

Effects of angular momentum projection in RPA and GCM calculations

SSNET'24 - Shapes and Symmetries in Nuclei:
from Experiment to Theory

IJCLab, Orsay, November 6th, 2024

Andrea Porro
Technische Universität Darmstadt



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UNIVERSITÄT
DARMSTADT



**Symmetry-restored Skyrme-random-phase-approximation calculations
of the monopole strength in deformed nuclei**

A. Porro ^{1,2,3,*} G. Colò ^{4,5,†} T. Duguet ^{1,6,‡} D. Gambacurta ^{7,§} and V. Somà^{1,||}

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⁷*INFN-LNS, Laboratori Nazionali del Sud, 95123 Catania, Italy*

 (Received 16 December 2023; accepted 12 March 2024; published 9 April 2024)

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arXiv > nucl-th > arXiv:2407.01325

Nuclear Theory

[Submitted on 1 Jul 2024]

Ab initio description of monopole resonances in light- and medium-mass nuclei: IV. Angular momentum projection and rotation-vibration coupling

Andrea Porro, Thomas Duguet, Jean-Paul Ebran, Mikael Frosini, Robert Roth, Vittorio Somà

- I. [EPJA (2024) 60, 133]
- II. [EPJA (2024) 60, 134]
- III. [EPJA (2024) 60, 155]
- IV. [arXiv:2407.01325]

The European Physical Journal

volume 60 · number 6 · june · 2024

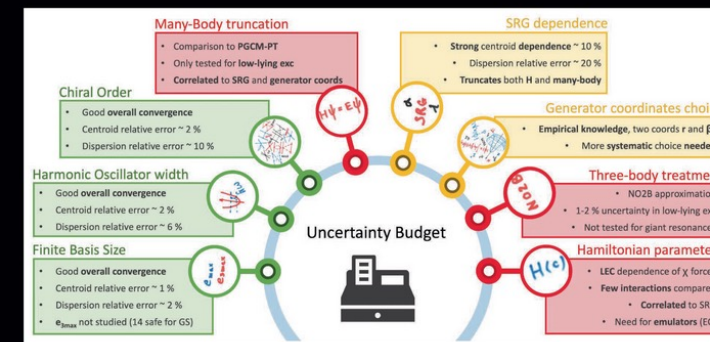
EPJ A



Recognized by European Physical Society

Hadrons and Nuclei

Ab initio description of monopole resonances in light- and medium-mass nuclei.
I. Technical aspects and uncertainties of ab initio PGCM calculations by A. Porro et al.



Summary of the uncertainty budget. In green are indicated the uncertainties that were thoroughly investigated. In yellow are those that could only be touched upon. Eventually, boxes in red correspond to those that could at best be estimated from previous but somewhat different works or not estimated at all.



Società Italiana di Fisica

 Springer

Introduction

- Giant Resonances
- GCM and RPA

Introduction

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Random Phase Approximation

- Theoretical introduction
- Angular Momentum Projection

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- Rotation-Vibration coupling
- Comparison to ab initio PGCM

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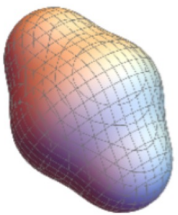
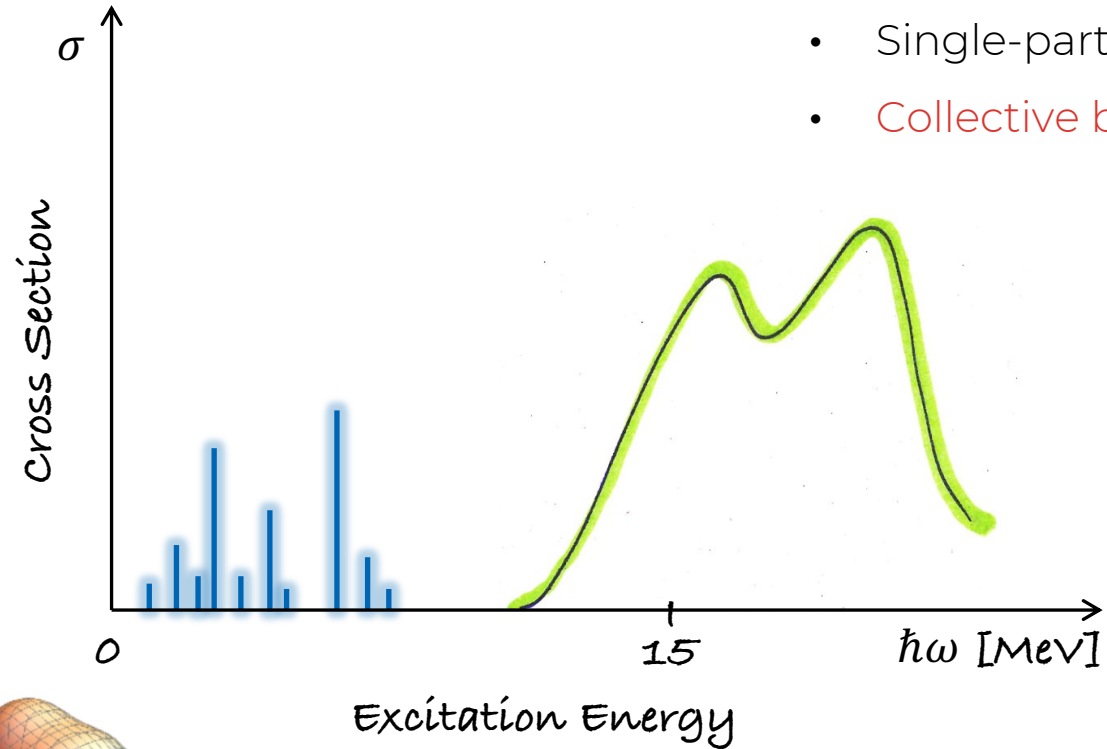
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Conclusions

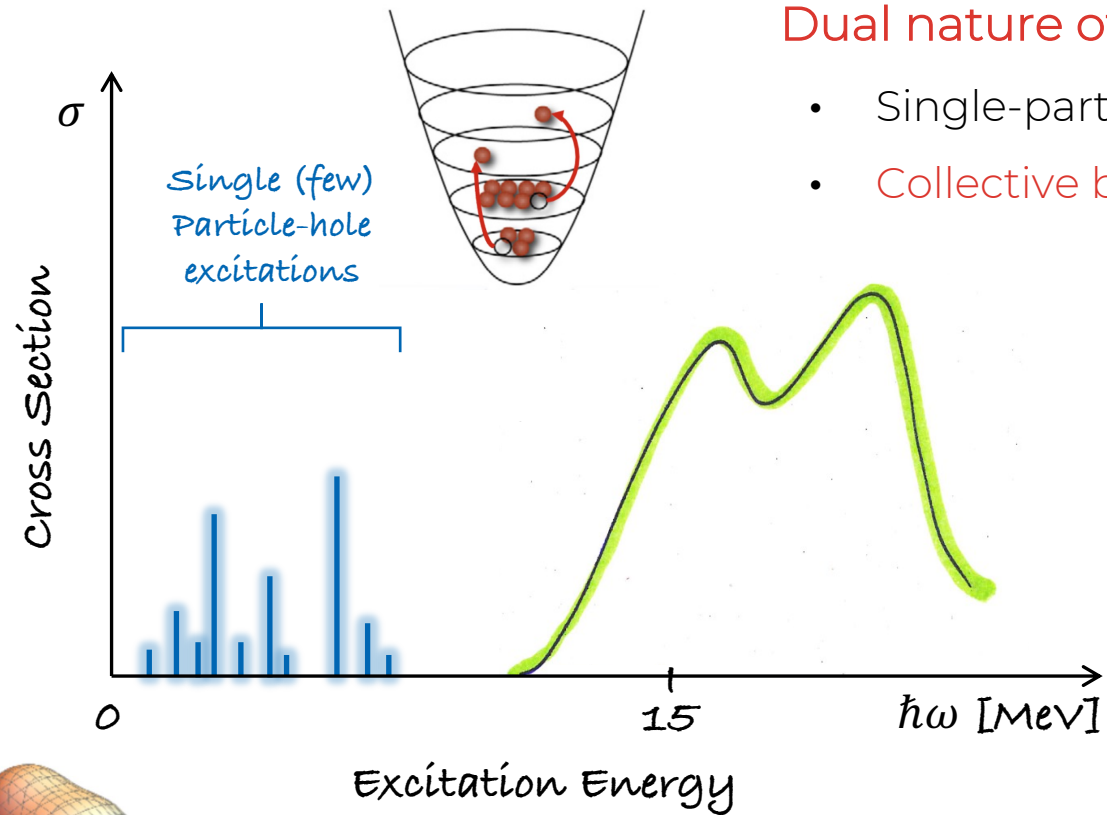
Giant Resonances

Dual nature of nucleus

- Single-particle features
- Collective behaviour

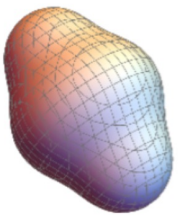


Giant Resonances

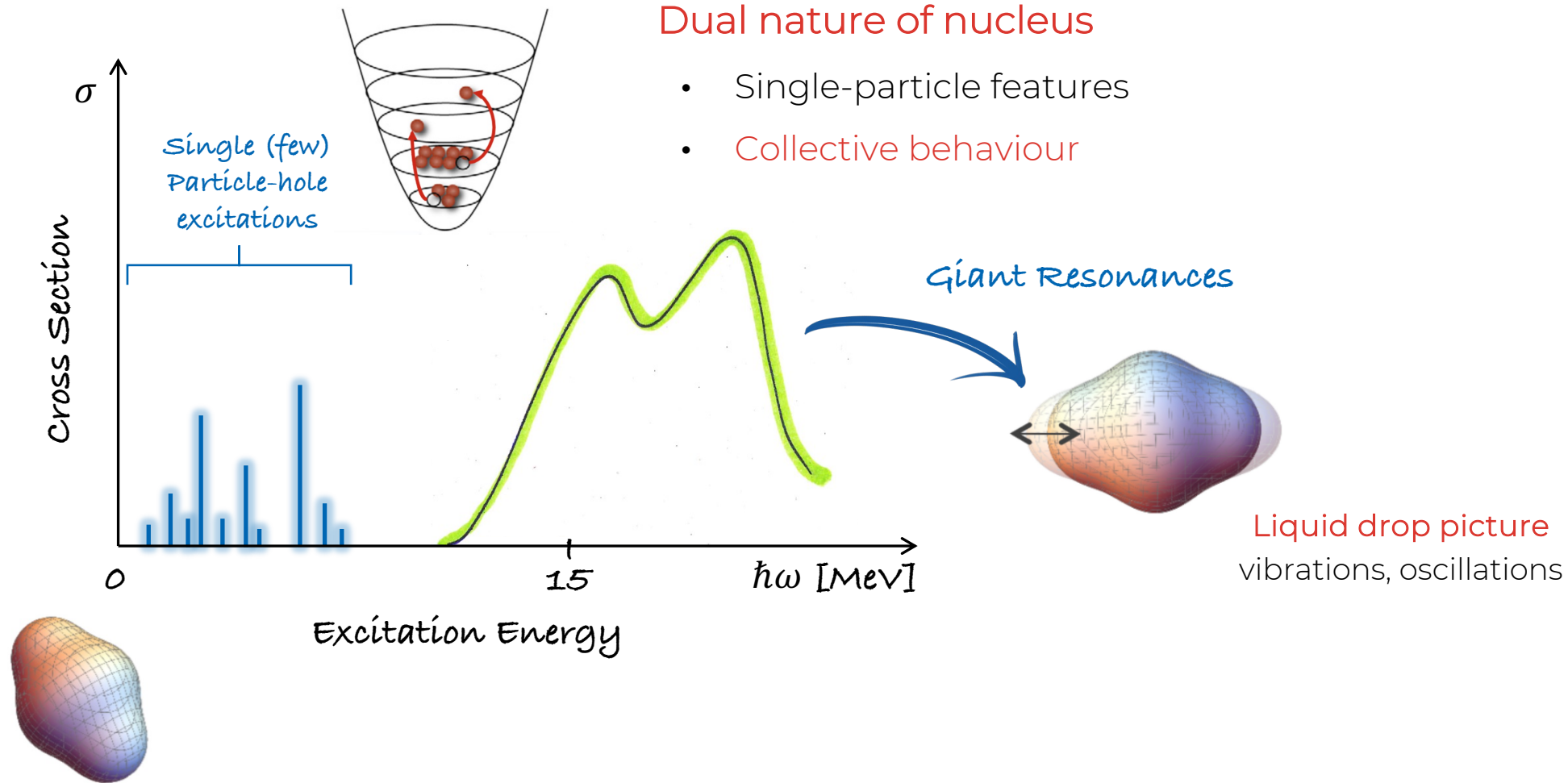


Dual nature of nucleus

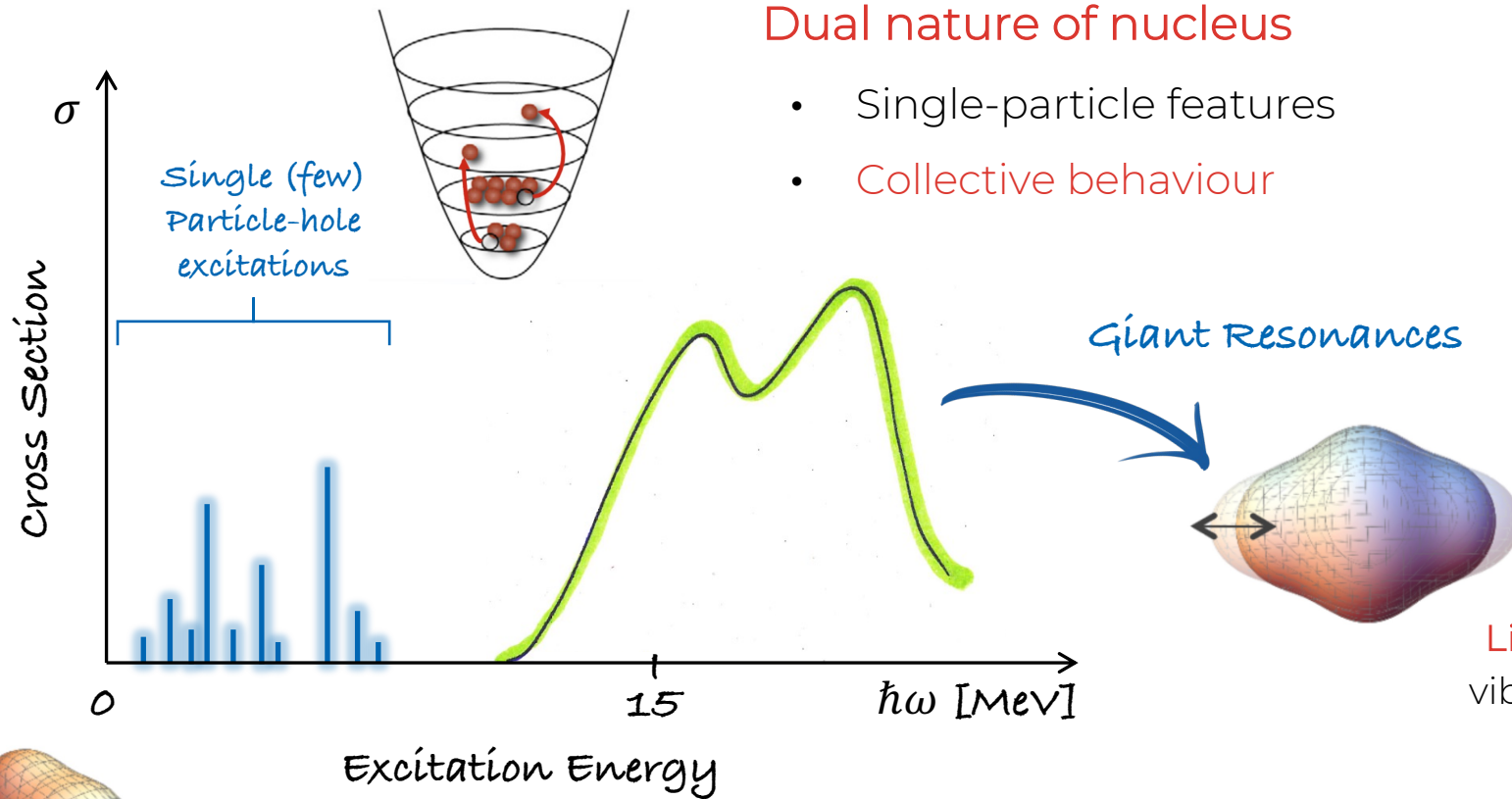
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Giant Resonances

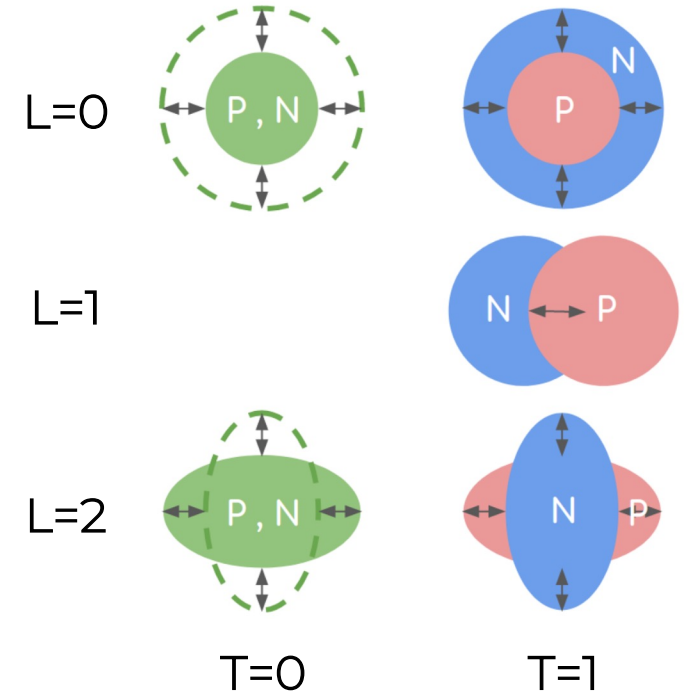


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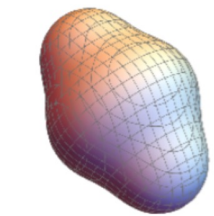


Dual nature of nucleus

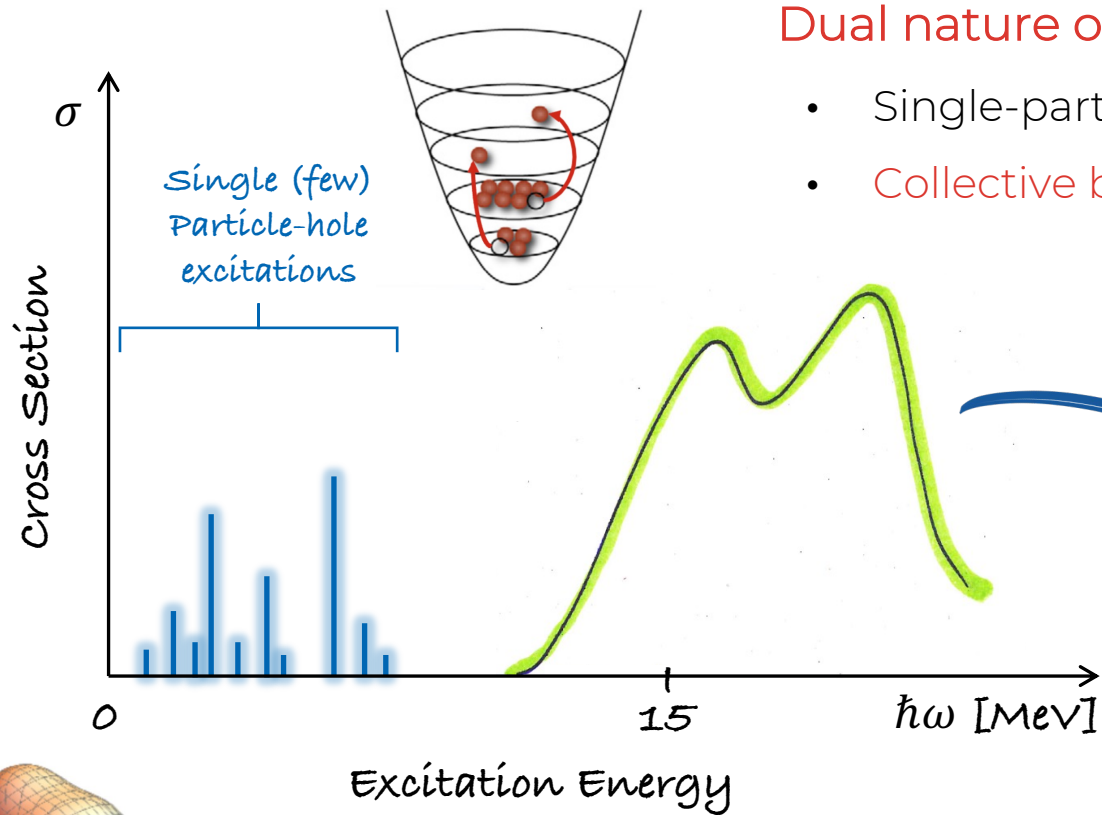
- Single-particle features
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Liquid drop picture
vibrations, oscillations

