating EFT with Reweighting at the Reconstruction Level

## Distributions at the reconstructed level with EFT weights

$$\mathcal{M} = \mathcal{M}_{SM} + \sum_i \frac{c_i}{\Lambda^2} \mathcal{M}_i$$

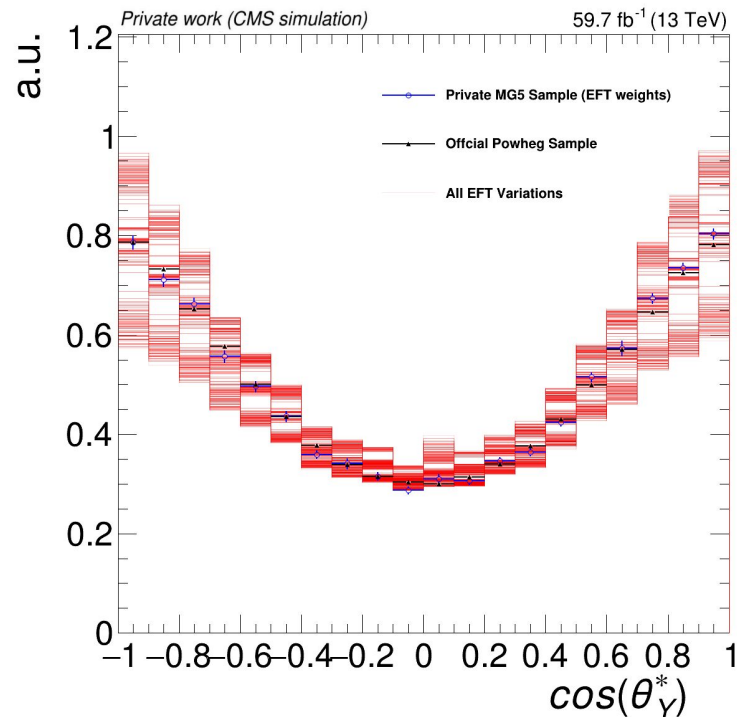
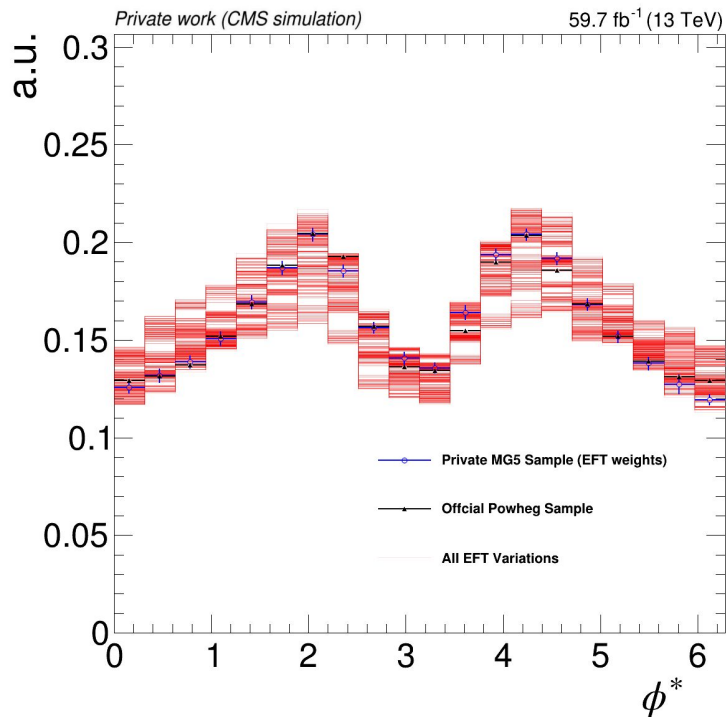
$$\sigma \propto |\mathcal{M}|^2$$

There is a reasonable agreement between LO and NLO samples at the reconstructed level.



# Simulating EFT with Reweighting at the Reconstruction Level

Sample space with **729 Wilson Coefficient** weights (includes the SM) → All EFT variations included at the reconstructed level

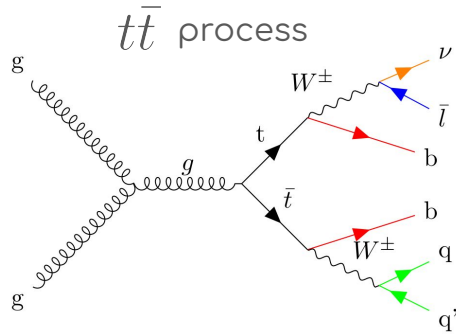


# Measurement of the t-channel signal strength (as a first step towards the EFT measurement)

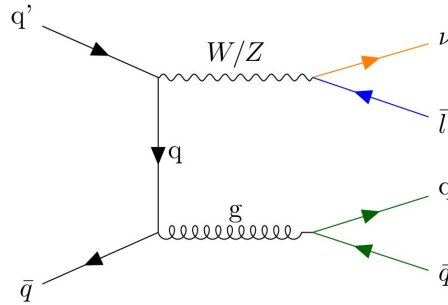


The analysis is based on the full Run2 Dataset.

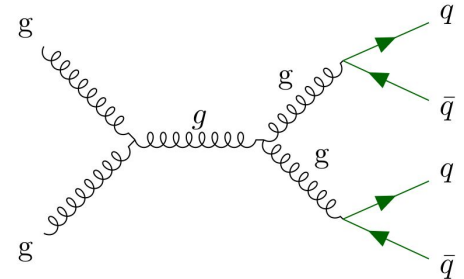
Main background processes:



W/Z + Jets process  
(includes Drell-Yan)



QCD processes

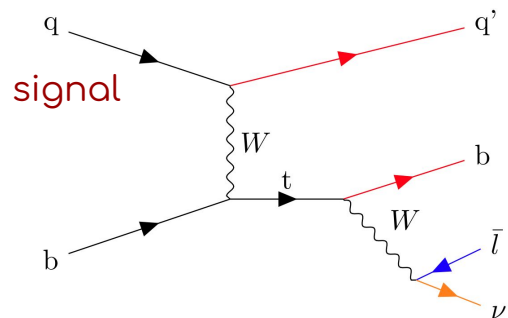


Other background processes:

- Diboson
- ttX
- Single top s-channel and tW process

- The analysis employs single muon and single electron triggers
- Isolated and non-isolated trigger paths are employed

# Selection in the Muon Channel



→ All recommended CMS corrections applied (Pileup and b-tag weights, JEC, muon and electron efficiencies).

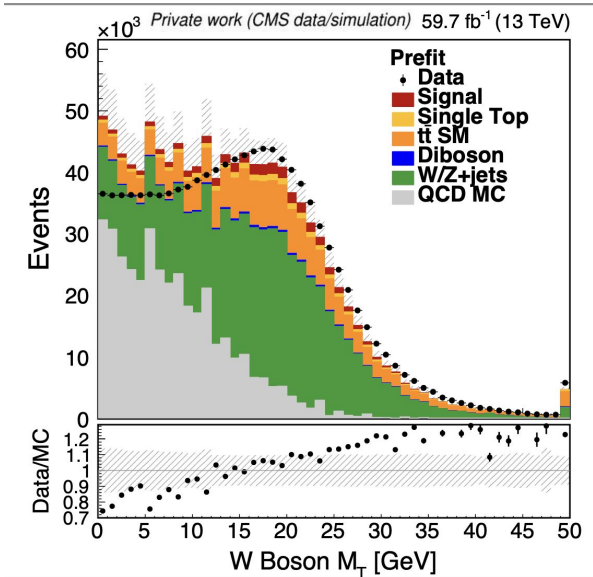
Object	$p_T$ [Gev]	$ \eta $	
Muon	>26 (2018) >30 (2017 & 2016)	<2.4	Exactly one Isolated tight muon. <b>QCD sideband:</b> Exactly one reversed isolated tight muon
Good Jets	>40 ( $ \eta  < 2.4$ ) >60 ( $2.4 <  \eta  < 4.7$ )	<4.7	Tight ID and removed overlap between jets and leptons in a $\Delta R < 0.4$ cone
B-Jet	>40	<2.5	Tight and medium ID are using DeepJet tagger.

	= 0 tight and $\geq 1$ medium b-jet	$\geq 1$ tight b-jet	$\geq 2$ tight b-jets
= 2 jets	W/Z-Jets Control Region.	Signal Region	Not Used
$\geq 3$ jets	Not Used	Not Used	TTbar Control Region

## QCD background estimate:

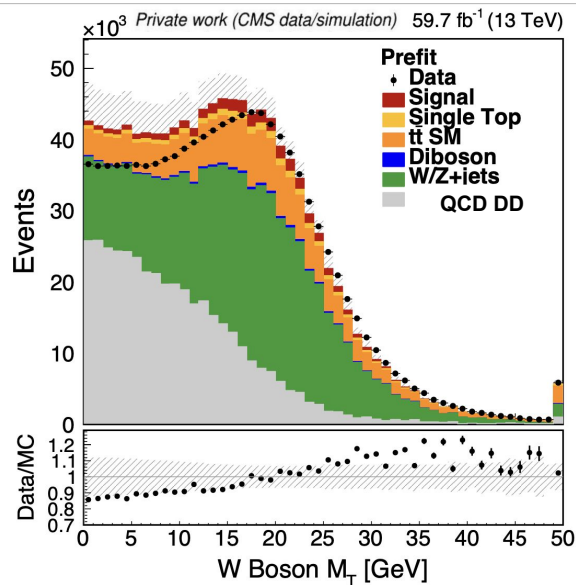
- Estimation of the QCD background from data in a **QCD sideband region**.
- Sideband region: **reversing lepton isolation** requirements.
- Creation of a region dominated by QCD.
- Utilization of non-isolated trigger paths in this region.

## QCD MC



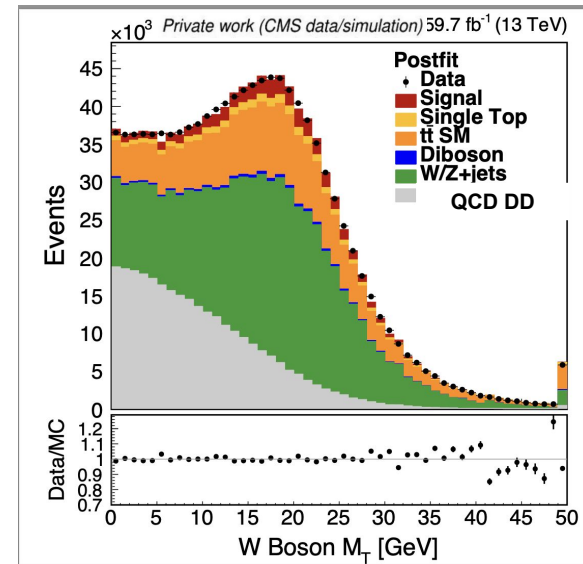
Non-optimal MC QCD modeling and not enough statistics

## QCD Data Driven, pre-fit



QCD Data Driven is normalized to the amount of QCD Monte Carlo in the W/Z-Jets region before the fit