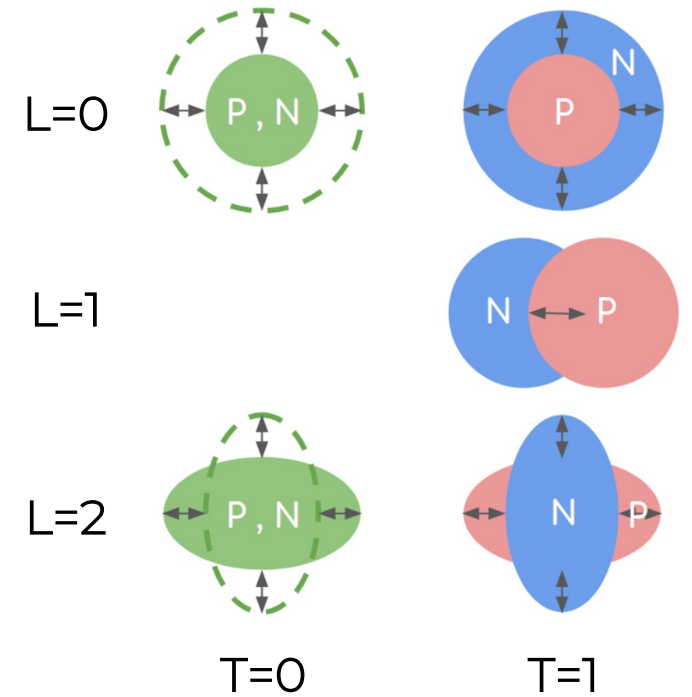


Giant Resonances

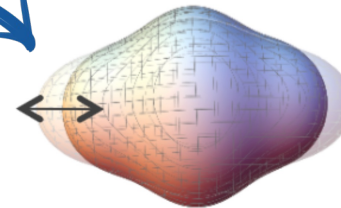


Dual nature of nucleus

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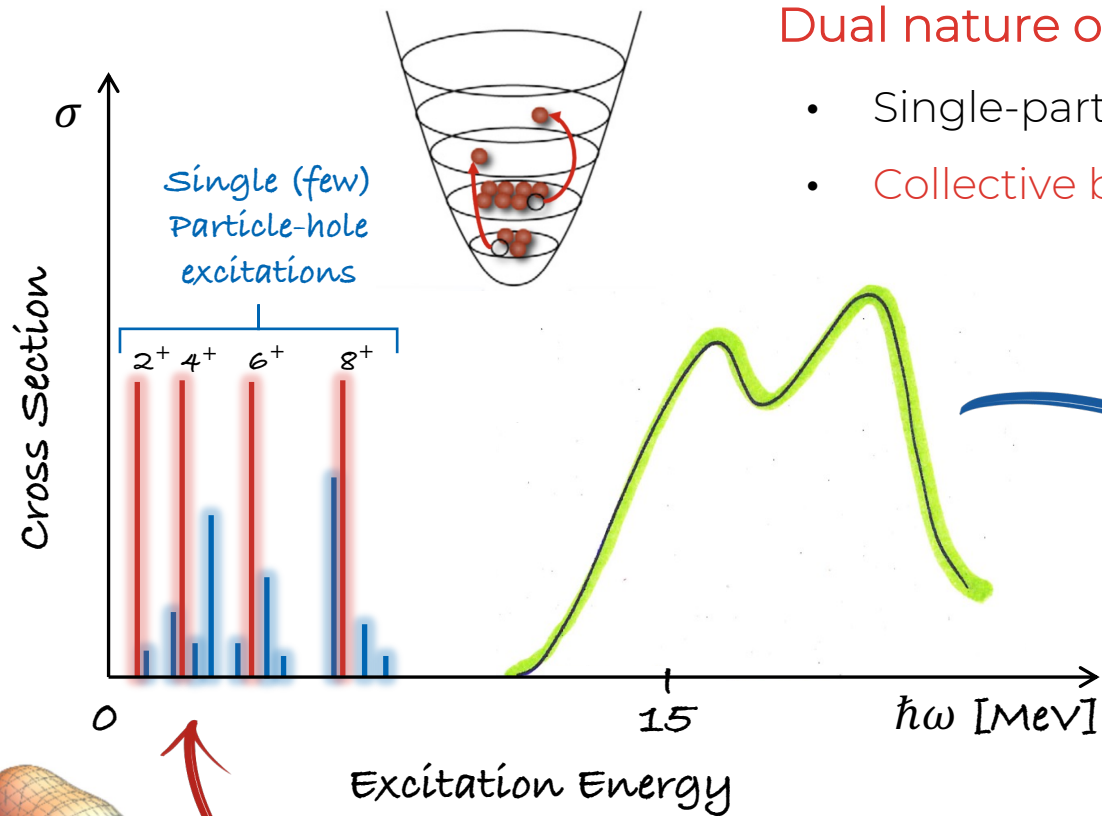
Giant Resonances



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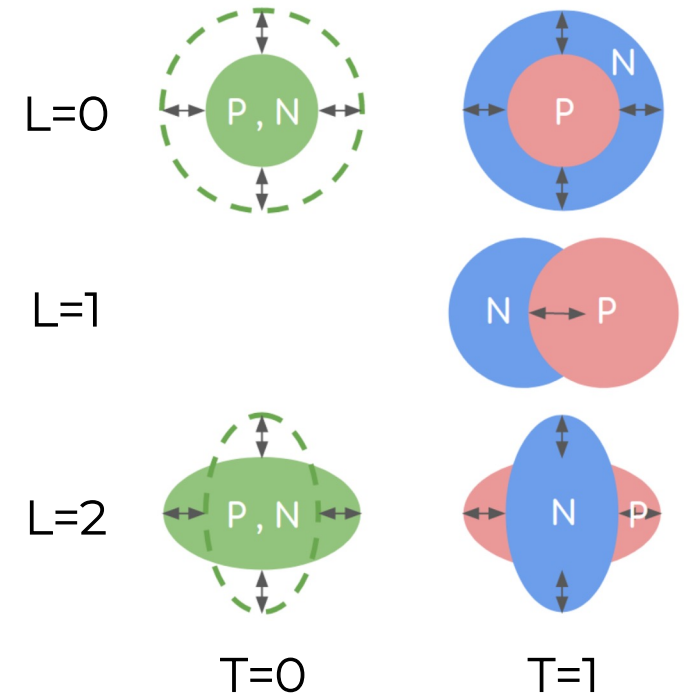
Giant Resonances (GRs)
clearest manifestation of collective motion

Giant Resonances

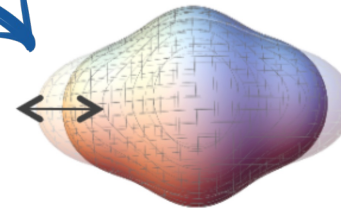


Dual nature of nucleus

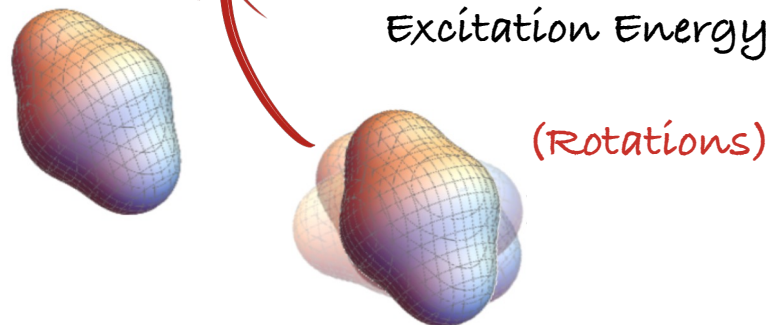
- Single-particle features
- Collective behaviour



Giant Resonances



Liquid drop picture
vibrations, oscillations



(Rotations)



GCM and (Q)RPA

Schrödinger equation $H |\Psi_n\rangle = E_n |\Psi_n\rangle$

GCM and (Q)RPA

Schrödinger equation $H |\Psi_n\rangle = E_n |\Psi_n\rangle$

Open-shell systems

GCM and (Q)RPA

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Open-shell systems



Strong **static correlations**

GCM and (Q)RPA

Schrödinger equation $H |\Psi_n\rangle = E_n |\Psi_n\rangle$

Open-shell systems

Symmetry-breaking reference states



Strong static correlations



GCM and (Q)RPA

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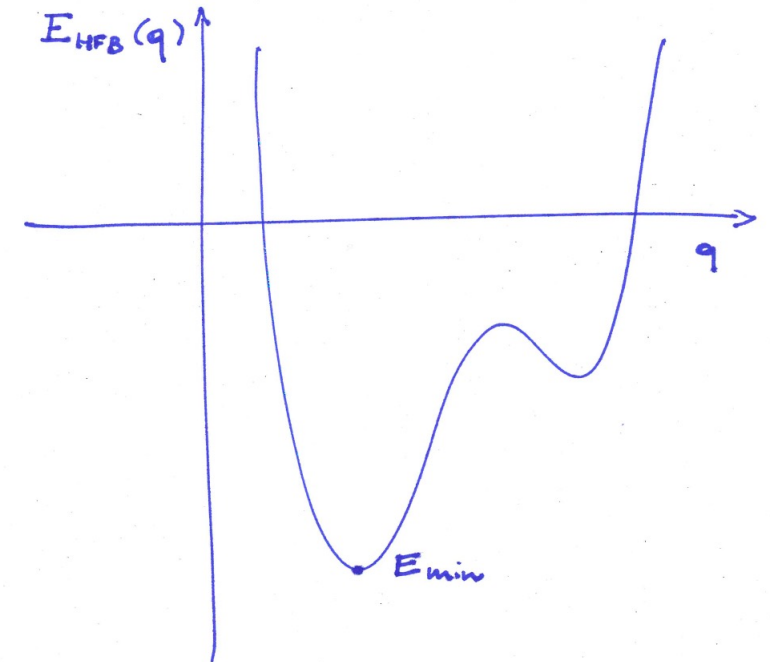


Strong static correlations



1 Constrained HF solutions

$$|\Phi(q)\rangle$$



GCM and (Q)RPA

Schrödinger equation

$$H |\Psi_n\rangle = E_n |\Psi_n\rangle$$

Open-shell systems

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Strong static correlations

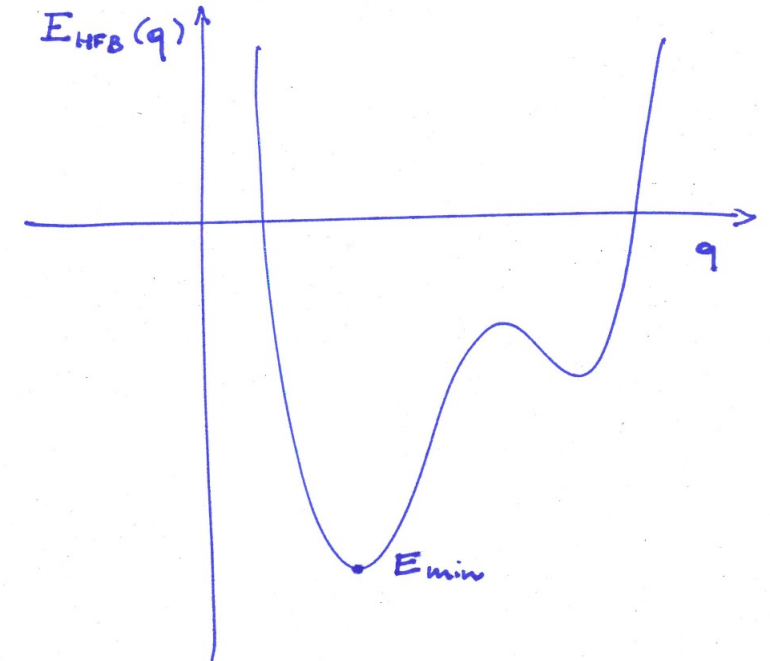


1 Constrained HF solutions

$$|\Phi(q)\rangle$$



Generator coordinates
(q can be any coordinate)



GCM and (Q)RPA

Schrödinger equation

$$H |\Psi_n\rangle = E_n |\Psi_n\rangle$$

Open-shell systems

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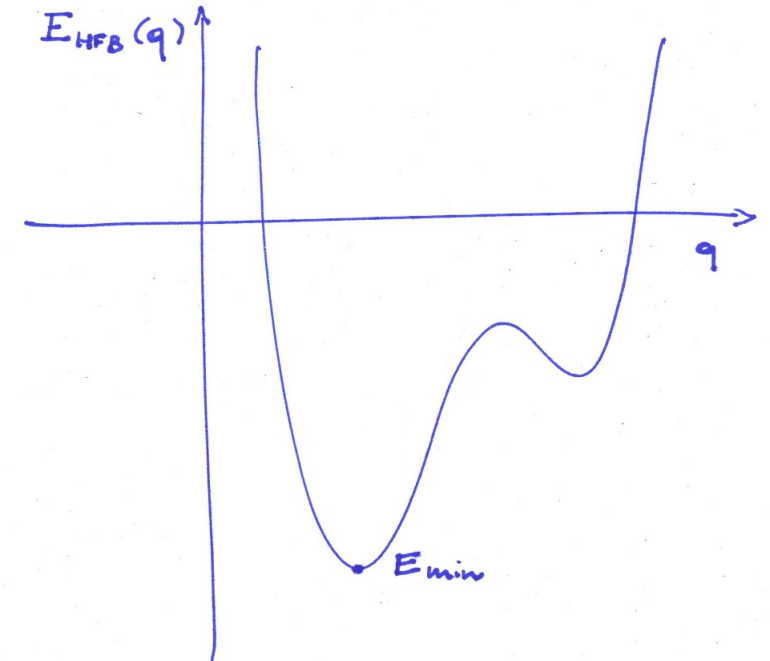
$$|\Phi(q)\rangle$$



Generator coordinates
(q can be any coordinate)

2 PGCM Ansatz

$$|\Psi_n\rangle = \int dq f_n(q) |\Phi(q)\rangle$$



GCM and (Q)RPA

Schrödinger equation

$$H |\Psi_n\rangle = E_n |\Psi_n\rangle$$

Open-shell systems

Symmetry-breaking reference states



Strong static correlations



1 Constrained HFB solutions

$$|\Phi(q)\rangle$$



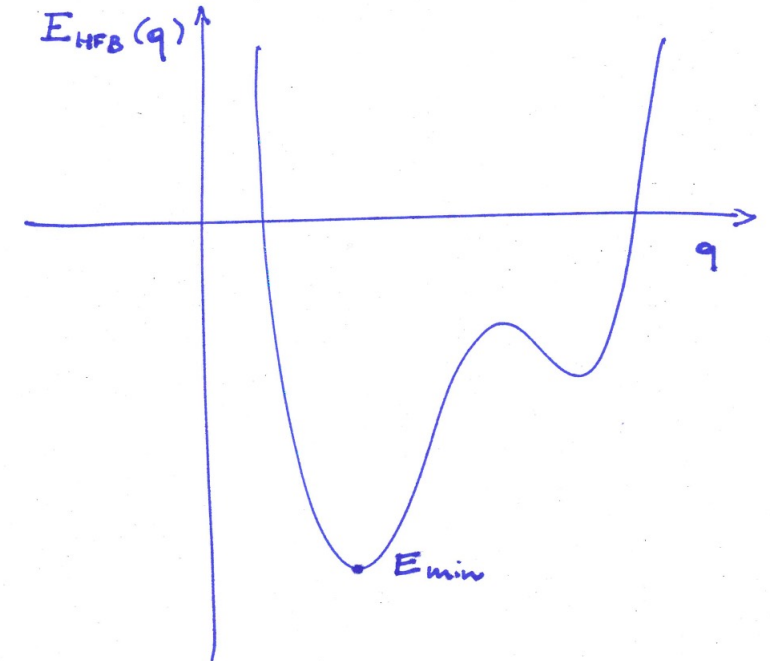
Generator coordinates

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2 PGCM Ansatz

$$|\Psi_n\rangle = \int dq f_n(q) |\Phi(q)\rangle$$

Initially developed for large-amplitude collective motion



GCM and (Q)RPA

Schrödinger equation

$$H |\Psi_n\rangle = E_n |\Psi_n\rangle$$

Open-shell systems

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1 Constrained HFB solutions

$$|\Phi(q)\rangle$$



Generator coordinates

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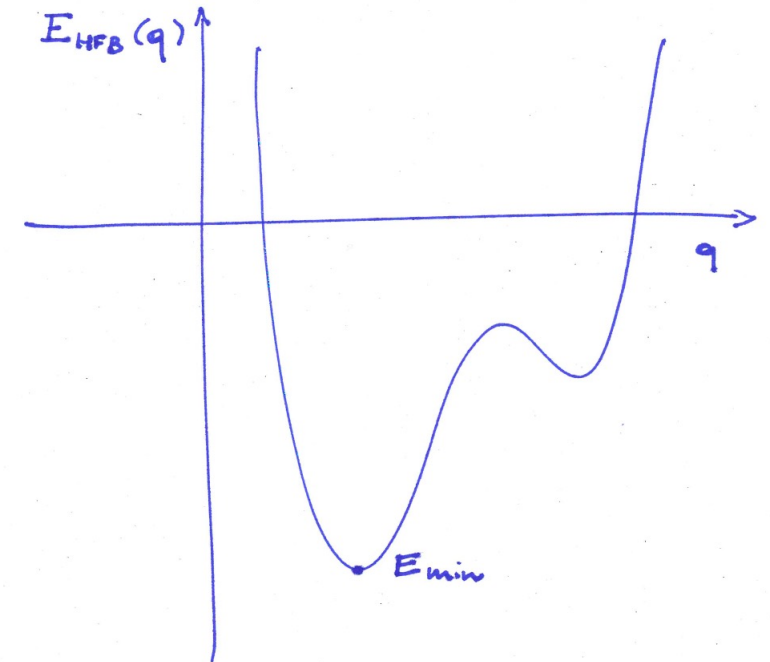
2 PGCM Ansatz

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Linear coefficients

Initially developed for large-amplitude collective motion



GCM and (Q)RPA

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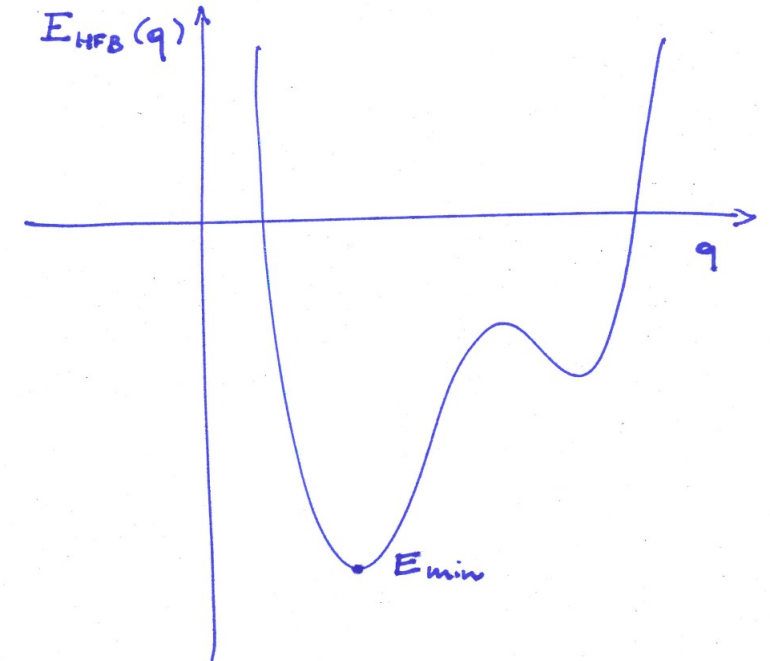


Linear coefficients

3 HWG Equation

Variational method

$$\delta \frac{\langle \Psi_n | H | \Psi_n \rangle}{\langle \Psi_n | \Psi_n \rangle} = 0$$