## QCD Data Driven, post-fit

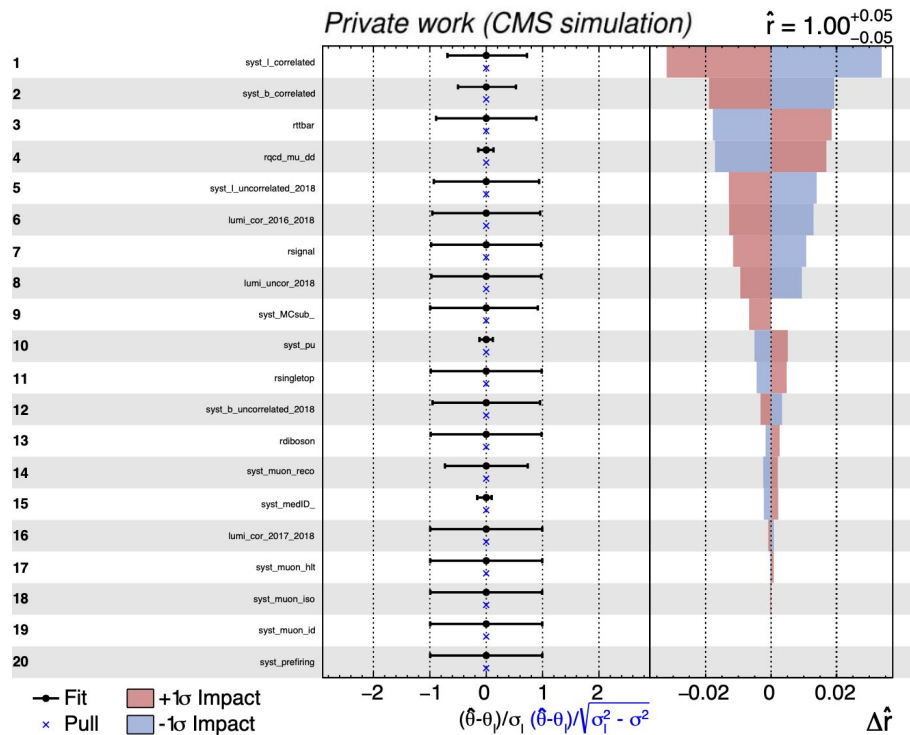


Good agreement between Data and MC

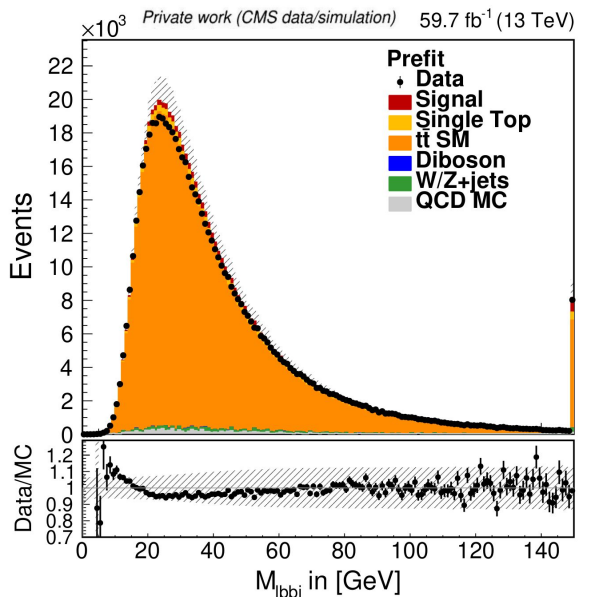
## Nuisance Impacts on the W/Z+Jets rate measurement in its control region

First checks of the impacts of systematics:

- Some constraints need to be scrutinized
- QCD Data Driven method to be improved using more systematics
- Updating rate uncertainties for each process
- Verifying nuisance correlations

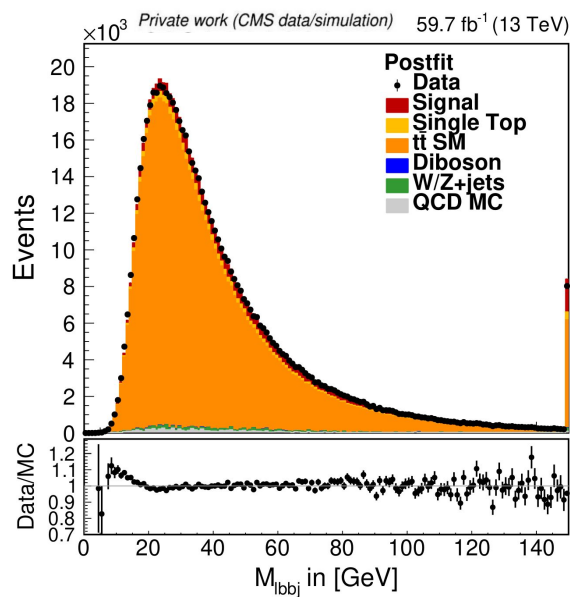


### QCD Data Driven, pre-fit



QCD Data Driven is normalized to the amount of QCD Monte Carlo in the W/Z-Jets region before the fit

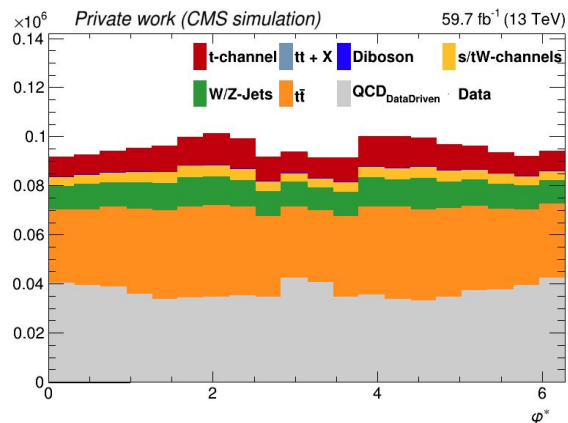
### QCD Data Driven, post-fit



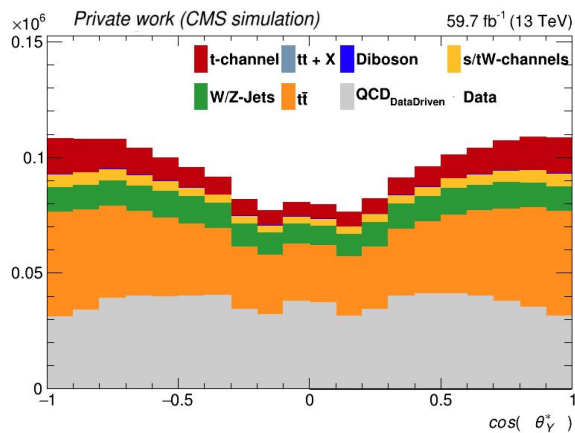
Fitted QCD Data Driven to data

Good agreement between Data and MC

Reference frame used in ATLAS 8 TeV  
[[JHEP12\(2017\)017](#)]



Reference frame used in ATLAS 13 TeV  
[[JHEP11\(2022\)040](#)]

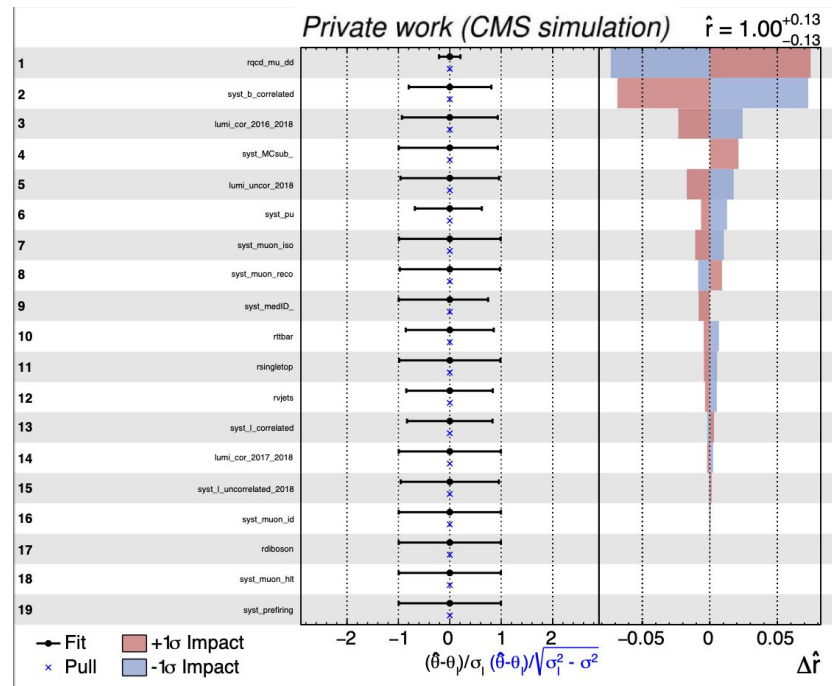


- Angular distributions in top quark rest frame will be used for measuring CP-violating EFT
- Shown are observables expected to provide good significance. Will be checked.
- Will also study improvement in sensitivity using ML

## Nuisance Impacts on the t-channel signal strength measurement, using the signal region only

First checks of the impacts of systematics:

- QCD Data Driven method to be improved using more systematics
- Verifying nuisance correlations





- Employing EFT samples with reweighting procedure.
- Checked nuisance impact in the control regions using data driven QCD templates.
- ML to discriminate between signal and background being developed

## Analysis TODO list:

- To be implemented: electron channel
- To be finished: same analysis with 2016, 2017
- List of systematic uncertainties included to be finalized
- **Extract CP-violating EFT extraction**