GCM and (Q)RPA

Schrödinger equation

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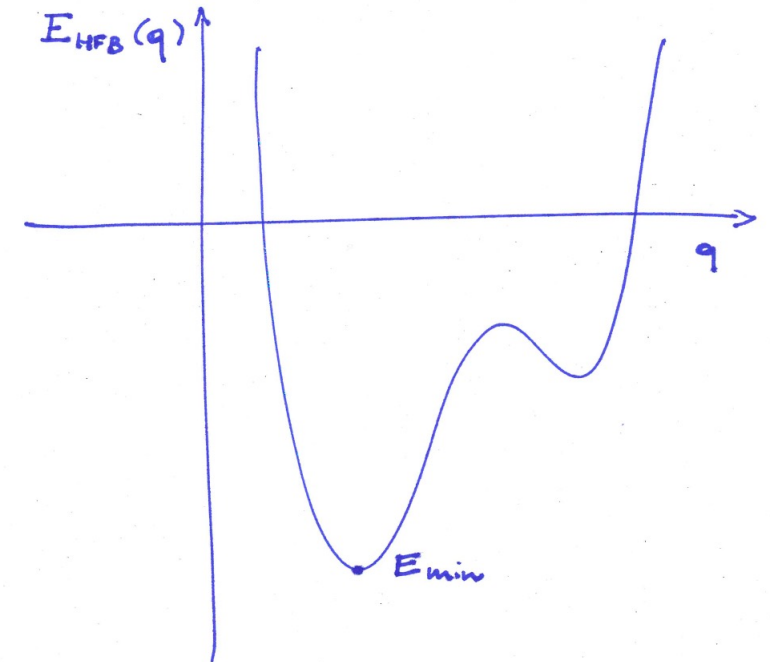
Schrödinger-like equation

$$\int [\mathcal{H}(p, q) - E_n \mathcal{N}(p, q)] f_n(q) dq = 0$$

Kernels evaluation

$$\mathcal{H}(p, q) \equiv \langle \Phi(p) | H | \Phi(q) \rangle$$

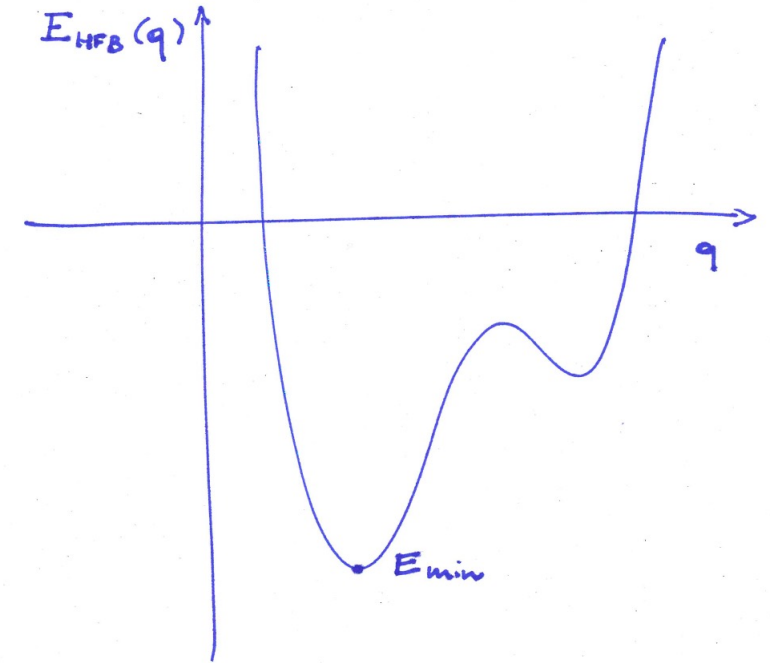
$$\mathcal{N}(p, q) \equiv \langle \Phi(p) | \Phi(q) \rangle$$



GCM and (Q)RPA

Schrödinger equation $H |\Psi_n\rangle = E_n |\Psi_n\rangle$

Diagonalization in a physically-informed
reduced Hilbert space



1 Constrained HFB solutions

$$|\Phi(q)\rangle$$

Generator coordinates
(q can be any coordinate)

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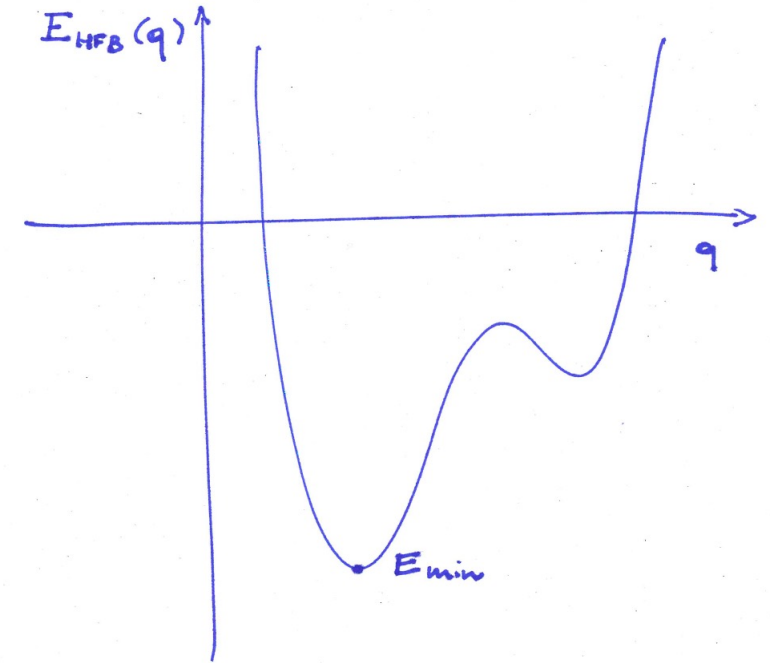
$$\mathcal{H}(p, q) \equiv \langle \Phi(p) | H | \Phi(q) \rangle$$

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GCM and (Q)RPA

Thouless theorem

$$|\Phi(q)\rangle = \langle \Phi(q_{min}) | \Phi(q) \rangle e^{\mathbf{Z}(q, q_{min})} |\Phi(q_{min})\rangle$$

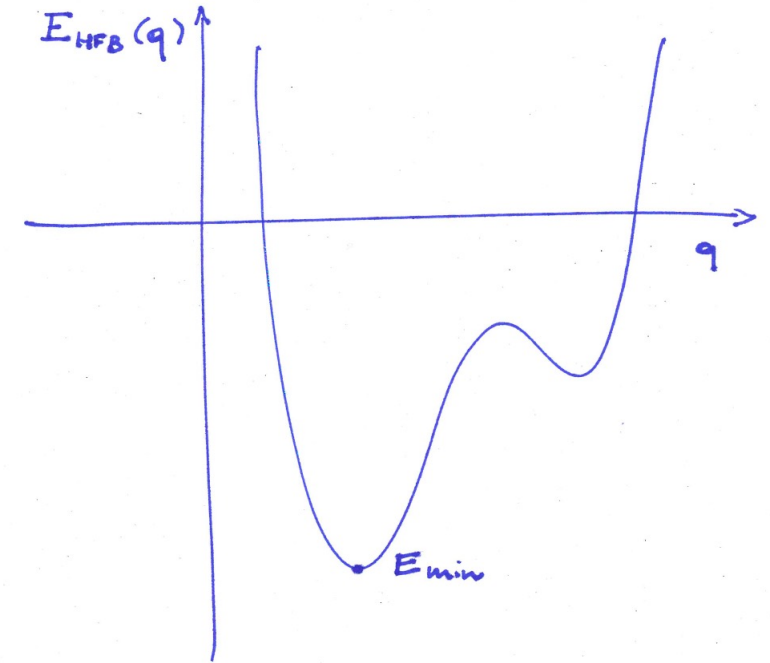


GCM and (Q)RPA

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Non-unitary transformation

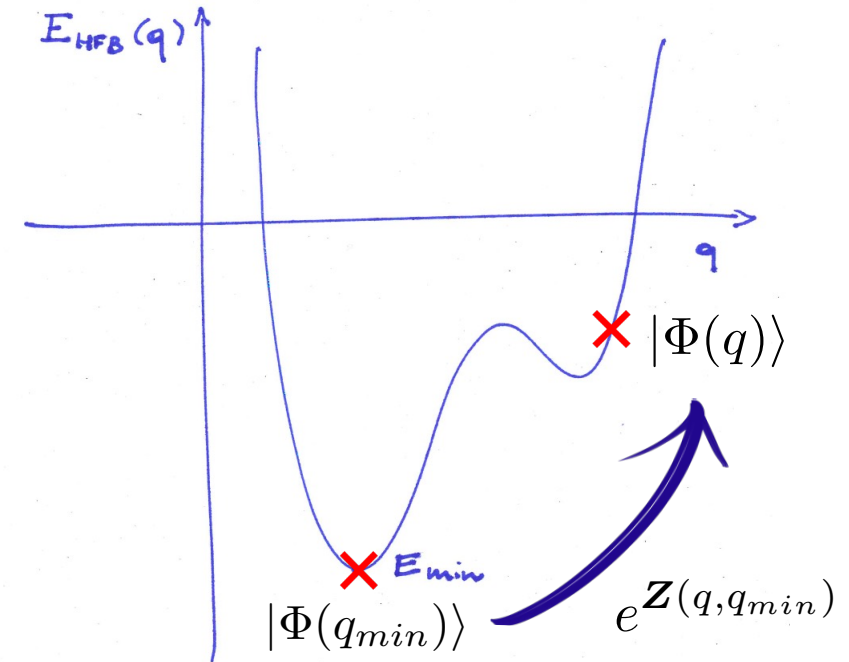


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GCM and (Q)RPA

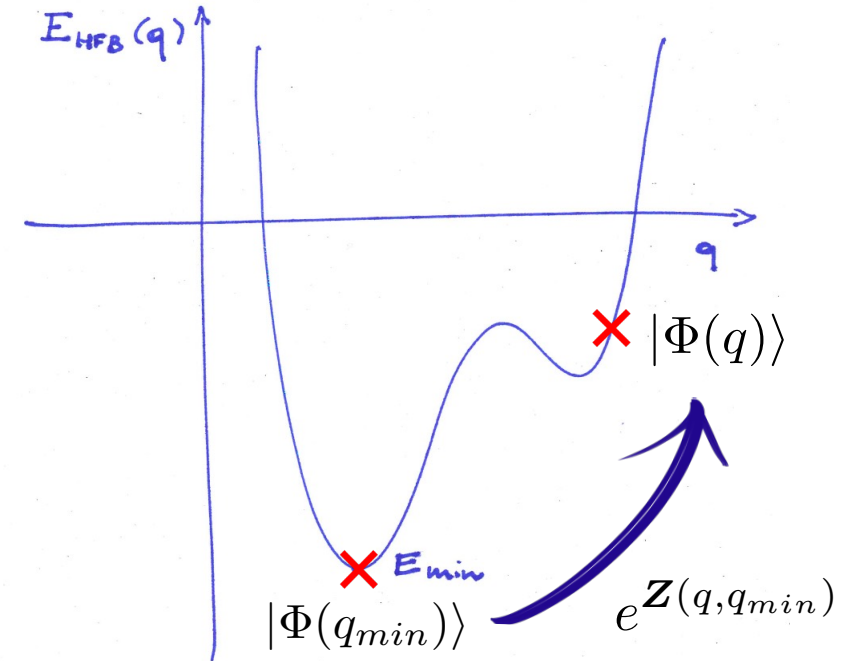
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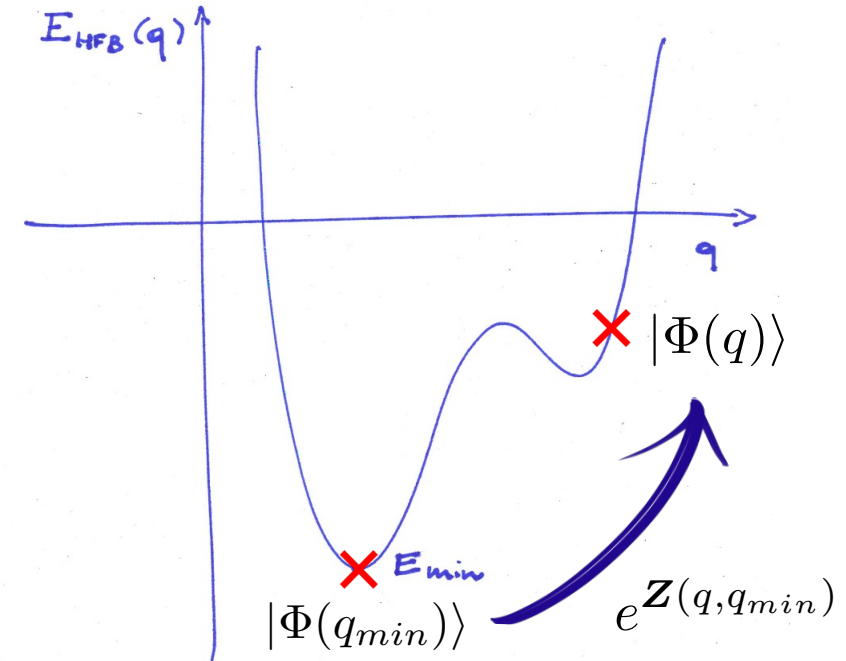
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HWG equation

$$\delta \frac{\langle \Psi_n | H | \Psi_n \rangle}{\langle \Psi_n | \Psi_n \rangle} = 0 \quad \text{Introduce the Quasi-Boson approximation (QBA)}$$



GCM and (Q)RPA

Thouless theorem

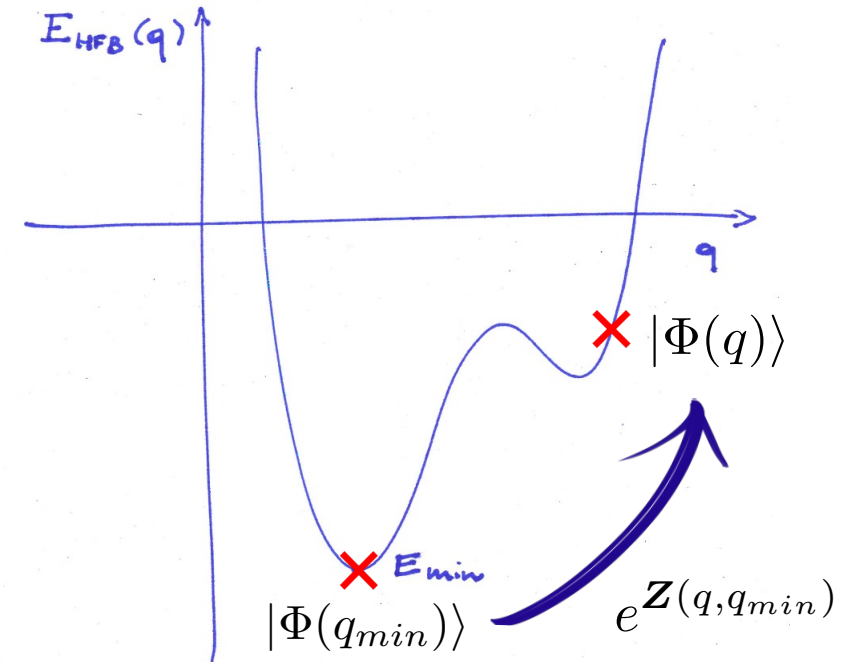
$$|\Phi(q)\rangle = \langle \Phi(q_{min}) | \Phi(q) \rangle e^{\mathbf{Z}(q, q_{min})} |\Phi(q_{min})\rangle$$

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→ Expand to the quadratic level in $\mathbf{Z}(q, q_{min})$



GCM and (Q)RPA

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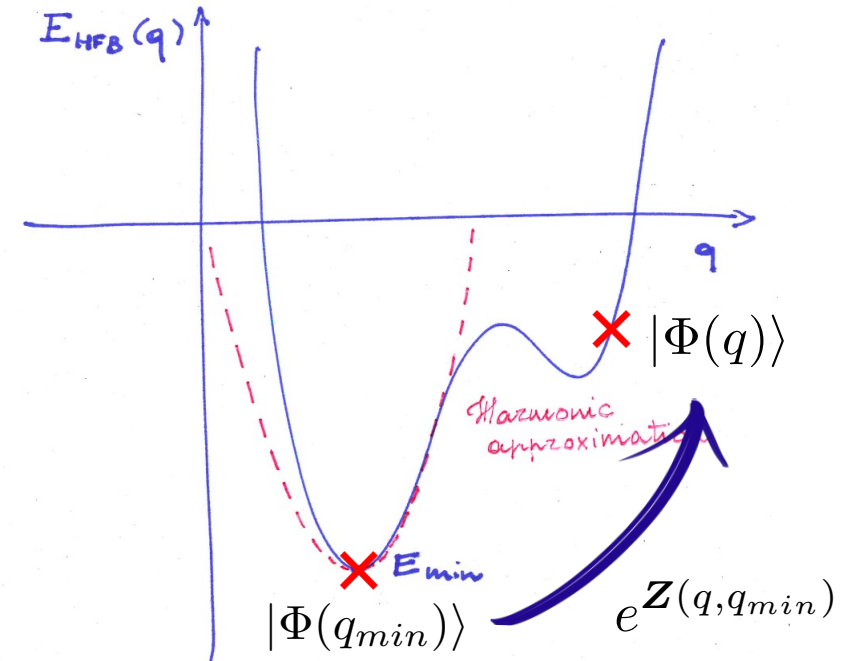
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→ Harmonic approximation



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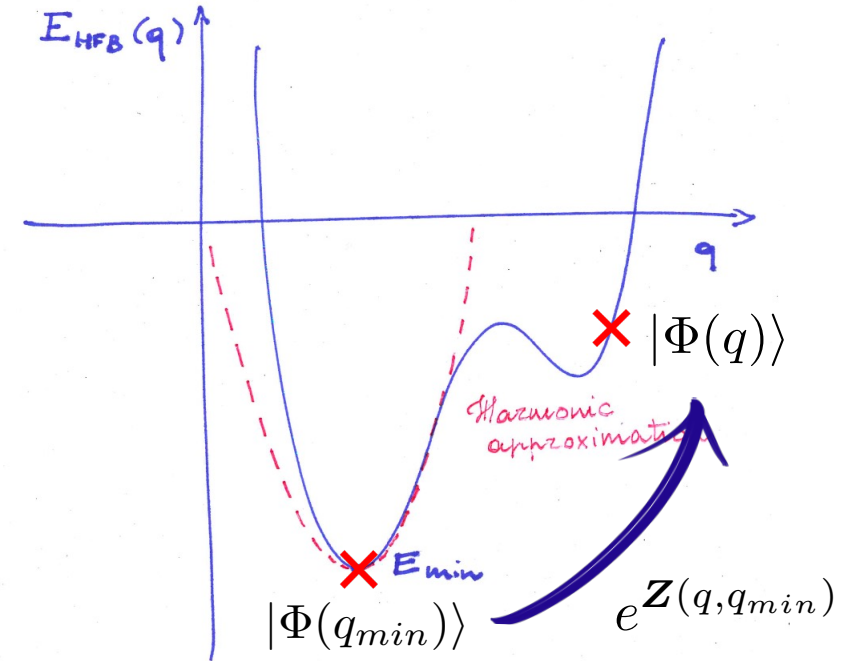
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Expand to the quadratic level in $\mathbf{Z}(q, q_{min})$

Harmonic approximation



No coordinates dependency!

All coordinates are explored
(differently from GCM)

GCM and (Q)RPA

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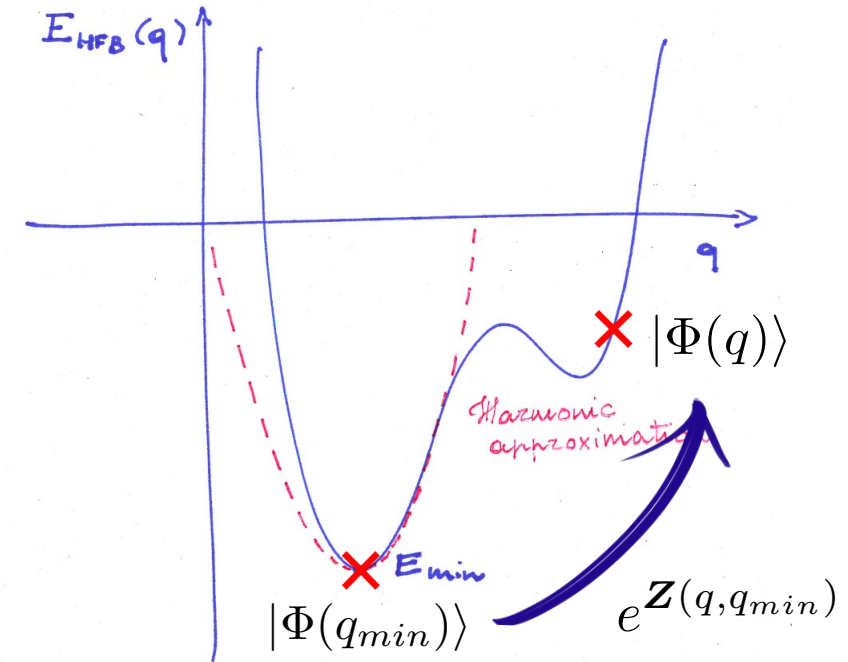
Expand to the quadratic level in $\mathbf{Z}(q, q_{min})$

Harmonic approximation

Eventually rewrites as (Q)RPA equations

$$\begin{pmatrix} A & B \\ -B^* & -A^* \end{pmatrix} \begin{pmatrix} X^n \\ Y^n \end{pmatrix} = E_n \begin{pmatrix} X^n \\ Y^n \end{pmatrix}$$

[Jancovici, Schiff, 1964]



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GCM and (Q)RPA

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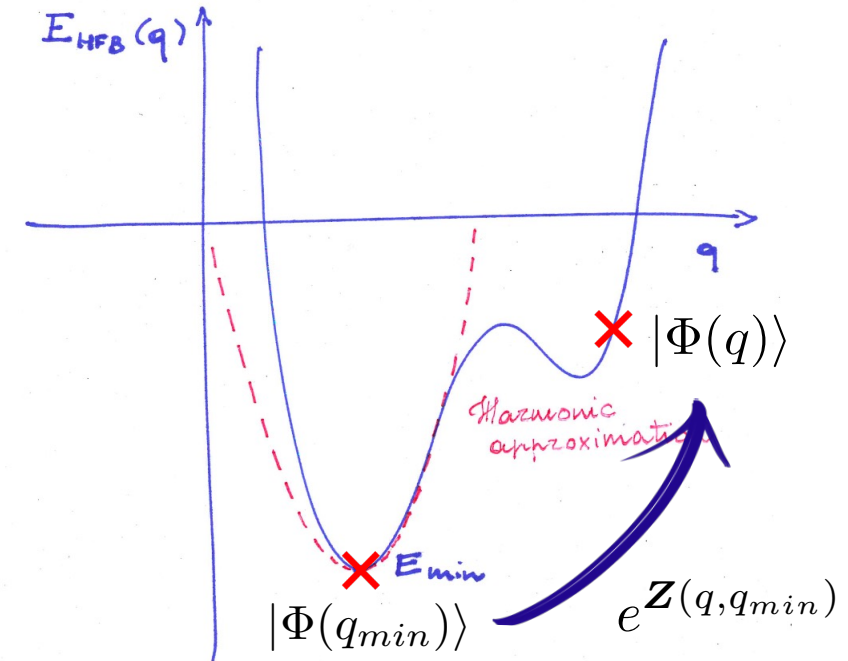
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Nuclei that are stiff against deformations
(anharmonic effects negligible)



All coordinates are explored
(differently from GCM)

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Random Phase Approximation

- Theoretical introduction
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Conclusions

Symmetry restoration in the QRPA

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Intrinsic density is the fundamental variable in EDF

Symmetry restoration in the QRPA

Intrinsic density is the fundamental variable in EDF

Much is learnt from symmetry breaking and restoration

Symmetry restoration in the QRPA

Intrinsic density is the fundamental variable in EDF

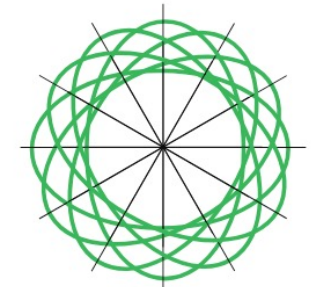
Much is learnt from symmetry breaking and restoration



Deformed mean-field



Angular momentum projected mean-field



Symmetry restoration in the QRPA

Intrinsic density is the fundamental variable in EDF

Much is learnt from symmetry breaking and restoration

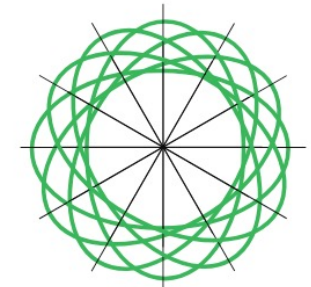


- Common in SR and MR EDF
- Not in linear response on SR EDF (i.e. QRPA)

Deformed
mean-field



Angular momentum
projected mean-field



Symmetry restoration in the QRPA

Intrinsic density is the fundamental variable in EDF

Much is learnt from symmetry breaking and restoration

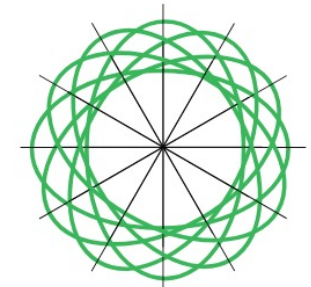


- Common in SR and MR EDF
- Not in linear response on SR EDF (i.e. QRPA)

Deformed mean-field



Angular momentum projected mean-field



SYMMETRY-CONSERVING RANDOM PHASE APPROXIMATION[†]

C. FEDERSCHMIDT and P. RING*

Physik-Department der Technischen Universität München, D-8046 Garching, West Germany

Received 18 July 1984

Abstract: The projected random phase approximation (PRPA) is derived from a generator coordinate ansatz. It allows the calculation of excited states in the region of phase transitions, where conventional RPA breaks down. The theory is applied for an approximate solution of the R(8) model which shows a pairing collapse at large angular momenta.

Symmetry restoration in the QRPA

Intrinsic density is the fundamental variable in EDF

Much is learnt from symmetry breaking and restoration

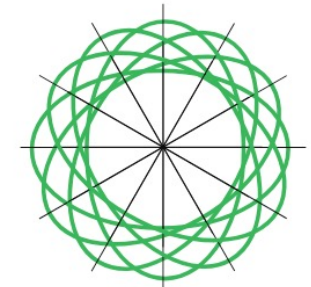


- Common in SR and MR EDF
- Not in linear response on SR EDF (i.e. QRPA)

Deformed mean-field



Angular momentum projected mean-field



SYMMETRY-CONSERVING RANDOM PHASE APPROXIMATION[†]

C. FEDERSCHMIDT and P. RING*

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Received 18 July 1984

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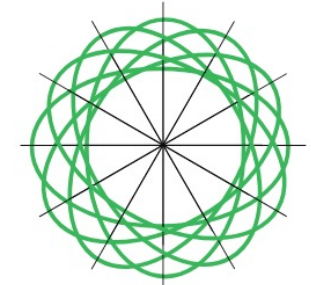


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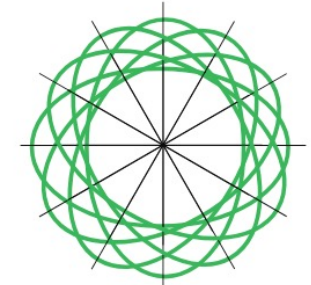
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Computationally expensive, no realistic application
[Gambacurta, Lacroix, PRC (2012) 86, 064320]

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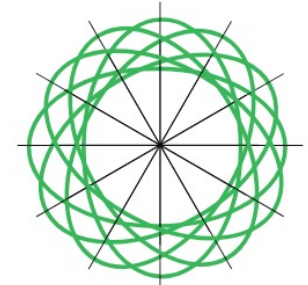
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mean-field



Angular momentum
projected mean-field



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What about **PAV QRPA** ?

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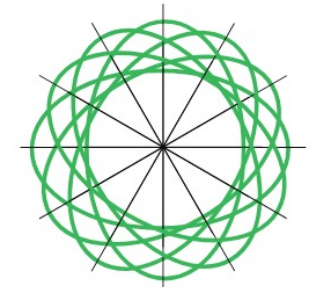
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What about **PAV QRPA** ?

Can we treat projection a posteriori ?

PAV RPA

Standard assumptions

- Needle approximation for AMP
- RPA reinstates the missing symmetries to some extent

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Remark

For J=0 projection is a pure rotation $|ROT\rangle \equiv N_{ROT} P_{00}^0 |HF\rangle$

Introduction

- Giant Resonances
- GCM and RPA

Random Phase Approximation

- Theoretical introduction
- Angular Momentum Projection

Results

- Rotation-Vibration coupling
- Comparison to ab initio PGCM

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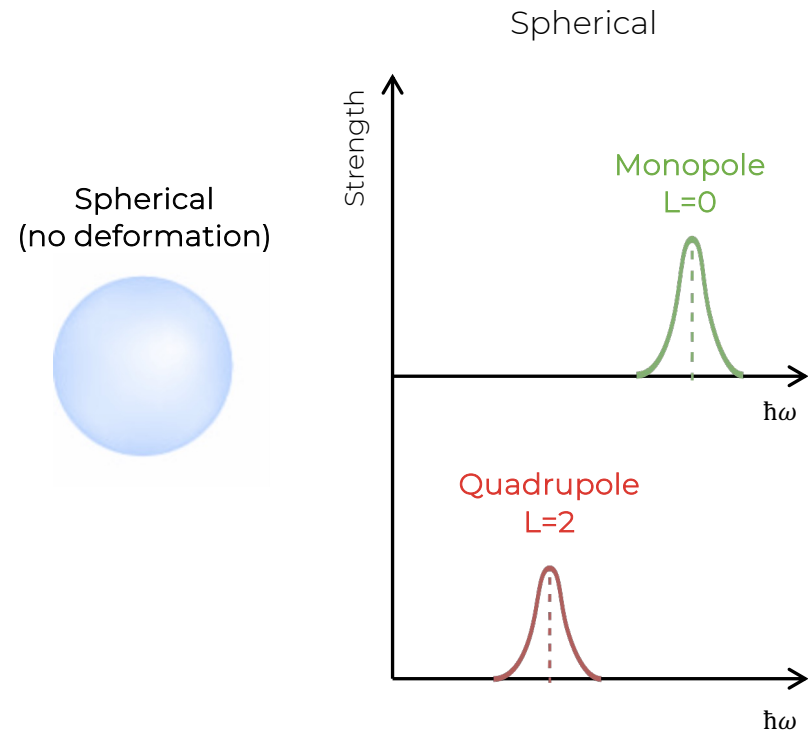
QRPA code based on HFBTHO v1.66

SkM* parametrisation

Systematic study for ^{24}Mg

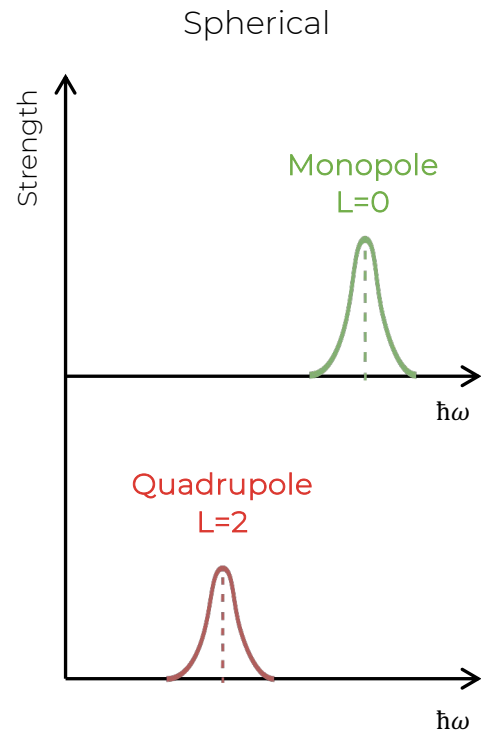
AMP RPA results

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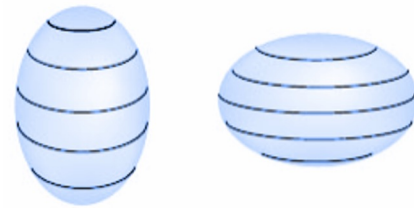


AMP RPA results

Spherical
(no deformation)

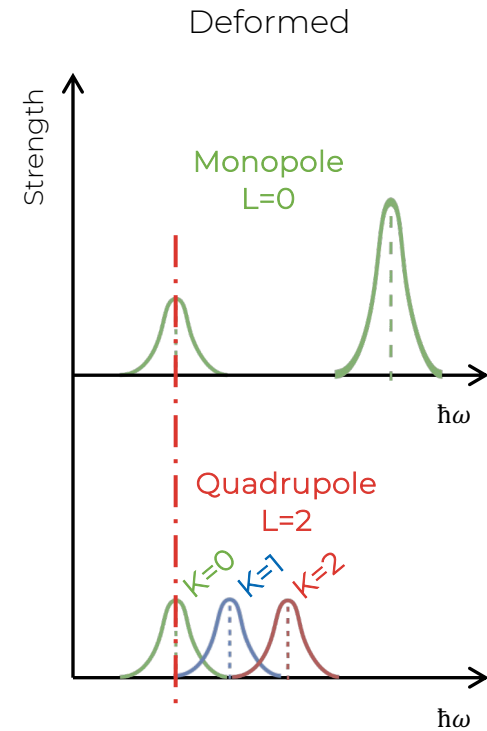


Spheroidal
(deformed)



Prolate
(cigar type)

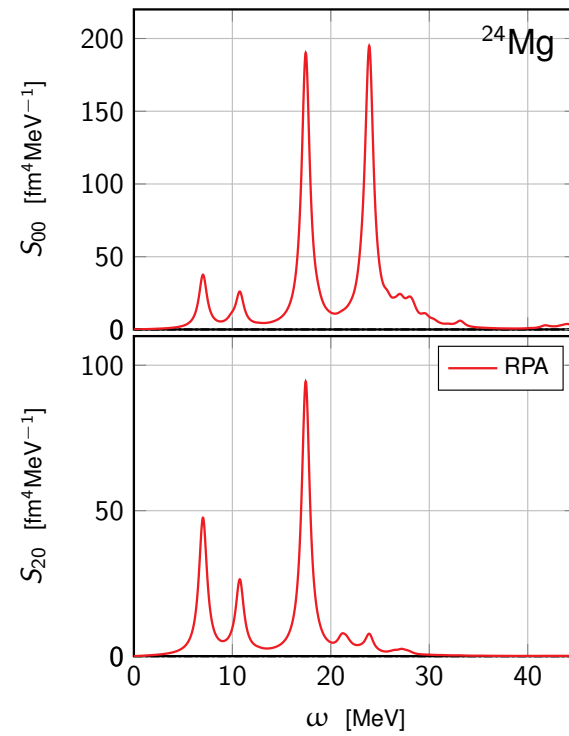
Oblate
(pancake type)



AMP RPA results

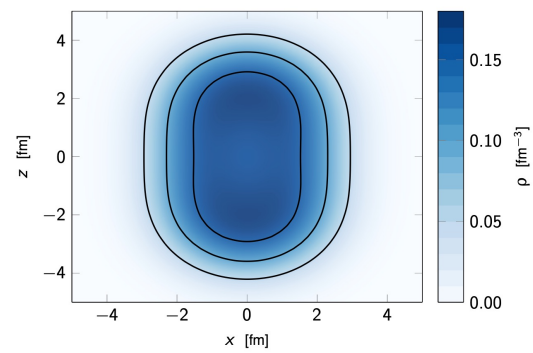
Intrinsic frame (deformed)

[PRC (2024) 109, 044315]

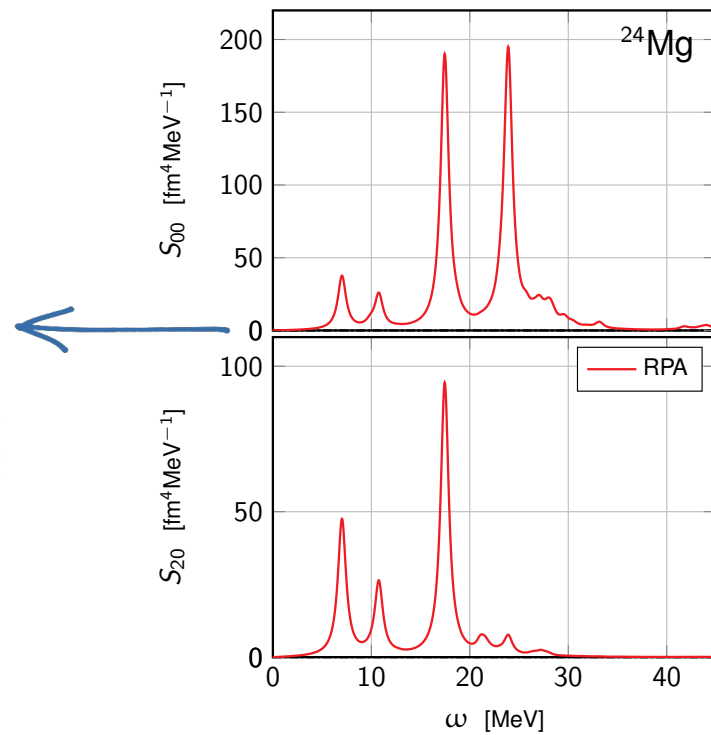


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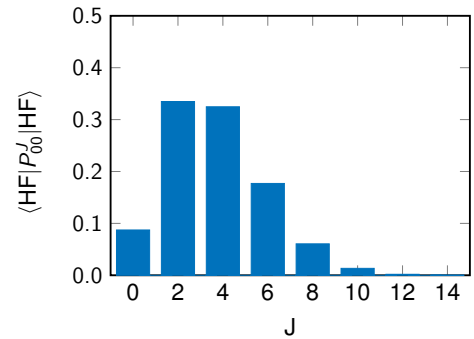


Well-deformed HF ground state

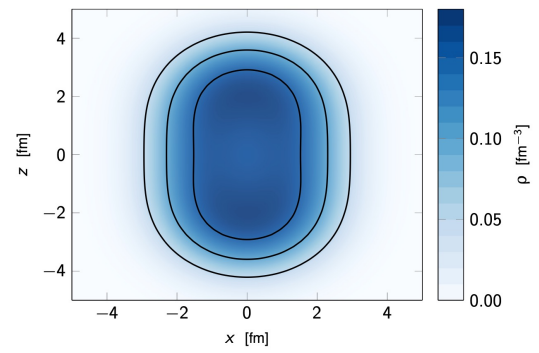


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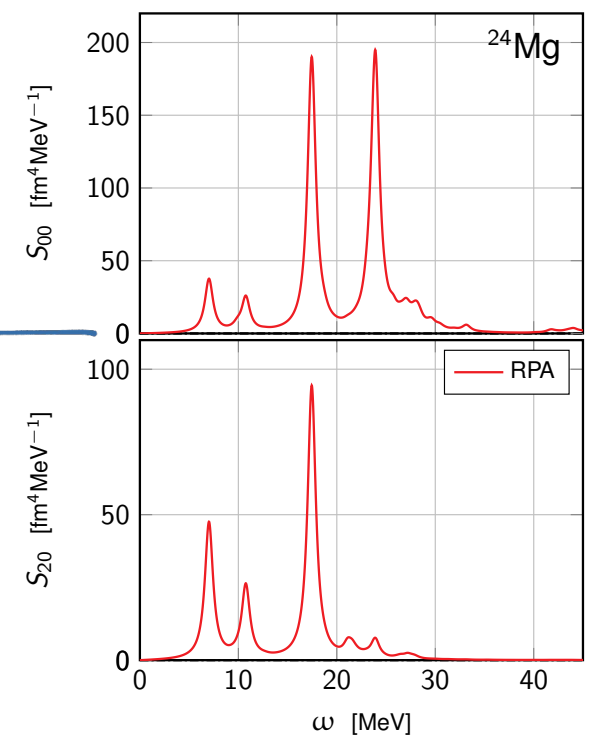
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Spread over several J's

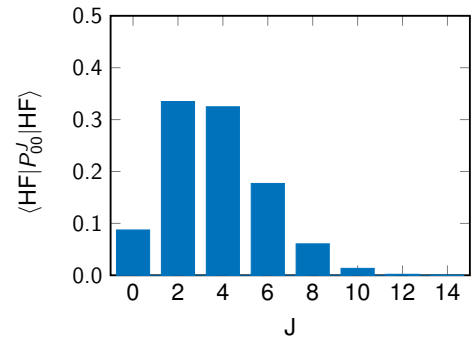


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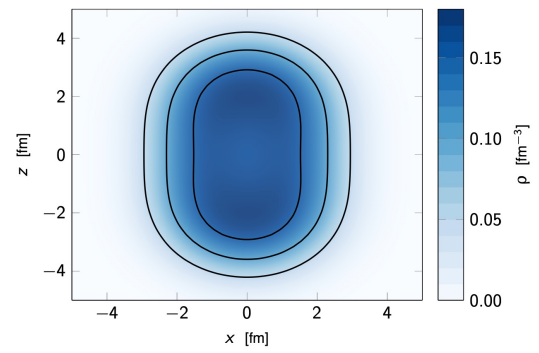