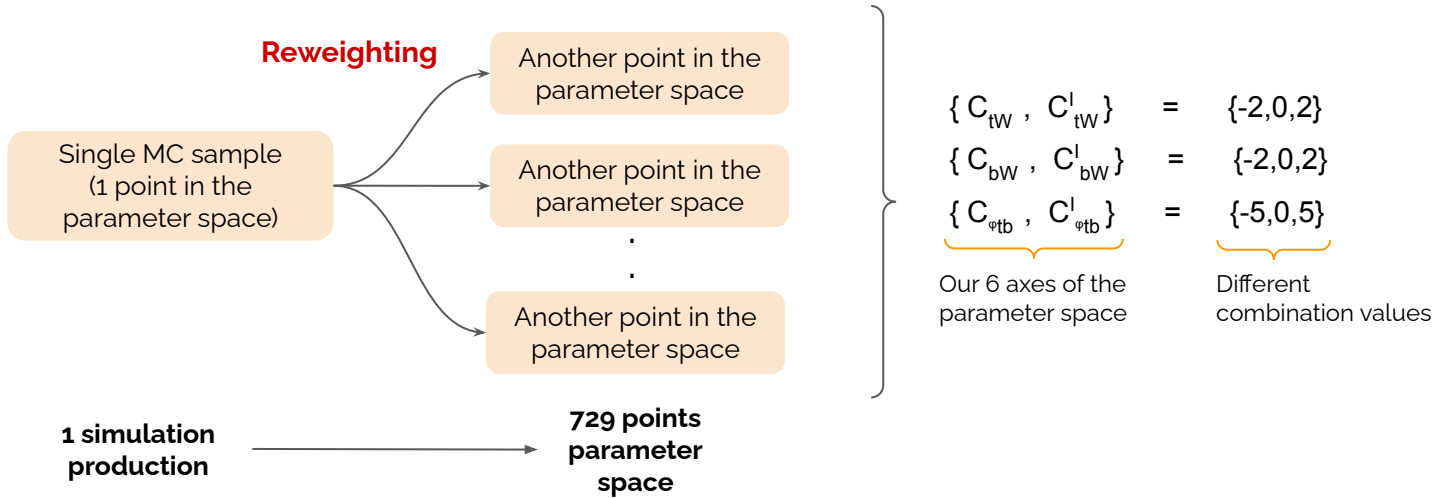
---

**Thank you for your attention**

# Backup

# Sample generation and Reweighting

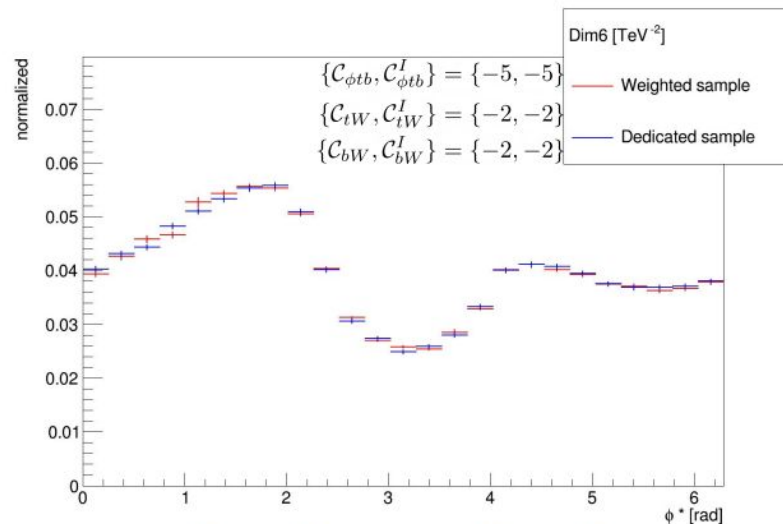
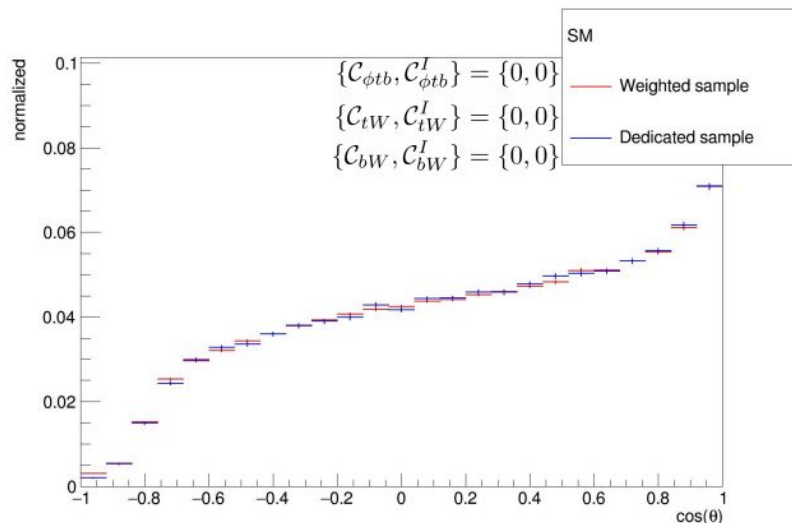
- We produce a simulation sample for single top production including EFT coefficients **at top production and decay**
- Reweighting method: different regions of the parameter space to be probed with a single Monte Carlo (MC) sample



Reweighting method is validated

# Sample generation and Reweighting

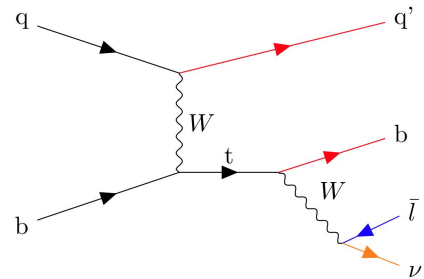
Samples generated with MadGraph5\_aMC@NLO, at LO using dim6top model, including EFT in production and decay [Following method in arXiv:1807.03576]



Comparing reweighted distributions of  $\cos(\theta)$  and  $\phi^*$  to dedicated (non-reweighted) samples at two different distant points of the parameter space

→ **Reweighting is validated**

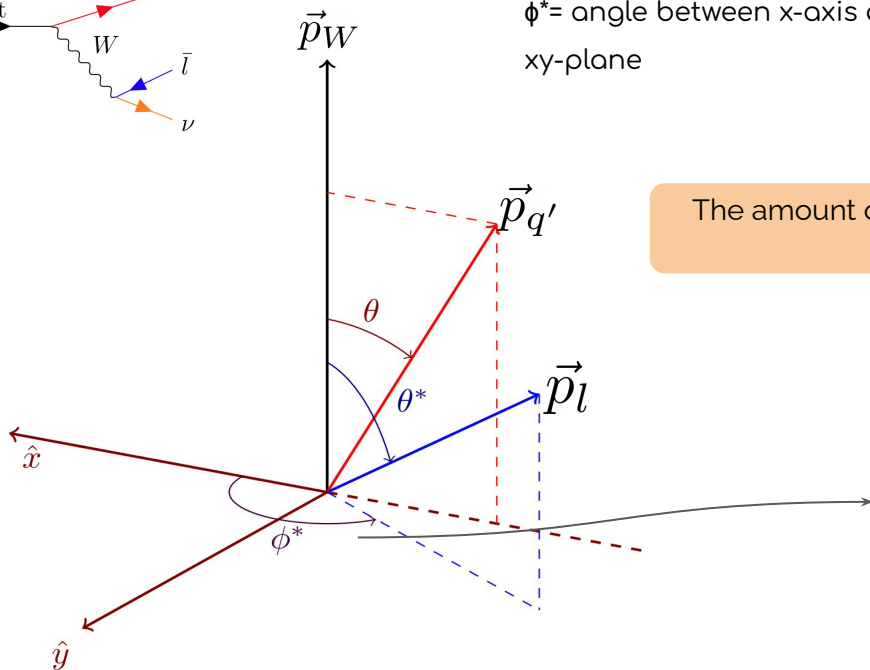
# Measuring CP Violation in top quark rest frame