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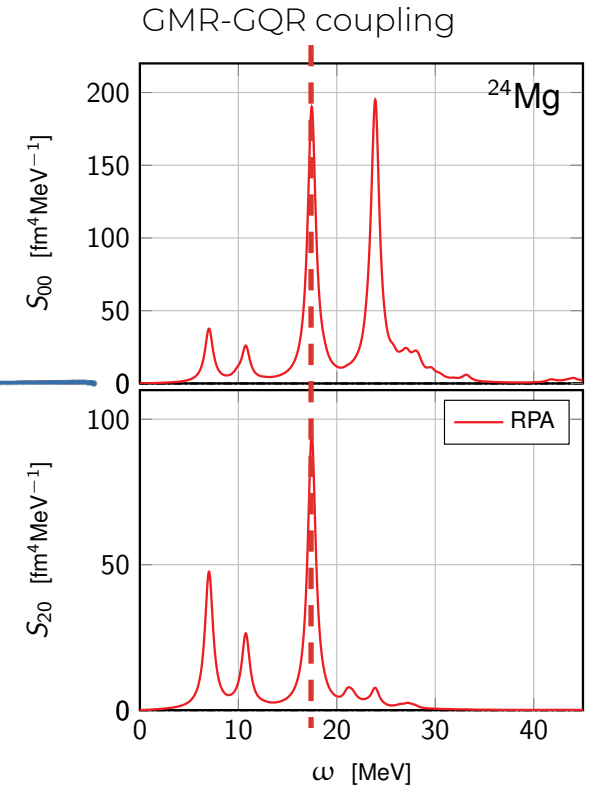
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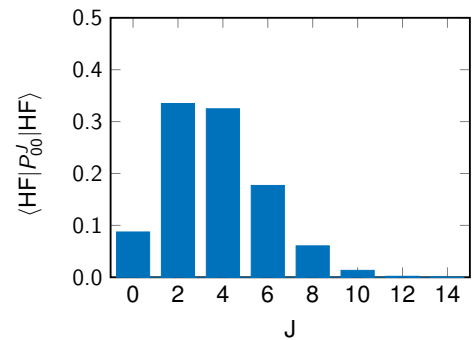


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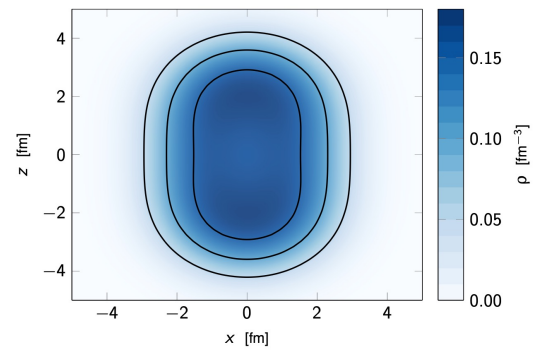


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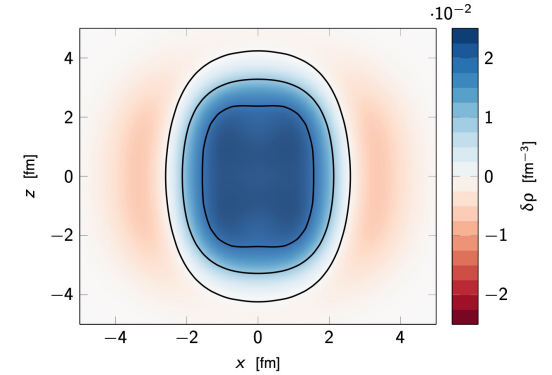
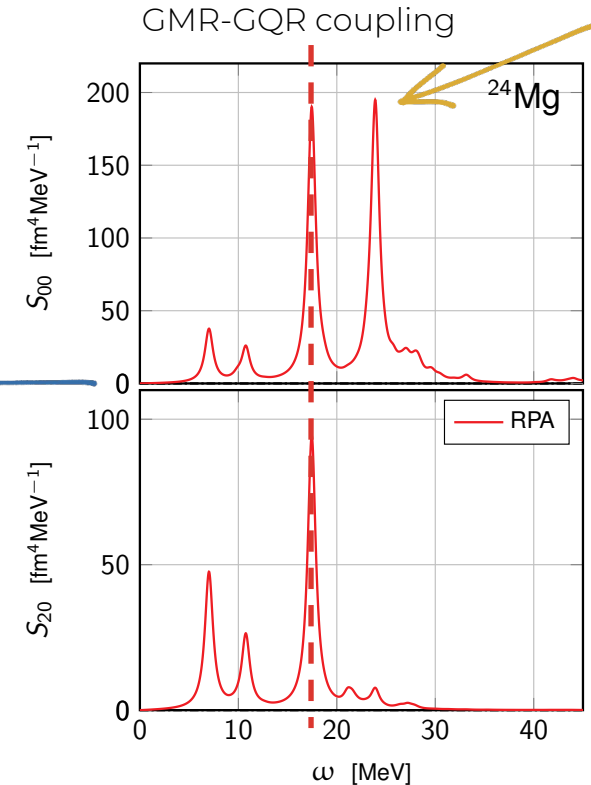
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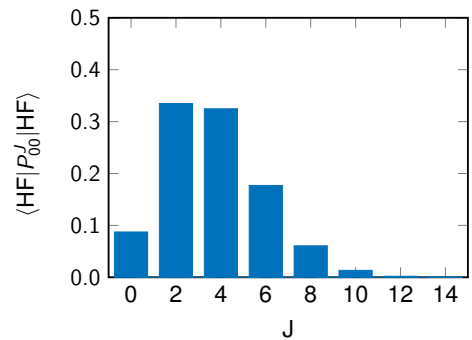


Monopolar vibration (shape-conserving)

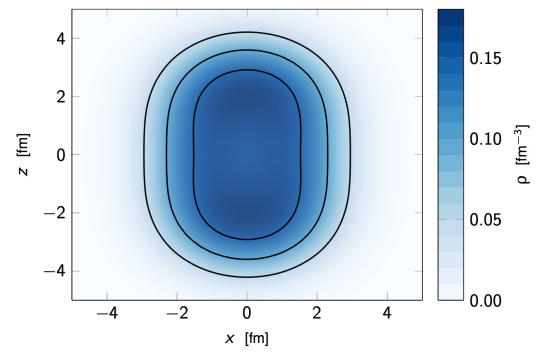
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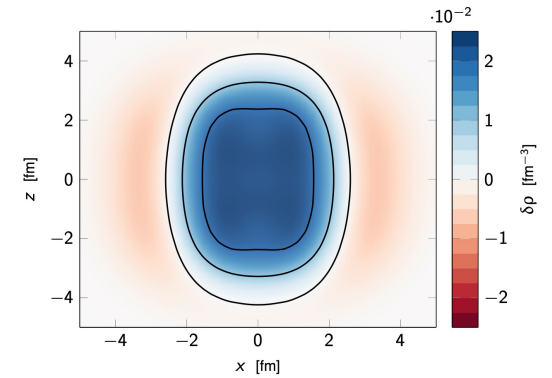
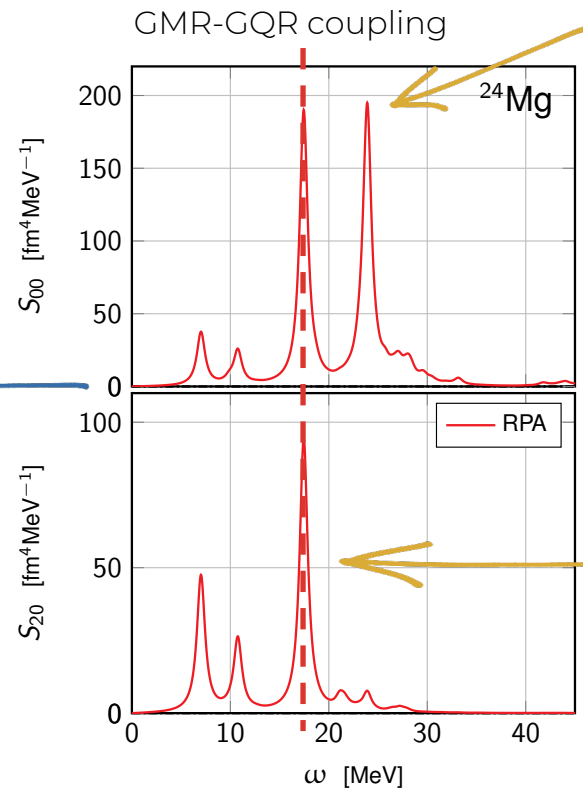
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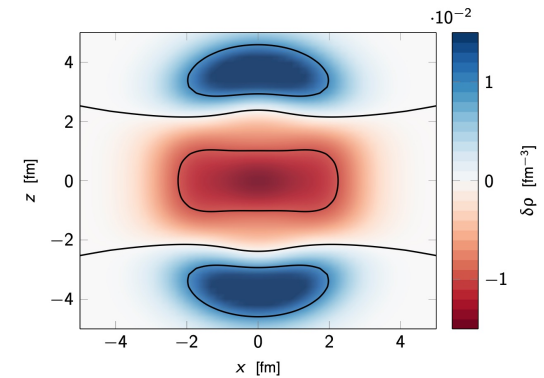
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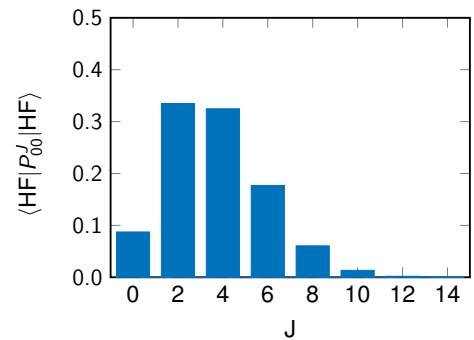


Quadrupolar vibration (clear Y_{20} signature)

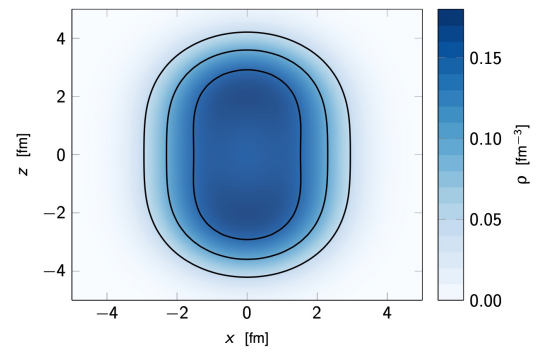
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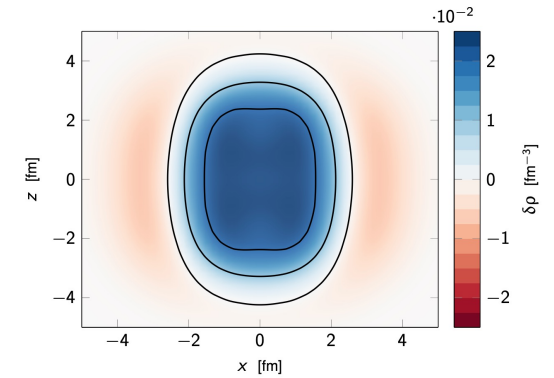
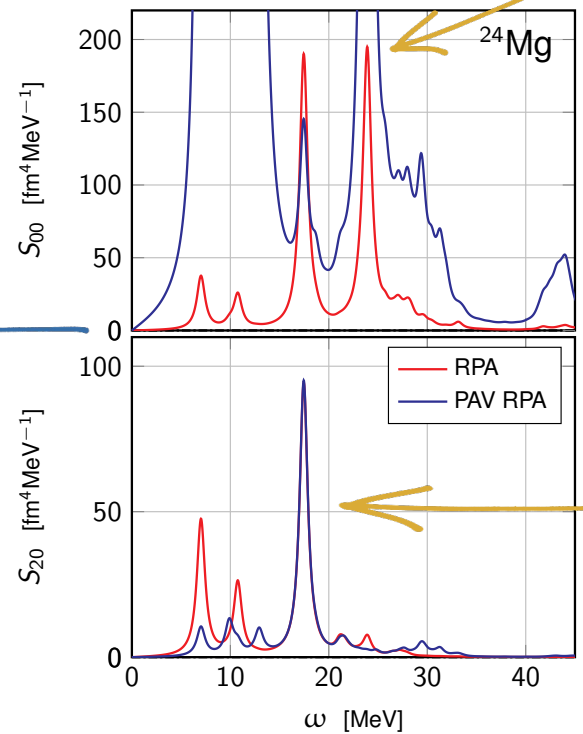
Laboratory frame (projected)



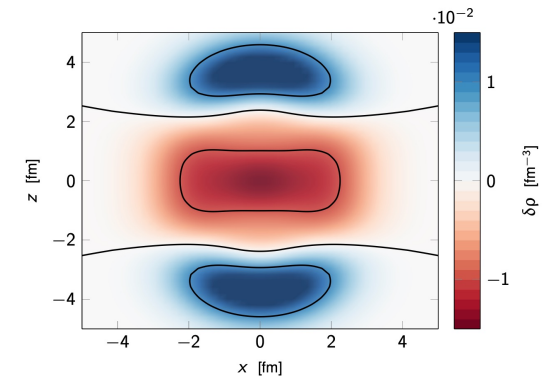
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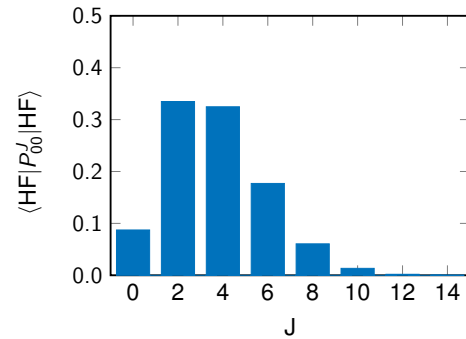


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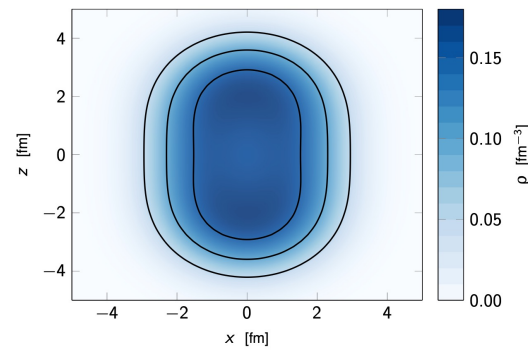
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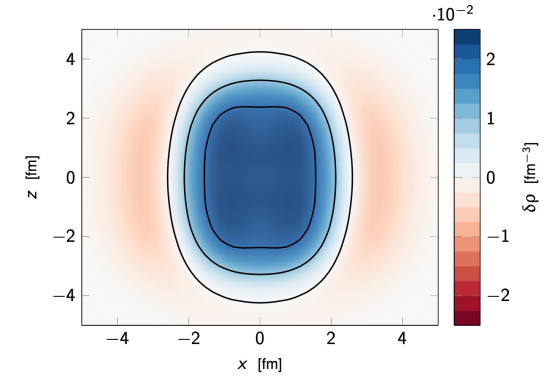
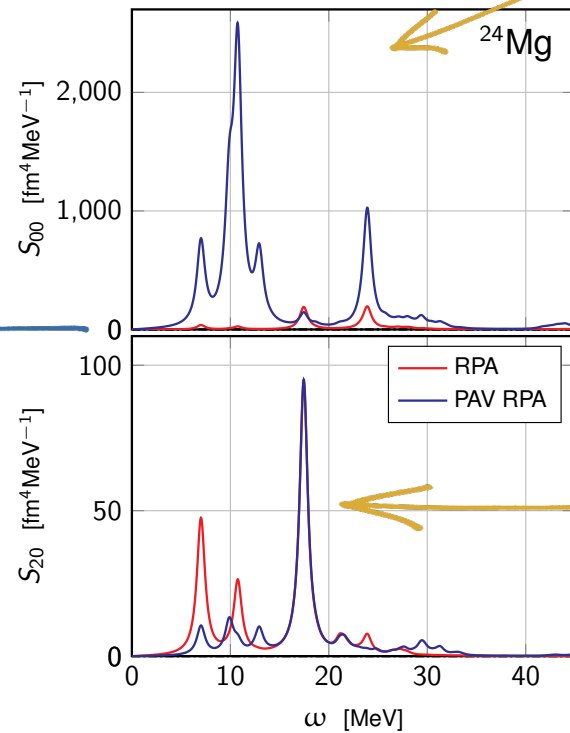
Laboratory frame (projected)



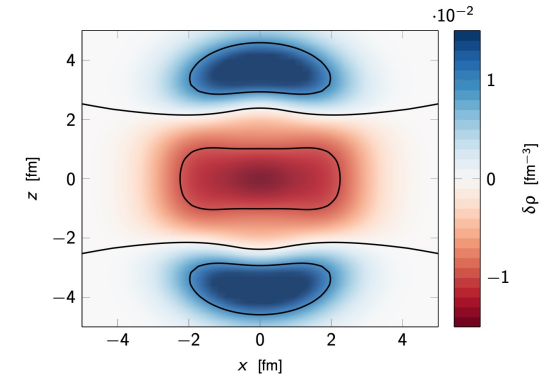
Spread over several J's



Well-deformed HF ground state



Monopolar vibration (shape-conserving)

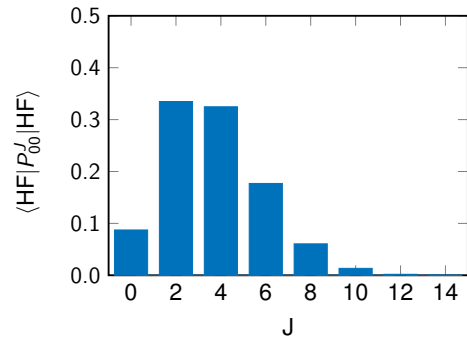


Quadrupolar vibration (clear Y_{20} signature)

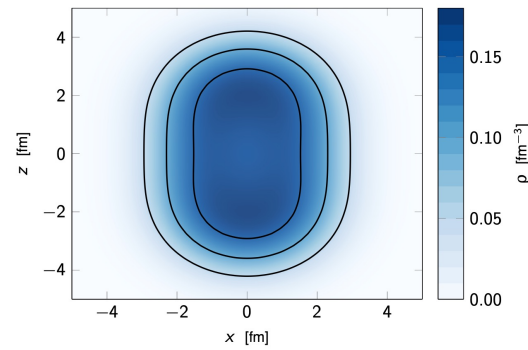
AMP RPA results

Intrinsic frame (deformed)

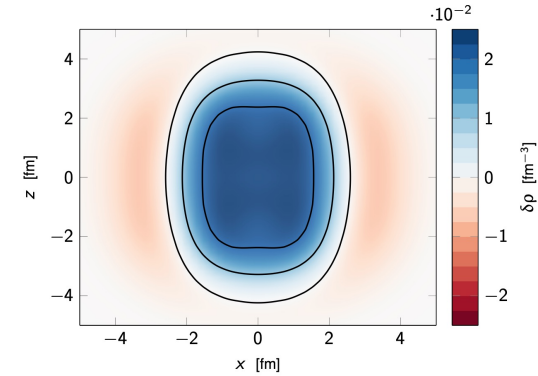
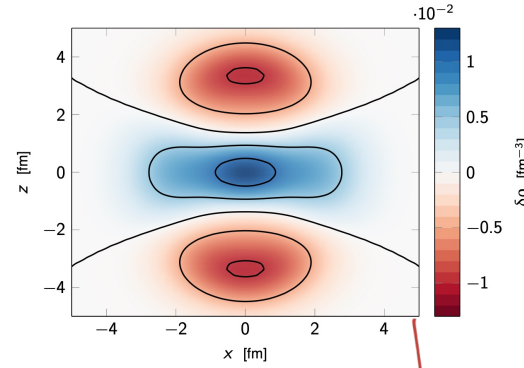
Laboratory frame (projected)



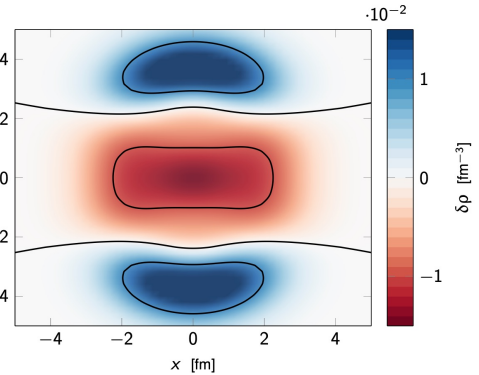
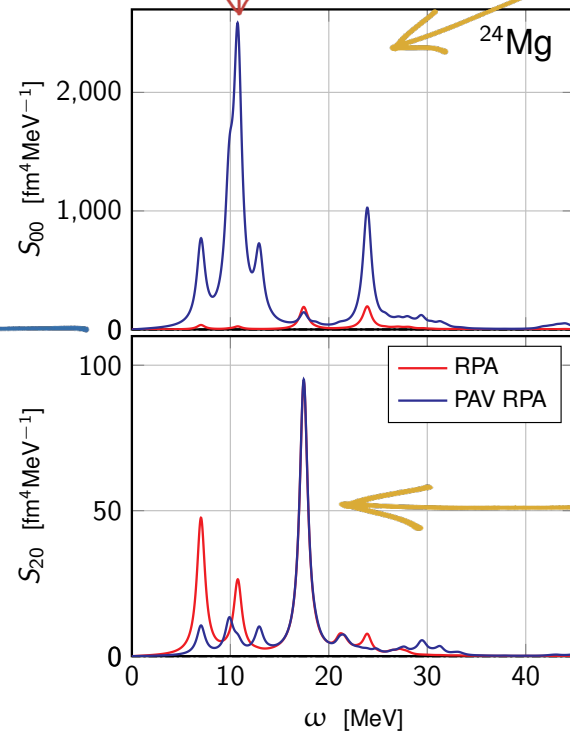
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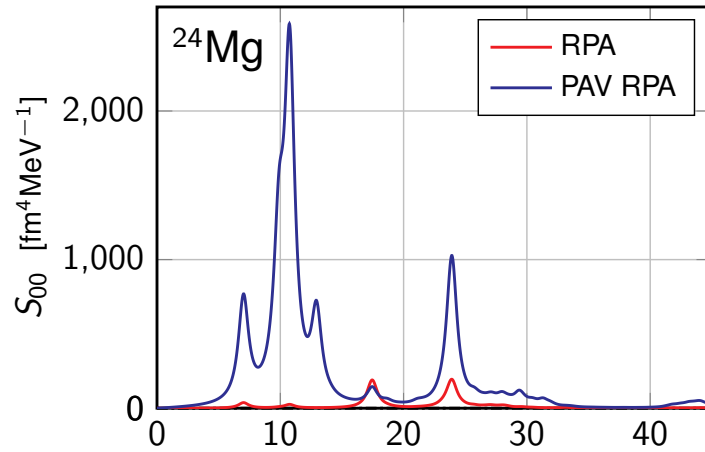
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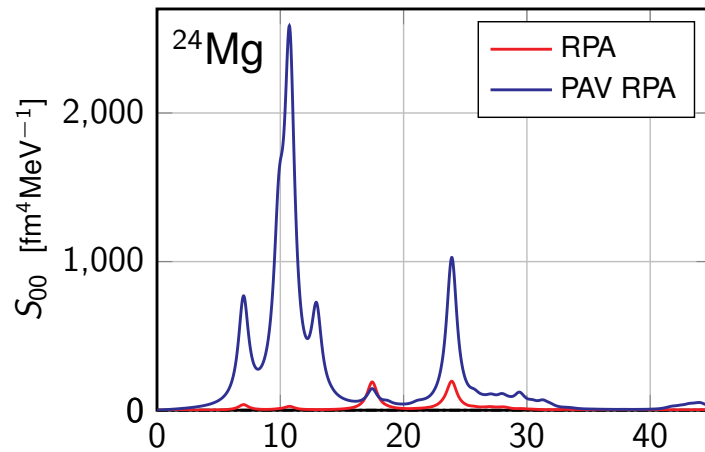
Rotation-vibration coupling

Where does the strength come from ?



Rotation-vibration coupling

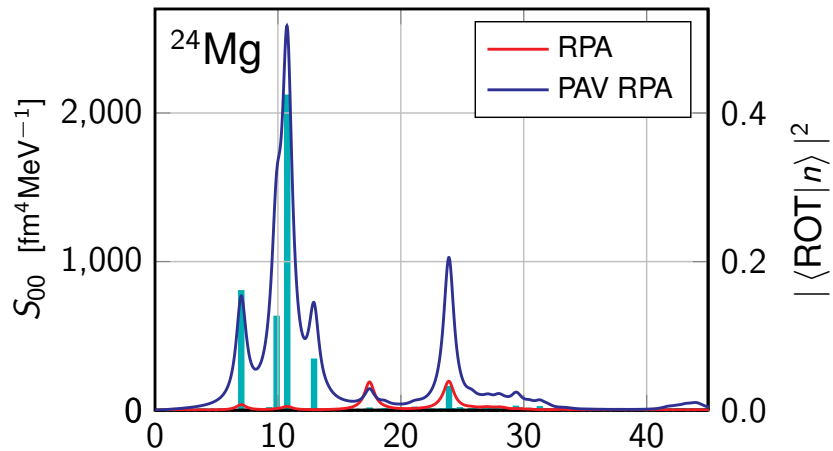
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RPA : symmetry-**breaking** solutions $|n\rangle_{\text{def}}$ (vibrational)

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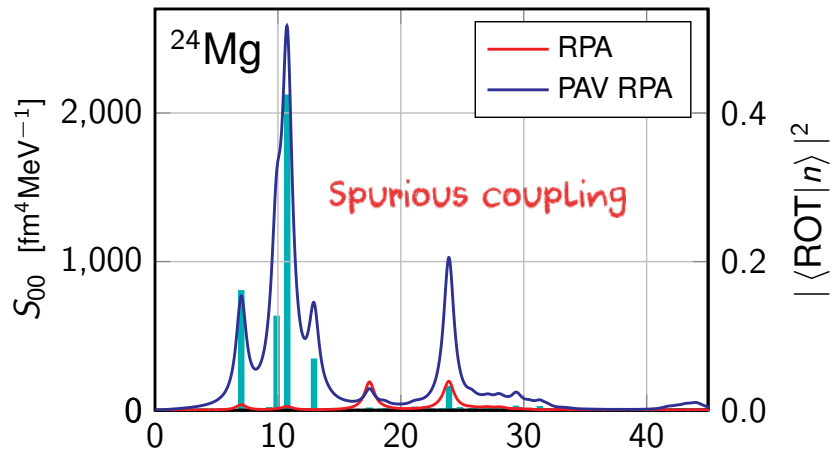
Non-vanishing overlap with the rotational state !

$$\langle \text{ROT} | n \rangle_{\text{def}}$$

$$|\text{ROT}\rangle \equiv N_{\text{ROT}} P_{00}^0 |\text{HF}\rangle$$

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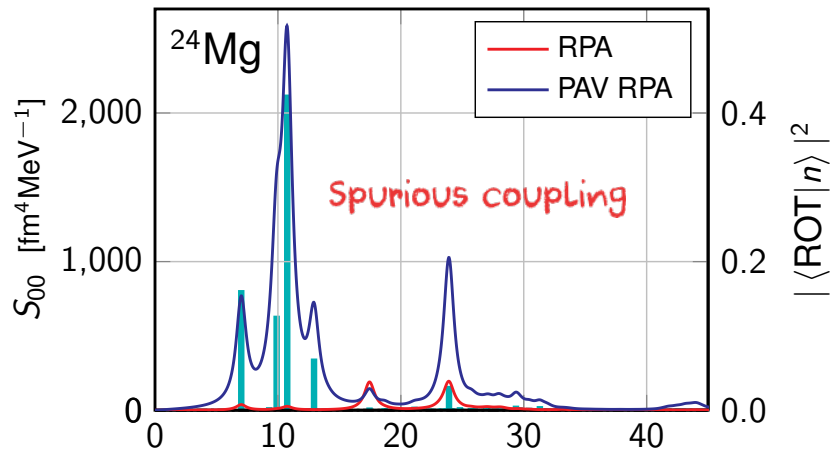
$$\langle \text{ROT} | n \rangle_{\text{def}} \quad |\text{ROT}\rangle \equiv N_{\text{ROT}} P_{00}^0 | \text{HF} \rangle$$

RPA states have vibrational and rotational (spurious) content

$$|n\rangle_{\text{def}} = a_{\text{rot}} |\text{ROT}\rangle + b_{\text{vib}} |\text{VIB}\rangle$$

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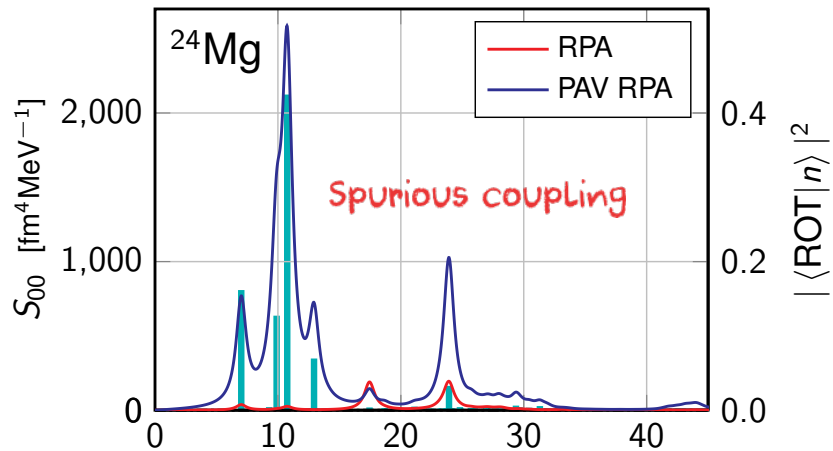
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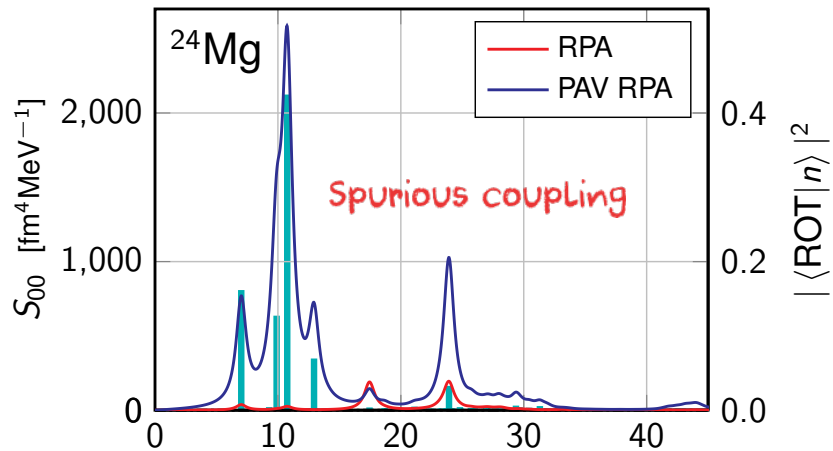
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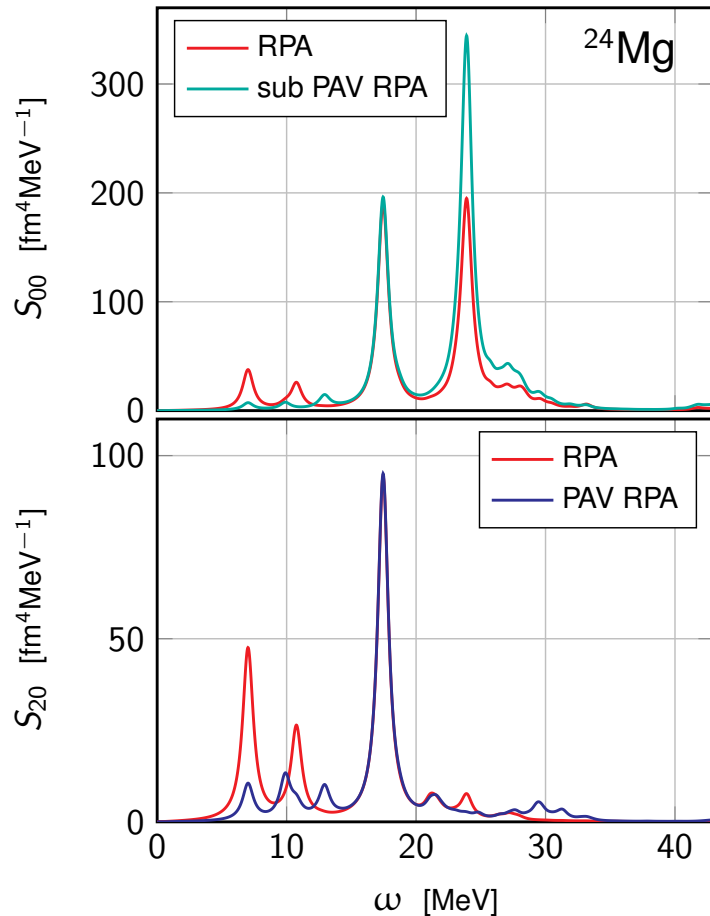
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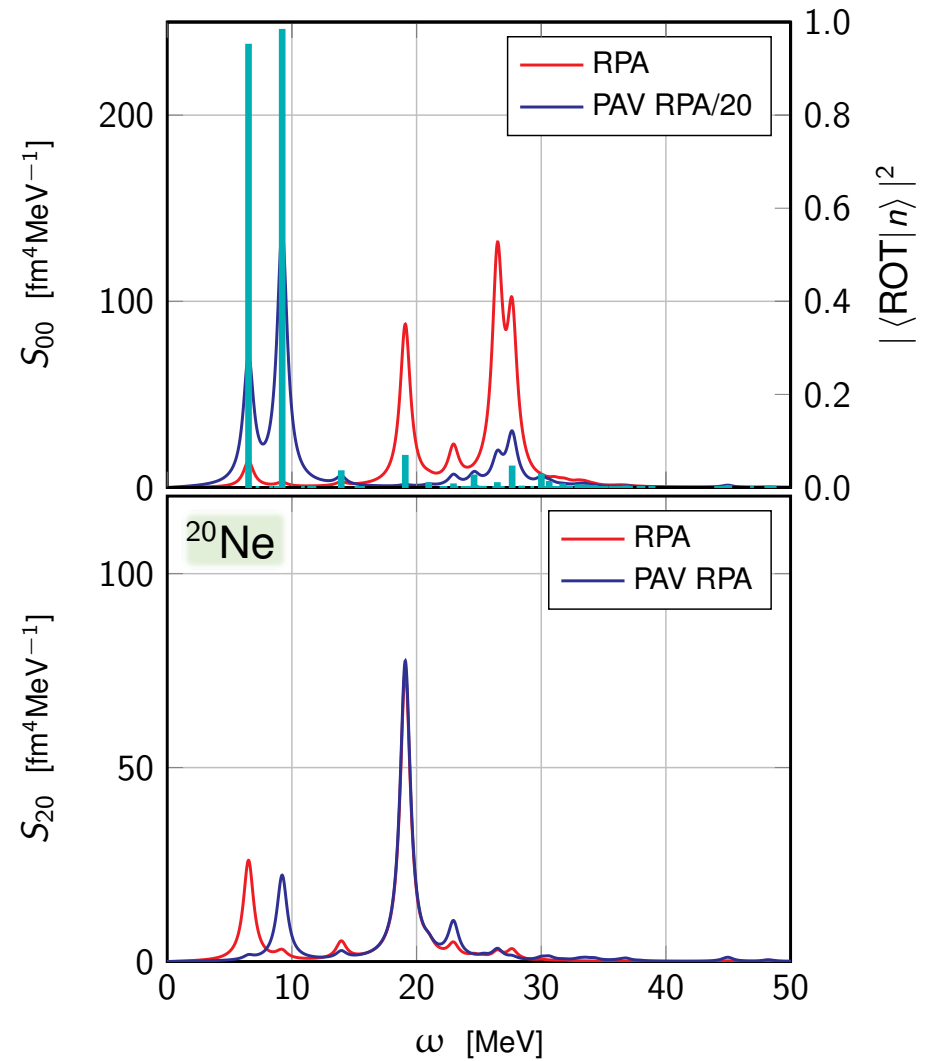
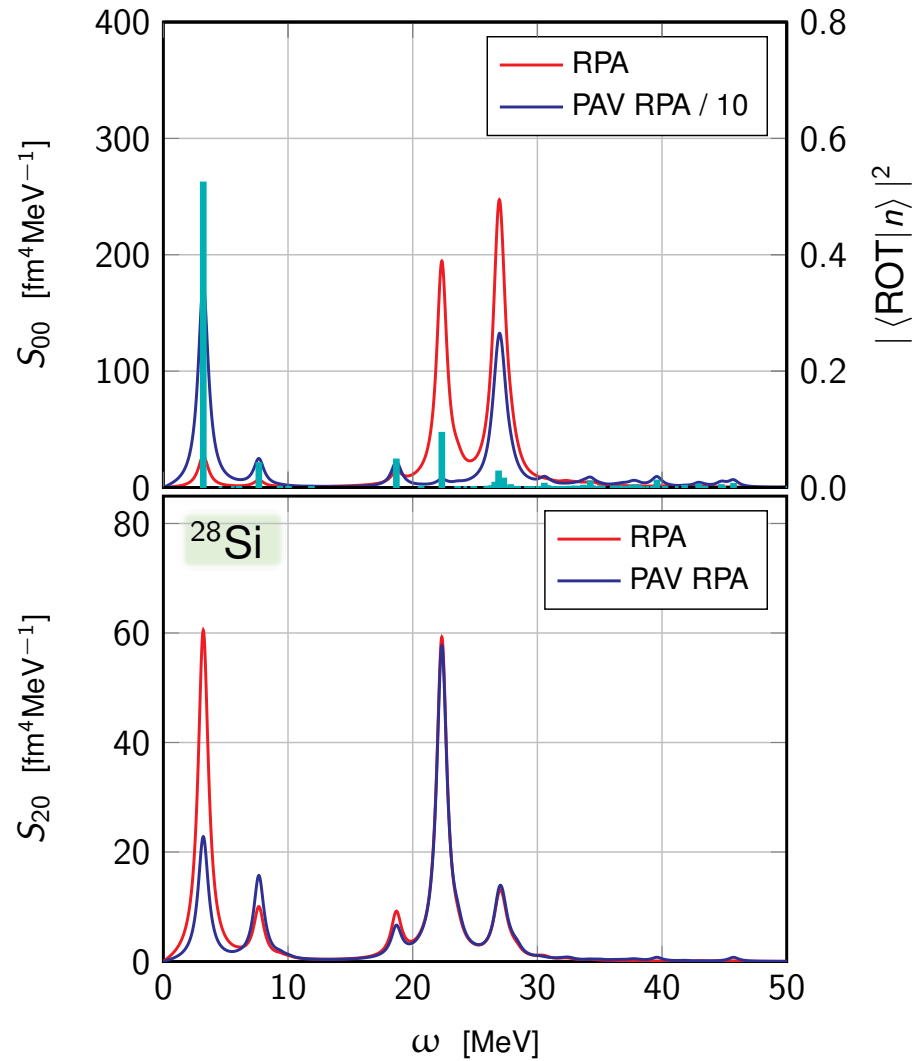
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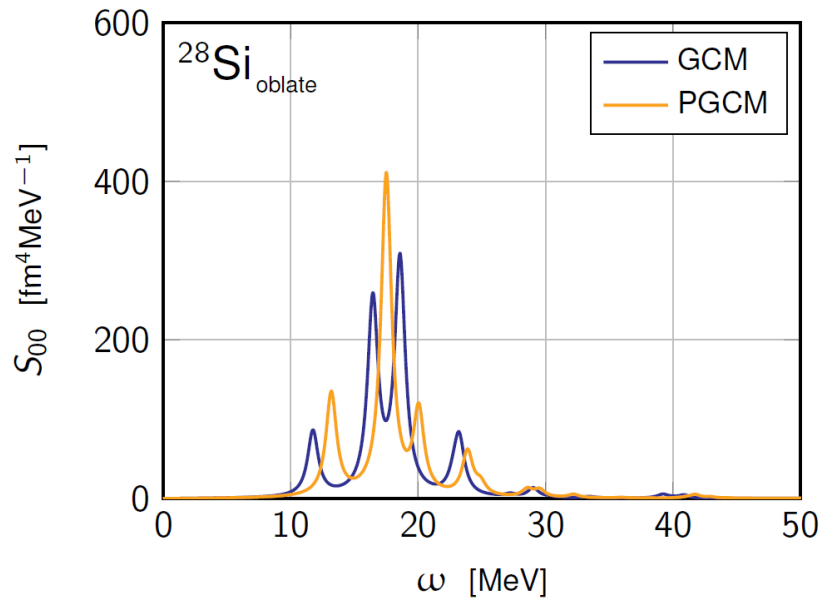
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Subtraction + Projection