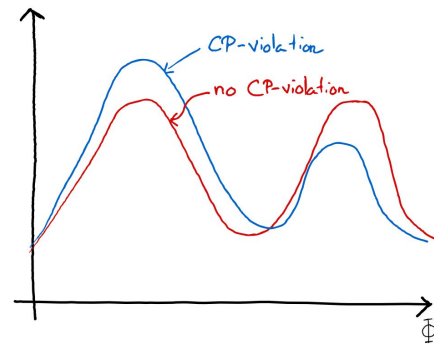
$\theta$  = angle between  $q'$  quark and W boson momenta

$\theta^*$  = angle between lepton  $l$  and W boson momenta

$\phi^*$  = angle between x-axis and the projection of the momenta of the lepton  $l$  on the xy-plane

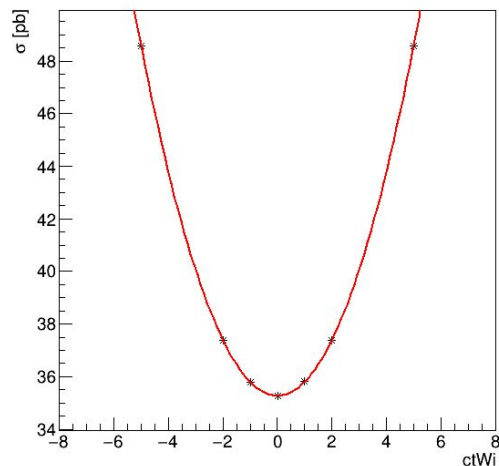


The amount of CP violation can be extracted using the distribution of these angles



BSM Matrix Element

$$\mathcal{M} = \mathcal{M}_{SM} + \sum_i \frac{c_i}{\Lambda^2} \overbrace{\mathcal{M}_i} \quad \sigma \propto |\mathcal{M}|^2$$



Quadratic behavior on the cross section as expected [2]

### How many WCs points to generate?

$$\{C_{tW}, C_{tW}^I\} = \{-2, 0, 2\}$$

$$\{C_{bW}, C_{bW}^I\} = \{-2, 0, 2\}$$

$$\{C_{\varphi tb}, C_{\varphi tb}^I\} = \{-5, 0, 5\}$$

6 EFTs    3 points per EFT

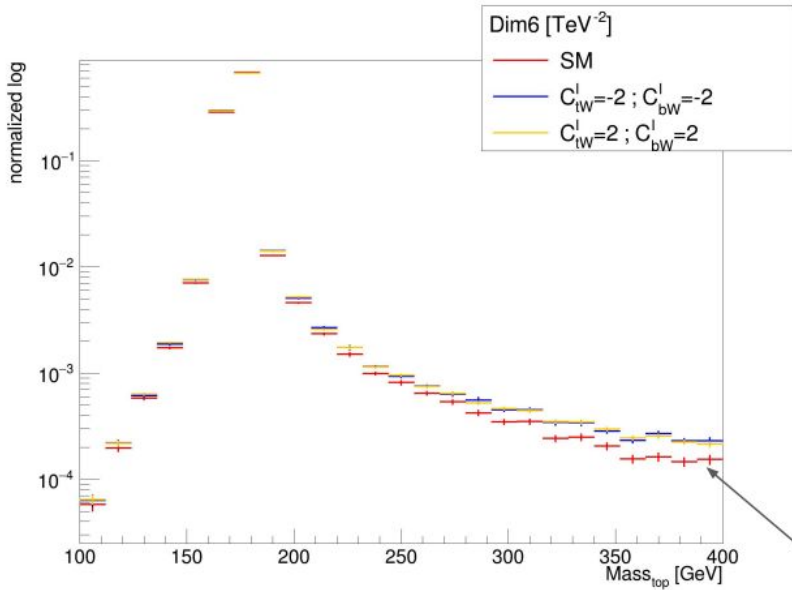
Sample space with **729 WC** points (includes the SM)

$3^6 = 729$

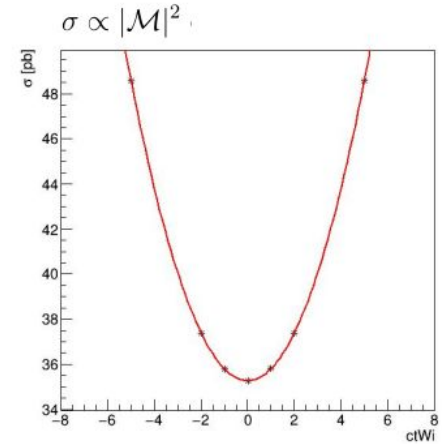
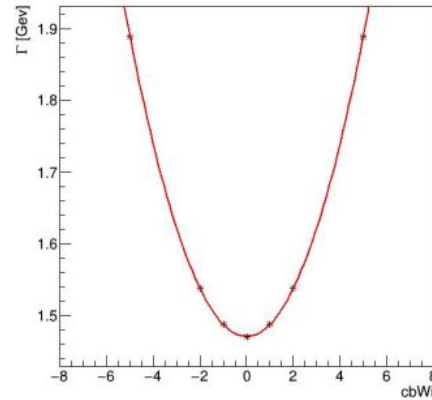
**Reweighting method:** Assign event weight corresponding to the WC values. We have only one sample with all combinations of WCs