Observation in other systems



Comparison to ab initio PGCM



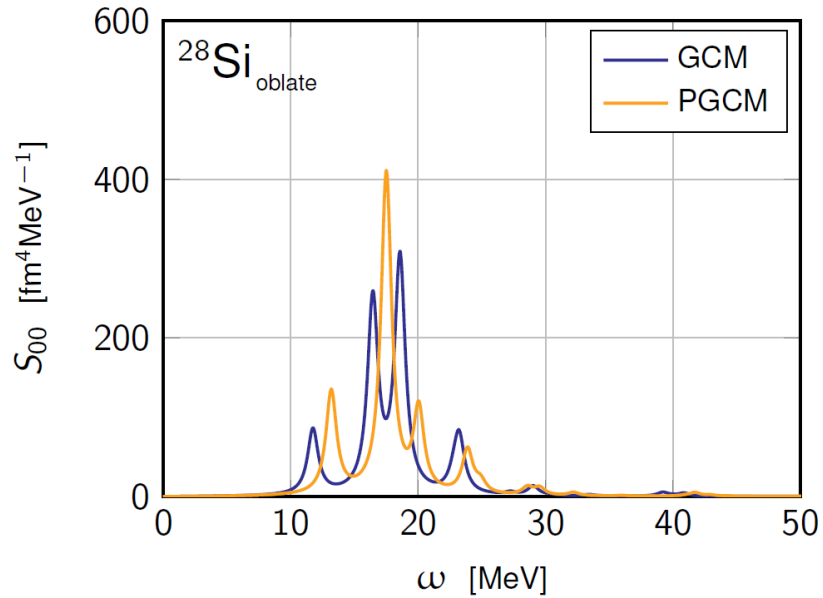
GCM : symmetry-**breaking** solutions

$|\text{GS}\rangle_{\text{def}}$ $|\omega\rangle_{\text{def}}$

PGCM : symmetry-**conserving** solutions

$|\text{GS}\rangle_{\text{sym}}$ $|\omega\rangle_{\text{sym}}$

Comparison to ab initio PGCM



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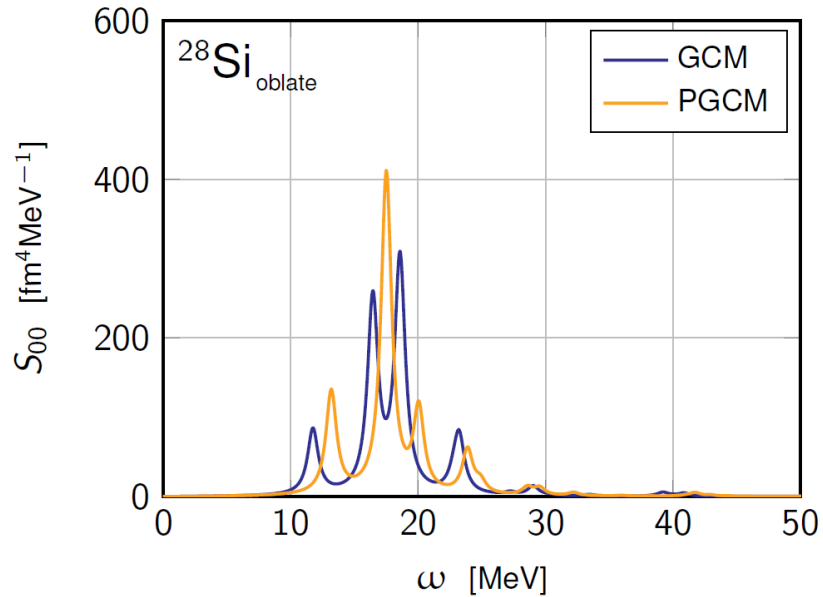
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Variational treatment of rotations in PGCM !

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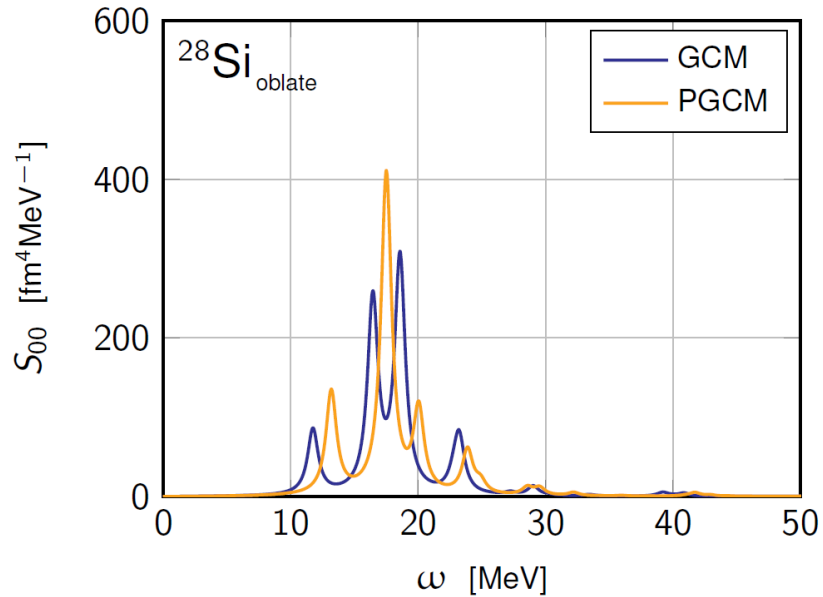
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Projection effects

- Not too dissimilar
- Increased fragmentation (e.g. ^{24}Mg)
- More quantitative agreement

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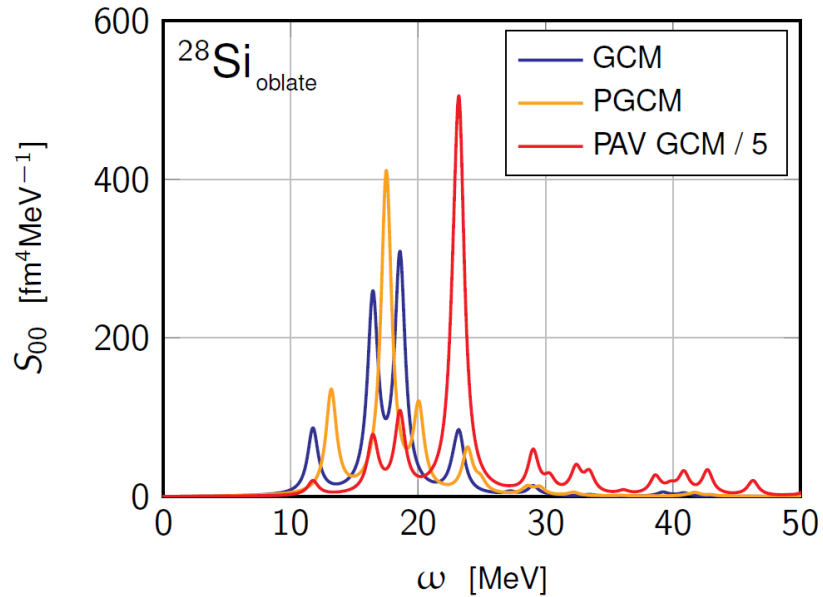
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Can we treat projection a posteriori ?

Comparison to ab initio PGCM



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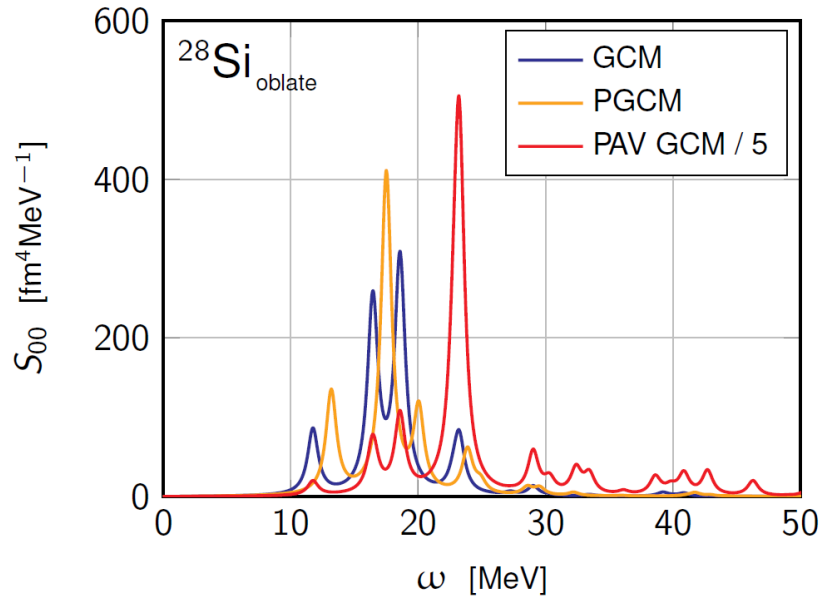
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- Anomalous spectrum
- Zero-frequency rotations (**Goldstone** modes)
- Born-Oppenheimer-like approximation

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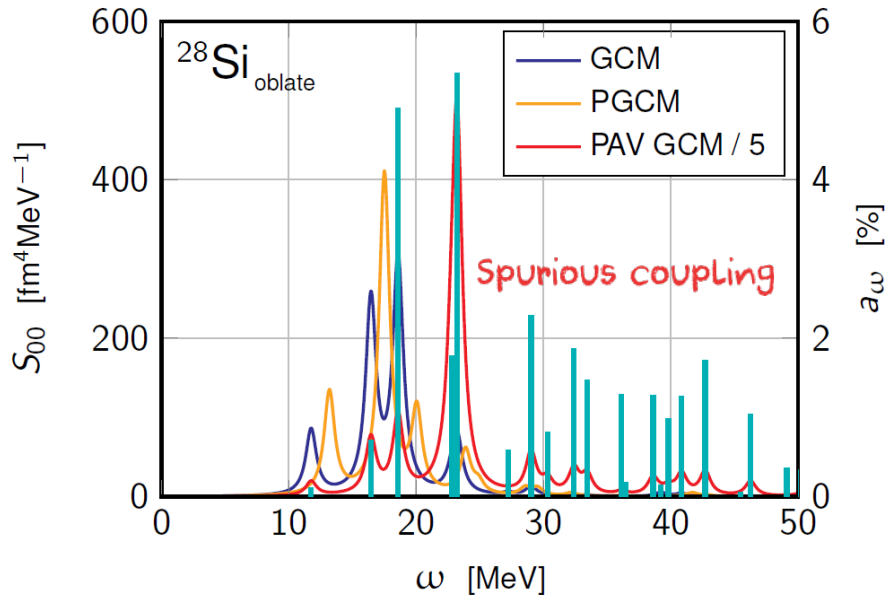
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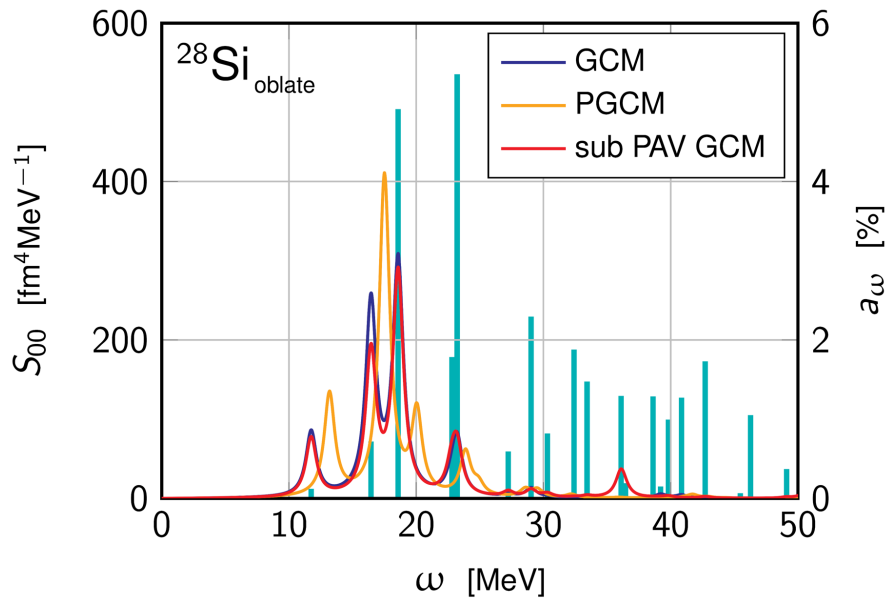
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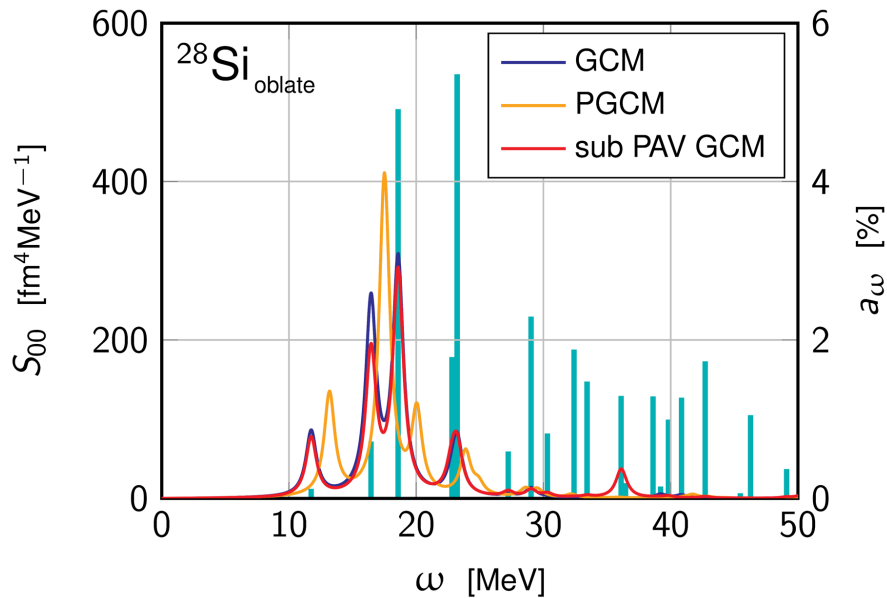
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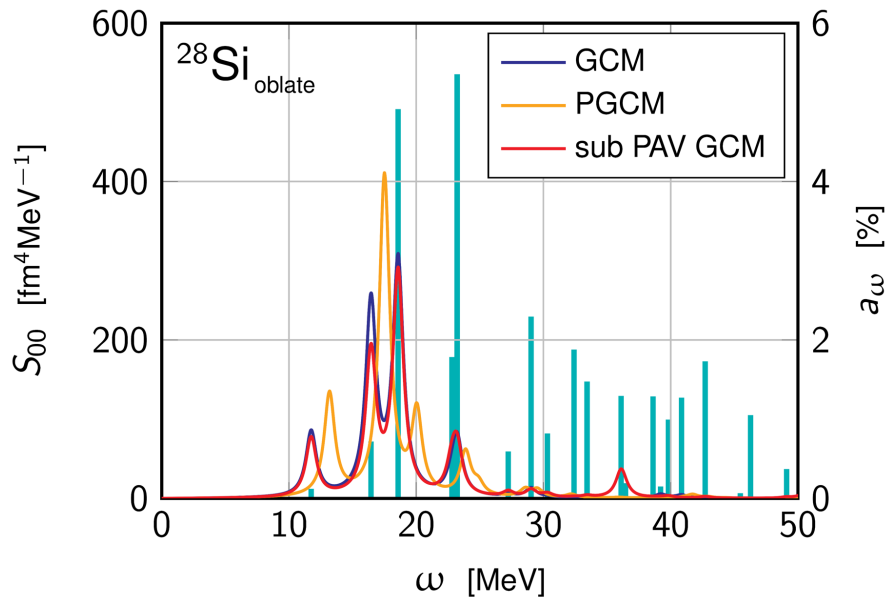
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Rotations must be treated **variationally** !

- PGCM already does
- **Projected QRPA** needed

Introduction

- Giant Resonances
- GCM and RPA

Random Phase Approximation

- Theoretical introduction
- Angular Momentum Projection

Results

- Rotation-Vibration coupling
- Comparison to ab initio PGCM

Conclusions

Summary

D I F F E R E N T F L A V O U R S O F S Y M M E T R Y B R E A K I N G A N D R E S T O R A T I O N

(Q) R P A

Symmetry breaking

G C M



Symmetry conserving

Summary

DIFFERENT FLAVOURS OF SYMMETRY BREAKING AND RESTORATION

(Q)RPA

Harmonic fluctuations around
deformed HF(B)

Symmetry breaking



GCM

Large amplitudes superposition
of def. HF(B) states



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PROJECTION AFTER DIAGONALIZATION

PAV RPA ⁽¹⁾

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PROJECTION AFTER DIAGONALIZATION

PAV GCM



Symmetry conserving

(1) [Erlar, PhD Thesis, TUD, 2012]

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PROJECTION BEFORE DIAGONALIZATION



PROJECTION BEFORE DIAGONALIZATION

P(Q)RPA ⁽²⁾

PGCM



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[PRC (2024) 109, 044315]

[arXiv:2407.01325]

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New implementation

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Spurious coupling

PAV RPA ⁽¹⁾

New implementation



PROJECTION AFTER DIAGONALIZATION



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Needed for proper comparison with experiments!

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Thank you for the attention



Thomas Duguet
Jean-Paul Ebran
Mikael Frosini
Vittorio Somà



Gianluca Colò
Danilo Gambacurta



TECHNISCHE
UNIVERSITÄT
DARMSTADT

Robert Roth
Achim Schwenk
Alexander Tichai

Backup slides

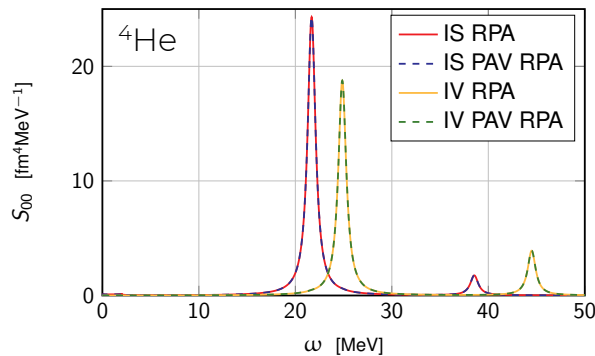
Setting

RPA response **stability** wrt basis variations

- HO basis convergence
 - Global stability
 - High-energy fragmentation (continuum)
- 1p1h RPA basis
 - Rapid convergence
 - Ecut=100 MeV

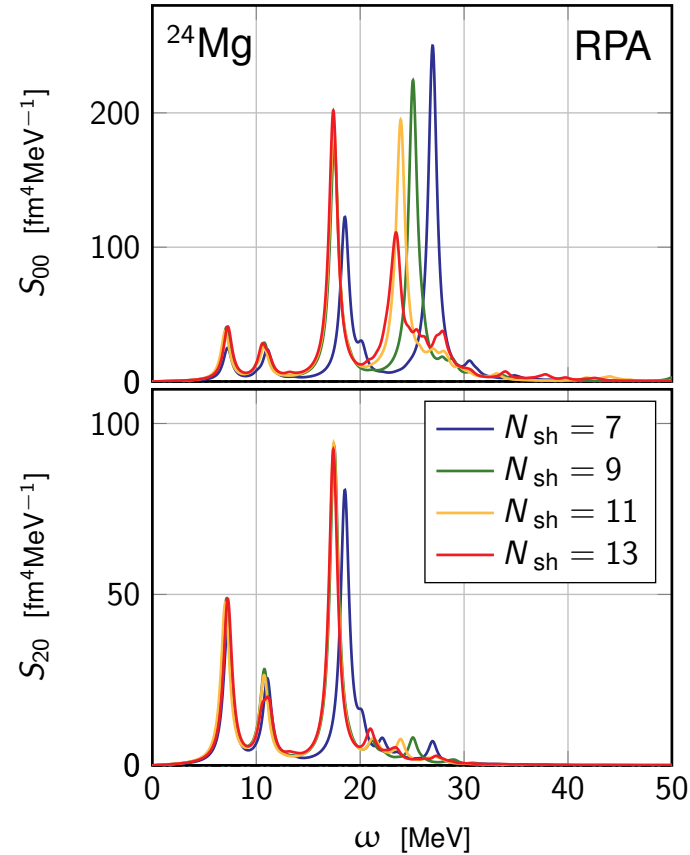
AMP Benchmarks

- Test on a spherical system (^4He)
- AMP identity resolution accurately satisfied



$$\mathbb{1} = \sum_{JM\alpha} |JM\alpha\rangle\langle JM\alpha|$$

$$= \sum_{JM} P_{MM}^J$$



N_{sh}	E_{HF} [MeV]	r [fm]	β
7	-195.65	2.991	0.378
9	-196.21	3.009	0.392
11	-196.93	3.011	0.383
13	-197.15	3.016	0.390

$$\beta \equiv \sqrt{\frac{\pi}{5}} \frac{\langle Q_{20} \rangle_{\pi} + \langle Q_{20} \rangle_{\nu}}{\langle r^2 \rangle_{\pi} + \langle r^2 \rangle_{\nu}}$$

Extended derivation

Standard RPA derivation

$$\begin{aligned}
 \langle n|T|\text{RPA}\rangle &= \langle \text{RPA}|TQ_n^\dagger|\text{RPA}\rangle \\
 &= \langle \text{RPA}|[T, Q_n^\dagger]|\text{RPA}\rangle \\
 &\approx \langle \text{HF}|[T, Q_n^\dagger]|\text{HF}\rangle \\
 &= \sum_{ph} \left\{ X_{ph}^n \langle h|T_{\lambda\mu}|p\rangle + Y_{ph}^n \langle p|T_{\lambda\mu}|h\rangle \right\}
 \end{aligned}$$

Projected RPA derivation

$$\begin{aligned}
 \langle \text{RPA}|T_{\lambda\mu}P_{K_0-\mu, K}^J|n\rangle &= \langle \text{RPA}|T_{\lambda\mu}P_{K_0-\mu, K}^JQ_n^\dagger|\text{RPA}\rangle \\
 &= \langle \text{RPA}|T_{\lambda\mu}P_{K_0-\mu, K}^JQ_n^\dagger - Q_n^\dagger P_{K_0-\mu, K}^JT_{\lambda\mu}|\text{RPA}\rangle \\
 &\approx \langle \text{HF}|T_{\lambda\mu}P_{K_0-\mu, K}^JQ_n^\dagger - Q_n^\dagger P_{K_0-\mu, K}^JT_{\lambda\mu}|\text{HF}\rangle \\
 &= \sum_{\text{ph}} X^{\text{ph}} \langle \text{HF}|T_{\lambda\mu}P_{K_0-\mu, K}^Ja_p^\dagger a_h|\text{HF}\rangle + Y^{\text{ph}} \langle \text{HF}|a_h^\dagger a_p P_{K_0-\mu, K}^JT_{\lambda\mu}|\text{HF}\rangle
 \end{aligned}$$

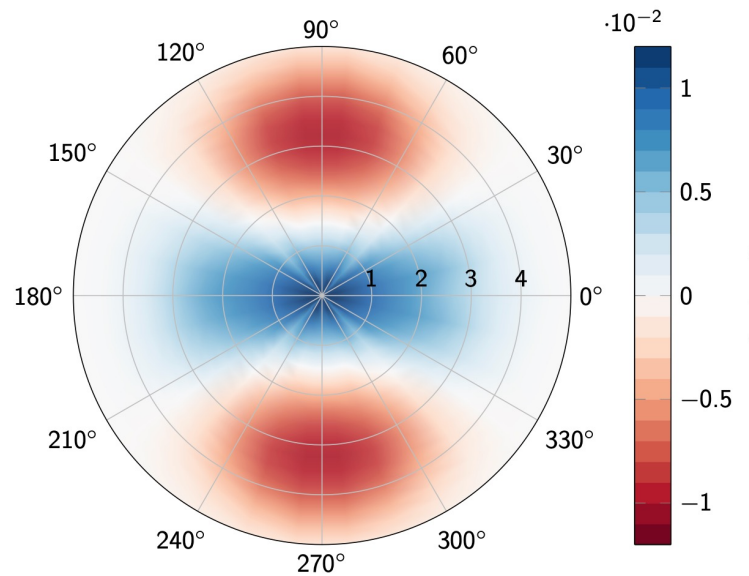
Reduced transition amplitudes

$$\langle \text{RPA}||T_\lambda||n\rangle = (2J_0 + 1)N_0N_n(-1)^{J_0-K_0} \sum_{\text{ph}} \sum_{\mu} [X_n^{\text{ph}} + (-1)^\mu Y_n^{\text{ph}}] \begin{pmatrix} J_0 & \lambda & J \\ -K_0 & \mu & K_0 - \mu \end{pmatrix} \int_{-1}^1 d(\cos\beta) d_{K_0-\mu, K}^J(\beta) \langle \text{HF}|T_{\lambda\mu}e^{i\beta J_y}a_p^\dagger a_h|\text{HF}\rangle$$

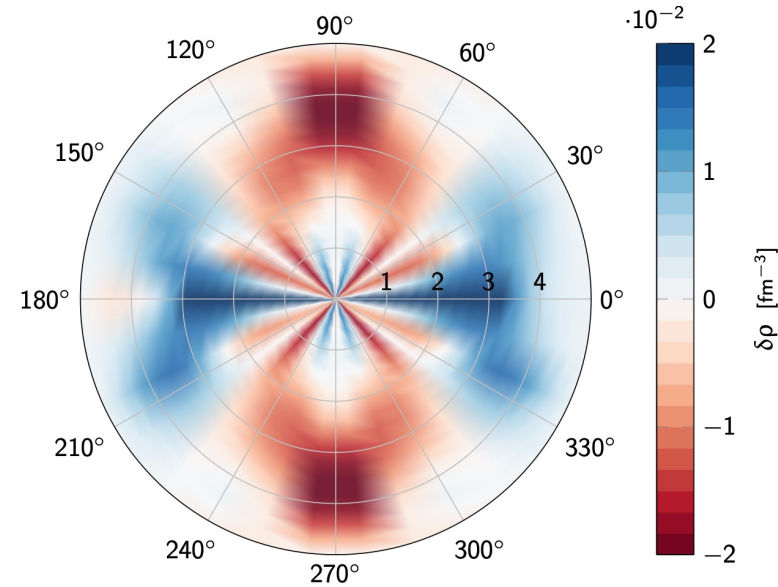
$$N_0 = \left[\int_{-1}^1 d(\cos\beta) d_{K_0, K_0}^{J_0}(\beta) \langle \text{HF}|e^{i\beta J_y}|\text{HF}\rangle \right]^{-1/2} \quad N_n = \left[\sum_{\text{p, h; p', h'}} (X_{ph}^n X_{p'h'}^n - Y_{ph}^n Y_{p'h'}^n) \int_{-1}^1 d(\cos\beta) d_{K, K}^J(\beta) \langle \text{HF}|a_h^\dagger a_{p'} e^{i\beta J_y} a_p^\dagger a_h|\text{HF}\rangle \right]^{-1/2}$$

Comparison to rotational transition density

Anomalous phonon



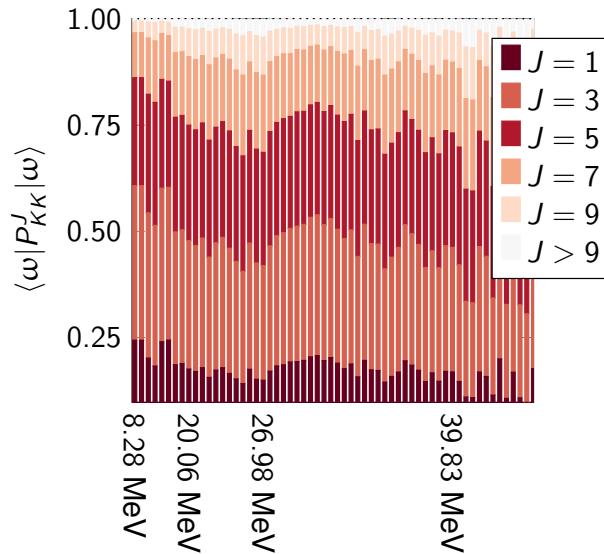
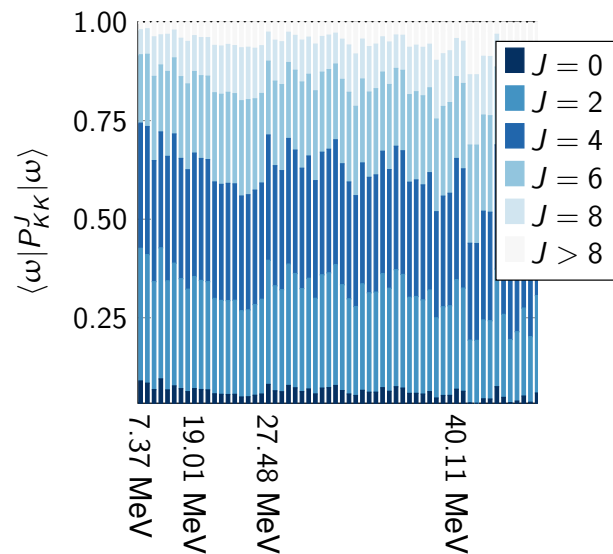
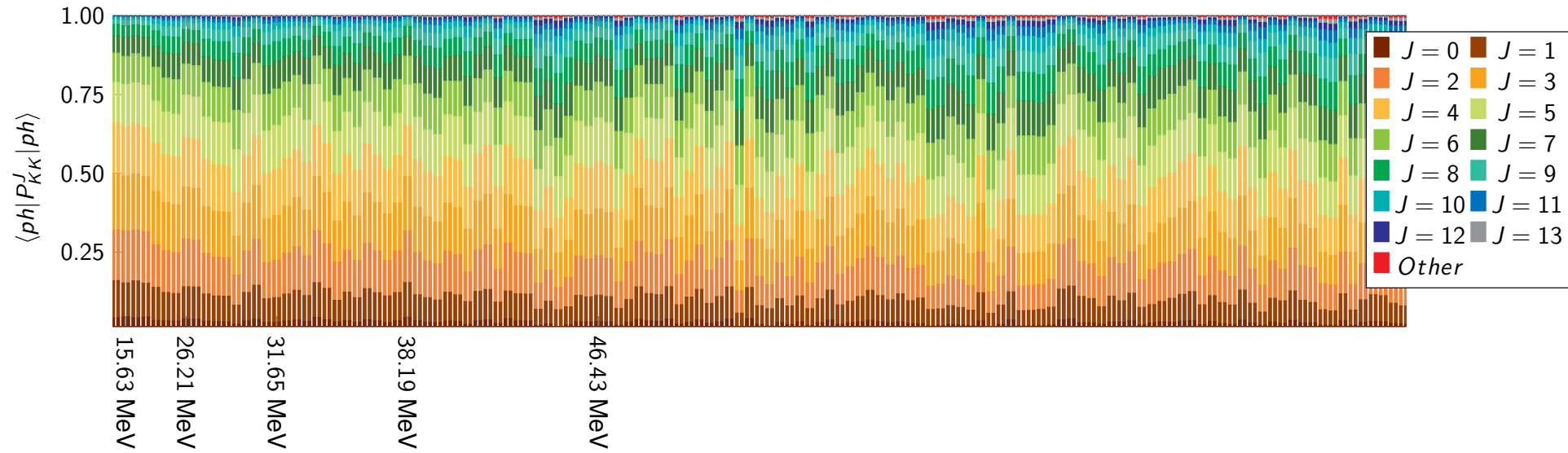
Rotational state



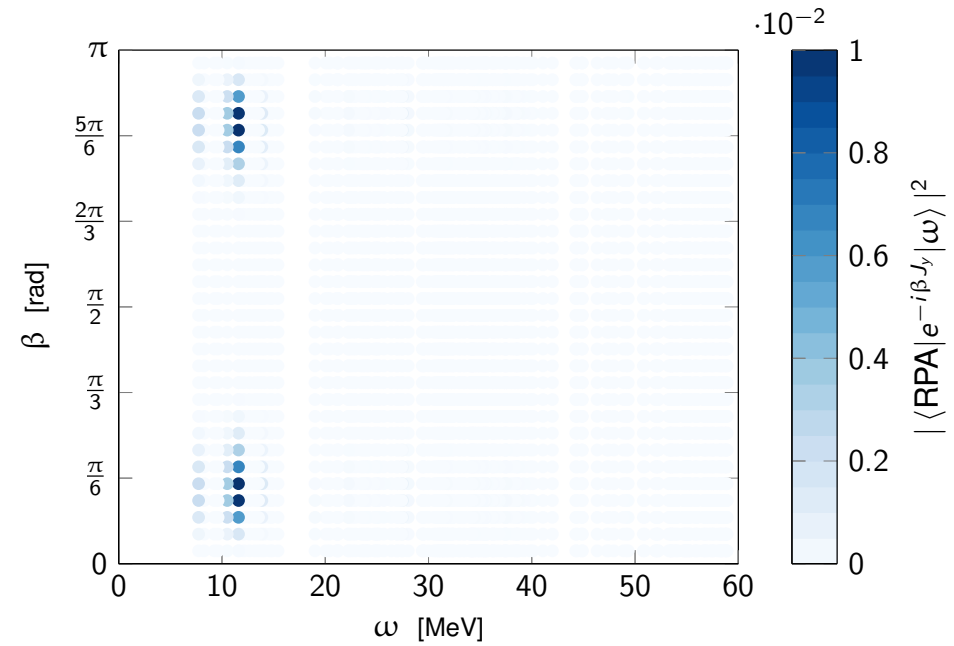
Overlap maximised at $\alpha \sim 24^\circ$

$$\rho_\alpha = \left(\frac{\hat{R}_y(\alpha) + \hat{R}_y(-\alpha)}{2} \right) \rho_0$$

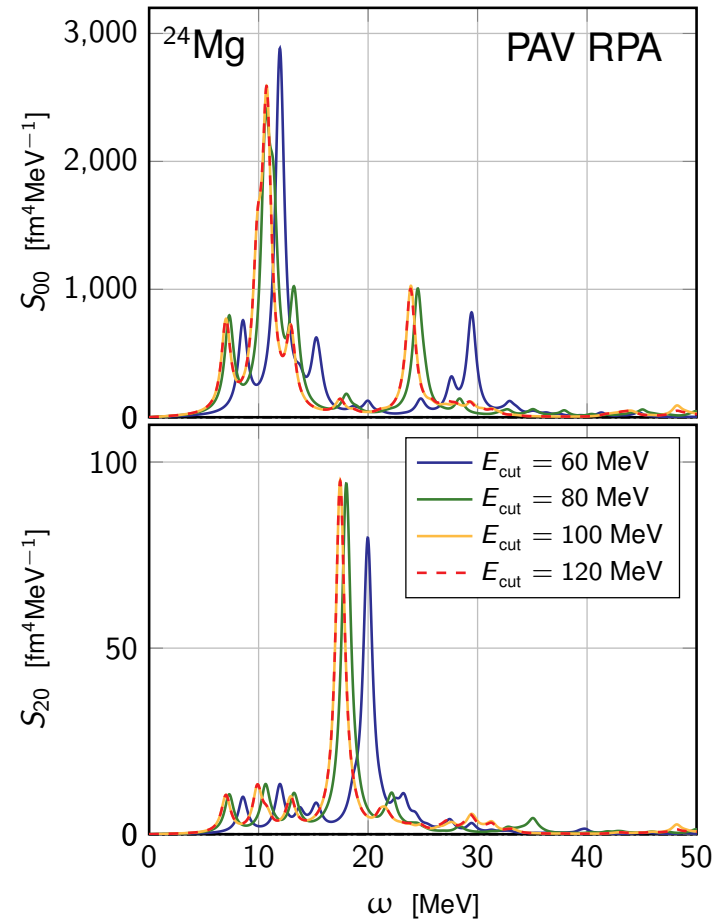
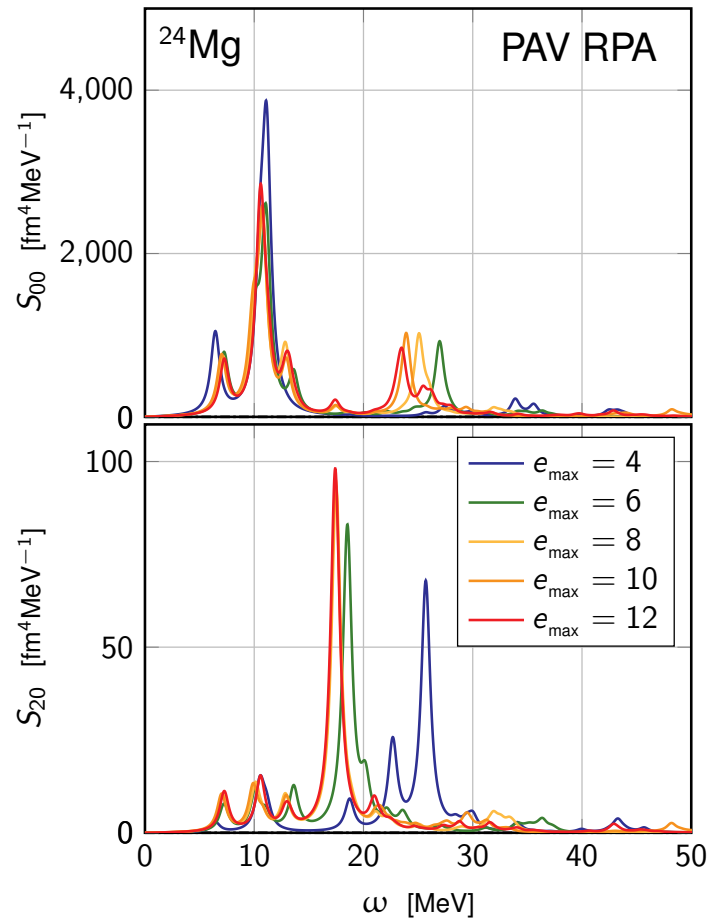
AMP identity resolutions



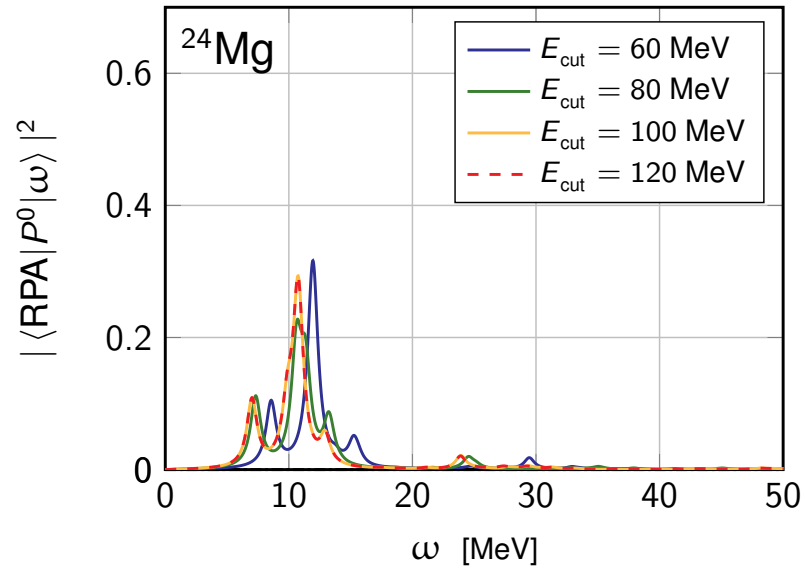
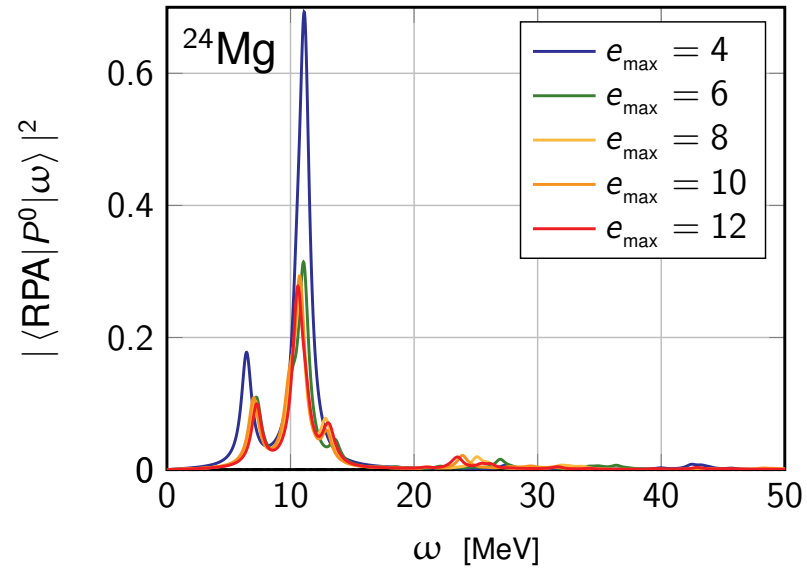
Rotational overlap



PAV RPA convergence



PAV RPA convergence



PAV RPA convergence