[2] [arXiv:1807.03576](https://arxiv.org/abs/1807.03576)

# Effects on top width



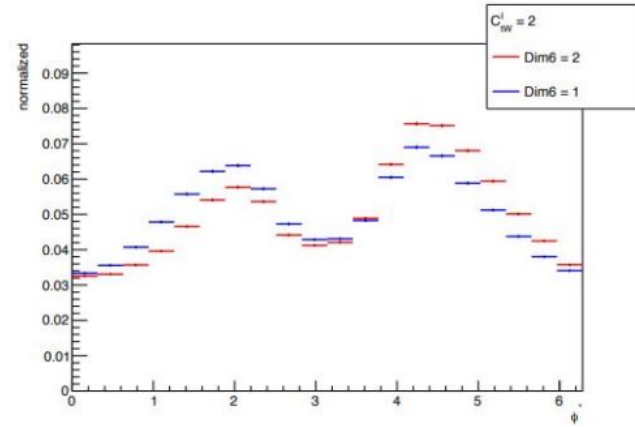
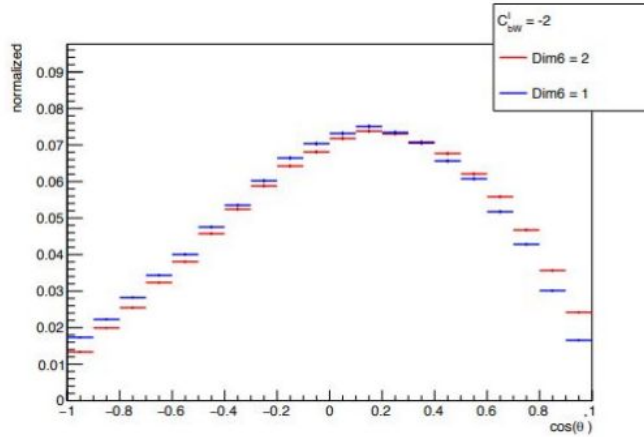
Quadratic behavior as expected for the cross section and the top width



The impact of EFT on top width is sizeable



# Effects of EFT on production only, and production + decay



Higher precision obtained by applying EFT operators effects on top production and decay

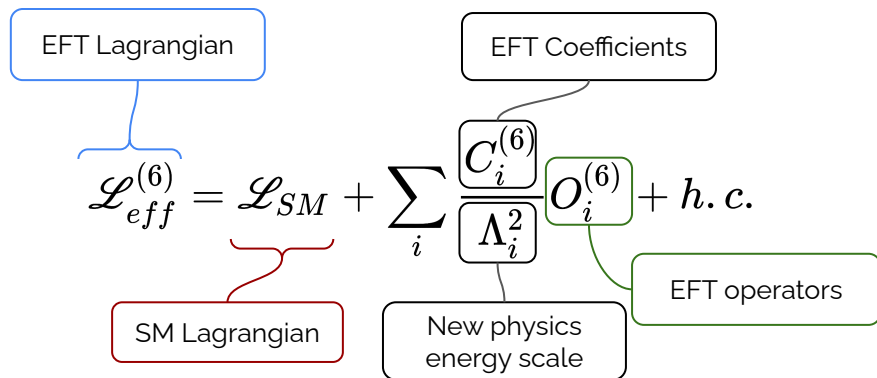
Dim6 = 1: EFT effects only on top production

Dim6 = 2: EFT effects on top production and decay

# CP-violation with Effective Field Theory (EFT)

**SM + EFT = SMEFT:** A model independent way to include the effects of new physics

SMEFT Lagrangian elements:



CP-violation with EFT:  $\mathcal{L}_{eff}^{(6)} \xrightarrow{\text{CP}} \mathcal{L}_{eff}^{(6)'} \neq \mathcal{L}_{eff}^{(6)}$



3 Operators not symmetric under CP

3 EFT operators not symmetric under CP:

$$\begin{aligned} O_{bW}^{(6)} &= (\bar{q}\sigma^{\mu\nu}\tau^I b)\tilde{\varphi}W_{\mu\nu}^I \rightarrow C_{bW} \\ O_{tW}^{(6)} &= (\bar{q}\sigma^{\mu\nu}\tau^I t)\tilde{\varphi}W_{\mu\nu}^I \rightarrow C_{tW} \\ O_{\varphi tb}^{(6)} &= (\tilde{\varphi}^\dagger iD_\mu\varphi)(\bar{t}_i\gamma^\mu t_j) \rightarrow C_{\varphi tb} \end{aligned}$$

EFT  
operators

EFT coefficients, which are  
complex numbers

We are interested in both the real and imaginary parts of the three EFTs:

- **6 dimension parameter space**
- **The SM is the origin of the parameter space**

**CP violation = Non zero value of the imaginary part of these EFTs coefficients**

The EFT coefficients control the size of the new physics effects impacting Wtb vertex.



## Muon Selection

We select strictly one Muon in the final state

	isolated muon	reversed isolated muon	loose muon	Non-iso loose muon
$\rho_T$ 2017 [GeV]	>30	>30	>10	>10
$\rho_T$ 2016 & 2018 [GeV]	>26	>26	>10	>10
Relative Isolation	<15%	>30%	<20% (should move to 25%)	-
$ \eta $	<2.4	<2.4	<2.4	<2.4
Id	tight	tight	loose	loose

### Signal/WJets/TTBar Regions:

- Exactly 1 isolated tight muons
- Veto events with additional loose muons
- Veto events with “veto” electrons

### QCD sideband region:

- Exactly 1 tight muon with reverted isolation
- Veto events with additional loose muons
- Veto events with “veto” electrons

## Jets Selection

	good jets		bjets jets
$ \eta $	$<4.7$	all b jets must be good jets	
$\rho_T$ 2016 [GeV]	$>40$	$ \eta $	$<2.5$ (2017 & 2018) $<2.4$ (2016)
$\rho_T$ 2017 & 2018 [GeV]	$>40$ ( $ \eta  < 2.4$ ) $>60$ ( $2.4 <  \eta  < 4.7$ )	Meant to decrease the impact of ECAL endcap noise issue in 2017 and the failure of the power supply of HCAL modules in 2018.	
Overlap	No overlaps within a $\Delta R=0.4$ cone size		

As the signal signature involves a b quark, the b-tagging capability of CMS is utilized.

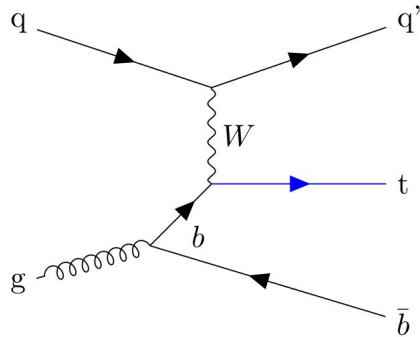
Jets produced by b quarks are identified with the **DeepJet algorithm**.

The **tight** and the **medium** working points are utilized in this analysis

**Depending on the number of good jets and the number of b-tagged jets, several signal and control regions are defined.**

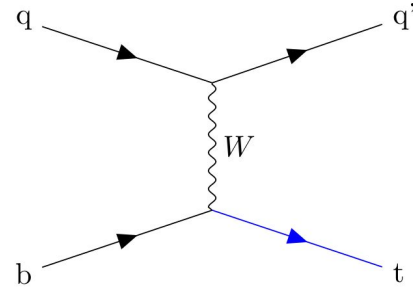
# Flavour schemes

## Flavour scheme for single top t-channel



### 4FS

$2 \rightarrow 3$  process  
 $b$  quarks stem from gluon  
splitting



### 5FS

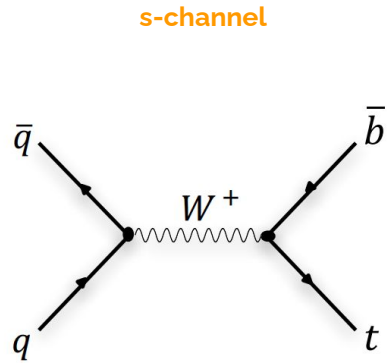
$2 \rightarrow 2$  process  
 $b$  quarks are massless and  
therefore, included in the  
proton PDF, they stem  
from the collision proton

For all the corrections we are using **correctionlib** library unless specified.

- **PileUp:** MC events are reweighted in order to achieve better agreement between true number of pileup interactions and the pileup profile observed in data.
- **SFs for Muons:** Muon RECO, Id, Isolation and trigger SFs are applied.
- **Muon Rochester Correction:** We are applying Muon momentum scaling using the *Rochester* algorithm as recommended by Muon POG..
- **JEC and JER:** Energy correction of the Jets are already applied in NanoAODv9 samples. So, we are not recorrecting them. For the JEC uncertainties, we are following the JetMET recommendations. JET energy resolution correction in MC is not applied yet.
- **B-Tagging Efficiency:** Simulated events are reweighted to attain the same (Tight/Medium) B-tagging efficiency of the DeepJet algorithm as that in data.
- **ECAL Prefiring:** (Not using Correctionlib) We are applying l1prefiring weights directly using the branches saved in the NanoAODv9 samples.
- **MET phi correction:** We are applying the MET phi modulation correction both on data and MC (plot in backup).
- **HEM15/16 issue:** During 2018 C+D eras two HCal modules went off. This affected the jet energy measurement in the region  $-0.87 > \phi > -1.57$  and  $-1.3 > \eta > -2.5$ . We are planning to remove events where the jets which pass the analysis selection fall in this region.

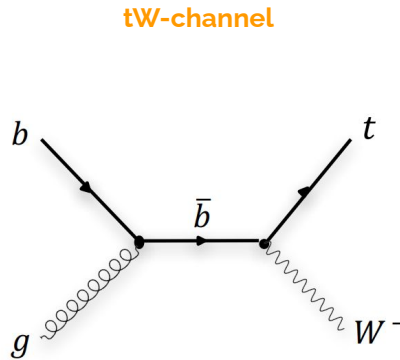
The three main **single top** production modes are:

Feynman diagrams:

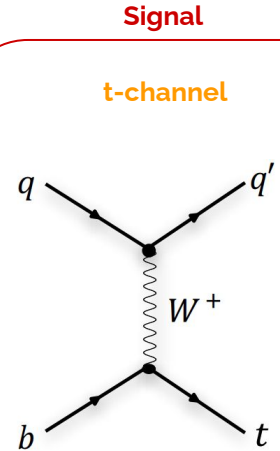


Inclusive cross-section at the LHC (13TeV/RunII):

**~ 11.7 pb**



**~ 35.9 pb**



**~ 136 pb**

**We are going to study the t-channel due to its largest cross-section.**